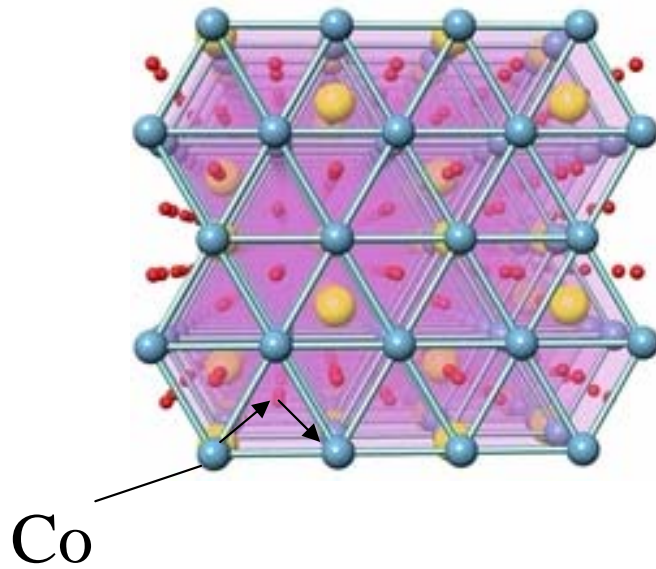
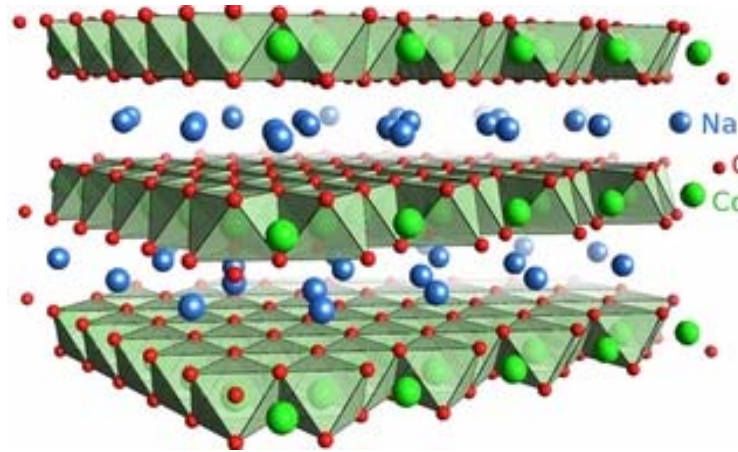


Layered cobaltates : CoO_2 planes filled by a variable number of electrons

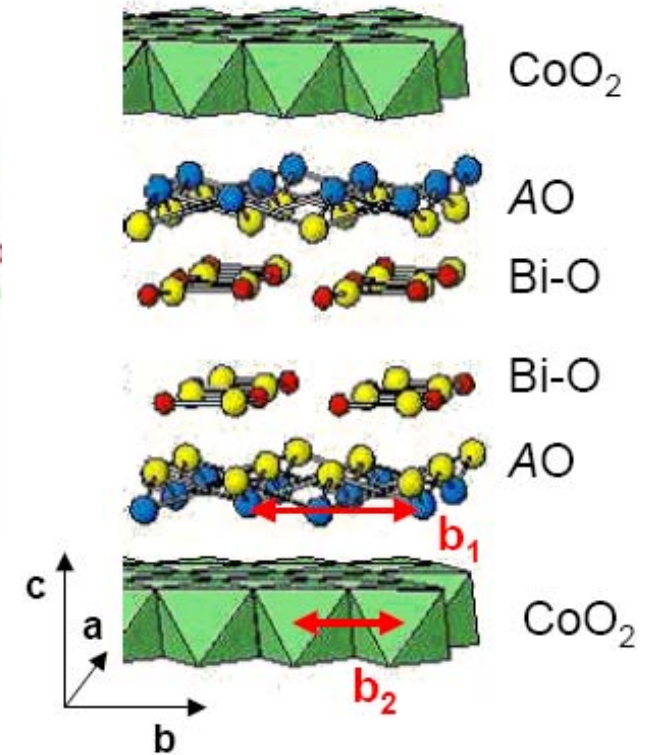
CoO_2 slabs



Na cobaltates



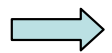
Misfit cobaltates



Edge-shared octahedra, 90-degree Co-O-Co bonds

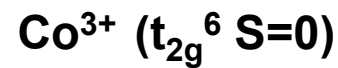
Layered cobaltates

- *Extraordinary narrow (~ 200 meV) qp-bands*
- *Large thermopower, magn.field sensitive*
- *Magnetic and charge orderings*
- *Superconductivity (NaCoO)*

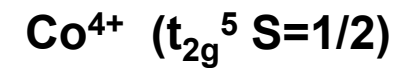


Strongly correlated CoO_2 planes

Two relevant valence states:



&



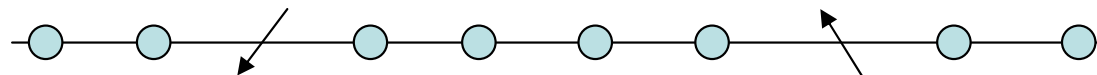
Two regimes accessible:

⇒ **Small x:** many $S=1/2$ Co^{4+} , few $S=0$ Co^{3+} („*doped Mott insulator*“)



(strong corr.)

⇒ **Large x:** few $S=1/2$ Co^{4+} , many $S=0$ Co^{3+} („*doped band insulator*“)



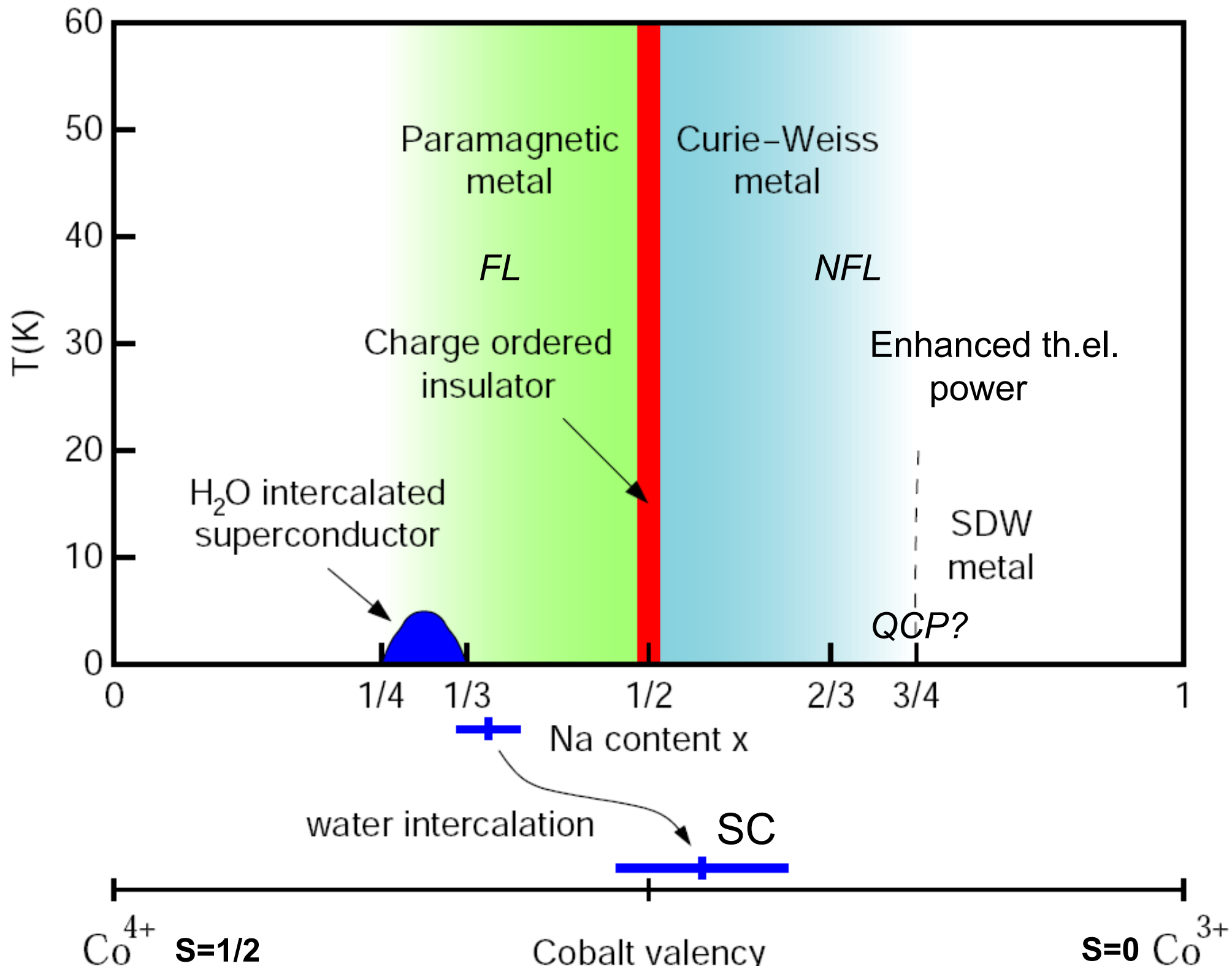
(weak corr.)

Experiment:

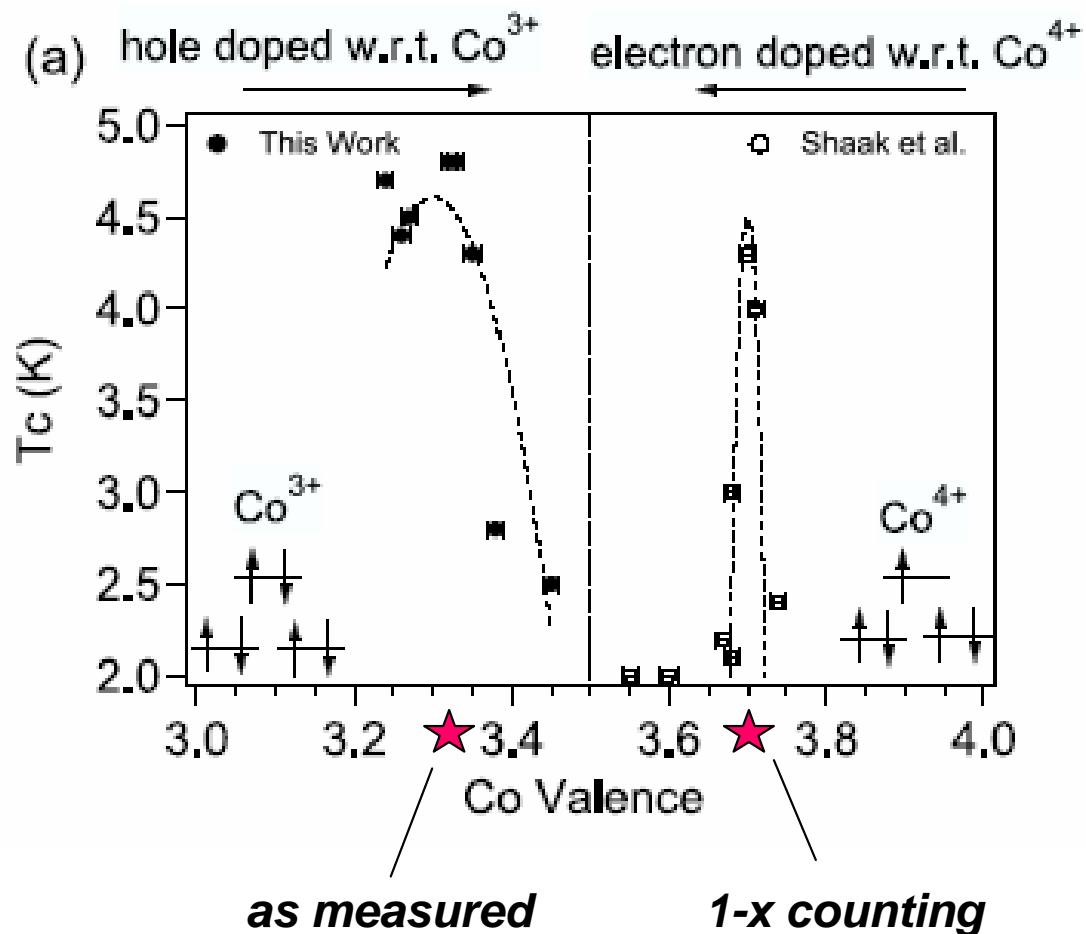
Small x → *paramagnetic metal, Pauli susceptibility, FL*

Large x → *magn. order, enhanced thermopower, NFL, QCP...*

...opposite trend to what expected !



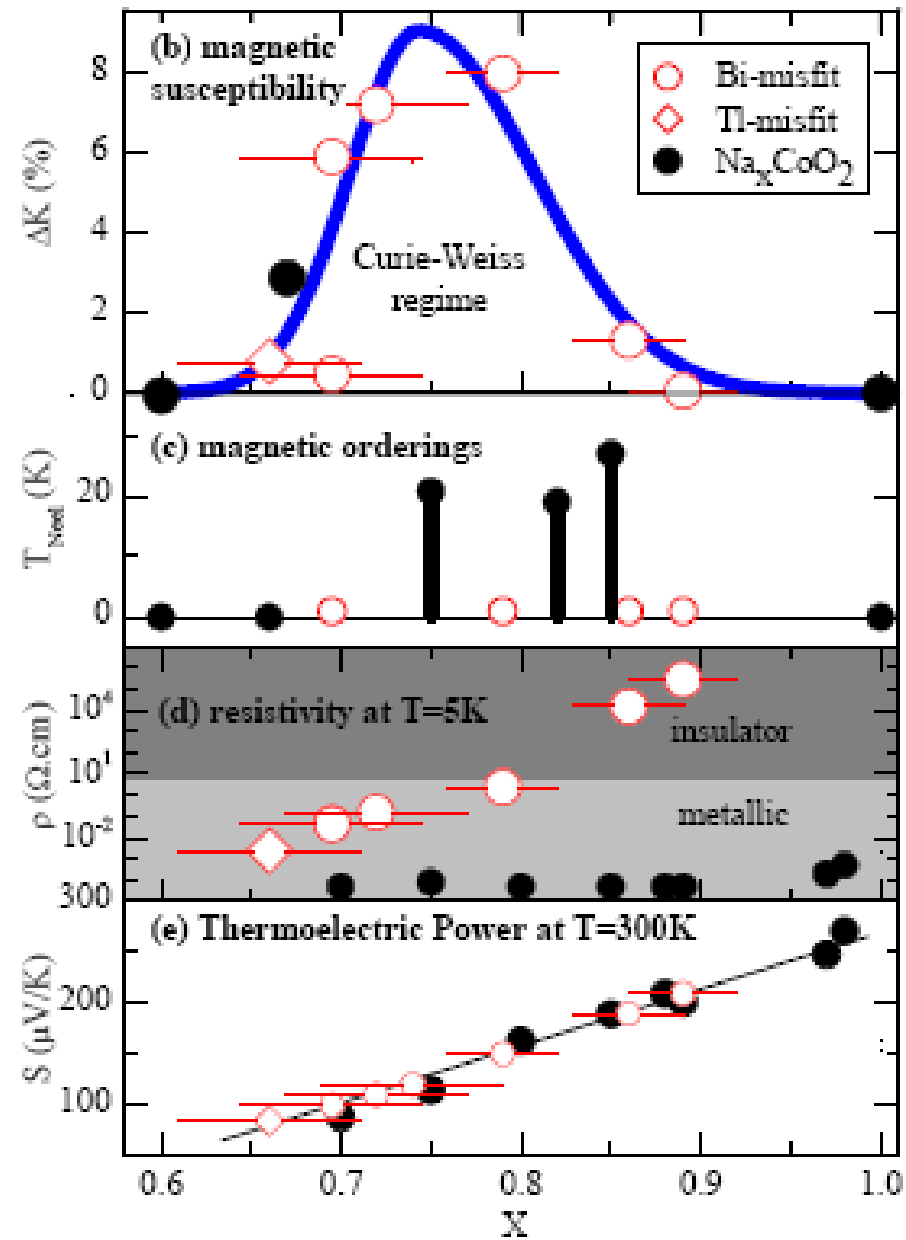
Co-valence in superconducting $\text{Na}_x\text{CoO}_2 + \text{H}_2\text{O}$



