

Primordial Black Holes: the morphology of cosmological perturbations

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PBHs: A bit of history

- In the early universe large amplitude perturbations of the metric can collapse into **Primordial Black Holes (PBHs)** [**Zeldovich & Novikov** (1967); **Hawking** (1971)] characterized by a wide range of masses (from the Planck mass to $10^6 M_{\odot}$ for PBHs formed at the Nucleosynthesis).
- **Hawking evaporation effect** (1974) has been inspired by the idea of PBH formation which could be small as particles and quantum effects need to be taken into account. PBHs smaller than 10^{15} grams would evaporate by now via Hawking evaporation, becoming **possible sources of Gamma Ray Burst, Cosmic Rays, evaporation remnants as cold dark matter.**
- The threshold amplitude of PBH formation ($\delta_c \sim c_s^2$) measured at horizon crossing time **Carr** (1975) tell us if a perturbation collapse into a **PBH** or bounce and disperse into the surrounding medium. This has been confirmed by **full relativistic numerical simulations** **Nadezin, Novikov & Polnarev** (1978), **Niemeyer & Jedamzik** (1998, 1999); **Musco et al.** (2005, 2007, 2009, 2013)] suggesting that **critical collapse** (scaling law) might apply in the early universe, in particular during the radiation dominated era ($c_s^2 = 1/3$). **Harada, Nakama et al.** (2013, 2014, 2015) have calculated an analytical threshold for PBH formation, proposing also a phenomenological parameterisation of PBH threshold in terms of initial density shapes.

$$ds^2 = -a^2 dt^2 + b^2 dr^2 + R^2 d\Omega^2$$

$$ds^2 = -f^2 du^2 - 2fb dr du + R^2 d\Omega$$

COSMIC TIME

$$f du = a dt - b dr$$

NULL TIME

$$D_t \equiv \frac{1}{a} \left(\frac{\partial}{\partial t} \right) \quad D_r \equiv \frac{1}{b} \left(\frac{\partial}{\partial r} \right)$$

$$D_t \equiv \frac{1}{f} \left(\frac{\partial}{\partial u} \right) \quad D_k \equiv D_r + D_t$$

$$U \equiv D_t R \quad \Gamma \equiv D_r R$$

$$D_t U = -\frac{1}{1 - c_s^2} \left[\frac{\Gamma}{(e + p)} D_k p + \frac{M}{R^2} + 4\pi R p + c_s^2 \left(D_k U + \frac{2U\Gamma}{R} \right) \right]$$

$$D_t U = - \left[\frac{\Gamma}{(e + p)} D_r p + \frac{M}{R^2} + 4\pi R p \right]$$

$$D_t \rho = -\frac{\rho}{\Gamma R^2} D_r (R^2 U)$$

$$D_t \rho = \frac{\rho}{\Gamma} \left[D_t U - D_k U - \frac{2U\Gamma}{R} \right]$$

