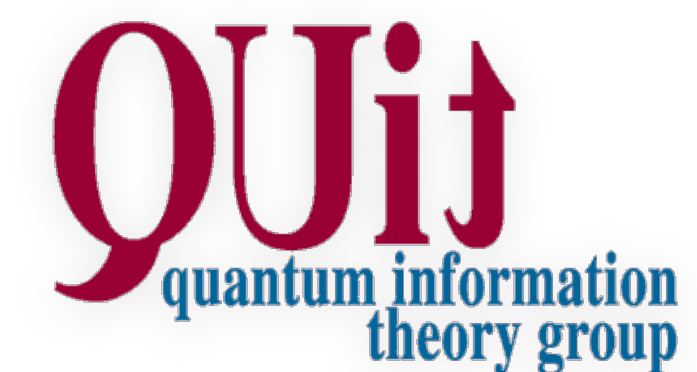


# Operational probabilistic theories and cellular automata: how I learned to stop worrying and love $C^*$ algebras

School on Advanced Topics in Quantum Information and Foundations

Quantum Information Unit and the Yukawa Institute for Theoretical Physics, Kyoto University



Paolo Perinotti - February 8-12 2021

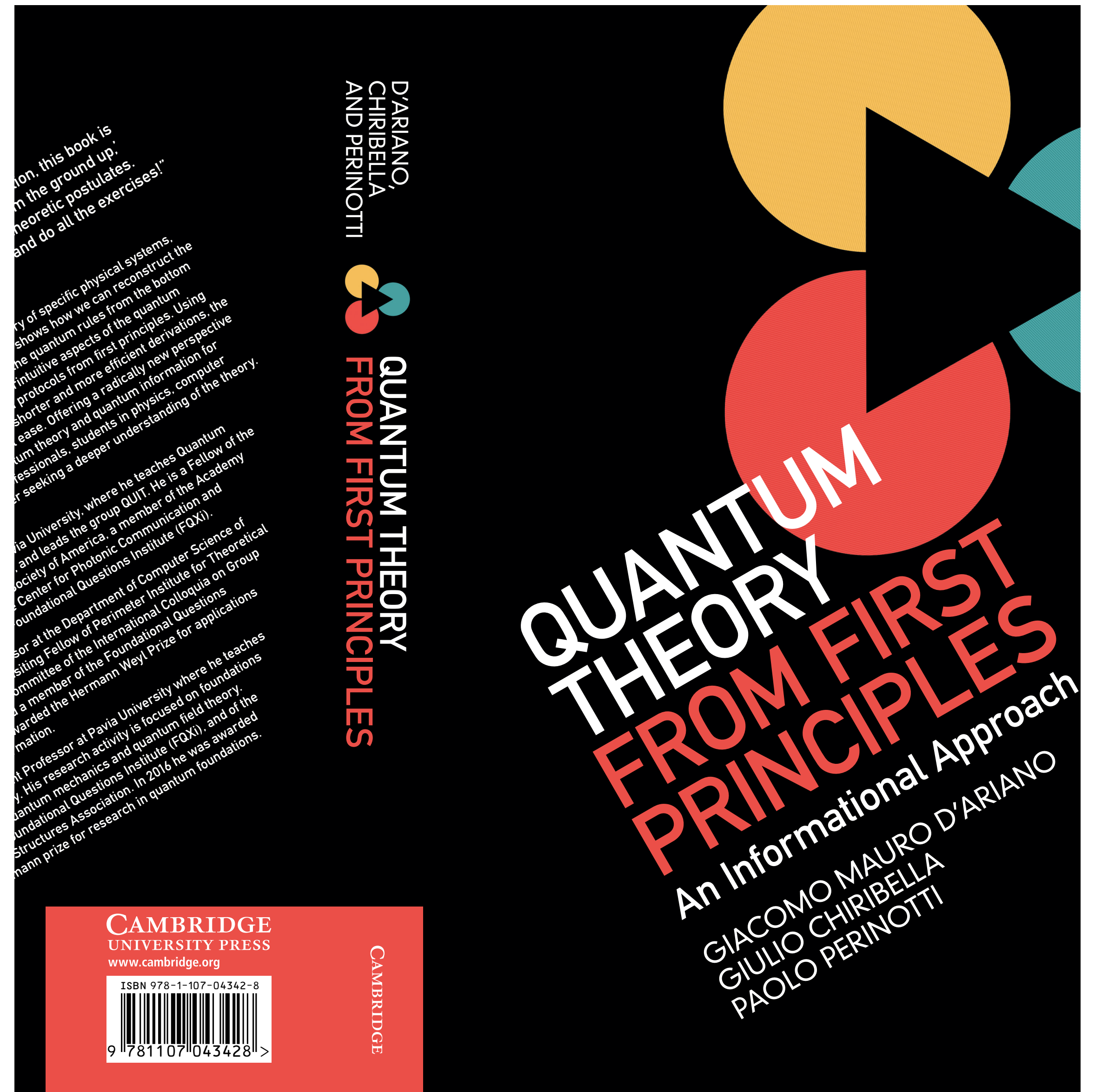
# Lecture 1

# Operational Probabilistic Theories

# Quantum information theory

## Informational derivation

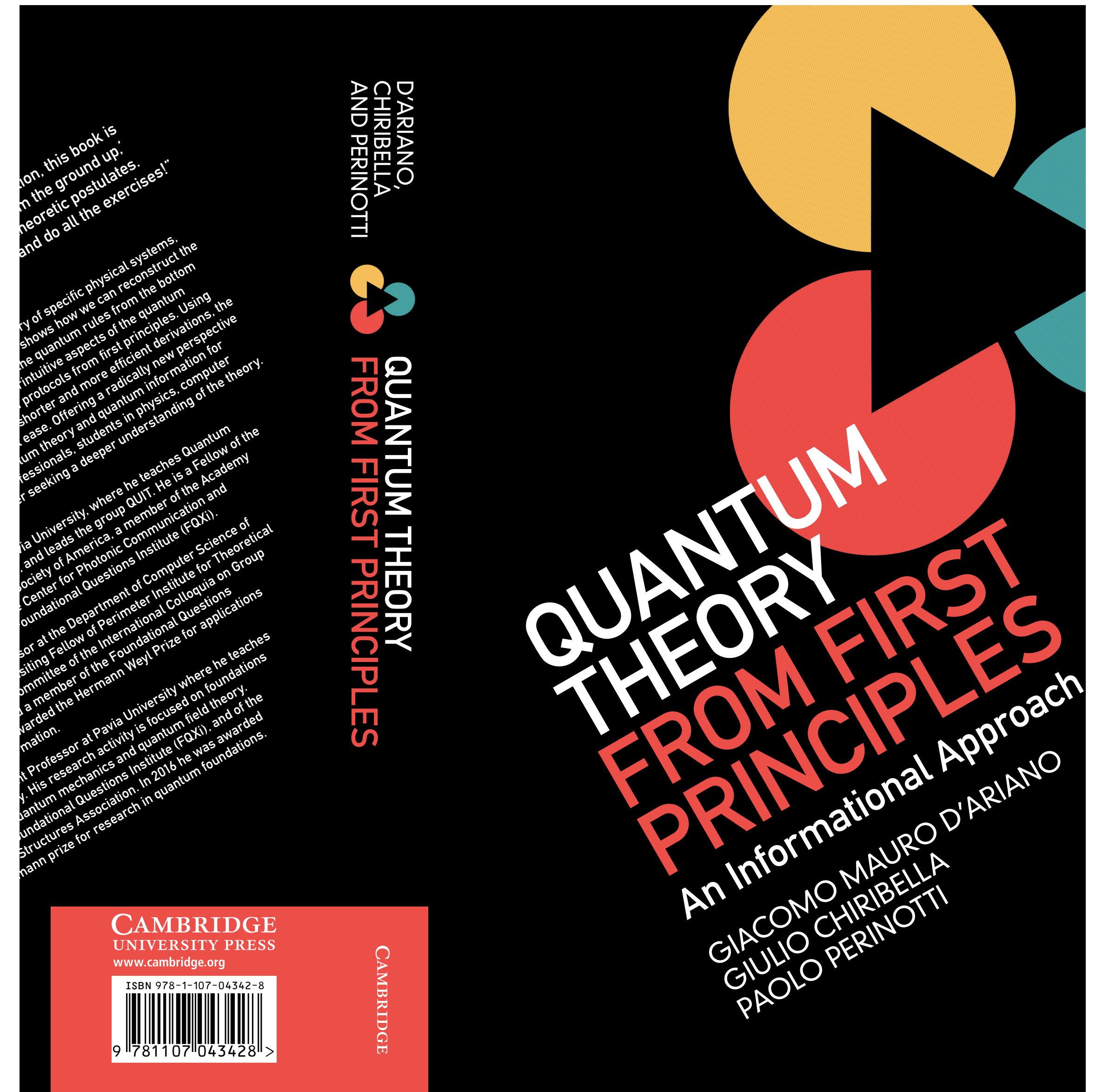
- The mathematical language of quantum theory: systems and processes



# Quantum information theory

## Informational derivation

- The mathematical language of quantum theory: systems and processes
- Systems are thought of as **elementary memory cells** in the first place, rather than elementary constituents of matter



# Physical Semantics

**How to recover it in a purely information-theoretic framework?**

- No notion of **space** and **time**
- Is it possible to recover mechanical concepts?
- Is it possible to derive **physical laws**?
- **How?**

# The classical universe

- Classical mechanics and Laplace's clockwork universe

We may regard the present state of the universe as the effect of its past and the cause of its future. An intellect which at a certain moment would know all forces that set nature in motion, and all positions of all items of which nature is composed, if this intellect were also vast enough to submit these data to analysis, it would embrace in a single formula the movements of the greatest bodies of the universe and those of the tiniest atom; for such an intellect nothing would be uncertain and the future just like the past would be present before its eyes.

Pierre Simon Laplace, *A Philosophical Essay on Probabilities*

# The classical universe

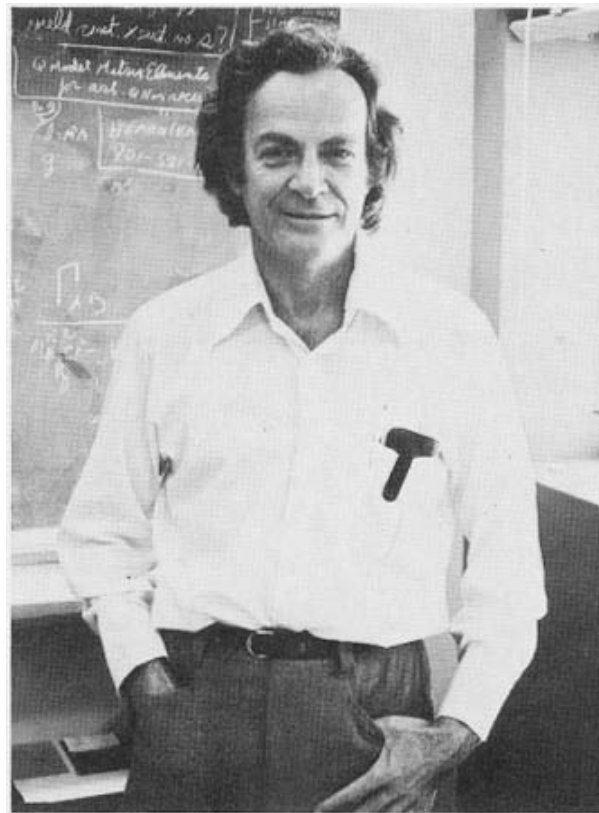
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- World view of quantum mechanics?

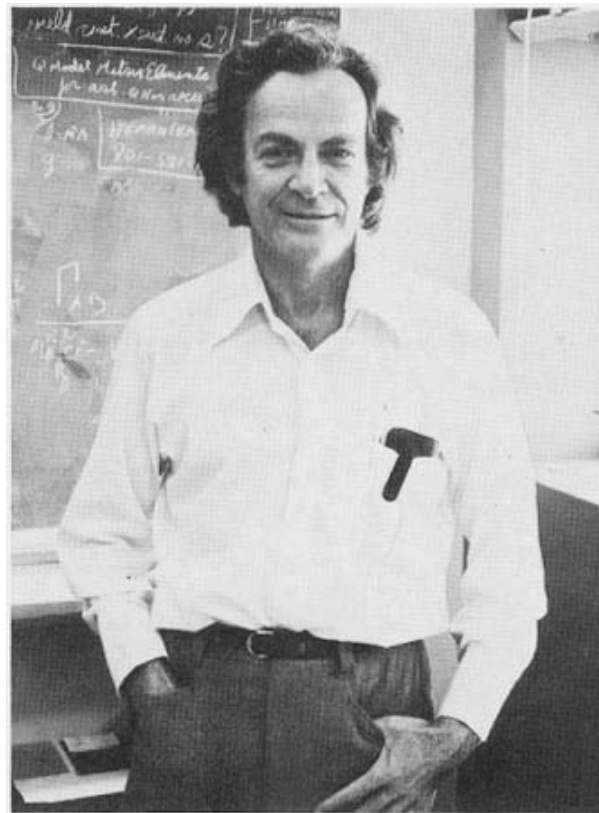
# Digital Universe



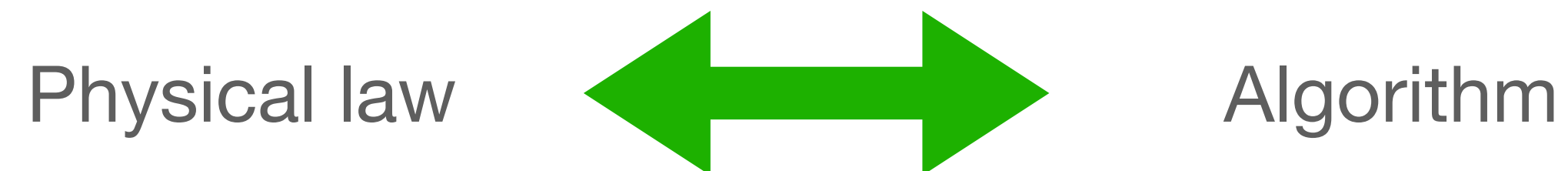
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# Digital Universe



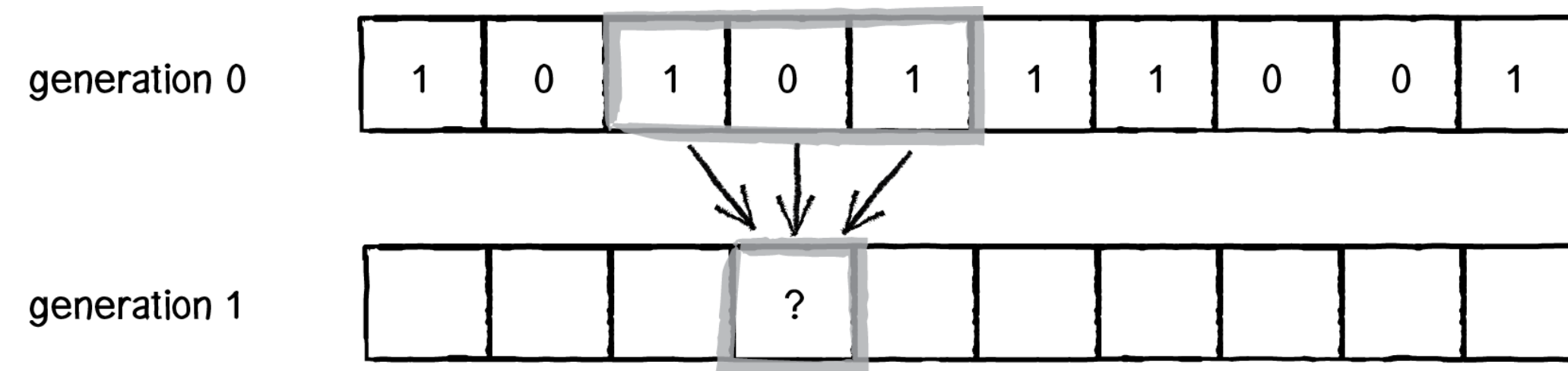
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# Cellular Automata

J. Von Neumann and A. W. Burks, "Theory of self-reproducing automata" 1966

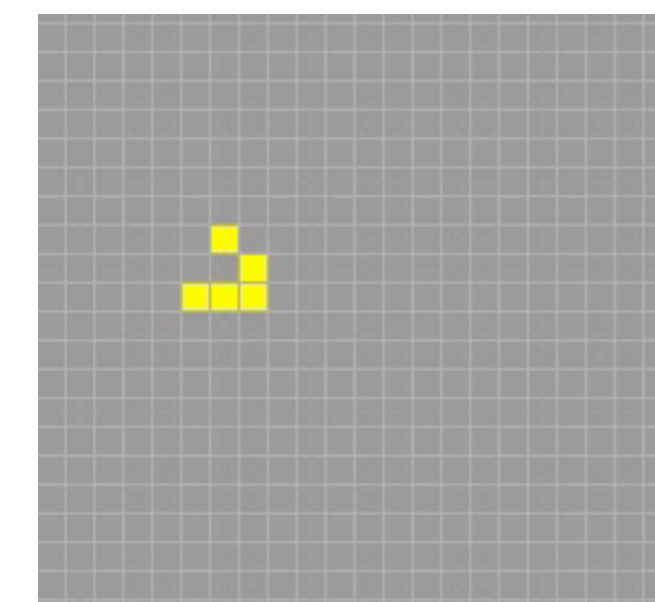
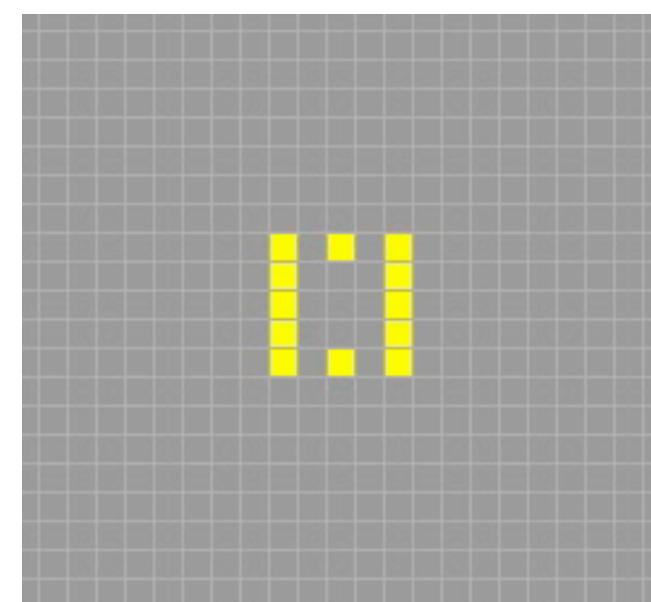
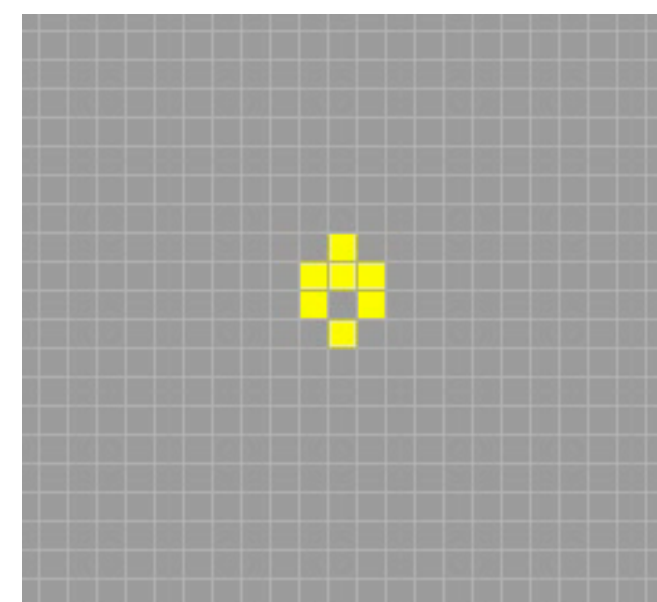
## Conway's game of life



Two-dimensional cellular automata

1	0	1	0	1	0
0	0	1	0	1	1
1	1	1	0	1	1
1	0	1	0	1	0
0	0	0	1	1	0
1	1	0	0	1	0
1	1	1	0	0	0
1	0	1	1	1	1

a neighborhood  
of 9 cells

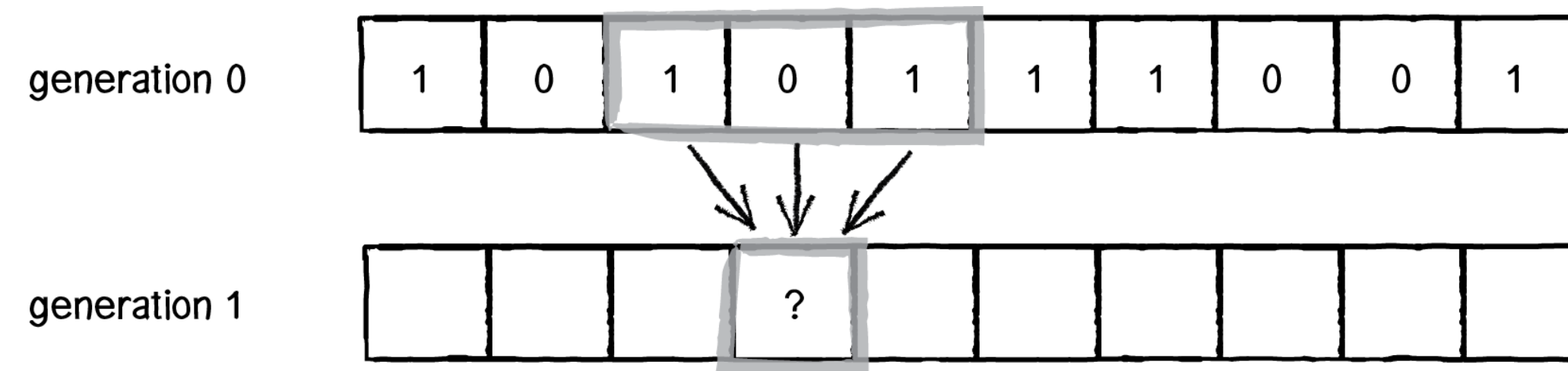


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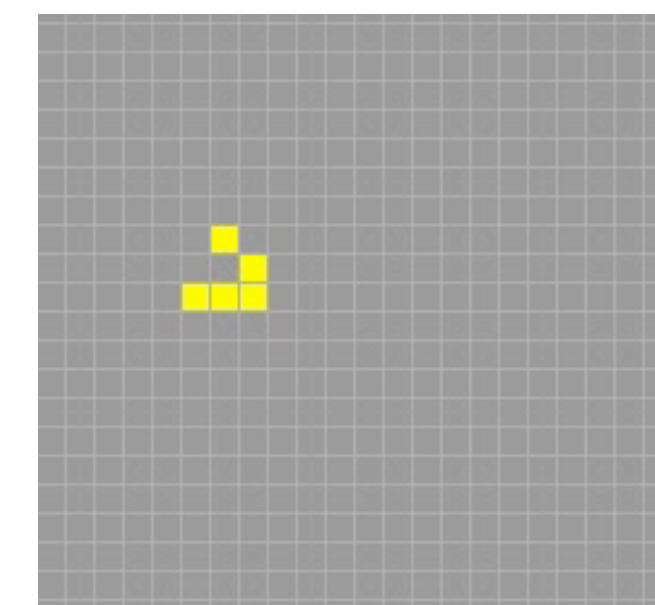
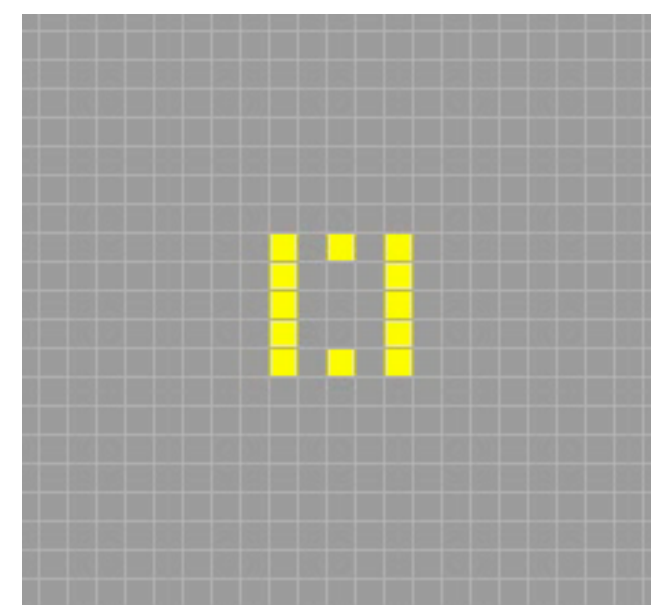
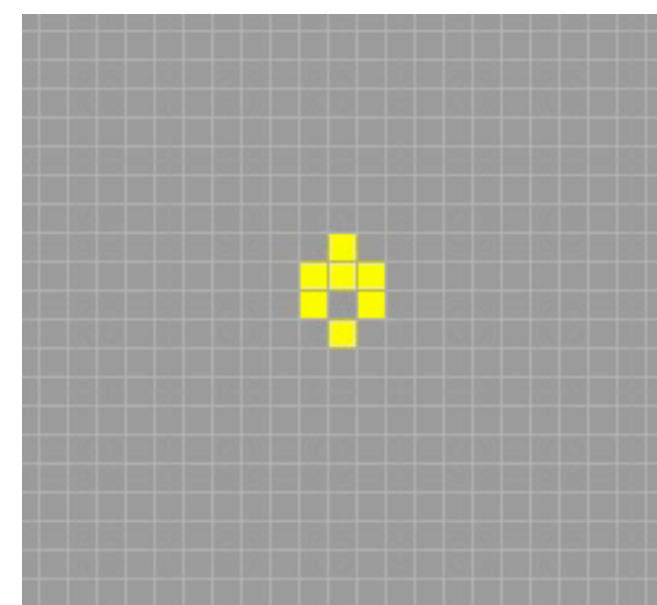
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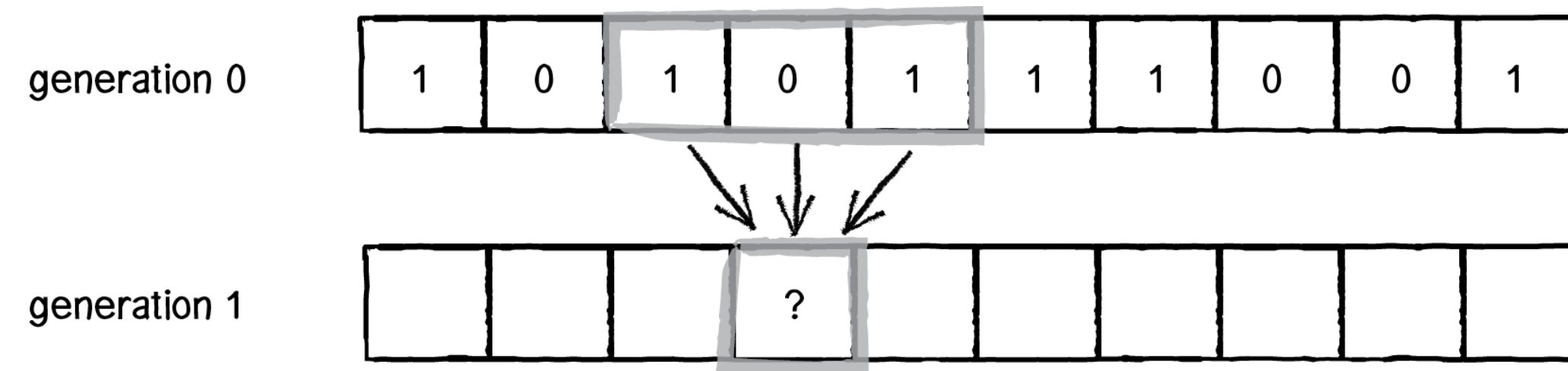


