

**Interplay of valence and magnetic fluctuations  
in heavy fermions**

**3d**

**or**

**Super-exchange ferromagnetic correlations in Ruthenate  
– Origin of triplet superconductivity in Sr<sub>2</sub>RuO<sub>4</sub> –**

**2d**

**YKIS 2007**

**"Interaction and Nanostructural Effects  
in **Low-Dimensional** Systems"**

# **Microscopic Model for Spin-Triplet Superconductor $\text{Sr}_2\text{RuO}_4$ - Crucial Role of Oxygen -**

**K. Miyake    KISOKO, Osaka University**

**In Collaboration with**

**Y. Yoshioka    KISOKO, Osaka University**

**K. Hoshihara    Japan Self-Defense Navy**

# OUTLINE

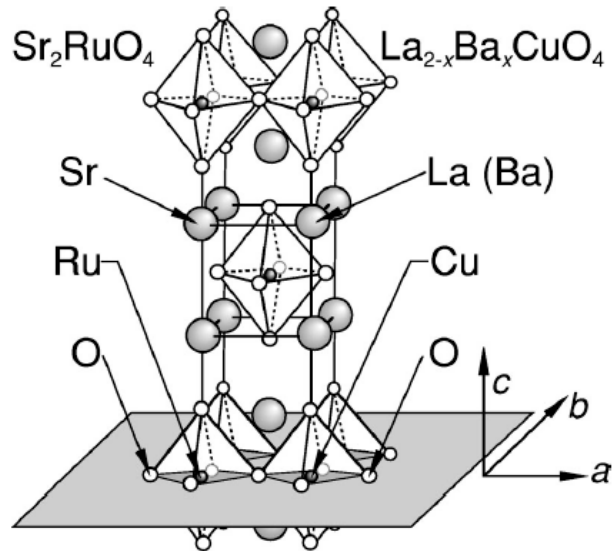
- 1) Introduction for  $\text{Sr}_2\text{RuO}_4$
- 2) Role of oxygen in  $\text{Sr}_2\text{RuO}_4$ 
  - 2-a) Specialty of  $\text{Sr}_2\text{RuO}_4$  based 4d electrons
  - 2-b) Coulomb repulsion  $U_{pp}$  at oxygen site
  - 2-c) Effect of p-orbitals on q-dependent spin susceptibility
- 3) Issue on anisotropy of d-vector of  $\text{Sr}_2\text{RuO}_4$ 
  - 3-a) Knight shift & anomalous relaxation in superconducting state
  - 3-b) Microscopic calculation

# 1) Introduction for $\text{Sr}_2\text{RuO}_4$

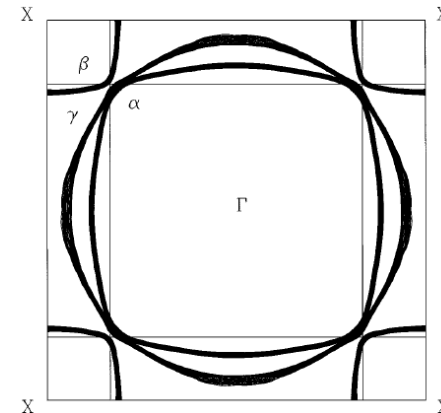
A. P. Mackenzie and Y. Maeno:  
Rev. Mod. Phys. **75** (2003) 657.

Crystal structure

– Isomorphous to high- $T_c$  cuprate



Quasi-2d Fermi surface



I. I. Mazin and D. J. Singh:  
PRL **79** (1997) 733.

CEF level scheme of  $\text{Ru}^{4+}$

$e_g$  ———

2-holes in  $t_{2g}$

$t_{2g}$   $\begin{array}{c} \uparrow \\ \uparrow\uparrow \\ \uparrow\downarrow \end{array}$   $d_x$   
 $d_{zx}^y, d_{yz}$

$\gamma$  - band :  $d_{xy} + p_x + p_y$

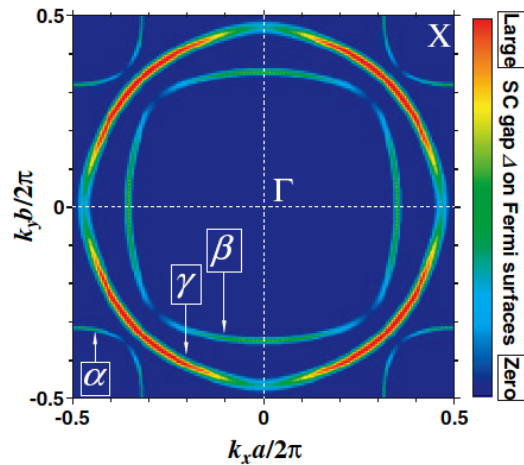
$\alpha, \beta$  - band :  $d_{zx} + p_z + p_x$

&  $d_{yz} + p_y + p_x$

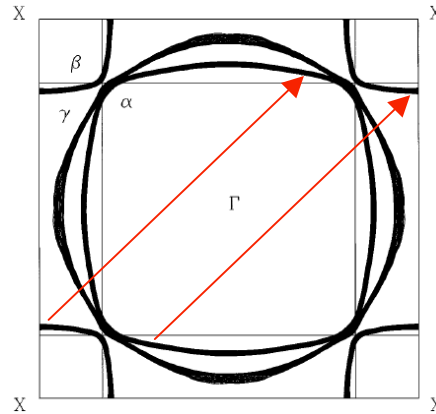
Spin-triplet superconductivity with  $T_c \sim 1.5\text{K}$

## Gap structure (specific heat measurement)

Order parameter:  $d(\mathbf{k}) = \hat{z}\Delta_0(\sin k_x \pm i \sin k_y)$

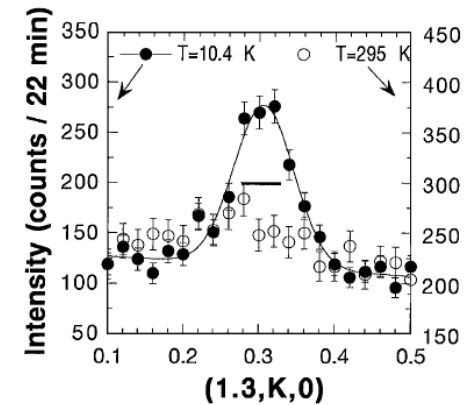


K. Deguchi et al.:  
J. Phys. Soc. Jpn. **73** (2004) 1313.



I. I. Mazin and D. J. Singh:  
PRL **79** (1997) 733.

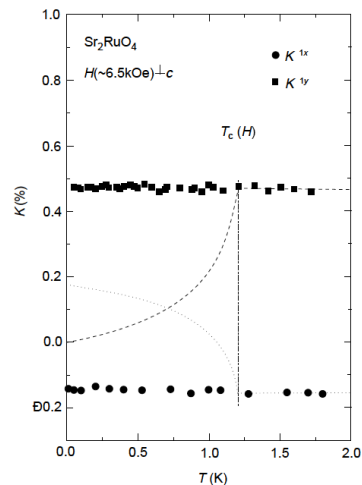
## Incommensurate spin fluctuation (inelastic neutron scattering)



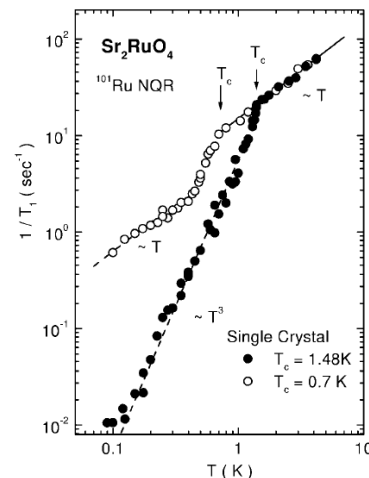
Y. Sidis et al.: PRL **83** (1999) 3320.

magnetic instability at  
 $\mathbf{q}_0 = (\pm 0.6\pi, \pm 0.6\pi)$

## Triplet-pairing and line node gap (NMR and NQR)

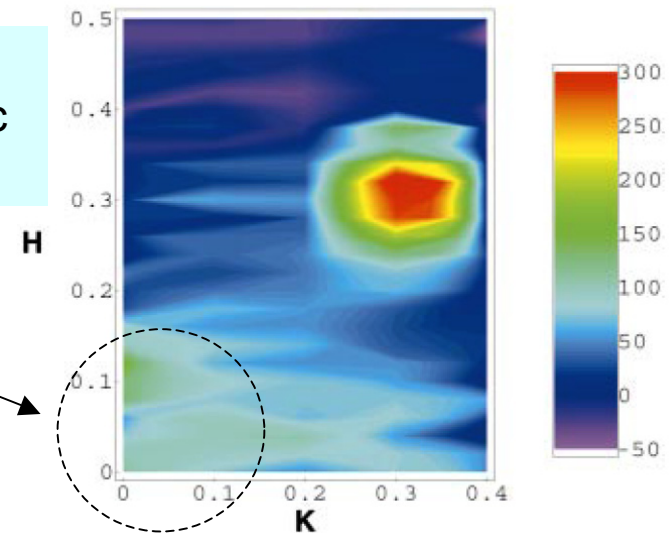


K. Ishida et al.:  
Nature **396** (1998) 658.



K. Ishida et al.:  
PRL **84** (2000) 5387.

Short-range  
Ferromagnetic  
correlation

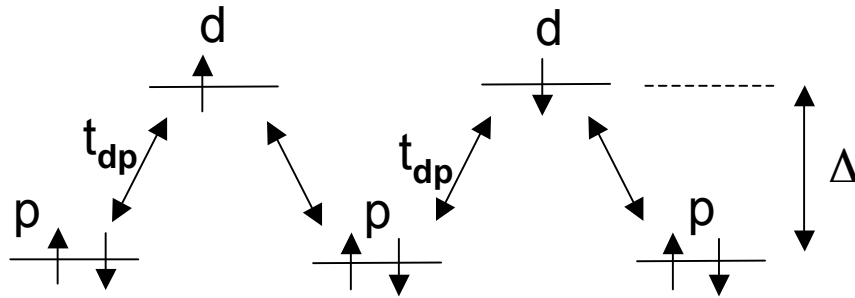


Braden et al: PRB (2002)

## **2) Roles of oxygen in $\text{Sr}_2\text{RuO}_4$**

# d-p model

# Relation between d-p & Hubbard model

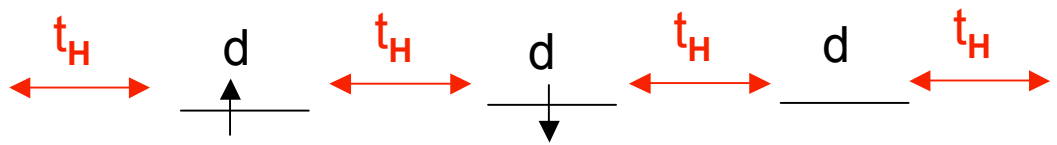


$t_{dp} \ll \Delta$  condition for p-degrees of freedom to be eliminated

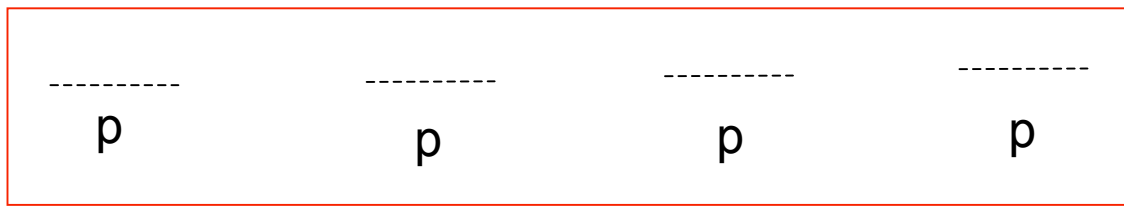
# Hubbard model

$$t_H = t_{dp}^2 / \Delta$$

Effective transfer in Hubbard model



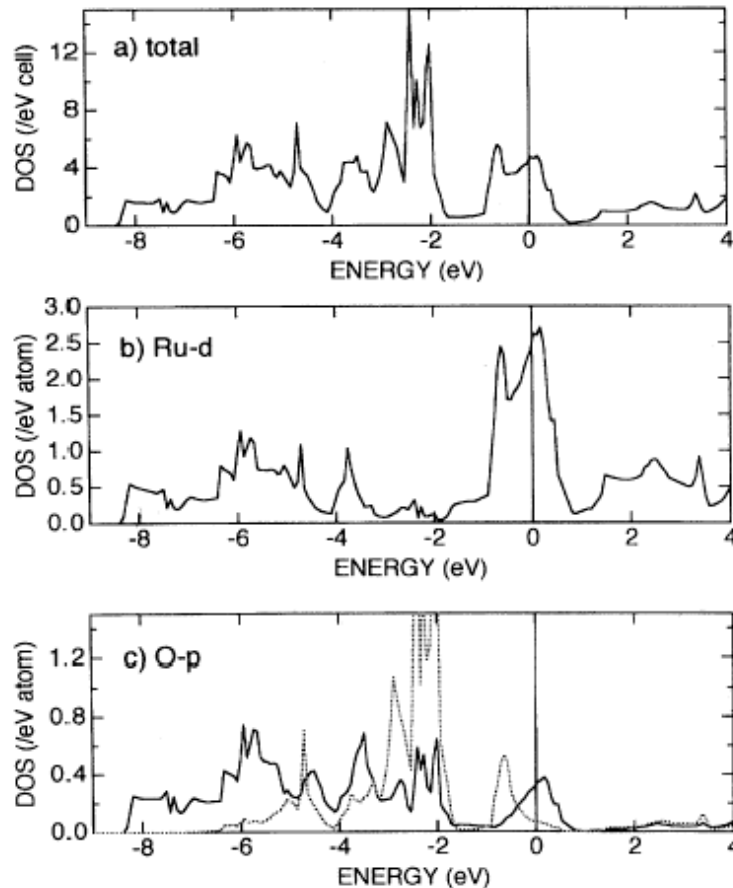
weight of p-orbital at Fermi level  $\sim t_{dp} / \Delta \ll 1$



