



# Asymptotic Symmetries & Celestial Holography

Plan : I) Infrared aspects of gravity (& gauge thry.) in 4d - AFS

- a) Bondi gauge & asymptotic symm.
- b) Matching condition & charge conserv.
- c) Scattering & tower of soft theorems
- d) Observables / memory effects

II) a) Conformal primary basis

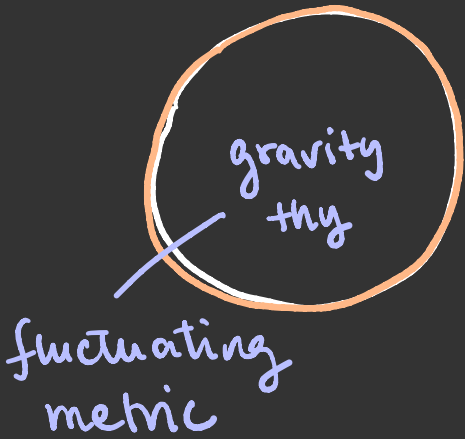
b) Celestial amplitudes

c) Comments on AdS/CFT in flat space limit

III) a) Celestial OPE / symmetries

b) Infinite symmetry algebras

# Gauge-gravity correspondence



QFT / CFT  
fixed background

Prototypical example: AdS/CFT

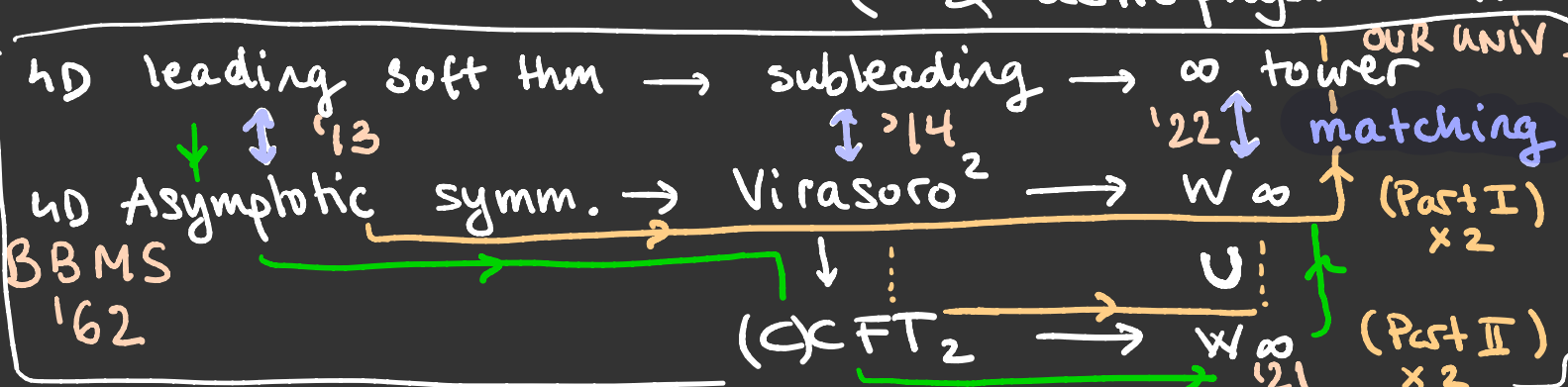
- \* match "symmetries"
- \* —||— observables
- \* geometry / entanglement
- \* BH / thermal physics

$S_{BH} \propto \frac{A}{4G} \Rightarrow$  "holographic" principle /  
(Susskind, 't Hooft) beyond AdS ( $\Lambda < 0$ )

**Q:** Which aspects of gravity are captured by "CFT"?

This course :  $\Lambda = 0$   
should care about it bc.

- textbook GR
- (3+1)-dimensional
- gravitational waves & astrophys. BH.



# I) IR aspects of gravity in AFS

a) Bondi, v.d. Burg, Metzner & Sachs '60-'62

4d AFS \* framework for quantifying radiation  
( $\Lambda = 0$  GR) from isolated sources in spacetime

Gravitational waves

Neutron stars,  
Black Holes, ...

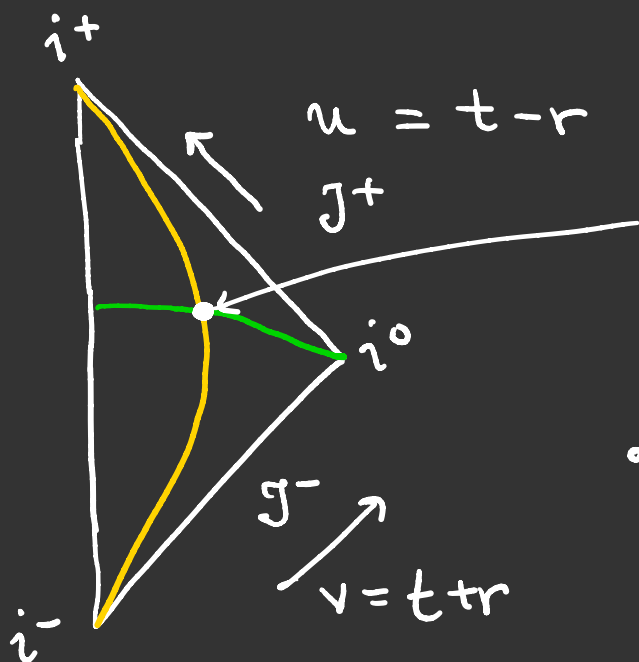
\* observer "far away" from source

$\Rightarrow$  perturbation around Mink. background.

[ can also do similar analysis in BH (near-horizon region), see eg. REFS. ]

In retarded coords (outgoing radiation):

$$ds^2 = -du^2 - 2du dr + 2r^2 \gamma_{z\bar{z}} dz d\bar{z}$$



each pt an  $S^2$   
with metric  $\gamma_{z\bar{z}} = \frac{2}{(1+z\bar{z})^2}$

•  $(z, \bar{z})$  related to  $(\theta, \phi)$   
by stereographic projection  
(AB)

\* fix "residual" diff invariance by

choosing a coord. system in which :

1. waves propagate radially along family of null geodesics  $u = ct$ . ( $u = t - r$ )

$$\Rightarrow g^{\mu\nu} \partial_\mu u \partial_\nu u = 0 \Rightarrow \boxed{g^{uu} = 0} \quad (x1)$$

2. Angular coordinates  $x^A$  ( $A, B = 1, 2$ )

are constant along null rays :

$$g^{\mu\nu} \partial_\mu u \partial_\nu x^A = 0 \Rightarrow \boxed{g^{uA} = 0} \quad (x2)$$

3. Wave fronts are spherical :

$$\boxed{\partial_r \det(r^{-2} g_{AB}) = 0} \quad (x1)$$

Total 4 conditions ( $\int^\mu$ ,  $\mu = 0, \dots, 3$  in 4d)

Most general Bondi metric that  $\rightarrow$  Mink. as  $r \rightarrow \infty$

$$(*) \quad ds^2 = e^{2\beta} \frac{V}{r} du^2 - 2e^{2\beta} du dr + g_{AB} (dx^A - U^A du)(dx^B - U^B du)$$

where  $\beta, V, g_{AB}, U^A$  are functions of  $r, u, x^A$ .

$$\text{Let } g_{AB} = r^2 \bar{\gamma}_{AB} + r C_{AB}(u, z, \bar{z}) + \frac{J_{AB}}{r} + O(r^{-2})$$

- Solving the <sup>vacuum</sup> radial E.E [  $G_{ur}, G_{rz}, G_{rr}=0$  ] in a large- $r$  expansion yields further constraints:

$$\frac{V}{r} = -\frac{\bar{R}}{2} + \frac{2M}{r} + \mathcal{O}(r^{-2})$$

$$\beta = \frac{1}{r^2} \left( -\frac{1}{32} C_{AB} C^{AB} \right) + \mathcal{O}(r^{-3})$$

$$U^A = -\frac{1}{2r^2} \mathcal{D}_B C^{BA} - \frac{2}{3} \frac{1}{r^3} \left[ N^A - \frac{1}{2} C^{AB} \mathcal{D}^C C_{BC} \right] + \mathcal{O}(r^{-4})$$

curvature w.r.t  $\bar{\gamma}_{AB}$   
 $R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R$   
 (a lot of structure always pres. in vacuum)

