

## Pseudospectrum of black holes and horizonless black hole mimickers

**Valentin Boyanov**

CENTRA, Departamento de Física, Instituto Superior Técnico – IST,  
Universidade de Lisboa

In collaboration with:

Vitor Cardoso, Kyriakos Destounis,  
Jose Luis Jaramillo, Rodrigo Panosso Macedo

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2312.11998 (also to appear in PRD)



**grit**  
gravitation in técnico

## Talk outline:

- QNM spectral instability and pseudospectrum
- Numerical convergence of the pseudospectrum, and what it can and cannot be used for
- QNMs in AdS: overtones and regularity class
- Dynamical instabilities: exotic compact objects

# QNMs as eigenvalues

Linear QNMs in spherical symmetry:

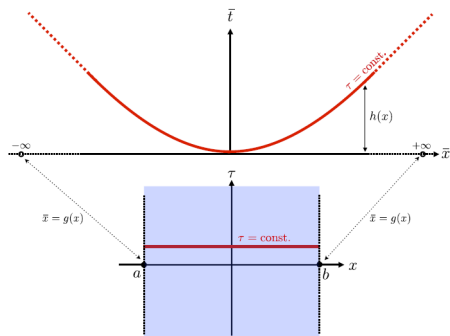
$$-\partial_{tt}\psi + \partial_{r^*r^*}\psi - V(r)\psi = 0. \quad (1)$$

Hyperboloidal coordinate system  $\{\tau, \chi\}$ ,  
order-reduction in time, Fourier  
transform: **eigenvalue problem**

$$L \begin{pmatrix} \psi \\ \phi \end{pmatrix} = \omega \begin{pmatrix} \psi \\ \phi \end{pmatrix}, \quad (2)$$

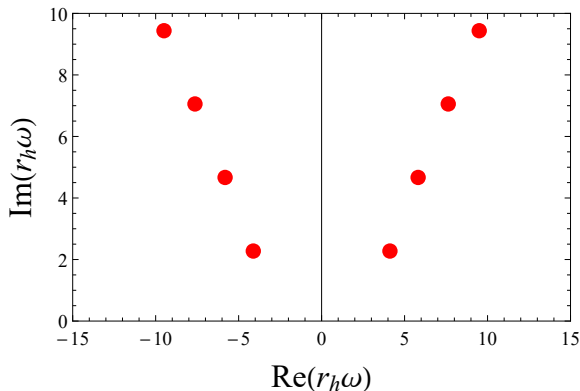
with  $\phi = \partial_\tau \psi$ , and

$$L = \frac{1}{i} \begin{pmatrix} 0 & 1 \\ L_1(\chi, \partial_\chi) & L_2(\chi, \partial_\chi) \end{pmatrix}. \quad (3)$$



From Jaramillo et al., *Phys. Rev. X* 11, 031003 (2021).

## QNMs: eigenvalues of $L$



QNM spectrum for a scalar field,  $\ell = 2$ , Schwarzschild-Anti-de Sitter (SAdS) with  $r_h = R_{AdS}$ .

# Perturbations to $L$

If we perturb  $L$ , the eigenvalues change.<sup>1</sup>

$$L \rightarrow \tilde{L} = L + \delta L,$$

Size of  $\delta L$ : energy norm.<sup>2</sup>

$$\langle u_1, u_2 \rangle_E = \frac{1}{2} \int_a^b (w(\chi) \bar{\phi}_1 \phi_2 + p(\chi) \partial_\chi \bar{\psi}_1 \partial_\chi \psi_2 + q(\chi) \bar{\psi}_1 \psi_2) d\chi.$$

For  $\|\delta L\| = \epsilon$ , if the migration of any of the QNMs  $|\tilde{\omega}_n - \omega_n|$  is greater than  $\epsilon$ , then the operator  $L$  is **spectrally unstable** in this norm.

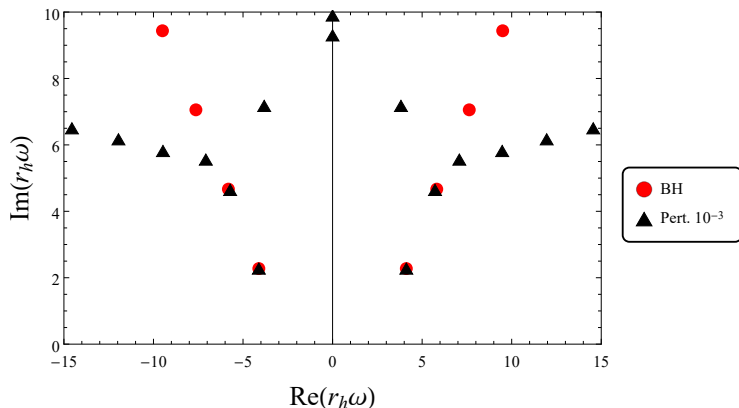
We consider a “physical” perturbation one which affects only the potential  $V$  (without changing its boundary behaviour).