**“p-degrees of freedom Eliminated”**

## 2-a) Specialty of $\text{Sr}_2\text{RuO}_4$ based 4d electrons

### Band structure calculation



T. Oguchi: PRB 51 (1995) 1385.

Appreciable weight of 2p-component remaining at Fermi level

$$\frac{N_F(\text{O}_I 2p)}{N_F(\text{Ru}4d)} \simeq 0.17$$

$$\frac{N_F(\text{O}_I 2p)}{N_F(\text{Ru}4d_{xy})} \simeq 0.34$$

Roles of oxygen cannot be eliminated

**Necessity of d-p model beyond Hubbard model**

**What kind of roles expected ?**



## **2-b) Coulomb repulsion $U_{pp}$ at oxygen site**

Hoshihara & KM: J. Phys. Soc. Jpn. **74** (2005) 2679

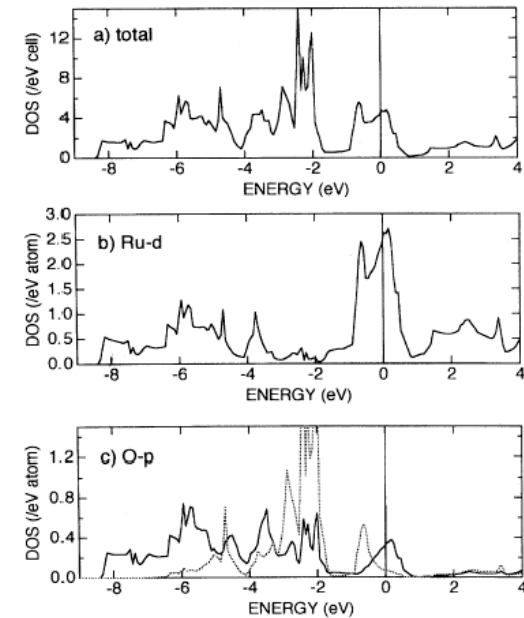
# Microscopic Model: Coulomb repulsion at O site

In usual transition metal oxides, the Coulomb interaction at O site play only minor role because the weight of d-electron molecular orbital at O site small as in high- $T_c$  cuprates.

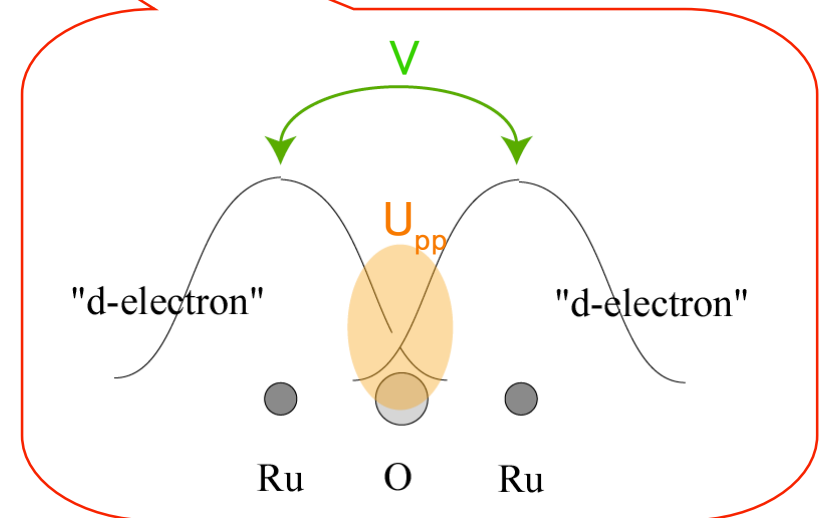
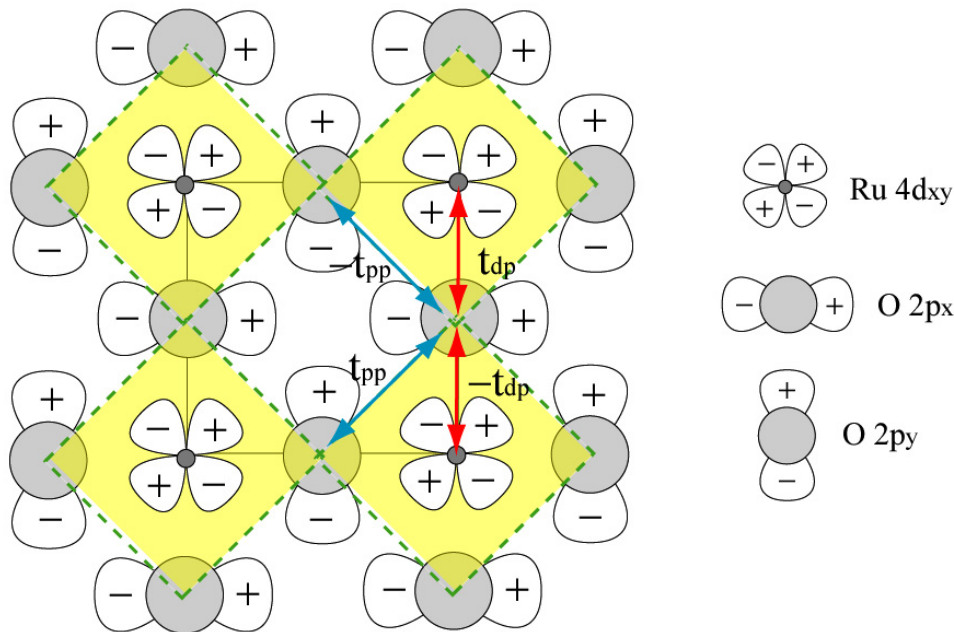
On the other hand, in  $\text{Sr}_2\text{RuO}_4$ , there seems to be a moderately strong hybridization between the wave functions of 4d-electron in Ru and 2p-electron in O, making the “d-electron”.

The Coulomb interaction  $U_{pp}$  at O site may not be neglected, while the direct Coulomb interaction  $V$  at the nearest-neighbor Ru sites would be negligibly small.

## Band structure calculation



T. Oguchi: PRB 51 (1995) 1385.



# Origin of the inter-site exchange interaction on the d-p model

## Non-interacting d-p model

$$\mathcal{H}_{dp}^{(0)} = \sum_{\mathbf{k}, \sigma} \begin{pmatrix} d_{\mathbf{k}\sigma}^\dagger & p_{x\mathbf{k}\sigma}^\dagger & p_{y\mathbf{k}\sigma}^\dagger \end{pmatrix} \begin{pmatrix} \varepsilon_d & V_{y\mathbf{k}}^* & V_{x\mathbf{k}}^* \\ V_{y\mathbf{k}} & \varepsilon_p & W_{\mathbf{k}} \\ V_{x\mathbf{k}} & W_{\mathbf{k}} & \varepsilon_p \end{pmatrix} \begin{pmatrix} d_{\mathbf{k}\sigma} \\ p_{x\mathbf{k}\sigma} \\ p_{y\mathbf{k}\sigma} \end{pmatrix}$$

$$V_{m\mathbf{k}} = 2it_{dp} \sin\left(\frac{k_m}{2}\right)$$

$$W_{\mathbf{k}} = 4t_{pp} \sin\left(\frac{k_x}{2}\right) \sin\left(\frac{k_y}{2}\right)$$

## Unitary transformation

eigen-value :  $\varepsilon_{i\mathbf{k}}$       eigen-vector :  $\vec{u}_{i\mathbf{k}}$

$$\hat{U}_{\mathbf{k}} = \begin{pmatrix} \vec{u}_{1\mathbf{k}} & \vec{u}_{2\mathbf{k}} & \vec{u}_{3\mathbf{k}} \end{pmatrix} \begin{pmatrix} d_{\mathbf{k}\sigma} \\ p_{x\mathbf{k}\sigma} \\ p_{y\mathbf{k}\sigma} \end{pmatrix} = U_{\mathbf{k}} \begin{pmatrix} a_{1\mathbf{k}\sigma} \\ a_{2\mathbf{k}\sigma} \\ a_{3\mathbf{k}\sigma} \end{pmatrix}$$



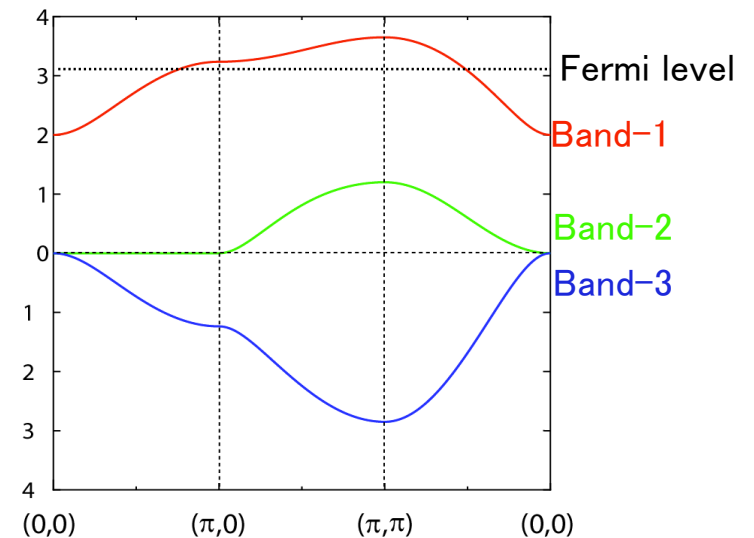
## Diagonalization

$$\mathcal{H}_{dp}^{(0)} = \sum_{\mathbf{k}, \sigma} \begin{pmatrix} a_{1\mathbf{k}\sigma}^\dagger & a_{2\mathbf{k}\sigma}^\dagger & a_{3\mathbf{k}\sigma}^\dagger \end{pmatrix} \begin{pmatrix} \varepsilon_{1\mathbf{k}} & 0 & 0 \\ 0 & \varepsilon_{2\mathbf{k}} & 0 \\ 0 & 0 & \varepsilon_{3\mathbf{k}} \end{pmatrix} \begin{pmatrix} a_{1\mathbf{k}\sigma} \\ a_{2\mathbf{k}\sigma} \\ a_{3\mathbf{k}\sigma} \end{pmatrix}$$

Band-1 forms the Fermi surface.

$$a_{1\mathbf{k}\sigma}, \varepsilon_{1\mathbf{k}}, D_{1\mathbf{k}} \longrightarrow a_{\mathbf{k}\sigma}, \varepsilon_{\mathbf{k}}, D_{\mathbf{k}}$$

We restrict our discussion within the  $\gamma$ -band. Namely, we consider only  $d_{xy}$ -electrons.



$$d_{\mathbf{k}\sigma} = \frac{\varepsilon_{1\mathbf{k}}}{D_{1\mathbf{k}}} a_{1\mathbf{k}\sigma} + \frac{\varepsilon_{2\mathbf{k}}}{D_{2\mathbf{k}}} a_{2\mathbf{k}\sigma} + \frac{\varepsilon_{3\mathbf{k}}}{D_{3\mathbf{k}}} a_{3\mathbf{k}\sigma}$$

$$p_{x\mathbf{k}\sigma} = \frac{V_{y\mathbf{k}}}{D_{1\mathbf{k}}} a_{1\mathbf{k}\sigma} + \frac{V_{y\mathbf{k}}}{D_{2\mathbf{k}}} a_{2\mathbf{k}\sigma} + \frac{V_{y\mathbf{k}}}{D_{3\mathbf{k}}} a_{3\mathbf{k}\sigma}$$

$$p_{y\mathbf{k}\sigma} = \frac{V_{x\mathbf{k}}}{D_{1\mathbf{k}}} a_{1\mathbf{k}\sigma} + \frac{V_{x\mathbf{k}}}{D_{2\mathbf{k}}} a_{2\mathbf{k}\sigma} + \frac{V_{x\mathbf{k}}}{D_{3\mathbf{k}}} a_{3\mathbf{k}\sigma}$$

$$D_{i\mathbf{k}} = (|V_{x\mathbf{k}}|^2 + |V_{y\mathbf{k}}|^2 + \varepsilon_{i\mathbf{k}}^2)^{1/2}$$

