

The Kondo Screening Cloud:
What it is and how to observe it
in Quantum Dots

Collaborators: Erik Sorensen, Victor Barzykin,
Pascal Simon, Rodrigo Pereira, Nicolas
Laflorencie, Bertrand Halperin

Outline

- Kondo effect and screening cloud
- Non-observation of screening cloud in conventional experiments
- Numerical results
- Possible experiments on mesoscopic systems
- Including Coulomb interactions

Kondo Effect & Screening Cloud

- a single impurity spin in a metal is described by the Kondo (or s-d) model:

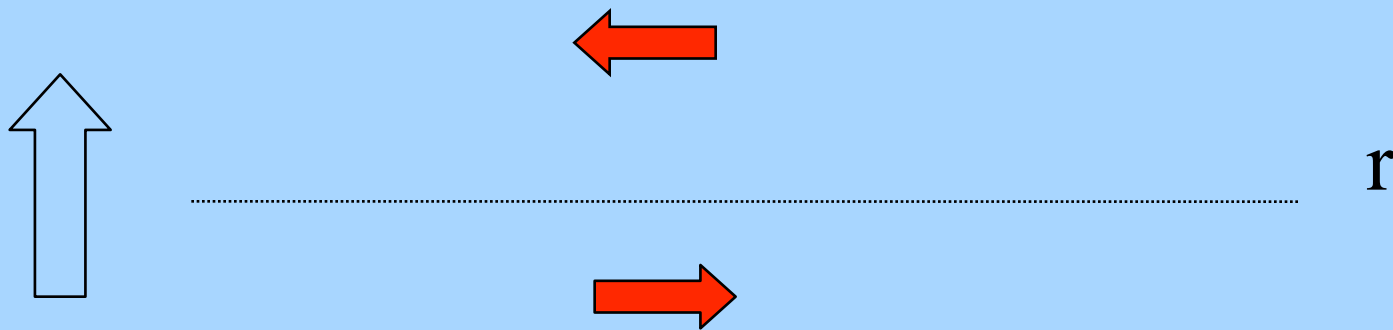
$$H = \sum_{k\alpha} \psi_{\vec{k}\alpha}^{\dagger} \psi_{\vec{k}\alpha} \varepsilon_k + J \vec{S}_{imp} \cdot \vec{S}_{el}(r=0)$$

- here \vec{S}_{imp} is the impurity spin operator ($S=1/2$) and $\vec{S}_{el}(\vec{r})$ is the electron spin density

at position \vec{r} : $\vec{S}_{el}(\vec{r}) \equiv \psi^{\dagger}(\vec{r}) \frac{\vec{\sigma}}{2} \psi(\vec{r})$, $\psi(\vec{r}) \equiv \frac{1}{\sqrt{V}} \sum_k e^{i\vec{k}\cdot\vec{r}} \psi(\vec{k})$

(sum over spin indices implied)

- after expanding the electron field $\psi(\vec{r})$ in spherical harmonics, keeping only the s-wave, and linearizing the dispersion relation we obtain a relativistic quantum field theory, defined on a 1/2-line with the impurity at the origin:



$$H = i v_F \int_0^{\infty} dx \left[\psi_L^\dagger \frac{d}{dx} \psi_L - \psi_R^\dagger \frac{d}{dx} \psi_R \right] + 2\pi v_F \lambda \vec{S}_{imp} \cdot \vec{S}_{el}(0)$$

$$\psi_L(0) = -\psi_R(0)$$

- here λ is the dimensionless Kondo coupling, Jv , where v is the density of states
- Kondo physics is fundamentally 1-dimensional

- to study the problem at low energies, we may apply the renormalization group, integrating out high energy Fourier modes of the electron operators, reducing the band-width, D :

$$\frac{d\lambda}{d \ln D} \approx -\lambda^2 + \dots$$

$$\lambda_{eff}(D) \approx \frac{\lambda_0}{1 - \lambda_0 \ln(D_0 / D)} + \dots$$

- effective coupling becomes $O(1)$ at energy scale T_K :

$$T_K = D_0 \exp(-1/\lambda_0)$$

(D_0 is of order the Fermi energy)

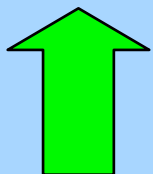
- effective Hamiltonian has *wave-vector* cutoff:

$$|k - k_F| < T_K / v_F \equiv 1/\xi_K$$

- this defines a characteristic *length scale* for the Kondo effect - typically around .1 to 1 micron

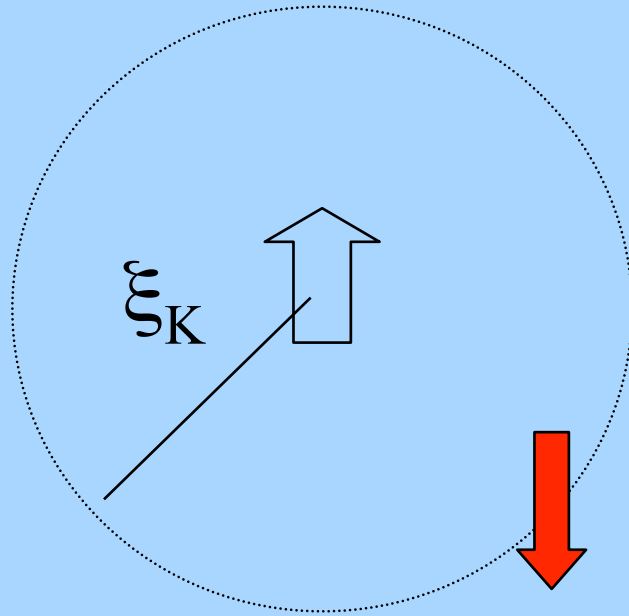
- $\lambda_{\text{eff}} \rightarrow \infty$ at low energies ($\ll T_K$)
- strong coupling physics is easiest to understand in tight-binding model:

$$H = -t \sum_{j=0}^{\infty} (\psi_j^\dagger \psi_{j+1} + \psi_{j+1}^\dagger \psi_j) + J \vec{S}_{\text{imp}} \cdot \vec{S}_{\text{el}}(0)$$