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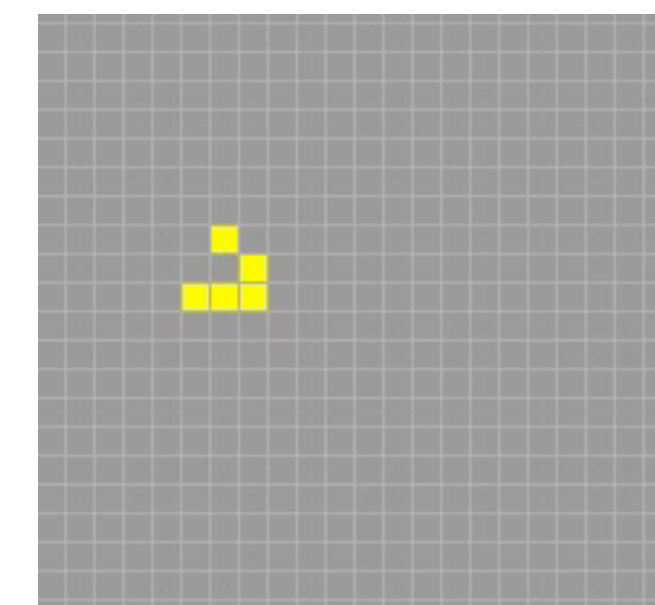
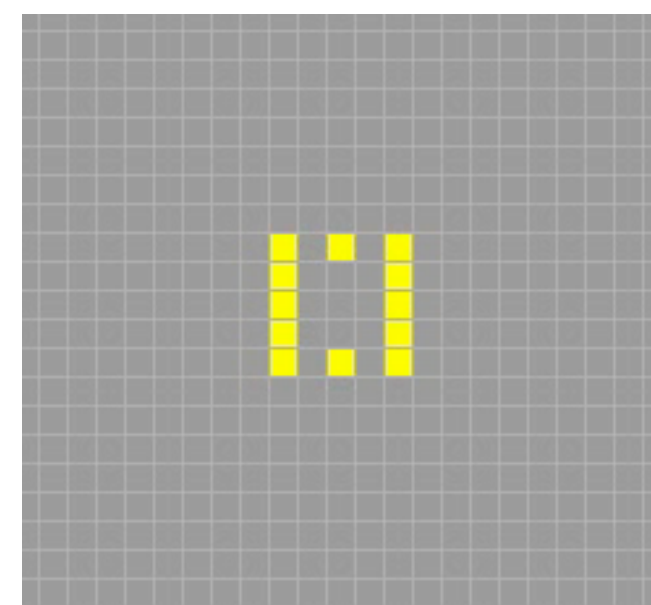
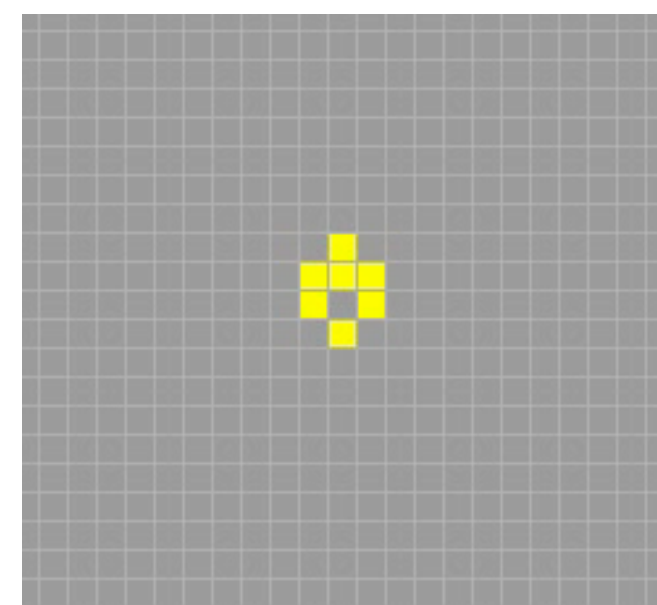
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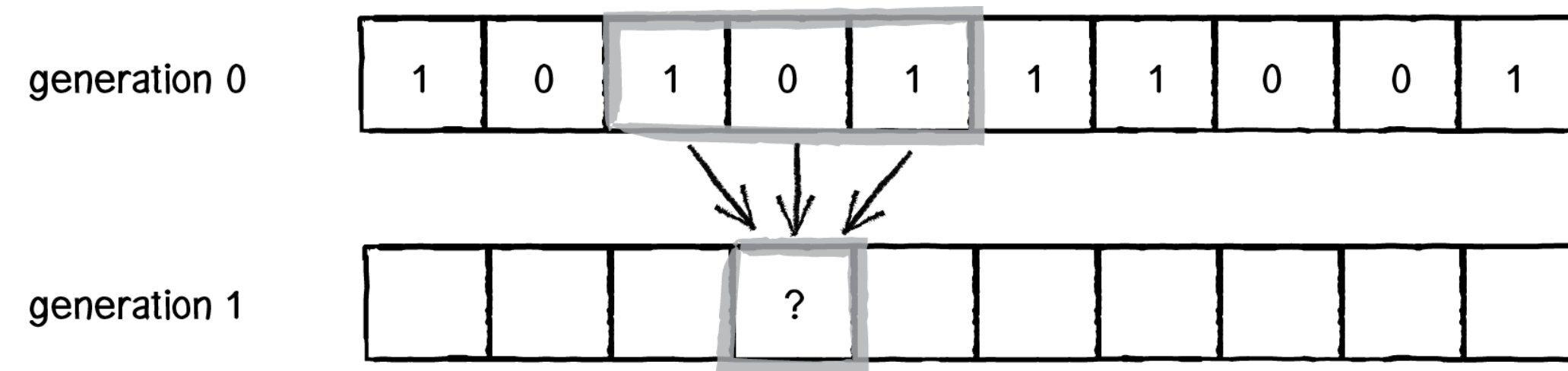


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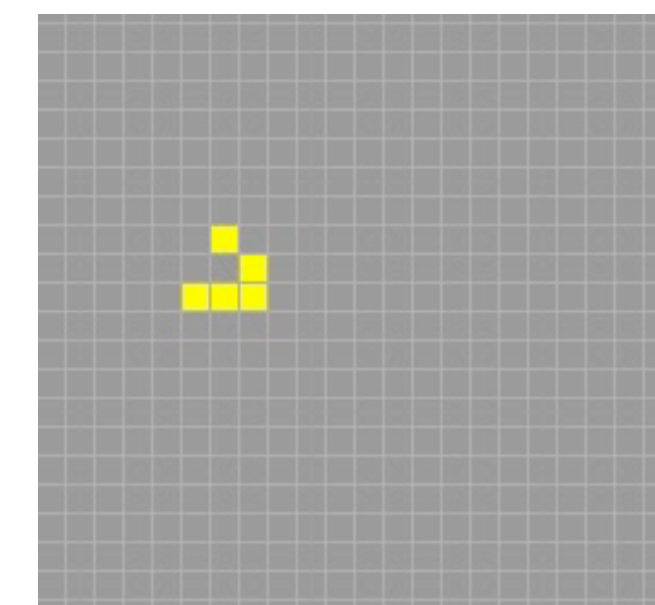
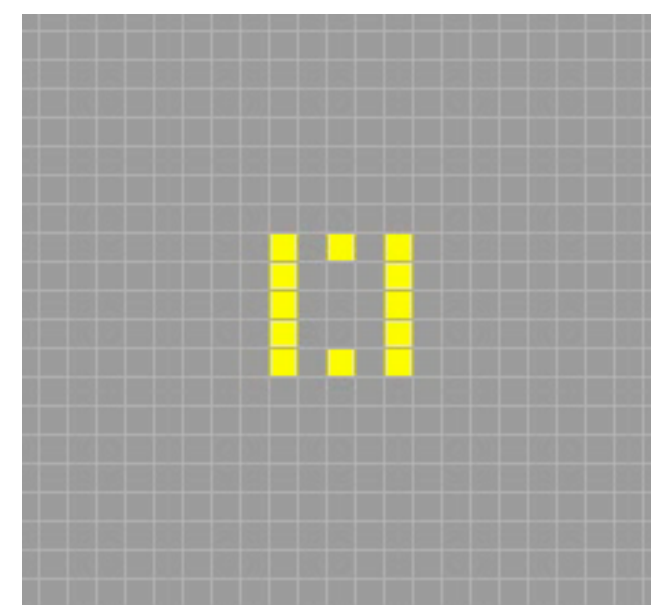
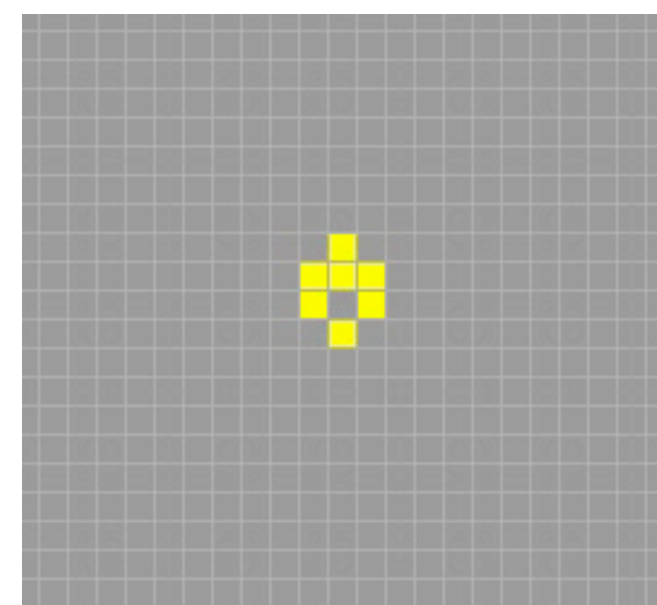
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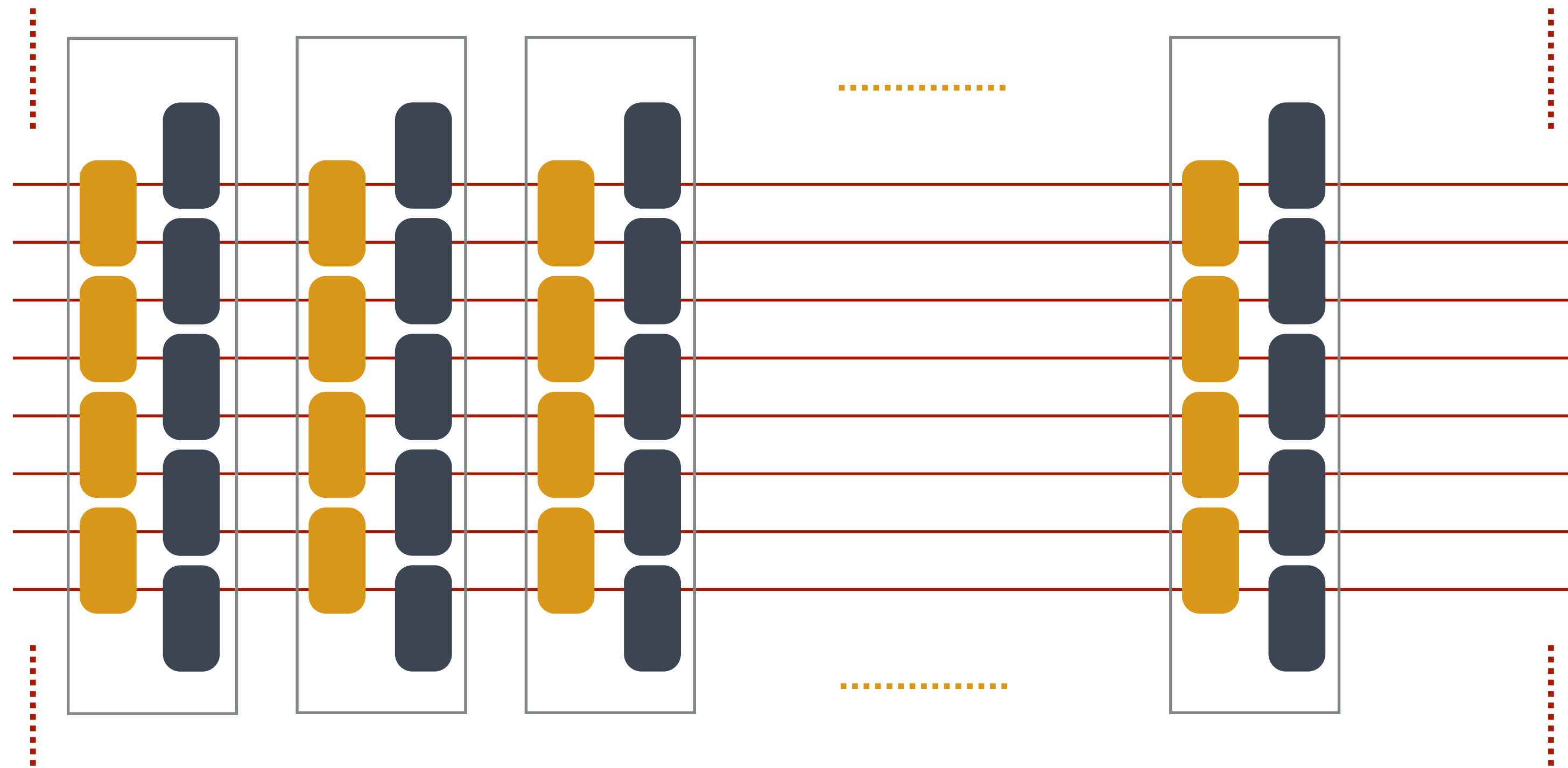
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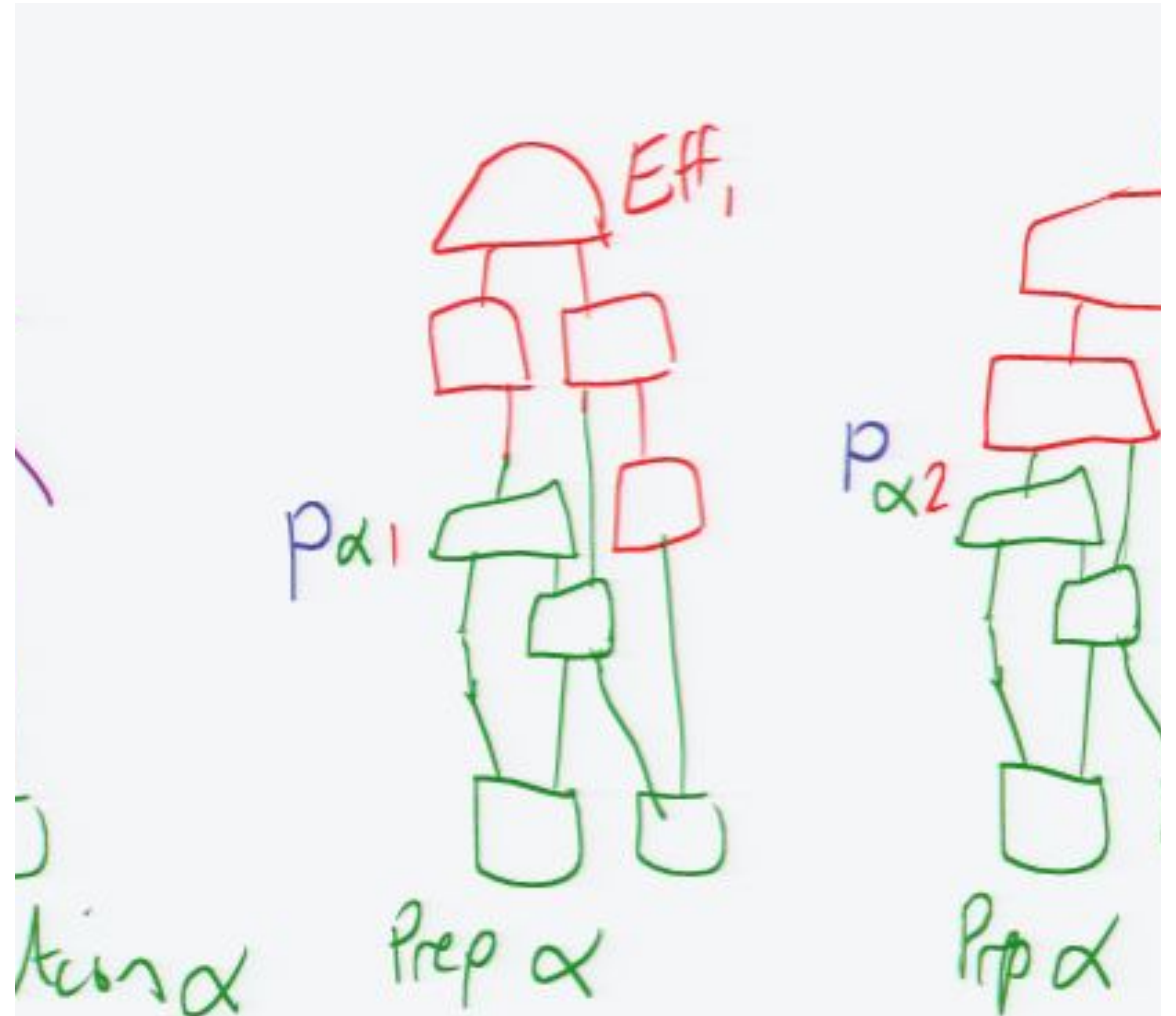
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# Quantum cellular automata

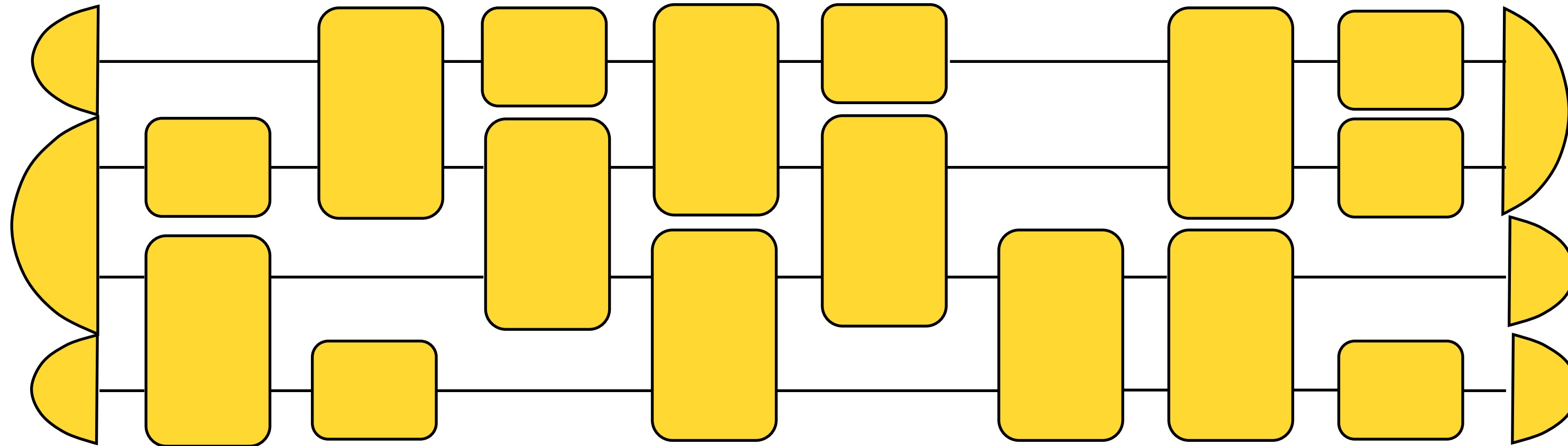


# Overview

- Operational language
- Probabilistic structure
- Examples
- Main properties
  - Causality
  - Local discriminability
  - Purification



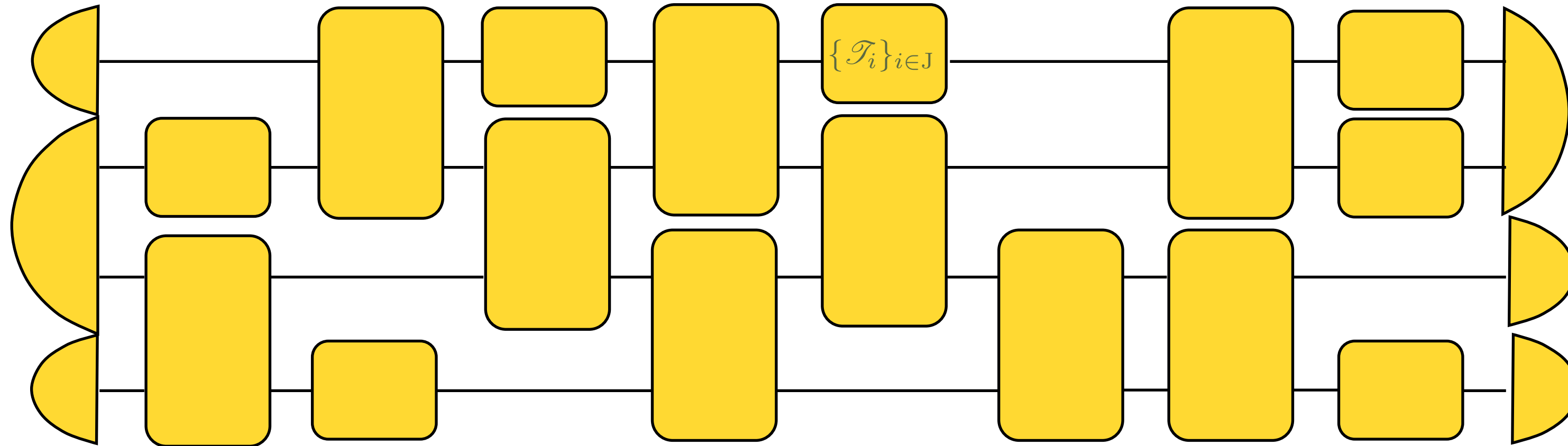
# Operational Language



- Operational theory: tests with composition rules

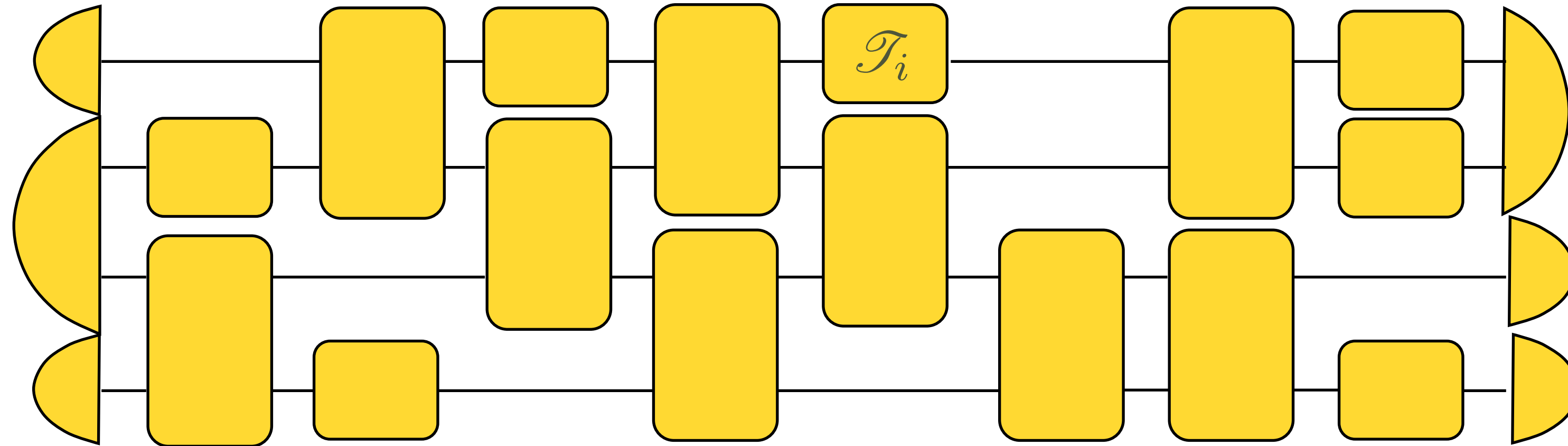


# Operational Language



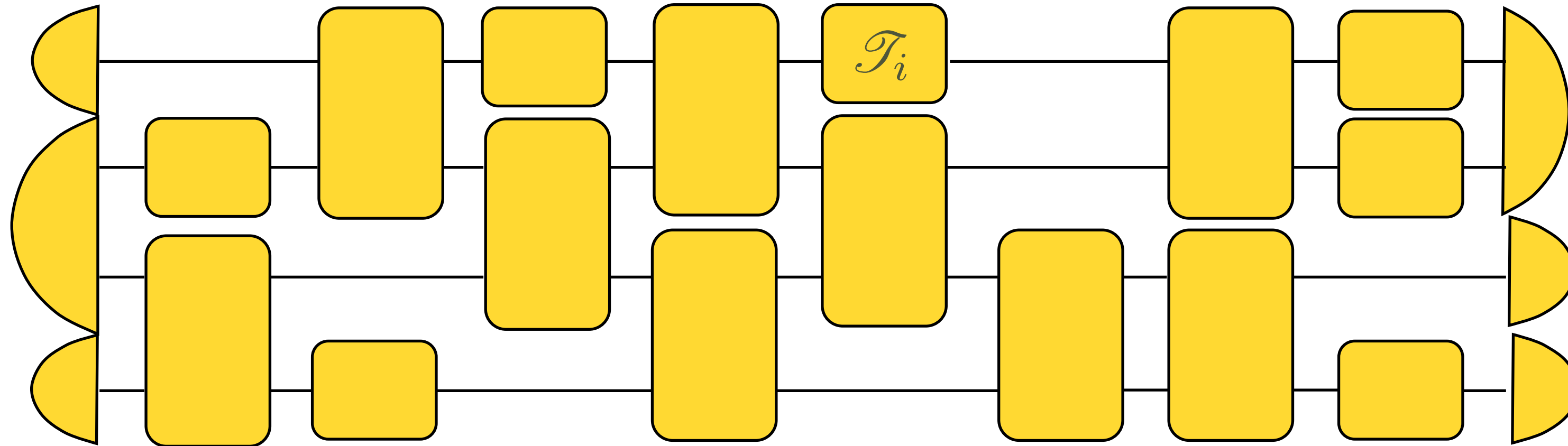
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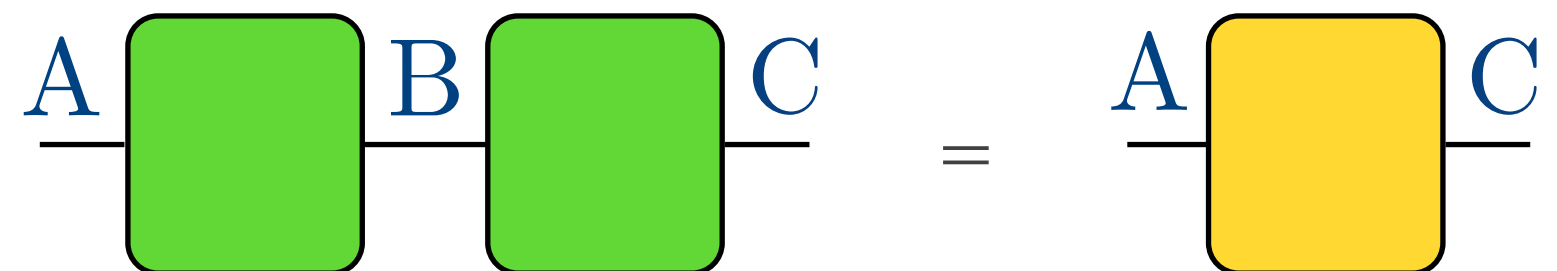
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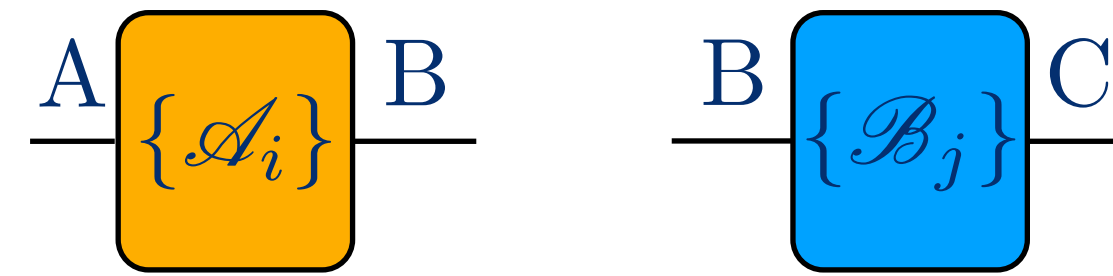
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Sequential

