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Post-Newtonian approximations in higher- order scalar-tensor theories

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Based on work with [arXiv:2402.10459]

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& Introduction

- ❖ There are many modified gravity theories.
e.g.) Horndeski theory, DHOST theory, Spatially covariant theory, etc
- ❖ We would like to obtain a gravitational theory which is consistent with our universe.
 - We need to constrain a gravitational theory with experiments.
 - Convenient parametrizations to see the consistency with experiments:

PPN parameters

$$\gamma^{\text{PPN}}, \beta^{\text{PPN}}, \alpha_{1,2,3}^{\text{PPN}}, \zeta_{1,2,3,4}^{\text{PPN}}, \xi^{\text{PPN}}$$

EFT of DE parameters

$$M, \alpha_T, \alpha_H, \alpha_L, \beta_1, \beta_2, \beta_3$$

Our aims are to obtain all the PPN parameters

and to get the relation between these parameters.

❖ U-DHOST theory

(A. De Felice, et al arXiv: 1803.06241)

❖ Action

$$\phi_\mu := \nabla_\mu \phi, \quad \phi_{\mu\nu} := \nabla_\mu \nabla_\nu \phi, \quad X := -\phi^\mu \phi_\mu / 2, \quad ' := \partial / \partial X$$

$$S_{\text{grav}} = \int d^4x \sqrt{-g} [P(\phi, X) + f(\phi, X)\mathcal{R} + A_1(\phi, X)\phi_{\mu\nu}\phi^{\mu\nu} + A_2(\phi, X)(\square\phi)^2 + A_3(\phi, X)\phi^\mu\phi_{\mu\nu}\phi^\nu\square\phi \\ + A_4(\phi, X)\phi^\mu\phi_{\mu\nu}\phi^{\nu\rho}\phi_\rho + A_5(\phi, X)(\phi^\mu\phi_{\mu\nu}\phi^\nu)^2]$$

$$A_1 = a_1 - \frac{f}{2X}, \quad A_2 = a_2 + \frac{f}{2X}, \quad A_3 = \frac{f}{2X^2} - \frac{f'}{X} + 2a_1a_3 + 2 \left(3a_3 + \frac{1}{2X} \right) a_2,$$

$$A_4 = a_4 + \frac{f'}{2X} - \frac{f}{2X^2} + \frac{a_1}{X}, \quad A_5 = \frac{a_4}{2X} - \frac{f'}{4X^2} + a_1 \left(\frac{1}{4X^2} + 3a_3^2 + \frac{a_3}{X} \right) + a_2 \left(3a_3 + \frac{1}{2X} \right)^2,$$

- It satisfies degeneracy conditions under the unitary gauge.

(Quadratic) U-DHOST theory

Khronometric theory

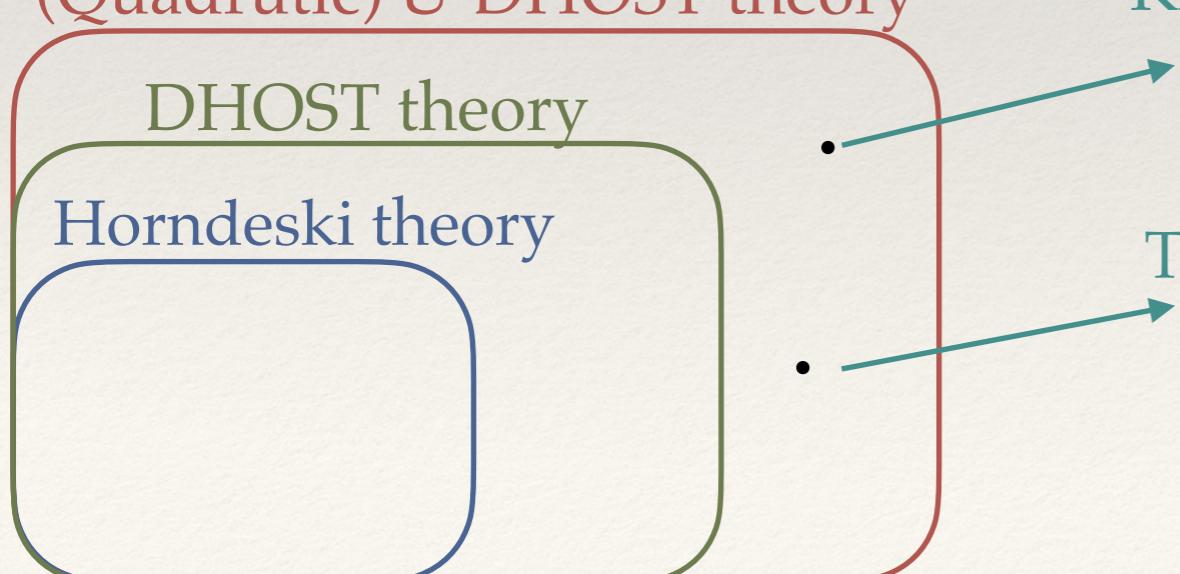
(D. Blas, et al, arXiv:0906.3046)

