Lecture III: Synthetic Spin-Orbit Coupling for Ultracold Atoms and Majorana fermions

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Outline

- Background
- Topological superconductivity by proximity effect
- Properties of Majorana zero modes
- Experiments
- Time-reversal symmetry-protected topological superconductors
- Symmetry protected non-Abelian statistics
- Summary

Introduction

Motivation: Search for Majorana fermions

• Dirac equation: Quantum relativistic description of spin ½ fermions

$$-(i\gamma^{\mu}\partial_{\mu}-m)\Psi=0$$





• So Majorana fermion is identical to its own antiparticle:

$$\gamma=\gamma^{\dagger}$$

• In condensed matter physics, Majorana fermion may emerge as a quasiparticle by

$$\gamma = c + c^{\dagger}$$

 In particular, Majorana mode can emerge as a zero-energy quasiparticle in p-wave toplogical superconductor.

$${\gamma _E} = {\gamma _E}^\dagger$$
, when $E = 0$

• Majorana zero bound modes obey non-Abelian statistics, and can be applied to topological quantum computation.

What kind of superconductors hosting Majorana modes?





Quasi-particle:

$$b_i = \mu c_{\uparrow} + \nu c_{\downarrow}^{\dagger}$$
 not Majorana

In a spinless p-wave SC:



Quasi-particle:

 $\gamma_i = \mu c + \nu c^{\dagger}$ Is a Majorana if $\mu = \nu^*$

Intrinsic p-wave pairing systems

Fractional quantum Hall systems at $v=5/2$	(Moore & Read, 1991)
Chiral p+ip SC	(Reed & Green, 2000)
1D p-wave SC	(Kitaev, 2001)

Effective p-wave pairing systems by proximity effect

Topological Insulators + s-wave SC	(Fu & Kane, 2008) (Nilsson, Akhmerov, & Beenakker, 2008),
Semiconductors + s-wave SC	(Sau, Lutchyn, Tewari & Das Sarma, 2010), (Alicea, 2010), (Lutchyn, Sau & Das Sarma, 2010) (Oreg, von Oppen & Refael, 2010) (M. Sato, Takahashi & Fujimoto, 2009)
Ferromagnetic chains/wires on s-wave SC	A. Yazidani, A. Bernevig, A. H. MacDonald, F. von Oppen et al. Basel group.
Symmetry protected topological superconductors Rashba wire + d-wave SC Double Rashba wire + s-wave SC Normal wire + non-centrosymmeric SC Topological crystalline insulator + SC	DIII class topological superconductors Topological crystalline superconductors,

Some new developments at PKU groups:

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Superconductivity induced on Dirac semimetal Cd3As2: Wang, ..., Jia, XJL, Xie, Wei, Wang, Nature Mater. 15, 38 (2016).

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Unconventional SC on Weyl semimetal TaAs: Wang, ..., Jia, XJL, Wei, Wang, arXiv:1607.00513.

1) 1D example: Intrinsic 1D p-wave superconductor/superfluid

1D *p*-wave topological superconductor (Kitaev, Physics-Uspekhi (2001)). Hamiltonian in the Nambe space $H = \sum_{k} (c_k, c_{-k}^{\dagger}) \mathcal{H}_{BdG} (c_k^{\dagger}, c_{-k})^T$, with



2) 2D example: Intrinsic chiral p + ip-wave superconductor/superfluid

Possible candiate: 3He phase, Sr2RuO4 (Reed & Green (2000)); Mean field Hamiltonian in the Nambe space $H = \sum_{k} (c_k, c_{-k}^{\dagger}) \mathcal{H}_{BdG} (c_k^{\dagger}, c_{-k})^T$, with

$$\mathcal{H}_{BdG} = \begin{bmatrix} \frac{p^2}{2m} - \mu & \Delta \left(p_x - i p_y \right) \\ \Delta^* \left(p_x + i p_y \right) & -\left(\frac{p^2}{2m} - \mu \right) \end{bmatrix} = h_x(\vec{k})\tau_x + h_y(\vec{k})\tau_y + h_z(\vec{k})\tau_z$$



1st Chern number:

$$C_1 = \frac{1}{4\pi^2} \int d^2 \vec{k} [\hat{\boldsymbol{h}} \cdot (\partial_{k_x} \hat{\boldsymbol{h}} \times \partial_{k_y} \hat{\boldsymbol{h}})] = \begin{cases} 0, & \mu < 0; & \text{trivial}; \\ 1, & \mu > 0; & \text{topological.} \end{cases}$$



- 1) There are chiral Majorana chiral modes localized on the edge.
- 2) A single Majorana bound state $\gamma(E)$ with E = 0 exists in the vortex core.

