

# Minimum scaling model and exact exponents for the Nambu-Goldstone modes in the Vicsek Model

(arXiv:2401.01603)

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- Introduction
- Previous research: Toner-Tu theory
- Model
- Theory
- Comparison with numerical simulations
- Summary and discussions

Continuous symmetry breaking in equilibirum

**O(n) model** (n=2 $\rightarrow$  XY, n=3 $\rightarrow$  Heisenberg)

$$F[\phi] = \int dx \left[ \frac{k}{2} (\nabla \phi)^2 + f(\phi) \right] f(\phi) = a \frac{|\phi|^2}{2} + b \frac{|\phi|^4}{4}$$

Order parameter:  $\boldsymbol{\phi} = \{\phi_1, \dots, \phi_n\}$ 

# Schematic pictures of $f(\phi)$ for n=2

**Disordered phae** a > 0

**Ordered phae** a < 0



 $\langle \boldsymbol{\phi} \rangle = 0$ 



 $\langle \boldsymbol{\phi} \rangle \neq 0$ 

Hohenberg-Merming-Wagner theorem



Fluctuations of the NG mode diverge in two dimensions, which destroy the long-range order.

Hohenberg-Merming-Wagner theorem

In  $d \leq 2$ , systems with short range interactions do not show continuous symmetry breaking in equilibirum.



Continous symmetry breakings do not occur in d=2 in equilibirum. How about systems far from equilibirum?

# Continuous symmetry breaking far from equilibirum

# Several non-equilibrium systems exhibit the continuous symmetry breaking even in $d\leq 2$

# **2D Vicsek model**

Vicsek (1994) **Ikeda, arXiv:2403.02086(2024)**   $\mathbf{x}_i(t+1) = \mathbf{x}_i(t) + \mathbf{v}_i(t)\Delta t$ .  $\theta(t+1) = \langle \theta(t) \rangle_r + \Delta \theta$ ,



**2d O(n) model** Nakano *et al.* (2021), **with shear** Ikeda arXiv:2401.01603 (2024)  $\left[\frac{\partial}{\partial t} + \boldsymbol{v} \cdot \nabla\right]\varphi_a = -\Gamma \frac{\delta \Phi[\boldsymbol{\varphi}]}{\delta \varphi_a} + \eta_a$ 

#### 2d XY model with anistropic noise

M. D. Reichl et al. (2010)



# **Anticorreltaed noise**

Galliano *et al.* (2023) Ikeda, PRE **108**(6), 064119 (2023) Ikeda, arXiv: 2309.03155 (2023) Kuroda *et al.* (2024)

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# Introduction Vicsek model

「群れ科学」 Wired



Photograph by Soren Solker

Vicsek model





Wicsek model

XY model like Order paramter

0

()

#### **Order parameter**

 $\int i\theta_i$ 

50

$$\mathbf{v}_i(\theta_i) = \begin{pmatrix} v_i \cos \theta_i \\ v_i \sin \theta_i \end{pmatrix}$$





# Vicsek like model in experiment

#### Janus particles in AC electric field



Effective Vicsek like alingment interaction

# Vicsek like model in experiment

#### Janus particles in AC electric field

Low density, disordered phase

High density, ordered phase



Iwasawa, Nishiguchi, and Sano (2021)

# Vicsek like model in experiment

#### Janus particles in AC electric field

Low density, disordered phase

High density, ordered phase





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# Vicsek like model in experiment

Iwasawa, Nishiguchi, and Sano (2021)



True long-range order emerge even in d=2!!!



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# Question

Why does the Vicsek model exhibit the continuous symmetry breaking even in d=2?