DHOST theory

Horndeski theory

TTDOF theory

(X. Gao, et al, arXiv:1806.02811)



EFT of DE parameters + extra

(E. Bellini, et al, arXiv:1404.3713) (D. Langlois, et al, arXiv:1703.03797)

❖ EFT of DE parameters ($M, \alpha_T, \alpha_H, \alpha_L, \beta_1, \beta_2, \beta_3$):

- It is introduced in the effective field theory (EFT) of dark energy (DE).
- Convenient for classifying and characterizing the scalar-tensor theory.
- Physical significance of each parameter:

e.g.) $c_{\text{GW}}^2 = 1 + \alpha_T, \quad M^{-2} = 8\pi G_{\text{GW}} c_{\text{GW}}^2$

❖ additional parameters (δ_1, δ_2):

$$M^2 \delta_1 := 2X(f - 2Xf')', \quad M^2 \delta_2 := -8X[X(f' + A_1 - XA_4)]'$$

& About α_L

$$\alpha_L = 0$$

Horndeski theory
Ia DHOST theory

$$\alpha_L \neq 0$$

Khronometric theory
TTDOF theory

The Vainshtein mechanism works.

The Vainshtein mechanism does **not** work.



✓ The PPN formalism

- ❖ We consider only the case of $\alpha_L \neq 0$.
- ❖ Our analysis does **not** include Horndeski and Ia DHOST theory.

& Energy-momentum tensor

- Assuming the perfect fluids:

$$T^{\mu\nu} = [\rho + \rho\Pi + p] u^\mu u^\nu + p g^{\mu\nu}$$

↑
rest mass energy ↓
internal energy

four-velocity $u^\mu := (u^0, u^0 \vec{v})$
↓
isotropic pressure

- Energy conservation law: $\nabla_\mu T^{\mu\nu} = 0$

- Baryon number density conservation:

$$\nabla_\mu(\rho u^\mu) = 0 \Rightarrow \partial_t \rho^* + \partial_i (\rho^* u^i) = 0$$

↑
conserved mass density
 $\rho^* := \rho \sqrt{-g} u^0$

- Post-Newtonian bookkeeping:

Bookkeeping parameter: $\epsilon \ll 1$

$$U \sim v^2 \sim p/\rho \sim \Pi \sim \mathcal{O}(\epsilon^2), \quad \partial_t \sim \mathcal{O}(\epsilon) \partial_i$$

↓

Newtonian gravitational potential $\Delta U = -4\pi G_N \rho^* \Leftrightarrow U = \int d^3x' \frac{\rho^*(t, \vec{x}')}{|\vec{x} - \vec{x}'|}$

PPN formalism

(C. M. Will, Cambridge University Press, 2011)