$$D_t e = \frac{e + p}{\rho} D_t \rho$$

$$D_t e = \left(\frac{e + p}{\rho} \right) D_t \rho$$

$$D_t M = -4\pi R^2 p U$$

$$D_t M = -4\pi R^2 p U$$

$$D_r a = -\frac{a}{e + p} D_r p$$

$$D_k \left[\frac{(\Gamma + U)}{f} \right] = -4\pi R (e + p) f$$

$$D_r M = 4\pi R^2 \Gamma e$$

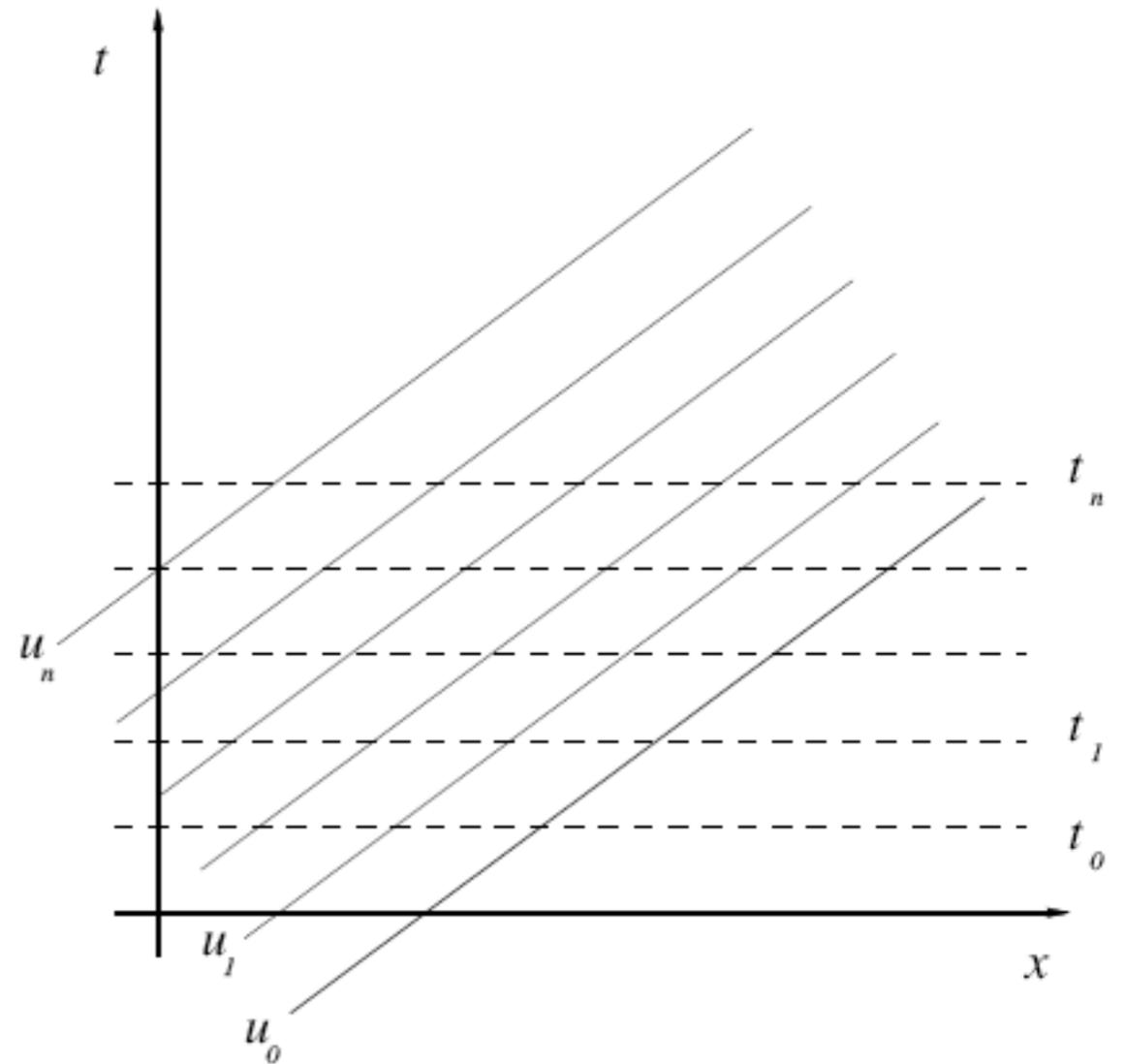
$$D_k M = 4\pi R^2 [e\Gamma - pU],$$

$$\Gamma^2 = 1 + U^2 - \frac{2M}{R}$$

$$\Gamma = D_k R - U = 1 + U^2 - \frac{2M}{R}$$

Numerical Results: the method

- Simulations are performed using a **Lagrangian spherically symmetric GR hydro code with an adaptive grid (AMR)**.
- We set initial conditions using a **cosmic time coordinate t** .
- We transfer those onto a **null foliation** of the space time, then evolved using an **observer time coordinate u** .
- The formation of a PBH is seen by a **distant external observer** (the singularity is hidden by the asymptotic formation of the apparent horizon).