[depends on convention]

- Solving  $G_{ur}, G_{uA}, G_{AB}$  at  $1/r^2$  provides "constraints" / "evolution eq's" / "flux-balance laws" for  $M, N^A, \bar{\gamma}_{AB}$

eg for  $G_{uu} = 0$ : Bondi mass-loss formula

$$\partial_u M = -\frac{1}{8} N_{AB} N^{AB} + \frac{1}{8} \bar{\square} \bar{R} + \frac{1}{4} \mathcal{D}_A \mathcal{D}_B N^{AB}$$

\* convenient to choose  $\bar{\gamma}_{AB} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  (cel. plane)  $\leftarrow$  Laplacian w.r.t  $\bar{\gamma}_{AB}$

$N_{AB} \equiv \partial_u C_{AB}$  where  $C_{AB}(u, z, \bar{z})$  is free data [undetermined by the eom]  $\rightarrow N_{AB} \neq 0 \Leftrightarrow$  flux

Comment:  $\partial_u N_A$ ,  $\partial_u J_{AB}$  a mess, but  
 will see later how to simplify these eq<sup>n</sup>s  
 upon organizing the asy. expansion in terms  
 of data that carry definite

"spacetime" weights under the action of asymptotic  
 symmetries [in particular superrotations]

- Metrics of the form (\*) enjoy a large degree  
 of symmetry  
 asymptotic symm. = diffeos that preserve the  
 boundary conditions (or fall-offs at large  $r$ )  
 and that survive as  $r \rightarrow \infty$ . (conservative def)

Ex: Look for v.f.  $\xi$  that obey

$$\mathcal{L}_\xi g_{uu} = \mathcal{O}(r^{-1}), \quad \mathcal{L}_\xi g_{ur} = \mathcal{O}(r^{-2}), \quad \mathcal{L}_\xi g_{uz} = \mathcal{O}(1)$$

$$\mathcal{L}_\xi g_{zz} = \mathcal{O}(r), \quad \boxed{\mathcal{L}_\xi g_{z\bar{z}} = \mathcal{O}(1)} \quad (**)$$

$\mathcal{L}$  is the Lie derivative  $\mathcal{L}_\xi g_{\mu\nu} = \nabla_\mu \xi_\nu + \nabla_\nu \xi_\mu$ .

$$\begin{aligned} \xi(\mathcal{T}, \gamma, N) &= \mathcal{T}(z, \bar{z}) \partial_u + \gamma^A(z, \bar{z}) \partial_A \\ &\quad + \frac{1}{2} D \cdot \gamma (u \partial_u - r \partial_r) + \dots \end{aligned}$$

$\mathcal{T}(z, \bar{z})$  is an arbitrary fct<sup>n</sup> on the sphere

8 param. supertranslations - original BMS extension of Poincaré ( $\gamma^A$  & Lorentz transf.  $\mathcal{N} = \frac{1}{2} \mathcal{D}_A \gamma^A$ )

$\gamma^A$  enlarge this symm. group by allowing for violation of (2D) conformal Killing eq's at isolated points on sphere

i) extended BMS:  $\partial_z \bar{\gamma}^z = \partial_{\bar{z}} \gamma^z = 0$  from (\*\*)  
 $\Rightarrow$  superrotations (2 copies of Witt alg.)

ii) generalized BMS: relax (\*\*)  $\Rightarrow$  Diff( $S^2$ )

[motivated by bijection between subleading soft thm. & cons. law] - see Campiglia-Laddha

iii) Allow for Weyl rescalings of  $S^2$

$\frac{1}{2} \mathcal{D} \cdot \gamma \rightarrow \mathcal{N}$  is now arbitrary.

Will focus on i)  
MINIMAL EXT. OF BMS

- classical symm. of gravity include conformal symm. of  $S^2$ .

MOTIVATION for celestial holography

"Codimension-2 holography":

Thy. of gravity in 4d AFS  $\sim$  CFT on 2d cut of  $\mathcal{I}$ .



# Review Lecture I

\* Asy. flat metrics in Bondi gauge + radial  $\mathcal{E}\mathcal{E}$

$$\Rightarrow ds^2 = ds^2_{\text{Mink}} + (r C_{zz}(u, z, \bar{z}) dz^2 + c.c.) + \frac{2M}{r} du^2 + \dots \equiv g_{\mu\nu} dx^\mu dx^\nu$$

↑ subleading in  $1/r$

$G_{uu}, G_{uz}, G_{zz} = 0 \Rightarrow$  MESS

(\*)  $\partial_u M = \dots, \partial_u N_A = \dots, \partial_u \bar{T}_{AB} = \dots$

free data:  $C_{zz}(u, z, \bar{z})$  &  $fct^ns$  on sphere  
(unconstrained) (integration cts of (\*))

\* large gauge transformations

Large-r falloffs preserved under  $\mathcal{L}_\xi$

\* note asy data, in part.  $C_{AB}$  still changes as

$\nabla_{\mu} \xi^{\nu} = \mathcal{O}(r^{-\#})$  we only demand  $\mathcal{L}_\xi g_{zz} = \mathcal{O}(r)$

IN GENERAL \* wrt. full  $g$ , but (if you did exercise) to leading orders in  $1/r$  amounts to

solving  $\nabla_{(\mu}^{(0)} \xi^{\nu)} = \mathcal{O}(r^{-\#})$  NOTE NOT 0

$\Rightarrow \xi = f \partial_u + Y^A(z, \bar{z}) \partial_A + \frac{1}{2} D \cdot Y (u \partial_u - r \partial_r) + \mathcal{O}(1/r)$

Sub. comp. determined from req. Bondi gauge  $\mathcal{L}_\xi g_{rr} = \mathcal{L}_\xi g_{rA} = 0$

$\xi(\mathcal{T}, \gamma)$  form an algebra (ext BMS<sub>4</sub>)

$$[\xi(\mathcal{T}_1, \gamma_1), \xi(\mathcal{T}_2, \gamma_2)] = \xi(\mathcal{T}_{12}, \gamma_{12})$$

where  $\mathcal{T}_{12} = \gamma_1^A \partial_A \mathcal{T}_2 - \frac{1}{2} \partial_A \gamma_1^A \mathcal{T}_2 - (1 \leftrightarrow 2)$

$$\gamma_{12}^A = \gamma_1^A \partial_B \gamma_2^B - (1 \leftrightarrow 2)$$

[field-dependent bracket for subleading orders in  $1/r$ ]

Definition:  $\Phi_{h, \bar{h}}(z, \bar{z})$  is a conformal primary field of weights  $(h, \bar{h})$  if it obeys

f  $\mathcal{S}\mathcal{T}$ .  
 $(\mathcal{J}=0)$   $\delta_\gamma \Phi_{h, \bar{h}} = (\gamma^A \partial_A + h \partial_z \gamma^z + \bar{h} \partial_{\bar{z}} \gamma^{\bar{z}}) \Phi_{h, \bar{h}}$  (\*)

[cf. conformal primary field in CFT<sub>2</sub>]

\* Explicit computation of  $\delta_\xi M$ ,  $\delta_\xi N_A$ , @  $u=0$

$\delta_\xi C_{AB}$ ,  $\delta_\xi N_{AB}$  reveals that only  $C_{AB}$  obeys (\*).

However, one can construct  $\hat{M}$ ,  $\hat{N}_A$ ,  $\hat{T}_{AB}$  that do! [Donnay, Puzizioli; Freidel, Franzetti]

Barnich, Puzizioli ...

Exercise: Show that:

$\hat{M} = M + \frac{1}{8} C_{AB} N^{*B}$  obeys (\*) at  $u=0$  Need  $g_{uu}$  @  $\frac{1}{r}$  hence need  $1/r$  comp. of  $\xi$  ( $\xi^r$  too); imp.  $g_{uu}$  & not  $g_{uu}^{(0)}$ !