- for $J \gg t$, we simply find ground state of last term:
- 1 electron at $j=0$ forms spin singlet with impurity: $|\phi_0\rangle = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)/\sqrt{2}$
- other electrons are free except that they must not go to $j=0$ since they would break the singlet
- effectively an infinite repulsion at $j=0$, corresponding to $\pi/2$ phase shift

- for finite (small) λ_0 , this description only holds at low energies and small $|k-k_F|$



- only long wavelength probes see simple $\pi/2$ phase shift- local Fermi liquid theory

Non-Observation of Screening Cloud in Experiments

- the Knight shift as a function of distance from an impurity can be measured by NMR
- at T=0 this takes the form:

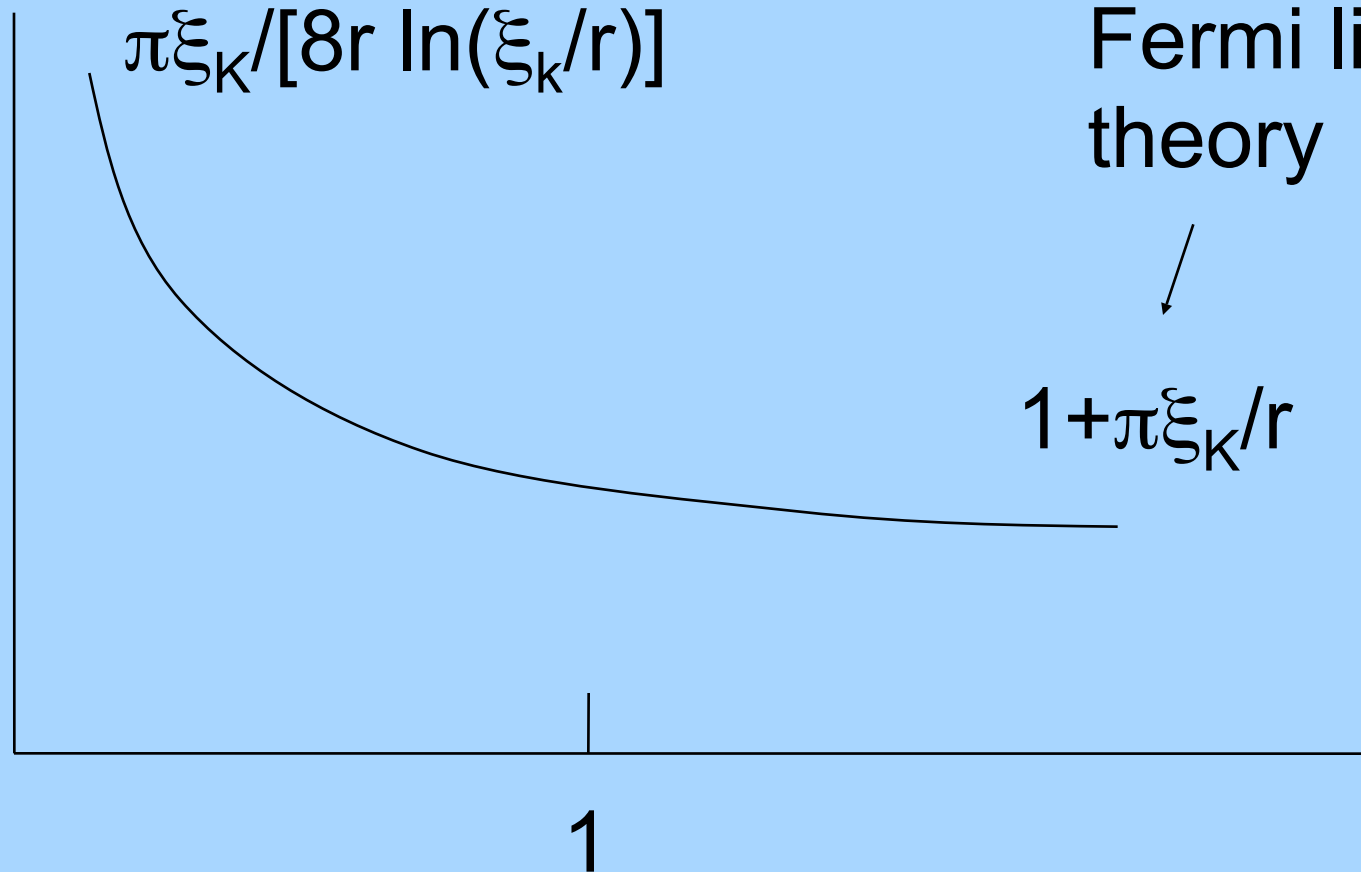
$$\chi(r) = \chi_0 + \frac{\cos(2k_F r)}{r^2} f\left(\frac{r}{\xi_K}\right)$$

- rapid oscillations and power-law pre-factor makes this difficult to observe (Boyce-Slichter, 1974)