Topological *p*-wave superconductors by proximity effect

Idea: effective p-wave pairings can be induced in spin-orbit coupled systems in proximity to an s-wave superconductor.

Conditions: 1) Spin should not be fully polarized at Fermi surface;

2) There are odd number of energy bands crossing the Fermi energy.

Examples:

- A) Topological insulators + s-wave SC.
- B) Semiconductors + Zeeman splitting + s-wave SC

P. W. Anderson: more is different.

1) Effective 1D *p*-wave SC: spin-orbit coupling+s-wave pairing+Zeeman coupling (Lutchyn, Sau, Tudor, Das Sarma, PRL (2010); Oreg, Refael, and von Oppen, PRL (2010))



After projection: effective spinless

$$H = \int dx c_{\sigma}^{\dagger}(x) \left(\frac{p_x^2}{2m^*} - \mu + i\lambda_R p_x \sigma_y + V_x \sigma_x\right)_{\sigma\sigma'} c_{\sigma'}(x) + \int dx [\Delta(x) c_{\uparrow}(x) c_{\downarrow}(x) + h.c.]$$

2) Effective 2D p + ip-wave superconductor: topological insulators + s-wave SC (*Fu & L. Kane, PRL, 2008*).

$$\mathcal{H} = \mathcal{H}_{surf} + \mathcal{H}_{s-wave} \qquad \qquad \mathcal{H}_{surf} = v_f (p_x \sigma_y - p_y \sigma_x) - \mu$$



3) Effective 2D p + ip-wave superconductor: SO coupling + magnetizaton + s-wave SC (M. Sato, Takahashi & Fujimoto, PRL 2009; Sau et al., PRL 2010; Alicea, PRB 2010):



$$\mathcal{H}_{s-wave} = \sum_{k} \Delta c_{k\uparrow} c_{-k\downarrow} + h. c. \qquad \longrightarrow \qquad \mathcal{H}_{p-wave} = \sum_{k} \Delta_{k} c_{k,-} c_{-k,-} + h. c.$$
when $V_{z} > \sqrt{\mu^{2} + \Delta^{2}}.$

Properties and observation of Majorana fermions

1. Zero bias peak quantization in the tunneling charge transport at zero temperature (K.T. Law etal, PRL(2009), Flensberg, PRB(2010); Wimmer etal, New J. Phys (2011))



At zero temperature

Self-hermitian: $\gamma = \int dx \left[u(x)c(x) + v(x)c^{\dagger}(x) \right]$ with $u(x) = v^{*}(x)$; Effectively charge neutral:

$$e_{\gamma}^{*} = e \int dx \left(|u|^{2} - |v|^{2} \right) = 0$$



The tunneling energies: $t_1 \propto |u|^2 = t_2 \propto |v|^2$ Resonant two-lead tunneling:

K. T. Law, T. K. Ng, P. A. Lee, PRL(2009)

Tunneling conductance at finite temperatures

$$I = \frac{e^2}{h} \int d\omega \operatorname{Tr}[\Gamma^e \mathcal{G}^R(\omega) \Gamma^h \mathcal{G}^A(\omega)] [1 - f(\omega - eV_b)] + \frac{e^2}{h} \int d\omega \Gamma(\omega) N(\omega) [1 - f(\omega - eV_b)],$$



The curves correspond to:

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Blue: T = 0K
Red: T = 60mK
Black: T = 180mK
Green: T = 360mK
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XJL, PRL 109, 106404 (2012); XJL & A. Lobos, PRB, 87, 060504 (R) (2013).

