

Symmetries of String Theory and Early Universe Cosmology

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Yukawa Institute, Kyoto University, Feb. 26, 2018

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 - Review of the Theory of Cosmological Perturbations
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- 6 Conclusions

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Inflation: the Standard Model of Early Universe Cosmology

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- **Inflation** is the standard paradigm of early universe cosmology.
- Inflation solves conceptual problems of Standard Big Bang Cosmology.
- Inflation predicts an almost **scale-invariant spectrum** of primordial cosmological perturbations with a small **red tilt** (Chibisov & Mukhanov, 1981).
- Fluctuations are nearly Gaussian and nearly adiabatic.

Map of the Cosmic Microwave Background (CMB)

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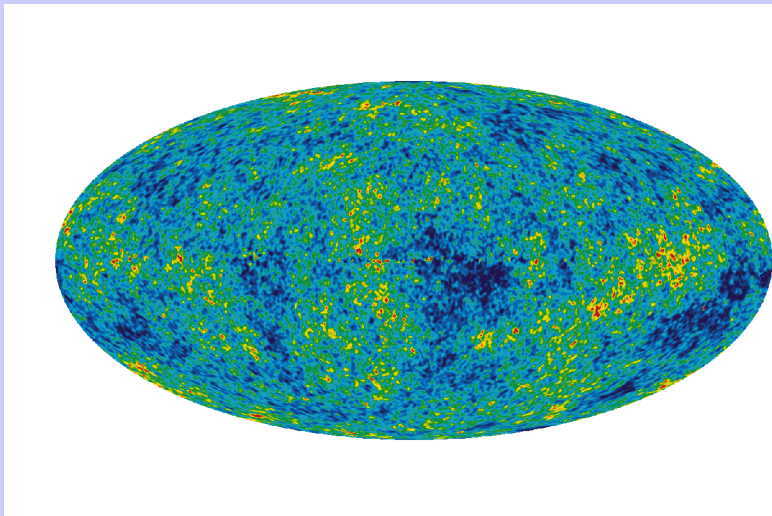
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Credit: NASA/WMAP Science Team

Angular Power Spectrum of CMB Anisotropies

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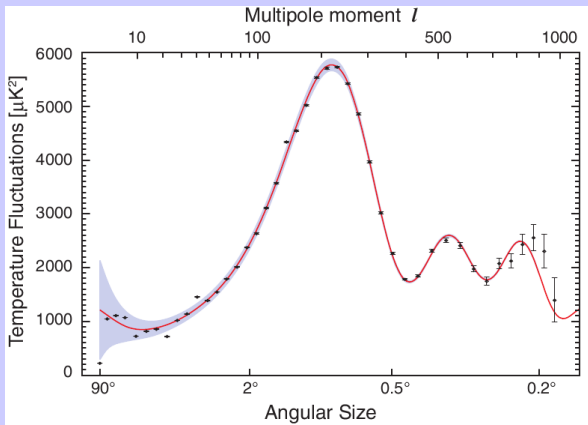
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- No convincing embedding of inflation in string theory exists.
- Alternatives to cosmological inflation for producing the structure we observe exist.
- Question: what early universe scenario emerges from string theory?
- Key tool: symmetries of string theory.

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SMALL-SCALE FLUCTUATIONS OF RELIC RADIATION

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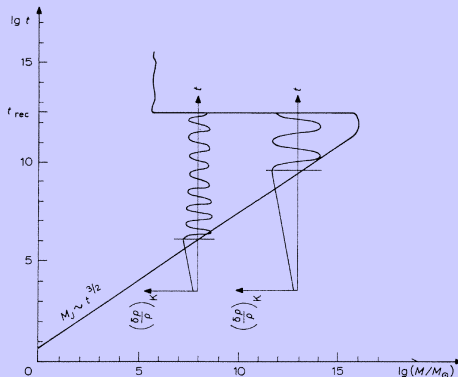


Fig. 1a. Diagram of gravitational instability in the 'big-bang' model. The region of instability is located to the right of the line $M_J(t)$; the region of stability to the left. The two additional lines of the graph demonstrate the temporal evolution of density perturbations of matter: growth until the moment when the considered mass is smaller than the Jeans mass and oscillations thereafter. It is apparent that at the moment of recombination perturbations corresponding to different masses correspond to different phases.