## Interaction terms

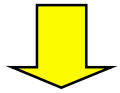
$$\mathcal{H}_U = U_{dd} \sum_i d_{i\uparrow}^\dagger d_{i\uparrow} d_{i\downarrow}^\dagger d_{i\downarrow} = -\frac{U_{dd}}{6} \sum_i \sum_{\alpha\beta\gamma\delta} d_{i\alpha}^\dagger d_{i\gamma}^\dagger d_{i\delta} d_{i\beta} (\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta})$$

$$\mathcal{H}_{\text{ex}} = U_{pp} \sum_{m,i} p_{mi\uparrow}^\dagger p_{mi\uparrow} p_{mi\downarrow}^\dagger p_{mi\downarrow} = -\frac{U_{pp}}{6} \sum_{m,i} \sum_{\alpha\beta\gamma\delta} p_{mi\alpha}^\dagger p_{mi\gamma}^\dagger p_{mi\delta} p_{mi\beta} (\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta})$$

$a_{\mathbf{k}\sigma}$  : The operator for the “d-electron”

$$\mathcal{H}_{\text{ex}}^{(x)} = -\frac{U_{pp}}{6} \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \sum_{\alpha\beta\gamma\delta} \frac{V_{x\mathbf{k}+\mathbf{q}} V_{x\mathbf{k}'-\mathbf{q}} V_{x\mathbf{k}'} V_{x\mathbf{k}}}{D_{\mathbf{k}+\mathbf{q}} D_{\mathbf{k}'-\mathbf{q}} D_{\mathbf{k}'} D_{\mathbf{k}}} a_{\mathbf{k}+\mathbf{q}\alpha}^\dagger a_{\mathbf{k}'-\mathbf{q}\gamma}^\dagger a_{\mathbf{k}'\delta} a_{\mathbf{k}\beta} (\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta})$$

$$V_{x\mathbf{k}+\mathbf{q}} V_{x\mathbf{k}'-\mathbf{q}} V_{x\mathbf{k}'} V_{x\mathbf{k}} / (2it_{dp})^4 = \frac{1}{8} \left\{ 1 + \cos q_x + \cos(k_x - k'_x + q_x) + \cos(k_x + k'_x) - \cos k_x - \cos k'_x - \cos(k_x + q_x) - \cos(k'_x - q_x) \right\}$$



Fourier transformation (k to x)

$$D_{\mathbf{k}+\mathbf{q}} D_{\mathbf{k}'-\mathbf{q}} D_{\mathbf{k}'} D_{\mathbf{k}} \equiv D^4 = \text{const.}$$

$$\mathcal{H}_{\text{ex}} = \frac{-U_{pp} t_{dp}^4}{3D^4} \sum_{\langle i,j \rangle} \sum_{\alpha\beta\gamma\delta} \left\{ (i,i,i,i) + (i,j,j,i) + (i,j,i,j) + (i,i,j,j) - (i,i,i,j) - (i,i,j,i) - (j,i,i,i) - (i,j,i,i) \right\} (\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta})$$

$$(i,j,j,i) \equiv a_{i\alpha}^\dagger a_{j\gamma}^\dagger a_{j\delta} a_{i\beta}$$



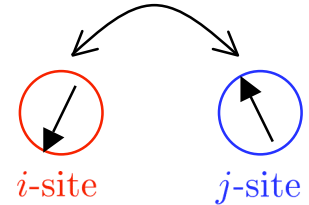
Fourier transformation (x to k)

## Effective inter-site interaction

$$\mathcal{H}_{\text{ex}} = -\frac{1}{4} \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \sum_{\alpha\beta\gamma\delta} J_{\mathbf{k},\mathbf{k}';\mathbf{q}} a_{\mathbf{k}+\mathbf{q}\alpha}^\dagger a_{\mathbf{k}'-\mathbf{q}\gamma}^\dagger a_{\mathbf{k}'\delta} a_{\mathbf{k}\beta} (\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta} - \delta_{\alpha\beta} \delta_{\gamma\delta})$$

$$J_{\mathbf{k},\mathbf{k}';\mathbf{q}} = \frac{2U_{pp} t_{dp}^4}{D_{\mathbf{k}+\mathbf{q}} D_{\mathbf{k}'-\mathbf{q}} D_{\mathbf{k}'} D_{\mathbf{k}}} \sum_m \left\{ \frac{1}{2} + \cos q_m - \cos k_m - \cos k'_m + \frac{1}{2} \cos(k_m + k'_m) \right\}$$

$$\frac{-U_{pp} t_{dp}^4}{3D^4} (\vec{\sigma}_{\alpha\beta} \cdot \vec{\sigma}_{\gamma\delta})$$



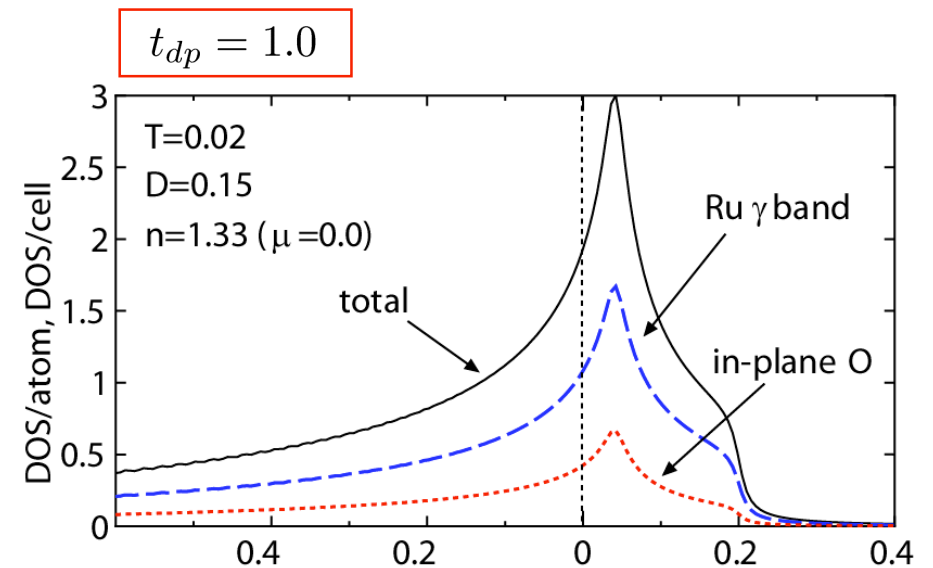
In order that  $H_{\text{ex}}$  plays an important role, the ratio  $t_{\text{dp}}/D$  should not be too small.

Such a condition is expected to be fulfilled in Ru-oxide, because the energy level of 4d-electron in Ru is deeper than that of 3d-electron in Fe or of 5d-electron in Os in general, and is located near that of 2p-electron in O.

This implies that there exists a moderately strong hybridization between electrons in Ru and O.

T. Oguchi: PRB 51 (1995) 1385., D. J. Singh: PRB 52 (1995) 1358.

Indeed, the partial DOS for  $4d_{xy}$ ,  $2p_x$  and  $2p_y$  obtained by the band structure calculation can be reproduced in our calculation by taking  $D/t_{\text{dp}} = 0.15$  where  $D = \epsilon_d - \epsilon_p$ .



The same procedure is applicable for  $H_U$ .

$$\mathcal{H} = \sum_{\mathbf{k}, \sigma} (\epsilon_{\mathbf{k}} - \mu) a_{\mathbf{k}\sigma}^\dagger a_{\mathbf{k}\sigma} + \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} U_{\mathbf{k}, \mathbf{k}'; \mathbf{q}} a_{\mathbf{k}+\mathbf{q}\uparrow}^\dagger a_{\mathbf{k}'-\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}'\downarrow} a_{\mathbf{k}\uparrow} + \mathcal{H}_{\text{ex}}$$

$$U_{\mathbf{k}, \mathbf{k}'; \mathbf{q}} = \frac{U_{dd} V_{\mathbf{k}+\mathbf{q}} V_{\mathbf{k}'-\mathbf{q}} V_{\mathbf{k}'} V_{\mathbf{k}}}{8 D_{\mathbf{k}+\mathbf{q}} D_{\mathbf{k}'-\mathbf{q}} D_{\mathbf{k}'} D_{\mathbf{k}}}$$

Effective Hamiltonian

Complicated wave vector dependence

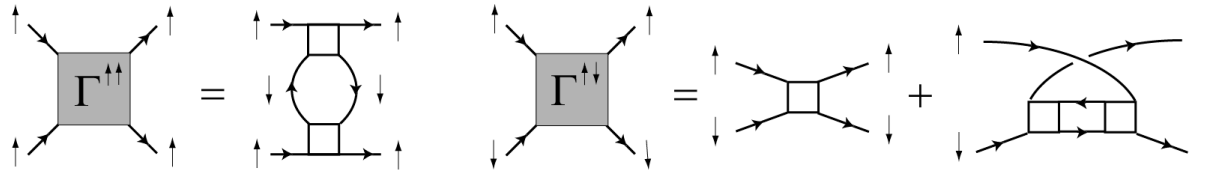
$$\mathcal{H} = \sum_{\mathbf{k}, \sigma} (\epsilon_{\mathbf{k}} - \mu) a_{\mathbf{k}\sigma}^\dagger a_{\mathbf{k}\sigma} + \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \tilde{J}_{\mathbf{k}, \mathbf{k}'; \mathbf{q}} a_{\mathbf{k}+\mathbf{q}\uparrow}^\dagger a_{\mathbf{k}'-\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}'\downarrow} a_{\mathbf{k}\uparrow}$$

$$\tilde{J}_{\mathbf{k}, \mathbf{k}'; \mathbf{q}} = U_{\mathbf{k}, \mathbf{k}'; \mathbf{q}} + J_{\mathbf{k}, \mathbf{k}'; \mathbf{q}} + J_{\mathbf{k}', \mathbf{k}; \mathbf{k}-\mathbf{k}'+\mathbf{q}}$$

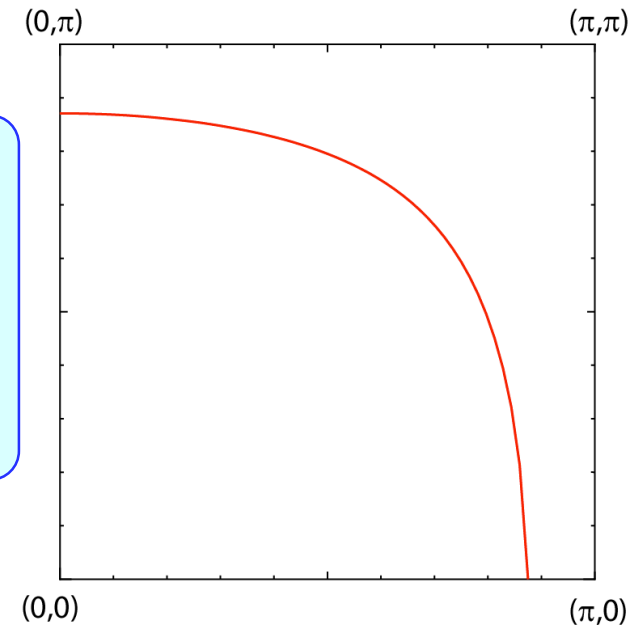
# Pairing interaction up to the second order perturbation

cf. 3rd order perturbation is necessary for theory on multi-band Hubbard model

Nomura & Yamada : *J. Phys. Soc. Jpn.* **69** (2000) 3678



Fermi surface of the non-interacting d-p model (n=1.33)



$$\Gamma_{\mathbf{k},\mathbf{k}'}^{\uparrow\uparrow} = T \sum_{\varepsilon, \mathbf{p}} \tilde{J}_{\mathbf{k},\mathbf{p};-\mathbf{k}+\mathbf{k}'} \tilde{J}_{\mathbf{p}+\mathbf{k}-\mathbf{k}',-\mathbf{k};-\mathbf{k}+\mathbf{k}'} \mathcal{G}(\mathbf{p}, i\varepsilon) \mathcal{G}(\mathbf{p} + \mathbf{k} - \mathbf{k}', i\varepsilon)$$

$$\Gamma_{\mathbf{k},\mathbf{k}'}^{\uparrow\downarrow} = \tilde{J}_{\mathbf{k},-\mathbf{k};-\mathbf{k}+\mathbf{k}'} - T \sum_{\varepsilon, \mathbf{p}} \tilde{J}_{\mathbf{k},\mathbf{p};\mathbf{p}+\mathbf{k}'} \tilde{J}_{-\mathbf{k},\mathbf{p}+\mathbf{k}+\mathbf{k}';\mathbf{p}+\mathbf{k}} \mathcal{G}(\mathbf{p}, i\varepsilon) \mathcal{G}(\mathbf{p} + \mathbf{k} + \mathbf{k}', i\varepsilon)$$

$$\mathcal{G}(\mathbf{p}, i\varepsilon) = \frac{1}{i\varepsilon - \varepsilon_{\mathbf{p}}}$$