Equation of State

energy density: $e = \rho(1 + \epsilon)$

pressure: $p = (\gamma - 1)\rho\epsilon$

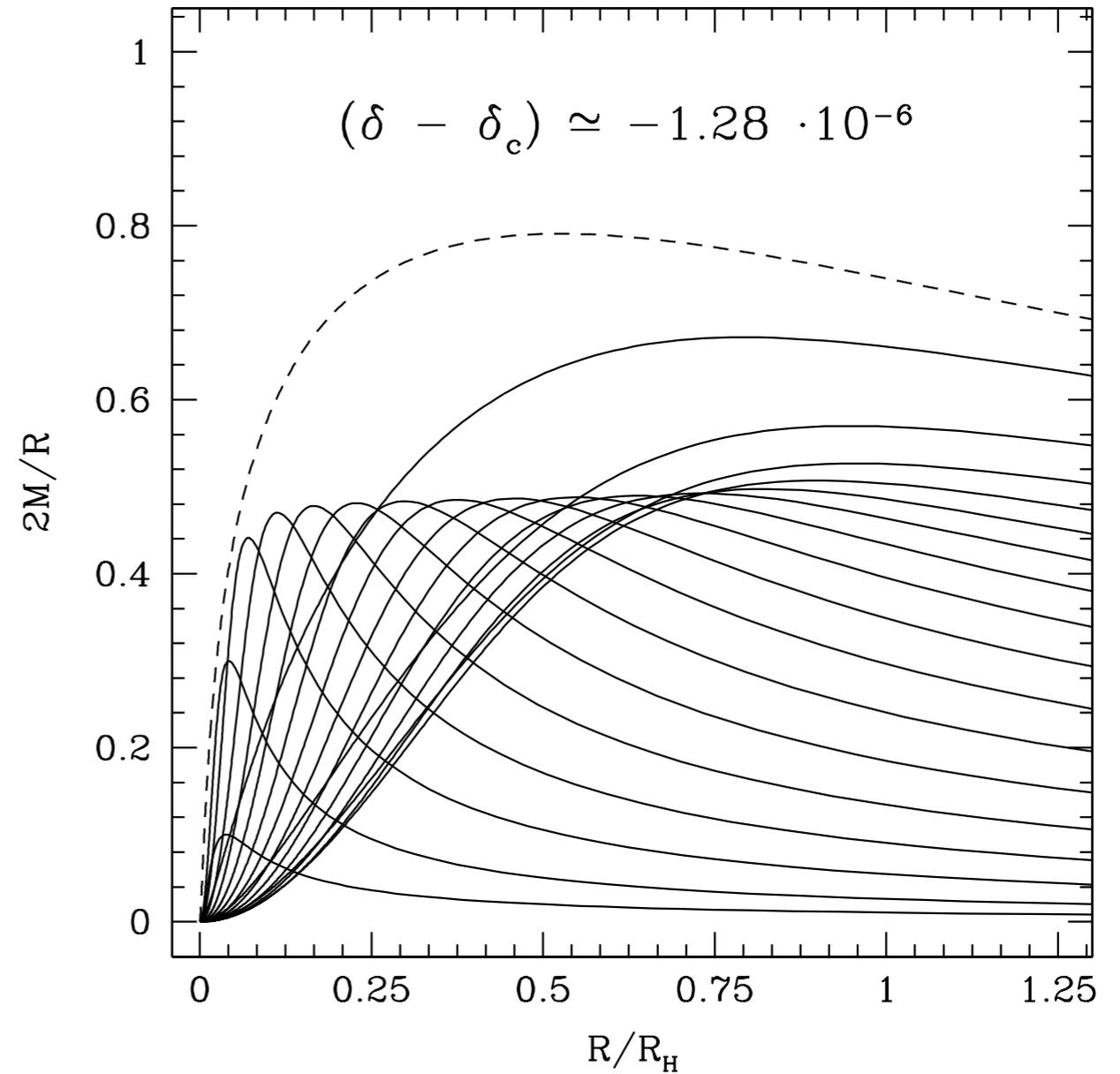
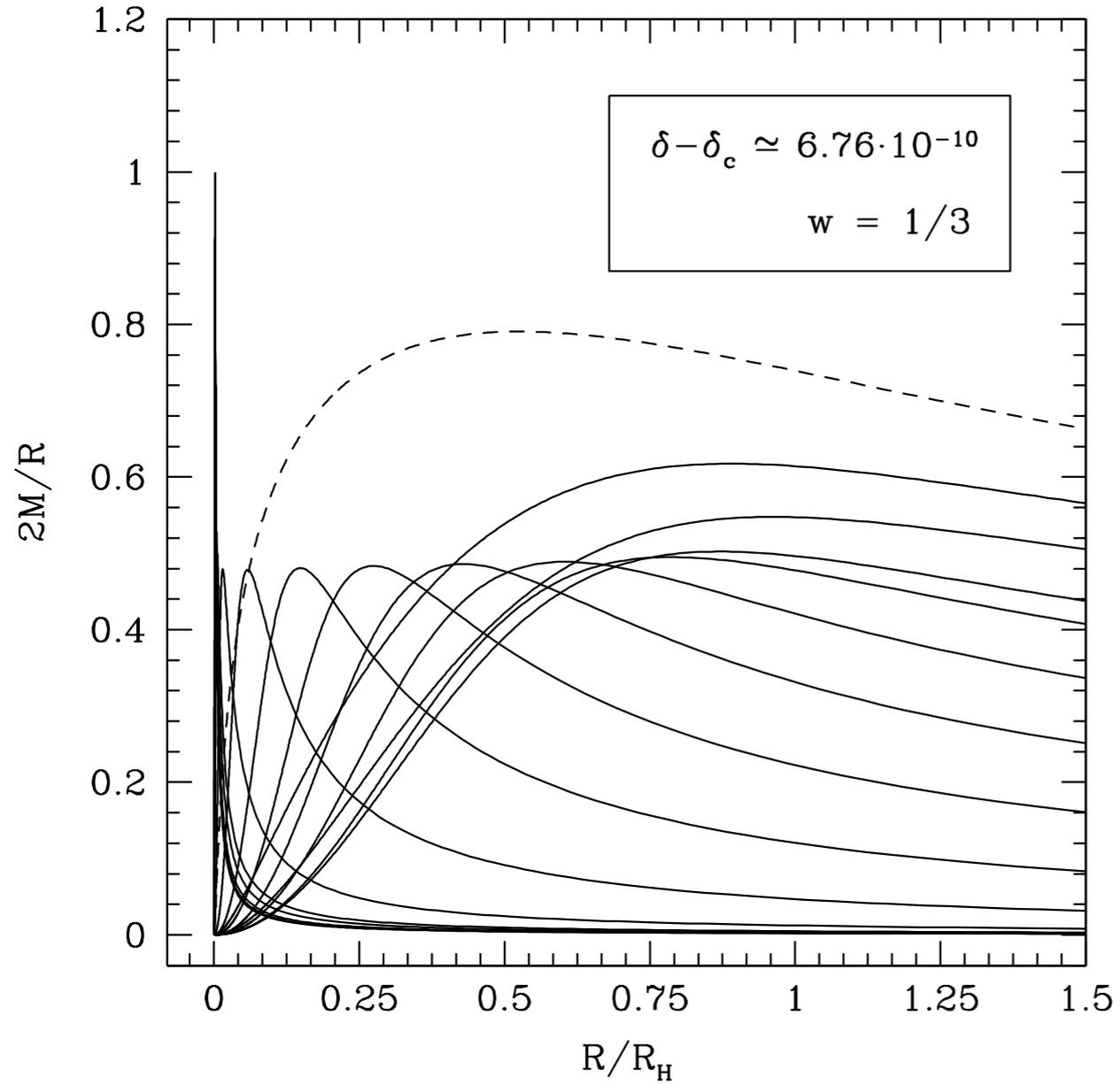
rest mass density