[\* COINCIDES W. REAL PART OF  $\mathcal{T}_2^{(10)}$  Weyl t.\*]

Similar analysis allows one to identify the following spacetime primaries (at a cut)

$$M \rightarrow \hat{M}_c = \hat{M} + i \tilde{M} \equiv \Psi_2^{(0)}$$

not to confuse with  $N_{AB}$

$$N_A \rightarrow \hat{J}_A \supset \Psi_1^{(0)}$$

$$\tilde{J}_{AB} \rightarrow \hat{J}_{AB} \supset \Psi_0^{(0)}$$

$(h, \bar{h})$
$(3/2, 3/2)$
$(2, 1)$
$(5/2, 1/2)$

Covariant quantities identified by Newman, Penrose a long time ago (70s)

$\Psi_i$  defined from Weyl tensor  $R_{Weyl}$  by contraction w.  $l, n, m, \bar{m}$

TRACELESS component of  $R_{Weyl}$

(null frame), eg.  $\Psi_2 = -C_{lm\bar{m}n}$

$$\Psi_0 = C_{lmlm}$$

$$\Psi_1 = C_{lnlm}$$

$$l \cdot n = -1, m \cdot \bar{m} = 1$$

$$\left. \begin{aligned} l &= \partial_r, n = e^{-2\beta} \left( \partial_u + \frac{v}{2r} \partial_r + r^{-2} U^A \partial_A \right) \\ m &= m^A \partial_A; m^A \bar{m}_A = 1 \end{aligned} \right\} \text{null vectors}$$

cf polarization tensors

$$* g_{ab} = -l_a n_b - n_a l_b + m_a \bar{m}_b + m_b \bar{m}_a$$

NP. variables:  $\Psi_i = \sum_{n \geq 0} \Psi_i^{(n)} r^{-n-5+i}$

$s \equiv h - \bar{h}$ ,  $\Delta \equiv h + \bar{h}$ , note all have  $\Delta = 3$   
 but  $s = 0, 1, 2$ .

Separating the  $s = 1$  and  
 $s = 2$  into positive & neg. helicity components  
 ( $z$ ) ( $\bar{z}$ )

$$\begin{aligned} \mathcal{J} &\equiv m^A \hat{J}_A, \quad \mathcal{J}_- \equiv \bar{m}^A \hat{J}_A \\ \mathcal{T} &\equiv m^A m^B \hat{J}_{AB}, \quad \mathcal{T}_- \equiv \bar{m}^A \bar{m}^B \hat{J}_{AB} \\ \mathcal{D} &\equiv m^A D_A, \quad \bar{\mathcal{D}} \equiv \bar{m}^A D_A, \quad \mathcal{N} \equiv \bar{m}^A \bar{m}^B N_{AB} \end{aligned}$$

[think  $z\bar{z}$  vs  $\bar{z}\bar{z}$  comp.]

$$\mathcal{M} \sim \Psi_2^{(0)}, \quad \mathcal{J} \sim \Psi_1^{(0)}, \quad \mathcal{T} \sim \Psi_0^{(0)}$$

(and c.c. for -)

the  $G_{uu}$ ,  $G_{uA}$ ,  $G_{AB}$  constraints take a particularly simple form:

2112.15573 + refs

$$\boxed{Q_u Q_s = \mathcal{D} Q_{s-1} + \frac{s+1}{2} C \cdot Q_{s-2}} \quad (**)$$

for  $s = 0, 1, 2$  ;  $\left. \begin{aligned} Q_{-1} &\equiv \frac{1}{2} \mathcal{D} N \\ Q_{-2} &\equiv \frac{\partial_u N}{2} \end{aligned} \right\} \text{bdry cond.}$

$Q_0 \equiv M_C, Q_1 \equiv \mathcal{J}, Q_2 \equiv \mathcal{T}$

similar eq. for - variables (END 1)

b) Comment: In the following will consider  
 (\*\*\*) with  $s \in \mathbb{N}$ . For  $s > 2$  these can be  
 shown to be truncations of the evolution  
 eq<sup>n</sup>s for  $\Psi_0^{(n)}$  with  $n \geq 1$ .  
 [ or equiv. - evolution eq. for  $g_{AB}^{(n)}$  ]

(\*\*\*) can be solved order by order in # of fields

$$Q_s = Q_s^{(1)} + Q_s^{(2)} + \dots + Q_s^{(s+1)} \quad (\text{polynomial})$$

- $\lim_{n \rightarrow -\infty} Q_s(u, z, \bar{z})$  should yield a conserved quantity, but instead diverges. (for  $s \geq 1$ )
- regularize  $\Rightarrow q_s(z, \bar{z})$  [w/ Pranzetti, Freidel]

Define pairing  $\int_{S^2} \mathcal{F}(z, \bar{z}) q_s^\pm(z, \bar{z}) \equiv \mathcal{Q}_s^\pm$

\* infinity of charges for all  $s$ .

\*  $s = 0, 1 \Rightarrow$  ST & SR charges

\*  $s \geq 2 \Rightarrow$  higher multipole moments of grav. field

Similar analysis on  $\mathcal{I}^-$  (indep BMS<sub>4</sub> = (

no match  $\Rightarrow$  spacetime conservation law

flux through io



[ after using Stokes' thm. to extend to integrals over  $\mathcal{J}^\pm$  ]

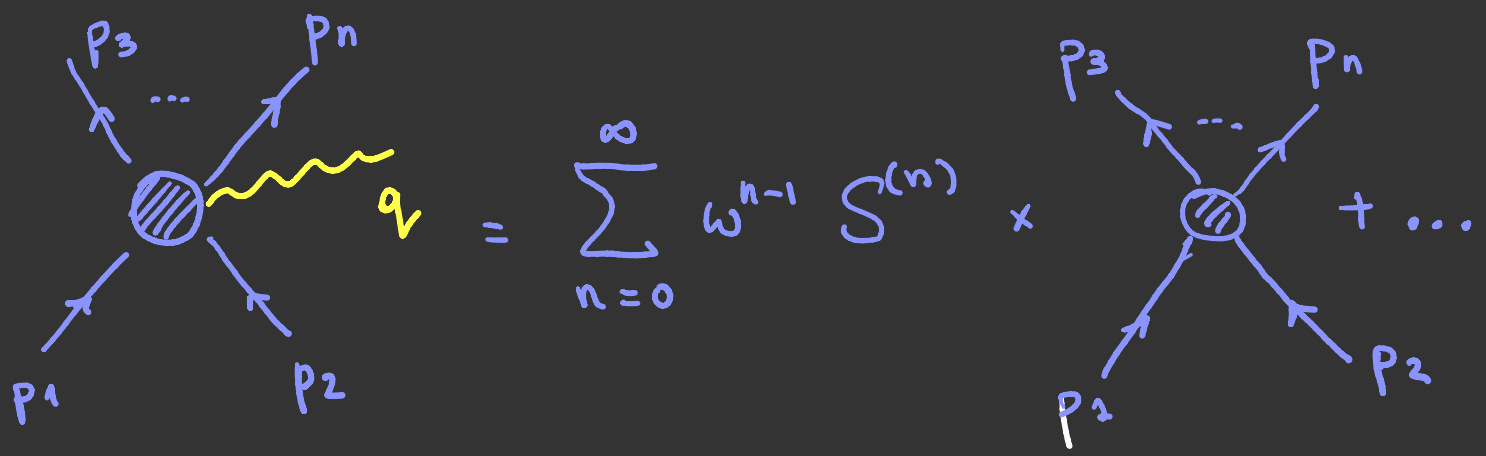
matching  $\Rightarrow \langle \text{out} | Q_s^+ S - S Q_s^- | \text{in} \rangle = 0 \quad \forall s.$

$Q^\pm$  truncated to quadratic order.

$(\Rightarrow) \lim_{q \rightarrow 0} \langle \text{out} | a(q) S | \text{in} \rangle = \underbrace{\sum_{n=0}^{\infty} \omega^{n-1} S^{(n)}}_{\text{tower of soft thms.}} \langle \text{out} | S | \text{in} \rangle$

$\hookrightarrow$  fix  $s=n \Rightarrow$  (sub)<sup>s</sup>-leading soft thm.

