


Many-body Green's function & DFTs

A pedagogical introduction
and
my own view of DFT

See, Guistino RevModPhys “Electron-phonon interactions from first principles”
Hansen and McDonald “Theory of simple liquids”



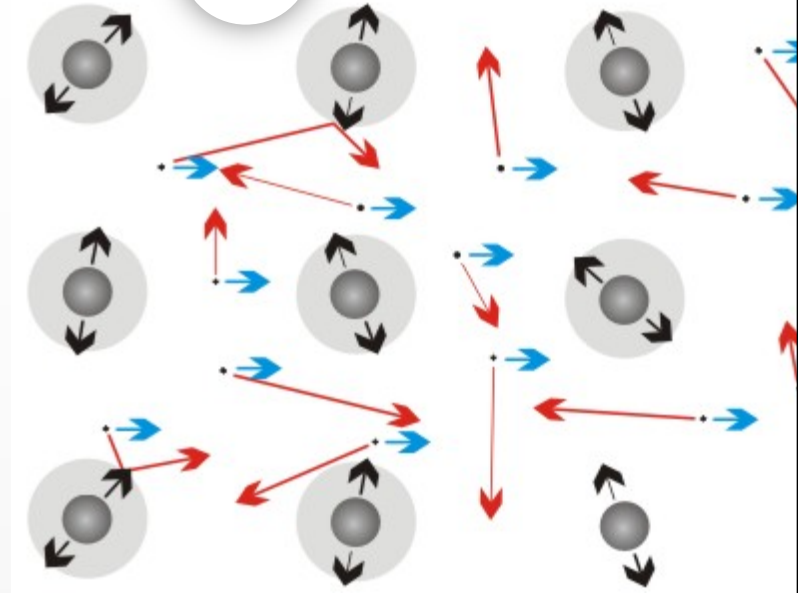
Contents

- A) Electrons
 - B) Classical particles
 - C) Electron and phonon
- 

Starting Hamiltonian

Electrons & Nuclei

Our target is the interacting electrons and/or nuclei



$$\hat{H} = \hat{T}_e + \hat{T}_n + \hat{U}_{ee} + \hat{U}_{nn} + \hat{U}_{en}$$

Focusing on electrons

Born-Oppenheimer

$$\hat{H} = \hat{T}_n + \hat{U}_{nn} + \int dx \hat{\psi}^\dagger(x) \left[-\frac{\hbar^2}{2m_e} \nabla^2 + \hat{V}_n(r) \right] \hat{\psi}(x) + \frac{1}{2} \int dx dx' v(r, r') \hat{\psi}^\dagger(x) \hat{\psi}^\dagger(x') \hat{\psi}(x') \hat{\psi}(x)$$

$$\hat{\psi}(x) = \sum_i \varphi_i^{\text{KS}}(x) \hat{a}_i \quad \text{Kohn-Sham orbitals (with modification)}$$

Green's functions

$$G(xt, x't') = -\frac{i}{\hbar} \langle 0 | \hat{T} [\psi(xt) \psi^\dagger(x't')] | 0 \rangle$$

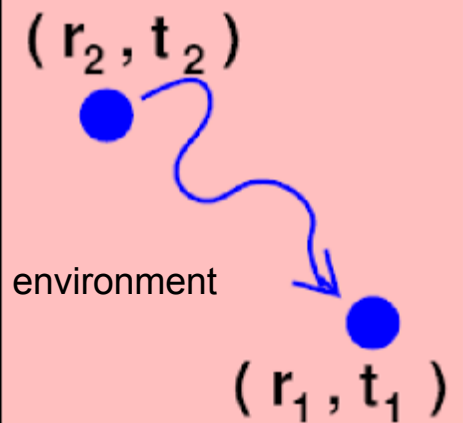
$$G_2(121'2') = -\left(\frac{i}{\hbar}\right)^2 \langle 0 | \hat{T} [\psi(1)\psi(2)\psi^\dagger(2')\psi^\dagger(1')] | 0 \rangle$$

$$\psi(xt) = e^{i\hbar^{-1}(T+V_1+V_2)t} \psi(x) e^{-i\hbar^{-1}(T+V_1+V_2)t}$$

$$V_1 = \int n(x) \varphi(x) dx \quad \text{External potential coupled to density}$$

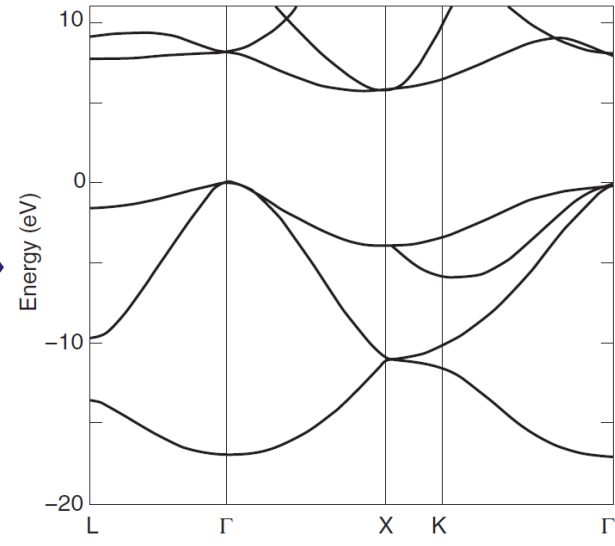
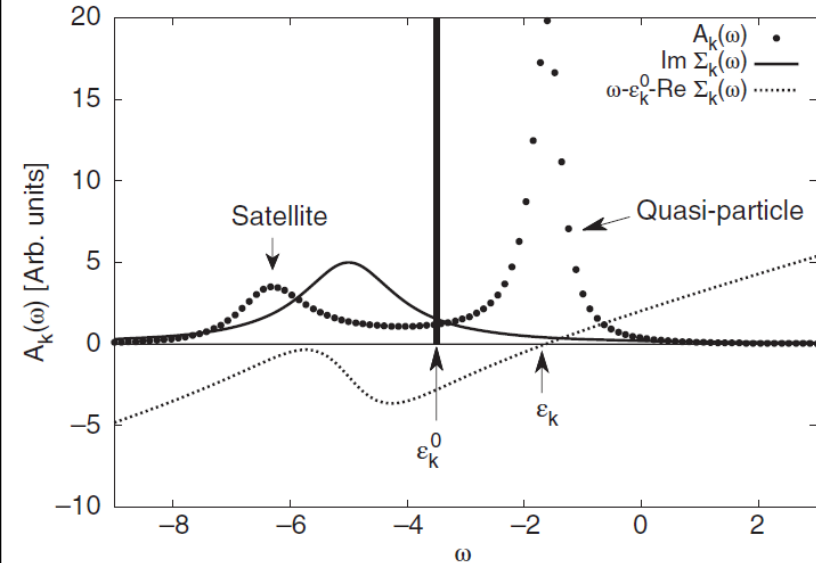
$$\langle n(x) \rangle = -i\hbar G(x, x)$$

$$V_2 = \frac{\lambda}{2} \int v(x, x') n(x) n(x') dx x' \quad \text{Coupling constant}$$



