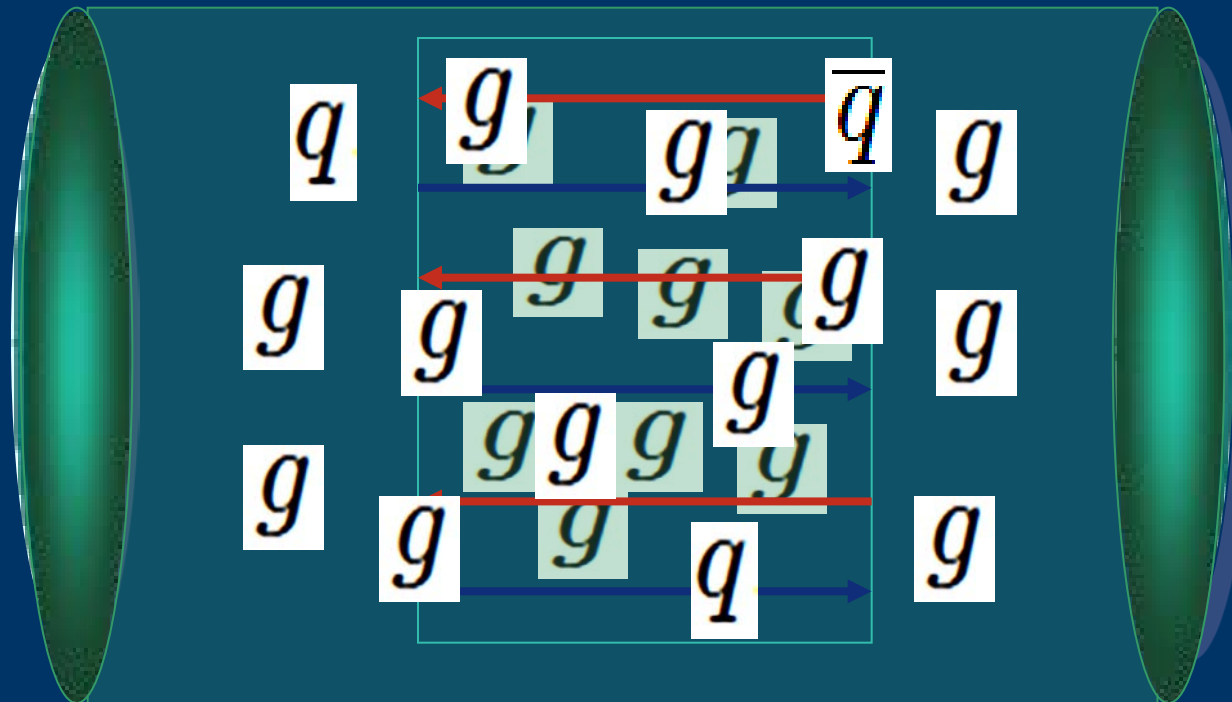


Kadanoff-Baym Approach to Thermalization of Gluonic Matter

Akihiro Nishiyama and
Yoshitaka Hatta
University of Tsukuba

Aug 23rd in 2011.

Relativistic Heavy Ion Collision at RHIC and LHC



$\sqrt{s_{NN}} = 0.2 \text{ TeV}$
 Au+Au (RHIC)
 $\sqrt{s_{NN}} = 2.76 \text{ TeV}$
 Pb+Pb (LHC)

**Quark-
Gluon
Plasma**

Time 0 fm/c 1 fm/c 10 fm/c 15 fm/c

Black box

Before
collision

Glasma

Hydrodynamics
QGP

hydro+
hadron gas

Nonequilibrium dynamics of gluons

CGC

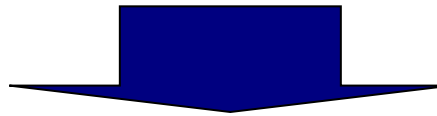
Formation of **Quark-Gluon Plasma** (QGP)

Success of ideal hydrodynamics after thermalization.
Early Thermalization of gluons (0.6-1fm/c)! (RHIC)
Kolb and Heinz (2002)

Comparative to formation time of partons ($1/Q_s \sim 0.2 \text{ fm/c}$)
Semi-Classical Boltzmann eq. should not be applied,
since 2-3fm/c is predicted for **$gg \rightarrow gg$, $gg \rightarrow ggg$** (**Boltzmann**).

Decoherence: Muller, Schafer (2006)

Baier, Mueller, Schiff, Son (2001)



New method is needed.

Quantum nonequilibrium processes based on field theory

Application of **Kadanoff-Baym eq.**
to early thermalization of gluons.

Purpose of this talk

To introduce the Kadanoff-Baym equation and to apply it to gluodynamics.

To show entropy production of gluons in Numerical Simulation and estimate order of time of kinetic equilibrium.

To show states of progress in expanding system with classical field

Rest of this talk

- **Kadanoff-Baym equation**
- **Application to non-Abelian gauge theory, H-theorem**
- **3+1 dimension, Numerical Analyses**
- **Expanding system with classical fields**
- **Summary and Remaining Problems**

Kadanoff-Baym equation

- Quantum evolution equation of 2-point Green's function (fluctuations).
statistical (distribution) and **spectral** functions

$$F(x, y) = \frac{1}{2} \langle \{ \tilde{\phi}(x), \tilde{\phi}(y) \} \rangle$$

$$\rho(x, y) = \langle [\tilde{\phi}(x), \tilde{\phi}(y)] \rangle$$

$$F(p^0, p) = 2\pi\delta(p^2 - m^2) \left(1 + \frac{1}{e^{\beta|p^0|} - 1} \right)$$

Boson

$$\rho(p^0, p) = \frac{\gamma}{(p^0 - \omega)^2 + \gamma^2/4} \xrightarrow{\gamma \rightarrow 0} 2i\pi\epsilon(p^0)\delta(p^2 - m^2)$$

Breit-Wigner type

$$\begin{aligned} (-G_0^{-1} + \Sigma_{\text{loc}}) F(x, y) &= \int_0^{y^0} dz \Sigma_F(x, z) \rho(z, y) - \int_0^{x^0} dz \Sigma_\rho(x, z) F(z, y) \\ (-G_0^{-1} + \Sigma_{\text{loc}}) \rho(x, y) &= \int_{x^0}^{y^0} dz \Sigma_\rho(x, z) \rho(z, y) \end{aligned}$$

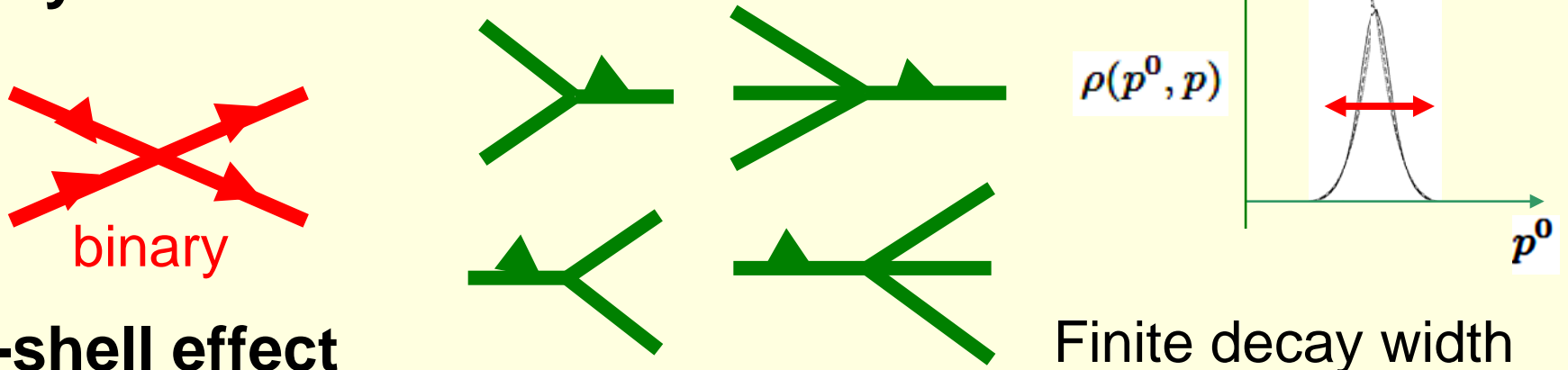
Memory integral

$$G_0^{-1} = -\partial^2 - m^2 \quad \Sigma = \text{Self-energies}$$

Self-energies: local Σ_{loc} mass shift, nonlocal real Σ_F and imaginary part Σ_ρ

Merit

- Quantum evolution with conservation law
- Evolution of **spectral function** with decay width + distribution function



- Off-shell effect

Decay width \Rightarrow **particle number changing process**
(**gg** \Leftrightarrow **g** (2-to-1) and **ggg** \Leftrightarrow **g** (3-to-1)) + **binary collisions**
(**gg** \Leftrightarrow **gg**).

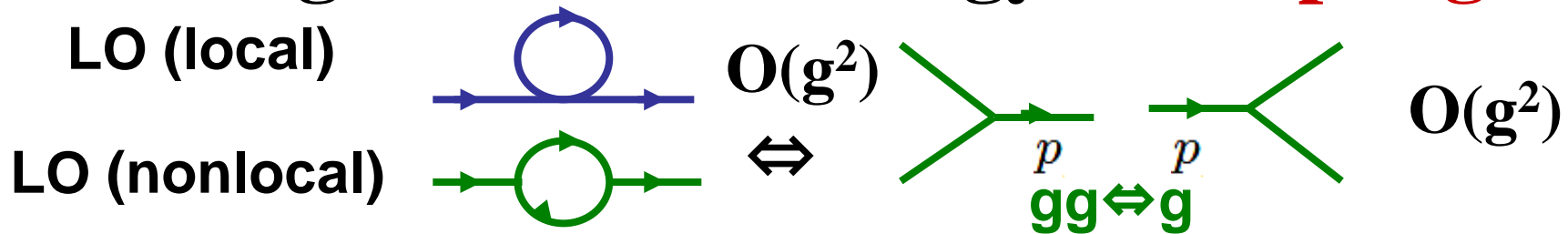
They are **prohibited kinematically** in Boltzmann simulation. This process might contribute to the early thermalization.

