Entropy Production of Quantum Fields with Kadanoff-Baym equation

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Aug 31st in 2010.



Topics in nonequilibrium gluodynamics

- Success of ideal Hydrodynamics
- Assumption: <u>Early thermalization</u> for Partons (T_{eq}=0.6~1fm/c, (Boltzmann dynamics with gg⇔ggg →2-3fm/c))

Dense system (semi-classical Boltzmann eq. should not be applied)

No consideration of particle number changing process $g \rightarrow gg$, $g \rightarrow ggg$ (Off-shell effect)

To take them into account, (and to give initial condition for hydrodynamics)

Based on Nonequilibrium Quantum field theory, we apply Kadanoff-Baym eq. to gluonic system.

Purpose of this talk

Introduction for the Kadanoff-Baym equation and Application it to gluodynamics

To introduce kinetic entropy and show H-theorem in Quantum Field Theories

To show entropy production of Quantum Fields in Numerical Simulation

Rest of this talk

- Kadanoff-Baym equation
- Application to scalar and gauge theory, H-theorem, Numerical Simulation
- Towards 3+1 dimension
- Summary and Remaining Problems

Kadanoff-Baym equation

• Quantum evolution equation of 2-point Green's function (fluctuations). statistical (distribution) and spectral functions

$$F(x,y) = \frac{1}{2} \left\langle \left\{ \phi(x), \phi(y) \right\} \right\rangle \qquad \rho(x,y) = \left\langle \left[\phi(x), \phi(y) \right] \right\rangle$$

$$F(p^{0},p) = 2\pi\delta(p^{2} - m^{2}) \left(1 + \frac{1}{e^{\beta|p^{0}|} - 1} \right)$$

$$\rho(p^{0},p) = \frac{\gamma}{(p^{0} - \omega)^{2} + \gamma^{2}/4} \rightarrow 2i\pi\epsilon(p^{0})\delta(p^{2} - m^{2})$$

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Dieit-wigher type

$$\left(-G_0^{-1} + \Sigma_{\text{loc}} \right) F(x, y) = \int_0^{y^0} dz \Sigma_F(x, z) \rho(z, y) - \int_0^{x^0} dz \Sigma_\rho(x, z) F(z, y)$$
$$\left(-G_0^{-1} + \Sigma_{\text{loc}} \right) \rho(x, y) = \int_{x^0}^{y^0} dz \Sigma_\rho(x, z) \rho(z, y)$$
Memory integral

 $G_0^{-1} = -\partial^2 - m^2$ **Self-energies**

Self-energies: local Σ_{loc} mass shift, nonlocal real Σ_F and imaginary part Σ_{ρ}

Merit

- Quantum evolution with conservation law
- Evolution of spectral function with decay width + distribution function



 $\rho(p^0,p)$

Finite decay width

p⁰

Off-shell effect

Decay width ⇒ particle number changing process (gg⇔g (2-to-1) and ggg⇔g (3-to-1))+ binary collisions (gg⇔gg).

They are prohibited kinematically in Boltzmann simulation. This process might contribute to the early thermalization.

Demerit

Numerical simulation needs much memory of computers.

Application to scalar and gauge theory

 $\mathcal{L}_{\text{int}} = -\frac{1}{4!}\lambda\hat{\phi}^4$

- φ⁴ theory with no condensate <φ>=0
- Next Leading Order Self-Energy of coupling



- No classical field <A>=0

However does the dynamics contribute to thermalization? To confirm it,

H-theorem for Scalar Theory (Φ⁴, O(N))

- Introduction of kinetic entropy current based on relativistic Kadanoff-Baym eq.
 A.N. Nucl. Phys. A 832:289-313, 2010.
- 1st order gradient expansion of KB eq. (Extension of nonrelativistic case, Ivanov, Knoll and Voskresenski (2000), Kita (2006))

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$$s^{\mu} = \int \frac{d^{d+1}p}{(2\pi)^{d+1}} V^{\mu} \left[-f \log f + (1+f) \log(1+f) \right]$$

Dffshell $V^{\mu} = \frac{\rho}{i} \left(p^{\mu} - \frac{1}{2} \frac{\partial \operatorname{Re} \Sigma_R}{\partial p_{\mu}} \right) + \frac{\Sigma_{\rho}}{i} \frac{1}{2} \frac{\partial \operatorname{Re} G_R}{\partial p_{\mu}} \quad \forall^{\mu}$: Entropy flow spectral function
 $\partial_{\mu} s^{\mu} \ge 0$ NLO of the coupling $(\Phi^4), (2 \Leftrightarrow 2, 3 \Leftrightarrow 1)$
NLO of 1/N expansion $(O(N)) (2 \Leftrightarrow 2, 3 \Leftrightarrow 1)$
A.N. and A. Ohnishi (2010)
H-theorem is derived at the level of Green's function with off-shellness.
In the quasiparticle limit We reproduce the entropy for the boson.
[]. $s^{\mu} \to \int \frac{d^d p}{(2\pi)^d} v^{\mu} [-n_p \ln n_p + (1+n_p) \ln(1+n_p)]$ v^{μ} :velocity