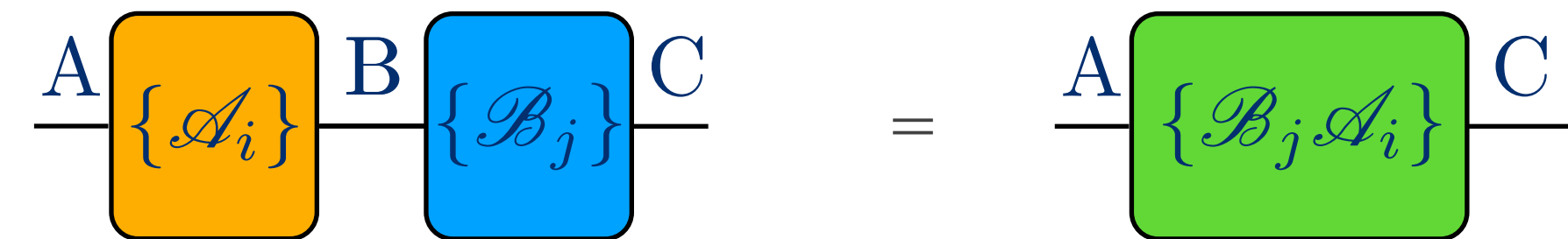




# Sequential composition



# Sequential composition



$$i \in I, j \in J, \Rightarrow (i, j) \in I \times J$$

# Sequential composition

$$\begin{array}{c} A \quad \boxed{\mathcal{A}_i} \quad B \quad \boxed{\mathcal{B}_j} \quad C \\ \hline \end{array} = \begin{array}{c} A \quad \boxed{\mathcal{B}_j \mathcal{A}_i} \quad C \\ \hline \end{array}$$

$i \in I, j \in J, \Rightarrow (i, j) \in I \times J$

- Properties

- Associativity

$$\begin{array}{c} A \quad \boxed{\mathcal{B}_j \mathcal{A}_i} \quad C \quad \boxed{\mathcal{C}_k} \quad D \\ \hline \end{array} = \begin{array}{c} A \quad \boxed{\mathcal{A}_i} \quad B \quad \boxed{\mathcal{C}_k \mathcal{B}_j} \quad D \\ \hline \end{array} = \begin{array}{c} A \quad \boxed{\mathcal{A}_i} \quad B \quad \boxed{\mathcal{B}_j} \quad C \quad \boxed{\mathcal{C}_k} \quad D \\ \hline \end{array}$$

# Sequential composition

$$\frac{A \quad \boxed{\mathcal{A}_i} \quad B \quad \boxed{\mathcal{B}_j} \quad C}{=} \frac{A \quad \boxed{\mathcal{B}_j \mathcal{A}_i} \quad C}{}$$

$i \in I, j \in J, \Rightarrow (i, j) \in I \times J$

- Properties

- Associativity

$$\frac{A \quad \boxed{\mathcal{B}_j \mathcal{A}_i} \quad C \quad \boxed{\mathcal{C}_k} \quad D}{=} \frac{A \quad \boxed{\mathcal{A}_i} \quad B \quad \boxed{\mathcal{C}_k \mathcal{B}_j} \quad D}{=} \frac{A \quad \boxed{\mathcal{A}_i} \quad B \quad \boxed{\mathcal{B}_j} \quad C \quad \boxed{\mathcal{C}_k} \quad D}{=}$$

- Unit

$$\frac{A \quad \boxed{\mathcal{A}_i} \quad B \quad \boxed{\mathcal{I}_B} \quad B}{=} \frac{A \quad \boxed{\mathcal{I}_A} \quad A \quad \boxed{\mathcal{A}_i} \quad B}{=} \frac{A \quad \boxed{\mathcal{A}_i} \quad B}{=}$$



# Events

$$\begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{A} \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array} \begin{array}{c} \text{B} \\ \hline \end{array} \begin{array}{c} C \\ \hline \end{array} = \begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{BA} \\ \hline \end{array} \begin{array}{c} C \\ \hline \end{array}$$

- Properties

- Associativity

$$\begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{BA} \\ \hline \end{array} \begin{array}{c} C \\ \hline \end{array} \begin{array}{c} \text{C} \\ \hline \end{array} \begin{array}{c} D \\ \hline \end{array} = \begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{A} \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array} \begin{array}{c} \text{CB} \\ \hline \end{array} \begin{array}{c} D \\ \hline \end{array} = \begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{A} \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array} \begin{array}{c} \text{B} \\ \hline \end{array} \begin{array}{c} C \\ \hline \end{array} \begin{array}{c} \text{C} \\ \hline \end{array} \begin{array}{c} D \\ \hline \end{array}$$

- Unit

$$\begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{A} \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array} \begin{array}{c} \text{I}_B \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array} = \begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{I}_A \\ \hline \end{array} \begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{A} \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array} = \begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{A} \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array}$$

Reversible event:

$$\begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{U} \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array} \begin{array}{c} \text{U}^{-1} \\ \hline \end{array} \begin{array}{c} A \\ \hline \end{array} = \begin{array}{c} A \\ \hline \end{array} \quad \begin{array}{c} B \\ \hline \end{array} \begin{array}{c} \text{U}^{-1} \\ \hline \end{array} \begin{array}{c} A \\ \hline \end{array} \begin{array}{c} \text{U} \\ \hline \end{array} \begin{array}{c} B \\ \hline \end{array} = \begin{array}{c} B \\ \hline \end{array}$$

# Parallel composition

- Systems:

$$\frac{A}{\underline{B}} = \frac{AB}{\underline{\quad}}$$

- Associativity

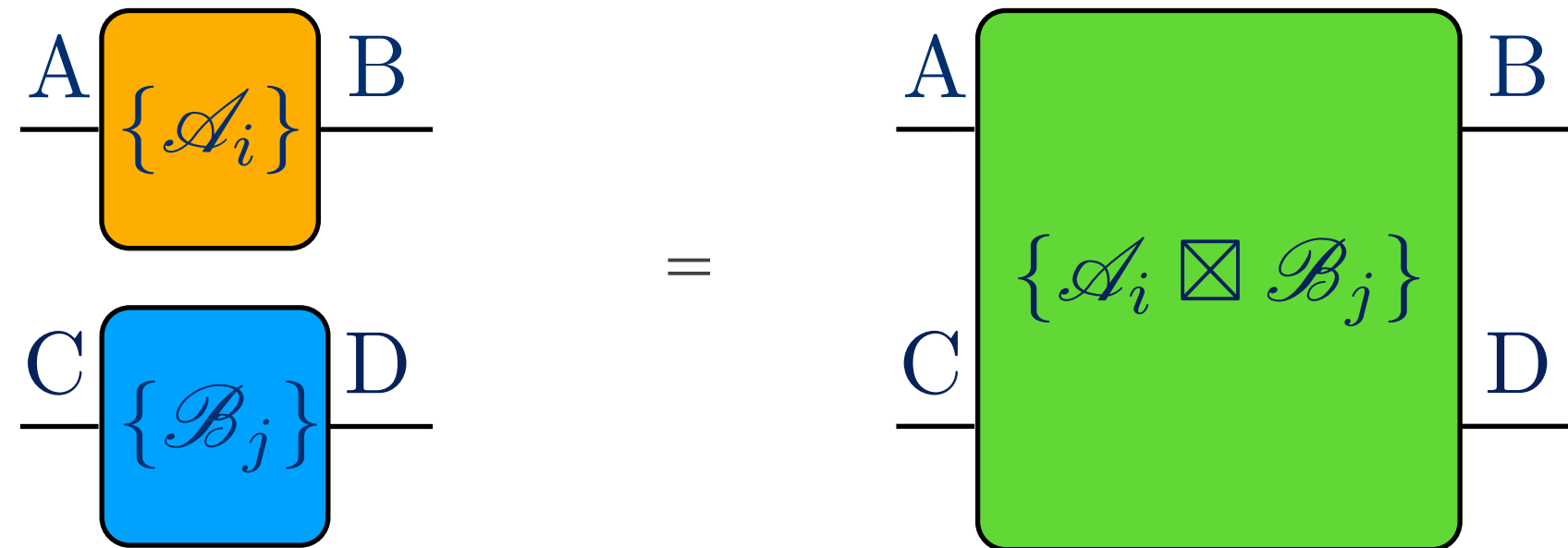
$$\frac{\frac{AB}{\underline{\quad}}}{\underline{C}} = \frac{\frac{A}{\underline{\quad}}}{\underline{BC}}$$

- Unit

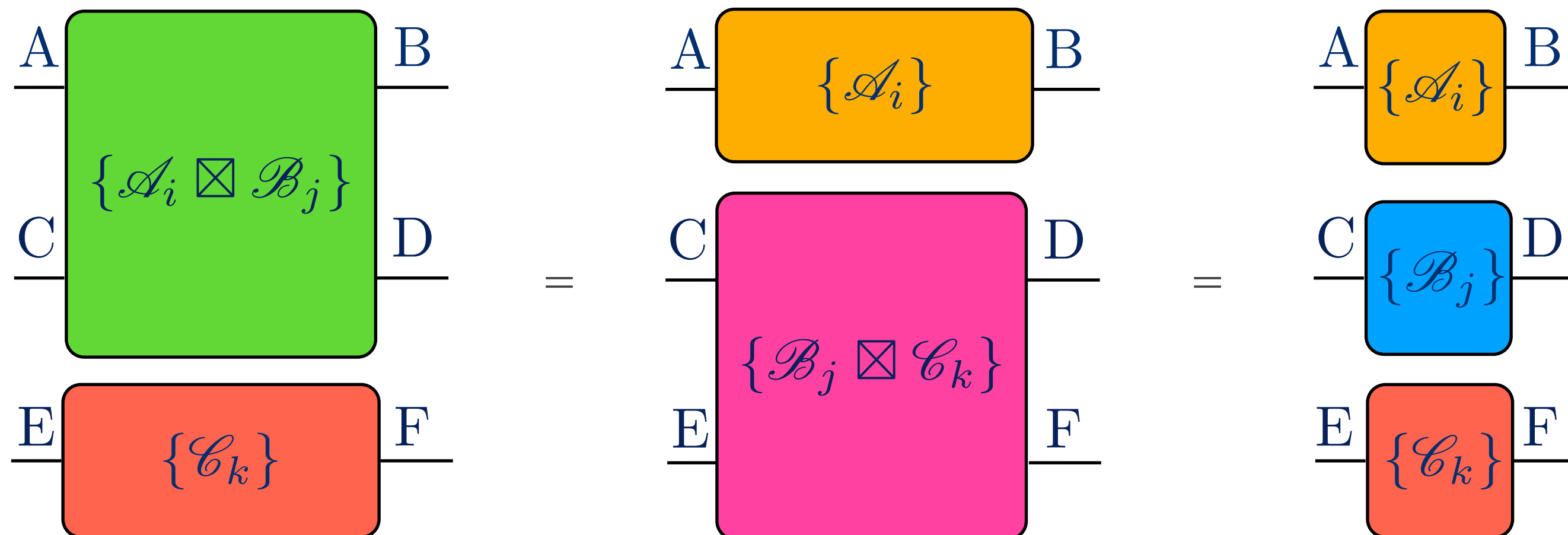
$$\frac{\frac{A}{\underline{\quad}}}{\underline{I}} = \frac{\frac{I}{\underline{\quad}}}{\underline{A}} = \underline{A}$$

# Parallel composition

- Tests:

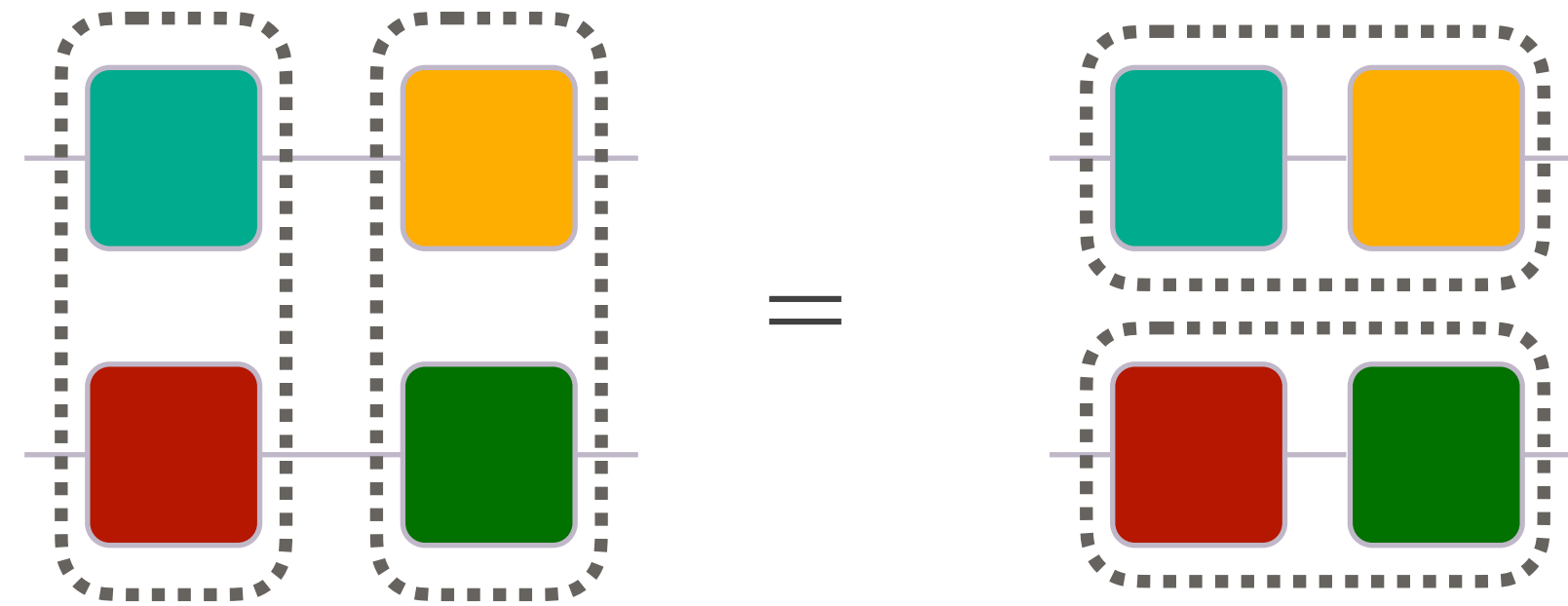


- Associativity



# Monoidal structure

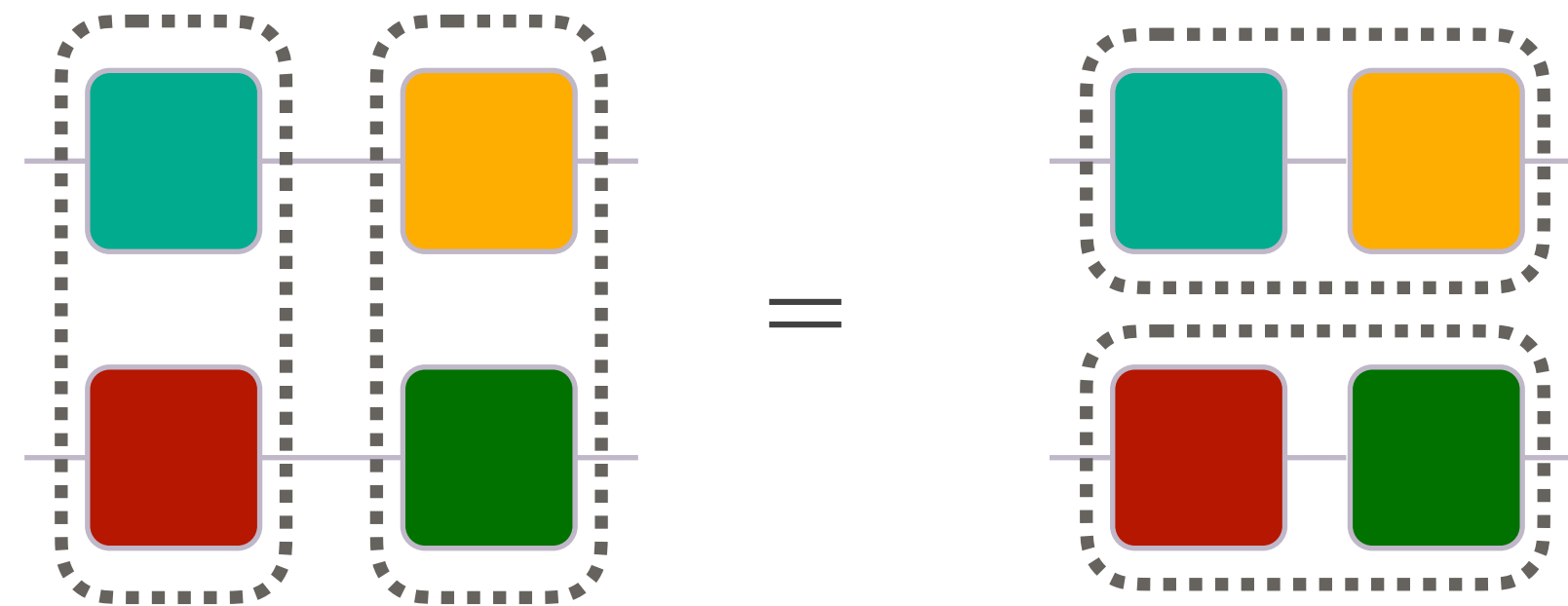
- Most important rule:



$$(A \otimes B)(C \otimes D) = (AC) \otimes (BD)$$

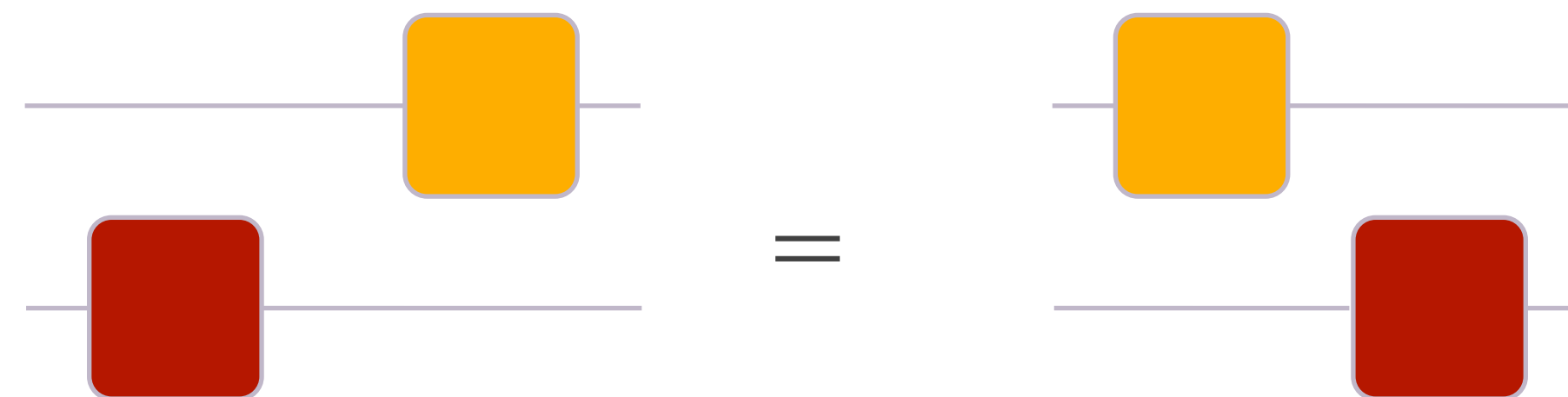
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- Most important rule:



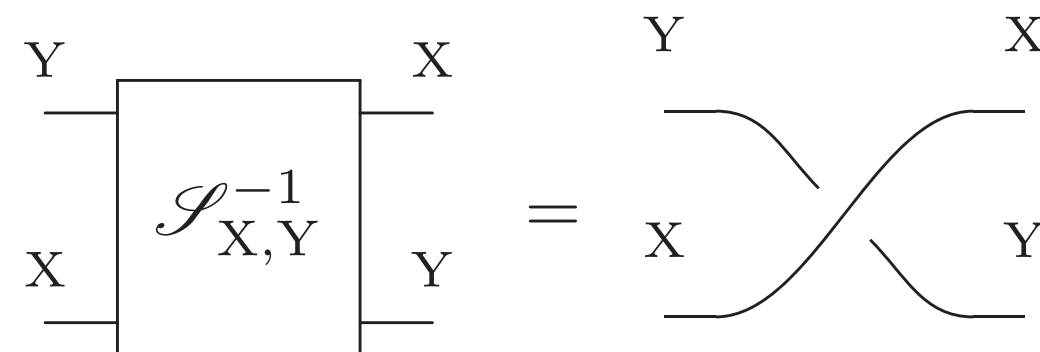
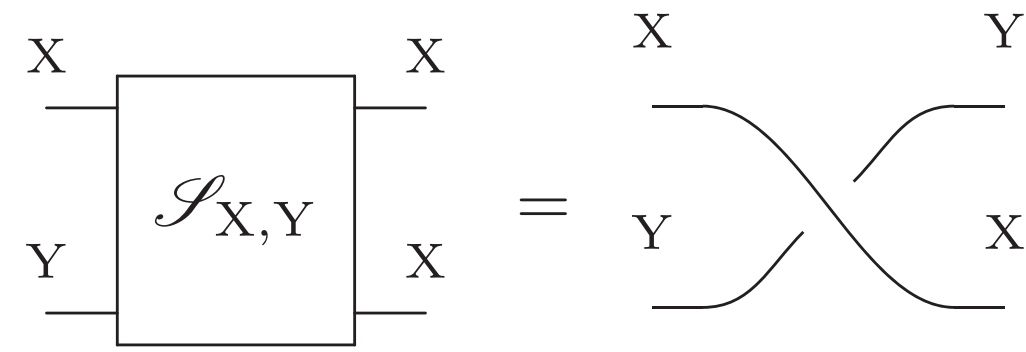
$$(A \otimes B)(C \otimes D) = (AC) \otimes (BD)$$

- Consequence:



# Braiding

- Every composite system  $AB$  is isomorphic to  $BA$

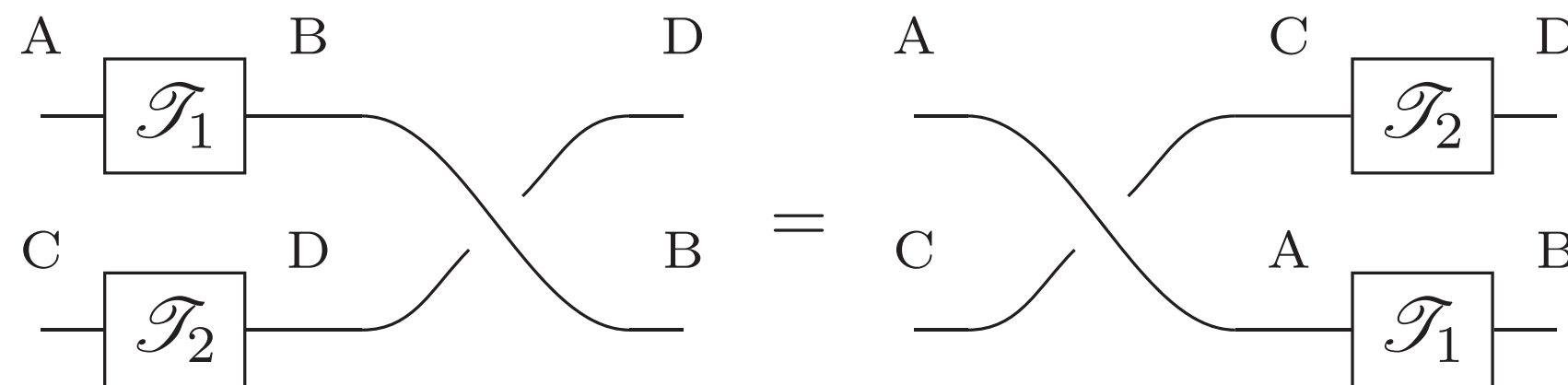


# Braiding

- Every composite system  $AB$  is isomorphic to  $BA$



- Characteristic property of Swap

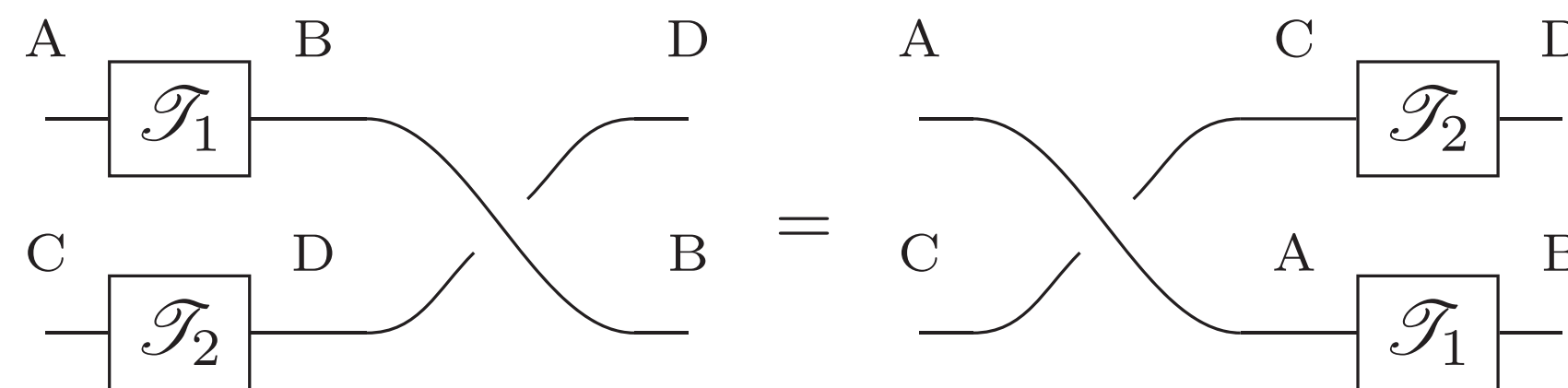


# Braiding

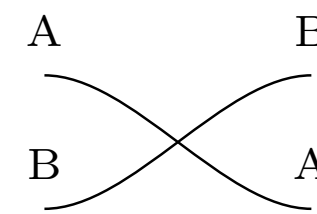
- Every composite system  $AB$  is isomorphic to  $BA$