Key Realization

R. Sunyaev and Y. Zel'dovich, *Astrophys. and Space Science* **7**, 3 (1970); P. Peebles and J. Yu, *Ap. J.* **162**, 815 (1970).

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- Given a **scale-invariant power spectrum of adiabatic fluctuations** on "super-horizon" scales before t_{eq} , i.e. standing waves.
- → "correct" power spectrum of galaxies.
- → **acoustic oscillations in CMB angular power spectrum.**

Angular Power Spectrum of CMB Anisotropies

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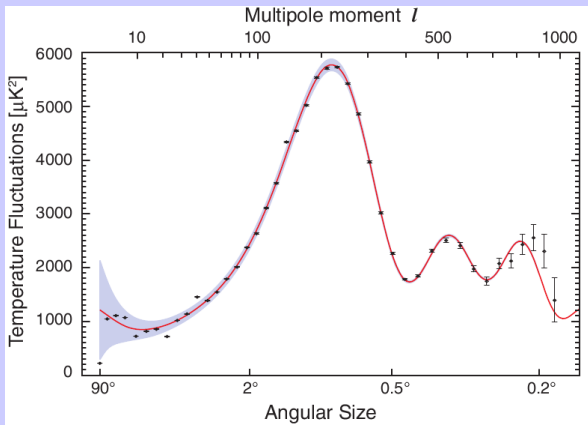
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Early Work

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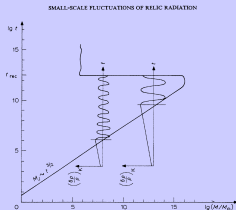


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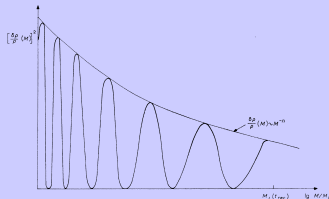


Fig. 1b. The dependence of the square of the amplitude of density perturbations of matter on scale. The fine line designates the usually assumed dependence $(\delta\rho/\rho)_M \sim M^{-2}$. It is apparent that fluctuations of relic radiation should depend on scale in a similar manner.

R. Sunyaev & Ya. Zeldovich, Astrophysic and Space Science 7

Predictions from 1970

R. Sunyaev and Y. Zel'dovich, *Astrophys. and Space Science* **7**, 3 (1970); P. Peebles and J. Yu, *Ap. J.* **162**, 815 (1970).

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- → "correct" power spectrum of galaxies.
- → **acoustic oscillations in CMB angular power spectrum.**
- → **baryon acoustic oscillations in matter power spectrum.**

Key Challenge

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How does one obtain such a spectrum?

- Inflationary Cosmology is the first scenario based on causal physics which yields such a spectrum.
- But it is not the only one.

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Hubble Radius vs. Horizon

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- **Horizon**: Forward light cone of a point on the initial Cauchy surface.
- Horizon: region of causal contact.
- **Hubble radius**: $l_H(t) = H^{-1}(t)$ inverse expansion rate.
- Hubble radius: local concept, relevant for dynamics of cosmological fluctuations.
- In Standard Big Bang Cosmology: Hubble radius = horizon.
- In any theory which can provide a mechanism for the origin of structure: Hubble radius $\neq$ horizon.

Criteria for a Successful Early Universe Scenario

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- **Horizon** $\gg$ **Hubble radius** in order for the scenario to solve the “horizon problem” of Standard Big Bang Cosmology.
- Scales of cosmological interest today **originate inside the Hubble radius at early times** in order for a causal generation mechanism of fluctuations to be possible.
- **Squeezing** of fluctuations on super-Hubble scales in order to obtain the acoustic oscillations in the CMB angular power spectrum.
- Mechanism for producing a **scale-invariant spectrum of curvature fluctuations** on super-Hubble scales.

