



Chaos in deformed SYK models

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“Recent Developments in Black Holes and Quantum Gravity”

YITP workshop 22-01-2025



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Motivation

- Cond-matt {
- * Better understand quantum many body systems
 - * signatures of quantum chaos (Lyapunov, krylov)
- QG {
- * Microscopic models of spacetime
 - * potential for holography in ds

The syk model (and its deformations)

$$H_q = i^{q/2} \sum_{i_1, \dots, i_q} \underbrace{J_{i_1 \dots i_q}}_{\text{random}} \psi_{i_1} \psi_{i_2} \dots \psi_{i_q}$$

$$\langle J_{i_1 \dots i_q}^2 \rangle = \frac{2^{q-1}}{q} \frac{J^2 (q-1)!}{N^{q-1}}$$

$$\{\psi_i, \psi_j\} = \delta_{ij}$$

↑
N Majorana fermions

(q=2 H₂SYK₂ integrable!)

- at low temp - max chaotic
- used to model strange metals

properties of the syk model

* when $N \rightarrow \infty$ effective description in terms of bilinear fields
 $G(\tau_1, \tau_2) = \frac{1}{N} \sum_{i=1}^N \langle \tau \psi_i(\tau_1) \psi_i(\tau_2) \rangle$; $\Sigma(\tau_1, \tau_2) \equiv \text{self energy}$

$$\langle Z_{\text{syk}}[\beta] \rangle = \int \mathcal{D}G \mathcal{D}\Sigma e^{-N S_{\text{eff}}[G, \Sigma]}$$

$$S_{\text{eff}} = -\frac{1}{2} \log \det(\partial_\tau - \Sigma) + \frac{1}{2} \int_0^\beta \int_0^\beta d\tau_1 d\tau_2 (\Sigma G - J^2 \frac{\partial \tau_1^{-1}}{\partial \tau_2} G \tau_1)$$

(EOM - Schwinger-Dyson)

- * $q \rightarrow \infty$ large \Rightarrow further simplification. [$\lambda = \frac{q^2}{N}$ fixed $\lambda \rightarrow 0$] ^{DSSYK}
- * at low temp syk nearly conformal $\Delta_\psi = \frac{1}{q}$
- * $S_{\text{eff}} = S_{\text{CFT}} + S_{\text{Schwarzeian}} + \dots$

Dual gravitational description

* JT gravity (+Dilaton)

$U(\phi) = 2\phi \rightarrow$ Dilaton potential

$$I = \# - \frac{1}{16\pi G} \int_{\mathcal{M}} d^2x \sqrt{g} (R + 2) + 2 \int_{\partial \mathcal{M}} \phi_b k$$



- * AdS_2 + dynamics which reduces to the boundary
 - * Maximal chaos $\lambda_L = \frac{2\pi}{\beta}$
 - * Can deformations of syk lead to different gravity backgrounds (different IR)?
-

Deformations of the Syk model

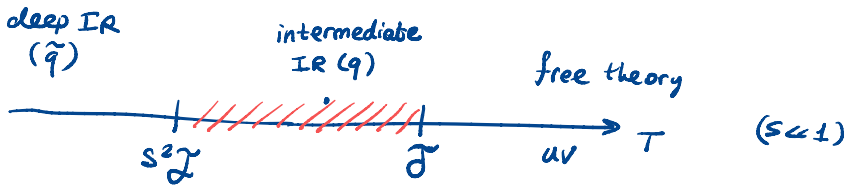
* Relevant deformations of Syk (important in IR)

* $H_q = \# \sum J_{i_1 \dots i_q} \psi_{i_1} \dots \psi_{i_q} \rightarrow \Delta_\psi = 1/q$

* e.g.: $\psi_i \mathcal{J}^{\frac{2n+1}{q}} \psi_i \rightarrow 2n+1 + \frac{2}{q} > 1$ (irrelevant)

* $H_{def} = H_q + s H_{\tilde{q}} \quad s > 0 \quad q \geq \tilde{q}$

naive scaling dimension $\Delta = \frac{\tilde{q}}{q}$ relevant IR



* large N and large q techniques generalize.