Schematic sketch of scaling function

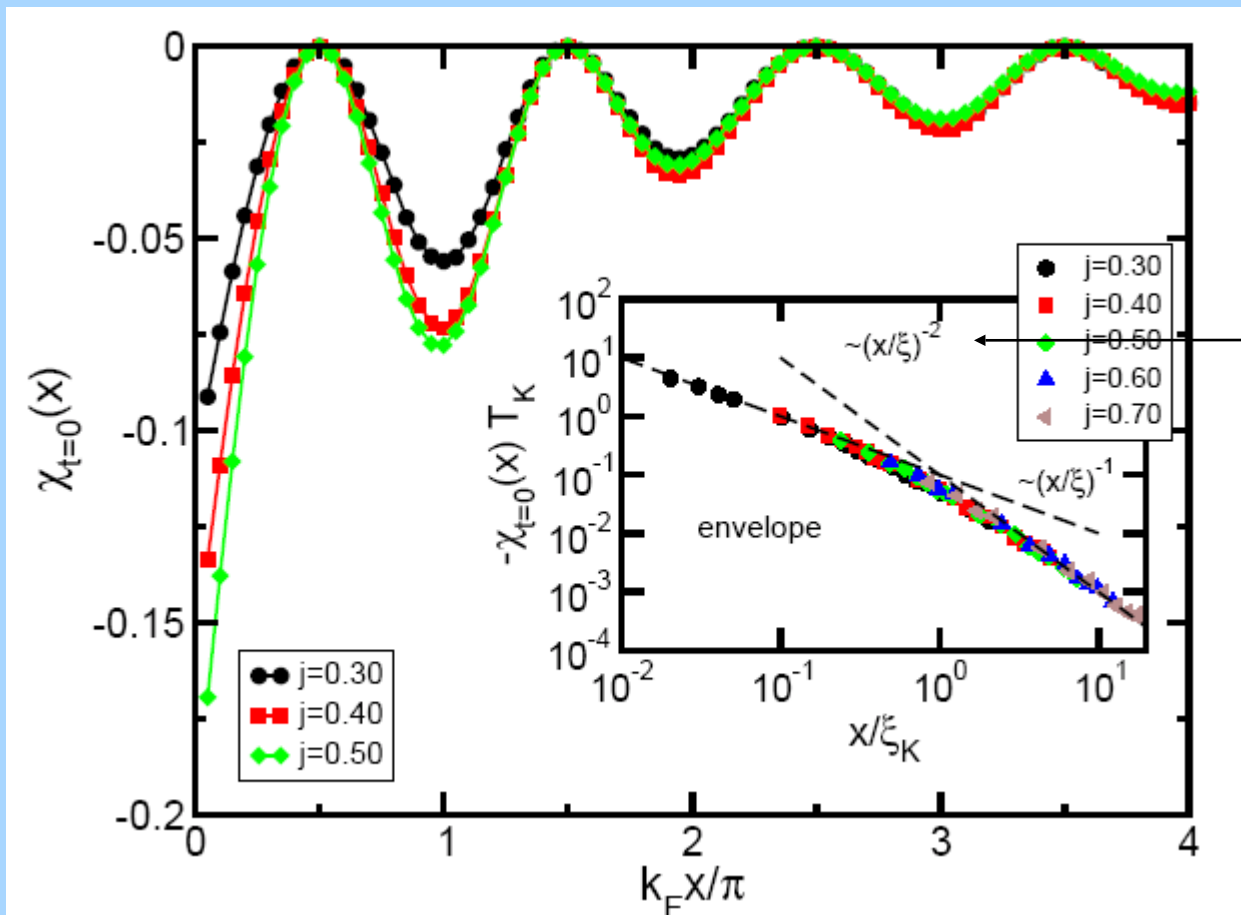
(conjecture: V Barzykin & IA, 1998)

$f(r/\xi_K)$



Numerical renormalization group

confirmation: $\langle 0 | \mathbf{S}_{\text{imp}}(t) \cdot \mathbf{S}_{\text{el}}(x, t) | 0 \rangle$



L. Borda
2006

Fermi
Liquid
theory

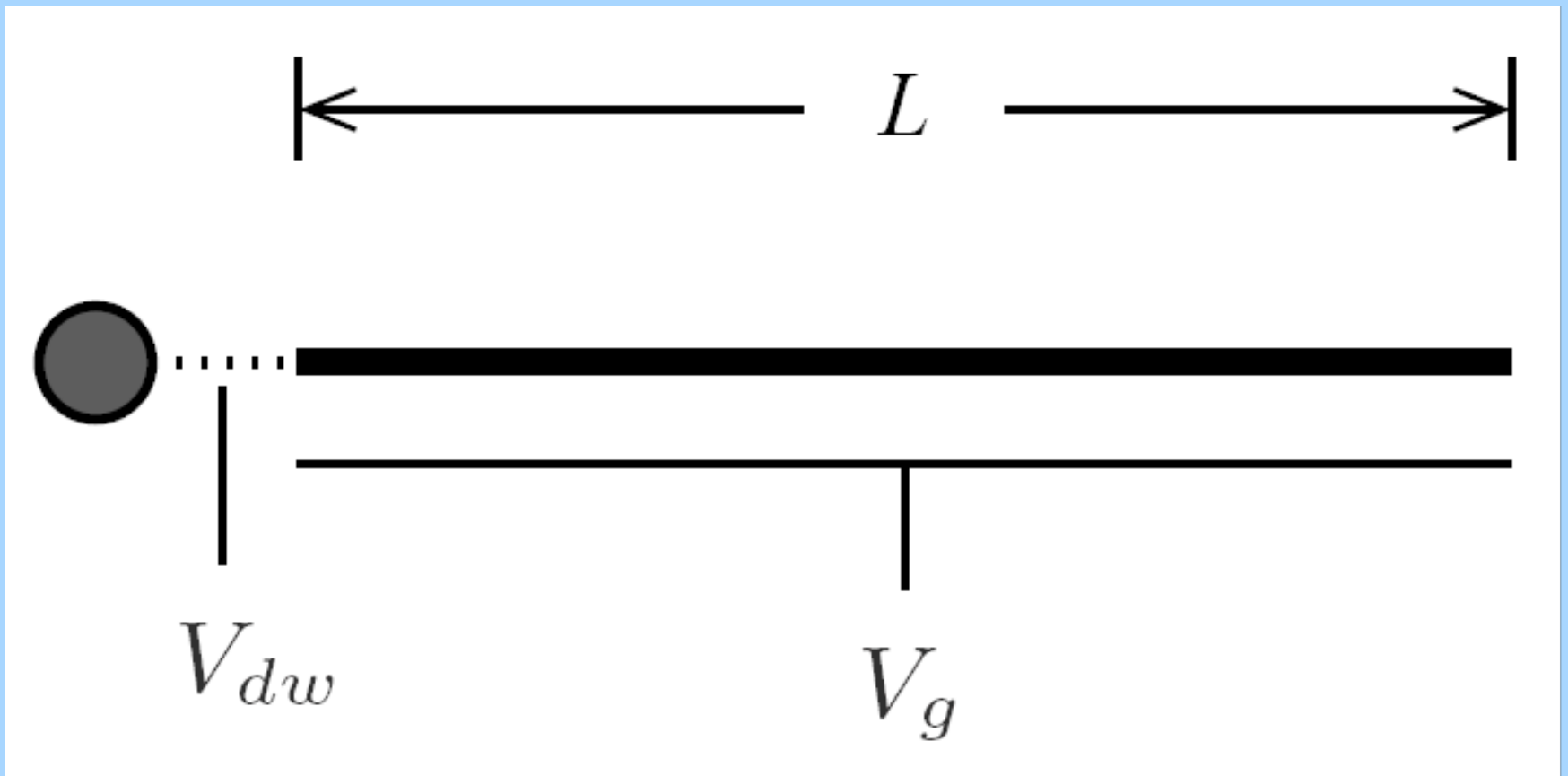
Possible Experiments on Mesoscopic Systems

