

EFT of Black Hole Perturbations with Time-like Scalar Profile: Recent Progress

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2204.00228, 2208.02943, 2304.14304, 2311.06767 + Work in progress

In Collaboration with

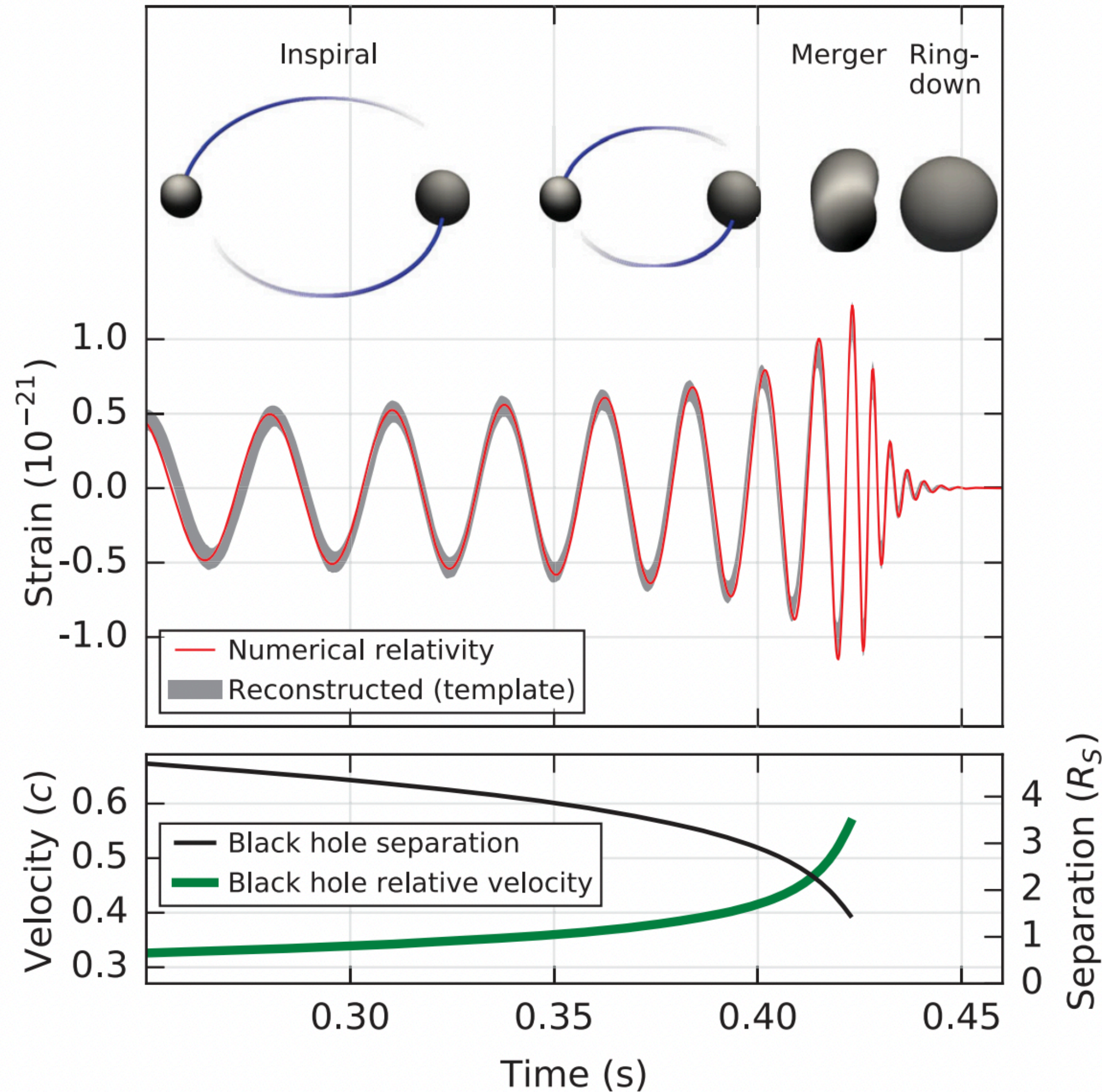
S. Mukohyama, K. Takahashi, K. Tomikawa, K. Aoki, M. A. Gorji, N. Oshita, Z. Wang, H. Kobayashi,
E. Serraille and C. G. A. Barura

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Outline

- Introduction
- Formulation of the EFT
- Applications and Recent Progress
- Conclusions/Future Directions

Introduction



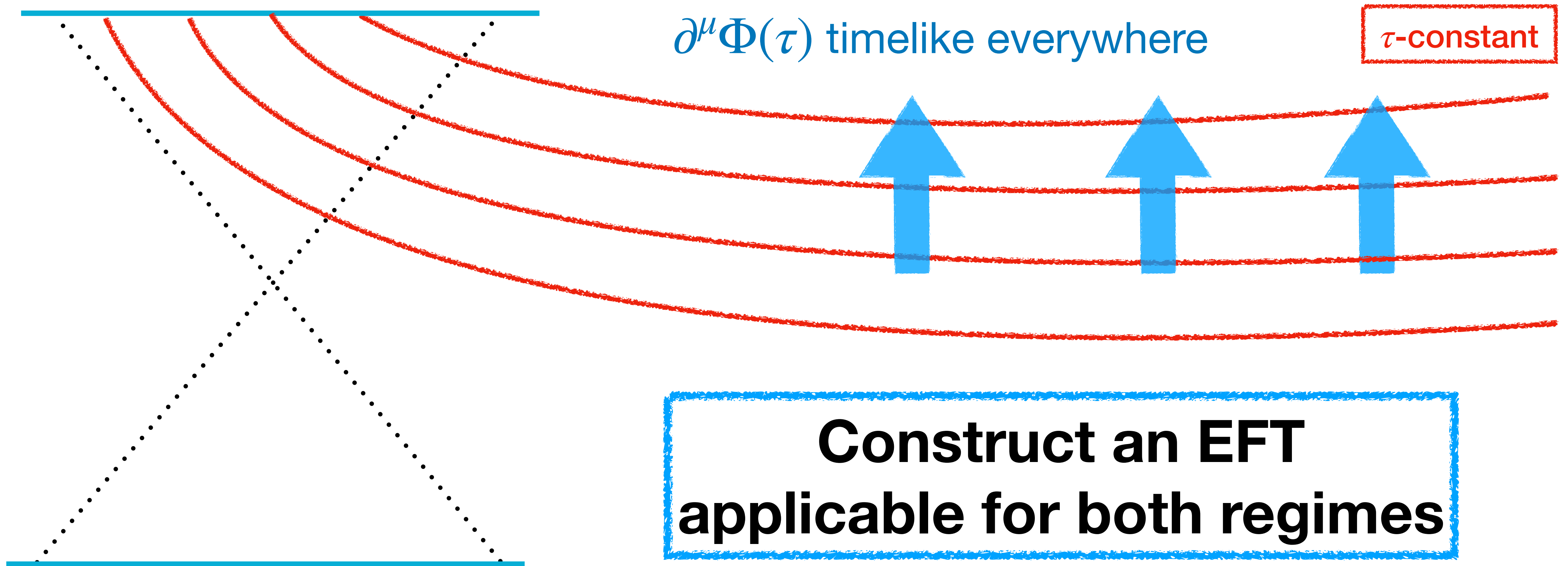
LIGO/Virgo 2016

- We have detected many **mergers** e.g. GW150914, GW170817, GW190424 etc.
- **Each phase** can be described by:
 - Inspiral**: Post-Newtonian method
 - Merger**: Numerical relativity
 - Ring-down**: Black hole perturbation theory
- Formulate the **Effective Field Theory**
 - ⇒ Test of GR and modified gravity (model-indep.)

BH Phenomenology from
EFT of BH

e.g. QNM, Love number

Construction of the EFT



Black Hole regime

Cosmological regime
(EFT of Inflation/DE)

Construction of the EFT

- A model-indep. way to study a perturbation around fixed background
- Examples: EFT of Inflation/DE on **FRW** metric Cheung et al. 08, Gubitosi et al. 12, +++

⇔ **Scalar-tensor** theories e.g. Horndeski and beyond

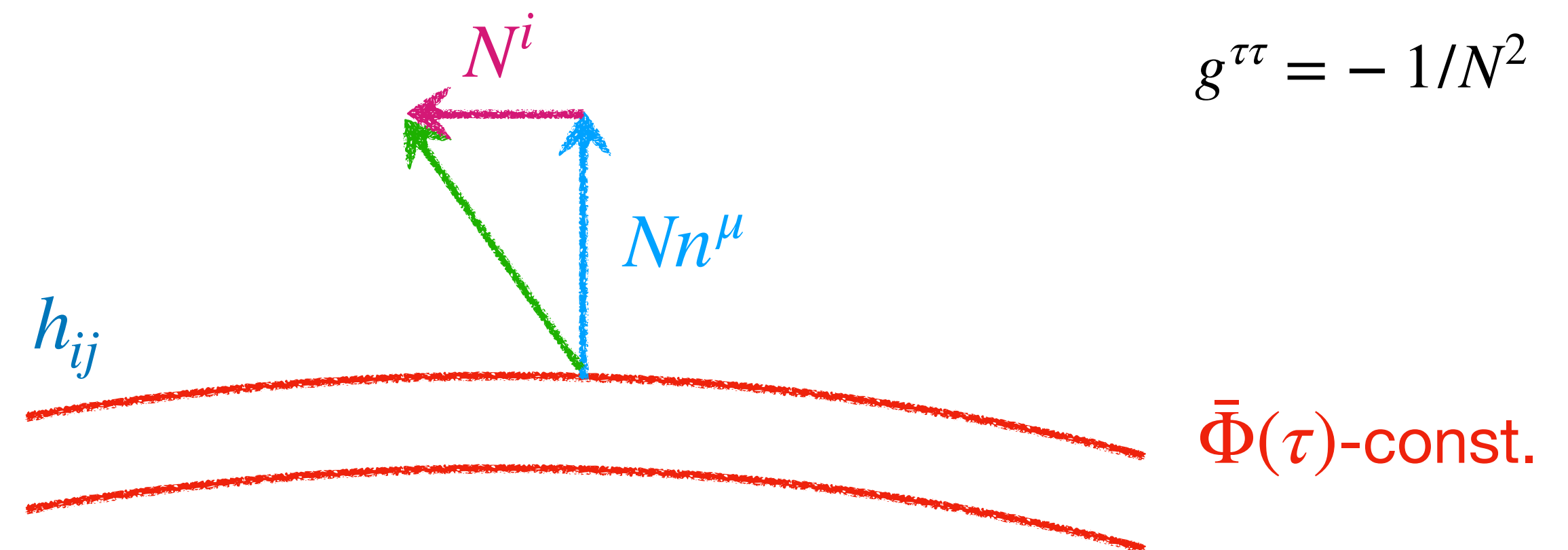
Horndeski 74, Deffayet et al. 11, Zumalacárregui and García-Bellido 14, Gleyzes et al. 14, Langlois and Noui 15, Langlois 17, +++