Spectral function

$$2\pi A_k(\omega) = \left| \text{Im} \int dx x' \varphi_k^*(x) \varphi_k(x') G(x, x', \omega) \right|$$



Lehman representation

$$G(x, x', \omega) = \sum \frac{g_k(x)g_k^*(x')}{\omega - (E_k^{N+1} - E_0^N) + i\eta} + \sum \frac{f_k(x)f_k^*(x')}{\omega + (E_k^{N-1} - E_0^N) - i\eta}$$

$$f_k(x) = \langle \Psi_k^{N-1} | \hat{\psi}(x) | \Psi_0^N \rangle$$

“orbital”

$$g_k(x) = \langle \Psi_0^N | \hat{\psi}(x) | \Psi_k^{N+1} \rangle$$

One-body Green's function

$$G(xt, x't') = -\frac{i}{\hbar} \langle 0 | \hat{T} [\psi(xt) \psi^\dagger(x't')] | 0 \rangle$$

Move under a given external potential

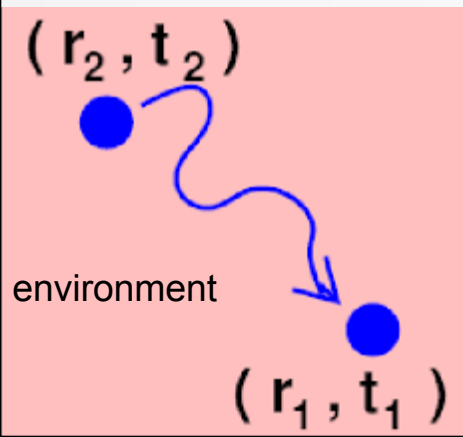
$$\left[i\hbar \frac{\partial}{\partial t} + \frac{\hbar^2}{2m_e} \nabla^2 - \varphi(rt) \right] G(xt, x't') = \delta(xt, x't')$$

$$- \frac{i}{\hbar} \int dx'' dt'' v(r, r'') \langle 0 | \hat{T} [n(x''t'') \psi(xt) \psi^\dagger(x't')] | 0 \rangle$$

Hierarchical equation!

$$\equiv L(1323^+) = -G_2 + G * G$$

$$[\hat{\psi}(x_1), \hat{H}] = h(r_1) \hat{\psi}(x_1) + \int dx_2 \hat{\psi}^\dagger(x_2) v(r_1, r_2) \hat{\psi}(x_2) \psi(x_1)$$



Functional derivative method

$$L(2313^+) = \frac{\delta}{\delta\varphi(3)} G(21)$$

- Kato, Kobayashi, Namiki
- Schwinger
- Hedin, Lundqvist

$$\text{cf } \left. \frac{\delta n(1)}{\delta\varphi(2)} \right|_{\varphi \rightarrow 0} = \chi(12) = -iL(121^+2^+)$$



$$\int dx'' dt'' \left[i\hbar \frac{\partial}{\partial t} + \frac{\hbar^2}{2m_e} \nabla^2 - \varphi(rt) - i\hbar v(rt, r''t'') \frac{\delta}{\delta\varphi(r''t'')} \right] G(x''t'', x't') = \delta(xt, x't')$$

Further step: Introduction of self-energy

$$\int dx'' dt'' \left[i\hbar \frac{\partial}{\partial t} + \frac{\hbar^2}{2m_e} \nabla^2 - \varphi(rt) - i\hbar v(rt, r''t'') \frac{\delta}{\delta \varphi(r''t'')} \right] G(x''t'', x't') = \delta(xt, x't')$$



$$\int dx'' dt'' \left[i\hbar \frac{\partial}{\partial t} + \frac{\hbar^2}{2m_e} \nabla^2 - \varphi(xt) - \Sigma(xt, x''t'') \right] G(x''t'', x't') = \delta(xt, x't')$$

Self-energy

$$\frac{\delta}{\delta\varphi(r't')} G(xt, x''t'') \rightarrow \frac{\delta}{\delta\varphi(3)} G(12)$$

$$= - \int d45 G(14) \frac{\delta G^{-1}(45)}{\delta\varphi(3)} G(52)$$

$$= - \int d456 G(14) \frac{\delta G^{-1}(45)}{\delta V_{\text{tot}}(6)} \frac{\delta V_{\text{tot}}(6)}{\delta\varphi(3)} G(52)$$

\downarrow $-\Gamma(456)$ \downarrow $\epsilon^{-1}(63)$

$$\equiv W(16^+) \leftarrow$$

$$- \int d3 v(13) \frac{\delta}{\delta\varphi(3)} G(12^+) = \int d456 G(14) \Gamma(456) \left[\int d3 v(13) \epsilon^{-1}(63) \right] G(52)$$

$$\equiv -i\hbar^{-1}\Sigma(15) \leftarrow$$

Equation of motion (summary)

$$\int d^3 \left[i\hbar \frac{\partial}{\partial t_1} + \frac{\hbar^2}{2m_e} \nabla^2(1) - \varphi(1) - \Sigma(13) \right] G(32) = \delta(12)$$

$$\Sigma(12) = i\hbar \int d^3 4 G(13) \Gamma(324) W(41^+)$$

$$\Gamma(123) = \delta(12)\delta(13) + \int d^4 5 6 7 \frac{\delta \Sigma(12)}{\delta G(45)} G(46) G(75) \Gamma(673)$$

$$W(12) = \int d^3 \epsilon^{-1}(13) v(32)$$

Screened Coulomb and polarization

$$\frac{\delta\varphi(1)}{\delta V_{\text{tot}}(2)} = \epsilon(12)$$

$$W(12) = \int d^3 \epsilon^{-1}(13) v(32)$$



chain rule

$$= v(12) + \int d^3 4 v(13) \frac{\delta\langle\hat{n}(3)\rangle}{\delta V_{\text{tot}}(4)} W(42)$$

Interaction with correlated
density fluctuation

$P(34)$

Relation with density correlation

$$iD(12) \equiv \langle\Psi_0|T[\tilde{n}_H(1)\tilde{n}_H(2)]|\Psi_0\rangle \quad \tilde{n}_H \equiv \hat{n} - \langle\hat{n}\rangle$$

$$\hbar P(12) = D(12)$$

Equation of motion (summary)

$$\int d^3 \left[i\hbar \frac{\partial}{\partial t_1} + \frac{\hbar^2}{2m_e} \nabla^2(1) - \varphi(1) - \Sigma(13) \right] G(32) = \delta(12)$$