Demerit

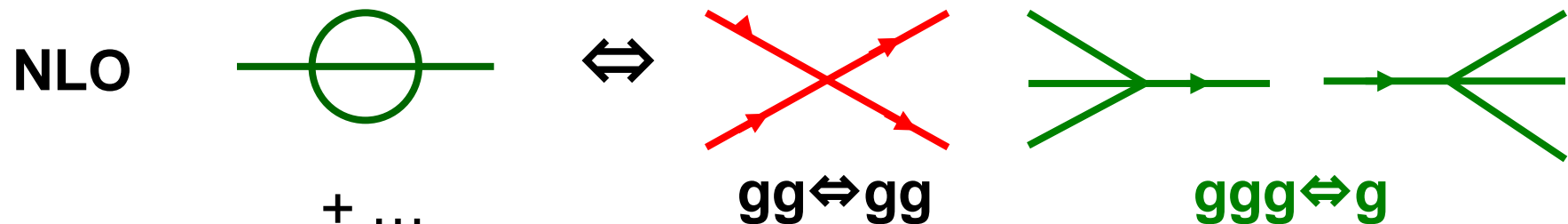
Numerical simulation needs much memory of computers.

Application to Non-Abelian Gauge Theory

- Temporal Axial Gauge $A^0=0$
- No classical field $\langle A \rangle=0$
- Leading Order Self Energy of **coupling**



If necessary, we use NLO as shown here.



Numerical analysis for KB eq. in 3+1 dim.

- Without classical fields

- Initial condition

$$n_0(\mathbf{k}) = \frac{C}{\Delta_z \Delta_\perp^2} \exp \left[-\frac{k_x^2 + k_y^2}{2\Delta_\perp^2} - \frac{k_z^2}{2\Delta_z^2} \right]$$

Nonthermal distribution
(Gaussian configuration,
anisotropic in momentum
space)

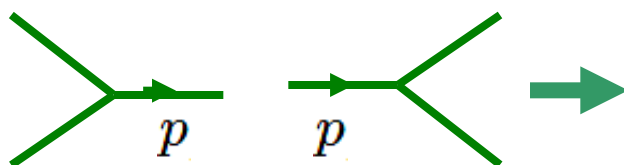
$$x \equiv \frac{\Delta_\perp^2}{\Delta_z^2} = 100$$

$$\text{Diagram: a blue circle with a horizontal line through it and arrows at both ends} \doteq g^2 N T^2 / 9 \rightarrow m^2$$

Set $T = 360 \text{ MeV}$, $\rightarrow m = 210 \text{ MeV}$
 $g^2 = 1.0$ thermal mass

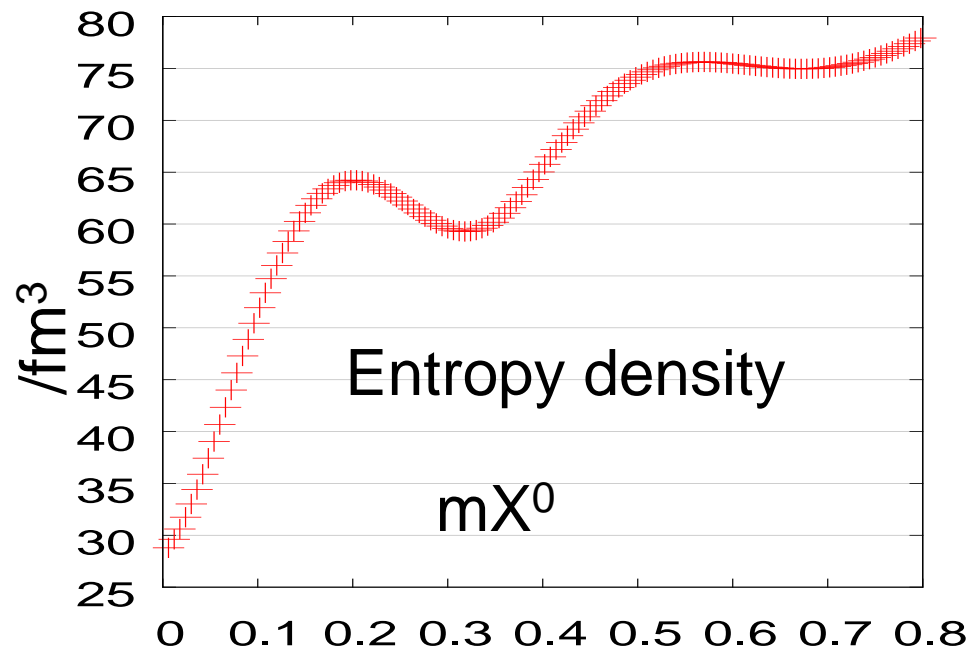
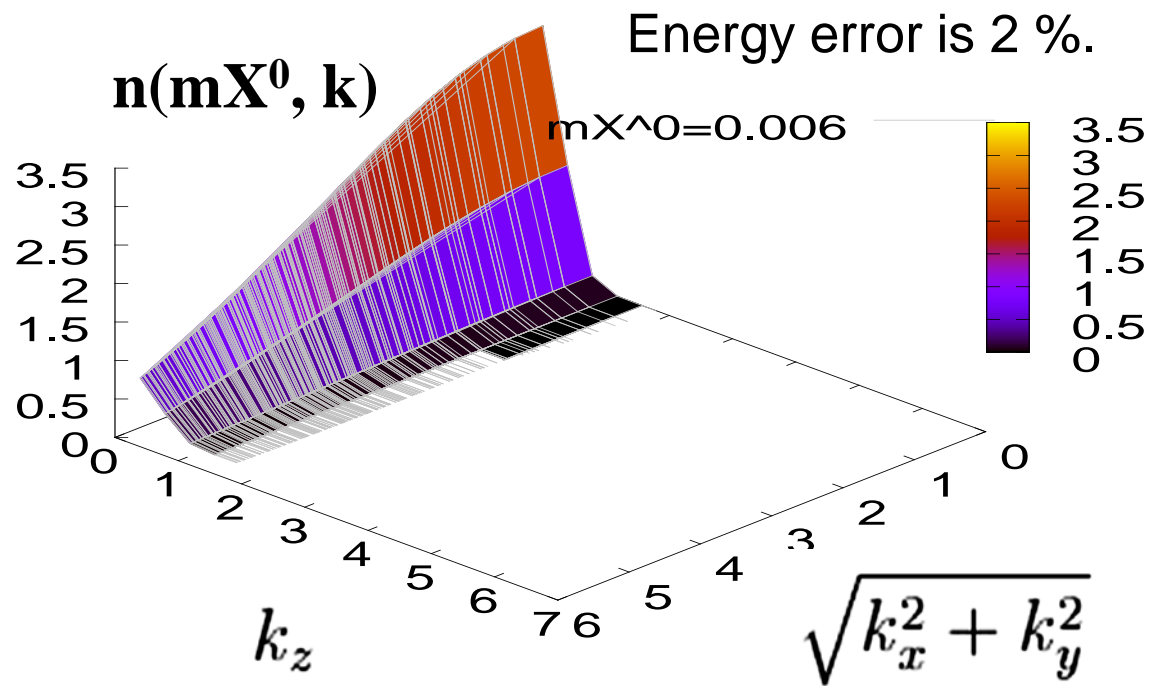
Uniform Space

