

2024 YITP WORKSHOP

2D GBZ Theory



廈門大學

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arXiv: 2311.16868 (2023)

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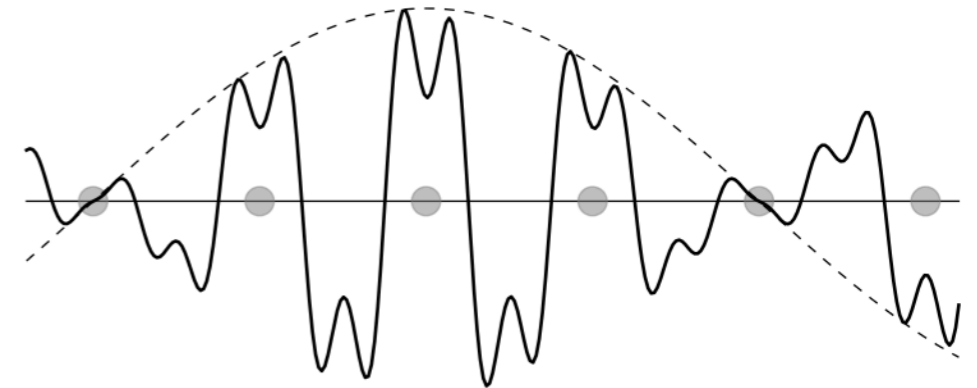
Outline

- **Introduction**
- **1D GBZ theory: review**
- **2D NHSE: numerical summary**
- **2D GBZ theory: recent developments**
- **2D GBZ theory: wave function approach**

Introduction

□ Bloch's theorem

- Translation invariant system: eigenstate are extended Bloch waves.

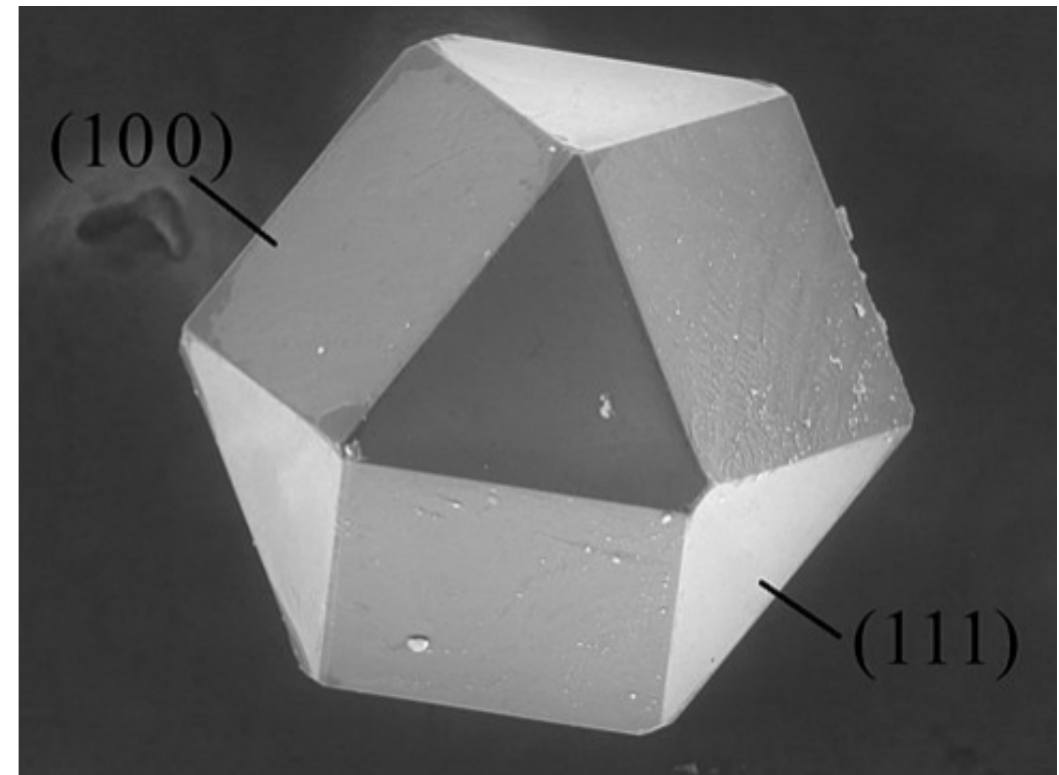


$$\psi(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}} u(\mathbf{r})$$

Introduction

□ Bloch's theorem

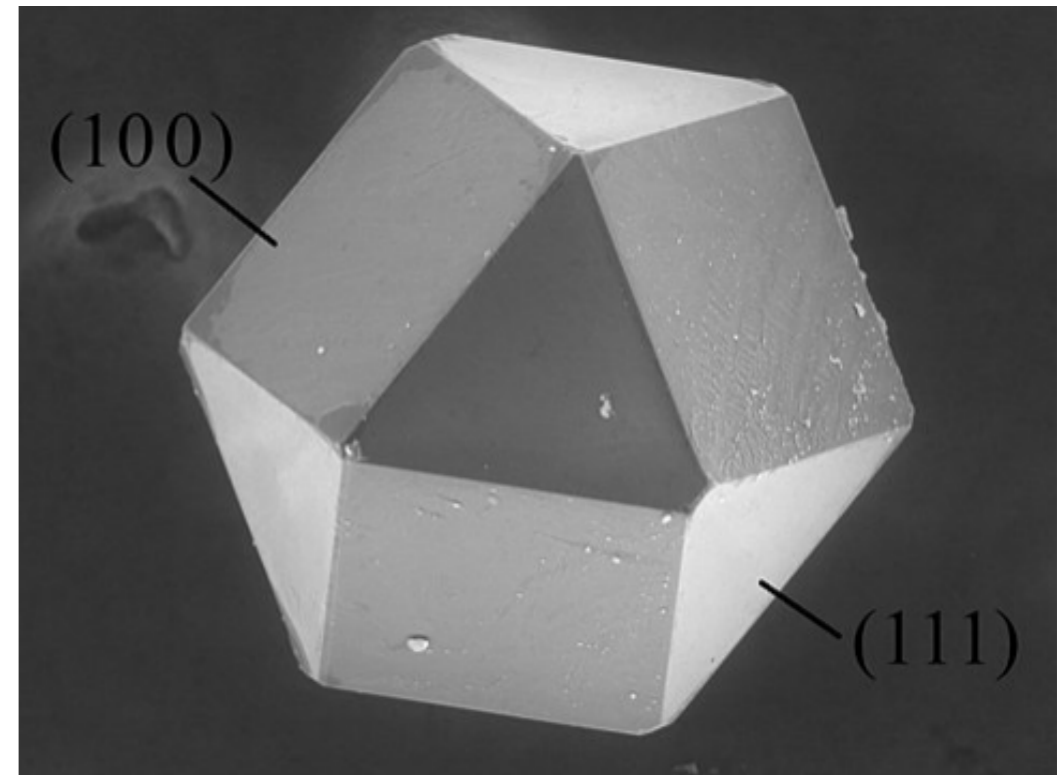
- Translation invariant system: eigenstate are extended Bloch waves.
- Boundaries of the crystal
Break the translation symmetry



Introduction

□ Bloch's theorem

- Translation invariant system: eigenstate are extended Bloch waves.
- Boundaries of the crystal
Break the translation symmetry



- **Question:** can we really use the solution of Bloch' theorem to understand the solution of OBC Hamiltonian?

Introduction

□ Thermodynamic limit

- In Hermitian system, our text book tells us that the answer is yes.
- Thermodynamic limit argument.
- Large N

Introduction

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Introduction

□ Thermodynamic limit

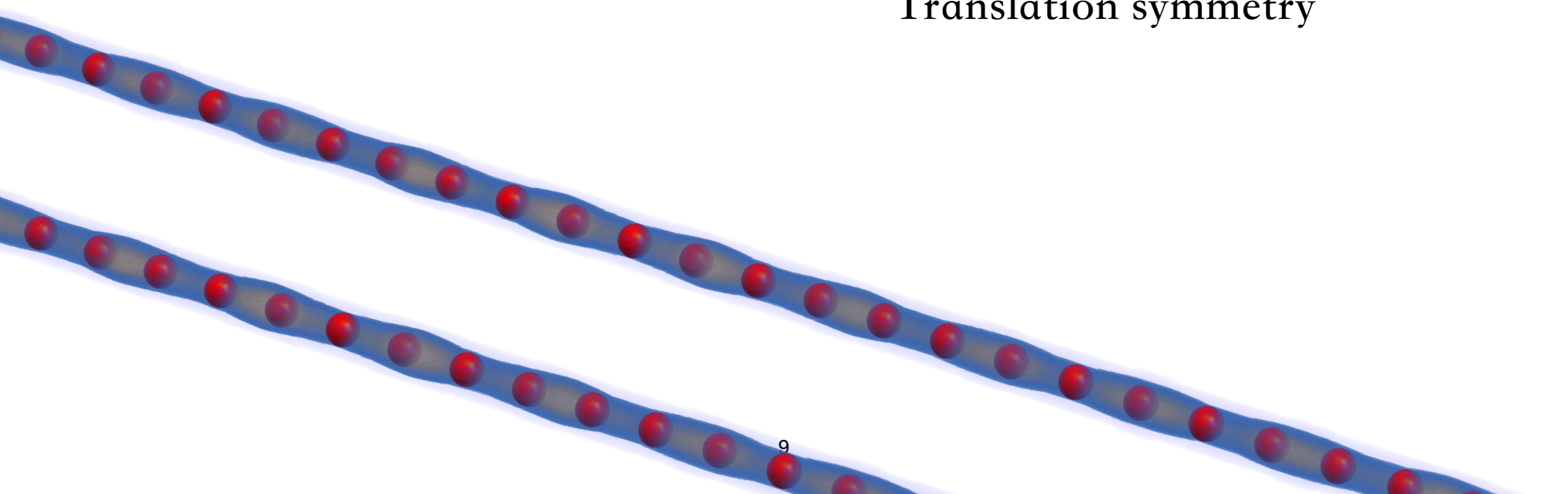
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→ Large N \longrightarrow Infinity N \longrightarrow Infinity L



Translation symmetry

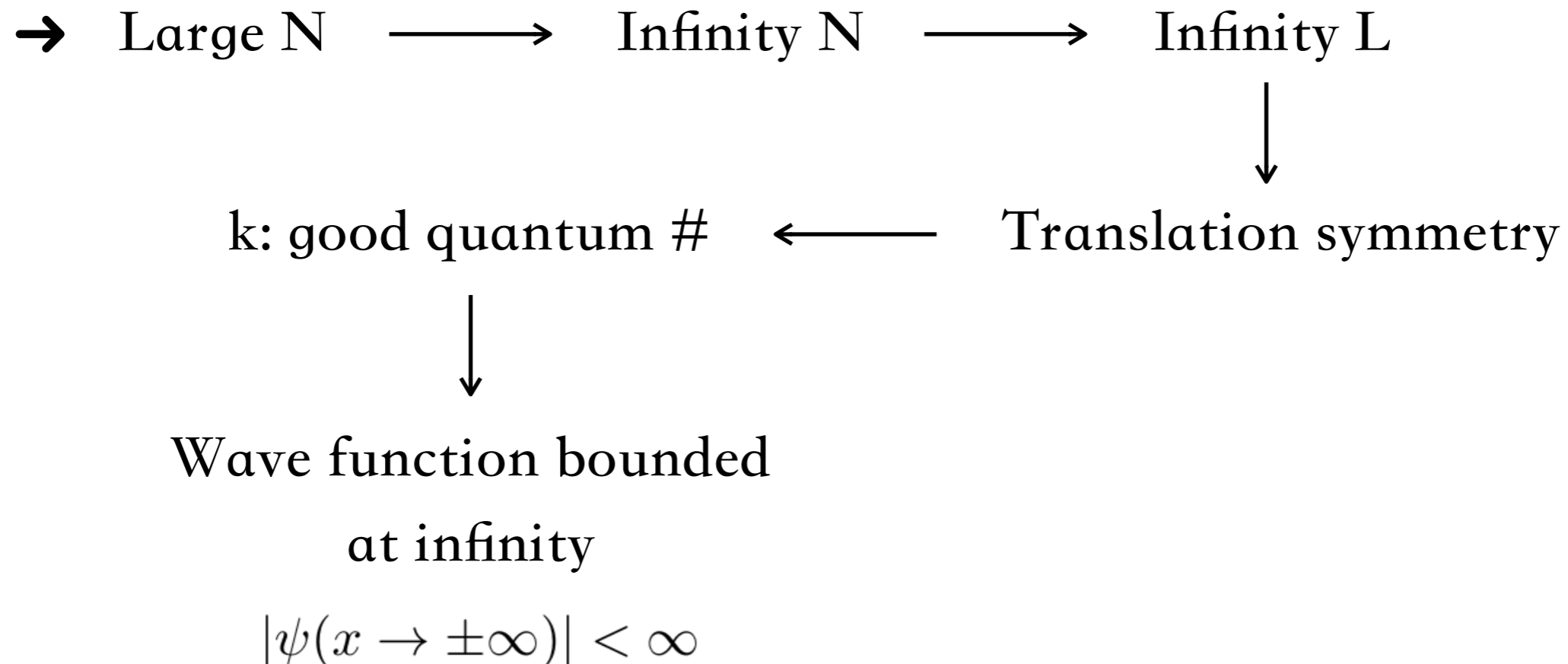


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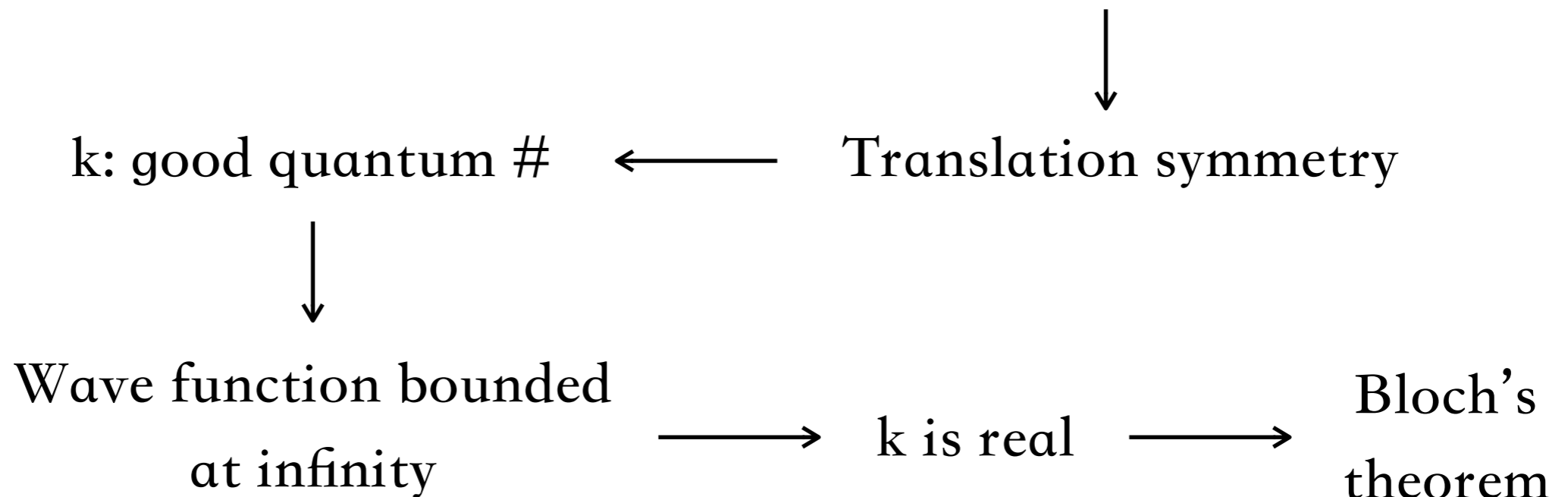
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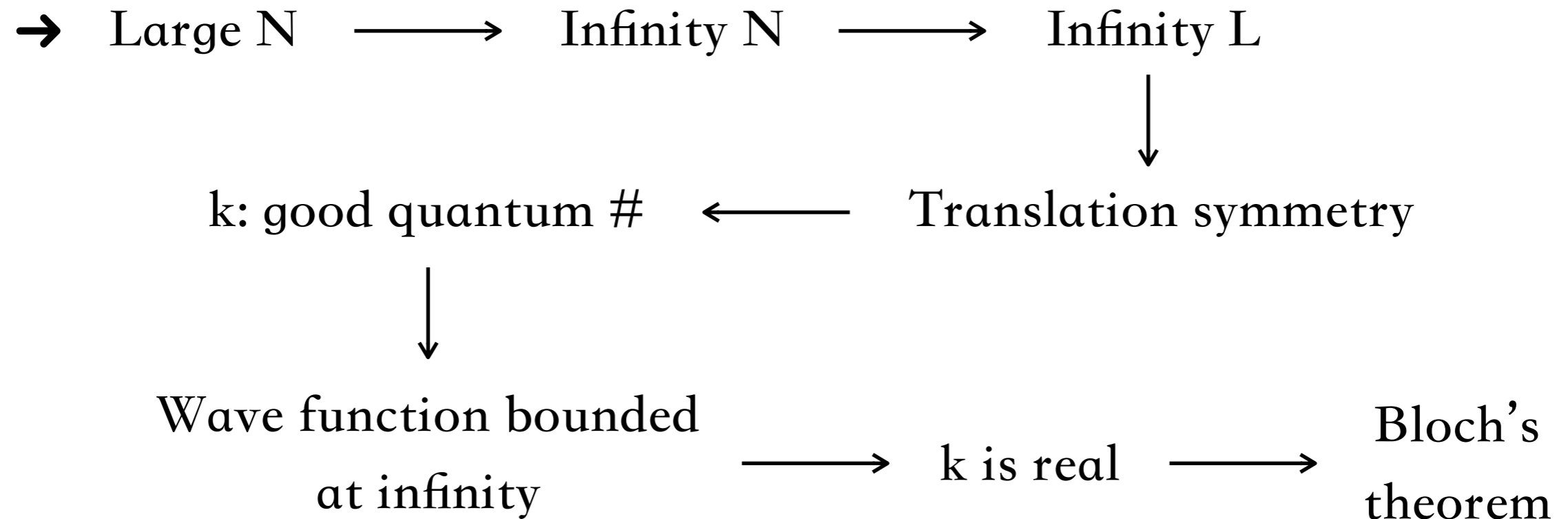


Introduction

□ Thermodynamic limit

→ In Hermitian system, our text book tells us that the answer is yes.

→ Thermodynamic limit argument.



→ **Note:** no matter H is Hermitian or not.

Introduction

□ NHSE in 1D

→ When the system has NHSE,

Yao and Wang's PRL

$$E_{\text{OBC}} \neq E_{\text{PBC}}, \quad \psi_{\text{OBC}}(x) \neq \psi_{\text{PBC}}(x)$$

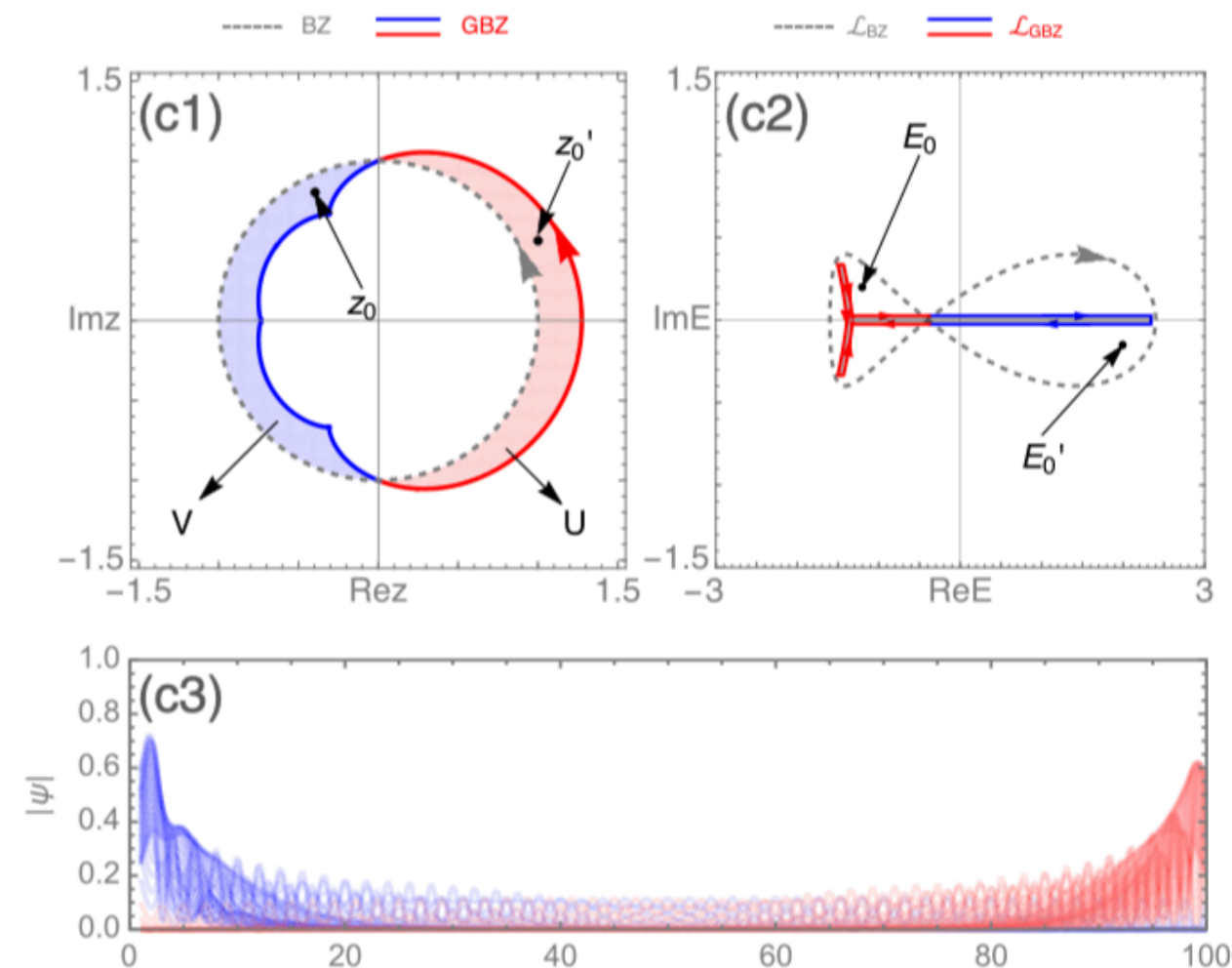


Figure from:
Zhang, ZY, and Fang,
PRL 125.126402 (2020)

Introduction

□ NHSE in 1D

→ When the system has NHSE,

$$E_{\text{OBC}} \neq E_{\text{PBC}}, \quad \psi_{\text{OBC}}(x) \neq \psi_{\text{PBC}}(x)$$

→ Since the thermodynamic limit gives the solution in the N tending to infinity limit

Yao and Wang's PRL

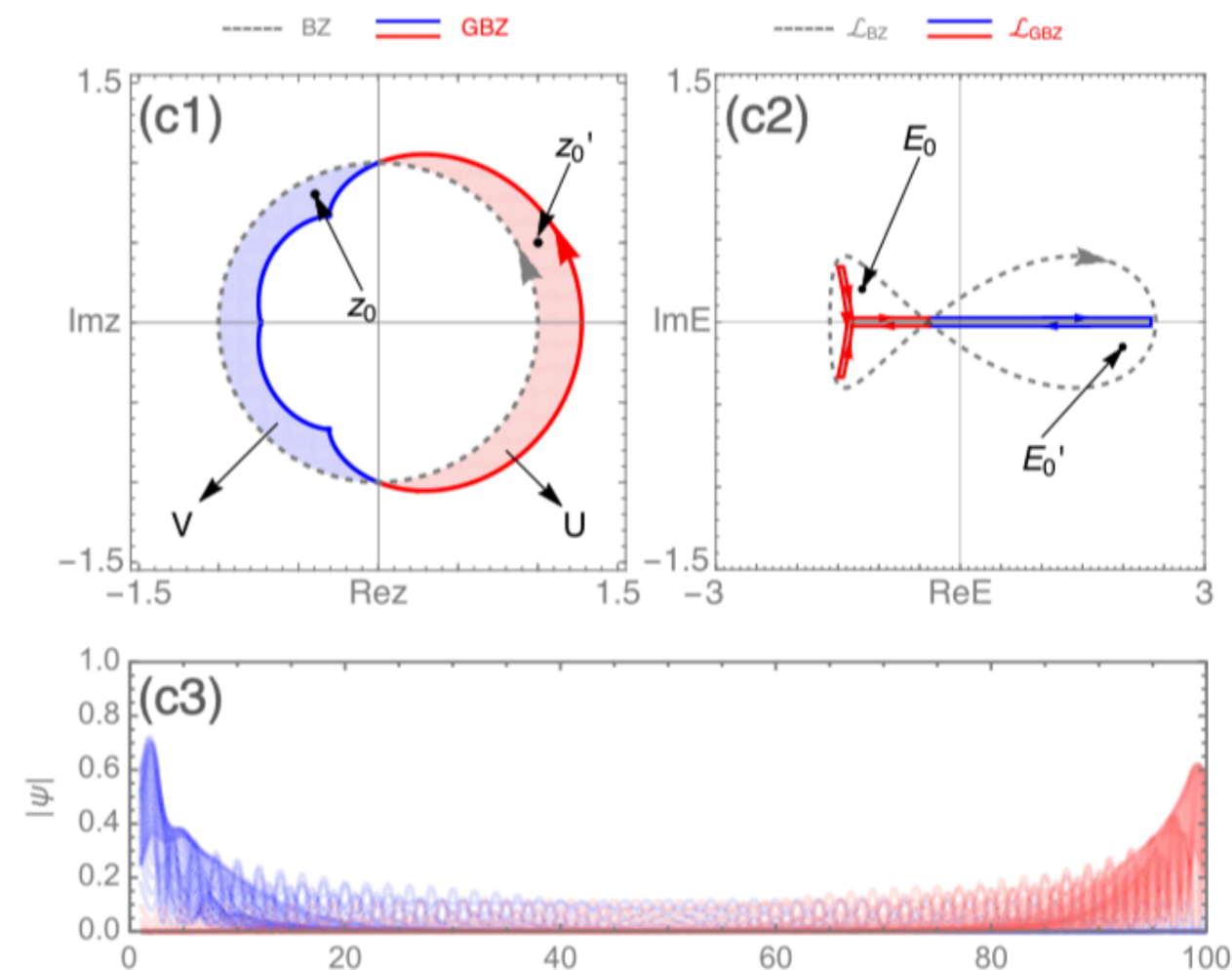


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□ **Question:** Become identical as N increases?

Yao and Wang's PRL

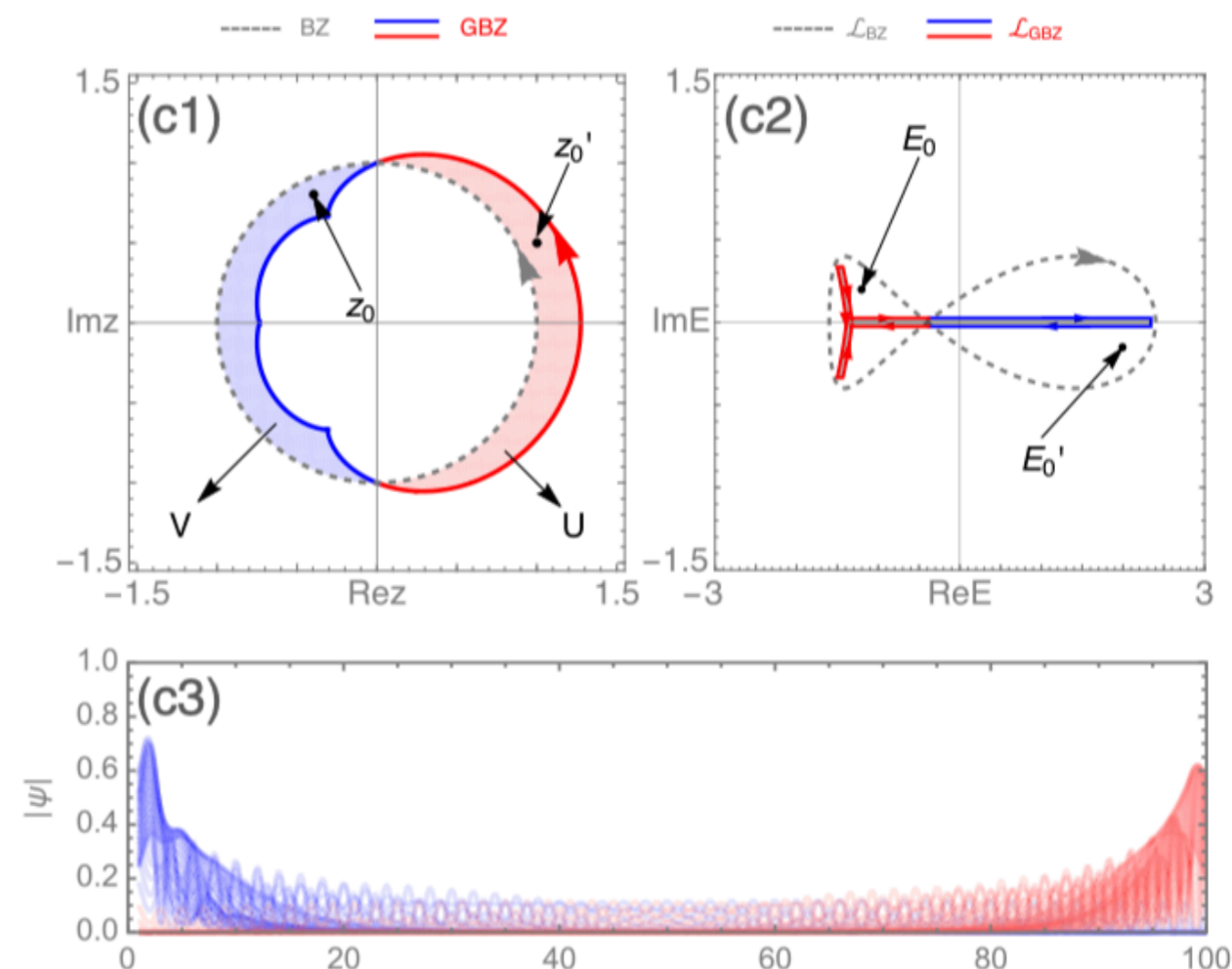


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Introduction

□ NHSE in 1D

→ When the system has NHSE,

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→ Since the thermodynamic limit gives the solution in the N tending to infinity limit

□ **Question:** Become identical as N increases?

□ **No**

→ Hatano-Nelson model

$$E = t_0 + 2\sqrt{t_1 t_{-1}} \cos k,$$

$$k = \frac{\pi m}{N+1}, \quad m = 1, \dots, N$$

Yao and Wang's PRL

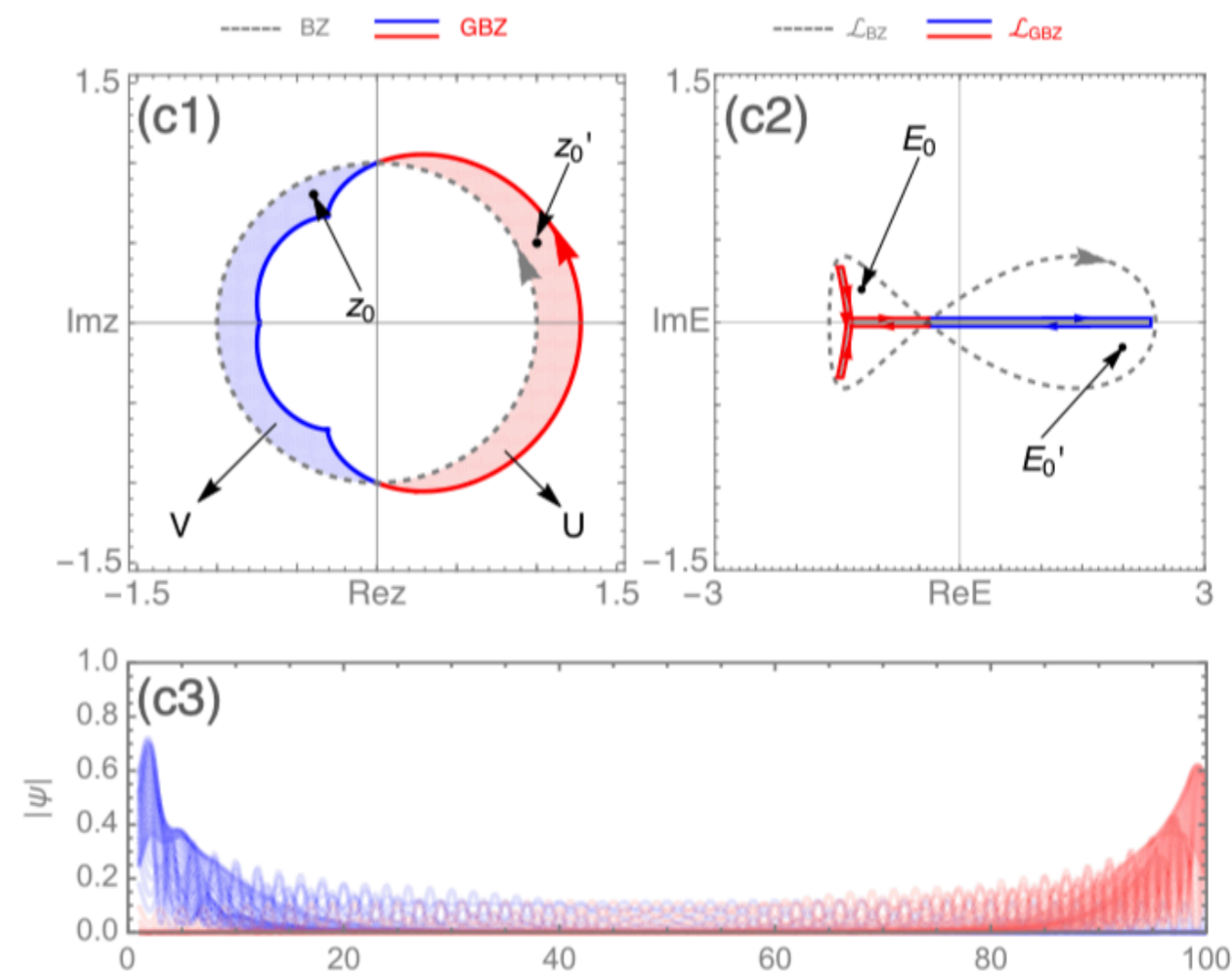


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Introduction

□ NHSE in 1D

What is the limit solution of the OBC Hamiltonian?

Introduction

□ NHSE in 1D

What is the limit solution of the OBC Hamiltonian?



Generalized Brillouin zone (GBZ) theory

Yao and Wang's PRL

Introduction

- Why the thermodynamic limit fails?

Introduction

□ Why the thermodynamic limit fails?

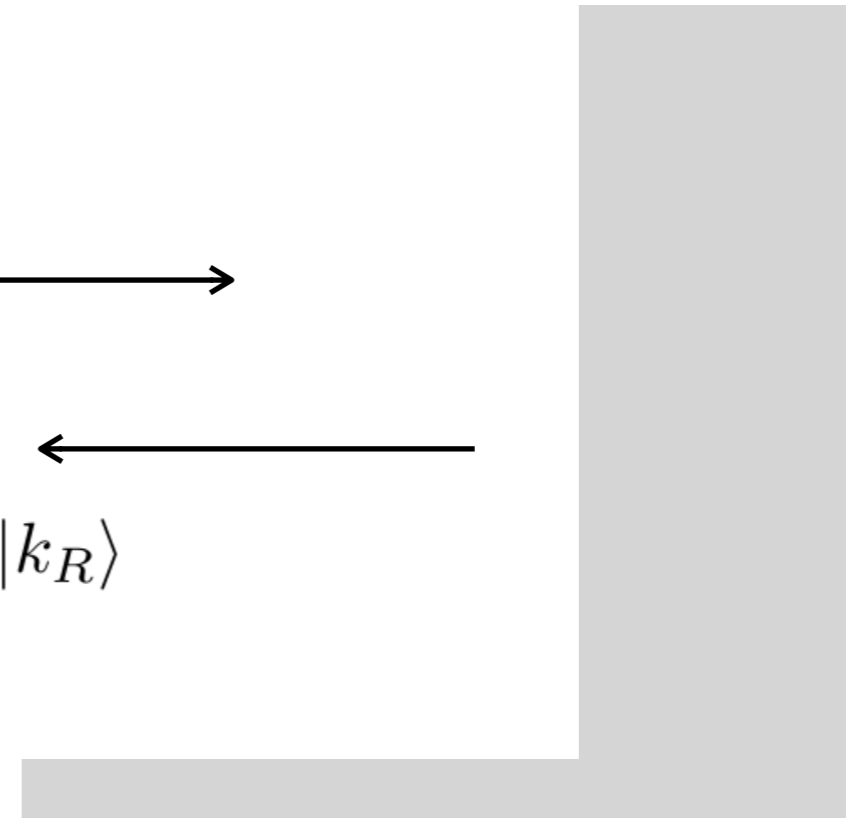
→ **Answer:** the boundary condition is changed in this limit

$$E(k_I) = E(k_R)$$

$|k_I\rangle$



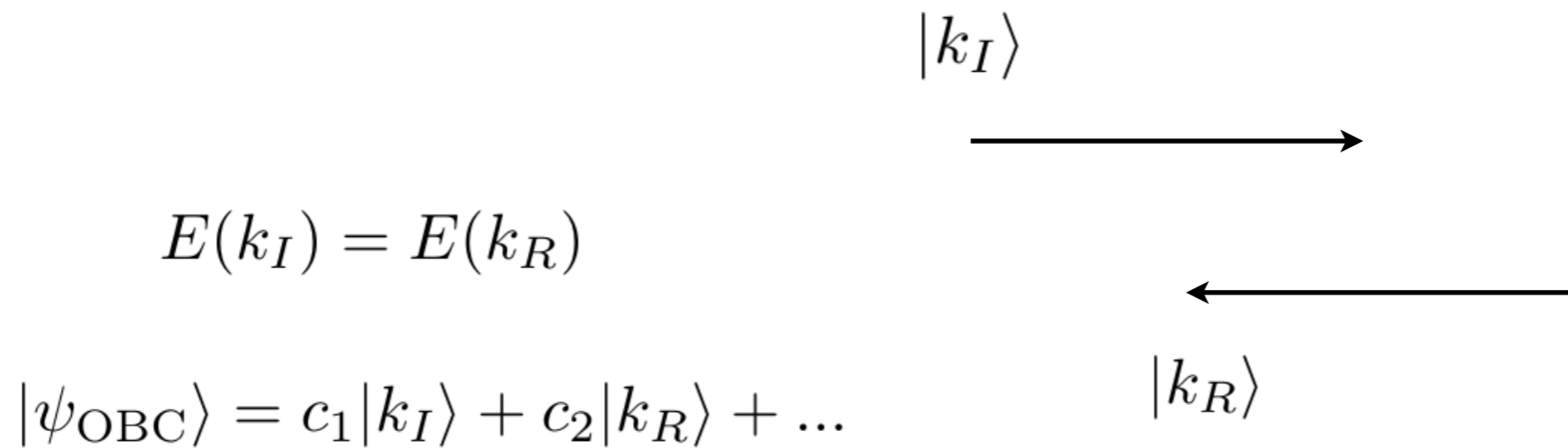
$|k_R\rangle$



Introduction

□ Why the thermodynamic limit fails?

