

Symmetry Breaking and Symmetry-Protected Topology in mixed states

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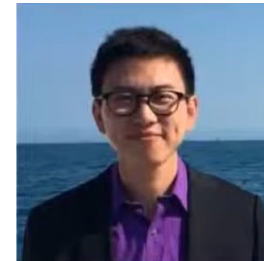
- References:

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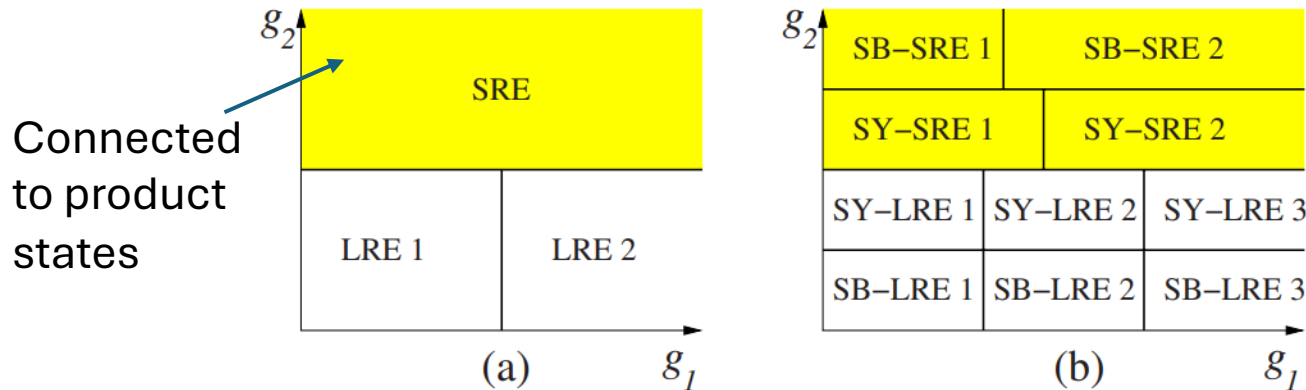
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Pure-state Phases of Matter

- Local Hamiltonian with gapped spectrum
- Equivalence relation: A **gapped** path of local Hamiltonians
- Equivalently: $|\psi_1\rangle \cong |\psi_2\rangle$ in the same phase if $|\psi_1\rangle = U|\psi_2\rangle$
 U : finite-depth local unitary (quasi-adiabatic continuation)
- Symmetry: constraints on local gates, $[gate, G] = 0$

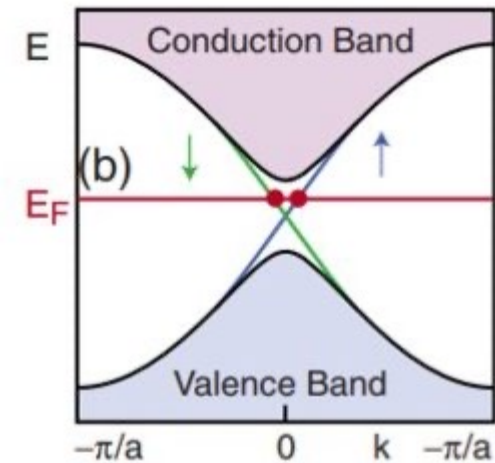
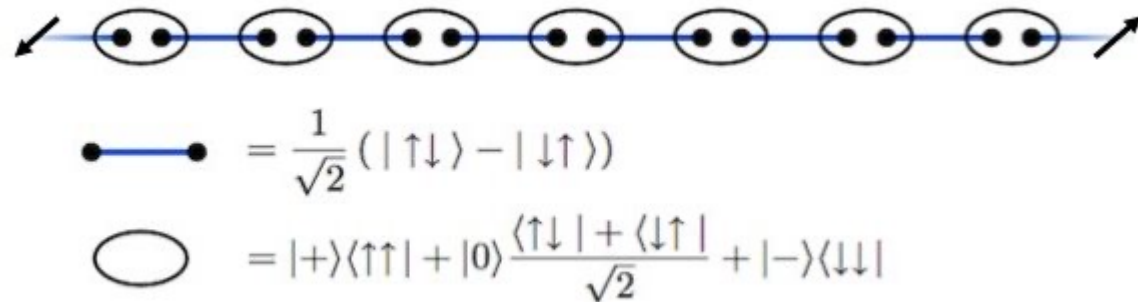