- Characteristic property of Swap



- Symmetric theory:  $\mathcal{S}_{AB}^{-1} = \mathcal{S}_{BA}$





# Preparation and observation

- Special tests

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  - Trivial input: **preparation test**

$$\begin{array}{c} \text{I} \\ \hline \boxed{\{\rho_i\}} \\ \hline \text{A} \end{array} = \begin{array}{c} \boxed{\{\rho_i\}} \\ \hline \text{A} \end{array}$$

# Preparation and observation

- Special tests
  - Trivial input: **preparation test**

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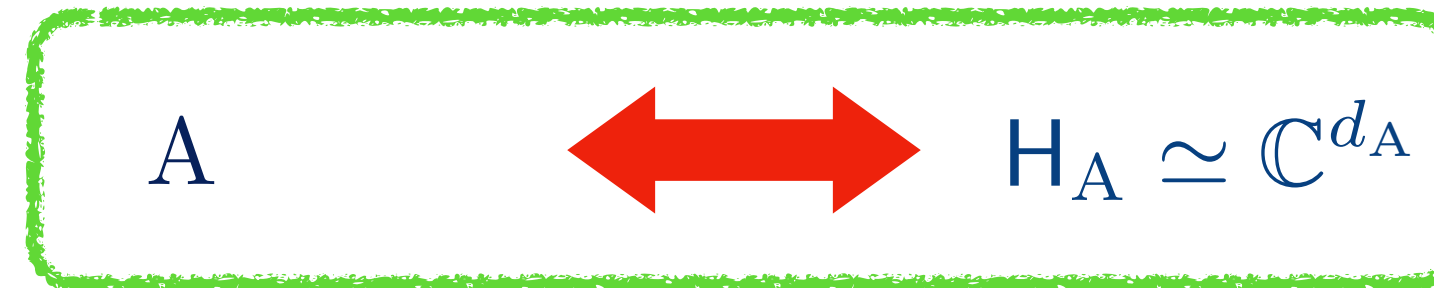
- Trivial output: **observation test**

$$\begin{array}{c} \text{A} \\ \hline \boxed{\{a_i\}} \\ \hline \text{I} \end{array} = \begin{array}{c} \text{A} \\ \hline \boxed{\{a_i\}} \end{array}$$

# Example I

## Quantum theory

- Systems correspond to complex Hilbert spaces



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$$A \longleftrightarrow H_A \simeq \mathbb{C}^{d_A}$$

- Tests: quantum instruments

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# Example I

## Quantum theory

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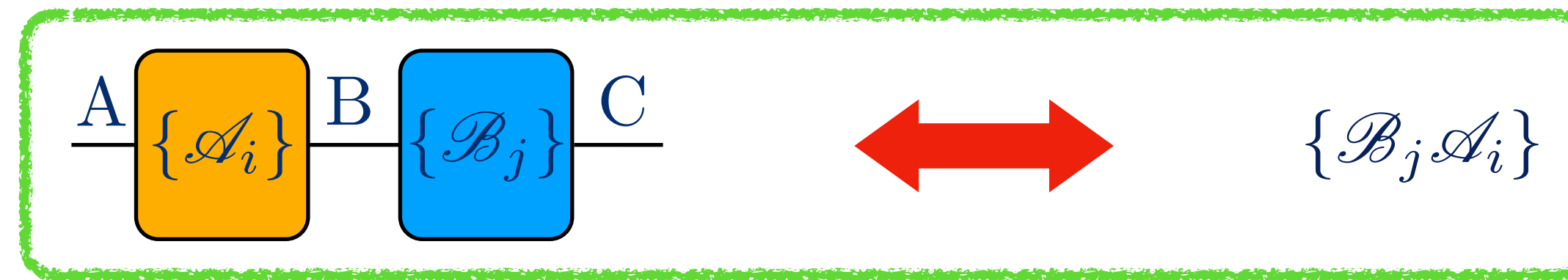
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- Preparations: ensembles  $\{\rho_i\}; \quad \forall i \rho_i \geq 0, \quad \text{Tr}[\sum_i \rho_i] = 1$

# Example I

## Quantum theory

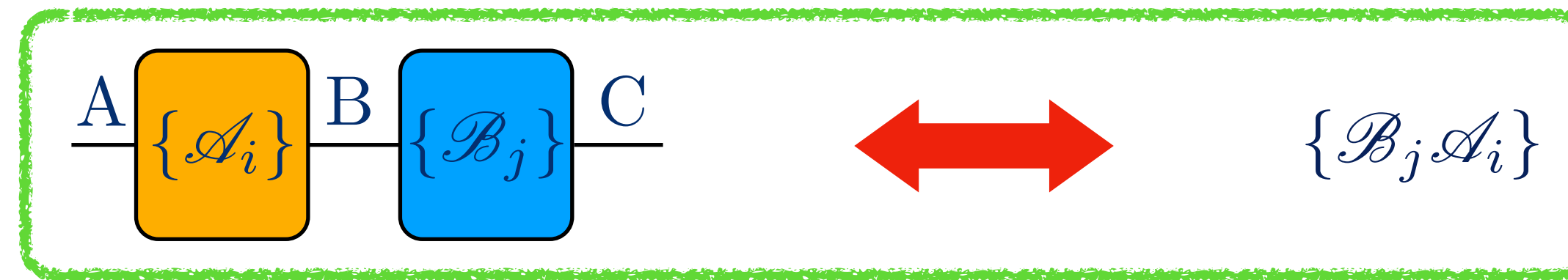
- Sequential composition: composition of CP maps



# Example I

## Quantum theory

- Sequential composition: composition of CP maps



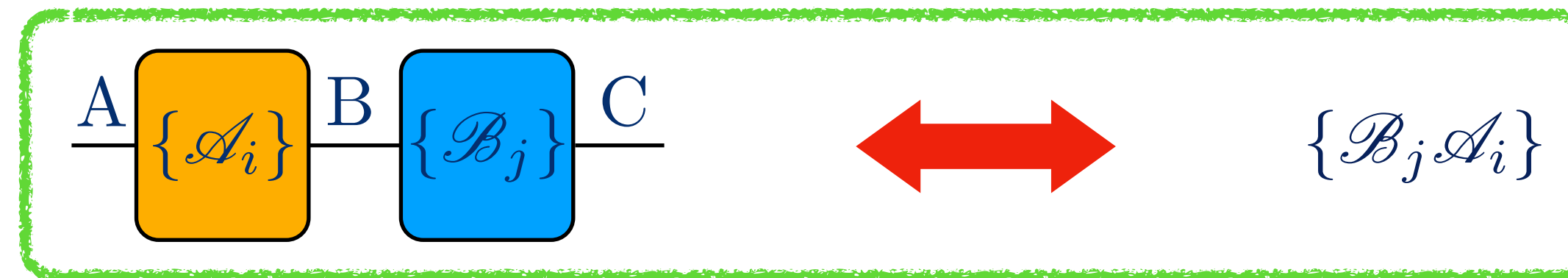
- Parallel composition: tensor product



# Example I

## Quantum theory

- Sequential composition: composition of CP maps



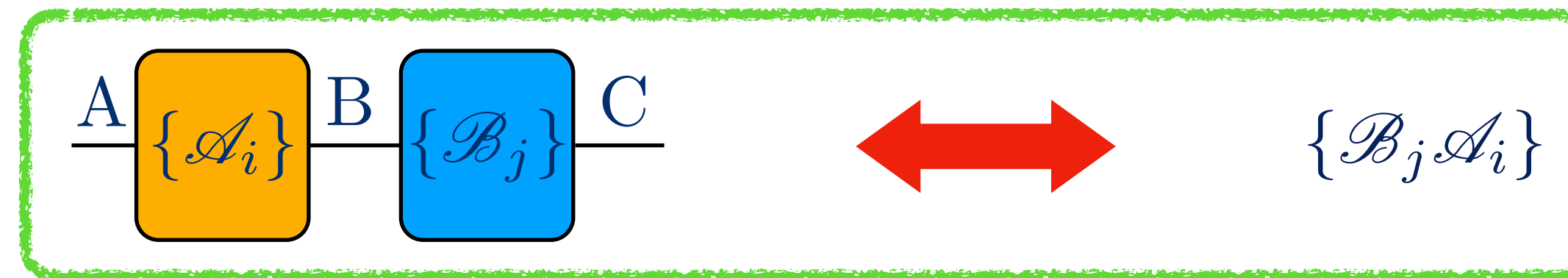
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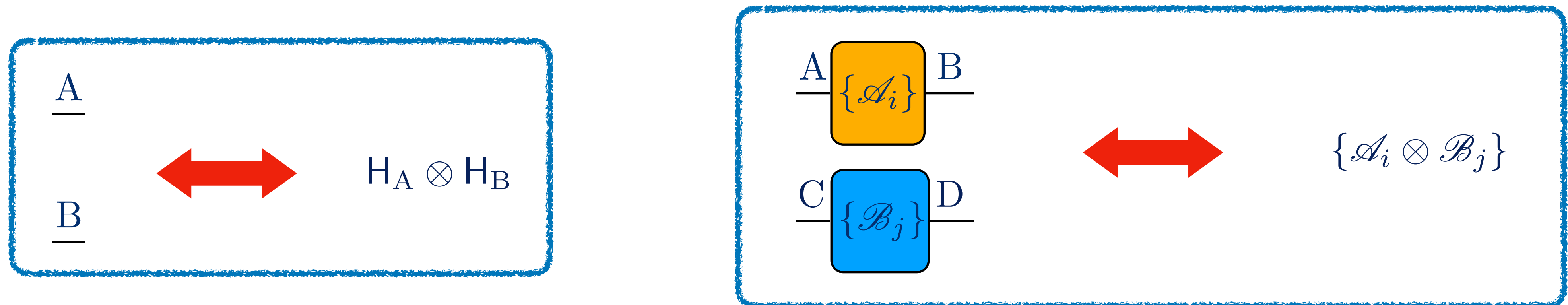
# Example I

## Quantum theory

- Sequential composition: composition of CP maps



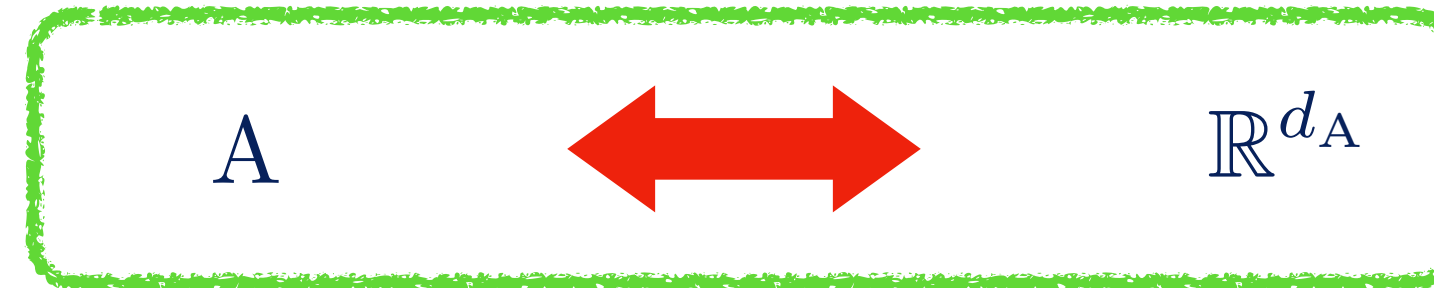
- Parallel composition: tensor product



# Example II

## Classical theory

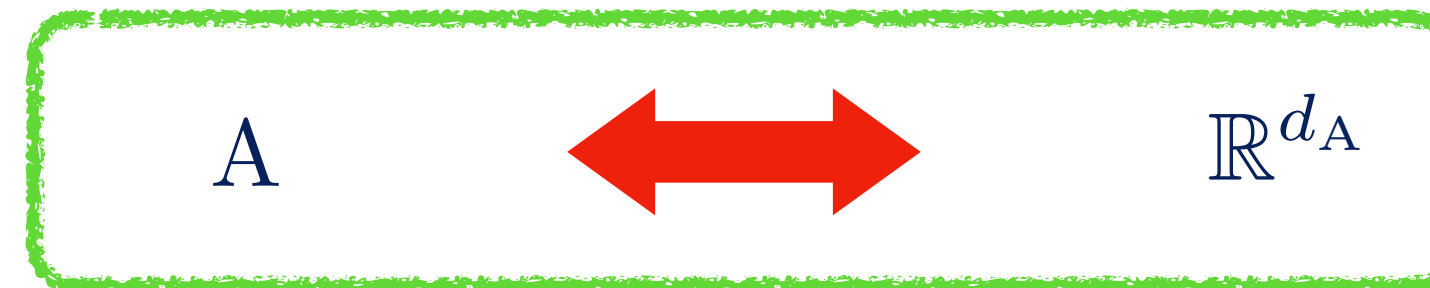
- Systems correspond to Real vector spaces



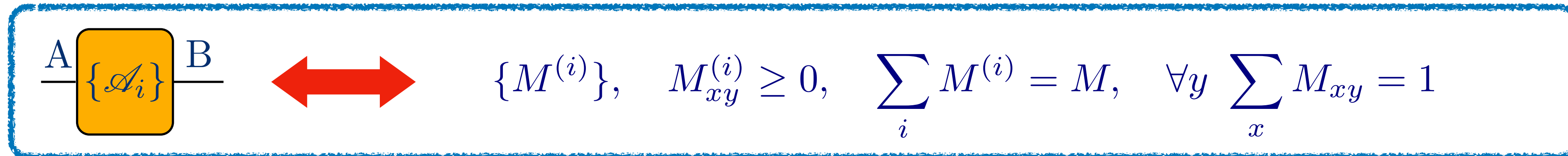
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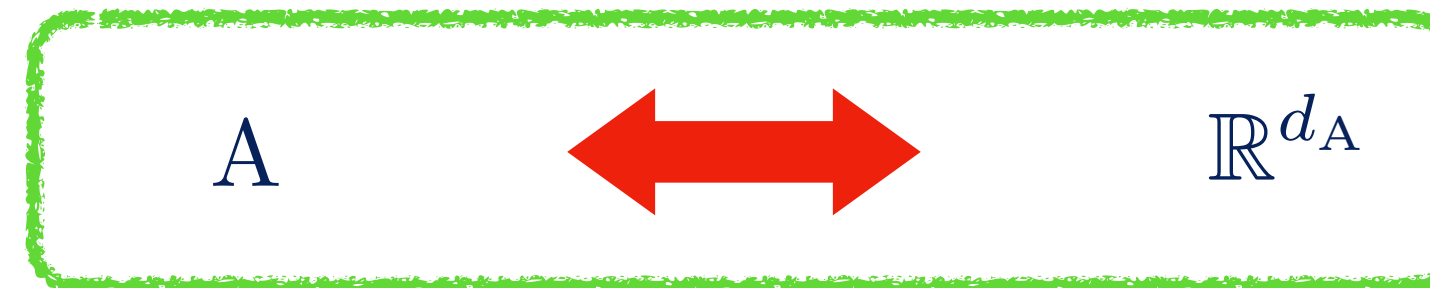
- Tests: collections of sub-Markov matrices



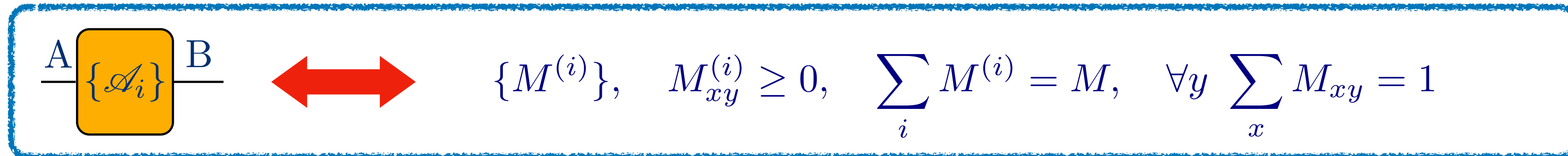
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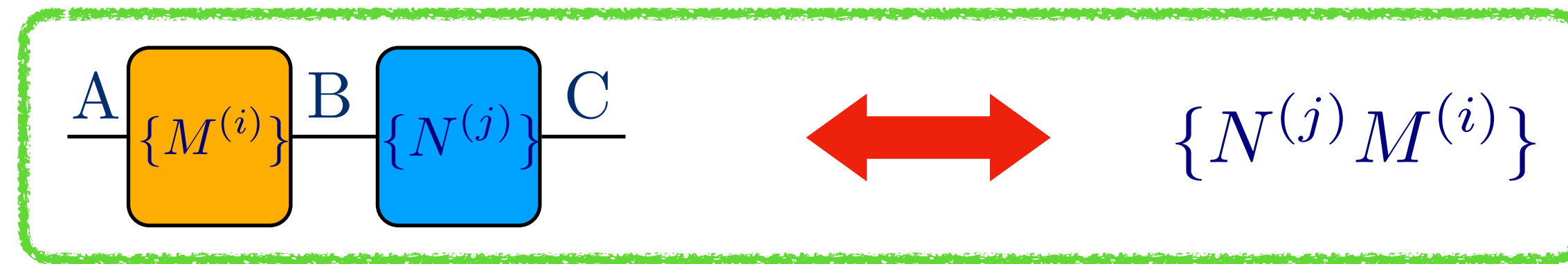


- States: probability vectors ( $y \in \{*\}$ )

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## Classical theory

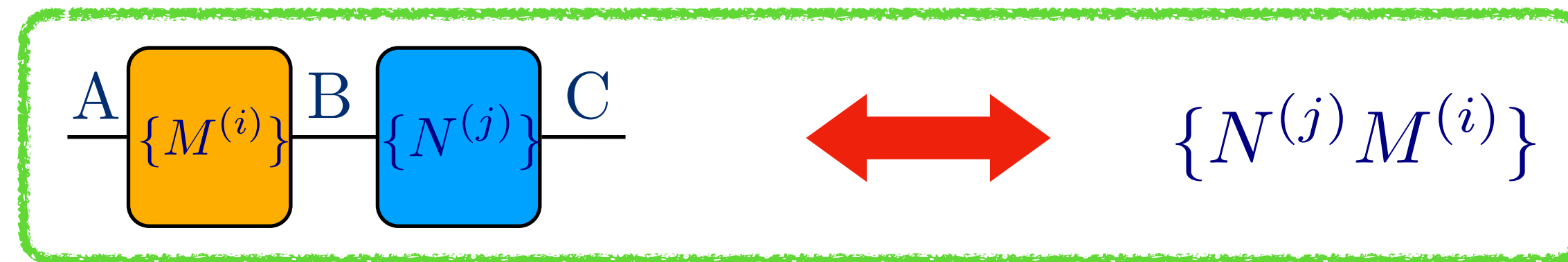
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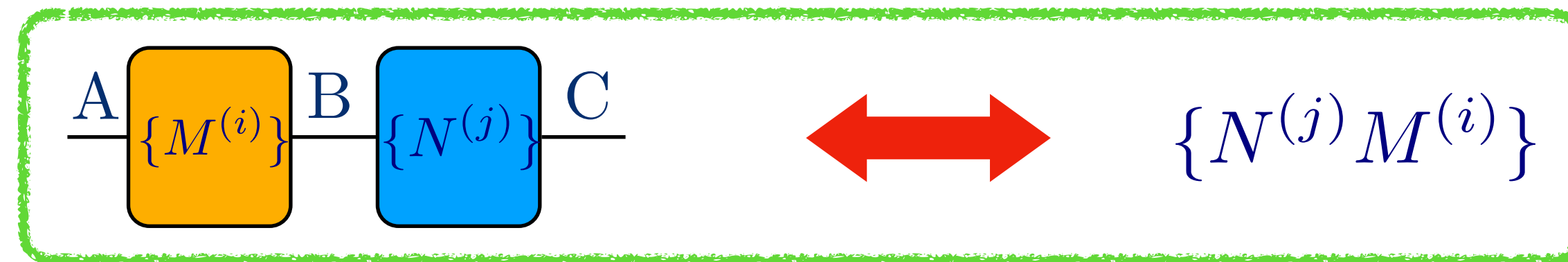


- Parallel composition: tensor product

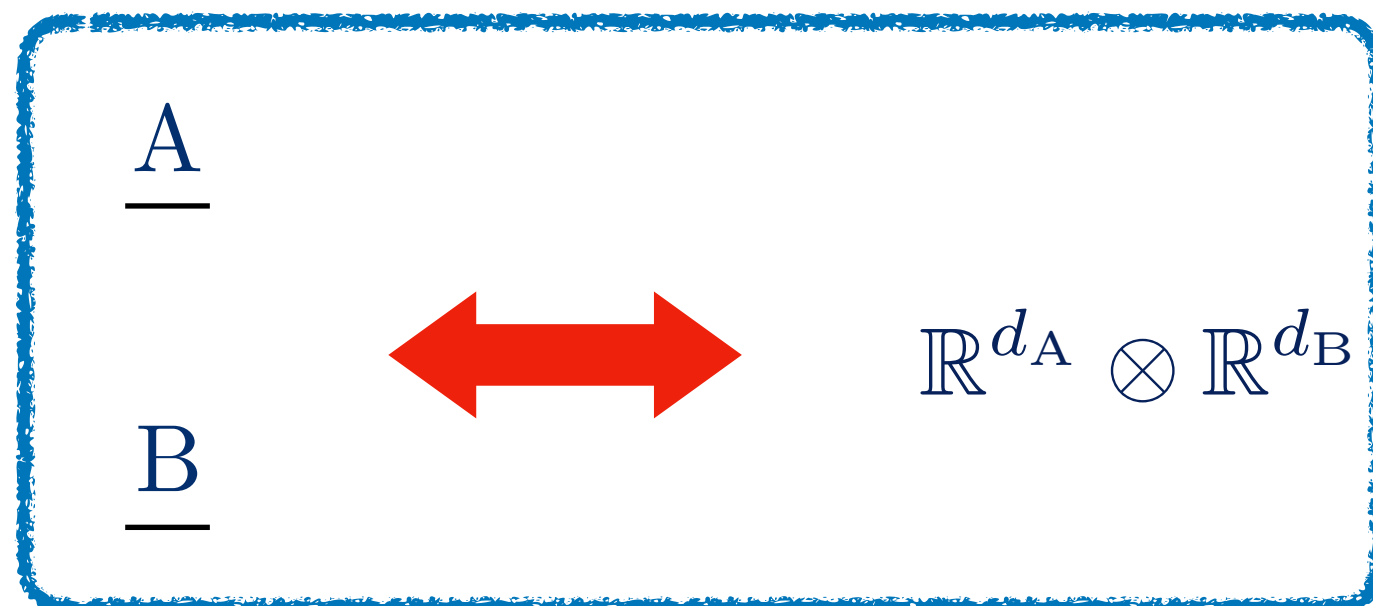
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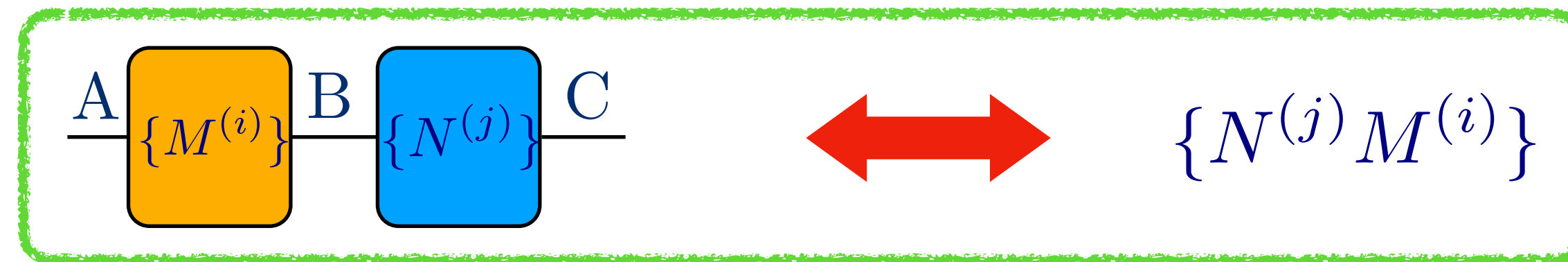