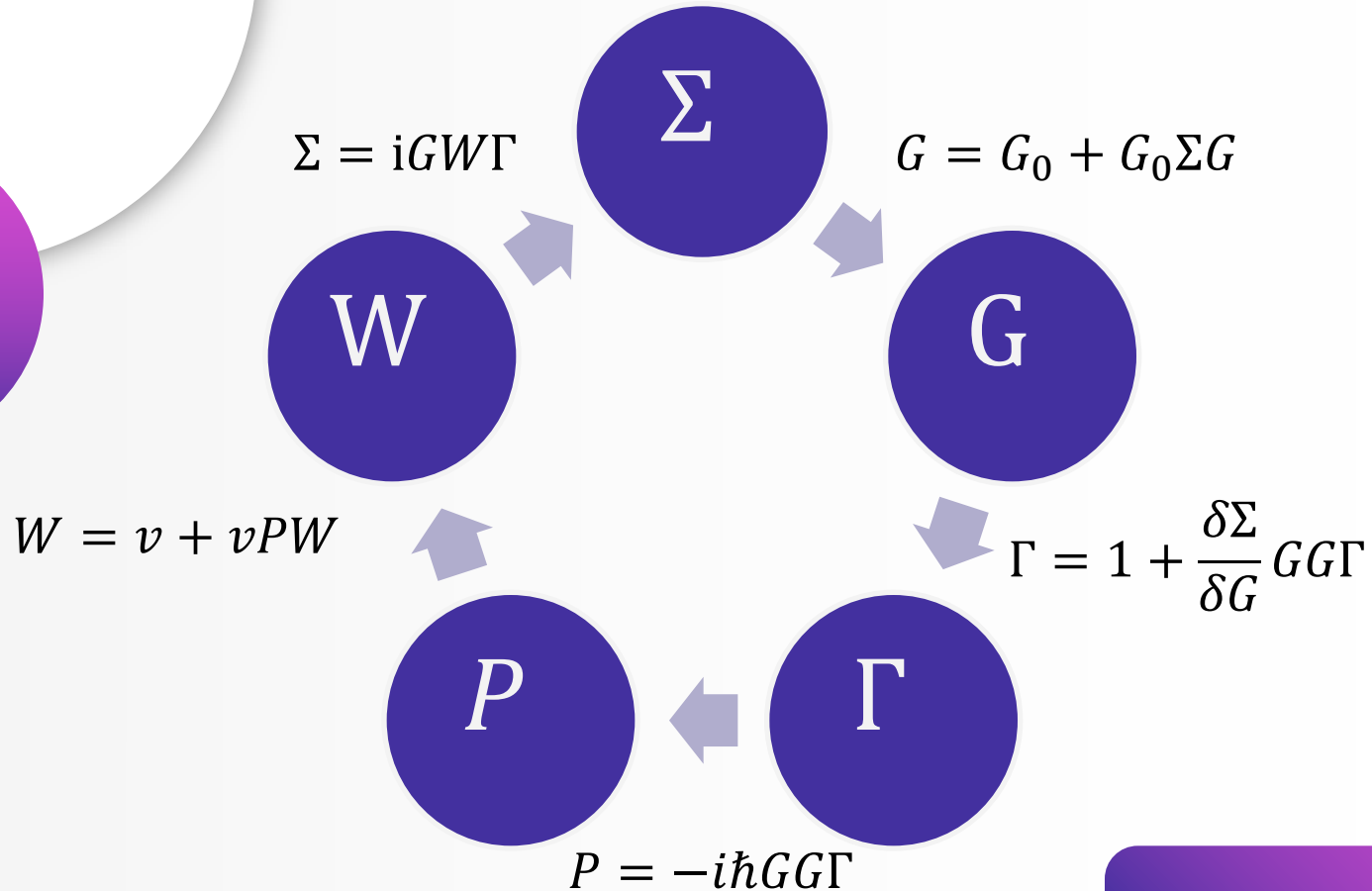
$$\Sigma(12) = i\hbar \int d^3 4 G(13) \Gamma(324) W(41^+)$$

$$\Gamma(123) = \delta(12)\delta(13) + \int d^4 5 6 7 \frac{\delta \Sigma(12)}{\delta G(45)} G(46) G(75) \Gamma(673)$$