- Without expansion



Only
transverse
Part

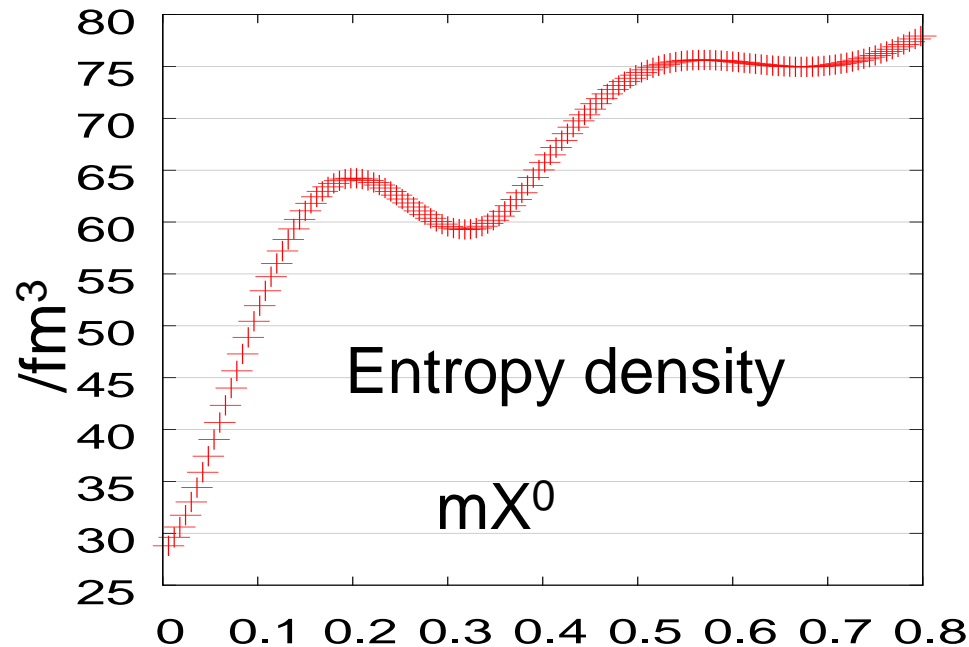
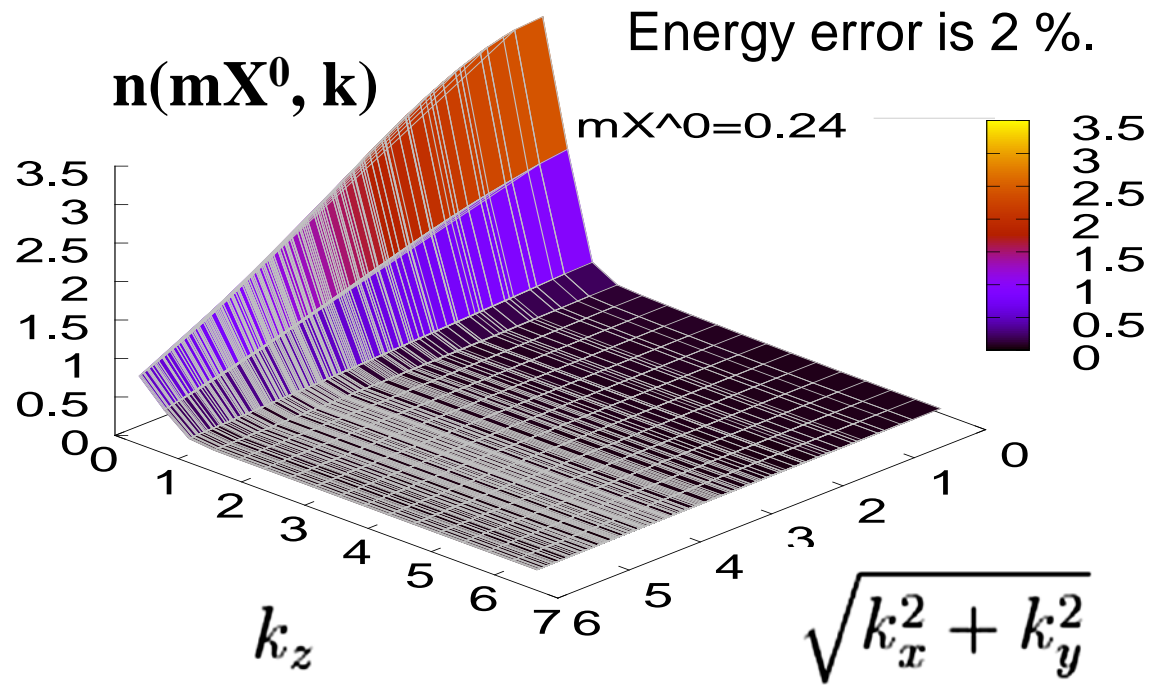
$$\varepsilon = 12 \text{ GeV/fm}^3$$



The X-Y mode \rightarrow Z mode.

The $n_{\mathbf{k}}$ approaches Bose distribution due to off-shell $g \leftrightarrow gg$ ($1 \leftrightarrow 2$) in 3+1 dim.

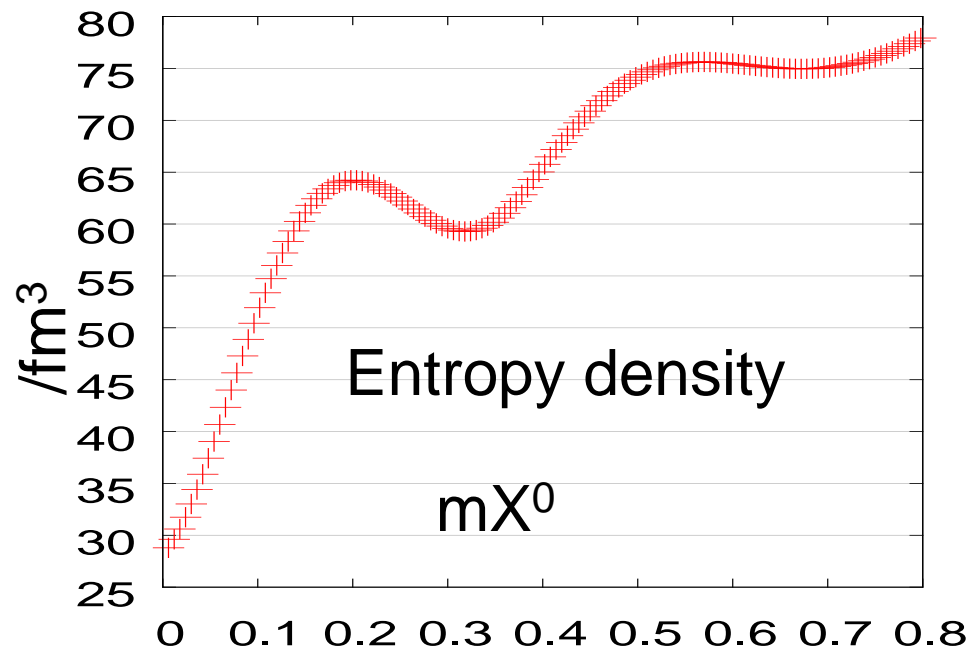
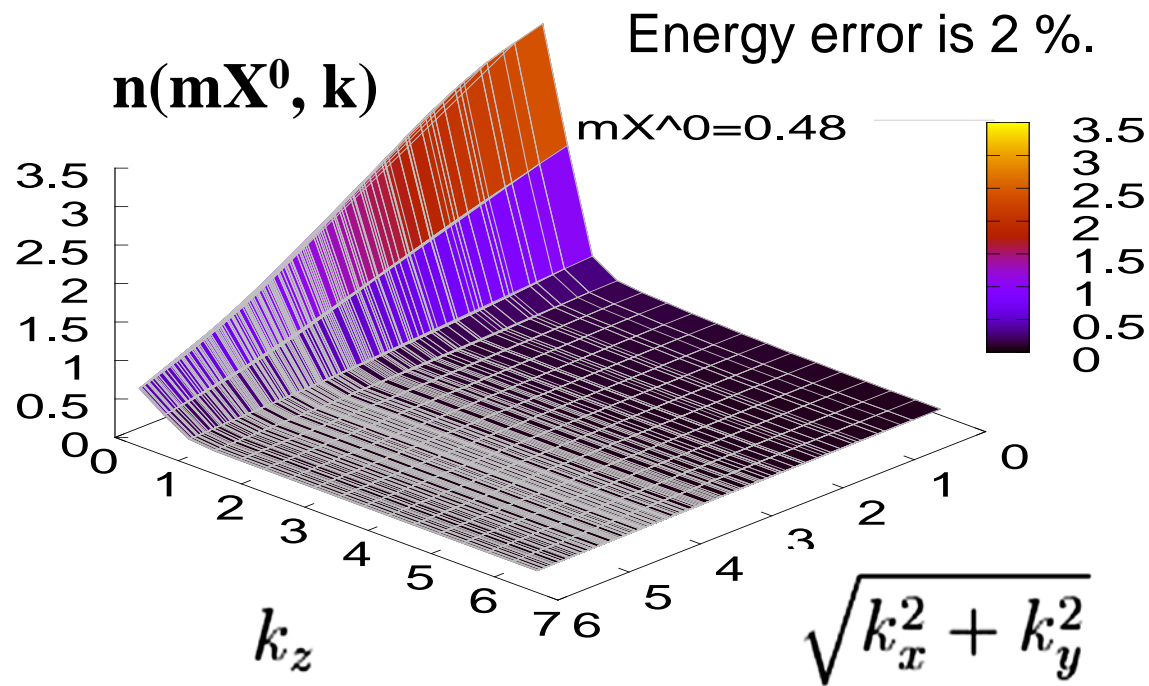
Entropy production occurs at early time $mX^0 \leq 1$.



The X-Y mode \rightarrow Z mode.