→ **Answer:** the boundary condition is changed in this limit



→ Standing wave solution

Introduction

- Why the thermodynamic limit fails?
 - Push the boundary to infinity

$|k_I\rangle$



$|k_R\rangle$ disappear



Introduction

□ Why the thermodynamic limit fails?

→ Push the boundary to infinity

$$\lim_{N, L \rightarrow \infty} |\psi_{\text{OBC}}\rangle = |k_I\rangle$$



Preserve translation symmetry

→ Traveling wave solution

$|k_I\rangle$



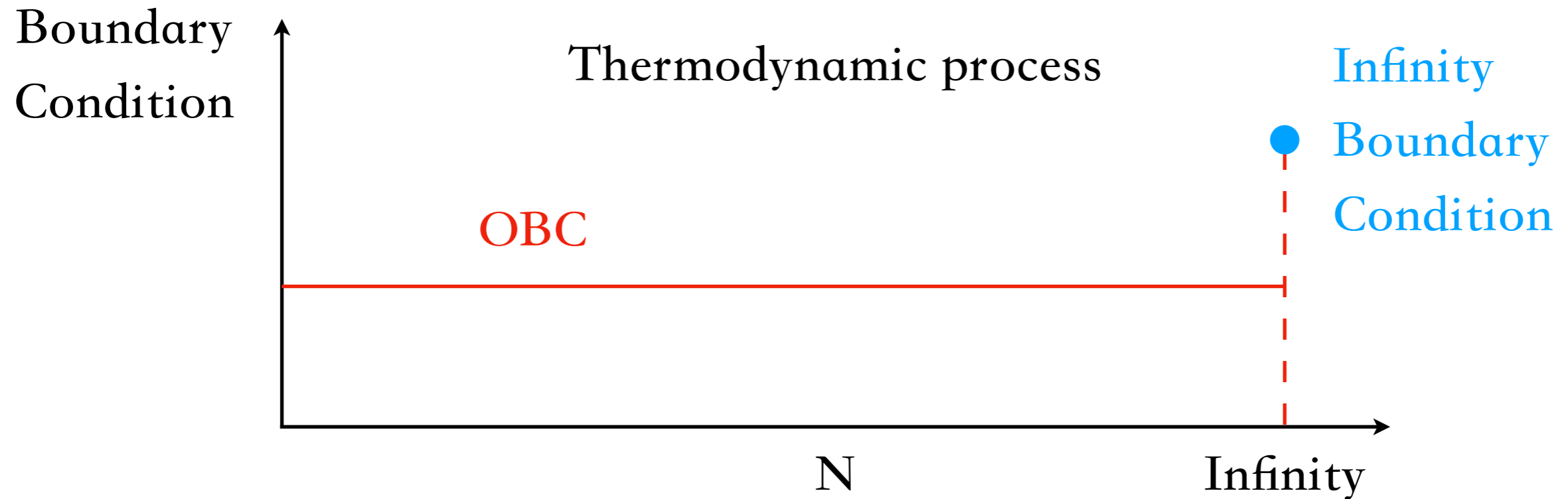
$|k_R\rangle$ disappear



Introduction

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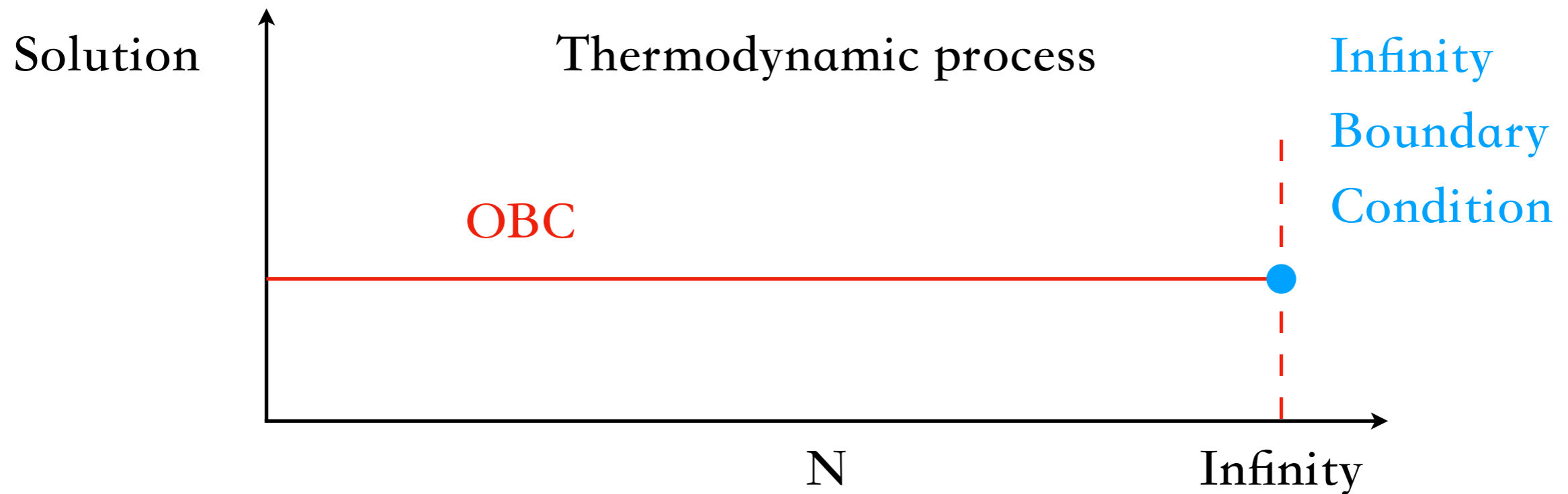
→ Discontinue



Introduction

□ Why the thermodynamic limit fails?

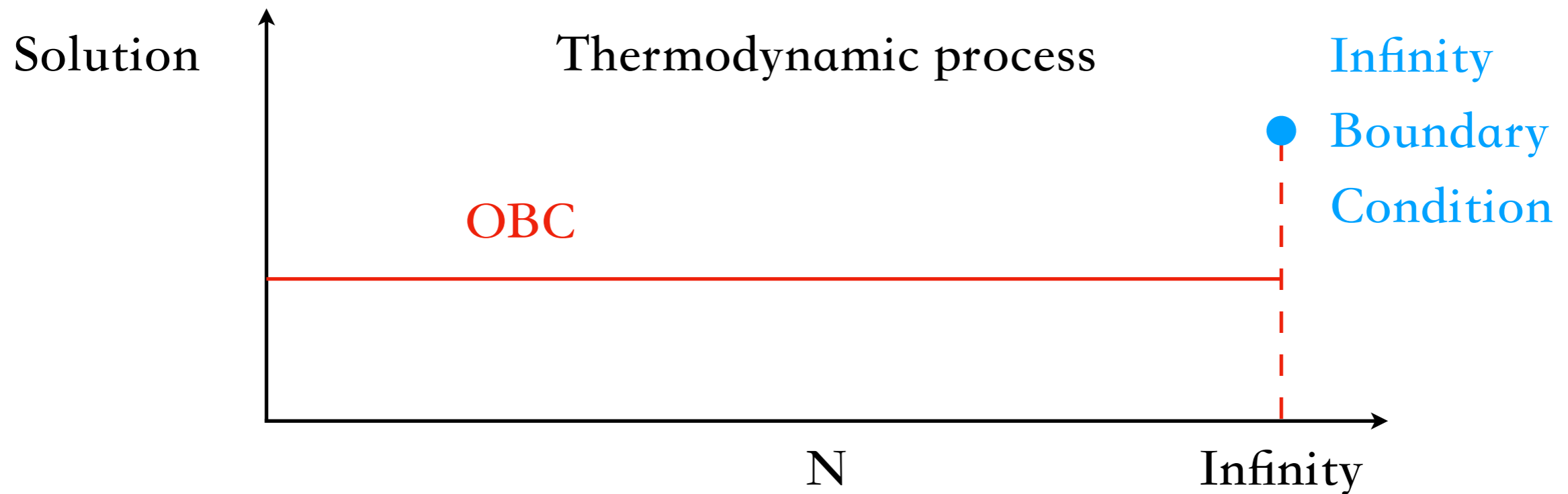
→ No NHSE



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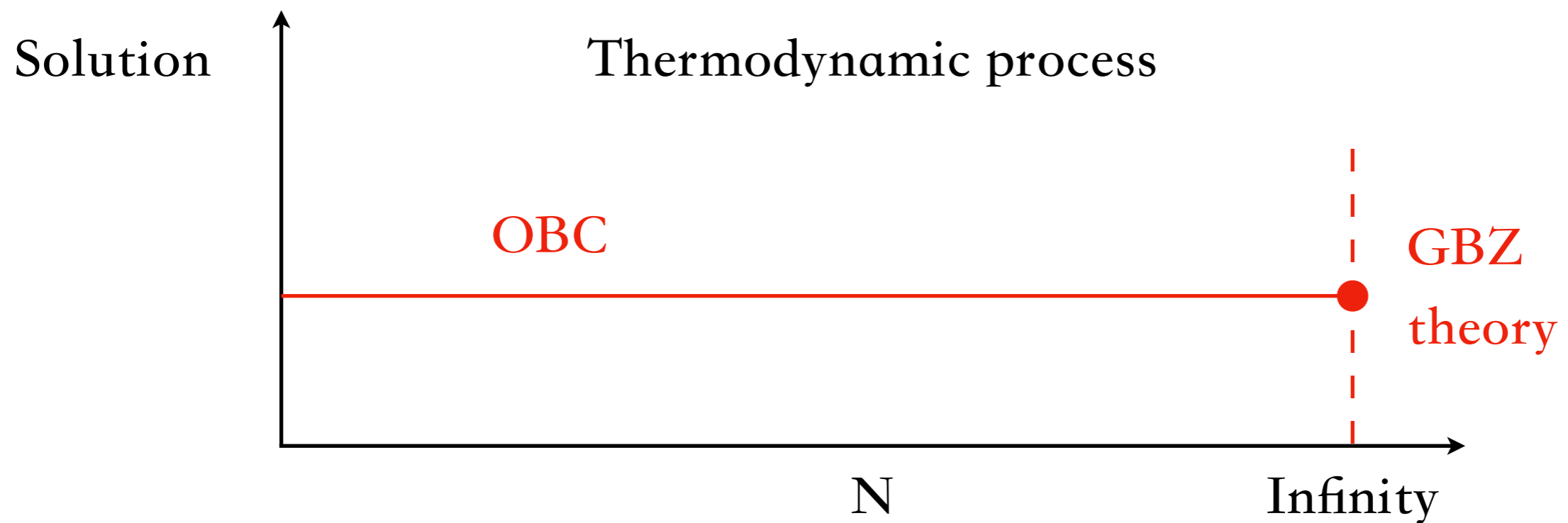
→ With NHSE



Introduction

□ Why the thermodynamic limit fails?

→ No NHSE



Introduction

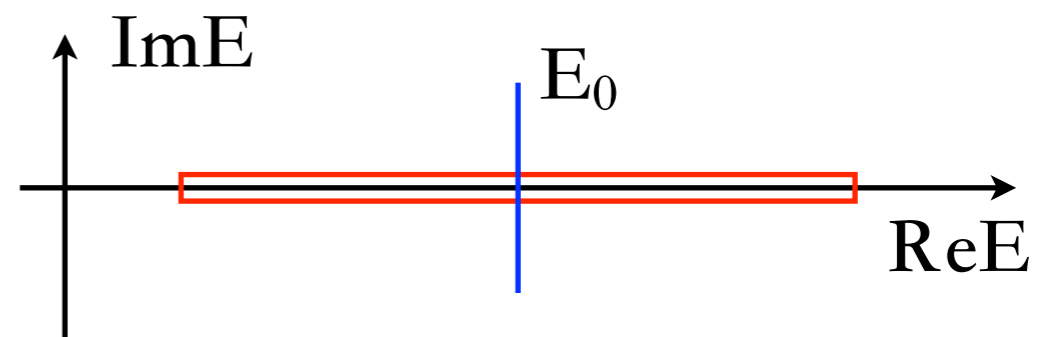
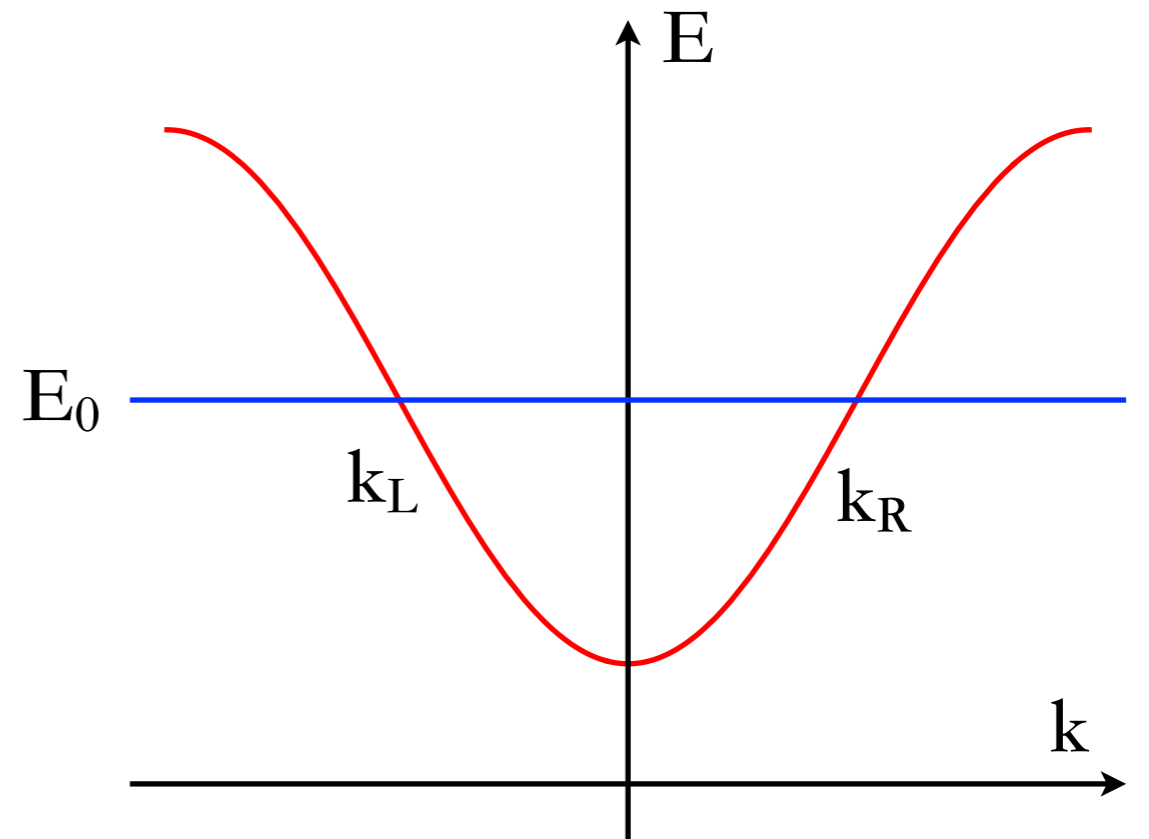
□ Dynamical degeneracy splitting

→ Why the BC is important?

→ Hermitian case

→ Reflection channels

→ Fermi doubling theorem



Introduction

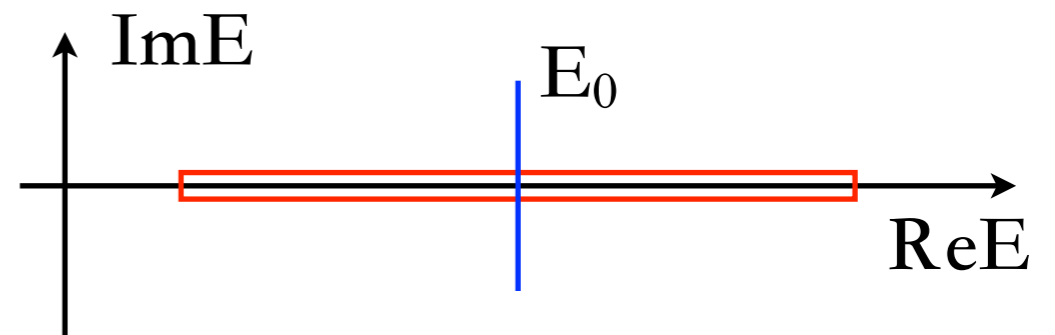
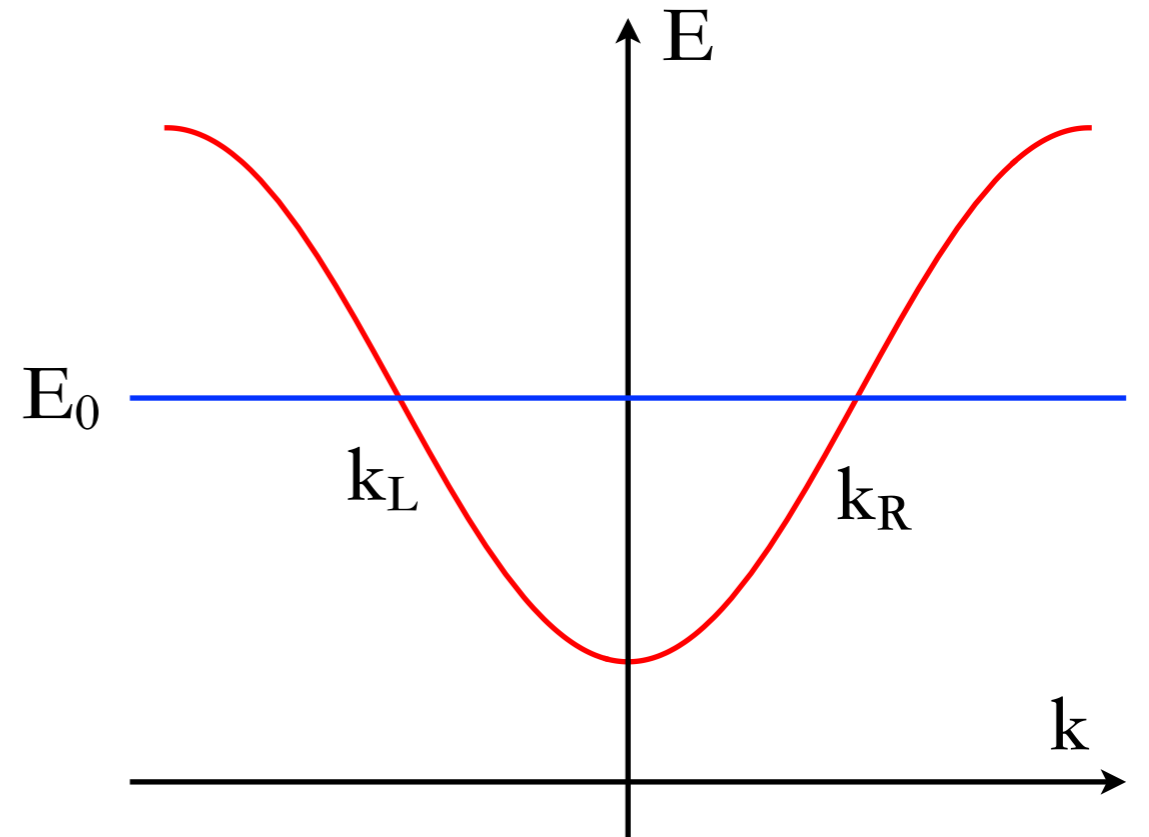
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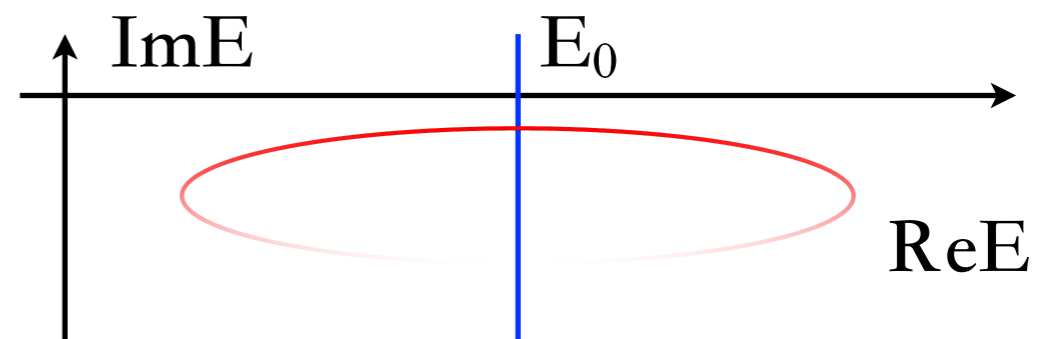
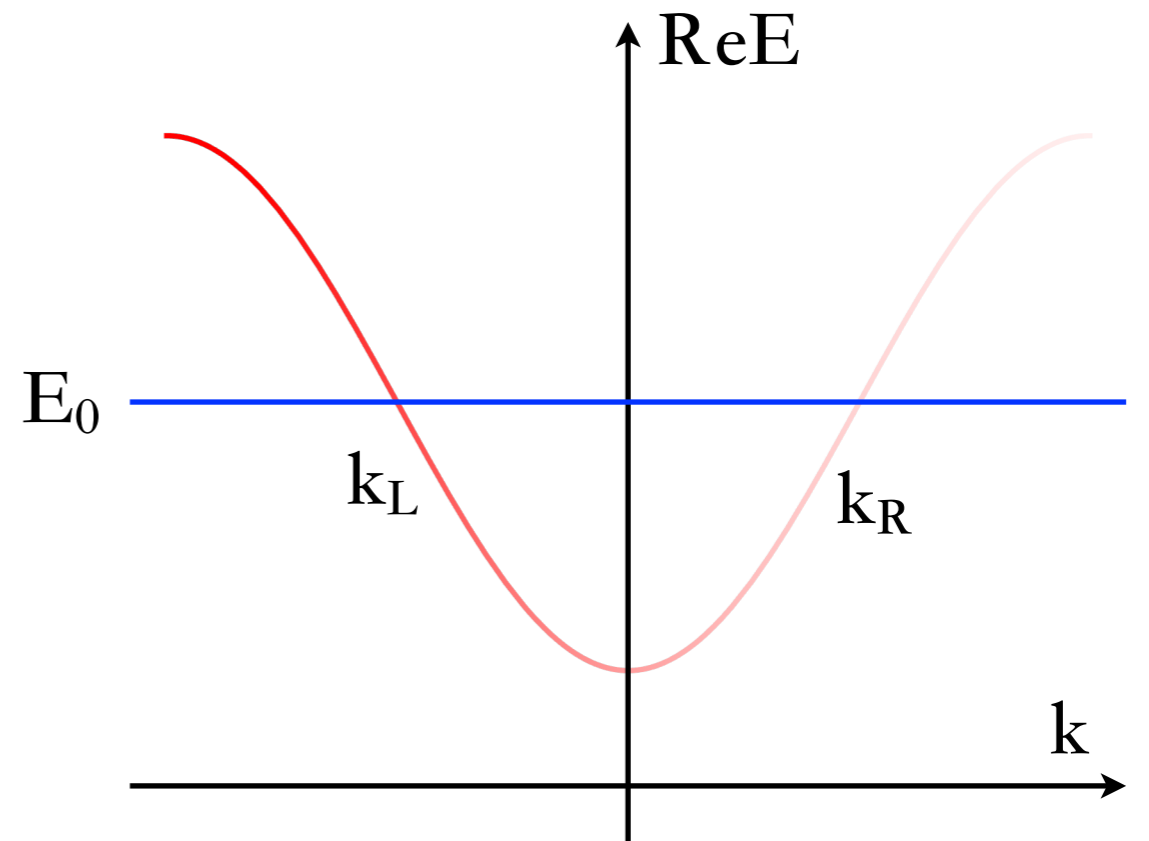
□ Dynamical degeneracy splitting

→ Why the BC is important?

→ Non-Hermitian case

→ No longer
reflection
channels

→ Dynamical
version of
chiral anomaly



Introduction

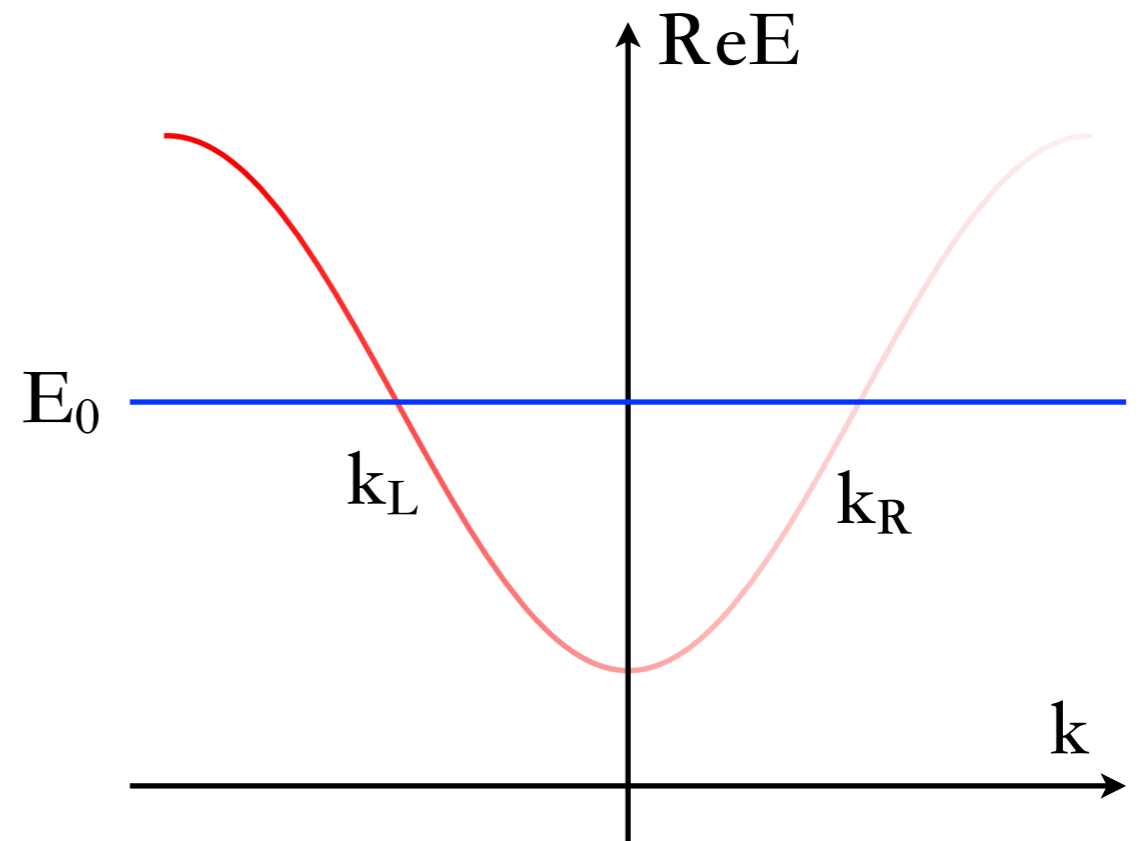
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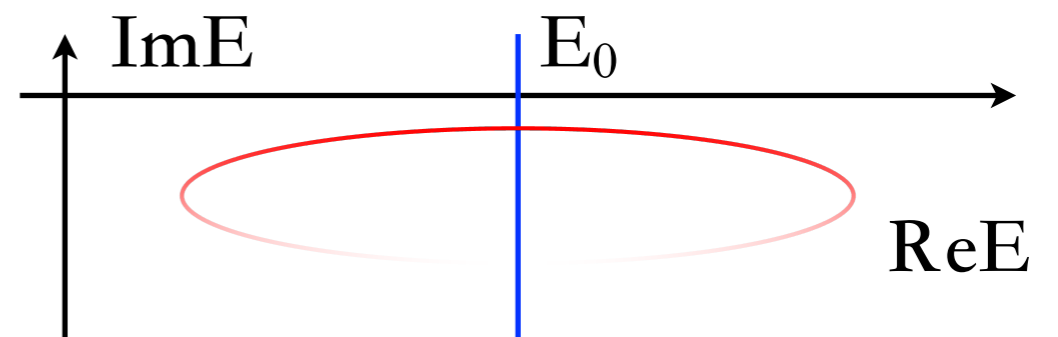
→ Dynamical
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□ Emergence of NHSE

→ Band criteria of NHSE

Zhang, Fang and ZY,
PRL 131.036402 (2023)



Introduction

□ NHSE in 1D

→ Symmetry and onsite dissipation:

Yi and ZY, PRL 125.186802 (2020)

$$\mathcal{H}_{\text{RM}}(k) = (t_1 + t_2 \cos k)\sigma_x + t_2 \sin k\sigma_y + \mu\sigma_z + i\gamma\sigma_z,$$

→ No NHSE

Introduction

□ NHSE in 1D

→ Symmetry and onsite dissipation:

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$$\mathcal{H}_{\text{RM}}(k) = (t_1 + t_2 \cos k)\sigma_x + t_2 \sin k\sigma_y + \mu\sigma_z + i\gamma\sigma_z,$$

→ No NHSE

→ Two way to NHSE: Break TRS

$$\lambda \sin k\sigma_z$$

Add SOC

$$\lambda_I \sin k\sigma_z s_z - \lambda_R \sigma_y (s_x - \sqrt{3}s_y)/2,$$

Outline

- Introduction
- **1D GBZ theory: review**
- 2D GBZ theory: recent developments
- 2D NHSE: numerical summary
- 2D GBZ theory: wave function approach

1D GBZ theory: review

□ GBZ condition

→ **Question:** How to calculate the OBC solution?

1D GBZ theory: review

□ GBZ condition

→ **Question:** How to calculate the OBC solution?

→ Three steps:

1. Find all the bulk solutions

$$\det[E_0 - H(\beta)] = 0$$

$$|\beta_1| \leq \dots \leq |\beta_p| \leq |\beta_{p+1}| \leq \dots \leq |\beta_{p+s}| \quad \mathbf{p: \text{order of the pole}}$$

2. Take linear superposition

$$|\psi(E_0)\rangle = \sum_{i=1}^{p+s} c_i |\beta_i\rangle$$

3. Determine the solution via boundary conditions

1D GBZ theory: review

□ GBZ condition

Non-Bloch Band Theory of Non-Hermitian Systems

Kazuki Yokomizo and Shuichi Murakami

Phys. Rev. Lett. **123**, 066404 – Published 7 August 2019

→ OBC eigenvalue

$$|\beta_p(E_0)| = |\beta_{p+1}(E_0)|$$

→ No OBC eigenvalue

$$|\beta_p(E_0)| \neq |\beta_{p+1}(E_0)|$$

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□ Note

→ No spinful anomalous time reversal symmetry

Yi and ZY, PRL 125.186802 (2020)

Kawabata et. al. PRB 101, 195147 (2020)

1D GBZ theory: review

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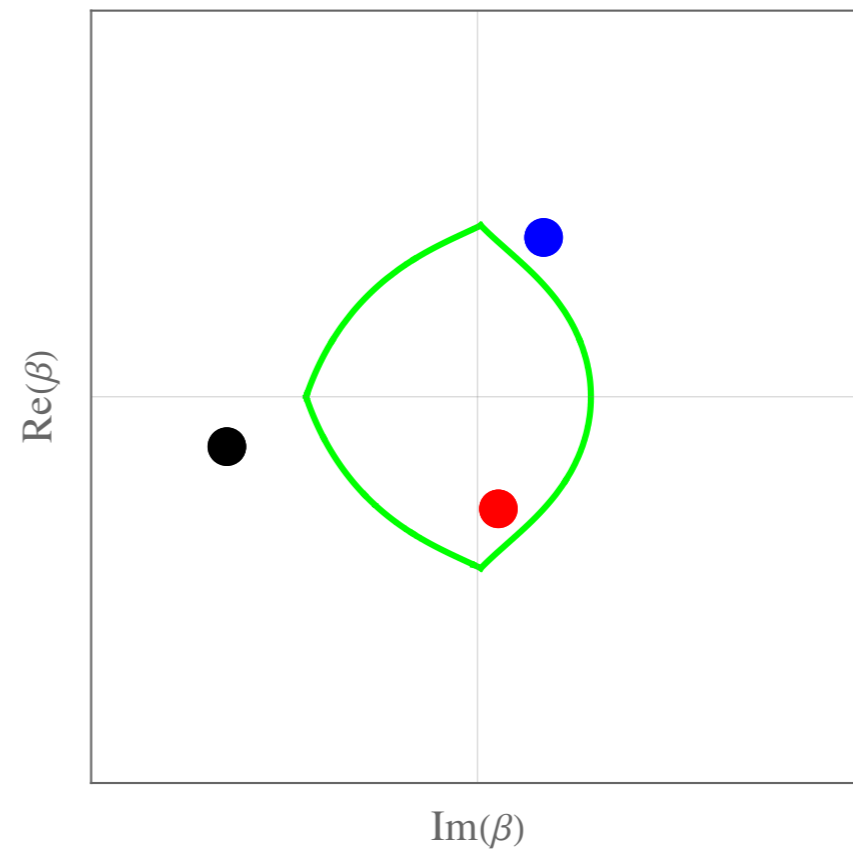
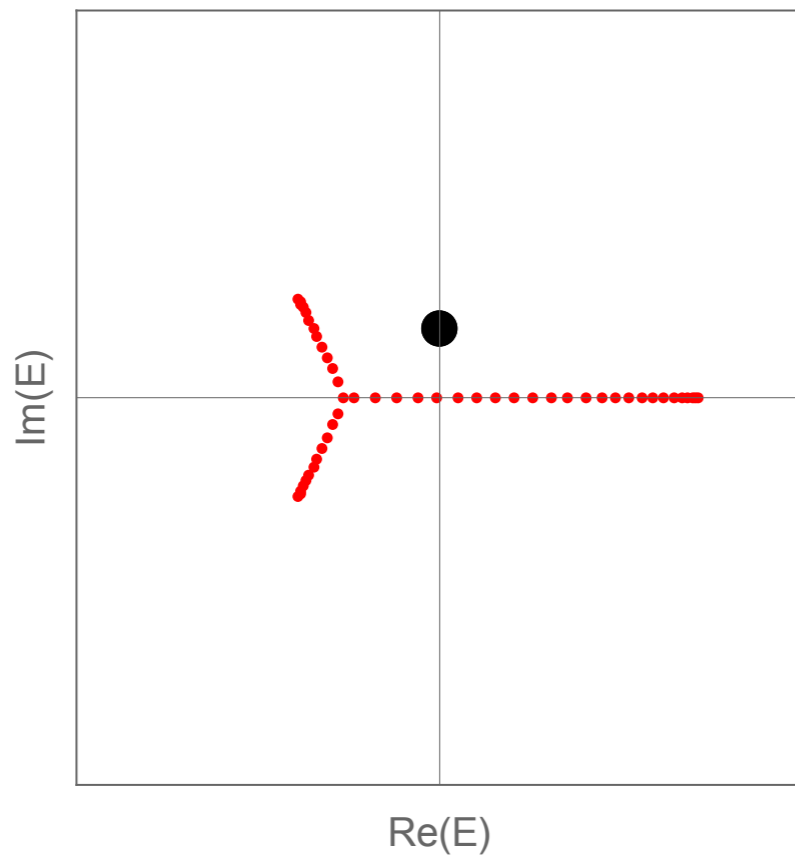
→ GBZ spectrum, not including topological boundary states

1D GBZ theory: review

□ Zero winding number condition

→ Geometry interpretation

$$\frac{1}{\beta} + 2\beta + 3\beta^2$$

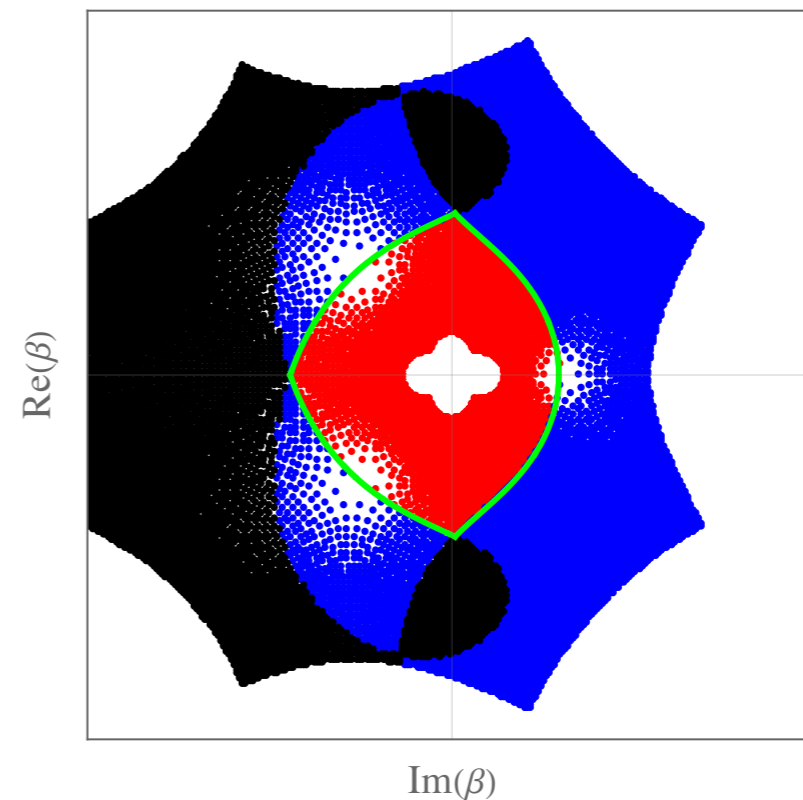
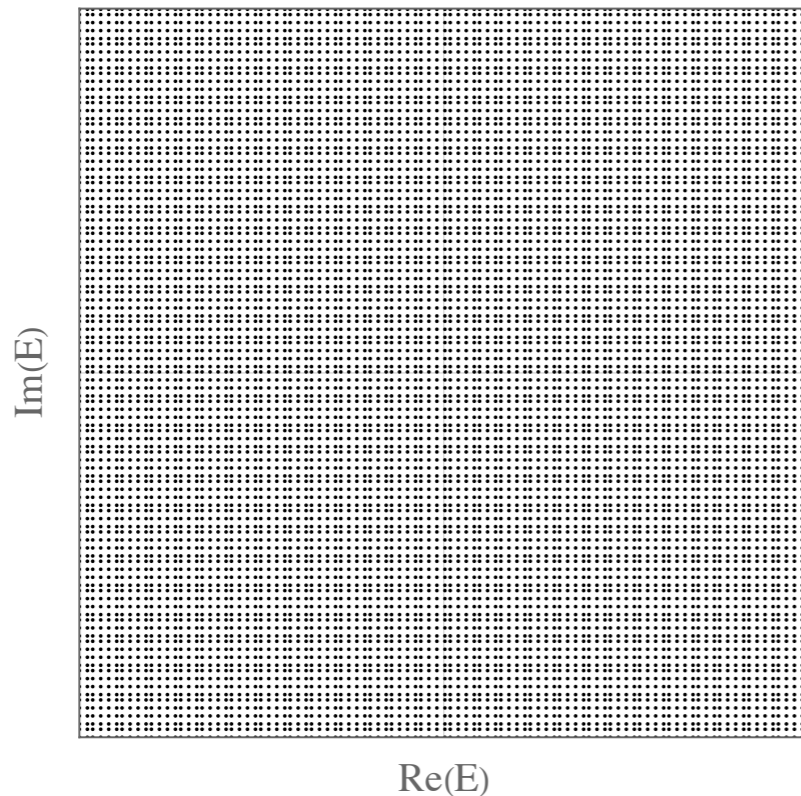


1D GBZ theory: review

□ Zero winding number condition

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$$\frac{1}{\beta} + 2\beta + 3\beta^2$$



→ GBZ encloses all the first roots (since $p=1$ in this model).