- $\bar{\Phi}(\tau)$ breaks τ -diffeo. spontaneously
- **EFT action** in unitary gauge: $\delta\Phi = 0$

$$ds^2 = -N^2 d\tau^2 + h_{ij}(dx^i + N^i d\tau)(dx^j + N^j d\tau)$$

$$g^{\tau\tau} = -1/N^2$$

$$S = \int d^4x \sqrt{-g} F(R_{\mu\nu\alpha\beta}, g^{\tau\tau}, K_{\mu\nu}, \nabla_\nu, \tau)$$



This action is invariant under 3d diffeo and valid for **generic background geometries**

Construction of the EFT

- Expand the **EFT action** around an inhomogeneous background: $y = \{\tau, x_i\}$

$$S = \int d^4x \sqrt{-g} \left[\frac{M_\star^2}{2} f(y) R - \Lambda(y) - c(y) g^{\tau\tau} - \beta(y) K + \frac{1}{2} m_2^4(y) (\delta g^{\tau\tau})^2 + \frac{1}{2} M_1^3(y) \delta g^{\tau\tau} \delta K + \dots \right]$$

\Leftrightarrow **Scalar-tensor** theories e.g. Horndeski and beyond

$$\delta g^{\tau\tau} \equiv g^{\tau\tau} - \bar{g}^{\tau\tau}(\tau, x_i) \quad \delta K_\nu^\mu = K_\nu^\mu - \bar{K}_\nu^\mu(\tau, x_i)$$

Horndeski 74, Deffayet et al. 11, Zumalacárregui & García-Bellido 14, Langlois 17, +++

The **backgrounds** of the building blocks break 3d diffeo. explicitly

- The **consistency relations** ensures the 3d diffeo. invariance of the EFT

Chain rule in x^i -directions

$$\partial_i \Lambda + \bar{g}^{\tau\tau} \partial_i c - \frac{1}{2} M_\star^{2(3)} \bar{R} \partial_i f + \frac{1}{6} \bar{K} (M_\star^2 \bar{K} \partial_i f + 6 \partial_i \beta) - \frac{1}{2} M_\star^2 \bar{K}_\nu^\mu \bar{K}_\mu^\nu \partial_i f \simeq 0$$

$$\partial_i c + m_2^4 \partial_i \bar{g}^{\tau\tau} + \frac{1}{2} M_1^3 \partial_i \bar{K} \simeq 0$$

⋮

Dynamics in odd-parity sector

- Background **metric**: $ds^2 = -d\tau^2 + [1 - A(r)]d\rho^2 + r^2d\Omega^2 \iff ds^2 = -A(r)dt^2 + \frac{dr^2}{B(r)} + r^2d\Omega^2$

- The **odd EFT action** up to second order:

$$S_{\text{odd}} = \int d^4x \sqrt{-g} \left[\frac{M_\star^2}{2} R - \Lambda(y) - c(y)g^{\tau\tau} - \tilde{\beta}(y)K - \alpha(\tau)\bar{K}_\nu^\mu K_\mu^\nu + \frac{1}{2}M_3^2(y)\delta K_\nu^\mu \delta K_\mu^\nu \right]$$

Modifies speed of GW

- **Quadratic Lagrangian** with $A(r) \neq B(r)$:

$$\mathcal{L}_2 = \underline{a_1}(\partial_t \chi)^2 - \underline{a_2}(\partial_r \chi)^2 - \underline{a_4}\chi^2$$

Function of $M_\star^2, M_3^2, \alpha, A(r)$ and $B(r)$

$$\Psi = (a_1 a_2)^{1/4} \chi$$

$$r_* \equiv \int \sqrt{\frac{a_1}{a_2}} dr$$

$$\frac{\partial^2 \Psi}{\partial r_*^2} - \frac{\partial^2 \Psi}{\partial t^2} - V_{\text{eff}}(r)\Psi = 0$$

Generalized Regge-Wheeler

$$V_{\text{eff}}(r) \equiv \frac{a_4}{a_1} + \frac{1}{2\sqrt{a_1 a_2}} \frac{d^2 \sqrt{a_1 a_2}}{dr_*^2} - \frac{1}{4a_1 a_2} \left(\frac{d\sqrt{a_1 a_2}}{dr_*} \right)^2$$

We want to solve this diff. Eq.!!

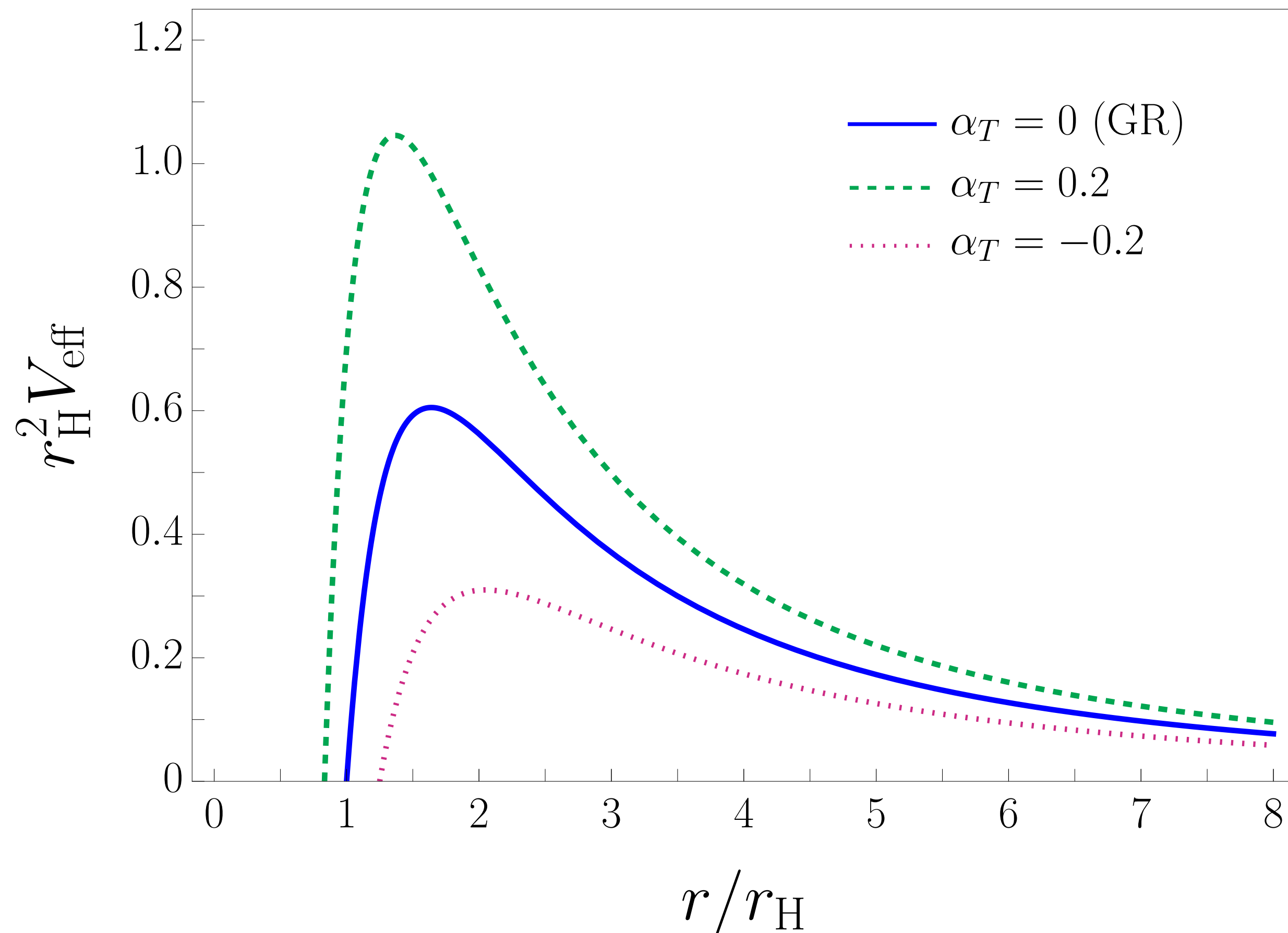
Stealth Schwarzschild solution

We choose: $A(r) = B(r) = 1 - \frac{r_H}{r}$ $\alpha_T(r) \equiv c_T^2 - 1 = -\frac{M_3(r)^2}{M_\star^2 + M_3(r)^2}$

$\alpha_T = \text{const.}$

$$V_{\text{eff}}(r) = (1 + \alpha_T) f(r) \left[\frac{\ell(\ell + 1)}{r^2} - \frac{3r_g}{r^3} \right]$$

$f(r) \equiv 1 - r_g/r$



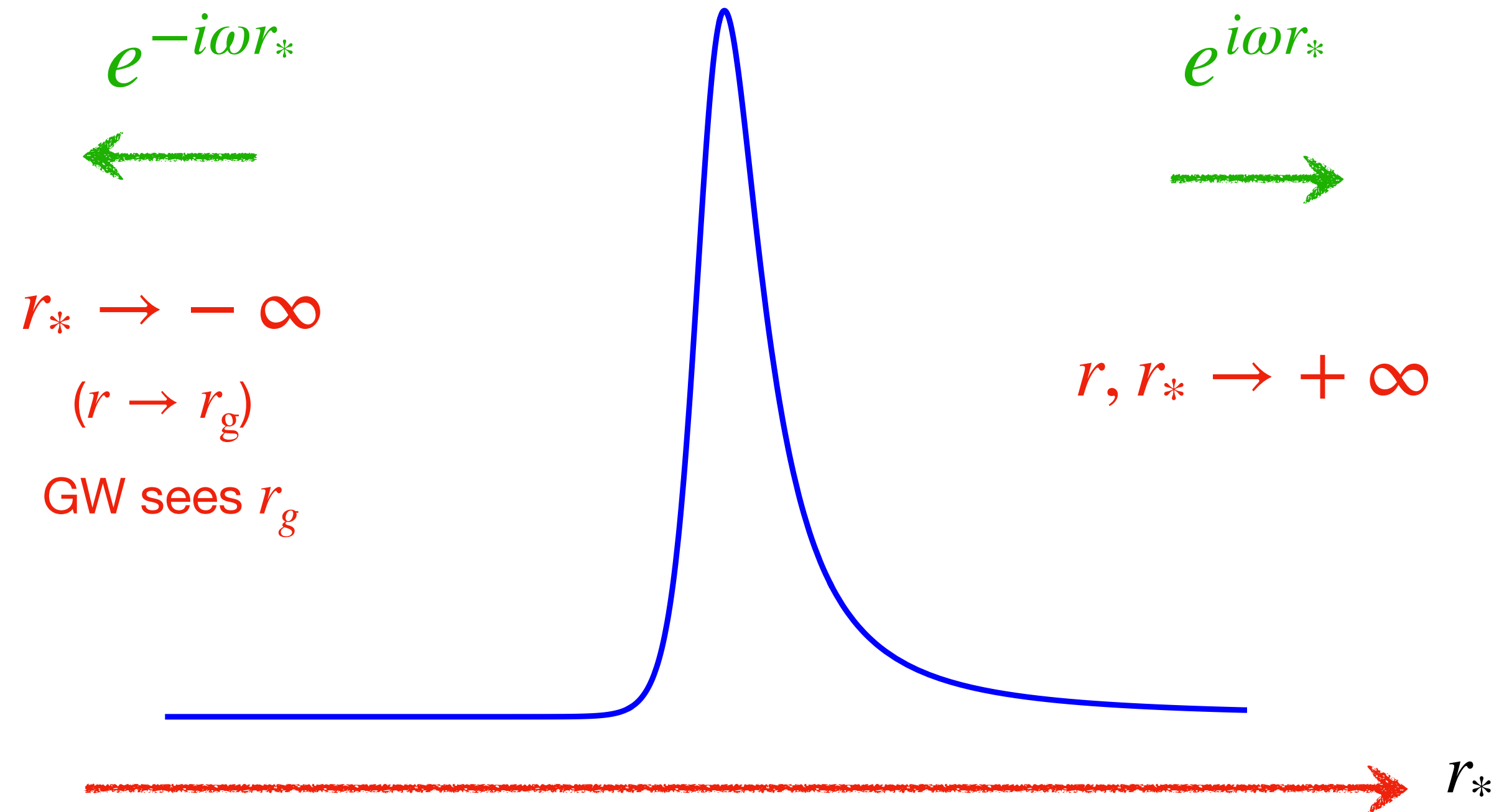
Graviton horizon: $r_g \equiv r_H / (1 + \alpha_T)$