Chen, Gu, Wen 2010

- Spontaneous Symmetry Breaking (SSB)

$$|cat\rangle = \frac{1}{\sqrt{2}} |\uparrow\uparrow\uparrow\rangle + \frac{1}{\sqrt{2}} |\downarrow\downarrow\downarrow\rangle$$

- Symmetry-Protected Topological Phases



Hasan and Kane, 2010

What is a “phase” in mixed states?

- **Deformation**: finite-depth local channel

$$\varepsilon(\rho) = \text{tr}_A[U(\rho \otimes |0_A\rangle\langle 0_A|)U^\dagger]$$

- $A = \bigotimes_i A_i$: associate an extra ancilla with each vertex
- $|0_A\rangle$ is a product state on A
- U is a finite-depth unitary on $H \otimes A$
- Preserves causality $\varepsilon^\dagger(\text{local}) = \text{local}$ and locality Piroli, Cirac 2020
- **Phase**: ρ_1 and ρ_2 are in the same phase if

$$\begin{aligned}\rho_2 &= \varepsilon_{12}(\rho_1) \\ \rho_1 &= \varepsilon_{21}(\rho_2)\end{aligned}$$

Symmetry in quantum systems

- Pure states

Canonical ensemble



- Mixed states $\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$
- Strong (exact) symmetry

$$U_g |\psi\rangle = e^{i\alpha} |\psi\rangle$$

$$U_k \rho = \rho U_k = e^{i\alpha} \rho$$

- Weak (average) symmetry

Grand canonical ensemble

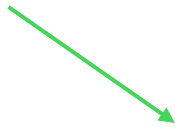


$$U_g \rho U_g^\dagger = \rho$$

Symmetry in quantum systems

- Pure states

No charge exchange:
thermal bath




- Mixed states = system + bath (traced out)
- Strong (exact) symmetry

$$[H, U_g] = 0$$

$$[\text{gate}, U_k \otimes 1_A] = 0$$

Can exchange
charge: particle
bath



- Weak (average) symmetry

$$[\text{gate}, U_g \otimes U_g^A] = 0$$

Outline

- **Strong-to-weak SSB (SW-SSB)**
 1. Fidelity Correlator
 2. Renyi-2 Correlator: SSB in the doubled Hilbert space
- **Properties of SW-SSB**
 1. Stability and non-invertibility
- **Examples: Thermal States and Ising model**

- **Average Symmetry-Protected Topological Phases**
 1. Decorated domain wall construction
 2. Example: decohered cluster chain

SSB in pure states

- 1d spin chain with \mathbb{Z}_2 spin flip symmetry $X = \prod_i X_i$
- Cat state: $|\psi_{\pm}\rangle = \frac{1}{\sqrt{2}} |\uparrow\uparrow\uparrow \dots\rangle \pm \frac{1}{\sqrt{2}} |\downarrow\downarrow\downarrow \dots\rangle$
- **Long-range order** (LRO):

$$\langle \psi_{\pm} | Z_i Z_j | \psi_{\pm} \rangle \xrightarrow{|i-j| \rightarrow \infty} 1$$

- **Stability**: LRO is a *universal* property of a *phase*

$U|\psi_{\pm}\rangle$ must have LRO due to the Lieb-Robinson bound

SSB in pure states

- **Non-invertibility** (“long-range entangled”)

$\nexists |\psi_{\pm}^*\rangle$ and symmetric finite-depth U , such that

$$U(|\psi_{\pm}\rangle \otimes |\psi_{\pm}^*\rangle) = |0\rangle$$

Example: Ising Chain

- Cat state in X basis: $|\psi_{\pm}\rangle \propto \sum_{\prod_i x_i = \pm 1} |\{x_i\}\rangle$

Coherent superposition of all symmetric product state

Within the charge ± 1 sector

- $\rho \propto I \pm X \propto \sum_{\prod_i x_i = \pm 1} |\{x_i\}\rangle\langle\{x_i\}|$

Incoherent sum of all symmetric product states

Within the charge ± 1 sector

$$\text{tr}(\rho O_x O_y^+) = 0$$

Strong-to-Weak SSB

Order parameter, stability, etc.