$$W(12) = v(12) + \int d^3 4 v(13) P(34) W(42)$$

$$P(12) = -i\hbar \int d^3 4 G(13) G(41^+) \Gamma(342)$$

Hedin's equation



Hedin's equation

Feynman diagram

$$G = G_0 + G_0 \Sigma G$$

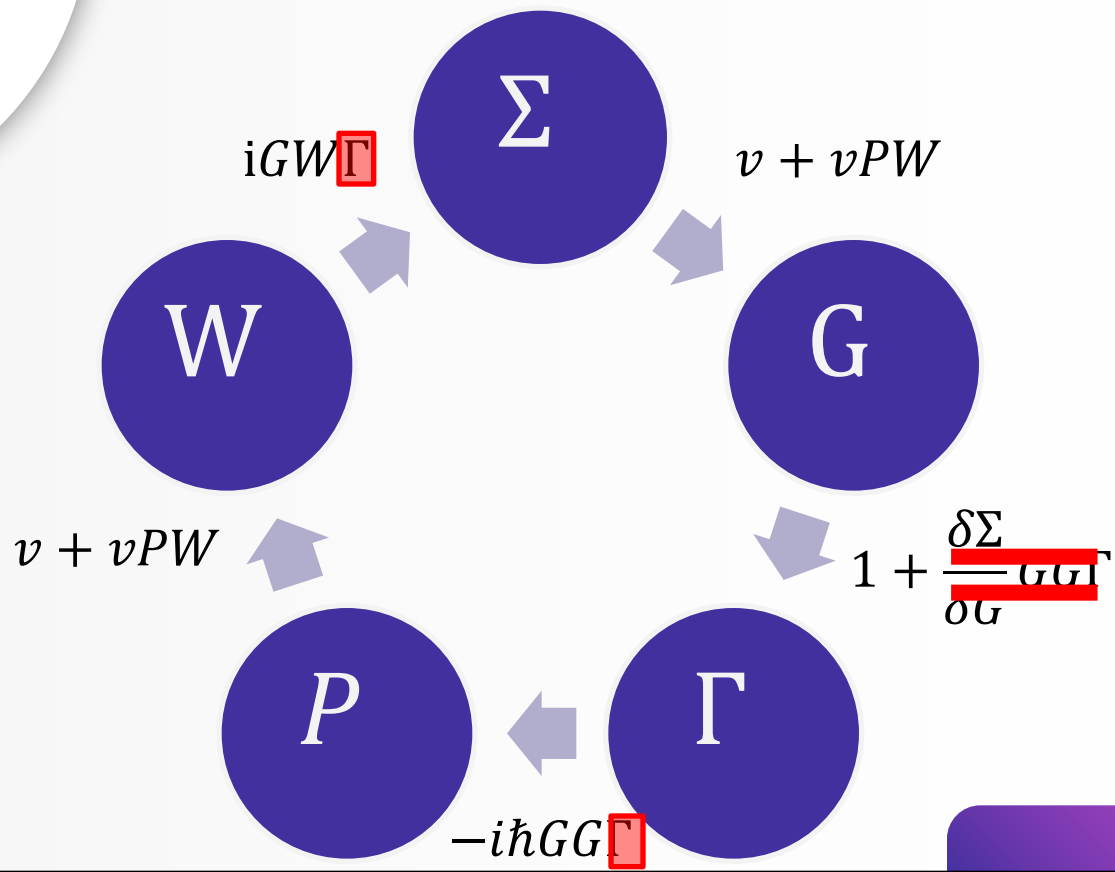
$$\Sigma = \Gamma W \Gamma$$

$$W = v + v P v$$

$$P = G \Gamma G$$

$$\Gamma = \Gamma_0 + \frac{\delta \Sigma}{\delta G} \Gamma$$

GW approximation



GW approximation

$$\Sigma_{\text{xc}}(12) = i\hbar \int d34 G(13)\Gamma(324)W(41^+) \quad \text{Effective potential (triplet)}$$

$$W^{\text{eff}}(1^+23) = \int d4 \Gamma(324)W(41^+)$$

GW approximation

$$\Sigma_{\text{xc}}(12) = i\hbar \int d34 G(13)\Gamma(324)W(41^+) \quad \text{Effective potential (triplet)}$$

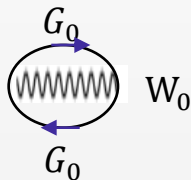


$$W^{\text{eff}}(1^+23) = \int d4 \Gamma(324)W(41^+)$$

$$\Sigma_{\text{xc}}(12) = iG(12)W(1^+2)$$

$$\int d3 \left[i\hbar \frac{\partial}{\partial t_1} - h(1) - iG(13)W(1^+3) \right] G(32) = \delta(12)$$

$G(12) \rightarrow G_0(12)$ then $W(12)$ can be recognized as effective interaction between Kohn-Sham states



GW approximation

$$\Sigma_{xc}(12) = iG(12)W(1^+2)$$

$$= iG(12) \left(v(12) + \int d4 v(14) \chi(43) v(32) \right)$$

↑
screening due to classical response



If completely time-independent,

$$\Sigma_{xc}(xx') = -n(rr')W(xx')$$

Similar in structure to hybrid DFT

Modeling functional

$$E[G] = \frac{1}{2} \int d1 \lim_{2 \rightarrow 1} \left[\hbar \frac{\partial}{\partial t_1} + i \frac{\hbar^2}{2m_e} \nabla^2(1) - iV_{\text{tot}}(1) \right] G(12) \quad \text{Galitskii–Migdal (1958)}$$

$$= E_{\text{HF}}[G] + \frac{1}{2} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \text{Tr}[G(\mu + i\omega) \Sigma_c(\mu + i\omega)]$$



GW approximation

$$\Sigma_c(r, r', t - t') \rightarrow iG(r, r', t - t') [W(r, r', t - t') - v(r, r')]$$



G_0W_0 approximation

Modeling functional

$$E[G] = \frac{1}{2} \int d1 \lim_{2 \rightarrow 1} \left[\hbar \frac{\partial}{\partial t_1} + i \frac{\hbar^2}{2m_e} \nabla^2(1) - iV_{\text{tot}}(1) \right] G(12)$$

Galitskii–Migdal (1958)

$$= E_{\text{HF}}[G] + \frac{1}{2} \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \text{Tr}[G(\mu + i\omega) \Sigma_c(\mu + i\omega)]$$

$$\rightarrow E_{\text{HF}}[\varphi^{\text{KS}}] + \frac{1}{2} \text{Tr}[vG^{\text{KS}}G^{\text{KS}} + \log(1 - vG^{\text{KS}}G^{\text{KS}})]$$

RPA Bohm-Pines (1953)

Non-interacting system (HF) \rightarrow lowest order w.r.t. Γ

Modeling functional

$\equiv \chi_0(12)$

$$\chi(12) = -iG(12)G(21^+) - i \int d345 G(13)G(41^+) \left(\delta(34)v(35) + \frac{\delta\Sigma_{xc}(34)}{\delta n(5)} \right) \chi(52)$$
$$W_0(12) = v(12) + \int d4v(14)\chi(43)v(32) \quad \rightarrow \delta(34)f_{xc}(35)$$

$$E_c[\varphi^{\text{KS}}] = \frac{1}{2} \text{Tr}[vG^{\text{KS}}G^{\text{KS}} + \log(1 - vG^{\text{KS}}G^{\text{KS}})]$$

Welcome to the classical world!

$$\mathbb{E}[\phi] = \sum_{N=0}^{\infty} \int \frac{1}{N!} \exp[-\beta V_N] \left(\prod_{i=1}^N z \exp[-\beta \phi(r_i)] \right) \quad z \equiv \frac{\exp[\beta \mu]}{\Lambda^3} : \text{activity}$$

$$V_N(r_1, \dots, r_N) = \frac{1}{2} \sum_{i \neq j} v(r_i, r_j) \quad \text{Lennard Johns potential } v(r) = Ar^{-12} - Br^{-6}$$

Potential versus density: Ω versus \mathcal{F}

$$\Omega[\psi] = -k_B T \log(\Xi) \iff \Omega[\psi[\rho]] = \mathcal{F}[\rho] + \int \psi[\rho](1) \rho(1) d1$$

ψ : Potential corresponding to the equilibrium density ρ

$\Lambda^3 z^*(r) = \exp[\beta(\mu - \phi(r))]$: local activity

$\beta(\mu - \phi(r)) \equiv \beta\psi(r) \equiv \bar{\psi}(r)$: dimensionless potential

Intrinsic free energy $\mathcal{F} = \mathcal{F}^{\text{id}} + \mathcal{F}^{\text{ex}}$

$$\frac{\delta \beta \mathcal{F}^{\text{id}}}{\delta \rho^{(1)}(r)} = \ln[\Lambda^3 \rho^{(1)}(r)]: \text{ideal gas}$$