Milne *et al.* PRL (2004): **Water intercalation adds electrons into CoO_2**
 → **SC-dome located far away from the Mott limit**

Similar conclusion: Takada *et al.* (2004)
 Karppinen *et al.* (2004)

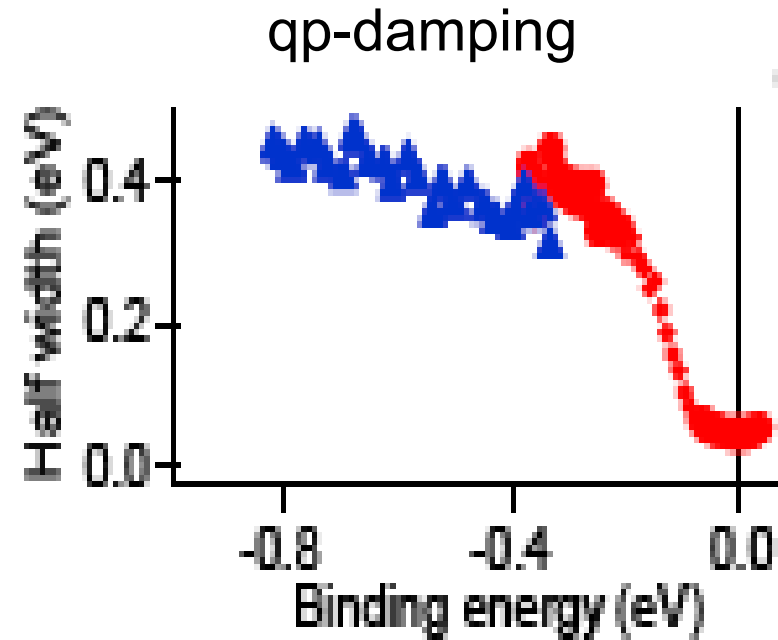
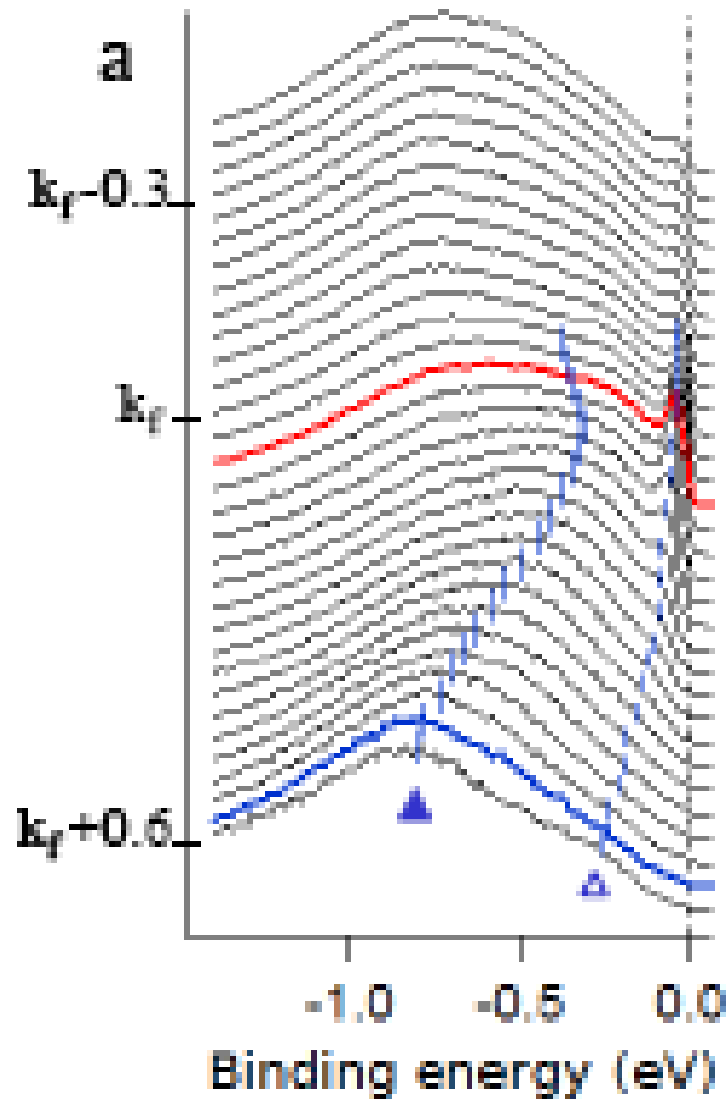
Different result: NMR by Alloul *et al.*



Strong correlations develop at large x, near the band insulator (!) limit

ARPES in misfits near the band insulator regime ($x \sim 0.7$)

Brouet *et al.*, 2007



(c) *strong scattering at ~ 150 meV*

?

- (a) *peak-dip-hump structure*
- (b) *strongly renorm. qp-band*

Spin-diluted system but correlations as strong as in doped Mott insulators

Experiment:

- Correlations are enhanced at large x , *near* the spinless band-insulator limit
- SC dome is located at valence compositions *far away* from the Mott limit

.....things are very different from cuprates!

⇒ *Different origin & functionality of correlations*

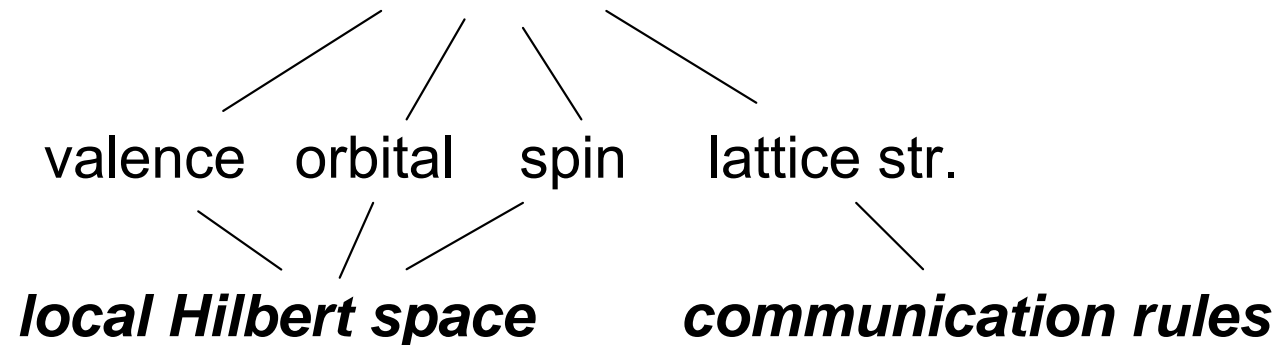
„no double occupancy“ principle as in cuprates is insufficient

Oxide families

- *Ti, V* – weak JT t_{2g} orbital → (*orbital fluctuation*)
- *Cr, Mn* – large spin, DE → (*half metallicity*)
- *Mn* – JT e_g orb., polarons → (*CMR effect*)
- *Fe, Ni* – proxim. to M/I trans. → (*spin-helix order*)
- *Co* – spin-state degen. → (*high th.el.power*)
- *Cu* – quant.spin, no orbital → (*high- T_c SC*)

Correlations: *universal*

Functionality: *different*



The origin of strong correlations in layered cobaltates

- A. Spin-state quasidegeneracy of Co ions**
- B. Edge-sharing octahedra, 90° d-p-d path**

G.Khaliullin & J.Chaloupka

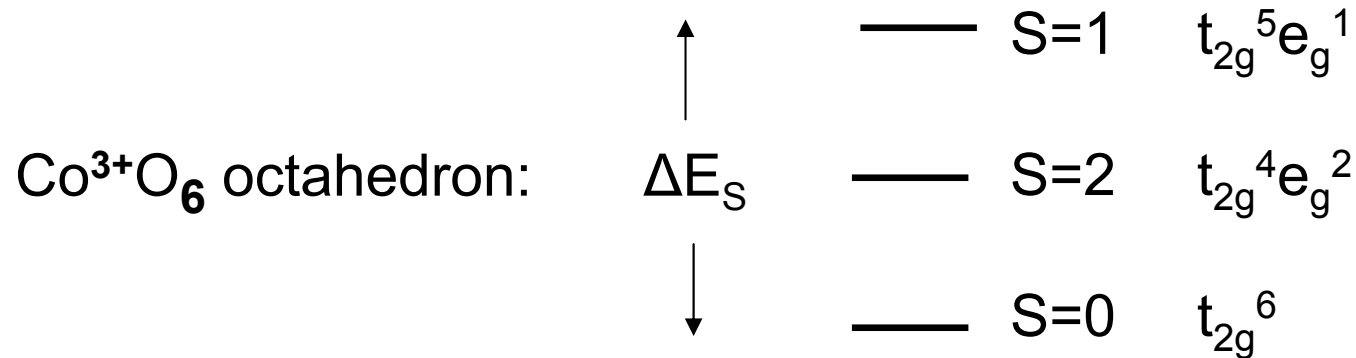
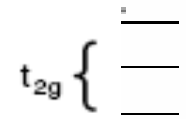
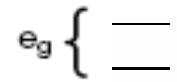
Phys. Rev. Lett., *in press* (2007)

A. Spin-state quasidegeneracy in cobaltates

Co(2+): high-spin 3/2 (*Hund coupling dominates*)

Co(4+): low-spin 1/2 (*avored by 10Dq crystal field*)

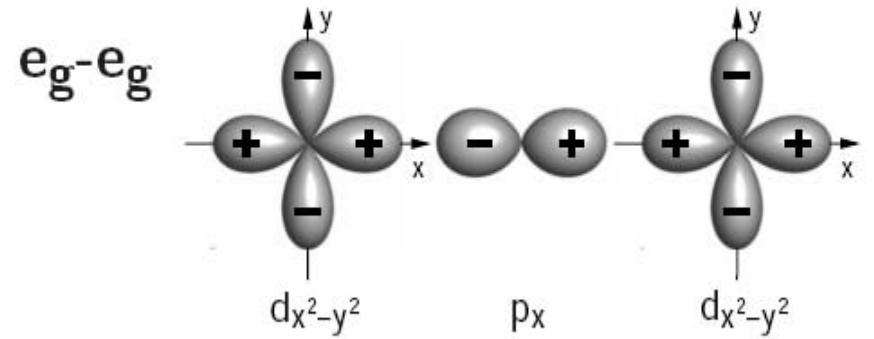
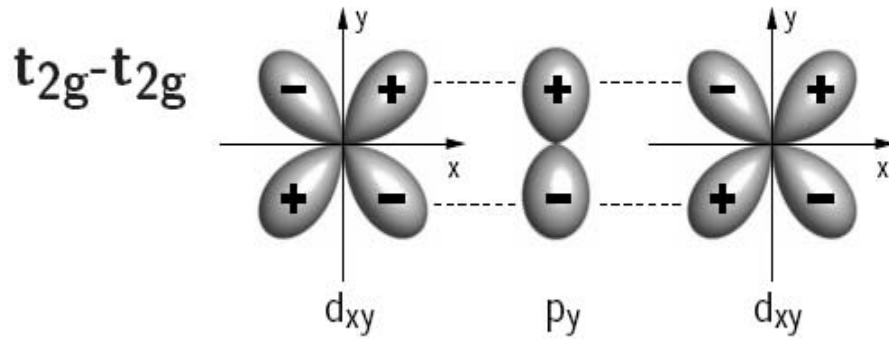
⇒ Co(3+): **S = 0, 1, 2 states are energetically close !**



ΔE_S ~ 10Dq - 2J_H is small, fraction of eV only

⇒ **SPIN-STATE TRANSITIONS** *driven by temperature, doping (LaCoO₃...)*

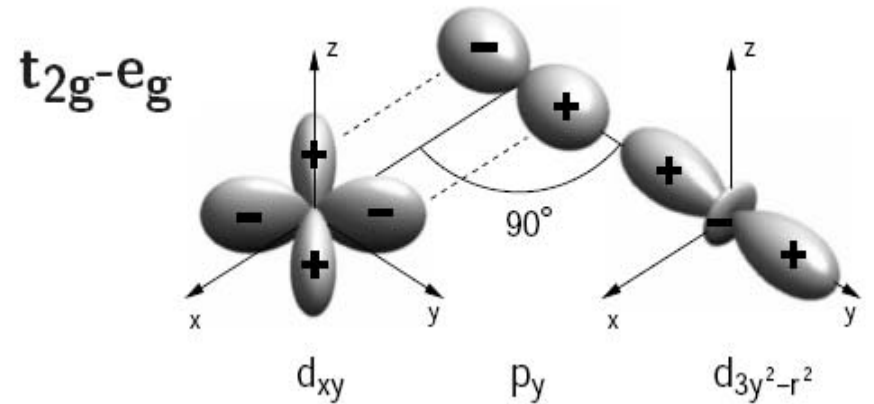
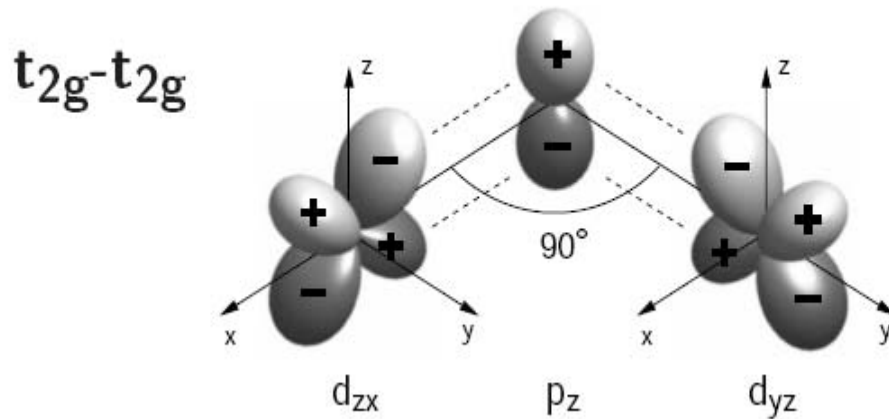
180° Co-O-Co bond (t_{2g} and e_g sectors separated)



B.