- If conduction electrons are confined to a region of size $L < \xi_K$, Kondo effect is suppressed (at least in simplest models)

$$\lambda_{eff}(L) \approx \frac{\lambda_0}{1 - \lambda_0 \ln(L/a)} + \dots \approx \frac{1}{\ln(\xi_K/L)}$$

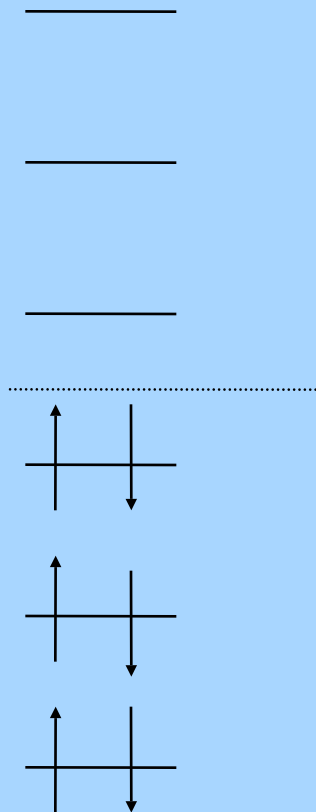
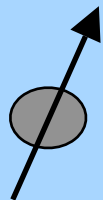
- finite system size cuts off infrared divergences of perturbation theory
- Screening cloud doesn't "fit" into system

One possibility is to consider a quantum wire coupled to a quantum dot which acts as a Kondo impurity



- A gate voltage, V_G is applied to the wire while maintaining the dot in the Kondo regime
- The wire also is very weakly coupled to an electron reservoir (not shown)
- As V_G (or effectively, μ , the chemical potential) is varied at $T=0$, electrons enter or leave the wire in single steps
- Ratios of plateau widths with odd or even electron number depends on L/ξ_K
- Could be measured from transport experiment

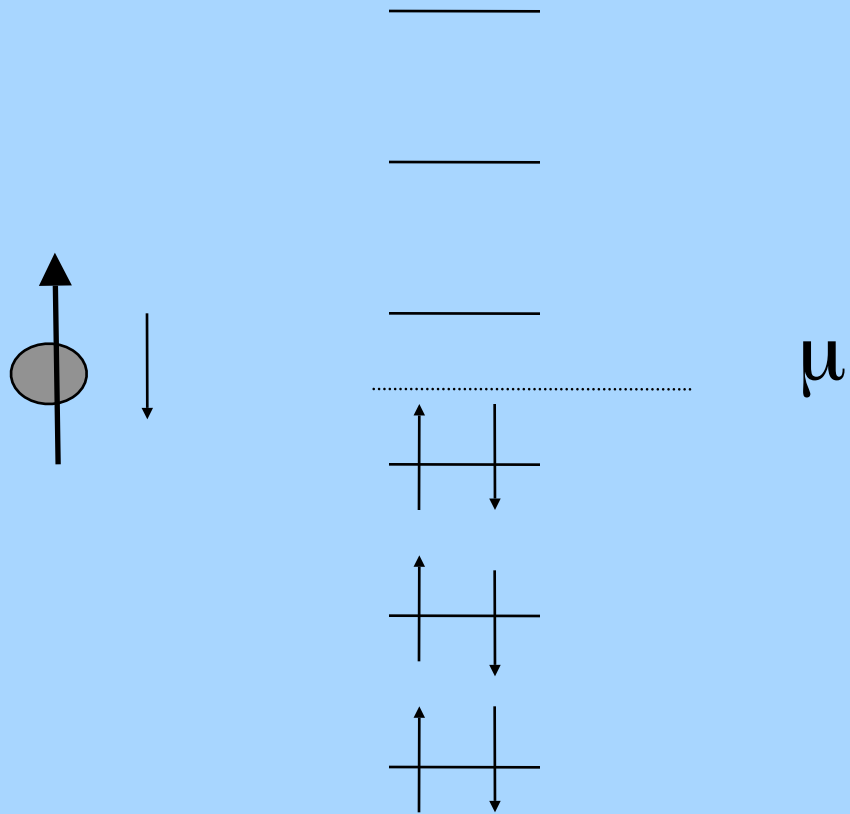
- ignore, for now, any other interaction effects apart from Kondo interaction (see below)
- at $L \ll \xi_K$, λ_{eff} is small and we get nearly free electron behaviour
- for nearly all values of μ , N is odd
- i.e. even number on wire, odd number on dot since single electron levels of wire are doubly occupied (or empty)