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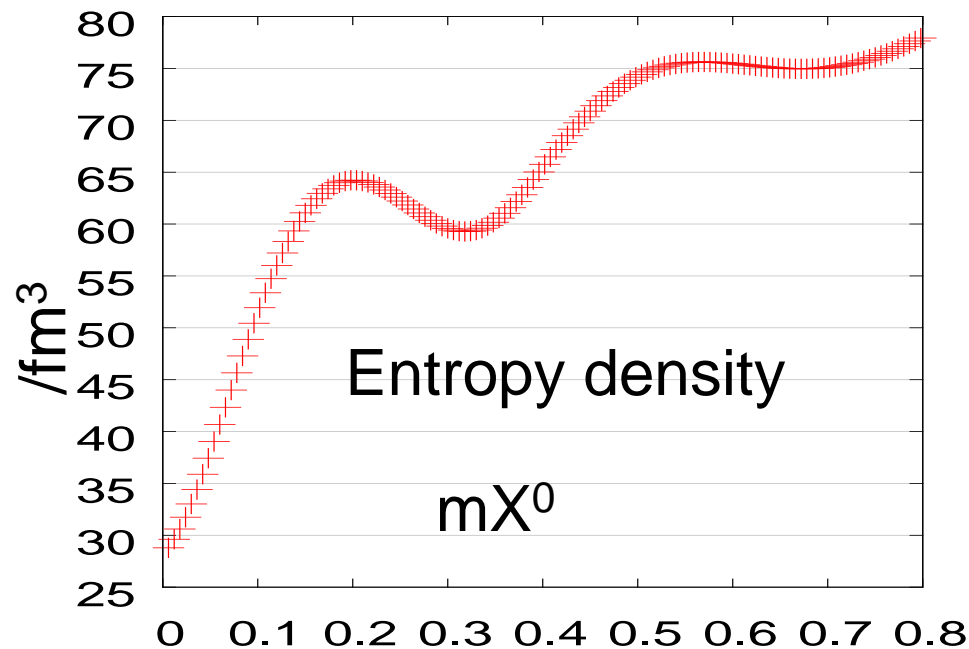
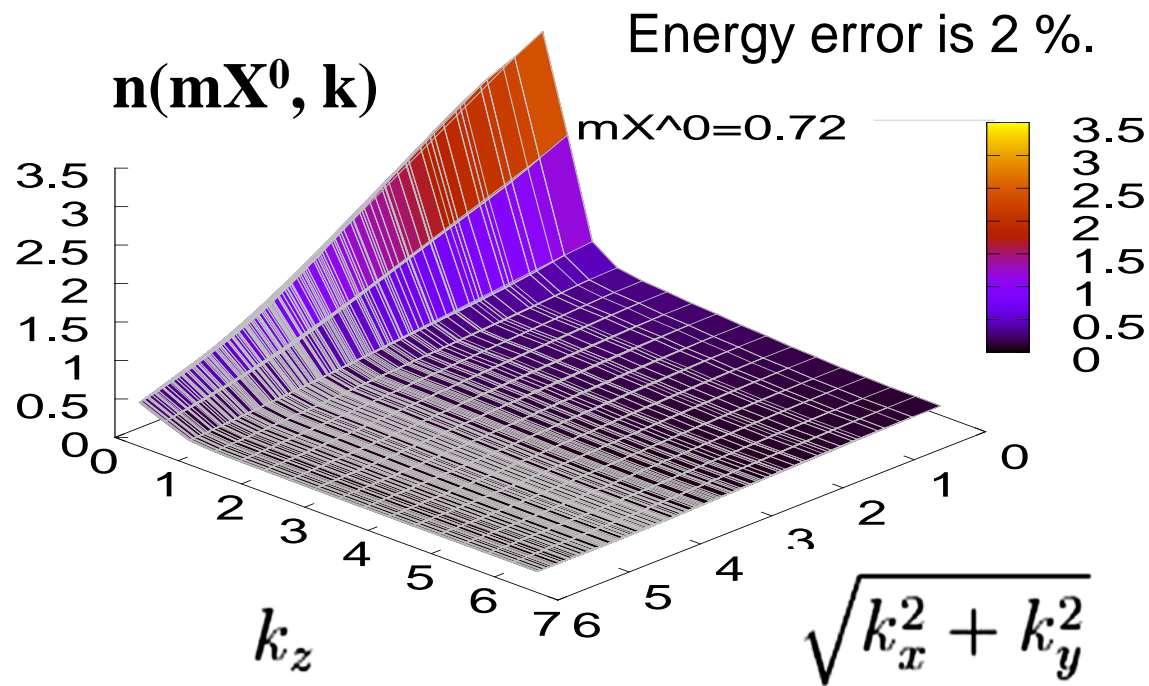
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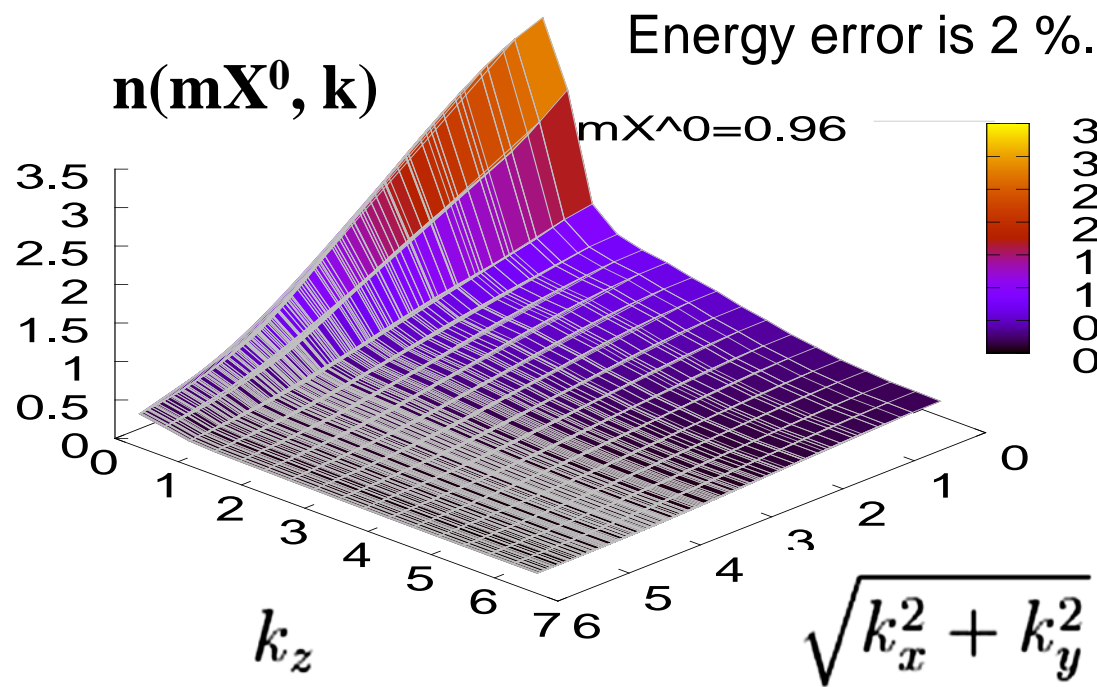
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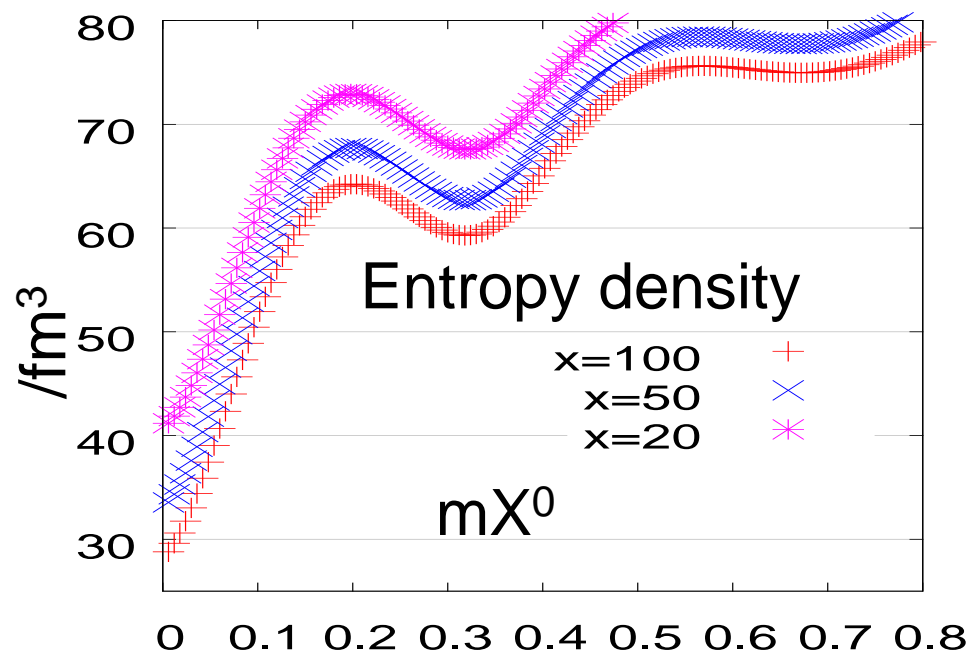
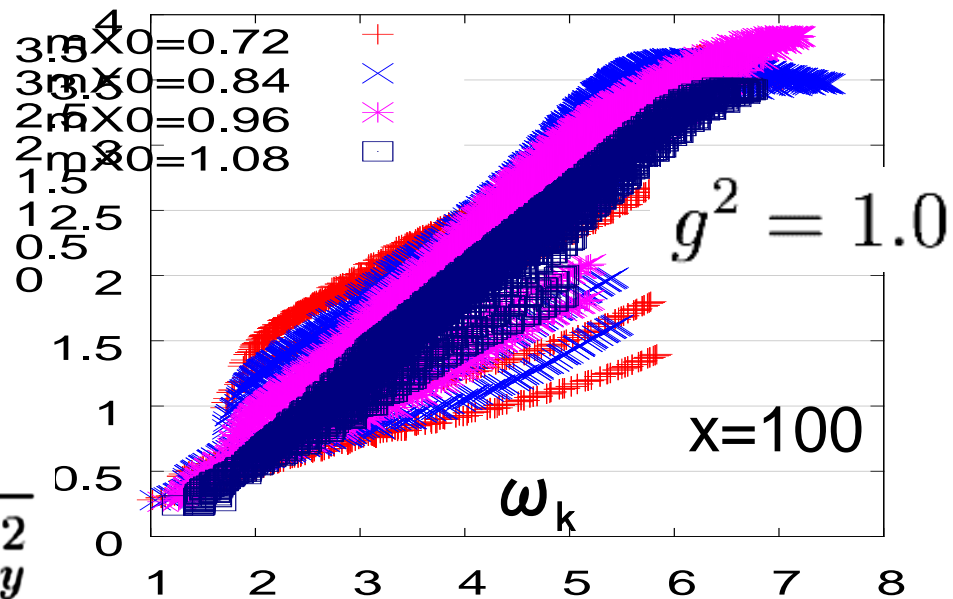
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The $n_{\mathbf{k}}$ approaches Bose distribution due to off-shell $g \leftrightarrow gg$ ($1 \leftrightarrow 2$) in 3+1 dim.

Entropy production occurs at early time $mX^0 \leq 1$.