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Inflation as a Solution

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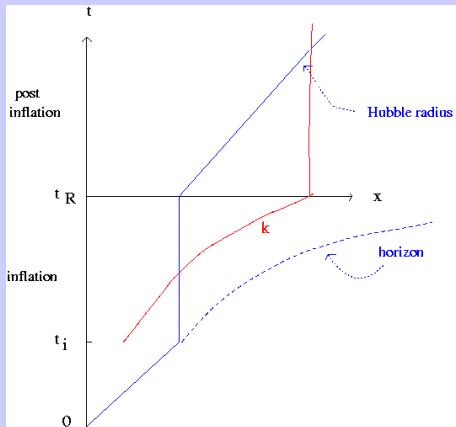
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Matter Bounce as a Solution

F. Finelli and R.B., *Phys. Rev. D* **65**, 103522 (2002), D. Wands, *Phys. Rev. D* **60** (1999)

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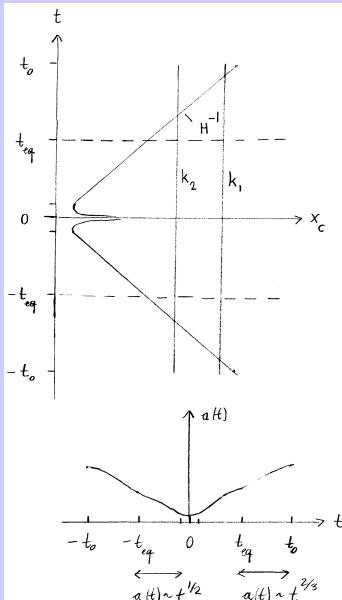
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Emergent Universe

R.B. and C. Vafa, *Nucl. Phys. B316:391 (1989)*

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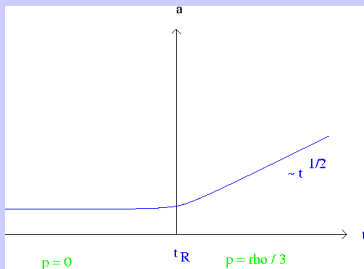
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Emergent Universe as a Solution

A. Nayeri, R.B. and C. Vafa, *Phys. Rev. Lett.* 97:021302 (2006)

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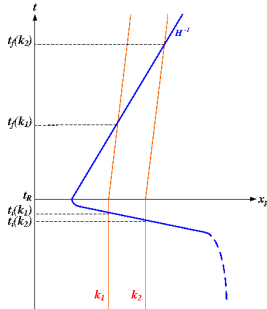
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Which paradigm arises from string theory?

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Assumption: All spatial dimensions toroidal, radius R .

String states:

- momentum modes: $E_n = n/R$
- winding modes: $E_m = mR$
- oscillatory modes: E independent of R

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T-Duality

- Momentum modes: $E_n = n/R$
- Winding modes: $E_m = mR$
- **Duality:** $R \rightarrow 1/R$ $(n, m) \rightarrow (m, n)$
- Mass spectrum of string states unchanged
- Symmetry of vertex operators
- Symmetry at non-perturbative level $\rightarrow$ existence of D-branes

Position Operators

R.B. and C. Vafa, *Nucl. Phys. B316:391 (1989)*

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Position operators (dual to momenta)

$$|x\rangle = \sum_p \exp(ix \cdot p) |p\rangle$$

Dual position operators (dual to windings)

$$|\tilde{x}\rangle = \sum_w \exp(i\tilde{x} \cdot w) |w\rangle$$

Note:

$$|x\rangle = |x + 2\pi R\rangle, \quad |\tilde{x}\rangle = |\tilde{x} + 2\pi \frac{1}{R}\rangle$$

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Heavy vs. Light Modes

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- $R \gg 1$: momentum modes light.
- $R \ll 1$: winding modes light.
- $R \gg 1$: length measured in terms of $|x\rangle$.
- $R \ll 1$: length measured in terms of $|\tilde{x}\rangle$
- $R \sim 1$: both $|x\rangle$ and $|\tilde{x}\rangle$ important.