Quantity of central importance

N-particle density

$$\rho^{(n)}(1, \dots, n) = \frac{z^*(1) \cdots z^*(n)}{\Xi} \frac{\delta^n \Xi}{\delta z^*(1) \cdots \delta z^*(n)}$$

$$g^{(n)}(1, \dots, n) = \frac{\rho^{(n)}(1, \dots, n)}{\rho^{(1)}(1) \cdots \rho^{(1)}(n)}$$

Potential versus density: Ω versus \mathcal{F}

	Functional of ψ (external potential)	Functional of ρ (equilibrium density)
energy	Grand potential Ω	Intrinsic free energy $\mathcal{F} = \mathcal{F}^{\text{id}} + \mathcal{F}^{\text{ex}}$
equilibrium condition	$\frac{\delta\Omega}{\delta\psi(r)} = -\rho^{(1)}(r)$	$\frac{\delta\mathcal{F}}{\delta\rho^{(1)}(r)} = \psi(r)$
Correlation fn.	$\frac{\delta^n \beta\Omega}{\delta\bar{\psi}(1) \cdots \delta\bar{\psi}(n)} = -H^{(n)}(1, \dots, n)$	$\frac{\delta^n \beta\mathcal{F}^{\text{ex}}[\rho^{(1)}]}{\delta\rho^{(1)}(1) \cdots \delta\rho^{(1)}(n)} = -c^{(n)}(1, \dots, n)$
1 st order	$H^{(1)}(r) = \rho^{(1)}(r)$	$c^{(1)}(r) = \ln[\Lambda^3 \rho^{(1)}(r)] - \bar{\psi}(r)$
interrelation	$-\bar{\psi}(r) + \ln[\Lambda^3 H^{(1)}(r)] = c^{(1)}(r)$	
2 nd order	$H^{(2)}(1,2) = \frac{\delta\rho^{(1)}(1)}{\delta\bar{\psi}(2)}$	$\frac{\delta\bar{\psi}(1)}{\delta\rho^{(1)}(2)} = \frac{\delta(1-2)}{\rho^{(1)}(1)} - c^{(2)}(1,2)$
OZ eq.	$h^{(2)}(1,2) = c^{(2)}(1,2) + \int c^{(2)}(1,3)\rho^{(1)}(3)h^{(2)}(3,2)d(3)$	

$$H^{(n)}(1, \dots, n) \equiv \langle (\hat{\rho}(1) - \rho^{(1)}(1)) \cdots (\hat{\rho}(n) - \rho^{(1)}(n)) \rangle$$

$$H^{(2)}(1,2) = \rho^{(1)}(1)\rho^{(1)}(2)h^{(2)}(1,2) + \rho^{(1)}(1)\delta(1-2)$$

Hierarchical structure

Hierarchical equation for $H^{(n)}$		Hierarchical equation for $c^{(n)}$	
$\frac{\delta H^{(2)}(12)}{\delta \bar{\psi}(3)}$	$= -H^{(3)}(123)$	$\frac{\delta c^{(2)}(12)}{\delta \rho^{(1)}(3)}$	$= -c^{(3)}(123)$
$\frac{\delta H^{(3)}(123)}{\delta \bar{\psi}(4)}$	$= -H^{(4)}(1234)$	$\frac{\delta c^{(3)}(123)}{\delta \rho^{(1)}(4)}$	$= -c^{(4)}(1234)$

Kirkwood superposition approximation (valid for low density)

$$g^{(3)}(123) = g(12)g(23)g(31) \left[1 - h(12)h(23)h(31) - \rho \int d4 h(14)h(24)h(34) + \dots \right]$$

Rewrite this using $\rho^{(n)}(1, \dots, n)$

Hierarchical equation for $\rho^{(n)}$

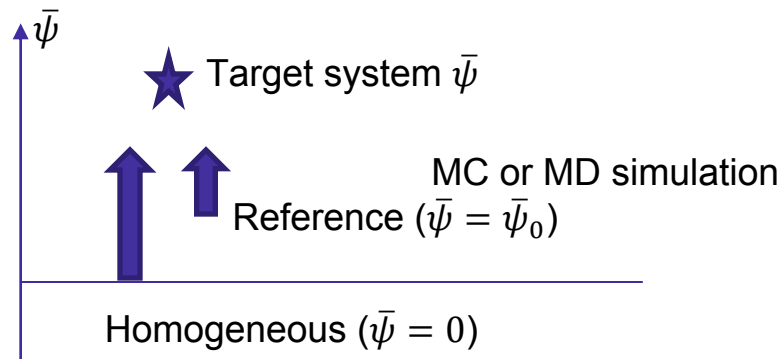
$$\frac{\delta \rho^{(1)}(1)}{\delta \bar{\psi}(2)} = \rho^{(2)}(12) - \rho^{(1)}(1)\rho^{(2)}(2) + \delta_{12}\rho^{(1)}(1)$$

$$\frac{\delta \rho^{(2)}(12)}{\delta \bar{\psi}(3)} = (\delta_{13} + \delta_{23})\rho^{(2)}(12) - \rho^{(1)}(3)\rho^{(2)}(12) + \rho^{(3)}(123)$$

$$\frac{\delta \rho^{(3)}(123)}{\delta \bar{\psi}(4)} = (\delta_{14} + \delta_{24} + \delta_{34})\rho^{(3)}(123) + \rho^{(1)}(4)\rho^{(3)}(123) + \rho^{(4)}(1234)$$

After truncating the hierarchy, one can approach the target system either via

1. adiabatic application of external potential $\bar{\psi}$
or
2. Taylor expansion around $\bar{\psi}_0$



One can alternatively rewrite the hierarchy using the λ -derivative

$$\frac{\partial}{\partial \lambda} \rho^{(m)}(1, \dots, m) = \rho_{\lambda}^{(m)}(1, \dots, m)$$

Functional Renormalization Group (FRG) equation

Hierarchical equation for $\rho^{(n)}$

$$\frac{\delta\rho^{(1)}(1)}{\delta\bar{\psi}(2)} = \rho^{(2)}(12) - \rho^{(1)}(1)\rho^{(2)}(2) + \delta_{12}\rho^{(1)}(1)$$

$$\frac{\delta\rho^{(2)}(12)}{\delta\bar{\psi}(3)} = (\delta_{13} + \delta_{23})\rho^{(2)}(12) - \rho^{(1)}(3)\rho^{(2)}(12) + \rho^{(3)}(123)$$

$$\frac{\delta\rho^{(3)}(123)}{\delta\bar{\psi}(4)} = (\delta_{14} + \delta_{24} + \delta_{34})\rho^{(3)}(123) + \rho^{(1)}(4)\rho^{(3)}(123) + \rho^{(4)}(1234)$$



$$\left(\partial_\lambda + \sum_{i,j} \beta v(i,j) \right) \rho_\lambda^{(m)}(1, \dots, m)$$