- $\alpha_T > 0 \Rightarrow r_g < r_H$
- $\alpha_T < 0 \Rightarrow r_g > r_H$

The presence of α_T changes the height of V_{eff}

$$r_*(r) = (1 + \alpha_T)^{-1/2} \left[r + r_g \log \left| \frac{r}{r_g} - 1 \right| \right]$$

QNM calculation



$$\Psi(t, r_*) = Q(r_*)e^{-i\omega t}$$

$$\frac{d^2}{dr_*^2}Q(r_*) + (\omega^2 - V_{\text{eff}})Q(r_*) = 0$$

1d time-indep. Schrödinger eq.

A specific frequency that satisfies the two BCs is the **quasinormal** frequency

Review: Hatsuda and Kimura 21

- ω is **complex number** \Rightarrow the Im part describes the **damping** of the GW signal
- Other methods: **WKB** method, **Leaver's** method, **parametrized QNM** method

Chandrasekhar and Detweiler 75, Iyer and Will 87, Konoplya 03

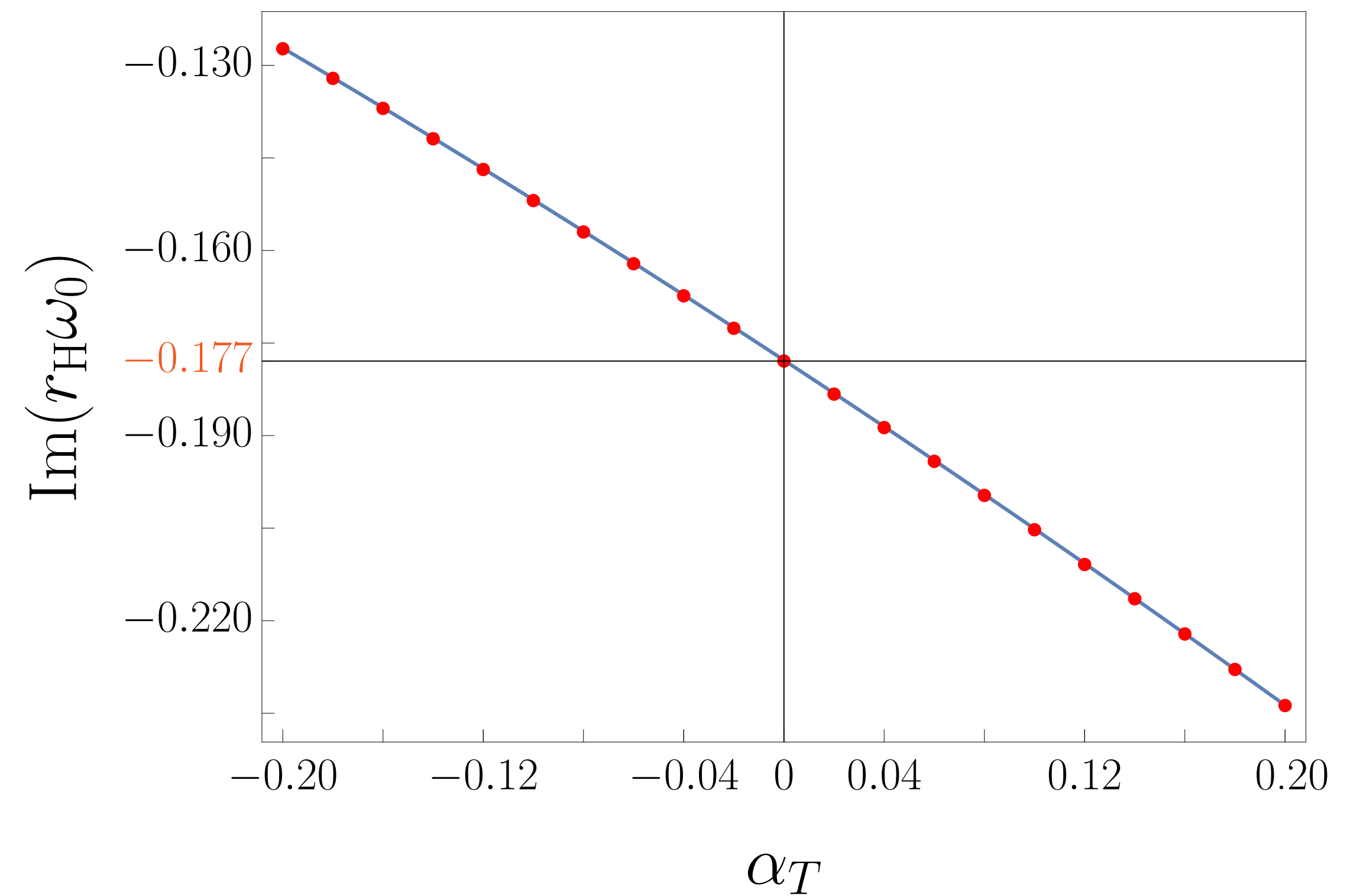
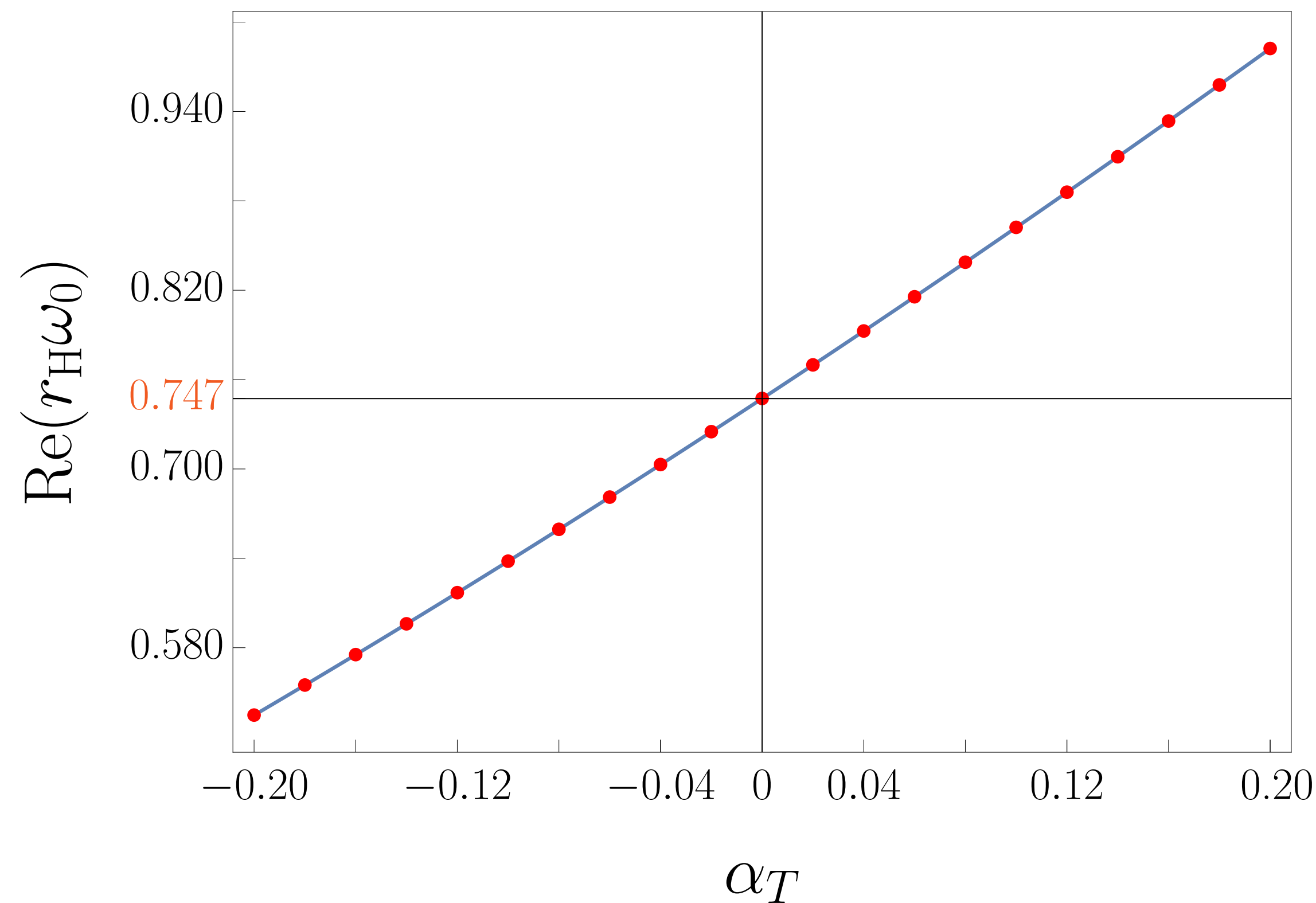
Leaver 85, Schutz and Will 85, Nollert 93, McManus et al. 19, Kimura 20, +++