1D GBZ theory: review

□ Zero winding number condition

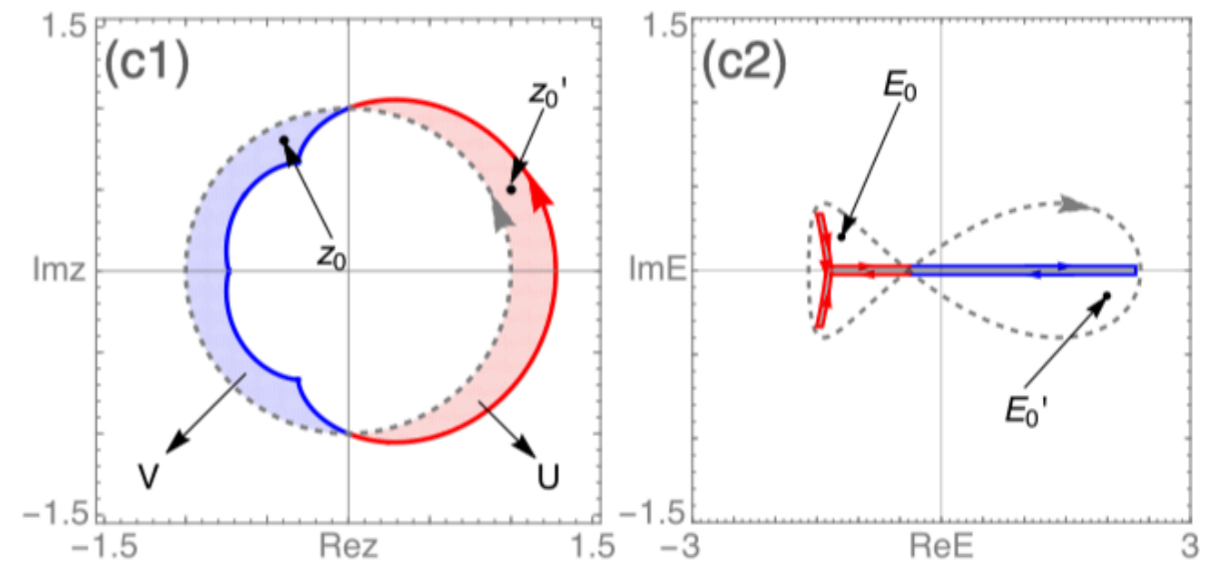
→ Geometry interpretation

Zero spectral winding number of the OBC spectrum

$$w_{C, E_b} := \frac{1}{2\pi} \oint_C \frac{d}{dz} \arg[H(z) - E_b] dz.$$

$$= N_{\text{zeros}} - N_{\text{poles}},$$

→ $\forall E_b \in \mathbb{C}$



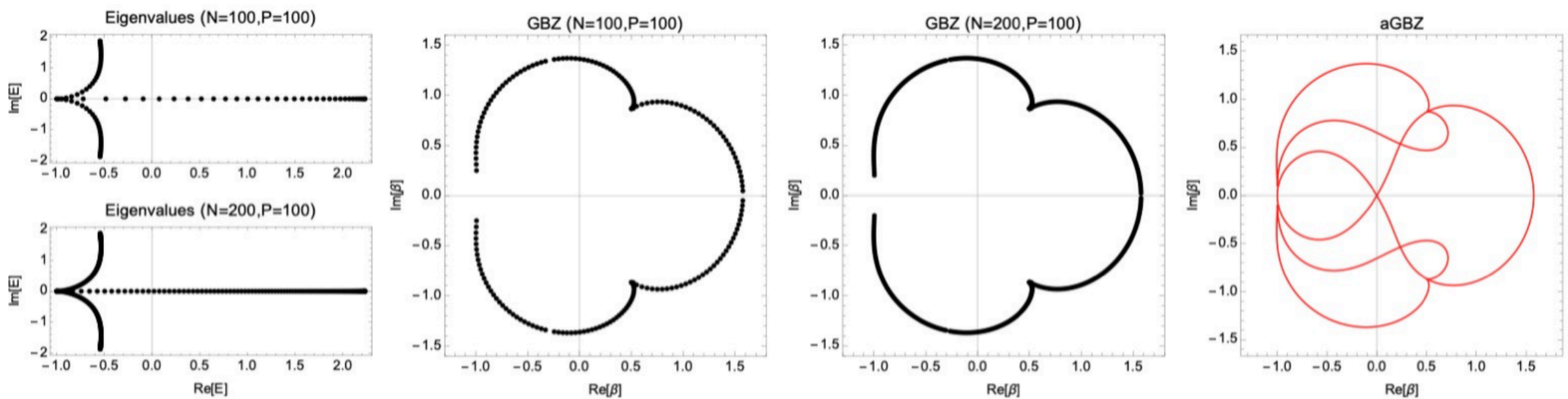
Zhang, ZY, and Fang PRL 125.126402 (2020)

Okuma's PRL

1D GBZ theory: review

□ 1D GBZ calculation: numerical method

→ Finite size effect



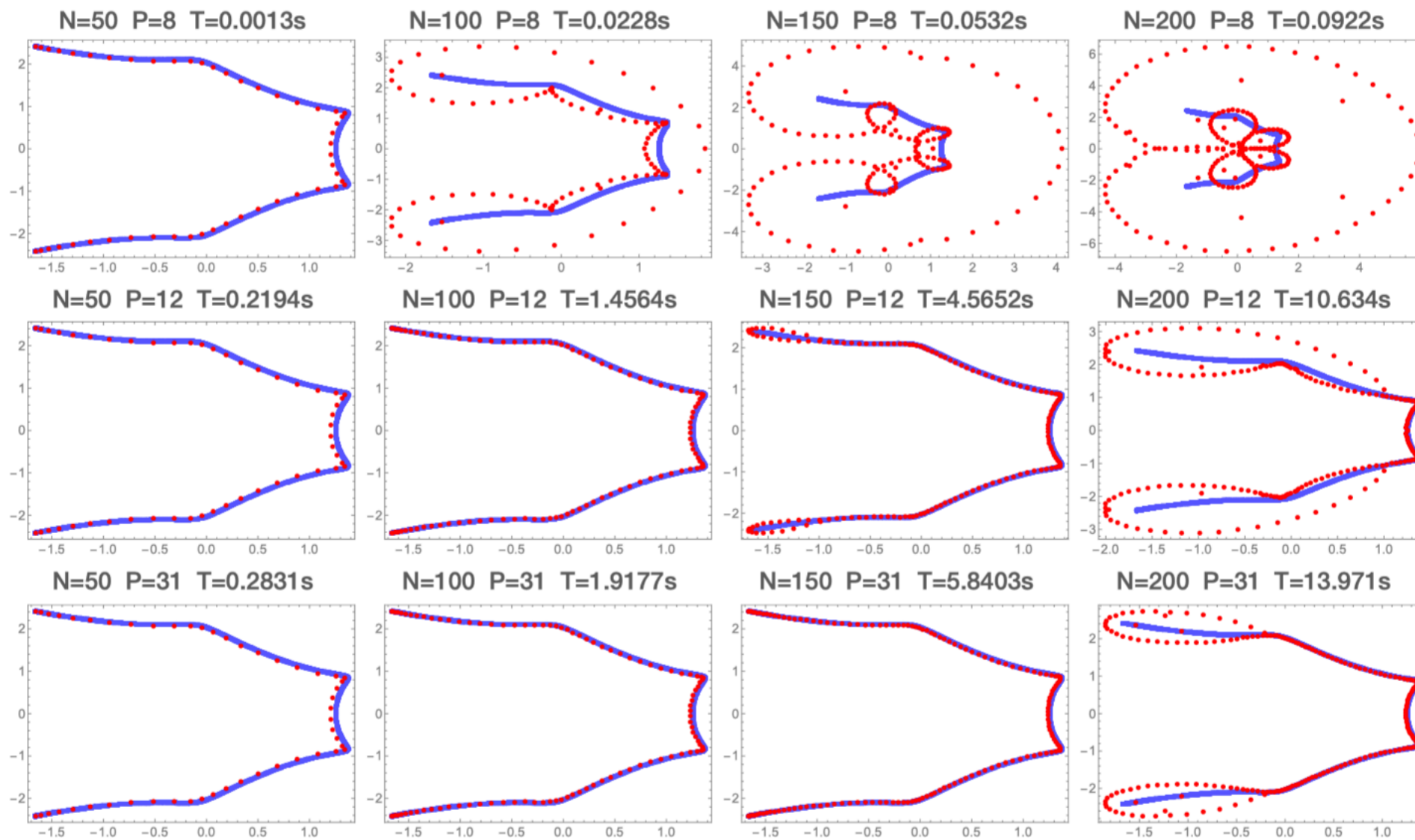
$$\mathcal{H}(\beta) = \beta + 1/\beta^2 + 1/\beta^3.$$

1D GBZ theory: review

□ 1D GBZ calculation: numerical method

→ Calculation error and time

Lattice Size N →



Precision
 P ↓

1D GBZ theory: review

□ 1D GBZ calculation: analytic method

→ Relax the GBZ condition

$$|\beta_p| = |\beta_{p+1}| \rightarrow |\beta_i| = |\beta_j|, \quad 1 \leq i, j \leq p + s$$



$$f(E, \beta) = f(E, \beta e^{i\theta}) = 0, \quad \theta \in \mathbb{R}$$

ZY, Zhang, Fang, Hu PRL 125.226402 (2020)

1D GBZ theory: review

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$$|\beta_p| = |\beta_{p+1}| \rightarrow |\beta_i| = |\beta_j|, \quad 1 \leq i, j \leq p + s$$



$$f(E, \beta) = f(E, \beta e^{i\theta}) = 0, \quad \theta \in \mathbb{R}$$

→ Eliminate variables, E and theta

$$F_{\text{aGBZ}}(\text{Re}\beta, \text{Im}\beta) = \sum_{i,j} c_{ij} (\text{Re}\beta)^i (\text{Im}\beta)^j = 0.$$

Auxiliary GBZ equation

ZY, Zhang, Fang, Hu PRL 125.226402 (2020)

1D GBZ theory: review

□ 1D GBZ calculation: analytic method

→ Example

$$\frac{1}{\beta} + 2\beta + 3\beta^2$$

$$\begin{aligned} & (x^4 - 2x^6 - 6x^7 + 2x^2y^2 - 6x^4y^2 - 18x^5y^2 + y^4 - 6x^2y^4 - 18x^3y^4 - 2y^6 - 6xy^6) \\ & (576x^8 + 1152x^{10} + 3456x^{11} + 6912x^{13} + 5184x^{14} + 10368x^{16} + 2304x^6y^2 + \\ & 5760x^8y^2 + 17280x^9y^2 + 41472x^{11}y^2 + 15552x^{12}y^2 + 82944x^{14}y^2 + 3456x^4y^4 + \\ & 11520x^6y^4 + 34560x^7y^4 + 103680x^9y^4 - 15552x^{10}y^4 + 290304x^{12}y^4 + \\ & 2304x^2y^6 + 11520x^4y^6 + 34560x^5y^6 + 138240x^7y^6 - 129600x^8y^6 + \\ & 580608x^{10}y^6 + 576y^8 + 5760x^2y^8 + 17280x^3y^8 + 103680x^5y^8 - 233280x^6y^8 + \\ & 725760x^8y^8 + 1152y^{10} + 3456xy^{10} + 41472x^3y^{10} - 202176x^4y^{10} + 580608x^6y^{10} + \\ & 6912xy^{12} - 88128x^2y^{12} + 290304x^4y^{12} - 15552y^{14} + 82944x^2y^{14} + 10368y^{16}) \end{aligned}$$

Auxiliary GBZ equation

ZY, Zhang, Fang, Hu PRL 125.226402 (2020)

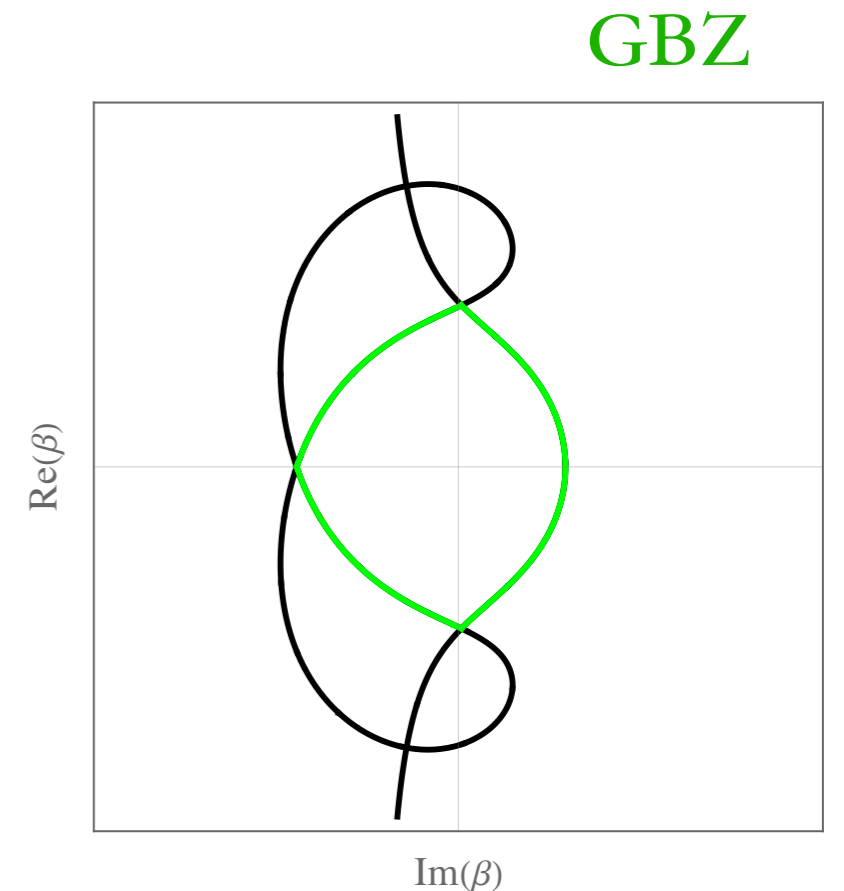
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□ 1D GBZ calculation: analytic method

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Auxiliary GBZ

ZY, Zhang, Fang, Hu PRL 125.226402 (2020)

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2D GBZ theory: numerical summary

- The role of OBC geometry
 - Infinity types of OBC geometry
 - 1D OBC
-

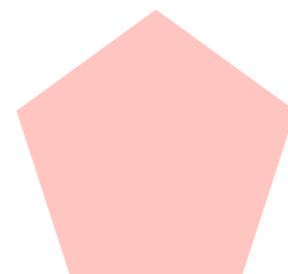
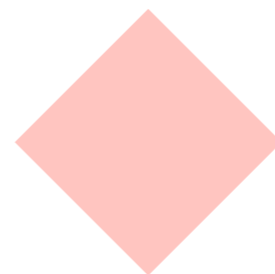
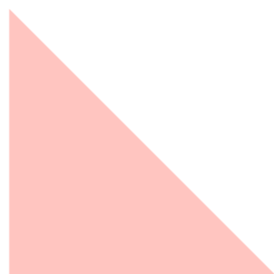
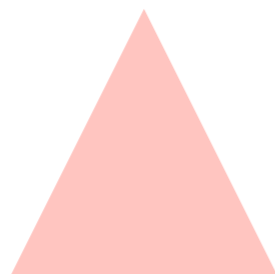
2D GBZ theory: numerical summary

□ The role of OBC geometry

→ Infinity types of OBC geometry

→ 1D OBC

→ 2D OBC



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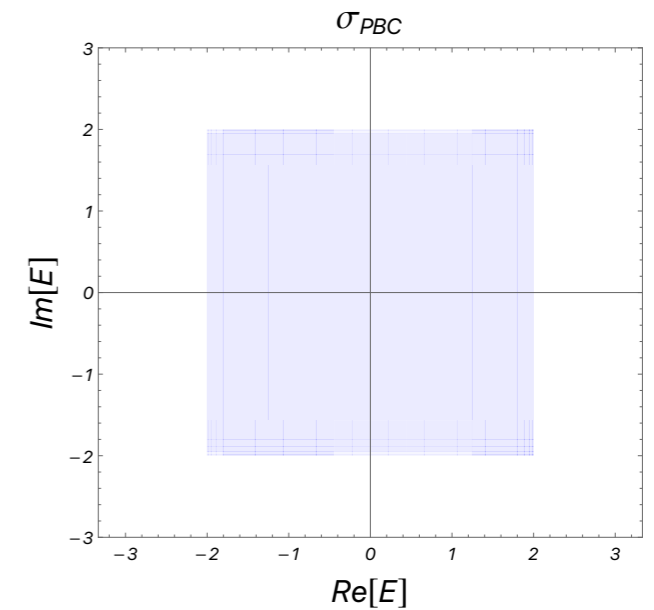
2D GBZ theory: numerical summary

□ The role of OBC geometry

Zhang, ZY, and Fang, Hu NC 13, 2496 (2022).

→ GDSE model

$$H(k_x, k_y) = 2 \cos k_x + 2i \cos k_y.$$



2D GBZ theory: numerical summary

□ The role of OBC geometry

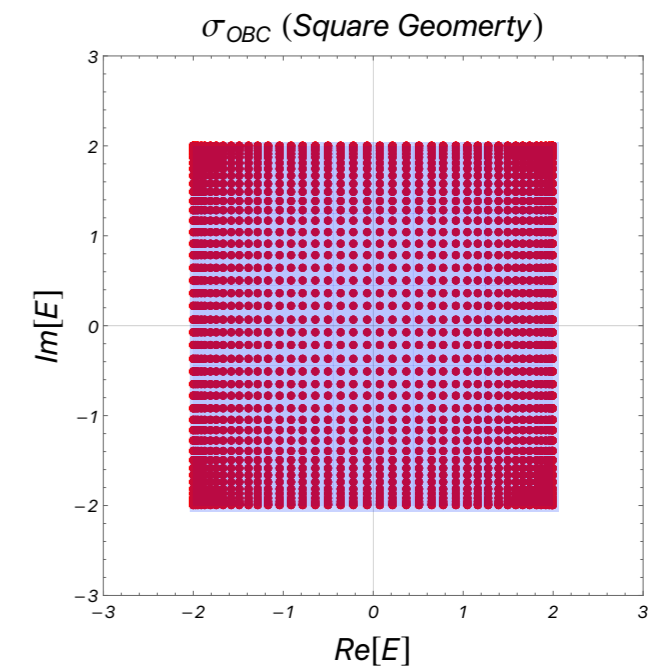
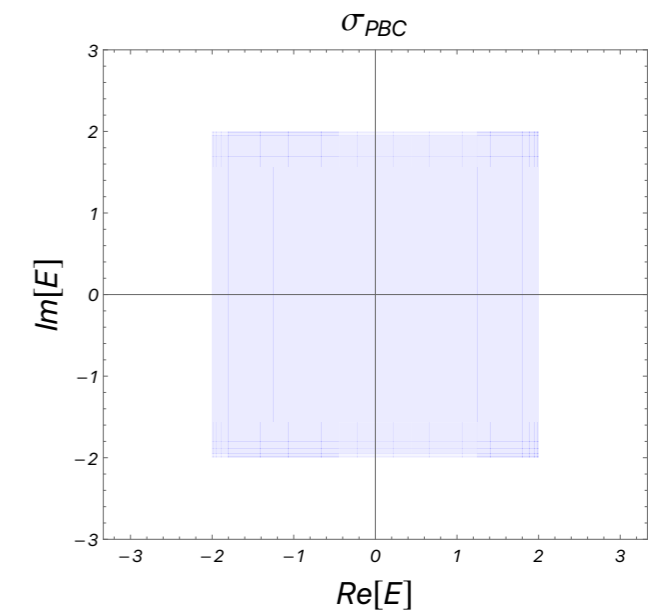
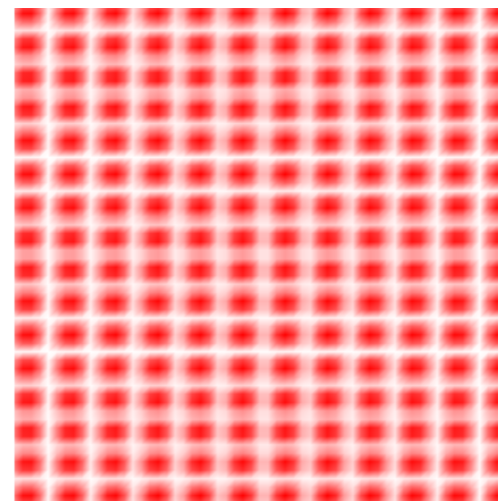
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→ GDSE model

$$H(k_x, k_y) = 2 \cos k_x + 2i \cos k_y.$$

→ Square geometry

OBC eigenstate



2D GBZ theory: numerical summary

□ The role of OBC geometry

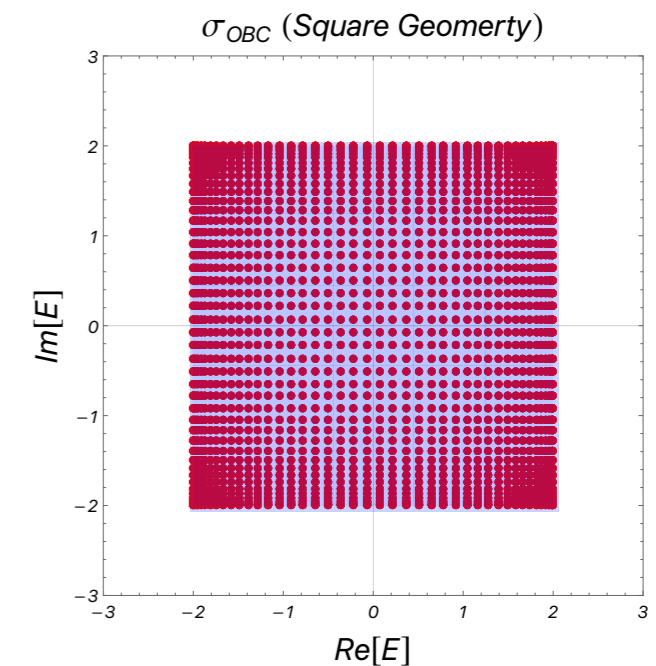
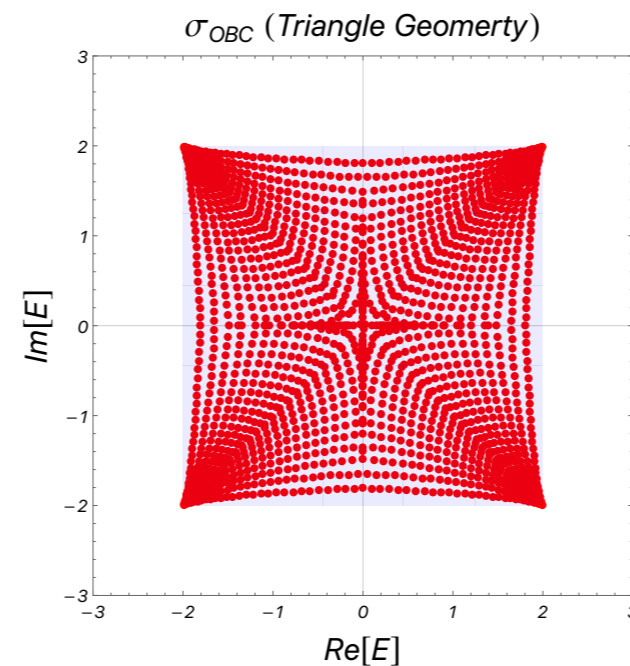
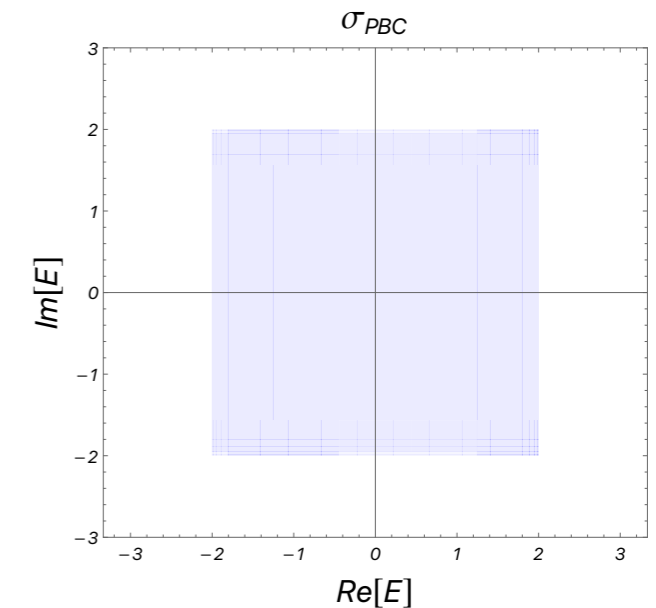
Zhang, ZY, and Fang, Hu NC 13, 2496 (2022).

→ GDSE model

$$H(k_x, k_y) = 2 \cos k_x + 2i \cos k_y.$$

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→ Triangle geometry



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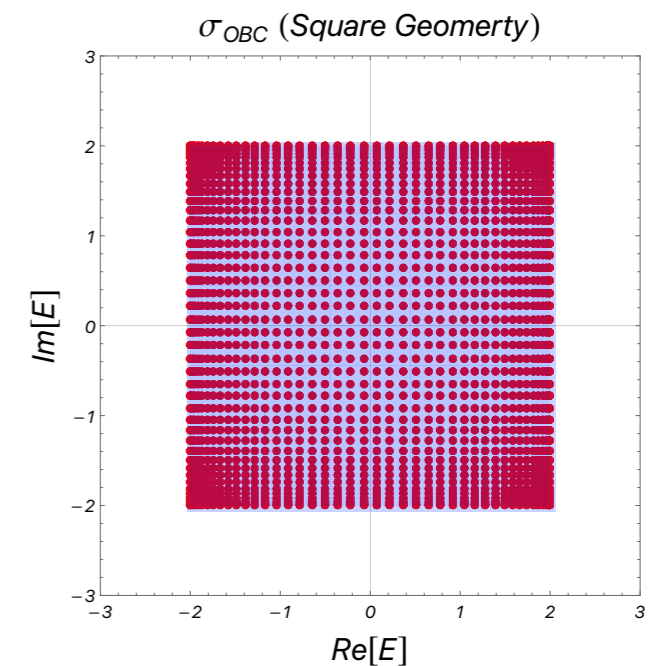
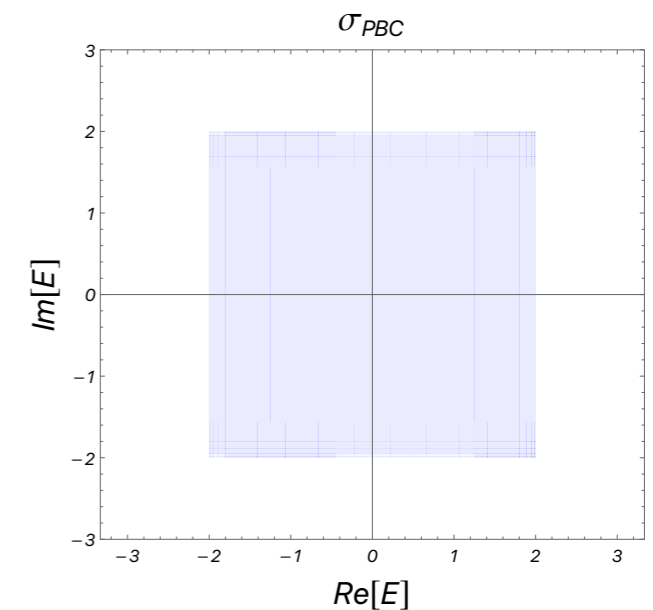
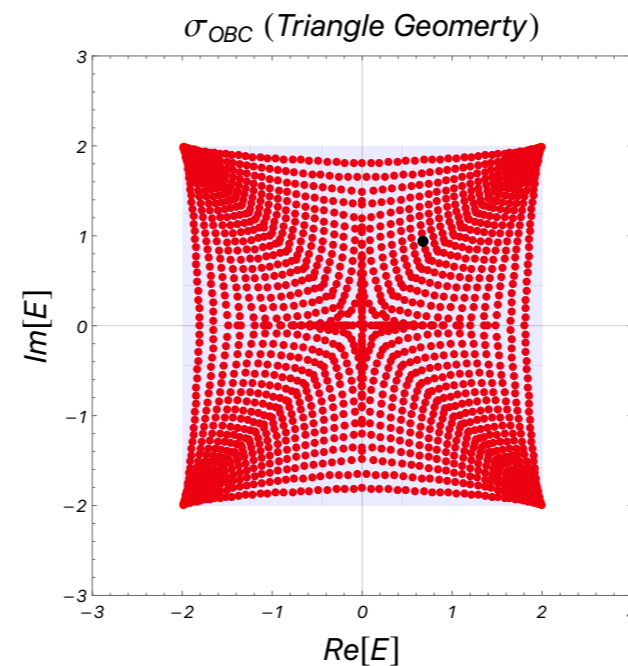
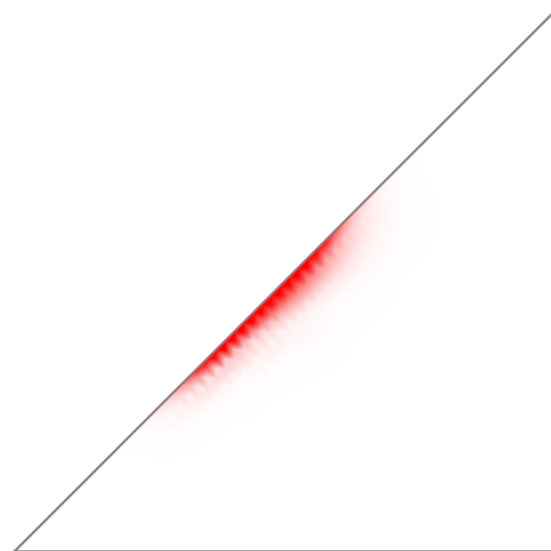
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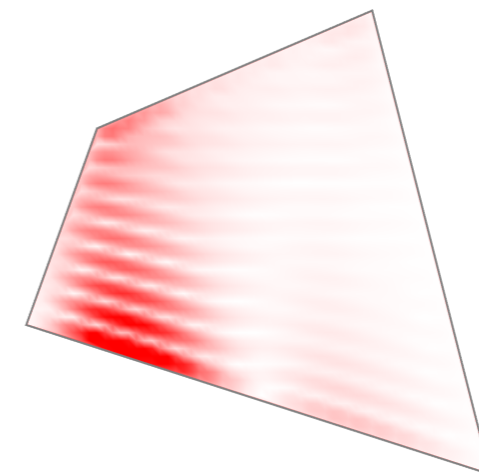
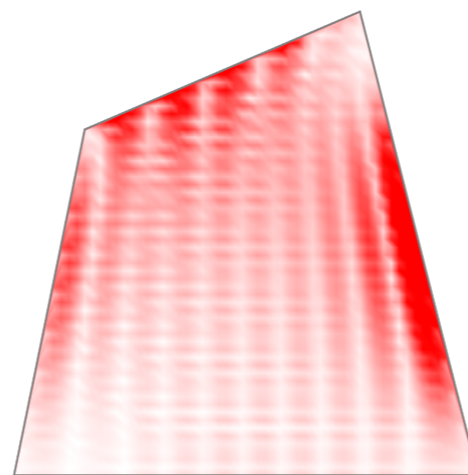
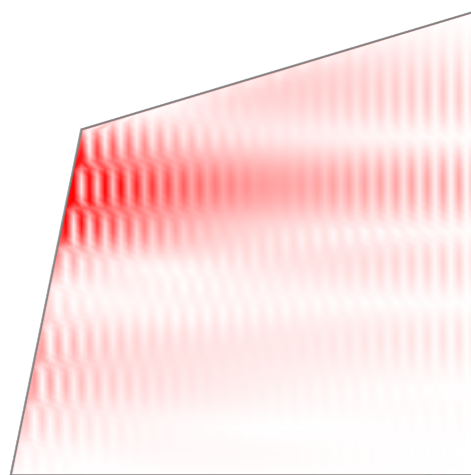
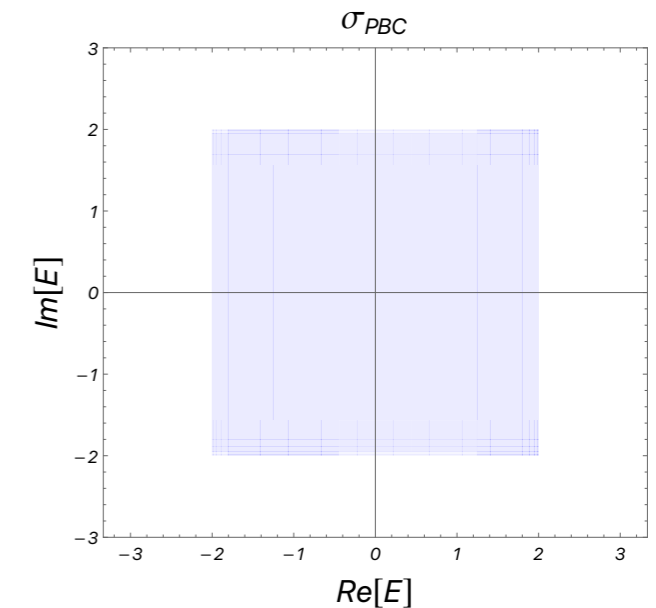
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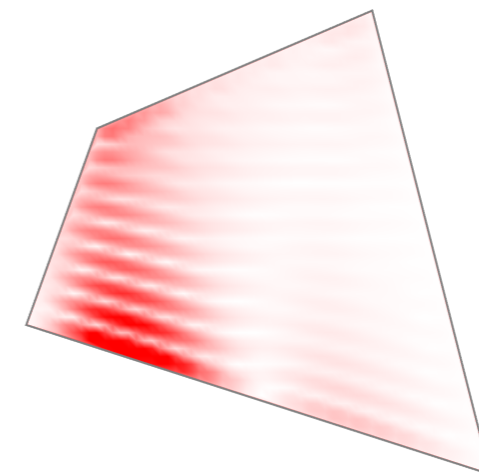
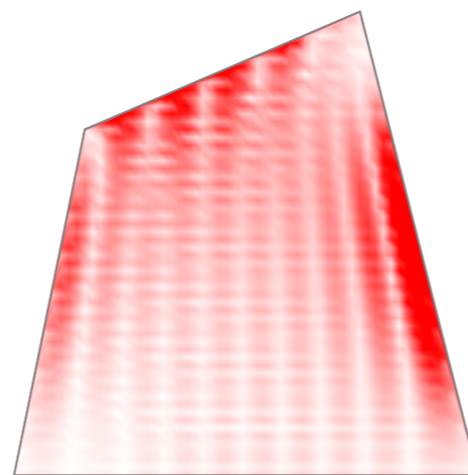
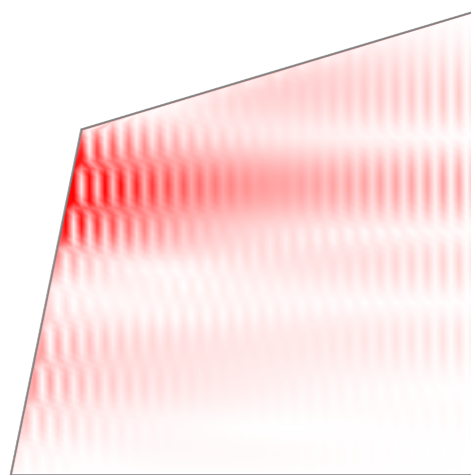
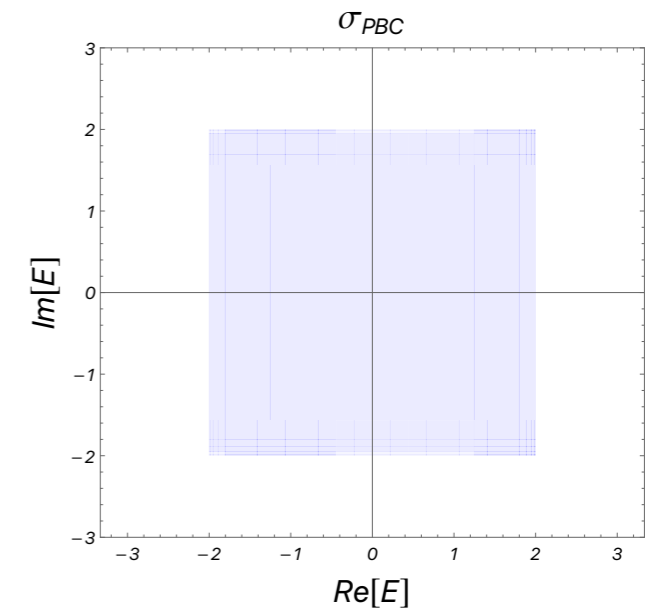
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→ Skin modes number: **all**