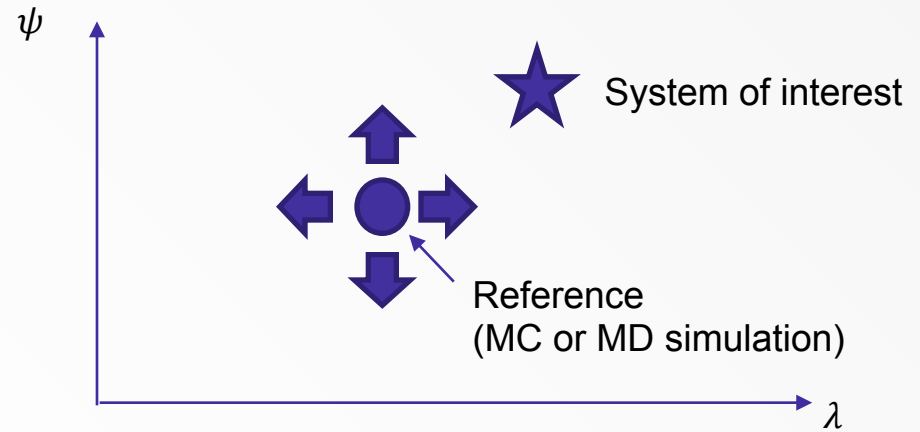
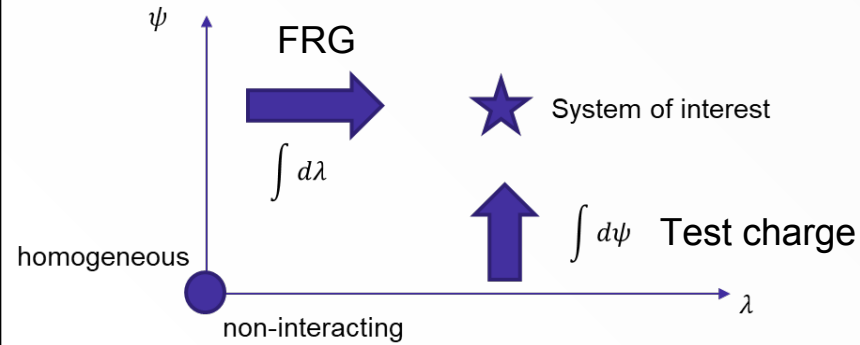
FRG Hierarchy $\rho^{(n)}$

Scaled interaction
 $v(i,j) \rightarrow \lambda v(i,j)$

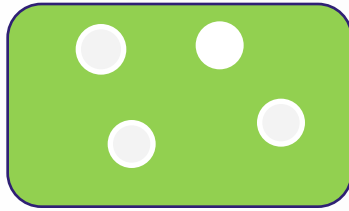
$$= -\frac{1}{2}\beta \int v(a,b) \left[\rho_\lambda^{(m+2)}(1, \dots, m, a, b) \right] d(ab) + \int \rho_\lambda^{(m+1)}(1, \dots, m, a) \left[\psi(a) - \sum_i \beta v(a,i) \right] d(a)$$

$$- \rho_\lambda^{(m)}(1, \dots, m) \left[\int \rho(a) \psi(a) d(a) - \sum_i \psi(i) - \frac{1}{2}\beta \int v(a,b) \rho_\lambda^{(2)}(a,b) d(ab) \right]$$

Construction of the functional

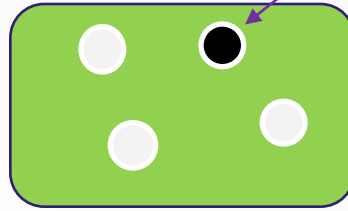


Percus test charge method for distinguishable particles



Homogeneous

$$\rho^{(1)}(r) = \rho_0 \rightarrow \rho_0 + \delta\rho(r)$$

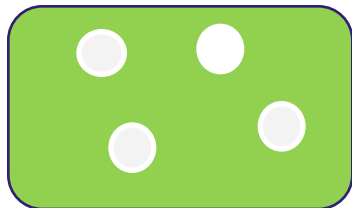


Inhomogeneous

$$\delta\rho(r) = \rho_0 h^{(2)}[\rho_0](r, 0)$$

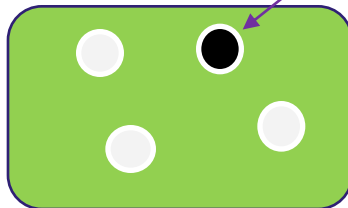
Modified by the pair correlation

Percus test charge method for distinguishable particles



Homogeneous

$$\rho^{(1)}(r) = \rho_0 \rightarrow \rho_0 + \delta\rho(r)$$



Inhomogeneous

$$\delta\rho(r) = \rho_0 h^{(2)}[\rho_0](r, 0)$$

Modified by the pair correlation

$$\rho_0 = z \exp[\bar{\psi}_0 + c^{(1)}[\rho_0]]$$

$$\rho_0 + \rho_0 h^{(2)}[\rho_0](1, 0) = \rho_0 \exp[-\beta v(1, 0) + c^{(1)}[\rho_0 + \delta\rho](1) - c^{(1)}[\rho_0](1)]$$

$$= \rho_0 \exp\left[-\beta v(1, 0) + \sum_{n=2}^{\infty} \frac{1}{n!} \int c^{(n)}[\rho_0](1, \dots, n) \delta\rho(1) \dots \delta\rho(n) d(2) \dots d(n)\right]$$

The Kirkwood superposition approximation (KSA)

$$g_{123} = g_{12}g_{23}g_{31}$$

equivalent to the (three-particle) direct correlation $c^{(3)}$

$$c^{(3)}(1,2,3) = f(\rho, c^{(2)}, h^{(2)}): \text{a simple sum product}$$



$$\frac{\delta^3 \beta \mathcal{F}^{\text{ex}}[\rho^{(1)}]}{\delta \rho^{(1)}(1) \delta \rho^{(1)}(2) \delta \rho^{(1)}(3)} = -c^{(3)}(1,2,3)$$

In principle, one can get $c^{(n)}(1, \dots, n)$ by fixing $n - 2$ particles.