QNM in Stealth Schwarzschild case

- The fundamental QNM frequencies can be obtained by a simple rescaling from ω_{GR}

$$A(r) = B(r) = 1 - \frac{r_{\text{H}}}{r}$$

$$r_{\text{H}}\omega = r_{\text{H}}\omega_{\text{GR}}(1 + \alpha_T)^{3/2}$$

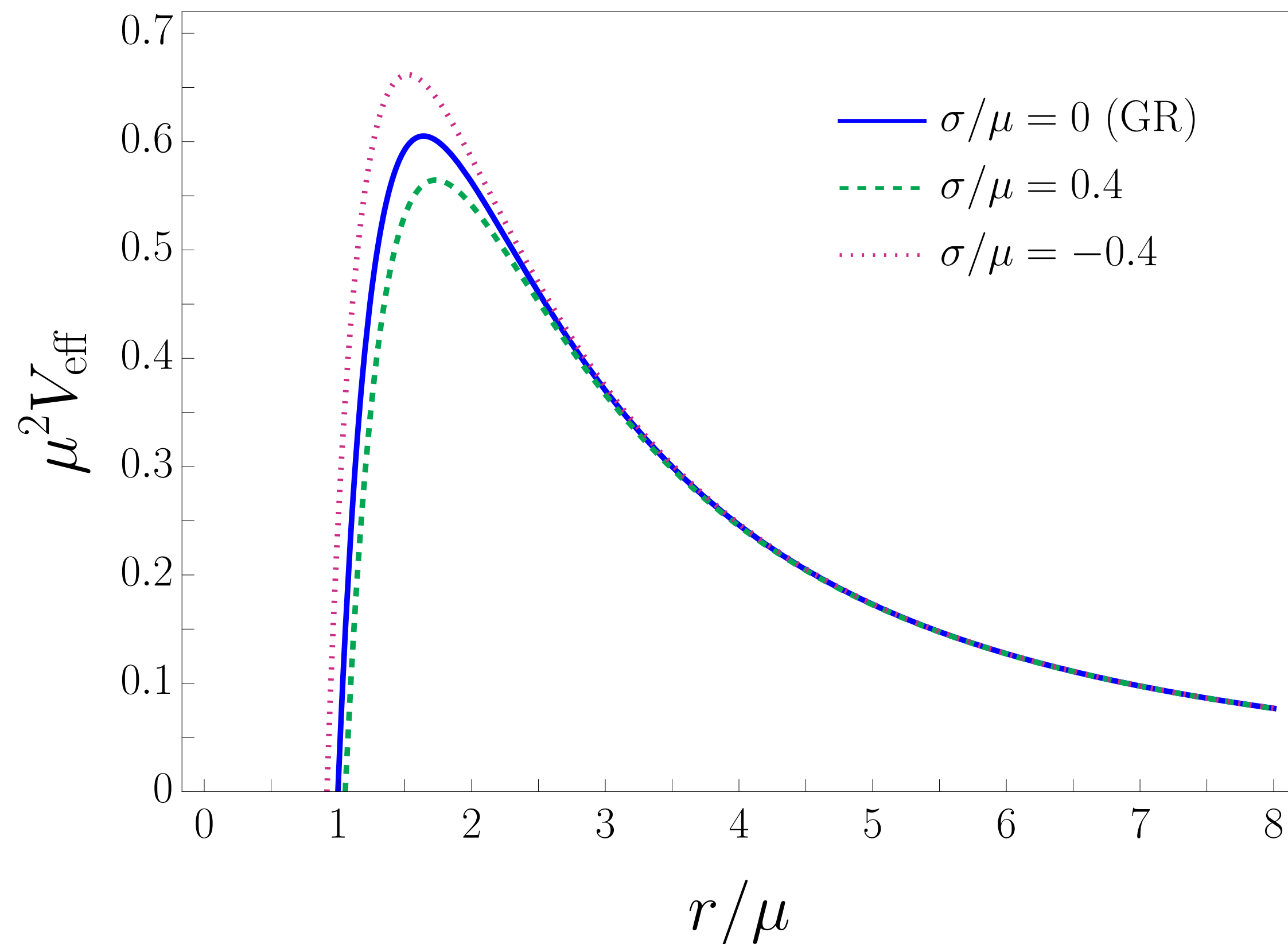


Red data points are QNFs from direct integration method

The Hayward potential

- We choose: $A(r) = B(r) = 1 - \frac{\mu r^2}{r^3 + \sigma^3}$ dS core as $r \rightarrow 0$ & Schwarzschild limit as $r \rightarrow \infty$

$$\alpha_T(r) = -\frac{\sigma^3(2r^3 + \sigma^3)}{(r^3 + \sigma^3)^2} \quad \alpha_T(r) \sim 1/r^3 \text{ as } r \rightarrow \infty \text{ (LIGO bound)}$$



$$\mu^2 V_{\text{eff}}(\tilde{r}) = \left[1 - \frac{\tilde{r}^3 + \tilde{\sigma}^3}{\tilde{r}^4} \right] \times \left\{ \frac{\ell(\ell+1)\tilde{r}^4}{(\tilde{r}^3 + \tilde{\sigma}^3)^2} - \frac{3 [4\tilde{r}^9 + 2\tilde{\sigma}^3\tilde{r}^6(8\tilde{r} - 1) + \tilde{\sigma}^6\tilde{r}^3(\tilde{r} - 7) - \tilde{\sigma}^9]}{4(\tilde{r}^3 + \tilde{\sigma}^3)^4} \right\}$$

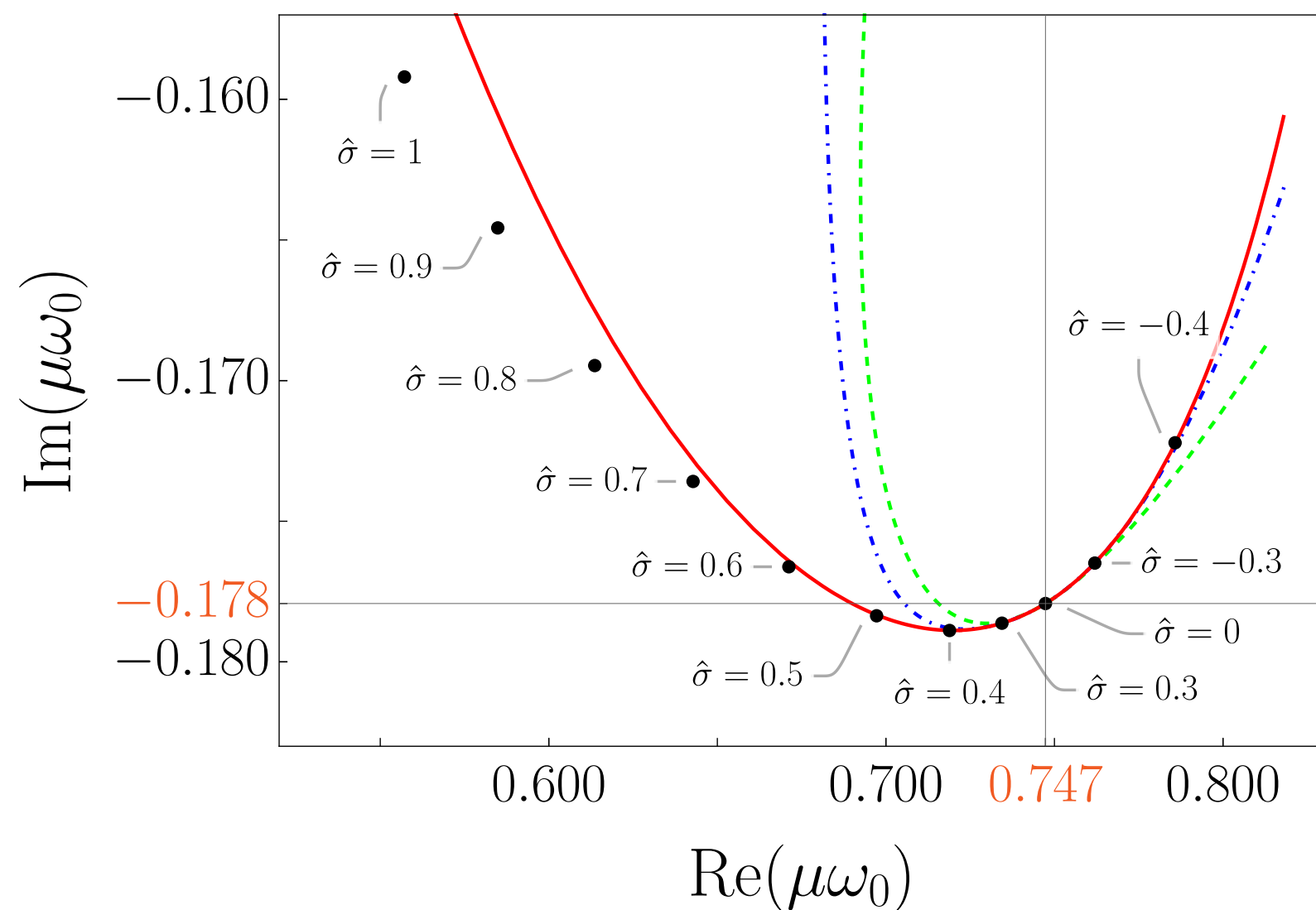
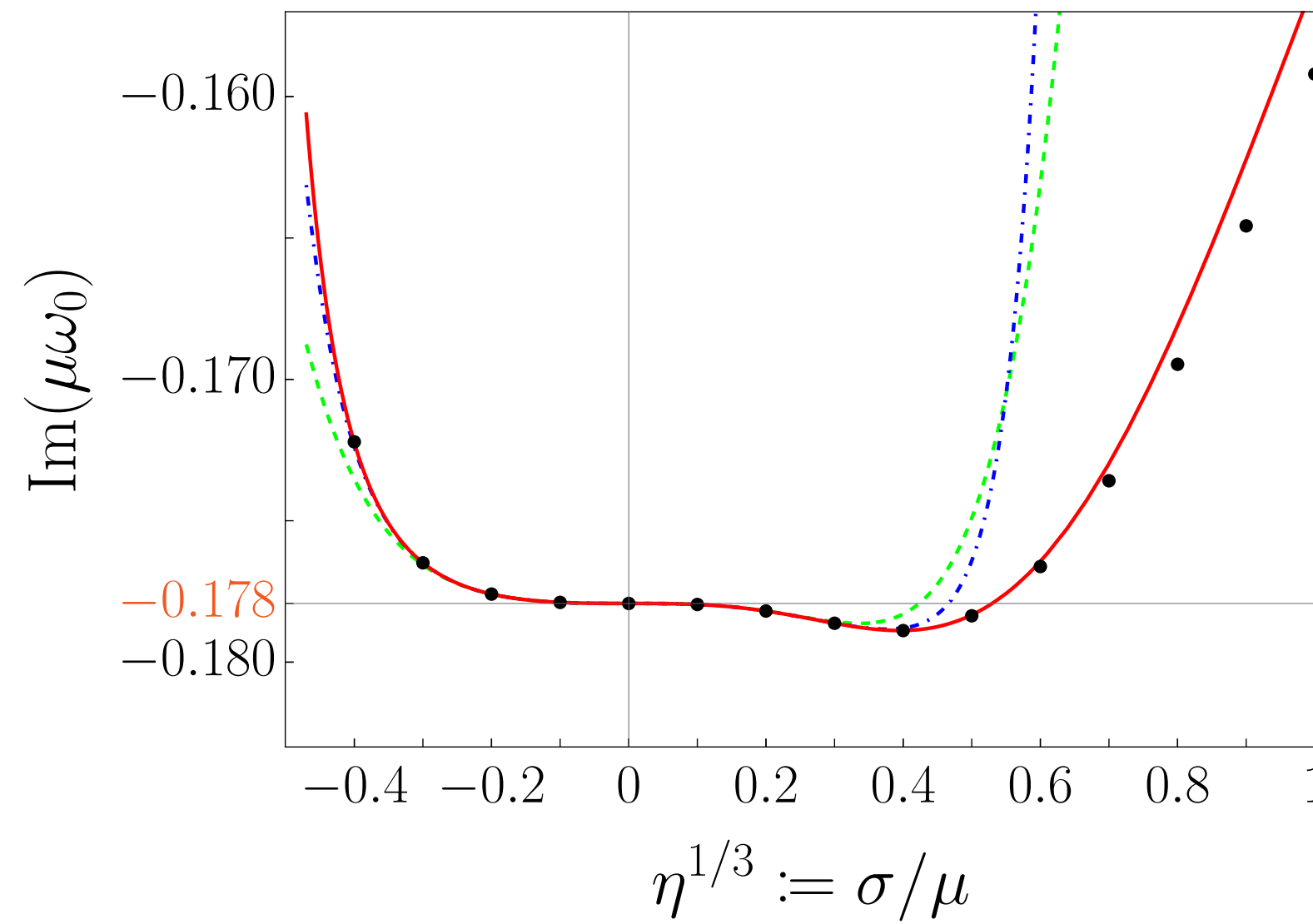
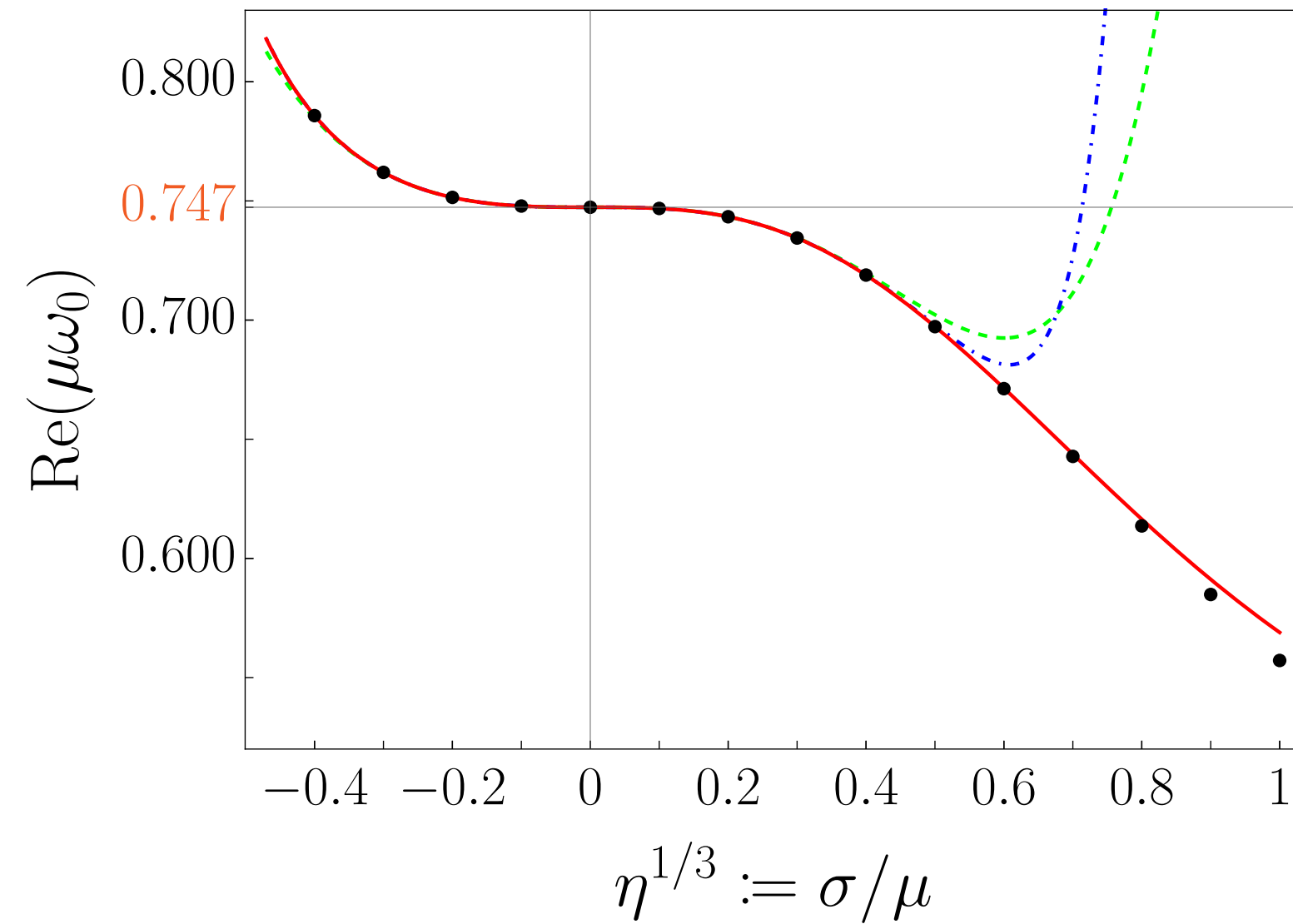
$$\tilde{r} \equiv r/\mu \quad \tilde{\sigma} \equiv \sigma/\mu$$