To answer, this question, Toner and Tu constructed and investigated a coarse grained hydrodynamic equation for the Vicsek model

# Slow (hydrodynamic) variables of the Vicsek Model

 $\rho(\mathbf{x}, t)$ : local density,  $\mathbf{v}(\mathbf{x}, t)$ : local velocity



**Toner-Tu hydrodynamic description** 



# Scaling behaviors

Toner-Tu equation Toner and Tu (1995)

$$\frac{\partial v}{\partial t} + (v \cdot \nabla)v = \kappa \nabla^2 v - \frac{1}{\rho} \nabla P - \gamma \frac{\delta F[v]}{\delta v} + \xi$$
  
F[v] =  $\int dx \left[\frac{a}{2}|v|^2 + \frac{b}{4}|v|^4\right]$   
Disordered phae  
 $a > 0$   
 $\langle v \rangle = 0$   
 $\langle v \rangle \neq 0$ 

# **Scaling behaviors**



# Fluctuation of NG mode in the ordered phae





 $\delta v_{\perp}$ : The velocity component orthogonal to the mean-velocity  $\langle v \rangle$  is the NG mode.

Anistoropy exponent  $\zeta = 1 \rightarrow \text{isotropic}$  $\zeta \neq 1 \rightarrow \text{anisotropic}$ 

Anisotropic scaling transformations  $x_{\perp} \rightarrow l x_{\perp}, x_{\parallel} \rightarrow l^{\zeta} x_{\parallel}, t \rightarrow l^{z} t, \, \delta v_{\perp} \rightarrow l^{\chi} \delta v_{\perp}$ 

 $\delta x_{\rm II} \sim 1^{\zeta}$ 

# Scaling behaviors

Toner-Tu equation Toner and Tu (1995)

$$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla)\mathbf{v} = \kappa \nabla^2 \mathbf{v} - \frac{1}{\rho} \nabla P - \gamma \frac{\delta F[\mathbf{v}]}{\delta \mathbf{v}} + \boldsymbol{\xi}$$

Anisotropic scaling transformations  $x_{\perp} \rightarrow l x_{\perp}, x_{\parallel} \rightarrow l^{\zeta} x_{\parallel}, t \rightarrow l^{z} t, \, \delta v_{\perp} \rightarrow l^{\chi} \delta v_{\perp}$ 



Fluctuation

 $\chi < 0$  for d > 3/2

$$\langle v_{\perp}^2 \rangle \sim l^{2\chi} \xrightarrow{l \to \infty} \begin{cases} \infty & d \le 3/2 \\ 0 & d > 3/2 \end{cases}$$

Long range order can exist in d=2 Previous reserach: Toner-Tu thoery Comarison with numerical simulation

Scaling exponents (TT95)

$$z = \frac{2(1+d)}{5}, \ \zeta = \frac{d+1}{5}, \ \chi = \frac{3-2d}{5}$$

## **Comparison with simulation**

B. Mahault et al. (2019)

d=2d = 3Vicsek TT95 Vicsek TT95  $v_{\perp} \chi | -0.31(2)$ -0.6 -0.2 -0.62  $x_{\parallel} \zeta \mid 0.95(2)$ 0.60.8 1.33(2)1.21.61.77 ${z}$ 

Numerical results of the Vicsek model are inconsistent with TT95. In particular, the numerical results suggest the (almost) isotropic scaling  $\zeta = 1$ 

What was the problem?

In 2012, J. Toner, one of the authoer of the Toner-Tu thery, performed reanalysis of the hydrodynamic equation. J. Toner (2012)

The most general EOM for slow variables allowed by symmetry



In parinciple, this equation probides the correct scaling exponents. However the EOM is so complicated...

# Previous reserach: Toner-Tu thoery Historical summary

- 1995: Vicsek model (Vicsek *et al.*)
- 1995: Toner-Tu theory (Toner and Tu)
- 2012: Re-analysis revealed some missing terms in TT95 (Toner)
- 2019: Extensive numerical simulation ( $N \sim 10^9$ ) of the Vicsek model reported different values of scaling exponents (Mahault *et al.* )
- 2024: Correct scaling exponents (this work)



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# Model

# Origin of the discrepancy between TT95 and simulation

In 2012, J. Toner, one of the authoer of the Toner-Tu thery, performed reanalysis of the hydrodynamic equation. J. Toner (2012)

The most general EOM for slow variables allowed by symmetry



In parinciple, this equation probides the exact scaling exponents. However the EOM is so complicated that we can not solve exactly.