2. Fractional Josephson effect:



$$H_{\rm eff} = -\frac{\Gamma}{2} \cos\left(\frac{\Delta\phi}{2}\right) i\gamma_1\gamma_2 \qquad \qquad \Delta\phi = \phi_L - \phi_R$$

$$J_s = J_c \sin\left(\frac{\Delta\phi}{2}\right),$$



Experiments

1. V. Mourik et al, Science 336, 1003 (2012):

Nanowire: InSb; Superconductor: NbTiN

2. H. Xu group: M.T. Deng et al, Nano Lett. (2012);

Nanowire: InSb; Superconductor: Nb

3. A. Das et al, Nature Physics (2012),

Nanowire: InAs; Superconductor: Al





Measuring the zero bias peak: Law, Ng, and Lee, PRL (2009); Flensberg, PRB (R) (2010); Wimmer etal., PRB(2011); XJL, PRL (2012); XJL and Alejandro, PRB(R) (2013).



Fe-chains on an s-wave SC

A. Yazdani group, Science, **346**, 602 (2014), related to but different from quite a few earlier theoretical proposals.

D

Energy (meV)

Ferromagnetic Fe-chains on Pb superconductor



Time-reversal invariant (DIII class) topological superconductor

1D TRI topological superconductors (Qi, Hughes, Raghu, Zhang, PRL, 2009; A. P. Schnyder etal., PRB, 2010; Teo, Kane, PRB, 2010; Beenakker etal, PRB, 2011)

Two-copy version of p-wave models:



Relations:

$$\mathcal{T}\gamma_{1,2}\mathcal{T}^{-1} = \tilde{\gamma}_{1,2}, \ \mathcal{T}\tilde{\gamma}_{1,2}\mathcal{T}^{-1} = -\gamma_{1,2}, \ \mathcal{T}^2 = -1$$

 $\gamma_j, \tilde{\gamma}_j$, Majorana Kramer's pair (MKP)

Time reversal protection:

MKP cannot couple together!

 Z_2 invariant

- Realization: 1D time-reversal invariant topological superconductor Theoretical models:
- Spin-orbit coupling + *d*-wave SC: C. L. M. Wong et al., PRB, 2012.
- Spin-orbit coupling + s_{\pm} -wave SC: F. Zhang etal., PRL, 2012.
- Proximity effect of non-centrosymmetric SC: S. Nakosai et al., PRL, 2013; XJL, Chris L. M. Wong, and K. T. Law, PRX, 2014.
- Spin-orbit coupled double wire + s-wave SCs: Keselman, Fu, Stern, and Berg, PRL, 2013.



 $c = \gamma_1 + i\gamma_2$ $|0\rangle$ and $|1\rangle = c^{\dagger}|0\rangle$

2D system, Ivanov, PRL, 2001

- Abelian statistics: Bosons, Fermions, and Abelian anyons $U_{12}|\psi\rangle = e^{i\phi}|\psi\rangle$
- For Majorana modes, two separated Majorana bound states consist of one usual complex fermion = 1 qubit:

 $\gamma_1 \rightarrow -\gamma_2$

 $\gamma_2 \rightarrow \gamma_1$



Braiding operator $U_{12} = e^{\frac{\pi}{4}\gamma_1\gamma_2} \rightarrow \begin{bmatrix} 1 \\ i \end{bmatrix}$

 $|0\rangle \rightarrow |0\rangle, \qquad |1\rangle \rightarrow i|1\rangle$

• Consider four Majorana modes, which form 2 qubits:

Topological quantum computation



Figure by Kane





Four Majorana modes



Non-Abelian braiding of Majorana Kramers' pairs?

Definition: the braiding operation for exchanging two Majorana Kramers' pairs, but without local operations of two Majorana modes in a single Kramers' pair.



So, generically: $U_{12} = e^{\frac{\pi}{4}\gamma_1\gamma_2} e^{\frac{\pi}{4}\widetilde{\gamma}_1\widetilde{\gamma}_2}$

XJL, Chris L. M. Wong, and K. T. Law, PRX, 4, 021018 (2014).

Questions

- 1. What are the sufficient conditions for the non-Abelian braiding of Kramers' pairings?
- 2. Guess the sufficient condition:

Time-reversal symmetry exists at every time in the braiding?

Answer: No!

Local mixing by time-dependent perturbations

Consider the two time-reversed copies of Kitaev chain. If $t = \Delta_p$, $\mu = 0$, the Majorana modes are localized in the end site (Kitaev, 2001).



Consider the parameter varying one loop, $\alpha: 0 \to 2\pi$.