The only free parameter is σ/μ

- The graviton horizon satisfies

$$r_g^4 - \mu(r_g^3 + \sigma^3) = 0$$

QNM in Hayward case



- Leaver (up to η^2)
- Leaver (up to η^4)
- Padé approximation ([2/2] order in η)
- direct integration

- The fundamental **QNM** frequencies are fully numerics, using direct integration — black dot

- **Other methods** e.g. Leaver method + Padé approx. are a useful cross-check.

- **Higher overtones** give a possible probe of geometry around the horizon (**Konoplya 23**)

Dynamics of even sector

- There are **two** propagating DoFs (1 **scalar** + 1 **tensor**) $\delta g_{\mu\nu} \equiv g_{\mu\nu} - \bar{g}_{\mu\nu}$

$$\delta g_{\tau\tau} = A(r) H_0(\tau, r) \quad \delta g_{\tau r} = \sqrt{1-A} H_1(\tau, r) \quad \delta\Phi = \Phi - \bar{\Phi}(\tau)$$

$$\delta g_{rr} = H_2(\tau, r) \quad \delta g_{\tau a} = \delta g_{ra} = 0 \quad \delta g_{ab} = r^2 \cancel{K}(\tau, r) \gamma_{ab}$$

Gauge transformation

The background \simeq stealth Schwarchild solution (De Felice et al. 23)

- Scordatura** term resolves **strong coupling** problem when $c_s^2 \rightarrow 0$ Motohashi and Mukohyama 19, +++

$$S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{Pl}}^2}{2} R + F_0(X) + \frac{\alpha_L (\square\Phi)^2}{\Lambda^4} \right] \Rightarrow \text{Dispersion relation of } \delta\Phi: \quad \omega^2 \simeq \frac{\alpha_L k^4}{\Lambda^2}$$

- Preliminary** result: dynamics of $\delta\Phi$ at linear order decouples from others

Mukohyama, Takahashi, Tomikawa, VY, in prep.

Rotating background

Mukohyama, Oshita, Takahashi, Wang, VY, in prep.

- General **EFT** can be applied to **any** background metric
- Our task is to realize the **coordinate** system where $\bar{\Phi}$ is spatially uniform
- **Stationary** and **axisymmetric** background:

$$\bar{g}_{\mu\nu} dx^\mu dx^\nu = \bar{g}_{tt}(r, \theta) dt^2 + \bar{g}_{rr}(r, \theta) dr^2 + \bar{g}_{\theta\theta}(r, \theta) d\theta^2 + \bar{g}_{\phi\phi}(r, \theta) d\phi^2 + 2\bar{g}_{t\phi}(r, \theta) dt d\phi .$$

- For simplicity, we consider $\bar{X} = \bar{g}^{\mu\nu} \partial_\mu \bar{\Phi} \partial_\nu \bar{\Phi} = \text{const}$ and $\bar{\Phi}(\tau) \propto \tau$

$$\bar{g}^{\tau\tau} = -1 \quad \Rightarrow \quad \boxed{\bar{g}^{\mu\nu} \frac{\partial\tau}{\partial x^\mu} \frac{\partial\tau}{\partial x^\nu} = -1}$$

Hamilton-Jacobi eq for a timelike test particle

Solve these eqs. to obtain **coordinate transformations** where **EFT** is constructed
(The form of EFT is the same)

Extension to vector-tensor gravity

- Formulate the EFT of BH perturbations in vector-tensor

Aoki, Gorji, Mukohyama, Takahashi and VY 23

$(A_\mu, g_{\mu\nu})$: 3+2 dofs

Sym. Breaking pattern

$$\underline{n}_\mu \propto \delta_\mu^\tau + g_M A_\mu \equiv \delta_\mu^\tau \quad n_\mu n^\mu = -1$$

preferred time direction not preferred time slicing

$$h_{\mu\nu} \equiv g_{\mu\nu} + n_\mu n_\nu \quad \text{Projection tensor} \neq \text{induced metric}$$

Unitary gauge

4d diffeo. \Rightarrow 3d diffeo. +

$$\delta_\mu^\tau = \delta_\mu^\tau + g_M A_\mu \quad \left. \begin{array}{l} A_\mu \rightarrow A_\mu + \partial_\mu \chi \\ \tau \rightarrow \tau - g_M \chi \end{array} \right\}$$

- General EFT in unitary gauge: $g^{\tau\tau} = g^{\tau\tau} + 2g_M A^\tau + g_M^2 A_\mu A^\mu$ $K_{\mu\nu} \equiv h_{(\mu}^\alpha \nabla_\alpha n_{\nu)}$

$$S = \int d^4x \sqrt{-g} \mathcal{L}(g^{\tau\tau}, {}^{(3)}R_{\mu\nu}, K_{\mu\nu}, E_{\mu\nu}, B_{\mu\nu}, \mathcal{L}_n, D_\mu)$$

The orthogonal spatial Ricci curvature

orthogonal covariant derivative

Time coord. is not a good EFT building block!