SW-SSB: Fidelity Correlator

- Conventional order parameter fails to detect SW-SSB:

$$\text{tr}(\rho O_x O_y^+) = 0$$

- SSB in terms of **similarity (overlap, distance, etc.)**

$$\langle \psi | Z_x Z_y | \psi \rangle: \text{similarity between } |\psi\rangle \text{ and } Z_x Z_y |\psi\rangle$$

- Intuition: similarity between

$$\rho \text{ and } \sigma = O_x O_y^+ \rho O_x^+ O_y$$

SW-SSB: Fidelity Correlator

- Intuition: similarity (distance) between

$$\rho \text{ and } \sigma = O_x O_y^+ \rho O_x^+ O_y$$

- **Definition:** A strongly symmetric ρ has SW-SSB if:

$$F(\rho, \sigma) = \text{tr} \sqrt{\sqrt{\rho} \sigma \sqrt{\rho}} = \text{tr} \sqrt{\sqrt{\rho} O_x O_y^+ \rho O_x^+ O_y \sqrt{\rho}} \xrightarrow{|x-y| \rightarrow \infty} O(1)$$

$$\text{And } \text{tr}(\rho O_x O_y^+) \xrightarrow{|x-y| \rightarrow \infty} 0$$

Basic Properties of Fidelity

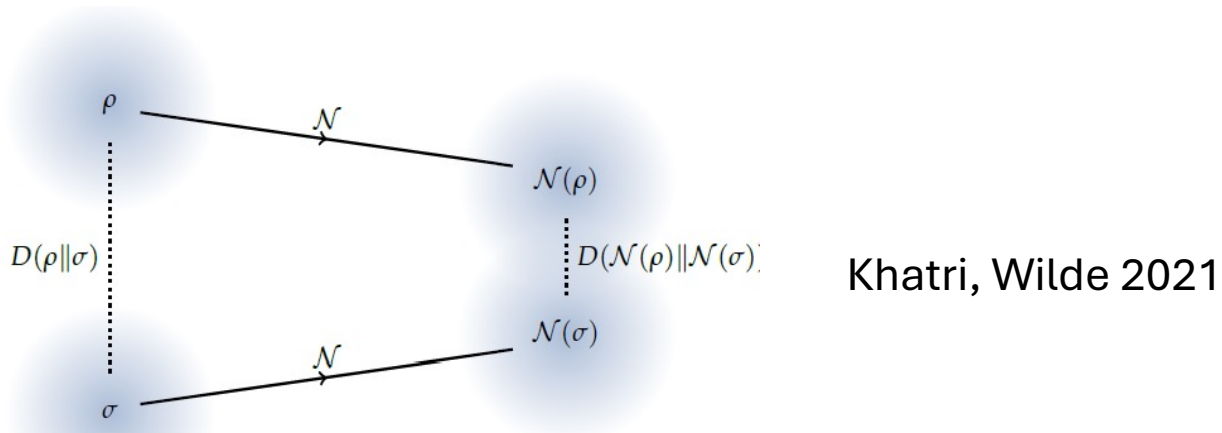
- For two states ρ and σ ,

$$0 \leq F(\rho, \sigma) \leq 1$$

$$F(\rho, \sigma) = 0 \Leftrightarrow \rho \perp \sigma, F(\rho, \sigma) = 1 \Leftrightarrow \rho = \sigma$$

- Data-processing inequality

$$F(\rho, \sigma) \leq F(\mathcal{E}(\rho), \mathcal{E}(\sigma))$$



Example: Ising Chain

- Product state after maximal dephasing

$$\rho = \varepsilon(|+\rangle\langle+|)$$

$$\varepsilon = \prod_{\langle ij \rangle} \varepsilon_{ij}, \quad \varepsilon_{ij}(\rho) = \frac{1}{2}(\rho + Z_i Z_j \rho Z_i Z_j)$$