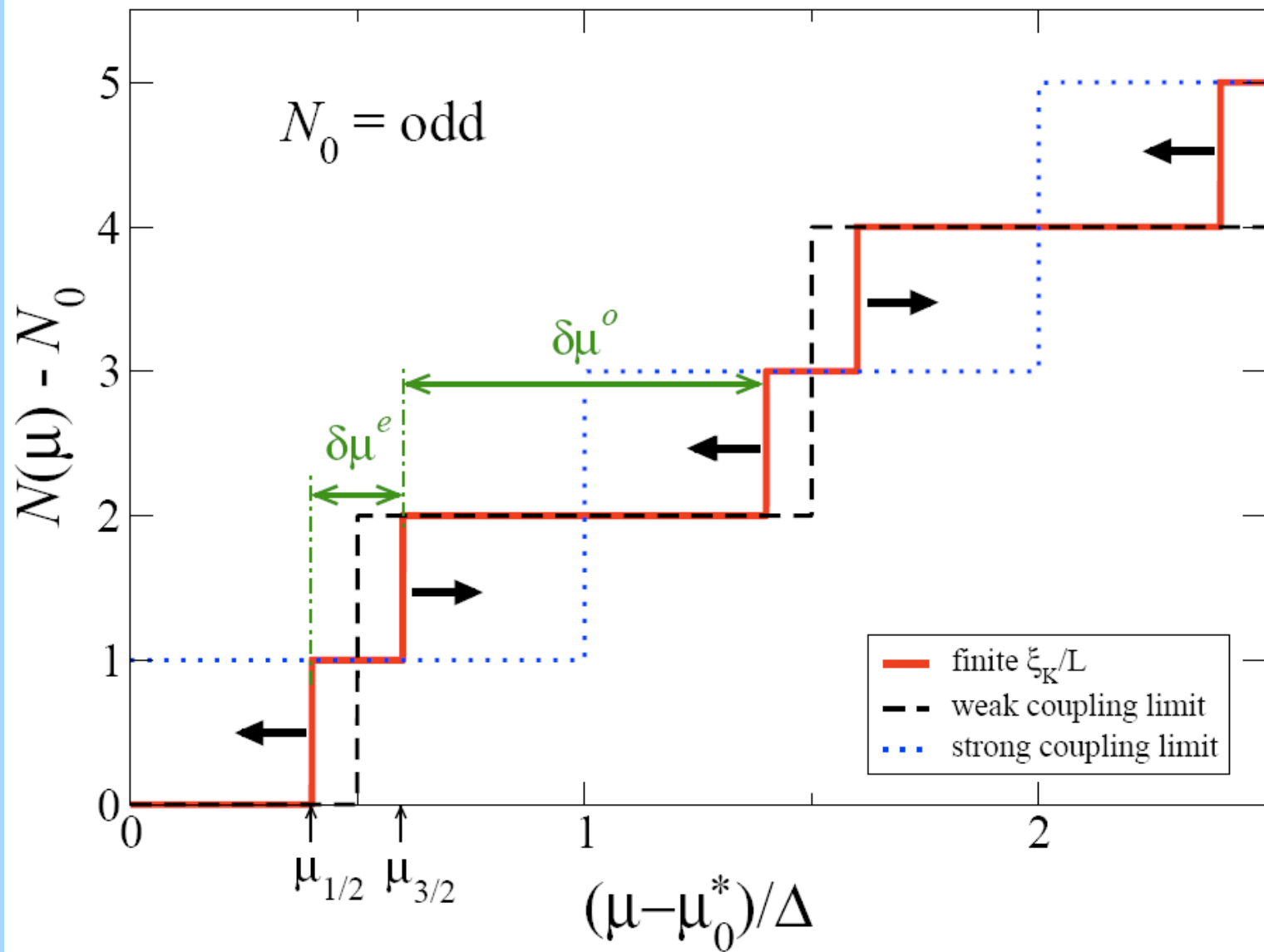
μ

N odd

- As we increase L/ξ_K , i.e. increase λ_{eff} , even N plateaus get wider
- For $L \gg \xi_K$, we enter strong coupling regime
- now N is even for almost all μ because one electron from wire forms singlet with the quantum dot spin while the rest doubly occupy single electron levels