$\log[1+1/n(mX^0, \mathbf{k})]$, logplot



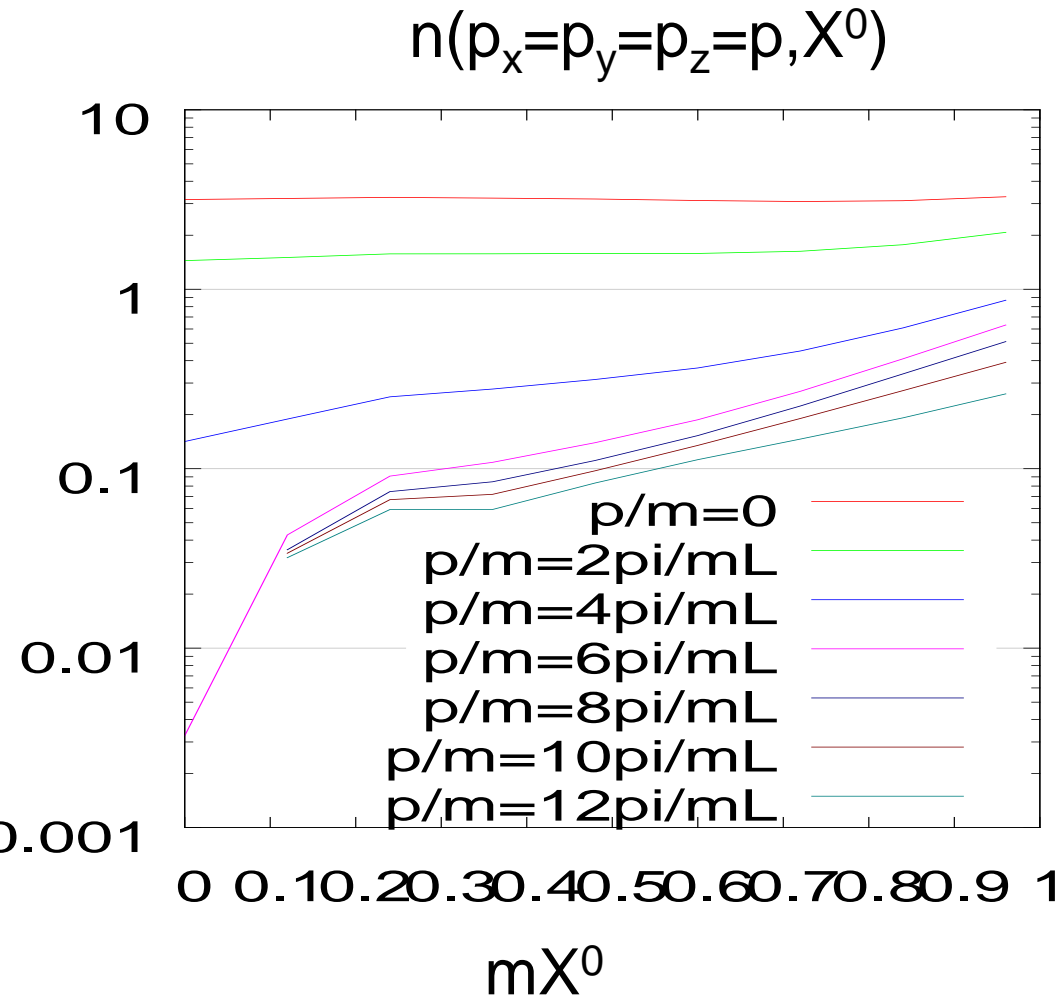
$X^0 = 1.08/m$

The X-Y mode \rightarrow Z mode.

The n_k approaches Bose distribution due to off-shell $g \leftrightarrow gg$ ($1 \leftrightarrow 2$) in 3+1 dim.

Entropy production occurs at early time $mX^0 \leq 1$.

Evolution of each mode in distribution



$$n(p, X^0) = A \exp(\gamma(p) X^0)$$

$$1/\gamma_{\max} = 1/3m \text{ fm}/c$$

$$(p = (3 \text{ and } 4)2\pi/L = 1.1 - 1.5m)$$

Smaller time scale is realized.

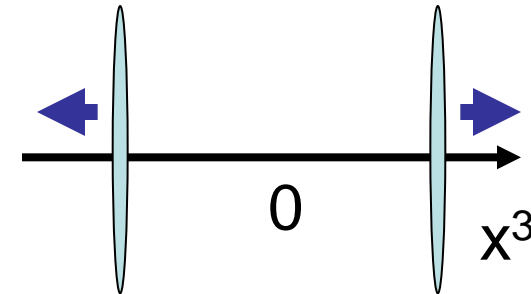
Higher momentum mode

$32\pi/mL \geq p/m > 16\pi/mL$ is still fluctuating and has large error in fit function.

Expanding system with classical field

- Metrics (expansion in x^3 direction)

$$\tau = \sqrt{t^2 - (x^3)^2} \quad \eta = \tanh^{-1} \frac{x^3}{t}$$



- Schwinger Gauge $A^\tau=0$
- Yang-Mills eq. and Kadanoff-Baym eq.
- Initial condition: Classical field with vacuum quantum fluctuations (Color Glass Condensate)
Fukushima, Gelis, McLerran (2007), Dusling, Gelis, Venugopalan (2011), Hatta and Nishiyama (2011)
- Evolution of quantum fluctuations (vacuum) for KB eq. without classical fields is **stable**.
- Stability of evolution with classical fields for YM and KB eqs. must be checked.

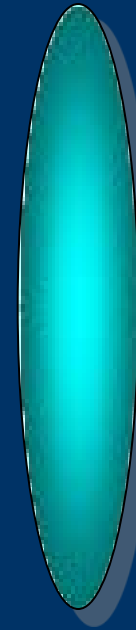
Summary

- We have considered the Kadanoff-Baym approach to thermalization of dense nonequilibrium gluonic system.
- Entropy production occurs with the Kadanoff-Baym dynamics with off-shell 1-to-2 processes although it has been neglected in on-shell Boltzmann dynamics. This property may help the understanding of the early thermalization.
- It is **possible** to perform calculation in 3+1 dimension in gauge theory in temporal axial gauge. Then KB eq with the off-shell process shows entropy production at early stage $mX^0 \leq 1$ in the time evolution.
- In expanding system, **stability** of quantum fluctuations realized in expanding system without classical field.

Remaining Problems

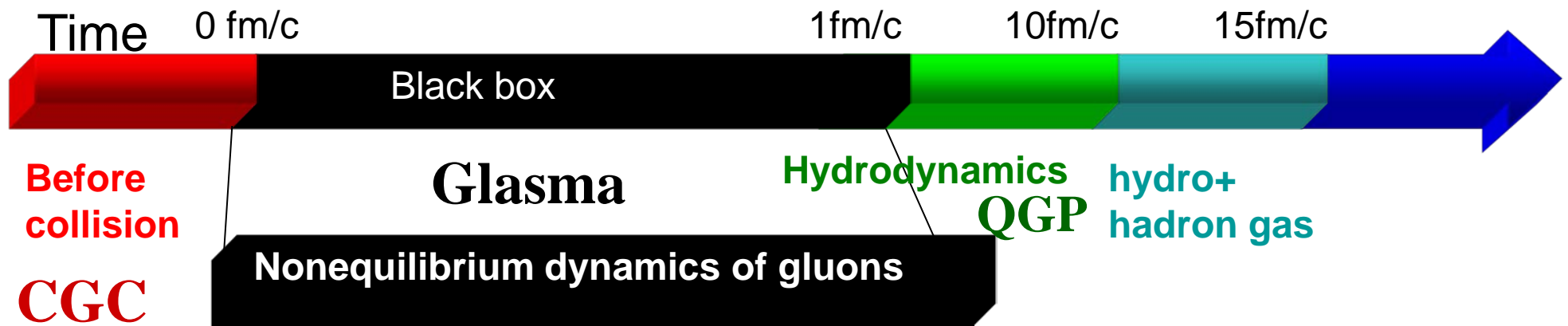
- **Solution for the KB eq. in and out of equilibrium for the LO and NLO of g^2 with longitudinal part in the gauge theory (2+1 and 3+1 dimensions).**
- **Renormalization procedure in expanding system**
- **Stability of numerical simulation with classical field.**
- **Conversion from classical field to quantum fluctuations.**

Relativistic Heavy Ion Collision at RHIC and LHC

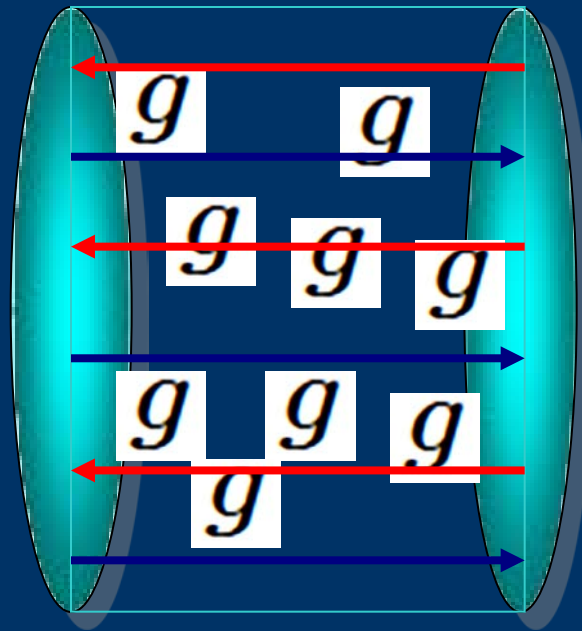


$\sqrt{s_{NN}} = 0.2 \text{ TeV}$
Au+Au (RHIC)
 $\sqrt{s_{NN}} = 2.76 \text{ TeV}$
Pb+Pb (LHC)