90° Co-O-Co bond

strong mixing between t_{2g} and e_g

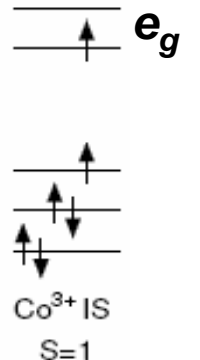


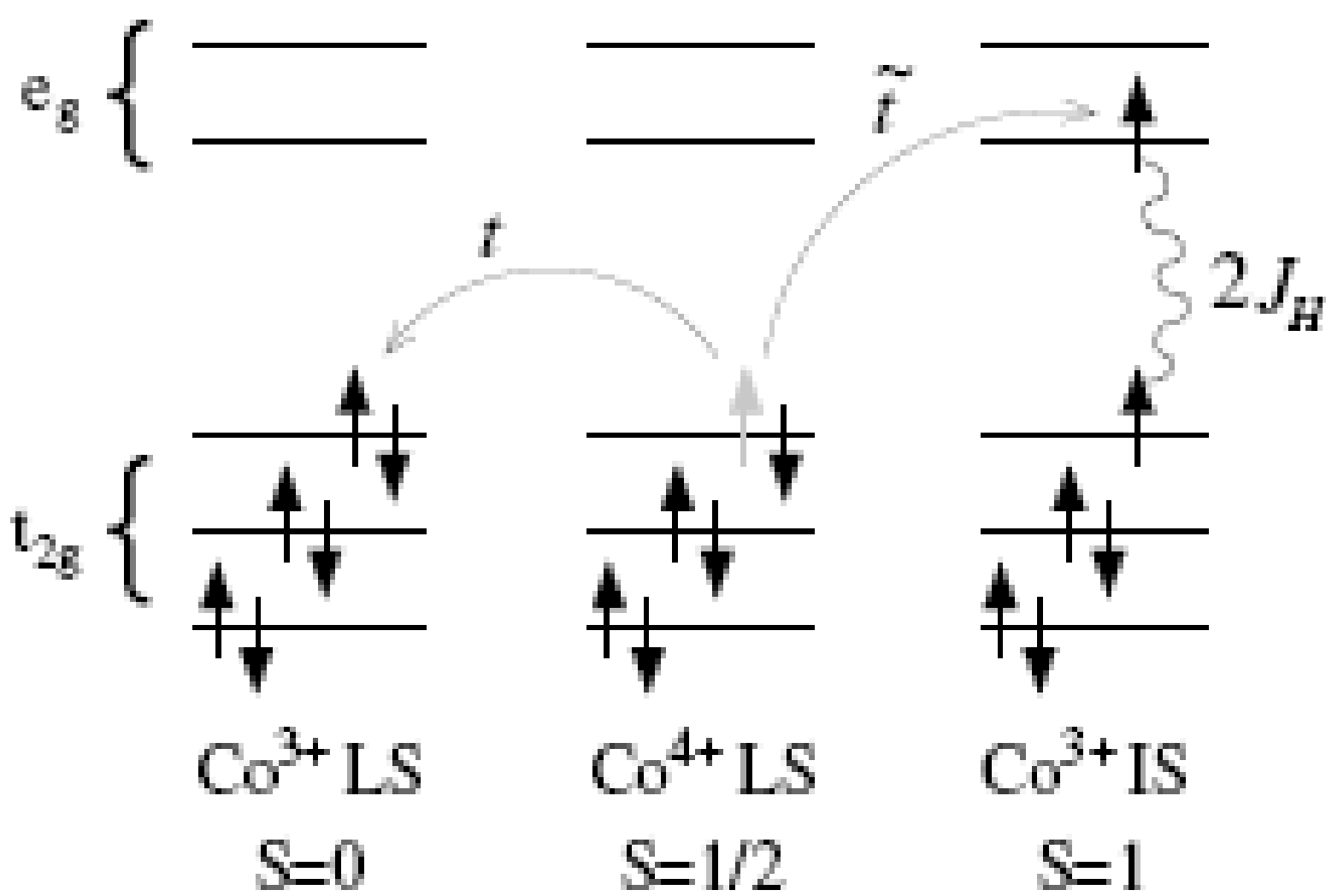
$t_{2g}^5 e_g^1 S=1$ states are generated by this hopping

Spin-state fluctuations



spin-polaron physics





Electron transfer matrix depends on **Me—O—Me bond angle**

180-degree

	e_g	t_{2g}
e_g	1	0
t_{2g}	0	$\sim \frac{1}{3}$

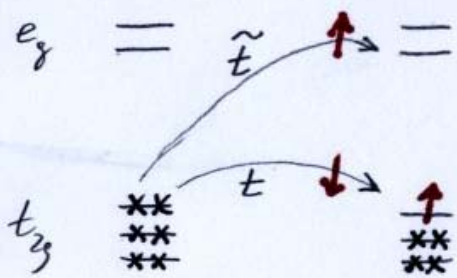
Units: $t_{\sigma}^2 / \Delta_{pd}$

90-degree

	e_g	t_{2g}
e_g	0	~ 2
t_{2g}	~ 2	1

t_{π}^2 / Δ_{pd}

$t_{2g}-e_g$ overlap: *the largest element*



$$\frac{\tilde{t}}{t} \sim \frac{t_{\sigma}}{t_{\pi}} \sim 2$$

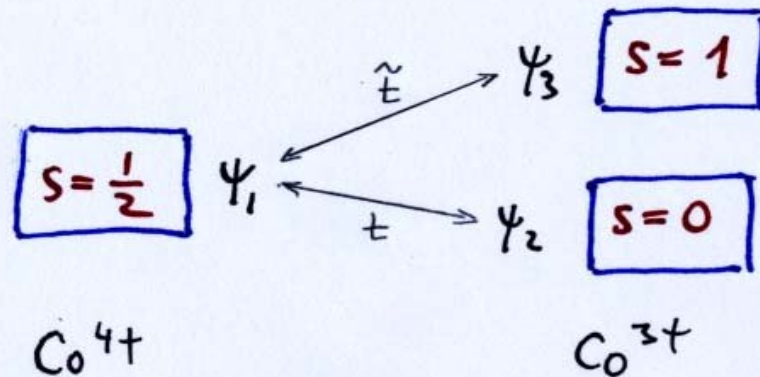
Co^{3+}

Co^{4+}

t -process generates $\text{Co}^{3+}(t_{2g}^6, S=0)$

\tilde{t} -process generates $\text{Co}^{3+}(t_{2g}^5 e_g, S=1)$

Relevant Hilbert space $\{\psi_1, \psi_2, \psi_3\}$:



$$H\{\psi_1, \psi_2, \psi_3\}$$

Model

$$H = H_t + H_{\tilde{t}}$$

$t_{2g} \leftrightarrow t_{2g}$

$t_{2g} \leftrightarrow e_g$

accompanied by S=1 exciton
(present in NaCoO₂ but not in LaCoO₃)

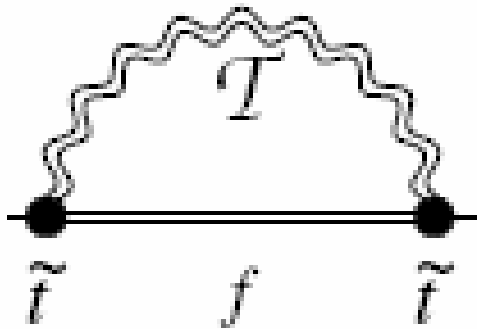
$$H_{\tilde{t}} = \frac{\tilde{t}}{\sqrt{3}} \sum_{ij} \left[\mathcal{T}_{+1,\gamma}^\dagger(i) f_{j\downarrow}^\dagger f_{i\uparrow} - \mathcal{T}_{-1,\gamma}^\dagger(i) f_{j\uparrow}^\dagger f_{i\downarrow} \right. \\ \left. + \mathcal{T}_{0,\gamma}^\dagger(i) \frac{1}{\sqrt{2}} \left(f_{j\uparrow}^\dagger f_{i\uparrow} - f_{j\downarrow}^\dagger f_{i\downarrow} \right) + \text{h.c.} \right]$$

S=1 exciton e_g-orbital label

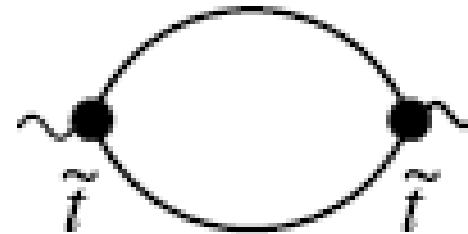
Fermions dressed by spin-state fluctuations

ARPES spectra

1. Self-consistent Born approximation



Fermion selfenergy

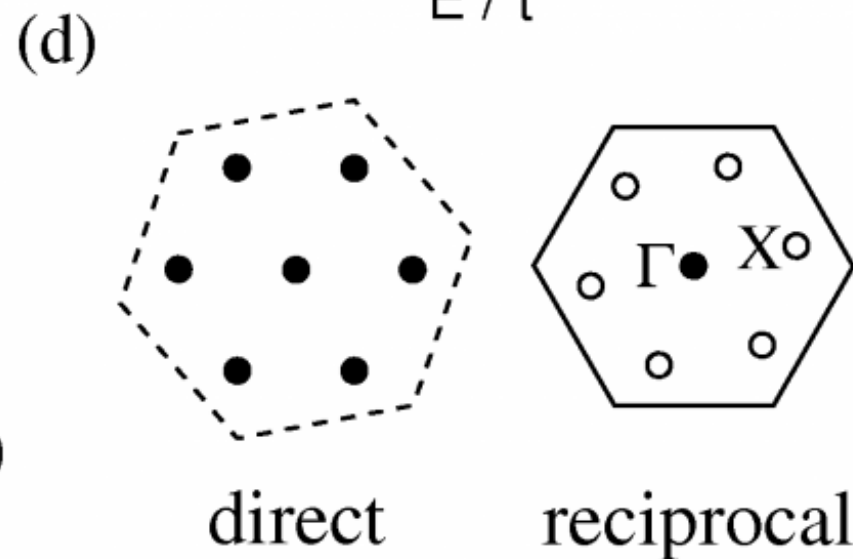
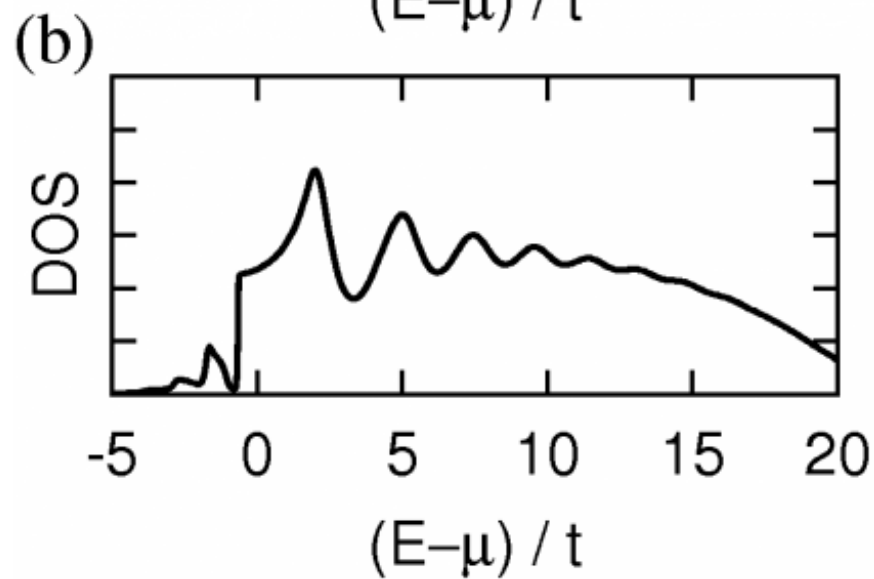
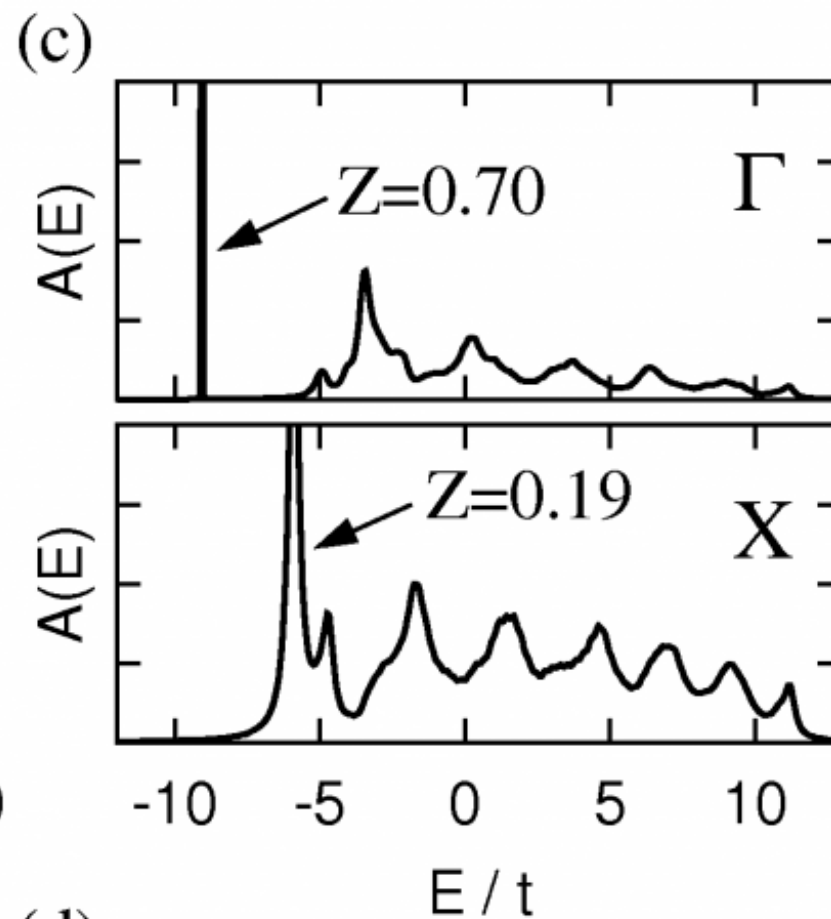
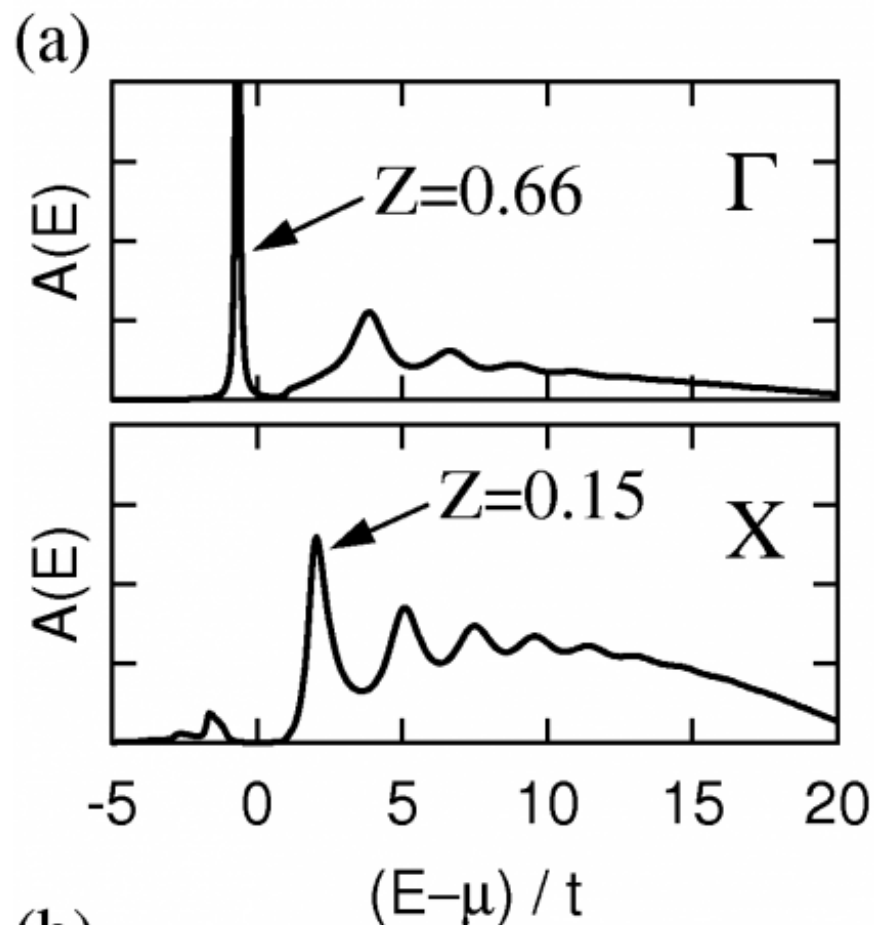