Pairing interaction

Spin Triplet

$$V_{\mathbf{k},\mathbf{k}'}^t = \frac{\Gamma_{\mathbf{k},\mathbf{k}'}^{\uparrow\uparrow} - \Gamma_{\mathbf{k},-\mathbf{k}'}^{\uparrow\uparrow}}{2}$$

Spin Singlet

$$V_{\mathbf{k},\mathbf{k}'}^s = \frac{\Gamma_{\mathbf{k},\mathbf{k}'}^{\uparrow\downarrow} + \Gamma_{\mathbf{k},-\mathbf{k}'}^{\uparrow\downarrow}}{2}$$

Of course, SU(2) symmetry is preserved, namely:  $V_{\mathbf{k},\mathbf{k}'}^t = \frac{\Gamma_{\mathbf{k},\mathbf{k}'}^{\uparrow\uparrow} - \Gamma_{\mathbf{k},-\mathbf{k}'}^{\uparrow\uparrow}}{2} = \frac{\Gamma_{\mathbf{k},\mathbf{k}'}^{\uparrow\downarrow} - \Gamma_{\mathbf{k},-\mathbf{k}'}^{\uparrow\downarrow}}{2}$

# Structure of the SC gap and transition temperature

## Variational Solution

### Linearized gap equation

$$\Delta_{\mathbf{k}} = - \sum_{\mathbf{k}'} V_{\mathbf{k},\mathbf{k}'}^{t,s} \frac{\Delta_{\mathbf{k}'}}{\xi_{\mathbf{k}'}} \tanh\left(\frac{\xi_{\mathbf{k}'}}{2T_c}\right)$$

### Specified gaps $\Delta_{\mathbf{k}}$ :

$$\sqrt{2} \sin k_x \text{ (} p_x\text{-pairing)}$$

$$\sqrt{2} \sin(k_x + k_y) \text{ (} p_{x+y}\text{-pairing)}$$

$$2 \sin k_x \sin k_y \text{ (} d_{xy}\text{-pairing)}$$

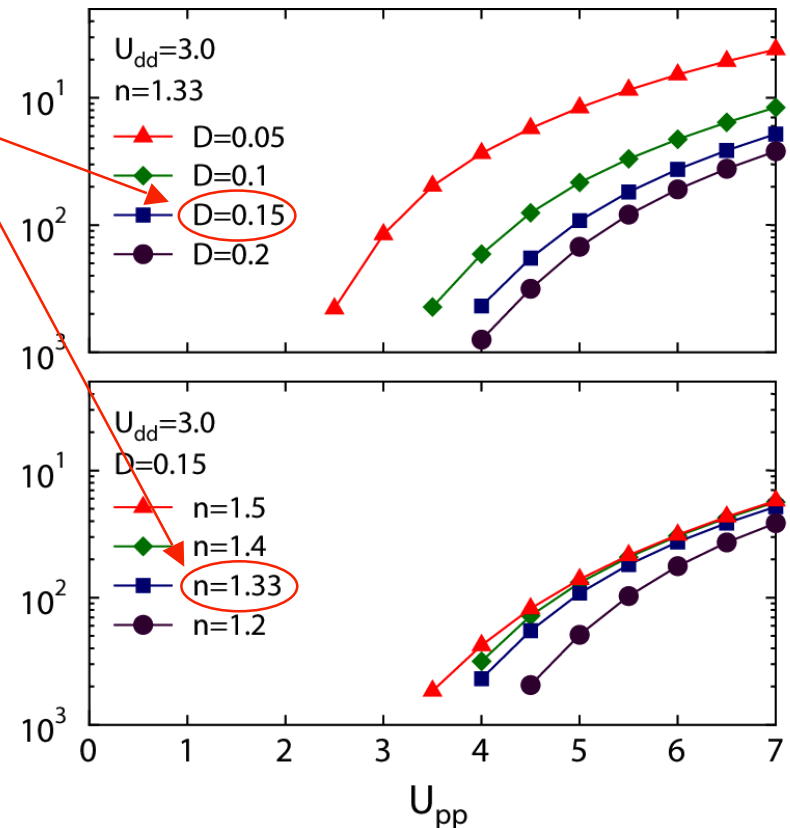
$$\cos k_x - \cos k_y \text{ (} d_{x^2-y^2}\text{-pairing)}$$

$\text{Sr}_2\text{RuO}_4$  corresponds to the parameters,  $n=1.33$ ,  $D=0.15$  and a moderate value of  $U_{pp}$ .

$T_c$  is enhanced as  $U_{pp}$  is applied and  $D$  becomes smaller.

$T_c$  is also enhanced when the system is located away from the half-filling ( $n=1.0$ ).

It is noted that  $U_{dd}$  and  $U_{pp}$  are the renormalized interaction so that  $U_{pp}=4.0t_{dp}$  is not unrealistically large.



# Short-range ferromagnetic correlations

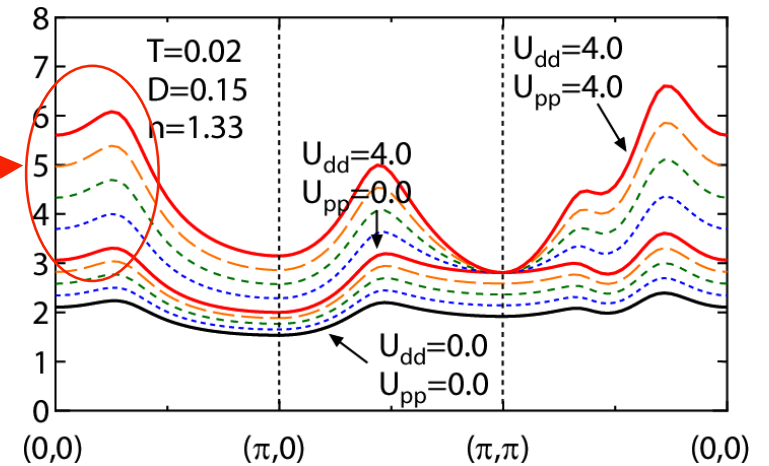
Spin susceptibility including the vertex correction up to the first order perturbation

$$\chi_{\perp}(\mathbf{q}, i\omega) = \text{Diagram 1} + \text{Diagram 2} = -T \sum_{\varepsilon, \mathbf{p}} \left\{ 1 - T \sum_{\varepsilon', \mathbf{p}'} \tilde{J}_{\mathbf{p}+\mathbf{q}, \mathbf{p}'; -\mathbf{p}+\mathbf{p}'} \mathcal{G}(\mathbf{p}' + \mathbf{q}, i\varepsilon' + i\omega) \mathcal{G}(\mathbf{p}', i\varepsilon) \right\} \mathcal{G}(\mathbf{p} + \mathbf{q}, i\varepsilon + i\omega) \mathcal{G}(\mathbf{p}, i\varepsilon)$$

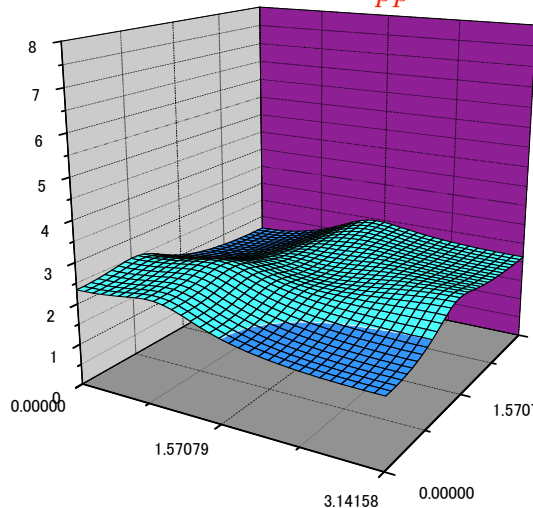
The effect of  $U_{dd}$  is to enhance the static spin susceptibility in the entire  $\mathbf{q}$ -space.

Short-range ferromagnetic correlations are much enhanced by applying  $U_{pp}$ .

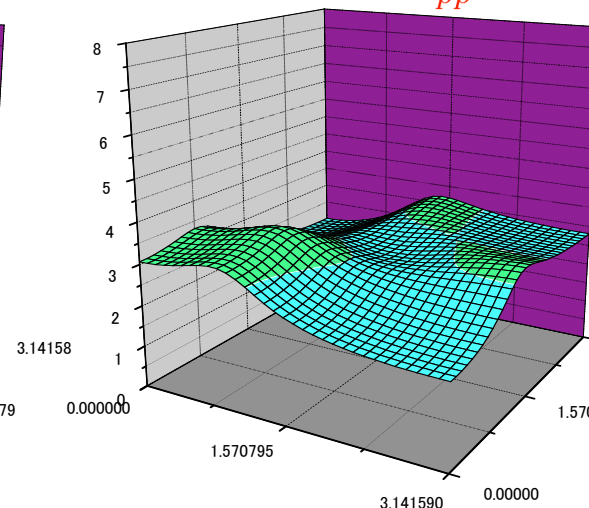
As for the results of the different electron filling, the more electrons are doped, the more the peak structure around  $\mathbf{q}=(0,0)$  is enhanced.



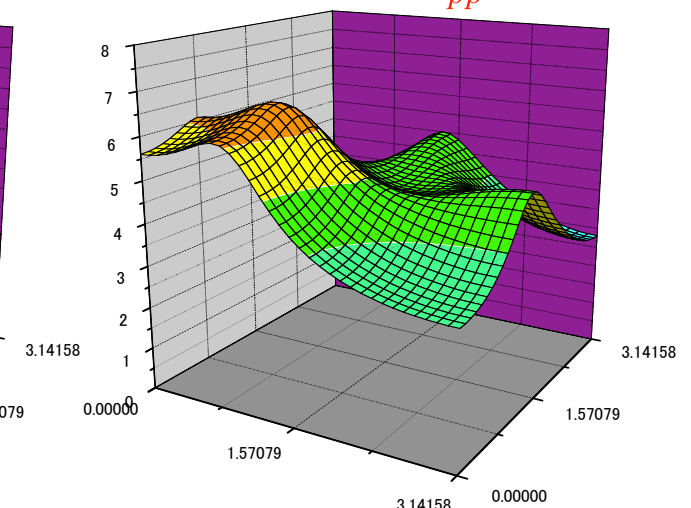
$U_{dd} = 0.0$   
 $U_{pp} = 0.0$



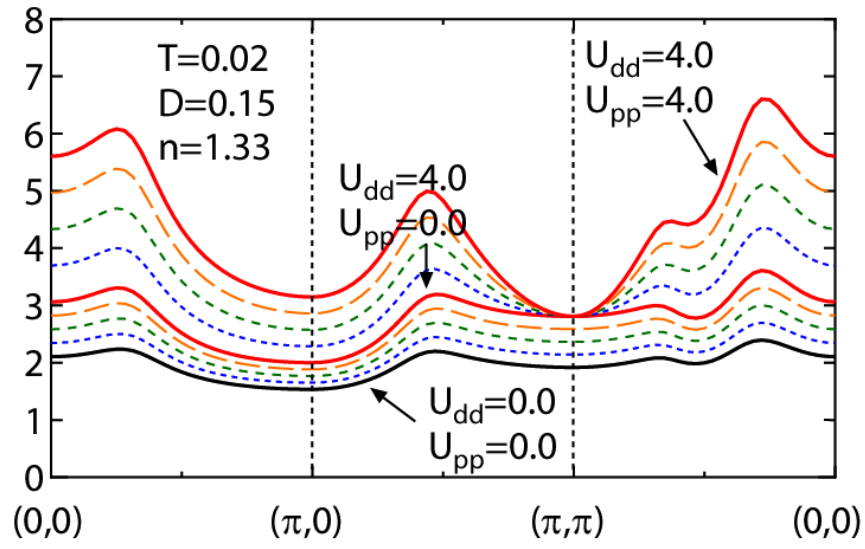
$U_{dd} = 4.0$   
 $U_{pp} = 0.0$



$U_{dd} = 4.0$   
 $U_{pp} = 4.0$





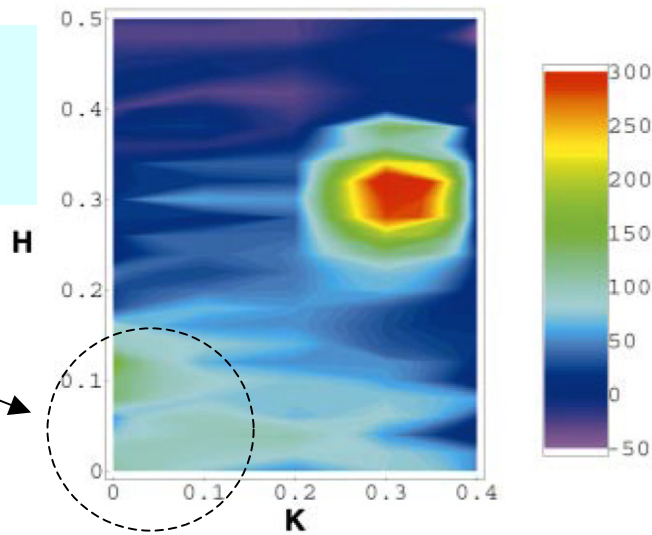


# Theory

Good Agreement ?