- $\rho \propto I + X \propto \sum_{\prod_i x_i = 1} |\{x_i\}\rangle\langle\{x_i\}|$

Incoherent sum of all symmetric product states

Within the charge +1 sector

$$\Rightarrow \text{tr}(\rho Z_x Z_y) = 0$$
$$F(\rho, Z_x Z_y \rho Z_x Z_y) = 1$$

Example: Ising Chain

- If we decompose $\rho \propto I + X$ in local Z basis:

$$\rho = \sum_s (|s\rangle + X|s\rangle)(\langle s| + \langle s|X)$$

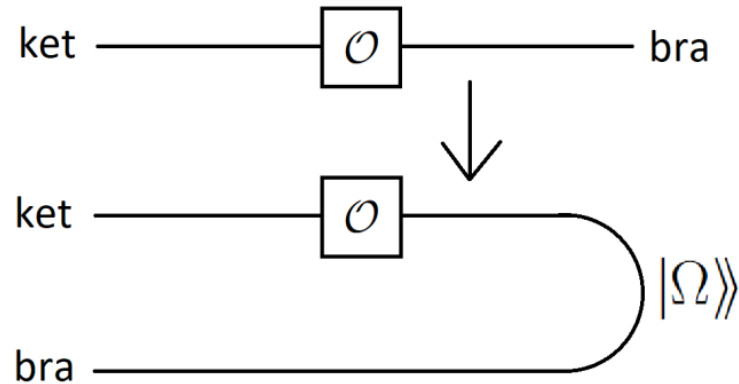
$\{s\}$: all possible bit strings in Z basis

$$F(\rho, Z_x Z_y \rho Z_x Z_y) = \overline{|\langle Z_x Z_y \rangle|}$$

Mixed-state “Edward-Anderson” order parameter

Renyi-2 Correlator: SSB in the doubled space

- Operator-State map:



- Renyi-2 Correlator:

$$\frac{\langle\langle \rho | O_x O_y^+ \otimes O_x^+ O_y | \rho \rangle\rangle}{\langle\langle \rho | \rho \rangle\rangle} = \frac{\text{tr}(\rho O_x O_y^+ \rho O_x^+ O_y)}{\text{tr}(\rho^2)} = O(1)$$

- Example: $\rho \propto I + X$

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Properties of SW-SSB

- Stability: If ρ has SW-SSB, ε is a symmetric finite-depth channel,
 $\Rightarrow \varepsilon(\rho)$ also has SW-SSB

- Symmetric finite-depth channel: can be purified to a symmetric finite-depth local unitary

$$\varepsilon(\rho) = \text{tr}_A [U(\rho \otimes |0_A\rangle\langle 0_A|)U^\dagger]$$
$$[\text{gate of } U, U_k \otimes \mathbf{1}_A] = 0$$

- Proof:

- Uhlmann Theorem: $F(\rho, \sigma) = \max_{|\psi_\rho\rangle, |\phi_\sigma\rangle} |\langle \psi_\rho | \phi_\sigma \rangle|$

- For $\sigma = O_x O_y^+ \rho O_x^+ O_y$

$$F(\rho, \sigma) = \max_{|\psi_\rho\rangle, |\phi_\rho\rangle} |\langle \psi_\rho | O_x O_y^+ | \phi_\rho \rangle| = O(1)$$

$$\Rightarrow |\langle \psi_\rho \otimes 0_A | U^+ U O_x O_y^+ U^+ U | \phi_\rho \otimes 0_A \rangle| = O(1)$$

$$\Rightarrow |\langle \psi_{\varepsilon(\rho)} | O_x' O_y^{+'} | \phi_{\varepsilon(\rho)} \rangle| = O(1)$$

- For symmetric finite-depth U ,

$$O_x' = \sum_i O_x^i \otimes W_A^i$$

such that (1) finite sum; (2) O_i carries K charge; (3) W_A^i unitary

$$\Rightarrow F(\varepsilon(\rho), O_x^i O_y^{j+} \varepsilon(\rho) O_x^{i+} O_y^j) = O(1)$$