Gives the Berry phase: $\varphi = \oint A_{\alpha} d\alpha = \pi \sin^2 \theta$. This leads to local mixing of the qubit states

$$U \left| 0\tilde{0} \right\rangle \rightarrow \cos \frac{\varphi}{2} \left| 0\tilde{0} \right\rangle + \sin \frac{\varphi}{2} 1\tilde{1} \rangle$$
, Decoherence effect!

K. Wölms, A. Stern, and K. Flensberg, PRL 113, 246401 (2014); PRL 115, 189902 (2015).

The key issue:

To rule out random local operations in a physical system.

We have to figure out:

- 1. Sufficient conditions for non-Abelian braiding of Majorana Kramers' pairs.
- 2. How well such conditions can be satisfied in real physical systems?

Braiding conditions

Condition 1: time-reversal symmetry is satisfied at every time of the braiding

 $\widehat{\mathcal{T}}H(t)\widehat{\mathcal{T}}^{-1} = H(t)$

where $\hat{\mathcal{T}} = i\sigma_y \mathcal{K}$.



Under this condition, Majorana Kramers' pairs exist at every time in the braiding.

P. Gao, Y.-P. He and XJL, Phys. Rev. B 94, 224509 (2016).

Condition 2: (adiabatic condition) Majoranas should not be excited into bulk states, therefore the duration T of operation satisfy:

$$T \gg 1/E_g$$



Under the adiabatic condition, we can introduce an effective Hamiltonian H_E to describe the braiding operation:

$$U(T) = e^{-iH_ET}$$

An irreducible representation space of H_E : { γ_1 , $\tilde{\gamma}_1$, γ_2 , $\tilde{\gamma}_2$ }

Condition 3: Symmetries of H_E

$$e^{-iH_ET} = U(T) = \lim_{N \to \infty} e^{-iH(T/2)\Delta t} \cdots e^{-iH(\Delta t - T/2)\Delta t} e^{-iH(-T/2)\Delta t}$$

where $\Delta t = T/N$.

1. Particle-hole symmetry

$$\begin{split} \text{If } \hat{\mathcal{P}}H_E \hat{\mathcal{P}}^{-1} &= -H_E, \ \hat{\mathcal{P}}e^{-iH_E T} \hat{\mathcal{P}}^{-1} = e^{-iH_E T}. \\ \hat{\mathcal{P}}e^{-iH_E T} \hat{\mathcal{P}}^{-1} &= \lim_{N \to \infty} e^{i\hat{\mathcal{P}}H(T/2)\hat{\mathcal{P}}^{-1}\Delta t} \cdots e^{i\hat{\mathcal{P}}H(\Delta t - T/2)\hat{\mathcal{P}}^{-1}\Delta t} e^{i\hat{\mathcal{P}}H(-T/2)\hat{\mathcal{P}}^{-1}\Delta t} = e^{-iH_E T} \\ & \Rightarrow \ \hat{\mathcal{P}}H_E \hat{\mathcal{P}}^{-1} = -H_E \end{split}$$

2. Time-reversal symmetry

If
$$\widehat{\mathcal{T}}H_E\widehat{\mathcal{T}}^{-1} = H_E$$
, $\widehat{\mathcal{T}}e^{-iH_ET}\widehat{\mathcal{T}}^{-1} = [e^{-iH_ET}]^{\dagger}$

$$\widehat{\mathcal{T}}H(t)\widehat{\mathcal{T}}^{-1} = H(t)$$

Г

$$\begin{aligned} \hat{\mathcal{T}}e^{-iH_ET}\hat{\mathcal{T}}^{-1} &= \lim_{N \to \infty} e^{iH(T/2)\,\Delta t} \cdots e^{iH(\Delta t - T/2)\Delta t} e^{iH(-T/2)\Delta t} \\ &[e^{-iH_ET}]^{\dagger} &= \lim_{N \to \infty} e^{iH(-T/2)\Delta t} \cdots e^{iH(T/2 - \Delta t)\Delta t} e^{iH(T/2)\Delta t} \end{aligned} \end{aligned}$$

$$\Rightarrow \hat{\mathcal{T}}H_E\hat{\mathcal{T}}^{-1} ?= H_E$$

Symmetry of *H_E*: Majorana swapping

Our key motivation is let H_E satisfy a new TR like anti-unitary symmetry. If $\hat{S}H(t)\hat{S}^{-1} = H(-t)$, where κ unitary operator,