DEF: SOFT THM. / EXPANSION



$n=0$  :  $S_{\pm}^{(0)} = \sum_{i=1}^n \frac{p_i^\mu p_i^\nu \epsilon_{\mu\nu}^\pm}{p_i \cdot \hat{q}}$

universal (leading) soft factor

c) Example: Leading soft graviton as conservation law of supertranslation charge

$$(S=0)$$

Goal: show that  $\langle \text{out} | Q_{S=0}^+ S - S Q_{S=0}^- | \text{in} \rangle = 0$

implies  $\lim_{\omega \rightarrow 0} \omega \langle \text{out} | a_{\pm}(\omega \hat{q}) S | \text{in} \rangle = S_{\pm}^{(0)} \langle \text{out} | S | \text{in} \rangle$

Start with the definition:

$$Q_{S=0}^{\pm} = \int_{S^2} \mathcal{F}(z, \bar{z}) M_C(z, \bar{z}) \Big|_{\mathcal{J}_{\pm}^{\pm}}$$

$$= \int_{\mathcal{J}_{\pm}^{\pm}} \mathcal{F}(z, \bar{z}) \partial_u M_C(u, z, \bar{z})$$

b.c.  $Q_{S=0} \Big|_{\mathcal{J}_{\pm}^{\pm}} = 0$

$$= \int_{\mathcal{J}_{\pm}^{\pm}} \mathcal{F}(z, \bar{z}) \left[ \underbrace{\frac{1}{2} D^2 N}_{\equiv Q_S = Q_0^{(1)}} + \frac{1}{4} C \cdot \partial_u N \right] \quad (***)$$

$$(**) \quad \underbrace{\hspace{10em}}_{\equiv Q_H = Q_0^{(2)}}$$

→ How do these act on asymptotic scattering states?

Recall:  $h_{AB} \equiv \gamma C_{AB} \sim \text{graviton}$   
 transv. traceless metric pert.

$$r C_{AB} = \frac{\partial x^\mu}{\partial x^A} \frac{\partial x^\nu}{\partial x^B} \underbrace{h_{\mu\nu}}_{\int d^3q [a_{\mu\nu}(q) e^{iq \cdot x} + a_{\mu\nu}^\dagger(q) e^{-iq \cdot x}]} \quad (*) \quad \boxed{(-+++)}$$

where  $a_{\mu\nu}(q) = \sum_{\alpha, \beta = \pm} \epsilon_{\mu\nu}^{\alpha\beta} a_{\alpha\beta}(q)$  [check \*]

$\epsilon_{\mu\nu}^{\alpha\beta} \equiv$  polarization tensors

Exercise: Use the stationary phase approx. to take  $r \rightarrow \infty$  limit of (\*) & show that

$$C_{zz} \propto \int_0^\infty d\omega (a_+(\vec{q}) e^{-i\omega u} - a_-^\dagger(\vec{q}) e^{i\omega u})$$

$N_{zz} \equiv \partial_u C_{zz} \rightarrow$  substitute these mode expansions into (\*\*):

$$Q_S \propto \int_{-\infty}^{\infty} du N_{zz} = \lim_{\omega \rightarrow 0} \int_{-\infty}^{\infty} du e^{i\omega u} N_{zz}(u)$$

$\propto$  SOFT GRAVITON

$Q_H \propto$  quadratic in graviton modes

use  $[a_\pm(\omega), a_\pm^\dagger(\omega')] = 2\omega \delta(\omega - \omega')$

$$\delta^2(z, z')$$

to compute action on asy. particle states  $\Rightarrow$



$$[Q_H, a_{\pm}^+(p_i)] \propto S_{\pm}^{(0)}(p_i) a_{\pm}^+(p_i)$$

Finally, inserting this into conservation law:

$$\underbrace{\langle \text{out} | [Q_S, S] | \text{in} \rangle}_{\text{III + crossing}} = - \underbrace{\langle \text{out} | [Q_H, S] | \text{in} \rangle}$$

$$\propto \lim_{\omega \rightarrow 0} \omega \langle \text{out} | a(\omega) S | \text{in} \rangle = \sum_{i=1}^n S^{(0)}(p_i) \langle \text{out} | S | \text{in} \rangle$$

- repeat same steps to deduce subleading & whole tower of soft thms from Ward id.

KEY OBSERVATION :  $Q_S = Q_S[N, C]$

and  $\{N(u, z), C(u', z')\} \propto \delta(u-u') \delta^2(z, z')$

## CHARGE ALGEBRA

$$\{q_s(z, \bar{z}), q_{s'}(z', \bar{z}')\}^1 = \{q_s^2, q_{s'}^1\} + (s \leftrightarrow s')$$

$$= \frac{\kappa^2}{8} \left[ -(s'+1) q_{s'+s-1}^1(z') D_z \delta(z, z') + (s+1) q_{s'+s-1}^1(z) D_{z'} \delta(z, z') \right]$$

$\Rightarrow$   $w_{\infty}$  algebra on gravitational phase space!!

$s=1 \rightarrow$  Virasoro algebra



# d) Aside on vacuum structure & memory

First notice that vacuum metrics ( $N_{AB} = 0$ ) are param. by  $C_{AB}^{\text{vac}} = -2\partial_A\partial_B C \neq 0$  where  $C = C(z, \bar{z})$ . Under ST:  $\delta_{\mathcal{J}} C = \mathcal{J}$  / shift (cf. Goldstone).

Vacuum near  $i^0, i^\pm (u \rightarrow \pm\infty)$  parameterized by

$$C_{zz} \stackrel{u \rightarrow \pm\infty}{=} -2\partial_z^2 C_{\pm}(z, \bar{z}) + (u + C_{\pm}) N_{zz}^{\text{vac}} + \mathcal{O}(u^{-1})$$

$$N_{zz} \stackrel{u \rightarrow \pm\infty}{=} N_{zz}^{\text{vac}} + \mathcal{O}(u^{-2})$$

\* where  $C_{\pm}$  transf. as primaries (\*) of  $(-\frac{1}{2}, -\frac{1}{2})$

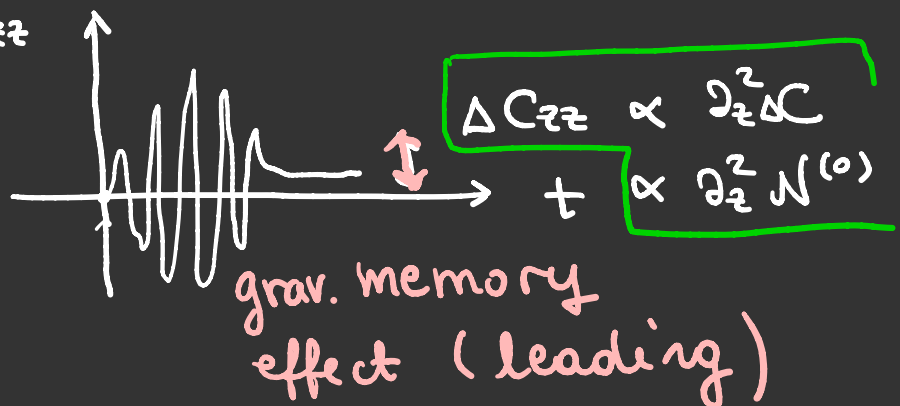
\*  $C_{\pm}$  enter in def. of Goldstone & Mem. modes par. soft sector of grav. phase space

$$\rightarrow b = \frac{1}{2}(C_+ + C_-), \quad \mathcal{N}^{(0)} = \frac{1}{2}(C_+ - C_-)$$

canonically paired:

obs. memory effect

$$\left\{ \partial_z^2 \mathcal{N}^{(0)}, \partial_{\bar{z}}^2 b \right\} \propto \delta^{(2)}(z, z') C_{zz}$$



$N_{zz}^{vac} = \frac{1}{2} (\partial_z \Psi)^2 - \partial_z^2 \Psi$  where  $\Psi(z)$  is a Liouville field transforming as  $\delta \Psi = \gamma^A \partial_A \Psi + \partial_A \gamma^A$

where  $\gamma^A = (\gamma^z, 0)$  (otherwise weight 0 <sup>shift</sup>)

$$\delta N_{zz}^{vac} = (\gamma^z \partial_z + 2 \partial_z \gamma^z) N_{zz}^{vac} - \underline{\underline{\partial_z^3 \gamma^z}}$$

recall this is holomorphic

[can also write

$$N_{zz}^{vac} = - \{ G(z), z \}$$

$$G'(z) = e^\Psi]$$

$$\{ f, z \} = \frac{f'''}{f'} - \frac{3}{2} \left( \frac{f''}{f'} \right)^2 \text{ Schw. der.}$$

$N_{zz}^{vac}$  param. the superrotation vacua and it will shift under superrotations.