- ❖ Convenient for verifying the consistency with solar-system tests

- ❖ It is characterized by

 - The PPN parameters: $\gamma^{\text{PPN}}, \beta^{\text{PPN}}, \alpha_{1,2,3}^{\text{PPN}}, \zeta_{1,2,3,4}^{\text{PPN}}, \xi^{\text{PPN}}$

 - The gravitational constant: G_N

- ❖ PN expansions (standard PPN gauge):

$$g_{00} = -1 + 2U + 2(\psi - \beta^{\text{PPN}} U^2) \epsilon^4 + \mathcal{O}(\epsilon^6),$$

$$g_{0i} = B_i \epsilon^3 + \mathcal{O}(\epsilon^5),$$

$$g_{ij} = (1 + 2\gamma^{\text{PPN}} U \epsilon^2 + 2C \epsilon^4) \delta_{ij} + \mathcal{O}(\epsilon^6),$$

$$\phi = q(t + \underline{\gamma^{\text{PPN}} \dot{\chi} \epsilon^3}) + \mathcal{O}(\epsilon^5)$$

Leading correction $\sim \mathcal{O}(\epsilon^3)$

unitary gauge: $\phi = qt$
 $t \rightarrow t + \lambda_1 \dot{\chi}$
 $\sim \mathcal{O}(\epsilon^3)$

standard PPN gauge

- Linear combination of the PPN potentials ($U, \chi, V_i, \Phi_{1,2,3,4,6,W}$)

e.g.) $\Delta\Phi_1 = -4\pi G_N \rho^* v^2, \quad \Delta\Phi_2 = -4\pi G_N \rho^* U, \dots$

Calculations of the PPN parameters

❖ Outline for determining the PPN parameters:

1. Expand the field equations $\mathcal{E}_{\mu\nu} = T_{\mu\nu}$:

$$\mathcal{E}_{\mu\nu} = \mathcal{E}_{\mu\nu}^{(0)} + \mathcal{E}_{\mu\nu}^{(2)}\epsilon^2 + \mathcal{E}_{\mu\nu}^{(3)}\epsilon^3 + \mathcal{E}_{\mu\nu}^{(4)}\epsilon^4 + \mathcal{O}(\epsilon^5), \quad T_{\mu\nu} = T_{\mu\nu}^{(0)} + T_{\mu\nu}^{(2)}\epsilon^2 + T_{\mu\nu}^{(3)}\epsilon^3 + T_{\mu\nu}^{(4)}\epsilon^4 + \mathcal{O}(\epsilon^5)$$

2. Compare the coefficients of each potential in LHS with that in RHS

e.g.) $\mathcal{E}_{00} = \mathcal{A}_1 \Delta \Phi_1 + \mathcal{A}_2 \Delta \Phi_2, \quad T_{00} = \mathcal{B}_1 \Phi_1 \Rightarrow \begin{cases} \mathcal{A}_1 = \mathcal{B}_1 \\ \mathcal{A}_2 = 0 \end{cases}$

We investigate the equations at each order:

- $\mathcal{O}(\epsilon^2) \rightarrow \mathcal{E}_{00}^{(2)} = T_{00}^{(2)}, \quad \delta^{ij} \mathcal{E}_{ij}^{(2)} = \delta^{ij} T_{ij}^{(2)}$
- $\mathcal{O}(\epsilon^3) \rightarrow \mathcal{E}_{0i}^{(3)} = T_{0i}^{(3)}$
- $\mathcal{O}(\epsilon^4) \rightarrow \mathcal{E}_{00}^{(4)} = T_{00}^{(4)}, \quad \delta^{ij} \mathcal{E}_{ij}^{(4)} = \delta^{ij} T_{ij}^{(4)}$