H-theorem for Non-Abelian Gauge Theory

$$D^{-1}(x, y) = D_0^{-1}(x, y) - \Pi(x, y)$$

Green's function Self-energy

In Temporal Axial Gauge (TAG),

divide Green's function and self-energy into transverse (T) and longitudinal part (L), take 1st order gradient expansion, then

$$\partial_{\mu} s^{\mu} = g^{2} N[(TTT) + (TTL) + \ge 0.$$

Each term is positive definite.
Controlled gauge dependence of our entropy density with a certain constant term is assured at thermal equilibrium.
(Blaiziot, lancu and Rebhan (1999))
For gauge transformation $\delta s_{eq}^{0} \sim g^{2} s_{eq}^{0}$ (Smit and Arrizabaraga (2002), Carrington et al (2005))
Gauge dependence is higher order of coupling.

Proof of controlled gauge dependence **out of equilibrium** is still remaining problem.

Boltzmann vs. KB (Non-Abelian)

Boltzmann eq in 2+1 and 3+1 dimension. (On-shell) <u>No thermalization occurs due to on-shell g⇔gg.</u> Energy momentum conservation ⇒ g⇔gg is prohibited.





Entropy production occurs due to offshell $g \Leftrightarrow gg$, which is consistent with the proof of H-theorem.

Logarithmic plot seems to approach straight line with slope 1/T. (Thermalization ?)

Towards 3+1 dimension

Initial condition (ϕ^4 in 3+1 dim)

Reproduction of Lindner and Mueller (2006).

We shall reproduce the results of case with initial condition (IC) 3.

Number distribution function in momentum space





Summary

- We have considered the Kadanoff-Baym approach to thermalization of dense nonequilibrium gluonic system.
- We have introduced the kinetic entropy based on the Kadanoff-Baym equation. (Criteria for thermalization)
- The kinetic entropy satisfies H-theorem for NLO of λ(Φ⁴) and 1/N (O(N)). It may do for LO of coupling in SU(N).
- Entropy production occurs with the Kadanoff-Baym dynamics with off-shell effects even in situations where it does not occur in on-shell Boltzmann dynamics. This property may help the understanding of the early thermalization.
- It will become possible to perform calculation in 3+1 dimension in scalar theory by my codes. Then we notice that thermalization time scale in KB eq is remarkably faster than Boltzmann dynamics.

Remaining Problems

- Solution for the KB eq. in and out of equilibrium for the LO of g² for the gauge theory with longitudinal part (2+1 and 3+1dimensions)
- Gauge invariance of the entropy far from equilibrium, Infrared singularity of longitudinal part in Green's function.
- Coupling dependence of entropy saturation
- Background classical field in gauge theory
- Effect of expansion

Time irreversibility

Symmetric phase $\langle \Phi \rangle = 0$

	λΦ ⁴	O(N)	SU(N)
Exact 2PI (no truncation)	×	×	×
Truncation	NLO of λ	NLO of 1/N	LO of g ²
LO of Gradient expansion H-theorem	0	0	△ (TAG)

Numerical Simulation for KB eq.

Symmetric phase $\langle \Phi \rangle = 0$

	λΦ ⁴	O(N)	SU(N)
Truncation	NLO of λ	NLO of 1/N	LO of g ²
Others' Code	1+1 dim 2+1 dim 3+1 dim	1+1 dim 3+1 dim	?
Our Code	1+1 dim 2+1 dim 3+1 dim	1+1 dim	Part of 2+1 dim



Renormalization (O(N) model)



We can use bare coupling as if it were renormalized coupling when the relevant length scale is larger than a_s in numerical simulation. ($a_s < \mu^{-1}$)

The above analysis holds at φ 4 model with coupling expansion.

Φ^4 model in 3+1 dim.

- Reinvestigation of preceding research (Lindner and Mueller (2006))
- Without condensate
- Initial condition
 Nonthermal distribution (Gaussian configuration)
 Uniform Space
- Without expansion



Renormalization (gauge theory)



We must confirm whether we can use bare coupling as if it were renormalized coupling in numerical simulation.

Microscopic process (Non-Abelian)

Each microscopic process is possible in 2+1 and 3+1 dimensions.



Entropy production

No entropy production

The 0-to-3 and 1-to-2 might contribute to isotropization with entropy production. These processes are prohibited in Boltzmann limit without spectral width and memory integral.

