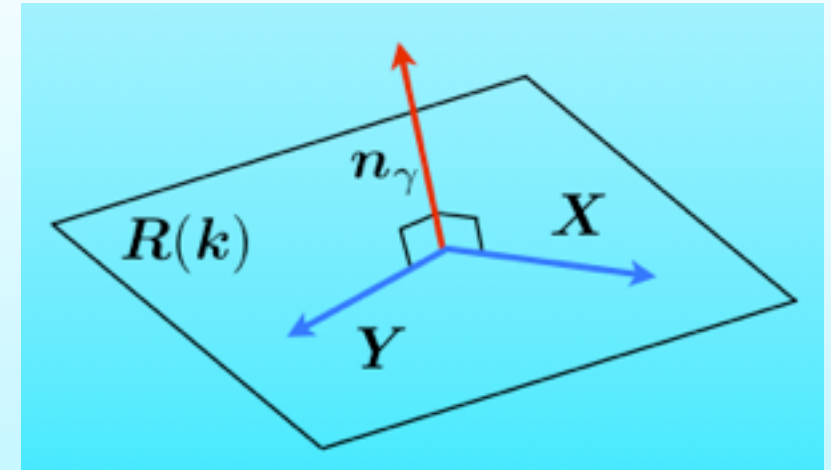
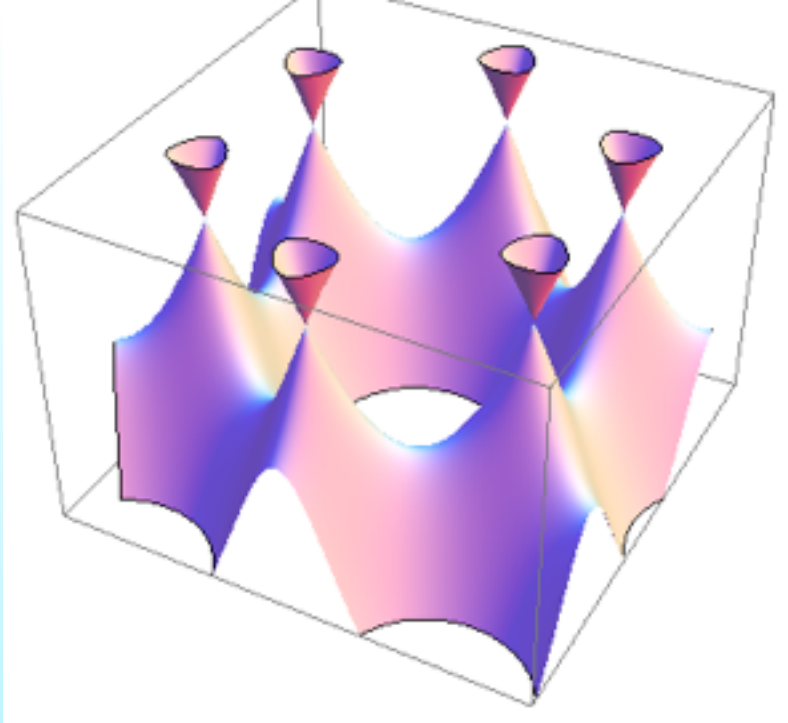


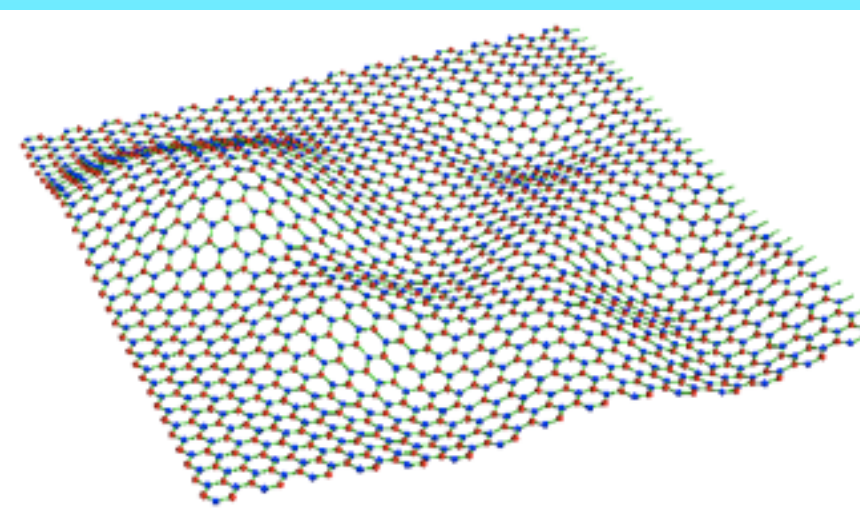
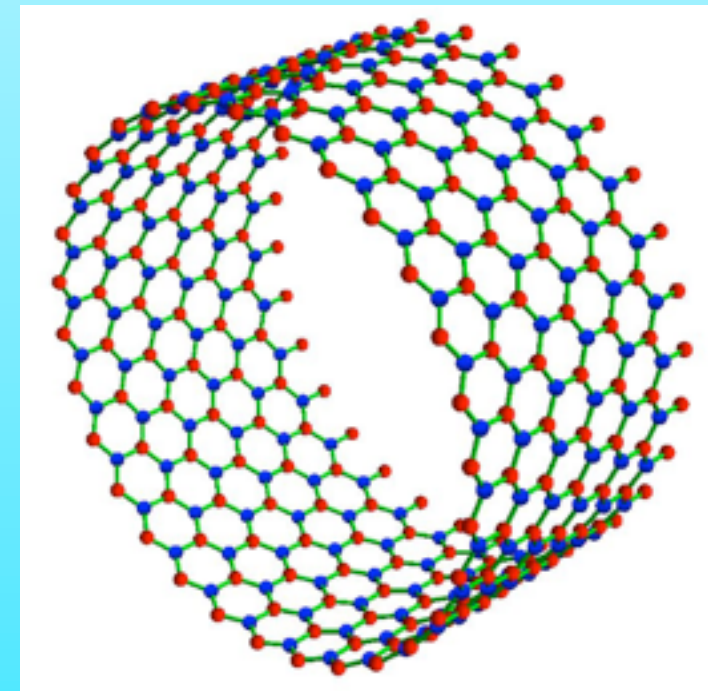


Anomalous properties of graphene & chiral symmetry

Institute of Physics
University of Tsukuba
JAPAN

Yasuhiro Hatsugai

$$\sigma_{xy} = \frac{e^2}{h} \frac{1}{2\pi i} \int \text{Tr} dA$$



Related works have been done and in progress with

H. Aoki, Univ. Tokyo

T. Kawarabayashi, Toho Univ.

Y. Hamamoto, Tsukuba-Univ.

T. Fukui, Ibaragi Univ.

M. Arikawa, Univ. Tsukuba

M. Arai, NIMS

T. Morimoto, Univ. Tokyo

H. Watanabe, Univ. Tokyo

S. Ryu, UC Berkeley

M. Kohmoto, Univ. of Tokyo

Y.S.Wu, Univ. Utah

X.G.Wen, MIT

Y. Morita, Gunma, Univ.

The Nobel Prize in Physics 2010

The Royal Swedish Academy of Sciences has decided to award the Nobel Prize in Physics for 2010 to

Andre Geim

and

Konstantin Novoselov

University of Manchester

"for ground

More than new material

l material graphene"

Congratulation!



The Nobel Prize in Physics 2010
Andre Geim, Konstantin Novoselov

The Nobel Prize in Physics 2010

Andre Geim

Konstantin Novoselov

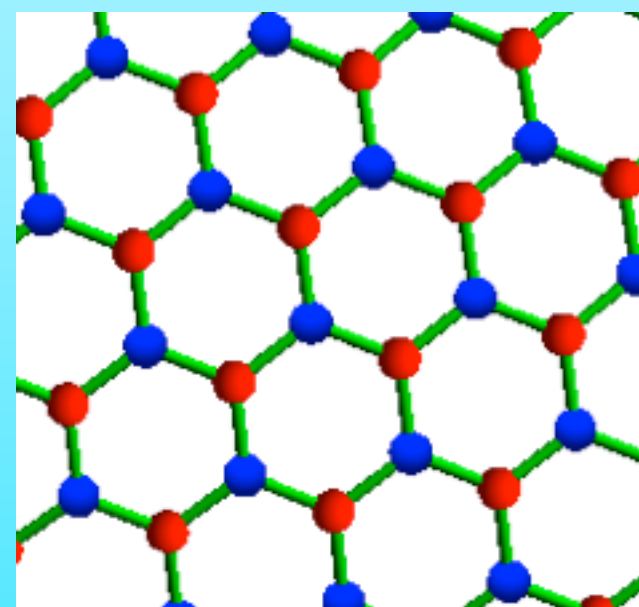
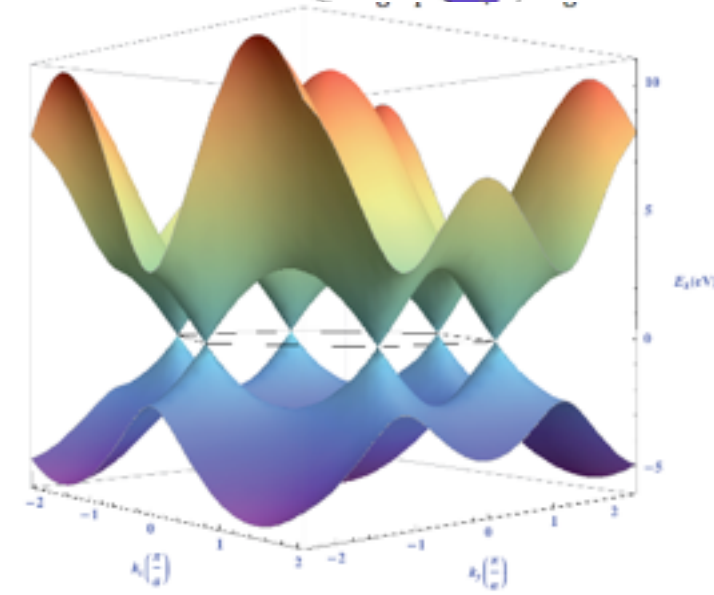
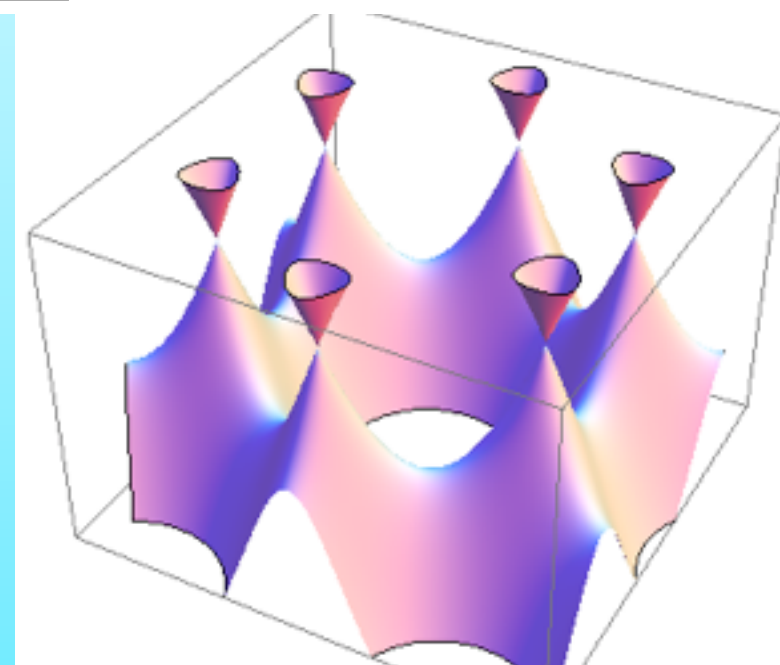


Photo: Sergeyev, Wikimedia



Talk today :focus on chiral symmetry

Topological Stability of Massless Dirac Cones

- ★ Effective mass approximation to the Dirac fermion
- ★ Zero gap semiconductor with chiral symmetry
- ★ Fermion doubling as of the 2D Nielsen-Ninomiya theorem

Topological aspects of graphene : Bulk

- ★ Berry connection of the filled Dirac sea
- ★ Lattice gauge fields in a parameter space

Topological aspects of graphene : Edge

- ★ Zero modes at the zigzag & bearded edges
- ★ Bulk-Edge correspondence for Dirac sea in a magnetic field
- ★ Bulk-Edge correspondence for other phenomena

Chiral symmetry and particle-particle interaction

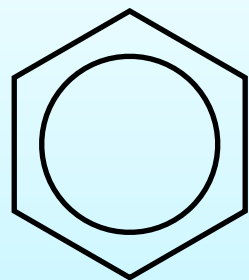
- ★ Mean field approximation & bond ordering
- ★ ...

Topological Stability of Massless Dirac Cones

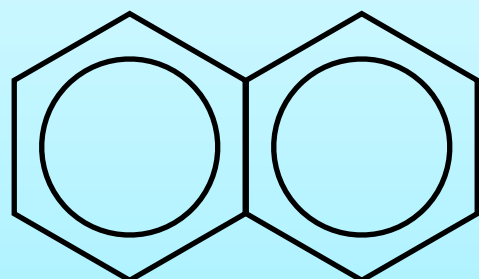
- ★ *Effective mass approximation to the Dirac fermion*
- ★ *Zero gap semiconductor with chiral symmetry*
- ★ *Fermion doubling as of the 2D Nielsen-Ninomiya theorem*

Graphene??

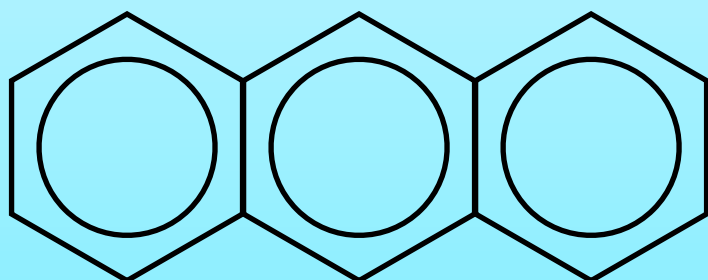
★ π -electron systems



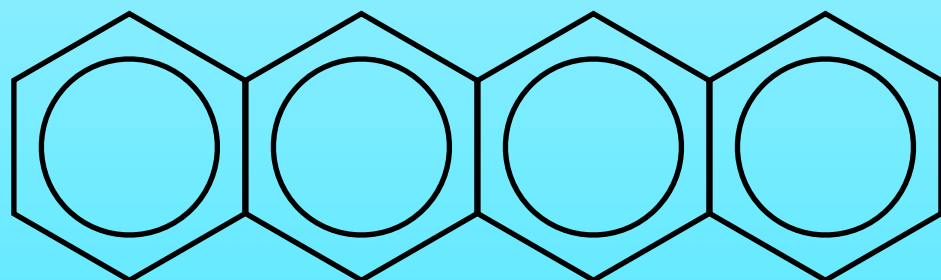
benzene



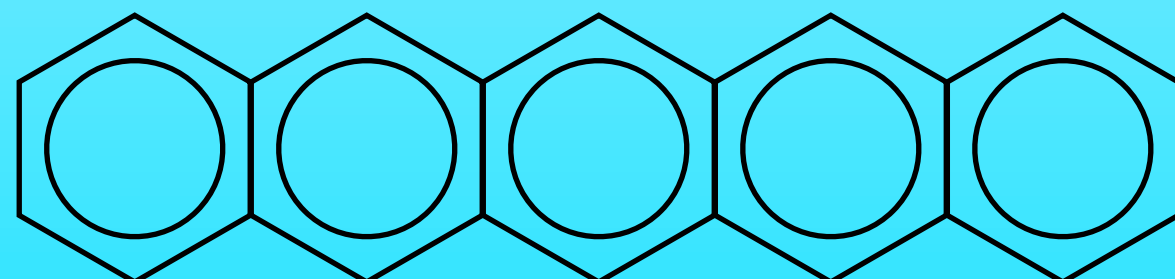
naphthalene



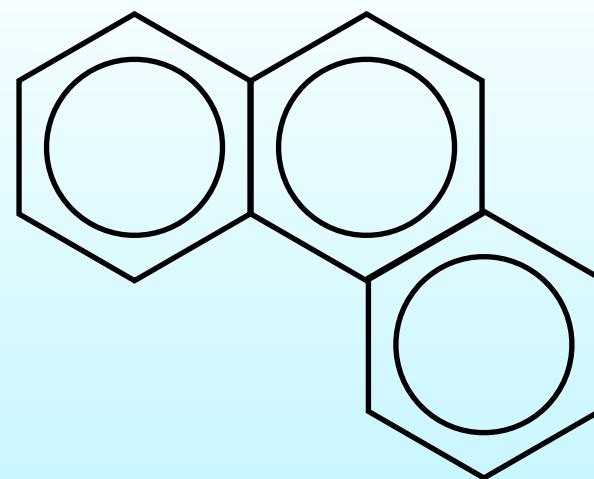
anthracene



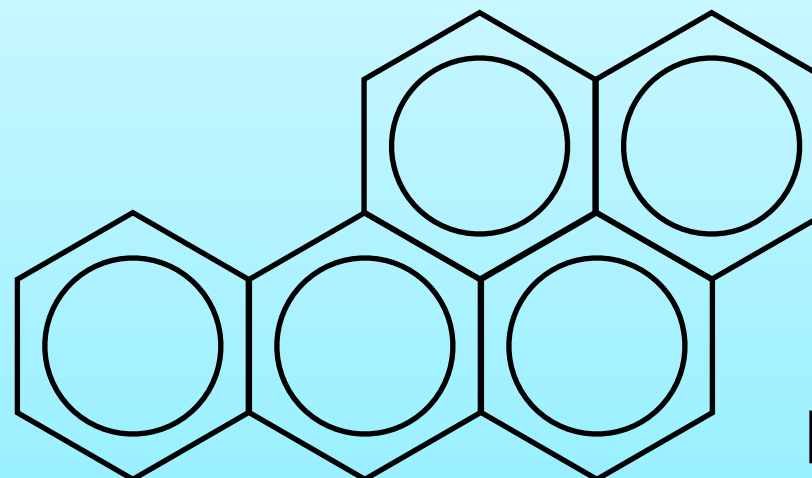
tetracene



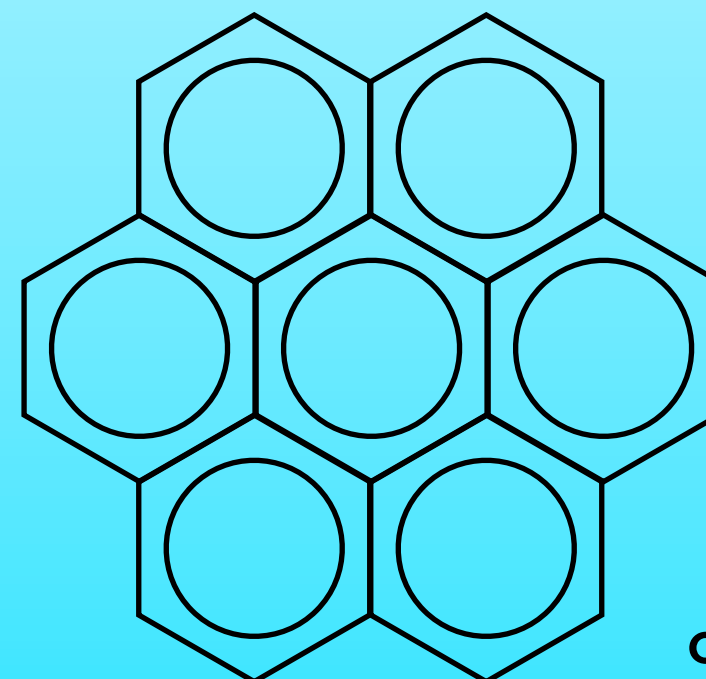
pentacene



phenanthrene



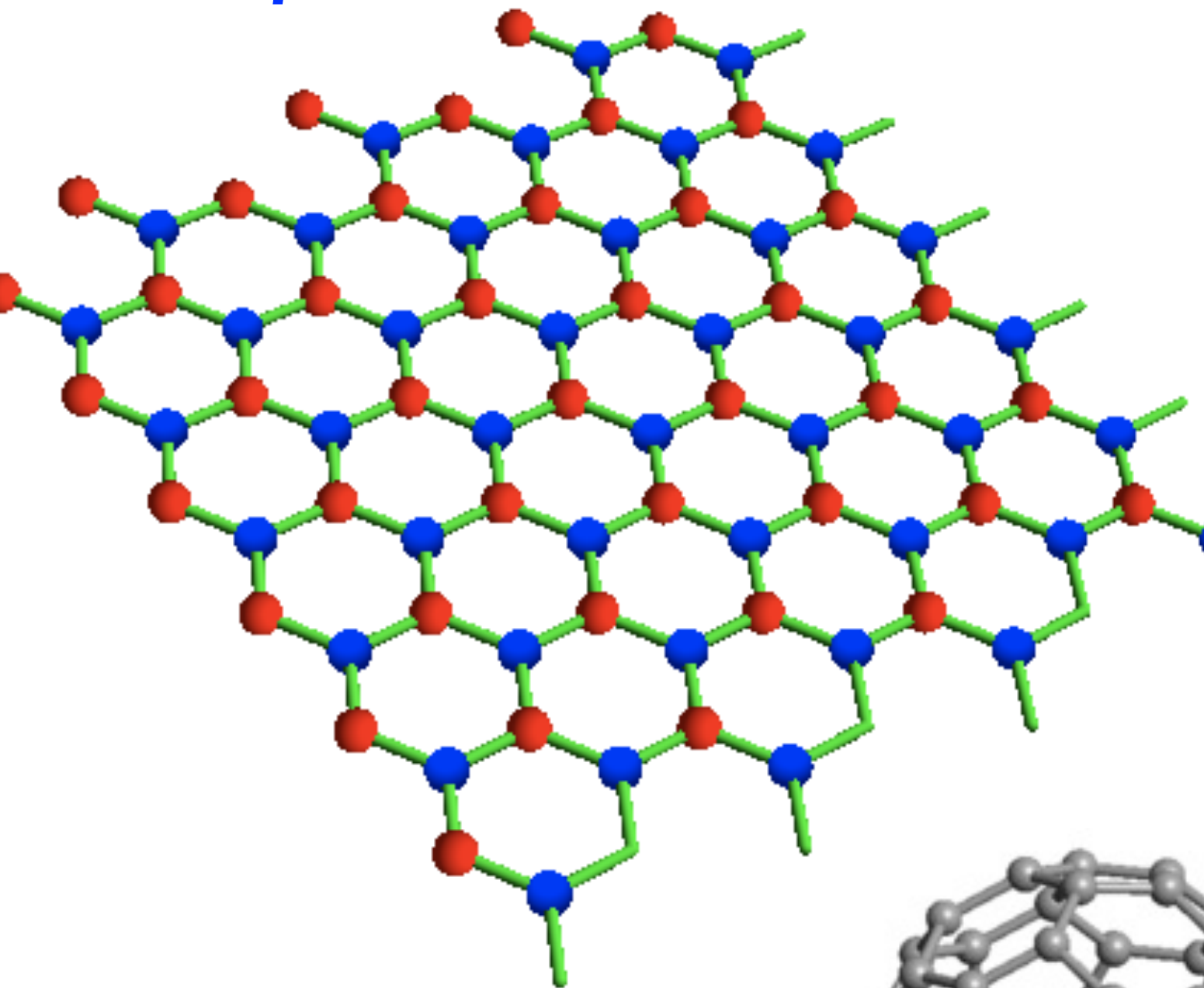
benzopyrene



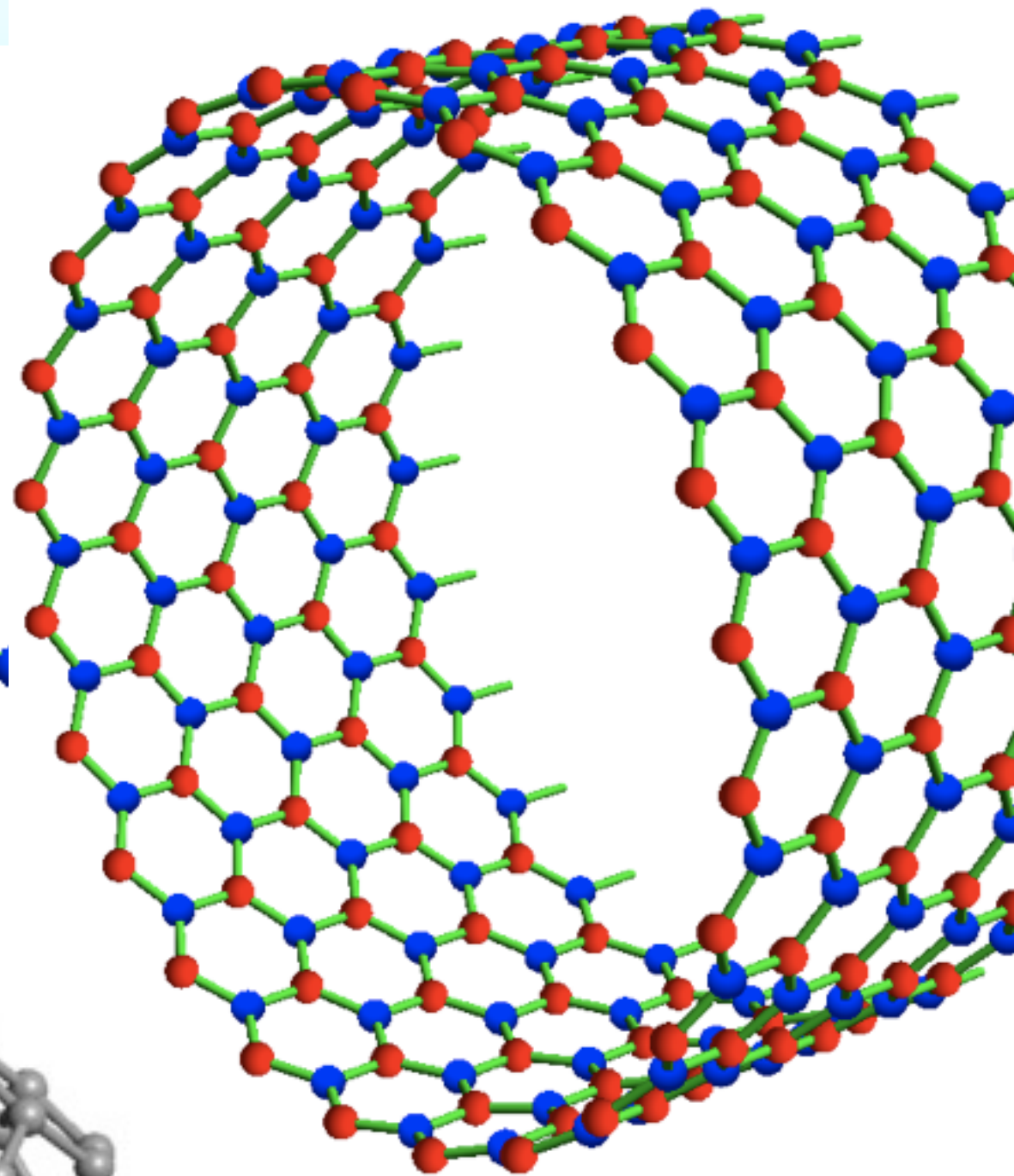
coronene

Graphene??