Here instead, we porpose a simple phenomenological equation of motions (EOM) for slow modes.

# Model

# Equations for slow variables in d=2

#### **Slow variables**

Velocity fluctuations perpendicular to  $\langle v \rangle$ 

$$\delta v_{\perp}(\boldsymbol{x},t), \quad \delta \rho(\boldsymbol{x},t) - Density fluctuations$$

First order gradient expansion for equation of motion

$$\begin{pmatrix} \delta \dot{\rho} \\ \delta \dot{v}_{\perp} \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \nabla \delta \rho \\ \nabla \delta v_{\perp} \end{pmatrix} + \cdots$$

Diagonalization in the Fourier space

**Eigenmodes** Tonear and Tu (1995, 1998)  $\dot{\tilde{u}}_{\pm}(\boldsymbol{q},t) = iqc_{\pm}(\hat{q})\tilde{u}_{\pm}(\boldsymbol{q},t) + O(q^2,u^2)$ 

Non-degenerated sound velocities  $c_+(\hat{q}) \neq c_-(\hat{q})$ 

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**Interaction representation** 

$$\tilde{u}_{\pm}(\boldsymbol{q},t) = u_{\pm}(\boldsymbol{q},t)e^{iqc_{\pm}t}$$

# **Spatial correlation** $C_{\alpha\beta}(\boldsymbol{x}) = \int d\boldsymbol{q} e^{i\boldsymbol{q}\cdot\boldsymbol{x}} \langle \tilde{u}_{\alpha}(\boldsymbol{q},t)\tilde{u}_{\beta}^{*}(\boldsymbol{q},t) \rangle = \int d\boldsymbol{q} e^{i\boldsymbol{q}\cdot\boldsymbol{x}} \langle u_{\alpha}(\boldsymbol{q},t)u_{\beta}^{*}(\boldsymbol{q},t) \rangle e^{iq(c_{\alpha}-c_{\beta})t}$ East oscillation



The correlation decouples for difference modes  $\alpha \neq \beta$ , due to the fast oscillation of  $e^{iq(c_{\alpha}-c_{\beta})t}$ , when  $c_{\alpha} \neq c_{\beta}$ 

 $u_+$  and  $u_-$  can be treated separately! Chaté and Solon (2024)

We shall construct a EOM for  $u_{\pm}$ , separately.

# Model

Phenomelorogical EOM for  $u_{\pm}$ 

We shall construct a EOM for  $u_{\pm}$ , separately.

# ModelPhenomelorogical EOM for $u_{\pm}$

#### We shall construct a EOM for $u_{\pm}$ , separately.

- Since  $u_{\pm}$  have the same symmetry, it is sufficient to consider one of them. Hereafter, we omit the subscritp  $u_{+} \rightarrow u$
- We must take into account ALL the relevant terms allowed by symmetry.

The most general EOM
$$\dot{u} = \nabla^2 u + ua \cdot \nabla u + \xi$$
NoiseAdvection  $c \cdot \nabla u$  do not appear in  
the interaction representation.The lowest-order  
non-linearlity(Higher order terms  $\ddot{u}, \nabla^3 u, (\nabla u)^2, \cdots$  are irrelevant )



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# Linear analysis

Theory

We first investigate the linear model to revisit the derivation of the scaling exponents.

The most general EOM

$$\dot{u} = \nabla^2 u + u a \cdot \nabla u + \xi$$

Neglect non-linear term



**Linear model** 

$$\dot{u} = \nabla^2 u + \xi$$

# Linear model

$$\dot{u} = \nabla^2 u + \xi$$

# Linear model

$$\dot{u} = \nabla^2 u + \xi$$
Anistoropy exp  
Scaling transformation
$$\zeta = 1 \rightarrow \text{isotropic}$$

$$\zeta \neq 1 \rightarrow \text{anisotropic}$$

$$x_{\perp} \rightarrow lx_{\perp}, x_{\parallel} \rightarrow l^{\zeta}x_{\parallel}, t \rightarrow l^{z}t, u \rightarrow l^{\chi}u$$

storopy exponent  $1 \rightarrow \text{isotropic}$  $1 \rightarrow \text{anisotropic}$ 