Spin-exciton selfenergy

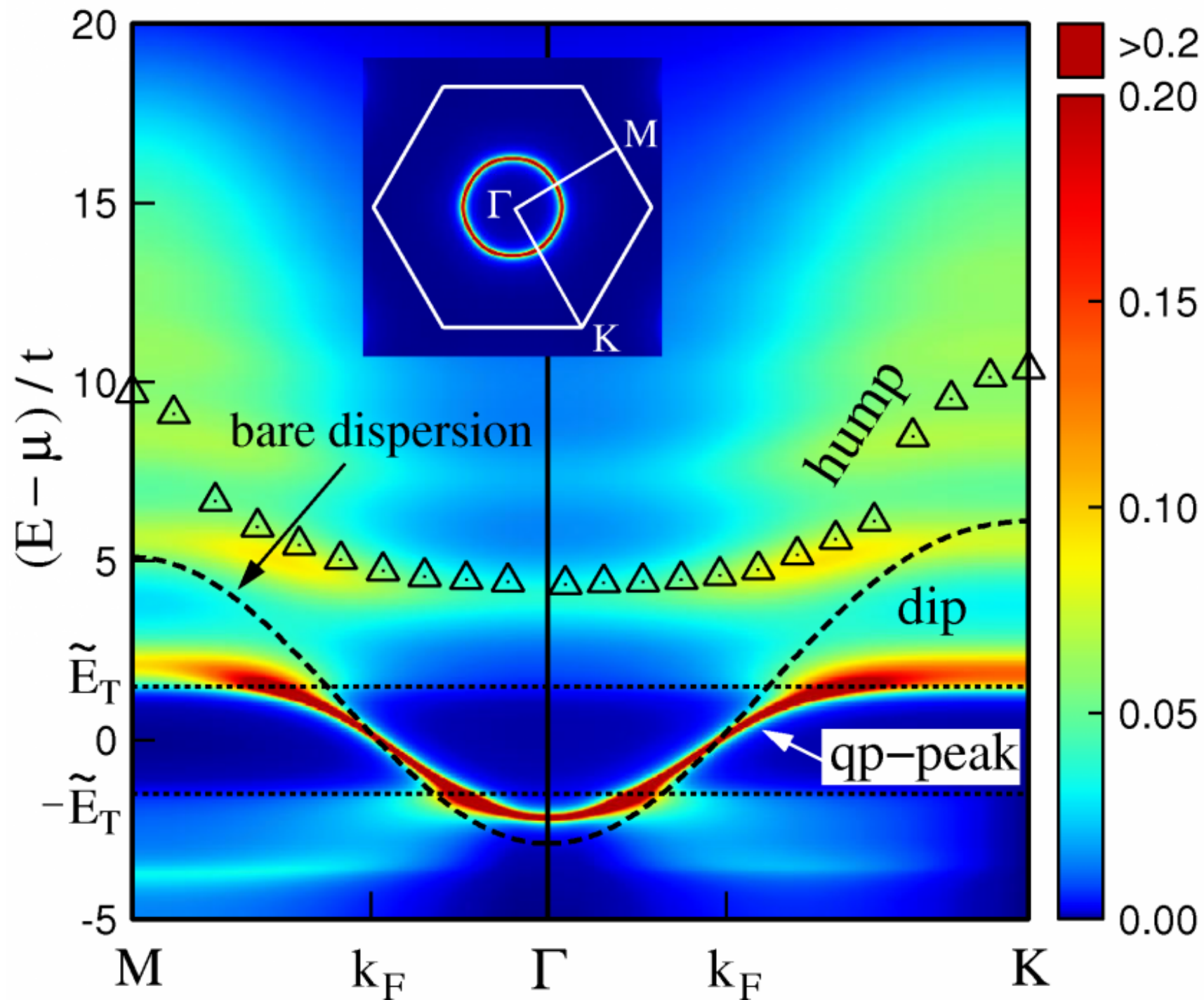
2. Exact diagonalization (one $s=1/2$ hole on a hexagon)

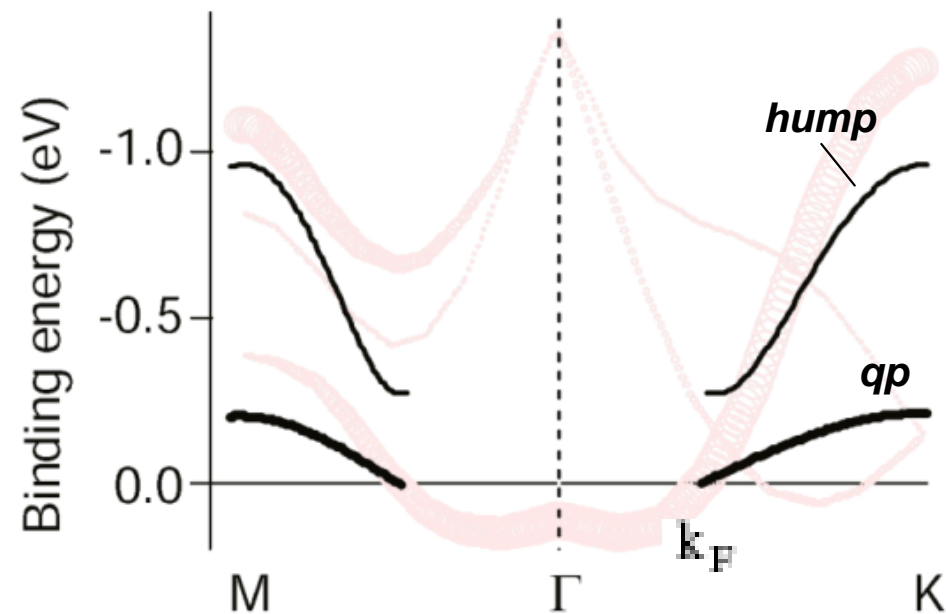
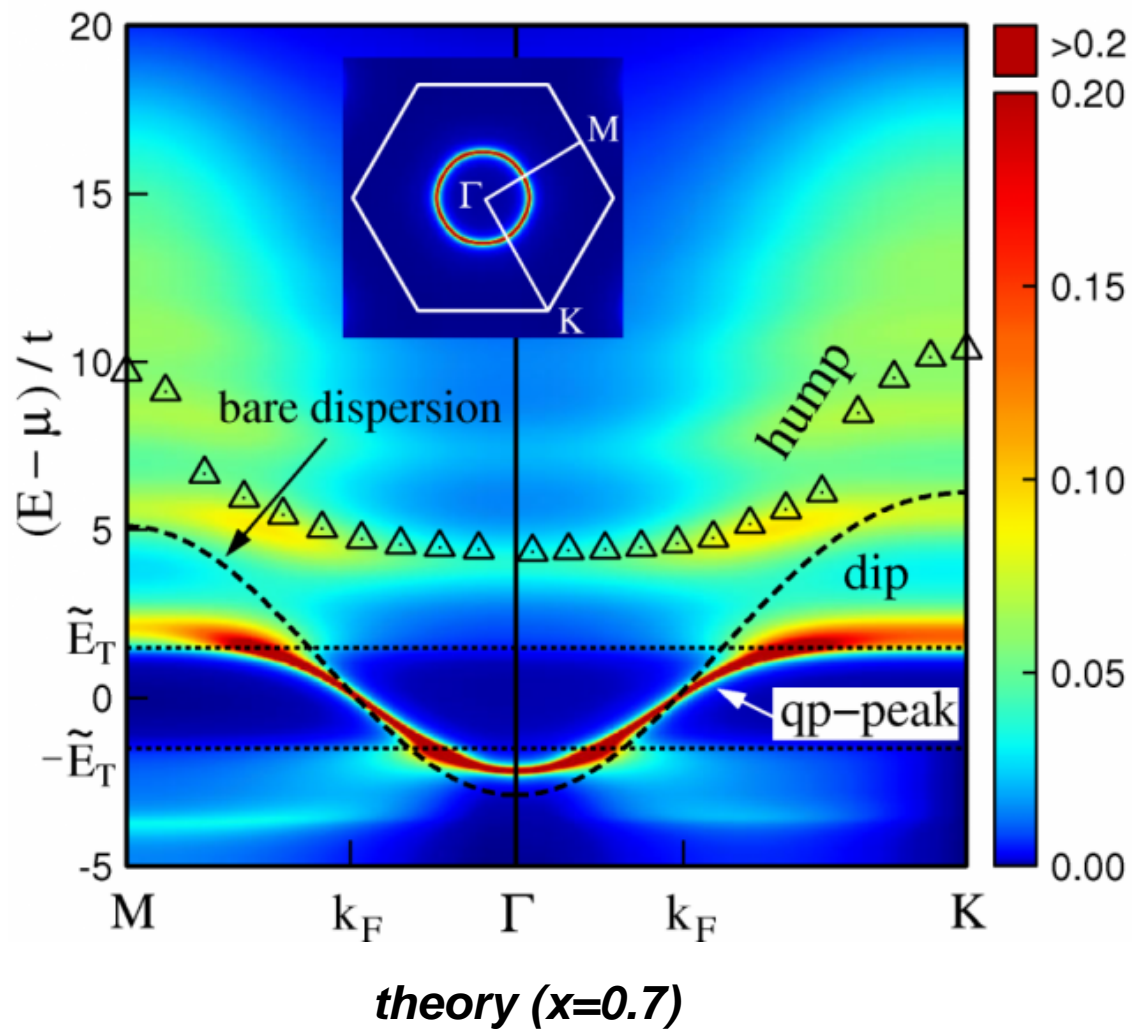
perturbative (SCBA)

exact diagonalization



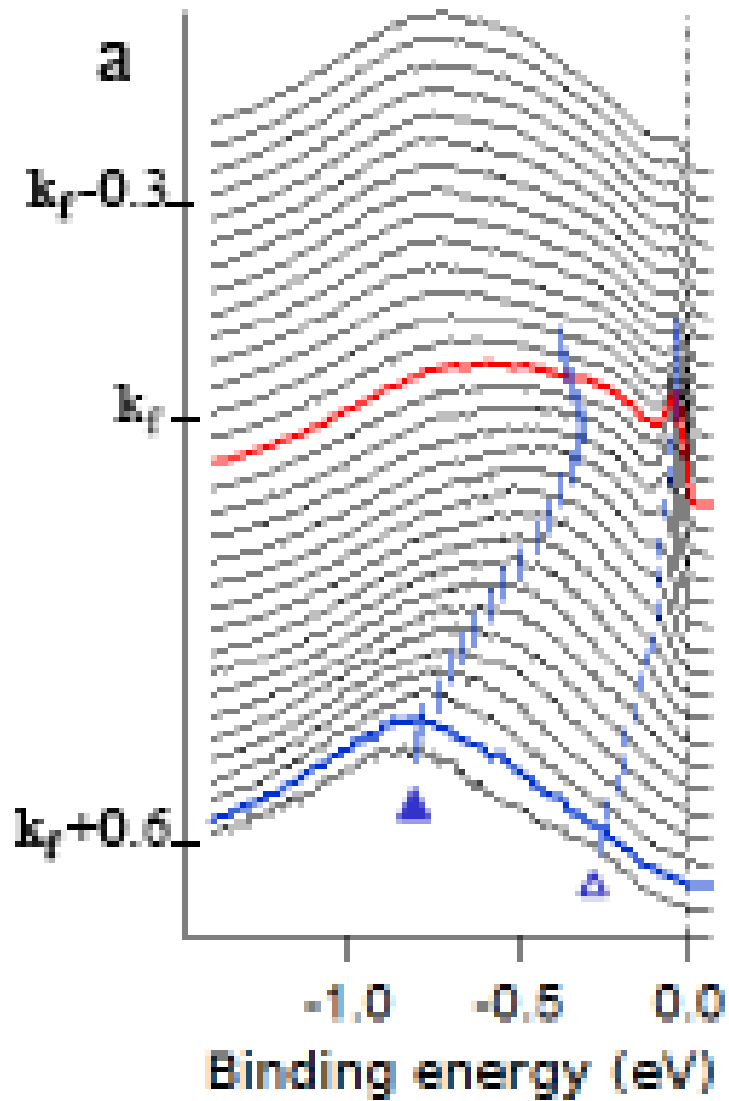
$Im G(E,k)$ at $x = 0.7$ (fermionic density = 0.3)