Graphene



Carbon nanotube

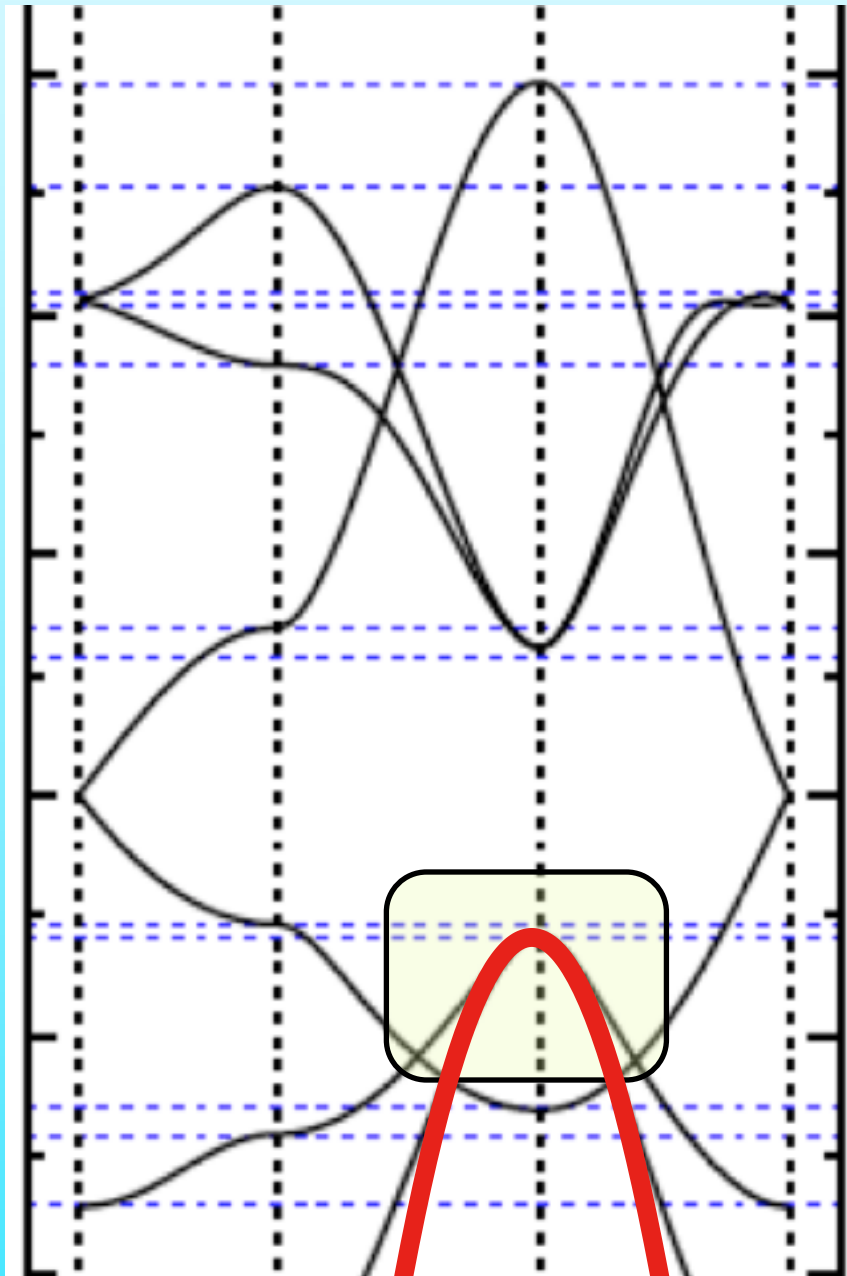


Fullerene

Effective mass approximation for semiconductor

Semiconductor Text book *approximate by parabolic dispersion*

$E(k)$



k

$$E(k) = \frac{\hbar^2 k^2}{2m^*}$$

Effective Theory:

*Schrodinger Equation
with effective mass*

$$\begin{aligned} H\psi &= E\psi & \mathbf{p} &= -i\hbar\nabla \\ H &= \frac{\mathbf{p}^2}{2m^*} & &= -i\hbar\left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y}\right) \end{aligned}$$

Single parameter m^* (effective mass)
characterizes the low energy physics

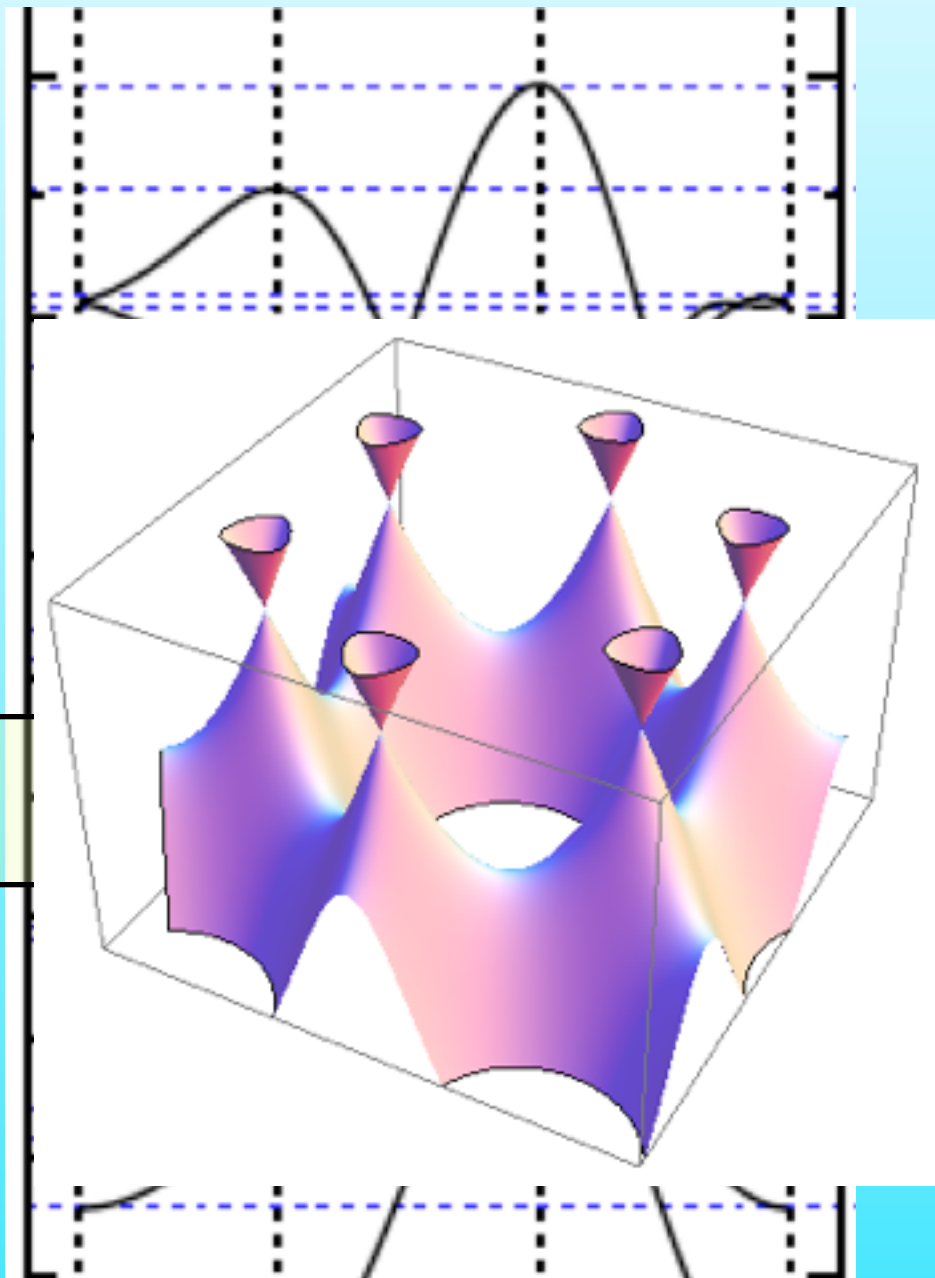
What is special for the graphene

★ 2D Semiconductors

P. Wallace '47

★ Energy gap is zero! Zero gap Semiconductor

$E(k)$



k

linear dispersion

$$E(k) = \pm c|k|, \quad |k| = \sqrt{k_x^2 + k_y^2}$$

Effective Theory:

massless Dirac Equation

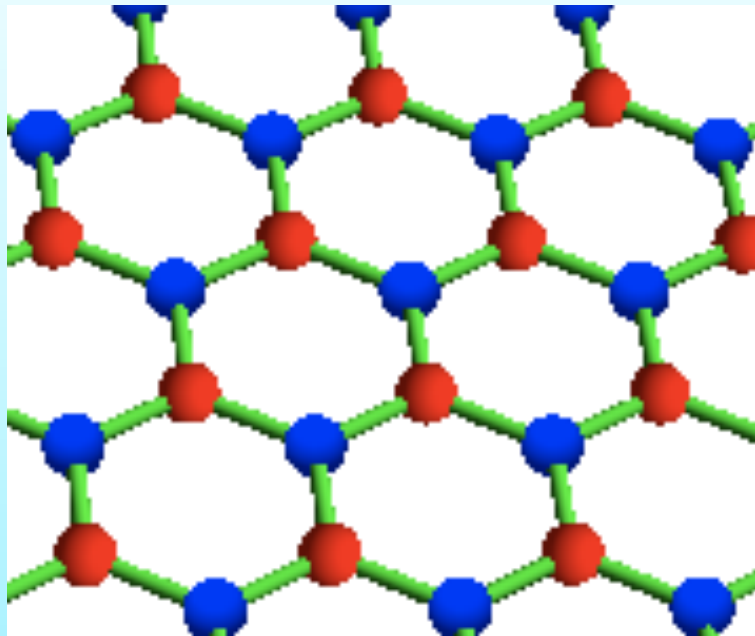
$$H\psi = E\psi$$

$$H = c\boldsymbol{\sigma} \cdot \mathbf{p} = c(\sigma_x p_x + \sigma_y p_y)$$

$$= c \begin{pmatrix} 0 & p_x - ip_y \\ p_x + ip_y & 0 \end{pmatrix}$$

Condensed matter realization of
relativistic particles

Realization of massless Dirac fermions



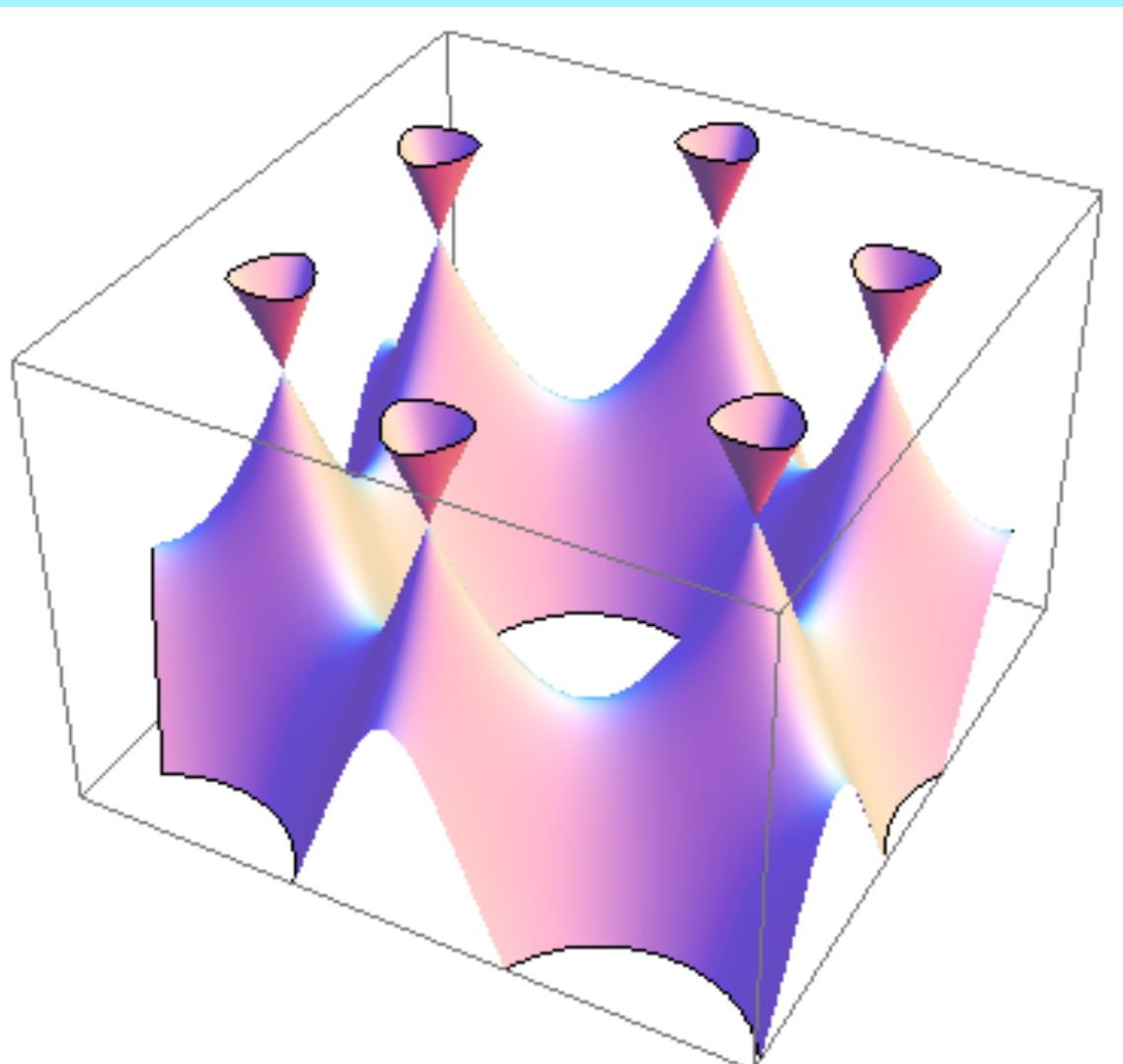
linear dispersion

$$E(k) = \pm c|k|, \quad |k| = \sqrt{k_x^2 + k_y^2}$$

*Effective Theory: massless
Dirac Fermions*

★ *On a honeycomb lattice*

without any regularization



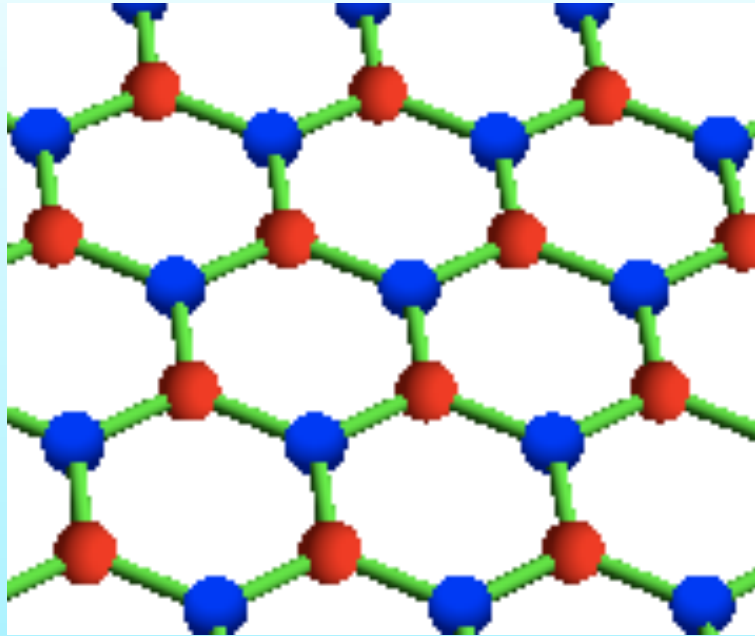
Wallace '47
Semenoff '85
Haldane '88

chiral symmetric *doubling*
 $\{H, \exists \gamma\} = 0, \quad \gamma^2 = 1$
Nielsen-Ninomiya '81

Fermion doubling

*2D analogue of
Nielsen-Ninomiya theorem
in 4D lattice Gauge theory
Topological !*

Chiral symmetry ?

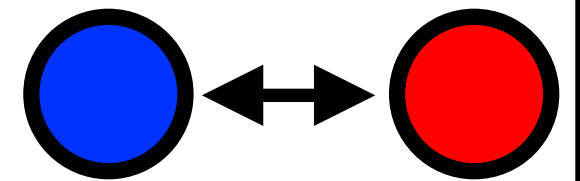


Wallace '47
Semenoff '85
Haldane '88

chiral symmetric doubling
 $\{H, \exists \gamma\} = 0, \quad \gamma^2 = 1$
Nielsen-Ninomiya '81

Chiral Symmetry

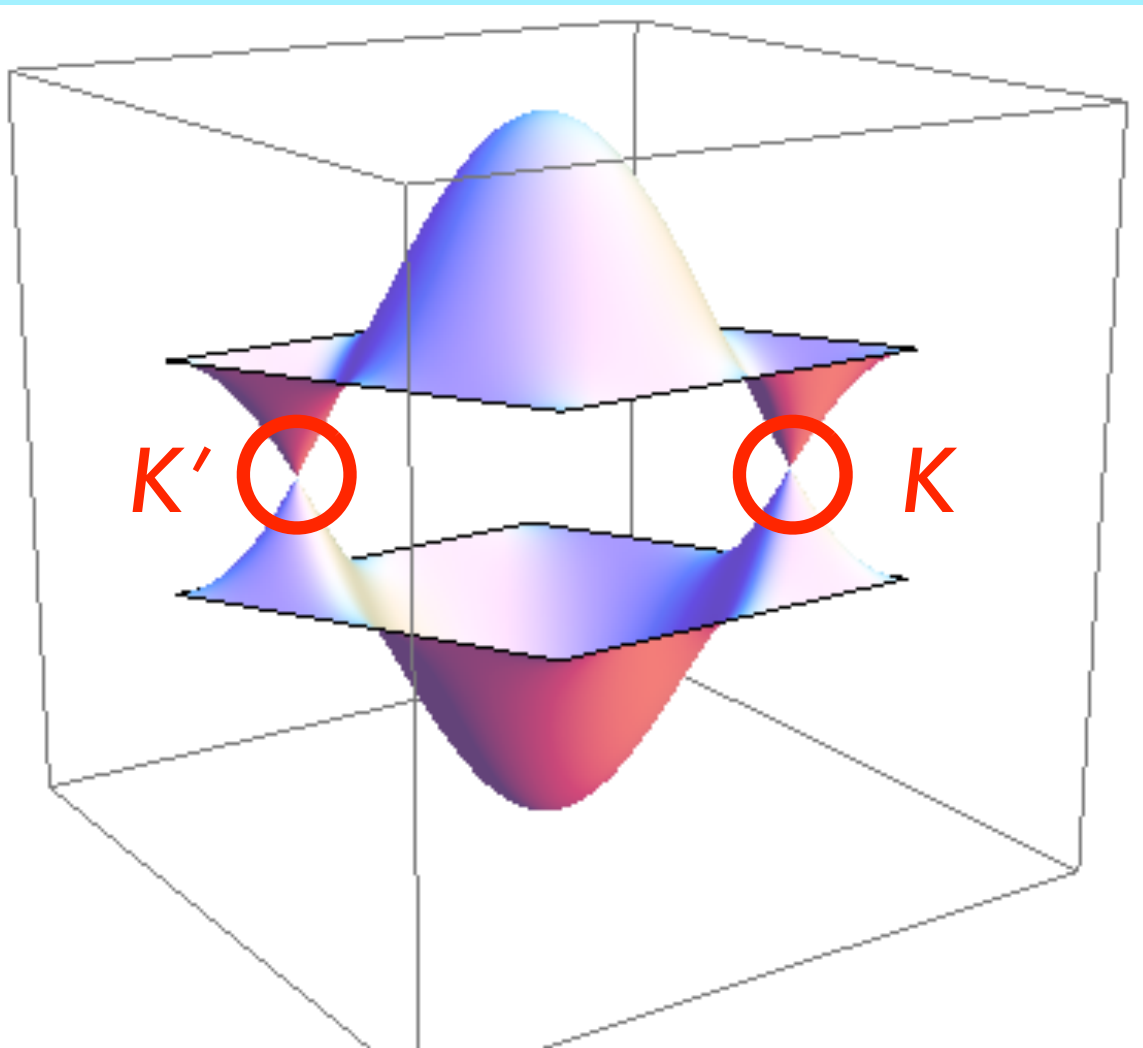
Equivalence of
Hopping between



honeycomb lattice: Bipartite

Fermion doubling

2D analogue of
Nielsen-Ninomiya theorem
in 4D lattice Gauge theory
Topological !



Dirac Cones are Stable!

★ The Dirac Cones are not accidental

★ It has topological stability

Hatsugai, Fukui, Aoki, '06

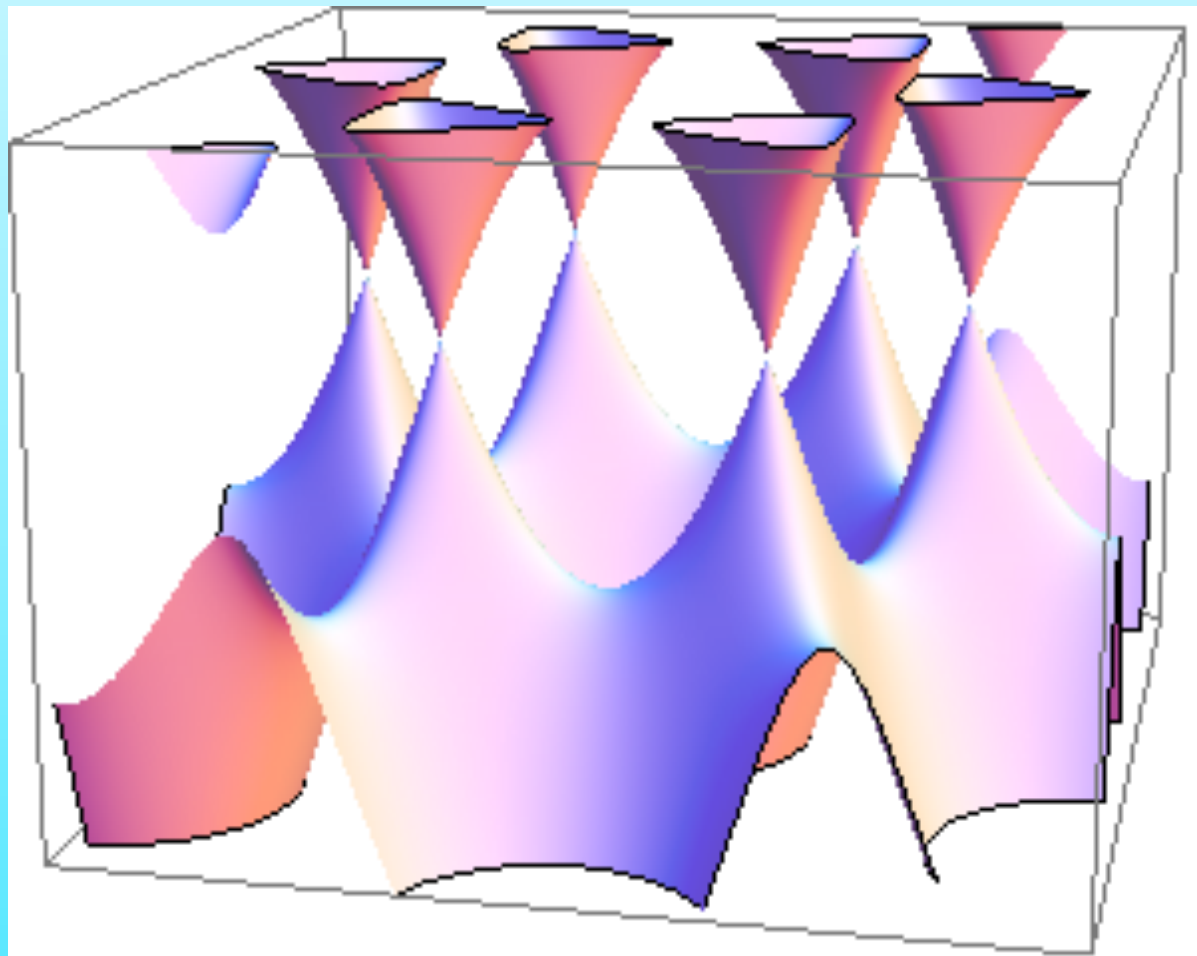
extended BZ

Chiral Symmetry

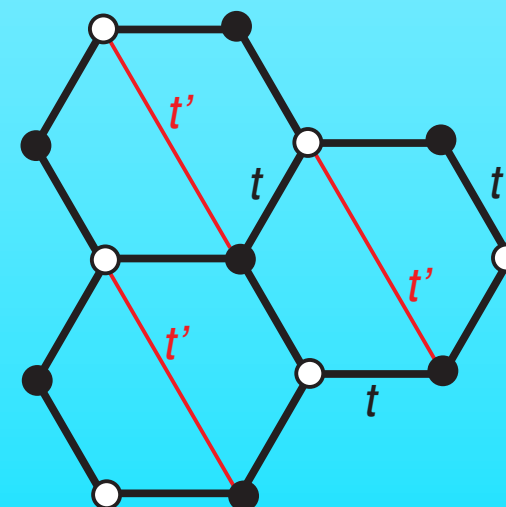
$$\{H, \gamma\} = 0, \quad \gamma^2 = 1$$

Doubled Dirac Cones

- Dirac Cones are stable for *small but finite* perturbation
- It can be *gapped*, if it's *large*.



chiral symmetric perturbation
respect chiral symmetry



Geometrical meaning of Chiral symmetry

$$H(\mathbf{k}) = \mathbf{R}(\mathbf{k}) \cdot \boldsymbol{\sigma} = \begin{pmatrix} R_z & R_x - iR_y \\ R_y + iR_x & -R_z \end{pmatrix} \quad E = \pm |\mathbf{R}(\mathbf{k})|$$

3D (R_x, R_y, R_z)

$$\{H_{\text{eff}}, \gamma\} = H_{\text{eff}}\gamma + \gamma H_{\text{eff}} = 0 \quad \gamma^2 = 1$$

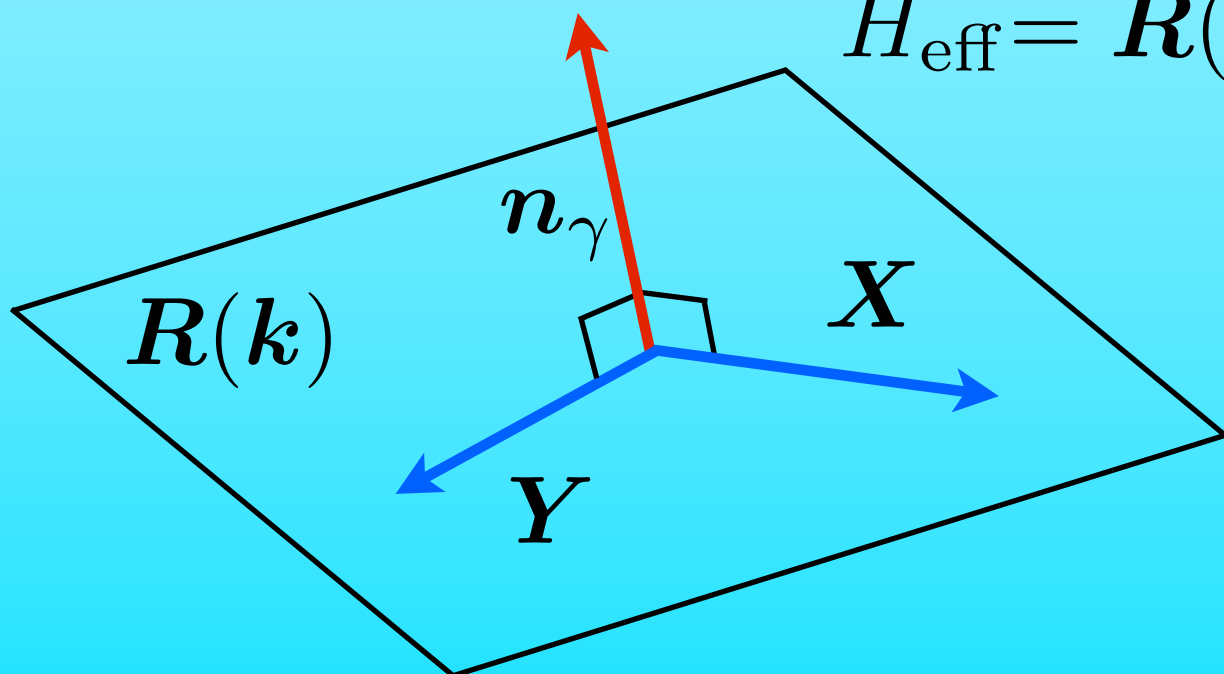
$$\gamma = \begin{cases} \sigma_z & : \text{bipartite lattice \& hopping between them} & R_z = 0 \\ \sigma_y & : \text{real } H_{\text{eff}} : \text{Time reversal \& Inversion} & R_y = 0 \end{cases}$$

Generically

$$\gamma = \mathbf{n}_\gamma \cdot \boldsymbol{\sigma} \quad \{H_{\text{eff}}, \gamma\} = 0 \Leftrightarrow \mathbf{n}_\gamma \perp \mathbf{R}$$