* effective theory $N \rightarrow \infty$ $G(\tau_1, \tau_2) = \frac{1}{N} \sum_{i=1}^N \langle \tau \psi_i(\tau_1) \psi_i(\tau_2) \rangle$; $\Sigma(\tau_1, \tau_2) = \text{self energy}$

integro-differential system $\begin{cases} G^{-1}(\tau_1, \tau_2) = \delta(\tau_1 - \tau_2) \partial_{\tau_2} - \Sigma(\tau_1, \tau_2) \\ \Sigma(\tau_1, \tau_2) = \tilde{J}^2 \left(\frac{2q-1}{q} G(\tau_1, \tau_2)^{q-1} + s^2 \frac{2\tilde{q}-1}{\tilde{q}} G(\tau_1, \tau_2)^{\tilde{q}-1} \right) \end{cases}$

* $N \rightarrow \infty \quad q \rightarrow \infty \quad (q, \tilde{q} \rightarrow \infty; n \equiv \frac{q}{\tilde{q}} \text{ fixed}) \quad \lambda = \frac{\tilde{q}^2}{N} \rightarrow 0$

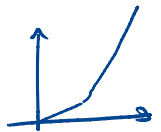
$$G(\tau) = \frac{\text{sgn}(\tau)}{2} \left(1 + \frac{g(\tau)}{q} + \dots \right)$$

$$\partial_\tau^2 g(\tau) = 2 \tilde{J}^2 e^{g(\tau)} + 2n s^2 \tilde{J}^2 e^{g(\tau)/n}$$



closed form for the 2pt function!

* Dual description $U(\phi) = \begin{cases} 2\phi & \phi \ll \phi_0 \\ \# + \kappa\phi & \phi \gg \phi_0 \end{cases}$



intermediate IR $\frac{S_{\text{thermal}}}{N} = \# + \frac{\pi^2}{q^2} \frac{1}{\beta J}$ (relation to ds?)

deep IR $\frac{S_{\text{thermal}}}{N} = \# + \frac{\sqrt{1+4s^2}}{2s^2} \frac{\pi^2}{q^2} \frac{1}{\beta J}$ } $s \rightarrow is$

$\mathcal{H} = \mathcal{H}_q + is \mathcal{H}_{\bar{q}} \Rightarrow ???$

signatures of quantum chaos

* classical chaos - hypersensitivity on initial conditions

$$\frac{\partial q(t)}{\partial q(0)} = \{q(t), p(0)\} \sim e^{\lambda_L t} \leftarrow \text{Lyapunov exponent}$$

* If semiclassical limit exists $\frac{1}{i\hbar} [q(t), p(0)]$

* proposed generalization $c(t) = -\langle [W(t), V(0)]^2 \rangle_{\beta}$

Lyapunov Exponent

$$* \text{OTOC}(t) = \frac{1}{N^2} \sum_{i,j=1}^N \text{Tr}(\rho^{\frac{1}{4}} \psi_i(t) \rho^{\frac{1}{4}} \psi_j(0) \rho^{\frac{1}{4}} \psi_i(t) \rho^{\frac{1}{4}} \psi_j(0))$$

$$\rho = \frac{1}{Z(\beta)} e^{-\beta H}$$

$$\text{OTOC} = f_0 - \frac{f_1}{N} \exp(\lambda_L t)$$

$$* \lambda_L \leq \frac{2\pi}{\beta} \quad (\text{good only at large } N)$$

Krylov exponent



$$* O(t) = \underbrace{e^{iHt} \theta e^{-iHt}}_{\text{Krylov basis}} \quad (\text{how fast does this spread?})$$

$$\text{Span} \{ \theta, [H, \theta], [H, [H, \theta]] \dots \} \rightarrow \{ O_1, O_2, O_3, \dots \}$$