Can use these to construct:

$$\hat{C}_{AB} \equiv C_{AB} \left[ C_{AB}^{vac} - u N_{AB}^{vac} \right] \text{ not needed for primary @ } u=0 \text{ to hold.}$$

$$\hat{N}_{AB} \equiv N_{AB} - N_{AB}^{vac}$$

that transform like primaries of weights

$$\begin{matrix} (\hat{C}_{zz}) \left( +\frac{3}{2}, -\frac{1}{2} \right) & \text{and} & \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix} & \begin{pmatrix} \tilde{N}_{zz} \\ \tilde{N}_{\bar{z}\bar{z}} \end{pmatrix} \end{matrix} \left\{ \begin{array}{l} \text{under superrot} \\ \text{at } \underline{\underline{u=0}} \end{array} \right.$$

$$\begin{matrix} (\hat{C}_{\bar{z}\bar{z}}) \left( -\frac{1}{2}, \frac{3}{2} \right) \end{matrix}$$

## Review lecture 2

vacuum Einstein equations @ large  $r \rightarrow$

$$- \partial_u Q_s = D Q_{s-1} + \frac{s+1}{2} C \cdot Q_{s-2}, \quad s \in \mathbb{N}$$

$Q_s$  "conformal primaries" of  $\Delta=3, J=s$  at  $\underline{u=0}$ . [ $\bar{Q}_s$  - another tower]

$$- \left\{ \begin{array}{l} Q_{s=0} \\ Q_{s=1} \\ Q_{s=2} \end{array} \right\} \mathcal{O}\left(\frac{1}{r}\right) \text{ components of } g_{uu}, g_{uA}, g_{AB}$$

$Q_{s \geq 3}$  from  $\mathcal{O}\left(\frac{1}{r^{s-1}}\right)$  components of  $g_{AB}$

$$- \lim_{u \rightarrow -\infty} Q_s = \infty \text{ for } s \geq 1; \text{ regularize } \rightarrow$$

$q_s(z, \bar{z})$ ; towers at  $\mathcal{I}^+$  and  $\mathcal{I}^-$  matched across  $i^0 \rightsquigarrow$

- conservation law:

$$\langle \text{out} | q_s^+(z, \bar{z}) \underset{\updownarrow}{S} - S q_s^-(z, \bar{z}) | \text{in} \rangle = 0 \Big|_{\text{quadr}}$$

$$\lim_{\omega \rightarrow 0} \partial_\omega^s \left( \omega \langle \text{out} | a_\pm(\omega) S | \text{in} \rangle \right) = \underbrace{S_\pm^{(s)}}_{\text{"tree-level" sub-leading soft factor}} \langle \text{out} | S | \text{in} \rangle$$

(sub)<sup>s</sup>-leading soft insertion

$$\{ q_s(z), q_{s'}(z') \}^{(1)} = \underbrace{(s+1) D_{z'} \delta(z, z')}_{q_{s+s'-1}(z)} - \underbrace{(s'+1) D_z \delta(z, z')}_{q_{s+s'-1}(z')}$$

## II) a) Conformal primary basis

Observables in 4D AFS constructed from S-matrix elements / amplitudes for a collection of particles in the far past to evolve into one in the far future.

Interactions assumed to be localized in space and time  $\Rightarrow$  particles freely moving as  $t \rightarrow \pm\infty$ . (- + + +)

Free scalar states  $\leftrightarrow$  solutions to KG

eom:  $(\square + m^2)\Phi = 0$  (\*)

More generally, for spinning particles

$-\partial_\mu \partial^\mu$   $\mathcal{D} \cdot \Phi = 0$  (eg.  $s=1/2$   $\mathcal{D} = \gamma^\mu \partial_\mu + m \mathbb{I}$ )

Time translation invariance  $\subset$  Poincaré  $\Rightarrow$

$\mathcal{S} = \mathcal{S}_p \oplus \overline{\mathcal{S}}_p$  where  $\mathcal{S}_p, \overline{\mathcal{S}}_p$  are positive & neg. freq. subspaces.  
space of solutions to (\*)

$\Downarrow$   
completely specified by  $(\Phi, \partial_t \Phi)$  on any equal time / Cauchy slice  $\Sigma_t$  and the split into  $\omega \gtrless 0$  follows from the

time-independent "inner" product on  $\Sigma_t$   
(conserved)

$$(\alpha, \beta) \equiv \langle \alpha, \beta \rangle_{KG} = \int_{\Sigma_t} d^3x \underbrace{n^a}_{\text{normal to } \Sigma_t} j_a(\alpha, \beta) \quad (*)$$

$$j_a(\phi_1, \phi_2) = -i (\phi_1^* \partial_a \phi_2 - \phi_2 \partial_a \phi_1^*)$$

$(\alpha, \beta) = -(\beta^*, \alpha^*) \Rightarrow (*)$  is not positive definite;  $S_p, \bar{S}_p$  are the definite

frequency subspaces:  $\Phi_+ \in S_p, \Phi_- \in \bar{S}_p$

$$(*) \quad \boxed{\partial_t \phi_{\pm} = \mp i \omega \phi_{\pm}, \omega > 0}$$

Solutions to KG eq are superpositions of pos / negative freq. modes:

$$\phi_{\pm}(x; p) = e^{\pm i p \cdot x} \quad \text{and}$$

$$\Phi(x) = \int \widetilde{d^3p} (a_p^+ \phi_p + a_p \phi_p^*)$$

The choice of  $(*)$  is motivated by global translation invariance  $\Rightarrow$  asy. states = reps. of Poincaré

We learned that asy. symm. group  $\gg$  Poincaré

so we may want to organize in reps of asy. symm. group.

Reps. of ext. BMS<sub>4</sub> not fully classified yet ...

Virasoro<sup>2</sup>  $\subset$  eBMS<sub>4</sub>  $\Rightarrow$  organize asy. states  
in reps. of Virasoro<sup>2</sup>! [cf. conf. primary @ cut...]

$\Leftrightarrow$  Symmetry group of CFT<sub>2</sub>  
so may be able to exploit  
2D CFT methods to understand  
4D physical observables ...

Replace plane wave basis above by  
conformal primary basis [Pasterski, Shao, Strominger '16]

Def. Scalar conf. prim. wave functions are  
solutions to the wave equation:

$$(\square + m^2) \bar{\Psi} = 0$$

which are "highest weight" w.r.t  
the Lorentz group.

$SO(1,3) \simeq SL(2, \mathbb{C})$  :  $M_{\mu\nu} \equiv -(x_\mu \partial_\nu - x_\nu \partial_\mu)$  (\*)

Lorentz generators organize into

- $K_i \equiv M_{0i}$  (boosts),  $J_i \equiv \epsilon_{ijk} M_{jk}$  (rot)



obeying the Lorentz algebra

$$[J_i, J_j] = \epsilon_{ijk} J_k, \quad [K_i, K_j] = -\epsilon_{ijk} J_k$$

$$[J_i, K_j] = \epsilon_{ijk} K_k$$

↳ reorganize into  $SL(2, \mathbb{C})$  algebra by taking linear combinations

$$L_0 + \bar{L}_0 \equiv K_3$$

$$L_0 - \bar{L}_0 \equiv J_3$$

$$L_1 = J_1 + iK_1 + i(J_2 + iK_2)$$

$$L_{-1} = J_1 + iK_1 - i(J_2 + iK_2)$$

$$\bar{L}_1 = L_{+1}^\dagger$$

$$\bar{L}_{-1} = L_{-1}^\dagger$$

Then  $[L_m, L_n] = (m-n)L_{m+n}$  & similarly  
for  $[\bar{L}_m, \bar{L}_n]$  **CHECK**