Zero gap condition $H_{\text{eff}} \rightarrow 0, \mathbf{k} \rightarrow \mathbf{k}_0$ expand by $\delta\mathbf{k} = \mathbf{k} - \mathbf{k}_0$

$$H_{\text{eff}} = \mathbf{R}(\mathbf{k}) \cdot \boldsymbol{\sigma} \approx (\mathbf{X} \cdot \boldsymbol{\sigma})\delta k_x + (\mathbf{Y} \cdot \boldsymbol{\sigma})\delta k_y$$



$$\mathbf{X} = \partial_{k_1} \mathbf{R}, \quad \mathbf{Y} = \partial_{k_2} \mathbf{R}$$

chirality

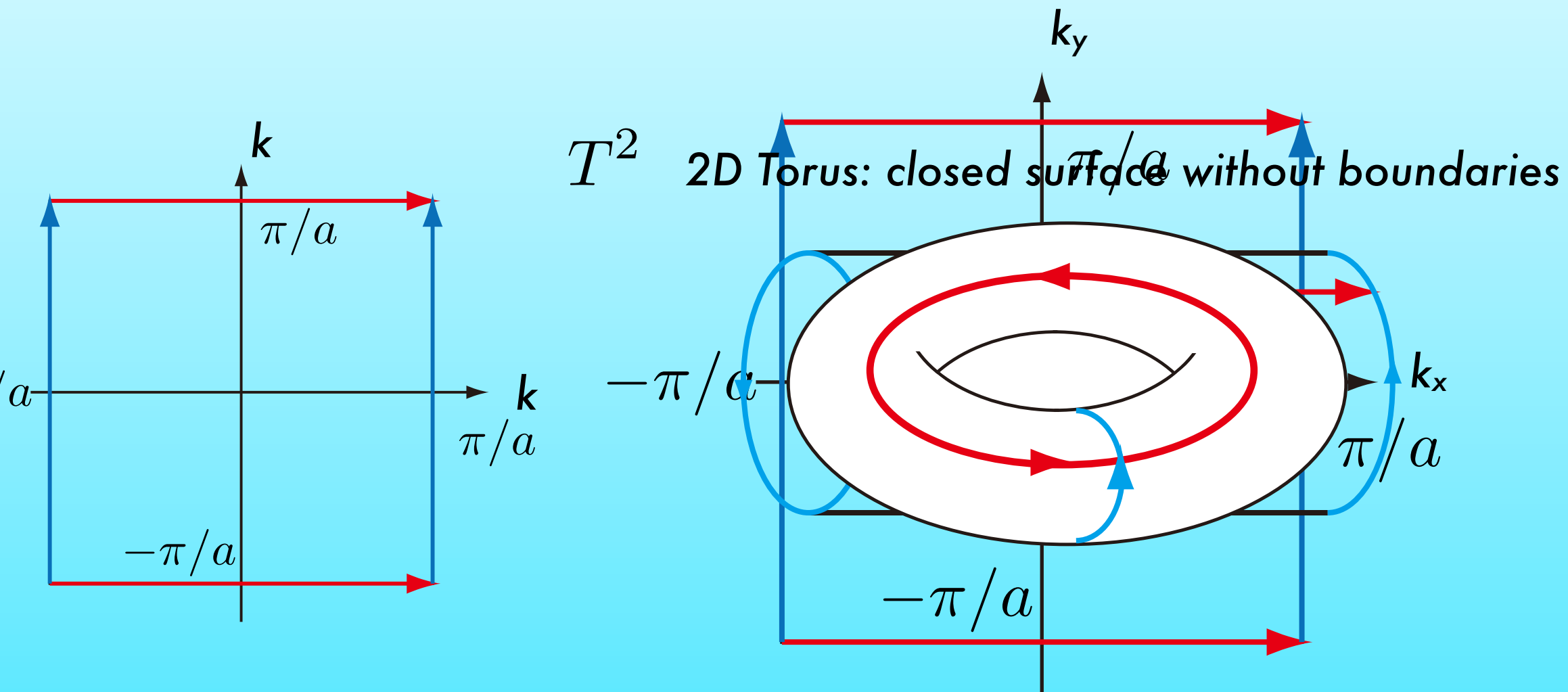
$$(\mathbf{X}, \mathbf{Y}, \mathbf{n}_\gamma) = \begin{cases} \text{right handed} & \chi = +1 \\ \text{left handed} & \chi = -1 \end{cases}$$

$$\mathbf{X}, \mathbf{Y} \Leftrightarrow m^*$$

Topological stability of the Doubled Dirac cones

c.f. 4D graphene & chiral symmetry, M. Creutz '08
also with TR inv. 5D YH, '10

2D Brillouin zone : periodic in k_x & k_y



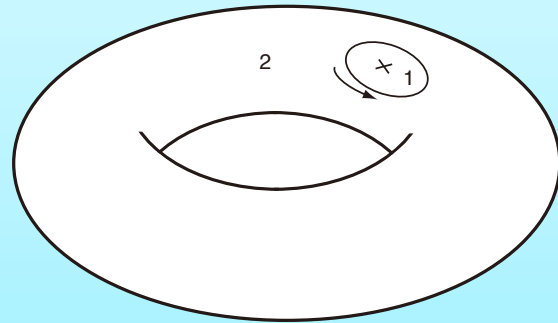
Topological stability of the Doubled Dirac cones

c.f. 4D graphene & chiral symmetry, M. Creutz '08
also with TR inv. 5D YH, '10

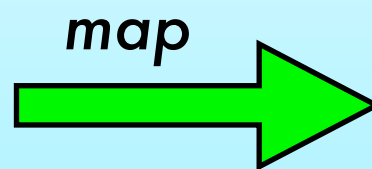
$$H(\mathbf{k}) = \mathbf{R}(\mathbf{k}) \cdot \boldsymbol{\sigma} = \begin{pmatrix} R_z & R_x - iR_y \\ R_y + iR_x & -R_z \end{pmatrix} \quad 3\text{D } (R_x, R_y, R_z)$$

2D Brillouin zone : periodic in k_x & k_y

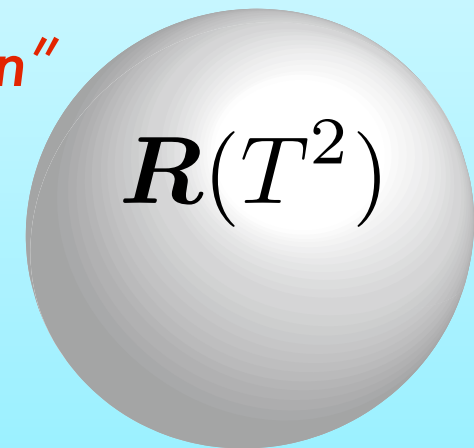
2D Torus T^2



Generically 2-D closed surface in 3D



"balloon"



Chiral symmetry $\{H, \gamma\} = 0 \Leftrightarrow \mathbf{n}_\gamma \perp \mathbf{R}$

$$\gamma = \mathbf{n}_\gamma \cdot \boldsymbol{\sigma}$$

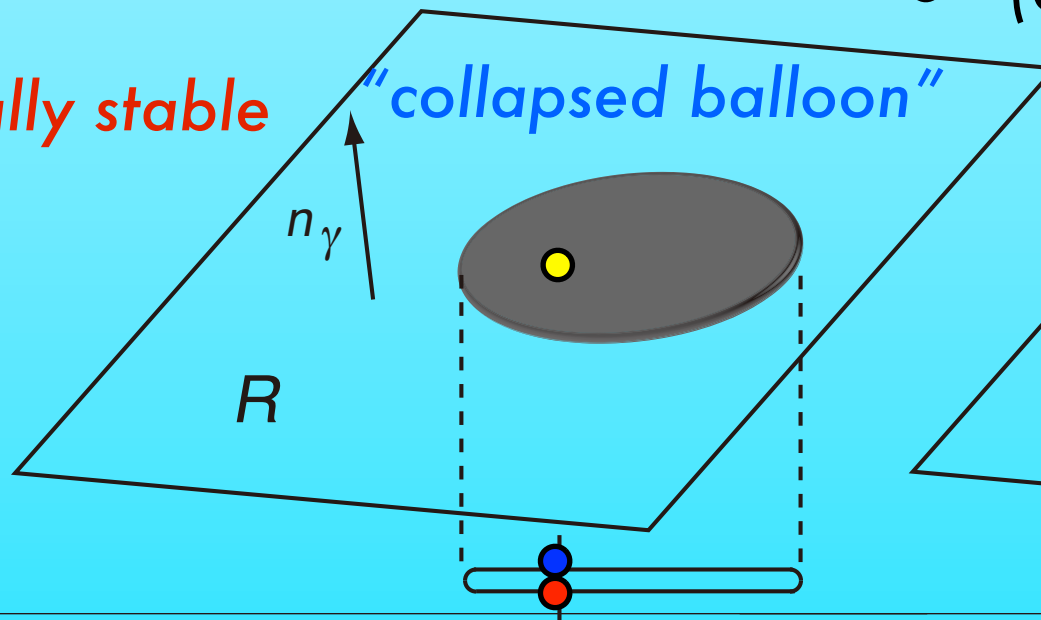
$\mathbf{R}(\mathbf{k})$ is on a plane normal to $\mathbf{n}_\gamma$

$R(T^2)$ is collapsed on the plane

$\bullet = (0,0,0)$

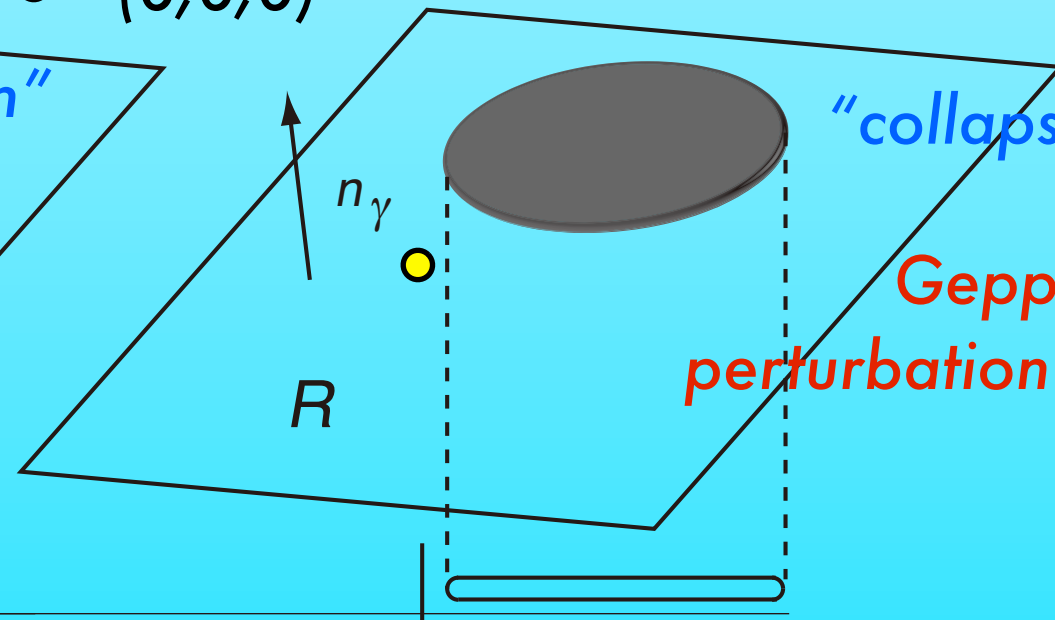
Topologically stable

"collapsed balloon"



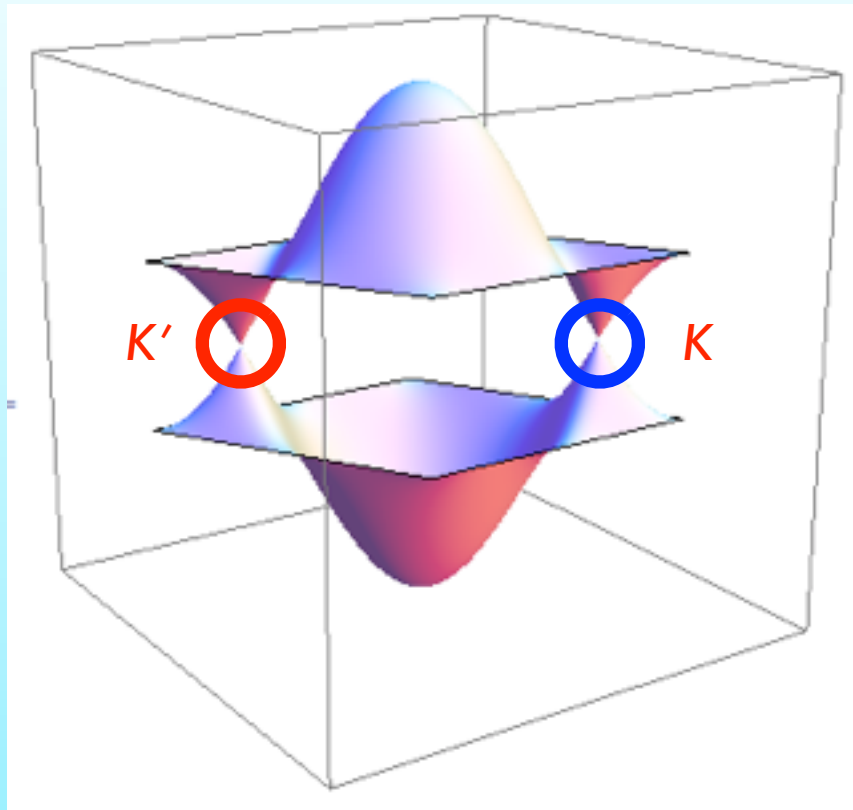
"collapsed balloon"

Gepped :
perturbation is too large



doubled Dirac cones ● ●

chirality is reversed among the Doubled Dirac cones

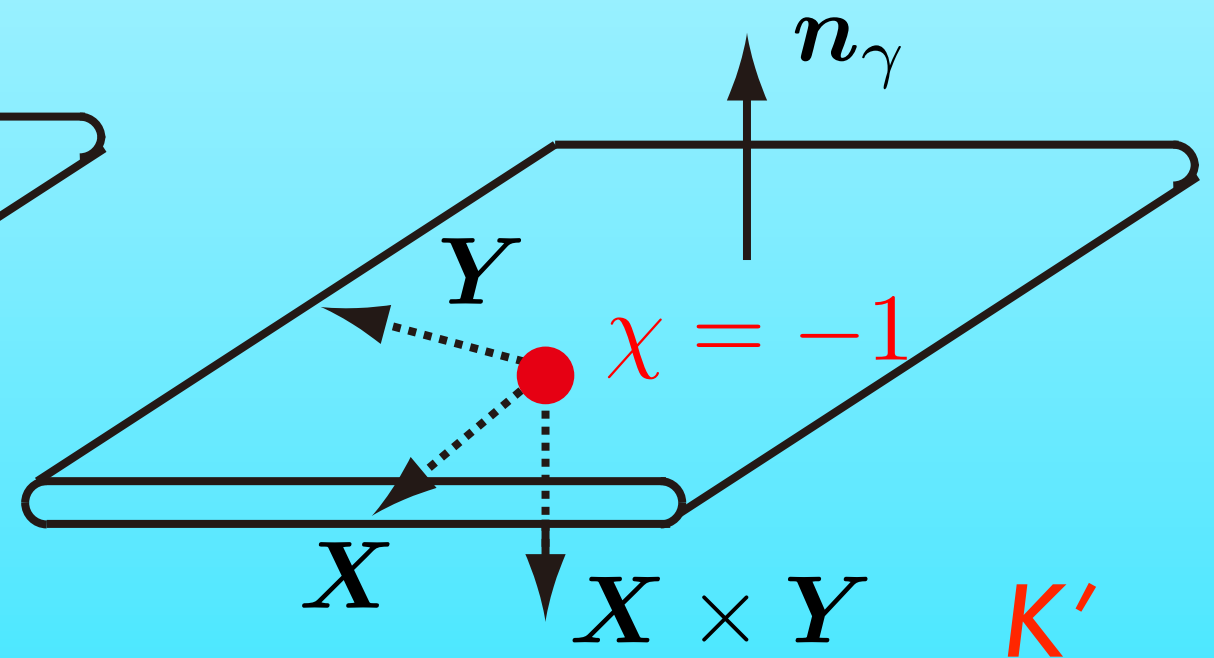
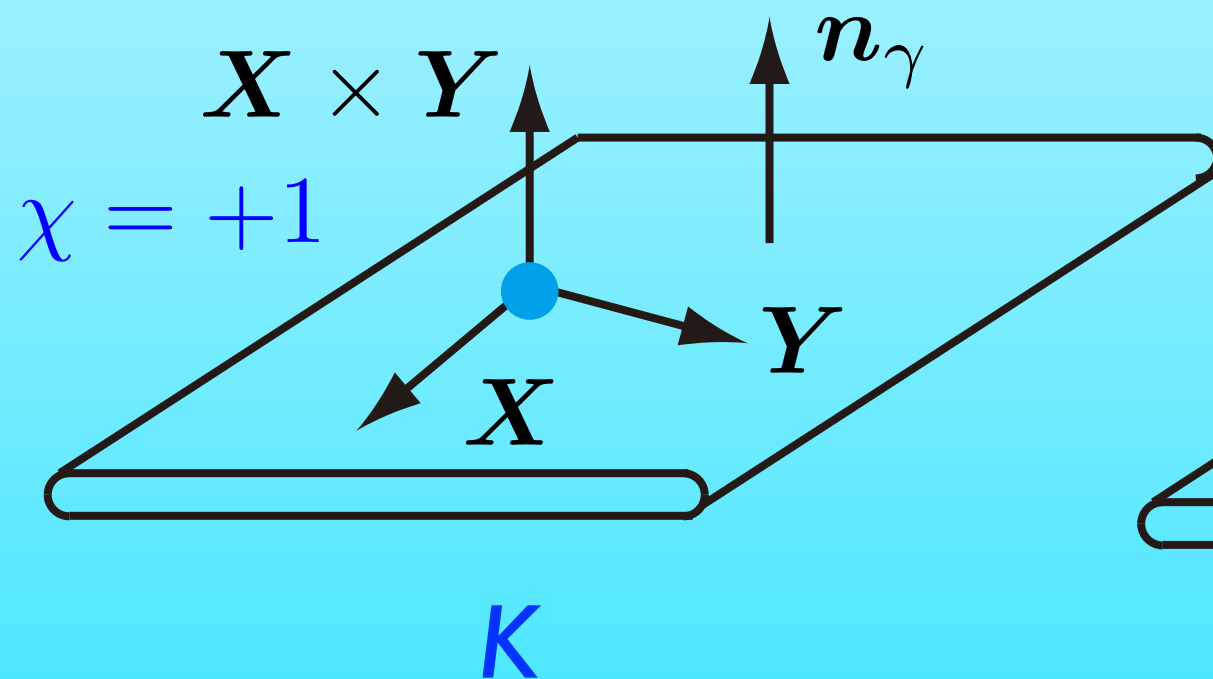


$$\{H, \gamma\} = 0 \iff \mathbf{n}_\gamma \perp \mathbf{R}$$

$$\gamma = \mathbf{n}_\gamma \cdot \boldsymbol{\sigma}$$

$$H = (\mathbf{X} \cdot \boldsymbol{\sigma})\delta k_x + (\mathbf{Y} \cdot \boldsymbol{\sigma})\delta k_y$$

$$(\mathbf{X}, \mathbf{Y}, \mathbf{n}_\gamma) = \begin{cases} \text{right handed} & \chi = +1 \\ \text{left handed} & \chi = -1 \end{cases}$$



$$\mathbf{X} \times \mathbf{Y} = \chi |\mathbf{X} \times \mathbf{Y}| \mathbf{n}_\gamma$$

Chiral symmetric Dirac fermion and $n = 0$ LL

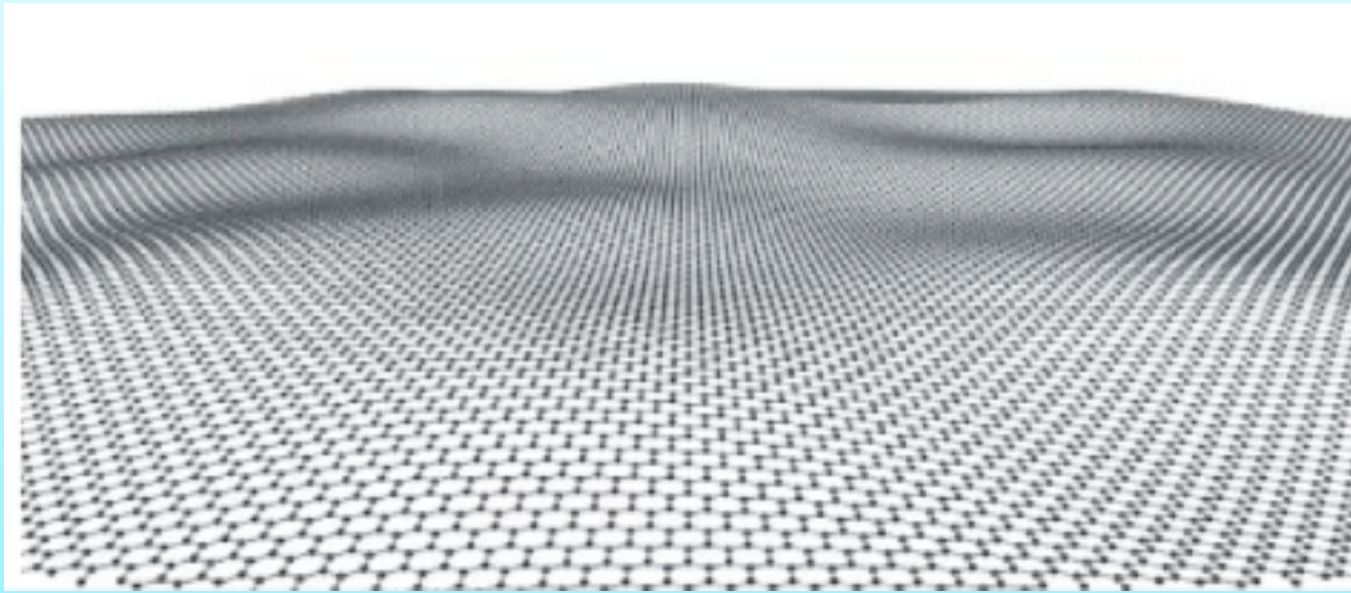
$$\begin{aligned}
 \{H, \gamma\} &= 0 \quad \gamma = \mathbf{n}_\gamma \cdot \boldsymbol{\sigma} & H &= (\mathbf{X} \cdot \boldsymbol{\sigma})\delta k_x + (\mathbf{Y} \cdot \boldsymbol{\sigma})\delta k_y \\
 \mathbf{X} \times \mathbf{Y} &= \chi |\mathbf{X} \times \mathbf{Y}| \mathbf{n}_\gamma & \Downarrow & \hbar \delta \mathbf{k} \rightarrow \boldsymbol{\pi} = -i\hbar \boldsymbol{\nabla} - e\mathbf{A} \\
 H &= \hbar^{-1} \left[(\mathbf{X} \cdot \boldsymbol{\sigma})\pi_x + (\mathbf{Y} \cdot \boldsymbol{\sigma})\pi_y \right] & B &= \text{rot } \mathbf{A} \\
 H^2 &= v^2 (\boldsymbol{\pi}^\dagger \boldsymbol{\Xi} \boldsymbol{\pi}) - \chi \frac{\hbar \omega_C}{2} \gamma & \det \boldsymbol{\Xi} &= 1 \\
 &\text{anisotropic Landau level} & \text{cancel the zero point energy for the chirality } \chi & \\
 &\hbar \omega_C (n + 1/2) & & \\
 &\omega_C = 2eBc^2 & & \\
 &v^2 \equiv |\mathbf{X} \times \mathbf{Y}| / \hbar^2 & \text{"fermi velocity"} & \\
 \epsilon_n &= \pm v \sqrt{2neB\hbar} & n=0 \text{ Landau level has a fixed chirality} & \\
 \gamma \Psi_0 &= \chi \Psi_0 & &
 \end{aligned}$$

This $n=0$ L.L. has topological stability protected
by the index theorem & Aharonov-Casher argument

chiral symmetry needed Aharonov-Casher '79

Ripples of graphene

★ *Ripples as random gauge field in free standing graphene*



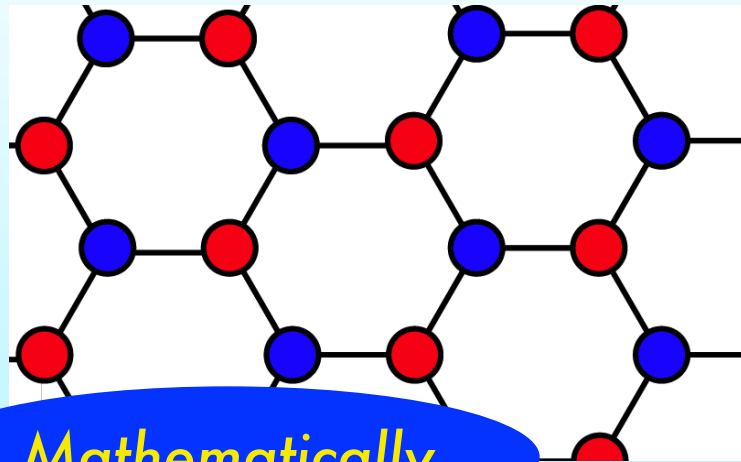
(Meyer, Geim et al, Nature 2007)

Gauge field fluctuation

Neto-Guinea-Peres-Novoselov-Geim '09

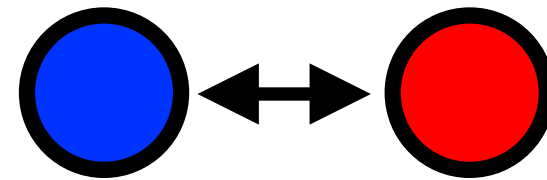
*Random hopping model on a honeycomb lattice
(phase) with spatial correlation*

Chiral Symmetry of Graphene



Mathematically

Chiral Symmetry
Equivalence of
Hopping between



Hamiltonian anti-commutes with $\exists \Gamma$

$$\{\Gamma, H\} = \Gamma H + H \Gamma = 0, \quad \Gamma^2 = 1$$

$$H = \begin{pmatrix} \overset{\dots}{O} & \overset{\dots}{D} \\ D^\dagger & O \end{pmatrix} \begin{matrix} \vdots \\ \vdots \end{matrix} \quad \Gamma = \begin{pmatrix} \overset{\dots}{I} & \overset{\dots}{O} \\ O^\dagger & -I \end{pmatrix} \begin{matrix} \vdots \\ \vdots \end{matrix}$$

Perturbations

~~Uniform site energy~~

~~Staggered site energies~~

~~Antiferromagnetic order~~

~~Bond order~~

~~Random potential~~

~~Random hopping~~

Ripples ?

Model

c.f. Dirac fermions on square lattice

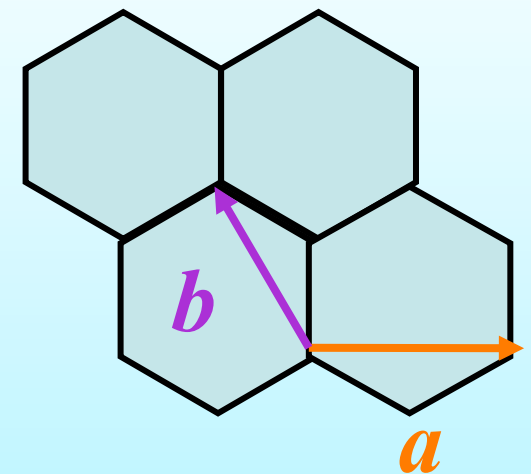
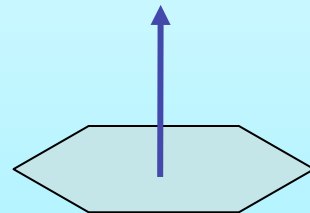
T. Kawarabayashi, Y.Hatsugai and H.Aoki,, PRL 103, 156804 (2009)

2D Honeycomb Lattice in disordered hopping amplitude

$$H = \sum_{\langle r, r' \rangle} t_{r, r'} e^{i\theta_{rr'}} c_r^\dagger c_{r'}$$

$$t_{r, r'} = t + \delta t_{r, r'}$$

$$\phi = \phi_{\text{uni}}$$



Disordered components δt

$$\sum_{\langle r, r' \rangle} \theta_{r, r'} = -2\pi \phi_{\text{uni}} / \phi_0$$

$$P(\delta t) = \frac{1}{\sqrt{2\pi\sigma_t^2}} \exp(-\delta t^2 / 2\sigma_t^2)$$

$$W_{\delta t} = \sigma_t \sqrt{12} \quad \text{effective width}$$

$$\langle \delta t_{r_i} \delta t_{r_j} \rangle = \sigma_t^2 \exp(-|r_i - r_j|^2 / 4\eta_t^2)$$

