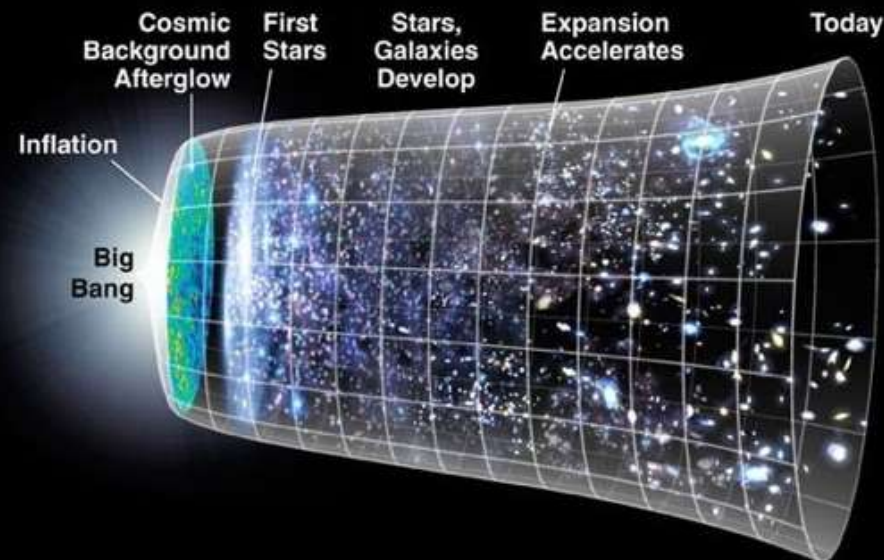


# Inflating with Axions & Gauge Fields



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“Gravity and Cosmology 2024”  
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## Collaborators

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M Michelotti, O Ozsoy, A Papageorgiou, L Pinol, M Putti, D Wands, A Westphal

# Outline

- Inflation (SFSR & multifield, quickly)
- Axion inflation family
- Gauge fields via Chern-Simons, phenomenology
- Quickly on the Abelian case
- Focus on the non-Abelian case  
two examples
- Other key aspects and open questions  
perturbativity, backreaction & more

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# Inflation, the minimal paradigm, SFSR

Simplest realization: single-scalar field in slow-roll

- Scalar field :

$$p_\phi = \frac{\dot{\phi}^2}{2} - V(\phi) \approx -V(\phi)$$
$$\rho_\phi = \frac{\dot{\phi}^2}{2} + V(\phi) \approx V(\phi)$$

$$\dot{\phi}^2 \ll V$$

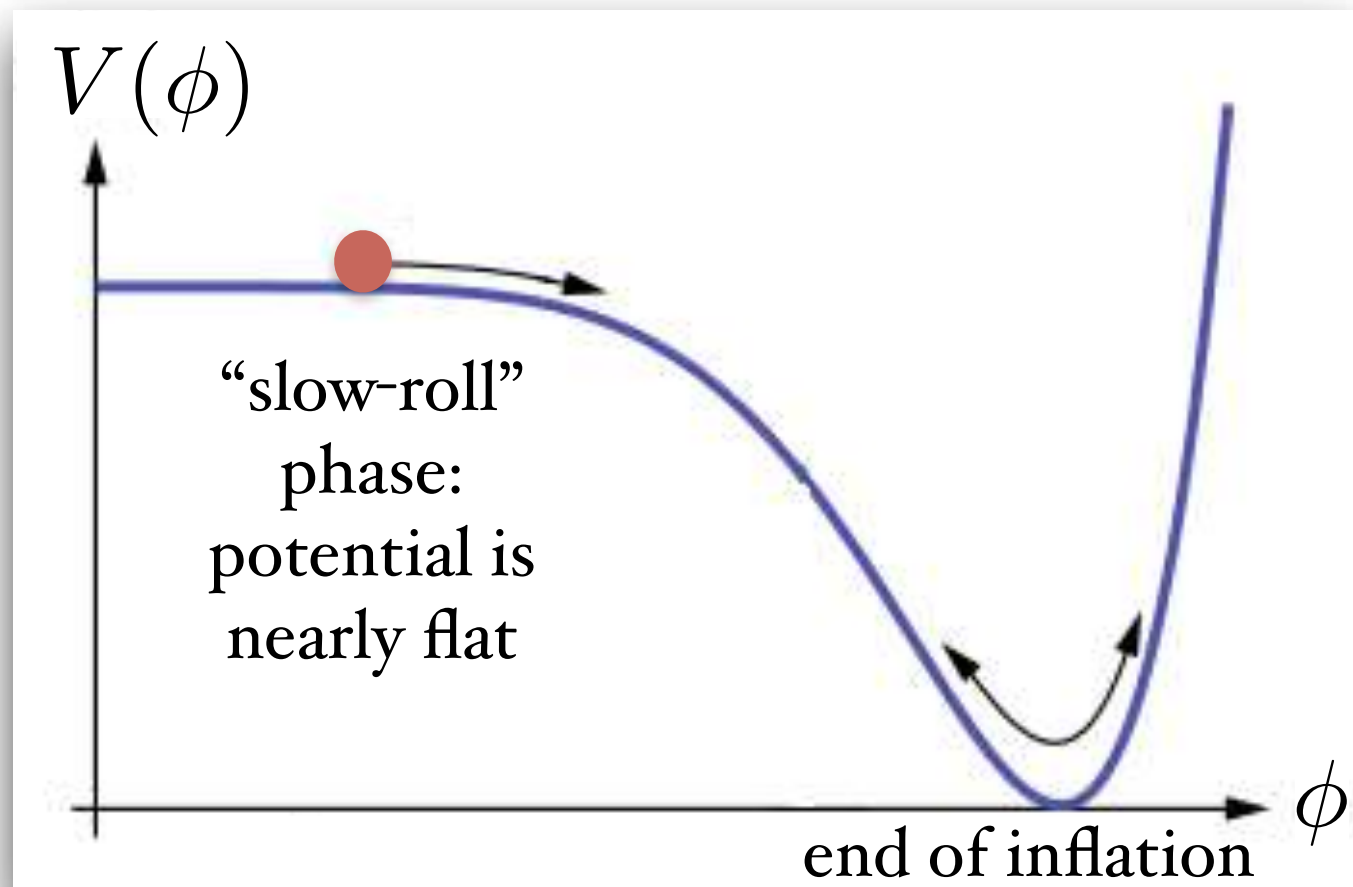
$$p_\phi \approx -\rho_\phi$$

start flat

$$\epsilon \equiv -\frac{\dot{H}}{H^2} \simeq \frac{M_{\text{P}}^2}{2} \left( \frac{V'}{V} \right)^2 \simeq \frac{3}{2} \frac{\dot{\phi}^2}{V} \ll 1$$

stay flat

$$|\eta| \equiv \frac{|\dot{\epsilon}|}{H\epsilon} \simeq -\frac{2}{3} \left( \frac{V''}{H^2} \right) + 4\epsilon \ll 1$$



# Primordial Fluctuations

(minimal scenario)

$$ds^2 = (-dt^2 + a(t)^2 [e^{2\zeta} \delta_{ij} + \gamma_{ij}] dx^i dx^j)$$

scalar fluctuations

tensor perturbations

# Primordial Fluctuations

(minimal scenario)

$$ds^2 = (-dt^2 + a(t)^2 [e^{2\zeta} \delta_{ij} + \gamma_{ij}] dx^i dx^j)$$

scalar fluctuations

$$\mathcal{P}_\zeta(k) = \frac{1}{8\pi^2} \frac{1}{\epsilon} \frac{H^2}{M_{\text{pl}}^2} \left(\frac{k}{k_*}\right)^{n_s-1}$$

$$n_s - 1 \simeq -2\epsilon - \eta$$

$$2.2 \times 10^{-9}$$

$$0.9649 \pm 0.0042$$

$$[k_* = 0.05 \text{ Mpc}^{-1}, 68\% \text{ C.L.}]$$

from Planck measurements  
of CMB anisotropies

tensor perturbations

$$\mathcal{P}_\gamma^{\text{vacuum}}(k) = \frac{2}{\pi^2} \frac{H^2}{M_{\text{pl}}^2} \left(\frac{k}{k_*}\right)^{n_T}$$

energy scale of inflation

**red tilt**

$$n_T \simeq -2\epsilon \simeq -r/8$$

tensor-to-scalar ratio  $r \equiv \frac{\mathcal{P}_\gamma}{\mathcal{P}_\zeta}$

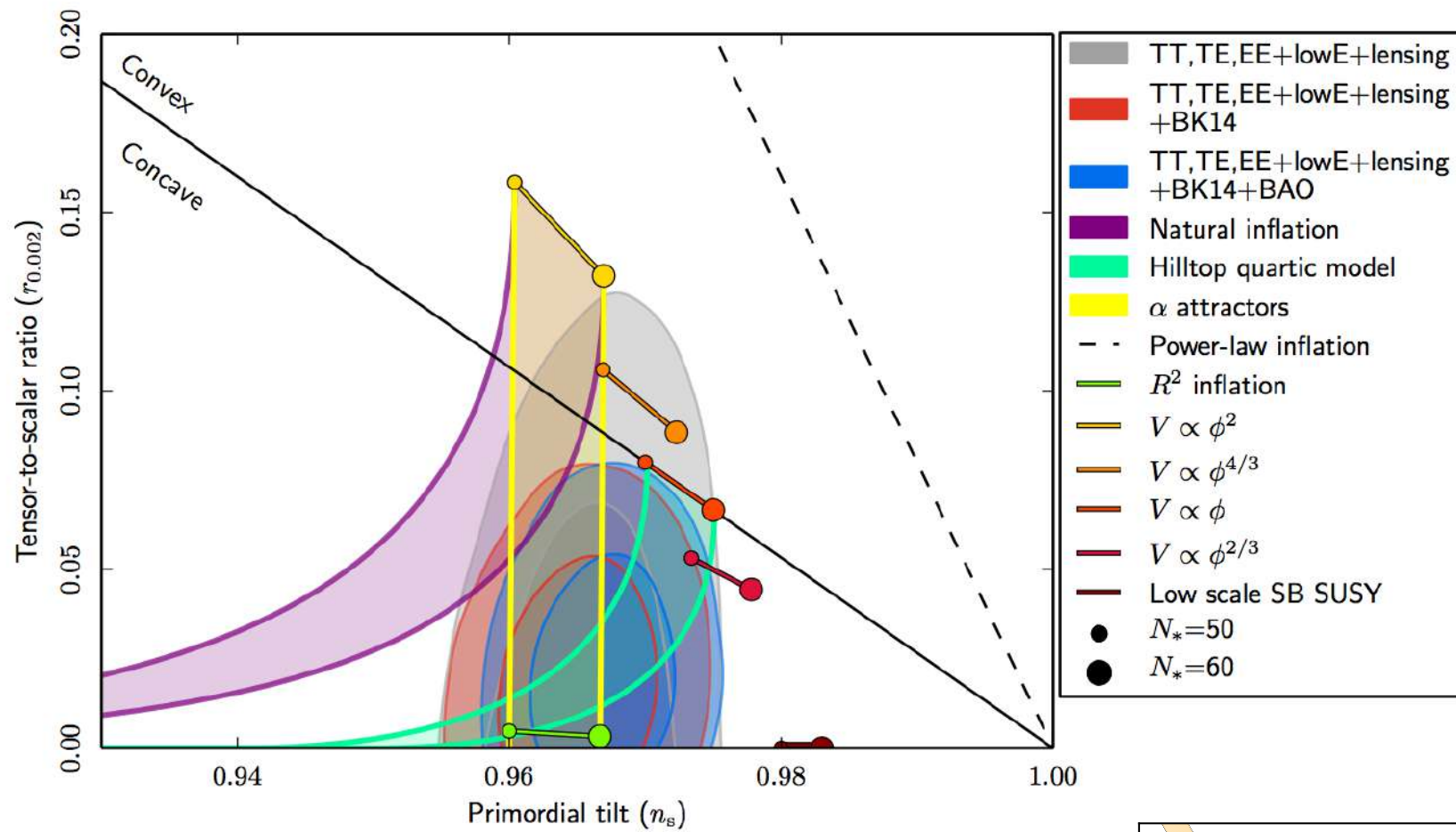
bounds

$$\begin{cases} \text{current} \\ r < 0.032 \text{ (95\% CL, Planck}^+) \\ \text{future} \\ r < 0.01 \text{ (CMB-S3); } r < 0.001 \text{ (-S4)} \end{cases}$$

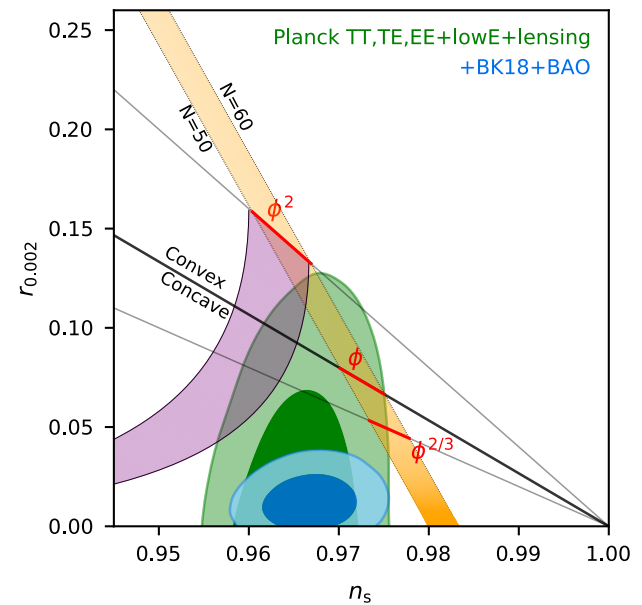
LiteBIRD

# @ CMB scales

Planck Collaboration: Constraints on Inflation



+BK update:



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We may additionally ask for

technically natural light inflaton

give the theory a chance  
at solving the eta  
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“embeddable” scenarios

a + if e.g. string theory  
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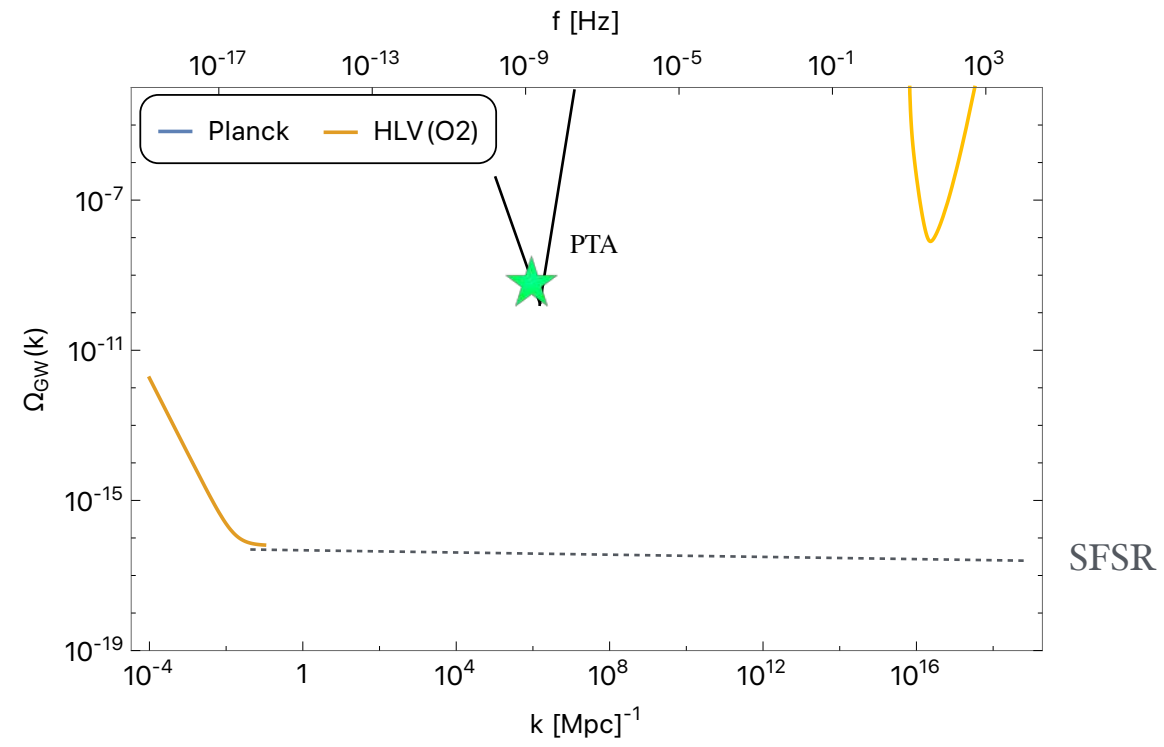
a + if e.g. string theory  
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your Lagrangian

viable ones

CMB quite constraining,  
many more bounds  
coming  
(20 decades in frequency)

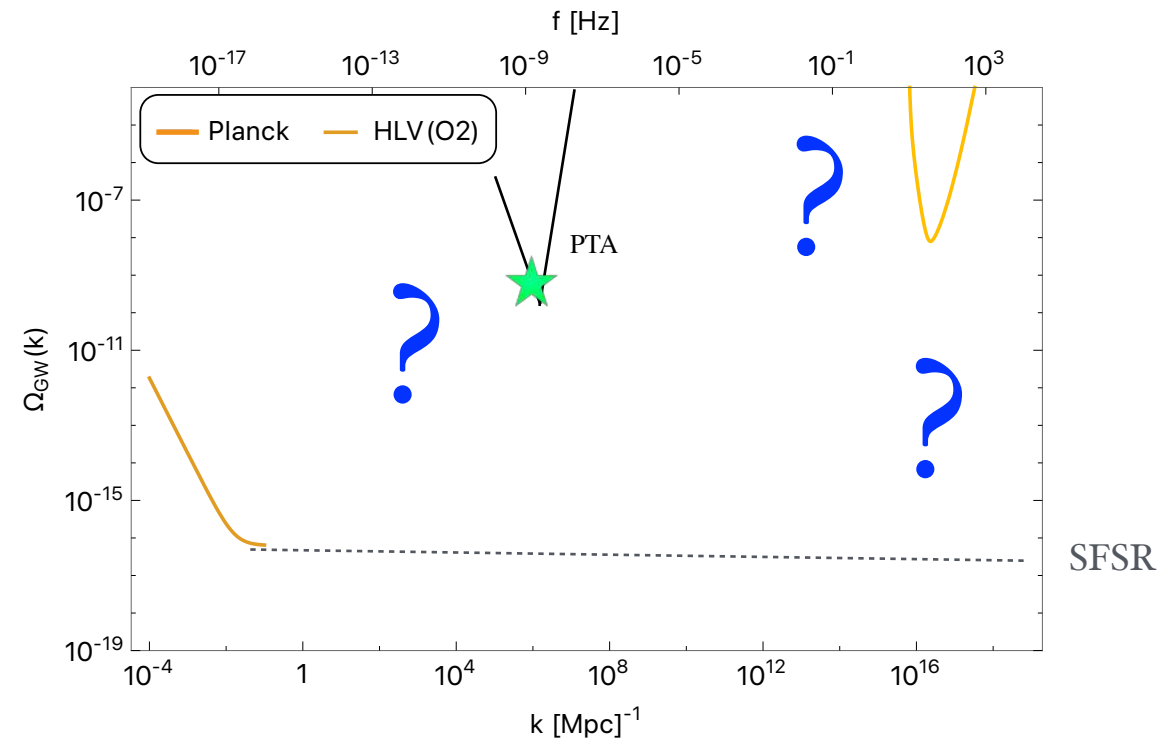
# Hope for a little more (GW)

now



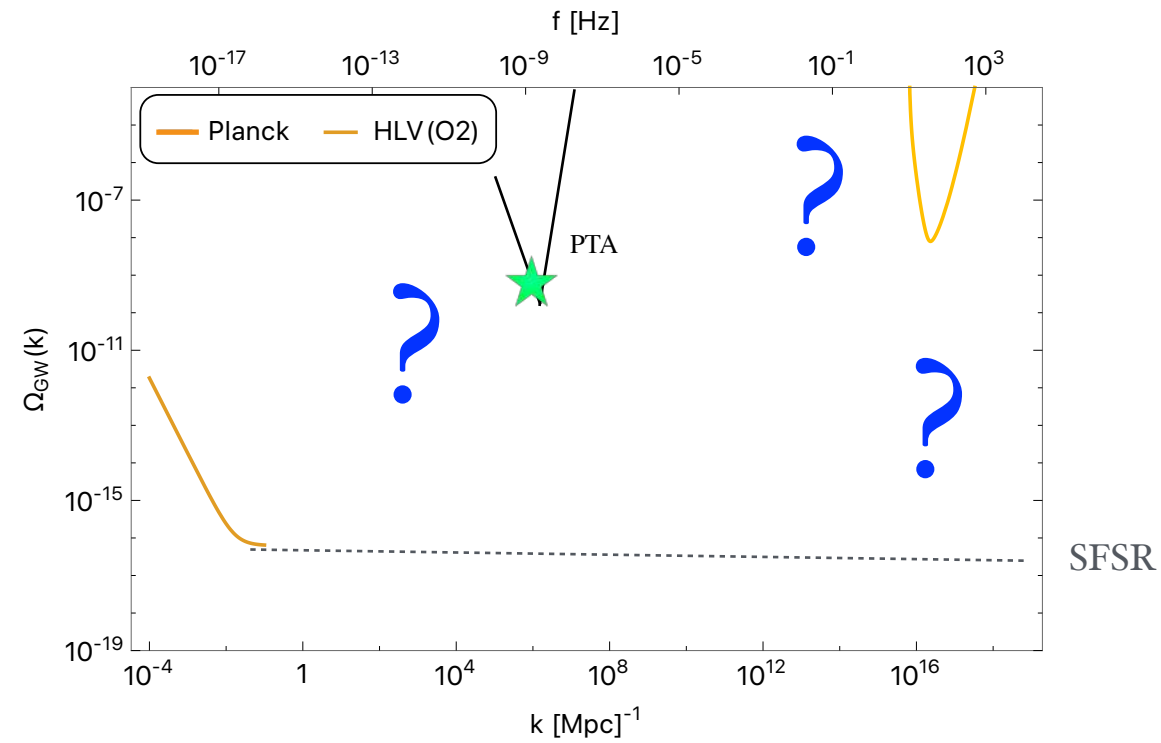
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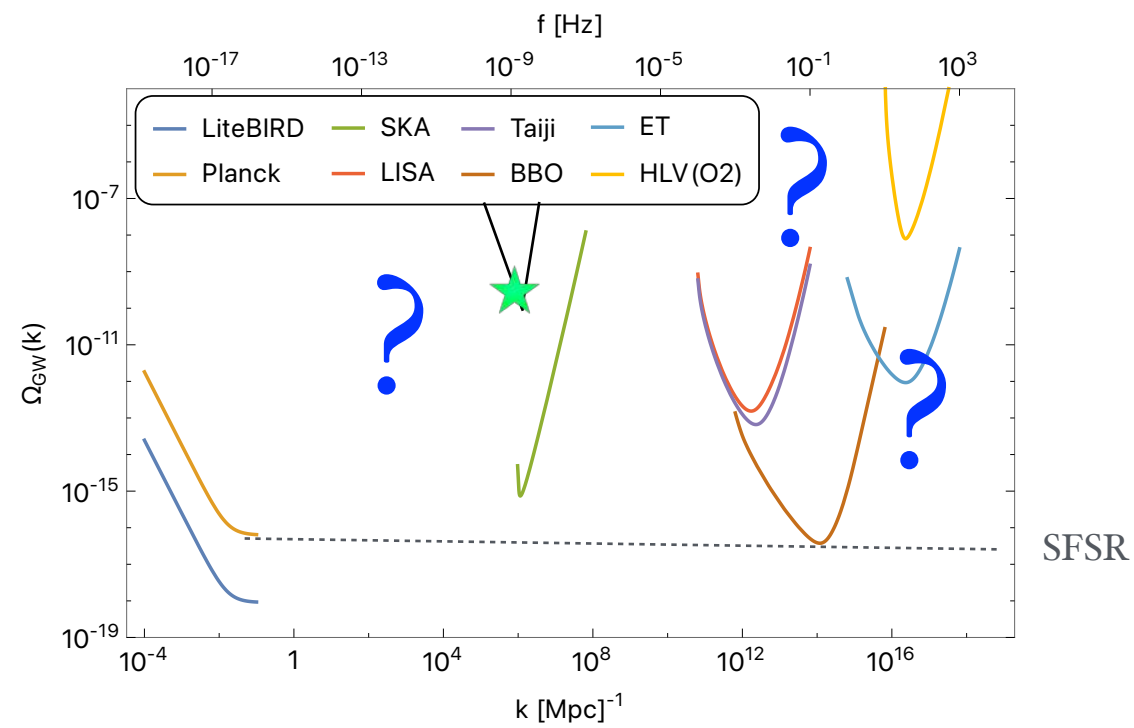


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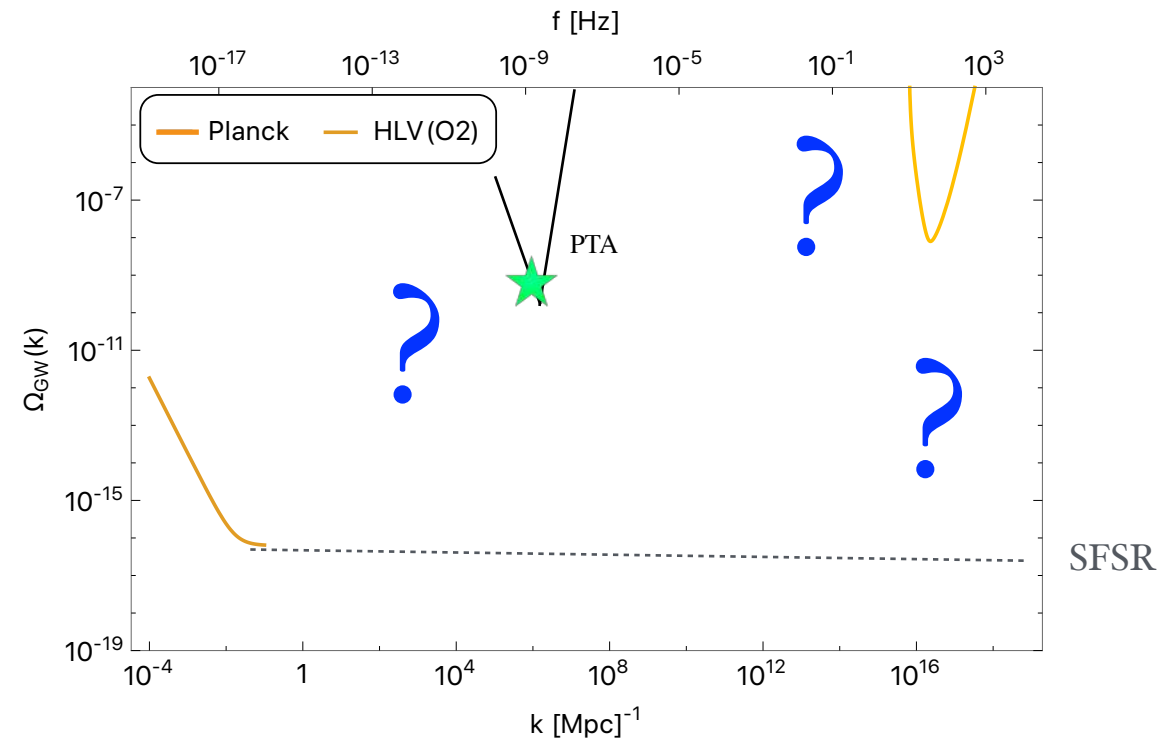