Intuition

- Data-processing inequality

$$\begin{aligned}\sigma &= O_x O_y^+ \rho O_x^+ O_y \\ O(1) &\sim F(\rho, \sigma) \leq F(\varepsilon(\rho), \varepsilon(\sigma)) \\ &= F\left(\varepsilon(\rho), \varepsilon(O_x O_y^+ \rho O_x^+ O_y)\right) \sim O(1)\end{aligned}$$

- When O commutes with ε , stability is straightforward.
- When ε is symmetric finite-depth, pushing O through the channel still gives a local operator with the same charge.

$$F(\varepsilon(\rho), O'_x O'^+_y \varepsilon(\rho) O'^+_x O'_y) \sim O(1)$$

Properties of SW-SSB

- Non-invertibility:

$\nexists \tilde{\rho}$ and symmetric finite-depth channel ε ,

$$\text{such that } \varepsilon(\rho \otimes \tilde{\rho}) = |0\rangle\langle 0|$$

(Directly from stability)

- Fidelity: stable \rightarrow universal
- Renyi-2: not universal

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Example: Thermal States

- Stat. Mech.: Local equivalence between canonical and grand-canonical ensembles
- **Conjecture:** If a Gibbs state within a fixed charge sector has no SSB, it must have SW-SSB

$$\rho \propto P_\lambda e^{-\beta H}$$

- Supporting evidence:

H is a sum of complete set of \mathbb{Z}_2 symmetric commuting projector

$$\text{e.g. } H = -\sum_i X_i$$
$$F(\rho, Z_i Z_j \rho Z_i Z_j) \xrightarrow{L \rightarrow \infty} 1 / \cosh^2 \beta$$

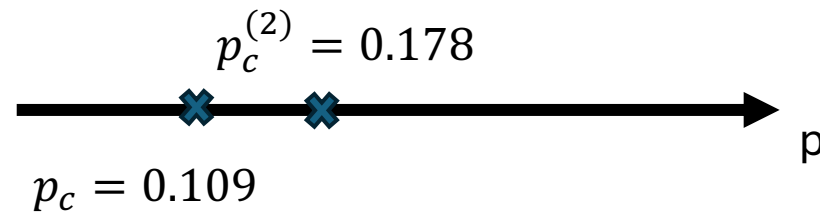
Nonzero for any finite T

Example: decohered Ising model

- 2d Ising symmetric product state $|0\rangle = \bigotimes_i |+\rangle_i$
- Apply ZZ dephasing: $\varepsilon = \prod_{\langle ij \rangle} \varepsilon_{ij}$, $\varepsilon_{ij}(\rho) = (1 - p)\rho + pZ_i Z_j \rho Z_i Z_j$
- “Ungauged” toric code under bit-flip noise
- Inequivalence between fidelity and Renyi-2

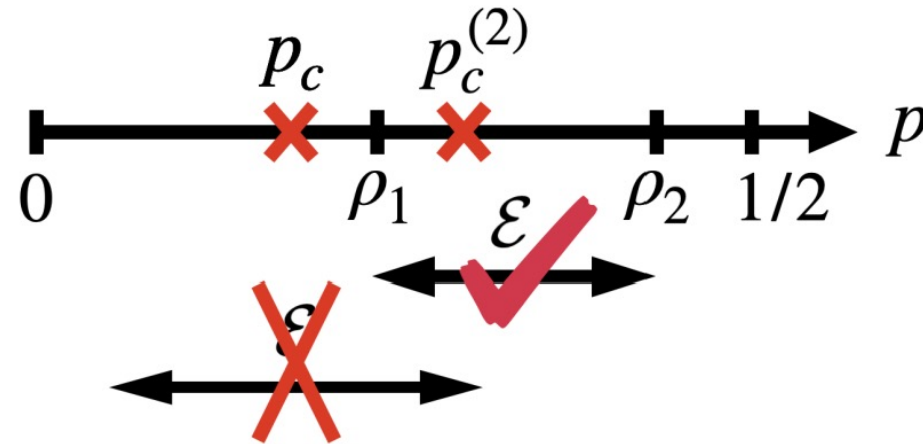
Fidelity correlator \rightarrow correlator in **random bond Ising model**

Renyi-2 \rightarrow correlator in **Ising model**



SSB *phase*

- Question: whether ρ_1 and ρ_2 can be two way connected?