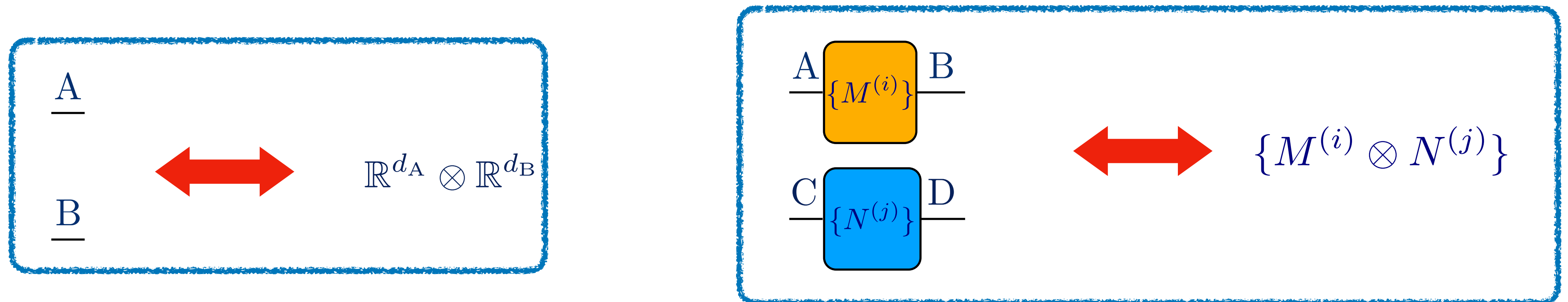
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# Coarse-Graining

Example

$$(\mathcal{C}_i)_{i \in X} = \left( \text{🚦}, \text{🚦}, \text{🚦} \right) \quad X = (r, y, g)$$

$$(\mathcal{D}_j)_{j \in Y} = \left( \{ \text{🚦} \}, \{ \text{🚦}, \text{🚦} \} \right)$$

$$Y = (r, \bar{r}) = (\{r\}, \{y, g\})$$

# Probabilistic theories

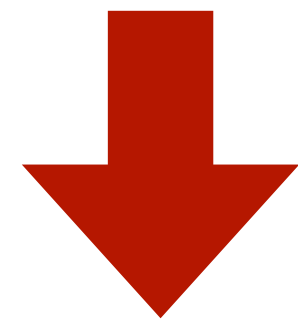
Every test of type  $I \rightarrow I$  is a probability distribution   $= \text{Pr}(a_j, \rho_i)$

States are functionals on effects and vice-versa  $[[A]], [[\bar{A}]$

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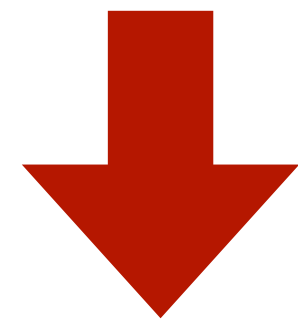


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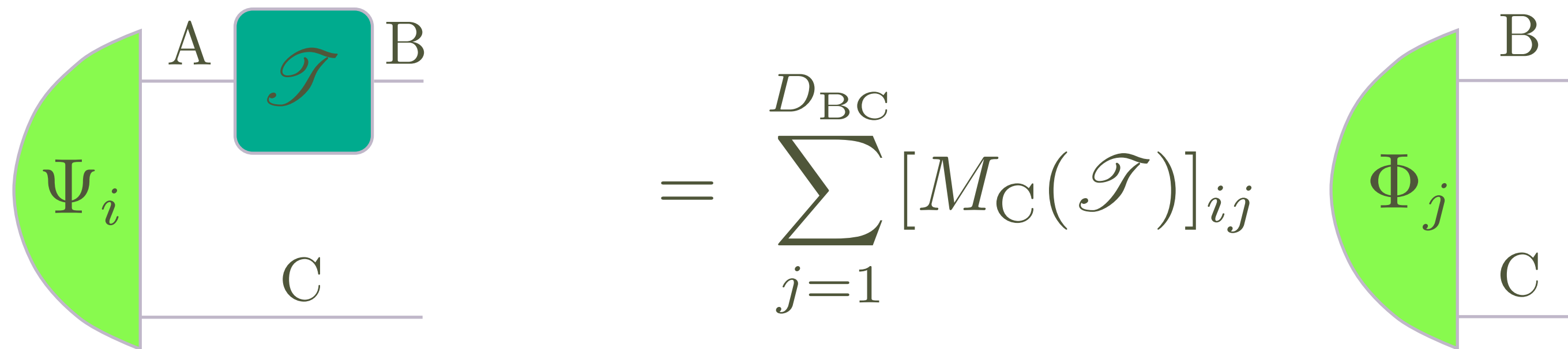
Real vector spaces  $[[A]]_{\mathbb{R}}, [[\bar{A}]]_{\mathbb{R}}$

Coarse graining is represented by the sum

# Transformations

A transformation  $\mathcal{T} \in [[A \rightarrow B]]$  induces a **family** of linear maps:

$\{M_C(\mathcal{T})\}_C$  representing  $\mathcal{T} \otimes \mathcal{I}_C$  on  $[[AC]]_{\mathbb{R}}$



# Transformations

Indeed, it is not sufficient to know the linear map induced by  $\mathcal{I}$  on  $[[A]]_{\mathbb{R}}$

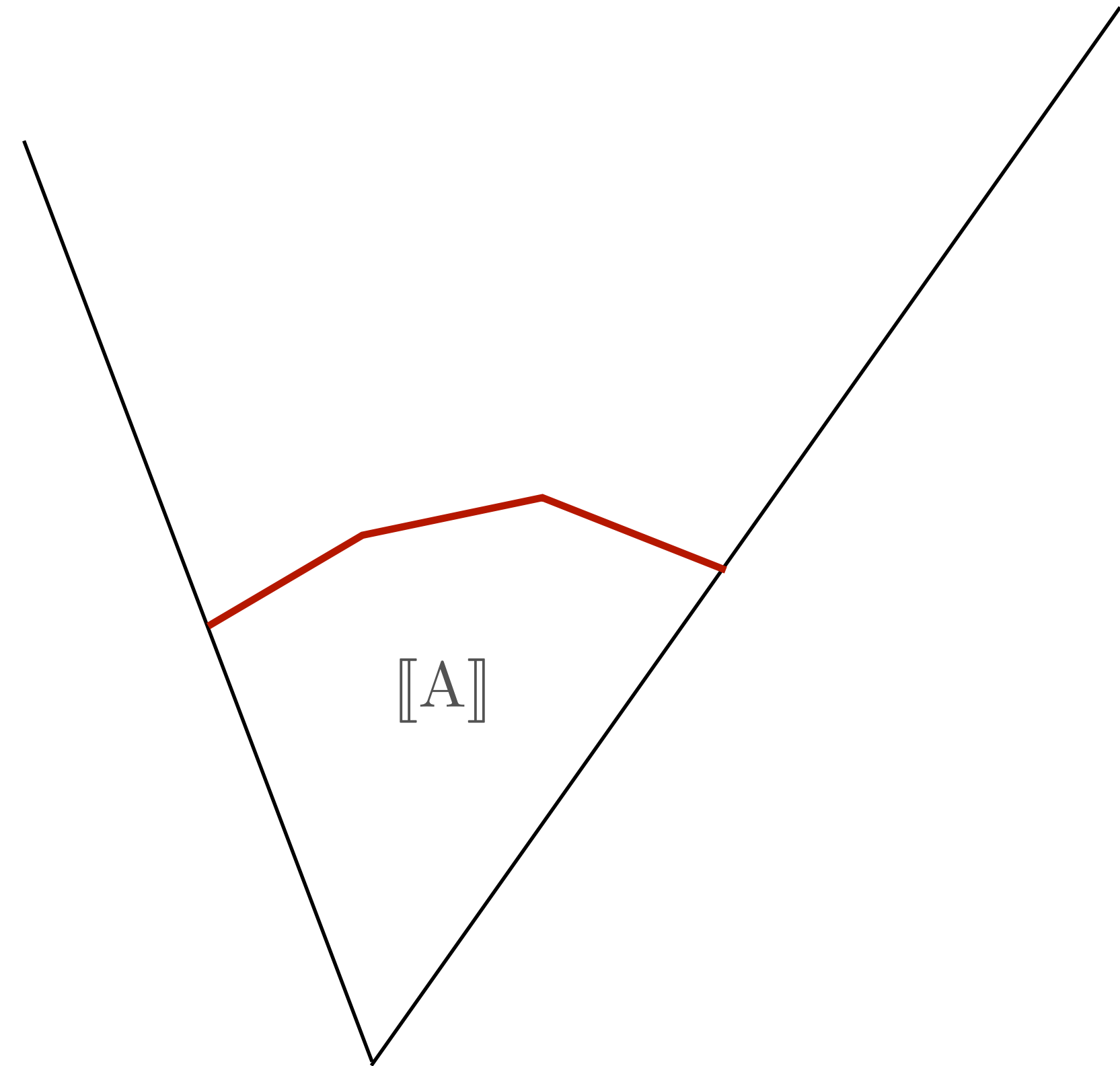
E.g.: transpose map in real quantum theory

$$\star \quad \rho^T = \rho \quad \longrightarrow \quad \mathcal{I}(\rho) = \mathcal{I}(\rho) \quad \forall \rho$$

$$\star \quad \sigma_y \otimes \sigma_y \in [[AB]]_{\mathbb{R}} \quad (\mathcal{I} \otimes \mathcal{I}_{\mathbb{C}})(\sigma_y \otimes \sigma_y) = -\sigma_y \otimes \sigma_y$$

# States and effects

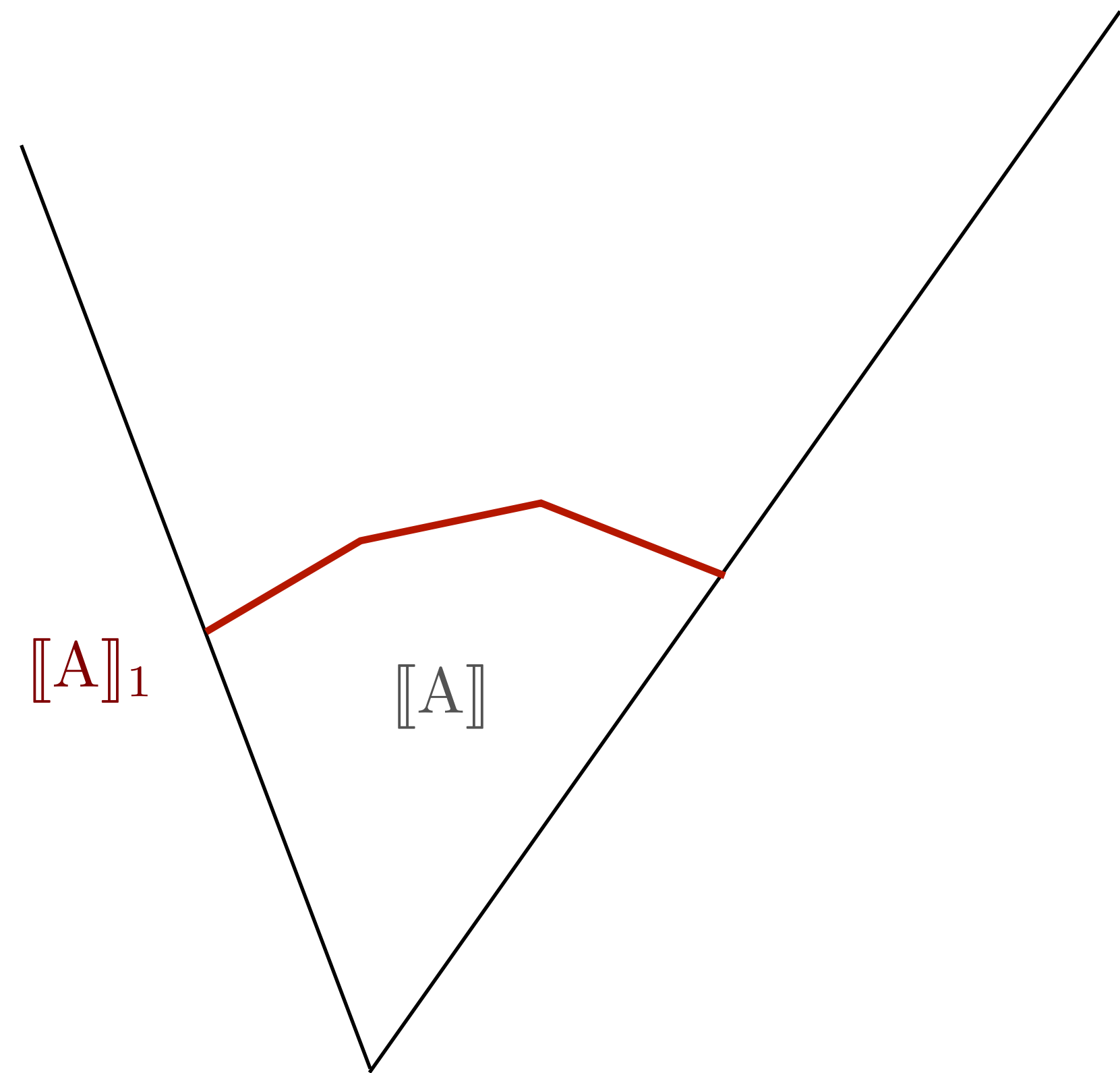
- States: convex set of  $[[A]]_{\mathbb{R}}$   $[[A]]$





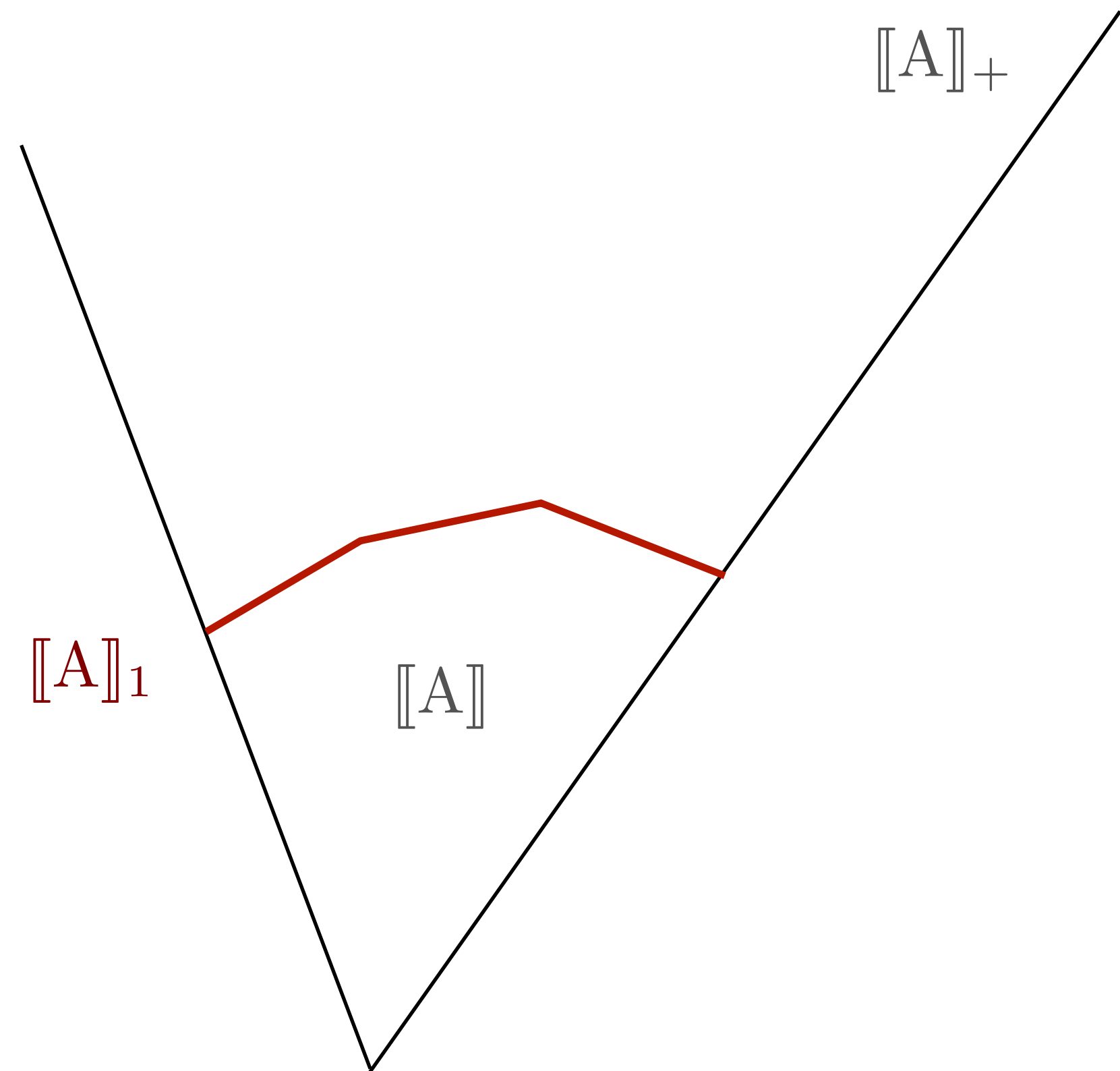
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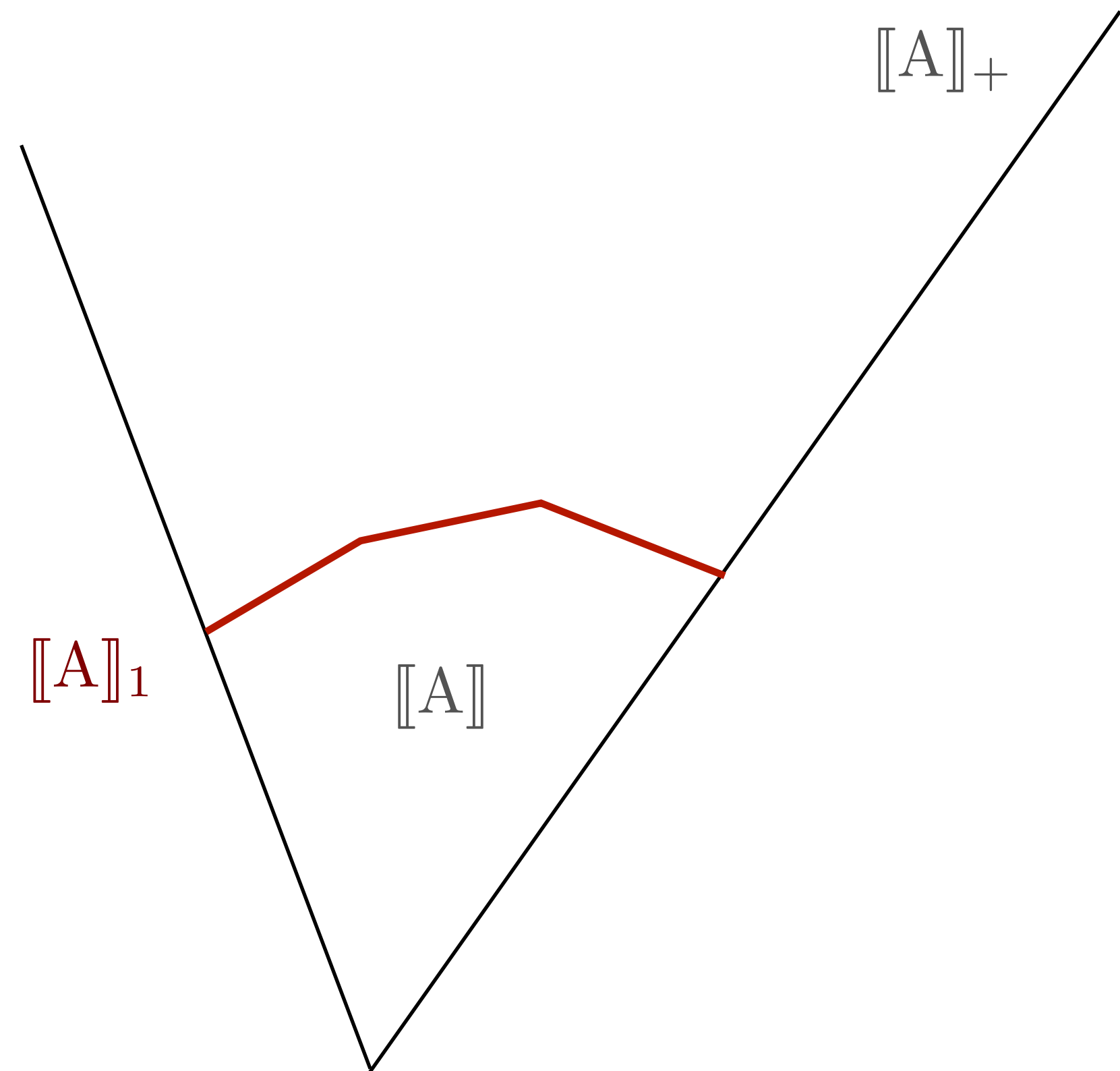
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- Similar structures for effects

$$[[\bar{A}]]_1 \subseteq [[\bar{A}]] \subseteq [[\bar{A}]]_+ \subseteq [[\bar{A}]]_{\mathbb{R}}$$

and transformations

$$[[A \rightarrow B]]_1 \subseteq [[A \rightarrow B]] \subseteq [[A \rightarrow B]]_+ \subseteq [[A \rightarrow B]]_{\mathbb{R}}$$



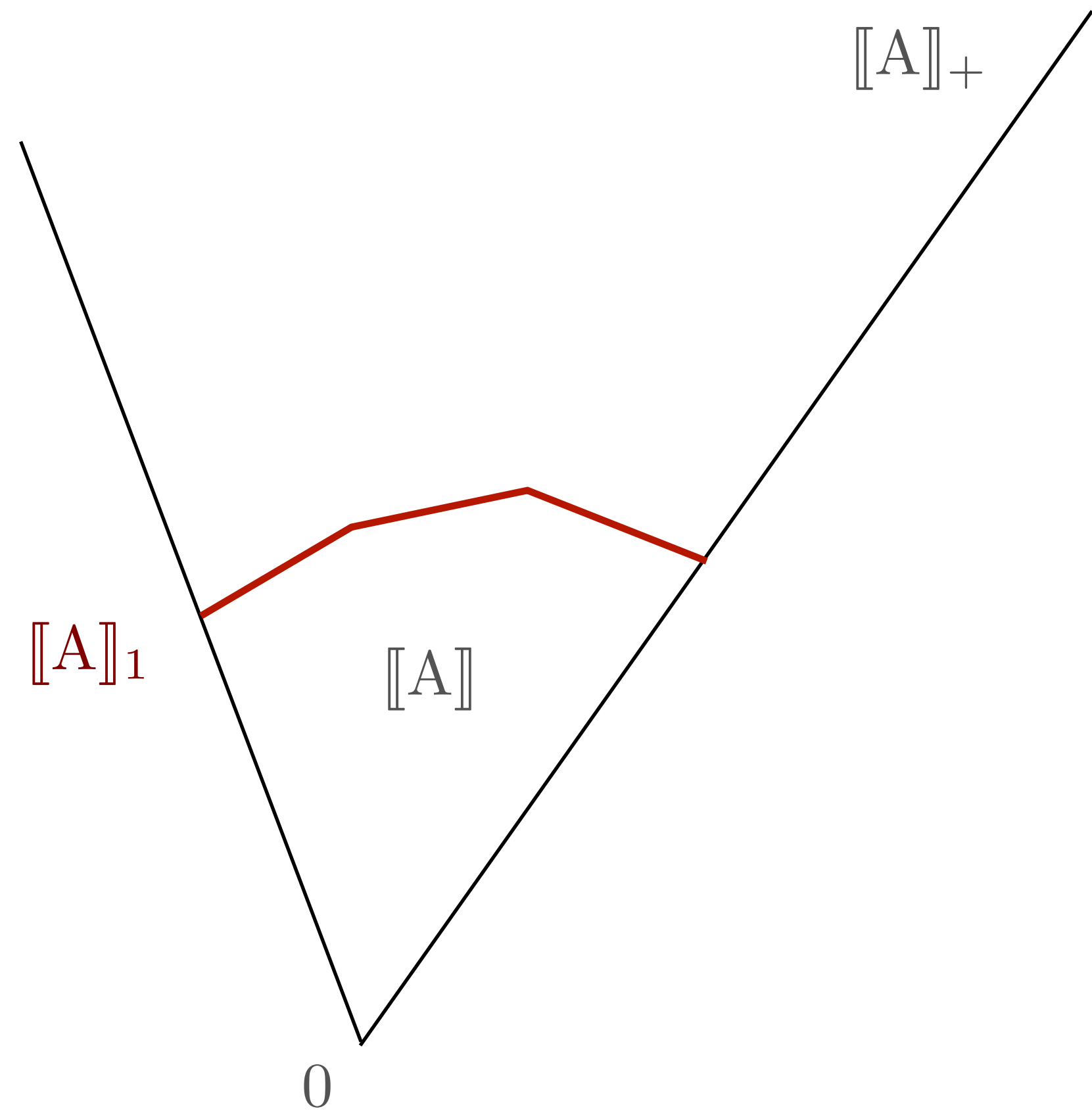
# Cones

- The cone

$$[[A]]_+ := \{\sigma \in [[A]]_{\mathbb{R}} \mid \sigma = \lambda\rho, \lambda \geq 0, \rho \in [[A]]\}$$