soon

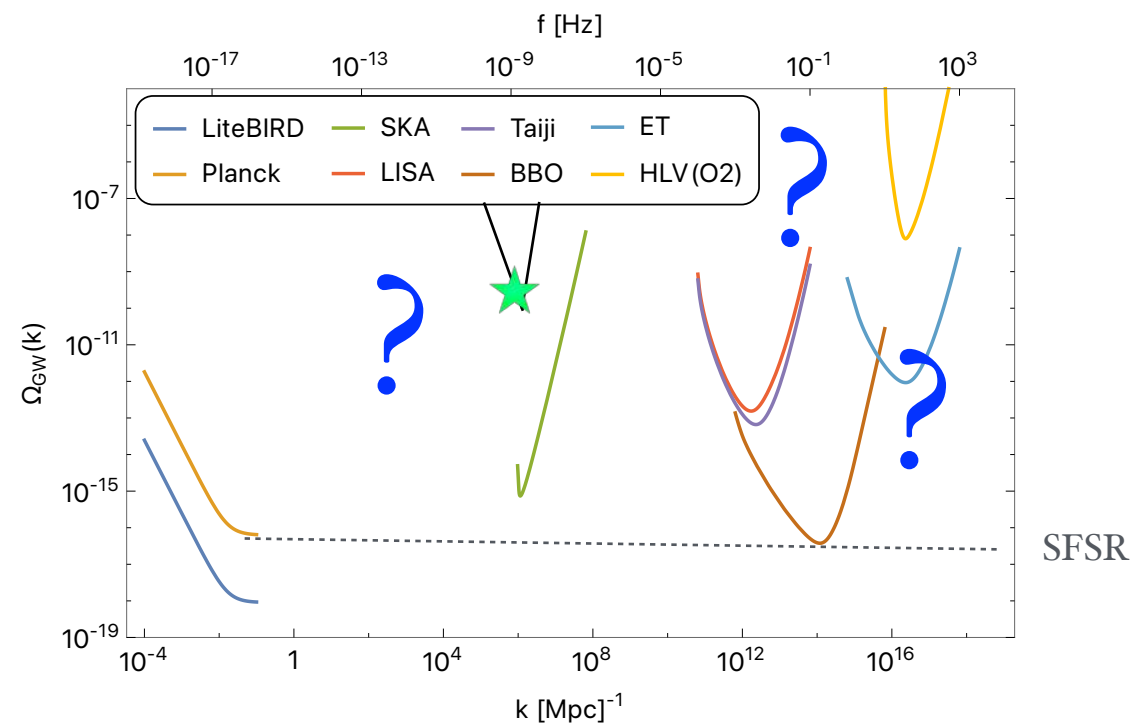


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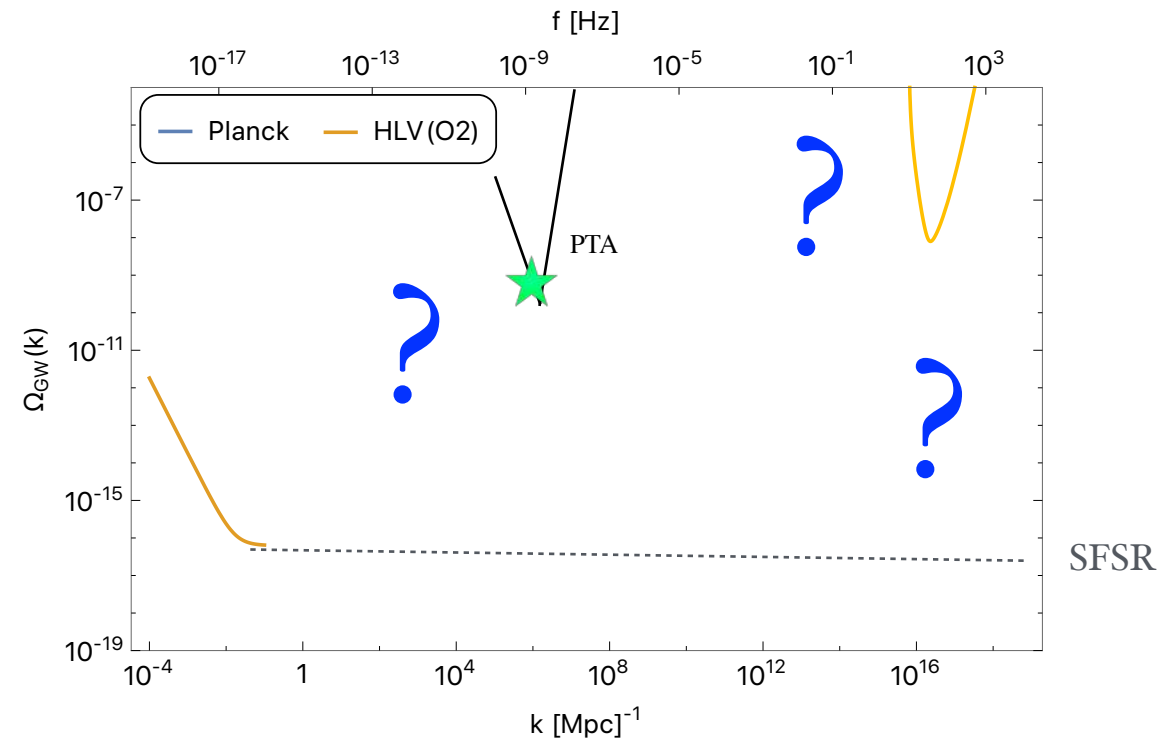
soon



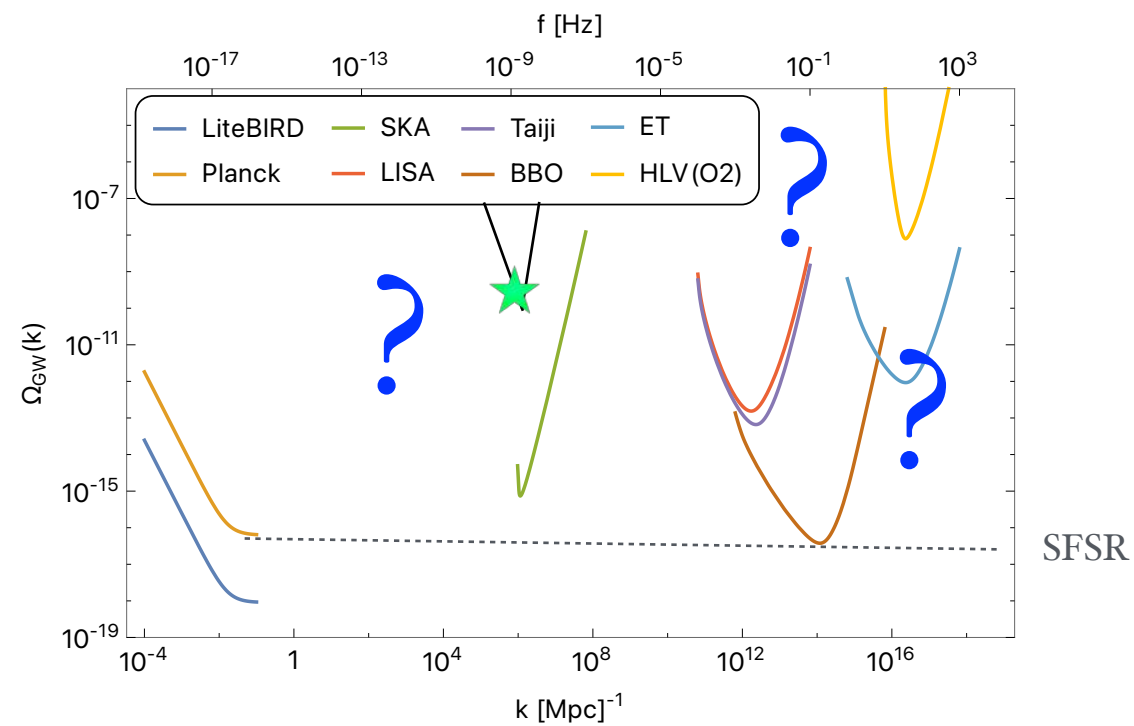
features!

# Hope for a little more (GW)

now



soon



blue or bump-like GW spectrum testable @ PTA or via laser interferometers



# Typically multiple fields required

why go beyond the single-field?

Likely

string theory

|

flux compactifications

|

4D EFT with many moduli fields

Testable

soon to cross key thresholds

$r < 0.001$  (CMB)

$f_{\text{NL}} < 1$  (LSS, 21cm)

GW signatures of new content:  
PS: scale-dependence, chirality,  
n-G: (amplitude, shape, angular)

Necessary

extraordinary claims  
require extraordinary evidence

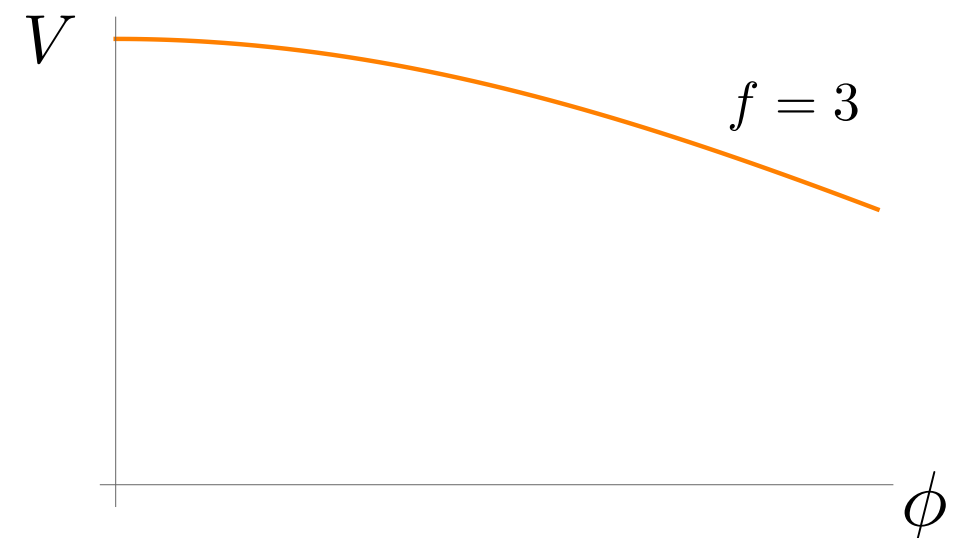
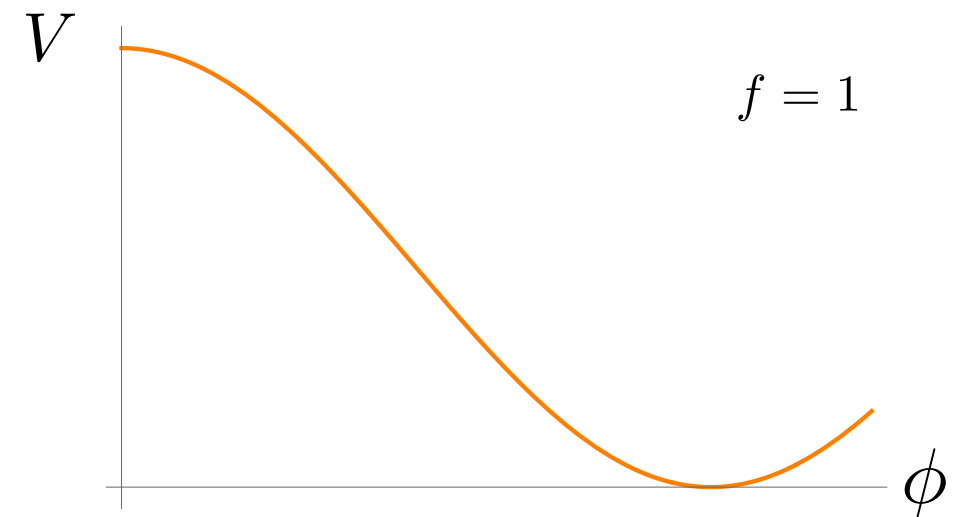
what to infer from  
GW detection?  
e.g.  $r \leftrightarrow H$  relation

# Natural Inflation

$$\mathcal{L} = \sqrt{-g} \left[ R[g] - (\partial\phi)^2 - \mu^4 (1 + \text{Cos}[\phi/f]) \right]$$

[Freese, Frieman, Olinto]

axion-like potential



simple

(technically) natural: shift symmetry

needs  $f \gtrsim M_{\text{P}}$

now ruled out

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# Natural Inflation + Gauge Sector

$$\mathcal{L} \supset -\frac{1}{4}F^2 + \frac{\lambda\phi}{4f}F\tilde{F} - (\partial\phi)^2 - U_{\text{axion}}(\phi)$$

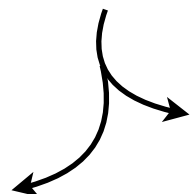
$$\hookrightarrow U(\chi) \sim \mu^4[1 + \cos(\chi/f)]$$

- ◆ { friction/dissipation slows the roll
- ◆ {  $f \ll M_{\text{P}}$  realization
- ◆ { very interesting GW signatures !