Scaling relations 
$$\chi - z = \chi - 2 = \chi - 2\zeta = -(d - 1 + \zeta + z)/2$$

 $l^{\chi-z}\dot{u} = l^{\chi-2}\nabla_{\perp}^{2}u + l^{\chi-2\zeta}\partial_{\parallel}^{2}u + l^{-(d-1+\zeta+z)/2}\xi$ 

Fluctuations destroy Scaling exponents long-range order in  $d \leq 2$  $\zeta = 1, z = 2, \chi = \frac{2-d}{2}$  $\langle u^2 \rangle \sim l^{2\chi} \xrightarrow{l \to \infty} \begin{cases} 0 & d > 2\\ \infty & d \le 2 \end{cases}$ 

The lower-critical dimension of the linear model is  $d_{low} = 2$ What will happen for non-linear model?

Theory

Vicsek Model

**Non-linear EOM**  
$$\dot{u} = \nabla^2 u + u a \cdot \nabla u + \xi$$

**Pseudo-Galilean invariance** 

$$u \to u + U, x \to x + Uat$$

 $\pmb{x} = \{x_{\parallel}, \pmb{x}_{\perp}\}$  and ut have the same scaling dimension

 $[x_{\perp}] = [ut] \rightarrow 1 = \chi + z$  $[x_{\parallel}] = [ut] \rightarrow \zeta = \chi + z$ 

**Non-linear term**  $u\boldsymbol{a}\cdot\nabla u=\nabla\cdot(\boldsymbol{a}u^2/2)$ Perterbative RG V (Some functions) does not affect the scaling exponents of the terms without  $\nabla$  $\dot{u} \sim l^{\chi-z}, \ \xi \sim l^{-(d-1+\zeta+z)/2}$ 

 $\chi - z = -(d - 1 + \zeta + z)/2$ 

Theory Vicsek Model

# Scaling relations $1 = \chi + z$ $\zeta = \chi + z$ $\chi - z = -(d - 1 + \zeta + z)/2$

# Theory Vicsek Model

Scaling relations  $1 = \chi + z$   $\zeta = \chi + z$   $\chi - z = -(d - 1 + \zeta + z)/2$ 



Fluctuations destroy long-range order in  $d \leq 1$ 

$$\langle u^2 \rangle \sim l^{2\chi} \xrightarrow{l \to \infty} \begin{cases} \infty & d \le 1\\ 0 & d > 1 \end{cases}$$

The non-linear term stabilizes the long-range order even in d=2.

We get new scaling exponents distrinct from the Toner-Tu theory.



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## **Comparison with numerical simulation**

# Scaling exponents

B. Mahault, F. Ginelli, and H. Chaté (2019)

- In d=2, we get almost perfect agreement.
- In d=3, the both theories work well, and it is difficult to judge which theory works better.

## **Comparison with numerical simulation**

**Correlation function** 

**Scalings** 

$$\mathbf{x}_{\perp} \sim l, \, \mathbf{x}_{\parallel} \sim l^{\zeta}, \, t \sim l^{z}, \, u \sim l^{\chi}$$

#### **Correlation function in real space**

$$C(\mathbf{x}_{\perp}, x_{\parallel}) = = \langle u(\mathbf{x}_{\perp}, x_{\parallel})u(\mathbf{0}, 0) \rangle = l^{2\chi}C(l^{-1}\mathbf{x}_{\perp}, l^{-\zeta}x_{\parallel}) = \begin{cases} |\mathbf{x}_{\perp}|^{2\chi} & |\mathbf{x}_{\perp}| \gg x_{\parallel} \\ |x_{\parallel}|^{2\chi/\zeta} & |\mathbf{x}_{\perp}| \ll x_{\parallel} \end{cases}$$

#### **Correlation function in Fourier space**

$$\tilde{C}(\boldsymbol{q}_{\perp}, \boldsymbol{q}_{\parallel}) = \begin{cases} |\boldsymbol{q}_{\perp}|^{-z} & |\boldsymbol{q}_{\perp}| \gg q_{\parallel} \\ |\boldsymbol{q}_{\parallel}|^{-z/\zeta} & |\boldsymbol{q}_{\perp}| \ll q_{\parallel} \end{cases}$$

# Comparison with numerical simulation Correlation function

#### Velocity correlation in d=2



Our theory works better than the Toner-Tu theory (1995).