Conclusion: At string scale densities usual effective field theory (EFT) based on supergravity will break down.

Conclusion: If an effective field theory description is valid, it must be an EFT in 18 spatial dimensions.

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Physical length operator

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$$l_p(R) = R \quad R \gg 1$$
$$l_p(R) = \frac{1}{R} \quad R \ll 1$$

Physical length

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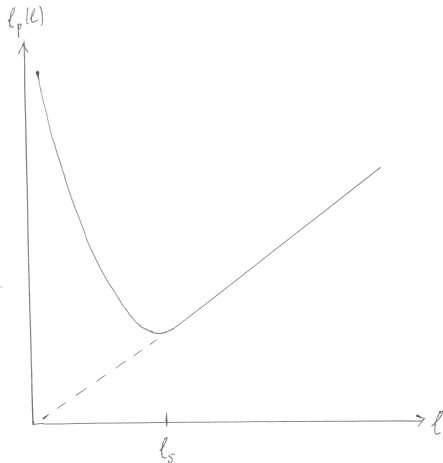
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Idea: make use of the **new symmetries** and **new degrees of freedom** which string theory provides to construct a new theory of the very early universe.

Assumption: Matter is a gas of fundamental strings.

Assumption: $g_s \ll 1$.

Key points:

- **New degrees of freedom:** string oscillatory modes
- Leads to a **maximal temperature** for a gas of strings, the Hagedorn temperature
- **New degrees of freedom:** string winding modes
- Leads to a **new symmetry:** physics at large R is equivalent to physics at small R

String Gas Cosmology

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Assumption: Matter is a gas of fundamental strings.

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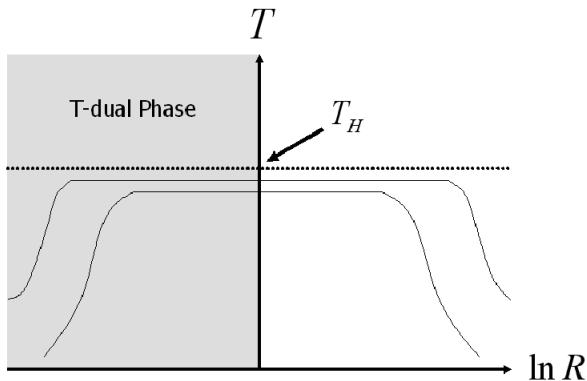
Key points:

- **New degrees of freedom**: string oscillatory modes
- Leads to a **maximal temperature** for a gas of strings, the Hagedorn temperature
- **New degrees of freedom**: string winding modes
- Leads to a **new symmetry**: physics at large R is equivalent to physics at small R

Adiabatic Considerations

R.B. and C. Vafa, *Nucl. Phys. B*316:391 (1989)

Temperature-size relation in string gas cosmology



Singularity Problem in Standard and Inflationary Cosmology

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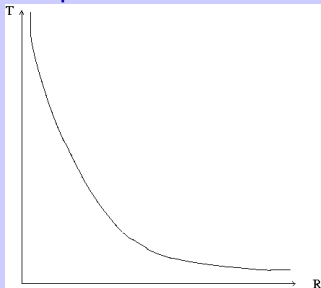
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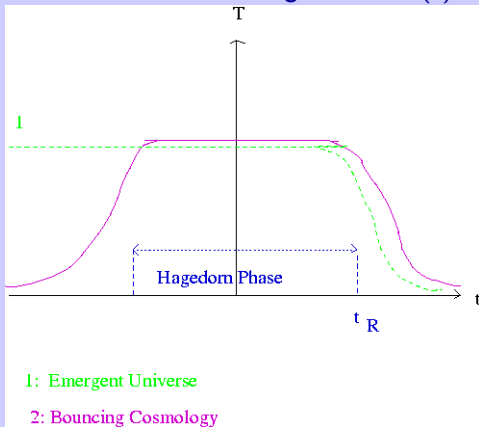
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Assume some action gives us $R(t)$