$$\begin{split} \hat{\kappa}\hat{\mathcal{T}}e^{-iH_ET}\hat{\mathcal{T}}^{-1}\hat{\kappa}^{-1} &= \lim_{N \to \infty} \hat{\kappa}[e^{iH(T/2)\,\Delta t} \cdots e^{iH(\Delta t - T/2)\Delta t}e^{iH(-T/2)\Delta t}]\hat{\kappa}^{-1} \\ &= \lim_{N \to \infty} e^{iH(-T/2)\,\Delta t} \cdots e^{iH(T/2 - \Delta t)\Delta t}e^{iH(T/2)\Delta t} \\ &= [e^{-iH_ET}]^{\dagger} \end{split}$$

Then,

$$\widehat{\Theta}H_E\widehat{\Theta}^{-1}=H_E$$

where $\widehat{\Theta} = \widehat{S}\widehat{T}$.

Lemma: if the braiding Hamiltonian of the 1D-junction satisfies inversion symmetry along braiding direction

$$\pi_{\chi}H(x)\pi_{\chi}^{-1}=H(x),$$

we can always find an \hat{S} - symmetry.

MKPs' braiding

Now we have the transformation between these two MKPs,

$$\hat{S}\gamma_1(\tilde{\gamma}_1)\,\hat{S}^{-1}=\gamma_2(\tilde{\gamma}_2)$$



Namely, \hat{S} is a unitary Majorana swapping operator, reflecting the MKPs' positions.

The braiding Hamiltonian

$$\hat{S}H(-t)\hat{S}^{-1} = H(t)$$
, namely $\widehat{\Theta}H_E\widehat{\Theta}^{-1} = H_E$

where $\widehat{\Theta} = \widehat{S}\widehat{T}$,

$$H_E = i\epsilon_1\gamma_1\tilde{\gamma}_1 - i\epsilon_1\gamma_2\tilde{\gamma}_2 + i\epsilon_2\gamma_1\gamma_2 + i\epsilon_2\tilde{\gamma}_1\tilde{\gamma}_2$$

MKPs' braiding



Braiding requests:

$$\cos \sqrt{\epsilon_1^2 + \epsilon_2^2}T = 0$$
 and $\epsilon_1 = 0$

Braiding operator

$$U_{12} = \exp\left(\frac{\pi}{4}\gamma_1\gamma_2\right)\exp\left(\frac{\pi}{4}\tilde{\gamma}_1\tilde{\gamma}_2\right)$$

Condition 3 (Majorana swapping): $\hat{S}H(-t)\hat{S}^{-1} = H(t)$.

Now we have the three conditions which show how to ideally braid the symmetry-protected topological anyons!

Numerical simulation for MKPs' braiding

Hamiltonian for TR invariant TSC:

$$H_{0} = \sum_{\langle i,j \rangle,\sigma} t_{0}c_{i\sigma}^{\dagger}c_{j\sigma} + \sum_{j} (\pm \alpha_{R}c_{j\uparrow}^{\dagger}c_{j\pm1\downarrow} + \Delta_{p}c_{j\uparrow}c_{j+1\uparrow} + \Delta_{p}c_{j\uparrow}c_{j+1\downarrow} + \Delta_{s}c_{j\uparrow}c_{j\downarrow} + h.c.) - \mu \sum_{j\sigma} n_{j\sigma}$$

With static random disorder potentials:

$$V_{\rm dis} = \sum_{j} W_j (n_{j\uparrow} + n_{j\downarrow})$$

P. Gao, Y.-P. He and XJL, Phys. Rev. B 94, 224509 (2016).

P. Gao, Y.-P. He and XJL, Phys. Rev. B 94, 224509 (2016).

Dynamical noise

Coupling between the Majorana modes and bulk fermionic modes via noise $H = H_0 + H_p$

$$H_{0} = \sum_{j} \epsilon_{j} (c_{j}^{\dagger} c_{j} + \tilde{c}_{j}^{\dagger} \tilde{c}_{j})$$

$$H_{p} = \gamma_{a} \sum_{j} (V_{j1} c_{j} - V_{j1}^{*} c_{j}^{\dagger} + V_{j2} \tilde{c}_{j} - V_{j2}^{*} \tilde{c}_{j}^{\dagger}) + \text{T.P.}$$