adiabatic index - particle degree of freedom

specific internal energy (velocity dispersion)

- Barotropic fluid (no rest mass density): $p = we$ with $w \in [0, 1]$
 - radiation dominated era: $w = 1/3$ RADIATION ($\gamma = 4/3$)
 - matter dominated era: $w = 0$ DUST ($\gamma = 1$)
- Polytropic fluid: $p = K(s)\rho^\gamma$ ($\gamma = 5/3, 4/3, 2$)
 - If the fluid is adiabatic (no entropy change): $K(s) = K$ (constant)

Numerical Results: PBH formation/bounce



Background model & Curvature profile

- The **unperturbed solution**, describing an expanding homogeneous universe, is given by the FRW metric: $K = \pm 1$, θ is the **curvature parameter**, $s(t)$ is the **scale factor**, and $R = s(t)r$ **circumferential radial coordinate / areal radius**.

$$ds^2 = -dt^2 + s^2(t) \left[\frac{dr^2}{1 - Kr^2} + r^2 d\Omega^2 \right]$$

- In the linear regime of cosmological perturbations, pure growing modes on the super horizon scale can be described by a time independent curvature profile (**quasi-homogeneous / gradient expansion solution**).

$$K(r) \quad \text{or} \quad \zeta(\tilde{r}) \qquad r = \tilde{r} e^{\zeta(\tilde{r})}$$

$$K(r)r^2 = -\tilde{r}\zeta'(\tilde{r}) [2 + \tilde{r}\zeta'(\tilde{r})]$$

PBH formation: setting the problem

- Defining the scale of the cosmological perturbations as R_0 and the **cosmological horizon** scale as $R_H := 1/H_b$. In the linear regime of supra horizon growing modes we can construct a **small parameter** $\epsilon(t) \ll 1$ as:

$$\epsilon(t) := \frac{R_H}{R_0} \propto \left(\frac{t}{t_0} \right)^{\frac{(1+3w)}{3(1+w)}} \quad H^2 = \frac{8\pi}{3} e_b \Rightarrow R_H = \frac{1}{H}$$

- Pure growing modes are given by $f(r, t) = f_0 \left[1 + \epsilon^2(t) \tilde{f}(r) \right] \quad \epsilon \ll 1$

$$a = 1 + \epsilon^2 \tilde{a} \quad e = e_b (1 + \epsilon^2 \tilde{e})$$

$$b = \frac{\partial_r R}{\sqrt{1 - K(r)r^2}} \left(1 + \epsilon^2 \tilde{b} \right) \quad U = HR \left(1 + \epsilon^2 \tilde{U} \right)$$

$$R = s(t)r \left(1 + \epsilon^2 \tilde{R} \right) \quad M = \frac{4}{3} \pi e_b R^3 \left(1 + \epsilon^2 \tilde{M} \right)$$

- In the **linear regime**, when $\epsilon \ll 1$, the **curvature profile is time independent** because pressure gradients are negligible, and can be used as the only independent source of perturbations.

Density profile & perturbation amplitude

- The density profile is expressed in terms of the curvature profile :

$$\frac{\delta e}{e_b} = \left(\frac{1}{sH} \right)^2 \frac{3(1+w)}{5+3w} \left[K(r) + \frac{r}{3} K'(r) \right]$$

$$\frac{\delta e}{e_b} = - \left(\frac{1}{sH} \right)^2 \frac{2(1+w)}{5+3w} e^{-2\zeta(\tilde{r})} \left[\zeta''(\tilde{r}) + \zeta'(\tilde{r}) \left(\frac{2}{\tilde{r}} + \frac{1}{2} \zeta'(\tilde{r}) \right) \right]$$

- The perturbation amplitude can be measured as the mass excess inside a certain radius (normalized with respect $\varepsilon = I$) :

$$\delta(r) := \frac{1}{V} \int_0^r 4\pi \frac{\delta e}{e_b} r'^2 dr' \qquad V = \frac{4}{3} \pi r^3$$

- The radius of the perturbation is identified as the location where the perturbation reaches its maximum compactness:

$$\mathcal{C} := \frac{2[M(r, t) - M_b(r, t)]}{R(r, t)} = f(w)K(r)r^2 \quad f(w) = \frac{3(1+w)}{5+3w}$$

$$r_m : C'(r_m) = 0 \quad \Rightarrow \quad \delta(r_m) + \frac{r_m}{2}\delta'(r_m) = 0$$

$$K(r_m) + \frac{r_m}{2}K'(r_m) = 0 \quad \zeta'(\tilde{r}_m) + \tilde{r}_m\zeta''(\tilde{r}_m) = 0$$

$$\delta_m = f(w)K(r_m)r_m^2 = -f(w)\tilde{r}_m\zeta'(\tilde{r}_m)[2 + \tilde{r}_m\zeta'(\tilde{r}_m)]$$

$$\boxed{\frac{\delta e}{e_b}(r_m) = \frac{\delta_m}{3}}$$

Specifying the curvature profile

$$K(r) = \mathcal{A} \left(\frac{r}{\Delta} \right)^n \exp \left[-\frac{1}{2} \left(\frac{r}{\Delta} \right)^{2\alpha} \right] \quad n \geq 0$$