introduces an order

$$\tau \geq \nu \Leftrightarrow \tau - \nu \in [[A]]_+$$



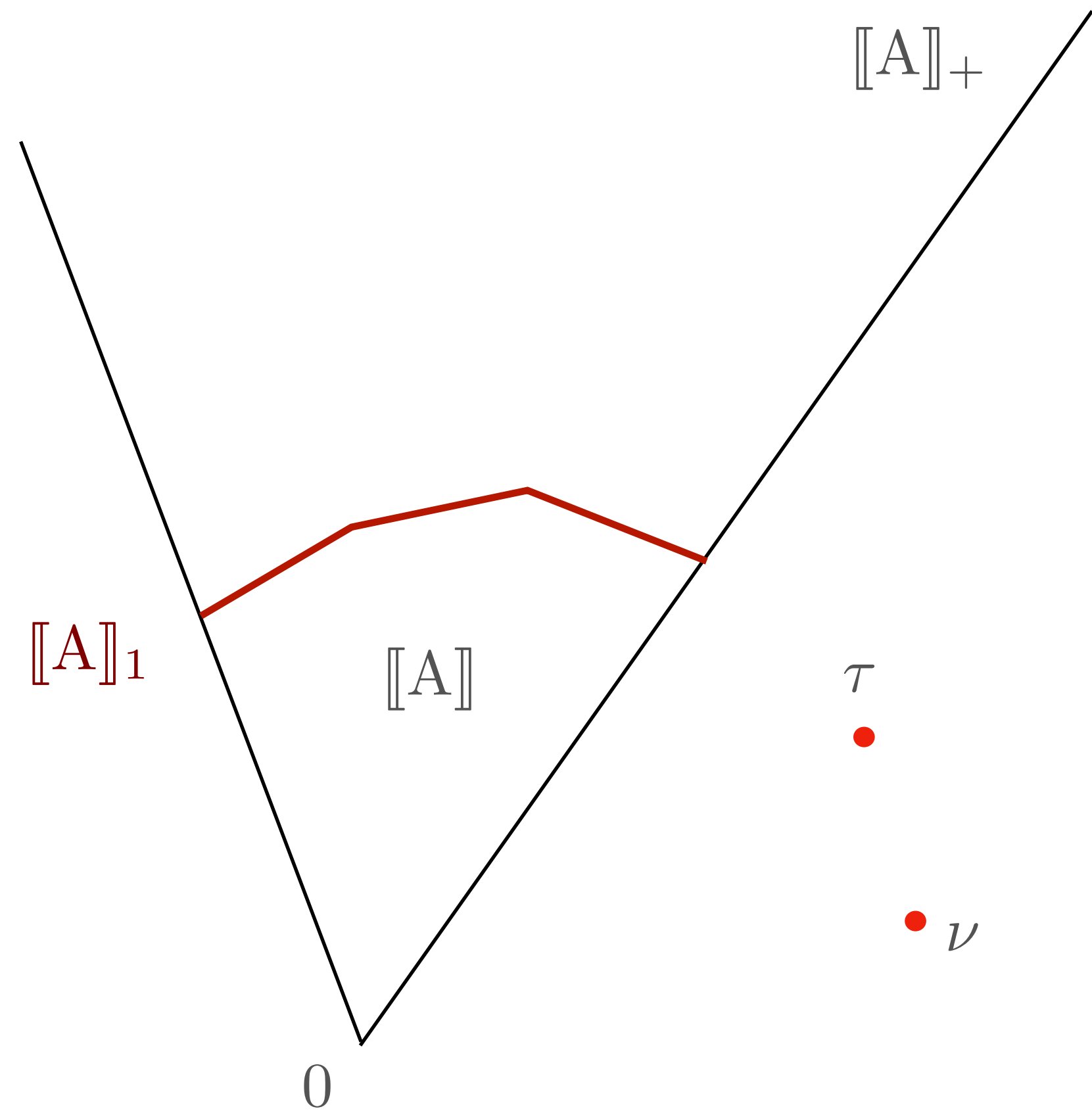
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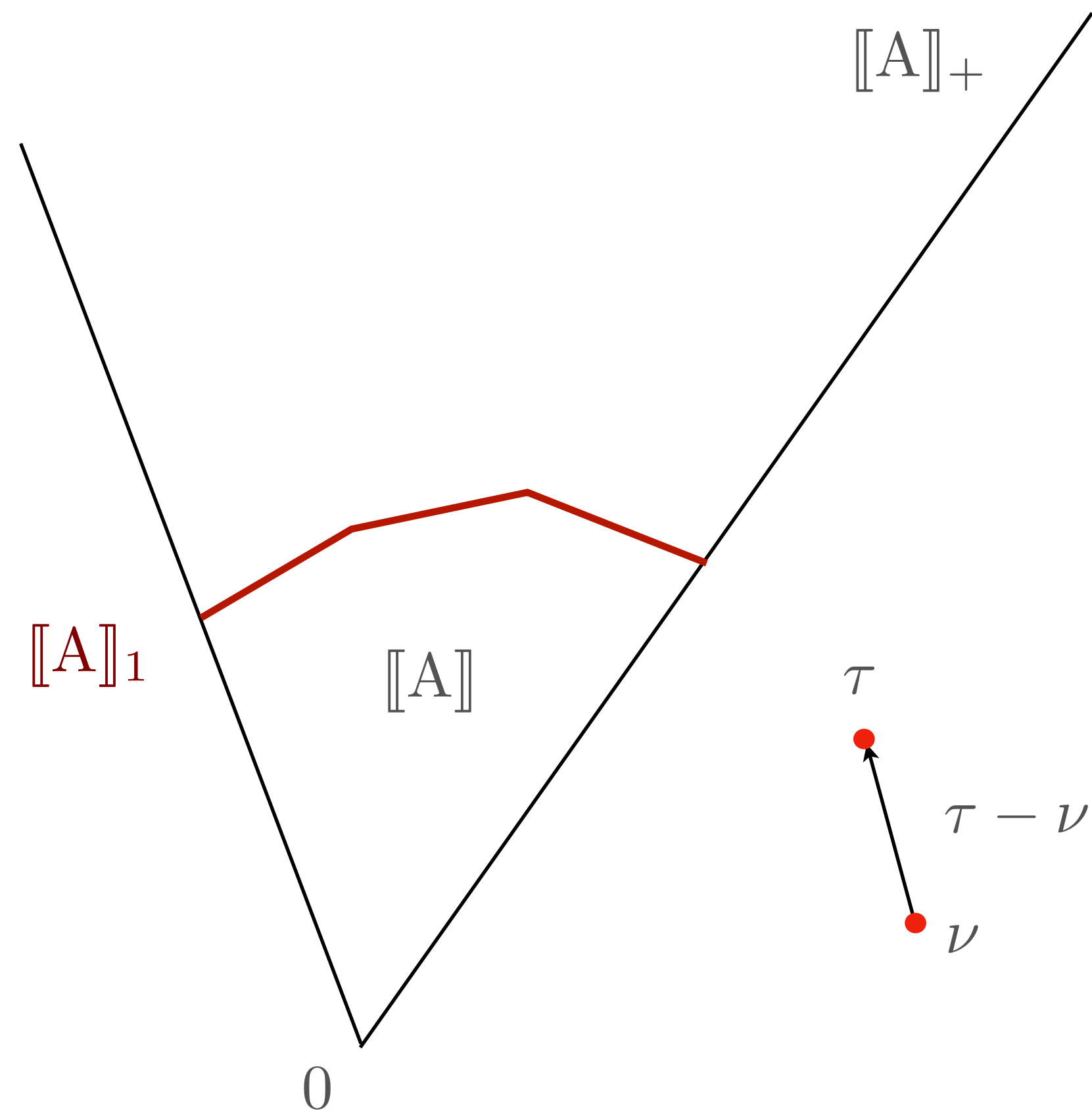
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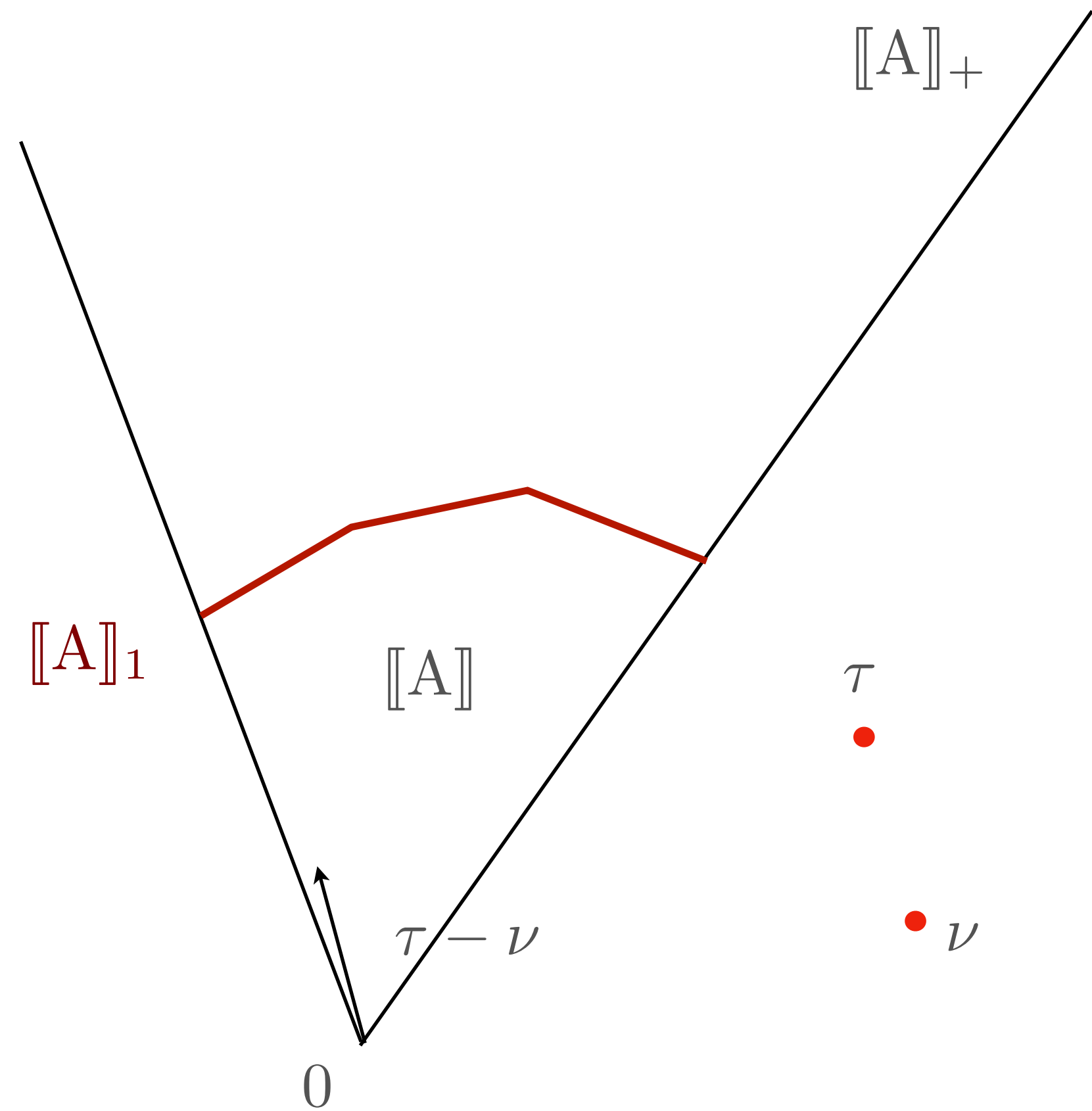
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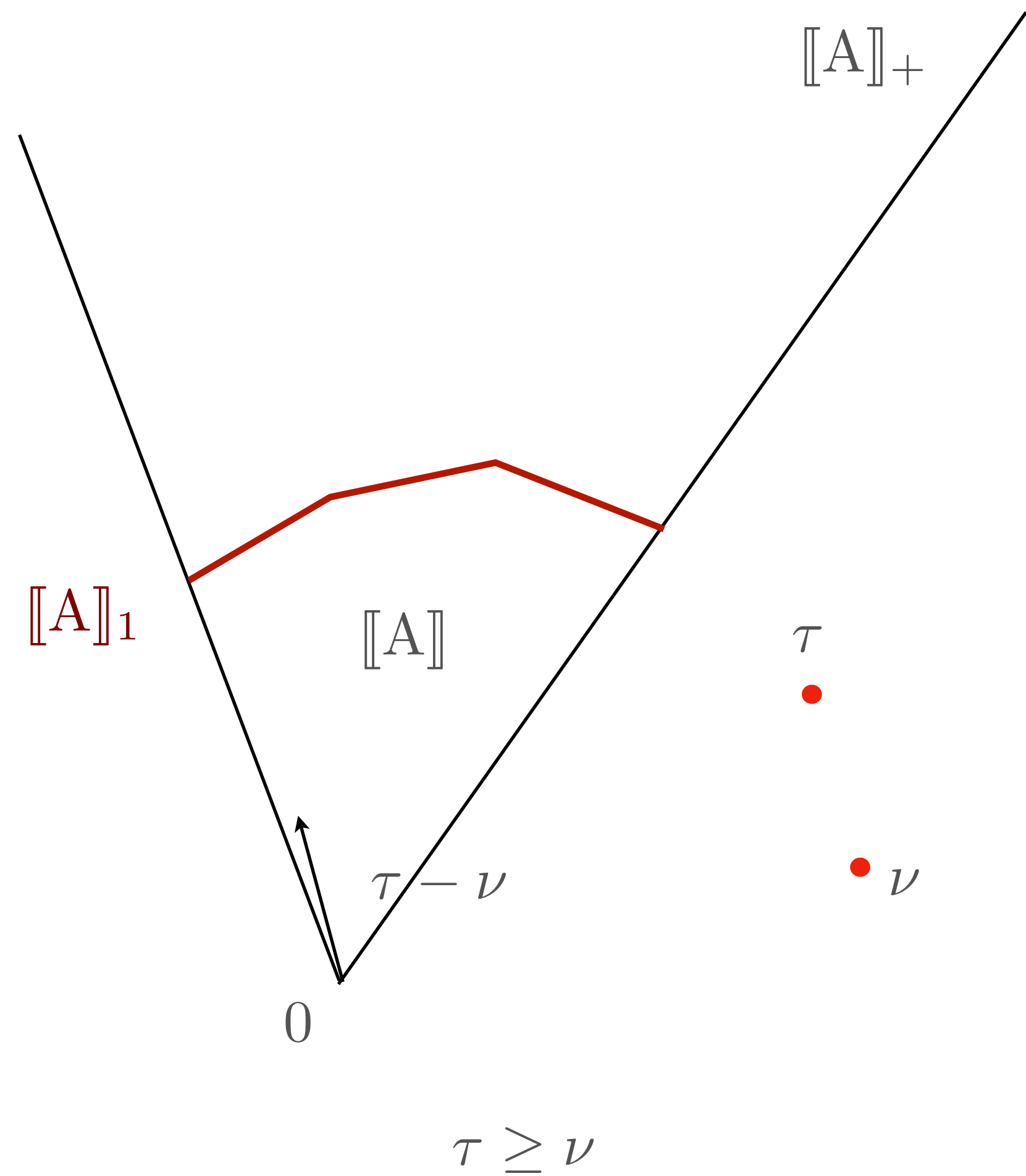
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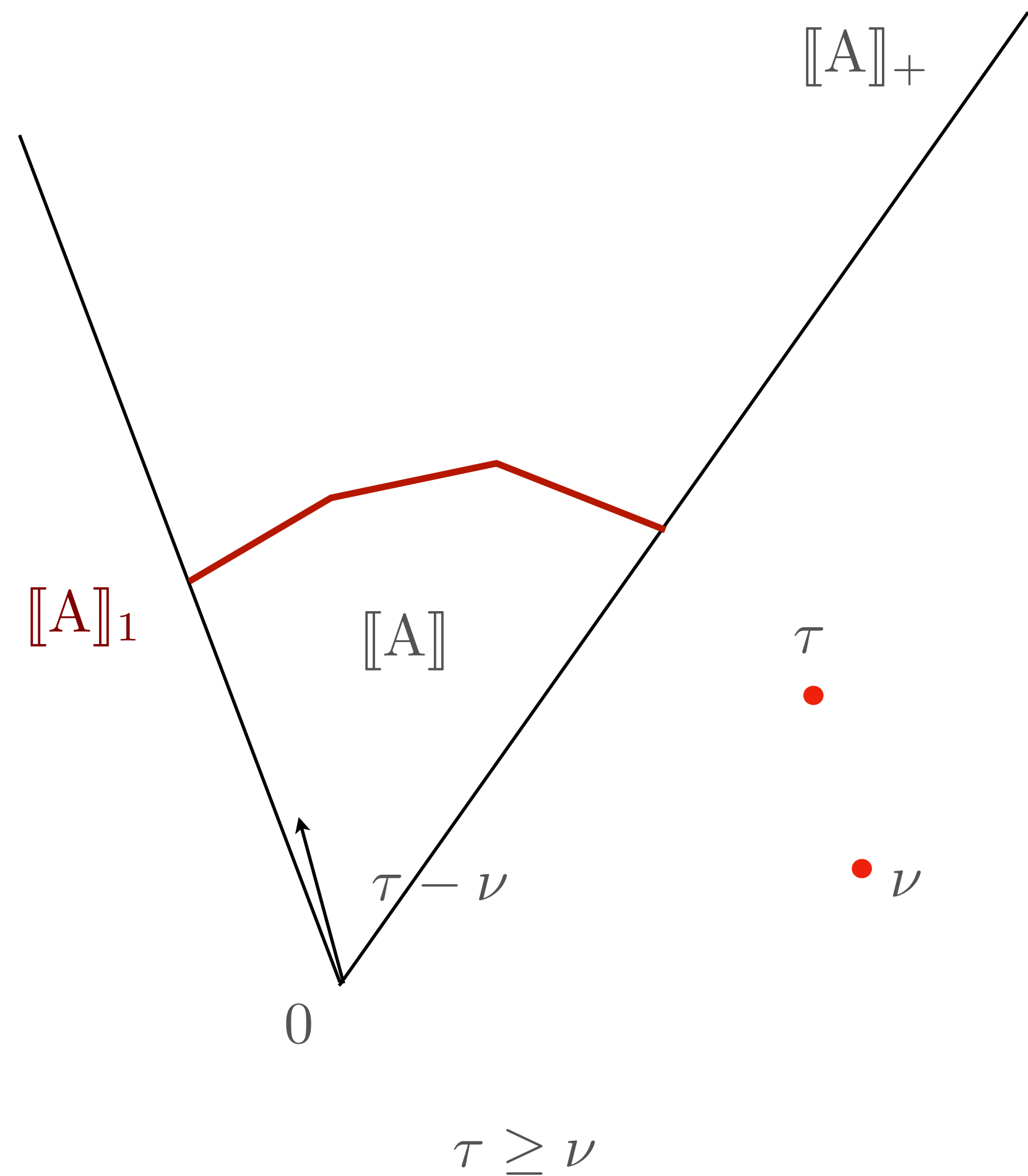
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- In the same way define

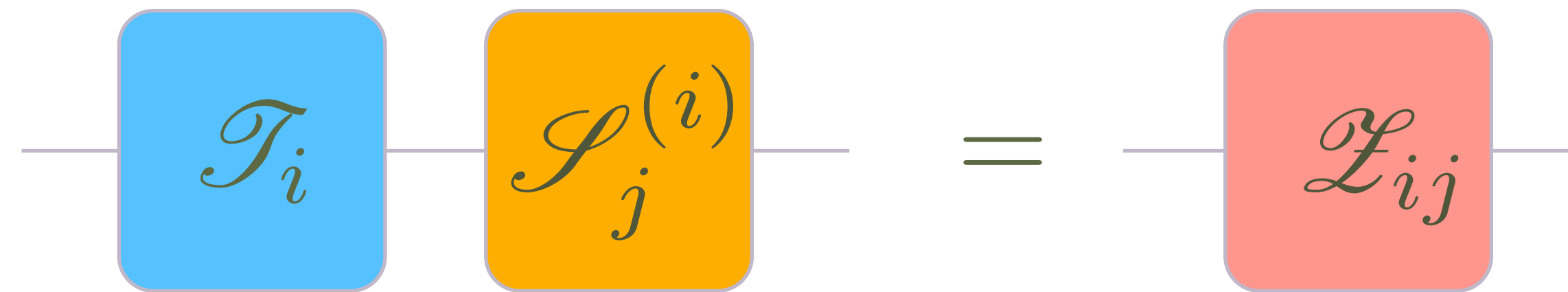
$$[[\bar{A}]]_+, \quad [[A \rightarrow B]]_+$$

and corresponding orderings



# Causal theories

- Possibility of arbitrary conditional tests



- Causality implies no “backward” signalling

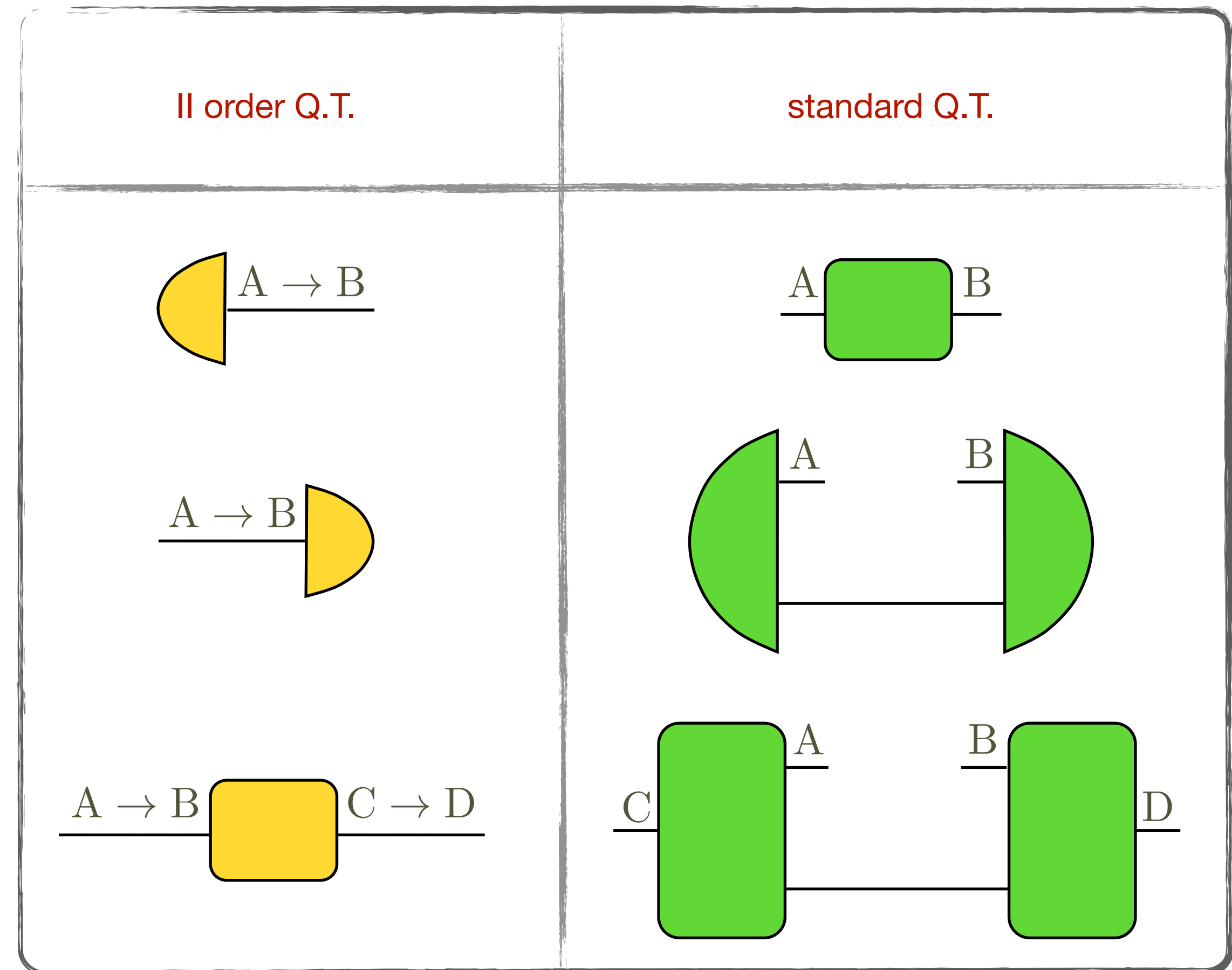
$$p_a(\rho_i) := \sum_j \left( \rho_i \xrightarrow{A} a_j \right) = p(\rho_i) \iff \sum_j \left( \xrightarrow{A} a_j \right) = \xrightarrow{A} e$$



# Non causal theories

## Example

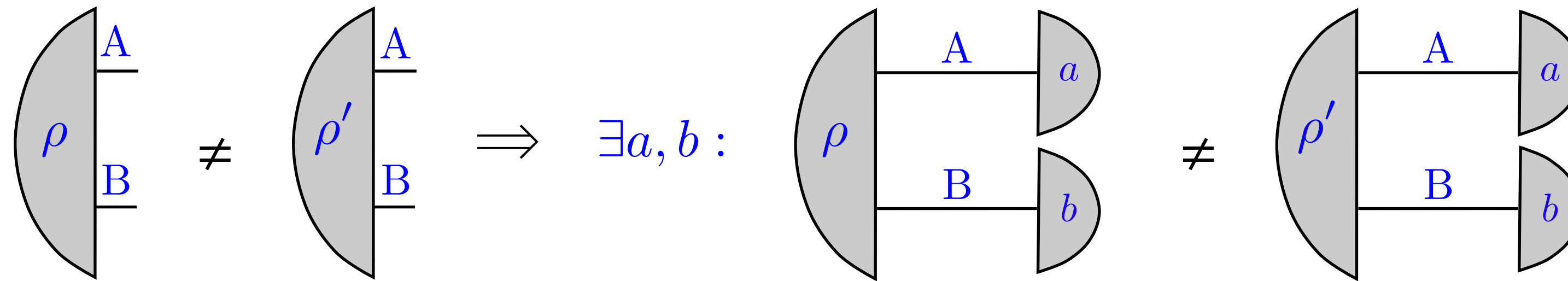
- Second order quantum theory
  - States: quantum operations (deterministic states: channels)
- Effects: “quantum testers”
- Transformations: “quantum supermaps”



# Local discriminability

AKA Local tomography/tomographic locality

- Every pair of bipartite states can be discriminated by local measurements



- Consequence 1:



- Consequence 2:

$$D_{AB} = D_A D_B \quad [[AB]]_{\mathbb{R}} = [[A]]_{\mathbb{R}} \otimes [[B]]_{\mathbb{R}}$$

# Theories without local discriminability

## Example 1: Real quantum theory

- Quantum theory with real Hilbert spaces  $H_A = \mathbb{C}^{d_A}$ 
  - States: real density matrices
  - Effects: real positive operators bounded by the identity matrix
  - Transformations:  
CP TNI maps with real Kraus operators

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$$H_A = \mathbb{C}^{d_A}$$

- States: real density matrices

$$[A]_{\mathbb{R}} \cong [\bar{A}]_{\mathbb{R}} = S(H_A)$$

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$$D_A = \frac{d_A(d_A + 1)}{2}$$

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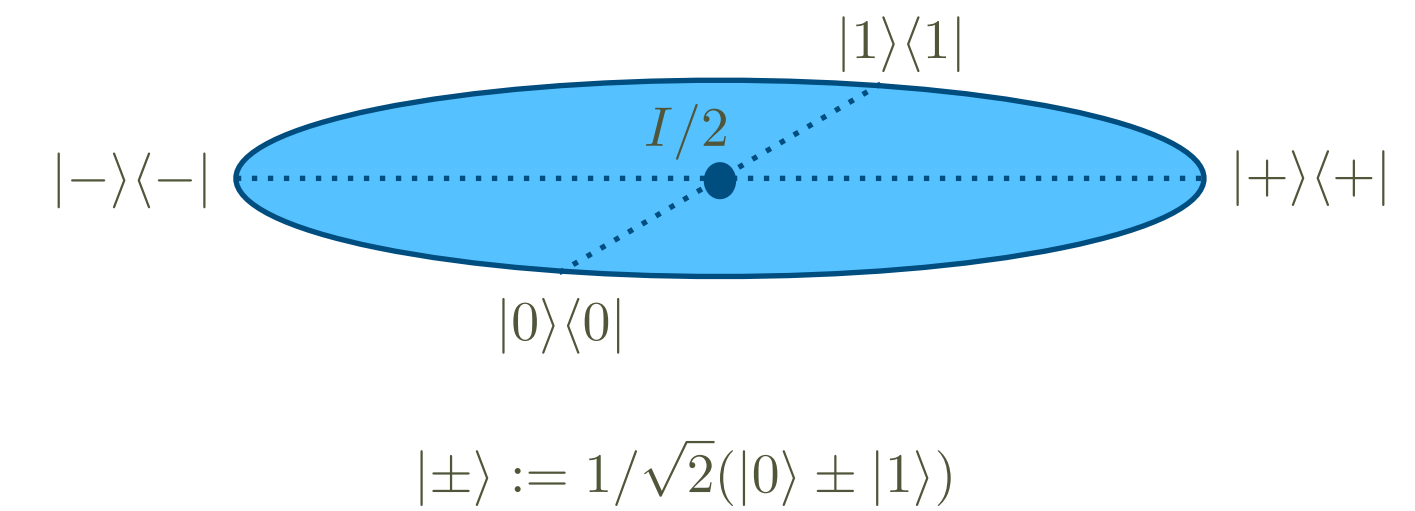
$$D_A D_B = \frac{d_A d_B (d_A d_B + d_A + d_B + 1)}{4} \leq D_{AB}$$