# Natural Inflation + Gauge Sector

general properties

$$\mathcal{L} \supset \left[ -\frac{1}{4}F^2 + \frac{\lambda\phi}{4f}F\tilde{F} \right] - (\partial\phi)^2 - U_{\text{axion}}(\phi)$$

U(1)  SU(2)

$$t''_{R,L} + \left[ 1 + \frac{2m_Q\xi}{x^2} \mp \frac{2}{x}(m_Q + \xi) \right] t_{R,L} = \tilde{\mathcal{O}}^{(1)}(\Psi_{R,L})$$

$$\left[ \partial_\tau^2 + k^2 \pm \frac{2k\xi}{\tau} \right] A_\pm(\tau, k) = 0$$

Adshead & Wyman

Anber & Sorbo

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• Gauge fields source GW, chiral signal if leading

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Adshead & Wyman

Anber & Sorbo

$$\xi \equiv \frac{\lambda\dot{\phi}}{2fH}$$

- Gauge fields source GW, chiral signal if leading
- $\xi$  regulates the growth ==> possible blue spectrum

# Natural Inflation + Gauge Sector

$$\mathcal{L} \supset \left[ -\frac{1}{4}F^2 + \frac{\lambda\phi}{4f}F\tilde{F} \right] - (\partial\phi)^2 - U_{\text{axion}}(\phi)$$

$$\hookrightarrow U(\chi) \sim \mu^4[1 + \cos(\chi/f)]$$

chiral GW spectrum

blue or bump-like features

large scalar, GW & mixed non-Gaussianity



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# Natural Inflation + Gauge Sector

briefly on U(1)

$$\Phi'' + 2aH\Phi' - \nabla^2\Phi + a^2 \frac{dV(\Phi)}{d\Phi} = \left( \frac{\alpha}{f} a^2 \vec{E} \cdot \vec{B} \right)$$

$E_{\text{kin}}$  in production of gauge quanta

[Anber, Sorbo]

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

$$\tilde{F}^{\mu\nu} = -\frac{1}{2} \epsilon^{\mu\nu\alpha\beta} F_{\alpha\beta}$$

CS ==> parity breaking ==> different sols for polarisations

$$\left[ \partial_\tau^2 + k^2 \pm \frac{2k\xi}{\tau} \right] A_\pm(\tau, k) = 0$$

enhanced polarisation  $A_+(\tau, k) \propto e^{\pi\xi}$

$$\xi \equiv \frac{\lambda \dot{\phi}}{2fH}$$

[Barnaby, Peloso 2011, Barnaby, Namba, Peloso 2011, Bartolo et al 2014+...]

[Pajer, Peloso (2013)]

# Natural Inflation + Gauge Sector

briefly on U(1)

non-linear sourcing w/ enhanced field



- observed scalar spectrum @ CMB & chiral GW  
inflaton coupled to  $\mathcal{N} \sim \mathcal{O}(10^5)$  gauge fields
  - or for a different potential
  - or introducing spectator axions

[Mukohyama, Namba, Peloso, Shiu 2014]

blue or bump-like, chiral, GW spectrum  
possibly large GW non-Gaussianities

U(1) many many applications, e.g. in PBH context

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# Chromo Natural Inflation

SU(2)

[Adshead, Wyman]

$$\mathcal{L} \supset -\frac{1}{4}F^2 + \frac{\lambda\phi}{4f}F\tilde{F} - (\partial\phi)^2 - U_{\text{axion}}(\phi)$$

[Dimastrogiovanni, MF, Tolley]

[Dimastrogiovanni, Peloso],

[Domcke, Mares, Muia, Pieroni],

[...]

◆  $\left\{ \begin{array}{l} f \ll M_{\text{P}} \text{ realization} \\ \text{very interesting GW signatures !} \\ \text{in tension with the data..} \end{array} \right.$

# Spectator Chromo Natural Inflation

[Dimastrogiovanni, MF, Fujita]

[Obata, Soda]

$$\mathcal{L} \supset \mathcal{L}_{\text{inflaton}} - \frac{1}{4} F^2 + \frac{\lambda \chi}{4f} F \tilde{F} - (\partial \chi)^2 - U_{\text{axion}}(\chi)$$

- ◆  $f \ll M_{\text{P}}$  realization
- same interesting GW spectrum
- observationally viable

# Primordial GW in SCNI

$$\mathcal{L} \supset \mathcal{L}_{\text{inflaton}} - \frac{1}{4} F^2 + \frac{\lambda \chi}{4f} F \tilde{F} - (\partial \chi)^2 - U_{\text{axion}}(\chi)$$

$$\text{SU}(2) \begin{cases} A_0^a = 0 \\ A_i^a = a Q \delta_i^a \\ \delta A_i^a = t_{ai} + \dots \end{cases}$$

$$\ddot{\gamma}_{ij}^\lambda + 3H \dot{\gamma}_{ij}^\lambda + k^2 \gamma_{ij}^\lambda \propto t_{ij}^\lambda + \dots + \dots$$

[Dimastrogiovanni, MF, Fujita]

$$P_\lambda^{\text{sourced}} \gtrsim P_\lambda^{\text{vacuum}}$$

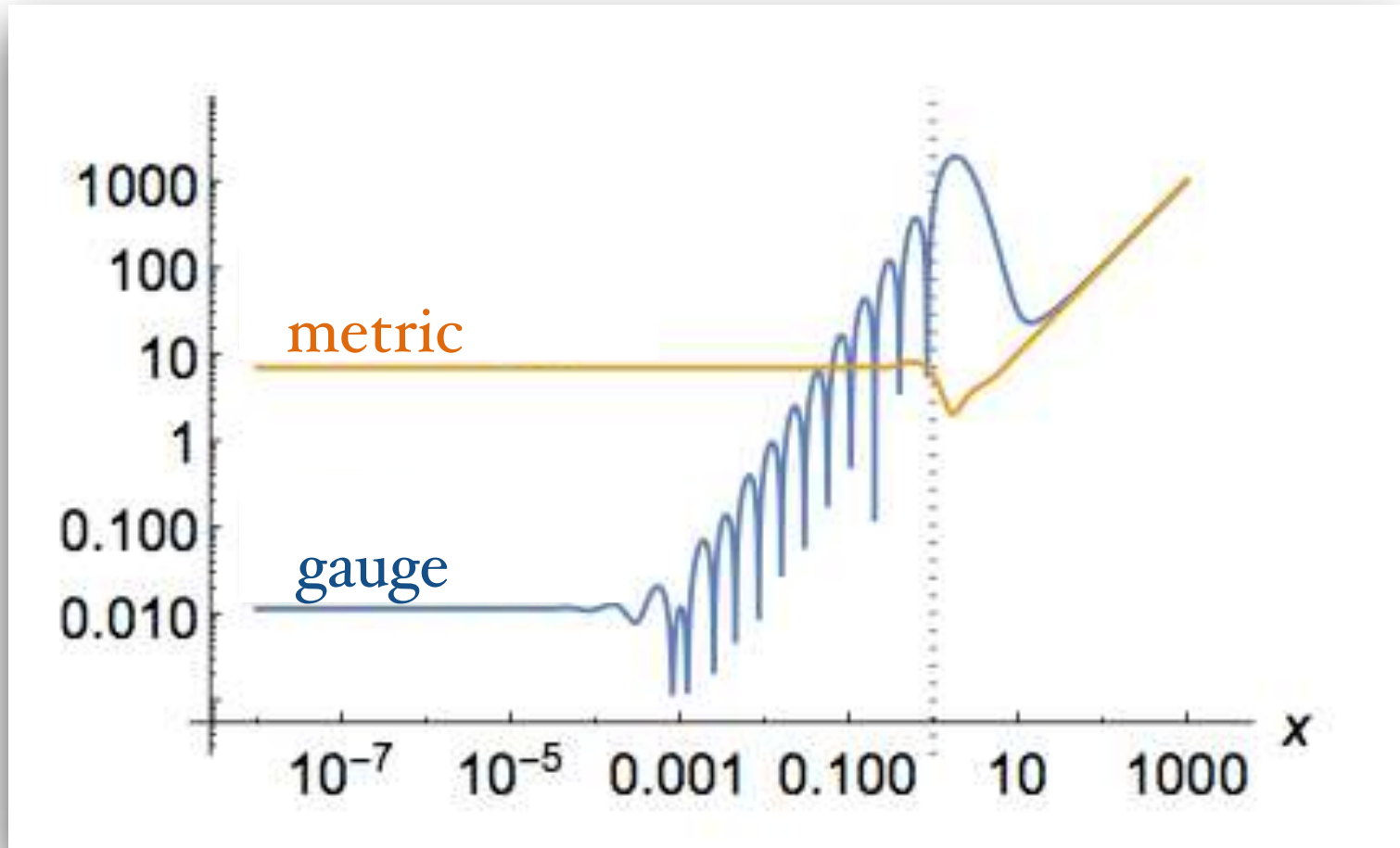
now possible!

$$\begin{cases}
\text{metric} & \Psi''_{R,L} + \left(1 - \frac{2}{x^2}\right) \Psi_{R,L} = \mathcal{O}^{(1)}(t_{R,L}) \\
\text{gauge} & t''_{R,L} + \left[1 + \frac{2m_Q \xi}{x^2} \mp \frac{2}{x} (m_Q + \xi)\right] t_{R,L} = \tilde{\mathcal{O}}^{(1)}(\Psi_{R,L})
\end{cases}$$

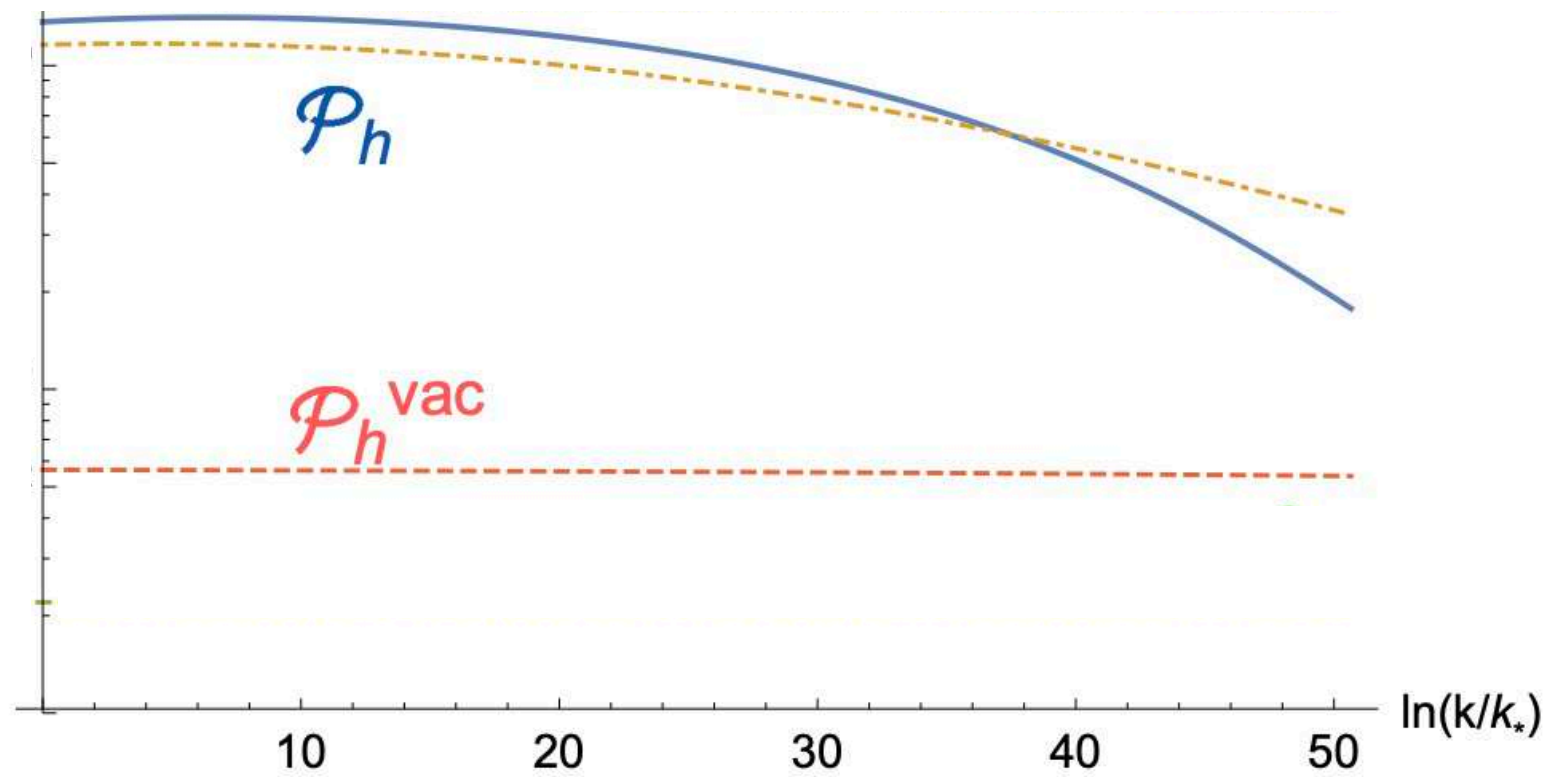
$$\xi = \frac{\lambda \dot{\chi}}{2fH}$$

$$x \sim -k\tau$$





# Slightly Bump-like GW Power Spectrum



# Chirality

(background +) Chern-Simons coupling  $\frac{\lambda\chi}{4f} F \tilde{F}$

$$\ddot{t}_{ij}^{L/R} \pm \lambda(\dots) t_{ij}^{L/R} + \dots = 0$$

$$\gamma_{ij}^L \neq \gamma_{ij}^R$$

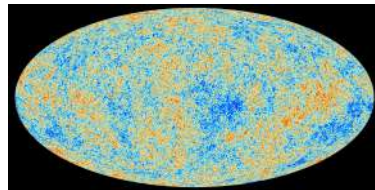
chiral spectrum

$$\mathcal{P}_\gamma^L \neq \mathcal{P}_\gamma^R$$

Testable at small scales via interferometers

{ cross-correlation @ different locations [Smith, Caldwell 2017]  
kinematically induced dipole [Seto 2006, Domcke et al 2019]