As far as short-range ferromagnetic correlations are concerned

Short-range Ferromagnetic correlation



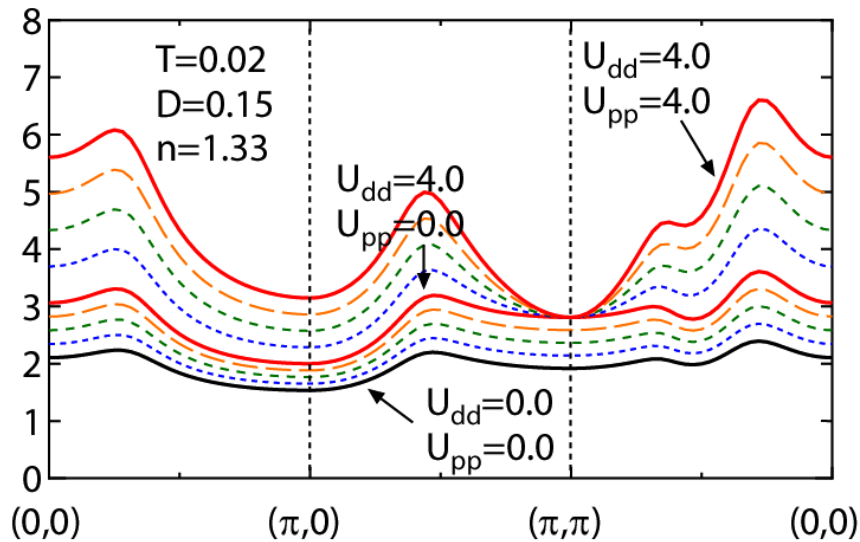
# Experiment of Neutron Scattering

Braden et al: PRB (2002)

## Conclusions of 2-b)

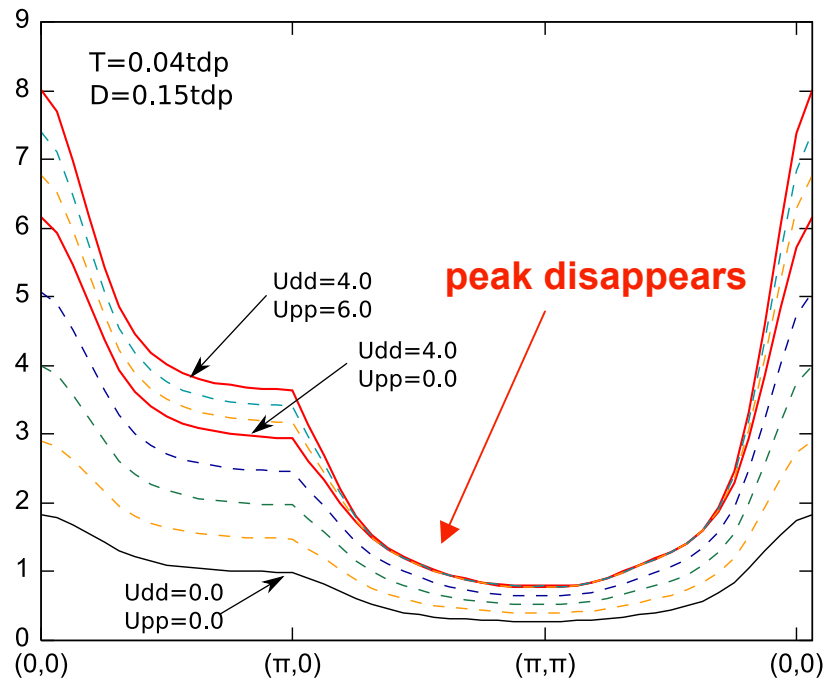
- We have derived from the so-called d-p model **the effective inter-site interaction  $H_{ex}$** , whose origin is the on-site Coulomb interaction at O site.
- Unlike the Cuprates,  $H_{ex}$  is important in  $Sr_2RuO_4$  due to **a moderately strong hybridization** between electrons in Ru and O.
- **Short-range ferromagnetic correlations** were induced by  $H_{ex}$ .
- Within the second order perturbation theory, we have shown that **the triplet superconducting state of  $(\sin p_x + i \sin p_y)$ -type** is promoted as applying  $U_{pp}$ .

## **2-c) Effect of p-orbitals on q-dependent spin susceptibility**



$$\chi_{\perp}^{(0)}(q, 0) \equiv -T \sum_{\epsilon_n} \sum_{\mathbf{k}} G_{\gamma}^{(0)}(\mathbf{k}, i\epsilon_n) \times G_{\gamma}^{(0)}(\mathbf{k} + \mathbf{q}, i\epsilon_n)$$

Hoshihara & KM



$$\chi_{\perp}^{(0)}(q, 0) \equiv -T \sum_{\epsilon_n} \sum_{\mathbf{k}} \sum_{n,m} G_{nm}^{(0)}(\mathbf{k}, i\epsilon_n) \times G_{mn}^{(0)}(\mathbf{k} + \mathbf{q}, i\epsilon_n)$$

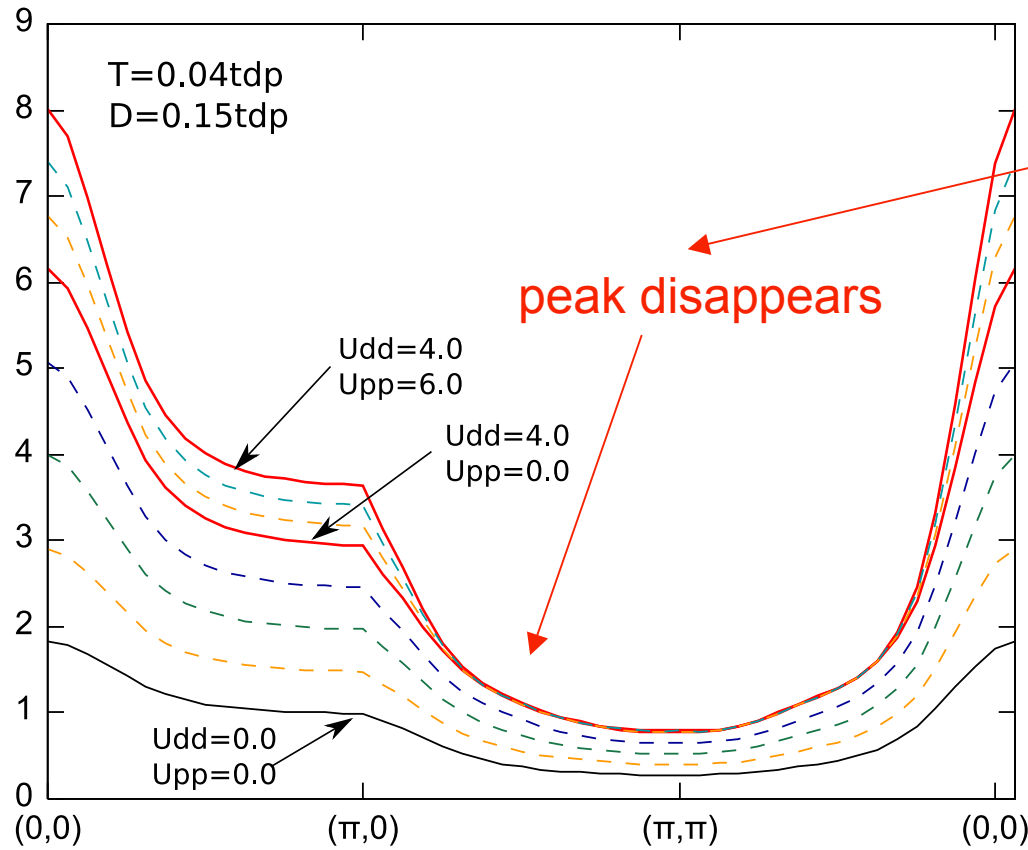
( $n, m = p_x, p_y, d_{xy}$ )

$$G_{nm}^{(0)}(k) = \sum_a U_{na}(\mathbf{k}) U_{ma}^*(\mathbf{k}) G_a^{(0)}(k)$$

( $a = \gamma, \tilde{p}_1, \tilde{p}_2$ )

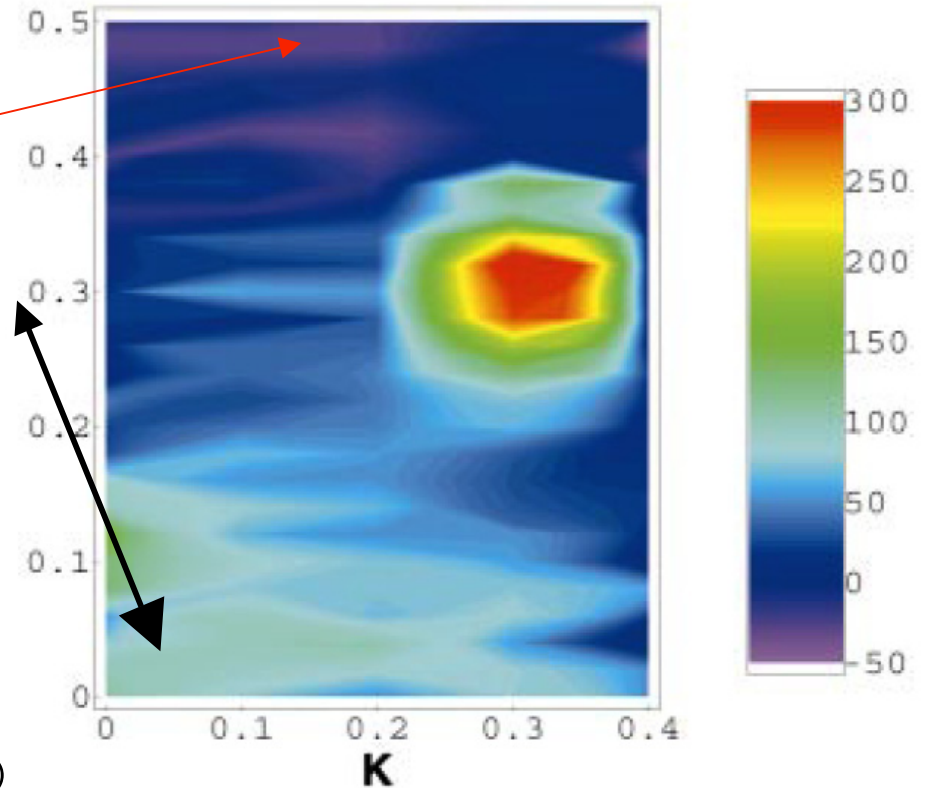
Yoshioka & KM

$\chi_{\perp}(\mathbf{q}, 0)$  1<sup>st</sup> order in  $U_{dd}$  and  $U_{pp}$



Broad peak around  $\mathbf{q}=(0,0)$

Experiment of neutron scattering



M. Braden et al: Phys. Rev. B **66**, 064522 (2002)

**Agreement between experiment and theory greatly improved**

**Role of p-orbitals cannot be neglected even for non-interacting case**

$$\mathcal{H}_{dp}^{(0)} = \sum_{\mathbf{k}\sigma} \begin{pmatrix} d_{\mathbf{k}\sigma}^\dagger & p_{x\mathbf{k}\sigma}^\dagger & p_{y\mathbf{k}\sigma}^\dagger \end{pmatrix} \begin{pmatrix} \varepsilon_d & V_{y\mathbf{k}}^* & V_{x\mathbf{k}}^* \\ V_{y\mathbf{k}} & \varepsilon_p & W_{\mathbf{k}} \\ V_{x\mathbf{k}} & W_{\mathbf{k}} & \varepsilon_p \end{pmatrix} \begin{pmatrix} d_{\mathbf{k}\sigma} \\ p_{x\mathbf{k}\sigma} \\ p_{y\mathbf{k}\sigma} \end{pmatrix}$$