Explicit time-dependence is not allowed

NEED two sets of consistency relations

- EFT of vector-tensor theories on cosmological background

Aoki et. al. 22

Conclusions/Future directions

Conclusions

- Formulated **the EFT** on a generic background with $\bar{\Phi}(\tau)$
- Studied **odd-sector** with the **EFT** and **shift- and Z_2 - symmetries**
- **QNM** spectrum in odd sector

Future directions

- QNM spectrum of **even-parity** perturbations
- Dynamics of perturbations in EFT of **vector-tensor**
- EFT with matter: Neutron star

Backup

Introduction: Motivation

Is it possible to formulate an EFT of perturbations on an **inhomogeneous** background with **timelike** scalar profile?

e.g. Schwarzschild—dS, Schwarzschild—FRW

- A. Single EFT that works on both cosmological and black hole regimes: DE + BH
- B. Accommodate the scordatura mechanism avoiding strong coupling problem around stealth solutions e.g. DHOST or Horndeski

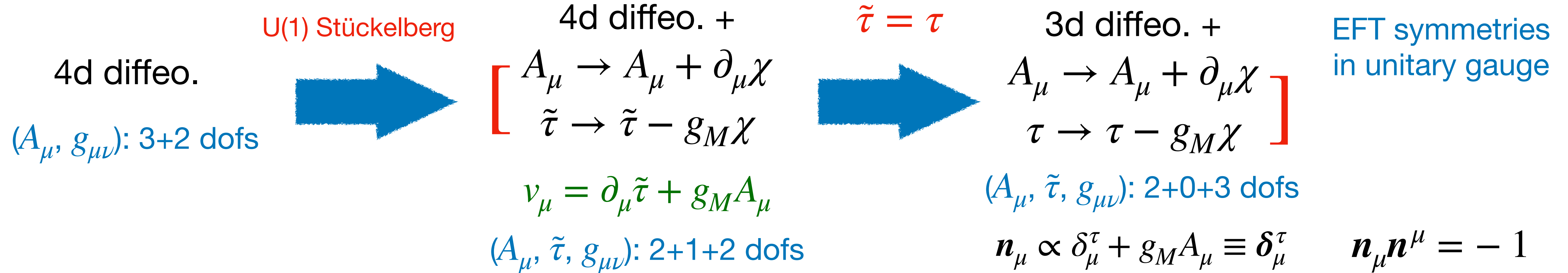
The problem typically arises when $c_s \rightarrow 0$ since $E_{\text{NL}} \sim c_s$

- Similar work on the EFT with timelike scalar profile ([Khoury et al. 22](#))
- The EFT of perturbations on a black hole background with **spacelike** scalar profile ([Franciolini et al. 18](#), [Hui et al. 21](#))

General construction of EFTs

Vector-tensor EFT : timelike vector field $\delta_\mu^\tau = \delta_\mu^\tau + g_M A_\mu$ spontaneously breaks time diffeo.

$(A_\mu, g_{\mu\nu})$: 3+2 dofs



- EFT action in unitary gauge

$$\mathbf{g}^{\tau\tau} \equiv g^{\mu\nu} \delta_\mu^\tau \delta_\nu^\tau = g^{\tau\tau} + 2g_M A^\tau + g_M^2 A_\mu A^\mu$$

$$\mathbf{h}_{\mu\nu} \equiv g_{\mu\nu} + \mathbf{n}_\mu \mathbf{n}_\nu$$

Projection tensor \neq induced metric

$$\mathbf{K}_{\mu\nu} \equiv \mathbf{h}^\alpha_{(\mu} \nabla_\alpha \mathbf{n}_{\nu)}$$

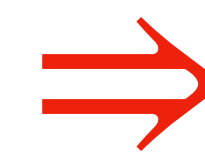
Not hypersurface orthogonal

$$S = \int d^4x \sqrt{-g} \mathcal{L}(\mathbf{g}^{\tau\tau}, {}^{(3)}\mathbf{R}_{\mu\nu}, \mathbf{K}_{\mu\nu}, \mathbf{E}_{\mu\nu}, \mathbf{B}_{\mu\nu}, \mathbf{f}_n, \mathbf{D}_\mu)$$

The orthogonal spatial Ricci curvature

orthogonal covariant derivative

Time coord. is not a good EFT building block!



Explicit time-dependence is not allowed

For $g_M = 0$ all the geometrical quantities coincide with usual time slicing quantities

Consistency relations

Vector-tensor EFT : Expand the **EFT action** around a generic background

$$S = \int d^4x \sqrt{-g} \left[\frac{M_\star^2}{2} f(y) \mathbf{R} - \Lambda(y) - c(y) \mathbf{g}^{\tau\tau} - d(y) \mathbf{K} + \frac{1}{2} m_2^4(y) (\delta \mathbf{g}^{\tau\tau})^2 + \frac{1}{2} M_1^3(y) \delta \mathbf{g}^{\tau\tau} \delta \mathbf{K} + \dots \right]$$

$$\delta \mathbf{g}^{\tau\tau} \equiv \mathbf{g}^{\tau\tau} - \bar{\mathbf{g}}^{\tau\tau}(\tau, x_i) \quad \delta \mathbf{K}_\nu^\mu = \mathbf{K}_\nu^\mu - \bar{\mathbf{K}}_\nu^\mu(\tau, x_i) \quad \mathbf{g}^{\tau\tau} = g^{\tau\tau} + 2g_M A^\tau + g_M^2 A_\mu A^\mu$$

- Two sets of **consistency relations** ensures the **3d diffeo.** invariance and the **residual U(1)** of the EFT

Chain rule in x^i -directions

$$\partial_i \Lambda + \bar{\mathbf{g}}^{\tau\tau} \partial_i c - \frac{M_\star^2}{2} (\bar{\mathbf{K}}^2 - \bar{\mathbf{K}}_\nu^\mu \bar{\mathbf{K}}_\mu^\nu + {}^{(3)}\bar{\mathbf{R}}) \partial_i f + \bar{\mathbf{K}} \partial_i d = 0$$

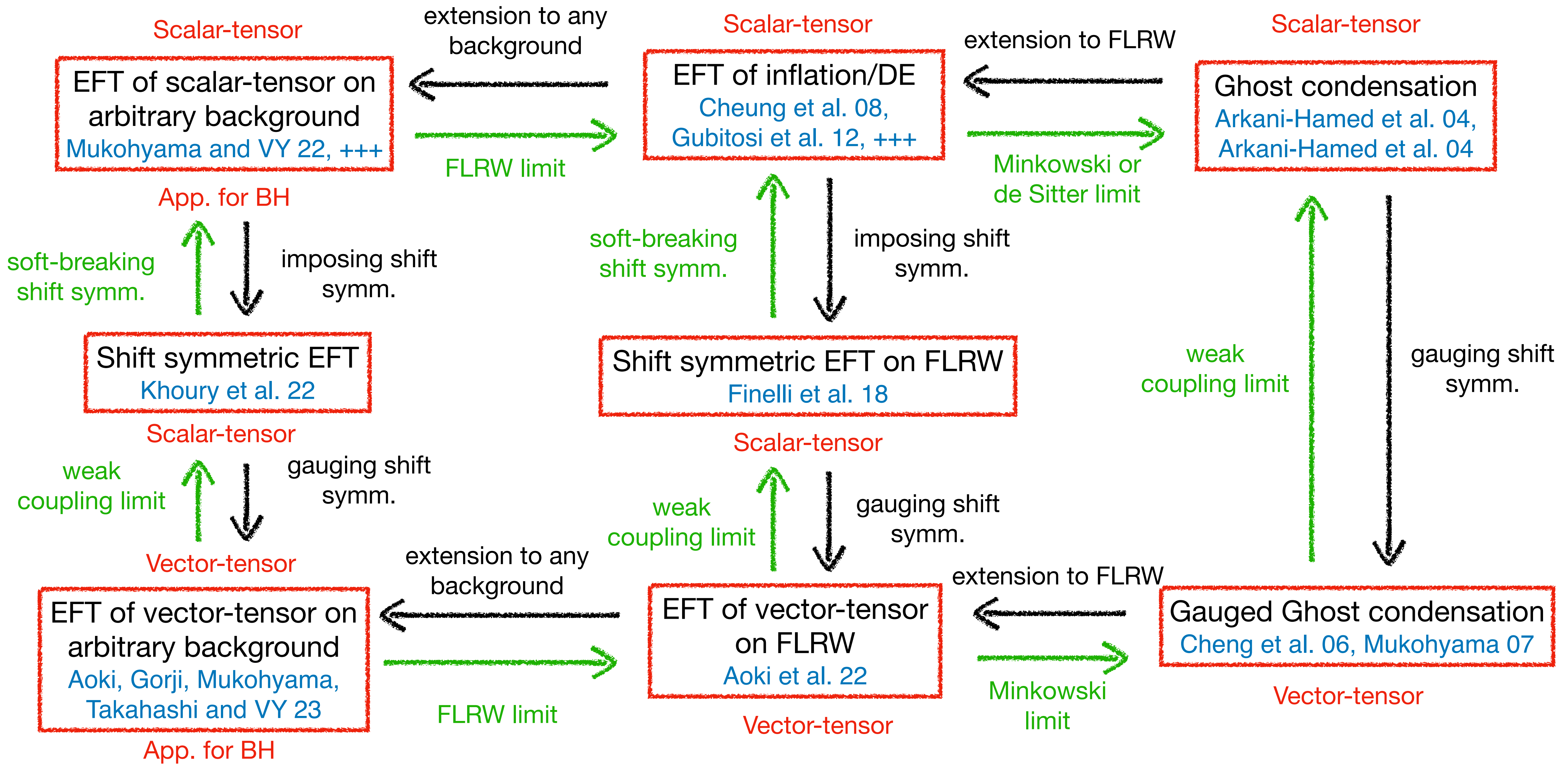
⋮

Chain rule in τ -directions

$$\dot{\Lambda} + \bar{\mathbf{g}}^{\tau\tau} \dot{c} - \frac{M_\star^2}{2} (\bar{\mathbf{K}}^2 - \bar{\mathbf{K}}_\nu^\mu \bar{\mathbf{K}}_\mu^\nu + {}^{(3)}\bar{\mathbf{R}}) \dot{f} + \bar{\mathbf{K}} \dot{d} = 0$$

⋮

The Web of EFTs



Dynamics in odd-parity sector

- Background **metric**: $ds^2 = -d\tau^2 + [1 - A(r)]d\rho^2 + r^2d\Omega^2 \iff ds^2 = -A(r)dt^2 + \frac{dr^2}{B(r)} + r^2d\Omega^2$
Static and spherically symmetric

- Background **scalar**: $\bar{\Phi}(\tau) = \mu^2\tau \Rightarrow \bar{X} = -\mu^4$ with $\Phi \rightarrow \Phi + const.$ and $\Phi \rightarrow -\Phi$

- Metric perturbations** in odd sector (no scalar): $\delta g_{\mu\nu} = g_{\mu\nu} - \bar{g}_{\mu\nu} \quad \delta g_{\tau\tau} = \delta g_{\tau\rho} = \delta g_{\rho\rho} = 0$

$$\delta g_{\tau a} = \sum_{\ell, m} r^2 h_{0, \ell m}(\tau, \rho) E_a^b \bar{\nabla}_b Y_{\ell m}(\theta, \phi)$$

$$a \equiv \{\theta, \phi\}$$

Covariant on S_2

$$\delta g_{\rho a} = \sum_{\ell, m} r^2 h_{1, \ell m}(\tau, \rho) E_a^b \bar{\nabla}_b Y_{\ell m}(\theta, \phi)$$

$$\delta g_{ab} = \sum_{\ell, m} r^2 h_{2, \ell m}(\tau, \rho) E_{(a}^c \bar{\nabla}_{|c|} \bar{\nabla}_{b)} Y_{\ell m}(\theta, \phi)$$