Def: Highest weight states of  $SL(2, \mathbb{C})$   
are defined by

$$(L_0 + \bar{L}_0) \Psi_\Delta = \Delta \Psi_\Delta \quad (\text{boost eigenstate})$$

$$(L_0 - \bar{L}_0) \Psi_\Delta = 0 \quad (\text{for scalars})$$

$$L_1 \Psi_\Delta = \bar{L}_1 \Psi_\Delta = 0$$

Using the rep. (\*)  $\Rightarrow$

$$\Psi_{\Delta} \propto \frac{1}{(x^0 + x^3)^{\Delta}} \quad (\text{diagonalize boost towards } (1, 0, 0, 1))$$

Can generalize to solutions that diagonalize boosts towards an arbitrary point on the sphere

$$\hat{q} = (1 + z\bar{z}, z + \bar{z}, -i(z - \bar{z}), 1 - z\bar{z})$$

with associated Lorentz gens. obtained from  $\bullet$  via a rotation

$$J_i' = R_{ij}(\hat{q}) J_j, \quad K_i' = R_{ij} K_j \bullet$$

Exercise: Show that highest weight wavefunctions wrt.  $\bullet$  take the form

$$\Psi_{\Delta}(\hat{q}; x) = \frac{f(x^2)}{(-\hat{q} \cdot x)^{\Delta}} \quad (*)$$

Note:  $f(x^2)$  is Lorentz invariant & does not affect the highest weight conditions. It is fixed by requiring that (\*) obeys the eom:

$$4x^2 f''(x^2) - 4(\Delta-2) f'(x^2) - m^2 f(x^2) = 0$$

(exercise: derive this eq. by substituting (\*) into the KG eq.)

Solutions are Bessel fct<sup>n</sup>s + bdy.

conditions ( $\Psi \rightarrow 0$  as  $x^2 \rightarrow \infty$ )  $\Rightarrow$

$$f(x^2) \propto (\sqrt{-x^2})^{\Delta-1} K_{\Delta-1}(im\sqrt{-x^2})$$

CHECK.

Can check that  $\Psi_{\Delta}(x; \hat{q})$  transforms like a 2D conformal primary under Lor. transf.:

$$\Psi_{\Delta}(\Lambda^{\mu}_{\nu} x^{\nu}; \vec{z}'(\vec{z})) = \left| \frac{\partial \vec{z}'}{\partial \vec{z}} \right|^{-\Delta/2} \Psi_{\Delta}(x; \vec{z})$$

fix. in note

\* Massless wave functions obtained by taking the limit  $m \rightarrow 0$  of CPW.

$$\Rightarrow \varphi_{\Delta}(\hat{q}; x) \propto \frac{1}{(\hat{q} \cdot x)^{\Delta}} \equiv \int_0^{\infty} d\omega \omega^{\Delta-1} e^{i\omega \hat{q} \cdot x}$$

\* Spinning wave functions obtained by dressing (massless)

$\varphi_{\Delta}$  with polarization tensors:

eg.  $A_{\Delta, J=+1} = m \Psi_{\Delta}$  ,  $A_{\Delta, J=-1} = \bar{m} \Psi_{\Delta}$   
 $h_{\Delta, J=+2} = m m \Psi_{\Delta}$  ,  $h_{\Delta, J=-2} = \bar{m} \bar{m} \Psi_{\Delta}$

where  $m, \bar{m}$  were introduced before :

$$m_{\mu} = \epsilon_{\mu}^{+} + \# \hat{q}_{\mu} \cdot \frac{\epsilon \cdot x}{(-\hat{q} \cdot x)}$$

Think of  $\Psi_{\Delta}^{\pm}(\hat{q}; x)$  as replacing  $e^{\pm i \omega \hat{q} \cdot x}$   
 //  $\uparrow$  needs regulator  
 $\Psi_{\Delta}(\hat{q}; x_{\pm})$  for branch cut at  
 $x_{\pm} = x \mp i \epsilon n$   $\hat{q} \cdot x = 0$ .

Basis for  $\Delta = 1 + i\lambda$  [Pasterski, Shao 17]

Bulk scalar field admits expansion in cp. modes

$$\Phi(x) = \int_{-\infty}^{\infty} d\lambda \int d^2z \left[ \hat{\mathcal{O}}_{\lambda} \Psi_{1+i\lambda}(\hat{q}; x) + \hat{\mathcal{O}}_{\lambda}^{\dagger} \Psi_{1-i\lambda}^{\dagger}(\hat{q}; x) \right]$$

$\hat{\mathcal{O}}_{\lambda}$  ← celestial operator

$$\hat{\mathcal{O}}_{\lambda}(\hat{q}) \equiv \langle \Phi(x), \Psi_{1+i\lambda}(\hat{q}; x) \rangle_{\Sigma_t}$$

same KG ip

Note :  $\langle \Psi_{1+i\lambda_1}, \Psi_{1+i\lambda_2} \rangle = 8\pi^4 \delta(\lambda_1 - \lambda_2) \delta^2(z_1, z_2)$ .

## b) Celestial amplitudes

Massless scattering:

$$\tilde{A}(\Delta_i, z_i) \equiv \langle \tilde{\text{out}} | S | \tilde{\text{in}} \rangle = \frac{\pi}{\pi} \left( \prod_{i=1}^n \int_0^\infty dw_i w_i^{\Delta_i - 1} \langle \text{out} | S | \text{in} \rangle \right)$$

where  $|\tilde{\text{in}}\rangle, |\tilde{\text{out}}\rangle$  are boost eigenstates

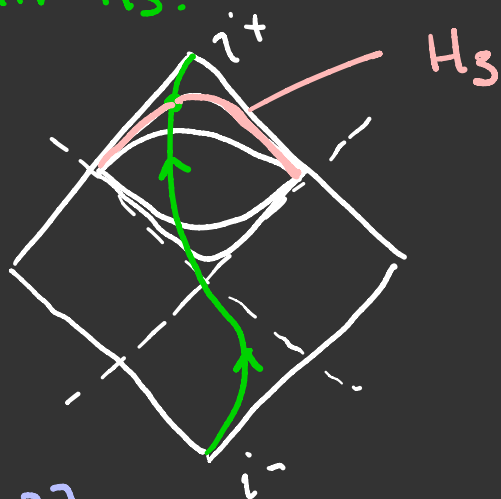
Massive scattering:

$$\tilde{A}_m(\Delta_i, z_i) \equiv \frac{\pi}{\pi} \int_{H_3} d^3 \hat{p}_i \underbrace{G_{\Delta_i}(\hat{p}_i, \hat{q})}_{\text{Bulk to bdy. propagator}} \langle p_{\text{out}} | S | p_{\text{in}} \rangle$$

- Fourier transform of massive CPW
- Bulk to bdy. propagator on Euclidean  $\text{AdS}_3$  ( $H_3$ ) (de Boer, Solodukhin 2002)

can be understood

by resolving timelike infinity w.  $H_3$  slices recalling that  $\hat{p}_i^2 = -1 \leftrightarrow$  point in  $H_3$ .



Exercise: Compute the 3-pt cel. amplitude with 2 massless & 1 massive particles.

c) Celestial amplitudes from limit of AdS-  
Witten diagrams [skip?]