$\mathcal{F}^{\text{ex}}[\rho_0^{(1)} + \delta \rho^{(1)}]$ (free energy functional) can be constructed

Machine learning of the functional

Weighted density approximation

$$\bar{\rho}_i(r) = \int w_i(r - r') \rho^{(1)}(r') dr'$$

$$\mathcal{F}^{\text{ex}}[\rho] = \int f[\{\bar{\rho}_i(r)\}] \rho^{(1)}(r) dr$$

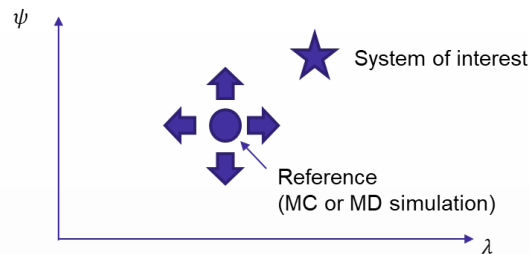
Density near the field point r

Local functional

Fundamental measure theory

“Exact” form for f 's is known for hard spheres

Rosenfeld PRL (1989)



Back to quantum world!

In most cases, (electrons and phonons) \neq (electrons and nuclei)

Harmonic approximation + α

Density correlations

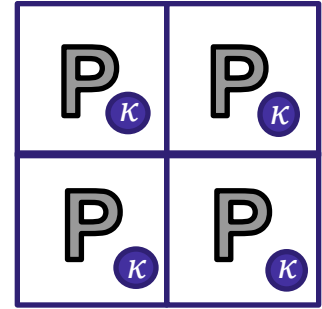
$$D_e(12) = -i\hbar^{-1} \langle \hat{T} \delta \hat{n}_e(1) \delta \hat{n}_e(2) \rangle$$

$$D_n(12) = -i\hbar^{-1} \langle \hat{T} \delta \hat{n}_n(1) \delta \hat{n}_n(2) \rangle$$

Density correlations

$$D_e(12) = -i\hbar^{-1} \langle \hat{T} \delta \hat{n}_e(1) \delta \hat{n}_e(2) \rangle$$

$$D_n(12) = -i\hbar^{-1} \langle \hat{T} \delta \hat{n}_n(1) \delta \hat{n}_n(2) \rangle$$



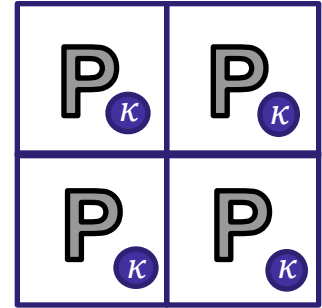
κ 's nucleus at p -th cell

$$\hat{n}_n(r) = \sum_{\kappa p} Z_{\kappa} \delta(r - \tau_{\kappa p}^0 - \Delta \hat{t}_{\kappa p})$$

Density correlations

$$D_e(12) = -i\hbar^{-1} \langle \hat{T} \delta \hat{n}_e(1) \delta \hat{n}_e(2) \rangle$$

$$D_n(12) = -i\hbar^{-1} \langle \hat{T} \delta \hat{n}_n(1) \delta \hat{n}_n(2) \rangle$$



κ 's nucleus at p -th cell

$$\hat{n}_n(r) = \sum_{\kappa p} Z_{\kappa} \delta(r - \tau_{\kappa p}^0 - \Delta \hat{t}_{\kappa p})$$

Displacement vector

$$\hat{n}_n(r) \simeq n_n^0(r) + \sum_{\kappa p} Z_{\kappa} \Delta \hat{t}_{\kappa p} \cdot \nabla \delta(r - \tau_{\kappa p}^0) - \frac{1}{2} \sum_{\kappa p} Z_{\kappa} \Delta \hat{t}_{\kappa p} \cdot \nabla \nabla \delta(r - \tau_{\kappa p}^0) \cdot \Delta \hat{t}_{\kappa p}$$

$$D_n(12) = \sum_{\kappa \alpha p, \kappa' \alpha' p'} Z_{\kappa} \nabla_{1, \alpha} \delta(r_1 - \tau_{\kappa p}^0) D_{\kappa \alpha p, \kappa' \alpha' p'}(t_1 t_2) Z_{\kappa'} \nabla_{1, \alpha'} \delta(r_2 - \tau_{\kappa' p'}^0)$$

$$D_{\kappa \alpha p, \kappa' \alpha' p'}(t_1 t_2) = -i\hbar^{-1} \langle \hat{T} \Delta \hat{t}_{\kappa \alpha p}(t) \Delta \hat{t}_{\kappa' \alpha' p'}(t') \rangle$$

Correlation of displacements

Screened interactions of electrons modified by phonon excitation

$$W(12) = v(12) + \int d34 v(13)P(34)W(42)$$

$$W_e(12) = \int d34 \epsilon^{-1}(13)v(32)$$

$$W_{\text{ph}}(12) = \int d34 W_e(13)D(34)W_e(24)$$

$$\frac{\delta \langle \hat{n}_n(1) \rangle}{\delta \varphi(2)} = -i\hbar^{-1} \langle \hat{T} \delta \hat{n}_{\text{tot}}(1) \delta \hat{n}_n(2) \rangle$$

Kato, Kobayashi, Namiki

$$W = W_e + W_{\text{ph}} \quad \text{Hedin Lundqvist ('69)}$$

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Coulomb interaction

$$W_{\text{ph}}(12) = \sum_{\substack{\kappa\alpha p \\ \kappa'\alpha'p'}} \int d34 \epsilon_e^{-1}(13) \nabla_{3,\alpha} v(r_3 - \tau_{\kappa p}^0) D_{\kappa\alpha p, \kappa'\alpha'p'}(t_1 t_2) \epsilon_e^{-1}(24) \nabla_{4,\alpha} v(r_4 - \tau_{\kappa'p'}^0)$$

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Equation of motion (EOM) of D ← EOM of $\Delta \hat{t}$ Add external potential as $\sum_{\kappa p} F_{\kappa p} \cdot \Delta \hat{t}_{\kappa p}$

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$$M_{\kappa} \frac{\partial^2}{\partial t^2} D_{\kappa p \alpha, \kappa' p' \alpha'}(tt') + \delta_{\kappa p \alpha, \kappa' p' \alpha'} \delta(tt')$$

↪ **Harmonic approximation** $\hat{n}^{(\kappa p)}(r) \equiv \hat{n}_{\text{all}}(r) - Z_{\kappa p} \delta(r - \tau_{\kappa p})$

$$= Z_{\kappa} \int dr dr' \left[-\frac{\delta \langle \hat{n}^{(\kappa p)}(rt) \rangle}{\delta F_{\kappa' \alpha' p'}(t')} v(r, r') \nabla'_{\alpha} \delta(r' - \tau_{\kappa p}^0) + \langle \hat{n}^{(\kappa p)}(rt) \rangle v(r, r') \nabla'_{\alpha} \nabla'_{\gamma} \delta(r' - \tau_{\kappa p}^0) D_{\kappa \alpha p, \kappa' \alpha' p'}(t') \right]$$