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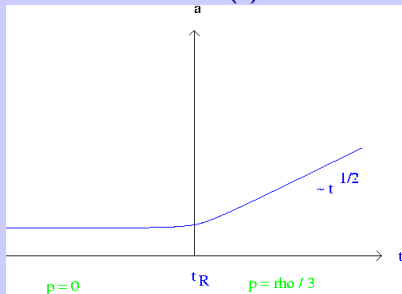
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We will thus consider the following background dynamics for the scale factor $a(t)$:



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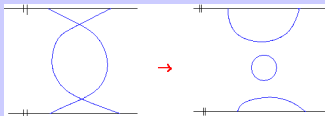
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Conclusions

- Begin with all 9 spatial dimensions small, initial temperature close to $T_H \rightarrow$ winding modes about all spatial sections are excited.
- Expansion of any one spatial dimension requires the annihilation of the winding modes in that dimension.



- Decay only possible in three large spatial dimensions.
- $\rightarrow$ dynamical explanation of why there are exactly three large spatial dimensions.

Note: For $R \rightarrow 0$ there is an analogous decompactification mechanism which only allows three dual dimensions to be large.

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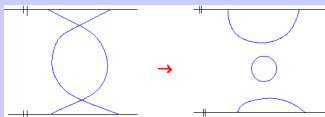
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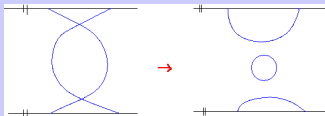
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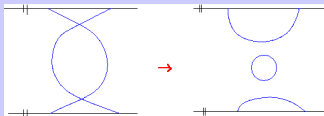
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Moduli Stabilization in SGC

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Size Moduli [S. Watson, 2004; S. Patil and R.B., 2004, 2005]

- winding modes prevent expansion
- momentum modes prevent contraction
- $\rightarrow V_{\text{eff}}(R)$ has a minimum at a finite value of R , $\rightarrow R_{\text{min}}$
- in heterotic string theory there are **enhanced symmetry states** containing both momentum and winding which are massless at R_{min}
- $\rightarrow V_{\text{eff}}(R_{\text{min}}) = 0$
- $\rightarrow$ **size moduli stabilized** in Einstein gravity background

Shape Moduli [E. Cheung, S. Watson and R.B., 2005]

- enhanced symmetry states
- $\rightarrow$ harmonic oscillator potential for θ
- $\rightarrow$ **shape moduli stabilized**

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Dilaton stabilization in SGC

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- The only remaining modulus is the dilaton.
- Make use of **gaugino condensation** to give the dilaton a potential with a unique minimum.
- $\rightarrow$ dilaton is stabilized.
- Dilaton stabilization is consistent with size stabilization [R. Danos, A. Frey and R.B., 2008].
- Gaugino condensation induces (high scale) **supersymmetry breaking** [S. Mishra, W. Xue, R.B. and U. Yajnik, 2012].

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Cosmological fluctuations connect early universe theories with observations

- Fluctuations of **matter** → large-scale structure
- Fluctuations of **metric** → CMB anisotropies
- N.B.: Matter and metric fluctuations are coupled

Key facts:

- 1. Fluctuations are small today on large scales
- → fluctuations were very small in the early universe
- → can use **linear perturbation theory**
- 2. Sub-Hubble scales: matter fluctuations dominate
- Super-Hubble scales: metric fluctuations dominate

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Quantum Theory of Linearized Fluctuations

V. Mukhanov, H. Feldman and R.B., *Phys. Rep.* 215:203 (1992)

Step 1: Metric including fluctuations

$$ds^2 = a^2[(1 + 2\Phi)d\eta^2 - (1 - 2\Phi)d\mathbf{x}^2]$$

$$\varphi = \varphi_0 + \delta\varphi$$

Note: Φ and $\delta\varphi$ related by Einstein constraint equations

Step 2: Expand the action for matter and gravity to second order about the cosmological background:

$$S^{(2)} = \frac{1}{2} \int d^4x ((v')^2 - v_{,i} v^{,i} + \frac{z''}{z} v^2)$$

$$v = a(\delta\varphi + \frac{z}{a}\Phi)$$

$$z = a \frac{\varphi'_0}{\mathcal{H}}$$

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Step 3: Resulting equation of motion (Fourier space)

$$v_k'' + (k^2 - \frac{z''}{z})v_k = 0$$

Features:

- **oscillations** on sub-Hubble scales
- **squeezing** on super-Hubble scales $v_k \sim z$

Quantum vacuum initial conditions:

$$v_k(\eta_i) = (\sqrt{2k})^{-1}$$

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Structure formation in inflationary cosmology

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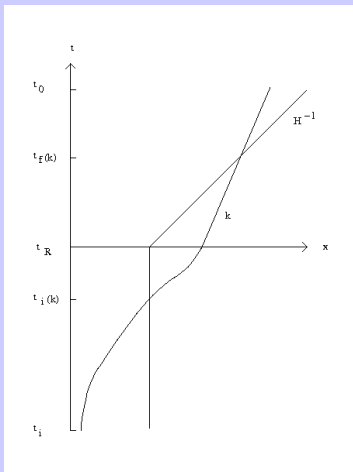
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N.B. Perturbations originate as quantum vacuum fluctuations.

Background for string gas cosmology

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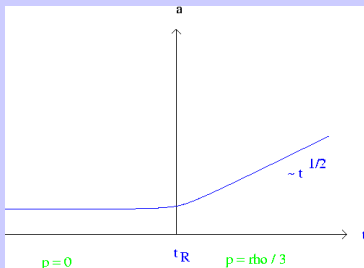
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Structure formation in string gas cosmology

A. Nayeri, R.B. and C. Vafa, *Phys. Rev. Lett.* 97:021302 (2006)

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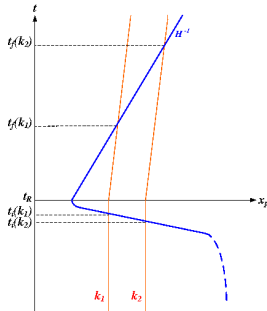
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N.B. Perturbations originate as thermal string gas fluctuations.

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- Calculate matter correlation functions in the Hagedorn phase (neglecting the metric fluctuations)
- For fixed k , convert the matter fluctuations to metric fluctuations at Hubble radius crossing $t = t_i(k)$
- Evolve the metric fluctuations for $t > t_i(k)$ using the usual theory of cosmological perturbations

Extracting the Metric Fluctuations

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Ansatz for the metric including cosmological perturbations and gravitational waves:

$$ds^2 = a^2(\eta) \left((1 + 2\phi) d\eta^2 - [(1 - 2\phi)\delta_{ij} + h_{ij}] dx^i dx^j \right).$$

Inserting into the perturbed Einstein equations yields

$$\langle |\phi(k)|^2 \rangle = 16\pi^2 G^2 k^{-4} \langle \delta T^0_0(k) \delta T^0_0(k) \rangle,$$

$$\langle |h(k)|^2 \rangle = 16\pi^2 G^2 k^{-4} \langle \delta T^i_j(k) \delta T^i_j(k) \rangle.$$

Power Spectrum of Cosmological Perturbations

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Key ingredient: For **thermal fluctuations**:

$$\langle \delta \rho^2 \rangle = \frac{T^2}{R^6} C_V.$$

Key ingredient: For **string thermodynamics** in a compact space

$$C_V \approx 2 \frac{R^2 / \ell_s^3}{T (1 - T/T_H)}.$$

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Power spectrum of cosmological fluctuations

$$\begin{aligned}P_{\Phi}(k) &= 8G^2 k^{-1} \langle |\delta\rho(k)|^2 \rangle \\&= 8G^2 k^2 \langle (\delta M)^2 \rangle_R \\&= 8G^2 k^{-4} \langle (\delta\rho)^2 \rangle_R \\&= 8G^2 \frac{T}{\ell_s^3} \frac{1}{1 - T/T_H}\end{aligned}$$

Key features:

- **scale-invariant** like for inflation
- **slight red tilt** like for inflation