- Question: whether local decoherence is locally recoverable?

SSB *phase*

- Answer: Fidelity correlator is universal
Renyi-2 correlator is not

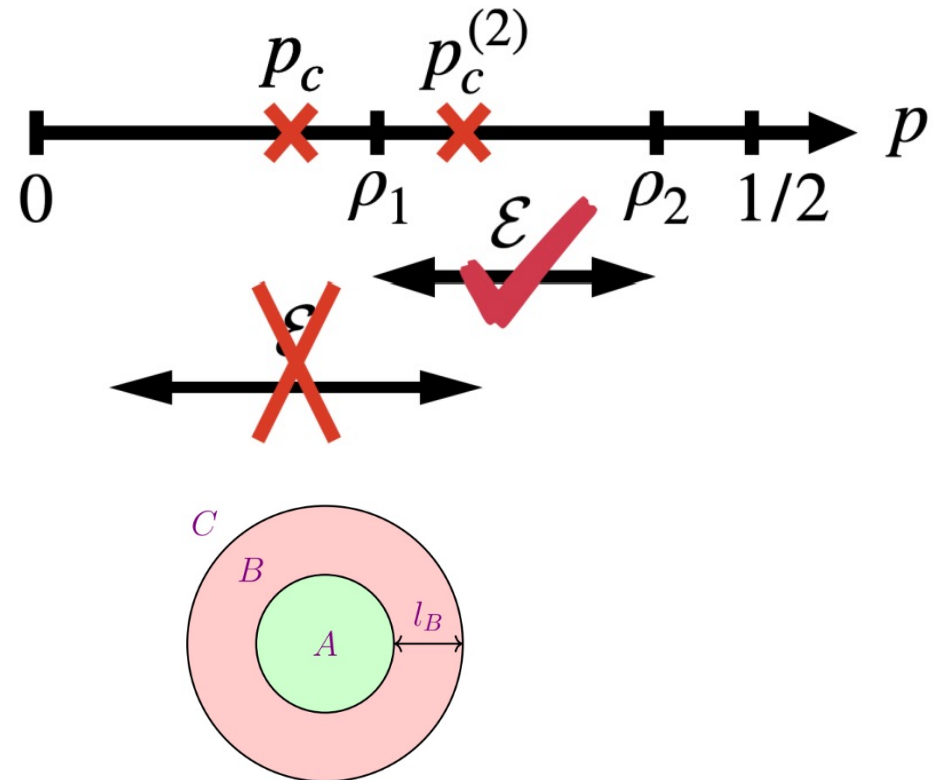
- Recovery channel:
Petz Recovery Map

Sang, Hsieh 2024

- Condition:

$$\partial_p I(A:C|B)_{\rho_p} \sim O(e^{-l_B/\xi})$$

- $\xi \sim$ correlation length of the **RBIM**



Take home

- The behavior of SSB diagnostics in a mixed state with a strong symmetry

Symmetry	$F_O(x, y)$	$\text{Tr}(\rho O(x) O^\dagger(y))$
Unbroken	0	0
SW-SSB	$O(1)$	0
Completely Broken	$O(1)$	$O(1)$

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Cluster Chain in 1d

- 1d Cluster state as a $\mathbb{Z}_2 \times \mathbb{Z}_2$ SPT Chen, Lu, Vishwanath 2013

$$H = - \sum_i Z_{2i-1} X_{2i} Z_{2i+1} - \sum_i Z_{2i} X_{2i+1} Z_{2i+2}$$

$\mathbb{Z}_2^e \times \mathbb{Z}_2^o$ symmetry: $X = \bigotimes_i X_{2i}$, $X = \bigotimes_i X_{2i+1}$