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$$= - \sum_{\kappa \alpha p, \kappa' \alpha' p'} \int dt'' \frac{\Pi_{\kappa \alpha p, \kappa' \alpha' p'}(tt'')}{\text{self-energy}} D_{\kappa \alpha p, \kappa' \alpha' p'}(t''t') \leftarrow \text{When } D \text{ is small and harmonic}$$

Screened interactions of electrons modified by phonons

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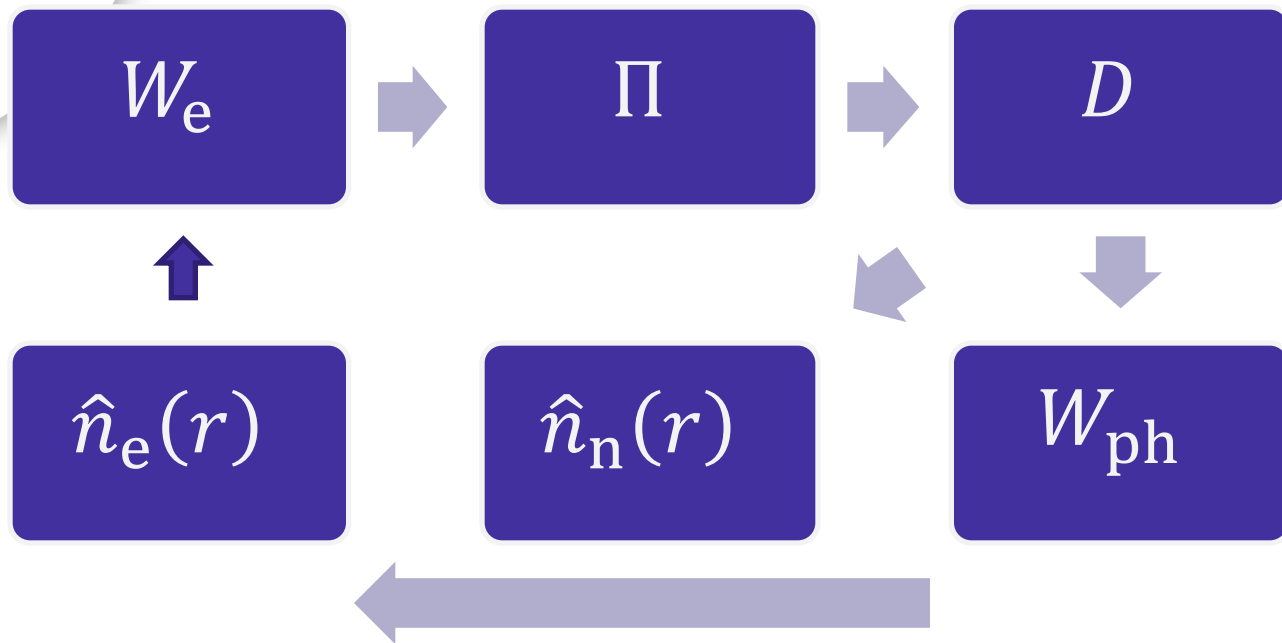
$$= Z_{\kappa} \int dr dr' \left[-\frac{\delta \langle \hat{n}^{(\kappa p)}(rt) \rangle}{\delta F_{\kappa' \alpha' p'}(t')} v(r, r') \nabla'_{\alpha} \delta(r' - \tau_{\kappa p}^0) + \langle \hat{n}^{(\kappa p)}(rt) \rangle v(r, r') \nabla'_{\alpha} \nabla'_{\gamma} \delta(r' - \tau_{\kappa p}^0) D_{\kappa \alpha p, \kappa' \alpha' p'}(t') \right]$$

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$$\int dr dr' \left[\delta_{\kappa p, \kappa' p'} \delta(tt') \nabla_{\alpha} \langle \hat{n}(r) \rangle v(r, r') Z_{\kappa'} \nabla'_{\alpha'} \delta(r' - \tau_{\kappa' p'}^0) + Z_{\kappa} \nabla_{\alpha} \delta(r - \tau_{\kappa p}^0) W_e(rt, r't') Z_{\kappa'} \nabla'_{\alpha'} \delta(r' - \tau_{\kappa' p'}^0) \right]$$

$$= \Pi^{\text{A}} \delta(tt') + \Pi^{\text{NA}}(tt') \quad \text{Adiabatic and non-adiabatic terms}$$

Inclusion of phonon excitation



What is D ? Relation to “phonon”

$$\Delta\tau_{\kappa\alpha p} = \sqrt{\frac{M_0}{N_p M_\kappa}} \sum_{qv} e^{iq \cdot R_p} e_{\kappa\alpha\nu}(q) \sqrt{\frac{\hbar}{2M_0\omega_{qv}}} (\hat{a}_{qv} + \hat{a}_{qv}^\dagger)$$

$$D_{\kappa\alpha p, \kappa'\alpha' p'}(tt') \rightarrow D_{qv, q'v'}(tt') = D_{qv, q'v'}^A(tt') + D_{qv, q'v'}^{\text{NA}}(tt')$$

$$D_{qv, q'v'}^A(tt') = -i \langle \hat{T} [\hat{a}_{qv}^\dagger(t) \hat{a}_{qv}(t') + \hat{a}_{-qv}(t) \hat{a}_{-qv}^\dagger(t')] \rangle \delta_{vv'}$$

$$D(\omega) = D^A + D^A \Pi^{\text{NA}}(\omega) D(\omega) \quad \text{Formally (when homogeneous in time)}$$

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$$\Pi_{qv, q'v'}^{\text{NA}}(\omega) = \int dr dr' \left[\int dr'' \epsilon_e(r, r'' \omega) g_{qv}^b(r'') \right] P_e(r, r' \omega) \left[\int dr''' \epsilon_e^{-1}(r', r''' \omega) g_{q'v'}^{b*}(r''') \right] - \int dr dr' q_{qv}^b(r) P_e(r, r' 0) q_{q'v'}^b(r')$$

$$q_{qv}^b(r) \equiv \Delta_{qv} V^{\text{en}}(r)$$

$$V^{\text{en}}(r) \equiv \sum_{\kappa p T} V_\kappa(r - \tau_{\kappa p} - T) \quad T: \text{Lattice vector (periodic systems)}$$



Summary

- It is not easy to simulate a matter based on the many-body theory.
 - The theory, however, gives a hint for constructing a density functional.
 - Different methods have been developed in different fields, and in this context, exchanging the idea will induce a breakthrough.
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