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- The real qubit (rebit)

$$d_R = 2 \Rightarrow D_R = 3$$



$$[\mathbb{R}]_{\mathbb{R}}$$

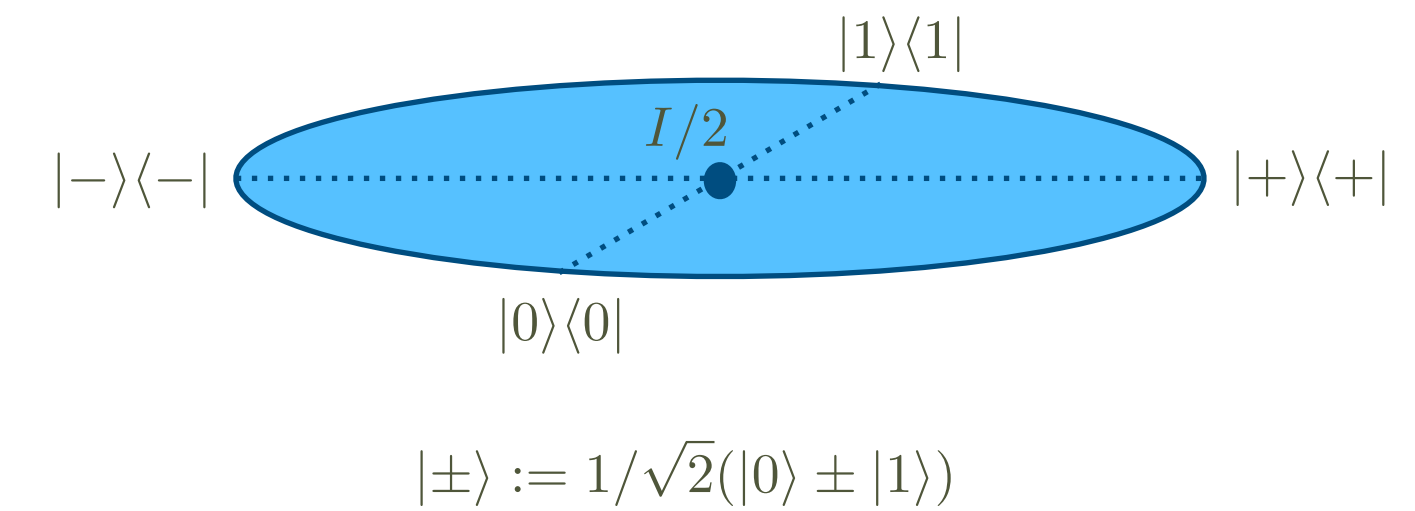
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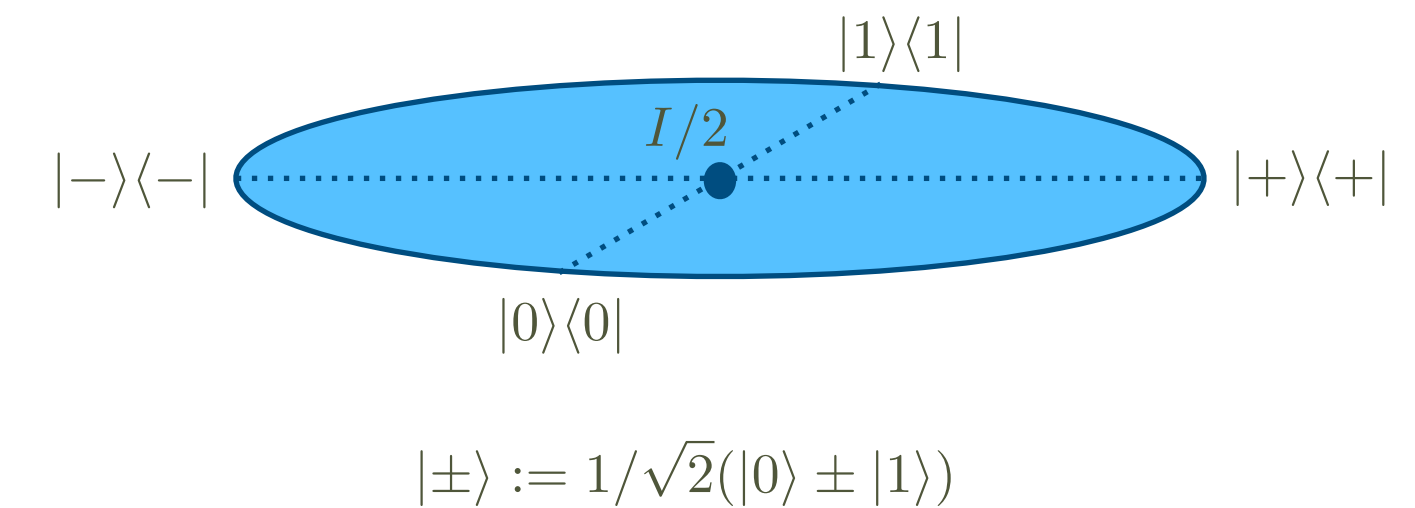
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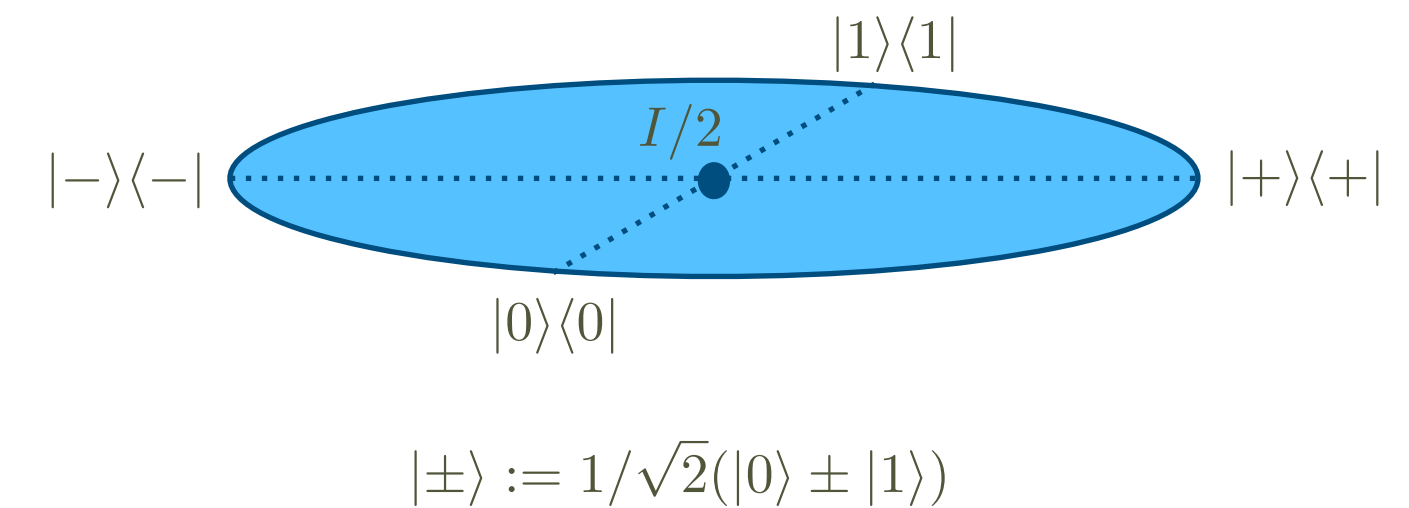
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# Theories without local discriminability

## Example 2: Fermionic quantum theory

- The theory is meant to provide a realisation of the fermion algebra

$$\{\varphi_i^\dagger, \varphi_j\} = \delta_{ij}I, \quad \{\varphi_i, \varphi_j\} = 0$$

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- Example of basis state:  $|0010110\rangle = \varphi_3^\dagger \varphi_5^\dagger \varphi_6^\dagger |0000000\rangle$



# Theories without local discriminability

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- The representation depends on the chosen ordering of the LFMs

$$J(\varphi_i) := I_1 \otimes \cdots \otimes I_{i-1} \otimes \sigma_i^- \otimes \sigma^z_{i+1} \cdots \otimes \sigma^z_N$$

$$J(XY) := J(X)J(Y) \quad J(X^\dagger) := J(X)^\dagger$$

$$J(aX + bY) := aJ(X) + bJ(Y)$$

\*Bravyi and Kitaev, *Annals of Physics* **298**, 210–226 (2002)

G. M. D'Ariano, F. Manessi, PP, and A. Tosini, *Int. J. Mod. Phys. A* **29**, 1430025 (2014)

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- Used to prove computational equivalence of Fermionic and standard quantum computation\*

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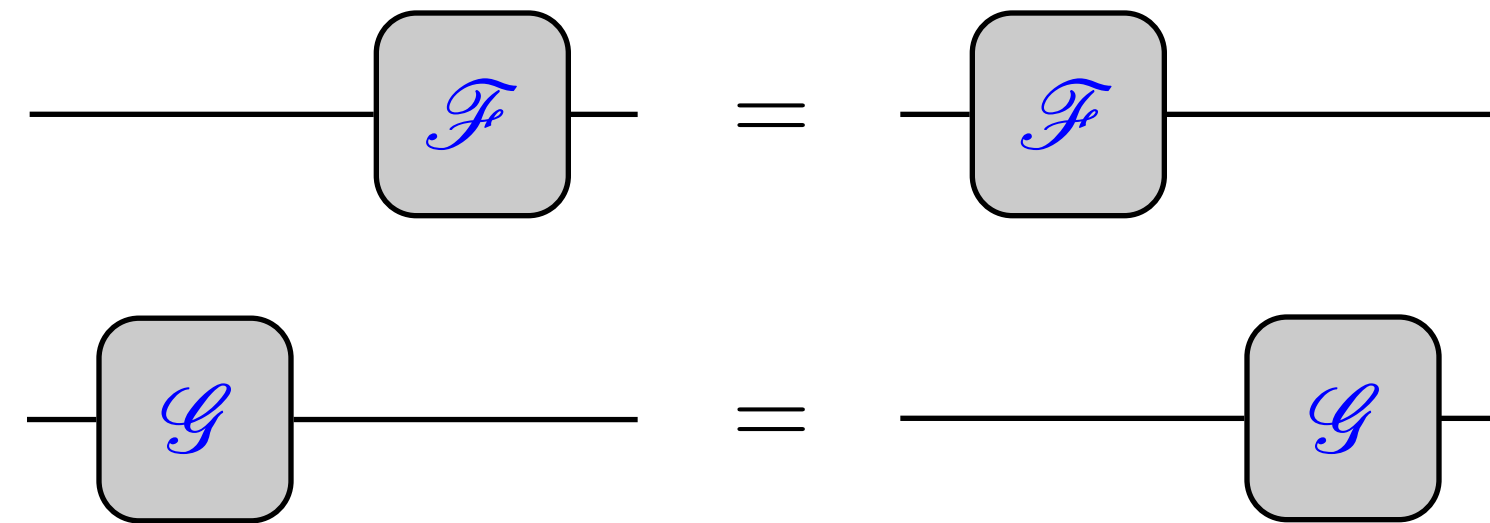
## Example 2: Fermionic quantum theory

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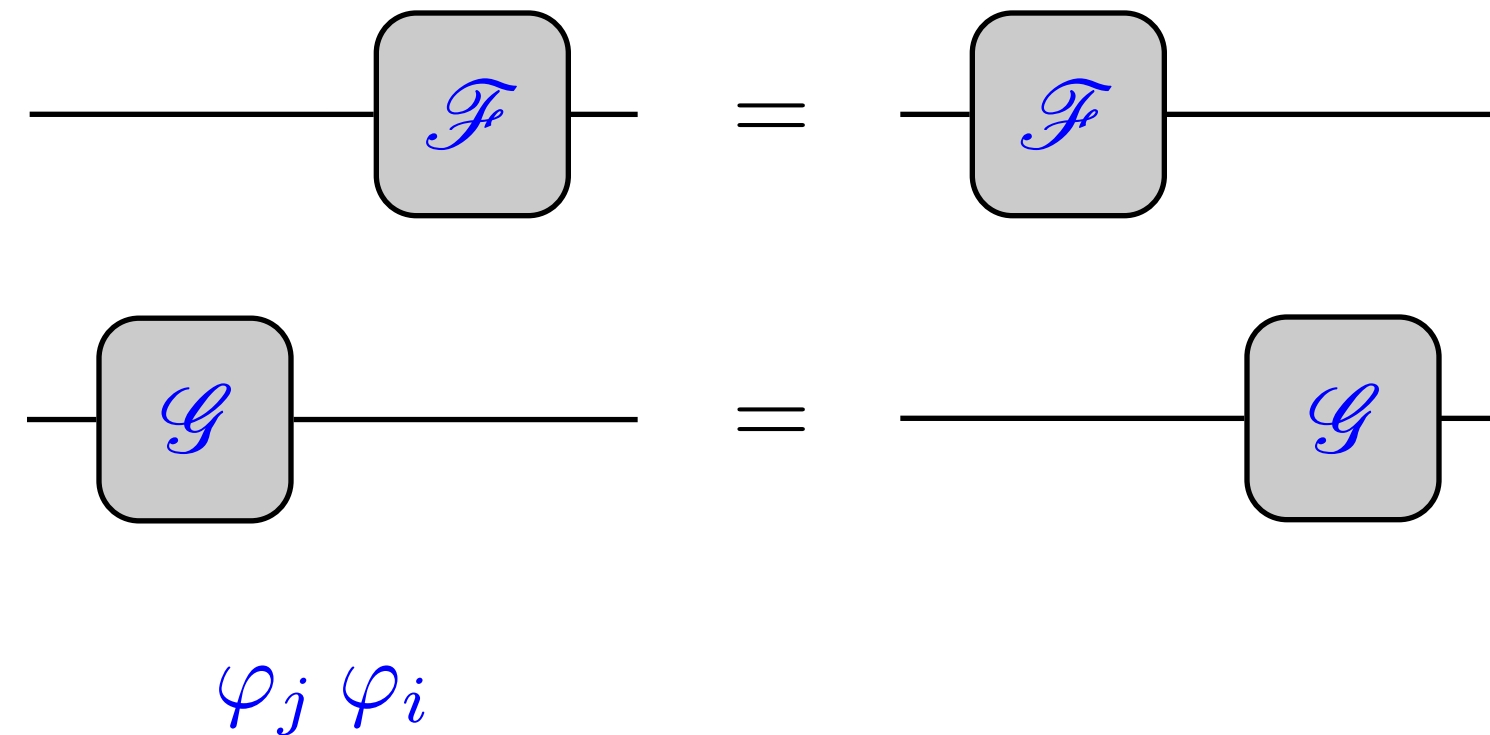
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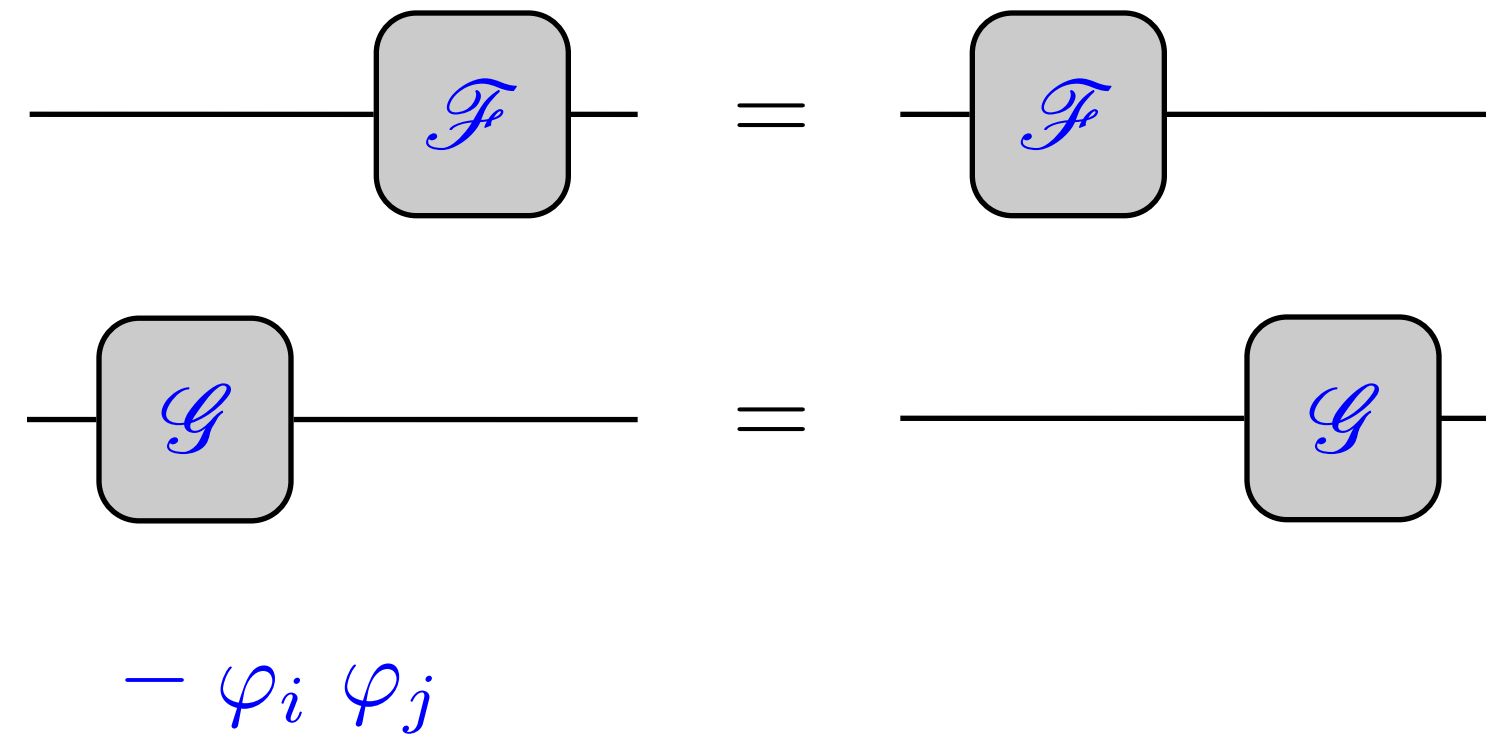
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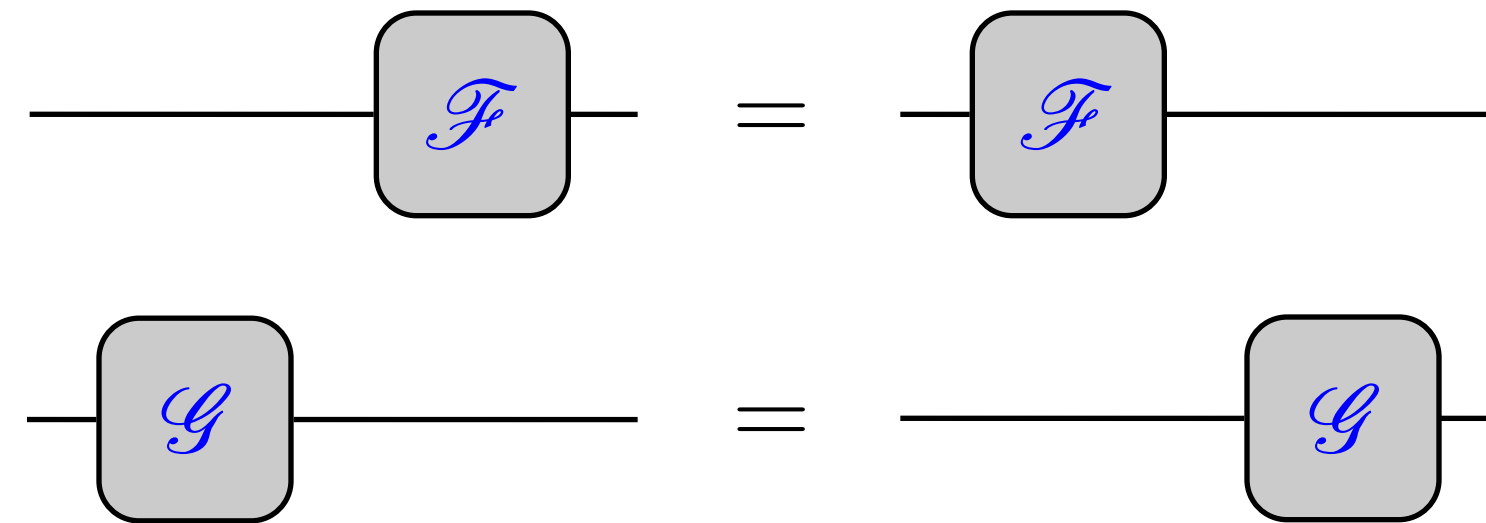
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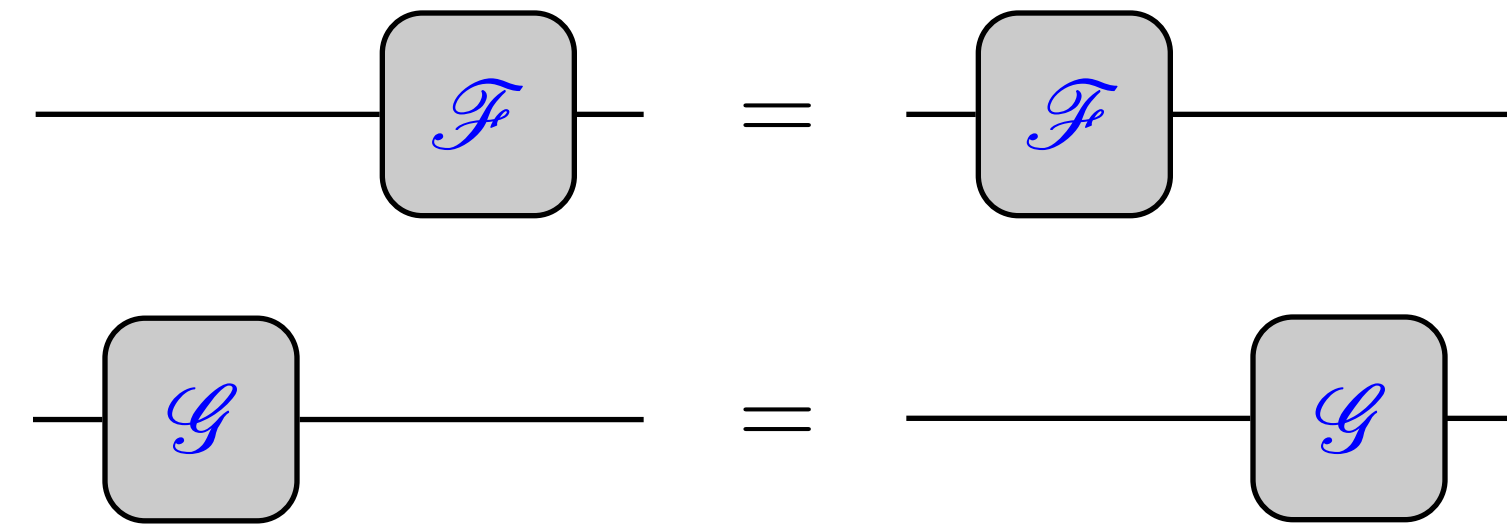


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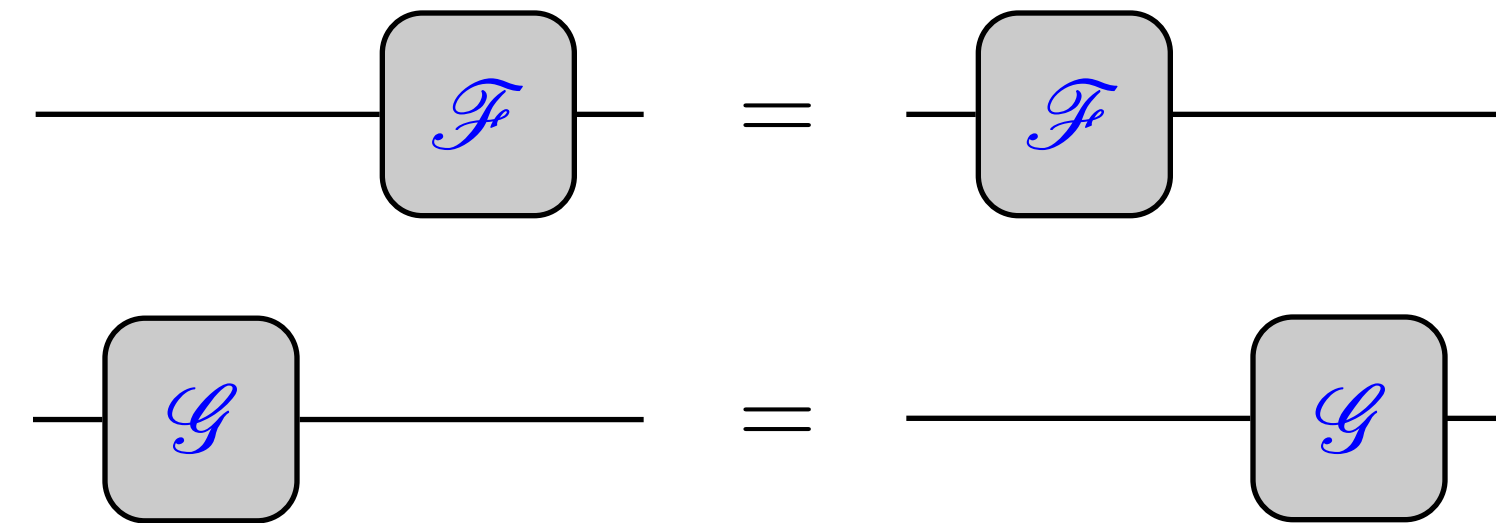


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$$\begin{aligned}
 & -\varphi_i \varphi_j & K \rho K^\dagger &= (-K) \rho (-K)^\dagger \\
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 \end{aligned}$$