Ground State $|\Psi\rangle$: $Z_i X_{i+1} Z_{i+2} = 1$

Decorated domain wall construction

- $|\Psi\rangle$ in the basis of X on even sites and Z on odd sites

$$|\Psi\rangle = \begin{array}{cccc} \uparrow & \uparrow & \uparrow & \uparrow \\ | & | & | & | \\ \text{---} & \text{---} & \text{---} & \text{---} \\ & \bullet & \bullet & \bullet \\ & + & + & + \end{array} + \begin{array}{cccc} \uparrow & \uparrow & \downarrow & \downarrow \\ | & | & | & | \\ \text{---} & \text{---} & \text{---} & \text{---} \\ & \bullet & \bullet & \bullet \\ & + & - & + \end{array} + \begin{array}{cccc} \uparrow & \downarrow & \downarrow & \uparrow \\ | & | & | & | \\ \text{---} & \text{---} & \text{---} & \text{---} \\ & \bullet & \bullet & \bullet \\ & - & - & + \end{array} + \dots$$

$$Z_i X_{i+1} Z_{i+2} = 1$$

- A domain wall of \mathbb{Z}_2^0 traps a charge of \mathbb{Z}_2^e

Decohering the cluster state

- $\varepsilon = \prod_i \varepsilon_{2i}, \varepsilon_{2i}(\rho) = (1 - p)\rho + pZ_{2i}\rho Z_{2i}$
- For $p > 0$, \mathbb{Z}_2^0 is strong and \mathbb{Z}_2^e becomes weak
- $\rho_{\frac{1}{2}} = \prod_i \frac{1 + Z_{2i}X_{2i+1}Z_{2i+2}}{2}$

$$|\Psi\rangle = \begin{array}{c} \uparrow \quad \uparrow \quad \uparrow \quad \uparrow \\ | \quad | \quad | \quad | \\ \cdot \quad \cdot \quad \cdot \\ + \quad + \quad + \\ \hline \end{array} + \begin{array}{c} \uparrow \quad \uparrow \quad \downarrow \quad \downarrow \\ | \quad | \quad | \quad | \\ \cdot \quad \cdot \quad \cdot \\ + \quad - \quad + \\ \hline \end{array} + \begin{array}{c} \uparrow \quad \downarrow \quad \downarrow \quad \uparrow \\ | \quad | \quad | \quad | \\ \cdot \quad \cdot \quad \cdot \\ - \quad - \quad + \\ \hline \end{array} + \dots$$

↓

$$\begin{array}{c} \uparrow \quad \uparrow \quad \uparrow \quad \uparrow \\ | \quad | \quad | \quad | \\ \cdot \quad \cdot \quad \cdot \\ + \quad + \quad + \\ \hline \end{array}, \begin{array}{c} \uparrow \quad \uparrow \quad \downarrow \quad \downarrow \\ | \quad | \quad | \quad | \\ \cdot \quad \cdot \quad \cdot \\ + \quad - \quad + \\ \hline \end{array}, \begin{array}{c} \uparrow \quad \downarrow \quad \downarrow \quad \uparrow \\ | \quad | \quad | \quad | \\ \cdot \quad \cdot \quad \cdot \\ - \quad - \quad + \\ \hline \end{array}, \dots$$

Boundary Correlation

- $\rho_{\frac{1}{2}} = \prod_i \frac{1 + Z_{2i} X_{2i+1} Z_{2i+2}}{2}$
- $\langle Z_{2n} X_{2n+1} X_{2n+3} \dots X_{2m-1} Z_{2m} \rangle = 1$
- Global strong symmetry: $\prod_i X_{2i+1} \rho = \rho$
- $\langle X_1 X_3 \dots X_{2n-1} Z_{2n} \cdot Z_{2m} X_{2m+1} X_{2m+3} \dots X_{2k+1} \rangle = 1$
- $\langle X_1 X_3 \dots X_{2n-1} Z_{2n} \rangle$ and $\langle Z_{2m} X_{2m+1} X_{2m+3} \dots X_{2k+1} \rangle = 0$ due to the weak \mathbb{Z}_2^e symmetry