Epsilon tensor on S_2

- Gauge transformation**: $x^\mu \rightarrow x^\mu + \epsilon^\mu \Rightarrow h_2 = 0$
- Only **one** physical degree of freedom — (h_0, h_1) aren't physical

Parameters p's

- Quadratic Lagrangian from EFT with $A(r) = B(r)$ and Z_2 and shift symmetries:

$$\mathcal{L}_2 = p_1 h_0^2 + p_2 h_1^2 + p_3 [(\dot{h}_1 - \partial_\rho h_0)^2 + 2p_4 h_1 \partial_\rho h_0]$$

$$p_1 \equiv \frac{1}{2}(j^2 - 2)r^2\sqrt{1-A}(M_\star^2 + M_3^2) \quad p_2 \equiv -(j^2 - 2)\frac{r^2 M_\star^2}{2\sqrt{1-A}} + (p_3 p_4)'$$

$$p_3 \equiv \frac{(M_\star^2 f + M_3^2)r^4}{2\sqrt{1-A}} \quad p_4 \equiv \sqrt{\frac{B}{A(1-A)}} \left(\frac{A'}{2} + \frac{1-A}{r} \right) \frac{\alpha + M_3^2}{M_\star^2 + M_3^2}$$

- Integrate out (h_0, h_1) : $\mathcal{L}_2 = p_1 h_0^2 + \tilde{p}_2 h_1^2 + p_3 [-\chi^2 + 2\chi(\dot{h}_1 - \partial_\rho h_0 - p_4 h_1)]$

$$\tilde{p}_2 \equiv p_2 - (p_3 p_4)' - p_3 p_4^2$$

$$h_0 = -\frac{\partial_\rho(p_3 \chi)}{p_1} \quad h_1 = \frac{(p_3 \chi)' + p_3 p_4 \chi}{\tilde{p}_2}$$

Parameters s's

$$\mathcal{L}_2 = s_1 \dot{\chi}^2 - s_2 (\partial_\rho \chi)^2 - s_3 \chi^2$$

$$s_1 = \frac{j^2 - 2}{2\sqrt{1-A}} \frac{(M_\star^2 + M_3^2)^2 r^6}{(j^2 - 2)M_\star^2 + (M_\star^2 + M_3^2)r^2 p_4^2} \quad s_2 = \frac{(M_\star^2 + M_3^2)r^6}{2(1-A)^{3/2}}$$

$$s_3 = j^2 \frac{(M_\star^2 + M_3^2)r^4}{2\sqrt{1-A}} + \mathcal{O}(j^0)$$

- The absence of ghost and gradient instabilities require:

$$s_1 > 0, \quad c_\rho^2 > 0, \quad c_\theta^2 > 0$$

$$M_\star^2 + M_3^2 > 0, \quad M_\star^2 > 0$$

\mathcal{L}_2 in (t, r) – and (\tilde{t}, r) –coordinates

- We use $dt = \frac{1}{A}d\tau - \frac{1-A}{A}d\rho$ and $dr = -\sqrt{\frac{B(1-A)}{A}}d\tau + \sqrt{\frac{B(1-A)}{A}}d\rho$

$$\mathcal{L}_2 = \tilde{a}_1(\partial_t\chi)^2 - a_2(\partial_r\chi)^2 + 2a_3(\partial_t\chi)(\partial_r\chi) - a_4\chi^2$$

$$\tilde{a}_1 = \frac{s_1 - (1-A)^2s_2}{\sqrt{A^3B(1-A)}} \quad a_2 = \sqrt{\frac{B(1-A)}{A}}(s_2 - s_1) \quad a_3 = \frac{(1-A)s_2 - s_1}{A} \quad a_4 = \sqrt{\frac{B}{A(1-A)}}s_3$$

- Remove the crossed term by $\tilde{t} = t + \int \frac{a_3}{a_2} dr$ and $r \rightarrow r$

All the parameters are explicitly independent of t

$$\mathcal{L}_2 = a_1(\partial_{\tilde{t}}\chi)^2 - a_2(\partial_r\chi)^2 - a_4\chi^2 \quad \text{with} \quad a_1 = \tilde{a}_1 + \frac{a_3^2}{a_2}$$

Beyond Horndeski theories

- The most general scalar-tensor theories without the Ostrogradski ghost
: (Beyond) Horndeski Theories

$$L_2 = G_2(\Phi, X) \quad L_3 = G_3(\Phi, X) \square \Phi \quad \text{Modifies speed of GW on } \Phi(t)$$

$$L_4 = G_4(\Phi, X)R - 2G_{4X}(\Phi, X)[(\square\Phi)^2 - \Phi_{\mu\nu}\Phi^{\mu\nu}] - F_4(X, \Phi)\epsilon^{\mu\nu\rho\sigma}\epsilon^{\mu'\nu'\rho'\sigma'}\Phi_\mu\Phi_{\mu'}\Phi_{\nu\nu'}\Phi_{\rho\rho'}$$

$$L_5 = G_5(\Phi, X)G_{\mu\nu}\Phi^{\mu\nu} + \frac{1}{3}G_{5X}(\Phi, X)[(\square\Phi)^3 - 3(\square\Phi)\Phi_{\mu\nu}\Phi^{\mu\nu} + 2\Phi_{\mu\nu}\Phi^{\sigma\mu}\Phi^\nu_\sigma]$$

$$-F_5(\Phi, X)\epsilon^{\mu\nu\rho\sigma}\epsilon^{\mu'\nu'\rho'\sigma'}\Phi_\mu\Phi_{\mu'}\Phi_{\nu\nu'}\Phi_{\rho\rho'}\Phi_{\sigma\sigma'}$$

Horndeski 74, Deffayet et al. 11, Zumalacárregui and García-Bellido 14, Gleyzes et al. 14

$$\Phi_{\mu} \equiv \nabla_{\mu} \Phi$$

- DHOST Theories:** Lagrangian contains second-order derivatives of a scalar field

Langlois and Noui 15, Langlois 17

Motivated example

- Timelike scalar solution on Schwarzschild background

Mukohyama 05

Stealth solution i.e. non-trivial scalar profile on a metric of GR

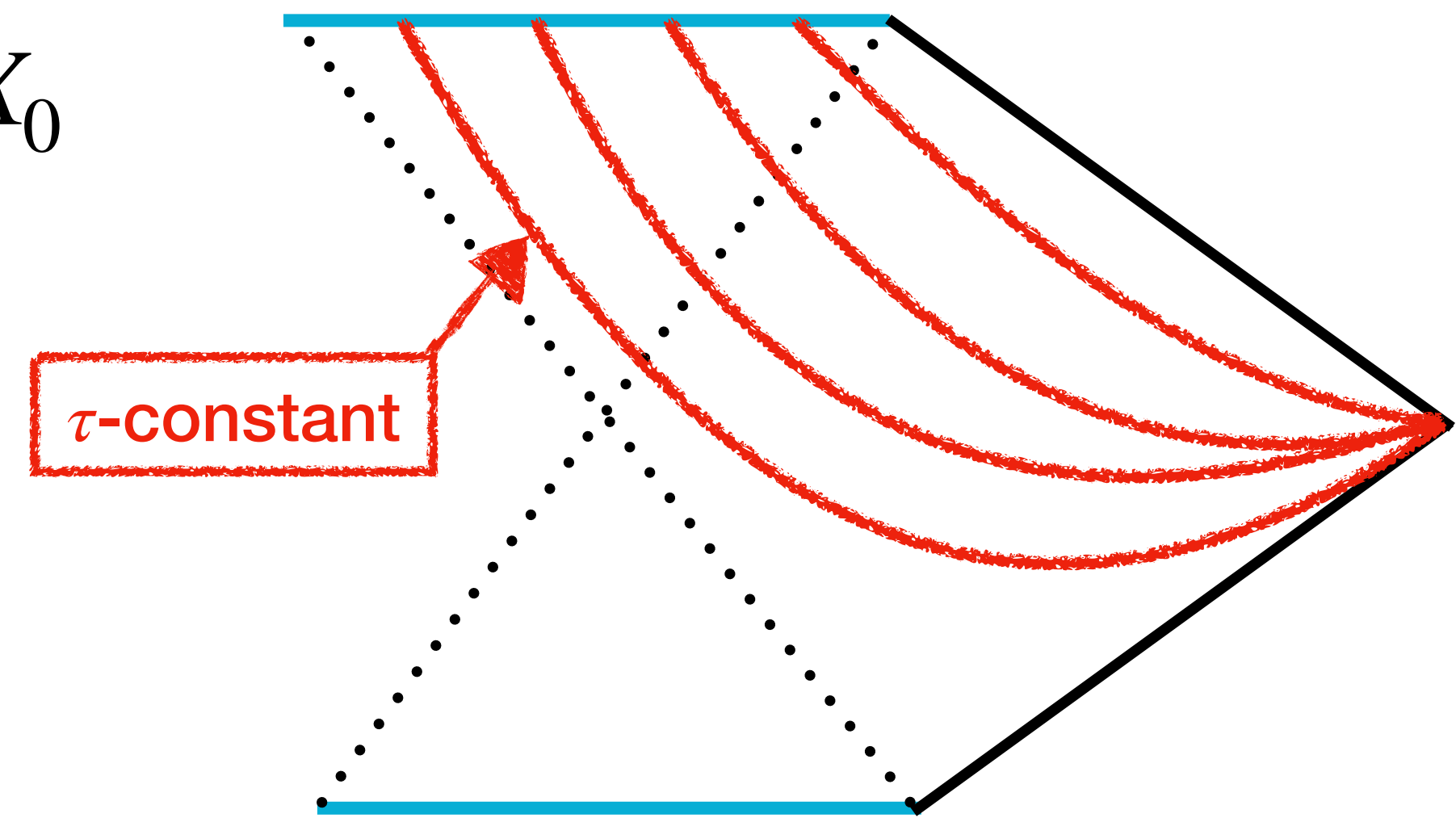
$$S = \int d^4x \sqrt{-g} P(X) \quad T_{\mu\nu}^{\Phi} = P(X_0) g_{\mu\nu} \quad P(X_0) = P'(X_0) = 0$$