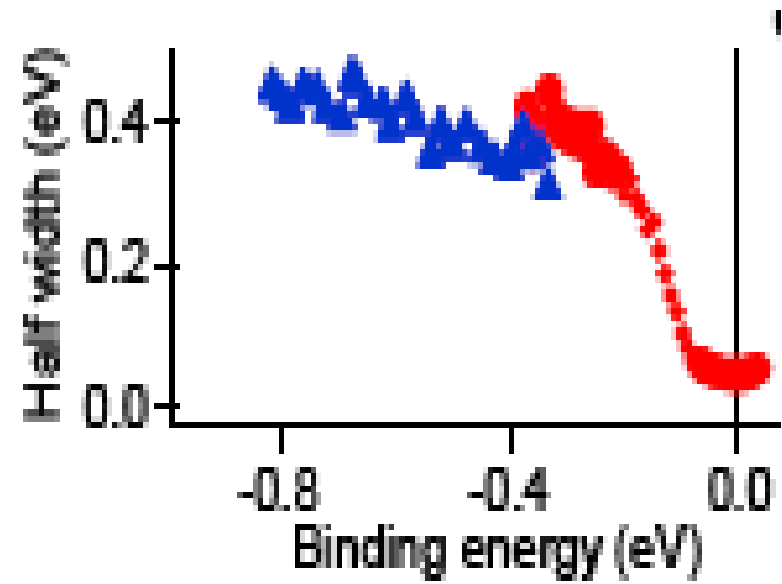


⇒ With $t \sim 100$ meV, theory reproduces both qp- and hump dispersion

consistent with LDA value

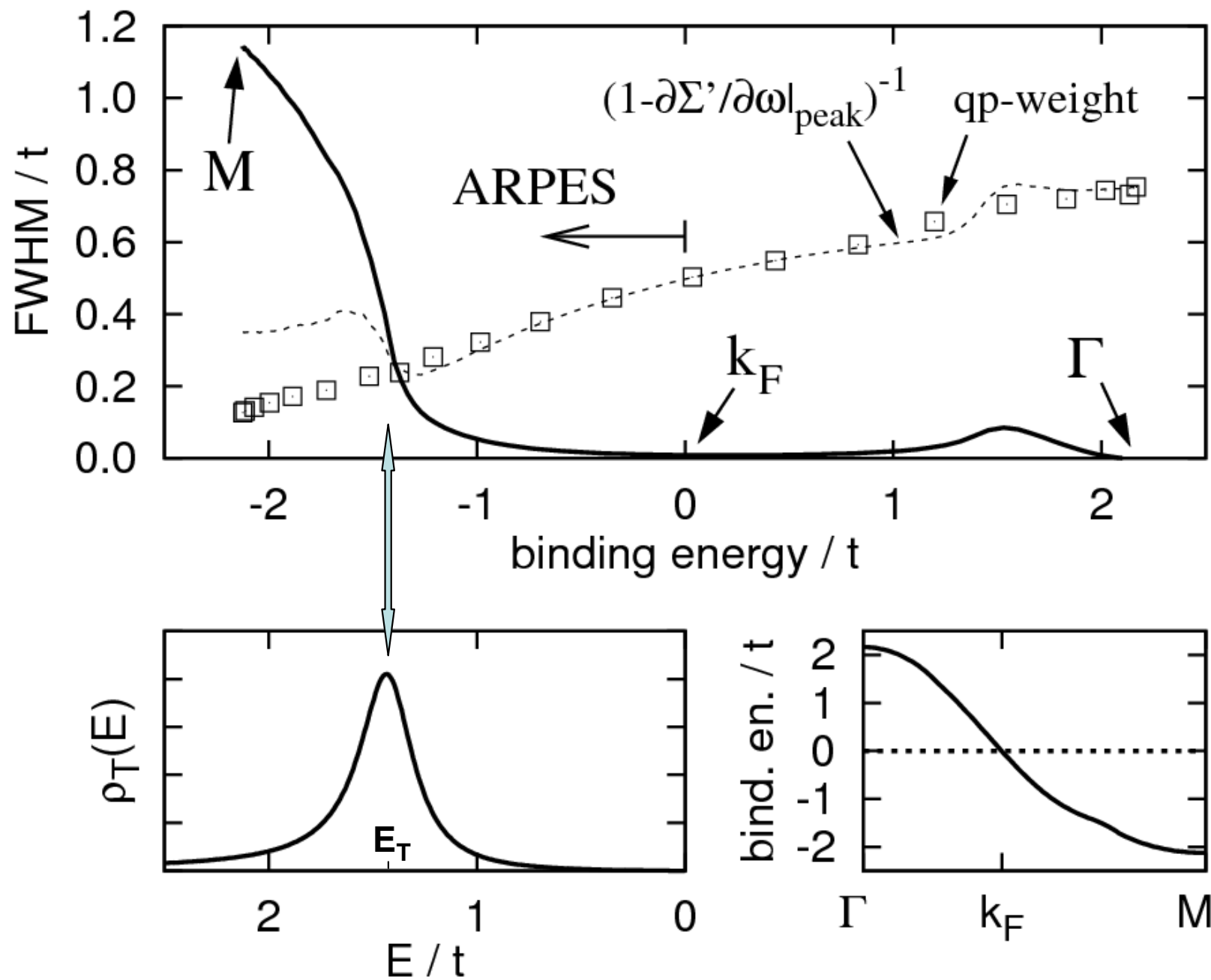


quasiparticle damping



experiment: strong scattering at ~ 150 meV

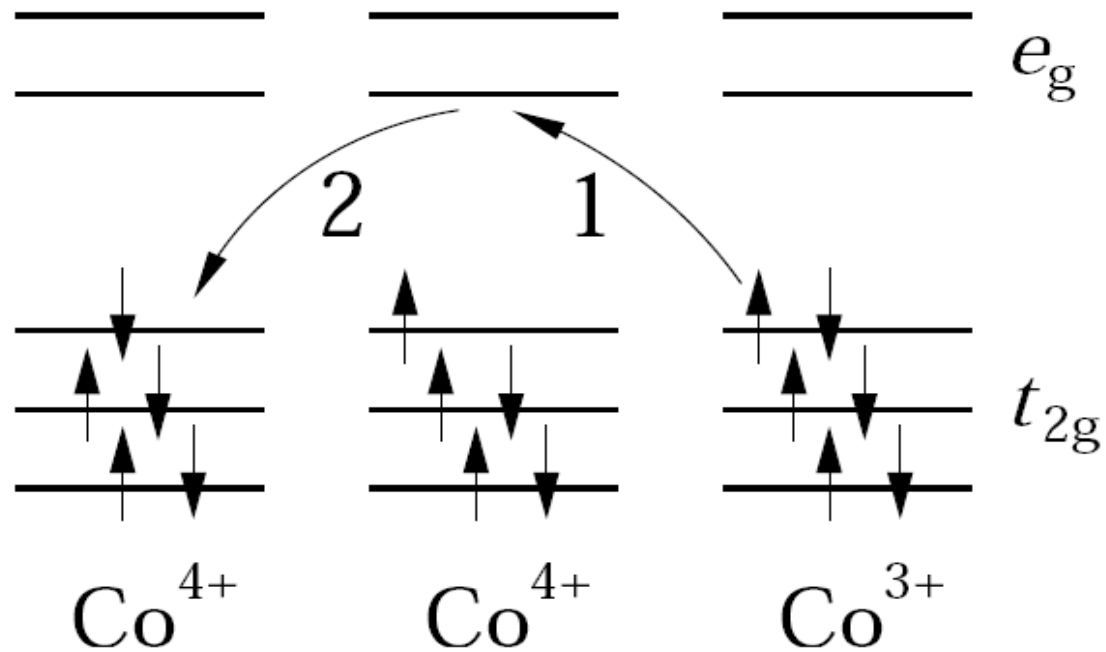
theory suggests: S=1 virtual state energy



Scattering on spin-state fluctuations \implies *qp destroyed below $E_T \sim 150$ meV*

***Interaction between t_{2g} holes
mediated by $S=1$ excitations***

Spin-correlated hopping via the S=1 intermediate states

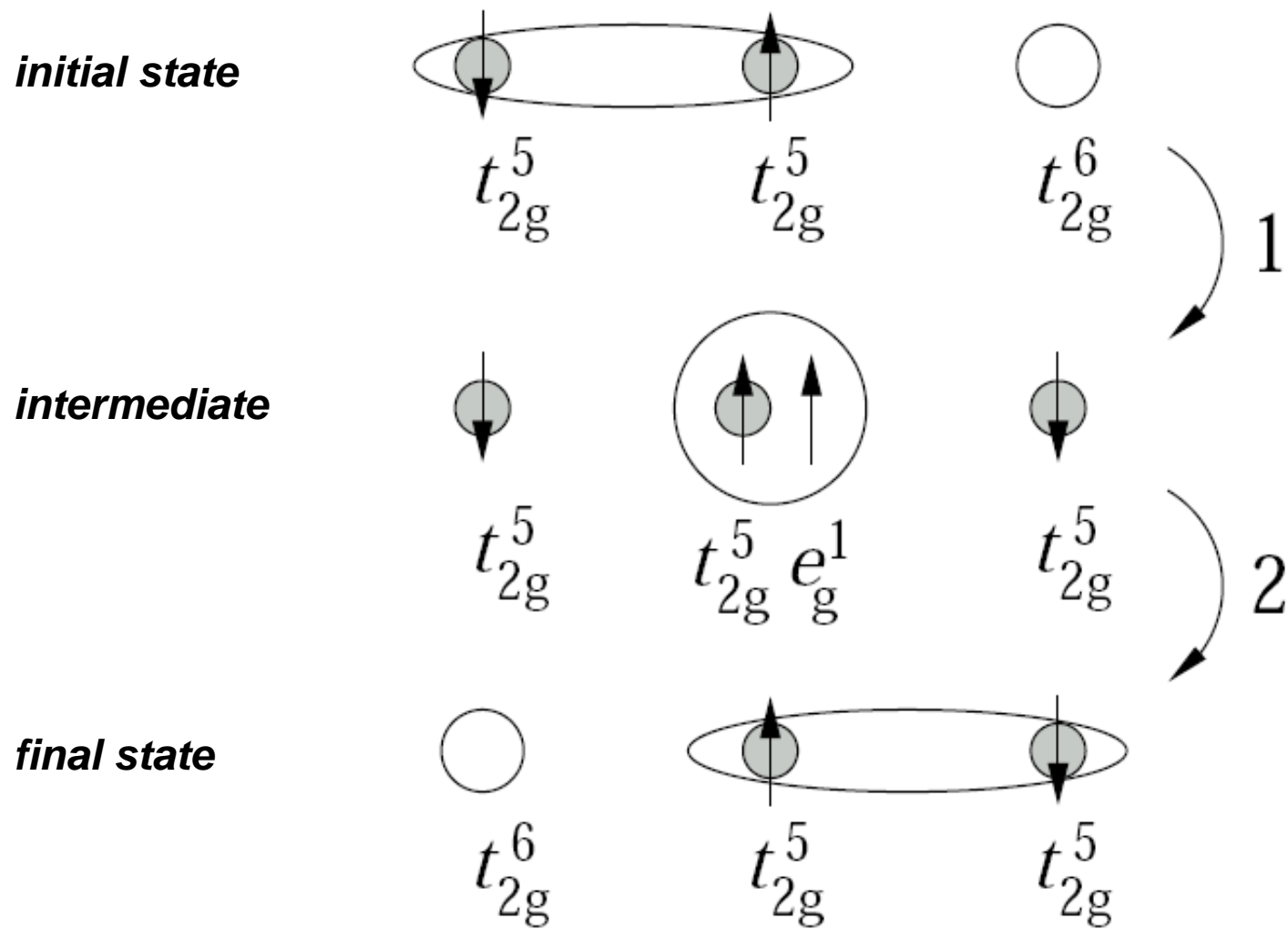


Step 1 : S=1 exciton formed

Step 2 : Exciton relaxed

Process sensitive to the spin orientation of holes

⇒ Effective interaction between fermions



Exchange by S=1 exciton \longrightarrow fermionic pair-hopping

Interaction between t_{2g} -fermions in terms of:

- ⇒ singlet S_{ij} and triplet T_{ij} dimer-hopping (SC pairing)
- ⇒ nonlocal charge and spin interactions (spin/ch. order)

$$H_{\text{eff}} = \frac{1}{2} V \sum_{\langle ijk \rangle} \cos(\phi_{ij} - \phi_{jk}) \left[\hat{S}_{ij}^\dagger \hat{S}_{kj} + \frac{1}{3} \hat{T}_{ij}^\dagger \hat{T}_{kj} \right]$$

$$= V \sum_{\langle ijk \rangle} \cos(\phi_{ij} - \phi_{jk}) \left[n_j n_{ik} - \frac{1}{3} \mathbf{s}_j \mathbf{s}_{ik} \right]$$

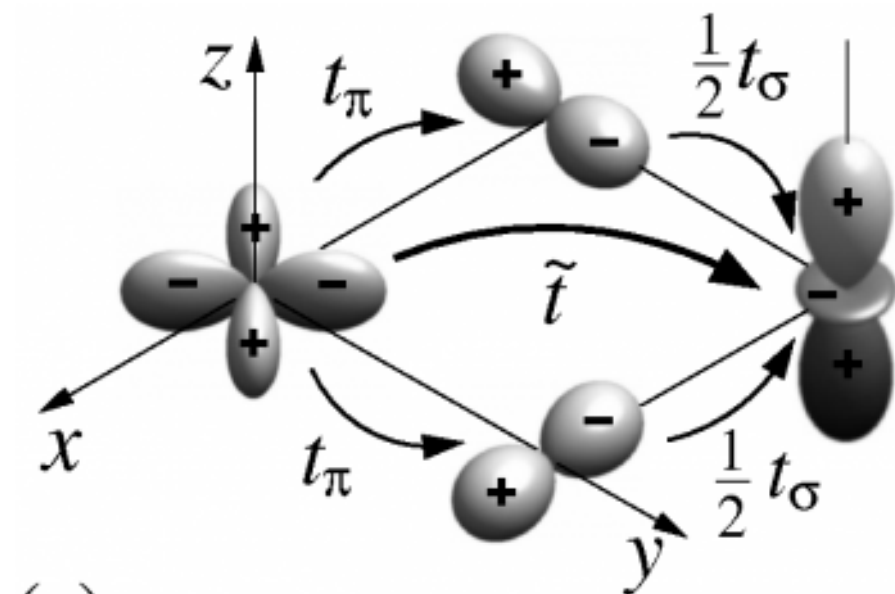
bond-charge & bond-spin

$$V = \tilde{t}^2 / E_T$$

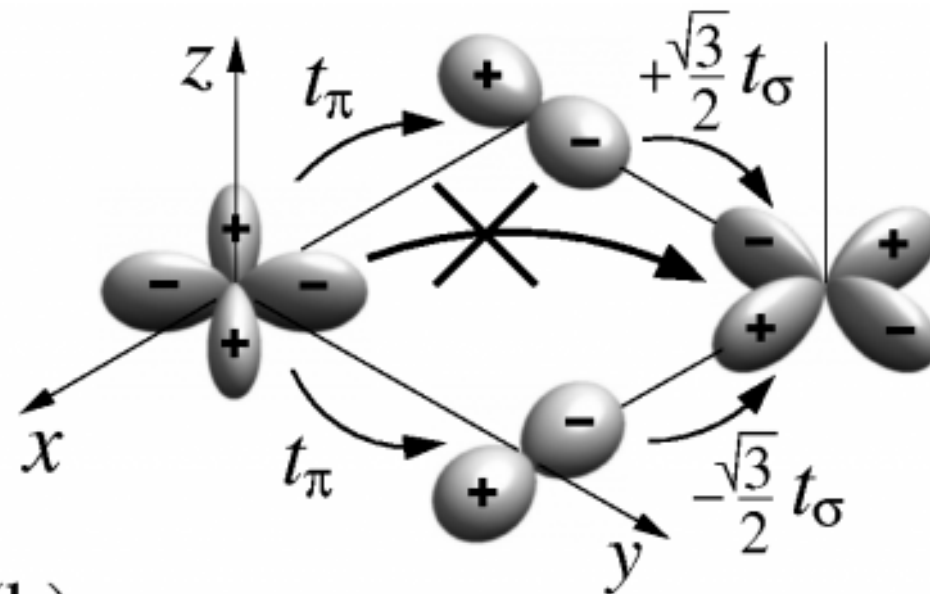
(i) 1/3 factor: Singlets move faster and gain more kinetic energy

(ii) cos-factor: Frustration

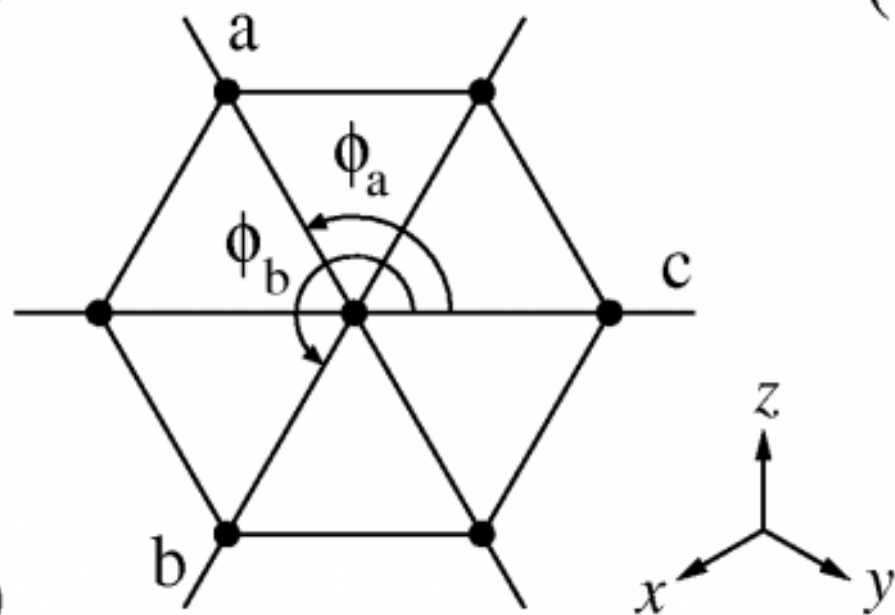
$t_{2g}-e_g$ hopping is orbital selective



(a)



(b)

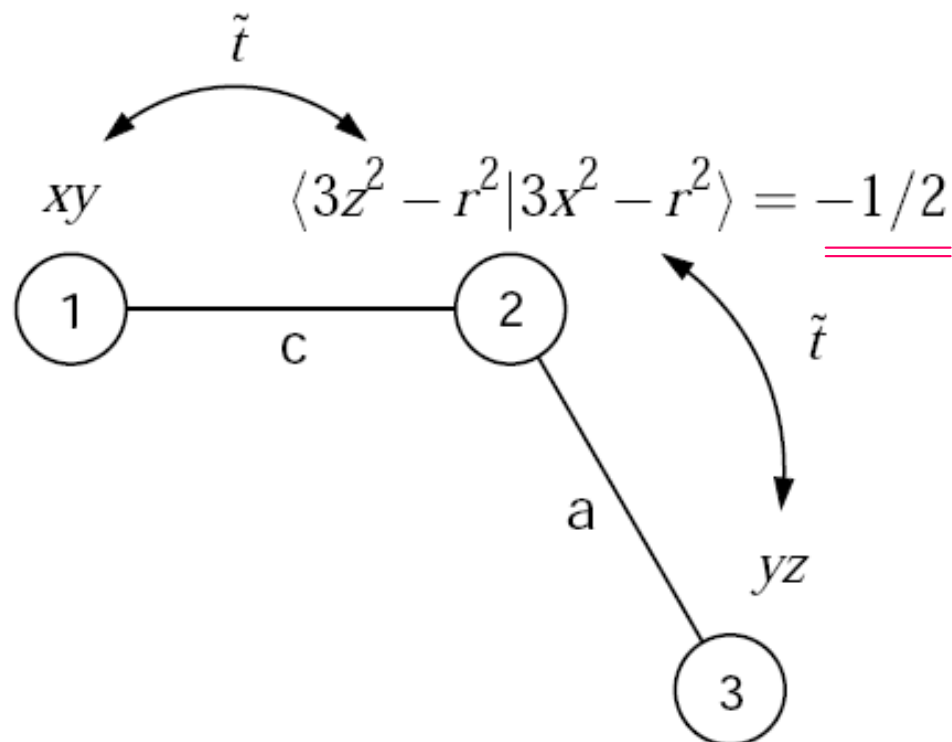
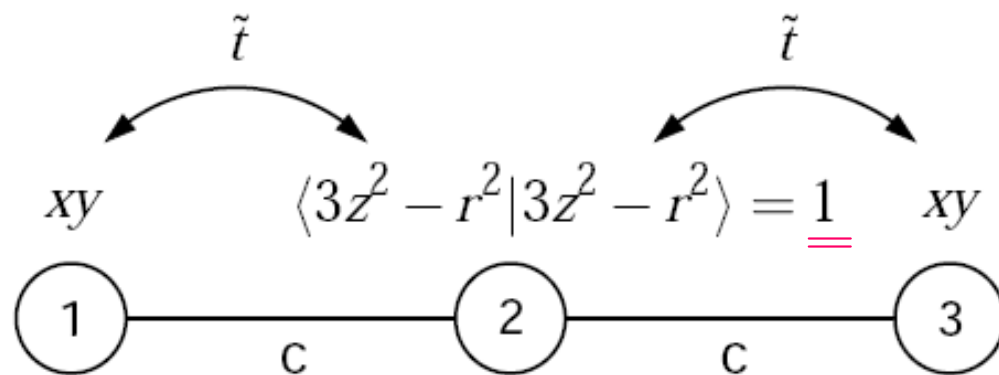