2D GBZ theory: numerical summary

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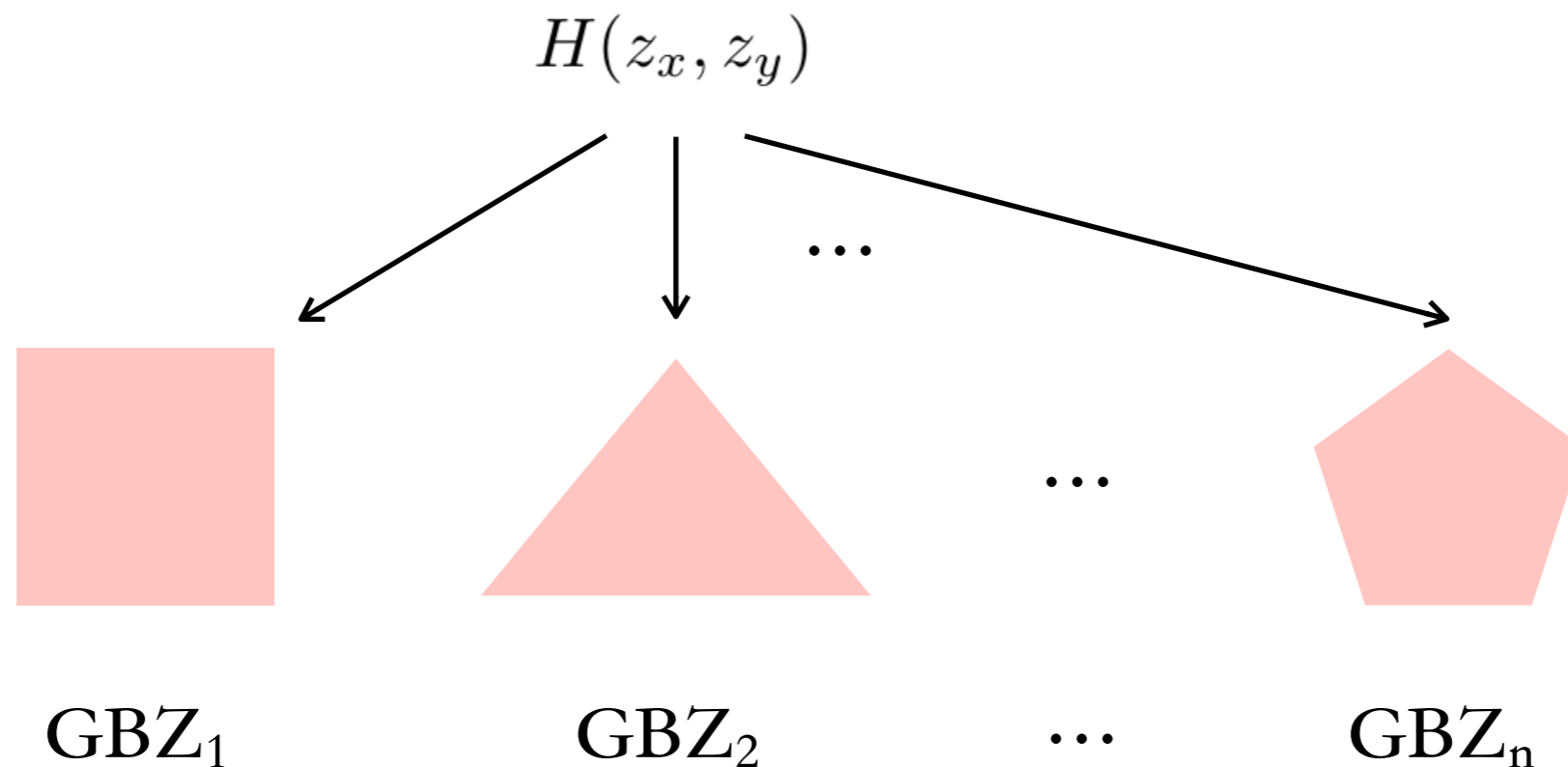
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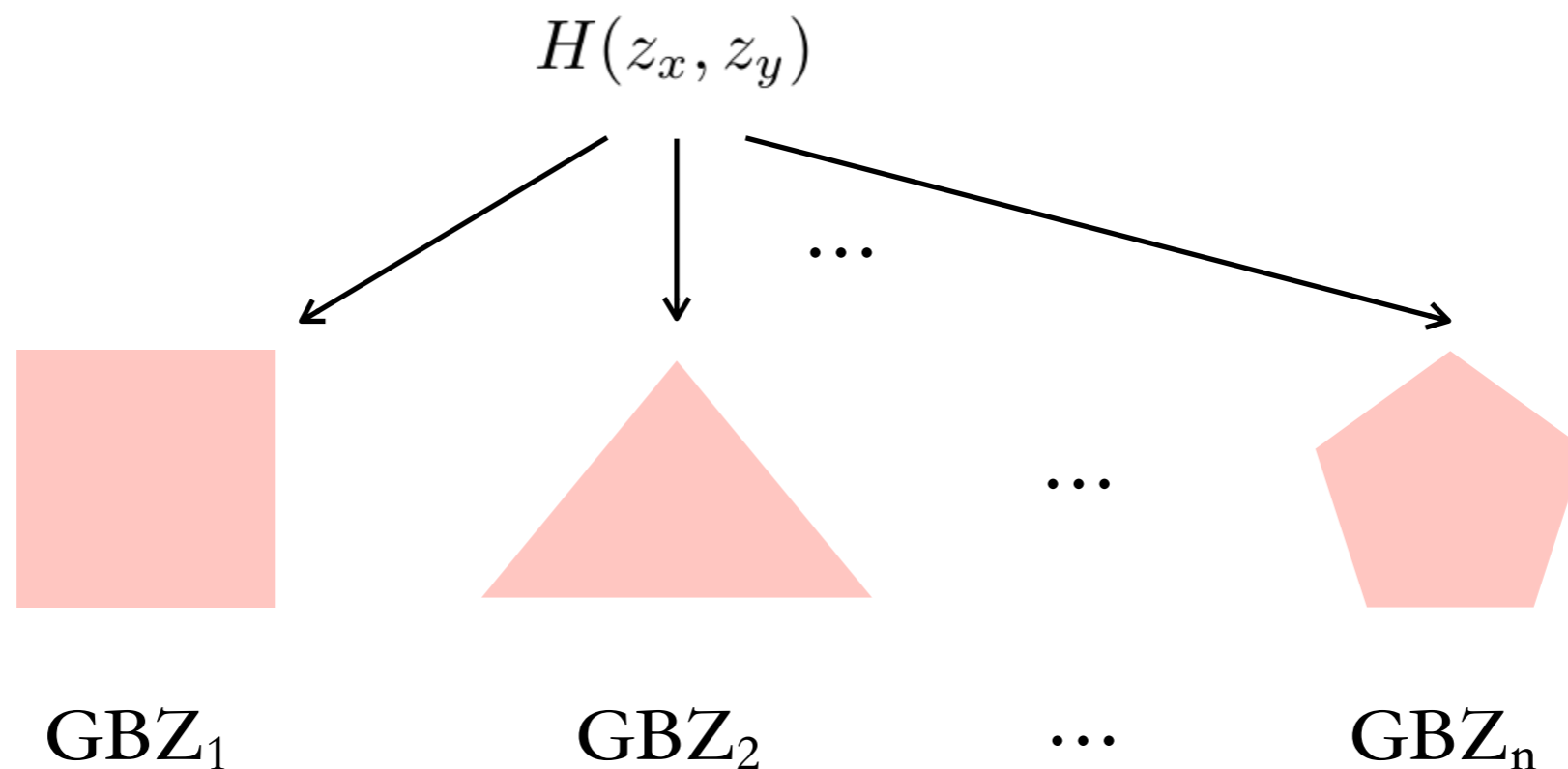


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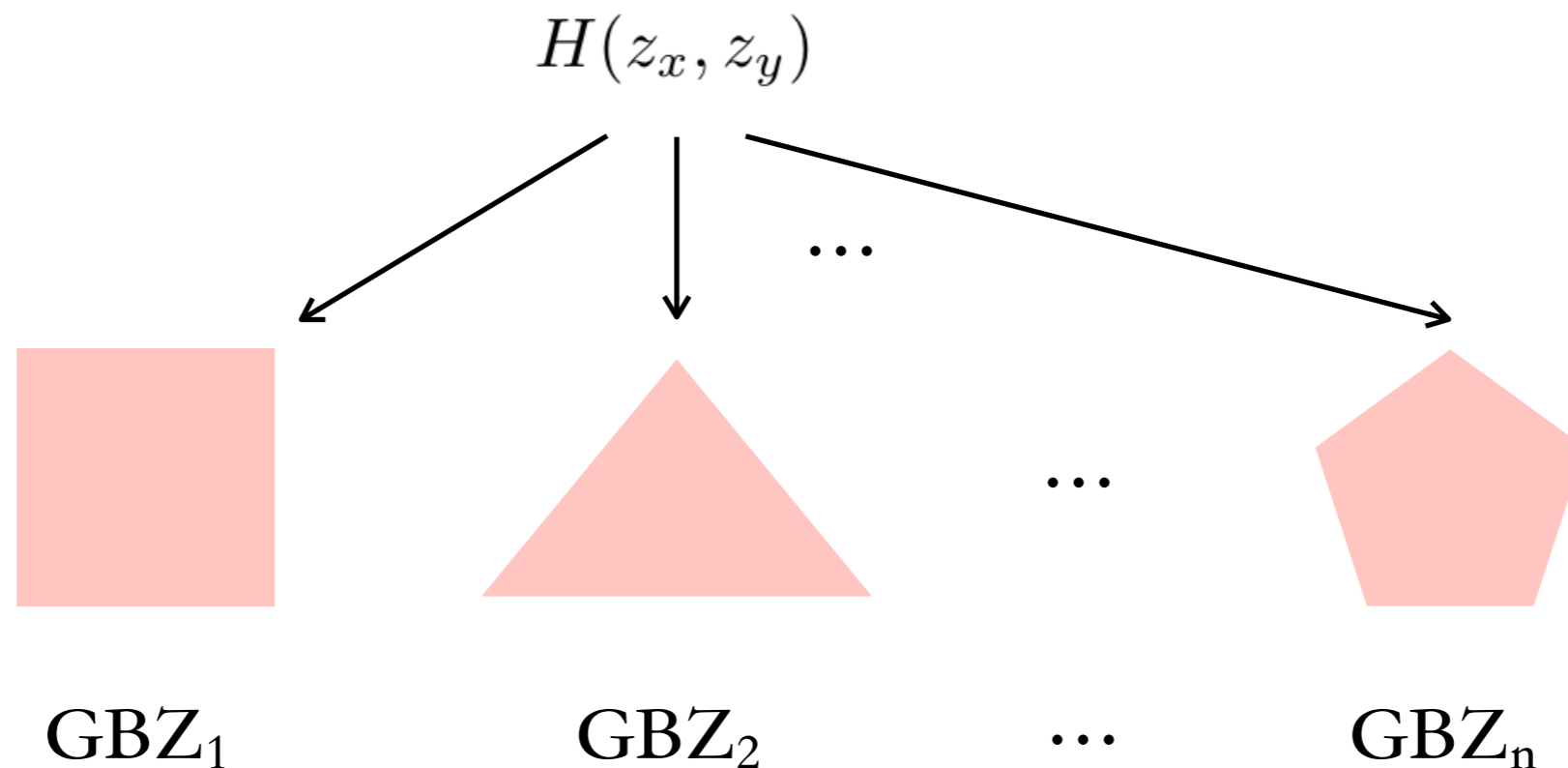
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2D GBZ theory: numerical summary

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→ The role of bulk Hamiltonian ??

→ Bulk contribution to the GBZ ??

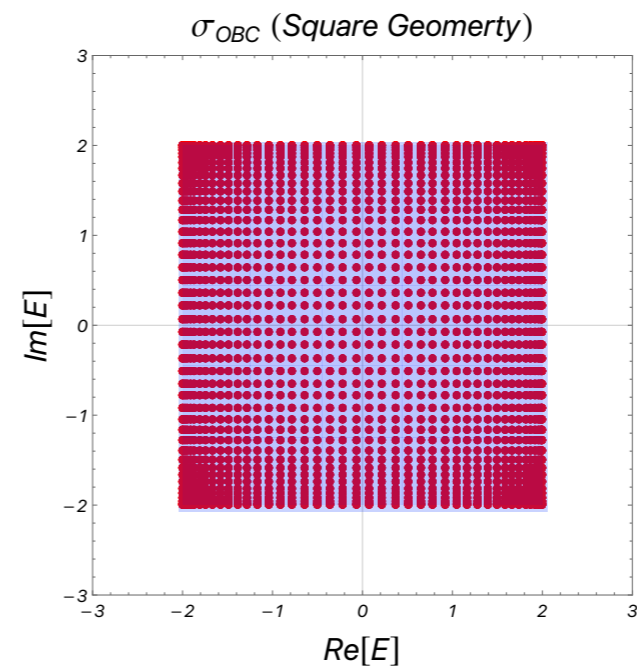
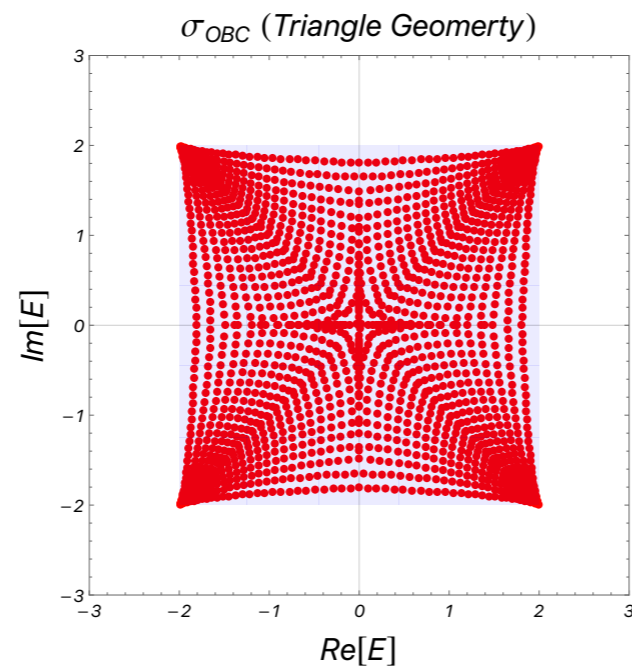
2D GBZ theory: numerical summary

- Geometry independent quantities

2D GBZ theory: numerical summary

□ Geometry independent quantities

→ **Hint 1:** Coverage region of OBC spectrum



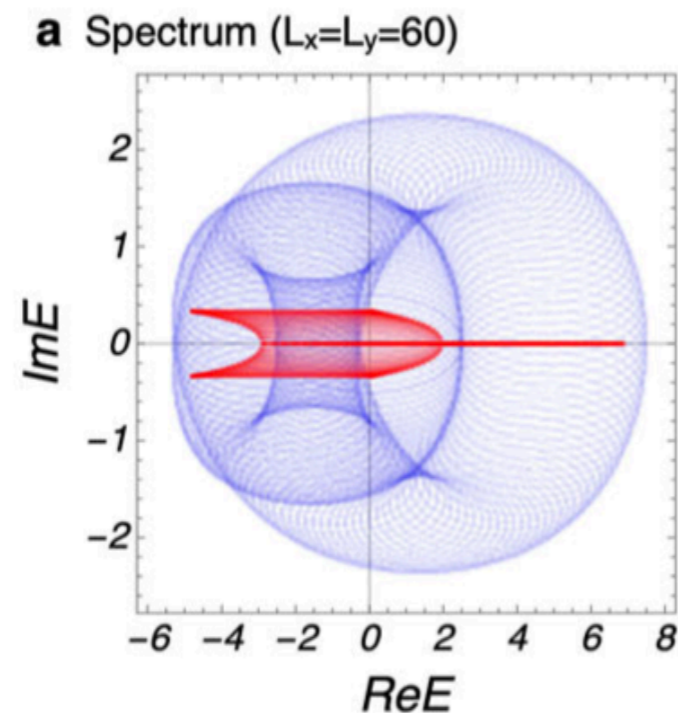
→ Seems for all models ??

2D GBZ theory: numerical summary

□ GRSE v.s. NRSE

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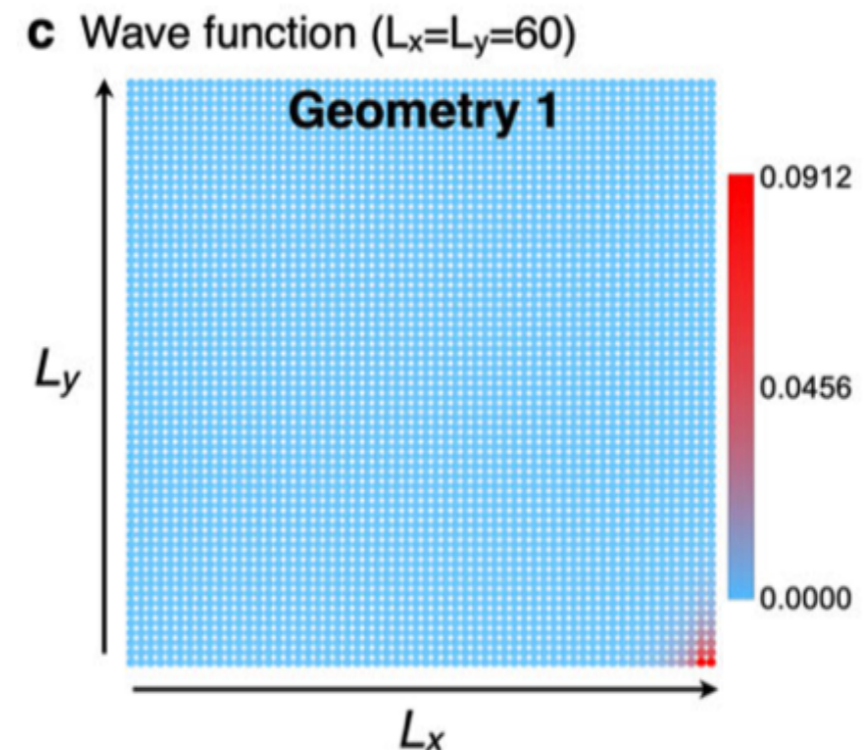
→ Two types of NHSE: non-reciprocal skin effect



→ Different coverage regions

$$\sigma^{\text{PBC}} \neq \sigma^{\text{OBC}}$$

→ Corner localization

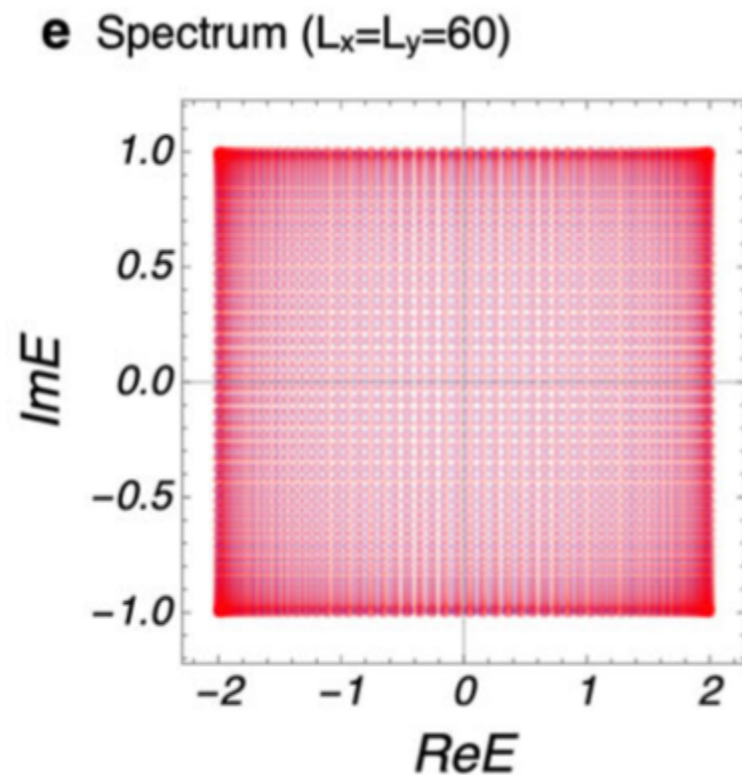


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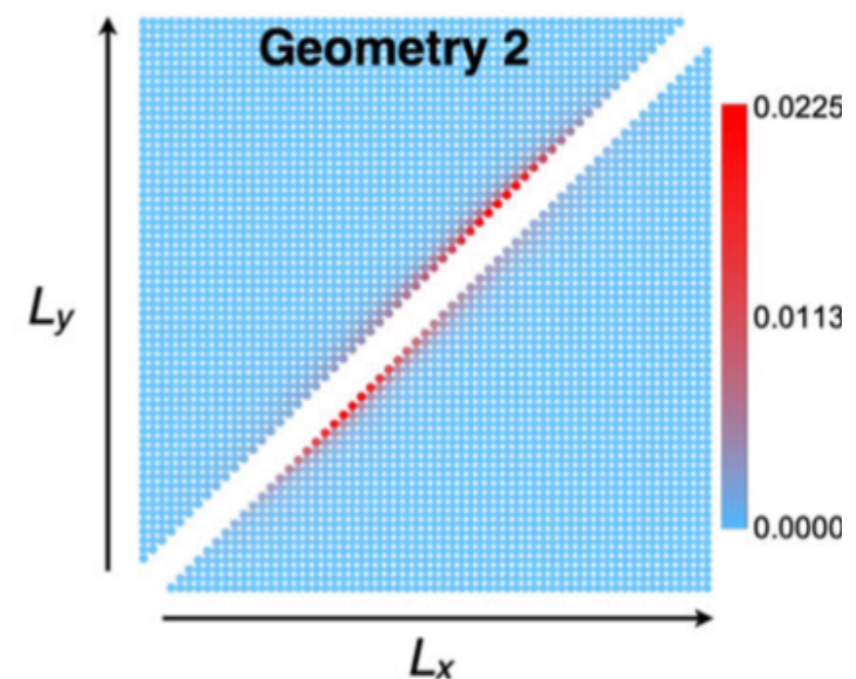
→ Two types of NHSE: generalized reciprocal skin effect



→ Common coverage regions

$$\text{GRSE} : \sigma^{\text{PBC}} = \sigma^{\text{OBC}}$$

→ Edge localization

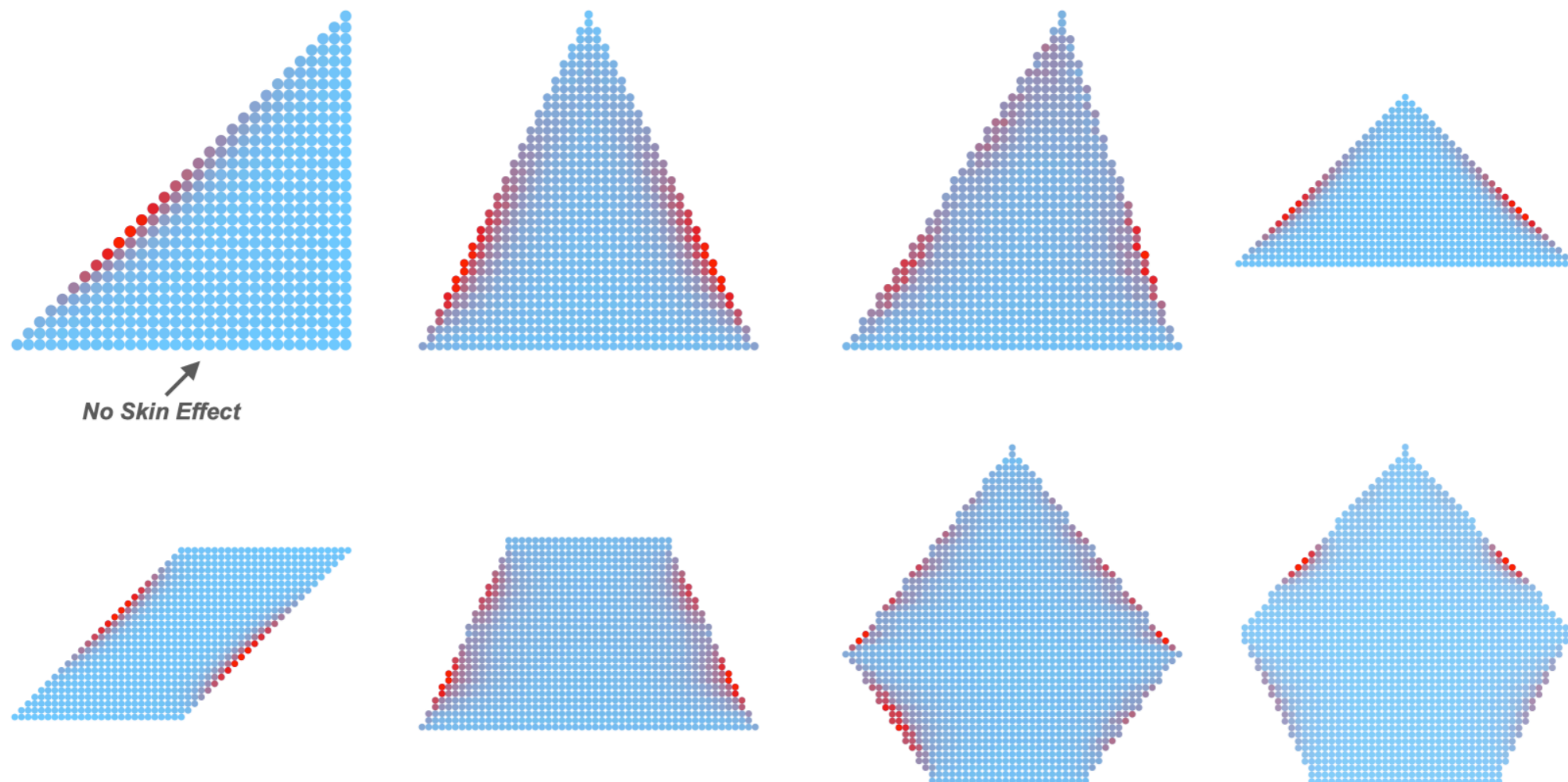


2D GBZ theory: numerical summary

□ Geometry independent quantities

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→ **Hint 2:** Particular edge

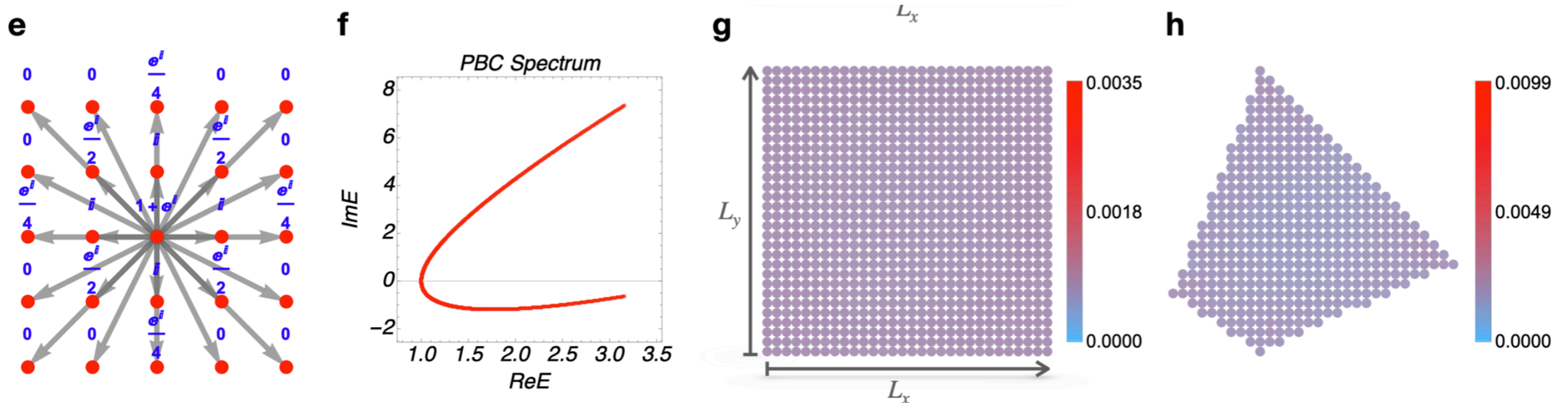


2D GBZ theory: numerical summary

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→ **Hint 3:** The case no skin effect

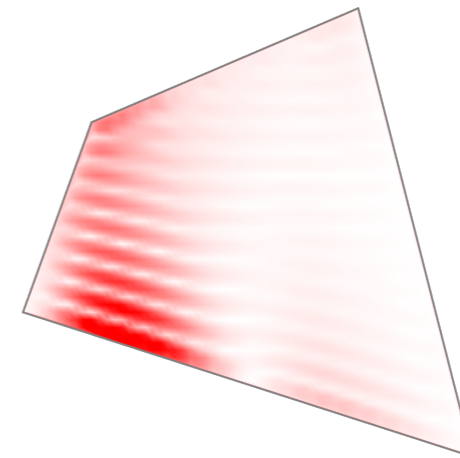
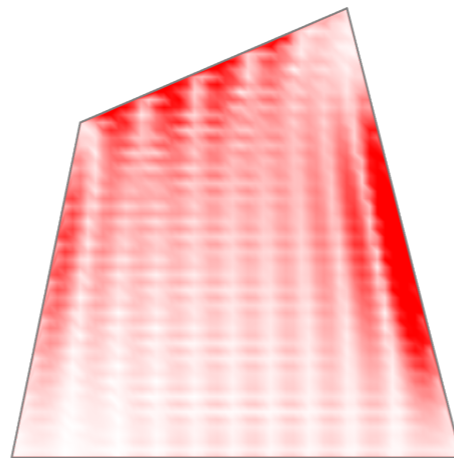
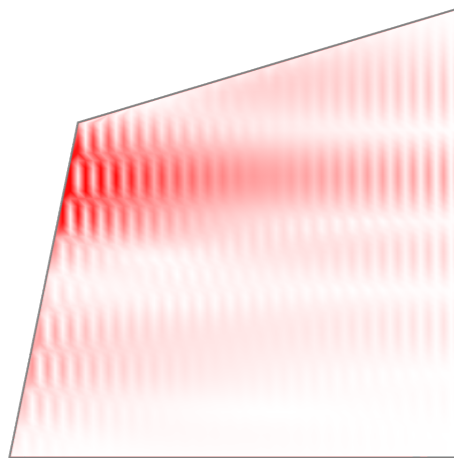


→ Robust to OBC geometry

2D GBZ theory: numerical summary

□ Geometry independent quantities

→ **Hint 4:** Universal edge localization ?



→ No universal localization direction

2D GBZ theory: numerical summary

□ Summary

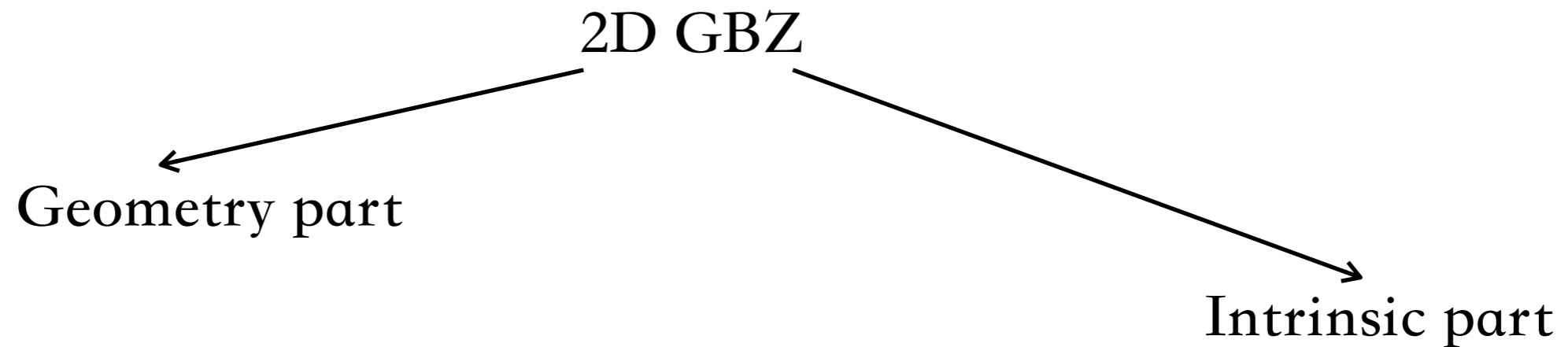
→ Our basic physical picture on the 2D GBZ

2D GBZ

2D GBZ theory: numerical summary

□ Summary

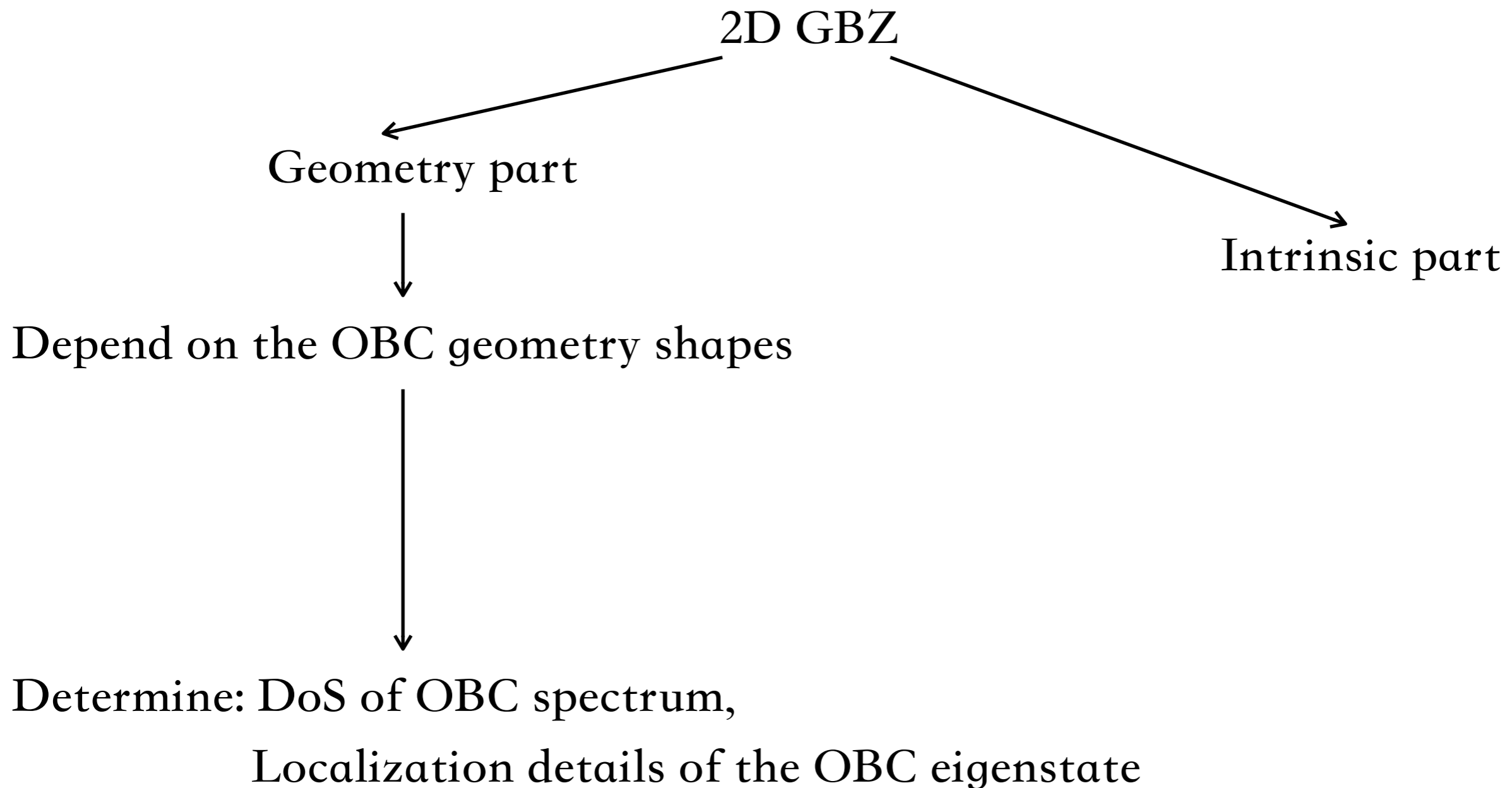
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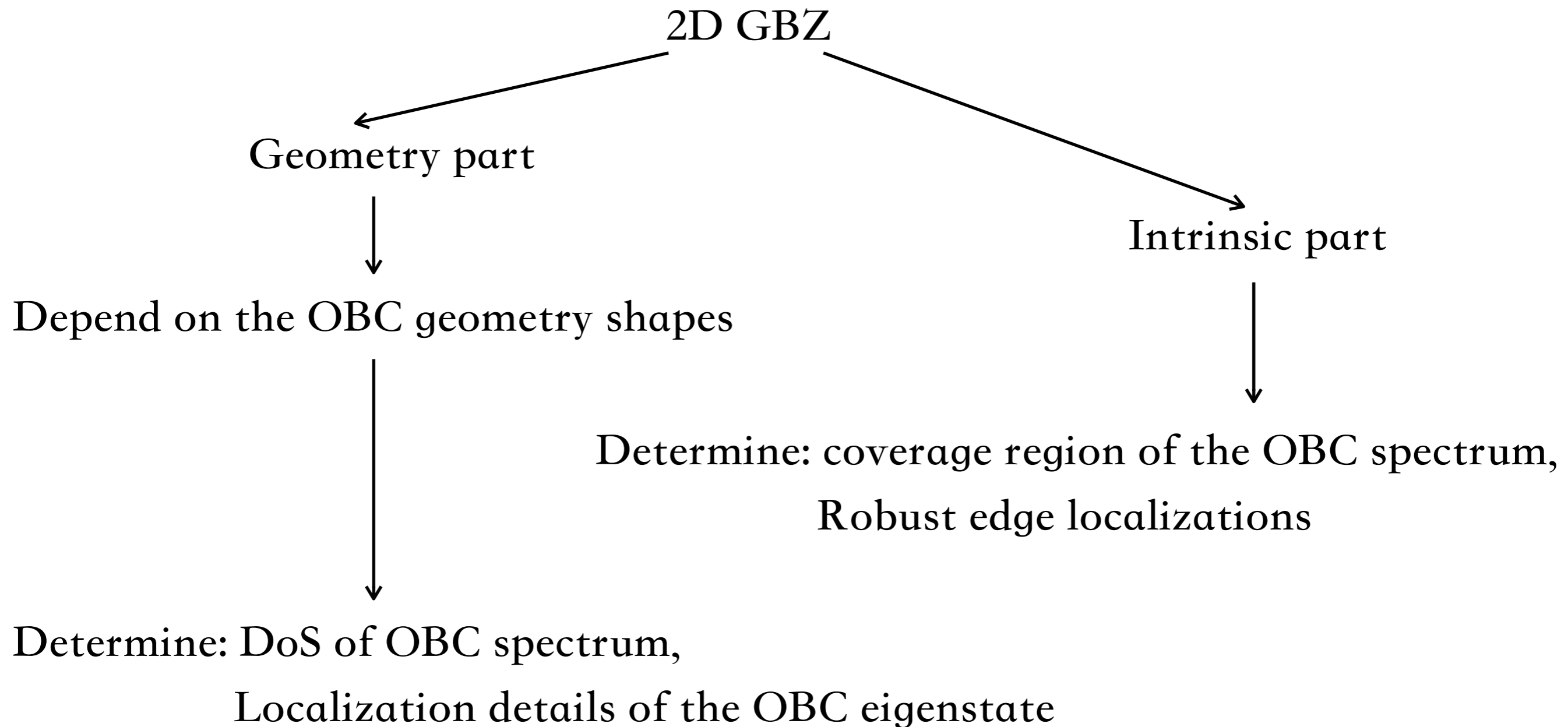
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2D GBZ theory: numerical summary

□ Summary

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2D GBZ theory: numerical summary

- Questions related to the 2D GBZ

2D GBZ theory: numerical summary

□ Questions related to the 2D GBZ

For a given 2D non-Hermitian Hamiltonian within a given OBC geometry, denoted as G_0 , the GBZ theory should answer:

2D GBZ theory: numerical summary

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2D GBZ theory: numerical summary

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4. when the OBC geometry G_0 undergoes changes, how do the above three quantities change accordingly, and is there a fundamental rule to identify the corresponding changes?