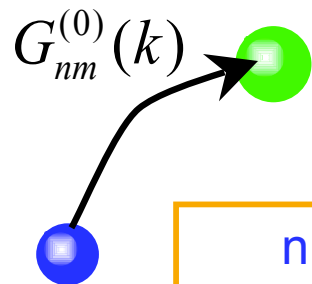
Unitary transformation

$$\begin{pmatrix} a_{1\mathbf{k}\sigma} \\ a_{2\mathbf{k}\sigma} \\ a_{3\mathbf{k}\sigma} \end{pmatrix} = U^{-1}(\mathbf{k}) \begin{pmatrix} d_{\mathbf{k}\sigma} \\ p_{x\mathbf{k}\sigma} \\ p_{y\mathbf{k}\sigma} \end{pmatrix}$$

$$\mathcal{H}_{dp}^{(0)} = \sum_{\mathbf{k}\sigma} \begin{pmatrix} a_{1\mathbf{k}\sigma}^\dagger & a_{2\mathbf{k}\sigma}^\dagger & a_{3\mathbf{k}\sigma}^\dagger \end{pmatrix} \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} \begin{pmatrix} a_{1\mathbf{k}\sigma} \\ a_{2\mathbf{k}\sigma} \\ a_{3\mathbf{k}\sigma} \end{pmatrix}$$

$$\mathcal{H}_{int} = \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \tilde{J}_{\mathbf{k},\mathbf{k}';\mathbf{q}} a_{\mathbf{k}+\mathbf{q}\uparrow}^\dagger a_{\mathbf{k}'-\mathbf{q}\downarrow}^\dagger a_{\mathbf{k}'\downarrow} a_{\mathbf{k}\uparrow} \quad \tilde{J}_{\mathbf{k},\mathbf{k}';\mathbf{q}} : \text{dependence on } \mathbf{k} \text{ \& } \mathbf{k}'$$

$$\tilde{J}_{\mathbf{k},\mathbf{k}';\mathbf{q}} = U_{\mathbf{k},\mathbf{k}';\mathbf{q}} + J_{\mathbf{k},\mathbf{k}';\mathbf{q}} + J_{\mathbf{k}',\mathbf{k};\mathbf{k}-\mathbf{k}'+\mathbf{q}} \quad \rightarrow \text{FFT is unavailable}$$



$n, m = d_{xy}, p_x, p_y$   
 $a, b = \text{quasi particle}$

$$G_{nm}^{(0)}(\mathbf{k}) = -T_\tau \langle c_{n\mathbf{k}\sigma} c_{m\mathbf{k}\sigma}^\dagger \rangle$$

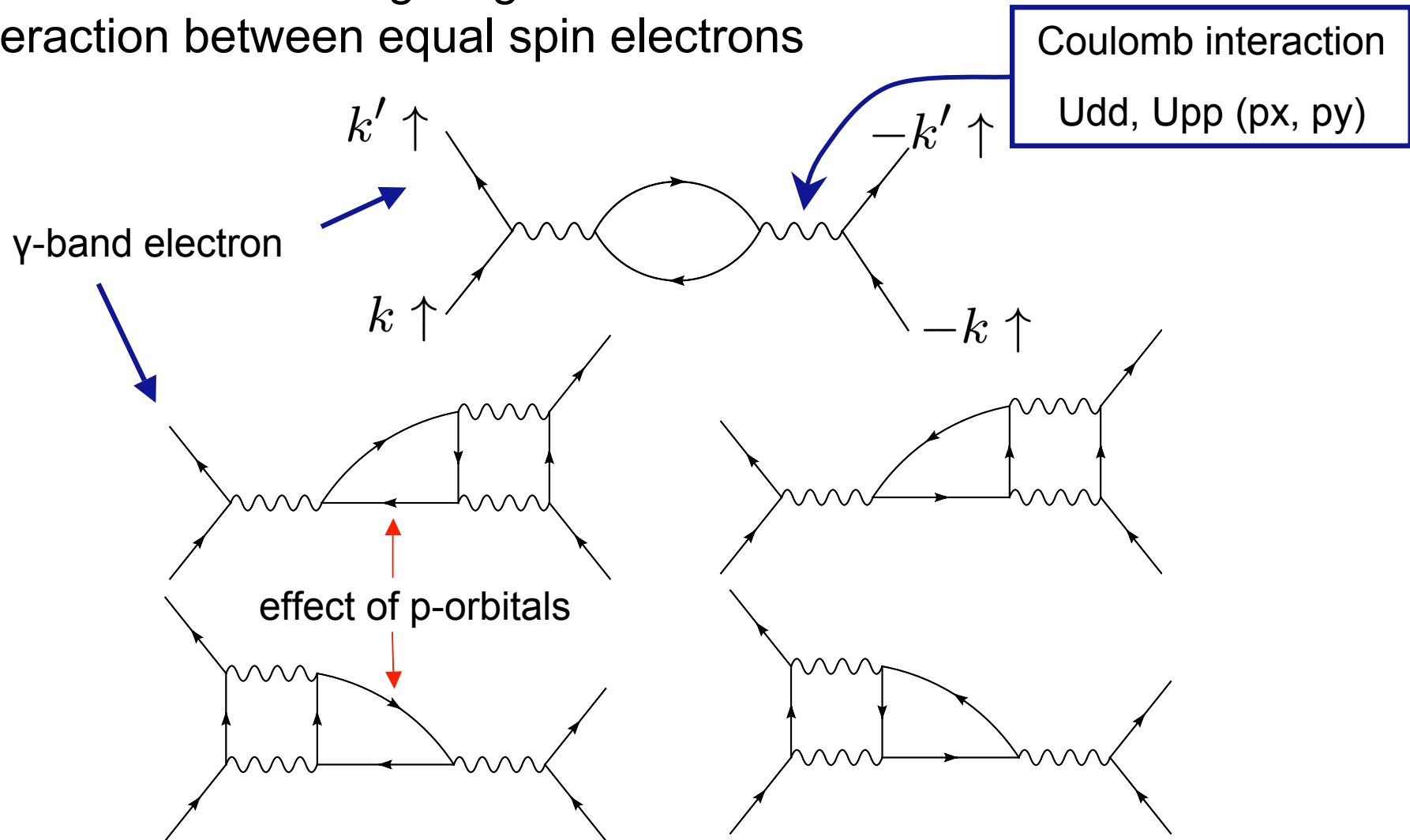
$$= \sum_{ab} -T_\tau \langle a_{a\mathbf{k}\sigma} a_{b\mathbf{k}\sigma}^\dagger \rangle U_{na}(\mathbf{k}) U_{mb}^*(\mathbf{k})$$

$$= \sum_a U_{na}(\mathbf{k}) U_{ma}^*(\mathbf{k}) G_a^{(0)}(\mathbf{k})$$

$\rightarrow$  Matrix Green's function enables us to use FFT method.

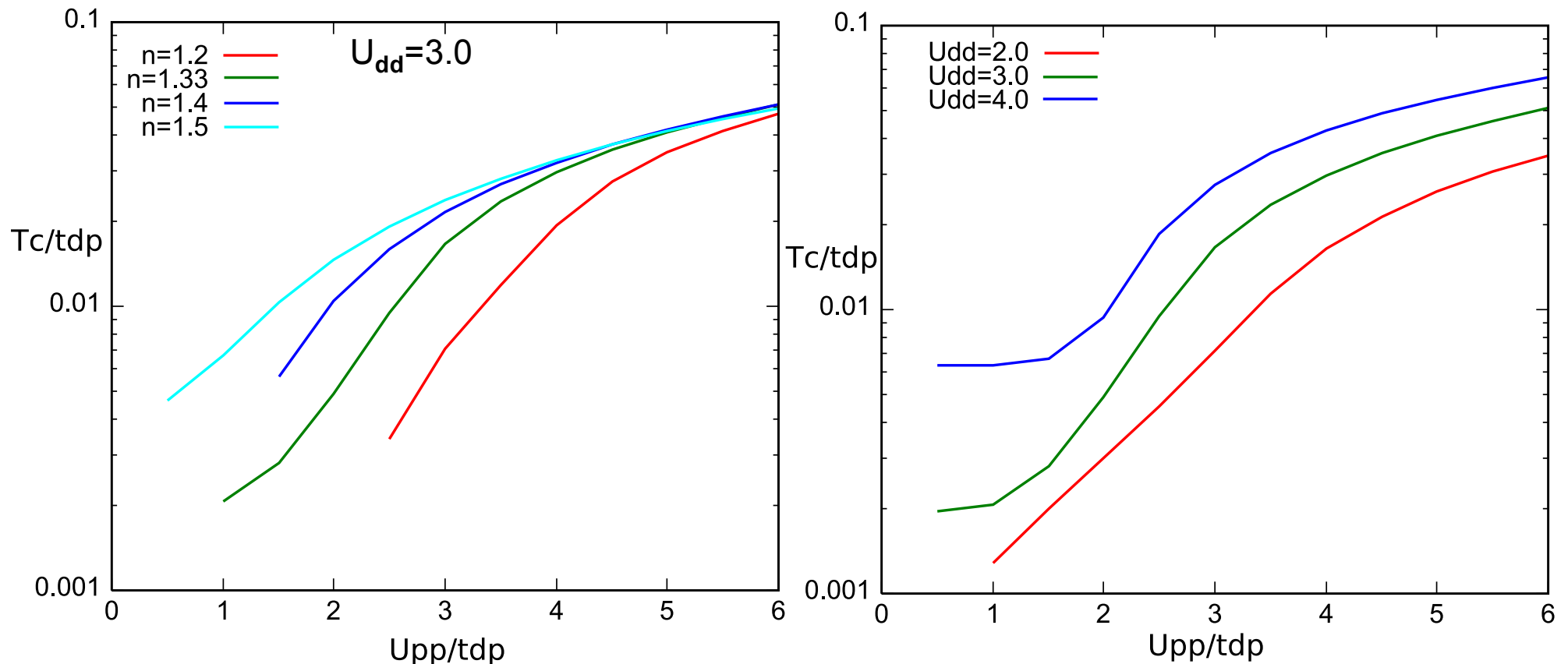
# 3<sup>rd</sup> order perturbation for pairing interaction (Yoshioka & KM)

We consider following diagrams as  
interaction between equal spin electrons



cf. Nomura & Yamada, J. Phys. Soc. Jpn. **69** (2000) 3678  
J. Phys. Soc. Jpn. **71** (2002) 1993

# Results of $T_c$ on 3<sup>rd</sup> order perturbation



- $T_c$  monotonically increases with  $U_{pp}$ , indicating the importance of  $U_{pp}$  for spin-triplet superconductivity.
- It is noted that  $U_{dd}$  and  $U_{pp}$  are the renormalized interaction so that  $U_{pp} \sim U_{dd}$  is not unrealistic.

Effect of 3<sup>rd</sup> order perturbation is very small: vertex correction is not important

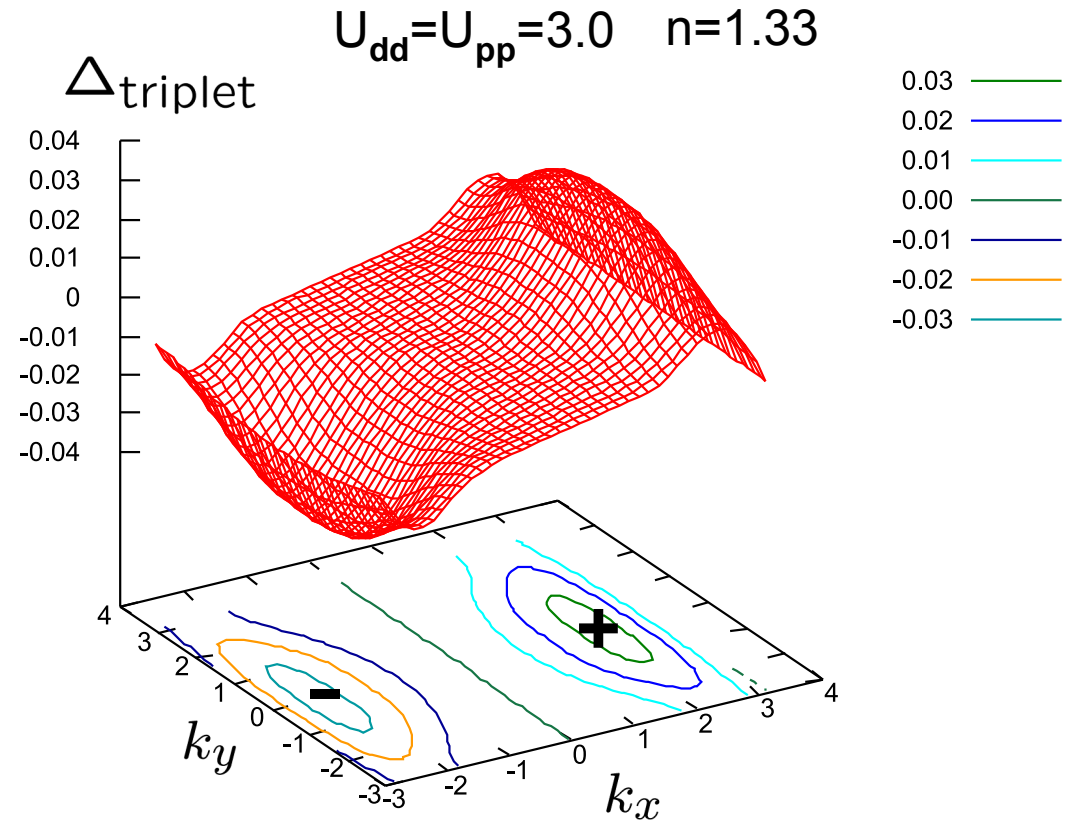
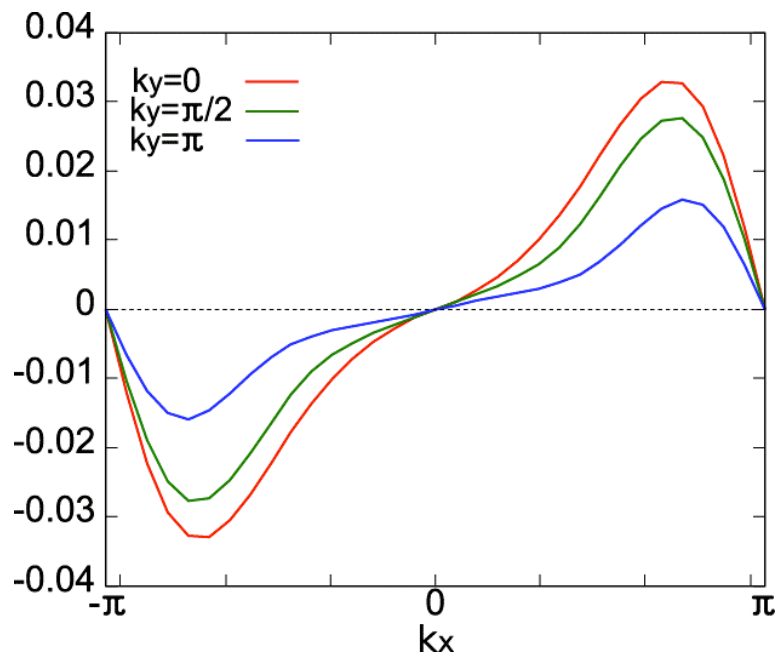
In contrast to the case of Nomura & Yamada for Hubbard model