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<sup>1</sup>Jaramillo et al., *Phys. Rev. X* 11, 031003 (2021).

<sup>2</sup>Gasperin & Jaramillo, *Class. Quantum Grav.* 39 115010 (2022).

# QNM instability



Scalar field,  $\ell = 2$ , Schwarzschild-Anti-de Sitter (SAdS) with  $r_h = R_{AdS}$ .  
Spectrum before and after a perturbation  $\delta \tilde{V} = \varepsilon \sin(2\pi k \chi)$ .

# Pseudospectrum

The QNM spectrum is given by

$$\sigma(L) = \{\omega \in \mathbb{C} : |L - \omega\mathbb{I}| = 0\}. \quad (4)$$

The  $\epsilon$ -pseudospectrum is

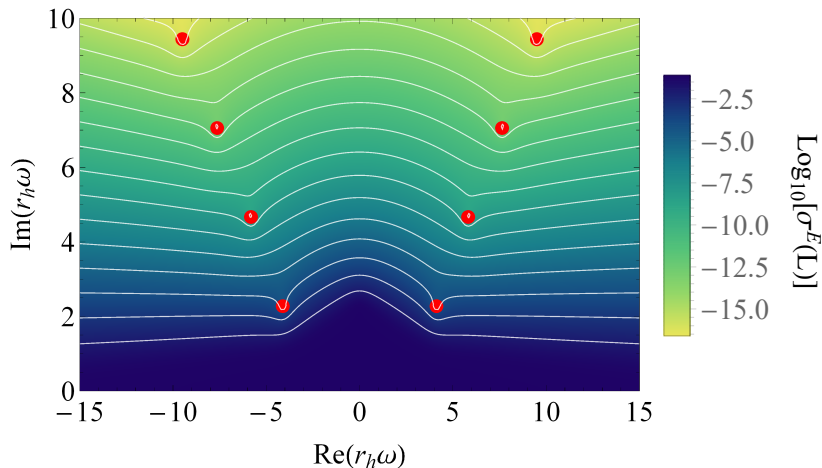
$$\sigma^\epsilon(L) = \{\lambda \in \mathbb{C} : \|R_L(\lambda)\| = \|(L - \lambda\mathbb{I})^{-1}\| > 1/\epsilon\}, \quad (5)$$

where  $R_L(\lambda)$  is called the *resolvent*. An equivalent definition is

$$\sigma^\epsilon(L) = \{\lambda \in \mathbb{C}, \exists \delta L, \|\delta L\| < \epsilon : \lambda \in \sigma(L + \delta L)\}, \quad (6)$$

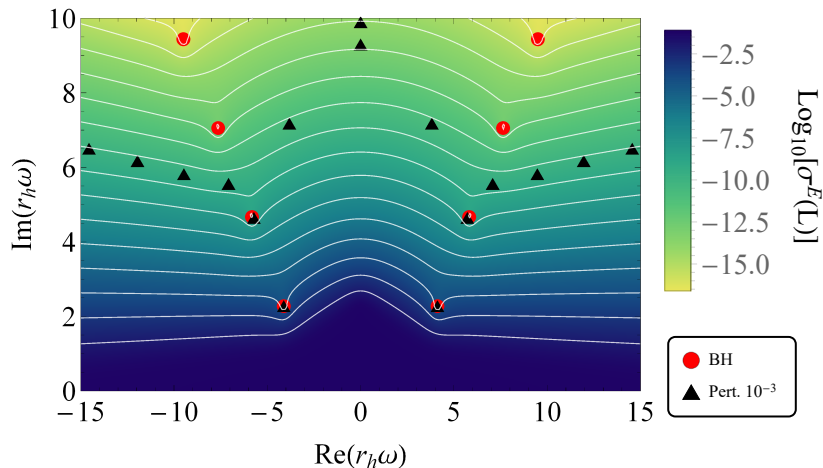
where  $\delta L$  is any perturbation to  $L$ .

# Pseudospectrum



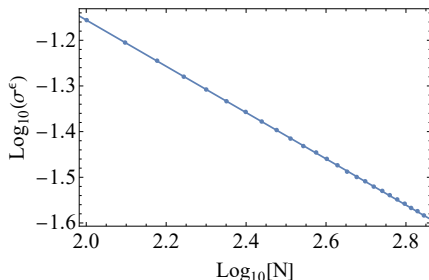


# Pseudospectrum



Pseudospectrum calculated with  $\|R_L(\lambda)\|$ , and perturbed modes after  $\delta L$ .