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- Evolution for $t > t_i(k)$: $\Phi \simeq \text{const}$ since the equation of state parameter $1 + w$ stays the same order of magnitude **unlike in inflationary cosmology**.
- Squeezing of the fluctuation modes takes place on super-Hubble scales **like in inflationary cosmology** $\rightarrow$ **acoustic oscillations** in the CMB angular power spectrum
- In a dilaton gravity background the dilaton fluctuations dominate $\rightarrow$ different spectrum [R.B. et al, 2006; Kaloper, Kofman, Linde and Mukhanov, 2006]

Spectrum of Gravitational Waves

R.B., A. Nayeri, S. Patil and C. Vafa, *Phys. Rev. Lett.* (2007)

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$$\begin{aligned}P_h(k) &= 16\pi^2 G^2 k^{-1} \langle |T_{ij}(k)|^2 \rangle \\&= 16\pi^2 G^2 k^{-4} \langle |T_{ij}(R)|^2 \rangle \\&\sim 16\pi^2 G^2 \frac{T}{\ell_s^3} (1 - T/T_H)\end{aligned}$$

Key ingredient for **string thermodynamics**

$$\langle |T_{ij}(R)|^2 \rangle \sim \frac{T}{\ell_s^3 R^4} (1 - T/T_H)$$

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BICEP-2 Results

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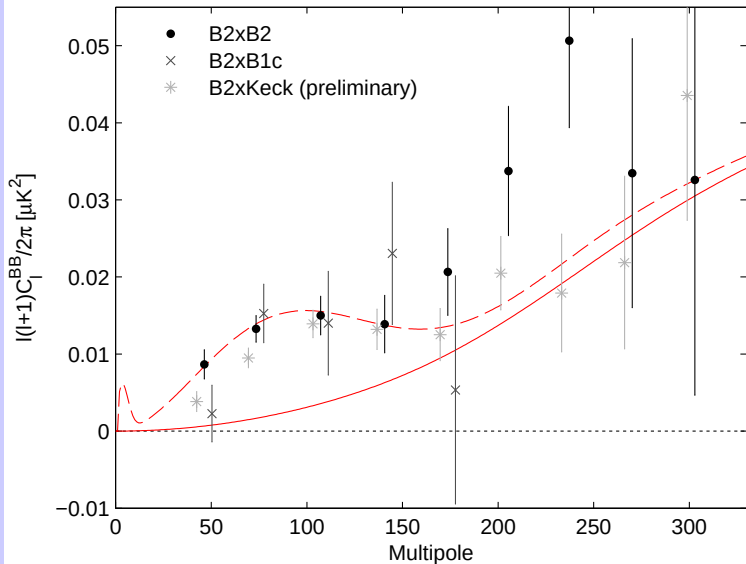
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- Static Hagedorn phase (including static dilaton) $\rightarrow$ new physics required.
- $C_V(R) \sim R^2$ obtained from a thermal gas of strings provided there are winding modes which dominate.
- Cosmological fluctuations in the IR are described by Einstein gravity.

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Doubled Space in SGC

R.B., R. Costa, G. Franzmann and A. Weltman, arXiv:1710.02412 [hep-th]

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Candidate for dynamics in the Hagedorn phase: **Double Field Theory** [C. Hull and B. Zwiebach, 2009]

Idea: For each dimension of the underlying topological space there are **two position operators** [R.B. and C. Vafa]:

- x : dual to the momentum modes
- $\tilde{x}$: dual to the winding modes

We measure **physical length** in terms of the **light** degrees of freedom.

$$\begin{aligned} l(R) &= R \text{ for } R \gg 1, \\ l(R) &= \frac{1}{R} \text{ for } R \ll 1. \end{aligned}$$

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Double Field Theory Approach

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Idea Describe the low-energy degrees of freedom with an **action in doubled space** in which the T-duality symmetry is manifest.