$O(t) = \sum \varphi_n(t) O_n$ $\langle n \rangle_t = \sum |\varphi_n(t)|^2 \cdot n \sim e^{\lambda_k \cdot t}$
exponentiated in quantum chaotic

* krylov / lyapunov exponents - different measures of chaos

$$\boxed{\lambda_L \leq \lambda_k \leq \frac{2\pi}{\beta}}$$

↓
tight

* in certain cases $\lambda_L = \lambda_k$ same

Questions

Q1: what are λ_L and λ_k in deformed syk models (✓)

Q2: are they equal? (not equal!)

Q3: can these exponents diagnose chaos transitions between two syk Hamiltonian. (only Lyapunov!)

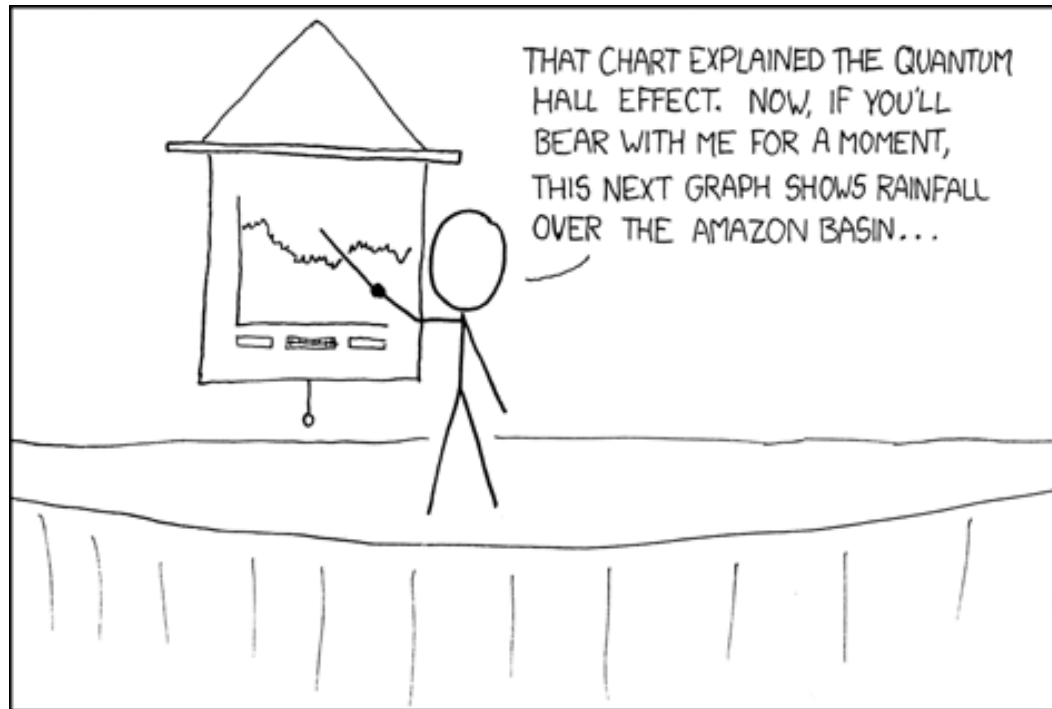
$N \rightarrow \infty$ (large q , finite q)

Q:

* Relation of flows in grav and syk - beyond thermo flows (e.g. Dil pot?) \Rightarrow

in last paper of Damian/sam/Di's some match...

Results



Questions to answer

- What are λ_L and λ_K in deformed SYK models?
- Are they equal?
- Can they both diagnose chaos/chaos transitions?