Outline

- Introduction
- 1D GBZ theory: review
- 2D NHSE: numerical summary
- **2D GBZ theory: recent developments**
- 2D GBZ theory: wave function approach

2D GBZ theory: developments

□ Summary of the previous works

→ 2D GBZ condition

Dimensional Transmutation from Non-Hermiticity

Hui Jiang and Ching Hua Lee

Phys. Rev. Lett. **131**, 076401 – Published 17 August 2023

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2D GBZ theory: developments

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Non-Bloch Band Theory of Non-Hermitian Systems

Kazuki Yokomizo and Shuichi Murakami

Phys. Rev. Lett. **123**, 066404 – Published 7 August 2019

The construction of the generalized Brillouin zone can be extended to higher dimensions as well. In two-dimensional (2D) systems, we introduce the two parameters $\beta^x (= e^{ik_x})$ and $\beta^y (= e^{ik_y})$. Then the eigenvalue equation $\det[\mathcal{H}(\beta^x, \beta^y) - E] = 0$, where $\mathcal{H}(\beta^x, \beta^y)$ is a 2D generalized Bloch Hamiltonian, is an algebraic equation for β^x and β^y . If we fix β^y (β^x), this system can be regarded as a 1D system, and the criterion is given by $|\beta_{M_x}^x| = |\beta_{M_x+1}^x|$ ($|\beta_{M_y}^y| = |\beta_{M_y+1}^y|$), where $2M_x$ ($2M_y$) is the degree of the eigenvalue equation for β^x (β^y). Thus, we can get the conditions for the continuum bands. Nevertheless, it is still an open question how to determine the generalized Brillouin zone in higher dimensions.

2D GBZ theory: developments

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$$f(E_0, \beta_x, \beta_y) = f(E_0, \beta_x e^{i\theta_x}, \beta_y) = f(E_0, \beta_x, \beta_y e^{i\theta_y}) = 0$$

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BZ

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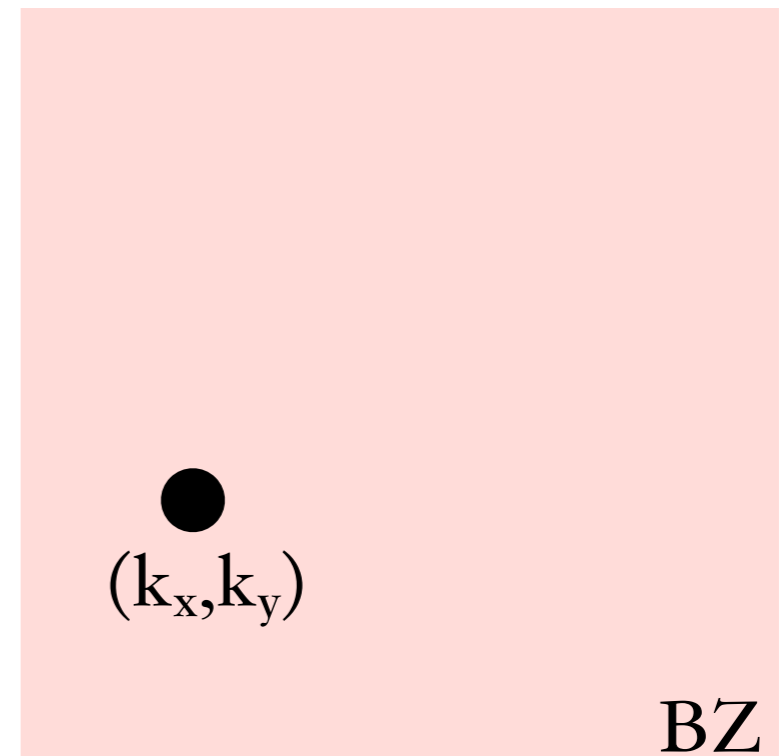
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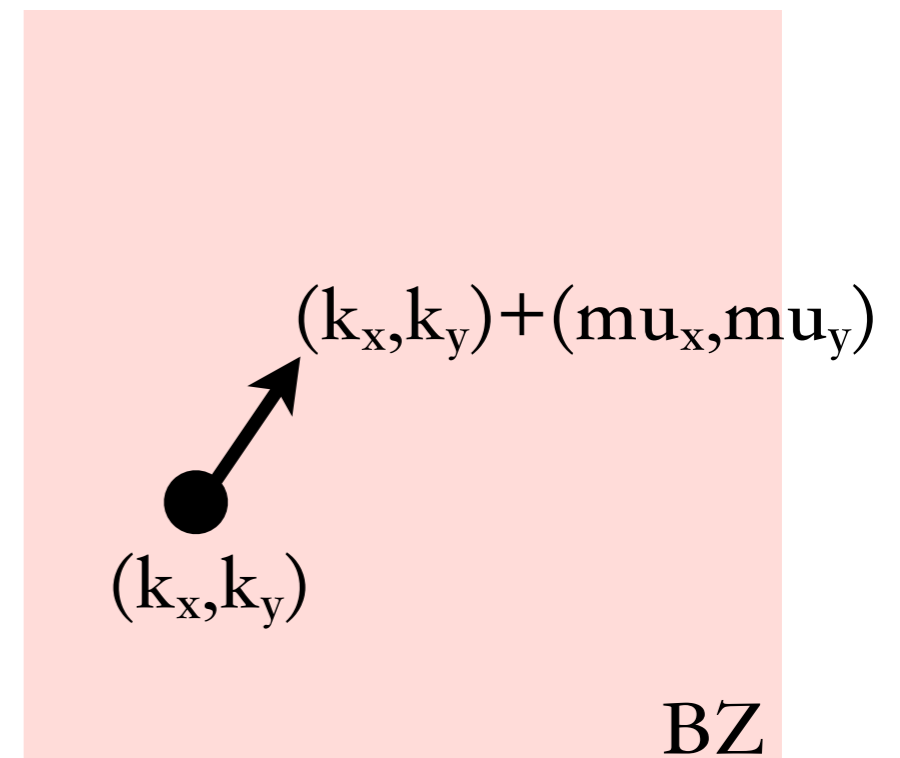
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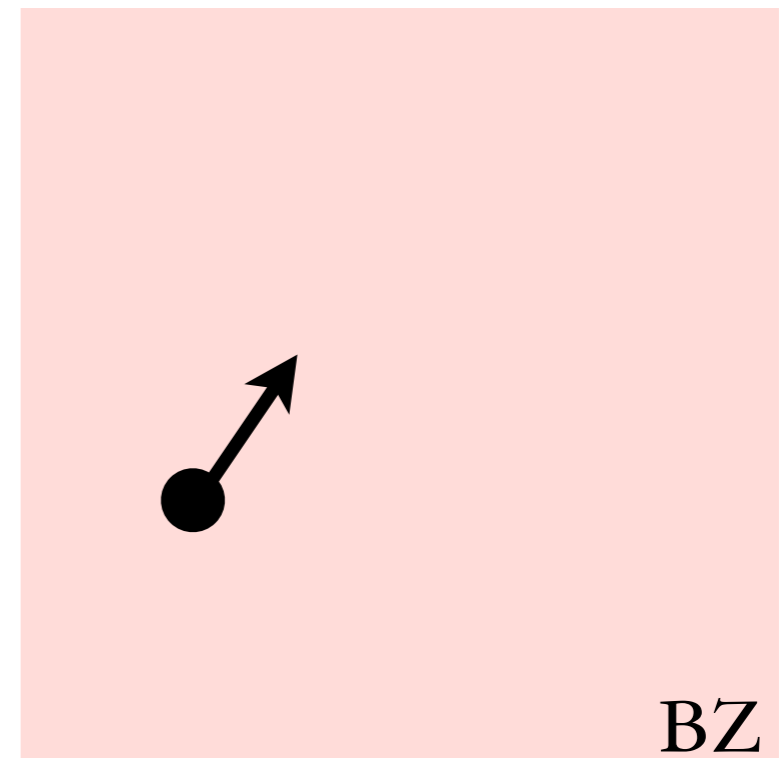
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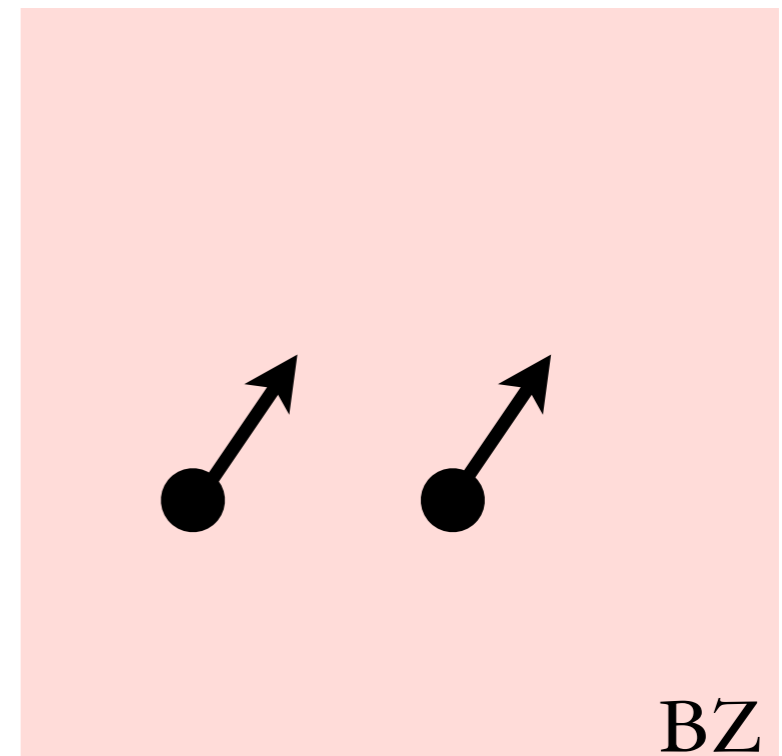
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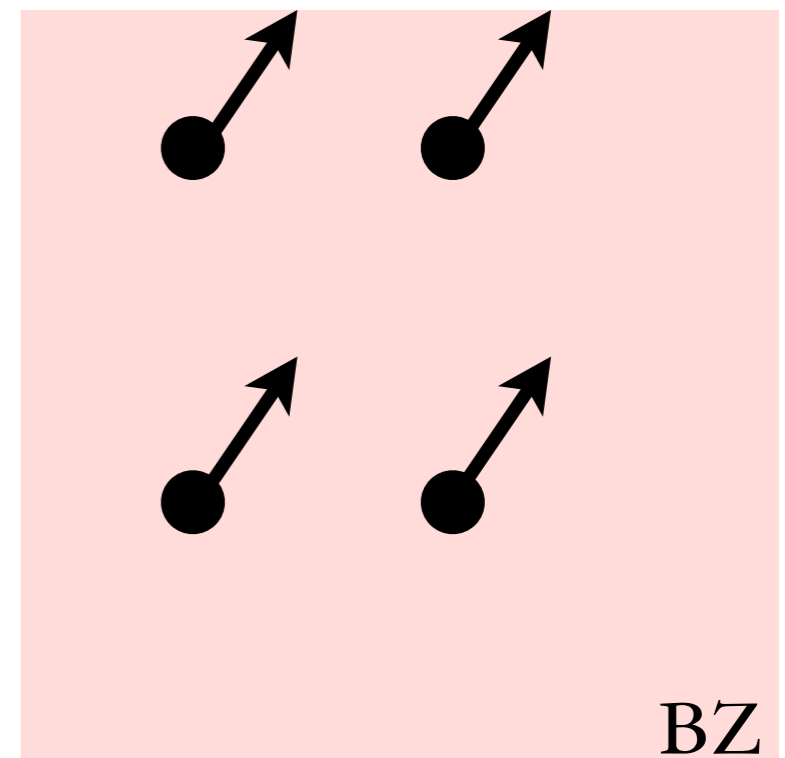
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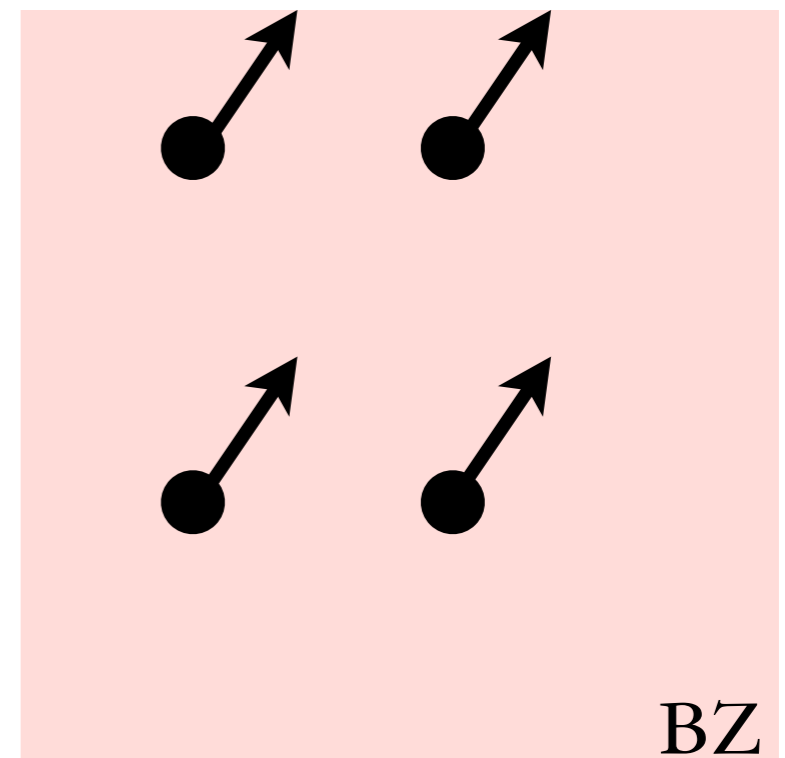
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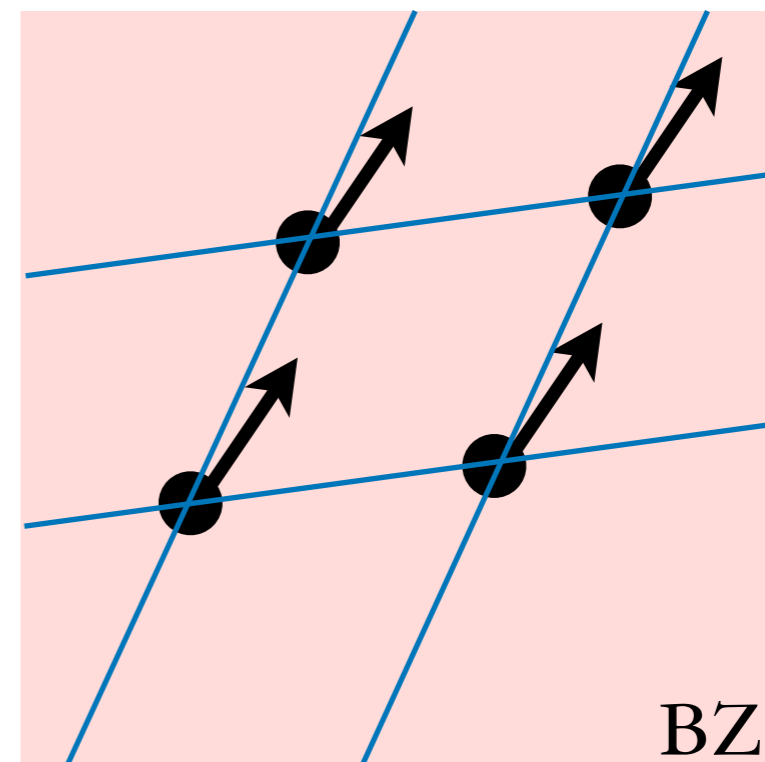
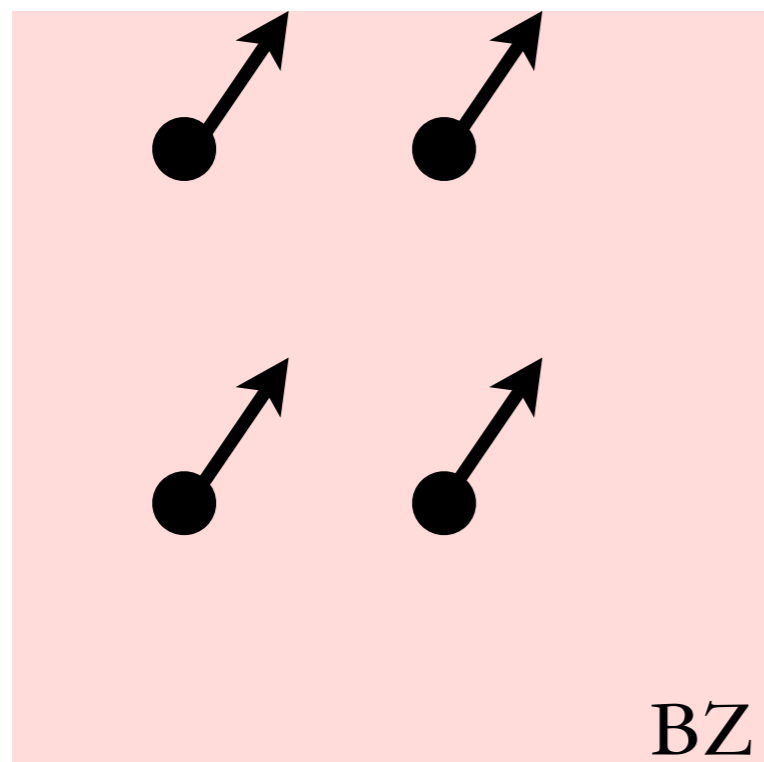
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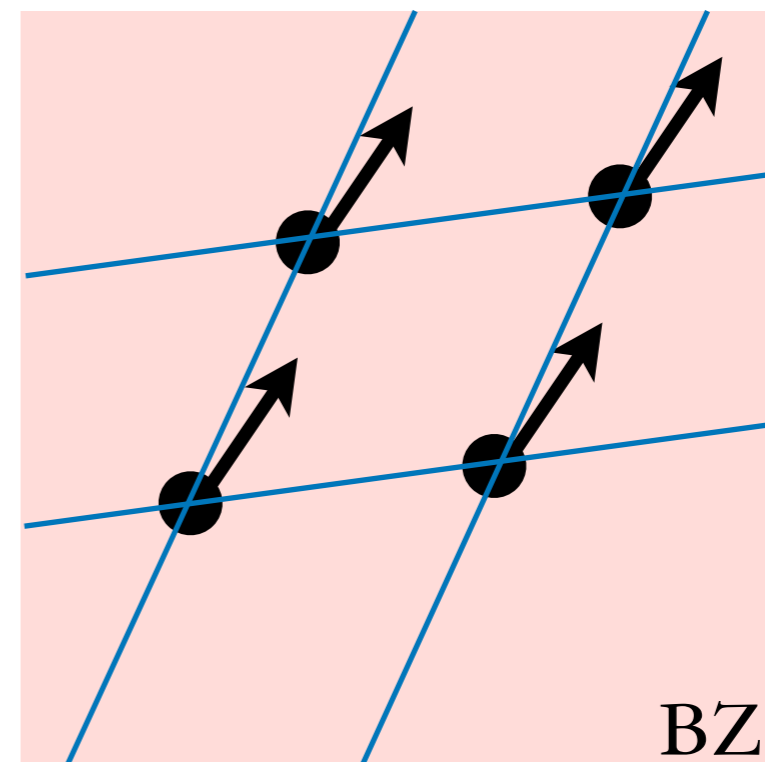
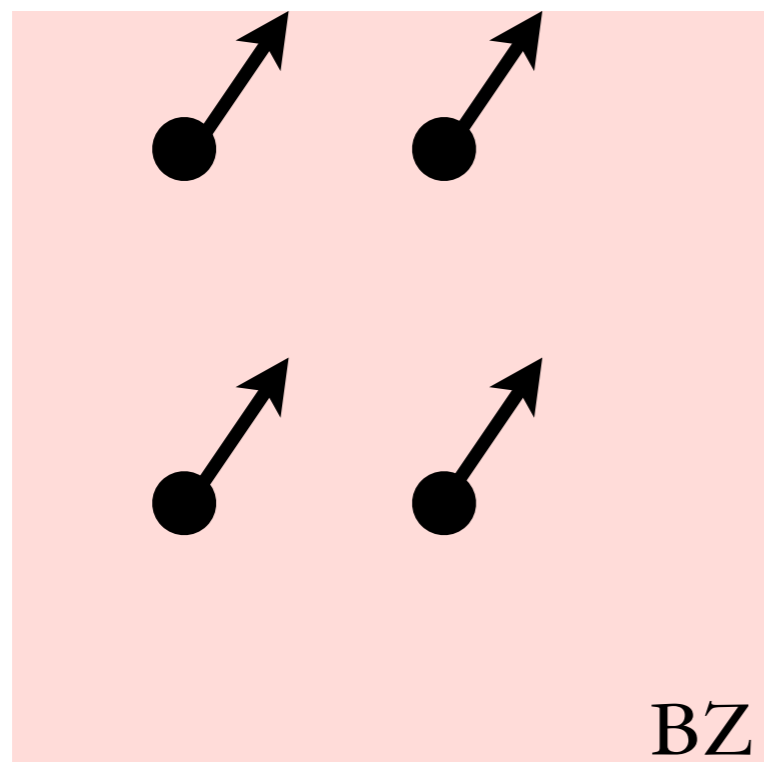
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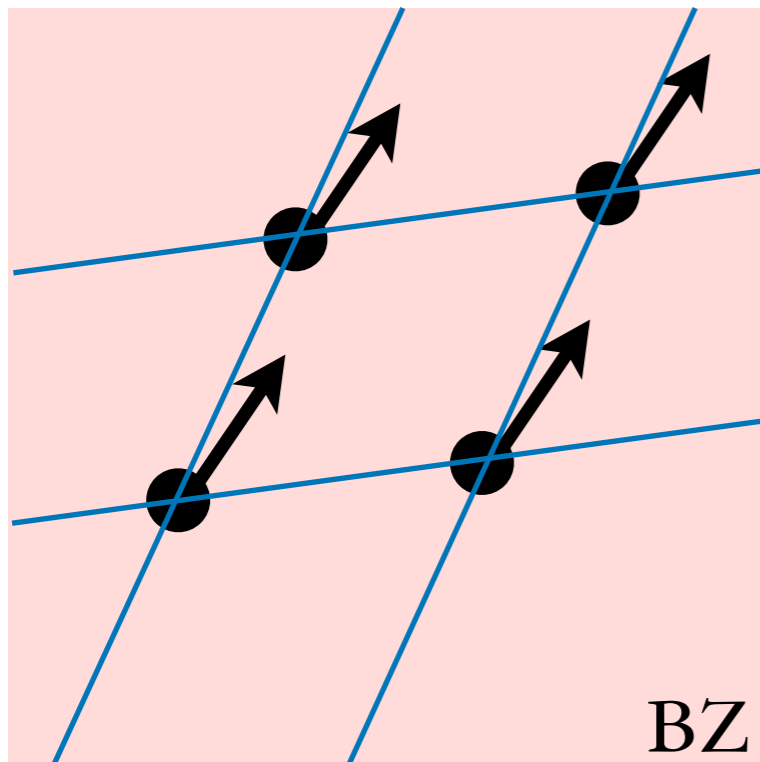
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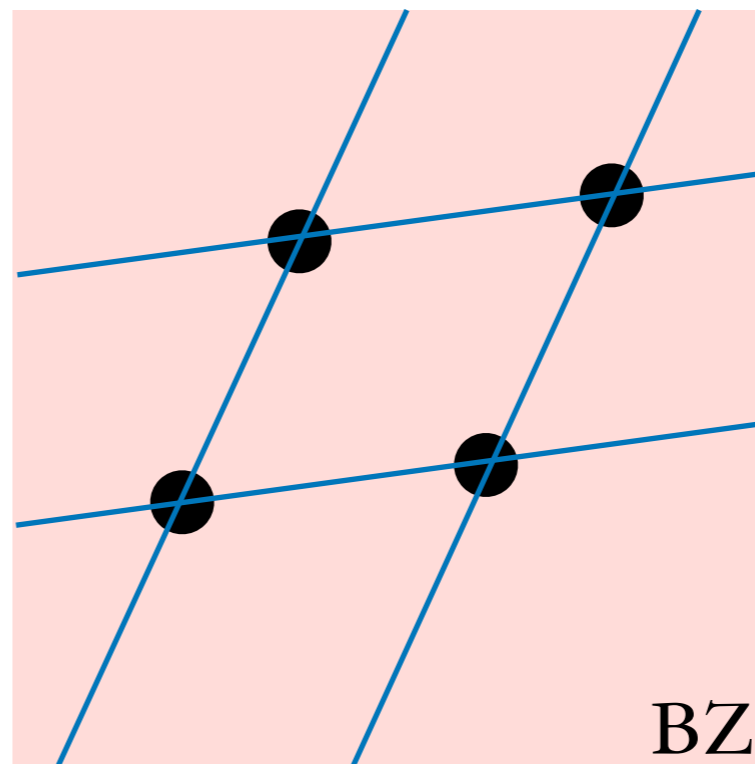
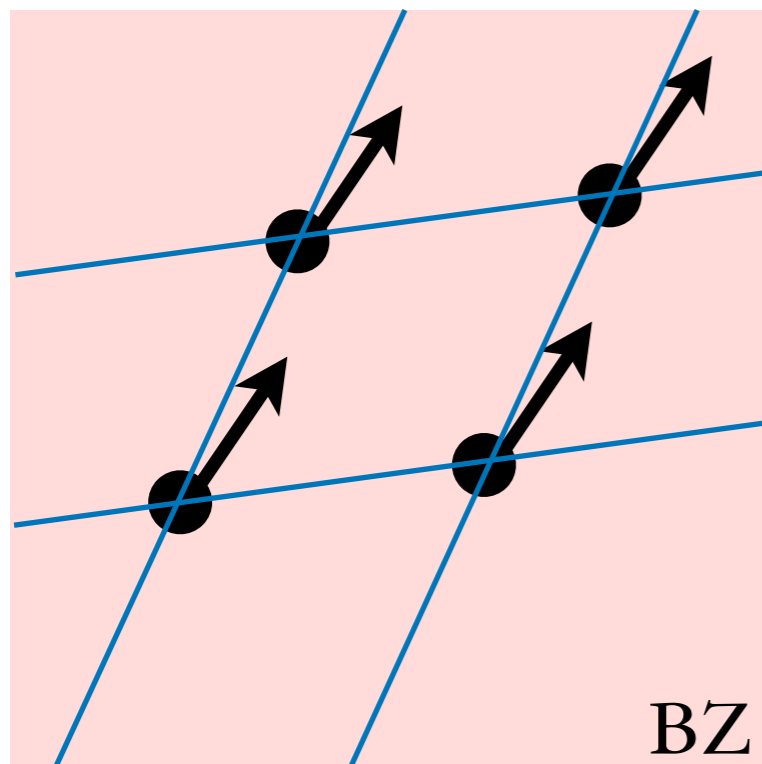


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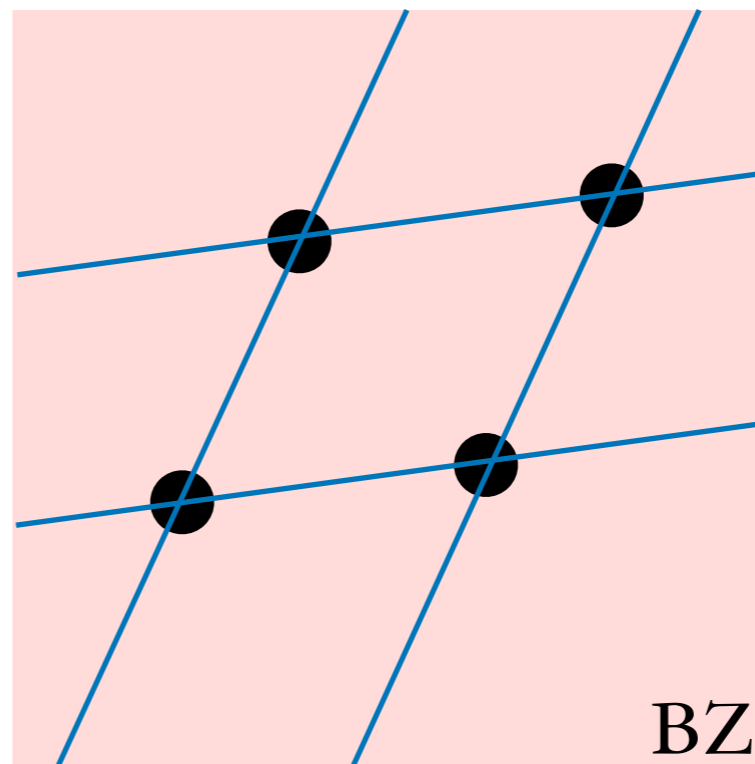
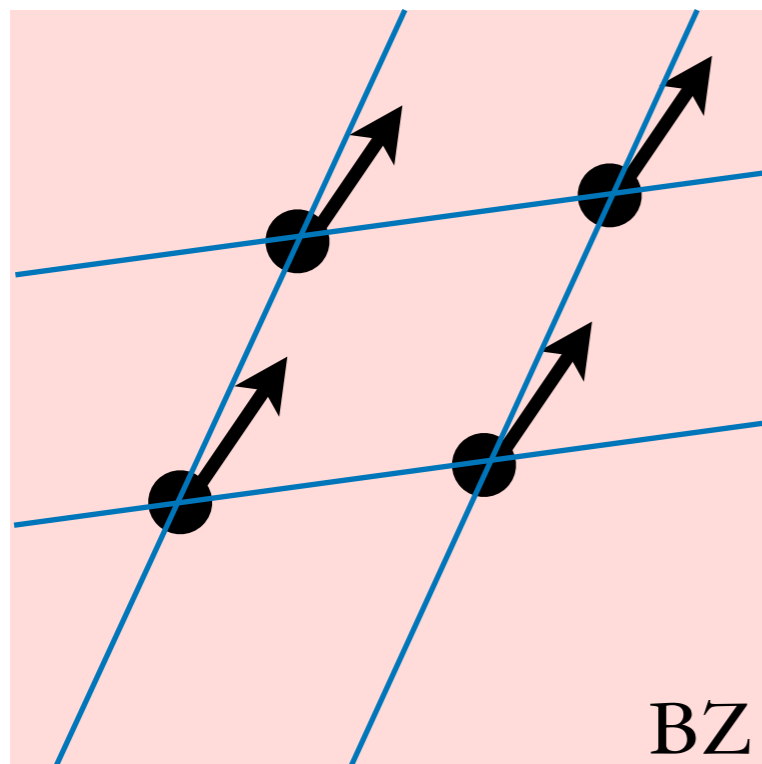


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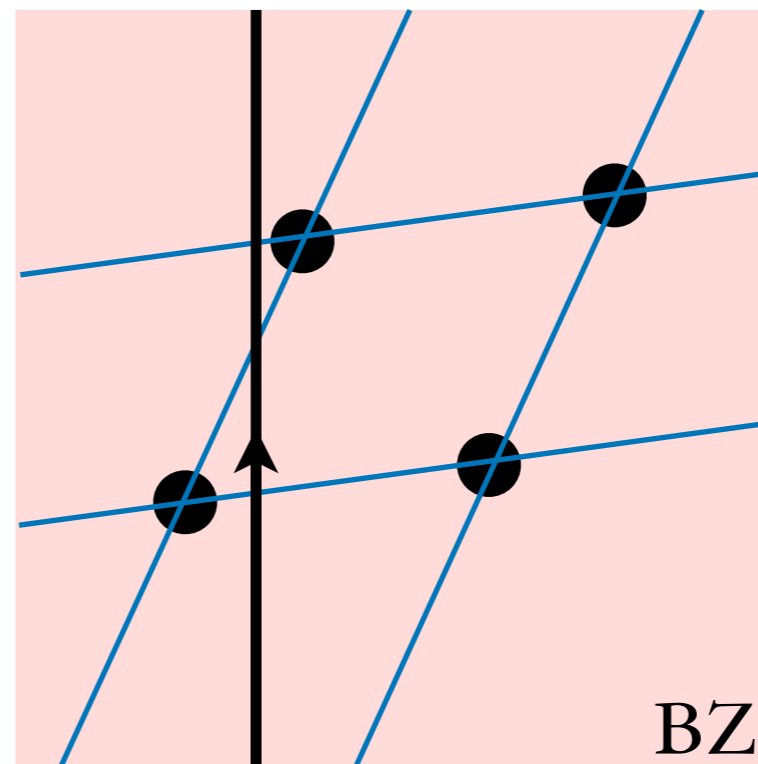
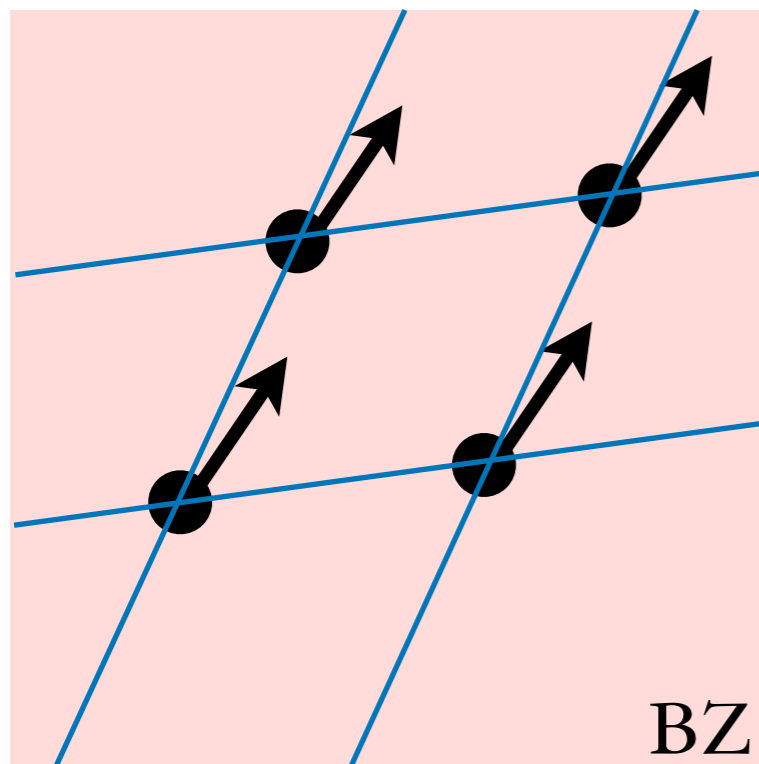
(ν_x, ν_y)

2D GBZ theory: developments

□ Winding number condition

Amoeba Formulation of Non-Bloch Band Theory in Arbitrary Dimensions

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(ν_x, ν_y)

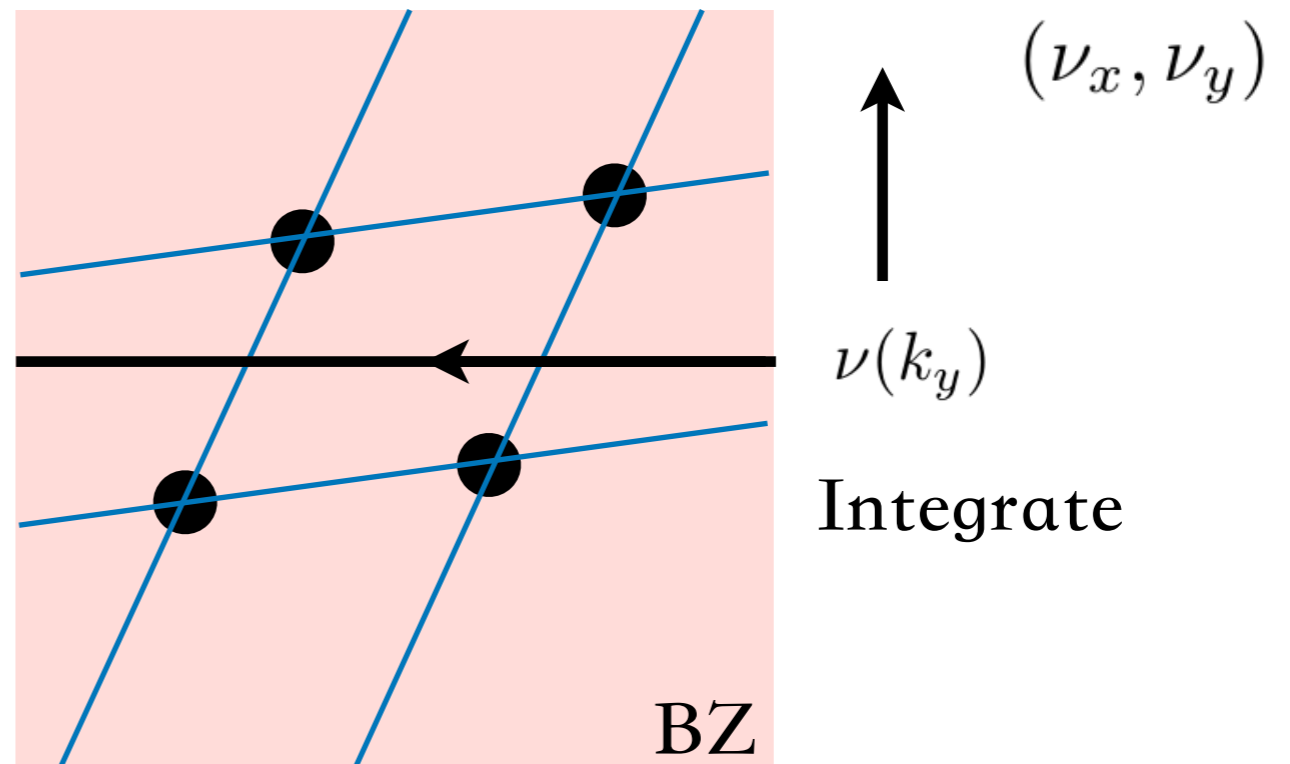
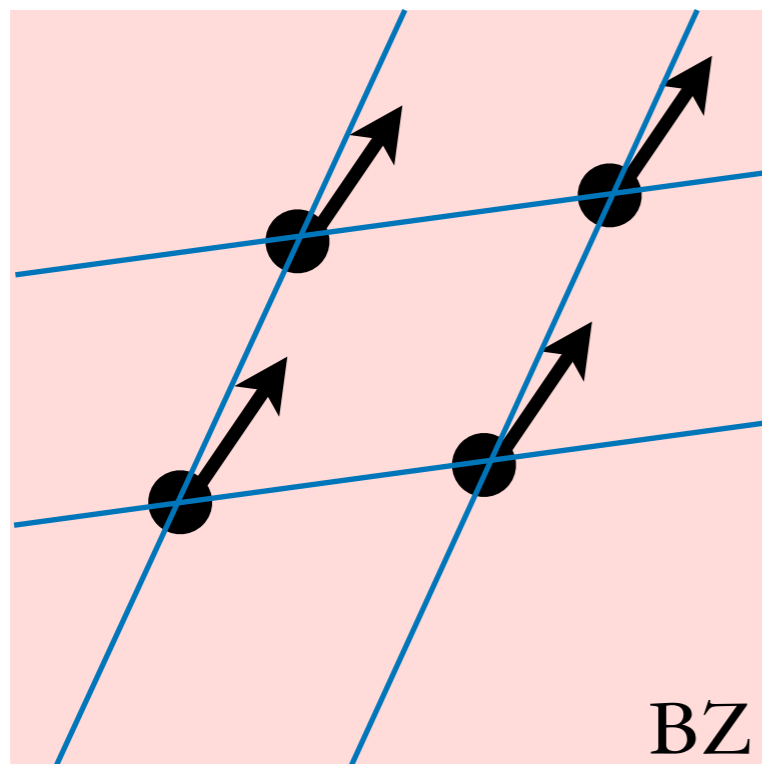
$\nu(k_x)$ \longrightarrow
Integrate