Boltzmann vs. KB (Non-Abelian)

Boltzmann eq in 2+1 and 3+1 dimension. (On-shell) <u>No thermalization occurs due to on-shell g⇔gg.</u> Energy momentum conservation ⇒ g⇔gg is prohibited.





Isotropization



(Initial condition dependent)

Chemical equilibrium ?



Particle production due to 1-to-2 is dominant at early time.

2-to-1 processes contribute at the late time.

It takes longer time to achieve chemical equilibrium.

If condensate or classical field remains,

φ⁴ theory with condensate <φ>≠0

 *L*_{int} = - ¹/_{4!}λφ⁴

 Next Leading Order Self-Energy of coupling

 Full Green's function G=1/[G₀⁻¹·Σ(G)]

 LO Σ= O(λ)

 O(λ)

 NLO ↔ O(λ)

 Source Term of particles from the classical field <φ> 0↔3 and 1↔2

E.O.M of classical field + KB eq with <φ>

$$\begin{split} \left[\partial_x^2 + m^2 + \frac{\lambda}{6}\phi(x)^2 + \Sigma_{\text{loc}}(x)\right]\phi(x) &= \int_{t_0}^{x^0} dz^0 \int d^d z \tilde{\Sigma}_{\rho}(x, z)\phi(z), \\ \partial_{\mu}s^{\mu}(X) &= 2 \end{split}$$

Definition of entropy with the condensate and H-theorem are needed.

Then some coarse graining procedure is necessary.

Particle Production in Reheating



Numerical Analysis

 ϕ^4 model in 1+1 dimension with $\langle \phi \rangle \neq 0$ in the symmetric phase without expansion (H=0)



Energy transfer from field to particles



Particle production occurs due to decay of field.

Change of distribution function



In the case of T=m,

0⇔3 and 1⇔2 processes produce particles about around 0 momentum mode during energy transfer from field to particles.

Slow reheating

After field damps, distribution around 0 mode is transferred to higher momentum mode due to KB dynamics.

Thermalization

Particle and Entropy production



More total particle number density is produced <u>at</u> <u>early time mX⁰<30</u> for larger T, but final total number density seems to be the same.

This must be confirmed for other types of initial conditions !

Similar evolution for entropy density <u>at early</u> <u>time mX⁰<30.</u>

But monotonically increasing? Within 1st order of gradient expansion ?

We should confirm whether Proof of H-theorem is possible or not !

However

- Singularity of longitudinal part of Green's function.
- Gauge invariance or controlled gauge dependence of kinetic entropy far from equilibrium.

However

Gauge dependence of Singularity in longitudnal mode S^µ $\Pi_L(X,\omega,\mathbf{k}) = \frac{\omega^2}{\mathbf{k}^2} \Sigma(X,\omega,\mathbf{k})$ That is controlled at thermal equilibrium. (Next page) In far from equilibrium? If the Ward identity holds. $D_R = -\frac{\mathbf{k}^2}{\omega^2} \frac{\mathbf{I}}{(\mathbf{k}^2 - \mathrm{Re}\Sigma_R) - \frac{1}{2}\Sigma_\rho}$ singularity Breakdown of gradient expansion. at $\omega \to 0$. $s^0 - S_L^{(0)} = S = -\frac{\delta\Omega}{\delta T}$ Blaiziot, lancu and Rebhan (1999) $S = \int \frac{d^{d+1}k}{(2\pi)^{d+1}} (d-1) \left[-\mathrm{Imlog} D_{T,R}^{-1} + \mathrm{Im} \Pi_{T,R} \mathrm{Re} D_{T,R} \right] \frac{\partial f^{\mathrm{eq}}}{\partial T}$ $+\int\!\frac{d^{a+1}k}{(2\pi)^{d+1}}\left[-\mathrm{Imlog}\left(\frac{\mathbf{k}^2}{(k^0+i\epsilon)^2}D_{L,R}^{-1}\right)+\mathrm{Im}\Pi_{L,R}\mathrm{Re}D_{L,R}\right]\frac{\partial f^{\mathrm{eq}}}{\partial T}+\mathcal{S}'$ S' **()** For LO skeleton expansion.

Controlled gauge dependence

Exact Γ_{2PI} (Thermodynamic potential) Nielsen (1975), Fukuda and Kugo (1976) **Gauge invariant** at $\frac{\delta\Gamma}{\delta D} = 0 \Leftrightarrow$ Schwinger-Dyson equation

 $\Gamma_{2PI} \Rightarrow$ Gauge invariant Energy, Pressure and Entropy derived from $\delta\Gamma/\delta T$



 $\Gamma_L \Rightarrow$ Energy, Pressure and Entropy derived from $\delta\Gamma/\delta T$ has controlled gauge dependence. Gauge invariance is reliable in the truncated order.