# Chirality



CMB tests

single-field  
slow-roll inflation

no chirality

$$\langle BT \rangle = 0 = \langle EB \rangle$$

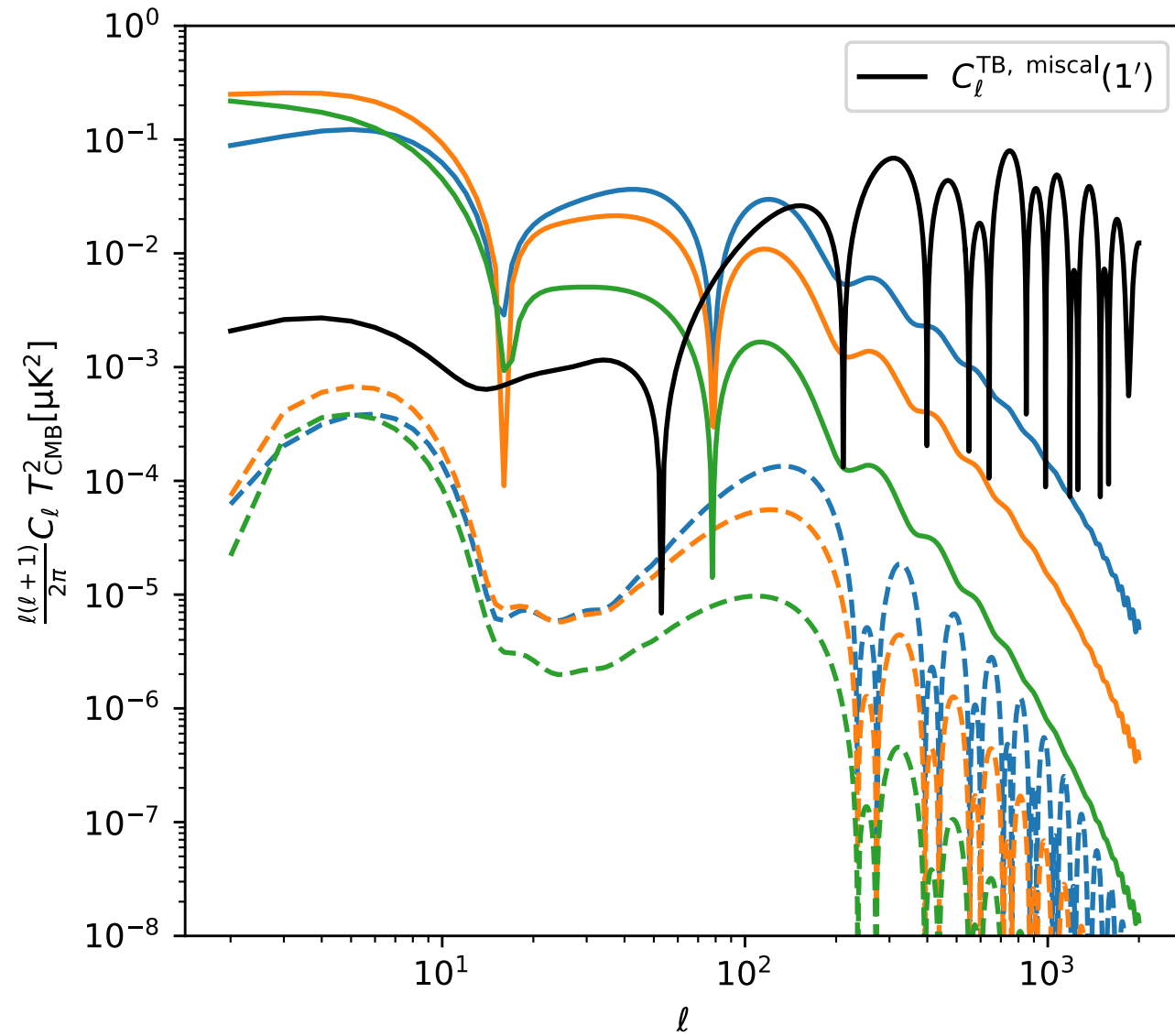
Chern-Simons  
coupling

chirality

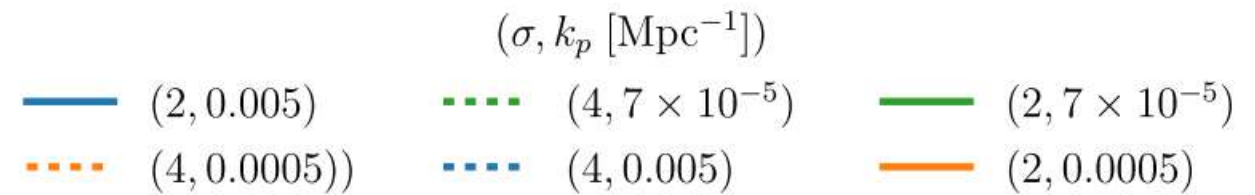
$$\langle BT \rangle \neq 0 \neq \langle EB \rangle$$

# Chirality

LiteBIRD



[Thorne, Fujita, Hazumi, Katayama, Komatsu, Shiraishi, 2017]

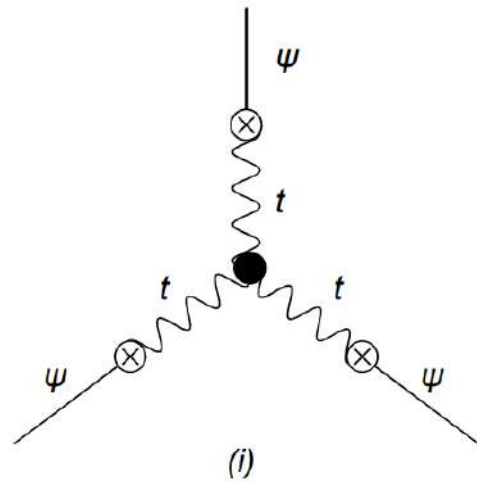


$$\mathcal{P}_h^{\text{sourced}} = r_* \mathcal{P}_\zeta \text{Exp} \left[ -\frac{1}{2\sigma^2} \ln^2 \left( \frac{k}{k_p} \right) \right]$$

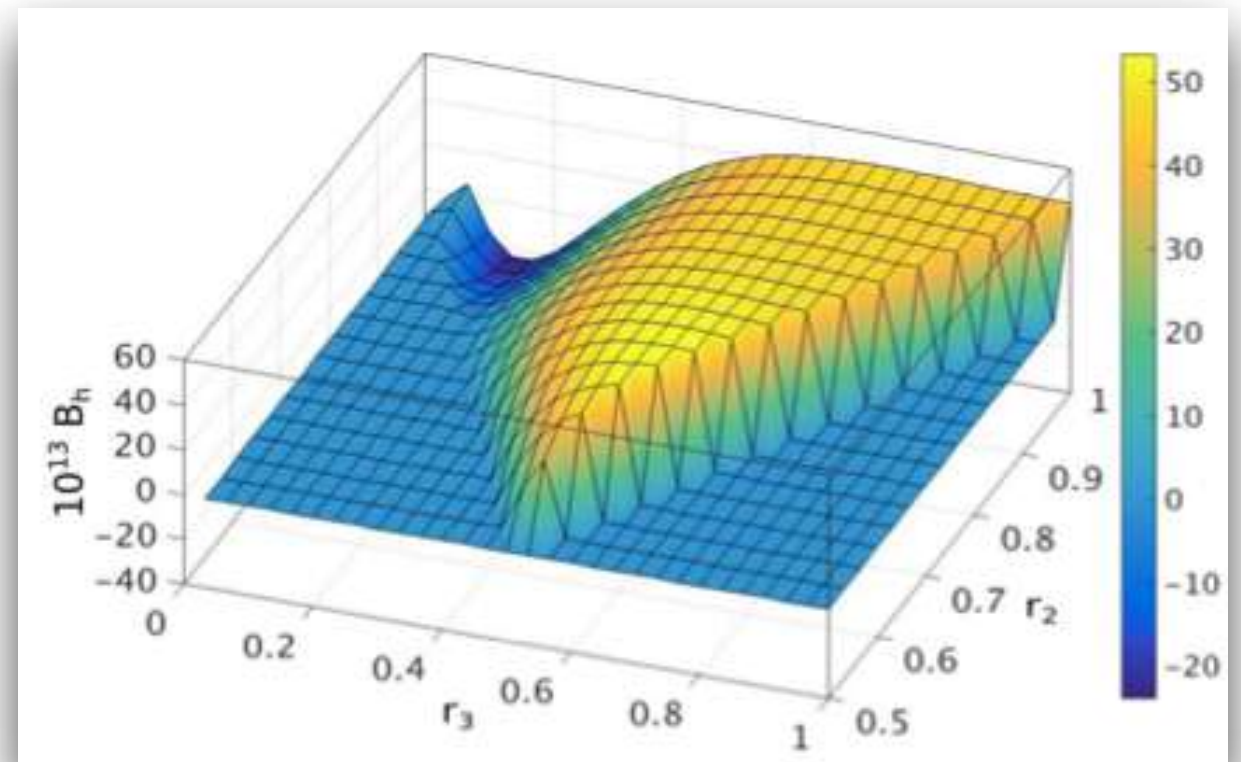
# non-Gaussianity (TTT)

[Agrawal - Fujita - Komatsu 2017]

$$\text{n-G} \quad \langle h_R(\vec{k}_1) h_R(\vec{k}_2) h_R(\vec{k}_3) \rangle = (2\pi)^3 \delta^{(3)} \left( \sum_{i=1}^3 \vec{k}_i \right) B_h(k_1, k_2, k_3)$$



$\Psi = \text{GW}$   
 $t = \text{tensor SU}(2)$



$m_Q = 3.45$   
 $\epsilon_B \simeq 10^{-5}$   
 $H \simeq 10^{13} \text{ GeV}$   
 $r_{\text{vac}} \simeq 0.002$   
 $r_{\text{sourced}} \simeq 0.04$

$$\frac{B_h}{P_\zeta^2} \lesssim r^2 10^6$$

sourced nG tensors  
 larger than in minimal SFSR

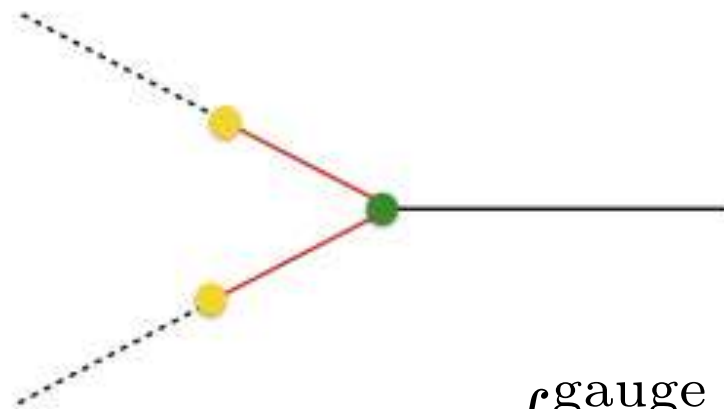
# non-Gaussianity (STT)

$$\langle \zeta \gamma \gamma \rangle$$

[Dimastrogiovanni, MF, Hardwick, Koyama, Wands]

[Fujita, Namba, Obata]

several channels (e.g. mixing terms between scalars)  
contribute to STT



$$f_{\text{NL}}^{\text{gauge}} \sim 10^3 r$$

# SCNI

$$\mathcal{L} \supset \mathcal{L}_{\text{inflaton}} - \frac{1}{4} F^2 + \frac{\lambda \chi}{4f} F \tilde{F} - (\partial \chi)^2 - U_{\text{axion}}(\chi)$$

completely agnostic  
on the potential

==>

SCNI?



# Higgsed Chromo

another way to rescue the model

[Adshead, Martinec, Sfakianakis, Wyman]

spontaneous breaking of the  $SU(2)$  gauge symmetry


Goldstones modes provide additional d.o.f.s

these contribute more to scalars, decrease  $r$

# Chromo + non-Minimal Coupling

- Mechanisms to slow the rolling without abandoning naturalness

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_{\text{Pl}}^2}{2} R - \frac{1}{2} \left( g^{\mu\nu} - \frac{G^{\mu\nu}}{M^2} \right) \partial_\mu \chi \partial_\nu \chi - V(\chi) - \frac{1}{4} F^{a\mu\nu} F_{\mu\nu}^a + \frac{\lambda \chi}{8f \sqrt{-g}} \epsilon^{\mu\nu\rho\sigma} F_{\mu\nu}^a F_{\rho\sigma}^a \right]$$

Einstein tensor  


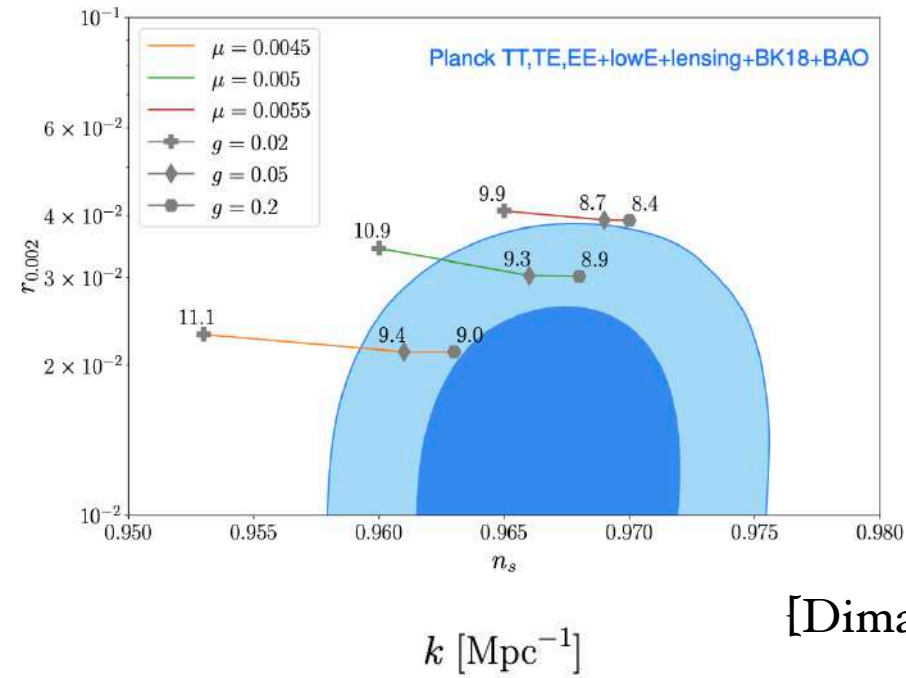
[Dimastrogiovanni, MF, Michelotti, Pinol 2023]