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□ Winding number condition

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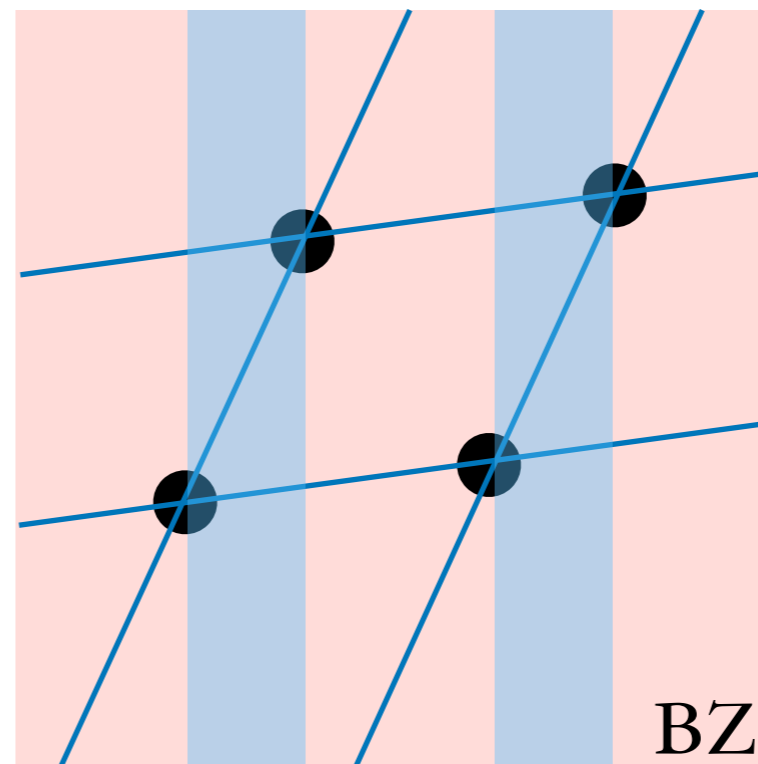
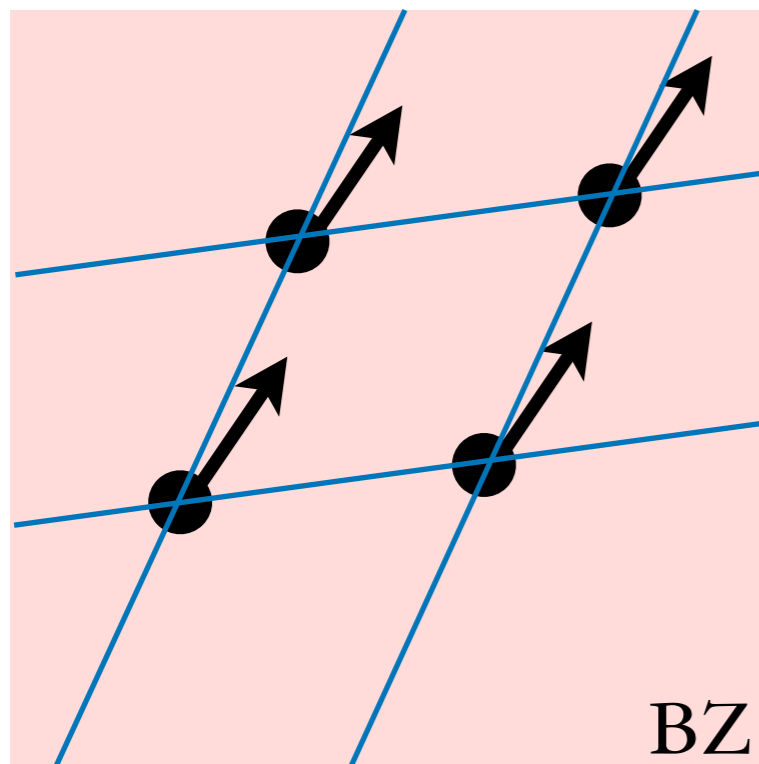


2D GBZ theory: developments

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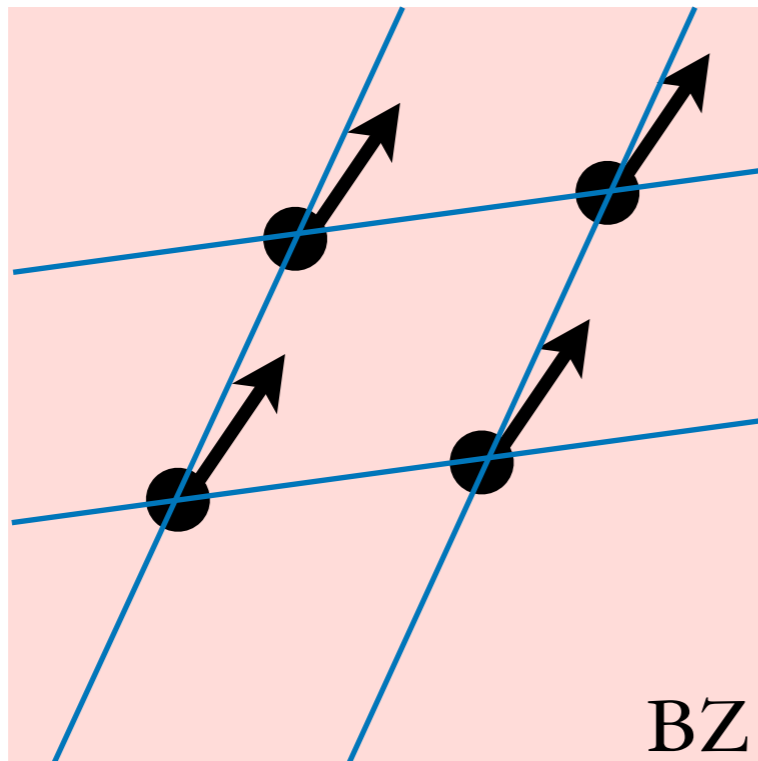
2D GBZ theory: developments

□ Puzzles

2D GBZ theory: developments

□ Puzzles

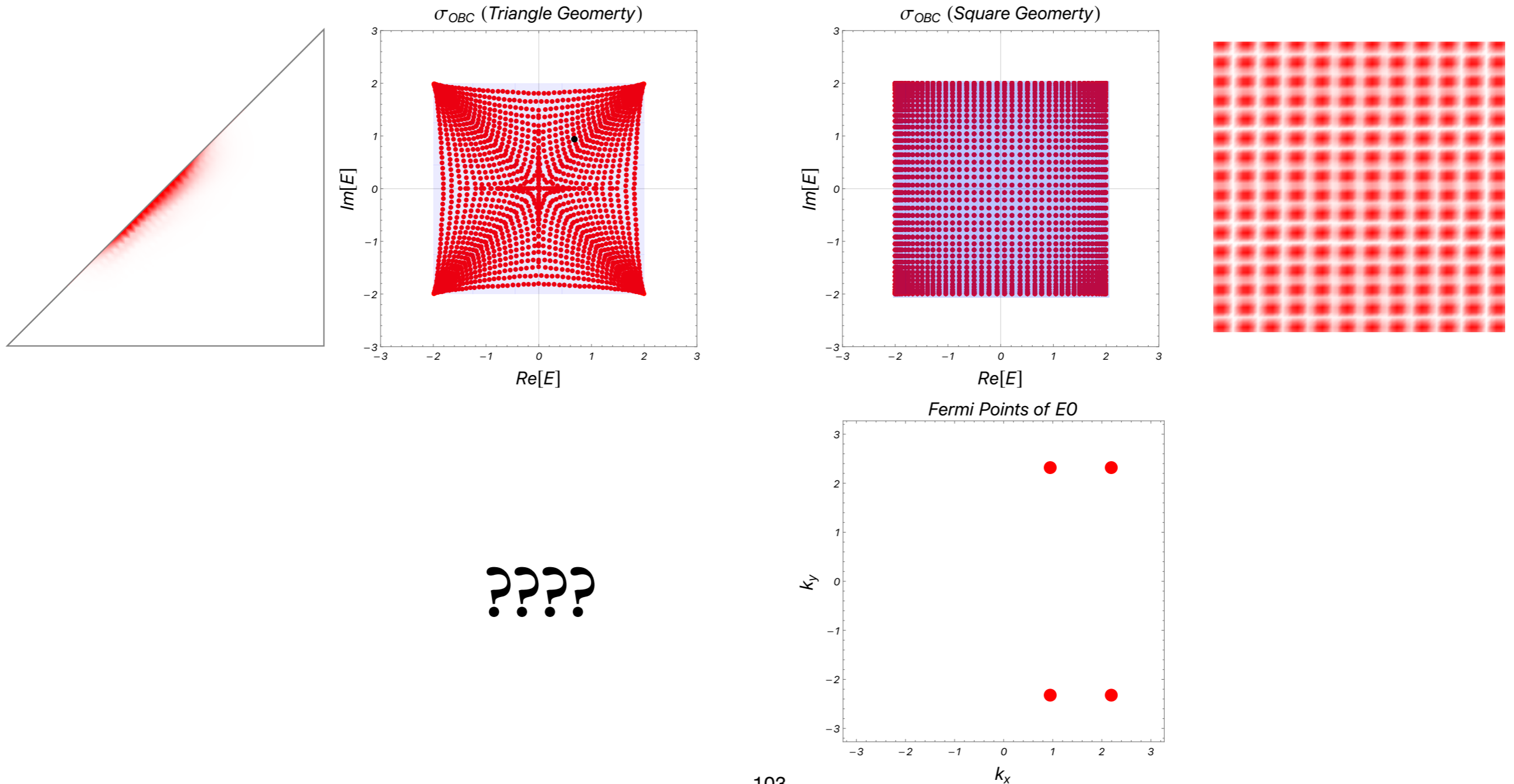
→ Puzzle 1: Scattering channel and standing wave



2D GBZ theory: developments

□ Puzzles

→ Puzzle 2: Geometry dependent skin effect



????

2D GBZ theory: developments

□ Puzzles

→ Puzzle 3: OBC spectral coverage

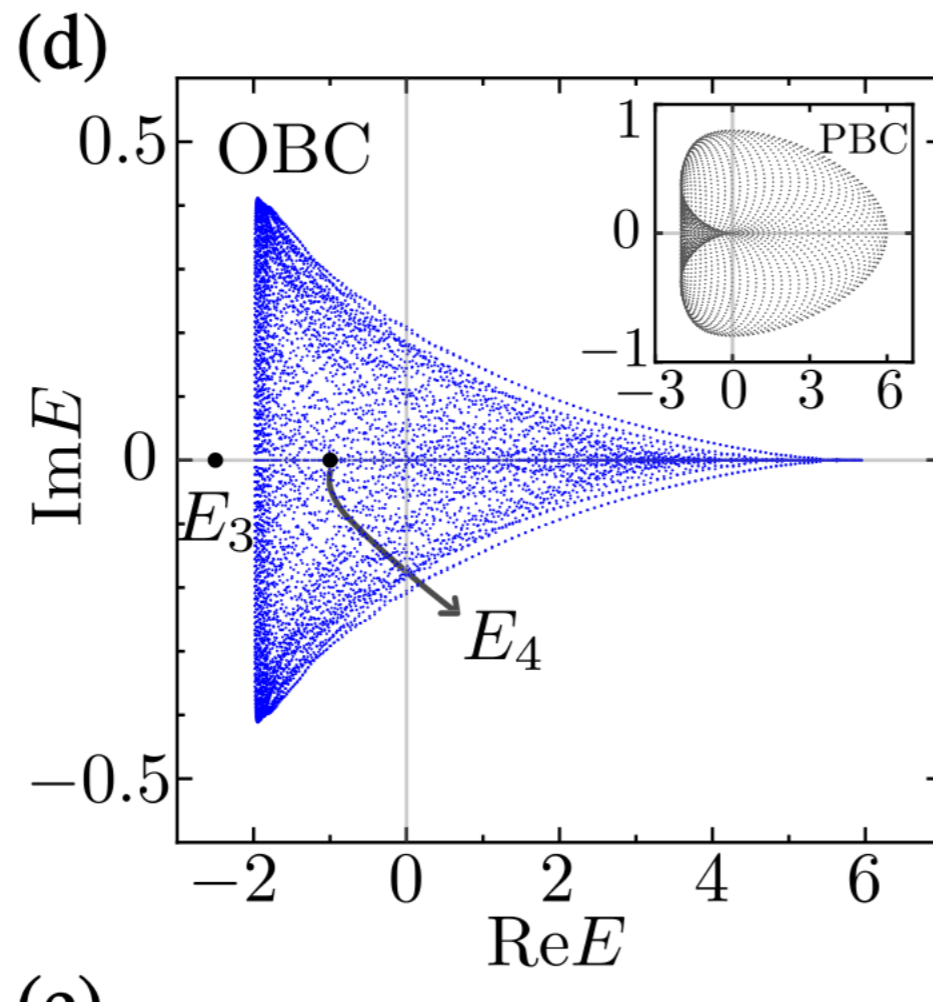


Figure from Amoeba theory

Outline

- Introduction
- 1D GBZ theory: review
- 2D NHSE: numerical summary
- 2D GBZ theory: recent developments
- **2D GBZ theory: wave function approach**

2D GBZ theory: WF approach

□ Wave function approach

→ We find such a minimal model that we want to solve

$$H_1(z_x, z_y) = -z_x z_y + z_x/z_y + z_y/z_x + 1/(z_x z_y).$$

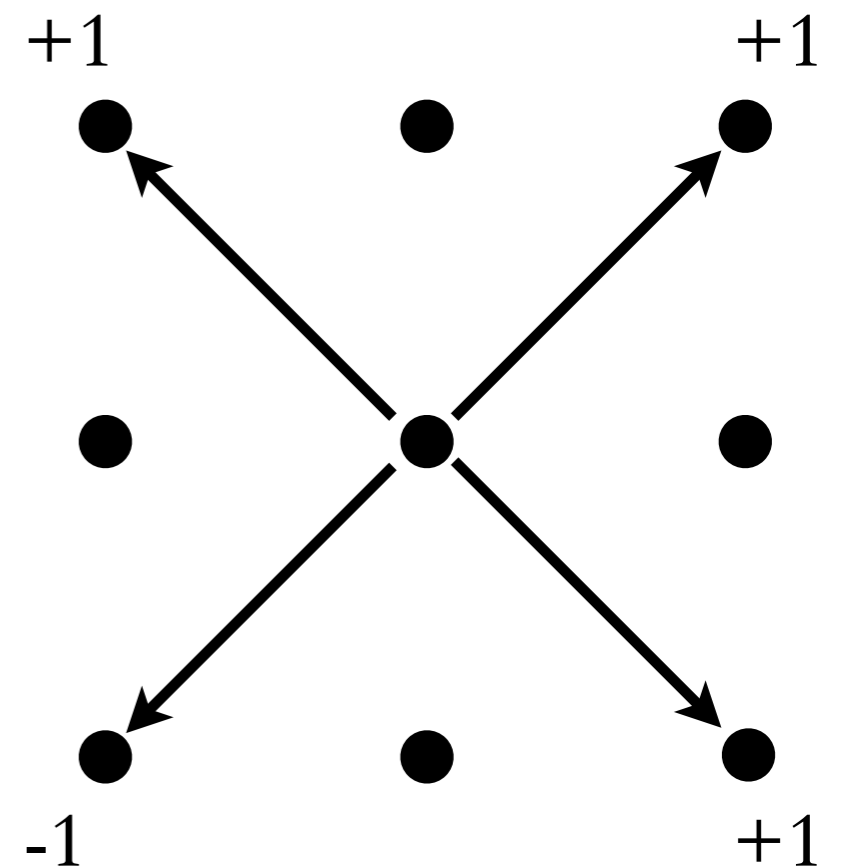
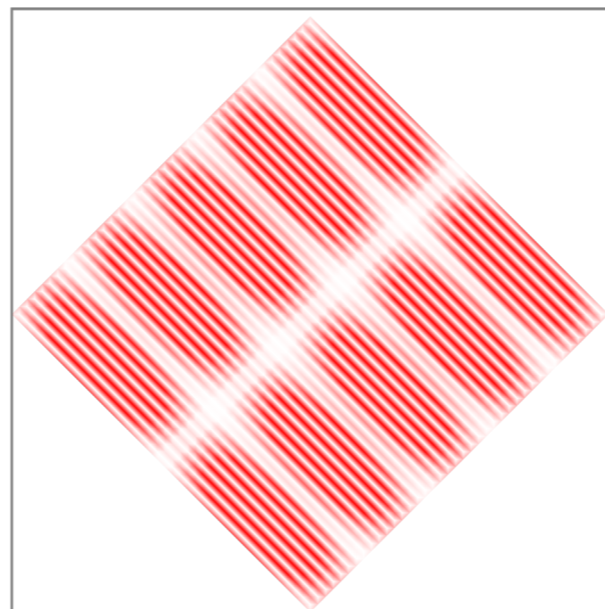
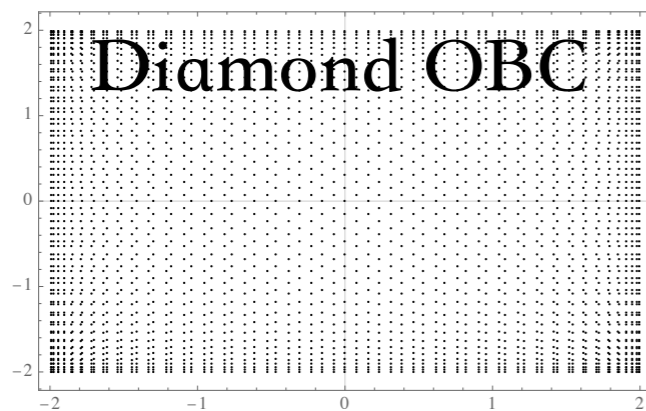
2D GBZ theory: WF approach

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→ Typical wave function



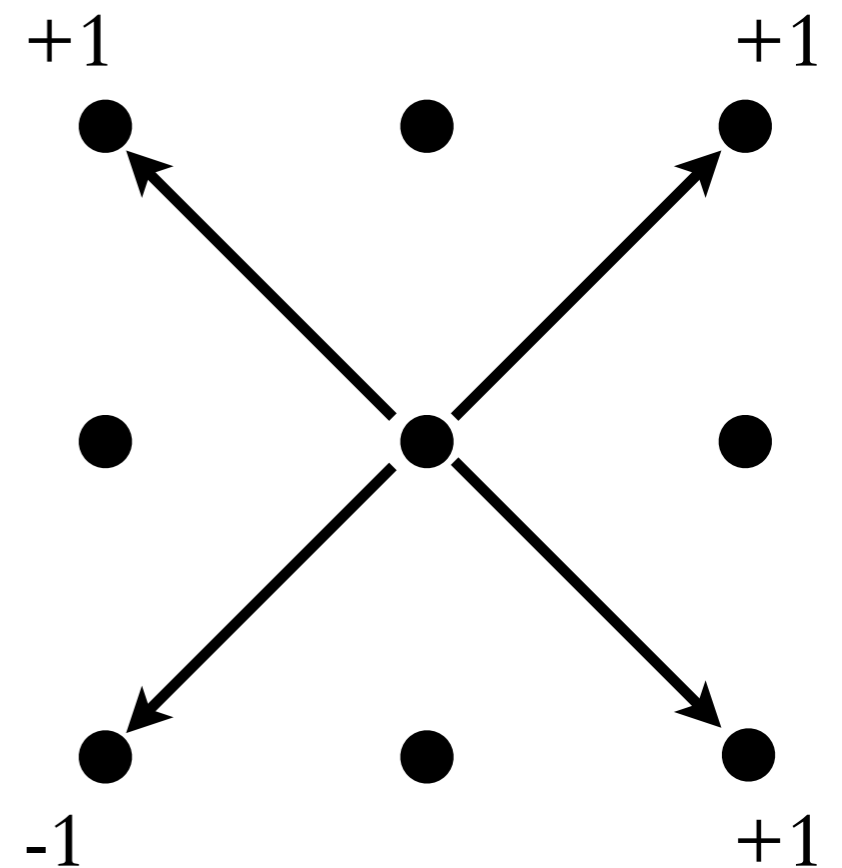
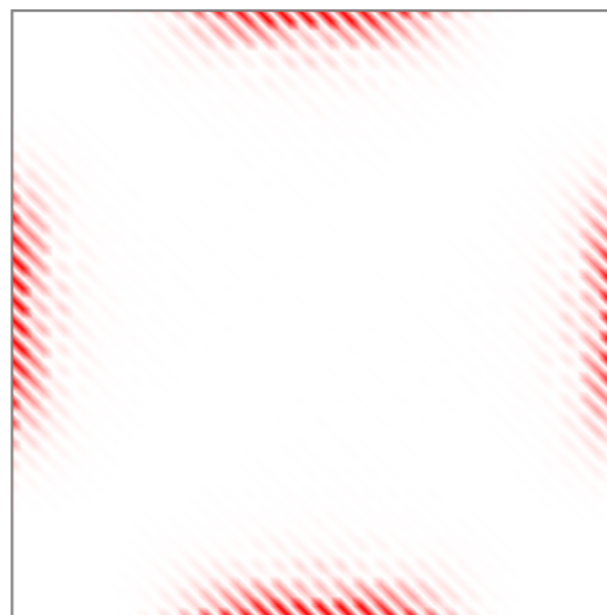
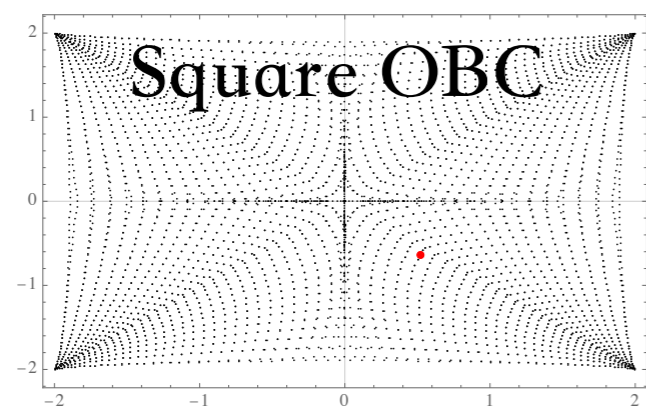
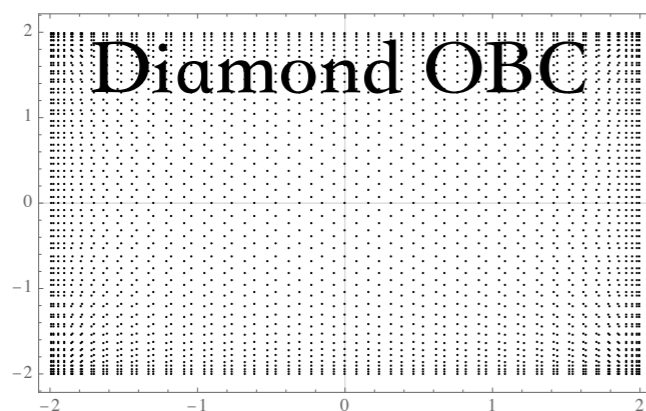
2D GBZ theory: WF approach

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→ Typical wave function



□ **Question:** what is the corresponding GBZ of this wave function?

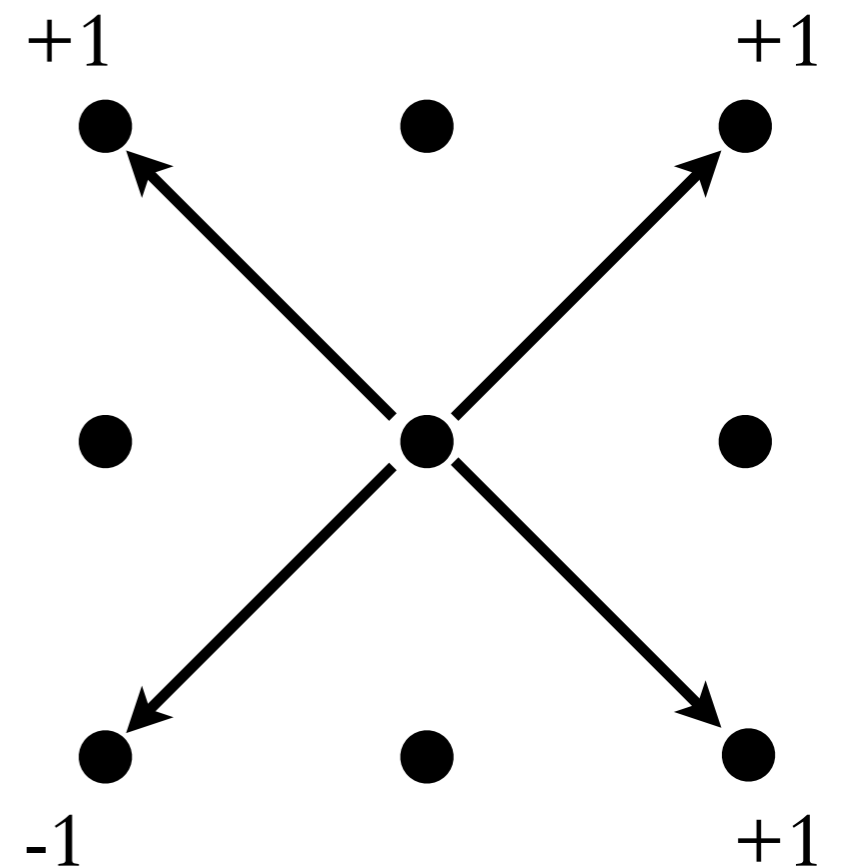
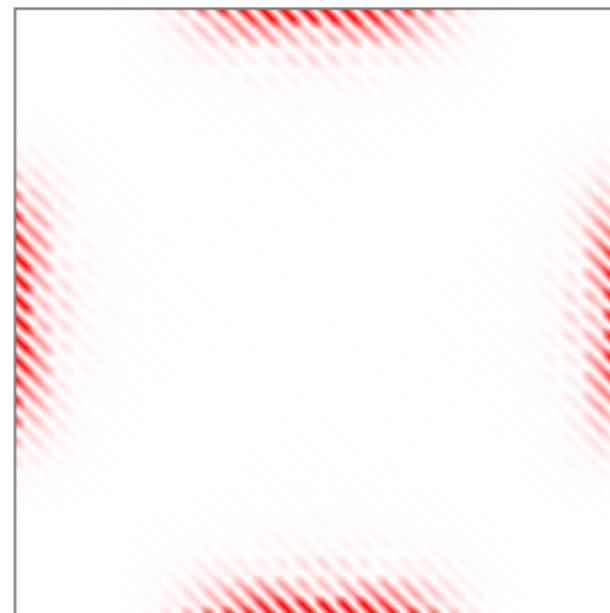
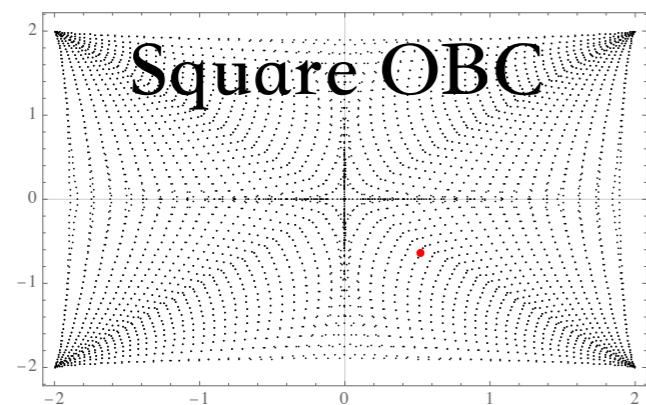
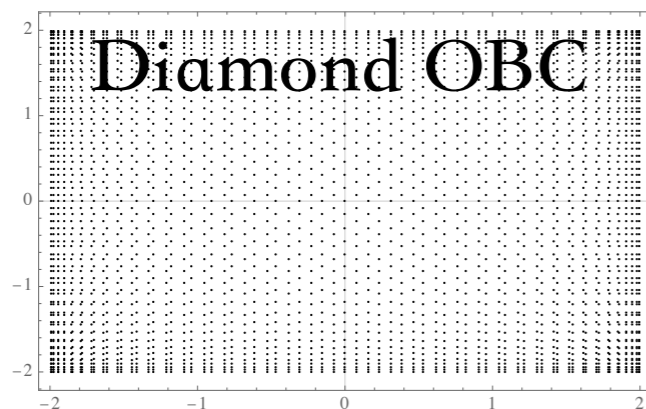
2D GBZ theory: WF approach

□ Wave function approach

→ We find such a minimal model that we want to solve

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→ Typical wave function



□ **Question:** what is the corresponding GBZ of this wave function?

2D GBZ theory: WF approach

□ Advantage

Notably, our approach offers two significant advantages that distinguish it from previous studies:

(i) **Direct GBZ Calculation:**

We can calculate the GBZ of $E_0 \in \sigma_G^{\text{OBC}}$ directly from the perspective of eigenstate wavefunction.

2D GBZ theory: WF approach

□ Advantage

Notably, our approach offers two significant advantages that distinguish it from previous studies:

(i) **Direct GBZ Calculation:**

We can calculate the GBZ of $E_0 \in \sigma_G^{\text{OBC}}$ directly from the perspective of eigenstate wavefunction.

(ii) **Geometry Considerations:**

Our approach allows us to explicitly discuss the role of geometry.

2D GBZ theory: developments

□ Main difficulty

→ Analytic difficulty

Now suppose that the Hamiltonian is $H(z_x, z_y)$ and the OBC geometry is G_0 .

$$\det[H(z_x, z_y) - E_0] = 0. \quad (1)$$

Here E_0 is an arbitrary complex energy (not necessarily to be the OBC eigenvalues).

2D GBZ theory: developments

□ Main difficulty

→ Analytic difficulty

Now suppose that the Hamiltonian is $H(z_x, z_y)$ and the OBC geometry is G_0 .

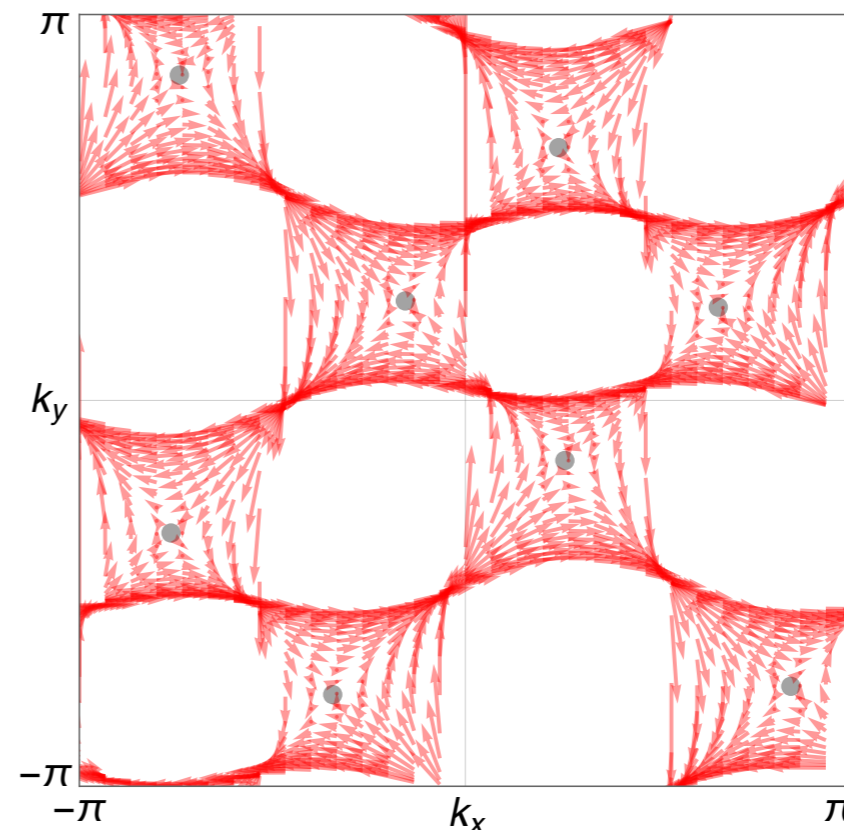
$$\det[H(z_x, z_y) - E_0] = 0. \quad (1)$$

Here E_0 is an arbitrary complex energy (not necessarily to be the OBC eigenvalues).

$$\left(\frac{2224}{4279} - \frac{1792 i}{2797} \right) - \frac{1}{z_x z_y} - \frac{z_x}{z_y} - \frac{z_y}{z_x} + z_x z_y$$

$$z_x = e^{ik_x + \mu_x}, \quad z_y = e^{ik_y + \mu_y}$$

$$(\mu_x(k_x, k_y), \mu_y(k_x, k_y))$$



2D GBZ theory: developments

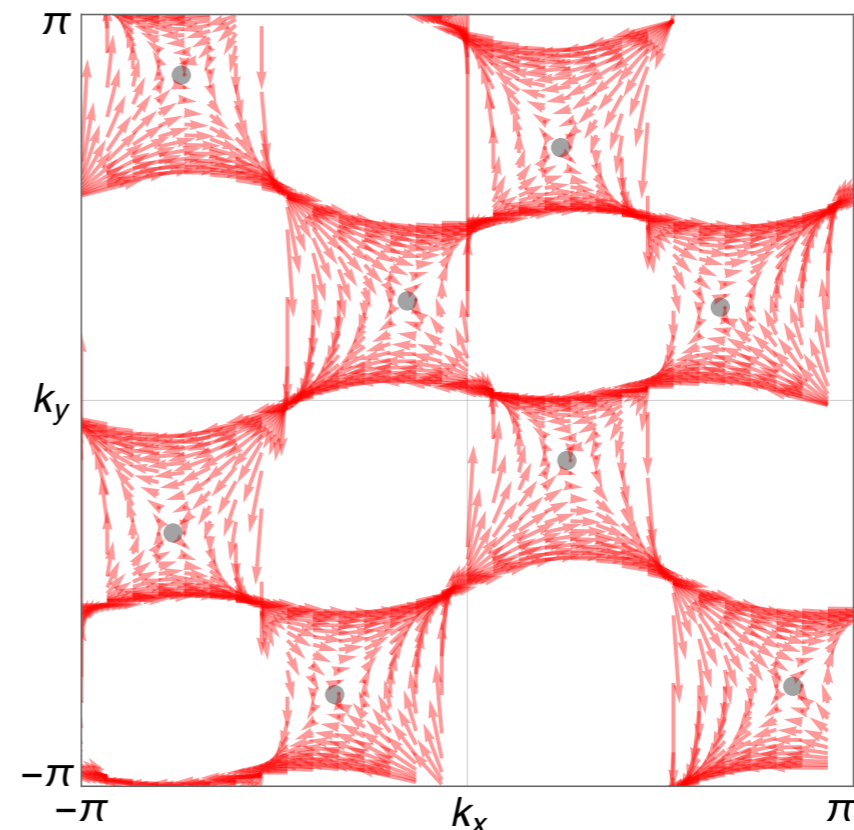
□ Main difficulty

→ Analytic difficulty

Defining the solution space of these characteristic equations as

$$F_H(E_0) := \{(z_x, z_y) \in C^2 \mid \det[E_0 - H(z_x, z_y)] = 0\}. \quad (2)$$

Then, each point in this solution space corresponds to a non-Bloch wave (or bulk solution), i.e. $|z_x, z_y\rangle$.



2D GBZ theory: developments

□ Main difficulty

→ Analytic difficulty

Second Step: The second step is to write down a linear superposition wavefunction, i.e., combining each non-Bloch wave linearly,

$$|\psi_{E_0, G}\rangle = \sum_{(z_x, z_y) \in F_H(E_0)} A_{E_0, G_0}(z_x, z_y) |z_x, z_y\rangle. \quad (3)$$

Here $A_{E_0, G_0}(z_x, z_y)$ is the linear superposition coefficient.