Useful difficulty map



• Finite N , large matrices - hard	Hard
• Large N , integro-differential equations	
• Large N , large q – ODE – analytic solution	Easy(er)

Large q expansion – a single SYK

- An expansion to the next-to-leading order in $1/q$ can be performed, at large temperatures:

$$\lambda_K = \frac{2\pi}{\beta} \left(1 - \left(2 - \frac{7\pi^2 + 12}{9q} \right) \frac{1}{\beta\mathcal{J}} + \dots \right) \quad \lambda_L = \frac{2\pi}{\beta} \left(1 - \left(2 + \frac{5\pi^2 - 12}{9q} \right) \frac{1}{\beta\mathcal{J}} + \dots \right)$$


- Already at this level the exponents do not exactly coincide!

$$\frac{\beta}{2\pi} (\lambda_K - \lambda_L) = \frac{4\pi^2}{3q\beta\mathcal{J}} + \dots$$

Large N and finite q – Numeric strategy

Outline of the numerical procedure:

- Rewrite the the Schwinger-Dyson equation in Fourier space

$$\boxed{\begin{aligned} G^{-1}(\tau_1, \tau_2) &= \delta(\tau_1 - \tau_2) \partial_{\tau_2} - \Sigma(\tau_1, \tau_2) , \\ \Sigma(\tau_1, \tau_2) &= \mathcal{J}^2 \left(\frac{2^{q-1}}{q} G(\tau_1, \tau_2)^{q-1} + s^2 \frac{2^{\tilde{q}-1}}{\tilde{q}} G(\tau_1, \tau_2)^{\tilde{q}-1} \right) . \end{aligned}}$$


$$\frac{1}{G(\omega)} = -i\omega - \Sigma(\omega) ,$$

$$G(\tau + \beta/2) = \int d\omega e^{-\omega(\tau + \frac{\beta}{2})} \frac{\rho(\omega)}{1 + e^{-\beta\omega}} .$$

- Re-express them in terms of the spectral density
- Solve them with an iterative procedure in Fourier space to obtain the fermion 2pt function and various analytic continuations in Lorentzian time.
- From the moments of the 2pt function we can already obtain the Krylov exponent.

Large N and finite q – Numeric strategy

- Solve 4pt function from Kernel equation:

$$K(t_1, t_2, t_3, t_4) = G^R(t_{13})G^R(t_{24})\mathcal{J}^2 \left(\frac{2^{q-1}}{q}(q-1)G^W(t_{34})^{q-2} + s^2 \frac{2^{\tilde{q}-1}}{\tilde{q}}(\tilde{q}-1)G^W(t_{34})^{\tilde{q}-2} \right)$$

$$F(t_1, t_2) = \int dt_3 dt_4 K(t_1, t_2, t_3, t_4) F(t_3, t_4)$$

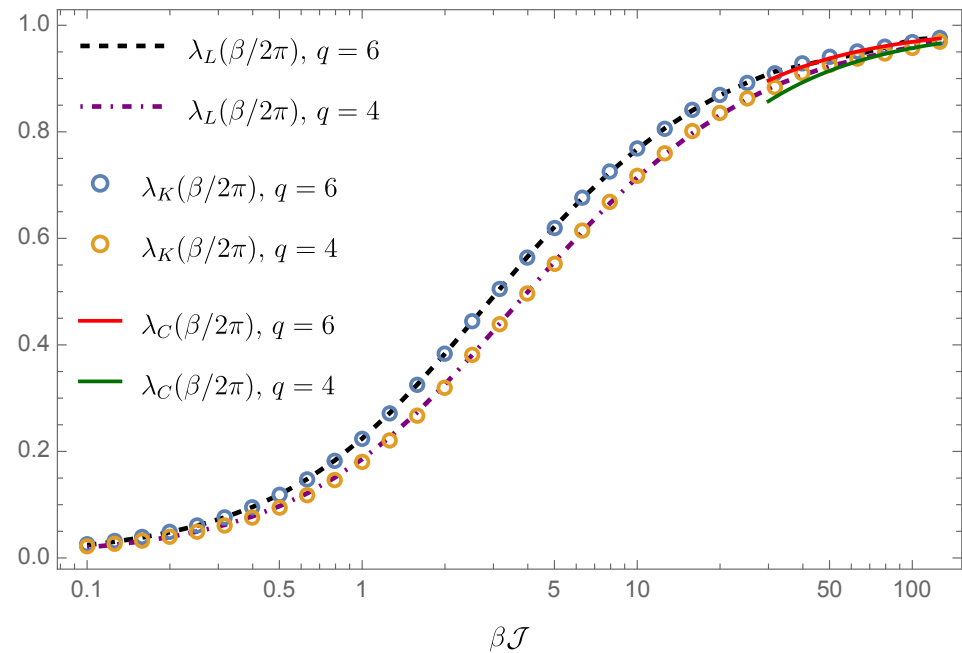
$$\text{OTOC}(t_1, t_2) = F_0(t_1, t_2) + \frac{1}{N}F(t_1, t_2) + \dots .$$