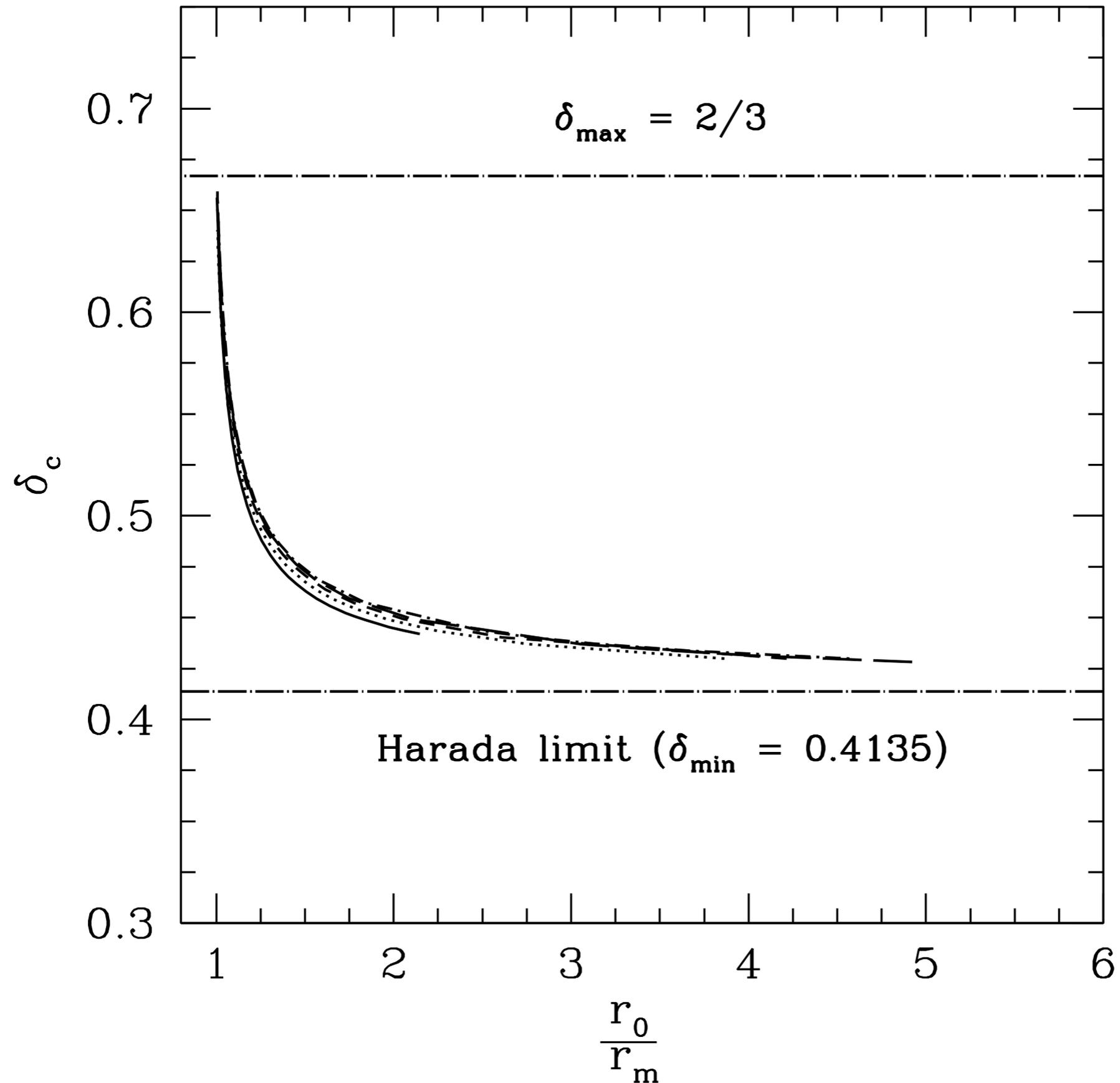
$$\frac{\delta e}{e_b} = f(w) \left[1 + \frac{n}{3} - \frac{\alpha}{3} \left(\frac{r}{\Delta} \right)^{2\alpha} \right] K(r) \quad \alpha > 0$$

$$\delta_m = f(w) \left(\frac{n+2}{\alpha} \right)^{(n+2)/2\alpha} \exp \left(-\frac{n+2}{2\alpha} \right) \mathcal{A} \Delta^2$$