Correlation function:

$$\langle V_{j1}(t_1)V_{j2}(t_2)\rangle_0 = V_0^2 \mathcal{C}_j(t_1 - t_2)$$

Evolution operator:

$$U(t) = U_0(t) [1 - i \int_0^t d\tau U_0^{\dagger}(\tau) H_{int}(\tau) U_0(\tau) - \int_0^t d\tau_1 \int_0^{\tau_1} d\tau_2 U_0^{\dagger}(\tau_1) H_{int}(\tau_1) U_0(\tau_1 - \tau_2) H_{int}(\tau_2) U_0(\tau_2)] \cdots ,$$

Transition amplitude between two Majoranas in a Kramers pair:

$$\chi(t) = 2V_0^2 \sum_j \int_{-T/2}^t d\tau_1 \int_{-T/2}^{\tau_1} d\tau_2 \, \Re\{ [\mathcal{C}_j(\tau_1 - \tau_2) - \mathcal{C}_j(\tau_2 - \tau_1)] e^{i\epsilon_j(\tau_1 - \tau_2)} \} + \chi^{(4)}(t) + \cdots,$$

Consequence I: the noise may bring about random local operations only when its correlation function breaks the dynamical time-reversal symmetry in time domain:

$$\mathcal{C}(\tau) \neq \mathcal{C}(-\tau)$$

Consequence II: the leading contribution by the noise is a 2nd-order transition:

$$\mathcal{D} = |\chi(T)|^2 \propto V_0^4 / E_g^4 + \mathcal{O}(V_0^8 / E_g^8)$$

Simulation for local operations

 $|\tilde{c}_{j}\rangle \qquad |C_{j}\rangle \\ H_{p} \qquad H_{p} \qquad |\gamma_{R}\rangle$

Leading order transition: 2nd-order

Fluctuations on μ and Δ_s :

$$H_p = \sum_{j} V_j [\cos(\omega t)(c_{j\uparrow}c_{j\downarrow} + h.c.) - \cos(\omega t + \delta\phi_j)n_j]$$

Break the dynamical time-reversal symmetry,

$$\begin{split} H_p(t) &\neq H_p(-t), \quad \text{namely, } \delta \varphi_j \neq 0, \pi. \\ \text{Leading to 2}^{\text{nd}} \text{ correction:} \\ \left< \tilde{\gamma}_R \left| e^{-iH_E T} \right| \gamma_R \right> \\ &\approx 1 - \cos^2 \frac{V_0^2}{4E_g^2} \left| \left< \sin \delta \varphi_i \right> \right|^2 \propto V_0^4 / E_g^4 \end{split}$$

Compared with the D-class topological superconductor, the decoherence by dynamic noise (1st order correction)

$$\Gamma_{D-calss} \propto V_0^2 / E_g^2$$

Goldstein & Chamon, PRB (2012)

P. Gao, Y.-P. He and XJL, Phys. Rev. B 94, 224509 (2016).

Suppression of error by randomness of the noise

Noise spatial coherence length:

$$\langle \delta \phi_j \delta \phi_{j'} \rangle_{|j-j'|>l_0} = 0$$

 λ_M : Majorana localization length

$$\mathcal{D} \approx \frac{V_0^4}{16E_g^4} \langle \gamma_a | \sin \delta \phi_j | \gamma_a \rangle^2 - \frac{V_0^8}{763E_g^8} \langle \gamma_a | \sin \delta \phi_j | \gamma_a \rangle^4$$

Conclusions

- 1. We propose the symmetry-protected non-Abelian statistics for Majorana Kramers' pairs.
- 2. The sufficient conditions for non-Abelian braiding of Majorana Kramers' pairs:
 - 1) Adiabatic condition
 - 2) Time-reversal symmetry: $\hat{T}H(t)\hat{T}^{-1} = H(t)$
 - 3) $\hat{S}H(-t)\hat{S}^{-1} = H(t)$
- 3. Dynamical noise may lead to decoherence, but is only a second-order correction when dynamical TR symmetry is broken: $C(\tau) \neq C(-\tau)$.

References: 1) XJL, C. Wong, K.T. Law, Phys. Rev. X 4, 021018 (2014); 2) P. Gao, Y.-P. He, and XJL, Phys. Rev. B 94, 224509 (2016).

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