- Discretize and treat as matrix diagonalization
- Numerical difficulties associated with low temperatures (many coding hours of our talented students & postdocs)

Large N Finite q - single SYK

- Maximal chaos at low temperatures.
- Krylov – good approximation to the Lyapunov exponent.
- But not exactly equal (differences fall within numerical accuracy, but we already know from expansion)

$q = 4/6$ of fermions in each interaction term

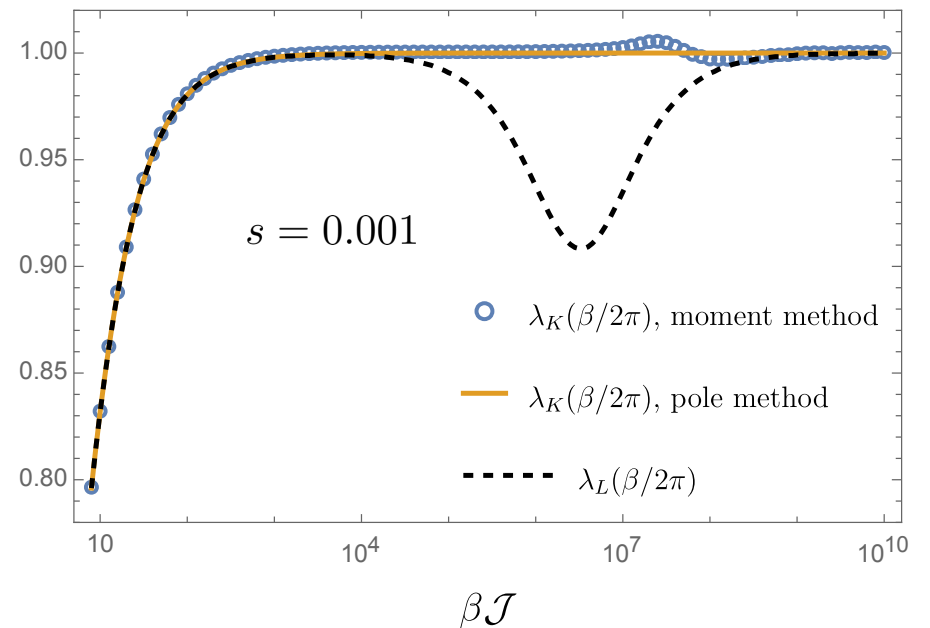


[SC, Demulder, Galante, Sheorey, Shoval (2024)]

Flows - Chaos to Chaos

$$H_{\text{def}} = H_q + sH_{q/2}, \quad q \rightarrow \infty$$

- Two regimes of nearly maximal chaos.
- Chaos transition at $\beta\mathcal{J} \sim 1/s^2$
- Krylov complexity correctly (but poorly!) bounds (above) the Lyapunov exponent.
- Krylov does **not** diagnose chaos transitions!
- Analytic expansions near maximally chaotic regimes available.