**Quark-
Gluon
Plasma**



Relativistic Heavy Ion Collision at RHIC and LHC



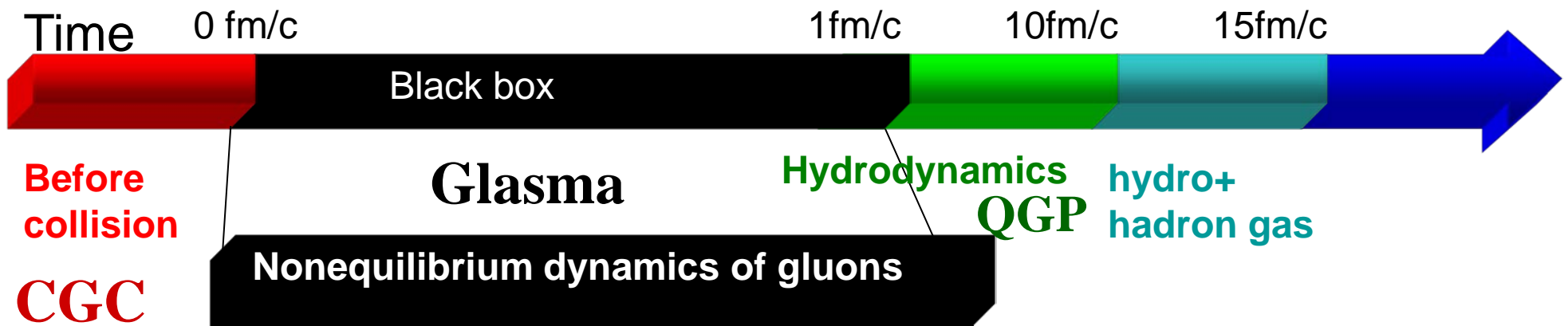
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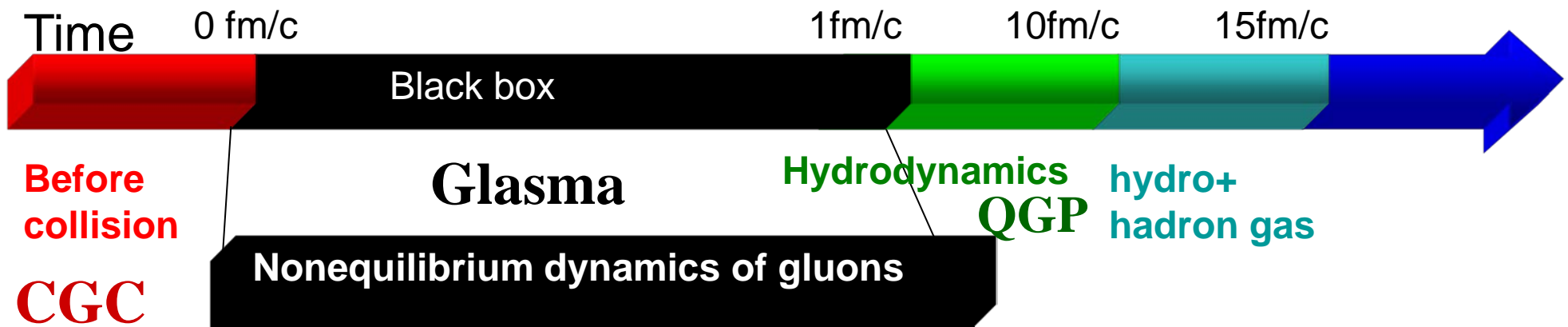
**Quark-
Gluon
Plasma**



Relativistic Heavy Ion Collision at RHIC and LHC

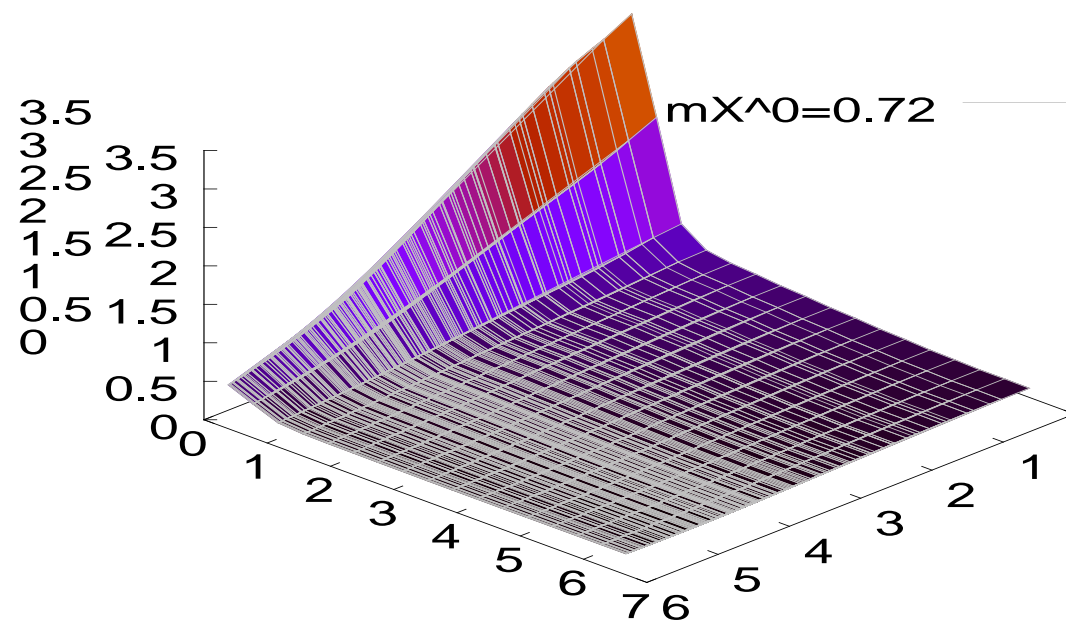
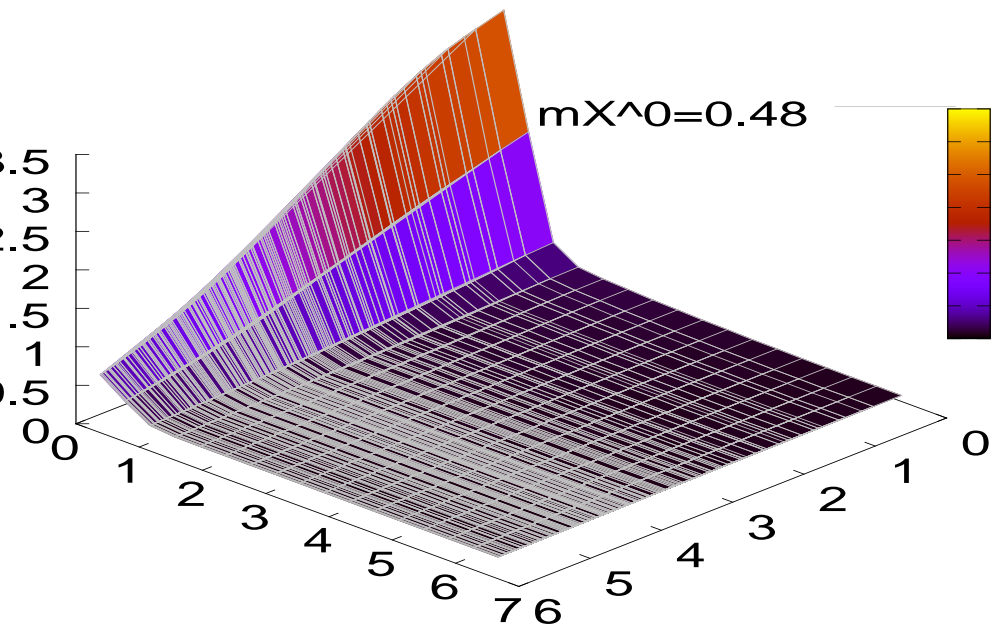
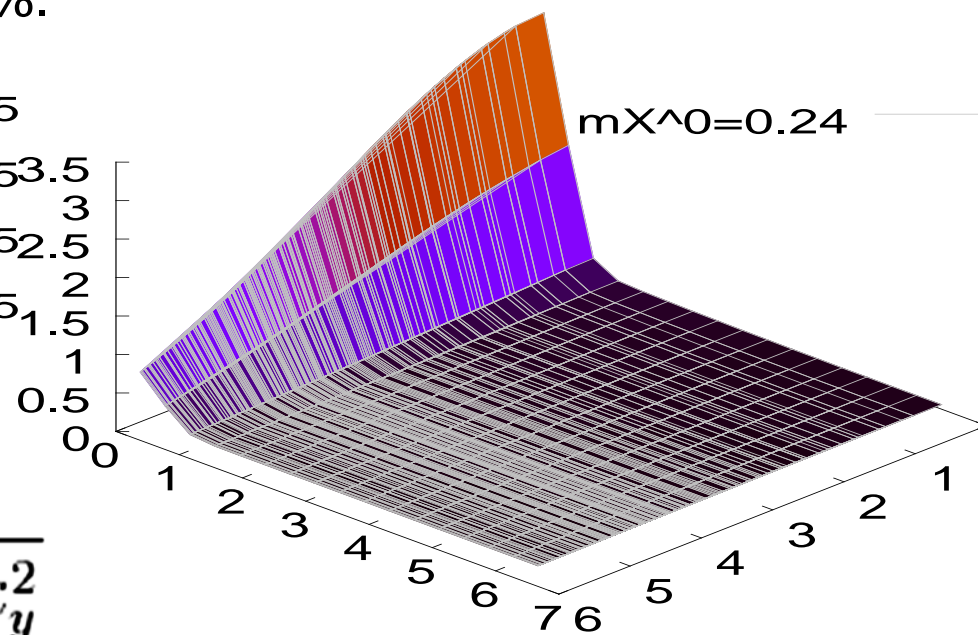
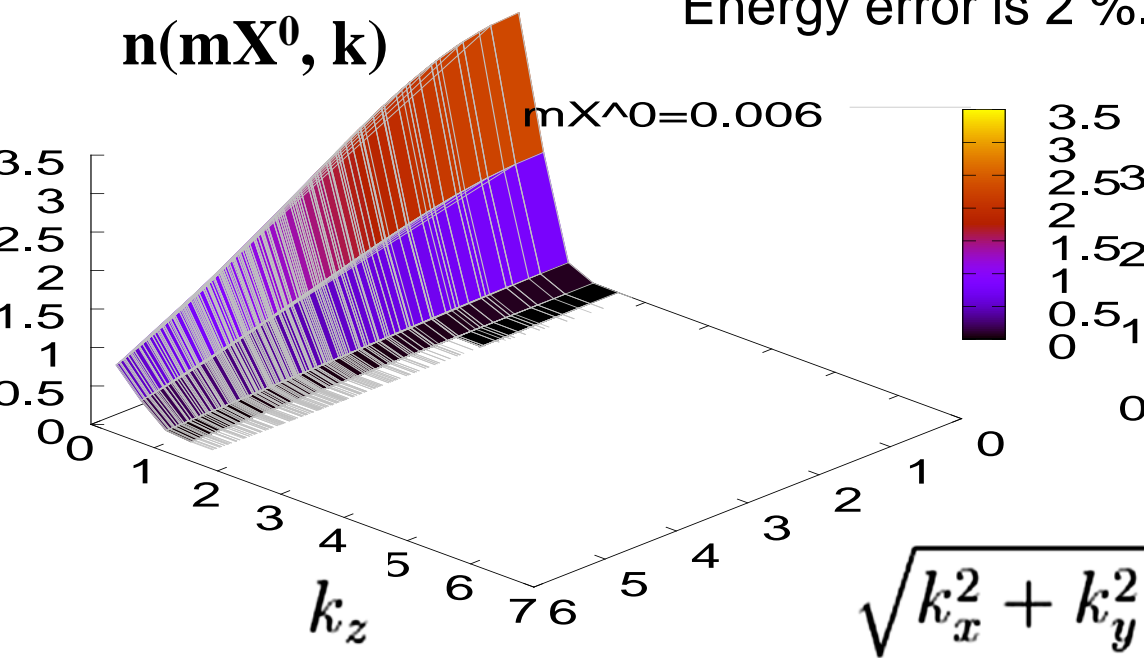
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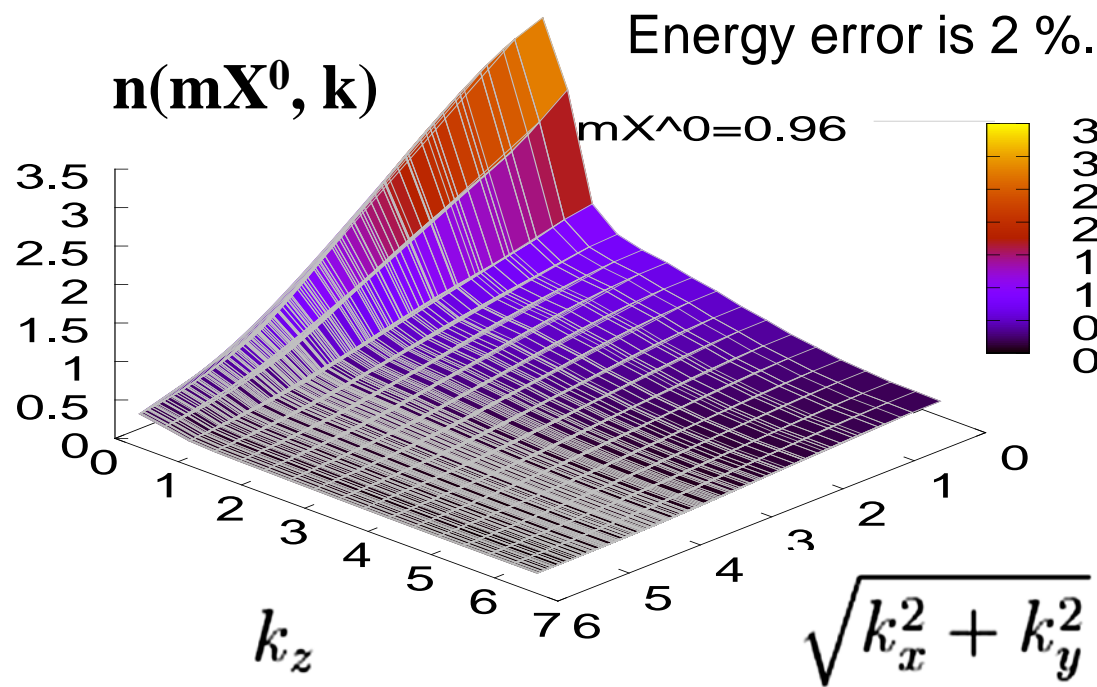
**Quark-
Gluon
Plasma**