$$S = \int dx d\tilde{x} e^{-2d} \mathcal{R},$$

$$\begin{aligned} \mathcal{R} = & \frac{1}{8} \mathcal{H}^{MN} \partial_M \mathcal{H}^{KL} \partial_N \mathcal{H}_{KL} - \frac{1}{2} \mathcal{H}^{MN} \partial_M \mathcal{H}^{KL} \partial_K \mathcal{H}_{NL} \\ & + 4 \mathcal{H}^{MN} \partial_M \partial_N d - \partial_M \partial_N \mathcal{H}^{MN} - 4 \mathcal{H}^{MN} \partial_M d \partial_N d \\ & + 4 \partial_M \mathcal{H}^{MN} \partial_N d + \frac{1}{2} \eta^{MN} \eta^{KL} \partial_M \mathcal{E}^A{}_K \partial_N \mathcal{E}^B{}_L \mathcal{H}_{AB}. \end{aligned}$$

$$\begin{aligned}\mathcal{H}_{MN} &= \begin{bmatrix} g^{ij} & -g^{ik} b_{kj} \\ b_{ik} g^{kj} & g_{ij} - b_{ik} g^{kl} b_{lj} \end{bmatrix} . \\ X^M &= (\tilde{x}_i, x^i), \\ \eta^{MN} &= \begin{bmatrix} 0 & \delta_i^j \\ \delta^i_j & 0 \end{bmatrix} .\end{aligned}$$

Singularity Resolution in SGC

R.B., R. Costa, G. Franzmann and A. Weltman, arXiv:1710.02412 [hep-th]

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- Consider test particles in a DFT background.
- Derive geodesic equation of motion
- Consider a cosmological background with $b = 0$ and fixed dilaton.
- Find that the geodesics can be extended to infinite proper time in both time directions.
- $\rightarrow$ geodesic completeness in terms of physical time:

$$\begin{aligned} t_p(t) &= t \text{ for } t \gg 1, \\ t_p(t) &= \frac{1}{t} \text{ for } t \ll 1. \end{aligned}$$

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Cosmology of DFT

R.B., R. Costa, G. Franzmann and A. Weltman, in preparation

Add **matter action** S_m to the background action of SGC:

$$S = \int dx d\tilde{x} e^{-2d} \mathcal{R} + S_m$$

Consider generalized Friedmann metric:

$$ds^2 = dt^2 + d\tilde{t}^2 - a(t)^2 dx^2 - \frac{1}{a^2(t)} d\tilde{x}^2$$

Physical time constraint:

$$|\tilde{t}| = \frac{1}{|t|}$$

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Equations of Motion

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$$\begin{aligned}2\bar{\phi}'' - (p\bar{h}i')^2 - (D-1)\tilde{H}^2 + 2\ddot{\bar{\phi}} - \\ (\dot{\bar{\phi}})^2 - (D-1)H^2 &= 0 \\ (D-1)\tilde{H}^2 - \bar{\phi}'' - (D-1)H^2 + \ddot{\bar{\phi}} &= \frac{1}{2}e^{\bar{\phi}}\bar{\rho} \\ \tilde{H}' - \tilde{H}\bar{\phi}' + \dot{H} - H\dot{\bar{\phi}} &= \frac{1}{2}e^{\bar{\phi}}\bar{p}\end{aligned}$$

where

$$\begin{aligned}\bar{\phi} &= \phi - (D-1)\ln a \\ ' &= \frac{\partial}{\partial \tilde{t}} \\ \tilde{H} &= \frac{a'}{a}\end{aligned}$$

Preliminary Results

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- At late times we recover the EoM of supergravity.
- Evolution is nonsingular in terms of the physical time.

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Conclusions

- **Cosmology of string theory** must take into account the key symmetries of string theory, in particular the **T-duality symmetry**.
- Standard effective field theory of supergravity will break down in the very early universe.
- **Double Field Theory** may provide a better description of the background for string cosmology.
- Cosmological evolution is **nonsingular**.
- Our universe emerges from an early Hagedorn phase.
- Thermal string fluctuations in the Hagedorn phase yield an almost scale-invariant spectrum of cosmological fluctuations.
- **Characteristic signal:** **blue tilt** in the **spectrum of gravitational waves**.