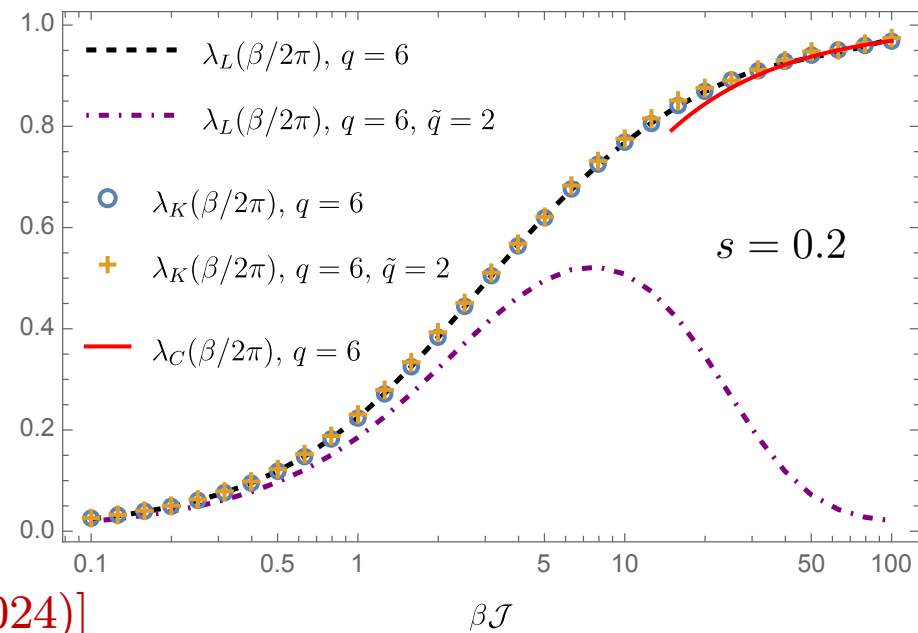


[SC, Demulder, Galante, Sheorey, Shoval (2024)]

Flows - Chaos to integrable

$$H_{\text{def}} = H_6 + sH_2$$

- Lyapunov exponent sees the transition
- Krylov seems unable to diagnose the chaotic properties of the flows

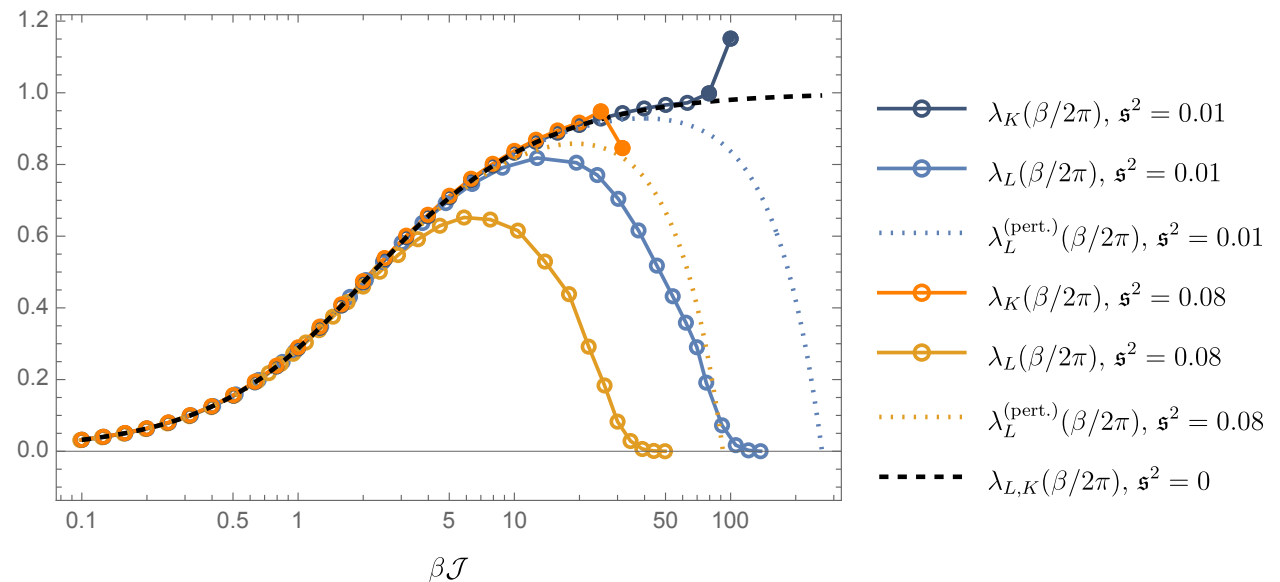


[SC, Demulder, Galante, Sheorey, Shoval (2024)]