# Gap function on 3<sup>rd</sup> order perturbation

Since only spin-triplet pairing appears within 2<sup>nd</sup> order perturbation, we solve the Eliashberg equation for spin-triplet channel.

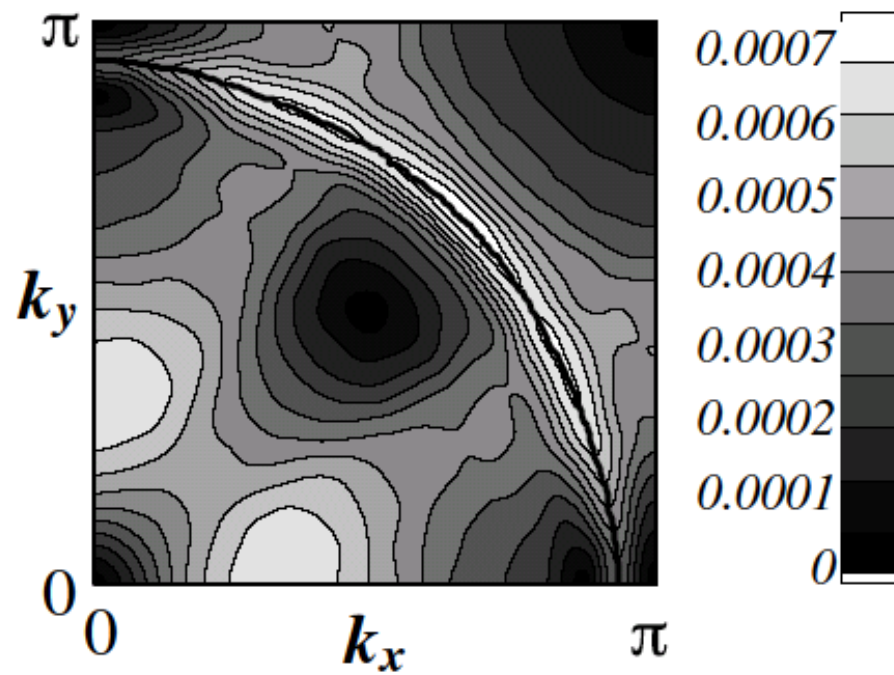
Right figure shows the resulting gap function, which has nearly sin-wave structure.



$$\Delta \simeq \sin k_x (\sin k_y)$$

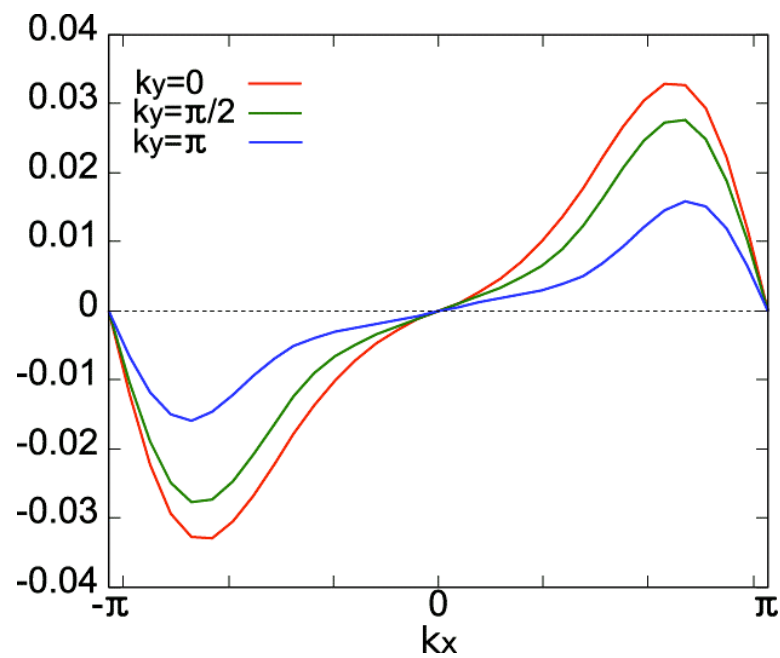
$$T < T_c$$

$$\Delta \simeq \Delta_0 (\sin k_x + i \sin k_y)$$



## Nomura & Yamada

J. Phys. Soc. Jpn. 71 (2002) 404



## Yoshioka & KM

## Conclusions of 2-c)

- **Effect of p-orbitals is very crucial for q-dependence of spin-susceptibility, and leads to better agreement between experiment. So obtained short-range ferromagnetic correlations promote the spin-triplet pairing.**
- **T<sub>c</sub> is calculated on the d-p model within the 3<sup>rd</sup> order perturbation, leading to essentially the same value calculated within 2<sup>nd</sup> order perturbation in which effect of U<sub>pp</sub> is crucial .**
- **The resultant superconducting state is**  
$$(\sin p_x + i \sin p_y)$$
**which is promoted by U<sub>pp</sub>.**

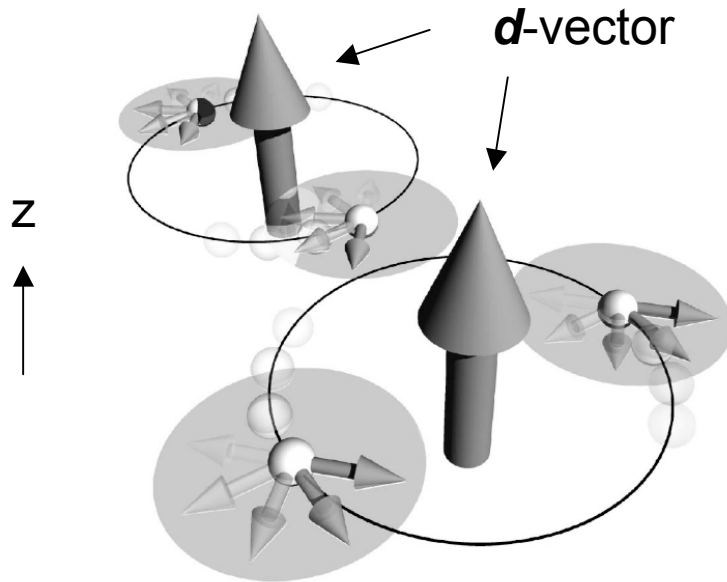
**3) Issue on anisotropy of d-vector  
of  $\text{Sr}_2\text{RuO}_4$**

# $d$ -vector of spin-triplet pairing

$$\hat{\Delta}_{\mathbf{k}} = \begin{pmatrix} -d_x(\mathbf{k}) + id_y(\mathbf{k}) & d_z(\mathbf{k}) \\ d_z(\mathbf{k}) & d_x(\mathbf{k}) + id_y(\mathbf{k}) \end{pmatrix}$$

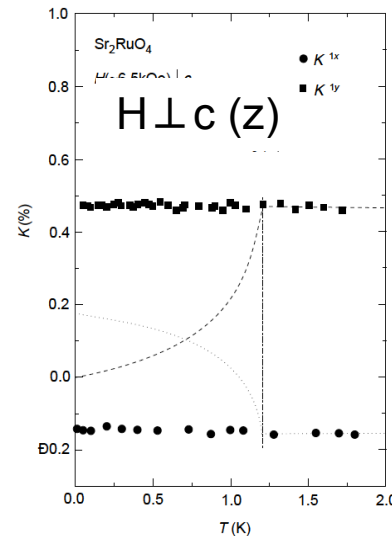
$d$ -vector  $\perp$  spin of pairs  
(magnetic field)

First guess

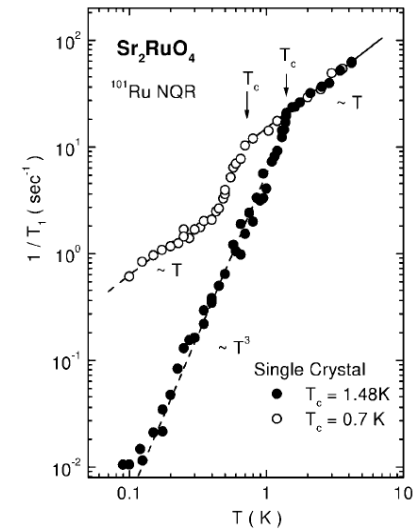


$0.05\text{T} < H < 1.1\text{T}$   $d \parallel c(z)$

## Triplet-pairing and line node gap (NMR and NQR)



K. Ishida et al.:  
Nature **396** (1998) 658.



K. Ishida et al.:  
PRL **84** (2000) 5387.

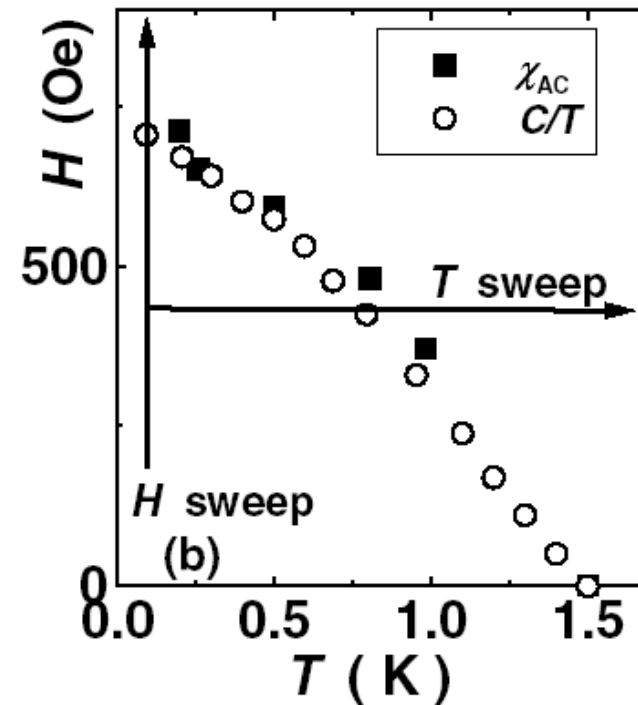
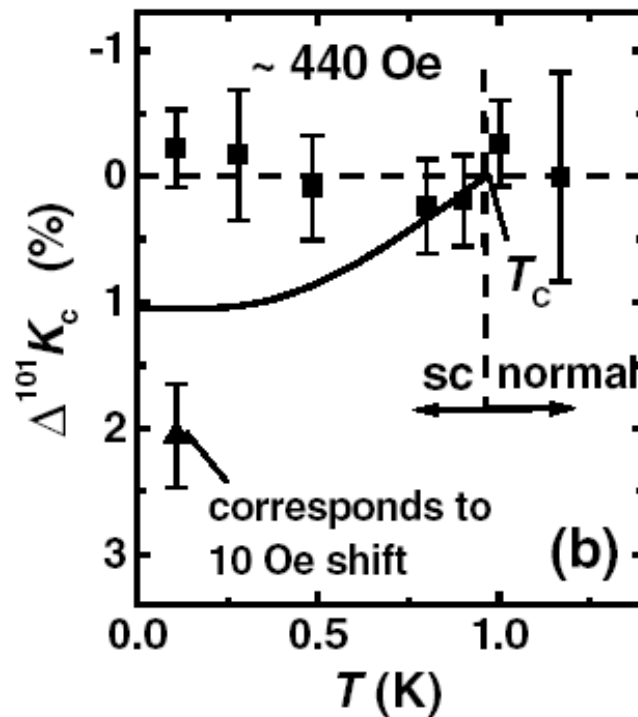
$$\mathbf{d}(\mathbf{k}) = \hat{z}\Delta_0(\sin k_x \pm i \sin k_y)$$