♾️ Results

$$8\pi G_N = \left[\frac{2(1 + \alpha_T)}{2(1 + \alpha_H)^2 - (1 + \alpha_T)\beta_3} \right] \frac{1}{M^2}, \quad \gamma^{\text{PPN}} = \frac{1 + \alpha_H}{1 + \alpha_T}, \quad \text{--- } \mathcal{O}(\epsilon^2)$$

$$\alpha_1^{\text{PPN}} = 4 \left[\frac{2(1 + \alpha_H)^2}{1 + \alpha_T} - \frac{1 + \alpha_H}{1 + \alpha_T} - 1 - \beta_3 \right], \quad \text{--- } \mathcal{O}(\epsilon^3)$$

$$\beta^{\text{PPN}} = \frac{4\gamma^{\text{PPN}} [c_{\text{GW}}^2 \gamma^{\text{PPN}} (1 + \gamma^{\text{PPN}}) + 2\delta_1] - \beta_3 (3 + \gamma^{\text{PPN}}) - 2\delta_2}{4 [2c_{\text{GW}}^2 (\gamma^{\text{PPN}})^2 - \beta_3]}, \quad \text{--- } \mathcal{O}(\epsilon^4)$$

$$\alpha_2^{\text{PPN}} = \frac{3 [2(\gamma^{\text{PPN}} + \beta_1) - 2c_{\text{GW}}^2 (\gamma^{\text{PPN}})^2 - \beta_3]^2}{2 [2c_{\text{GW}}^2 (\gamma^{\text{PPN}})^2 - \beta_3]} \left(\frac{1}{\alpha_L} + 1 \right) - 1 + c_{\text{GW}}^2 (\gamma^{\text{PPN}})^2 + 6\beta_1 - \frac{\beta_3}{2} + \frac{\beta_2 - 6\beta_1^2 - 12\beta_1}{2c_{\text{GW}}^2 (\gamma^{\text{PPN}})^2}$$

$$\alpha_3^{\text{PPN}} = \zeta_1^{\text{PPN}} = \zeta_2^{\text{PPN}} = \zeta_3^{\text{PPN}} = \zeta_4^{\text{PPN}} = \xi^{\text{PPN}} = 0,$$

:Terms which deviate from that of GR

The values of the PPN parameters in GR:

$$\gamma^{\text{PPN}} = \beta^{\text{PPN}} = 1, \quad \alpha_{1,2,3}^{\text{PPN}} = \zeta_{1,2,3,4}^{\text{PPN}} = \xi^{\text{PPN}} = 0$$

❖ Reproduction of khronometric case

Unit time-like vector: $u_\mu := -\phi_\mu / \sqrt{2X}$

- ❖ Action of khronometric theory: (D. Blas, et al, arXiv:0906.3046)

$$S = \frac{M_*^2}{2} \int d^4x \sqrt{-g} [\mathcal{R} + c_1 \nabla_\mu u_\nu \nabla^\mu u^\nu + c_2 (\nabla_\mu u^\mu)^2 + c_3 \nabla_\mu u_\nu \nabla^\nu u^\mu + c_4 u^\mu u^\nu \nabla_\mu u_\lambda \nabla_\nu u^\lambda]$$

$c_{1,2,3,4} = const$

- ❖ The PPN parameters : (D. Blas, et al, arXiv: 1007.3503)

$$8\pi G_N = \frac{1}{(1 - c_4/2)M_*^2}, \quad \gamma^{PPN} = 1, \quad \beta = 1, \quad \alpha_1 = -\frac{4(2c_3 + c_4)}{1 + c_3},$$

$$\alpha_2^{PPN} = -2 + \frac{4}{1 + c_3} - \frac{2c_2}{c_2 + c_3} - \frac{3(2 - 3c_2 - c_3)}{3c_2 + c_3 - c_4} + \frac{(1 - 2c_2 - c_3)c_4}{(1 + c_3)(c_2 + c_3)},$$

$$\alpha_3^{PPN} = \zeta_1^{PPN} = \zeta_2^{PPN} = \zeta_3^{PPN} = \zeta_4^{PPN} = \xi^{PPN} = 0$$