Other studies of chaos-integrable transitions: [Berkooz, Brukner, Jia, Mamroud, 2024; Garcia-Garcia, Loureiro, Romero-Bermudez, Tezuka, 2018; Kim, Cao, 2021; Lunkin, Kitaev, Feigel'man, 2020; Nandy, Cadez, Dietz, Andrianov, Rosa 2022; Menzler, Jha 2024...]

Chaotic to integrable phase transitions?

- One case where we could see hint of phase transition in Krylov.
- Linear slope of b_n stopped.
- Also, sharp phase transition of Lyapunov.
- At the same temperature.



$$H_{\text{def}} = H_{\infty} + sH_2$$

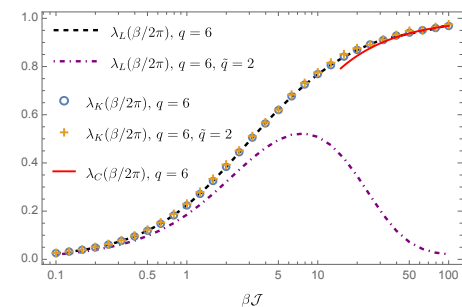
Recap of results

- We saw deviations between the two exponents already for the single SYK.
- For most cases of flow SYK Krylov did not provide a tool for diagnostic chaos transitions along RG flows

*Note that the late time volume in flow geometries **also** does not seem to see the flow [Rabinovici, Sanchez-Garrido, Shir, Sonner 2023; SC, Galante, Kramer 2022]

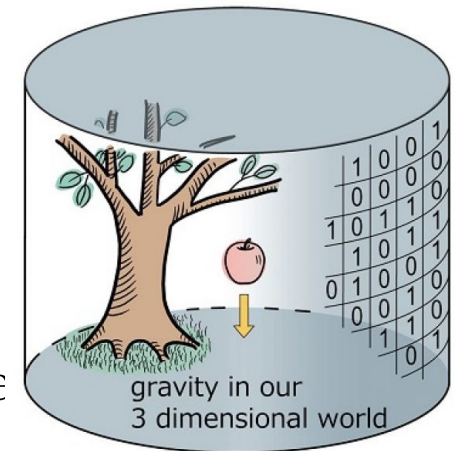
- In all examples, the Krylov exponent grew monotonically? (when it can be defined)
- Conjecture for Hermitian systems:

$$\beta \partial_\beta \left(\frac{\lambda_K \beta}{2\pi} \right) \geq 0$$



Potential for de Sitter holography?

- Holography is great, it helps us reinterpret conceptually hard questions about quantum gravity.
- We live in an expanding universe.
- A holographic approach could be very useful to understand quantum gravity in the expanding universe
- dS has a horizon and an entropy, what is it counting?
- But challenging – dS has no timelike boundary.
- Many attempts and approaches:
 - dS-CFT/Stretched horizon holography/ $\bar{T}T + \Lambda_2$ /DSSYK-dS₃ ...



Can flow SYK help?

- Deformed SYK – dual IR deformations of nearly AdS_2 spacetimes.
- **Q1:** Can we put dS in the IR of AdS_2 ?
- **Q2:** If yes, can deformations of SYK be dual to this configuration?
- **Q1– answer:** In general dimensions – unclear, some no go theorems.