# Recent development of Knight shift measurements

Knight Shift for H // c (z)

Murakawa et al: Phys. Rev. Lett. **93** (2004) 167004

J. Phys. Soc. Jpn. **76** (2007) 024716



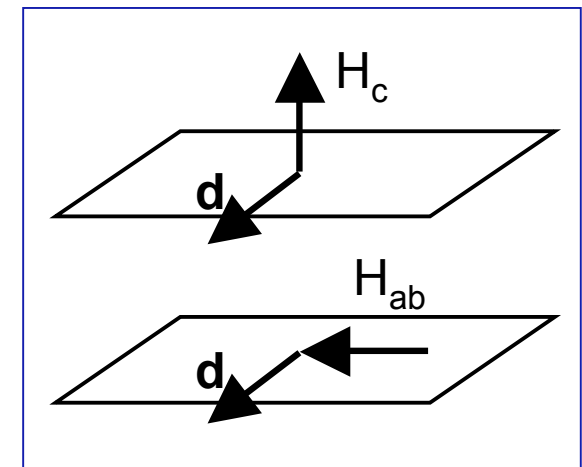
$0.02T < H < 0.8T$

$d \perp c$  (z)

## Summary of d-vector under magnetic field H

$H \perp c(z)$  :  $0.05\text{T} < H < 1.1\text{T}$       $d \parallel c(z)$

$H \parallel c(z)$  :  $0.02\text{T} < H < 0.8\text{T}$       $d \perp c(z)$



expected picture

Pinning force of d-vector expressed by anisotropy field  $H_a$

Dipole-dipole interaction :  $d \parallel c(z)$

$H_a \sim 0.05\text{T}$      Hasegawa: JPSJ 72 (2003) 2456     cf.  $^3\text{He-A}$  (Leggett)

Spin-orbit interaction of atomic origin :  $d \parallel c(z)$

$H_a \sim 0.015\text{T}$      Yanase & Ogata: JPSJ 72 (2003) 673

Multiband Hubbard model (3<sup>rd</sup> order perturbation)  
+ atomic spin-orbit + Hund coupling

These pinning forces cannot explain Knight shift measurements under magnetic field H

$d \perp c(z)$  may be intrinsic direction of  $d$ -vector  
and there exists a missing pinning force

# d-vector (2<sup>nd</sup> order perturbation theory)

To violate SU(2) symmetry in the spin space, namely to make difference between  $V_{\uparrow\uparrow}$  and  $V_{\uparrow\downarrow}$ , we introduce atomic spin-orbit interaction  $\lambda$  up to second order and Hund-coupling  $J_H$  up to first order.

$$V_{\uparrow\uparrow} < V_{\uparrow\downarrow}$$

**d // c**

$$V_{\uparrow\uparrow} > V_{\uparrow\downarrow}$$

**d // ab**

Green's function containing  $\alpha$ - and  $\beta$ -band

M. Ogata: J. Phys. Chem. Solids **63** (2002) 1329

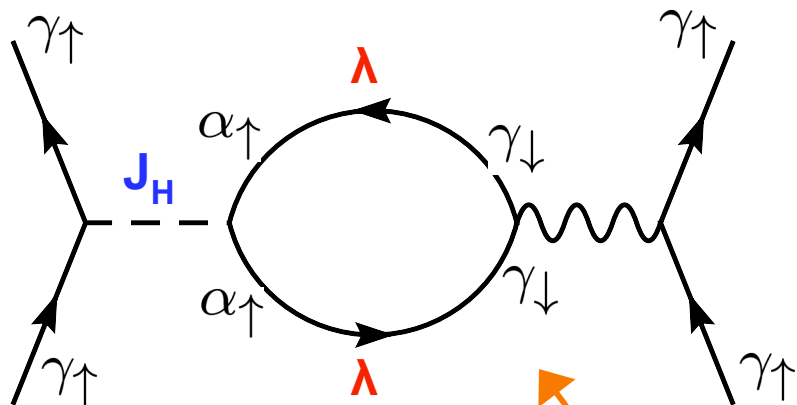
K. K. Ng and M. Sigrist: Europhys. Lett. **49** (2000) 473

$$H_{SO} = \begin{pmatrix} c_{k\alpha\sigma}^\dagger & c_{k\beta\sigma}^\dagger & c_{k\gamma-\sigma}^\dagger \end{pmatrix} \begin{pmatrix} \varepsilon_\alpha & -i\sigma\frac{\lambda}{2} & b_{k\sigma} \\ i\sigma\frac{\lambda}{2} & \varepsilon_\beta & i\sigma b_{k\sigma} \\ b_{k\sigma}^* & -i\sigma b_{k\sigma}^* & \varepsilon_\gamma \end{pmatrix} \begin{pmatrix} c_{k\alpha\sigma} \\ c_{k\beta\sigma} \\ c_{k\gamma-\sigma} \end{pmatrix}$$

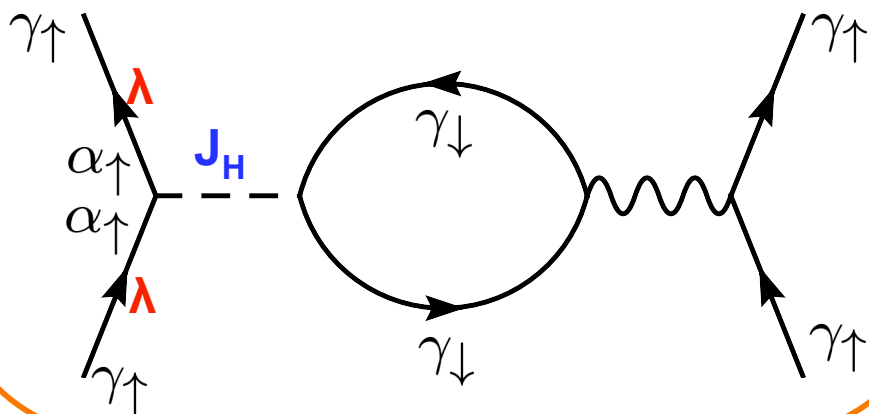
e.g.  $G_{\alpha\uparrow\gamma\downarrow}(k) = b_{k\uparrow} G_\alpha(k) G_\gamma(k)$



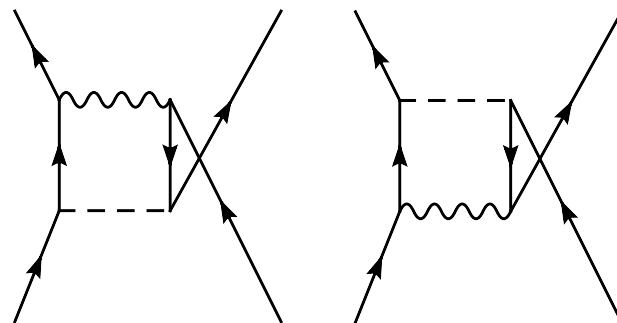
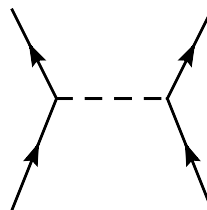
equal spin pairing



The main contribution comes from this diagram.



anti-parallel spin pairing



$\lambda$ : spin-orbit interaction

$J_H$ : Hund coupling

$$U_{dd} = U_{pp} = 3.0 \quad n = 1.33$$

$$\Delta T_c = T_c(\uparrow\uparrow) - T_c(\uparrow\downarrow) \sim 0.03 T_c$$

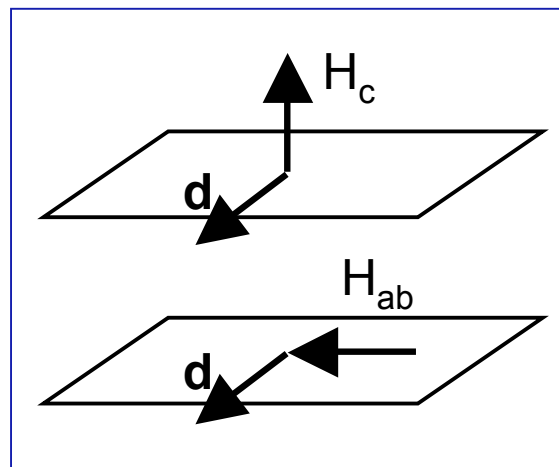
$$d // ab \sim 750 Oe$$

## Conclusion of 3)

Paring interaction on d-p model together with the atomic spin-orbit coupling of Ru site and the Hund-rule coupling stabilizes the d-vector perpendicular to the c-axis.

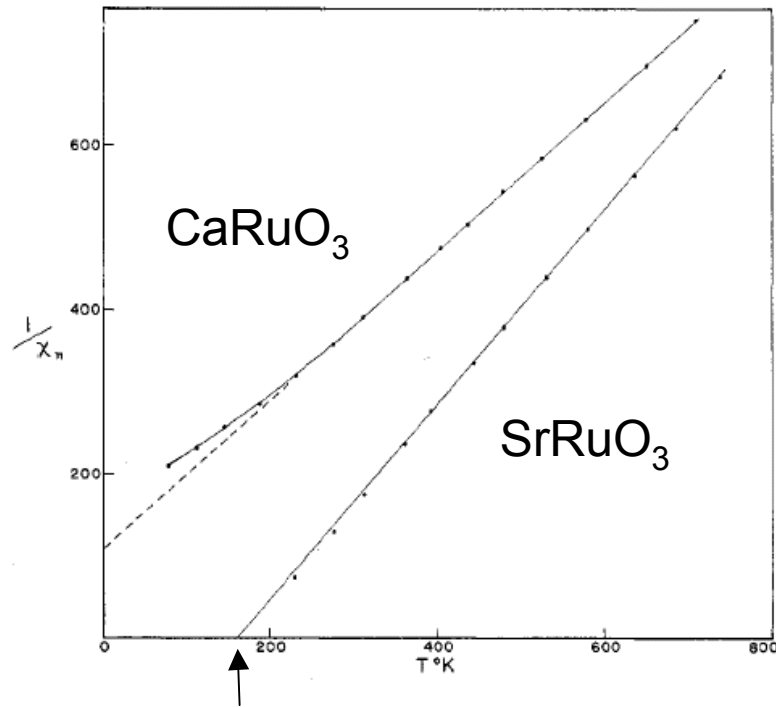
**In contrast to previous theories on the basis of multi-band Hubbard model**

**In agreement with recent experiment of Knight shift**



**At  $H=0$ ,  $d \parallel ab$**

# Ferromagnetic transition of SrRuO<sub>3</sub> (3d perovskite)



$T_c = 160$   
K

Appreciable weight of  
p-component at FS

$$\frac{N_F(O2p)}{N_F(Ru4d)} \approx 0.27$$

cf. 0.17 (Sr<sub>2</sub>RuO<sub>4</sub>)

Callaghan et al :

Inorg Chem **5** (1966) 1572

Open question for origin of FM

Super-exchange FM interaction via  
Coulomb correlation at O-site  
as a possible origin

Band structure calculation

Allen et al : PRB **53** (1996) 4393

		DOS [states/(Ry cell)]			
		Sr	Ru	O <sub>3</sub>	Total
Paramagnetic		12	246	67	325
Magnetic	Up	5	84	25	114
	Down	4	55	17	76
	Total	9	139	42	190

# Effect of Spin-Orbit Interaction in Spin-Triplet Superconductor: Structure of d-vector and Anomalous $O^{17}$ -NQR Relaxation in $Sr_2RuO_4$

K. Miyake and H. Kohno

Free energy  $F_{so}$  for **SO coupling**:

$$F_{so} = -g_{so} (i\mathbf{d} \times \mathbf{d}^*) \cdot \mathbf{L}$$

$$g_{so} = 24(m/m^*) g_d$$

cf. Dipole coupling

$$F_{so} \sim -g_d (\mathbf{d} \cdot \mathbf{L})^2$$

Estimation of  $g_{so}$  in GL region:

$$g_{so} = |F_{cond}| \mu_B^2 (m/m^*) 12\pi N_F \times [\ln(1.14\beta_c \epsilon_c)]^2 (1 -$$

$T/T_c)^{-1}$

$F_{cond}$ : condensation energy

$d$ -vector  $\mathbf{d}_0$  in equilibrium state:

$$d_{0x} = (1+\eta^2)^{-1/2}, d_{0y} = i\eta(1+\eta^2)^{-1/2}, d_{0z} = 0$$

$$\eta = g_{so} / 4|F_{cond}| : \text{weakly non-unitary}$$

Leggett-Takagi (1977)

Internal Josephson oscillations