The solution is $\Phi(\tau) = \sqrt{-X_0} \tau$ with constant X_0

$$ds^2 = -d\tau^2 + \frac{r_s}{r} d\rho^2 + r^2 d\Omega^2$$

$$r(\tau, \rho) = r_s^{1/3} \left[\frac{3}{2}(\rho - \tau) \right]^{2/3}$$

Lemaitre coordinates



$u^\mu = -\partial^\mu \Phi$: timelike everywhere

Formulation of the EFT

- **Consistency relations** among EFT parameters:

$$\partial_i \Lambda + \bar{g}^{\tau\tau} \partial_i c - \frac{1}{2} M_\star^2 {}^{(3)}\bar{R} \partial_i f + \frac{1}{3} \bar{K} (M_\star^2 \bar{K} \partial_i f + 3 \partial_i \beta) - \frac{1}{2} \bar{\sigma}_\nu^\mu (M_\star^2 \bar{\sigma}_\mu^\nu \partial_i f - 2 \partial_i \alpha_\mu^\nu) + \bar{r}_\nu^\mu \partial_i \gamma_\mu^\nu \simeq 0$$

$$\partial_i c + m_2^4 \partial_i \bar{g}^{\tau\tau} + \frac{1}{2} (M_1^3 + \frac{1}{3} h_\nu^\mu \lambda_{1\mu}^\nu) \partial_i \bar{K} + \frac{1}{2} \lambda_{1\mu}^\nu \partial_i \bar{\sigma}_\nu^\mu + (\mu_1^2 + \frac{1}{3} h_\nu^\mu \lambda_{2\mu}^\nu) \partial_i {}^{(3)}\bar{R} + \frac{1}{2} \lambda_{2\mu}^\nu \partial_i \bar{r}_\nu^\mu \simeq 0$$

⋮

- EFT corresponding to **Horndeski theories**:

$$S = \int d^4x \sqrt{-g} \left[\frac{M_\star^2}{2} f(y) R - \Lambda(y) - c(y) g^{\tau\tau} - \beta(y) K - \alpha_\nu^\mu(y) \sigma_\mu^\nu - \gamma_\nu^\mu(y) r_\mu^\nu + \frac{1}{2} m_2^2(y) (\delta g^{\tau\tau})^2 \right. \\ \left. + \frac{1}{2} M_1^3(y) \delta g^{\tau\tau} \delta K + \frac{1}{2} \mu_1^2(y) \delta g^{\tau\tau} \delta {}^{(3)}R - \frac{1}{2} m_4^2(y) (\delta K^2 - \delta K_\nu^\mu \delta K_\mu^\nu) + \dots \right]$$

Dictionary w/ quadratic HOST theories
see S. Mukohyama, K. Takahashi and VY 22

Decoupling limit action for π

Neglect the mixing with gravity \Rightarrow no need to solve for N and N_i

$$S = \int d^4x \sqrt{-g} \left[\frac{M_\star^2}{2} f(y) R - \Lambda(y) - c(y) g^{\tau\tau} + \frac{1}{2} m_2^4(y) (\delta g^{\tau\tau})^2 + \frac{1}{3!} m_3^4(y) (\delta g^{\tau\tau})^3 \right]$$

Use $g^{\tau\tau} \rightarrow g^{\tau\tau} + 2g^{\tau\mu} \partial_\mu \pi + g^{\mu\nu} \partial_\mu \pi \partial_\nu \pi$

$$S_\pi = \int d\tau d^3\tilde{x} \sqrt{-g} c_s c \left[\left(\dot{\pi}^2 - h^{ij} \tilde{\partial}_i \pi \tilde{\partial}_j \pi \right) + c_s^2 \left(\frac{1}{c_s^2} - 1 \right) \dot{\pi} (\dot{\pi}^2 - h^{ij} \tilde{\partial}_i \pi \tilde{\partial}_j \pi) + \frac{8}{3} \frac{m_3^4 c_s^2}{c} \dot{\pi}^3 \right]$$

where $x_i = c_s \tilde{x}_i$ and $\frac{1}{c_s^2} \equiv 1 + \frac{2m_2^4}{c}$

$$\frac{\mathcal{L}_2}{\mathcal{L}_3} \sim 1 \quad \Rightarrow \quad E_{\text{Cubic}} \sim \frac{(c_s M_\star^2 E^2)^{1/4}}{\sqrt{1 - c_s^2}}$$

Strong coupling scale above which \mathcal{L}_3 becomes important compared to \mathcal{L}_2

Dictionary e.g. Quartic Horndeski

$$L_4 = G_4(\Phi, X)R - 2G_{4X}(\Phi, X)(\square\Phi^2 - \nabla_\nu \nabla_\mu \Phi \nabla^\nu \nabla^\mu \Phi)$$

This can be rewritten as $L_4 = G_4^{(3)}R + (2XG_{4X} - G_4)\mathcal{K}_2 - 2\sqrt{-X}G_{4\Phi}K$ $\mathcal{K}_2 \equiv K^2 - K_{\mu\nu}K^{\mu\nu} = 2K^2/3 - \sigma_{\mu\nu}\sigma^{\mu\nu}$

Determine \bar{F} and its derivatives e.g. $\bar{F} = \bar{G}_4^{(3)}\bar{R} + (2\bar{g}^{\tau\tau}\dot{\Phi}^2\bar{G}_{4X} - \bar{G}_4)\bar{\mathcal{K}}_2 - 2\sqrt{-\bar{g}^{\tau\tau}}\dot{\Phi}\bar{K}\bar{G}_{4\Phi}$, $\bar{F}_{(3)R} = \bar{G}_4$

Use the matching we obtain

$$M_{\star}^2 f = 2\bar{G}_4 \quad \Lambda = \bar{g}^{\tau\tau}\dot{\Phi}^2\bar{G}_{4X}({}^{(3)}\bar{R} + 3\bar{\mathcal{K}}_2) + 2(-\bar{g}^{\tau\tau})^2\dot{\Phi}^4\bar{G}_{4XX}\bar{\mathcal{K}}_2 - \sqrt{-\bar{g}^{\tau\tau}}\dot{\Phi}\bar{K}\bar{G}_{4\Phi} + 2(-\bar{g}^{\tau\tau})^{3/2}\dot{\Phi}^3\bar{K}\bar{G}_{4\Phi X}$$

$$c = -\dot{\Phi}^2\bar{G}_{4X}({}^{(3)}\bar{R} - \mathcal{G}_4\bar{\mathcal{K}}_2 - \frac{1}{\sqrt{-\bar{g}^{\tau\tau}}}\dot{\Phi}\bar{K}\bar{G}_{4\Phi} + 2\sqrt{-\bar{g}^{\tau\tau}}\dot{\Phi}^3\bar{K}\bar{G}_{4\Phi X}) \quad \beta = -\frac{8}{3}\bar{g}^{\tau\tau}\dot{\Phi}^2\bar{K}\bar{G}_{4X} + 2\sqrt{-\bar{g}^{\tau\tau}}\dot{\Phi}\bar{G}_{4\Phi}, \quad \alpha_\nu^\mu = 4\bar{g}^{\tau\tau}\dot{\Phi}^2\bar{G}_{4X}\bar{\sigma}_\nu^\mu$$

$$m_2^4 = \dot{\Phi}^4\bar{G}_{4XX}({}^{(3)}\bar{R} + (3\dot{\Phi}^4\bar{G}_{4XX} + 2\bar{g}^{\tau\tau}\dot{\Phi}^6\bar{G}_{4XXX})\bar{\mathcal{K}}_2 + \frac{1}{2(-\bar{g}^{\tau\tau})^{3/2}}\dot{\Phi}\bar{K}\bar{G}_{4\Phi} + \frac{2}{\sqrt{-\bar{g}^{\tau\tau}}}\dot{\Phi}^3\bar{K}\bar{G}_{4\Phi X} - 2\sqrt{-\bar{g}^{\tau\tau}}\dot{\Phi}^5\bar{K}\bar{G}_{4\Phi XX})$$

$$M_1^3 = \frac{8}{3}\bar{K}\mathcal{G}_4 + \frac{2}{\sqrt{-\bar{g}^{\tau\tau}}}\dot{\Phi}\bar{G}_{4\Phi} - 4\sqrt{-\bar{g}^{\tau\tau}}\dot{\Phi}^3\bar{G}_{4\Phi X}, \quad M_2^2 = 4\bar{g}^{\tau\tau}\dot{\Phi}^2\bar{G}_{4X}, \quad M_3^2 = -4\bar{g}^{\tau\tau}\dot{\Phi}^2\bar{G}_{4X}, \quad \mu_1^2 = 2\dot{\Phi}^2\bar{G}_{4X}, \quad \lambda_{1\nu}^\mu = -4\mathcal{G}_4\bar{\sigma}_\nu^\mu$$

Applicable for any solution of $\bar{\Phi}(\tau)$

Dipole perturbations ($\ell = 1$)

- h_2 trivially vanishes by definition in terms of spherical harmonics
- Setting $\partial_\rho \Xi = h_1 \Rightarrow h_1 = 0$ is an **incomplete** gauge fixing since one is still free to choose Ξ up to an arbitrary function of τ
- Setting $h_1 = 0$ in \mathcal{L}_2 leads to a loss of indep. Eqns of motion
- Eqns of motion for h_0 and h_1 :

$$\partial_\rho [p_3(\dot{h}_1 - \partial_\rho h_0)] + \partial_\rho(p_3 p_4 h_1) = 0 \quad [p_3(\dot{h}_1 - \partial_\rho h_0)]' - (p_3 p_4)' h_1 - p_4 p_3 \partial_\rho h_0 = 0$$

- Setting $h_1 = 0$ gives $\partial_\rho(p_3 \partial_\rho h_0) = 0$ and $\dot{C}_1(\tau) + p_4 C_1(\tau) = 0$

where $p_3 \partial_\rho h_0 = C_1(\tau)$

Dipole perturbations ($\ell = 1$)

- From $\dot{C}_1(\tau) + p_4 C_1(\tau) = 0 \Rightarrow C_1(\tau) = (\text{const.})e^{-\int p_4 d\tau}$
- **Avoid infinite** $c_\rho^2 \Rightarrow rp_4$ to be finite as $r \rightarrow \infty \Rightarrow p_4 = p_4(r)$ with $r = r(\rho - \tau)$
- But this is incompatible with $\partial_\rho(p_3 \partial_\rho h_0) = 0$ only if $C_1(\tau) = 0$
 $p_3 \partial_\rho h_0 = C_1(\tau)$
- For $C_1(\tau) = 0$ we have $h_0 = h_0(\tau)$ since $p_3 \neq 0$
Gauge parameter
- Setting $h_1 = 0$ gives $\Xi = \Xi(\tau)$ which can be chosen such that $h_0 = 0$
 $h_0 \rightarrow h_0 - \dot{\Xi}$, $h_1 \rightarrow h_1 - \partial_\rho \Xi$
No slowly rotating black hole solution

Dipole perturbations ($\ell = 1$)

- We set $p_4 = 0 \Rightarrow C_1 = \text{const}$. and

$$h_0 = 2C_1 \int d\rho \frac{\partial_\rho r}{(M_\star^2 + M_3^2)r^4} \sqrt{\frac{A}{B}}$$

Slowly rotating BH exists

- For $A = B$ and $M_3^2 = \text{const}$. :

$$h_0 = - \frac{J}{4\pi(M_\star^2 + M_3^2)r^3}$$

Angular momentum of slowly rotating BH

$$J \equiv \frac{8\pi C_1}{3}$$

Dipole perturbations correspond to the angular momentum of slowly rotating BH

e.g. Expanding Kerr metric up to linear order in J gives $\#J dt d\phi$

- For $A \neq B$ and/or $M_3^2 \neq \text{const}$. : a rotating BH doesn't belong to Kerr family, even at the linear level