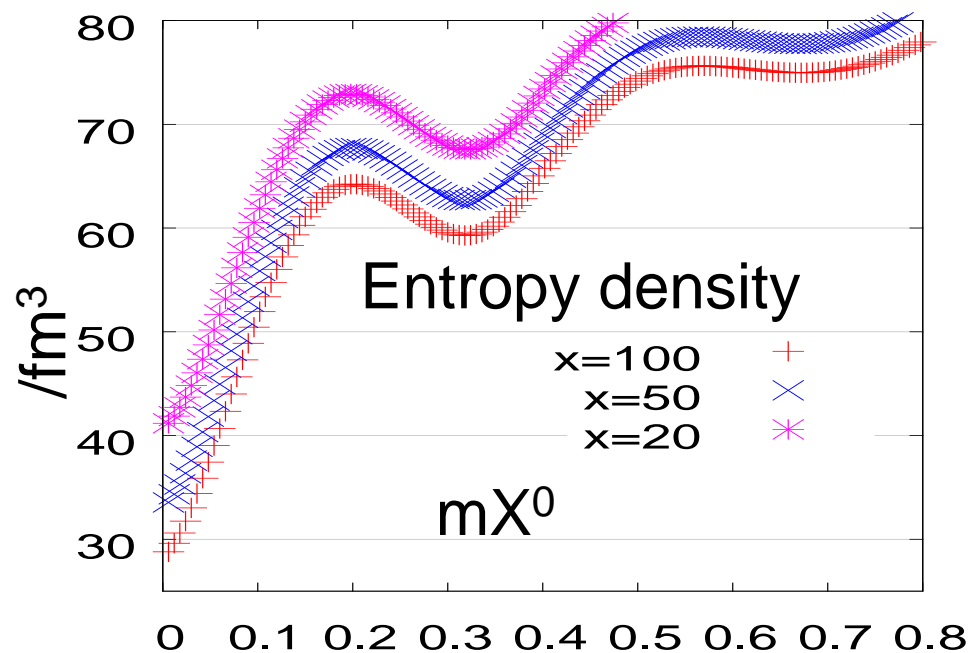
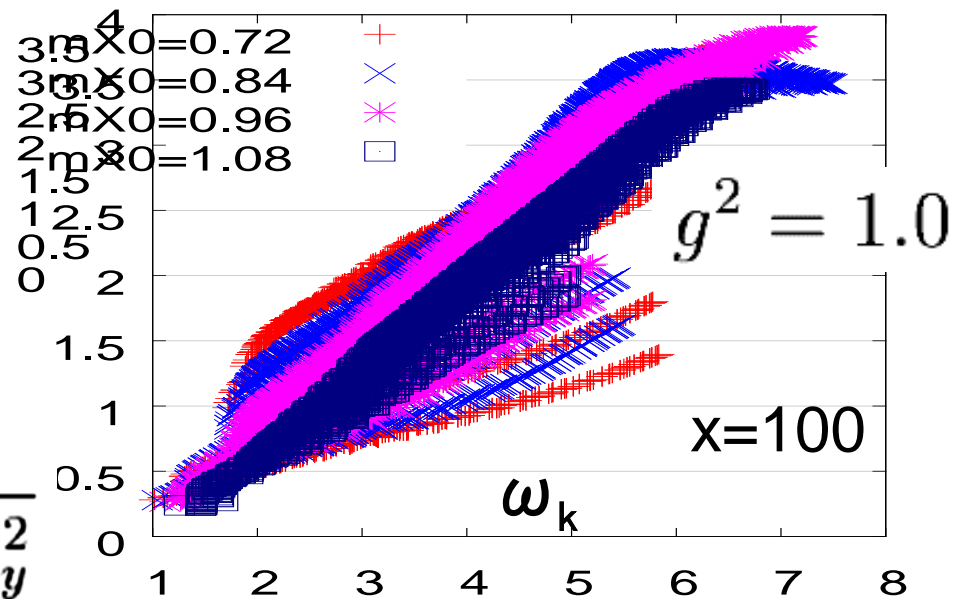
$n(mX^0, \mathbf{k})$

Energy error is 2 %.





$\log[1+1/n(mX^0, k)], \text{ logplot}$



$X^0 = 1.08/m = 0.92 \text{ fm}/c$

The X-Y mode \rightarrow Z mode.

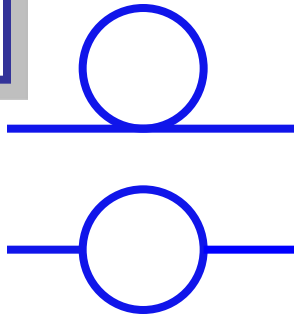
The n_k approaches Bose distribution due to off-shell $g \leftrightarrow gg$ ($1 \leftrightarrow 2$) in 3+1 dim.

Entropy production occurs at early time $mX^0 \leq 1$.

Renormalization in 3+1 dimension

(gauge theory)

Mass

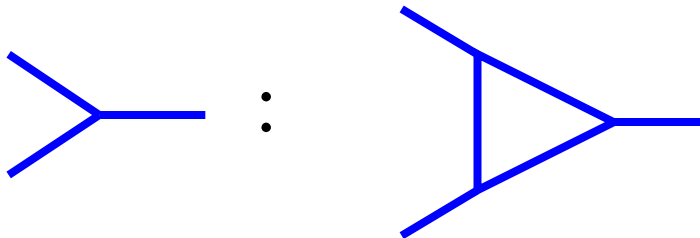


Quadratic
divergent



Subtraction

Vertex



Logarithmic divergent



Bethe-Salpeter eq.

Order estimation of vertex correction

$$= 1: g^2 N \times O(10^{-2})$$

Vertex correction is **sufficiently small** for finite lattice cutoff.

We shall use bare coupling as renormalized coupling in following cases.

However does the dynamics contribute to thermalization?