2D GBZ theory: developments

□ Main difficulty

→ Analytic difficulty

It is crucial to recognize that, for a given E_0 , there are infinity solutions for the following bulk equation

$$\det[H(z_x, z_y) - E_0] = 0.$$

Consequently, in principle, there exists an infinite number of linear superposition wavefunctions in the following equation

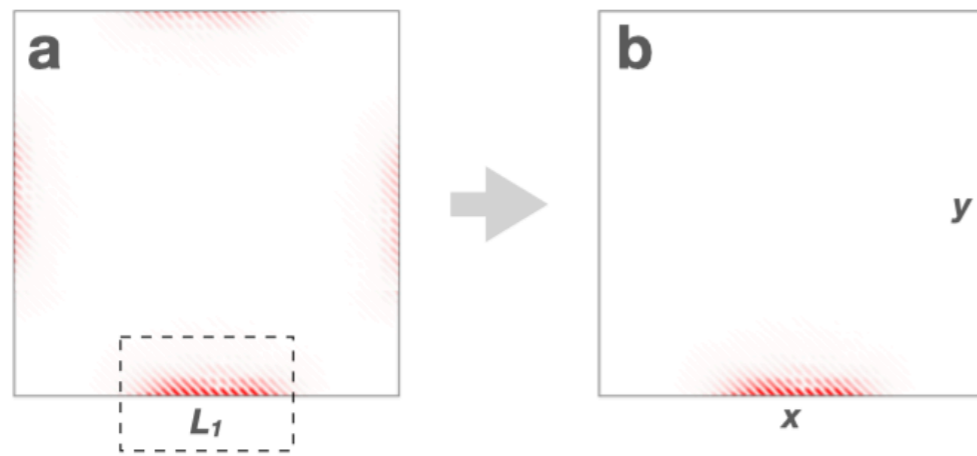
$$|\psi_{E_0, G}\rangle = \sum_{(z_x, z_y) \in F_H(E_0)} A_{E_0, G_0}(z_x, z_y) |z_x, z_y\rangle.$$

However, for a system of finite size, we only have a finite number of boundary conditions.

2D GBZ theory: WF approach

□ Dynamical duality method

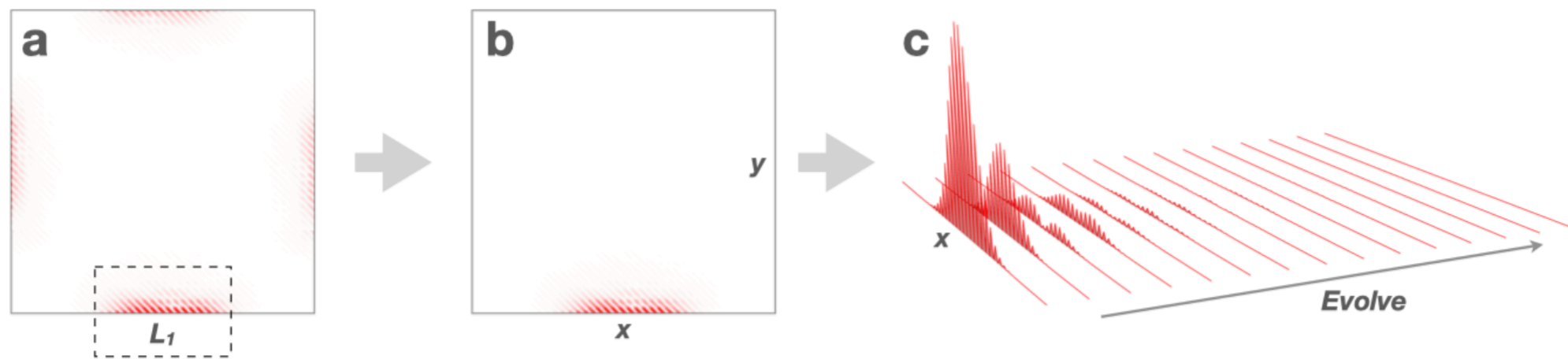
→ Basic idea



2D GBZ theory: WF approach

□ Dynamical duality method

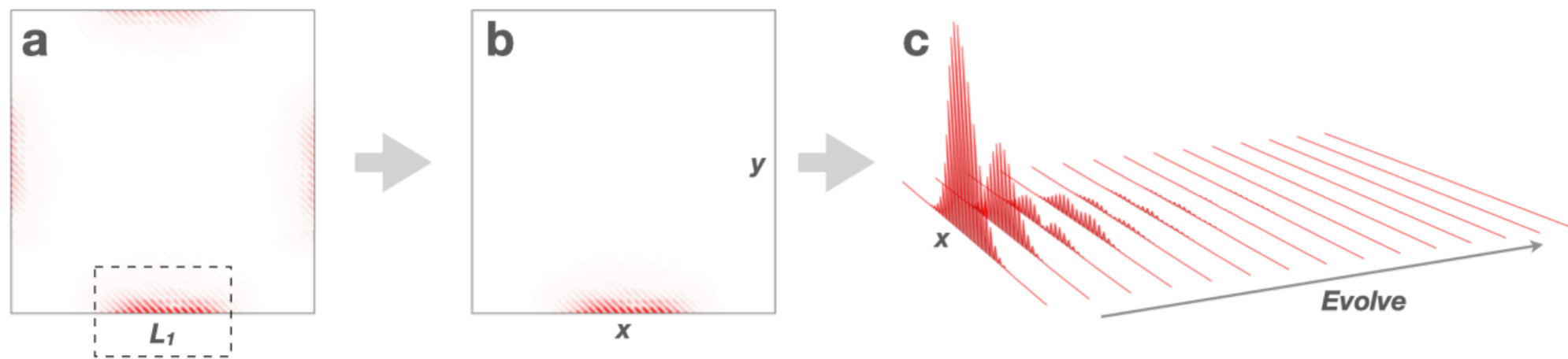
→ Basic idea



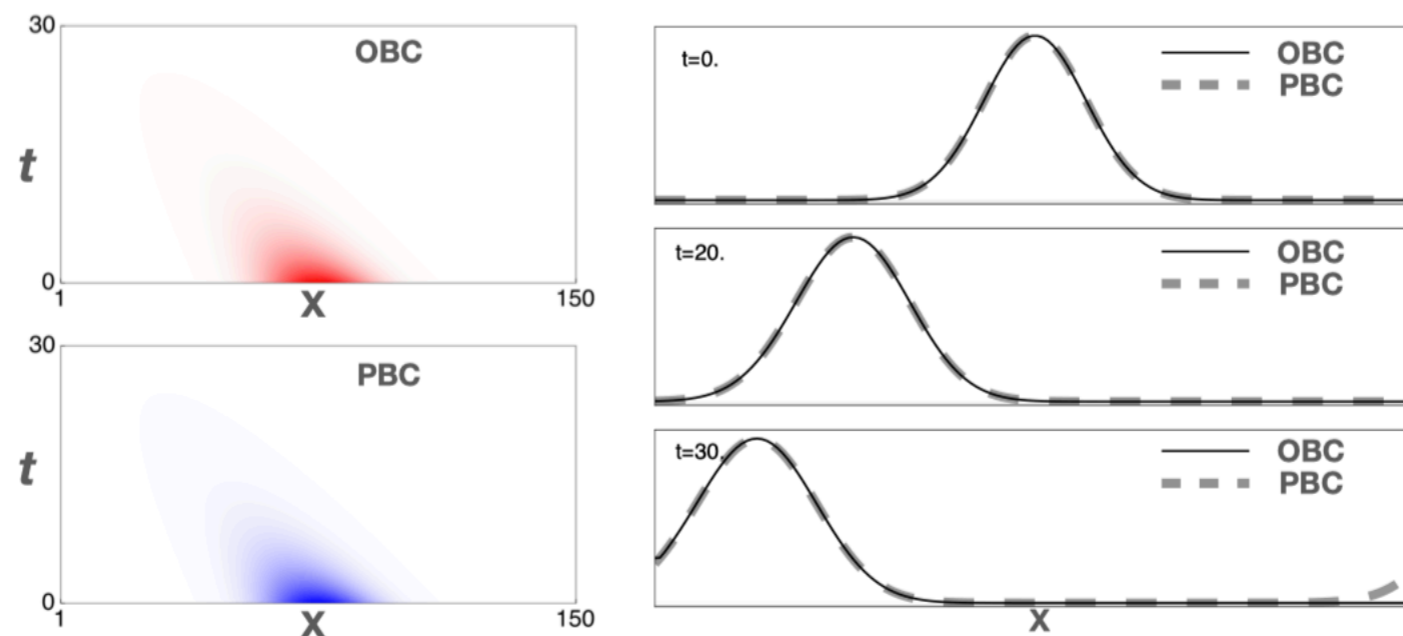
2D GBZ theory: WF approach

□ Dynamical duality method

→ Basic idea



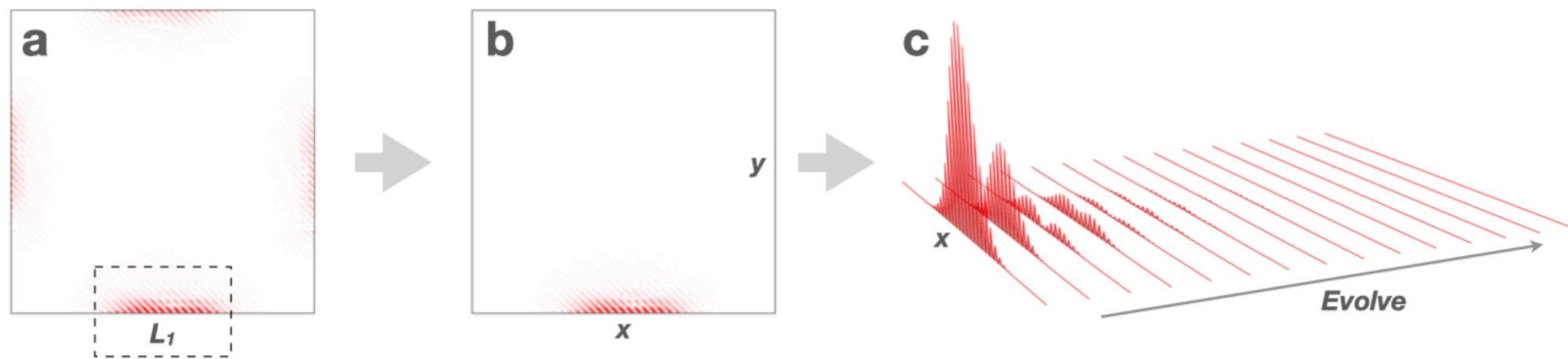
→ Observation



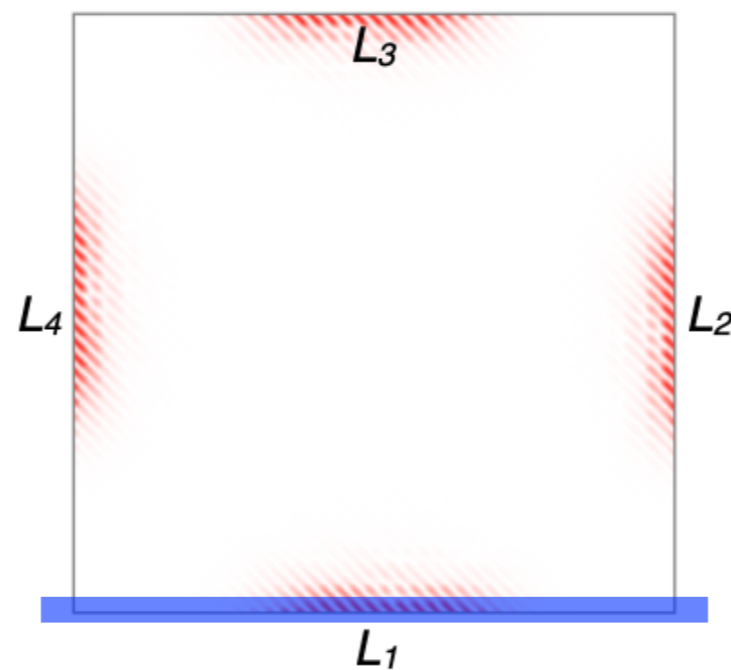
2D GBZ theory: WF approach

□ Dynamical duality method

→ Basic idea



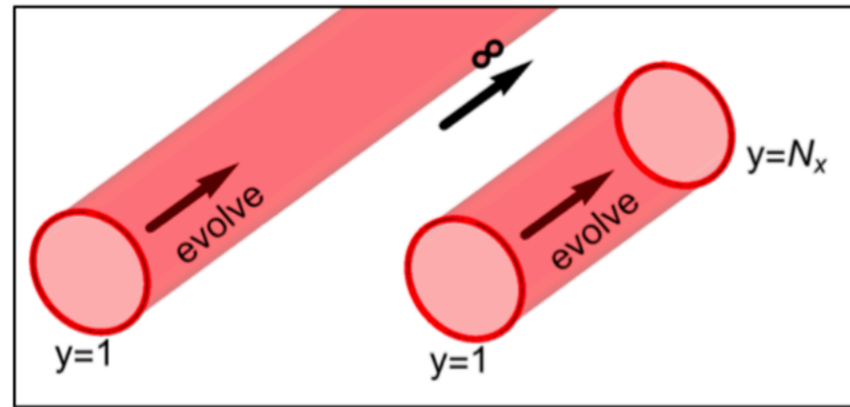
→ Central question



2D GBZ theory: WF approach

□ Dynamical duality method

→ Basic idea

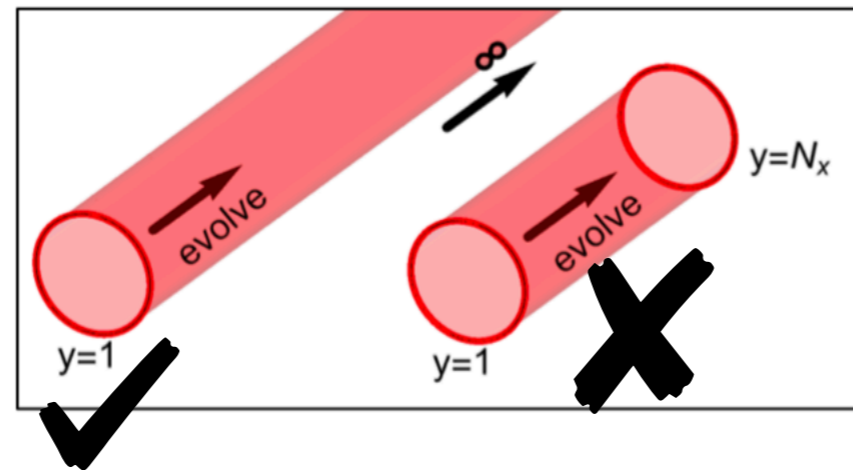


$$U_{k_x}^{\text{I/II}}(y) = \frac{1}{2\pi i} \oint_{\Gamma_{\text{I/II}}} \frac{dz_y}{z_y} \frac{z_y^y}{E_0 - H(z_x = e^{ik_x}, z_y) + i0^+}$$

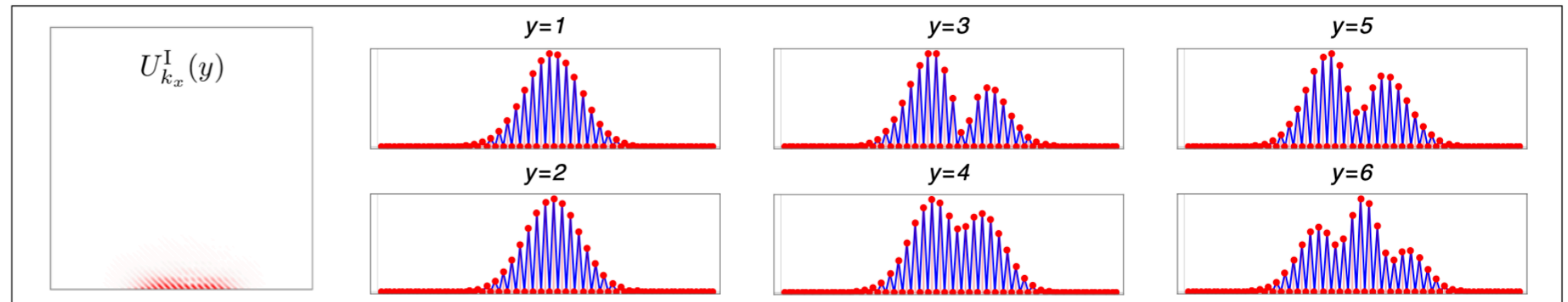
2D GBZ theory: WF approach

□ Dynamical duality method

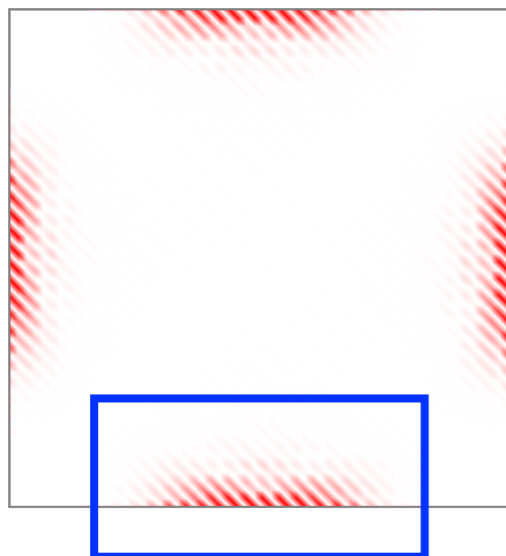
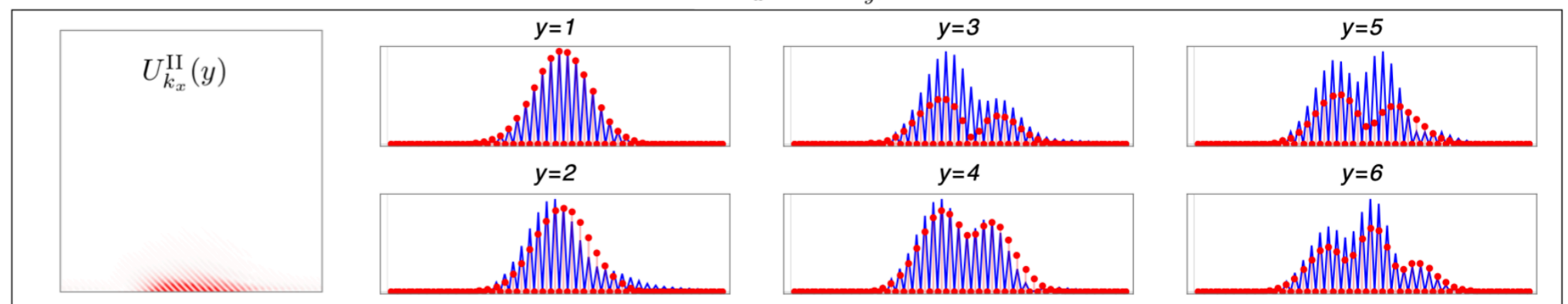
→ Basic idea



$PBC_x + \text{semi-infinite boundary condition}_y$



$PBC_x + OBC_y$

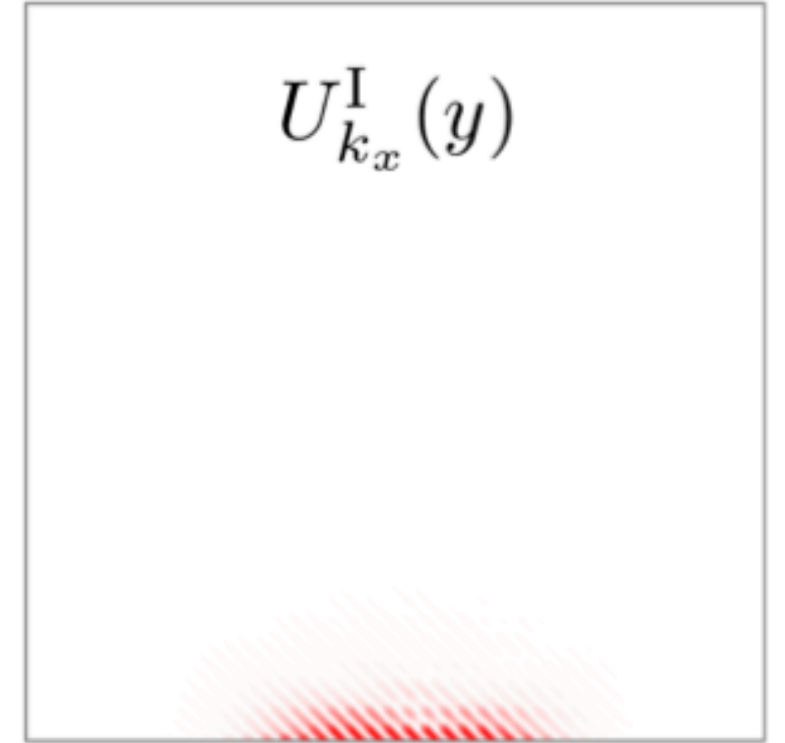


2D GBZ theory: WF approach

□ Sub-GBZ

→ Pick GBZ

$$\begin{aligned}
 & (-3.69767 \times 10^{-6} - 0.0000245306 i) (-0.505522 + 0.791911 i)^y e^{\frac{4i\pi x}{15}} + (3.69767 \times 10^{-6} + 0.0000245306 i) (0.807816 - 0.515675 i)^y e^{\frac{4i\pi x}{15}} + \\
 & (6.68379 \times 10^{-7} + 4.69063 \times 10^{-6} i) (0.479609 + 0.489001 i)^y e^{-\frac{3i\pi x}{10}} + (0.0000721745 + 0.000216555 i) (-0.450439 + 0.713447 i)^y e^{\frac{3i\pi x}{10}} - \\
 & (0.0000721745 + 0.000216555 i) (0.728119 - 0.459703 i)^y e^{\frac{3i\pi x}{10}} - (2.91465 \times 10^{-6} - 0.0000192649 i) (-0.682365 - 0.668668 i)^y e^{-\frac{1i\pi x}{3}} + \\
 & (2.91465 \times 10^{-6} - 0.0000192649 i) (0.422964 + 0.431628 i)^y e^{\frac{1i\pi x}{3}} - (0.000568431 + 0.00118188 i) (-0.394412 + 0.639979 i)^y e^{\frac{i\pi x}{3}} + \\
 & (0.000568431 + 0.00118188 i) (0.653813 - 0.402938 i)^y e^{\frac{i\pi x}{3}} + (0.0000506092 - 0.000154525 i) (-0.610477 - 0.597314 i)^y e^{-\frac{11i\pi x}{30}} - \\
 & (0.0000506092 - 0.000154525 i) (0.36457 + 0.372604 i)^y e^{-\frac{11i\pi x}{30}} + (0.00260979 + 0.00423381 i) (-0.335359 + 0.567939 i)^y e^{\frac{11i\pi x}{30}} - \\
 & (0.00260979 + 0.00423381 i) (0.581267 - 0.343229 i)^y e^{\frac{11i\pi x}{30}} - (0.000412168 - 0.000890787 i) (-0.538057 - 0.525128 i)^y e^{\frac{2i\pi x}{5}} + \\
 & (0.000412168 - 0.000890787 i) (0.301849 + 0.30928 i)^y e^{\frac{2i\pi x}{5}} - (0.00789507 + 0.01051 i) (-0.270314 + 0.493368 i)^y e^{\frac{2i\pi x}{5}} + (0.00789507 + 0.01051 i) (0.506522 - 0.277521 i)^y e^{\frac{2i\pi x}{5}} + \\
 & (0.00217967 - 0.0037283 i) (-0.460407 - 0.447346 i)^y e^{-\frac{13i\pi x}{30}} - (0.00217967 - 0.0037283 i) (0.23074 + 0.237477 i)^y e^{-\frac{13i\pi x}{30}} + (0.0171641 + 0.0191827 i) (-0.19391 + 0.410134 i)^y e^{\frac{13i\pi x}{30}} - \\
 & (0.0171641 + 0.0191827 i) (0.423576 - 0.200265 i)^y e^{\frac{13i\pi x}{30}} - (0.00860175 - 0.0121296 i) (-0.368421 - 0.354534 i)^y e^{-\frac{7i\pi x}{15}} + (0.00860175 - 0.0121296 i) (0.142536 + 0.14812 i)^y e^{-\frac{7i\pi x}{15}} - \\
 & (0.0312435 + 0.0293389 i) (-0.0919021 + 0.302849 i)^y e^{\frac{7i\pi x}{15}} + (0.0312435 + 0.0293389 i) (0.317787 - 0.0964352 i)^y e^{\frac{7i\pi x}{15}} + (0.0421258 - 0.046756 i) (-0.224648 - 0.205283 i)^y e^{-\frac{1i\pi x}{2}} - \\
 & (0.0421258 - 0.0467562 i) (0.224648 + 0.205283 i)^y e^{\frac{1i\pi x}{2}} - (0.0312434 + 0.0293389 i) (-0.317787 + 0.0964352 i)^y e^{-\frac{8i\pi x}{15}} + (0.0312434 + 0.0293389 i) (0.0919021 - 0.302849 i)^y e^{-\frac{8i\pi x}{15}} - \\
 & (0.00860174 - 0.0121297 i) (-0.142536 - 0.14812 i)^y e^{\frac{8i\pi x}{15}} + (0.00860174 - 0.0121297 i) (0.368421 + 0.354534 i)^y e^{\frac{8i\pi x}{15}} + (0.017164 + 0.0191827 i) (-0.423576 + 0.200265 i)^y e^{-\frac{17i\pi x}{30}} - \\
 & (0.017164 + 0.0191827 i) (0.19391 - 0.410134 i)^y e^{-\frac{17i\pi x}{30}} + (0.00217966 - 0.00372832 i) (-0.23074 - 0.237477 i)^y e^{\frac{17i\pi x}{30}} - (0.00217966 - 0.00372832 i) (0.460407 + 0.447346 i)^y e^{\frac{17i\pi x}{30}} - \\
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 \end{aligned}$$



$$(3.69761 \times 10^{-6} + 0.0000245305 i) (0.505522 - 0.791911 i)^y e^{-\frac{11i\pi x}{15}}$$

→ Subset of $F_H(E_0) := \{(z_x, z_y) \in C^2 \mid \det[E_0 - H(z_x, z_y)] = 0\}$. (2)

2D GBZ theory: WF approach

□ Sub-GBZ

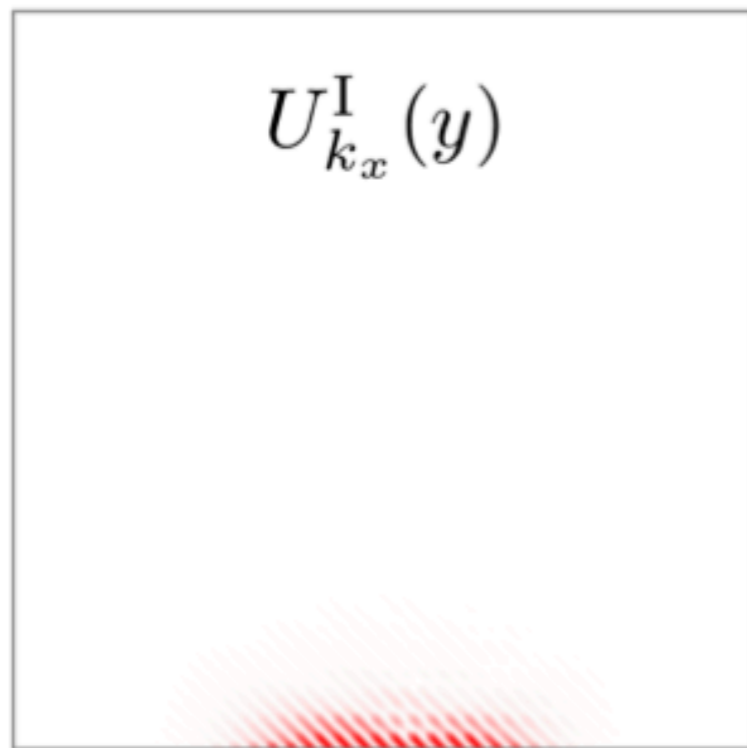
→ Pick GBZ

$$\begin{aligned} & \left\{ \left\{ \frac{4\pi}{15}, 2.13894 - 0.0623983i \right\}, \left\{ \frac{4\pi}{15}, -0.568147 - 0.0425134i \right\}, \left\{ -\frac{3\pi}{10}, 0.795094 - 0.37842i \right\}, \left\{ \frac{3\pi}{10}, 2.13395 - 0.169908i \right\}, \left\{ \frac{3\pi}{10}, -0.563157 - 0.149551i \right\}, \left\{ -\frac{\pi}{3}, -2.36633 - 0.0456525i \right\}, \right. \\ & \left. \left\{ -\frac{\pi}{3}, 0.795536 - 0.503654i \right\}, \left\{ \frac{\pi}{3}, 2.12311 - 0.285346i \right\}, \left\{ \frac{\pi}{3}, -0.552311 - 0.26396i \right\}, \left\{ -\frac{11\pi}{30}, -2.36709 - 0.157721i \right\}, \left\{ -\frac{11\pi}{30}, 0.796296 - 0.651446i \right\}, \right. \\ & \left. \left\{ \frac{11\pi}{30}, 2.10419 - 0.416181i \right\}, \left\{ \frac{11\pi}{30}, -0.533393 - 0.392986i \right\}, \left\{ -\frac{2\pi}{5}, -2.36835 - 0.28523i \right\}, \left\{ -\frac{2\pi}{5}, 0.797558 - 0.838947i \right\}, \left\{ \frac{2\pi}{5}, 2.07202 - 0.575245i \right\}, \right. \\ & \left. \left\{ \frac{2\pi}{5}, -0.501225 - 0.548932i \right\}, \left\{ -\frac{13\pi}{30}, -2.37058 - 0.443253i \right\}, \left\{ -\frac{13\pi}{30}, 0.799785 - 1.10529i \right\}, \left\{ \frac{13\pi}{30}, 2.01244 - 0.790398i \right\}, \left\{ \frac{13\pi}{30}, -0.441648 - 0.758149i \right\}, \right. \\ & \left. \left\{ -\frac{7\pi}{15}, -2.3754 - 0.670798i \right\}, \left\{ -\frac{7\pi}{15}, 0.804606 - 1.58201i \right\}, \left\{ \frac{7\pi}{15}, 1.86542 - 1.15047i \right\}, \left\{ \frac{7\pi}{15}, -0.294626 - 1.10233i \right\}, \left\{ -\frac{\pi}{2}, -2.40121 - 1.18969i \right\}, \left\{ \frac{\pi}{2}, 0.740388 - 1.18969i \right\}, \right. \\ & \left. \left\{ -\frac{8\pi}{15}, 2.84697 - 1.10233i \right\}, \left\{ -\frac{8\pi}{15}, -1.27617 - 1.15047i \right\}, \left\{ \frac{8\pi}{15}, -2.33699 - 1.58201i \right\}, \left\{ \frac{8\pi}{15}, 0.766191 - 0.670798i \right\}, \left\{ -\frac{17\pi}{30}, 2.69994 - 0.758149i \right\}, \right. \\ & \left. \left\{ -\frac{17\pi}{30}, -1.12915 - 0.790398i \right\}, \left\{ \frac{17\pi}{30}, -2.34181 - 1.10529i \right\}, \left\{ \frac{17\pi}{30}, 0.771011 - 0.443253i \right\}, \left\{ -\frac{3\pi}{5}, 2.64037 - 0.548932i \right\}, \left\{ -\frac{3\pi}{5}, -1.06957 - 0.575245i \right\}, \right. \\ & \left. \left\{ \frac{3\pi}{5}, -2.34403 - 0.838947i \right\}, \left\{ \frac{3\pi}{5}, 0.773238 - 0.28523i \right\}, \left\{ -\frac{19\pi}{30}, 2.6082 - 0.392986i \right\}, \left\{ -\frac{19\pi}{30}, -1.0374 - 0.416181i \right\}, \left\{ \frac{19\pi}{30}, -2.3453 - 0.651446i \right\}, \right. \\ & \left. \left\{ \frac{19\pi}{30}, 0.7745 - 0.157721i \right\}, \left\{ -\frac{2\pi}{3}, 2.58928 - 0.26396i \right\}, \left\{ -\frac{2\pi}{3}, -1.01849 - 0.285346i \right\}, \left\{ \frac{2\pi}{3}, -2.34606 - 0.503654i \right\}, \left\{ \frac{2\pi}{3}, 0.775261 - 0.0456525i \right\}, \right. \\ & \left. \left\{ -\frac{7\pi}{10}, 2.57844 - 0.149551i \right\}, \left\{ -\frac{7\pi}{10}, -1.00764 - 0.169908i \right\}, \left\{ \frac{7\pi}{10}, -2.3465 - 0.37842i \right\}, \left\{ -\frac{11\pi}{15}, 2.57345 - 0.0425134i \right\}, \left\{ -\frac{11\pi}{15}, -1.00265 - 0.0623983i \right\} \right\} \end{aligned}$$

2D GBZ theory: WF approach

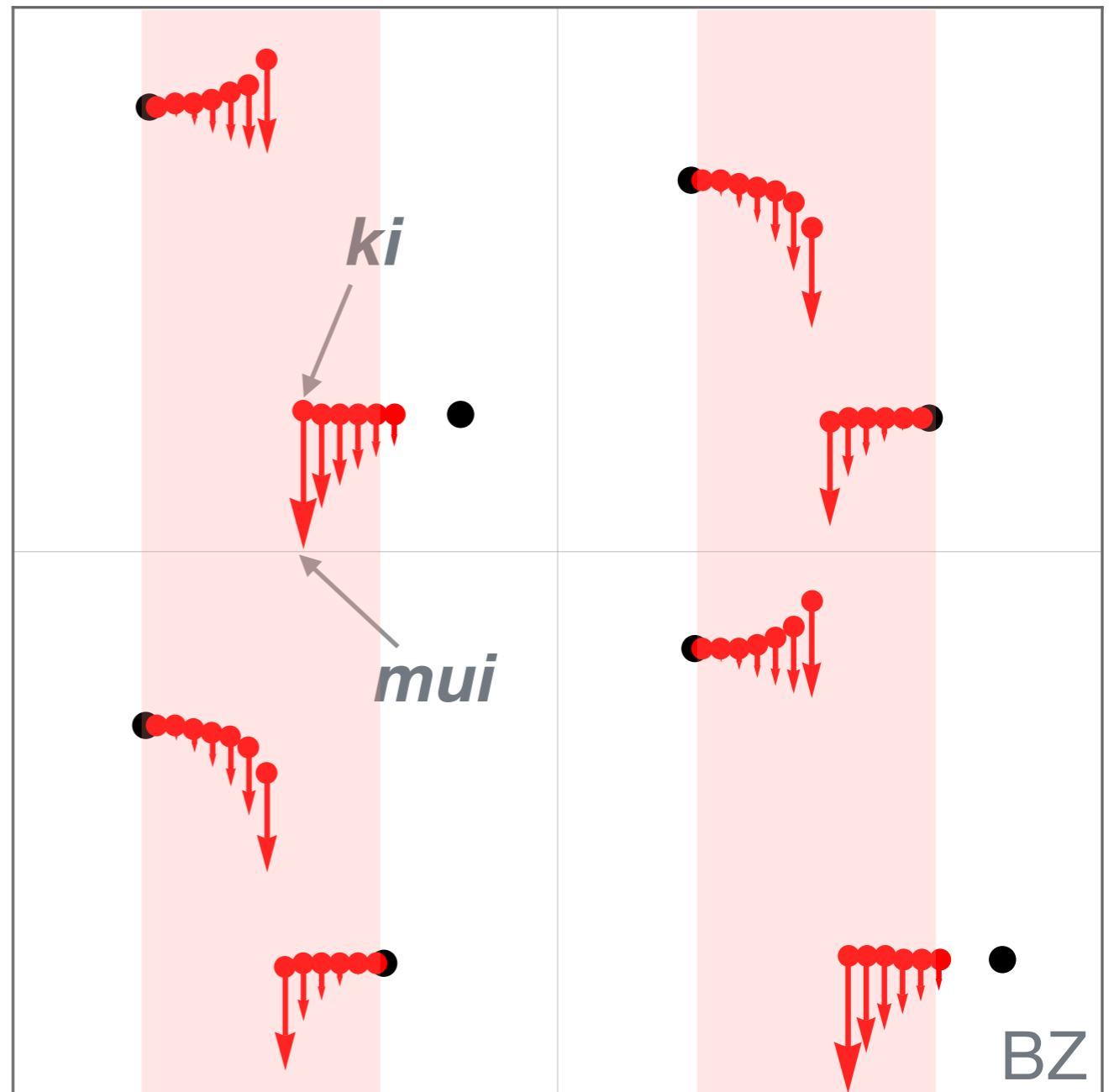
□ Sub-GBZ

→ Pick GBZ



→ Sub-GBZ

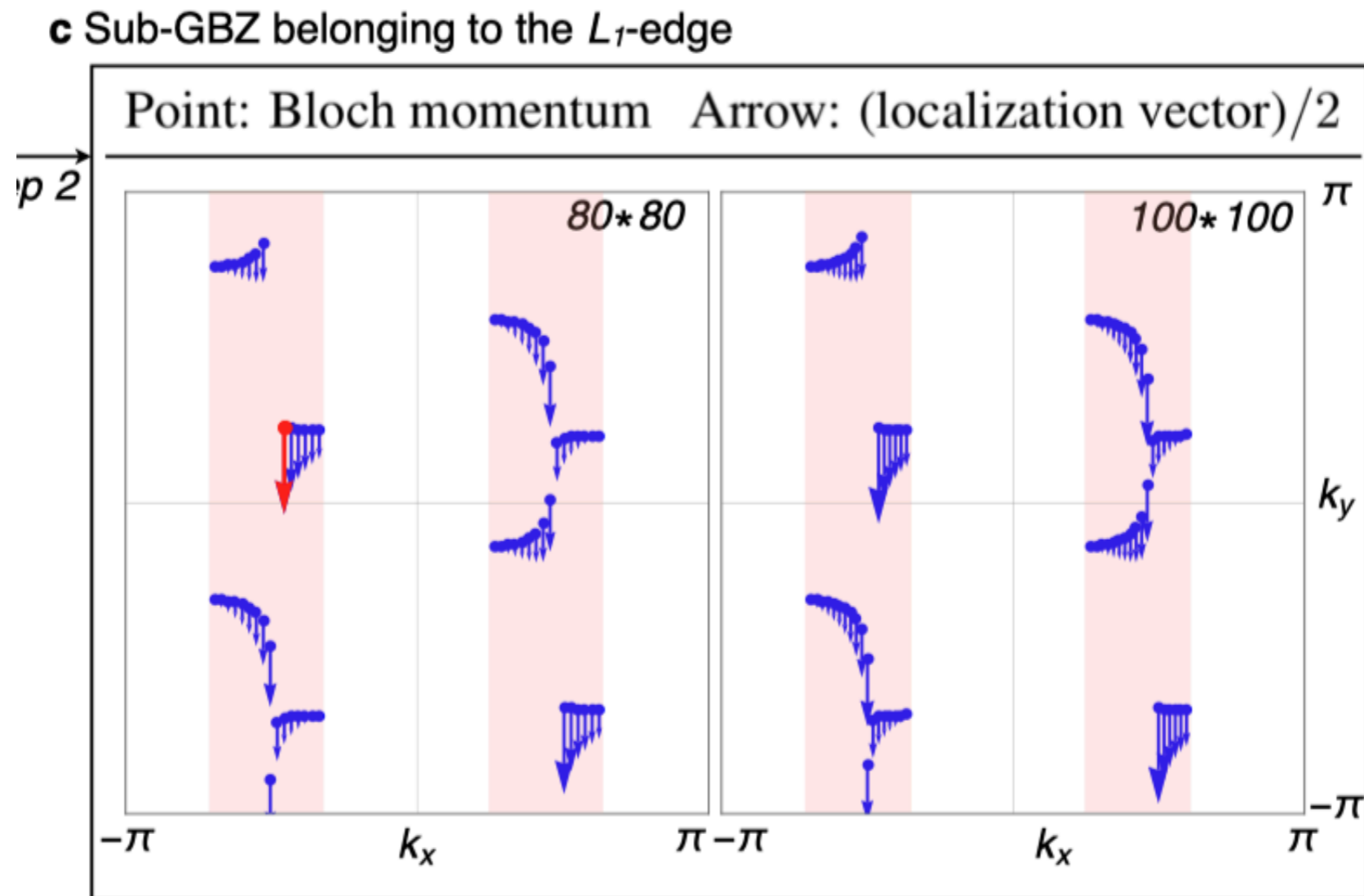
→ $\text{mux}=0$



2D GBZ theory: WF approach

□ Sub-GBZ

→ Increase lattice size



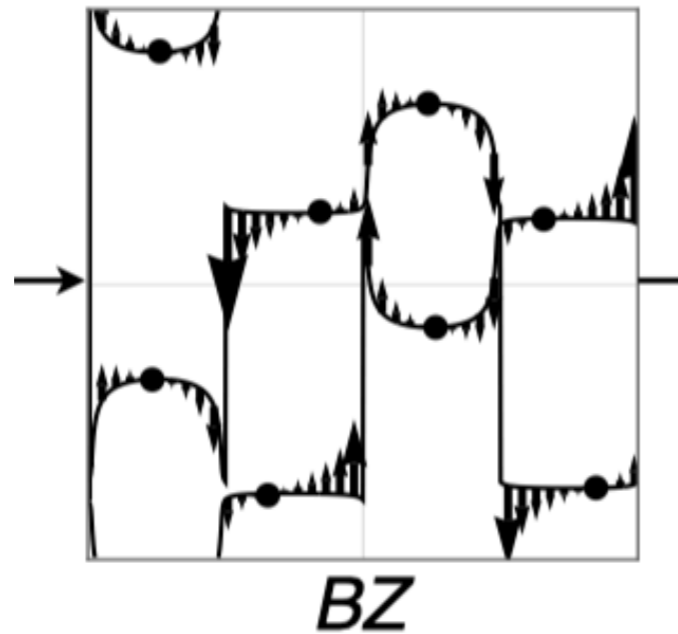
How to calculate the result with $N \rightarrow$ infinity??