η_t : correlation of random hopping

Density of states

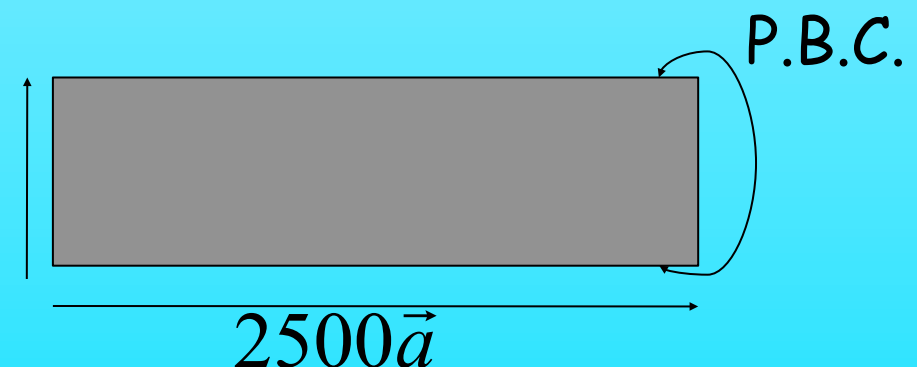
The Green function method

Schweitzer, Kramer, MacKinnon (1984)

$$\rho(E) = -\frac{1}{\pi} \langle \text{Im} G_{r, r}(E + i\gamma) \rangle_r$$

Correlated Random Hopping

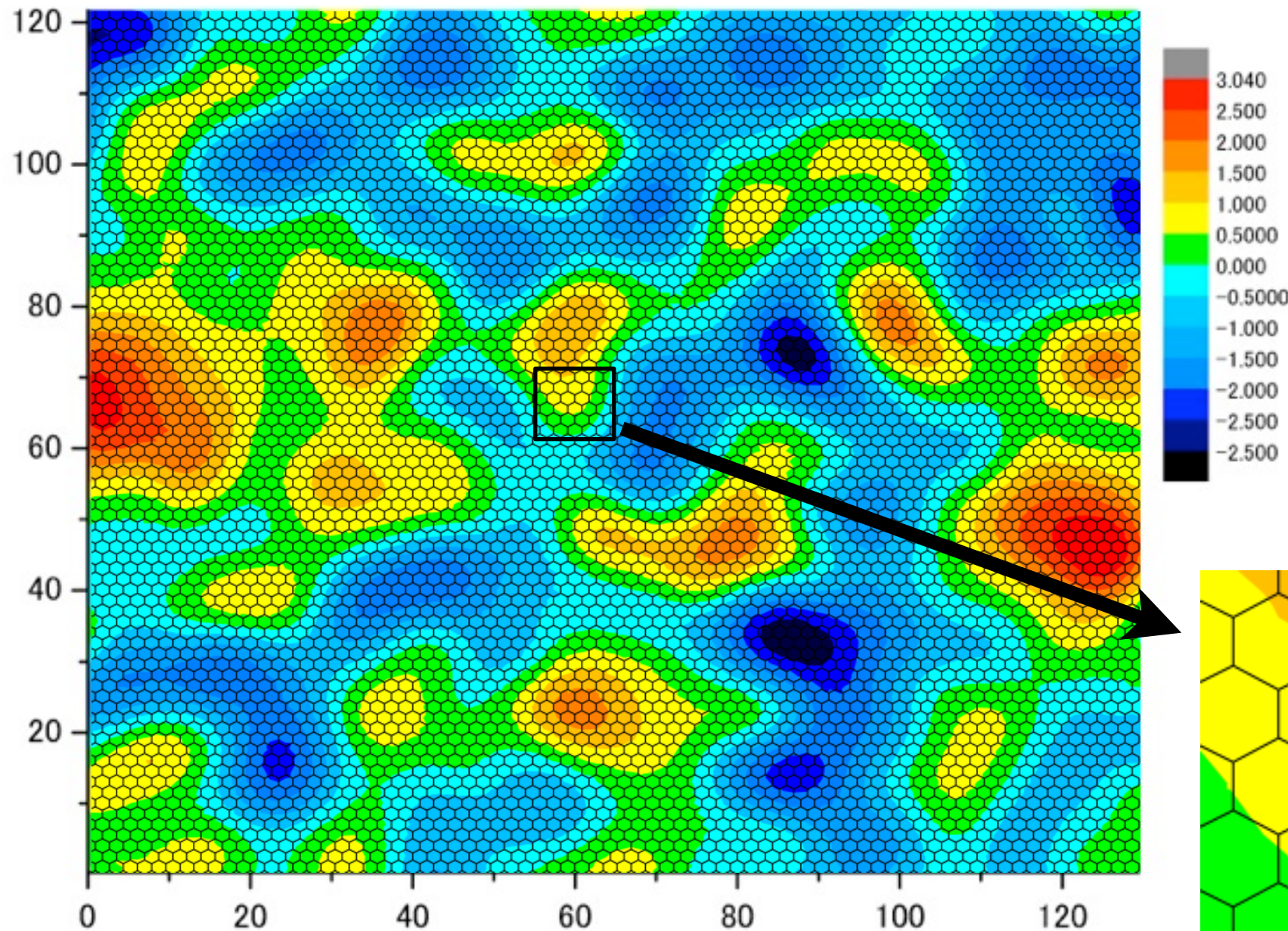
$$41(2\vec{b} - \vec{a})$$



Correlated Random Hopping

(distribution of gauge field) $\sqrt{3}\eta_t / |\vec{a}| = 5.0$

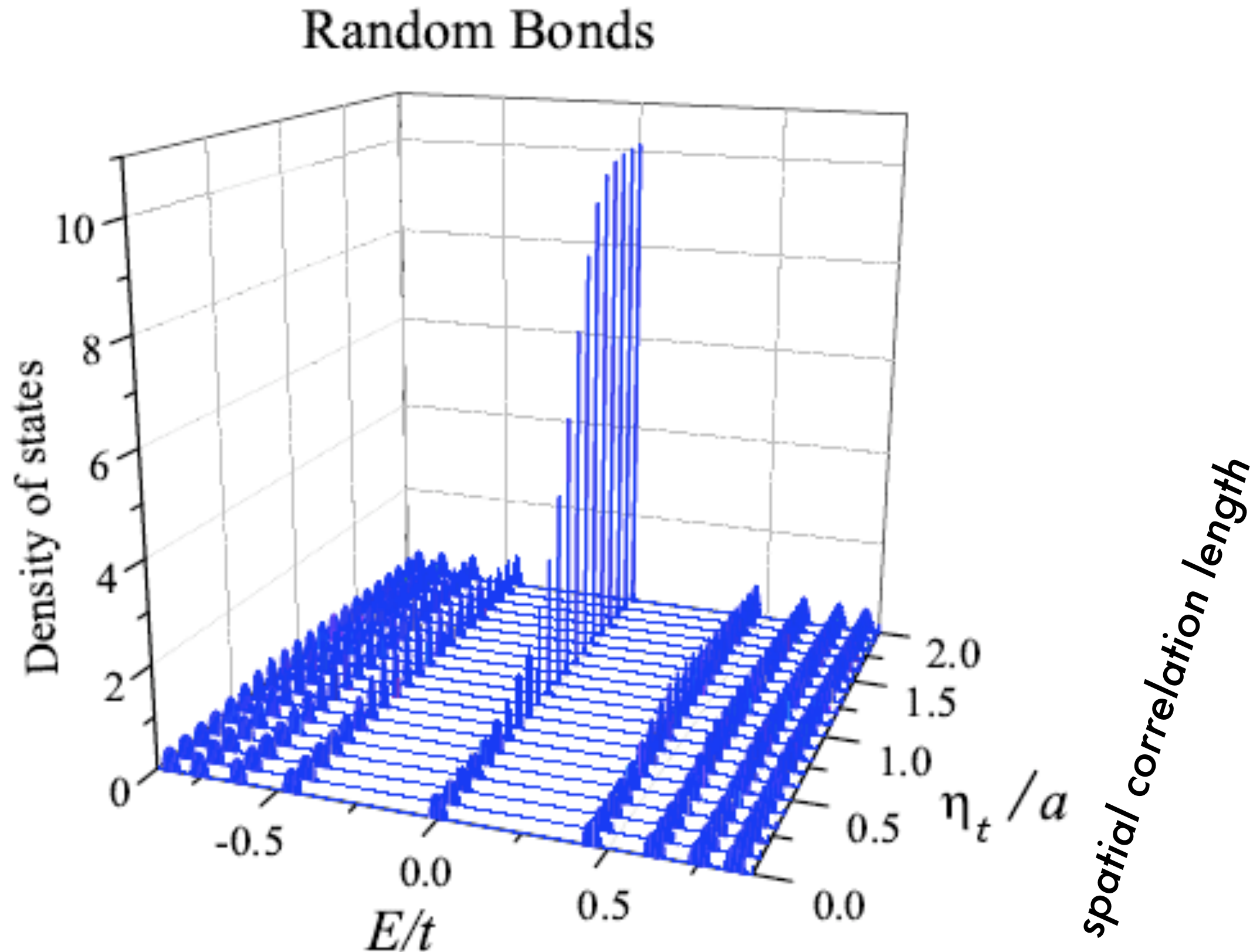
Landscape of hopping amplitude $W_{\delta t}/t = 2.0$



for calculation of density of states

Effect of spatial correlation

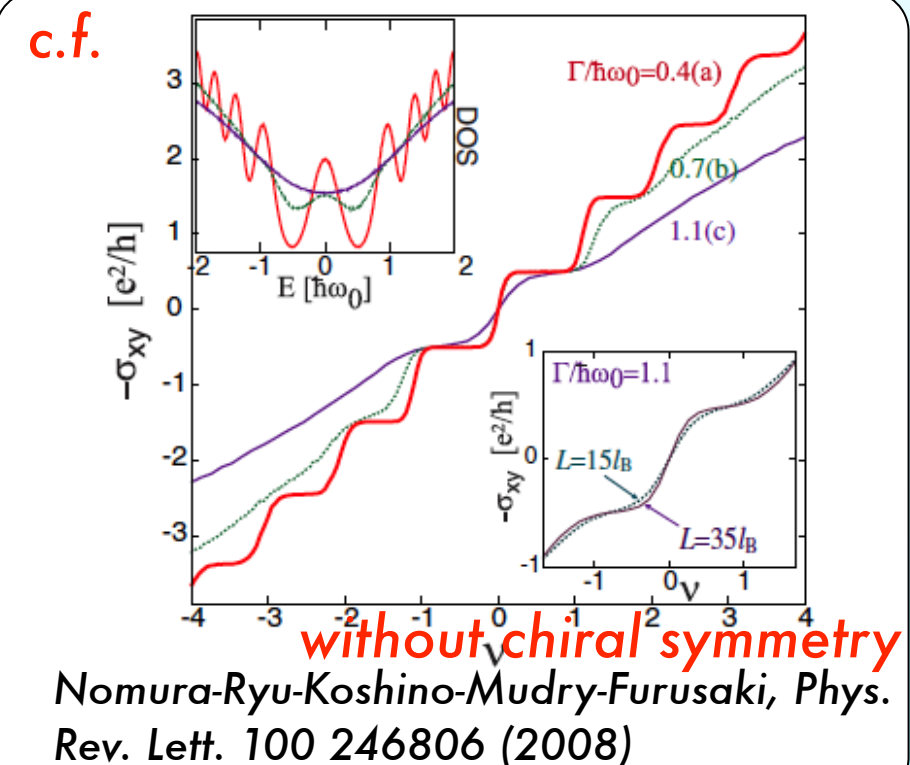
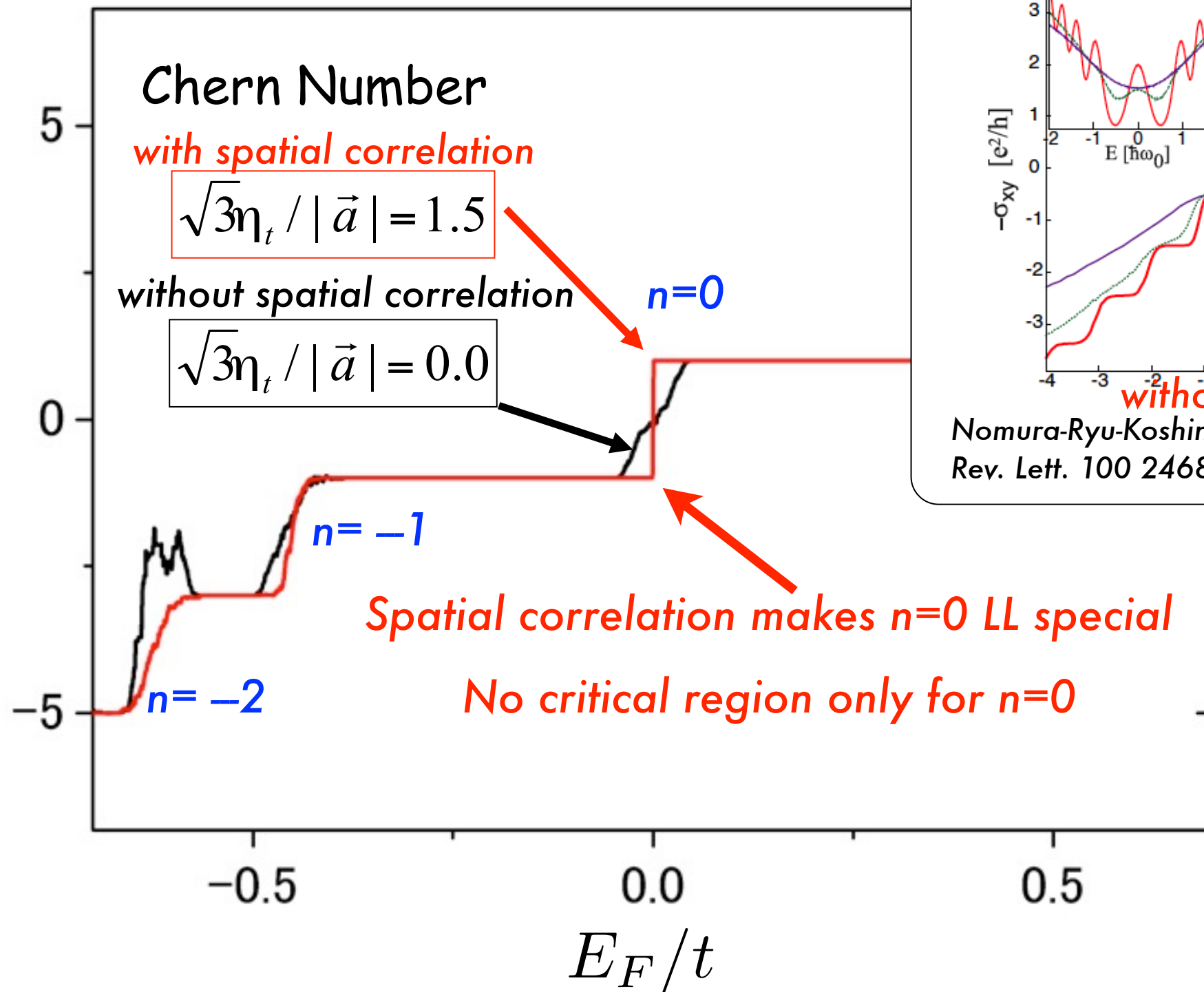
T. Kawarabayashi, Y. Hatsugai and H. Aoki,
PRL 103, 156804 (2009)



almost no broadening when the correlation exceeds lattice constant

$W_{\delta t}/t = 0.4$ $\phi_{\text{uni}}/\phi_0 = 1/50$ System size 20×20

$$\sigma_{xy} = \frac{e^2}{h} \frac{1}{2\pi i} \int_{T^2} \text{Tr}_M dA_{FS}$$



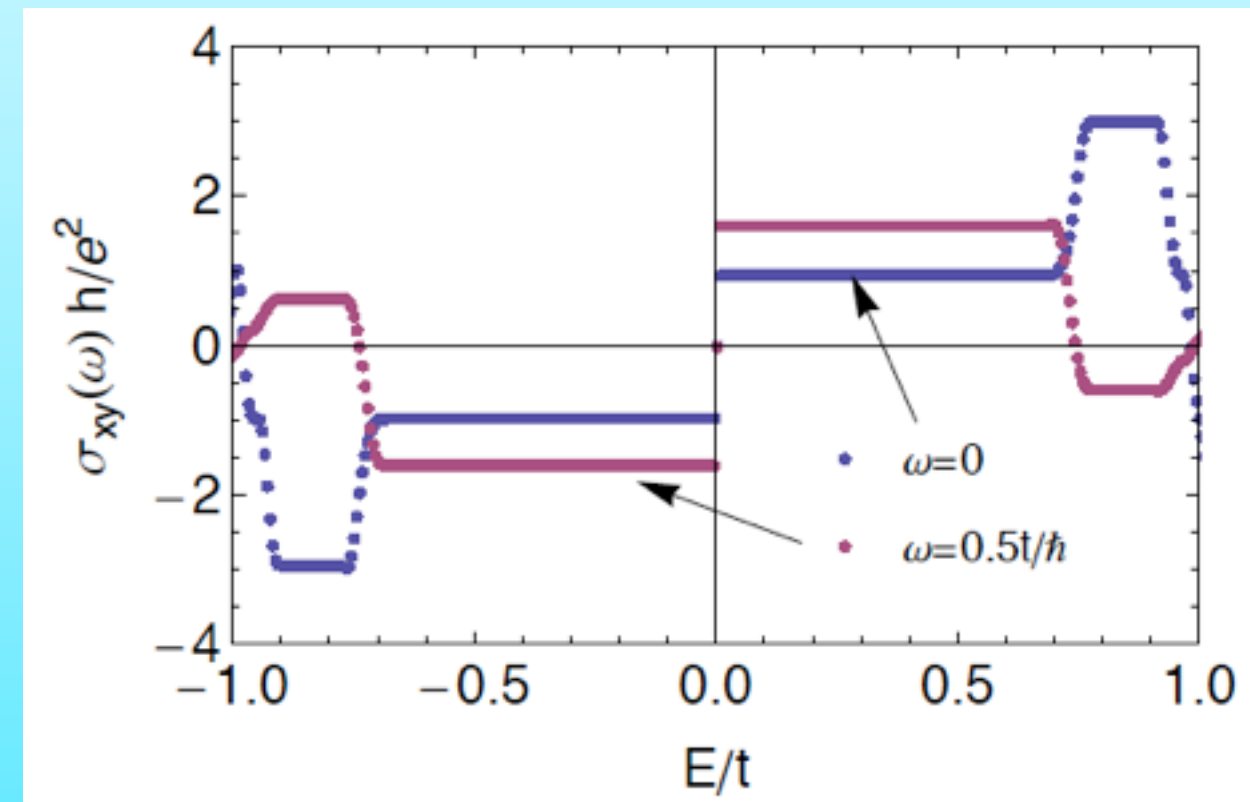
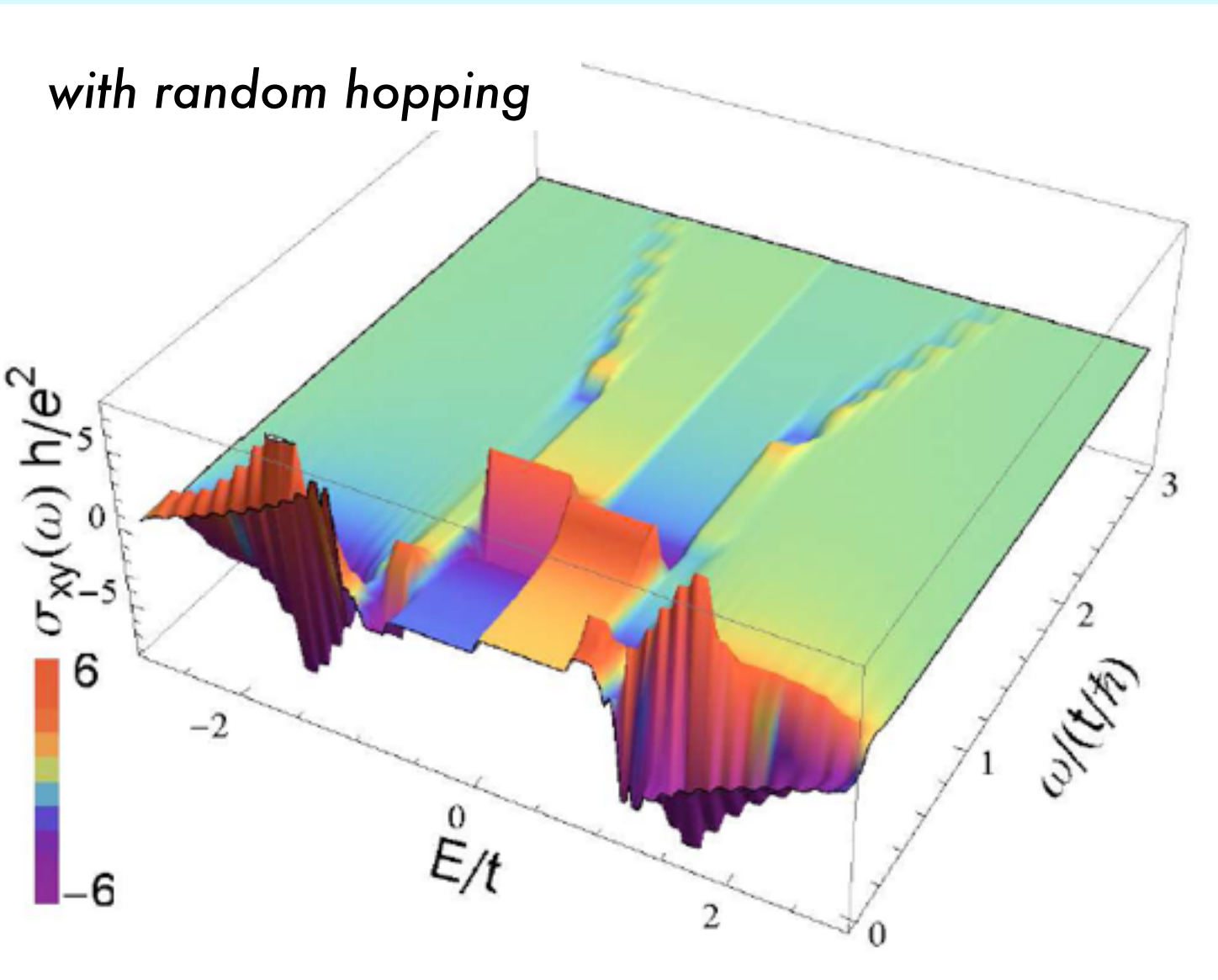
100 samples

T. Kawarabayashi, Y. H. and H. Aoki,
PRL 103, 156804 (2009)

Optical Hall conductivity

(only ripples as randomness in free standing graphene)

with random hopping



Anomaly at $n=0$ LL

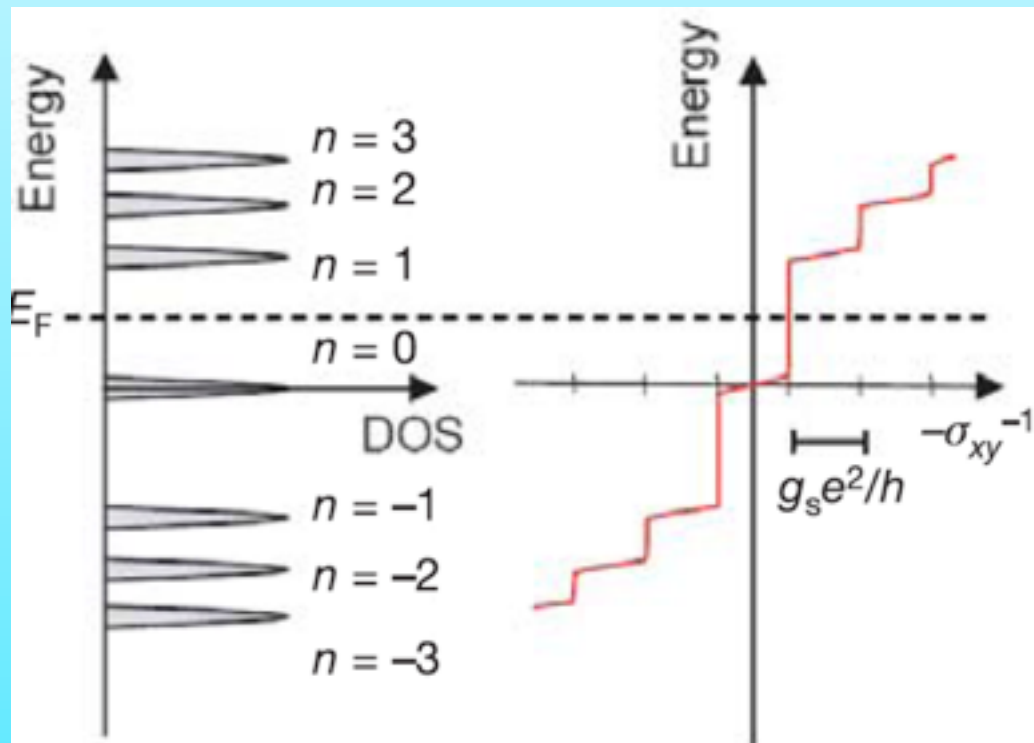
Topological aspects of graphene : Bulk

- ★ *Berry connection of the filled Dirac sea*
- ★ *Lattice gauge fields in a parameter space*

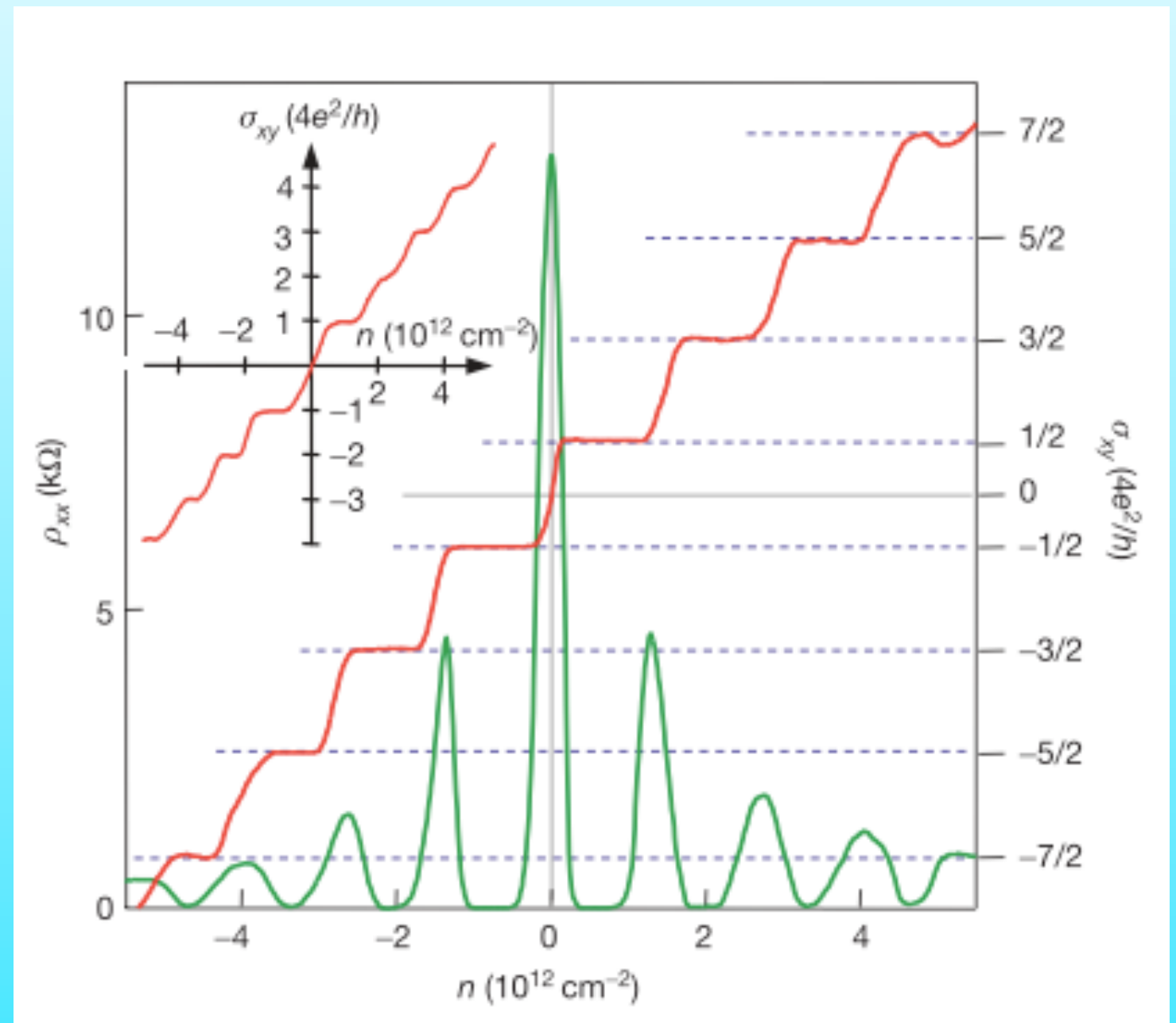
Observation of Anomalous QHE in Graphene

★ Anomalous QHE of gapless Dirac Fermions

$$\sigma_{xy} = \frac{e^2}{h} (2n + 1), \quad n = 0, \pm 1, \pm 2, \dots$$
$$= 2 \frac{e^2}{h} \left(n + \frac{1}{2} \right)$$



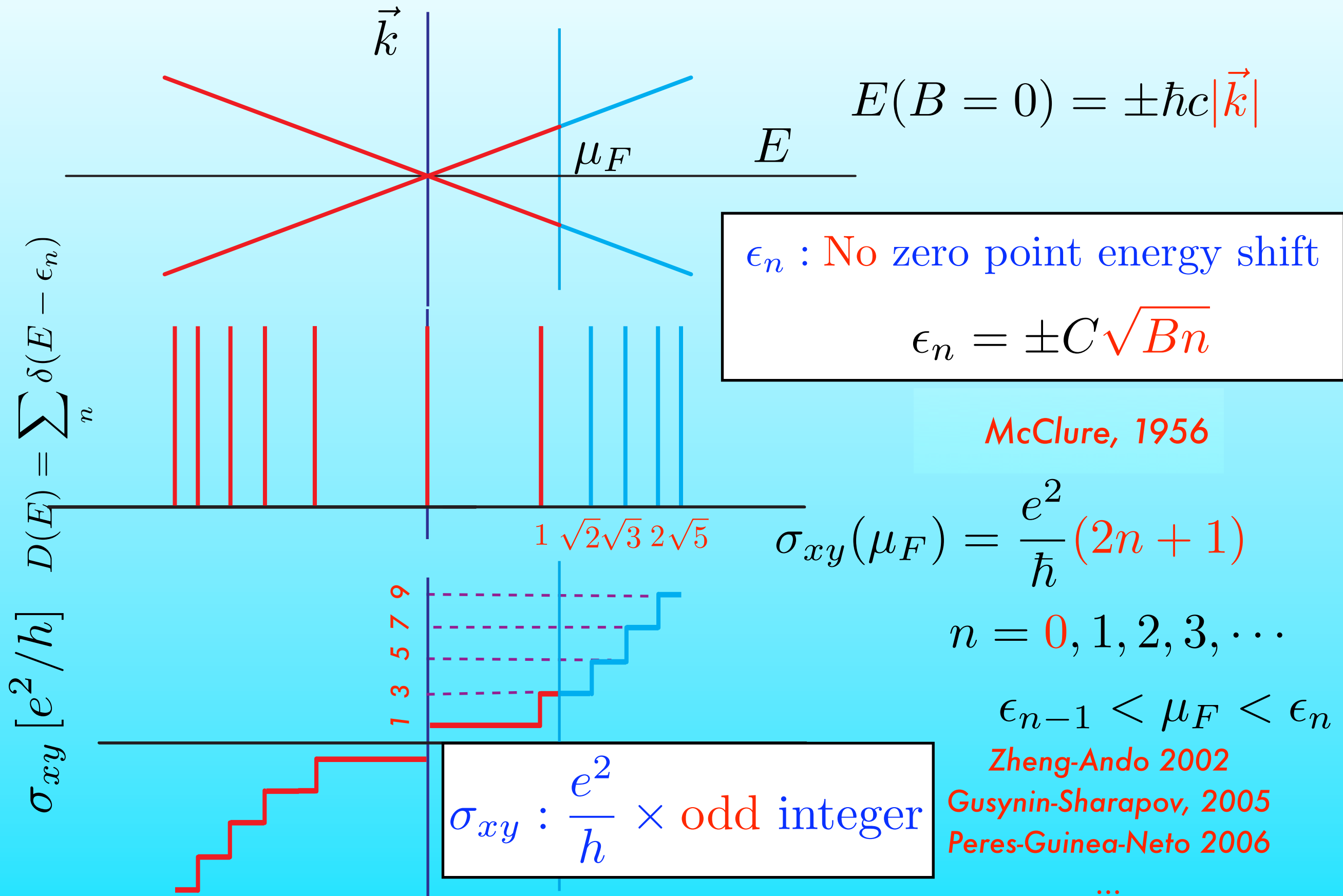
Zhang et al. Nature 2005



Novoselov et al. Nature 2005

QHE of Graphene (Gapless Semiconductor)

★ Landau Level of *Doubled Dirac Fermions*



Theoretical Background

Why the QHE of graphene is interesting ?