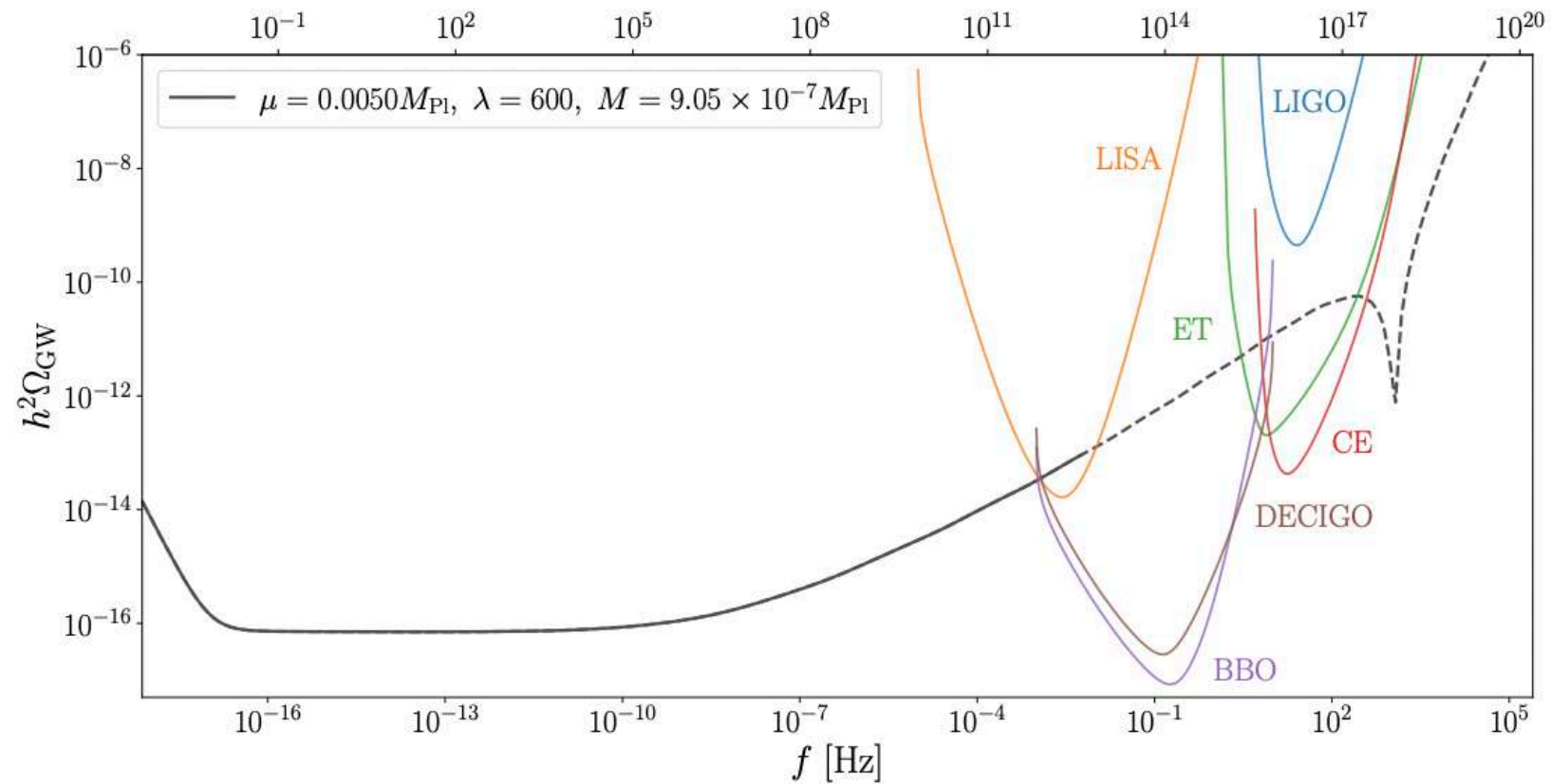
[see also Komatsu and Watanabe 2020]

more general coupling but w/o potential (kinetically driven)

# Chromo + non-Minimal Coupling



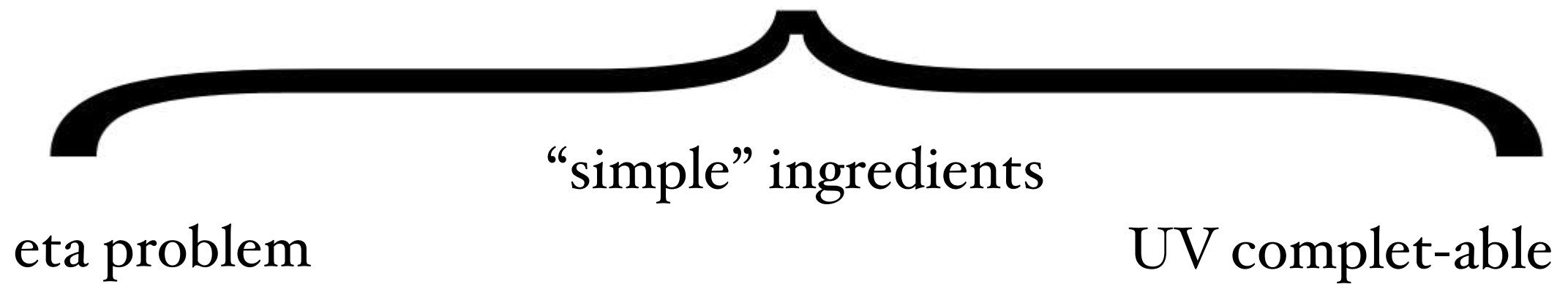
[Dimastrogiovanni, MF, Michelotti, Pinol 2023]



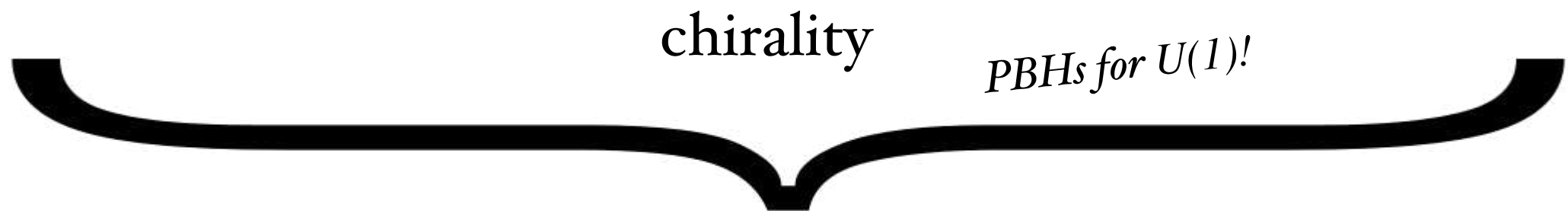
\* Optimistic with backreaction

# Appeal of axion-gauge field models

## Model Building



scale-dependence      non-Gaussianity



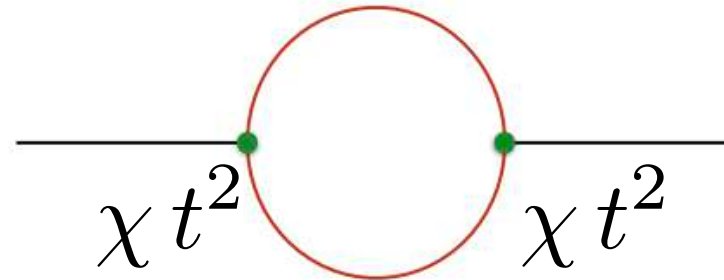
## Testing

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# Challenge I: Perturbativity

(SCNI case)



the same interaction enhancing sourced GW affects the 1-loop scalar PS

From  $P_{\zeta}^{\text{tree}} \gg P_{\zeta}^{1\text{-loop}}$  or at least  $P_{\zeta}^n \gg P_{\zeta}^{n+1}$  from a given  $n$  onwards

strong constraints on parameter space

====>

in SCNI sourced GW signal can be > vacuum but no more than 1 order of magnitude

[Dimastrogiovanni, MF, Hardwick, Assadullahi, Koyama, Wands 2018]

[Papageorgiou, Peloso, Unal 2018 & 2019]

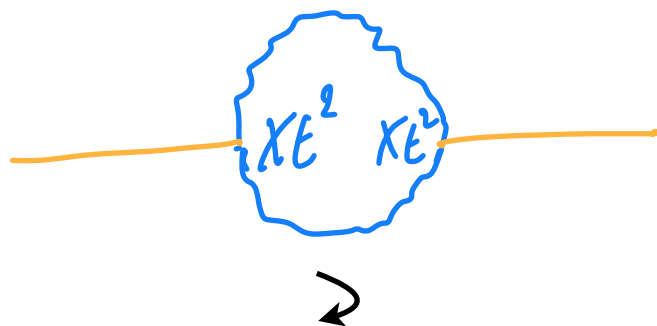
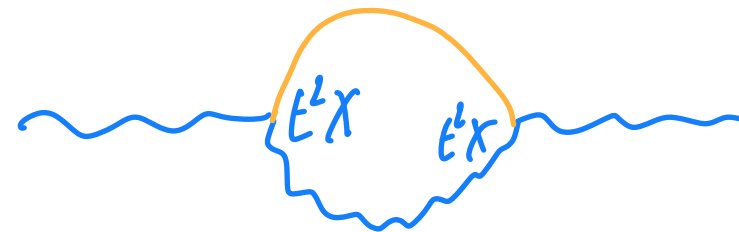
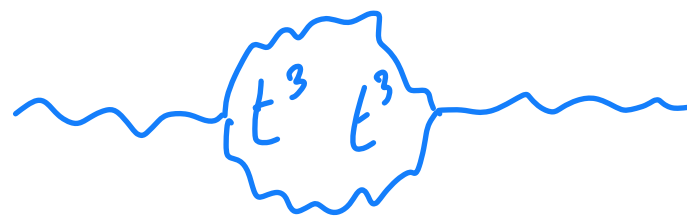
in similar context see also [Ferreira, Ganc, Noreña, Sloth 2015]

◆ (mostly CMB) bounds on non-Gaussianity play a similar role

# Challenge I: Perturbativity

(non-minimal CNI case)

Perturbativity and primordial non-Gaussianity bounds on all axion-gauge field models

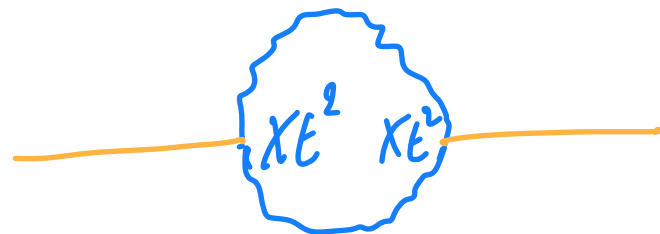
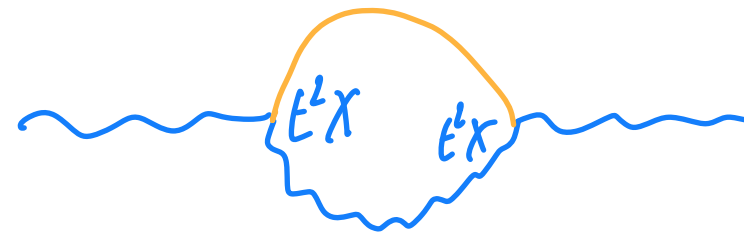
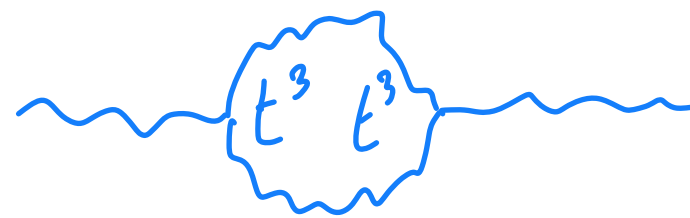


[Dimastrogiovanni, MF, Michelotti, Ozsoy, **to appear**]



# Challenge I: Perturbativity

Perturbativity and primordial non-Gaussianity bounds on all axion-gauge field models



[Dimastrogiovanni, MF, Michelotti, Ozsoy, **to appear**]



analogous diagram, just  $t_{ij} \leftrightarrow A_\mu$  to go from non-Abelian to Abelian