**Lorentzian**  $AdS_{d+1}$  defined as a max. symm. space  
of rad.  $l$  inside  $Mink_{d+2}$  with  $(- - + + \dots +)$

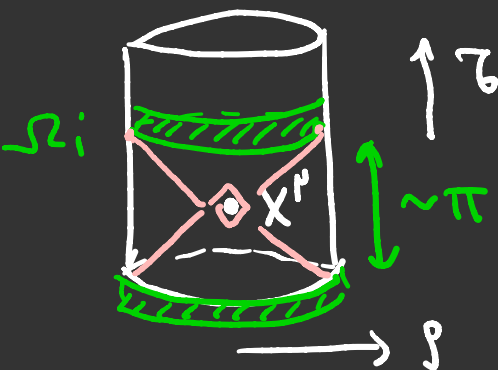
$$-(X^0)^2 + \sum_{i=1}^d (X^i)^2 - (X^{d+1})^2 = -l^2 \quad (\text{check})$$

Parameterize points in  $AdS_{d+1}$  as

$$X^0 = l \sin \tau / \cos \rho$$

$$X^{d+1} = l \cos \tau / \cos \rho$$

$$X^i = l \tan \rho \Omega_i, \quad \Omega_i^2 = 1.$$

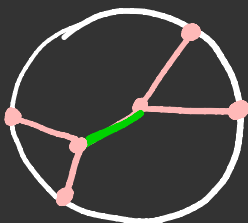


points on boundary :

$$P = \lim_{\rho \rightarrow \infty} \frac{\cos \rho}{l} X(\tau, \rho, \Omega_i)$$

cf.  $CFT_d$  in embedding  
space

Witten diagrams :  $\pi \left( \int_{\text{bulk pts. } AdS_{d+1}} d^{d+1} x_\alpha \right) \pi K_{\Delta_i}(P_i ; x_\alpha)$



$$\times \mathcal{B}(x_1, \dots)$$

$K_{\Delta}(P, x)$  is a bulk-to-bdry propagator

"

$$\frac{C_{\Delta}}{(-P \cdot x)^{\Delta}}$$

while  $B$  is a product of bulk-to-bulk propagators (each solves sourced wave eq. in  $AdS_{d+1}$ )

Observations: ① for boundary points  $\tau_i = \pm \frac{\pi}{2}$

$\left. \begin{array}{l} \text{blk} \\ \text{pts} \end{array} \right\} \left. \begin{array}{l} r = l \cdot s \\ t = l \cdot \tau \end{array} \right\}, \left. \begin{array}{l} l \rightarrow \infty \\ \text{fixed}(r, t) \end{array} \right\}$  the bulk-to-bdry prop

becomes a massless CPW in  $(d+1)$ -flat space with  $\Delta = \Delta_i$  inherited from  $CFT_d$  operator.

② for  $\tau_i = \pm \frac{\pi}{2} + \frac{\lambda_i}{l}$ ,  $l \rightarrow \infty$

$$\int_{-\infty}^{\infty} du_i u_i^{-\lambda_i} K_{\Delta_i}(P_i; x_i) \underset{l \rightarrow \infty}{=} \Psi_{\Delta_i + \lambda_i - 1}$$

EXERCISE

Suggests that for this kinematic configuration

$AdS_{d+1}$  Witten diagrams  $\rightarrow$  celestial amplit. in  $(d-1)$ -dim.

\* infinitesimal time bands around  $\pm \frac{\pi}{2}$

$\Rightarrow \mathcal{G}^\pm$  ; compactification  $\Rightarrow$  celestial  
amplitudes ; otherwise Carrollian correlators\*

Refs : w/de Gioia



### III) Holographic aspects of gauge & gravity phys. in 4dim. (massless scattering)

#### a) Celestial symmetries:

- Lorentz  $SL(2, \mathbb{C})$  symmetries

$$\sum_{n=1}^N \mathcal{L}_i^{(n)} \tilde{A}(\Delta_i, z_i) = 0, \quad i = -1, 0, 1$$

and similarly for  $\bar{\mathcal{L}}_i$ .  $\mathcal{L}_i, \bar{\mathcal{L}}_i$  admit  
a 2D representation (cf. global conformal  
generators in 2D CFT)

- Poincaré symmetries

$$\sum_{n=1}^N \hat{\mathcal{P}}^{(n)} \tilde{A}(\Delta_i, z_i) = 0,$$

$$\hat{\mathcal{P}}^{(n)} = \hat{q}_b(z_n) \underbrace{e^{\partial \Delta_n}}$$

- weight shifting operator
- conformal primary basis rep.

In momentum basis  $\mathcal{P} = \omega \hat{q}$  and

$$\mathcal{P}^{(j)} \tilde{A}(\Delta_i, z_i) = \dots \int_0^\infty d\omega \omega^{\Delta_j-1} \omega \hat{q}_j \tilde{A}(\omega, z_i)$$

acts on  
 $j^{\text{th}}$  external  
leg

$= \hat{q}_j \tilde{A}(\dots, \Delta_j+1, z_j, \dots)$  with all  
other  $\Delta$  fixed.

Example : 4-point functions

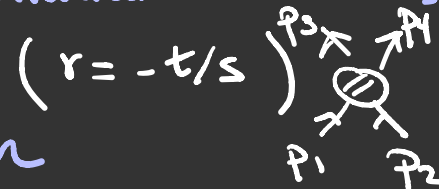
$$\tilde{A}_4 = K_{h_i, \bar{h}_i}(z_i, \bar{z}_i) \delta(r - \bar{r}) f^{h_i, \bar{h}_i}(r, \bar{r})$$

↑  
conformally covariant / transl. invar.  
cross-ratio

$$K_{h_i, \bar{h}_i} = \prod_{i < j=1}^4 z_{ij}^{h_i - h_j} \bar{z}_{ij}^{\bar{h}_i - \bar{h}_j}, \quad h \equiv \sum_{i=1}^4 h_i$$

$$r = \frac{z_{13} z_{24}}{z_{12} z_{34}}, \quad \bar{r} = r^* \text{ are conf. invariant cross ratios}$$

$\delta(r - \bar{r})$  due to momentum conservation



$f^{h_i, \bar{h}_i}(r, \bar{r})$  in 2D CFT is not fixed by symm, but instead other constraints (eg. crossing).

Here, translation invariance imposes an additional constraint on  $f$ :

Exercise

$$\text{Since } \sum_{j=1}^4 K_{h_j + \frac{1}{2}, \bar{h}_j + \frac{1}{2}} = 0, \text{ Poincaré invar } \Rightarrow$$

$$f^{h_i + \frac{1}{2}, \bar{h}_i + \frac{1}{2}} = f^{h_j + \frac{1}{2}, \bar{h}_j + \frac{1}{2}}, \quad \forall i, j$$

By induction  $\Rightarrow f^{h_i, \bar{h}_i} = f^{\phi, \mathcal{J}^i}$  where

$$\phi \equiv \sum_{i=1}^4 (h_i + \bar{h}_i) = \sum_{i=1}^4 \Delta_i$$

Poincaré invariance can be used to constrain the form of 3-point cel. amplitudes.

Ex: 2 massless, 1 massive obey

$$\left( \mathcal{P}_1 + \mathcal{P}_2 + \mathcal{P}_3^{(m)} \right) \tilde{A}_3(1, 2, 3^{(m)}) = 0$$

$$\text{Lorentz: } \tilde{A}(1, 2, 3^{(m)}) = \frac{C(\Delta_1, \Delta_2, \Delta_3)}{|z_{12}|^{\Delta_1 + \Delta_2 - \Delta_3} |z_{23}|^{\Delta_2 + \Delta_3 - \Delta_1} |z_{13}|^{\Delta_1 + \Delta_3 - \Delta_2}}$$

and  $C(\Delta_1, \Delta_2, \Delta_3)$  is subject to recursion relations that are solved by

$$C(\Delta_1, \Delta_2, \Delta_3) = \mathcal{B}\left(\frac{\Delta_{12} + \Delta_3}{2}, \frac{\Delta_{21} + \Delta_3}{2}\right) \times \text{const.}$$

- Conformally soft symmetries

are 2D repres. of h.dasy. symmetries discussed in the first lecture.

Recall soft thms:

Soft photon thm. in 4D

$$\langle J_z \mathcal{O}_1(\omega_1, z_1, \bar{z}_1) \dots \mathcal{O}_n(\omega_n, z_n, \bar{z}_n) \rangle$$

$$\equiv \lim_{\omega \rightarrow 0} \omega \langle \mathcal{O}^+(\omega, z, \bar{z}) \mathcal{O}_1 \dots \mathcal{O}_n \rangle \quad (*)$$

$$= \sum_{k=1}^n \frac{Q_k}{z - z_k} \langle \mathcal{O}_1 \dots \mathcal{O}_n \rangle$$

EXERCISE

$$\underbrace{\quad}_{S_{\text{QED}}^{(0)+}} = \sum_{k=1}^n \frac{\mathcal{P}_k \cdot \mathcal{E}^+}{\mathcal{P}_k \cdot q} \quad \text{where } \mathcal{P}_k, q$$

are null momenta &  $\xi^+ \equiv \partial_z q$ .