Topological Insulators of Dirac sea

Topological Insulators

Gapped Quantum Liquids
Featureless !!

Use **Geometrical Phases** of the Quantum states
To characterize the topological insulators

- ✓ **Berry phases**
- ✓ **Chern numbers**
1st, 2nd, ...

multi-component : non Abelian
✓ **Berry connections**

$$A = \Psi^\dagger d\Psi = \Psi^\dagger \partial_\mu \Psi dx^\mu$$

$$\Psi = (|\psi_1\rangle, \dots, |\psi_M\rangle)$$

Berry Connection?

Berry '84

Eigenvectors (space)
with Parameters

$$H(x) |\psi(x)\rangle = E(x) |\psi(x)\rangle$$

$$H(y) |\psi(y)\rangle = E(y) |\psi(y)\rangle$$

$H(x)$ and $H(y)$ are independent

a bit Fancy topology

appear in

condensed matter physics & graphene

(Abelian)

Quantum interference

Gauge Transformation

Geometrical quantities

Fiber Bundle

$$A_\psi = A'_\psi + id\Omega = A'_\psi + i \frac{d\Omega}{dx} dx$$

: Berry phase : gauge dependent
mod 2π

1st Chern # gauge invariant

Bulk σ_{xy} of Filled Fermi sea & Dirac Sea

★ Integration of the **Manybody** Berry Connection of the "Fermi Sea" & "Dirac Sea"

Niu-Thouless-Wu'84

$$\mathcal{A} = \langle \Psi | d\Psi \rangle$$

$|\Psi\rangle$: manybody state

$$\sigma_{xy} = \frac{e^2}{h} \frac{1}{2\pi i} \int_{T^2} d\mathcal{A}$$

When non-interacting, $|\Psi\rangle = (c^\dagger \psi_1) \cdots (c^\dagger \psi_M) |0\rangle$ Filled Dirac sea

Collect M states below the Fermi level

$$\mathcal{A} = \langle \Psi | d\Psi \rangle = \text{Tr } A_D$$

many body one body matrix valued

$$A_D \equiv \Psi^\dagger d\Psi = \begin{pmatrix} \langle \psi_1^\dagger | d\psi_1 \rangle & \cdots & \langle \psi_1^\dagger | d\psi_M \rangle \\ \vdots & \ddots & \vdots \\ \langle \psi_M^\dagger | d\psi_1 \rangle & \cdots & \langle \psi_M^\dagger | d\psi_M \rangle \end{pmatrix}$$

TKNN '82 Chern #'s of one body states

$$\sigma_{xy} = \frac{e^2}{h} \frac{1}{2\pi i} \int_{T^2} \text{Tr}_M dA_D$$

$$\Psi = (|\psi_1\rangle, \cdots, |\psi_M\rangle)$$

Non Abelian extension for the Chern numbers

We just care sum of the Chern numbers not each of them

YH '04

Numerical advantage for graphene : L.L. of Filled Dirac sea

Numerical Technique from the Lattice gauge theory

★ Topological Invariant on Discretized Lattice

Lattice in k space (discretization for the integral)

Technical Advantage for **large** Chern Numbers

Luscher '82 (Lattice Gauge Theory)
gauge invariant

$$\sigma_{xy} = \frac{e^2}{h} \frac{1}{2\pi i} \sum F_{1234}$$

$$F_{1234} = \text{Im} \log U_{12} U_{23} U_{34} U_{41}$$

Technology 2
Chern number extension of the KSV formula for polarization

Fermi Sea of j filled bands

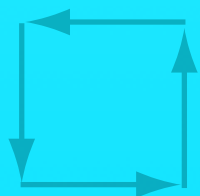


$$\xrightarrow{U_\mu(k_\ell)}$$

$$U_\mu(k_\ell) \equiv \langle n(k_\ell) | n(k_\ell + \hat{\mu}) \rangle / \mathcal{N}_\mu(k_\ell)$$

$$\tilde{F}_{12}(k_\ell)$$

$$\mathcal{N}_\mu(k_\ell) = |\langle n(k_\ell) | n(k_\ell + \hat{\mu}) \rangle|$$



$$\tilde{F}_{12}(k_\ell) \equiv \ln U_1(k_\ell) U_2(k_\ell + \hat{1}) U_1(k_\ell + \hat{2})^{-1} U_2(k_\ell)^{-1}$$

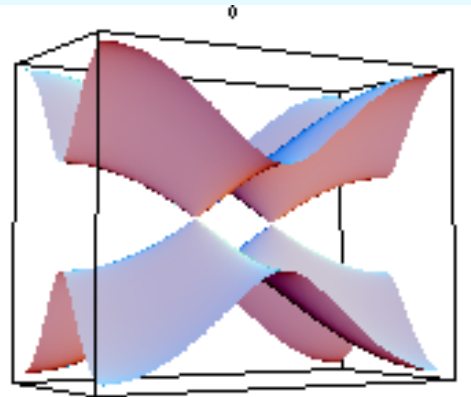
$$-\pi < \tilde{F}_{12}(k_\ell)/i \leq \pi \quad (\text{principal value})$$

Berry phase formula for polarization: King-Smith & Vanderbilt '93

Analogue for the Chern numbers
Fukui-Hatsugai-Suzuki 2005

Hall Conductance vs chemical potential

★ Accurate Hall conductance over whole spectrum



single band model

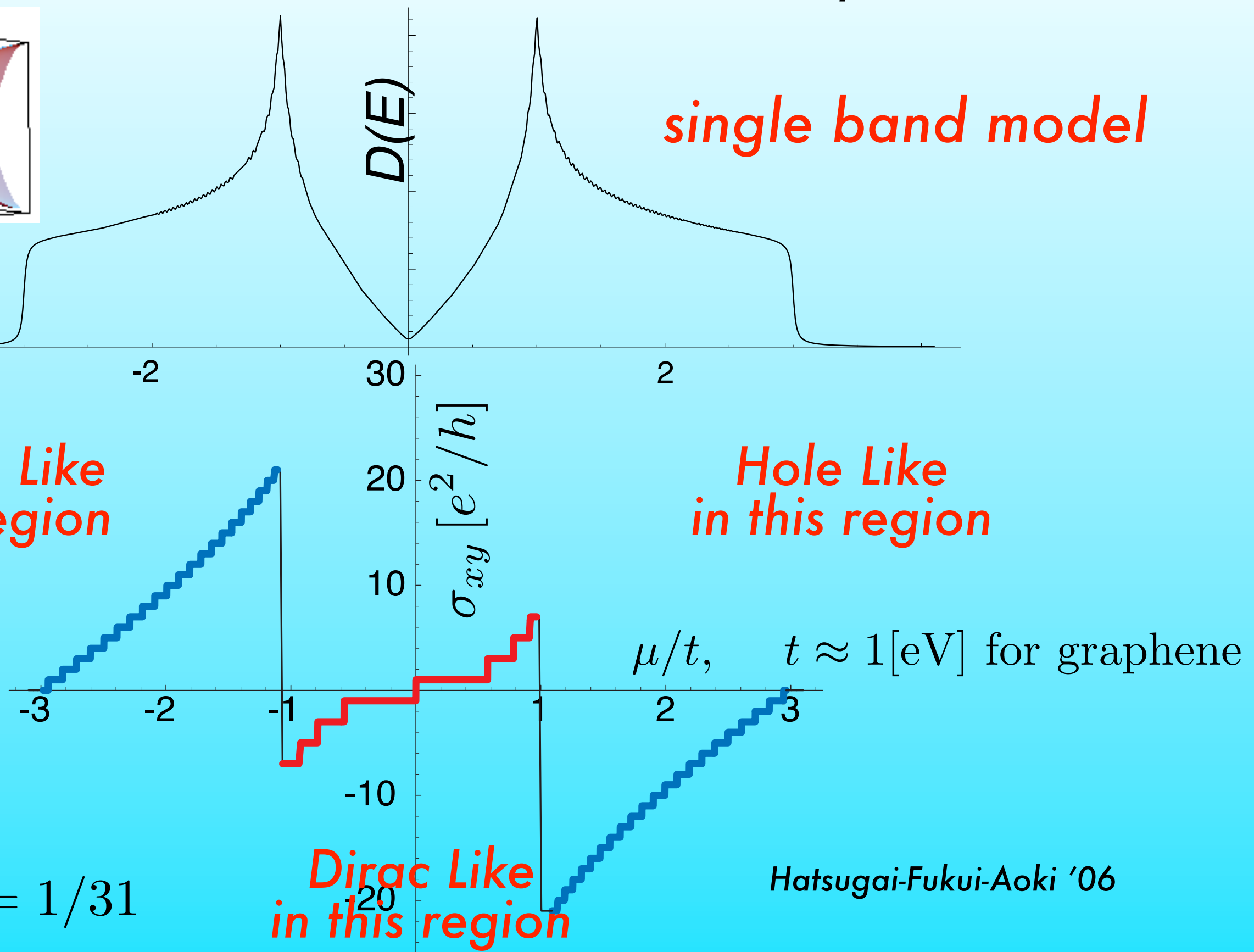
*Electron Like
in this region*

*Hole Like
in this region*

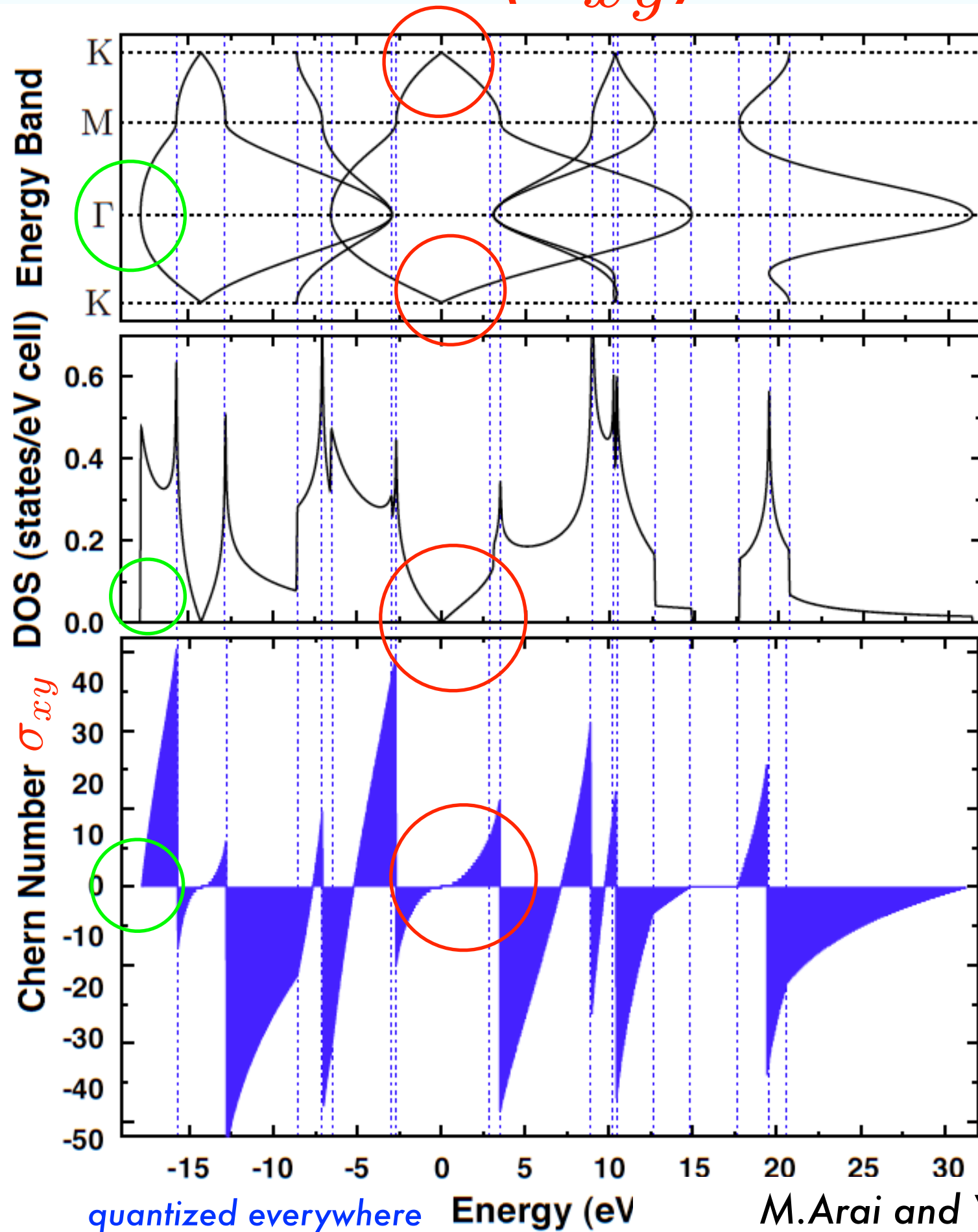
$$\phi = 1/31$$

*Dirac Like
in this region*

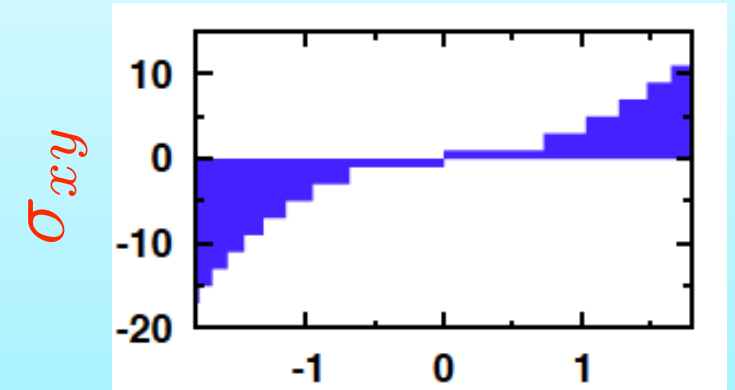
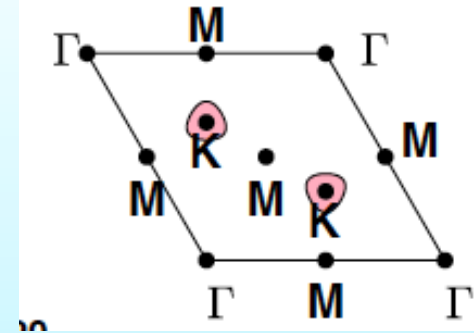
Hatsugai-Fukui-Aoki '06



Chern numbers (σ_{xy}) based on Realistic Band Calc.

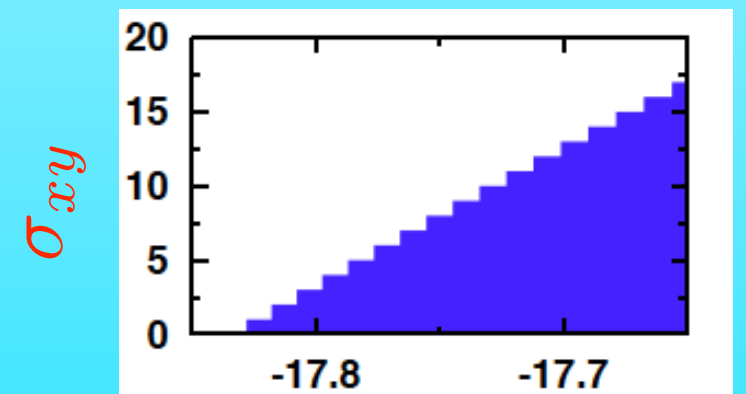
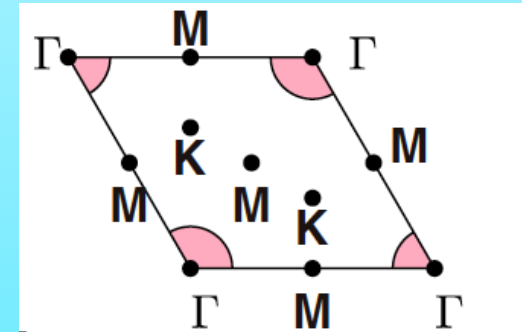


Fermi surface



E_F

Fermi surface



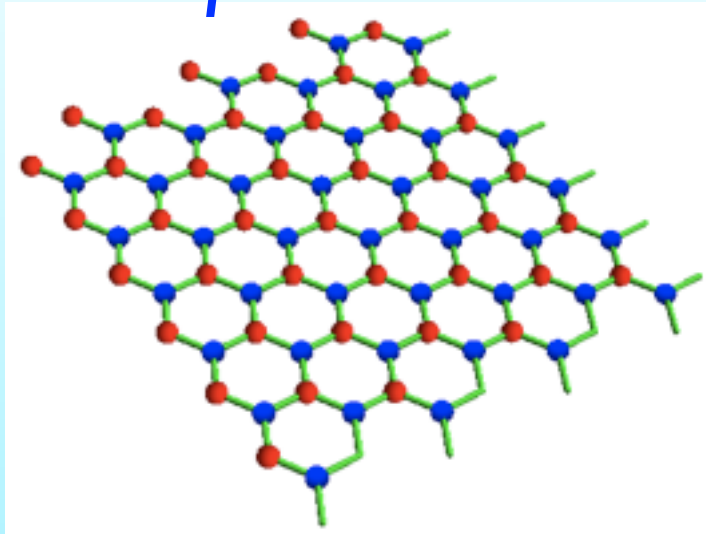
E_F

Topological aspects of graphene : Edge

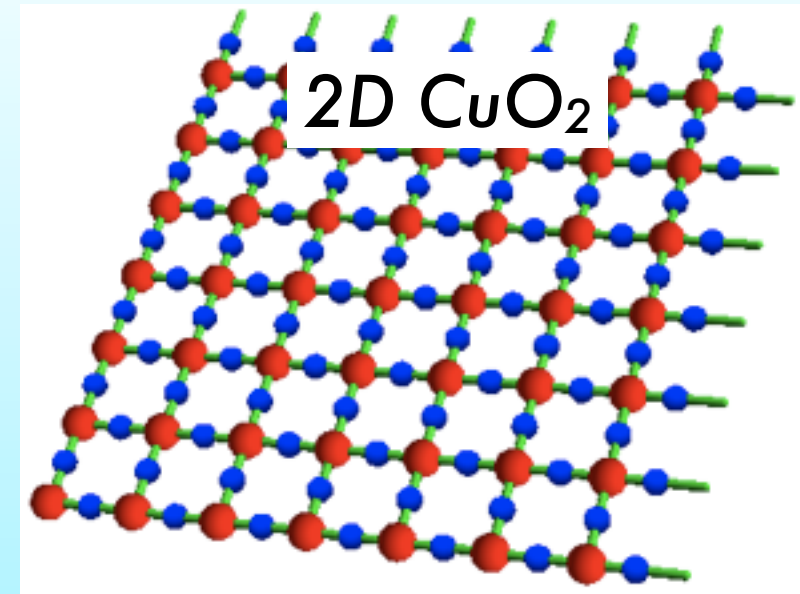
- ★ *Zero modes at the zigzag & bearded edges*
- ★ *Bulk-Edge correspondence for Dirac sea in a magnetic field*
- ★ *Bulk-Edge correspondence for other phenomena*

Topological Universality for zero modes of Dirac Fermions

Graphene



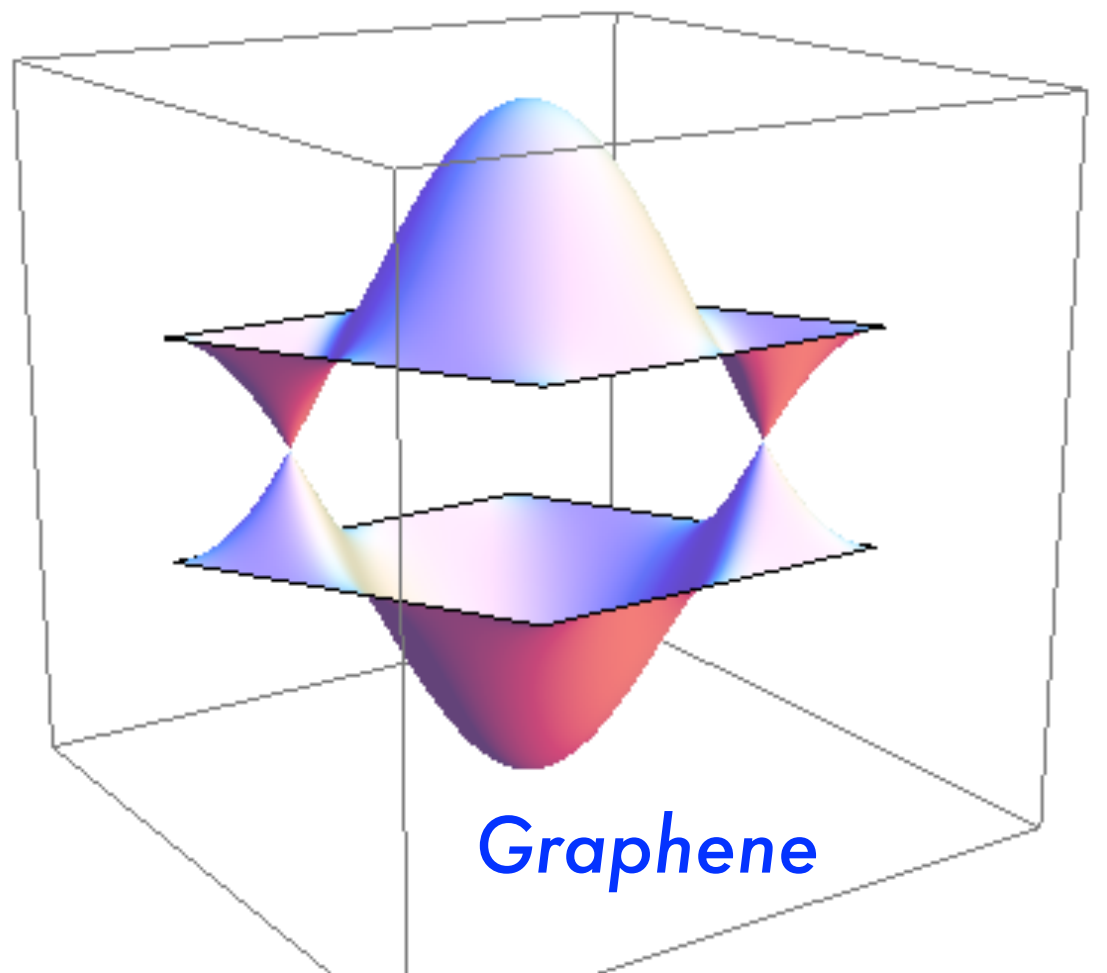
2D Dirac fermions :
Edge States



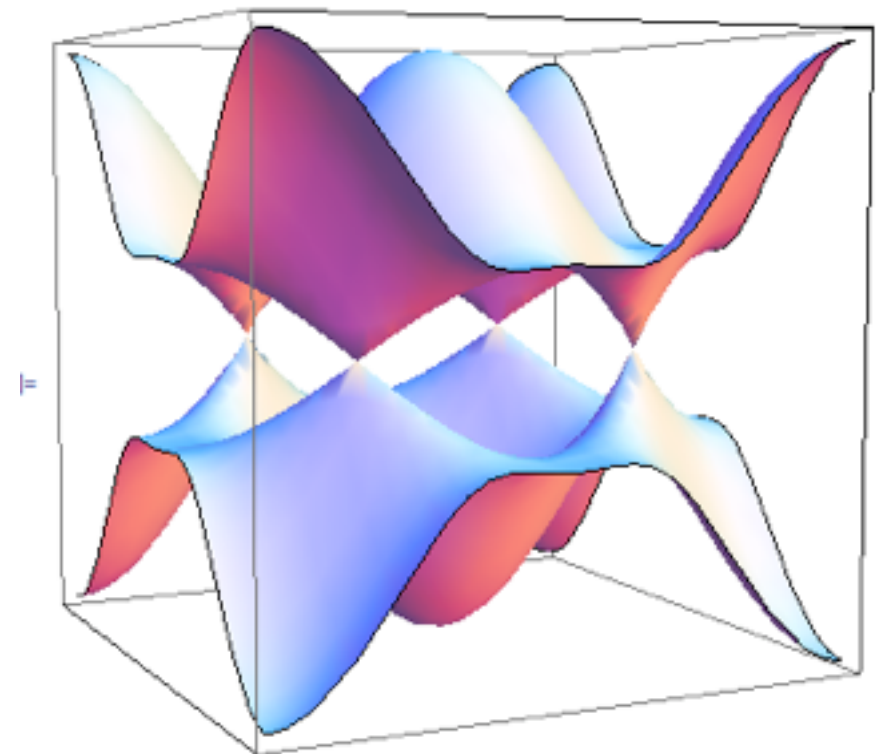
Zero mode localized states

YH, '09 (review)

Graphene



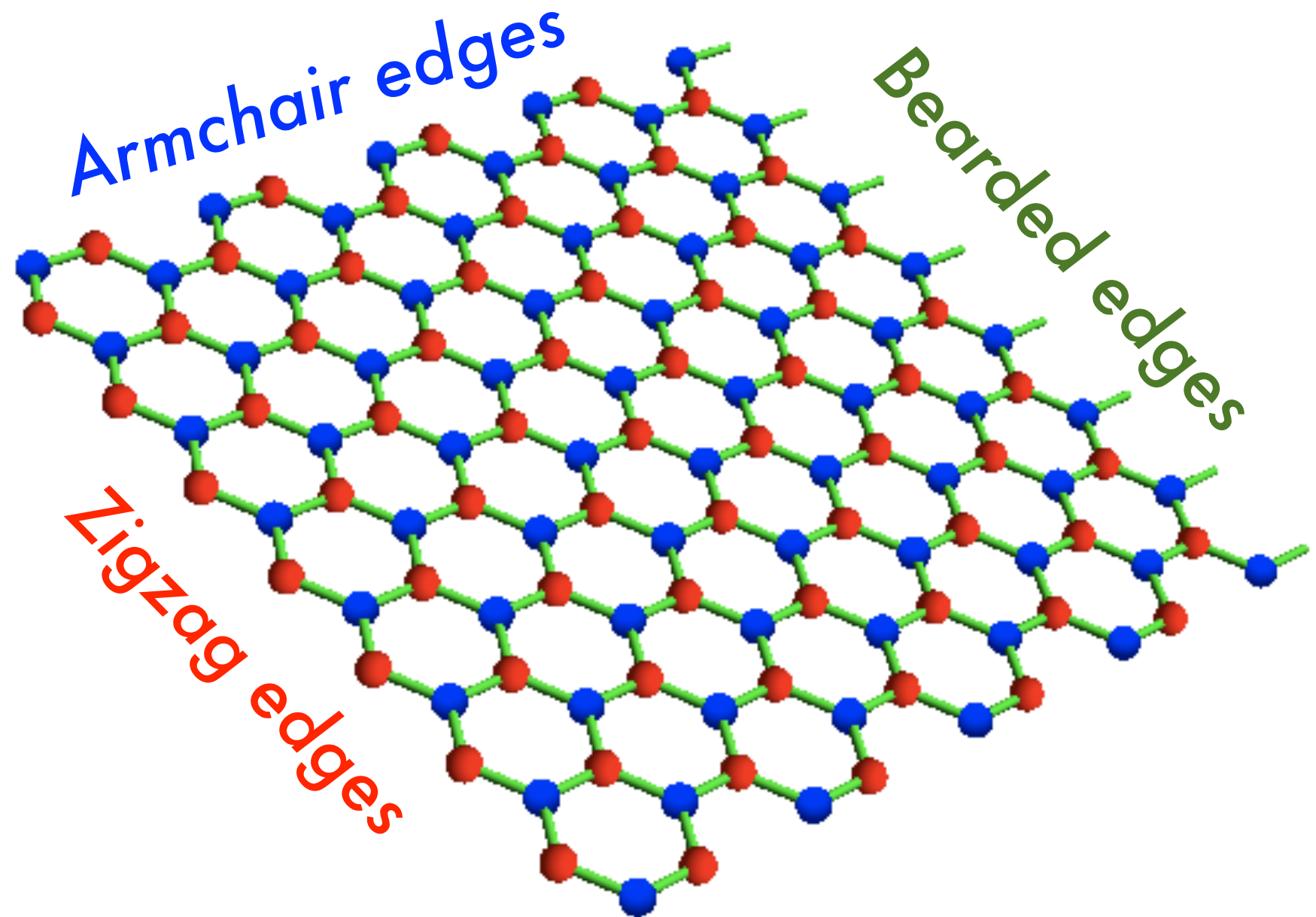
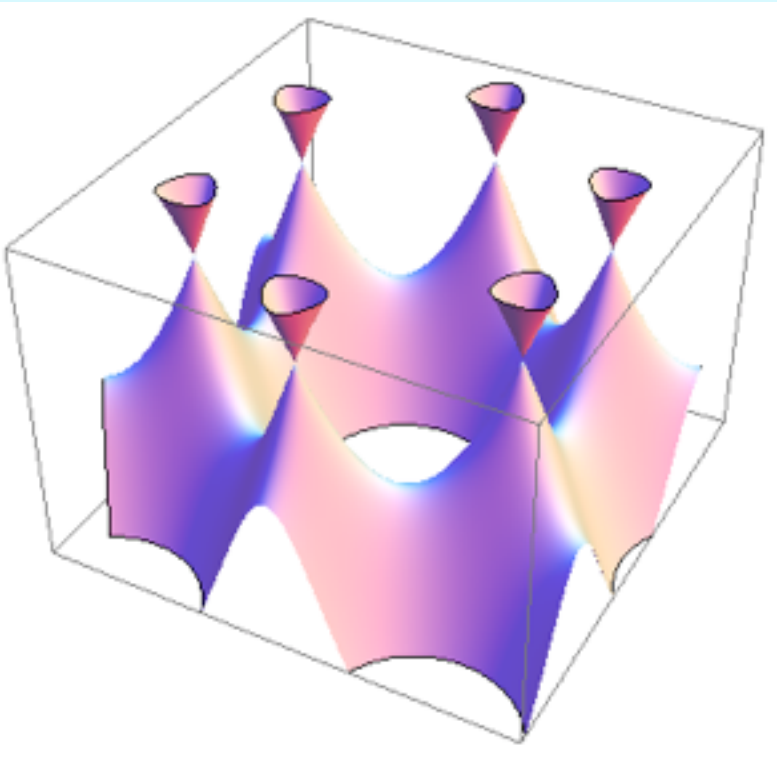
d-wave superconductor