Kita's Entropy

$$s \equiv \hbar k_{
m B} \int rac{d^3 p \, darepsilon}{(2\pi\hbar)^4} \sigma igg[A rac{\partial (G_0^{-1} - {
m Re} \varSigma^{
m R})}{\partial arepsilon} + A_{arepsilon} rac{\partial {
m Re} G^{
m R}}{\partial arepsilon} igg],$$

 $j_s \equiv \hbar k_{
m B} \int rac{d^3 p \, darepsilon}{(2\pi\hbar)^4} \sigma igg[-A rac{\partial (G_0^{-1} - {
m Re} \varSigma^{
m R})}{\partial p} - A_{arepsilon} rac{\partial {
m Re} G^{
m R}}{\partial p} igg],$
 $rac{\partial s_{
m coll}}{\partial t} \equiv \hbar k_{
m B} \int rac{d^3 p \, darepsilon}{(2\pi\hbar)^4} \, \mathcal{C} \ln rac{1 \pm \phi}{\phi}.$

$$\sigma[\phi] \equiv -\phi \ln \phi \pm (1 \pm \phi) \ln(1 \pm \phi).$$

Equilibrium at

$$\ln \frac{1 \pm \phi_1}{\phi_1} = \alpha + \beta(\varepsilon_1 - \boldsymbol{v} \cdot \boldsymbol{p}_1),$$

Gauge invariance (QED)

R. L. Stratonovich (1956), Fujita (1966). Let us define the following Green's function, (Fermions)

$$\check{G}(p\varepsilon, r_{12}t_{12}) \equiv \int d^3 \bar{r}_{12} \, d\bar{t}_{12} \, \check{G}(1,2) \, e^{-iI(1,2)} \, e^{-i(p\cdot \bar{r}_{12}-\varepsilon \bar{t}_{12})/\hbar}$$

$$I(1,2) \equiv \frac{e}{\hbar c} \int_{\vec{r}_2}^{\vec{r}_1} \vec{A}(\vec{s}) \cdot d\vec{s}$$

Under the gauge transformation

$$A(1) \rightarrow A(1) + \nabla_1 \chi(1), \qquad A_4(1) \rightarrow A_4(1) - \frac{1}{c} \frac{\partial \chi(1)}{\partial t_1},$$

The above Fourier transformed Green's function is gauge invariant. Similar analysis might be possible in QCD.

Anisotropic vs. Isotropic IC



Isotropization



Thermalization Time \Rightarrow Isotropization Time



Non-Abelian Gauge Theory



Scalar Theory as a toy model

Application for BEC, Cosmology (or reheating) and DCC dynamics?

Ο(λ)

- φ⁴ theory with no condensate <φ>=0
- Next Leading Order Self-Energy of coupling

• O(N) theory with no condensate

LO

NLO

Σ=

 $\mathcal{L}_{ ext{int}} = -rac{\lambda}{4!N} (\hat{\phi}_a \hat{\phi}_a)^2$

 $O(\lambda^2)$

Full Green's function $G=1/[G_0^{-1}-\Sigma(G)]$

 \boldsymbol{p}

 $\mathcal{L}_{\mathrm{int}} = - rac{1}{4!} \lambda \hat{\phi}^4$

- Next Leading Order Self-Energy in 1/N expansion (not restricted in weakly coupled regimes)
- $\Sigma = \underbrace{\bigcirc}_{p} \underbrace{\searrow}_{p} \underbrace{\bigotimes}_{p} \underbrace{\bigotimes}_{m} \underbrace{\bigotimes}_{$

To plot our kinetic entropy, Fourier transformed Green's function with x⁰-y⁰ is necessary.





The interval $x^0-y^0=z^0$ is finite for Fourier transformation. Then we can not resolve narrower peak of spectral function than ~1/X⁰. This is the origin of the oscillation around the peak.

Numerical artifact at very early time X⁰~1/m.



Relativistic Heavy Ion Collision

Brookhaven National Laboratory



Background 1 Creation of Quark-Gluon Plasma (QGP) Success of ideal hydrodynamics after thermalization. Assumption: Early Thermalization of gluons (1fm/c)!

It is necessary to understand thermalization processes for dense gluonic system. Classical Boltzmann eq. should not be applied.

Background 2 Nonequilibrium phenomena for dense system at the early Universe (Cosmology)

Bose-Einstein Condensation near critical point (Condensed matter physics)

Quantum nonequilibrium processes based on <u>field theory</u>

Relativistic Heavy Ion Collision



Background Formation of Quark-Gluon Plasma (QGP) Success of ideal hydrodynamics after thermalization. Assumption: Early Thermalization of gluons (1fm/c)!

It is necessary to understand thermalization processes for dense gluonic system. Semi-Classical Boltzmann eq. should not be applied.



Quantum nonequilibrium processes based on <u>field theory</u>

Application of Kadanoff-Baym eq. to early thermalization of gluons.

Figures from P. SORENSEN