Based on the idea that null geodesics leaving the AdS boundary cannot converge and then diverge. [Freivogel, Hubeny, Maloney, Myers, Rangamani, Shenker, '05]

- But in 2d there is no direction in which to converge and then diverge.

dS interpolating geometries in 2d

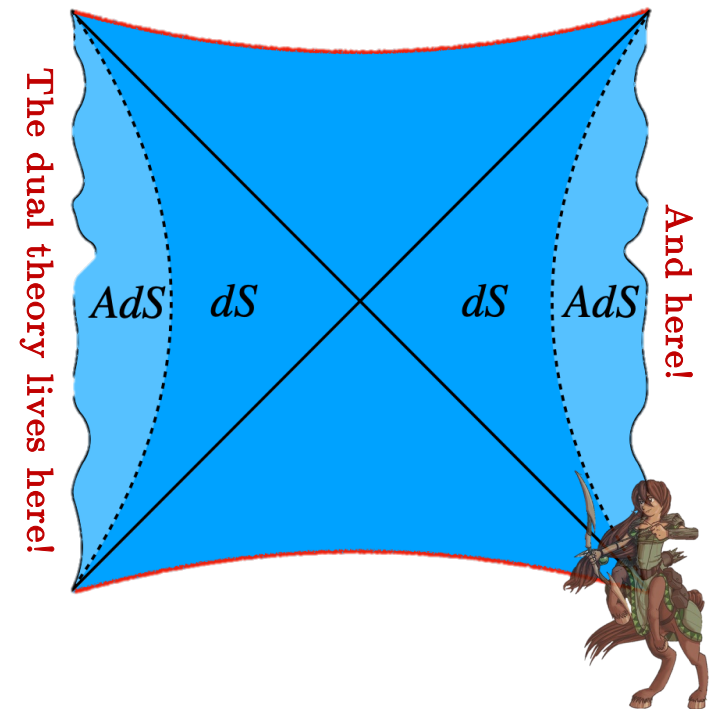
- Can embed a portion of dS inside AdS Space – **interpolating geometries**

[Anninos, Hofman (2017)]

- JT gravity with Dilaton potential that changes sign

$$U(\phi) = \begin{cases} 2\phi & \phi \gg \epsilon \\ -2\phi & \phi \ll -\epsilon \end{cases}$$

- Now there is a timelike boundary where the dual theory can live!
- Can this be dual to some flow SYK?



Towards de Sitter Holography?

- Which SYK RG flow could lead to a dS interior? (hints from gravity behavior)
- dS flows has non-standard chaotic behavior (for instance the OTOC oscilates)
[Anninos, Galante, Hofman 2019; SC, Galante, Kramer 2022, ...]
- Thermodynamic behavior matches flow SYK when analytically continued to complex couplings
[Anninos, Galante, Sheorey (2022)]
$$H_{\text{def}} = H_q + sH_{\tilde{q}} \Rightarrow H_q + isH_{\tilde{q}}$$
- **What does this really describe? Non Hermitian Hamiltonian**
- **Maybe dS is dual to an open quantum system**
 - Open boundary at future infinity
 - Perturbations make contact with a larger part of the system.
- Signatures of chaos in open quantum systems
[Sá, Ribeiro, Prosen 2021; Bhattacharya, Nandy, Nath and Sahu, 2022; Liu, Tang, Zhai 2023; Bhattacharjee, Cao, Nandy, Pathak 2023; Srivatsa, Keyserlingk 2024...]
- Interesting to explore connection to dS!
- Explore relation to other proposals DSSYK/dS₃?
[Narovlansky, Verlinde]

Lots to explore!



A visualization of the cosmic web, showing a complex network of dark matter filaments and galaxy clusters. The filaments are depicted as golden, branching structures against a dark background. Various galaxies, including spiral and elliptical ones, are scattered throughout the network. In the center, a bright star or galaxy core is visible. The Earth and its moon are shown at the bottom center, providing a sense of scale. A purple semi-transparent box is overlaid in the center, containing the text "Thank you! Any questions?".

Thank you!
Any questions?