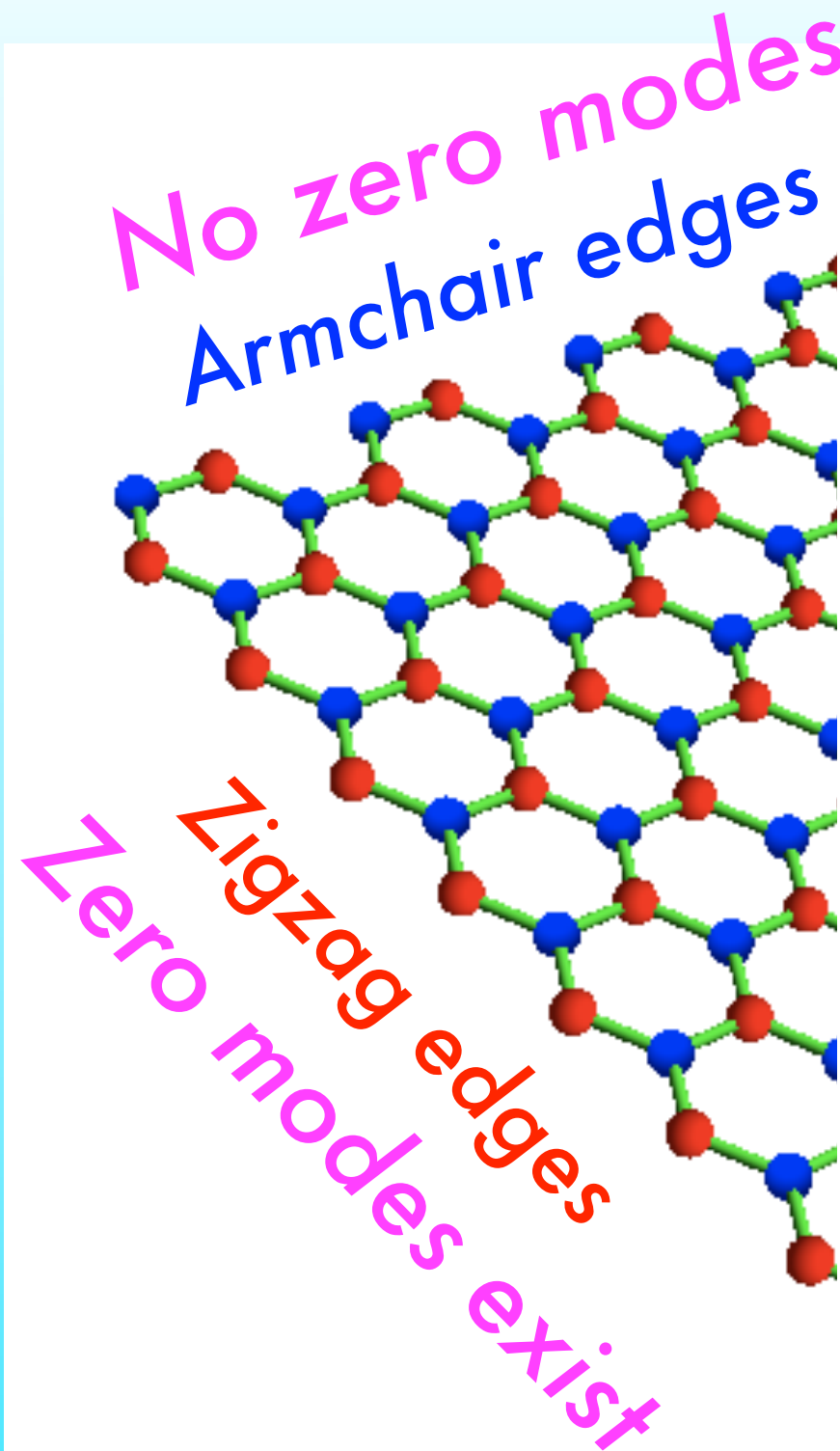
Zero mode localized states ??

Graphene

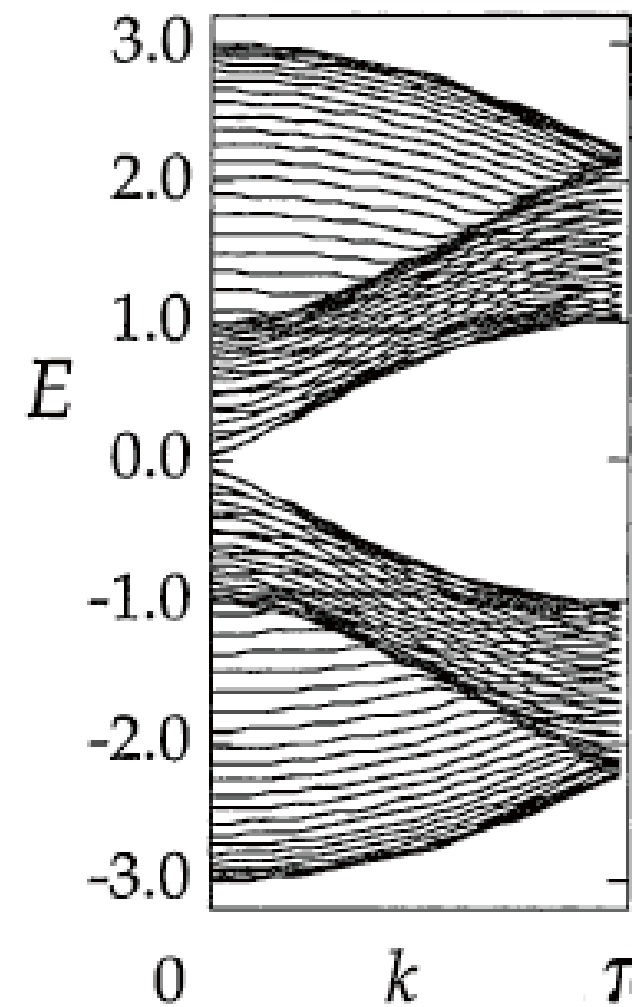
Several types in edges



Zero mode localized states ??

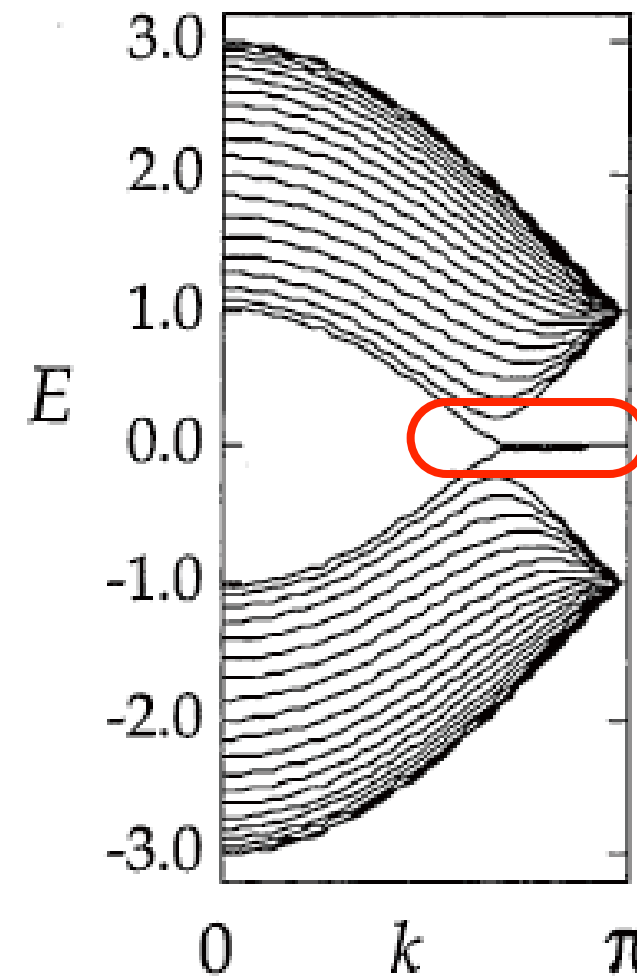


(a) Armchair edges



(b)

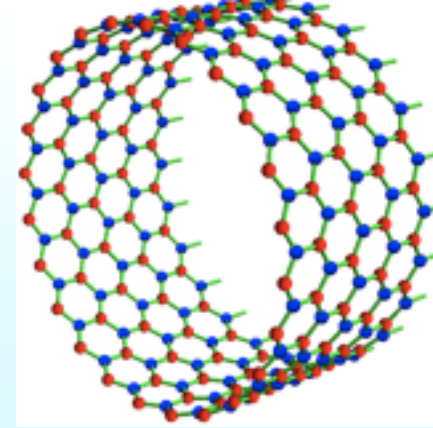
Zigzag edges



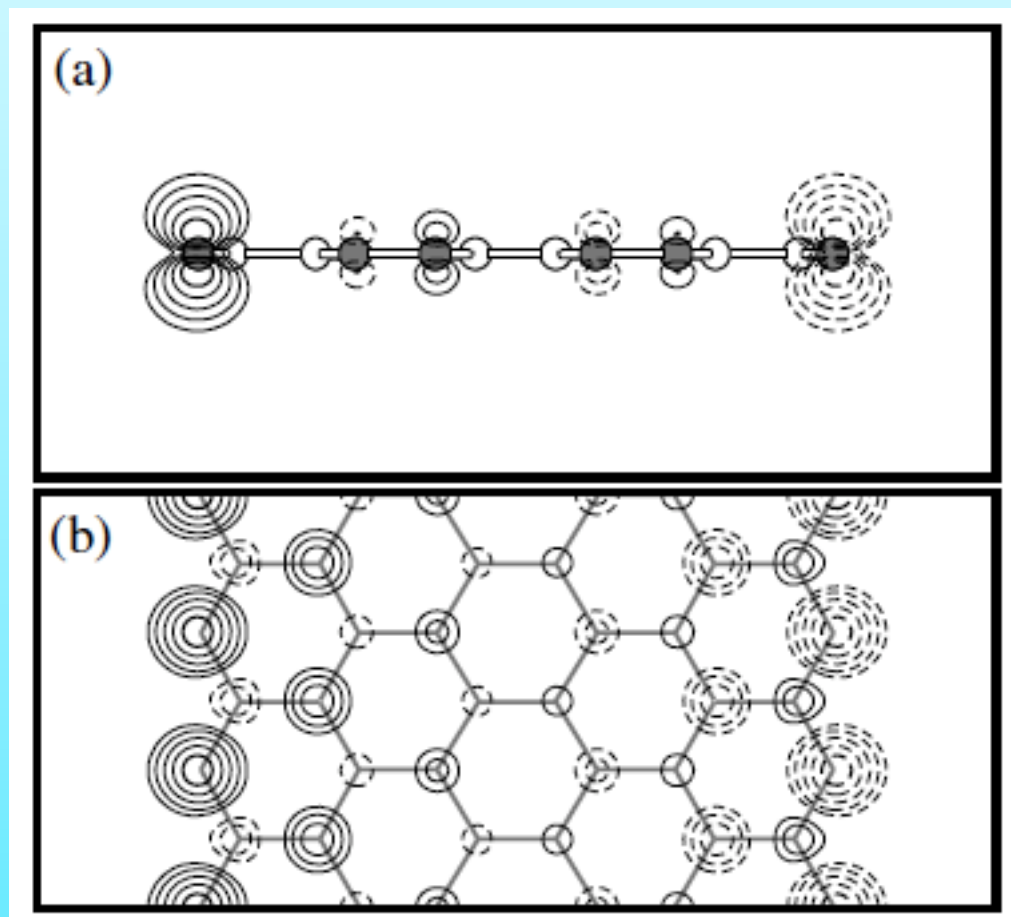
Fujita et al., J. Phys. Soc. Jpn. 65, 1920 (1996),

Fujita et al., '96

It's real !

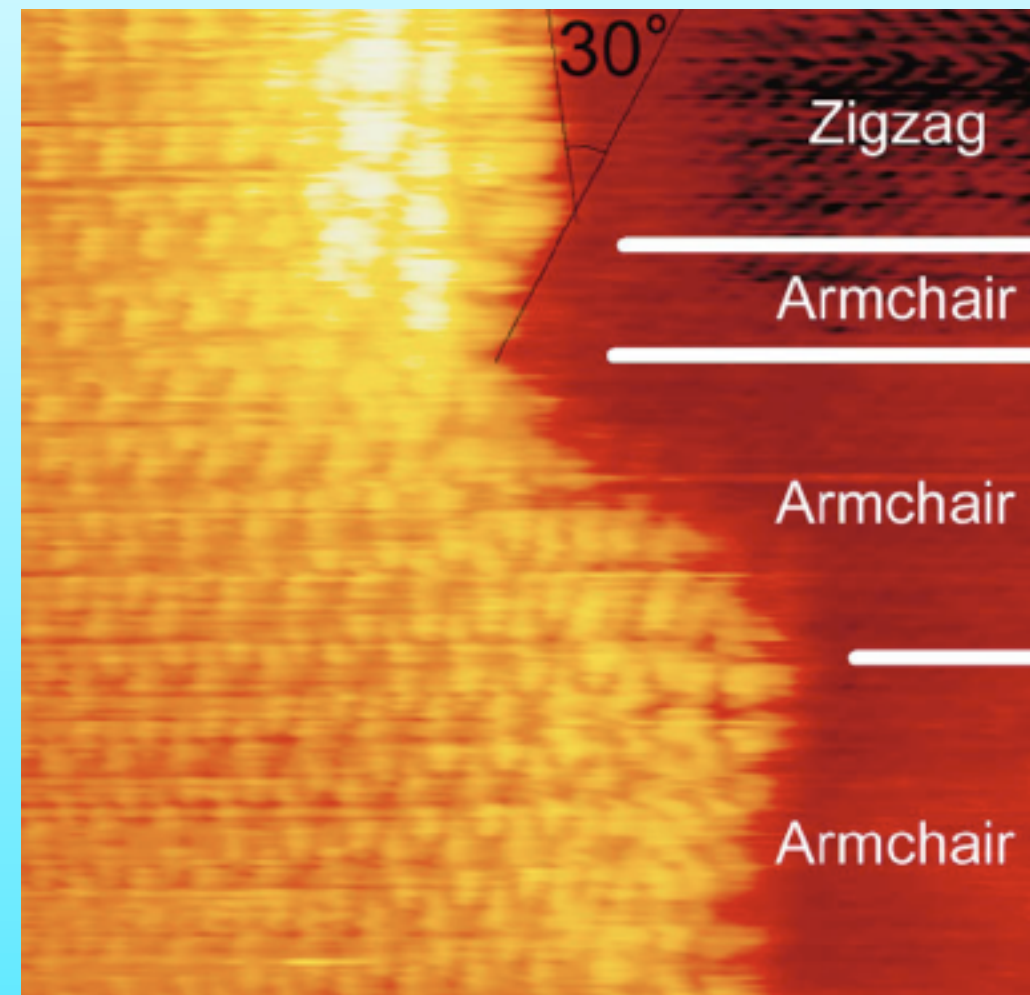


First principle calculation



Okada and Oshiyama,
Phys. Rev. Lett. 87, 146803 (2001)

STM image

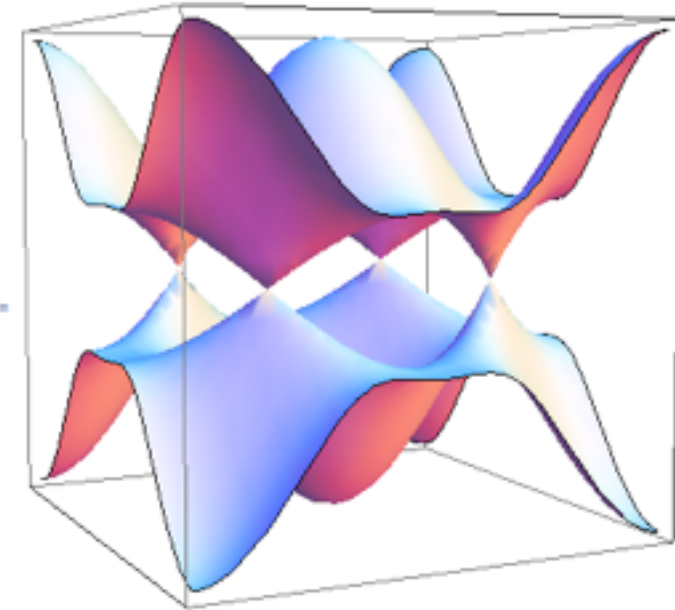
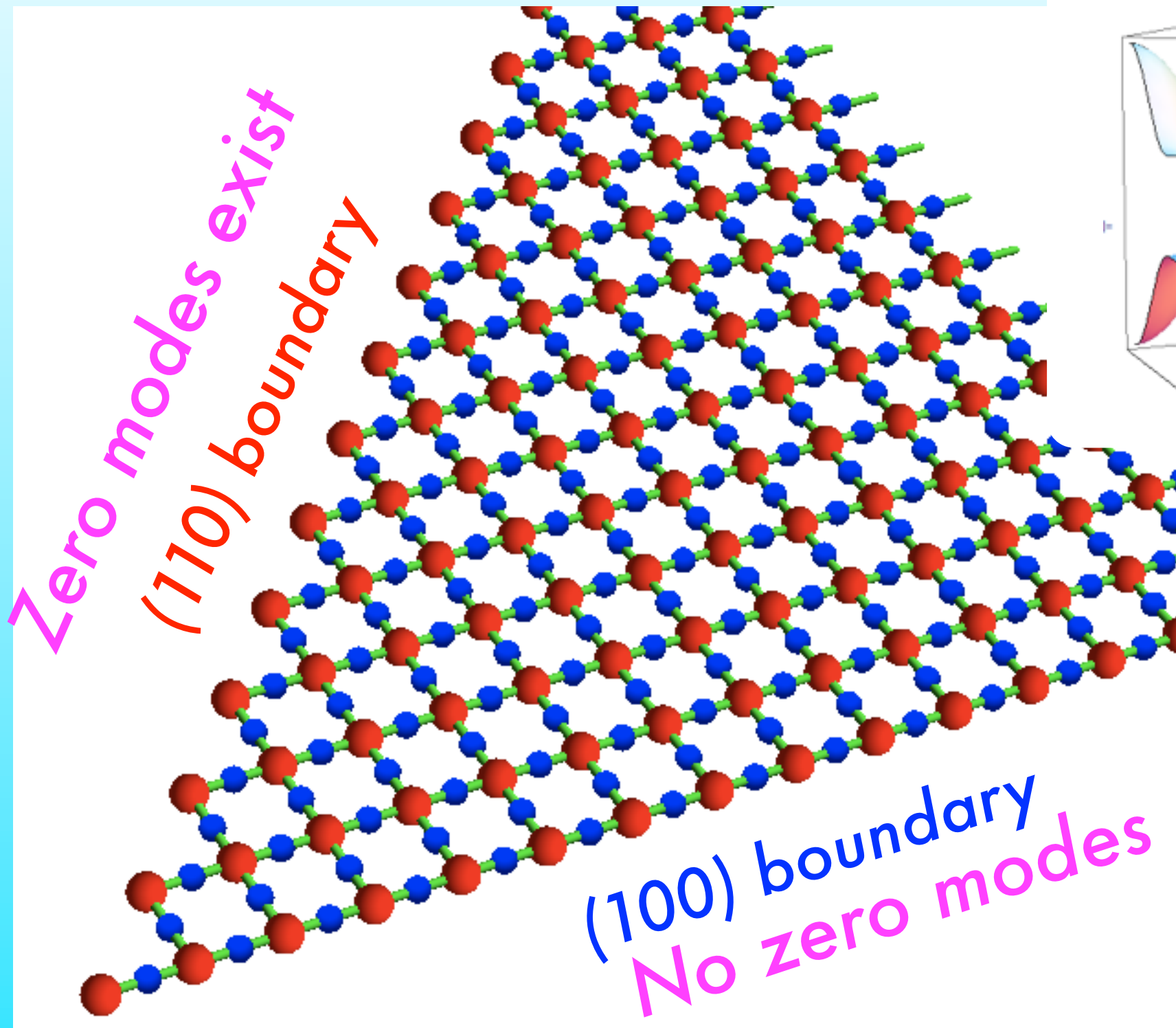


Kobayashi et al,
Phys. Rev. B 71, 193406 (2005)

Zero mode localized states as Andreev bound state

d-wave superconductor

d-wave superconductor



Andreev
bound states

Hu, '94

Zero Bias Conductance Peak d-wave superconductivity in Anisotropic Superconductivity

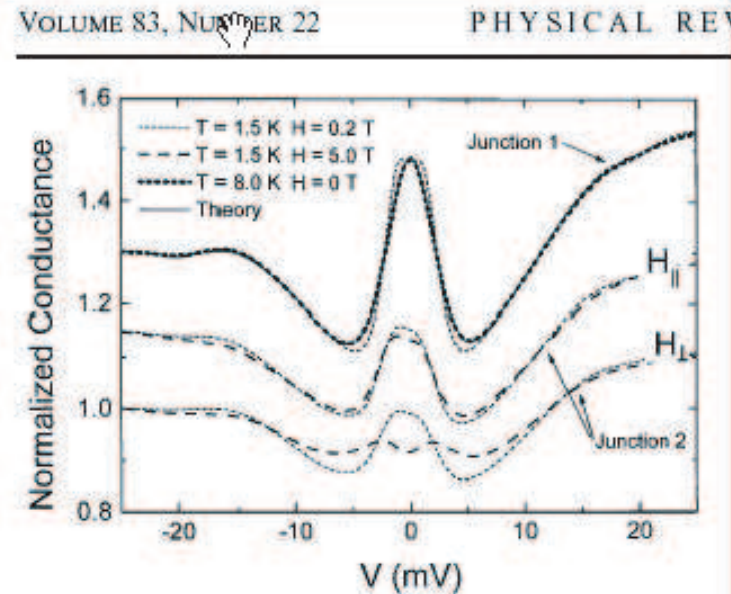
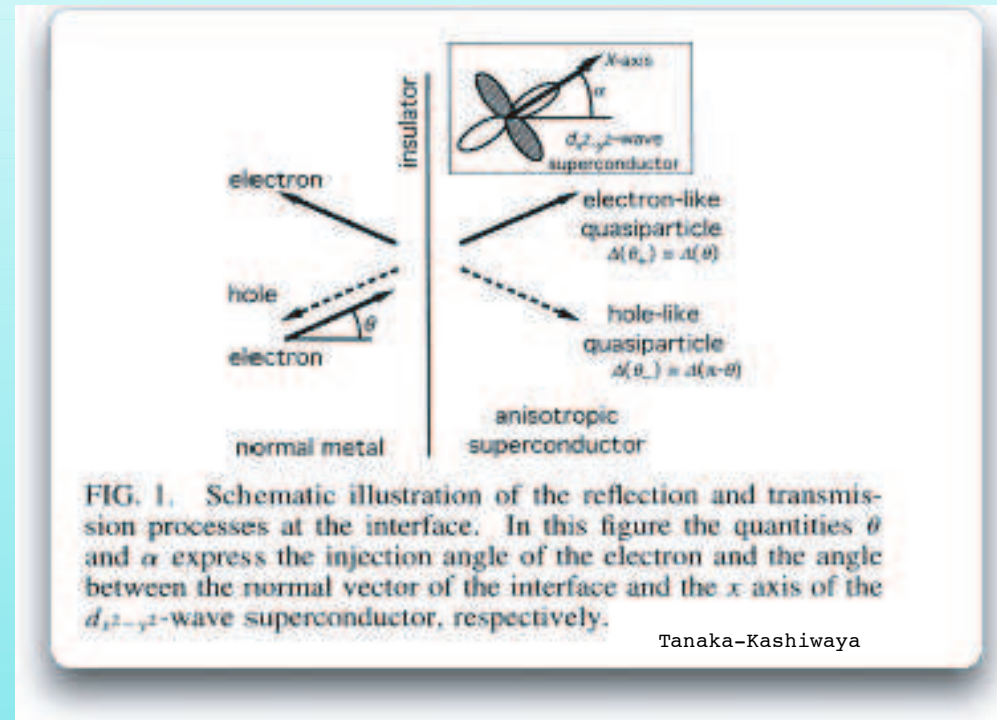


FIG. 1. The temperature dependence of the in-plane tunneling conductance of (110)-YBCO/Pb junctions as function of bias and magnetic field is shown. The field H is always applied parallel to the junction interface, and either parallel or perpendicular to the YBCO ab planes, as labeled. The theoretical curve (solid line) is calculated using the FRS theory [11], as described in the text. For junction 2, low-temperature spectra for low and high applied magnetic field are shown. Note the field-induced splitting in the ZBCP is strongly anisotropic with respect to the field orientation. Data obtained on junction 1 show reproducibility between junctions for data taken at low temperature and field ($T = 1.5$ K, $H = 0.2$ T). Zero-field data taken at a temperature above the T_c of Pb is also shown for junction 1.