## (Non-)convergence of the pseudospectrum



Energy norm of (inverse of) resolvent operator at  $\lambda = 2 + 0.5i$  (for same SAdS problem as above) as function of numerical resolution  $N$ . Similar whenever  $\text{Im}(\lambda) > 0$ .

However, qualitative aspects of the QNM are captured at finite  $N$ .

→ Problem approximating  $L$  with finite-rank matrices?

→ All points  $\lambda$  where  $\|R_L(\lambda)\|$  tends to diverge are QNMs?

# Way forward

- Analyse convergence with the numerical gridpoint number  $N$ .
- Understand the reason behind non-convergence.
- Determine when and how quantitative results can be obtained.
- Analyse qualitative aspects and robustness of the non-convergent result.
- Obtain quantitative results from the  $\text{Im}(\lambda) < 0$  region (early-time non-modal dynamical effects, nonlinear instabilities).

[e.g. Boyanov, Cardoso, Destounis, Jaramillo, Panosso-Macedo: arXiv:2312.11998]

[e.g. Boyanov, Cardoso, Destounis, Jaramillo, Panosso-Macedo: Phys.Rev.D 107 (2023) 6, 064012]

## AdS QNMs: a precise definition

- In a Sobolev functional space  $H^k$ , the spectrum of  $L$  (for the SAdS BH) is only discrete in the region<sup>3</sup>

$$\text{Im}(\lambda) < a + \kappa\left(k - \frac{1}{2}\right), \quad (7)$$

where  $\kappa$  is the surface gravity of the BH horizon, and  $a$  is a constant.

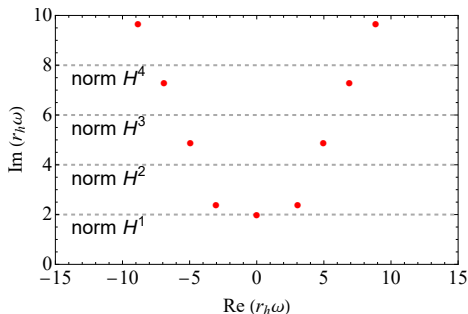
- Above this band, all points are part of the spectrum.
- Because part of the spectrum is always continuous,  $L$  in  $H^k$  is never a compact operator.
- $L$  might not be well approximated by finite-rank matrices.
- Still, improved convergence behaviour might be found in some region of  $\mathbb{C}$  if we impose higher regularity.

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<sup>3</sup>C. Warnick, *Commun. Math. Phys.* 333, 959–1035 (2015).

# $H^k$ norms and convergence

- In practice, the way to ensure the function space is  $H^k$  is through the norm, increasing the number of derivatives.



Boyanov, Cardoso, Destounis, Jaramillo, Panosso-Macedo, arXiv:2312.11998

# Stability analysis

On the other hand, we can obtain results from the region in  $\mathbb{C}$  where the resolvent norm does converge ( $\text{Im}(\lambda) < 0$ ).

- Systems prone to instabilities tend have long-lived modes.
- The evolution of  $\psi$  dictated by  $L$  is not a superposition of QNMs.
- Non-modal transient effects are captured by the pseudospectrum.<sup>4</sup>

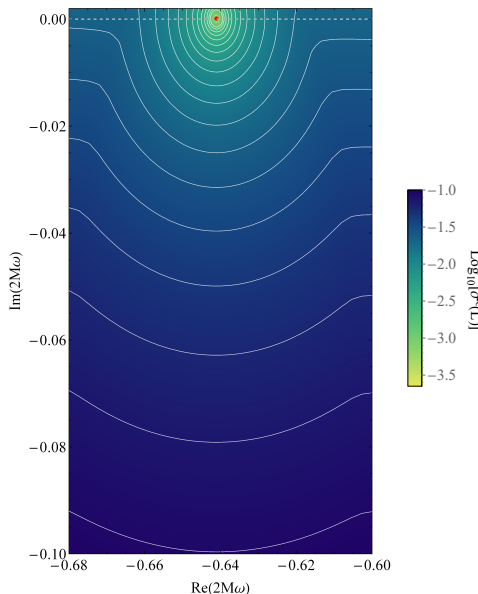
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<sup>4</sup>Jaramillo, *Class.Quant.Grav.* 39 (2022) 21, 217002

# Exotic compact objects

Example: ultracompact object (reflective surface at  $(1 + 10^{-3})2M$ , asymptotically flat).

- Pseudospectral abscissa and transient growth (no).
- Large  $\|R_L\|$  on the real axis: pseudoresonances.



# Conclusions

- QNMs are unstable to perturbative changes of the background.
- The pseudospectrum results capture this instability qualitatively, but the quantitative aspects still need to be refined.
- The pseudospectrum can also be used to study transient non-modal aspects of evolution.

Thank you for your attention!