Our results reproduce the PPN parameters in khronometric theory by selecting the EFT of DE parameters as

$$M^2 = (1 + c_3)M_*^2, \quad \alpha_T = \alpha_H = -\frac{c_3}{1 + c_3}, \quad \alpha_L = -\frac{3}{2} \frac{c_2 + c_3}{1 + c_3},$$

$$\beta_1 = \beta_2 = 0, \quad \beta_3 = \frac{c_4}{1 + c_3}, \quad \delta_1 = \delta_2 = 0.$$

❖ The case of TTDOF theory

- ❖ TTDOF theory has just two tensorial DOF as same as GR.
(X. Gao, et al, arXiv:1806.02811)
- ❖ The EFT of DE parameters in TTDOF theory: $\bar{b}_{0,1,2}, \bar{a}_{2,4} = const$
- $M^2 = \frac{\bar{b}_0}{1 + \bar{b}_2 q}, \quad M^2(1 + \alpha_T) = \bar{a}_2 + \bar{a}_4 q, \quad M^2(1 + \alpha_H) = \bar{a}_2,$
- $\alpha_L = \frac{(\bar{b}_2 - \bar{b}_1) q}{1 + \bar{b}_1 q}, \quad \beta_1 = \beta_2 = \beta_3 = \delta_1 = \delta_2 = 0$
- ❖ The PPN parameters:

$$8\pi G_N = \frac{\bar{a}_2 + \bar{a}_4 q}{\bar{a}_2^2}, \quad c_{\text{GW}}^2 = \frac{(\bar{a}_2 + \bar{a}_4 q)(1 + \bar{b}_2 q)}{\bar{b}_0}, \quad \gamma^{\text{PPN}} = \frac{\bar{a}_2}{\bar{a}_2 + \bar{a}_4 q}, \quad \beta^{\text{PPN}} = \frac{1 + \gamma^{\text{PPN}}}{2},$$