Zero Energy Boundary States of Anisotropic Superconductivity



L. J. Buchholtz, G. Zwicknagl, Phys. Rev. B 23, 5788 (1981) (p wave)

C.-R. Hu, Phys. Rev. Lett. 72, 1526 (1994) (d wave)

S. Kashiwaya, Y. Tanaka, Phys. Rev. Lett. 72, 1526 (1994)

M. Matsumoto and H. Shiba, JPSJ, 1703 (1995)

(fig.) M. Aprili, E. Badica, and L. H. Greene, Phys. Rev. Lett. 83, 4630 (1999)

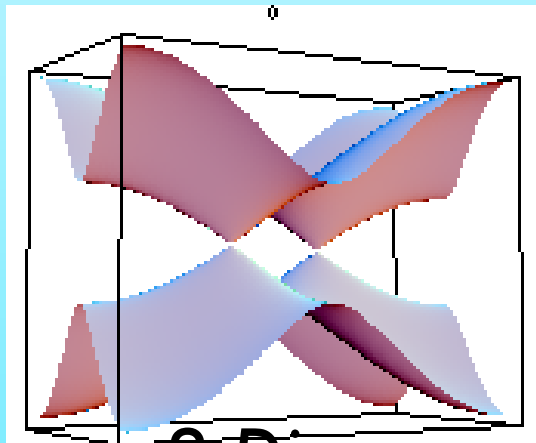
Universality of Zero Energy Edge States

'02-'04 S. Ryu & YH

☑ Zero energy edge states of graphene

☑ Andreev bound states of d-wave superconductors

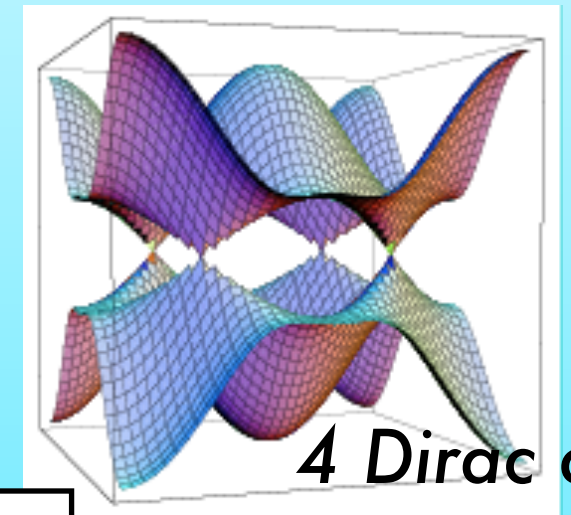
graphene



2 Dirac cones

These 2 systems are
topologically equivalent

d-wave superconductor



4 Dirac cones

Γ : **Bipartite**
(A-B sublattice
symmetry)

Symmetry protected
Zero modes of Dirac fermions
: 1D Flat Band of edge states

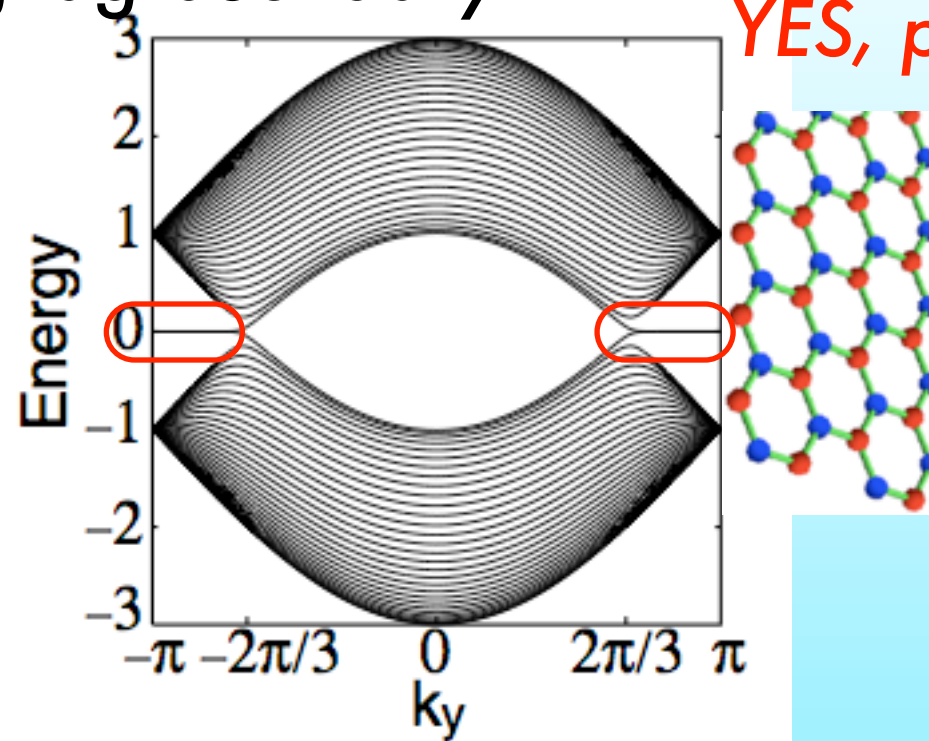
Γ : **Time Reversal**
(Real
Order parameter)

$$\exists \Gamma \text{ chiral symmetry}$$
$$\{\Gamma, H\} = \Gamma H + H\Gamma = 0, \quad \Gamma^2 = 1$$

Are there zero mode edge states ? **YES** or **NO**

Graphene

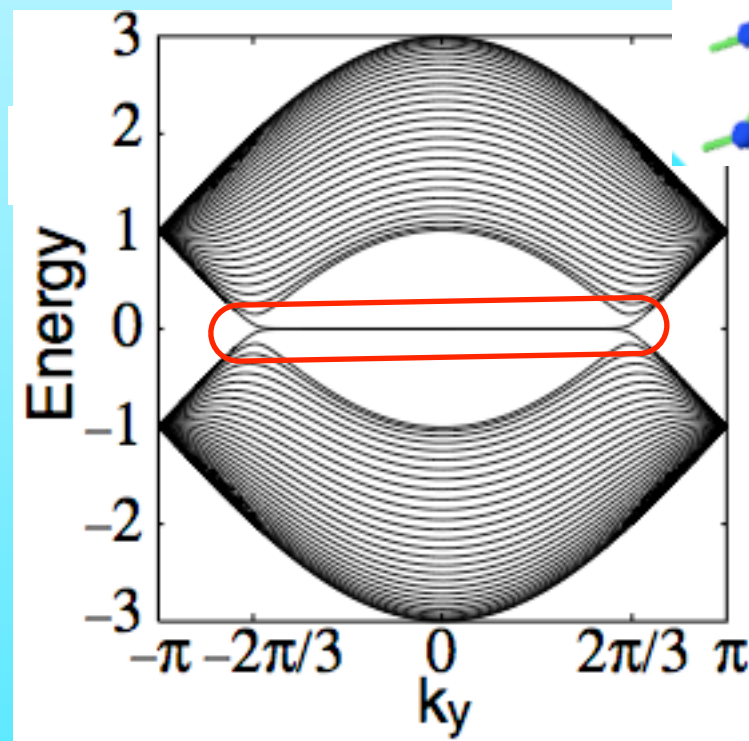
zigzag boundary



YES, partially

$$\frac{2\pi}{3} < k_y < \frac{4\pi}{3}$$

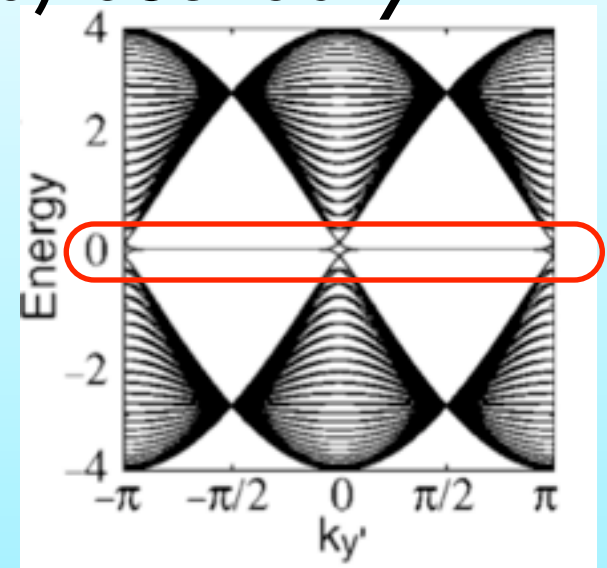
bearded boundary



YES, partially
 $|k_y| < \frac{2\pi}{3}$

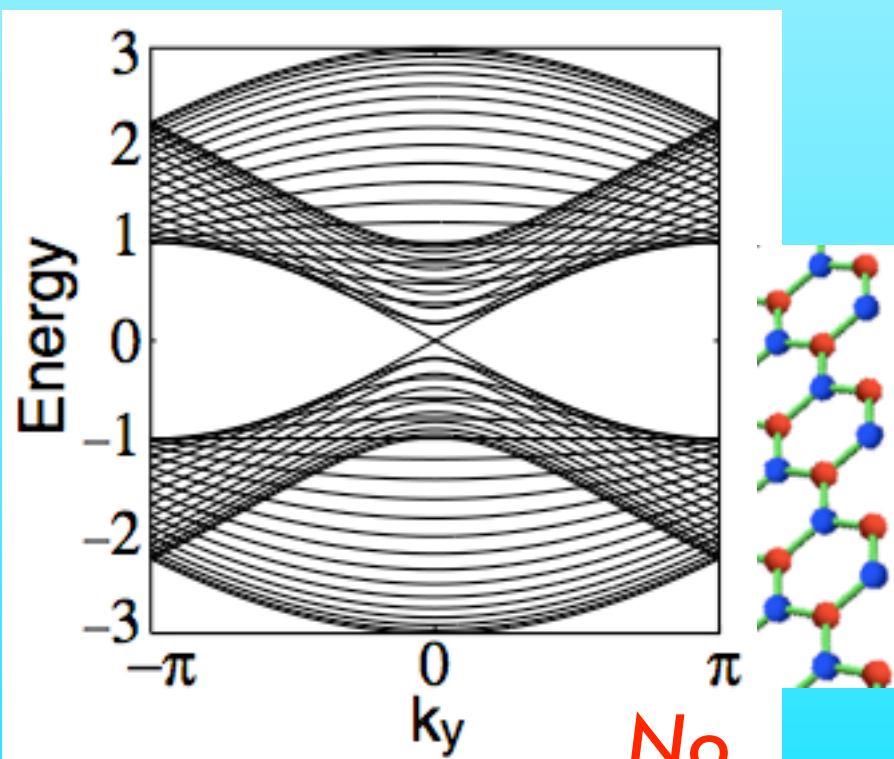
d-wave superconductivity

(110) boundary



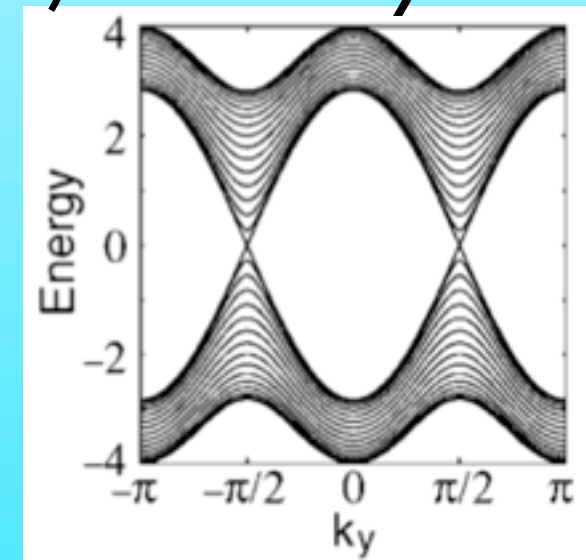
YES always

Armchair boundary



No

(100) boundary



No

Z_2 Berry phases determine the zero modes

Lattice analogue of Witten's SUSY QM

S.Ryu & Y.Hatsugai, '02
YH'06

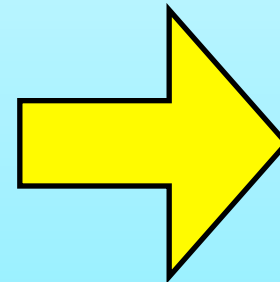
Berry phase for each k_y

$$\gamma(k_y) = \int_{k_y:\text{fixed}} dk_x A(k_x, k_y)$$

$$A = \langle \psi(k) | \nabla_k \psi(k) \rangle \quad \text{Zak}$$

Require Local Chiral Symmetry
(ex. bipartite)

$$\{\Gamma, H\} = \Gamma H + H\Gamma = 0$$

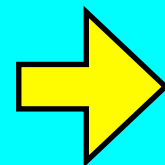


Z_2 quantization 1D

$$\gamma(k_y) = \begin{cases} \pi \\ 0 \end{cases}$$

c.f. Z_2 in 3D TR inv. case

$$\gamma(k_y) = \pi$$



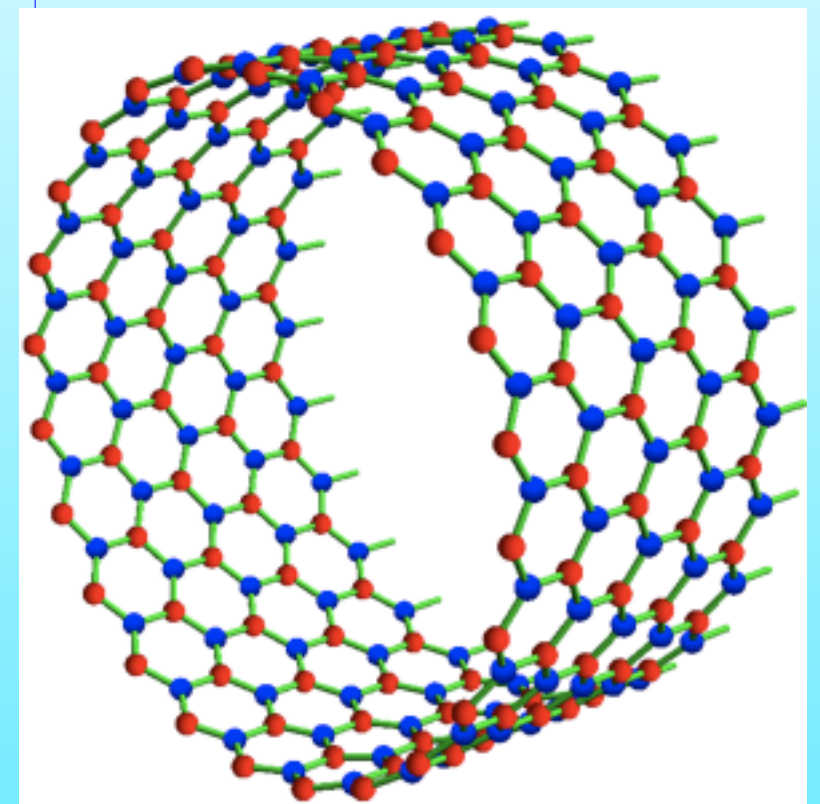
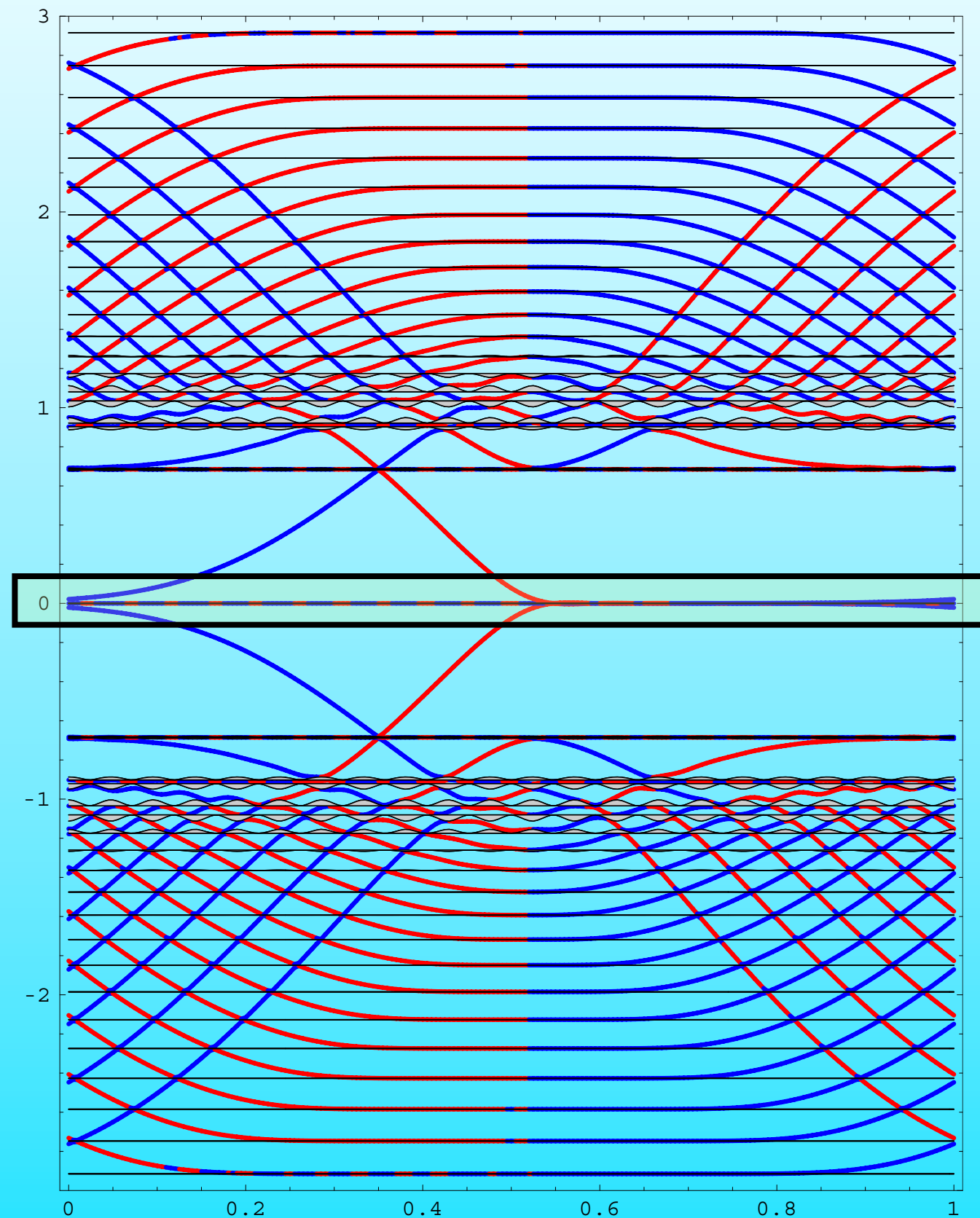
Zero energy localized states EXIST

: There exists odd number of zero modes

Bulk-edge correspondence: "Bulk determines the edges"

With magnetic field

M. Arikawa, H. Aoki & YH, Phys. Rev. B **79**, 075429 (2009)



Landau Levels of
graphene with edges

LDOS around $E=0$ (with Landau Level)

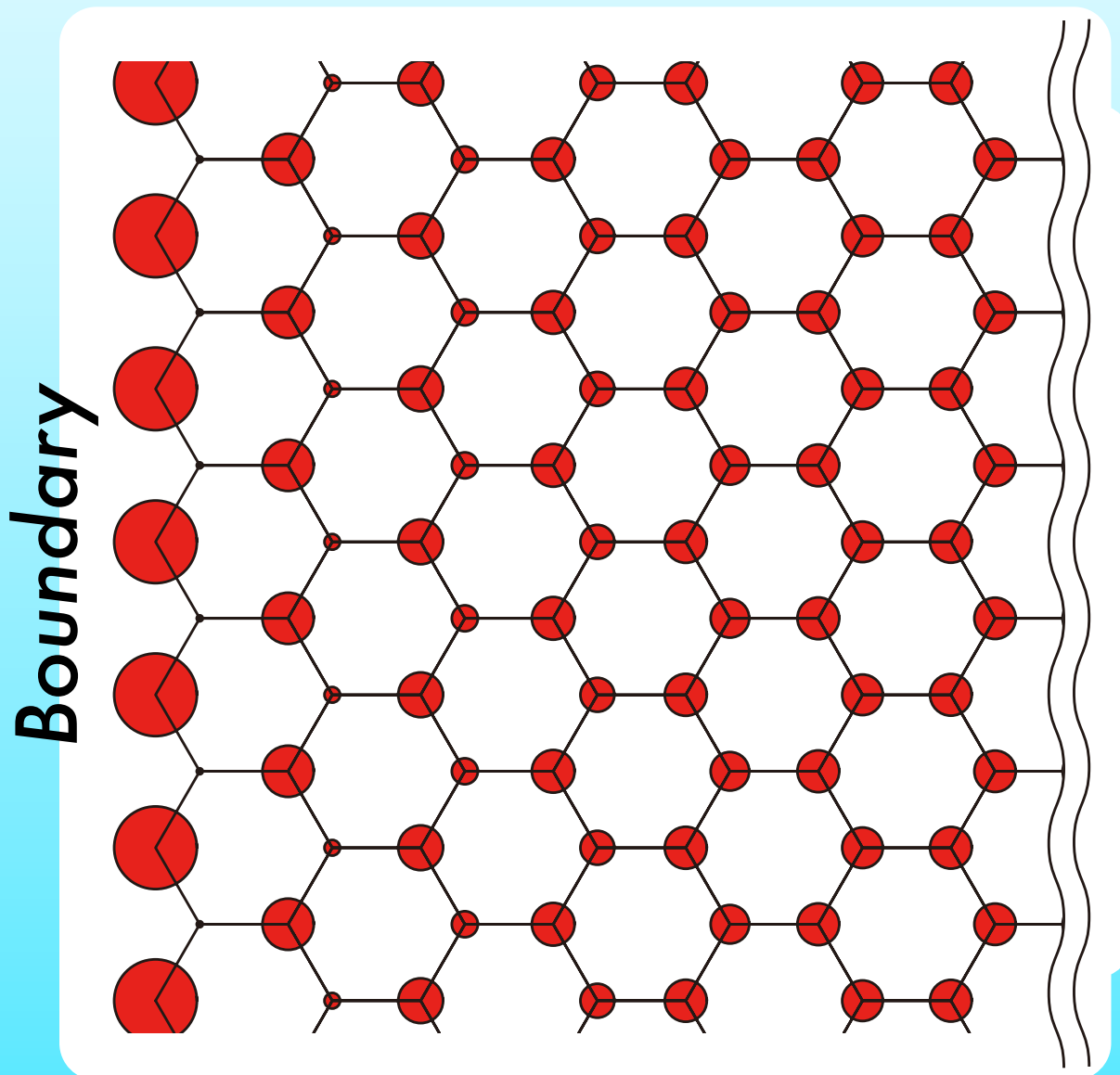
Zigzag

$\longrightarrow x$

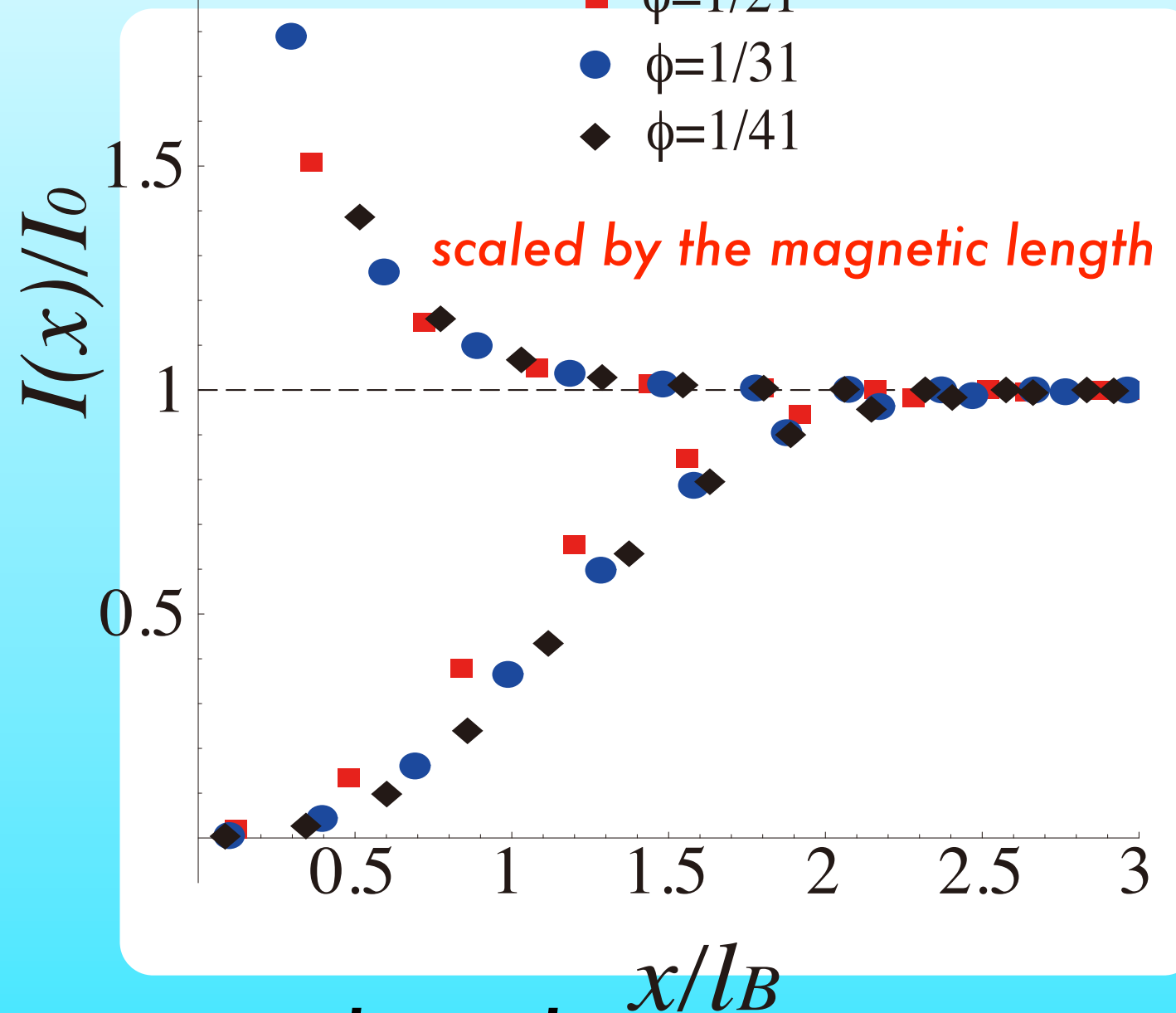
$$I(x) = \frac{1}{2\pi} \int_{-E_C}^{E_C} dE \int_{-\pi}^{\pi} dk_y |\Psi(x, k_y, E)|^2$$

M. Arikawa, H. Aoki & YH

Phys. Rev. B79, 075429 (2009), arXiv: 0806.2429



STM observable



Strong enhancement near the edge

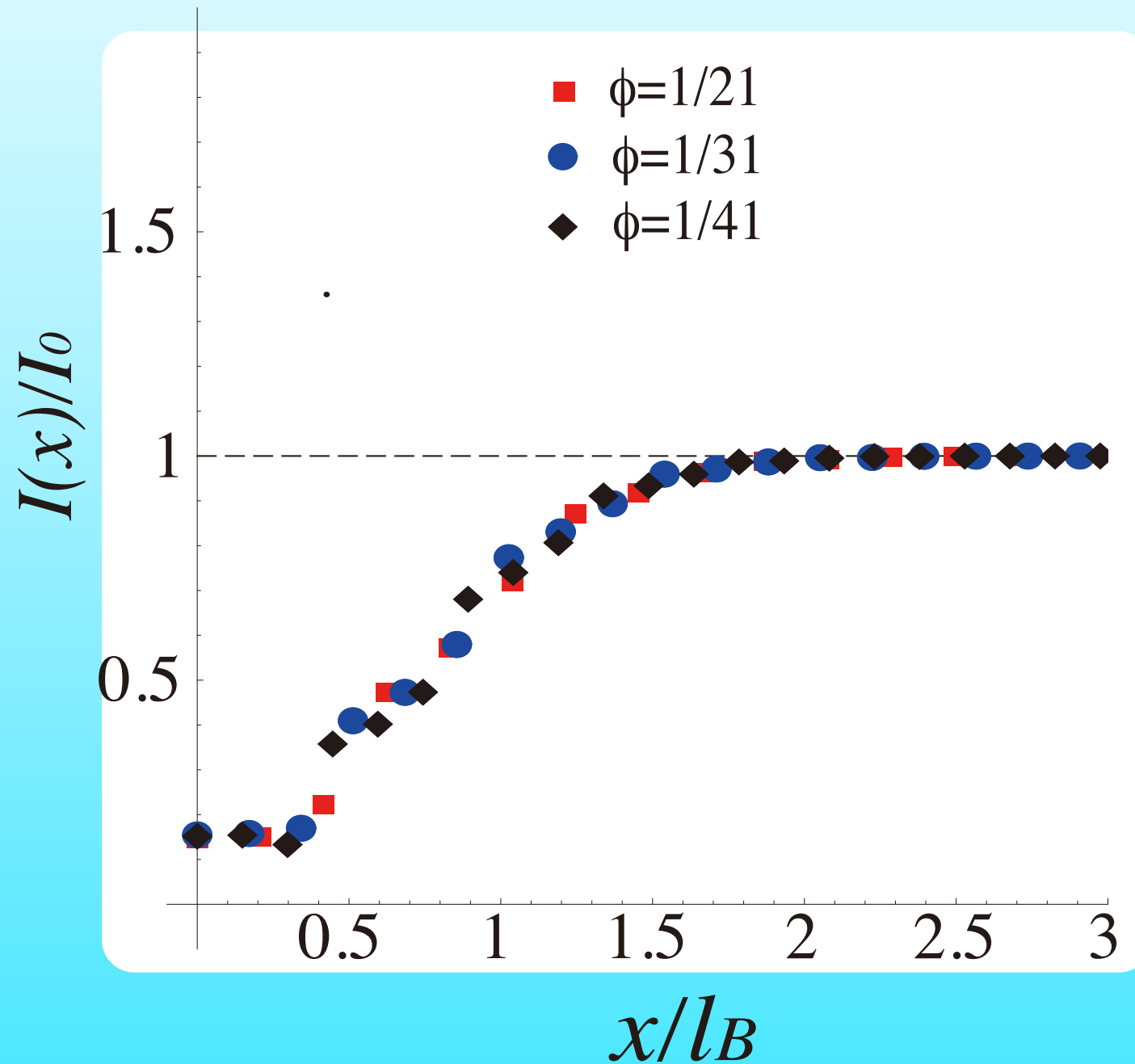
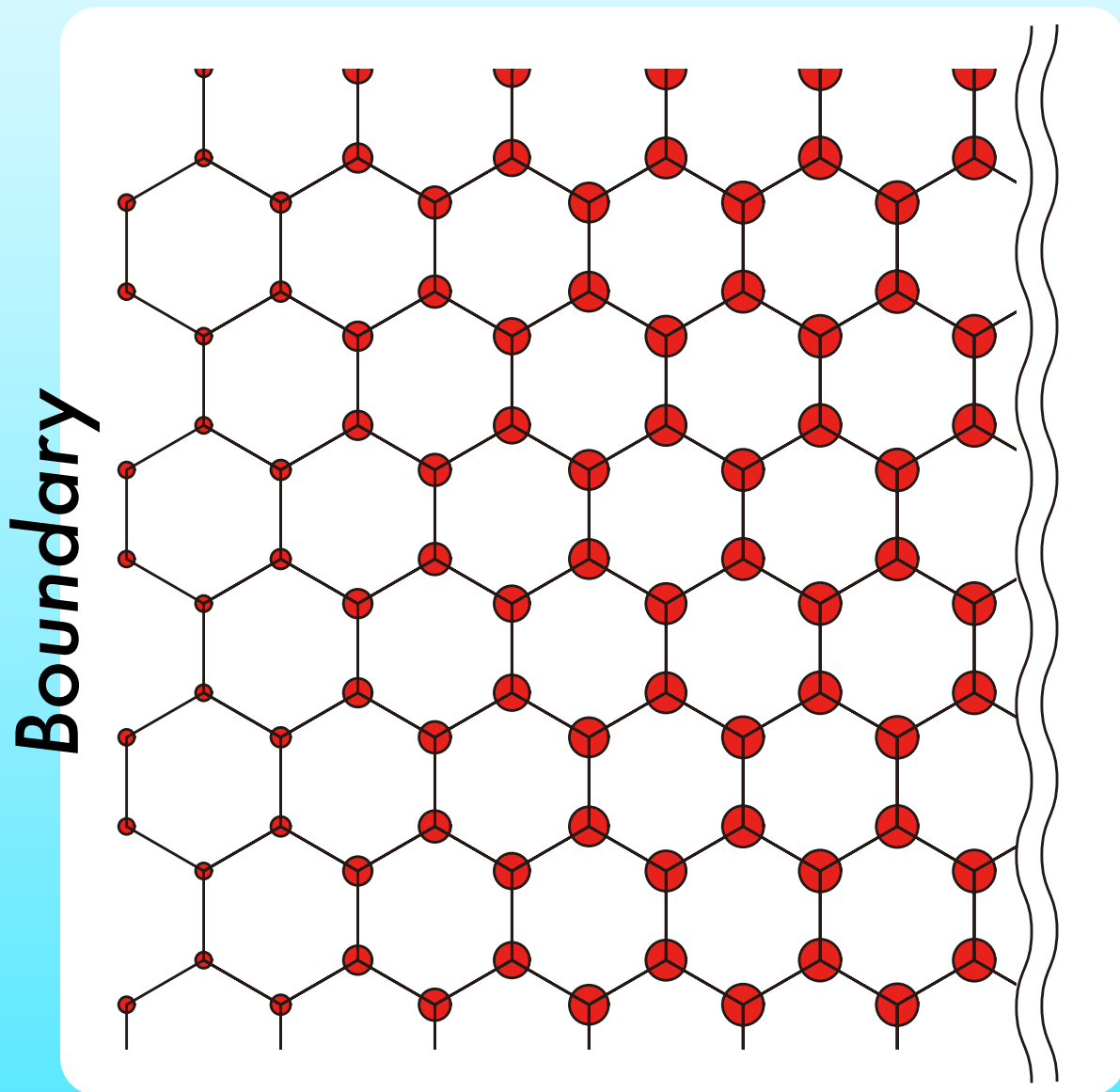
Characteristic feature of the Graphene Zigzag edges!