N even



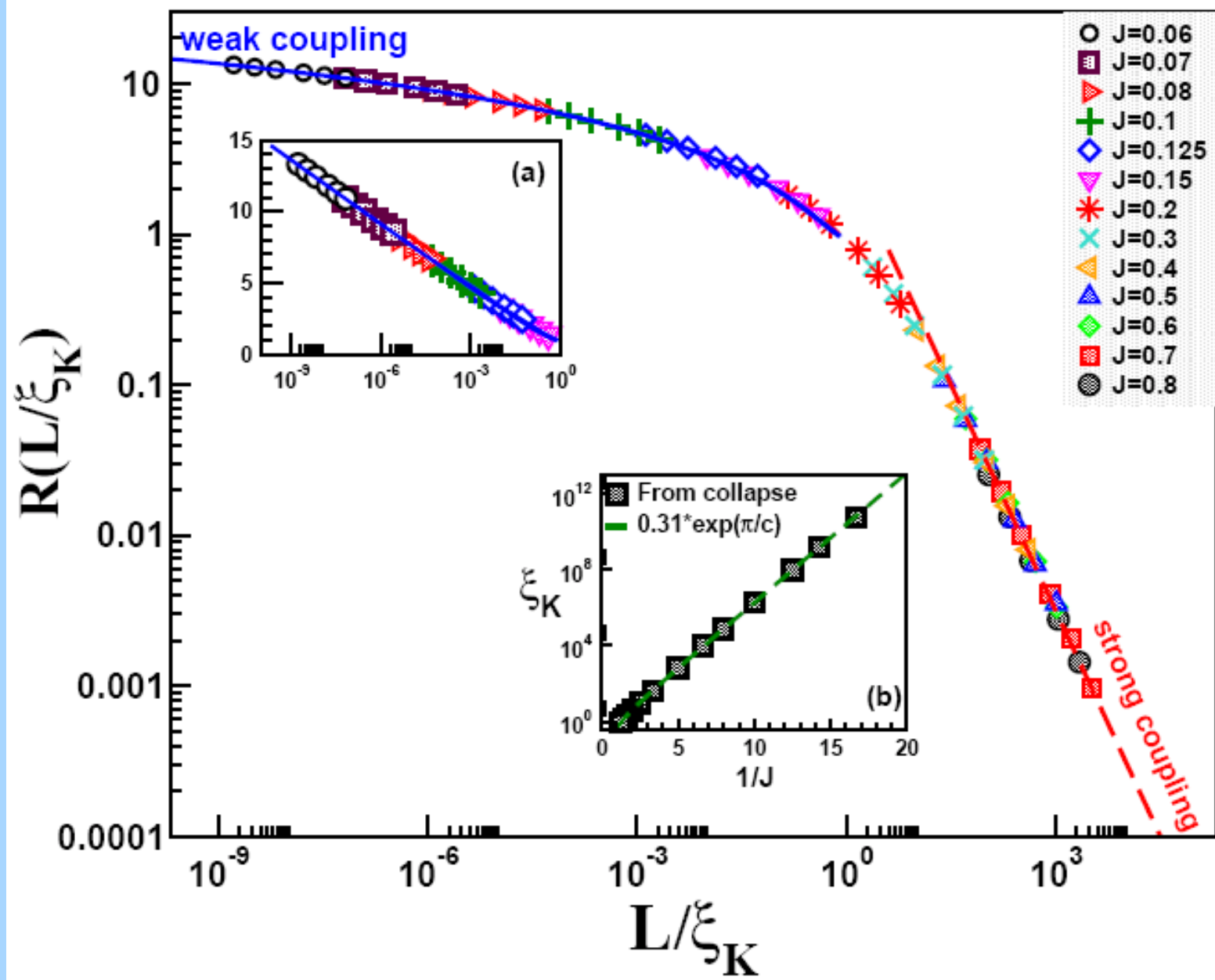
- We consider $R = \delta\mu^0 / \delta\mu^e$
- At weak coupling, $\xi_K \gg L$ from perturbation theory:

$$R \cong 1 / [(3/2)\lambda_{\text{eff}}] \cong (2/3) \ln(\xi_K/L) \gg 1$$

- At strong coupling, $\xi_K \ll L$ we can use local Fermi liquid theory:

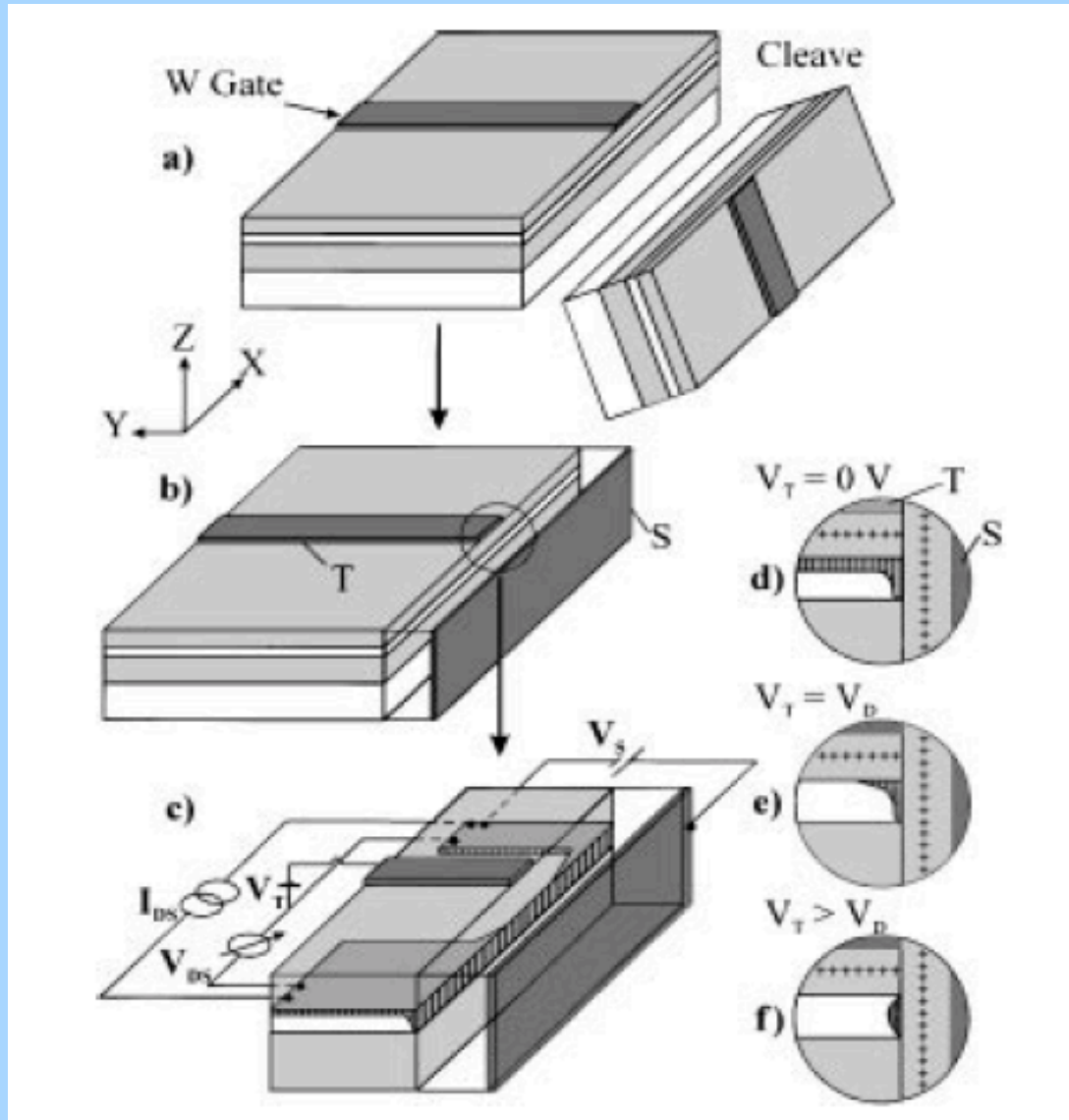
$$R \cong (\pi\xi_K) / (4L) \ll 1$$

- In between, R is given by a universal scaling function of ξ_K/L
- we calculated this using Bethe ansatz solution of Kondo problem at finite L



Cleaved overgrowth quantum wires

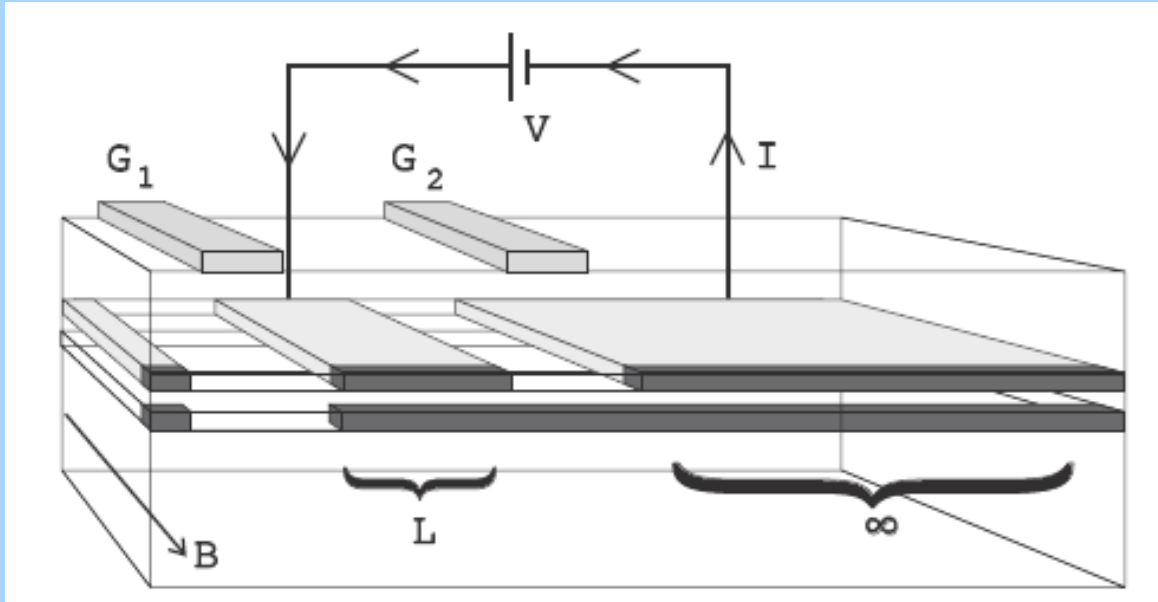
Yacoby et al.



$l \sim 10 \mu$

$L \sim \mu$'s

3 or fewer channels



- Coulomb interaction screened (to $1/r^3$) by second nearby wire, a distance d away
- Luttinger model seems to work
- $v_C \sim 1.5v_F$, $v_S \sim v_F$, $K \sim .7$

Including Coulomb Interactions

With Kondo impurity coupled at end of wire,

spin-charge separation applies

$$E(N+n) = \frac{\pi v_c}{4KL} n^2 + \frac{v_s}{4L} f_s(\lambda, g, L) - \mu n$$

- $v_{c/s}$ are charge/spin velocities
- K is Luttinger parameter
- f_s is dimensionless spin energy:
 $s=1/2$ or 0 for n even or odd
- g is marginal coupling constant in spin sector, deriving from Coulomb interactions

• charge step ratio depends only on $f(\lambda, g, L) = f_{1/2} - f_0$ and $u = (v_s K / v_c) \sim .5$

$$R = \frac{1 + uf(\lambda, g, L)}{1 - uf(\lambda, g, L)}$$

- Coulomb interactions lead to $u < 1$ - suppressing somewhat even-odd effect in charge steps
- Spin-charge separation: $H = H_c + H_s$
- Only important interaction is in spin sector
 $H_s = H_0 + H_{\text{int}}$

$$H_{\text{int}} = 2\pi v_s \left[-g \int_0^L dx \vec{J}_L \times \vec{J}_R + \lambda \vec{J}_L(0) \times \vec{S} \right]$$