# Theories without local discriminability

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$$\text{---} \boxed{\mathcal{F}} \text{---} = \text{---} \boxed{\mathcal{F}} \text{---}$$

$$\boxed{\mathcal{G}} \text{---} = \text{---} \boxed{\mathcal{G}} \text{---}$$

~~$$-\varphi_i \varphi_j$$

$$(\varphi_i \varphi_j - \varphi_i) \varphi_j$$~~

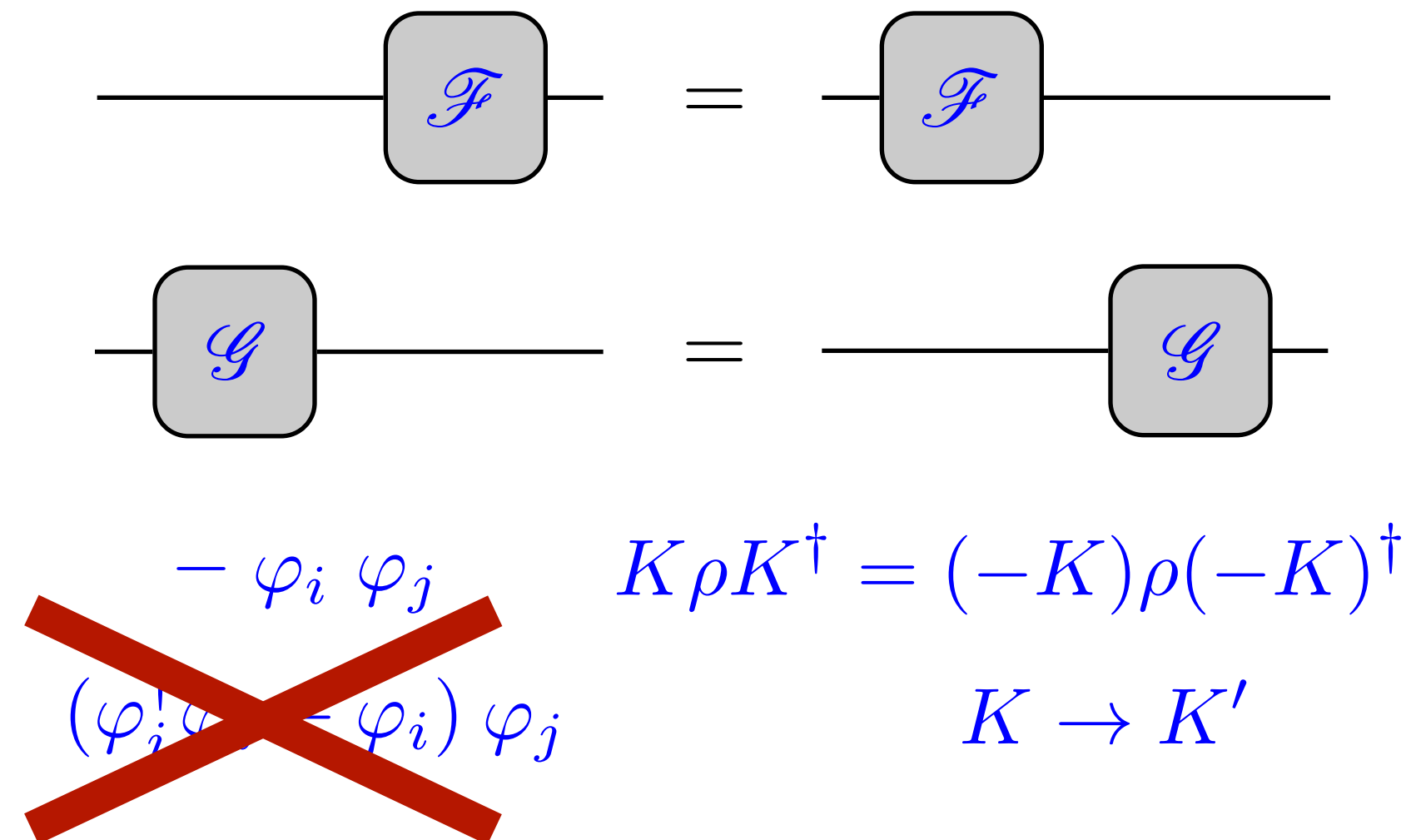
$$K \rho K^\dagger = (-K) \rho (-K)^\dagger$$

$$K \rightarrow K'$$

# Theories without local discriminability

## Example 2: Fermionic quantum theory

- Kraus operators must be combination of either even or odd products



- States and effects are combinations **even products** of field operators

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## Example 2: Fermionic quantum theory

- This corresponds to a **parity superselection** rule

$$|\psi\rangle = |00\rangle, |10\rangle, a|10\rangle + b|01\rangle, \dots$$

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- The states of one LFM are the states of a classical bit  $|0\rangle\langle 0|, |1\rangle\langle 1|$
- Parity superselection  $\rightarrow$  block-diagonal structure for states

$$J(\rho) = \left( \begin{array}{c|c} p\rho_O & 0 \\ \hline 0 & (1-p)\rho_E \end{array} \right)$$

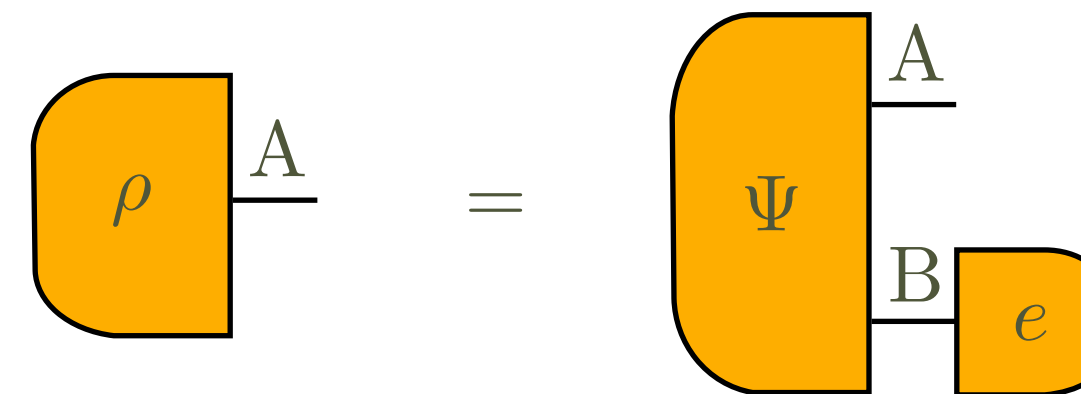
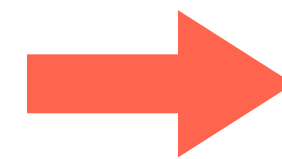
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# Theories with purification

## Example 2: Quantum Theory

# Theories with purification

## Example 3: Fermionic quantum theory

- Every state in FQT can be purified

$$\rho = p\rho_O + (1 - p)\rho_E \quad \rho_X = \sum_i q_i^X |\psi_i^X\rangle\langle\psi_i^X|$$

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- Purification is **unique up to reversible transformations**

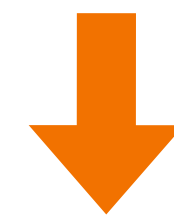
# Operational norm

## Task: discrimination

- We assume **causality**

- States  $p_{\text{err}} := p_0 \rho_0 \xrightarrow{A} a_1 + p_1 \rho_1 \xrightarrow{A} a_0$   
 $= \frac{1}{2} (1 + (p_0 \rho_0 - p_1 \rho_1) \xrightarrow{A} a_1 - a_0)$   
 $= \frac{1}{2} (1 + p_0 - p_1 - 2 (p_0 \rho_0 - p_1 \rho_1) \xrightarrow{A} a_0)$

$$\|\eta\|_{\text{op}} := \max_{a \in \text{Eff}(A)} \eta \xrightarrow{A} a$$



$$p_{\text{opt}} = \frac{1}{2} (1 + p_0 - p_1 - \|p_0 \rho_0 - p_1 \rho_1\|_{\text{op}})$$

- Transformations

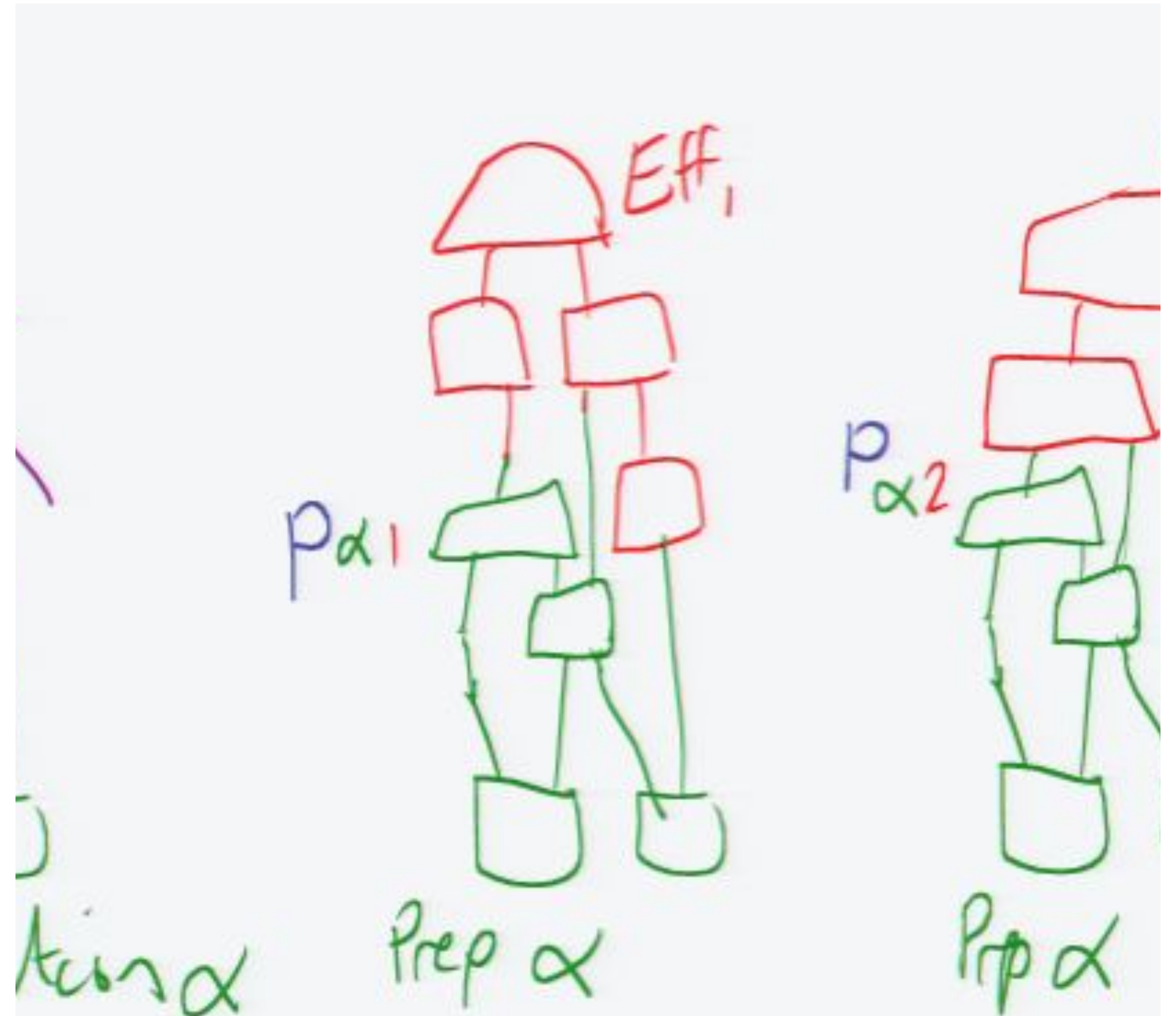
$$\|\mathcal{D}\|_{\text{op}} := \max_{E, \rho \in \text{St}(AE)} \left\| \begin{array}{c} \rho \xrightarrow{A} \mathcal{D} \xrightarrow{B} \\ E \end{array} \right\|_{\text{op}}$$

- Effects

$$\|d\|_{\text{op}} = \max_{\rho \in \text{St}_1(A)} |\rho \xrightarrow{A} a|$$

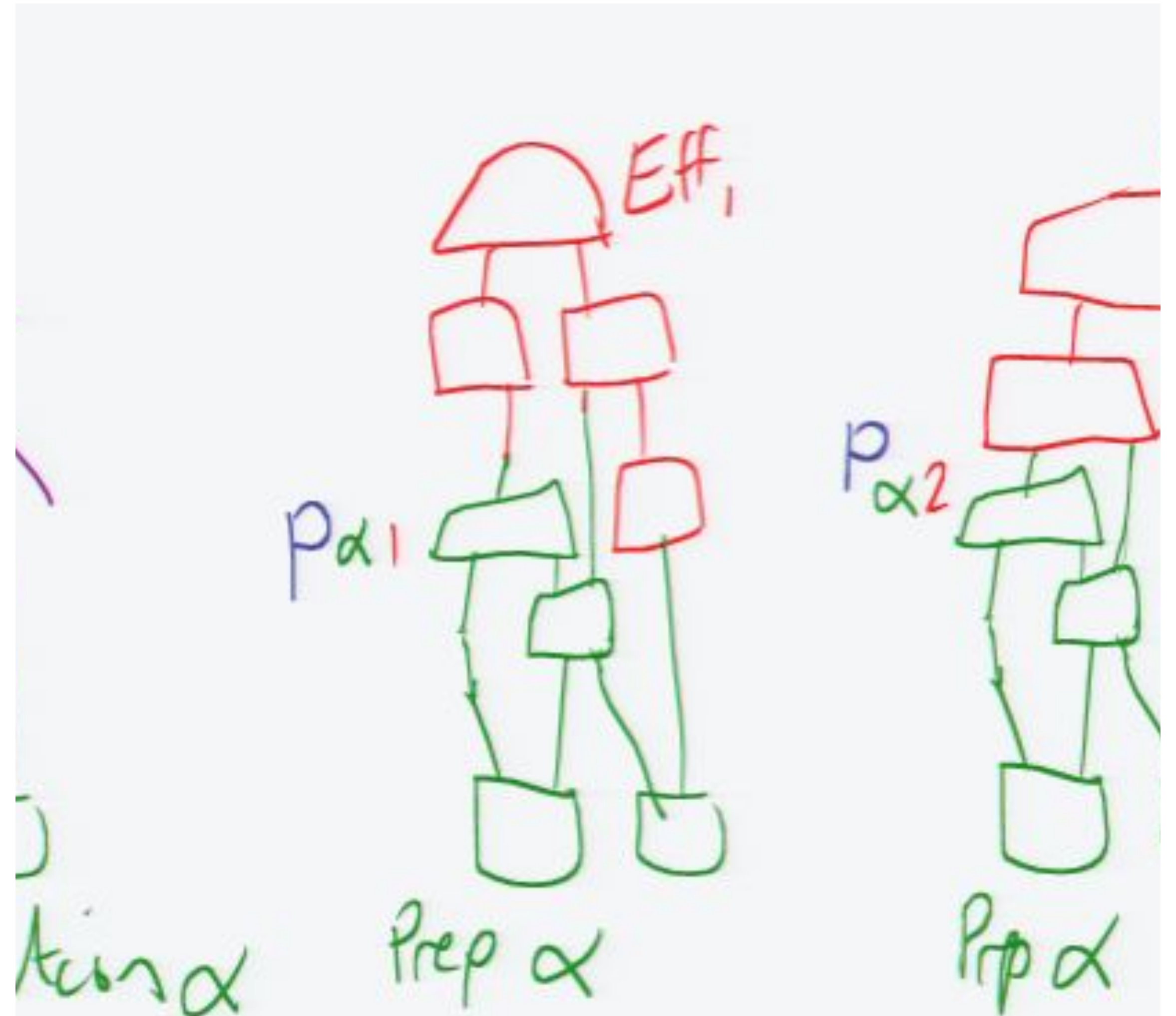
# Summary

- Compositional structure



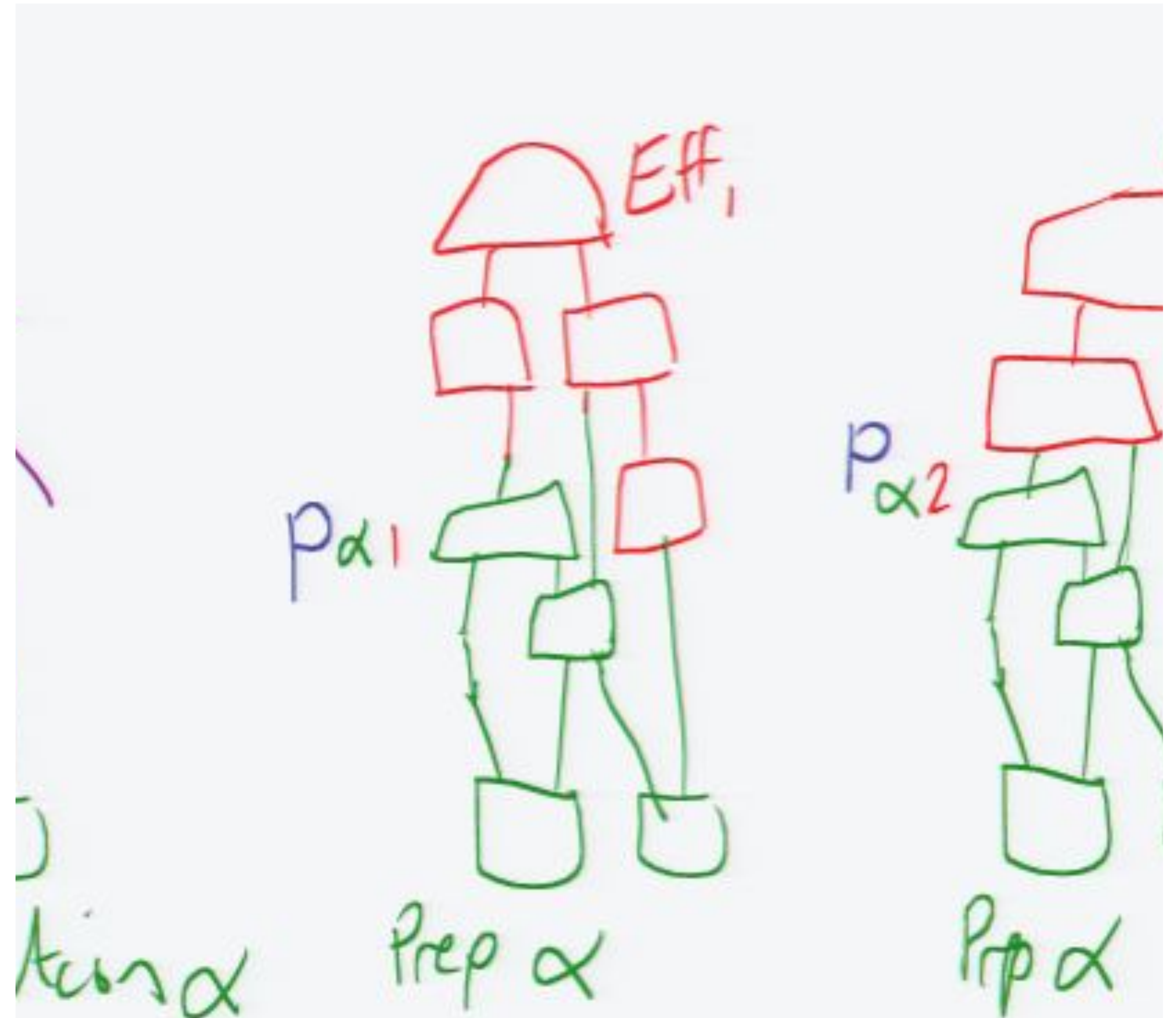
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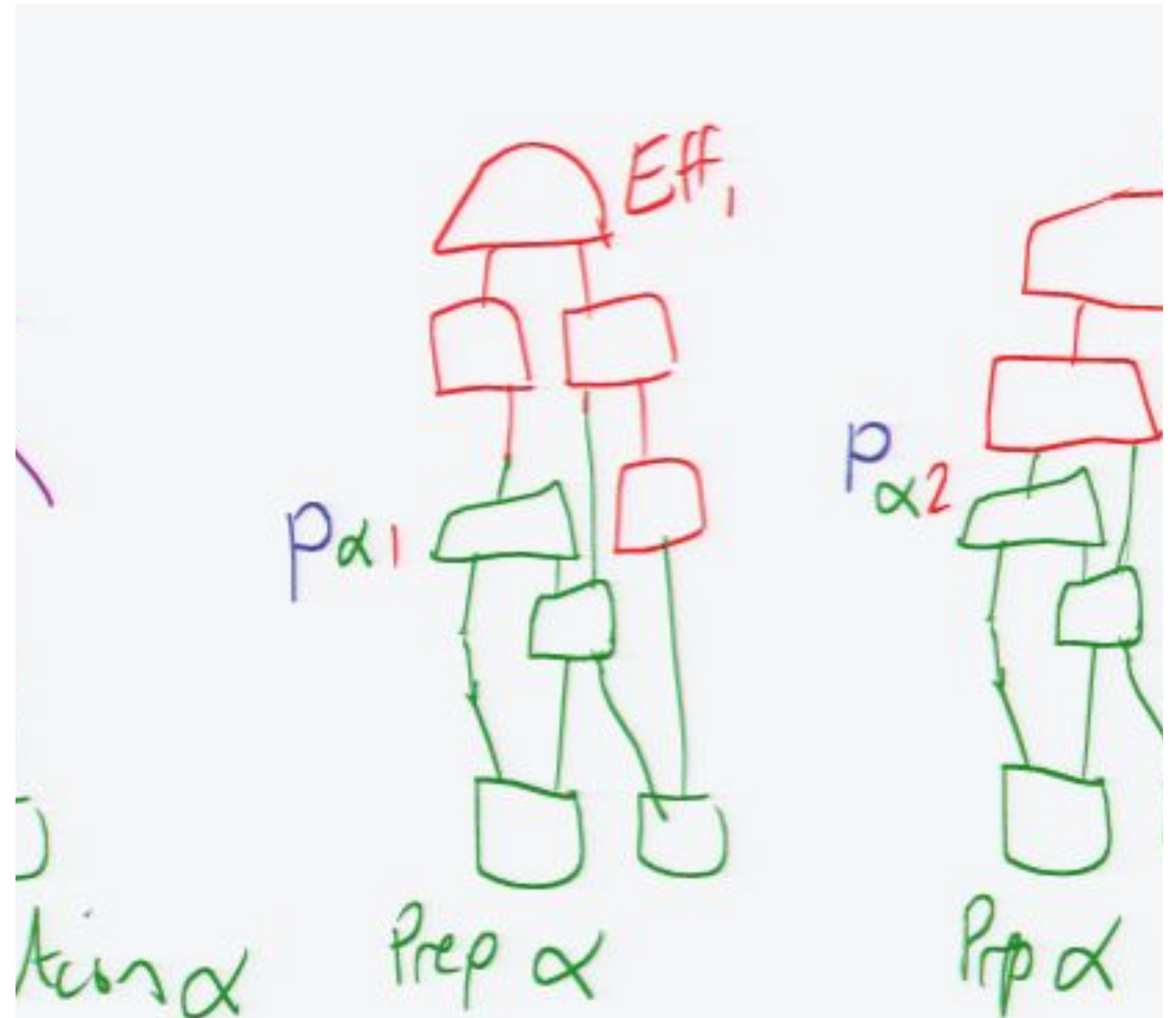
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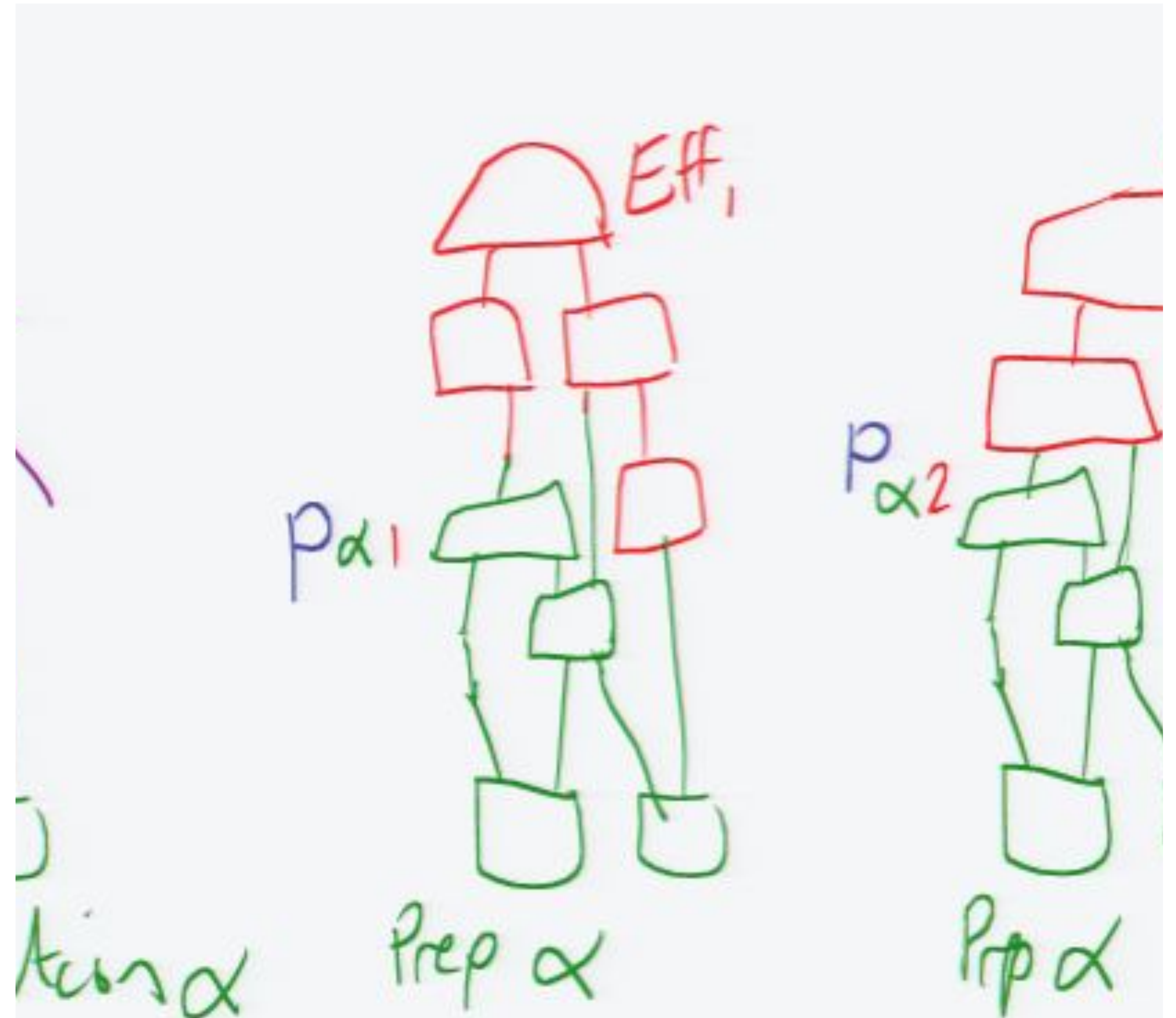
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# Summary

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# Summary

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- Probabilistic structure
- Properties:
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  - Local discriminability
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