$$\frac{r_0}{r_m} = \left(\frac{n+3}{n+2} \right)^{1/2\alpha}$$

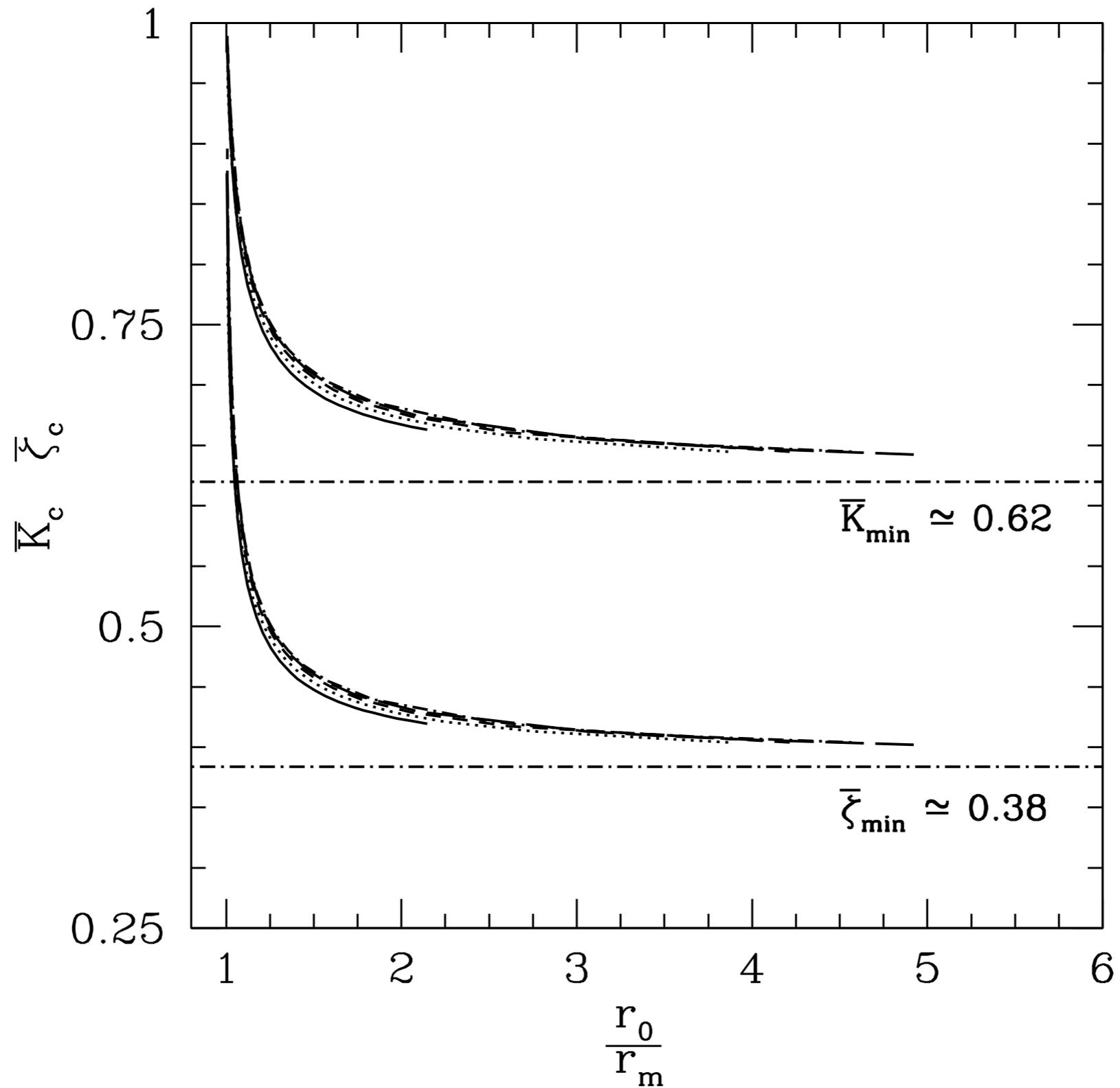
measuring the steepness of the profile

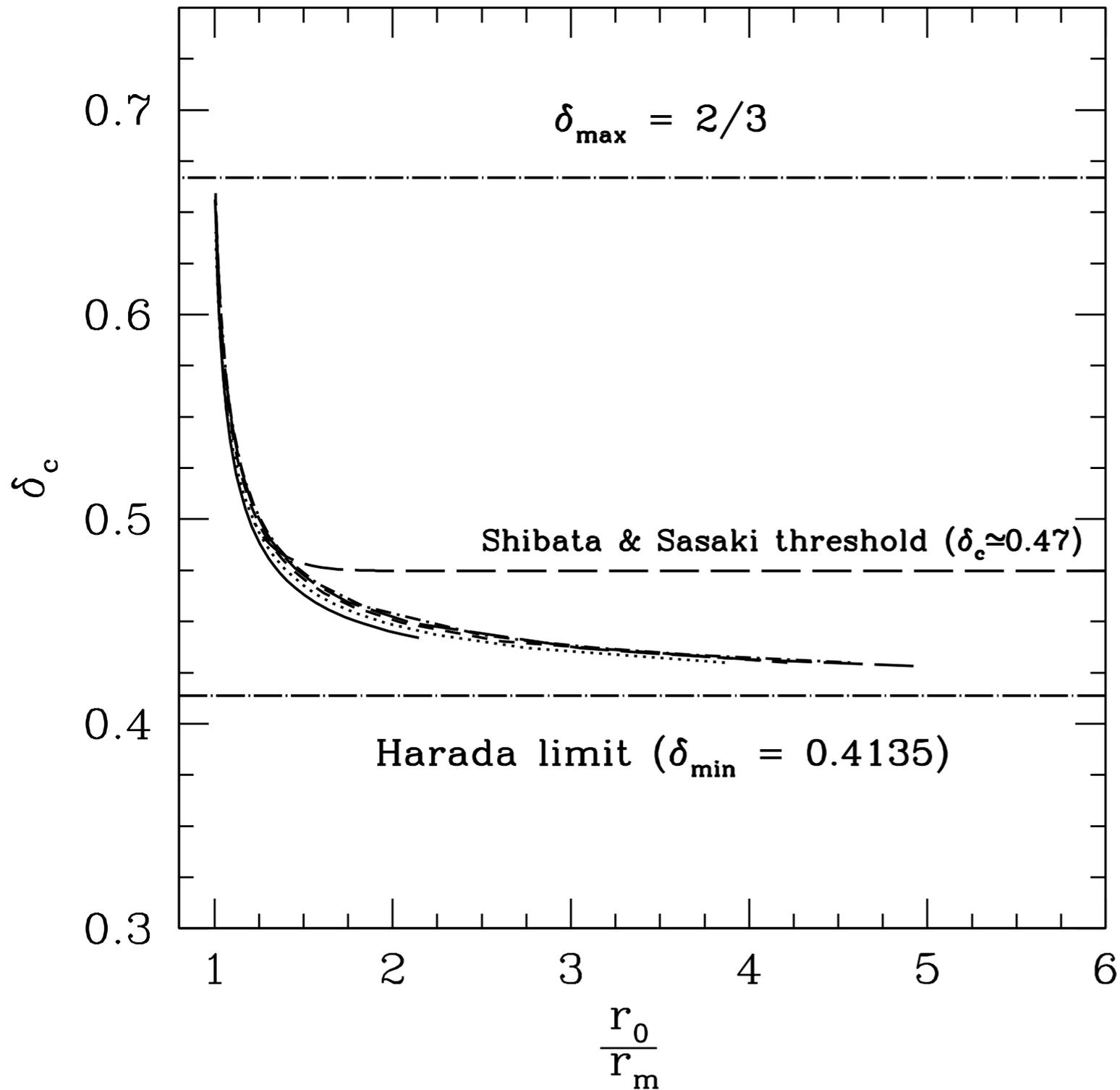
PBH threshold against profile steepness



$$\bar{K} = K(r_m)r_m^2 \quad \bar{\zeta} = -r_{\tilde{m}}\zeta'(\tilde{r}_m)$$

$$\delta_c = f(w)\bar{K}_c = f(w)\bar{\zeta}_c[2 - \bar{\zeta}_c]$$





To characterize the pressure gradients of a density profile we need more than one parameter!!!

$$\delta_c \left[\frac{r_0}{r_m}, \frac{\delta e}{e_b} \left(\frac{r_p}{r_m} \right) \right]$$

IM - in preparation

Conclusions & Future perspectives

- With the Misner-Sharp equations (cosmic time slicing) we have studied initial condition for PBH formation corresponding to pure growing modes in the early Universe (radiation dominated era).
- The choice of the equation of state determines the final virialized structure of the collapse. Pressure and curvature profiles plays a key role determining the particular value of the threshold for PBH formation.
- PBH formation is characterised by non linear curvature profile, the linear approximation used by *Green, Liddle, Malik, Sasaki* (2004) does not gives accurate results. In terms of $\zeta(r)$ the threshold is given by its first derivative at the length scale of the perturbation.
- The shape effects need to be described by more than one parameter.

$$\bar{\zeta} = -\tilde{r}_m \zeta'(\tilde{r}_m)$$

$$\delta_c \left[\frac{r_0}{r_m}, \frac{\delta e}{e_b} \left(\frac{r_p}{r_m} \right) \right]$$

- Cosmological consequences of numerical results: *S.Young, IM, C.Byrnes* - in preparation.