2D GBZ theory: WF approach

□ Sub-GBZ

→ Auxiliary GBZ

b Auxiliary GBZ

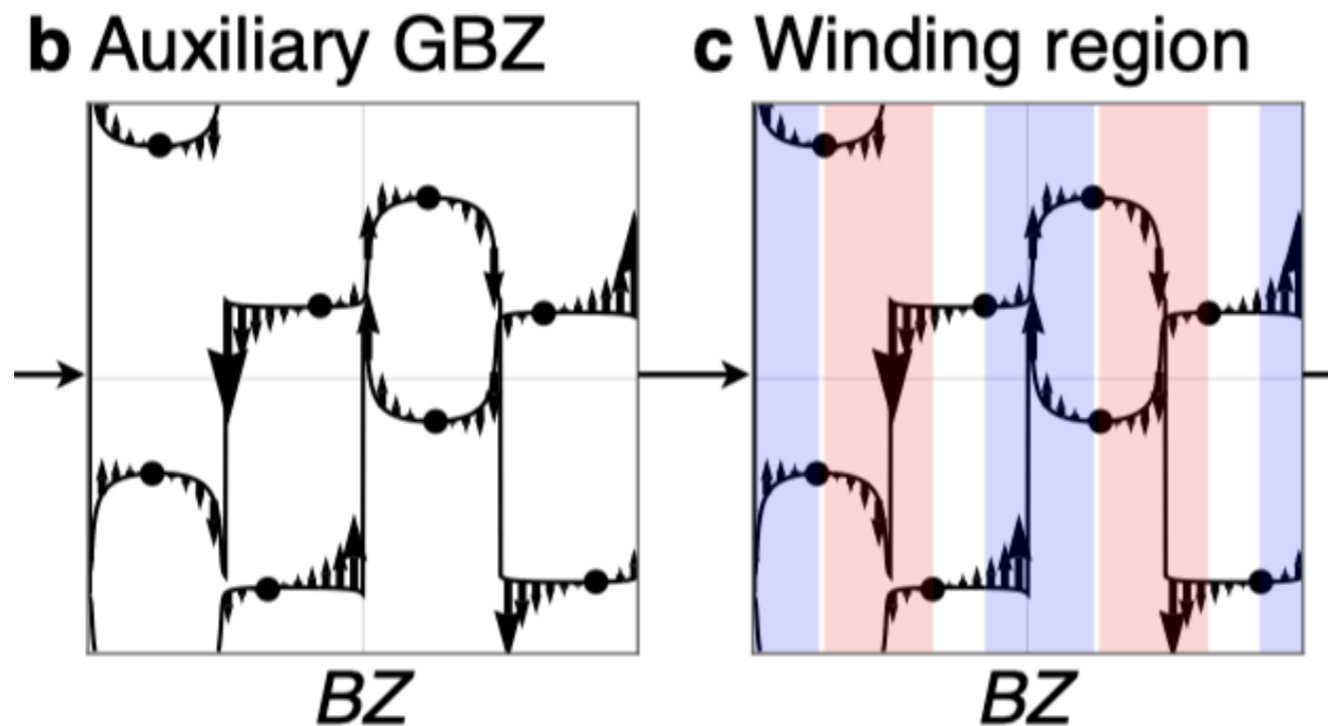


$$\det[E_0 - H(e^{ik_x}, e^{ik_y + \mu_y})] = 0,$$

2D GBZ theory: WF approach

□ Sub-GBZ

→ Auxiliary GBZ

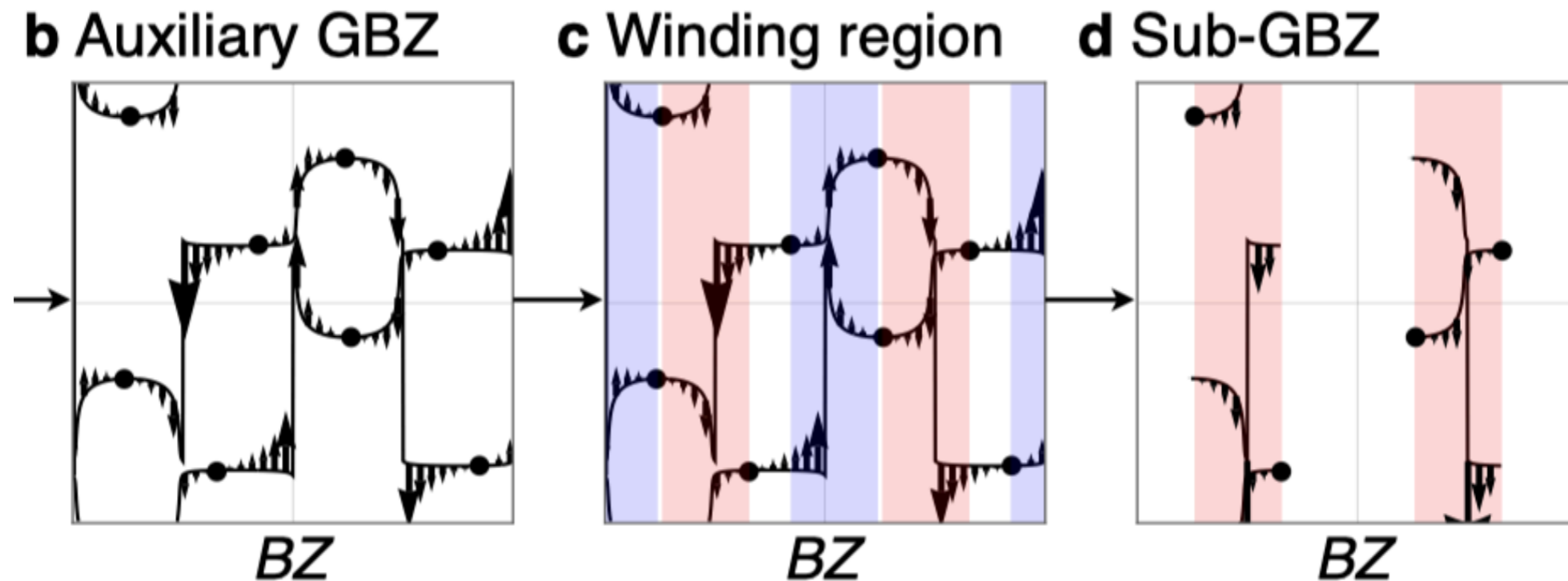


$$\nu_{L_1}(k_x) = \frac{1}{2\pi i} \int_{-\pi}^{\pi} dk_y \partial_{k_y} \ln \det[E_0 - H(e^{ik_x}, e^{ik_y})].$$

2D GBZ theory: WF approach

□ Sub-GBZ

→ Auxiliary GBZ



→ GBZ

$$\beta_{E_0, G_{sq}} = \beta_{E_0, L_1} \cup \beta_{E_0, L_2} \cup \beta_{E_0, L_3} \cup \beta_{E_0, L_4},$$

→ The role of OBC geometry

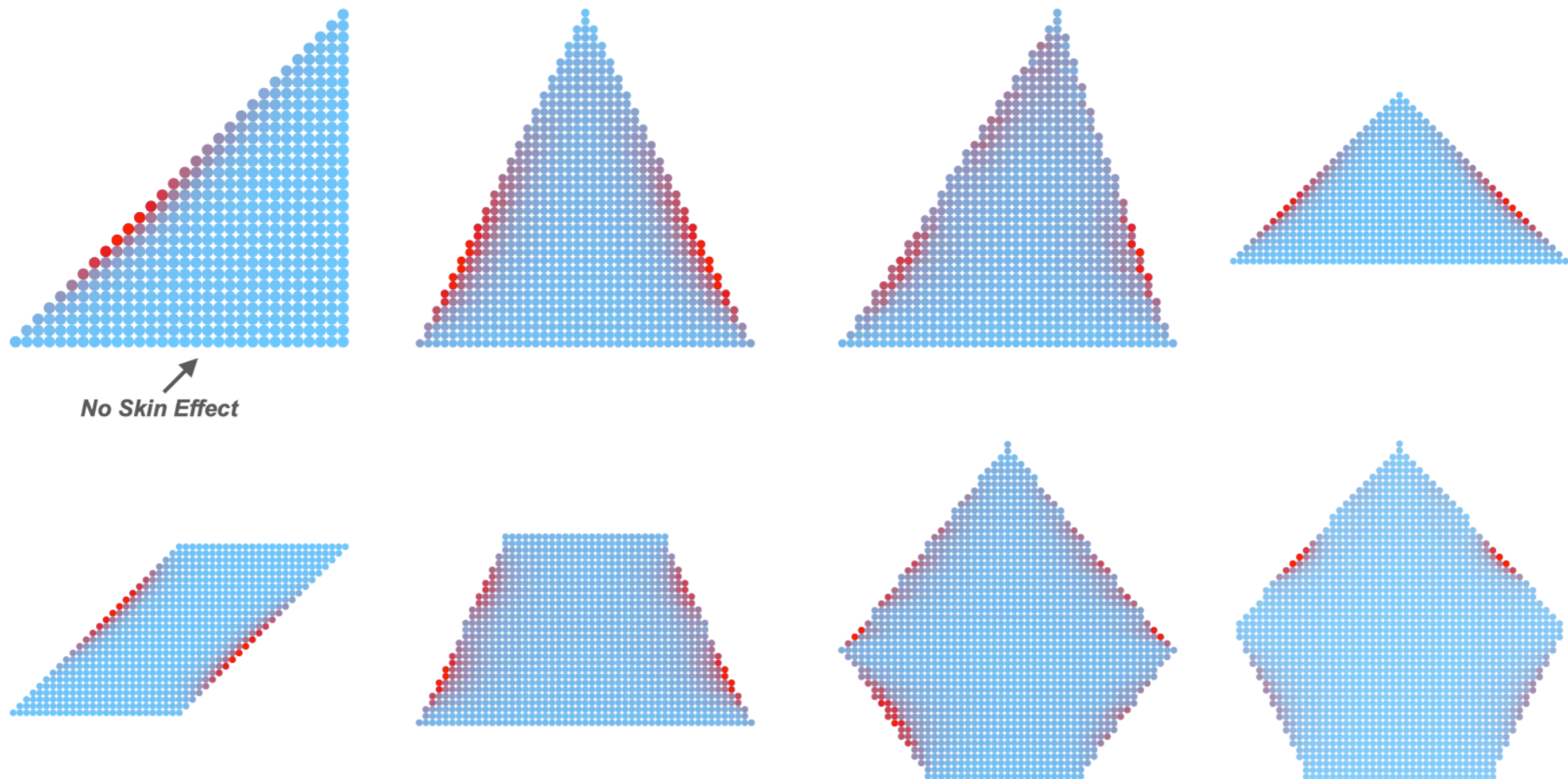
→ OBC spectrum

2D GBZ theory: WF approach

□ Geometry independent quantities

Zhang, ZY, and Fang, Hu NC 13, 2496 (2022).

→ **Hint 2:** Particular edge

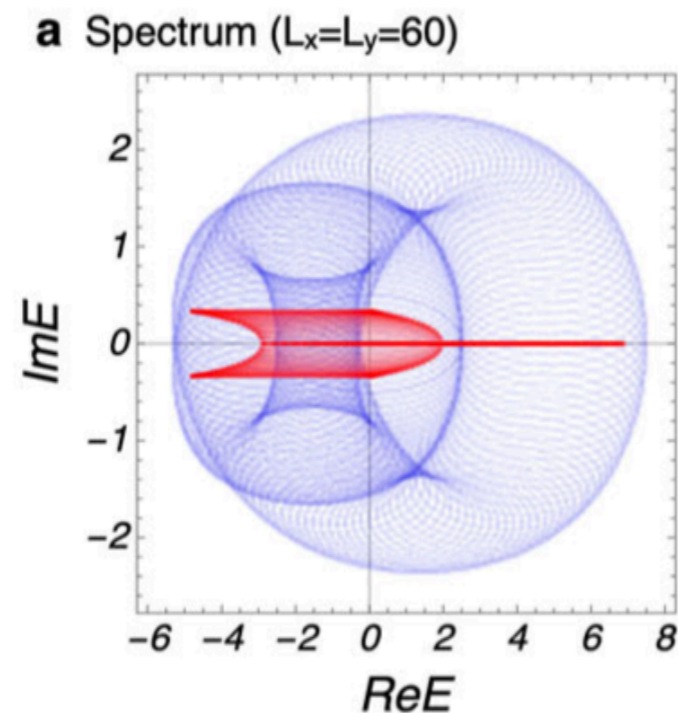


2D GBZ theory: numerical summary

□ GRSE v.s. NRSE

Zhang, ZY, and Fang, Hu NC 13, 2496 (2022).

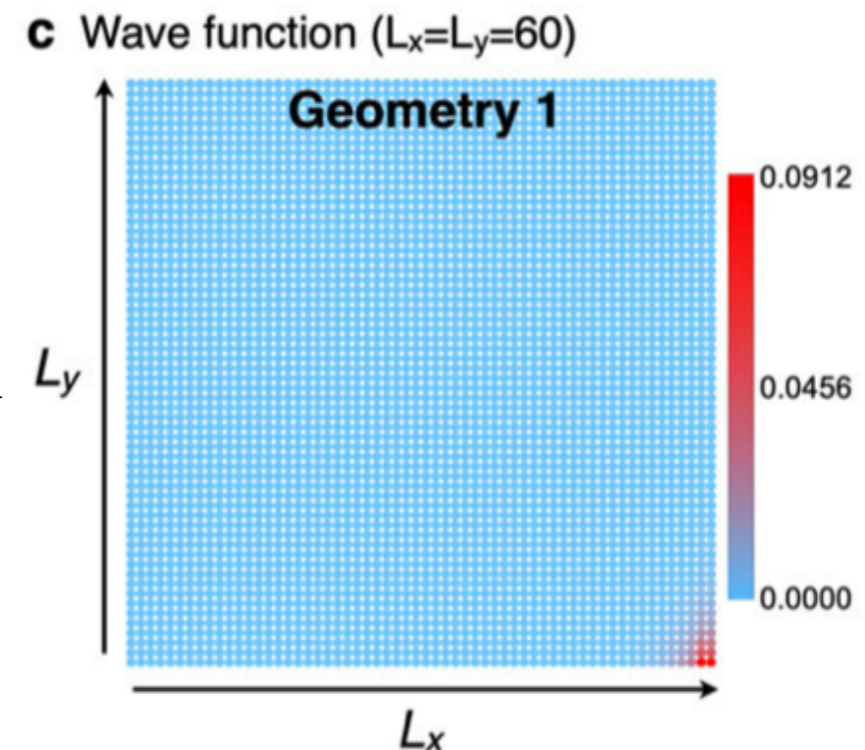
→ Two types of NHSE: non-reciprocal skin effect



→ Why coverage regions

$$\sigma^{\text{PBC}} \neq \sigma^{\text{OBC}}$$

→ Why corner localization L_y

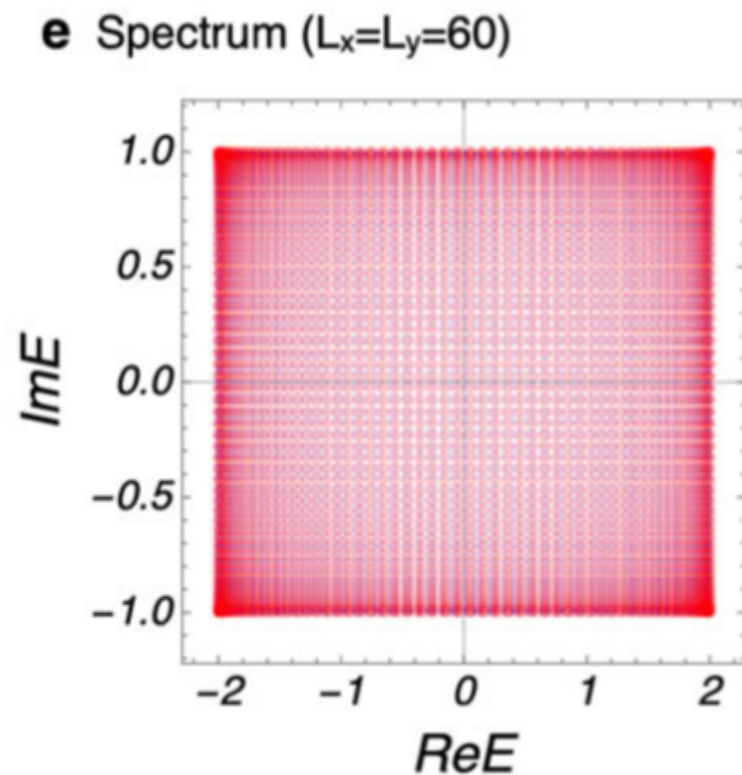


2D GBZ theory: numerical summary

□ GRSE v.s. NRSE

Zhang, ZY, and Fang, Hu NC 13, 2496 (2022).

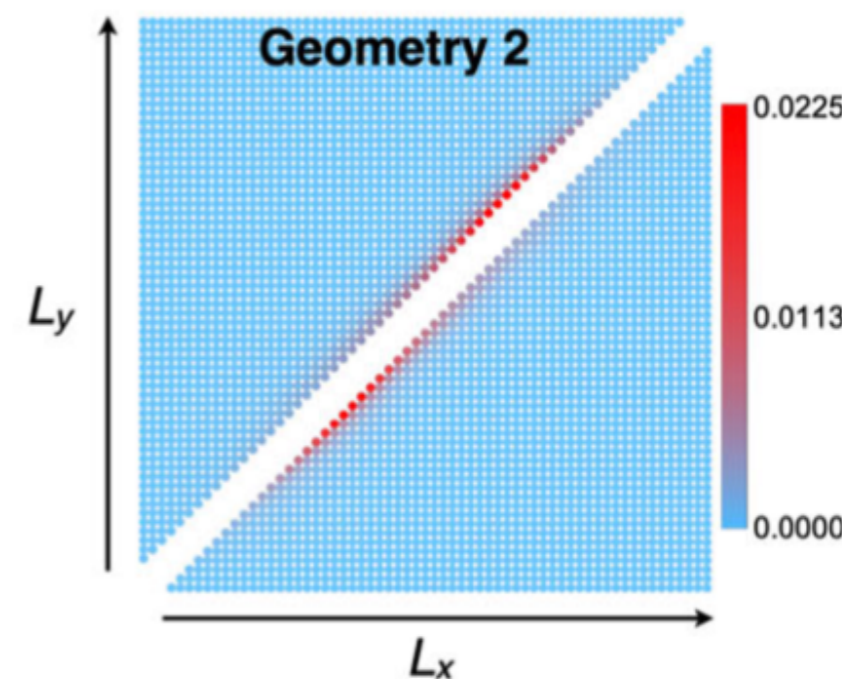
→ Two types of NHSE: generalized reciprocal skin effect



→ Why common coverage regions

$$\text{GRSE} : \sigma^{\text{PBC}} = \sigma^{\text{OBC}}$$

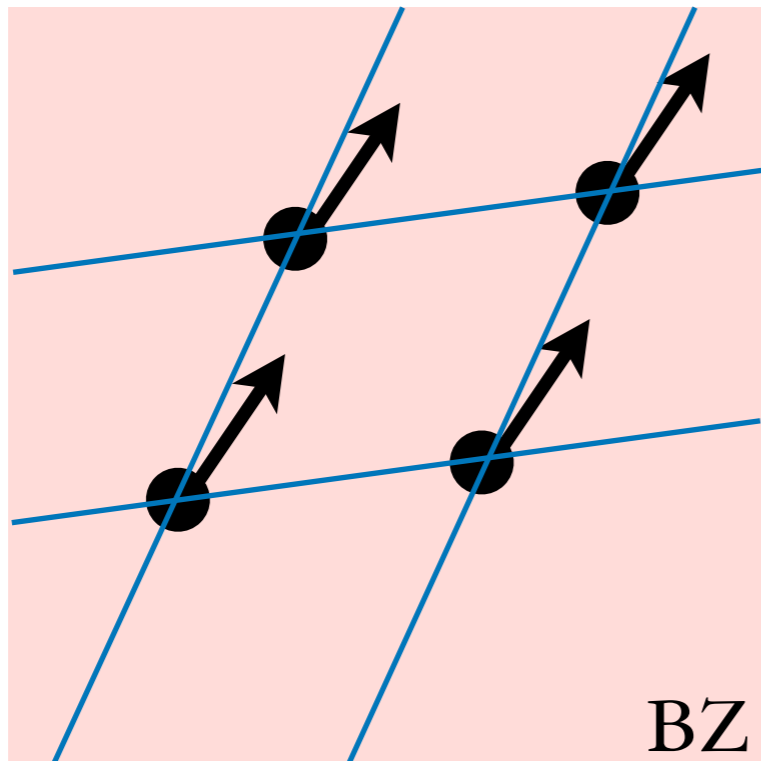
→ Why edge localization



2D GBZ theory: WF approach

□ Puzzles

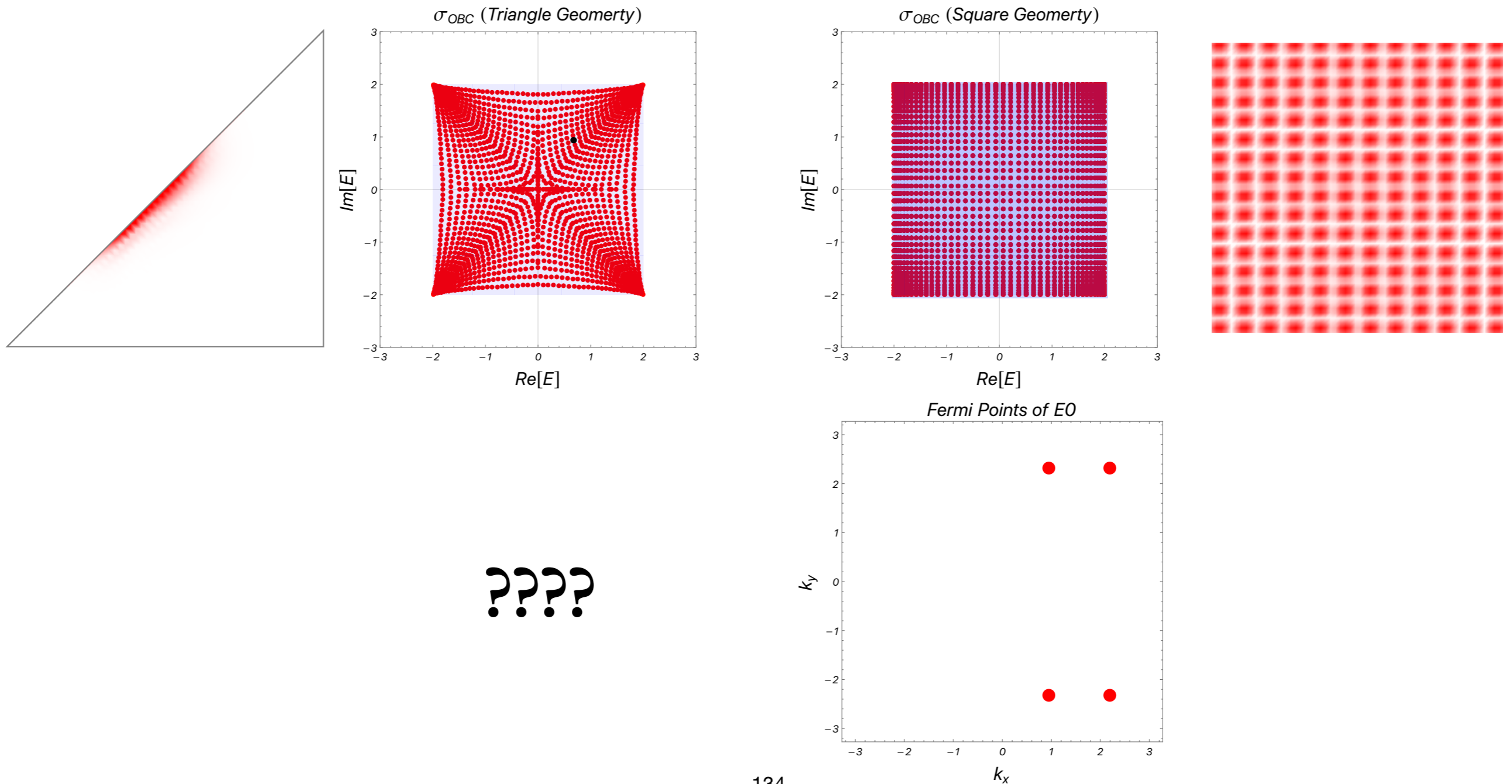
→ Puzzle 1: Scattering channel and standing wave



2D GBZ theory: WF approach

□ Puzzles

→ Puzzle 2: Geometry dependent skin effect



????

2D GBZ theory: WF approach

□ Puzzles

→ Puzzle 3: OBC spectral coverage

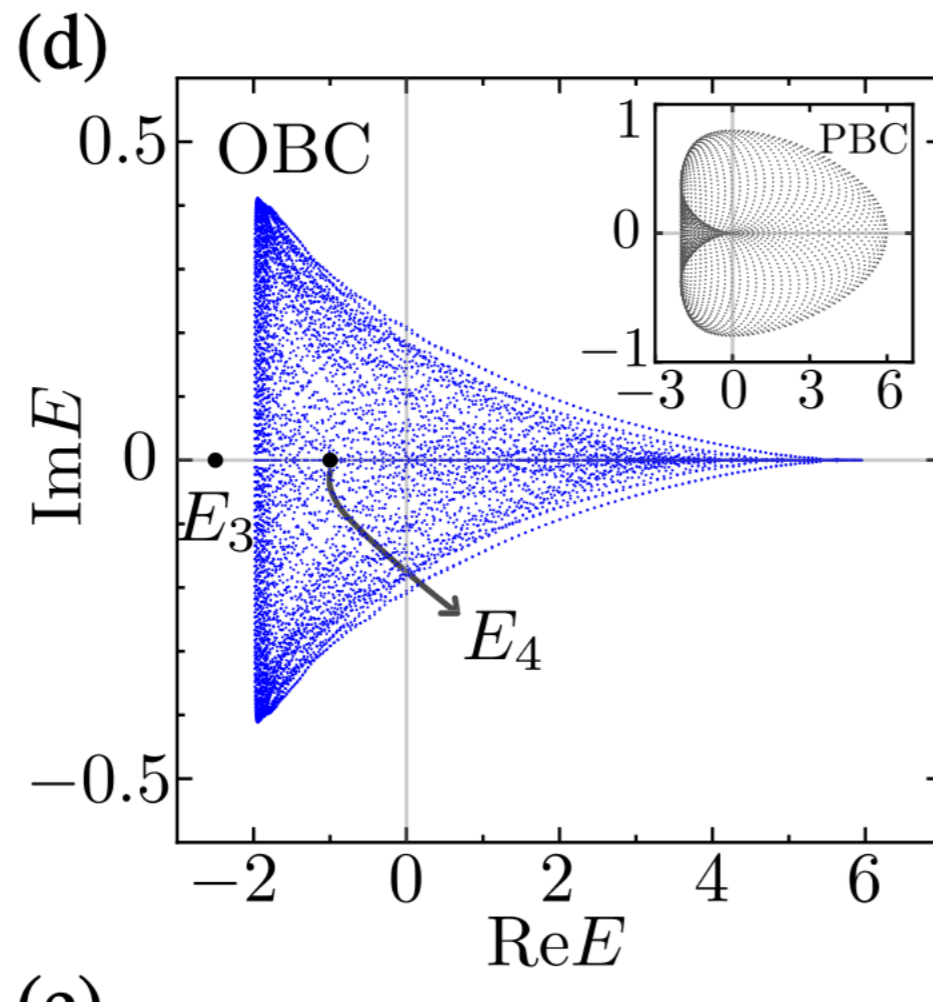
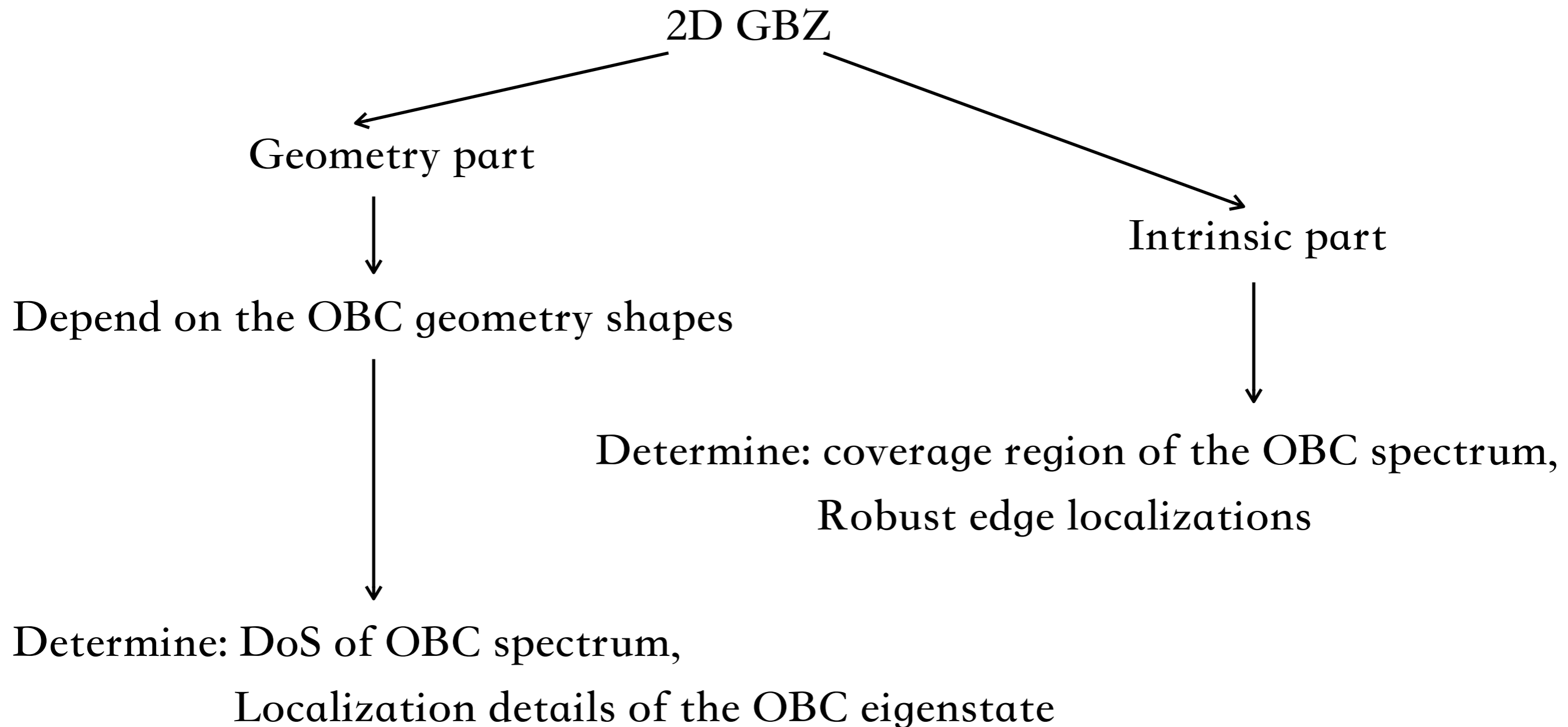


Figure from Amoeba theory

2D GBZ theory: WF approach

□ Summary

→ Our basic physical picture on the 2D GBZ



2D GBZ theory: WF approach

□ Questions related to the 2D GBZ

For a given 2D non-Hermitian Hamiltonian within a given OBC geometry, denoted as G_0 , the GBZ theory should answer:

1. what is the coverage region of the OBC spectrum, denoted by $\sigma_{G_0}^{\text{OBC}}$?
2. what is the density of states on the OBC spectrum?
3. for a given $E_0 \in \sigma_{G_0}^{\text{OBC}}$, what is the corresponding OBC eigenstate and GBZ?
4. when the OBC geometry G_0 undergoes changes, how do the above three quantities change accordingly, and is there a fundamental rule to identify the corresponding changes?

2D GBZ theory: WF approach

□ Summary

Our central conclusion is that the 2D GBZ comprises an intrinsic component dubbed as the **intrinsic GBZ**, alongside a geometry-dependent counterpart referred to as the **geometry-dependent GBZ**.

Firstly, for the intrinsic GBZ, we have identified these parts as the **Fermi points** for the Generalized Reciprocal Skin Effect (GRSE) and the **non-Bloch Fermi points** for the Non-Reciprocal Skin Effect (NRSE). Physically, the intrinsic GBZ plays a pivotal role in determining the coverage of the OBC spectrum σ_G^{OBC} , which remarkably remains invariant under variations of OBC geometry G .

Secondly, the geometry-dependent GBZ has been proved corresponding to the non-Bloch Equal Frequency Contours (**non-Bloch EFCs**), which can be effectively approximated using the **asymptotic GBZ theory**. Notably, as the OBC geometry evolves, the corresponding geometry-dependent GBZ undergoes changes, influencing not only the density of states within σ_G^{OBC} but also the localization properties of the associated eigenstate wavefunctions. This explains the geometrical dependent behaviours of the 2D NHSE.

Thans for your attention

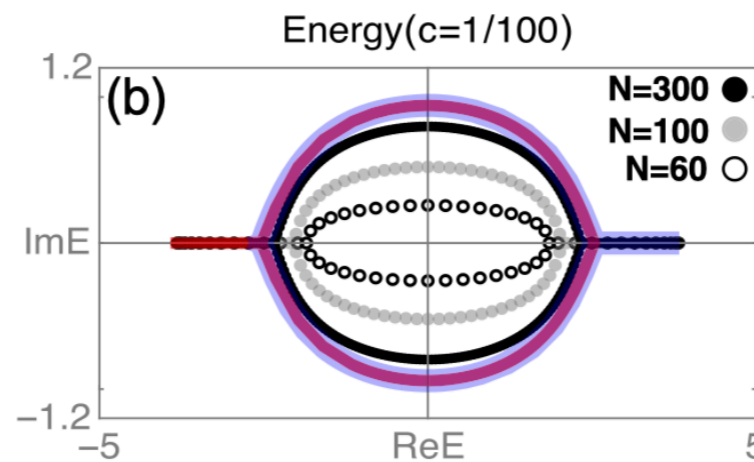
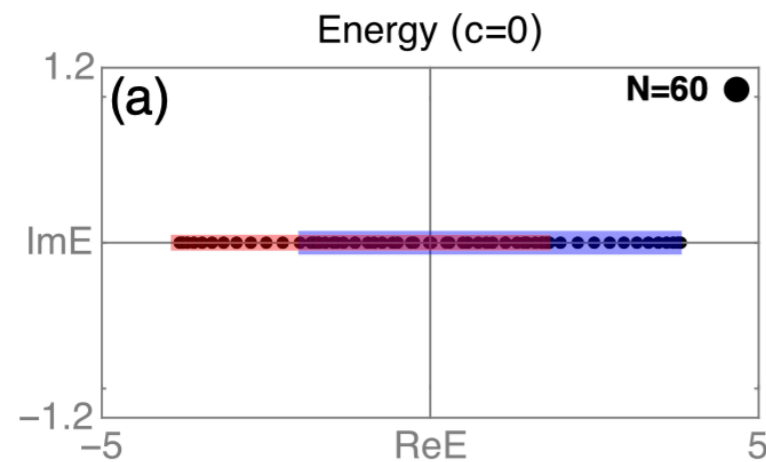
1D GBZ theory: review

□ 1D GBZ calculation: numerical method

$$\mathcal{H}(\beta) = \begin{pmatrix} t_0 + t_{-1}/\beta + t_1\beta & c \\ c & w_0 + w_{-1}/\beta + w_1\beta \end{pmatrix}, \quad (6)$$

→ Critical skin effect

with $t_0=4, t_1=t_{-1}=1, w_0=-2, w_1=3, w_{-1}=1, c=-1$.



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Li, Lee, Mu, Gong, NC