(\*) Ward identity of  $U(1)$  current in 2D CFT

$$(h, \bar{h}) = (1, 0) \text{ or } (0, 1).$$

expect the dim. of a positive-helicity & spin

conf. soft gluon are  $\Delta = 1$ ,  $S = 1$ . Can see that indeed, insertions of  $\Delta = 1$  ops in 2D  $\Rightarrow$  leading soft ops. in 4D:

$$\mathcal{O}_\Delta^+(z, \bar{z}) = \int_0^\infty d\omega \omega^{\Delta-1} \mathcal{O}^+(\omega, z, \bar{z})$$

$$\begin{aligned} \lim_{\Delta \rightarrow 1} (\Delta-1) \mathcal{O}_\Delta^+(z, \bar{z}) &= \lim_{\Delta \rightarrow 1} \int_0^\infty d\omega (\Delta-1) \omega^{\Delta-1} \mathcal{O}^+(\omega, z) \\ &= 2 \int_0^\infty d\omega \delta(\omega) \omega \mathcal{O}^+(\omega, z, \bar{z}) \\ &= \lim_{\omega \rightarrow 0} \omega \mathcal{O}^+(\omega, z, \bar{z}). \end{aligned}$$

We used the identity

$$\lim_{\epsilon \rightarrow 0} \frac{\epsilon}{z} |x|^{\epsilon-1} = \delta(x)$$

More generally:

$$\lim_{\Delta \rightarrow -n} (\Delta+n) \mathcal{O}_\Delta^+(z, \bar{z}) = \lim_{\Delta \rightarrow -n} (\Delta+n) \int_0^{\omega^*} d\omega \omega^{\Delta-1} \mathcal{O}^+$$

split into low & high en.  
↓  
high en.

$$= \lim_{\Delta \rightarrow -n} (\Delta + n) \sum_k \int_0^{\omega_*} d\omega \omega^{\Delta+k-1} \mathcal{O}_k^+(z, \bar{z})$$

$$= \mathcal{O}_n^+(z, \bar{z})$$

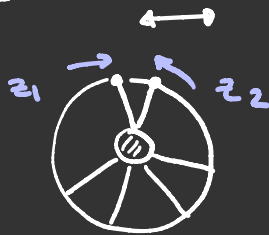
where  $\mathcal{O}^+(\omega, z, \bar{z}) = \sum_k \omega^k \mathcal{O}_k^+(z, \bar{z})$

[need to choose  $\omega_*$  small enough & note that  $\int_{\omega_*}^{\infty} d\omega$  will not have poles at negative integer  $\Delta$ ].

(Sub)<sup>n</sup>. subleading soft photons correspond to Residues at  $\Delta = 1 - n$ ,  $n \in \mathbb{N}$ .

### b) Celestial operator products & symm. algebras

4d collinear limits of amplitudes



2d operator product expansions

$$z_{12} \equiv z_1 - z_2 \rightarrow 0$$

Example: Positive helicity Gluon OPE (fix sign in notes)

$$(*) \underbrace{\mathcal{O}_{\Delta_1}^{+,a}(z_1) \mathcal{O}_{\Delta_2}^{+,b}(z_2)}_{\Delta_1 + \Delta_2, J=2} \sim \underbrace{-\frac{i f^{abc}}{z_{12}}}_{\text{from Lorentz invariance}} C(\Delta_1, \Delta_2) \underbrace{\mathcal{O}_{\Delta_1 + \Delta_2 - 1}^{+,c}(z_2)}_{\checkmark}$$

$$\Delta = 1, J = 1$$

\* useful to work in bulk 2-2 signature, in which case  $z, \bar{z}$  real independent variables

$$SL(2, \mathbb{C}) \rightarrow SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$$

\* can take  $z_{12} \rightarrow 0$  while keeping  $\bar{z}_{12}$  fixed +

\* use subleading soft gluon thm. to fix the

$C(\Delta_1, \Delta_2)$  OPE coefficient:

$$\bar{\partial}_b \mathcal{O}_{\Delta}^{\pm a} = -(\Delta - 1 \mp 1 + \bar{z} \partial \bar{z}) i f^{abc} \mathcal{O}_{\Delta-1}^{\pm c}$$

negative helicity

$\Rightarrow$  recursion relation (EXERCISE)

$$(\Delta_1 - 2) C(\Delta_1 - 1, \Delta_2) = (\Delta_1 + \Delta_2 - 3) C(\Delta_1, \Delta_2)$$

[Ref: Pate, Ark, Strominger, Yuan '19]

with the unique solution

$$C(\Delta_1, \Delta_2) = B(\Delta_1 - 1, \Delta_2 - 1); \quad B(x, y) =$$

$$\int_0^1 dt t^{x-1} (1-t)^{y-1}$$

### b') Holographic symmetry algebras

• include  $SL(2, \mathbb{R})$  descendants in (\*)

$$\mathcal{O}_{\Delta_1}^{+a}(z_1) \mathcal{O}_{\Delta_2}^{+b}(z_2) \sim -i \frac{f^{abc}}{z_{12}} \sum_{n=0}^{\infty} B(\Delta_1 - 1 + n, \Delta_2 - 1) \times \frac{\bar{z}_{12}^n}{n!} \bar{\partial}^n \mathcal{O}_{\Delta_1 + \Delta_2 - 1}^{+c}(z_2)$$

and study the limit when  $\Delta_1, \Delta_2 \in \{1, 0, -1, \dots\}$

(conf. soft limit discussed before)  $\hookrightarrow$  algebra

of  $(\text{sub})^S$ -leading soft modes (from 4d pt. of view)

\* note that the algebra closes because  $\Delta_1 + \Delta_2 - 1 \in \{1, 0, -1, \dots\}$  as well.

\* note that taking the residue at  $\Delta_1 = 1 - k$  only finite # of terms in ope survive since  $B(x, y)$  only has poles at  $x, y \in \mathbb{Z}_-$  ( $\infty$  upper limit in sum replaced by  $k$ )

\* defining  $R^{k, a} = \lim_{\epsilon \rightarrow 0} \mathcal{O}_{k+\epsilon}^{+a}$

$\Rightarrow \bar{z}^{k+1} R^{k, a}(z, \bar{z}) = 0$  so  $R^{k, a}(z, \bar{z})$  are polynomials in  $\bar{z}$  ( $\rightarrow$  finite dim. reps. of  $SL(2, \mathbb{R})_R$ ).

\* further taking residue at  $\Delta_2 = 1 - l$   
 $l \in \mathbb{N} \Rightarrow$

$$R^{k, a}(z_1, \bar{z}_1) R^{l, b}(z_2, \bar{z}_2) \sim \frac{-i f^{abc}}{z_{12}} \times$$

$$\sum_{n=0}^k \binom{1+k-l-n}{l} \frac{\bar{z}_{12}^n}{n!} \bar{z}^n R^{k+l-1}(z_2, \bar{z}_2)$$

from which one can compute algebra

of soft modes (wrt  $\bar{z}$  expansion):

$$[R_n^{k,a}(z), R_{n'}^{l,b}(z')] = -if^{abc} \begin{pmatrix} \frac{k}{2} & -n + \frac{l}{2} - n' \\ \frac{k}{2} & -n \end{pmatrix} \\ \begin{pmatrix} \frac{k}{2} + n + \frac{l}{2} + n' \\ \frac{k}{2} + n \end{pmatrix} R_{n+n'}^{k+l-1,c}$$

or rescaling  $\hat{R}_n^{k,a} = \left(\frac{k}{2} - n\right)! \left(\frac{k}{2} + n\right)! R_n^{k,a}$

$$[\hat{R}_n^{k,a}, \hat{R}_{n'}^{l,b}] = -if^{abc} \hat{R}_{n+n'}^{k+l-1,c}$$

Same analysis in gravity  $\Rightarrow$   $W_\infty$  algebra  
that we saw before from  $\mathcal{E}\mathcal{E}$ . Relation  
can be made precise

[Ref. /AR, Freidel, Pranzetti '21]