LDOS around $E=0$ (with Landau Level)

Armchair

$\longrightarrow x$

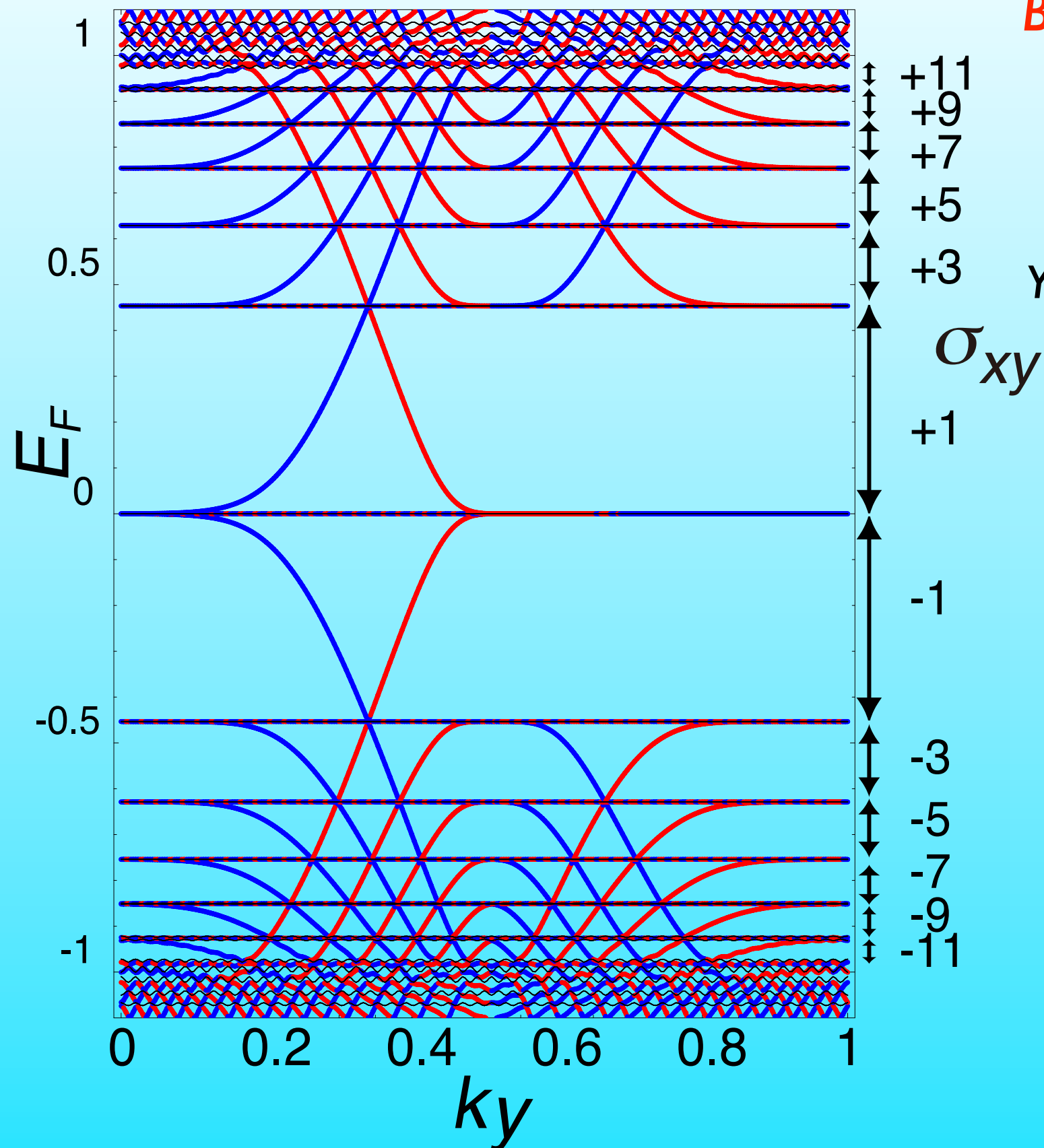
$$I(x) = \frac{1}{2\pi} \int_{-E_C}^{E_C} d\mathbf{E} \int_{-\pi}^{\pi} d\mathbf{k}_y |\Psi(x, \mathbf{k}_y, \mathbf{E})|^2$$



Suppression near the edge

Standard behavior due to edge potential

QH edge states of graphene (classic)

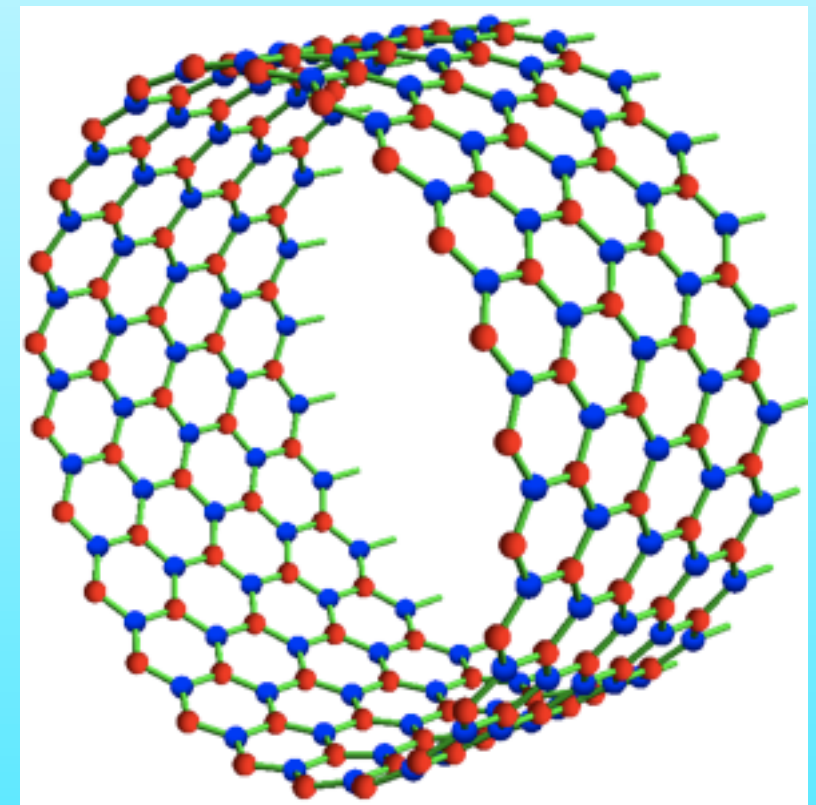


Bulk — Edge Correspondence

$$\sigma_{xy}^{\text{bulk}} = \sigma_{xy}^{\text{edge}}$$

Y. Hatsugai, PRL 71, 3691 (1993)

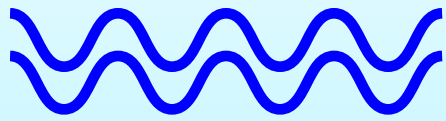
YH Fukui Aoki, PRB 74, 205414 (2006)



**Landau Levels of
graphene with edges**

Bulk-Edge correspondence

Universality



Bulk state
(scattering state)
Bulk Gap
Non trivial Vacuum

Control
with
each other
↔

Edge state
(Bound state)
Particles in the gap

Y. Hatsugai, PRL 71, 3691 (1993)

Bulk determines the edges

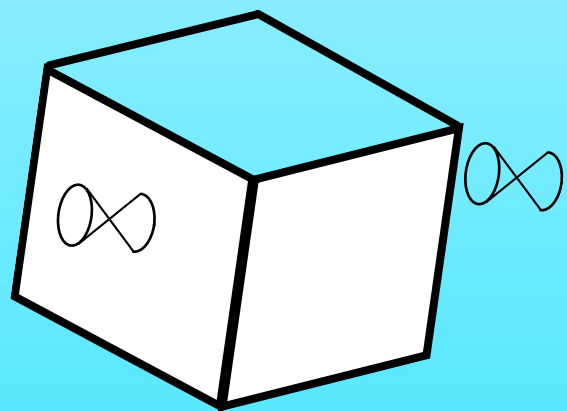
Edge characterizes the bulk

c.f. holographic principle (AdS-CFT)

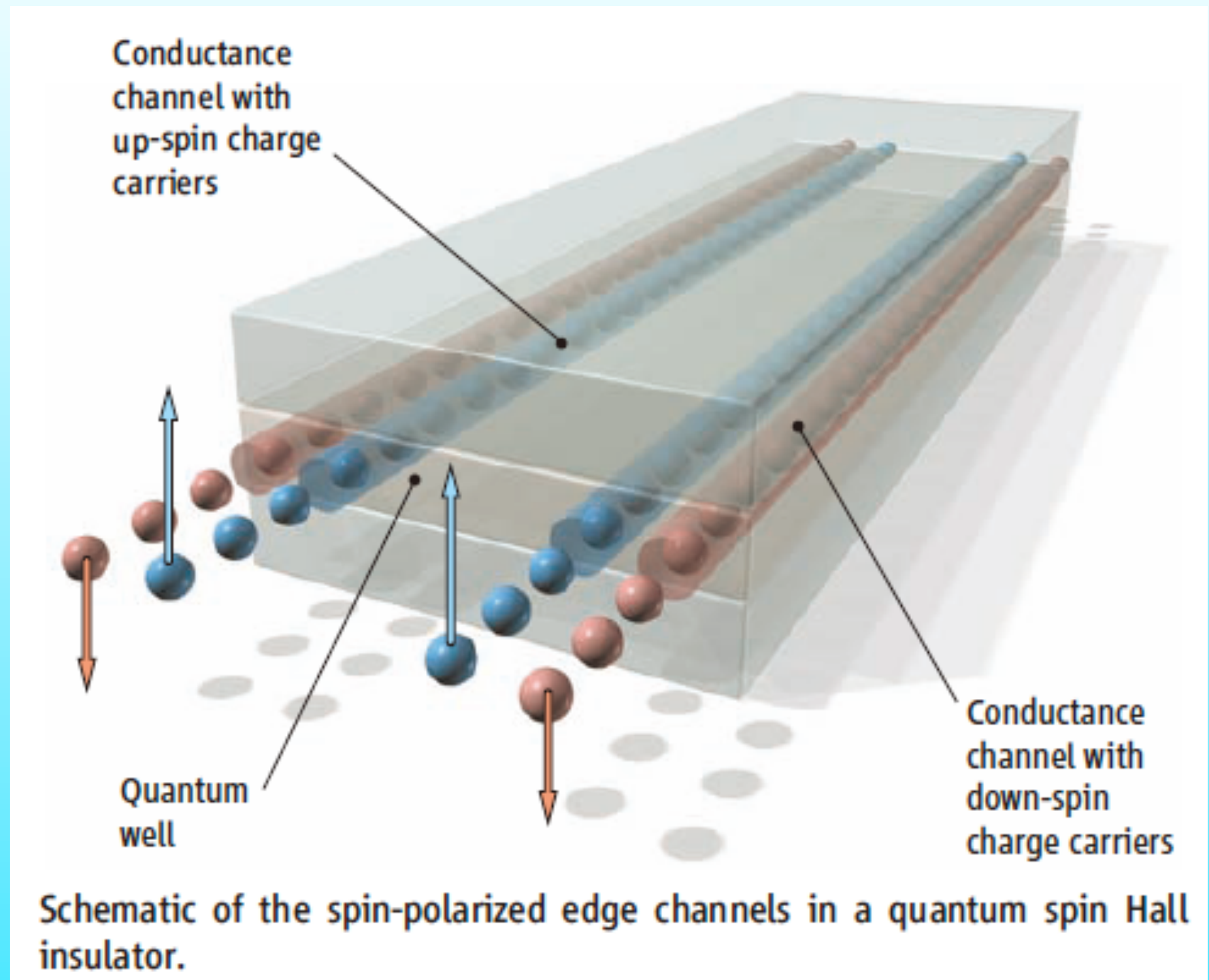
Spin Hall edge states

2D

3D



....



König, Wiedmann, Brüne, Roth, Hartmut Buhmann, Molenkamp, Qi and Zhang, Science 318, 776 (2007)

One way mode in photonic crystals

PRL **100**, 013905 (2008)

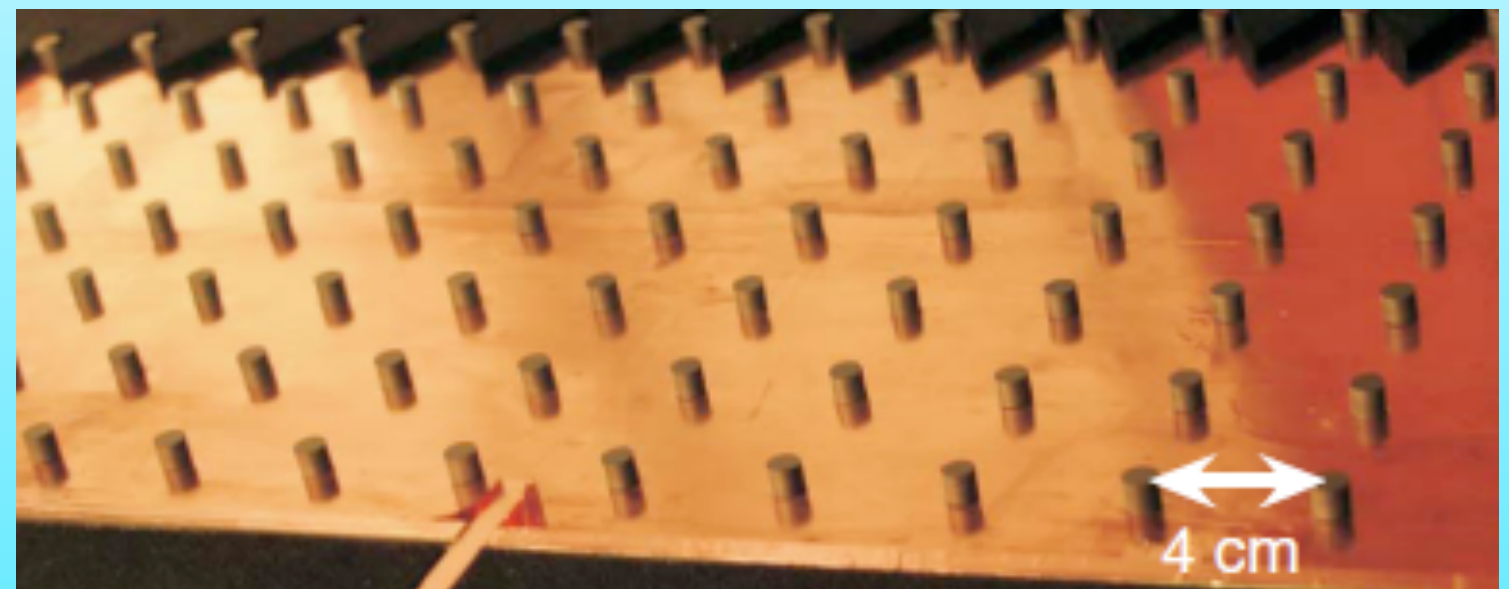
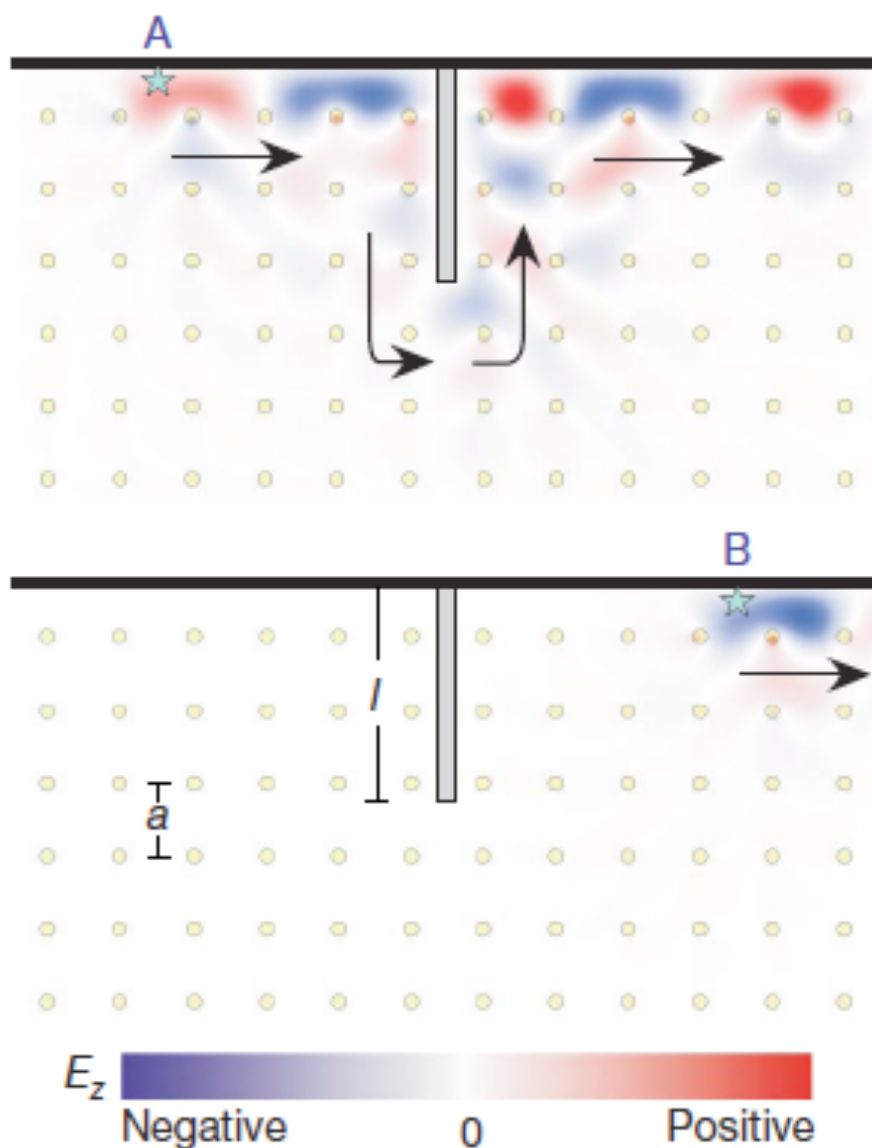
PHYSICAL REVIEW LETTERS

week ending
11 JANUARY 2008

Reflection-Free One-Way Edge Modes in a Gyromagnetic Photonic Crystal

Zheng Wang, Y. D. Chong, John D. Joannopoulos, and Marin Soljačić

Department of Physics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA



Observation of unidirectional backscattering-immune topological electromagnetic states

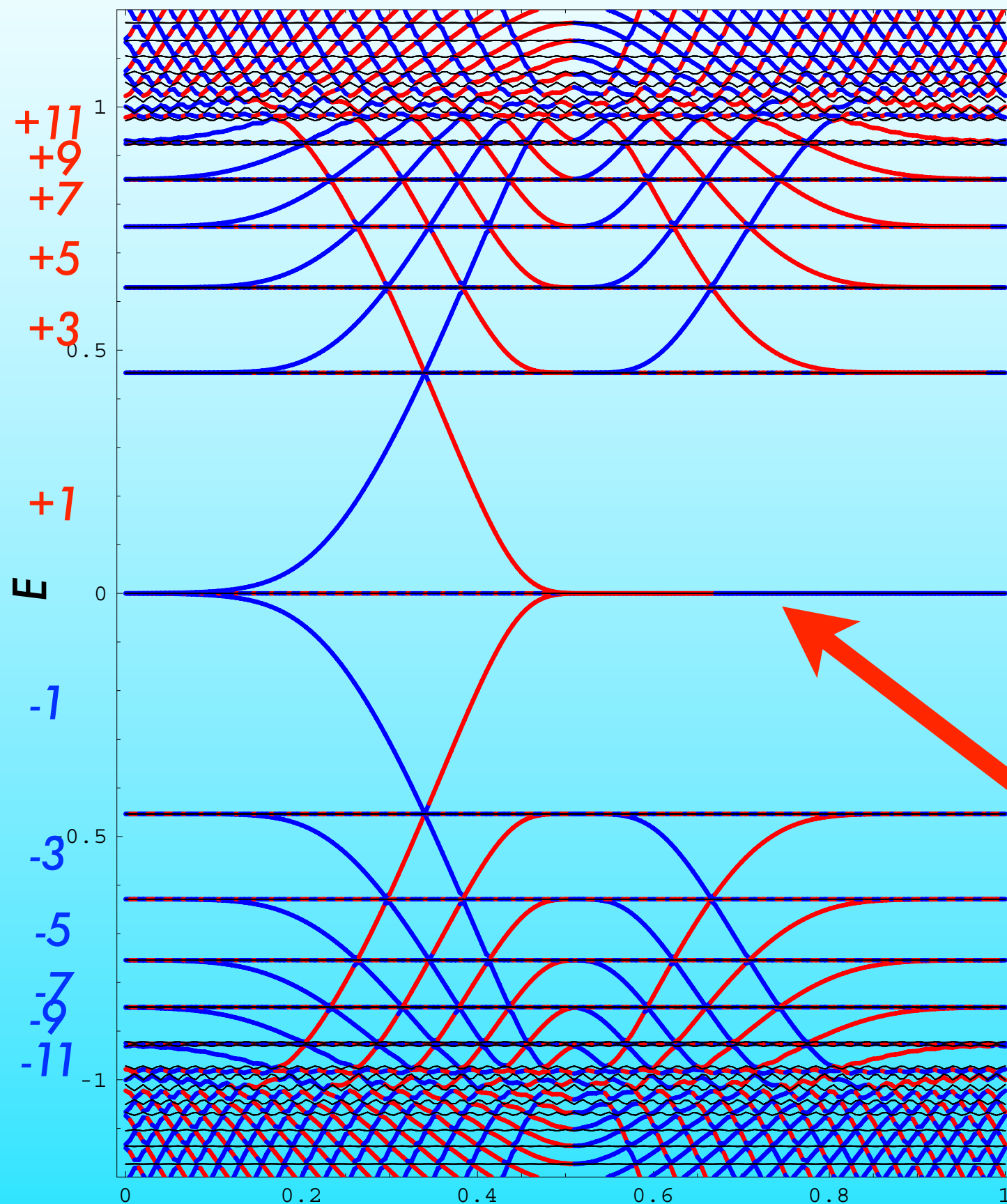
Z. Wang, Y. Chong, J.D. Joannopoulos, M. Soljagic

Nature **461**, 772 (2009)

Chiral symmetry and particle-particle interaction

- ★ *Mean field approximation & bond ordering*
- ★ ...

$N=0$ Landau Level & Chiral Symmetry



$\phi = 1/51$ Zigzag Edges

$$\exists \gamma, \quad \gamma^\dagger = \gamma^{-1} = \gamma$$

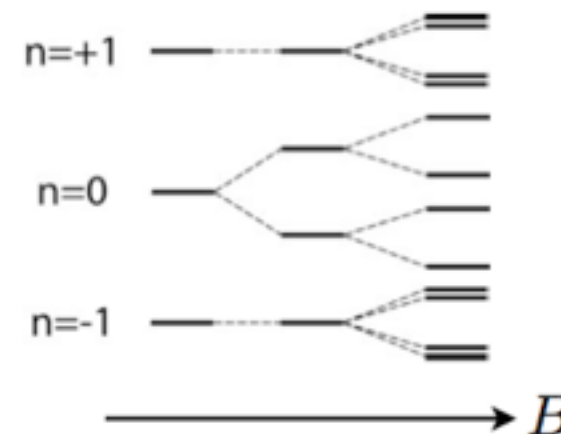
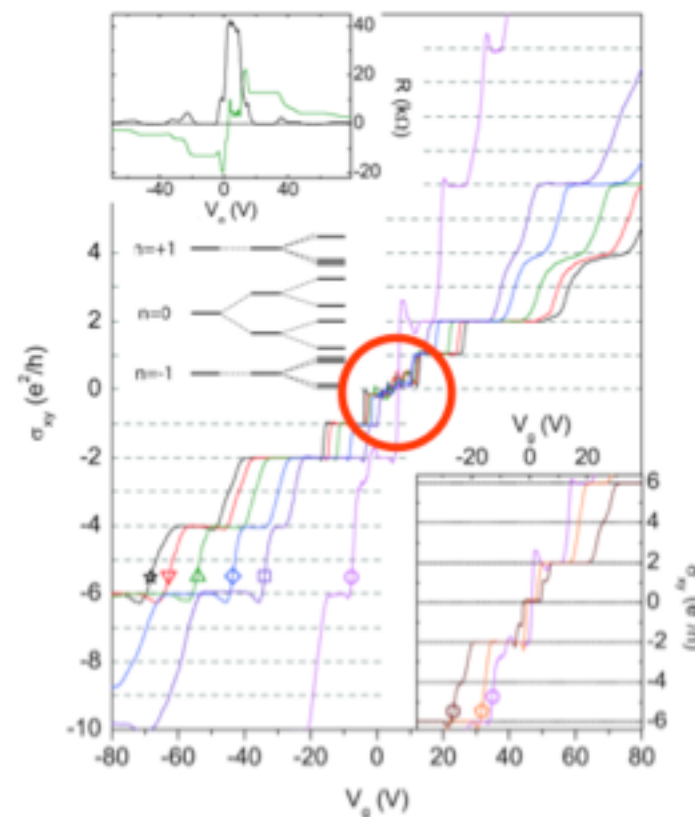
$$\{\mathbf{H}, \gamma\} = \mathbf{H}\gamma + \gamma\mathbf{H} = 0$$

$(-E, E)$: chiral pair $E \neq 0$
or
zero modes ($E = 0$)

$E=0$ Landau Level is special

Electron correlation effect

Gap opening of the $n=0$ Landau Level at high magnetic fields



Zhang et al., PRL (2006)

Jiang et al., PRL (2007)

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Summary

Topological Stability of Massless Dirac Cones

- ★ Effective mass approximation to the Dirac fermion
- ★ Zero gap semiconductor with chiral symmetry
- ★ Fermion doubling as of the 2D Nielsen-Ninomiya theorem

Topological aspects of graphene : Bulk

- ★ Berry connection of the filled Dirac sea
- ★ Lattice gauge fields in a parameter space

Topological aspects of graphene : Edge

- ★ Zero modes at the zigzag & bearded edges
- ★ Bulk-Edge correspondence for Dirac sea in a magnetic field
- ★ Bulk-Edge correspondence for other phenomena

Chiral symmetry and particle-particle interaction

- ★ Mean field and Bond ordering
- ★ Beyond MF in progress

Bulk-Edge correspondence

**NON TRIVIAL BULK IMPLIES
EDGE STATES**

***Topology is everywhere
in
condensed matter***

Thank you