To confirm it,

H-theorem for Gauge Theory

- Introduction of **kinetic entropy current** based on relativistic Kadanoff-Baym eq for gauge theory. A.N. Nucl. Phys. A 832:289-313, 2010.
- 1st order gradient expansion of KB eq.
- Extension of nonrelativistic case (Ivanov, Knoll and Voskresenski (2000), Kita (2006)) and relativistic scalar ϕ^4 (Nishiyama (2010)) and $O(N)$ case (Nishiyama and Ohnishi (2010)). **H-theorem has been shown in these cases**

In temporal axial gauge, when we divide Green function D and self-energy Π to transverse (T) and longitudinal (L) part, we obtain

I. Offshell

[] : Entropy flow spectral function

$$s^\mu \equiv \int \frac{d^{d+1}k}{(2\pi)^{d+1}} (d-1) \left[\left(k^\mu - \frac{1}{2} \frac{\partial \text{Re } \Pi_{T,\text{Re}}}{\partial k_\mu} \right) \frac{\rho_T}{i} + \frac{1}{2} \frac{\partial \text{Re } D_{T,\text{Re}}}{\partial k_\mu} \frac{\Pi_{\rho,T}}{i} \right] \sigma[f_T](X, k) \\ + \int \frac{d^{d+1}k}{(2\pi)^{d+1}} \left[\left(k^0 \delta^{\mu 0} - \frac{1}{2} \frac{\partial \text{Re } \Pi_{L,\text{Re}}}{\partial k_\mu} \right) \frac{\rho_L}{i} + \frac{1}{2} \frac{\partial \text{Re } D_{L,\text{Re}}}{\partial k_\mu} \frac{\Pi_{\rho,L}}{i} \right] \sigma[f_L](X, k)$$

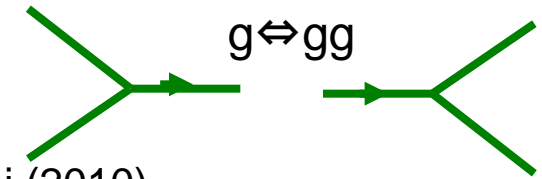
$$\sigma[f_{T,L}] \equiv (1 + f_{T,L}) \log(1 + f_{T,L}) - f_{T,L} \log f_{T,L}$$

For LO self-energy

$$\partial_\mu s^\mu = g^2 N [\boxed{(\text{TTT})} + (\text{TTL}) + (\text{TLL})] \geq 0.$$

Each term is **positive definite**.

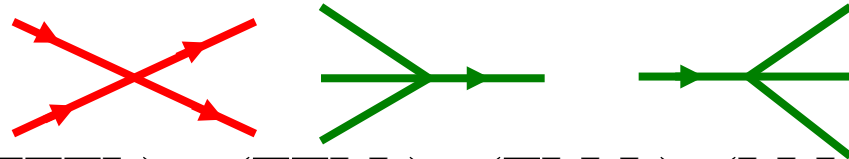
Nishiyama and Ohnishi (2010)



H-theorem is derived at the level of Green's function with off-shellness.

For NLO self-energy

$$\partial_\mu s^\mu = g^4 N^2 [(\text{TTTT}) + (\text{TTTL}) + (\text{TTLL}) + (\text{TLLL}) + (\text{LLLL})]$$



Controlled gauge dependence of our entropy density with a certain constant term is assured at thermal equilibrium.

For gauge transformation $\delta s_{\text{eq}}^0 \sim g^2 s_{\text{eq}}^0$ (Smit and Arrizabaraga (2002), Carrington et al (2005))

Gauge dependence is higher order of coupling.

Proof of controlled gauge dependence **out of equilibrium** is still remaining problem.
(Blaiziot, Iancu and Rebhan (1999))

In the **quasiparticle limit (small coupling)**

We reproduce the entropy for the boson.

II.
$$s^\mu \rightarrow \int \frac{d^d p}{(2\pi)^d} v^\mu [-n_{\mathbf{p}} \ln n_{\mathbf{p}} + (1 + n_{\mathbf{p}}) \ln(1 + n_{\mathbf{p}})] \quad v^\mu = p^\mu / \varepsilon_{\mathbf{p}} \quad \text{:velocity}$$

Kita's Entropy

$$s \equiv \hbar k_B \int \frac{d^3 p d\varepsilon}{(2\pi\hbar)^4} \sigma \left[A \frac{\partial(G_0^{-1} - \text{Re}\Sigma^R)}{\partial\varepsilon} + A_\Sigma \frac{\partial \text{Re}G^R}{\partial\varepsilon} \right],$$

$$j_s \equiv \hbar k_B \int \frac{d^3 p d\varepsilon}{(2\pi\hbar)^4} \sigma \left[-A \frac{\partial(G_0^{-1} - \text{Re}\Sigma^R)}{\partial p} - A_\Sigma \frac{\partial \text{Re}G^R}{\partial p} \right],$$

$$\frac{\partial s_{\text{coll}}}{\partial t} \equiv \hbar k_B \int \frac{d^3 p d\varepsilon}{(2\pi\hbar)^4} C \ln \frac{1 \pm \phi}{\phi}.$$


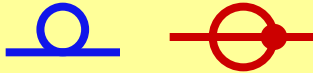

$$\sigma[\phi] \equiv -\phi \ln \phi \pm (1 \pm \phi) \ln(1 \pm \phi).$$

Equilibrium at

$$\ln \frac{1 \pm \phi_1}{\phi_1} = \alpha + \beta(\varepsilon_1 - \mathbf{v} \cdot \mathbf{p}_1),$$




Time irreversibility

Symmetric phase $\langle \Phi \rangle = 0$

	$\lambda \Phi^4$	$O(N)$	$SU(N)$
Exact 2PI (no truncation)	✗	✗	✗
Truncation	NLO of λ  Δ	NLO of $1/N$  Δ	LO of g^2  $\Delta(\text{TAG})$
LO of Gradient expansion H-theorem	\bigcirc	\bigcirc	$\Delta(\text{TAG})$

Numerical Simulation for KB eq.

Symmetric phase $\langle \Phi \rangle = 0$

	$\lambda\Phi^4$	$O(N)$	$SU(N)$
Truncation	NLO of λ 	NLO of $1/N$ 	LO of g^2 
Others' Code	1+1 dim 2+1 dim 3+1 dim	1+1 dim 3+1 dim	?
Our Code	1+1 dim 2+1 dim 3+1 dim	1+1 dim	Part of 2+1, 3+1 dim

Renormalization (ϕ^4 model)

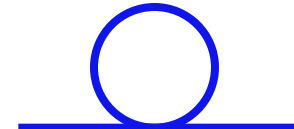
A. Arrizabalaga, J. Smit and A. Tranberg (2004,2005)

Mass

$$m_0^2 = m^2 - \left[\frac{\lambda}{2a^2} I_1(am) \right]$$

In symmetric phase

$a m$: lattice spacing

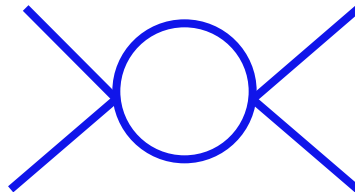
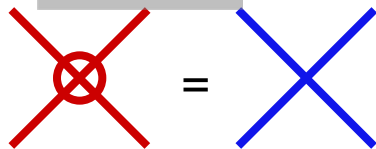


Quadratic divergent

→ Subtraction

$$I_1(am) = \int_{-\pi}^{\pi} \frac{d^3 k}{(2\pi)^3} \frac{1}{2\sqrt{a^2 m^2 + k_{\text{lat}}^2}},$$

Vertex



Logarithmic divergent

→ Bethe-Salpeter eq.

$$I_2(am) = \frac{1}{16\pi^2} \ln(a^2 m^2) + \mathcal{O}(a^2 m^2)$$

For $0.5 \leq a m \leq 1$ the difference between λ_0 and λ is less than 10%
($\lambda = 1, 6$)

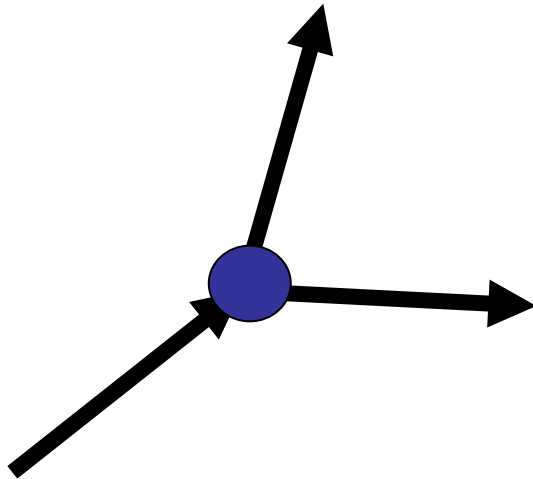
We can use **bare coupling** as if it were **renormalized coupling** when the relevant length scale is larger than a in numerical simulation. ($a < m^{-1}$)

It is expected that the above analysis might hold at gauge theory with coupling expansion.

Microscopic process (Non-Abelian)

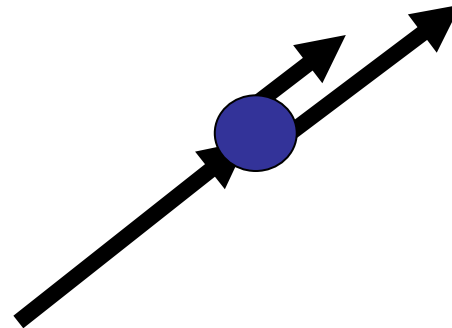
Each microscopic process is possible in 2+1 and 3+1 dimensions.

For 3 transverse fluctuations,



$$C \neq 0$$

Entropy production



$$C = 0$$

No entropy production

The 0-to-3 and 1-to-2 might contribute to isotropization with entropy production. These processes are prohibited in Boltzmann limit without spectral width and memory integral.