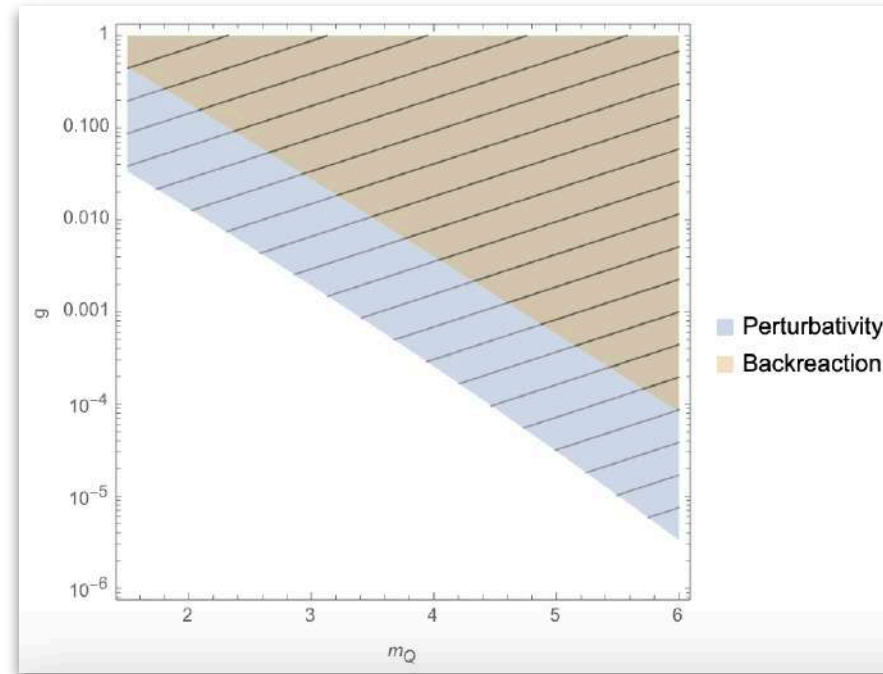


# Challenge I: Perturbativity

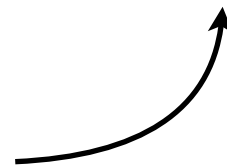
(non-Abelian case)



most stringent bound,  
largely insensitive to potential,  
indirectly dependent on  $\lambda$



backreaction via  
rough analytical estimate



universal behaviour in the non-Abelian case

[Dimastrogiovanni, MF, Michelotti, Ozsoy, **to appear**]



# Challenge II: Backreaction

(SCNI case)

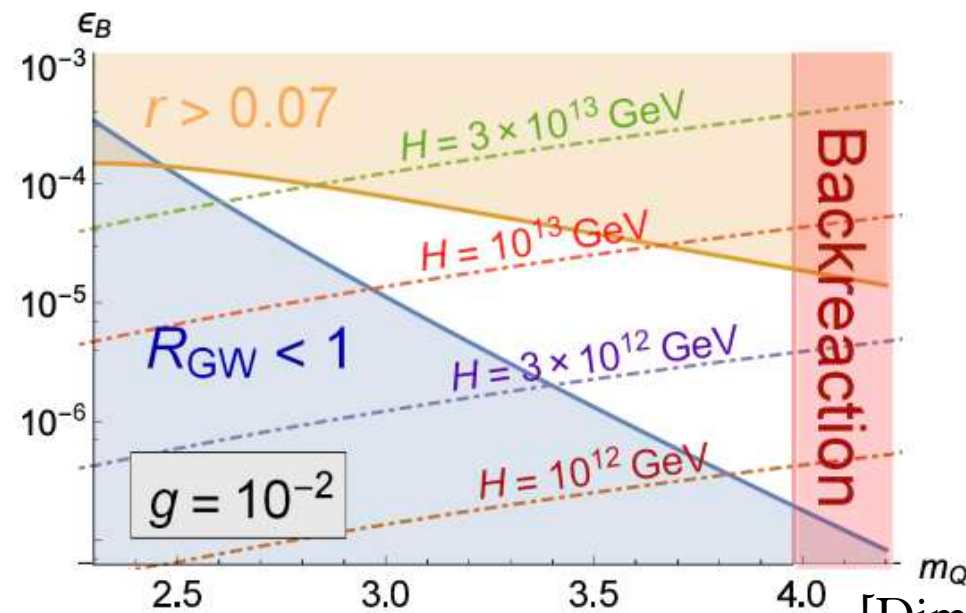
eom for the gauge field background

$$\ddot{Q} + 3H\dot{Q} + (\dot{H} + 2H^2)Q + 2g^2Q^3 = \frac{g\lambda}{f}\dot{\chi}Q^2 + \dots$$

(same goes for  $\chi$  e.o.m.)

fluctuations backreact on background ==> reduced regime of validity

$$\mathcal{T}_{BR}^Q \equiv \frac{g\xi}{3a^2}H \int \frac{d^3k}{(2\pi)^3} |t_R|^2 + \frac{g}{3a^2} \int \frac{d^3k}{(2\pi)^3} \frac{k}{a} |t_R|^2$$



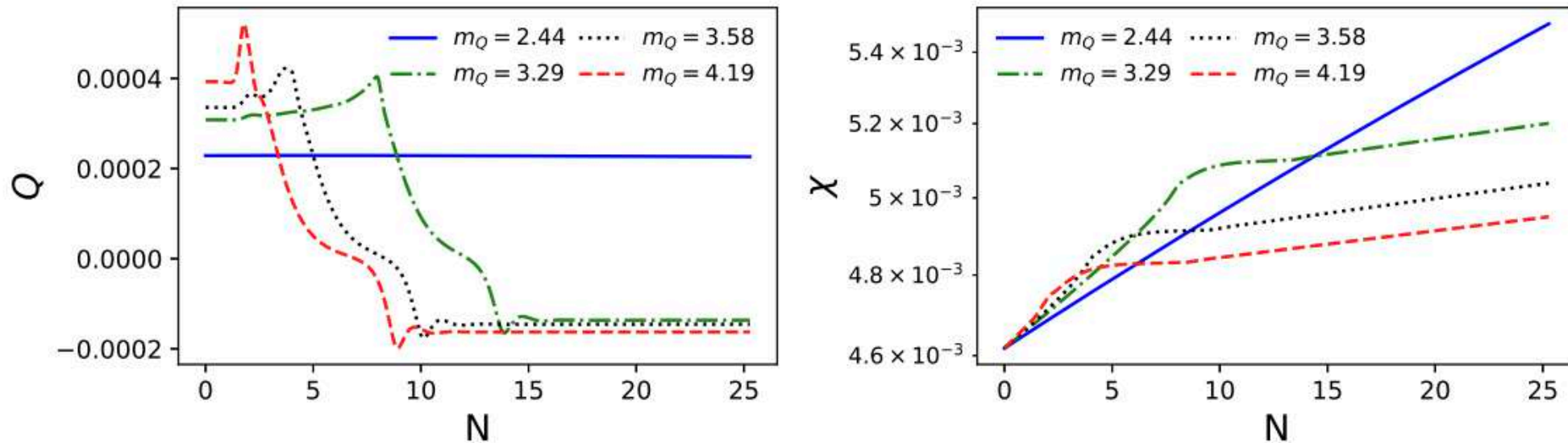
[Mirzagholi, Maleknejad, Lozanov 2020]

[Maleknejad, Komatsu 2018]

[Ishiwata, Komatsu, Obata 2021]

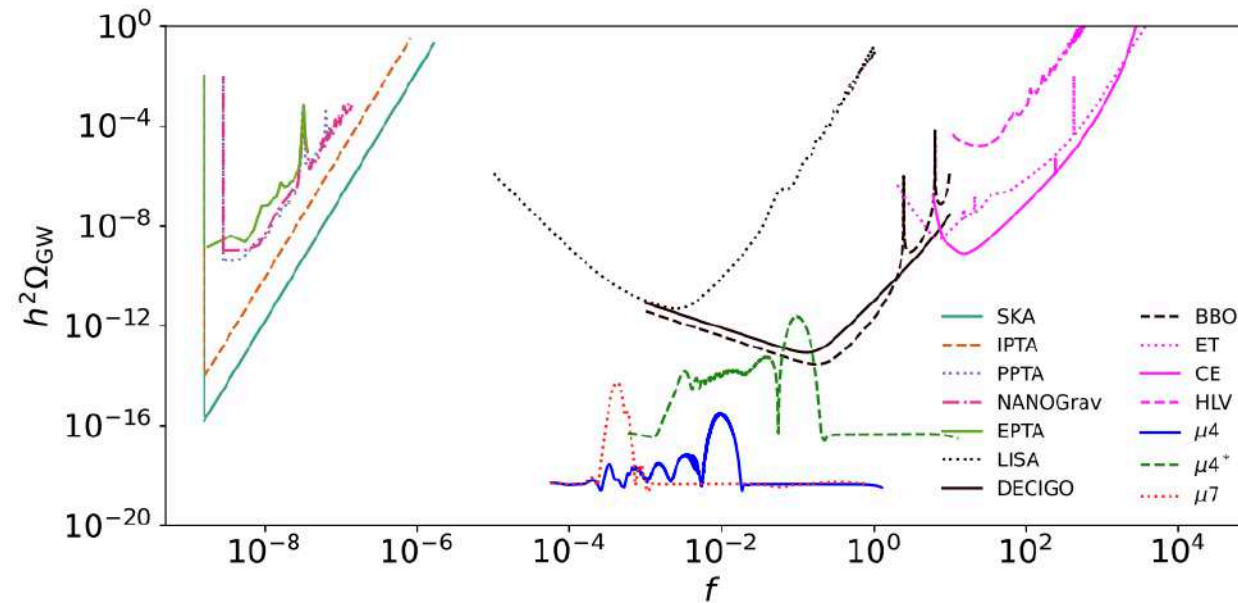
# Challenge II: Backreaction

SCNI case, very interesting recent numerical\* work



new attractor solution for  $Q$

[Iarygina, Sfakianakis, Sharma, Brandenburg 2023]



GW signatures

[Iarygina, Sfakianakis, Sharma, Brandenburg 2023]

\*  $\mathcal{T}_Q$  and  $\mathcal{T}_\chi$  and their interplay considered now, homogeneous inflaton background remains an assumption

see also [Ishiwata, Komatsu, Obata 2022]

full U(1) studied on the lattice  $\left\{ \begin{array}{l} \text{[Caravano, Komatsu, Lozanov, Weller x 3]} \\ \text{[Figueroa, Lizarraga, Urio, Urrestilla 2023]} \end{array} \right.$

# Challenge III: UV Completion

(SCNI case)

field content easily obtained, key is strength of CS interaction, i.e.  $\lambda$

interesting GW phenomenology requires  $\lambda > 100$

very hard to obtain in  
clockwork mechanisms

[Agrawal, Fan, Reece 2018]

[Kim, Nilles, Peloso 2004]

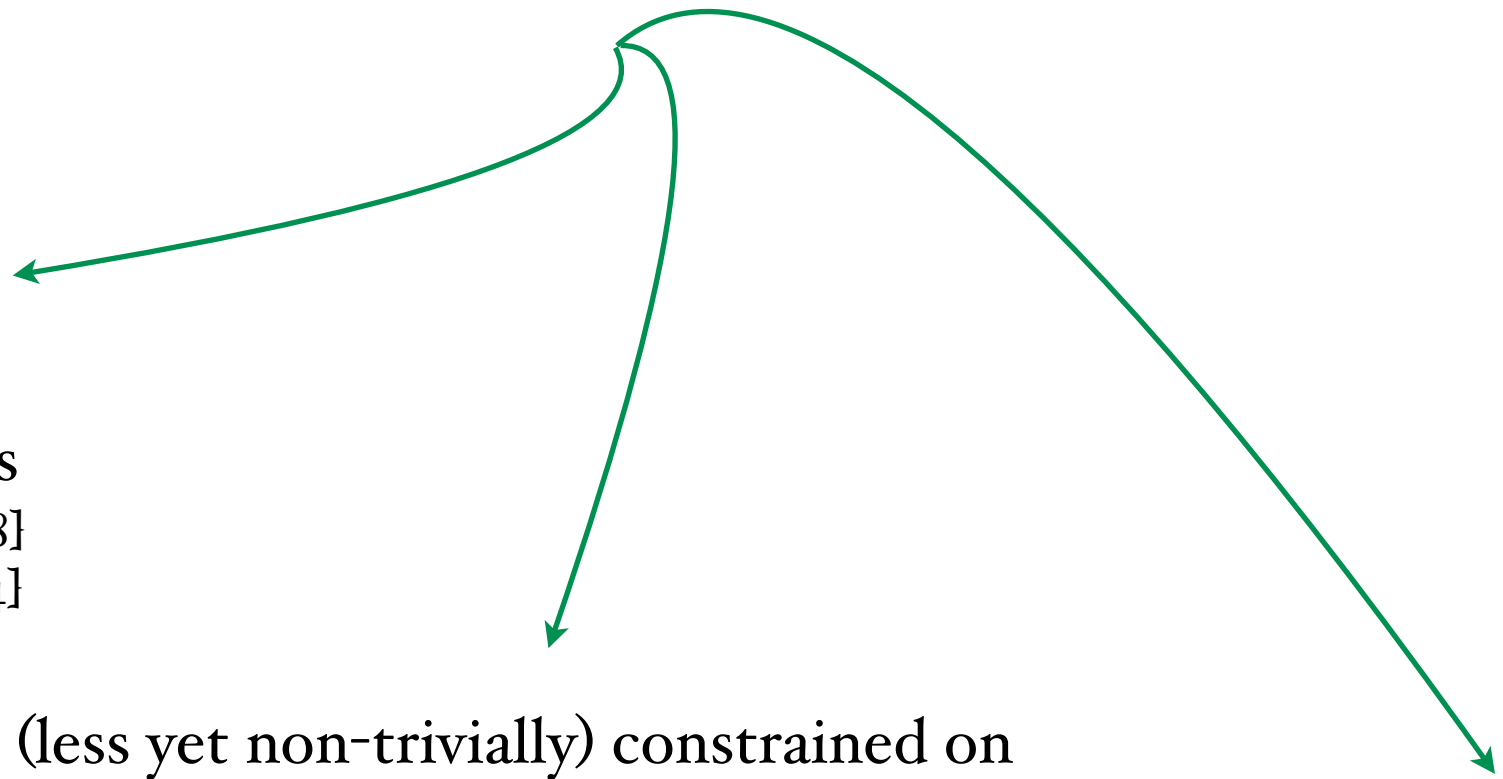
(less yet non-trivially) constrained on  
more general unitarity grounds

[Agrawal, Fan, Reece 2018]

[Bagherian, Reece, Xu 2022]

nevertheless possible  
in string theory

[Holland, Zavala, Tasinato 2020]



# Multiple Abelian Spectator Sectors

[Dimastrogiovanni, MF, Leedom, Putti, Westphal 2023]