# Comparison with numerical simulation Correlation function

#### **Velocity correlation in d=3**



Further studies are necessary to judge the correct theory.



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Connection with the Toner-Tu theory

Here we brifely revisit the derivation of the scaling exponents of the Toner-Tu model.

**Non-linear EOM** 

$$\dot{u} = \nabla^2 u + u a_{\perp} \cdot \nabla_{\perp} u + u a_{\parallel} \partial_{\parallel} u + \xi$$
  
Slow mode for Toner-Tu theory

The Toner-Tu theory neglects (non-linear) coupling between velocity and density fluctuations. Toner (2012)

$$u \propto v_{\perp} \quad \text{Velocity perpendicular to the order parameter } \sqrt{v}$$
Symmetry
$$v_{\perp}(-x_{\perp}) = -v_{\perp}(x_{\perp}) \quad ua_{\perp} \cdot \nabla_{\perp}u + ua_{\parallel}u_{\parallel}u$$
Prohibited by symmetry



#### Non-linear EOM

$$\dot{u} = \nabla^2 u + u a_{\perp} \cdot \nabla_{\perp} u + u a_{\mu} \delta_{\mu} u + \xi$$
  
Prohibited by symmetry

# **Galilean invariance**

$$u \to u + U, x_{\perp} \to x_{\perp} + Ua_{\perp}t$$

 $x_{\perp}$  and ut have the same scaling dimension

# **Conservative non-linear term**

$$u\boldsymbol{a}_{\perp}\cdot\nabla_{\perp}\boldsymbol{u}=\nabla_{\perp}\cdot(\boldsymbol{a}_{\perp}\boldsymbol{u}^{2}/2)$$

This term does not affect the scaling exponents of the terms

without  $\nabla_{\perp}$  $\dot{u} \sim l^{\chi-z}, \ \partial_{\parallel}^2 u \sim l^{\chi-2\zeta}, \ \xi \sim l^{-(d+z)/2}$ 

 $\chi - z = \chi - 2\zeta = -(d - 1 + \zeta + z)/2$ 

$$1 = \chi + z$$

Connection with the Toner-Tu theory

**Scaling relations** 

$$1 = \chi + z \quad \chi - z = \chi - 2\zeta = -(d - 1 + \zeta + z)/2$$

Connection with the Toner-Tu theory

# **Scaling relations**

$$1 = \chi + z \quad \chi - z = \chi - 2\zeta = -(d - 1 + \zeta + z)/2$$



What will happen for Vicsek Model, where the density fluctutaions couple with velocity fluctutaions?

**Connection with the Toner-Tu theory** 

# $\dot{u} = \nabla^2 u + u \mathbf{a} \perp \cdot \nabla_{\perp} u + u a_{\parallel} \partial_{\parallel} u + \xi$

# **Slow mode for the Vicsek Model**

u is a mixed mode between  $\delta 
ho$  and  $v_{\perp}$ 

Sympetry  
$$v(-x_{\perp}) = -v_{\perp}(x_{\perp})$$

There is no-reason to prohibit  $ua_{\parallel}\partial_{\parallel}u$ 

When constructing phenomenological EOM, it is important to consider ALL relevant terms allowed by symmetry.



- We constructed a phenomenological EOM for the slow modes of the Vicsek Model and calculated the scaling exponents.
- Our scaling exponents well agree with the numericla simulation of the Vicsek Model in d=2.
- Our theory and TT95 equally well fit the numerical data in d=3.