- Coulomb interaction, g , is marginally *irrelevant*:

$$g(L) \sim 1/\ln(L/a), \quad L \gg a, \quad \text{where } a \sim 1/k_F$$

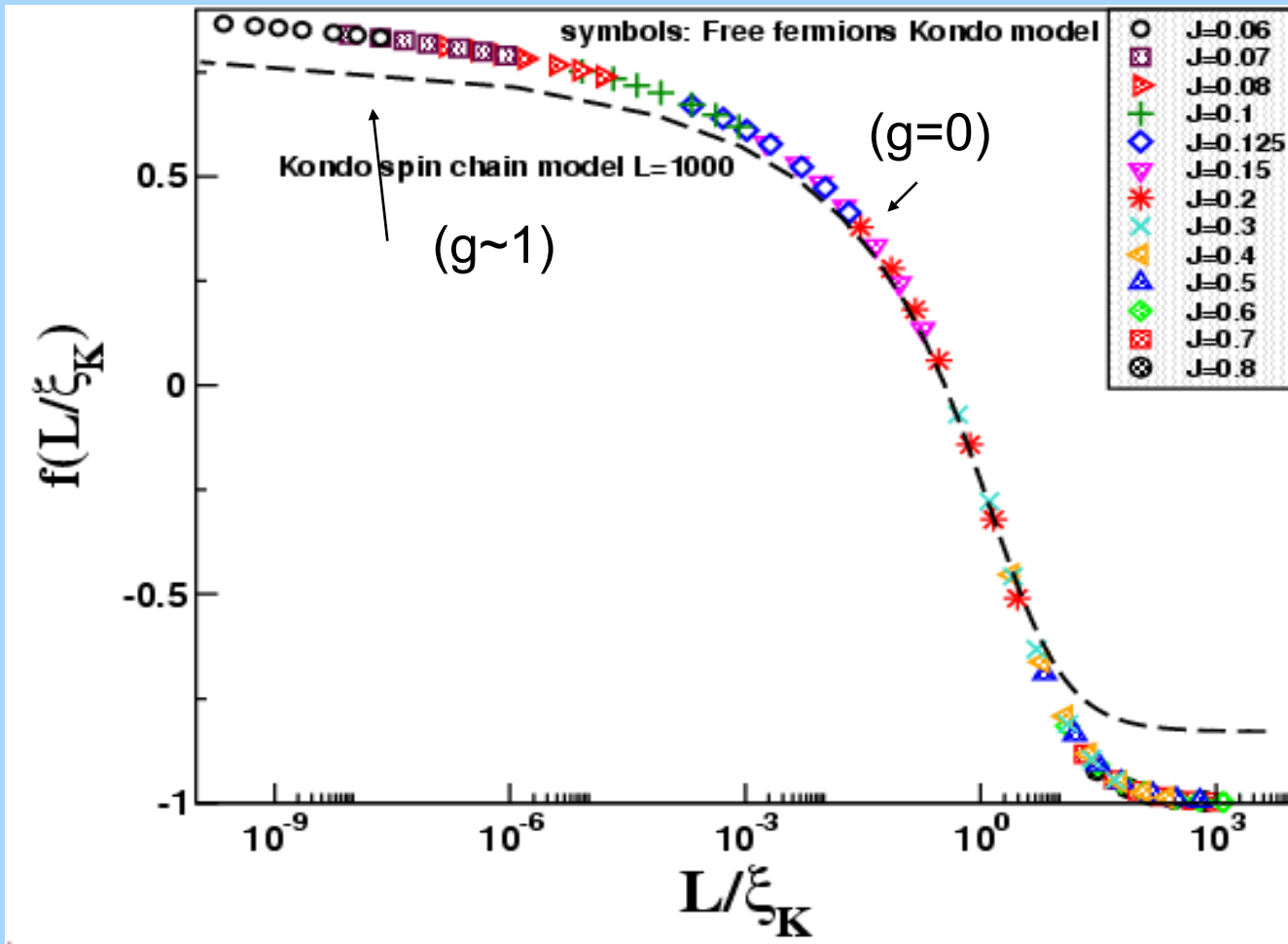
- Kondo interaction, λ , is marginally *relevant*:

$$\lambda(L) \sim 1/\ln(\xi_K/L), \quad L \ll \xi_K$$

- Coulomb interactions modifies $f(\xi_K/L)$ but effect gets weaker and weaker for larger L

- non-interacting case discussed previously is:

$$R_0 = \frac{1 + f(0, \lambda, L)}{1 - f(0, \lambda, L)}$$



- some further reduction of even/odd effect from marginal interaction
- goes away as L increases

Conclusions

- Physical quantities change at large length scale $\xi_K = v_F / T_K \leq 1 \mu$, in ideal model
- This effect not yet seen experimentally
- Mesoscopic systems with $L \sim \xi_K$ provide new possibilities
- Interactions modify situation somewhat

More Realistic Models

- more channels may couple to impurity
- system may be disordered (eg. 1 magnetic impurity and many non-magnetic ones)
- interactions among conduction electrons may be important
- interactions between magnetic impurities (eg. RKKY) may be important

Including more channels:

Thimm, Kroha & Van Delft (1999) considered a 3-dimensional disordered “box” (volume V) containing a single impurity spin

They assumed:

- All single electron states in box couple with equal strength to spin
- Energy levels are equally spaced $\Delta \propto 1/V$
- Now suppression of Kondo effect occurs when $T_K \sim \Delta \rightarrow V \sim \xi_K a^2$ ($a \sim 1/k_F$)
- a much smaller system would be needed to see this effect

- In quantum wires with N channels, typically require $L \cong \xi_K/N$ to see screening cloud effects (P. Simon & IA, 2003)
- This can make it much more difficult to see these effects

Friedel (charge) oscillations around a Kondo impurity

(with Laszlo Borda and Hubert Saleur)

$$\rho(r) - \rho_0 \rightarrow \frac{C_D}{r^D} [\sin(2k_F r + 2\delta_P) F(r/\xi_K) - \sin(2k_F r)]$$