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crucial caveat

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how well my collaborators understand the string theory part



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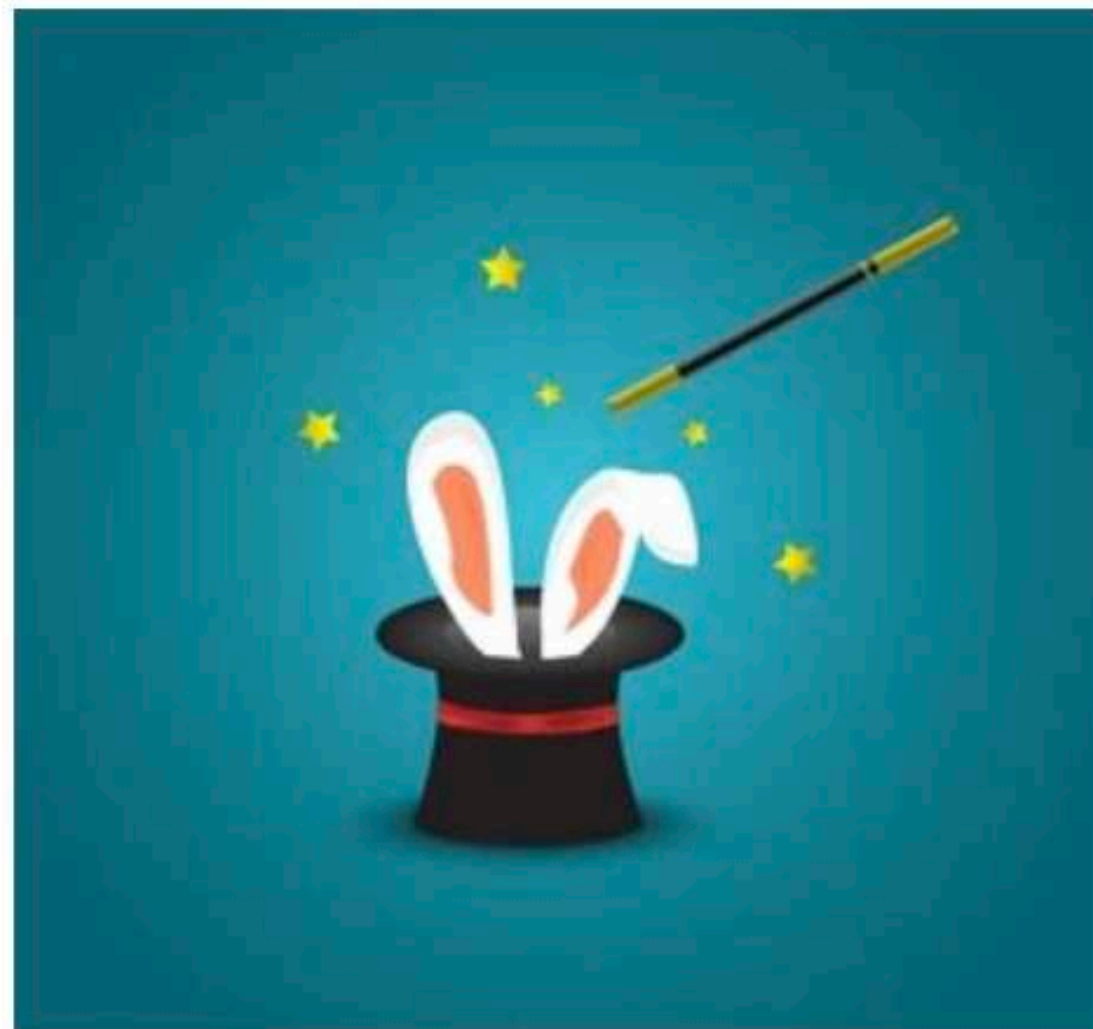


# Multiple Abelian Spectator Sectors

[Dimastrogiovanni, MF, Leedom, Putti, Westphal 2023]

crucial caveat

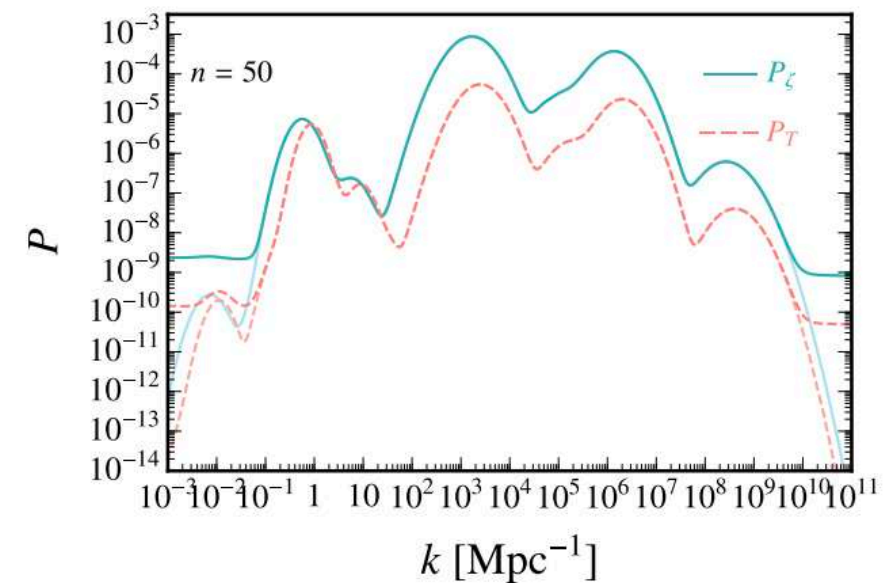
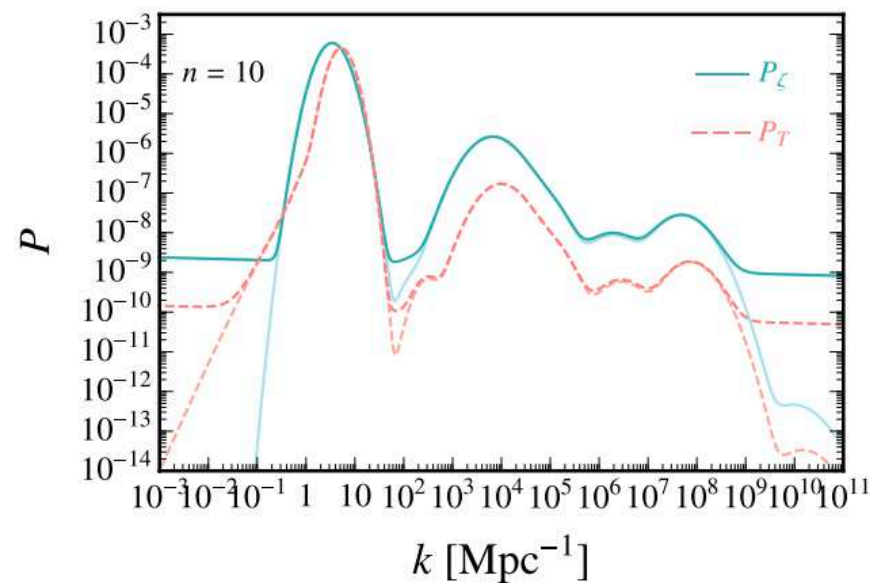
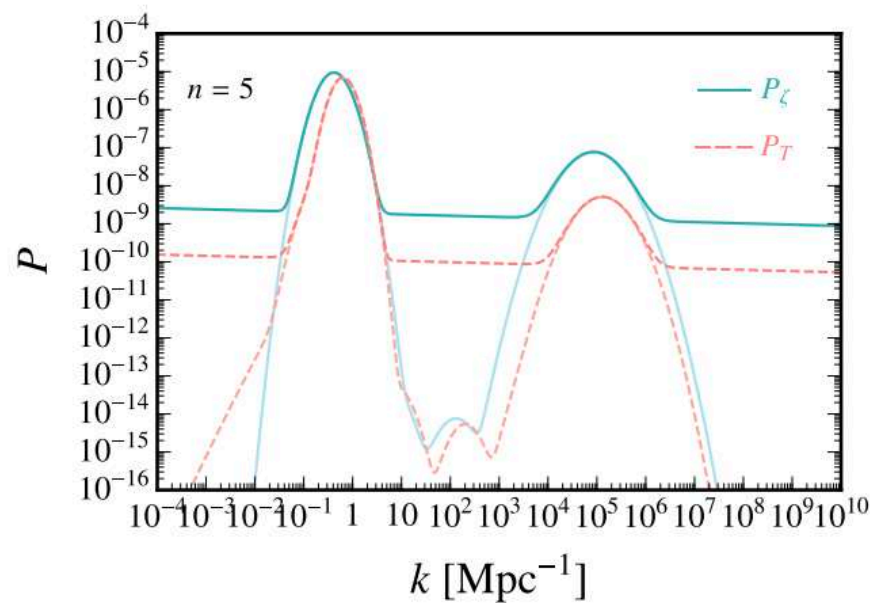
my level



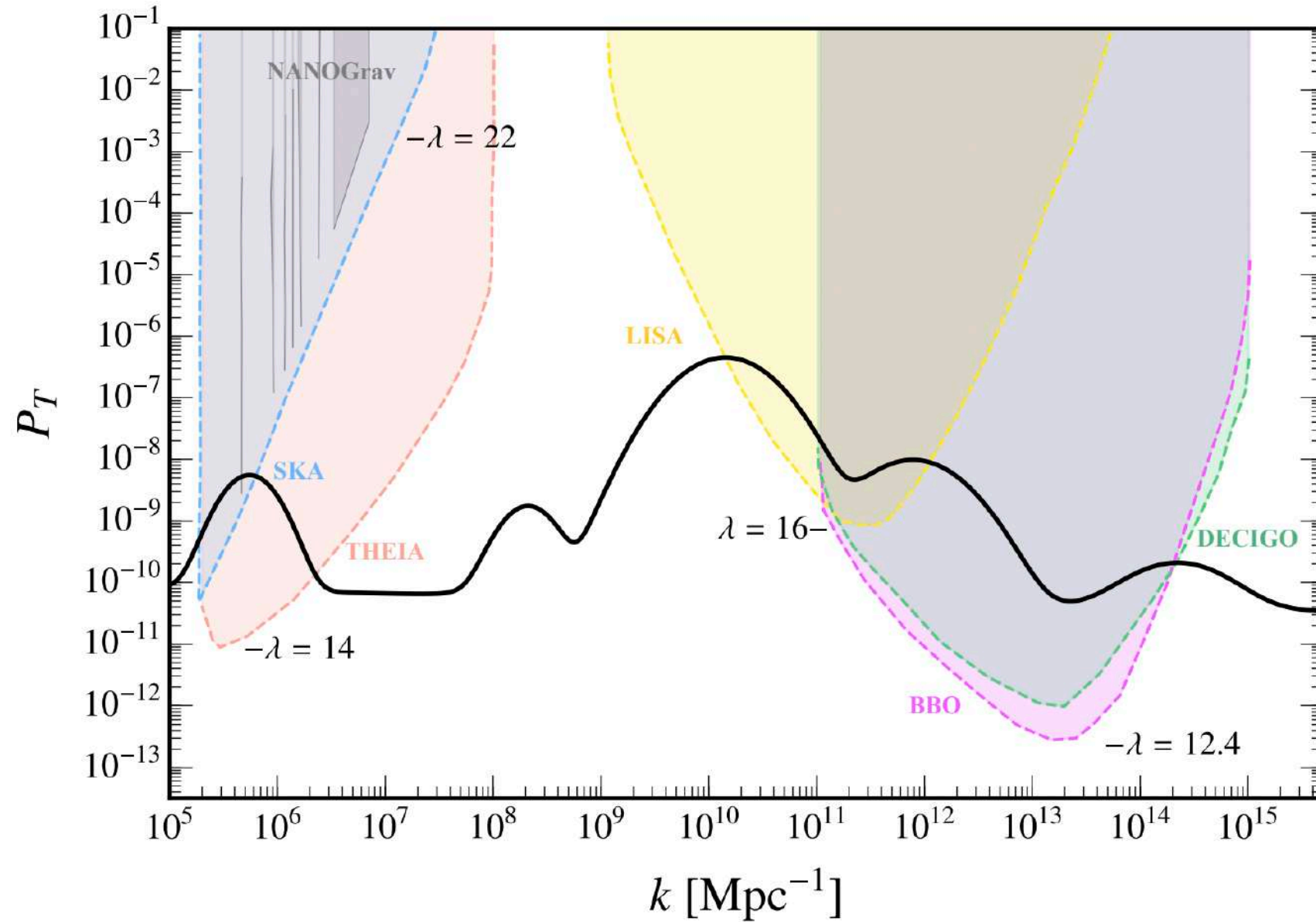
# Multiple Abelian Spectator Sectors

$$-\frac{1}{2}(\partial\varphi)^2 - V_{inf}(\varphi) - \frac{1}{4}F_{i\alpha\mu\nu}F_i^{a\mu\nu} - \frac{1}{2}(\partial\chi_i)^2 - V_{S_i}(\chi_i) - \frac{\lambda_i}{4f_i}\chi_i F_{i\alpha\mu\nu}\tilde{F}_i^{a\mu\nu}$$

scalar (blue) & tensor (red) fluctuations



# Multiple Abelian Spectator Sectors



10 fields, ad hoc initial conditions, Abelian case

# Naturalness of CS couplings is MASA models

phenomenological “needs”  
(\*caveat from backreaction!)

Abelian:  $\lambda \sim 20$

non-Ab:  $\lambda \sim \text{a few} \times \mathcal{O}(100)$

## **Abelian** case

detectable GW from  
orientifold-odd 2-form axion spectators

[Dimastrogiovanni, MF, Leedom, Putti, Westphal 2023]

## **non-Ab** case

$N \sim 10^5$  D7-branes (i.e. fine tuning)

[Holland, Zavala, Tasinato 2020]

Thank you!