(c)

a-bond: $\tilde{t} (yz \leftrightarrow 3x^2 - r^2)$

b-bond: $\tilde{t} (zx \leftrightarrow 3y^2 - r^2)$

c-bond: $\tilde{t} (xy \leftrightarrow 3z^2 - r^2)$



origin of $\tilde{t}^2 \cos(\phi_{12} - \phi_{23})$ factor
 due to e_g orbital overlap

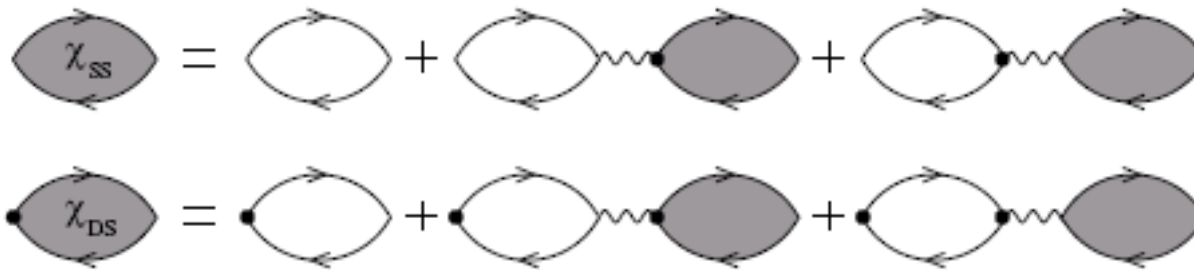
Spin susceptibility

$$H_{\text{spin}} = -\frac{1}{3} \frac{\tilde{t}^2}{E_T} \sum_{\mathbf{R}, \delta \neq \delta'} \cos(\phi_\delta - \phi_{\delta'}) \hat{S}_{\mathbf{R}} \cdot \hat{S}_{\mathbf{R}+\delta, \mathbf{R}+\delta'}$$

$$= -\lambda \sum_{\mathbf{q}} \hat{S}_{-\mathbf{q}} \cdot \hat{D}_{\mathbf{q}} = \text{---} \bullet \text{---} \quad \lambda = \tilde{t}^2 / 3E_T$$

$S_{\mathbf{q}}$: on-site spin
 $D_{\mathbf{q}}$: bond-spin

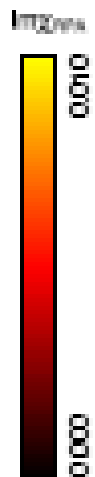
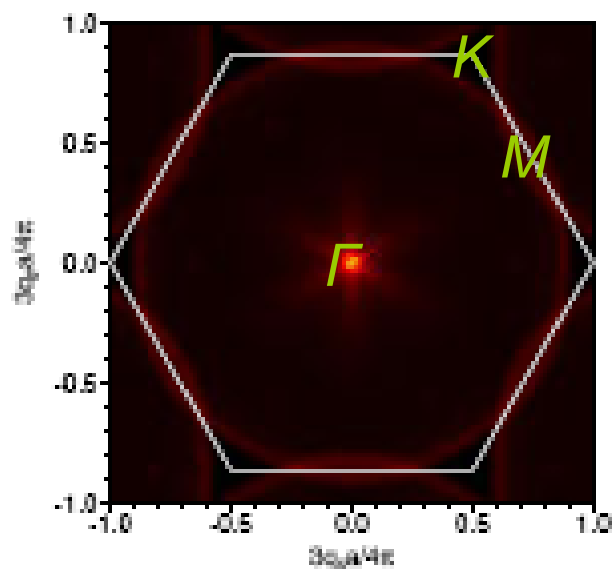
RPA:



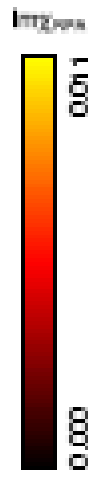
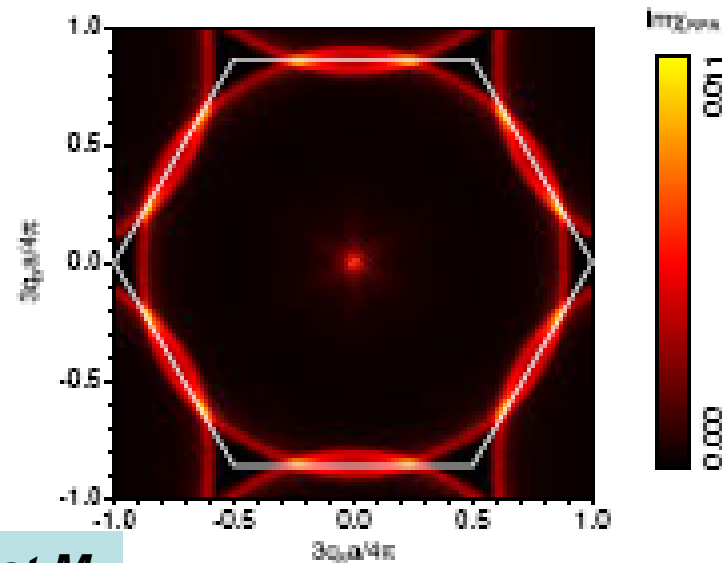
2k_F-fluctuations enhanced

bare

$\lambda = t$



$x=0.5$



Exp: Bragg peak at M

Interaction between t_{2g} -fermions in terms of pair hopping

$$H_{\text{eff}} = \frac{1}{2} V \sum_{\langle ijk \rangle} \cos(\phi_{ij} - \phi_{jk}) \left[\hat{S}_{ij}^\dagger \hat{S}_{kj} + \frac{1}{3} \hat{T}_{ij}^\dagger \hat{T}_{kj} \right]$$

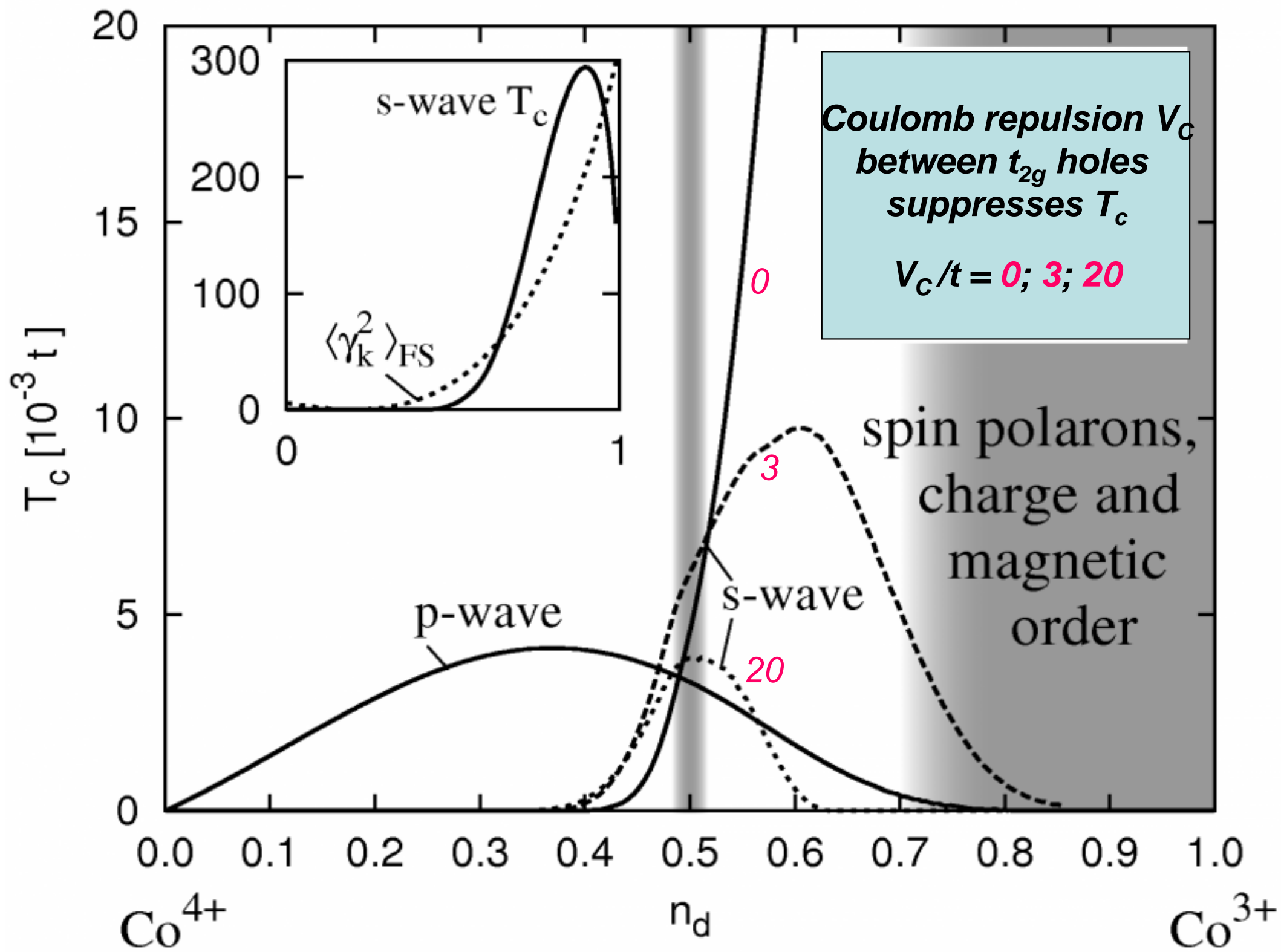
Singlets

Triplets

$$V = \tilde{t}^2 / E_T$$

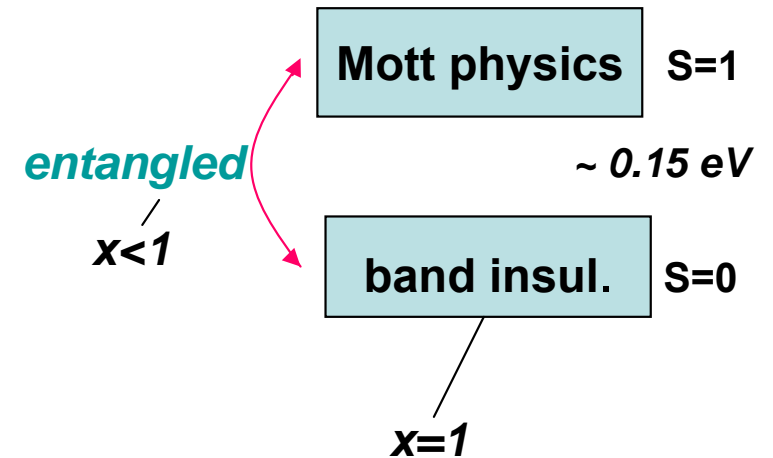
(i) 1/3 factor: *Singlets move faster and gain more kinetic energy*

(ii) cos-factor: *Frustration*



Summary

- Spin-state quasidegeneracy of Co^{3+} :
→ *proximity to the Mott physics*
- 90° d-p-d bonding in NaCoO_2 :
→ *$S=1$ states accessible by t_{2g} - e_g hopping, spin-polarons, incoherent ARPES, ...*
- Superconductivity:
→ *pairing mediated by spin-state fluctuations*



Coulomb repulsion between holes:

- reduces the pair-hopping process: $V=p(n_d)V$
- spatially separated spin-polarons (trapped by a random Na-potential)
- supports magnetic and charge order, suppresses SC

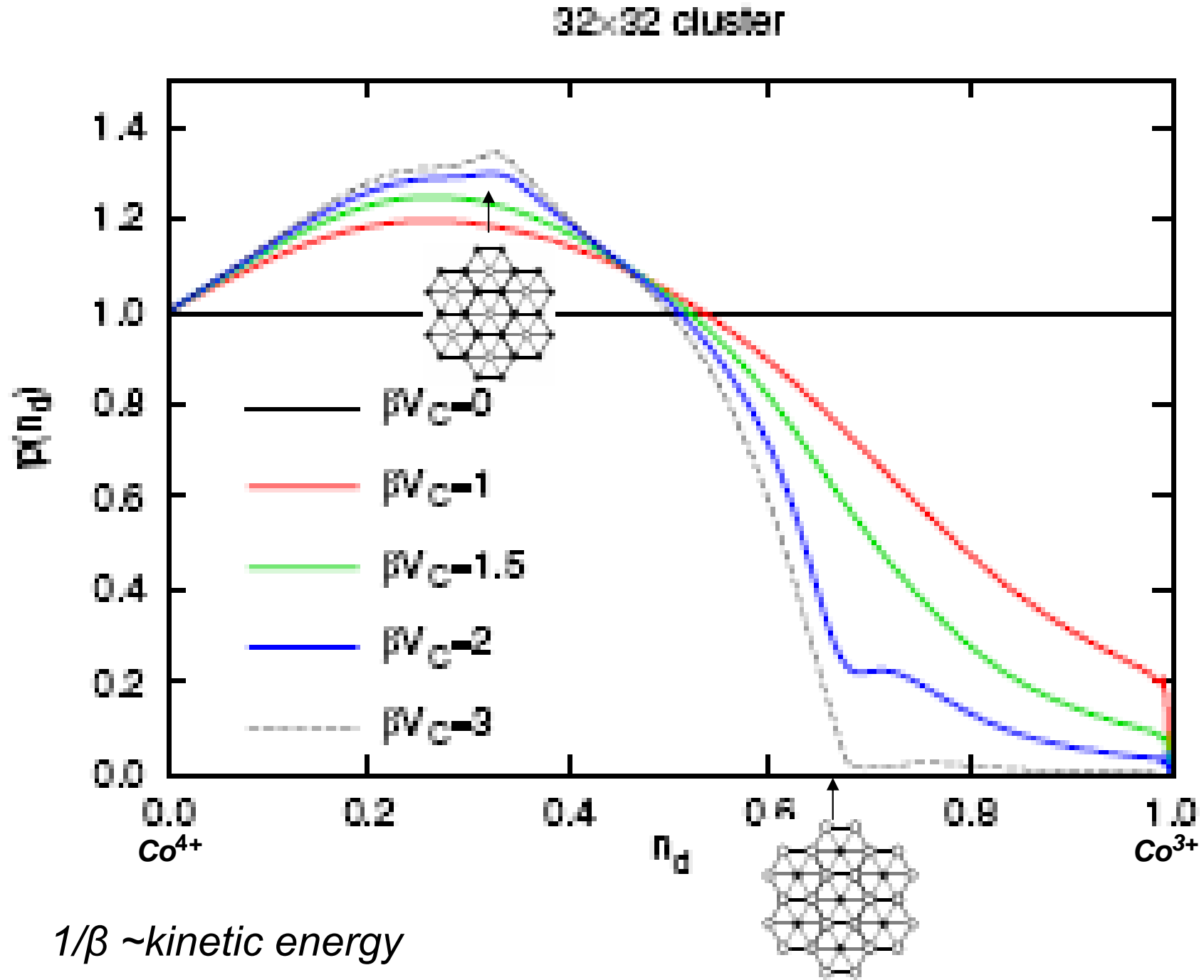
$$p(n_d) = \frac{P(\circ_i \uparrow_j \downarrow_k) | V_C \neq 0}{P(\circ_i \uparrow_j \downarrow_k) | V_C = 0}$$