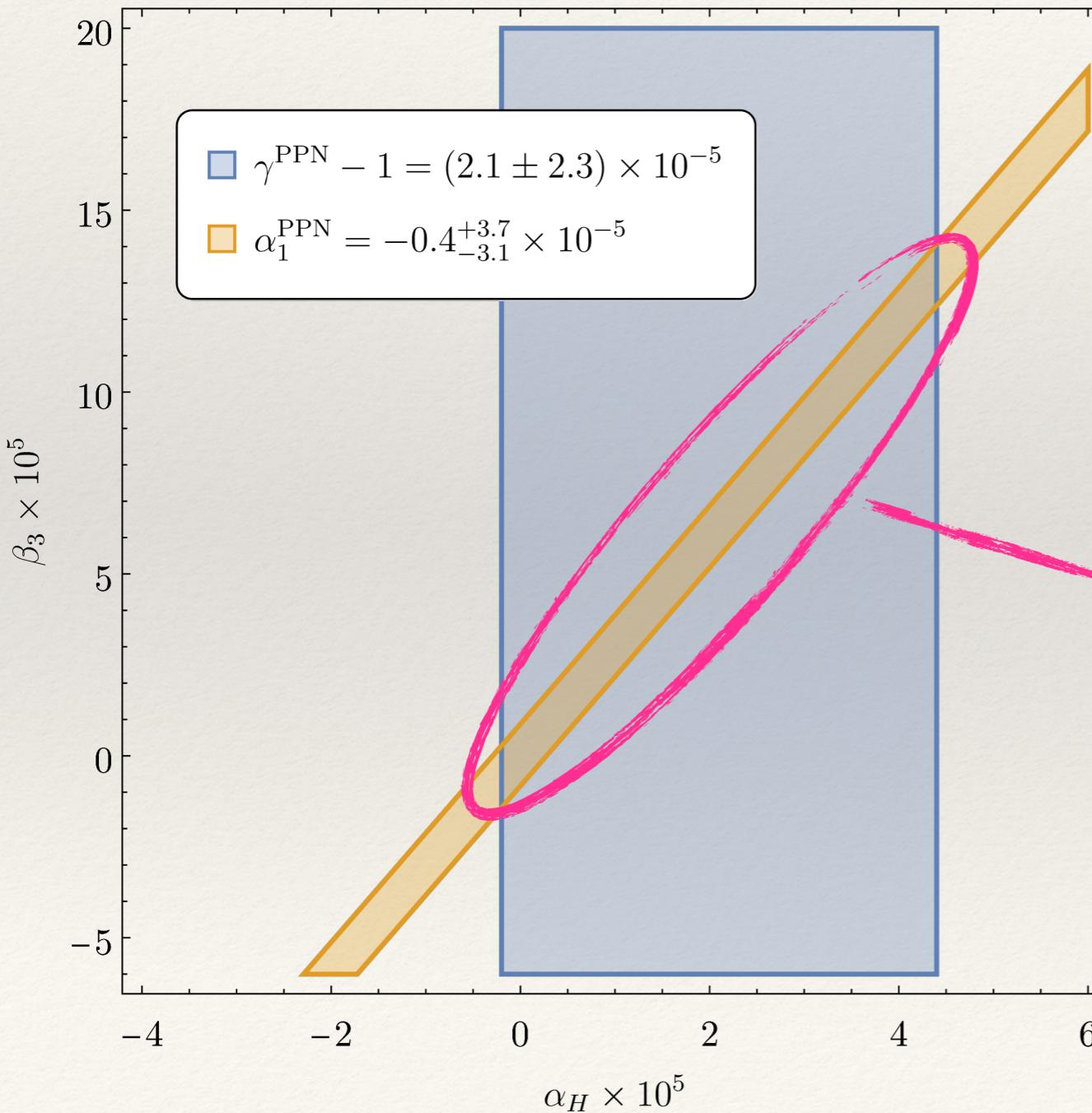
$$\alpha_1^{\text{PPN}} = 4 [2c_{\text{GW}}^2(\gamma^{\text{PPN}})^2 - \gamma^{\text{PPN}} - 1], \quad \alpha_2^{\text{PPN}} = \frac{3(1 - c_{\text{GW}}^2 \gamma^{\text{PPN}})^2}{c_{\text{GW}}^2} \left(\frac{1}{\alpha_L} + 1 \right) - 1 + c_{\text{GW}}^2 (\gamma^{\text{PPN}})^2$$

$$\gamma^{\text{PPN}} = c_{\text{GW}} = 1 \quad \left(\bar{a}_2 = \frac{\bar{b}_0}{1 + \bar{b}_2 q}, \quad \bar{a}_4 = 0 \right) \quad \Leftrightarrow \quad G_N = G_{\text{GW}}, \quad \beta^{\text{PPN}} = 1, \quad \alpha_1^{\text{PPN}} = \alpha_2^{\text{PPN}} = 0$$

→ We cannot distinguish TTDOF theory from GR.

& Constraints on the EFT parameters

Here, we assume $c_{\text{GW}} = 1 \Leftrightarrow \alpha_T = 0$



In this case, γ^{PPN} and α_1^{PPN} are given by

$$\begin{cases} \gamma^{\text{PPN}} = 1 + \alpha_H, \\ \alpha_1^{\text{PPN}} = 4 [2(\gamma^{\text{PPN}})^2 - \gamma^{\text{PPN}} - 1 - \beta_3] \end{cases}$$

The area allowed by
the constraints on γ^{PPN} and α_1^{PPN}

Conclusions

- ❖ The PPN formalism is convenient for discussing the consistency of a gravitational theory with observations.
- ❖ We obtain all the PPN parameters in higher-order scalar-tensor theories (only in the case of $\alpha_L \neq 0$) in terms of the EFT parameters.
- ❖ Our results reproduce the PPN parameters in khronometric theory.
- ❖ In future work, we investigate the gravitational radiation in U-DHOST theory.

Thank you for attention!!