SRE mixed states

- SRE mixed states: ρ can be purified to an SRE state
 $\Leftrightarrow \rho$ can be prepared from $|0\rangle\langle 0|$ by a finite depth channel

- Equivalence: symmetric finite-depth channel

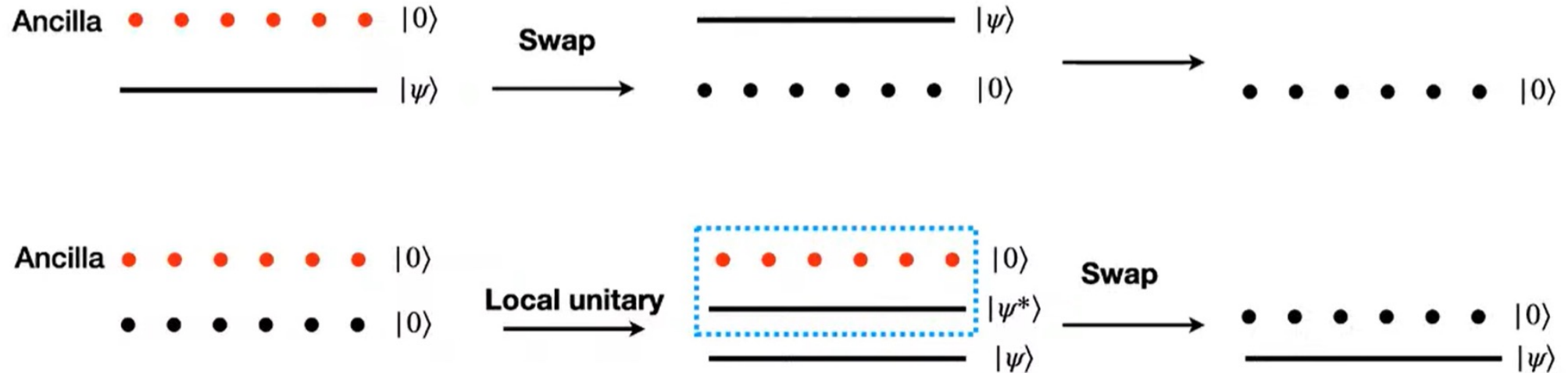
$$\rho_2 = \varepsilon_{12}(\rho_1)$$

$$\rho_1 = \varepsilon_{21}(\rho_1)$$

- Trivial: In the same equivalence class as symmetric product states

Classification

- All SPT states with only weak symmetry become trivial
- Invertible states (e.g. E_8) also become trivial



- No phase factor in incoherent sum

Non-trivial ones

- Strong symmetry K and weak symmetry G
- Any SPT protected jointly by $K \times G$ or K alone are non-trivial
- $|\Psi\rangle$ can not be connected to product state

Proof: If $\exists \varepsilon$:symmetric finite depth channel, such that

$$\varepsilon(|\Psi\rangle\langle\Psi|) = |0\rangle\langle 0|$$

$$\Rightarrow U|\Psi\rangle \otimes |0_A\rangle = |0\rangle \otimes |\Psi'_A\rangle$$

$$\Rightarrow |\Psi'_A\rangle \text{ and } |\Psi\rangle \text{ belong to the same SPT}$$

- Since K acts non-trivially on A , this is impossible when $|\Psi\rangle$ requires K symmetry

Classification

- Classification:

$$H^{d+1}(K \times G, U(1)) / H^{d+1}(G, U(1))$$

- Decorated domain wall:

$$|\Psi\rangle = \sum_D \sqrt{p_D} e^{i\theta_D} |\psi_D\rangle |a_D\rangle$$

Decoherence: G becomes weak

$$\rho = \sum_D p_D |\psi_D\rangle \langle \psi_D| \otimes |a_D\rangle \langle a_D|$$

Summary

- Strong-to-Weak Spontaneous Symmetry Breaking

Similarity measure

Fidelity correlator: stability, non-invertibility

Renyi-2: not “universal”

- Average symmetry-protected topological phases

decorated domain wall construction