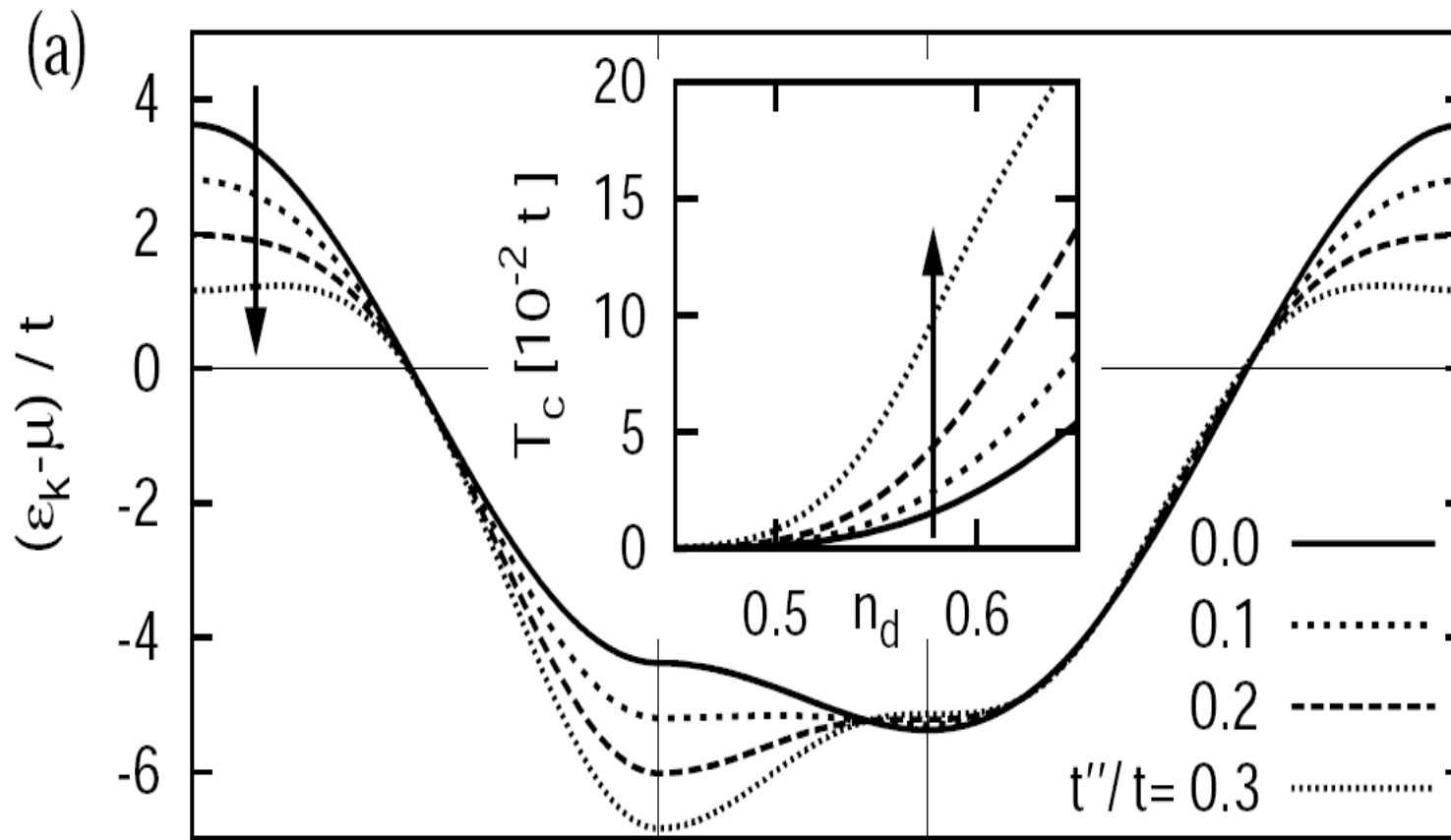


▶ *classical Monte-Carlo*

...enjoying pair-hopping process

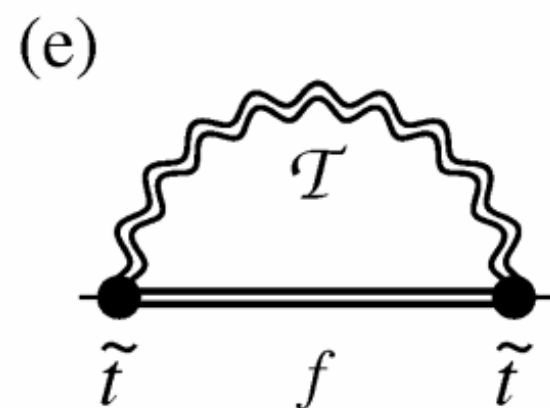
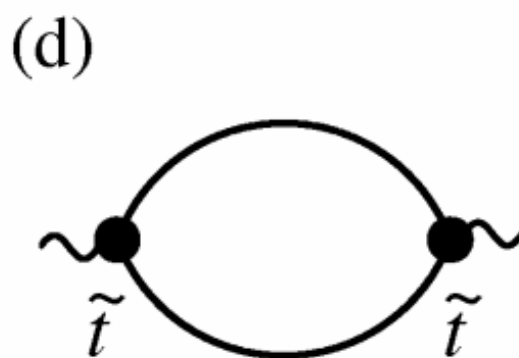
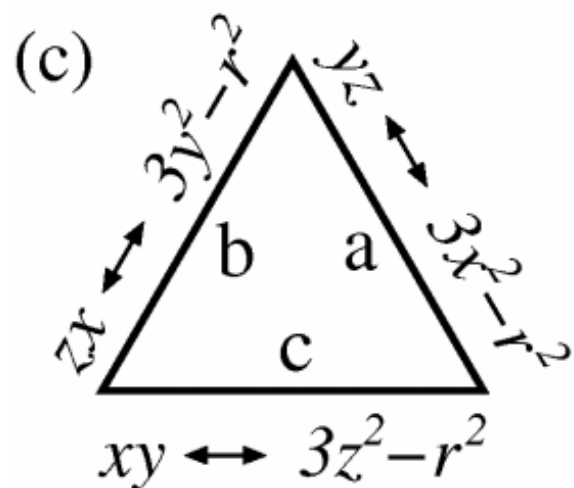
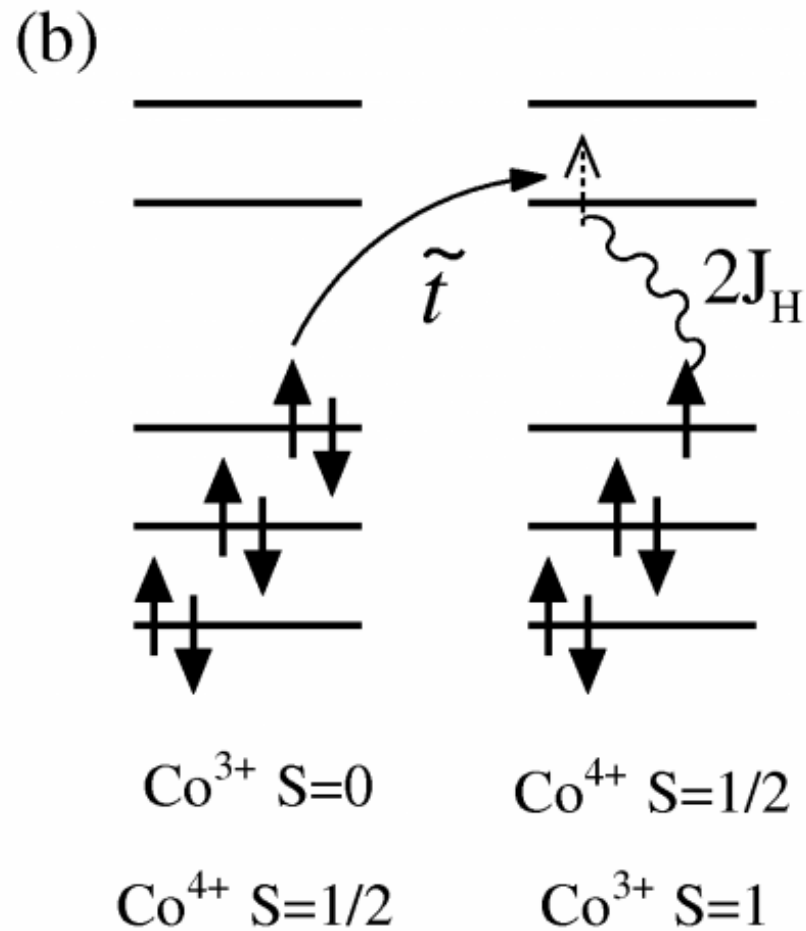
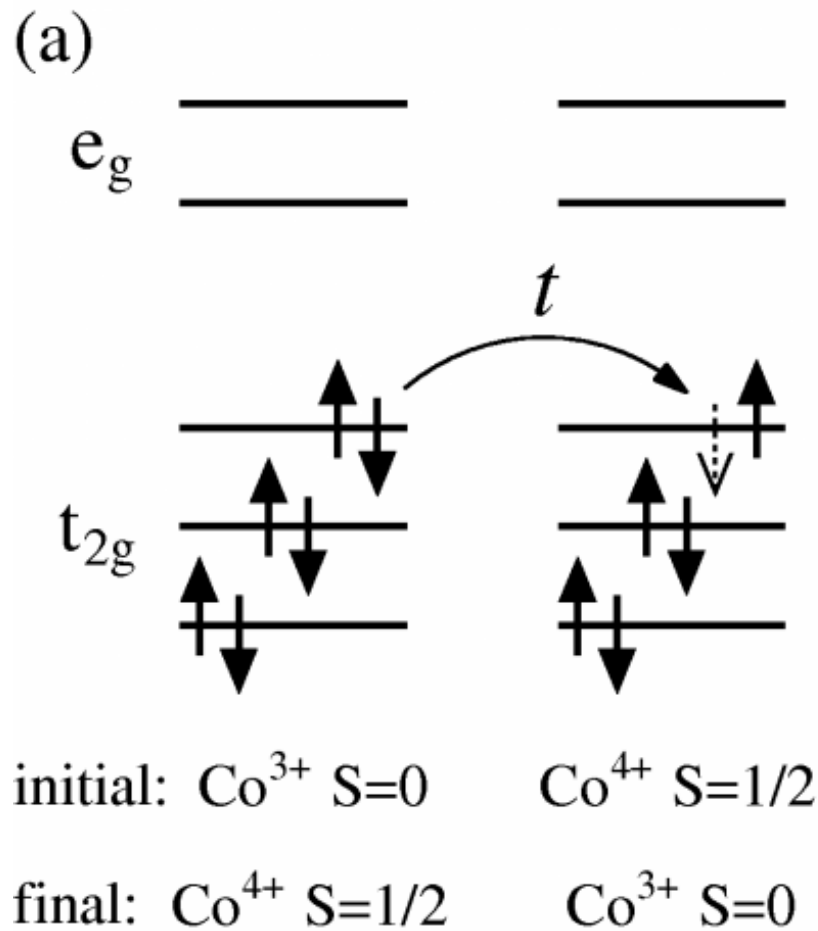
How good are the conditions for pair-hopping interaction?





LDA suggests: band-flattening when water is present

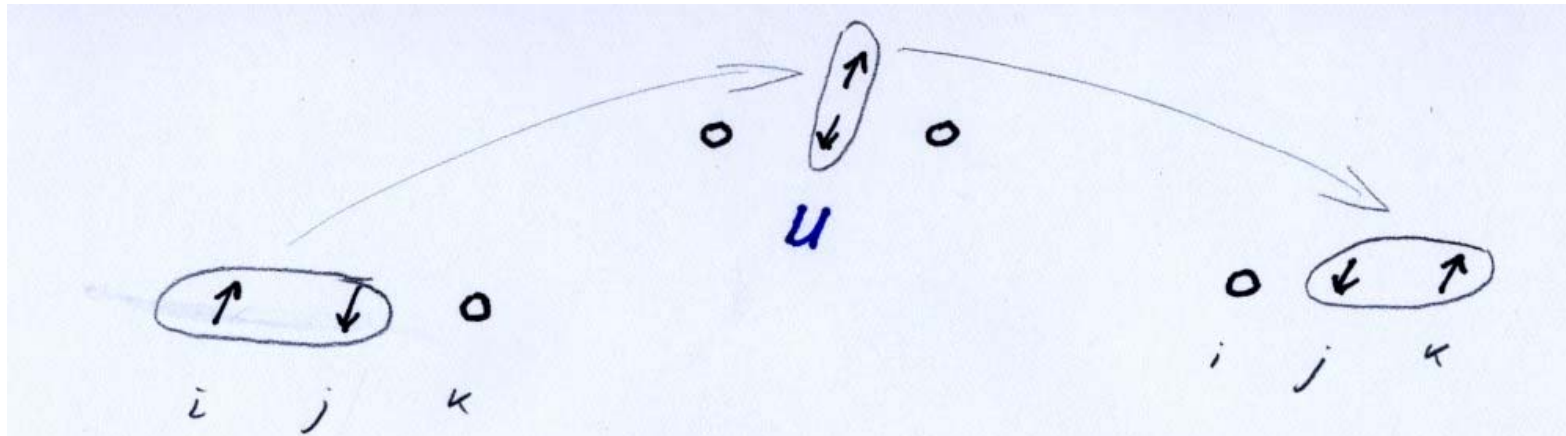
Model predicts: singlet s-wave T_c enhanced



T_c equation (both the pair-hopping V and dispersion are renormalized by the Gutzwiller factor):

$$1 = \sum_{|\bar{\xi}_k| \leq E_T} \frac{\bar{V}_\alpha |\gamma_\alpha(\mathbf{k})|^2}{2\bar{\xi}_k} \tanh \frac{\bar{\xi}_k}{2T_c}$$

Pair-hopping term in cuprates is small



$$\frac{t^2}{U} \cdot s_{ij}^+ s_{jk}$$

order of J , minor effect

- Na_xCoO_2 : $\frac{\tilde{t}^2}{E_T}$ is large

$$\begin{aligned} \tilde{t} &\sim 2t \\ E_T &\ll U \end{aligned}$$

$$\text{Pairing field} \propto \frac{\tilde{t}^2}{E_T} \gg J$$

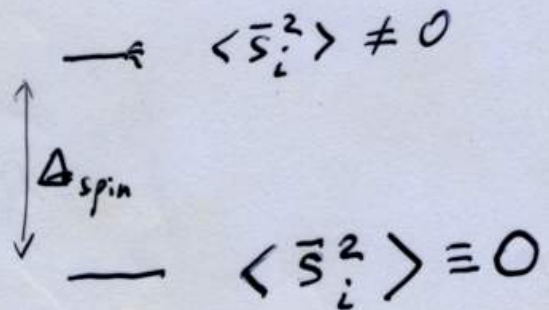
t_{2g}^6 systems

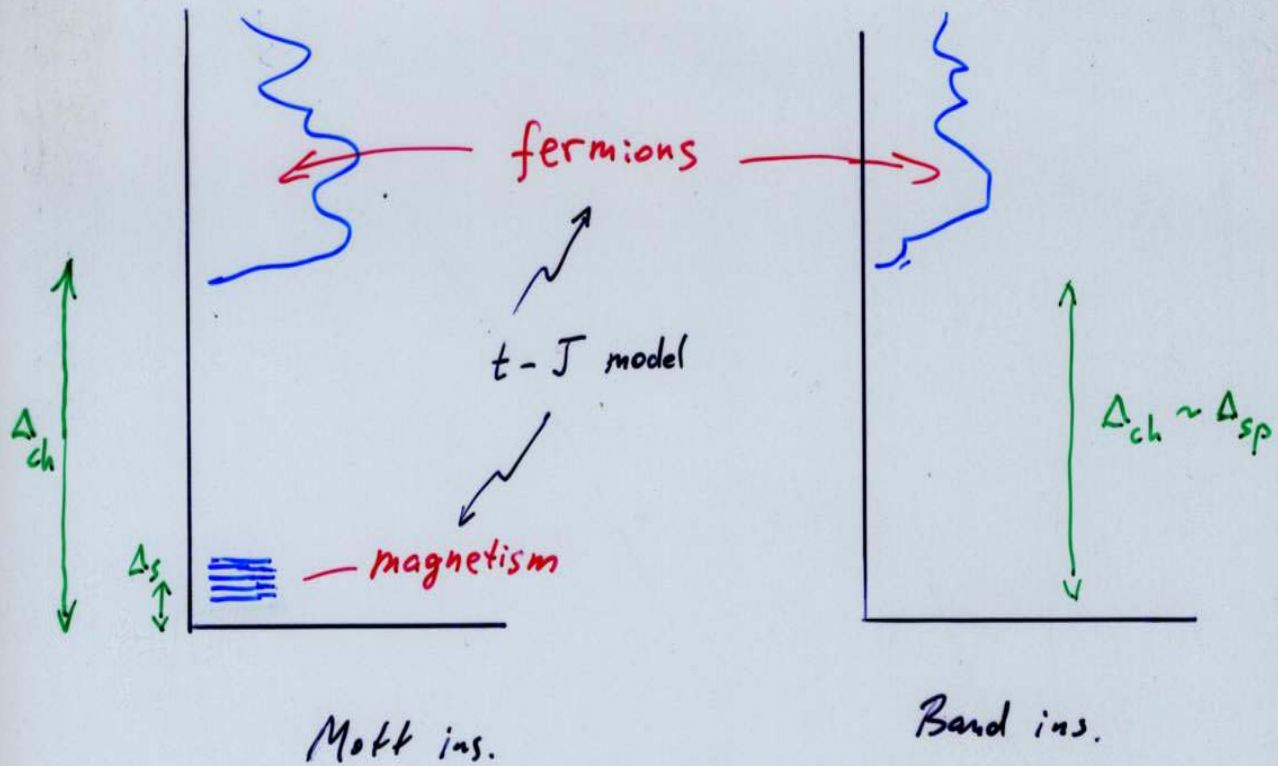
NOT simple band insulators !!

LaCoO_3
 NaCoO_2
 SrRh_2O_4

SPINLESS MOTT INSULATORS

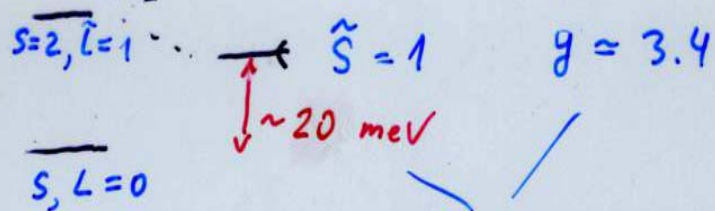
spin gap \ll charge gap
} }
10-100 meV ~ 1-2 eV





LaCoO_3 → spinless Mott insulator

$3t, d^6$



ESR, INS on \tilde{S} -triplet

Cobaltates

- *Undoped*

LaCoO₃, 3D cubic : *nonmagnetic insulator*

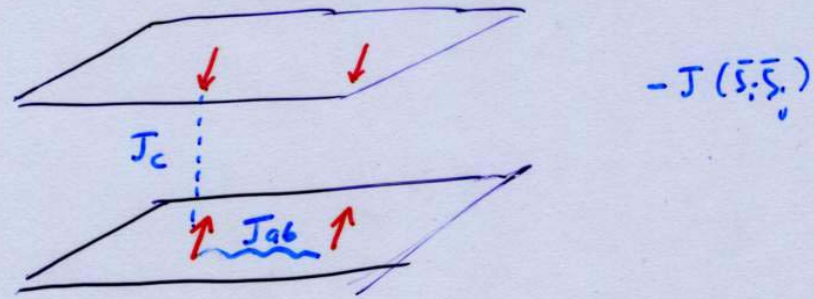
NaCoO₂, 2D triangular : *nonmagnetic insulator*

- *Doped by holes*

La_{1-x}Sr_xCoO₃ : *spin-glass* \Rightarrow *ferromagnetic metal*

**Na_{1-x}CoO₂ : *spin-glass* \Rightarrow *ferro-planes metal* ($x < 0.25$)
 \Rightarrow *nonmagn. metal, supercond.* ($x > 0.25$)**

Similar ionic structure but different hopping geometry



1. Spin-waves : $J_c \sim -J_{ab}$
 (Keimer et al.
 Boothroyd et al.)

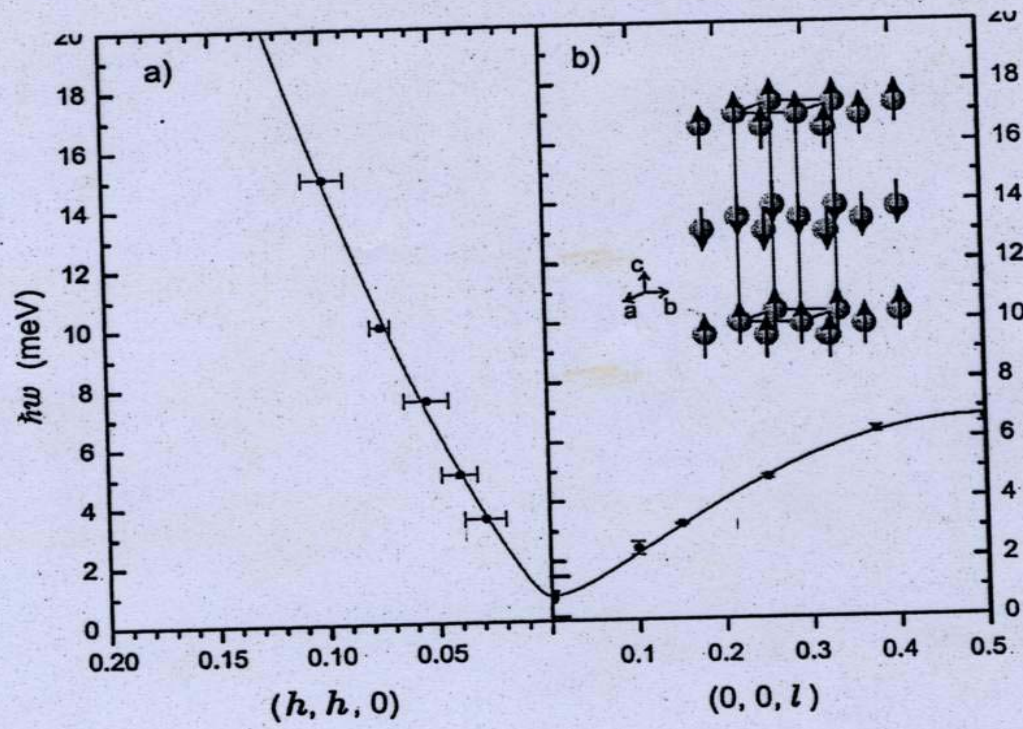
3D magh.
 but 2D transport,
 $\rho_{ab} \approx 10^{-2} \rho_c$

2. $\sum_R J(R) > 0 \rightarrow \theta > 0$ positive (SW-data)

however, $\theta_{exp} \sim -200 \text{ K}$, negative

Different from NaNiO_2 : $\left| \frac{J_{ab}}{J_c} \right| \approx 15$, $\sim 2\text{D}$

A-AF



Spin waves in $\text{Na}_{0.8}\text{CoO}_2$ (Keimer et al. / cond-mat)

$J_{ab} = -4.5 \text{ meV}$ (Ferro)
 $J_c = 3.3 \text{ meV}$ (AF)
] A-type AF

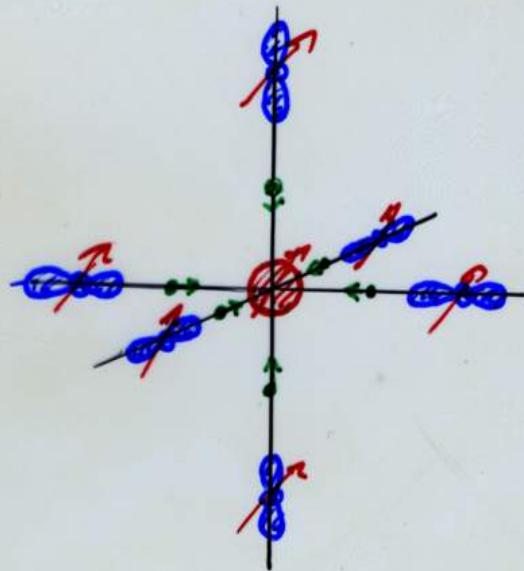
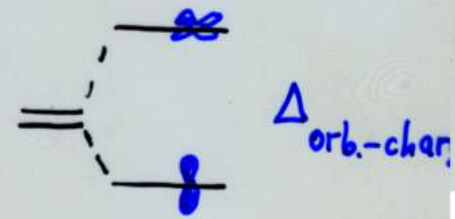
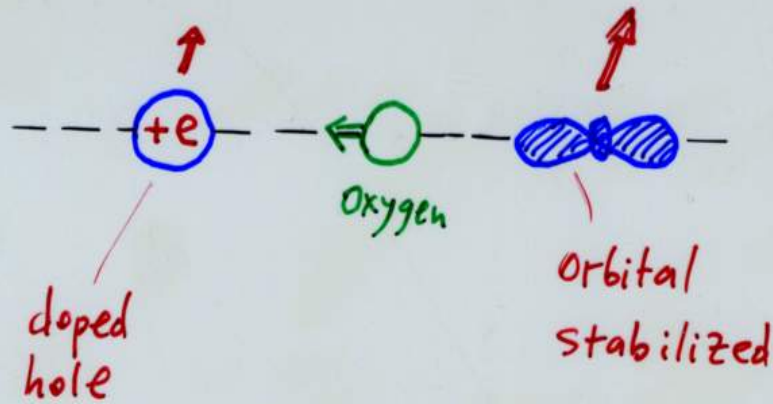
3D-magnetism / 2D-transport

→ not simple SDW

ORBITAL POLARON

Kilian & G.Kh.

PRB (1999)

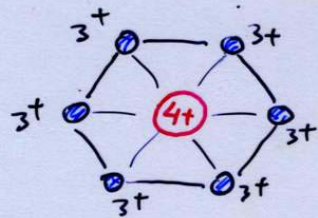


$$E_{\text{binding}} \sim z \cdot \Delta_{\text{orb.-ch.}}$$

$$E_b \gtrsim W$$

polaron self-trapped

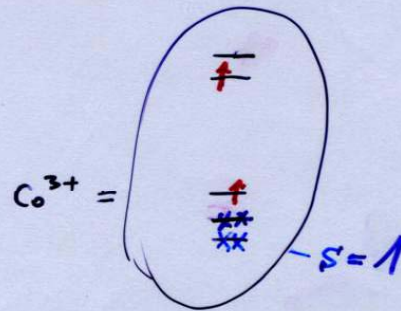
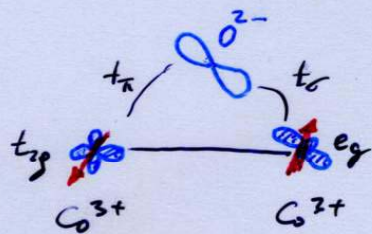
|| Doping of spinless Mott insulator NaCoO_2



Spin/orbital structure:
- Different from that in LaCoO_3

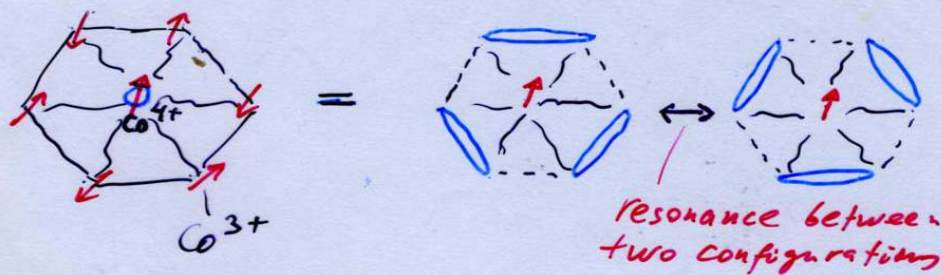
90°Co-O-Co bonds !!!

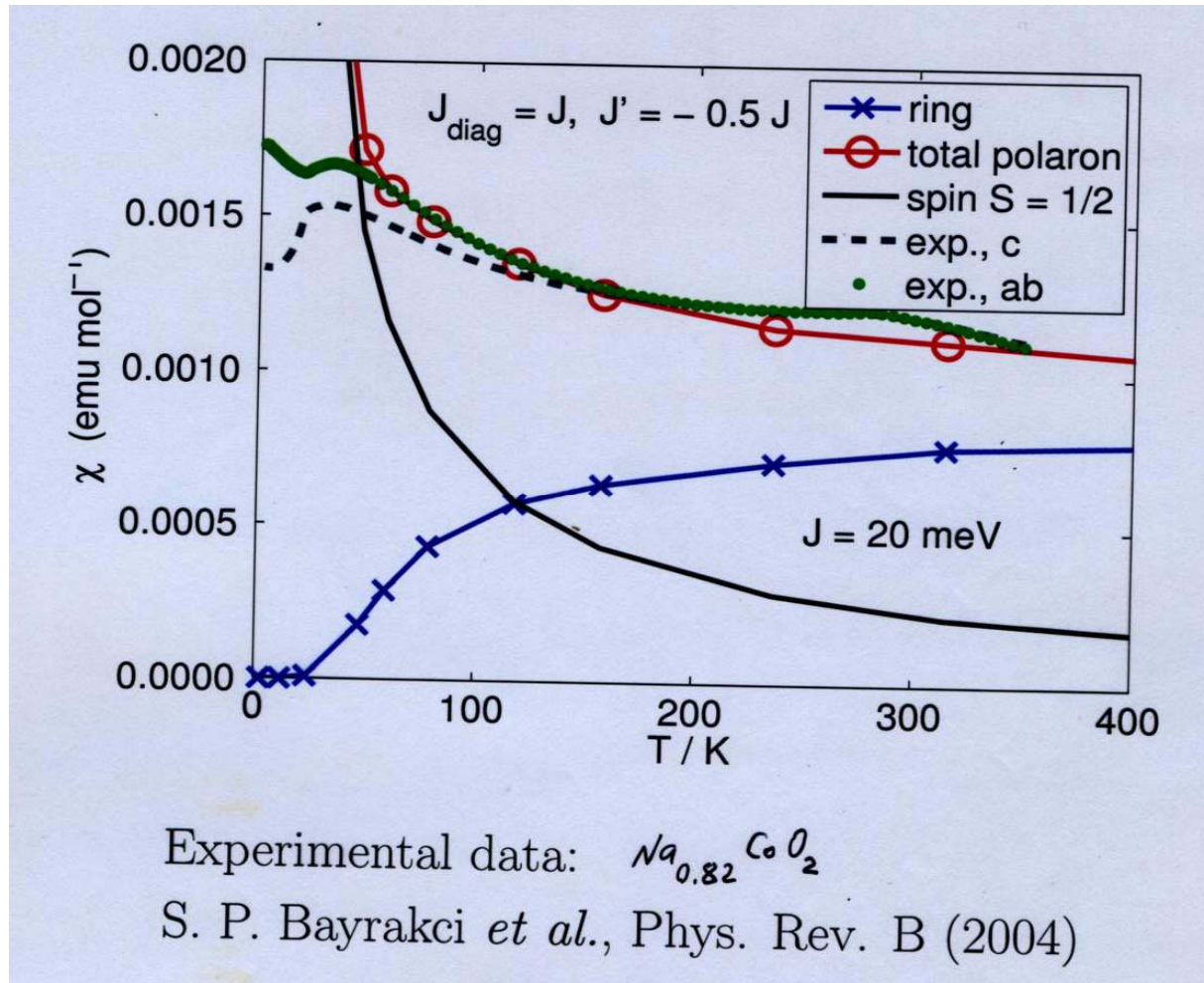
- $\text{Co}^{3+} - \text{Co}^{3+}$ bonds are strongly AF!



- $\text{Co}^{4+} - \text{Co}^{3+}$ bonds: competing F & AF

→ Ground state: NET SPIN $\frac{1}{2}$





Exact diagonalization:

M. Daghofer, P. Horsch, and G. Kh. (PRL 2006)

Two contributions:

central-spin $\frac{1}{2}$ and ring-spins 1

Key control factors in oxides

- A. „Internal“ structure of TM ions (Ti,...Co,...Cu)
 - valence state, spin & orbital degeneracy
 - local Hilbert space $\{\psi_1, \psi_2, \psi_3, \dots\}$

- B. Lattice symmetry
 - dictates hopping geometry
 - communication rules / structure of Bloch states