

On the Construction of a Real Berry Connection in Band Theory

Ken Shiozaki

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Consider a PT symmetry

$$U_{\mathbf{k}} H_{\mathbf{k}}^* U_{\mathbf{k}}^\dagger = H_{\mathbf{k}}, \quad U_{\mathbf{k}} U_{\mathbf{k}}^* = 1_N. \quad (1)$$

Assume that the Hamiltonian $H_{\mathbf{k}}$ is periodic on the Brillouin zone,

$$H_{\mathbf{k}+\mathbf{G}} = H_{\mathbf{k}}, \quad (2)$$

and that $U_{\mathbf{k}}$ is also periodic and globally defined on the Brillouin zone. Let

$$\text{sgn}(H_{\mathbf{k}})\Phi_{\mathbf{k}} = -\Phi_{\mathbf{k}}, \quad \Phi_{\mathbf{k}} \in \text{Mat}_{N \times n}(\mathbb{C}), \quad (3)$$

$$\Phi_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}} = 1_n \quad (4)$$

be a frame of the occupied states of $H_{\mathbf{k}}$. The frame $\Phi_{\mathbf{k}}$ is only locally continuous. Let $\{\mathcal{U}_\alpha\}_\alpha$ be a good cover of the Brillouin zone. On each patch \mathcal{U}_α , choose the occupied-state frame so that it satisfies the gauge condition

$$U_{\mathbf{k}}(\Phi_{\mathbf{k}}^\alpha)^* = \Phi_{\mathbf{k}}^\alpha, \quad \mathbf{k} \in \mathcal{U}_\alpha. \quad (5)$$

Then the patch transition functions are $O(n)$ matrices:

$$\Phi_{\mathbf{k}}^\beta = \Phi_{\mathbf{k}}^\alpha T_{\mathbf{k}}^{\alpha\beta}, \quad T_{\mathbf{k}}^{\alpha\beta} \in O(n), \quad \mathbf{k} \in \mathcal{U}_{\alpha\beta} := \mathcal{U}_\alpha \cap \mathcal{U}_\beta. \quad (6)$$

The naive Berry connection

$$A_{\mathbf{k}}^\alpha = (\Phi_{\mathbf{k}}^\alpha)^\dagger d\Phi_{\mathbf{k}}^\alpha \quad (7)$$

is not a real connection because of the \mathbf{k} dependence of the PT symmetry matrix $U_{\mathbf{k}}$. A correction term appears. Using

$$(\Phi_{\mathbf{k}}^\alpha)^* = U_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha, \quad (8)$$

we find

$$(A_{\mathbf{k}}^\alpha)^* = (U_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha)^\dagger d(U_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha) \quad (9)$$

$$= A_{\mathbf{k}}^\alpha + (\Phi_{\mathbf{k}}^\alpha)^\dagger U_{\mathbf{k}} dU_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha. \quad (10)$$

The correction term changes sign under complex conjugation:

$$\left[(\Phi_{\mathbf{k}}^\alpha)^\dagger U_{\mathbf{k}} dU_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha \right]^* = (U_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha)^\dagger U_{\mathbf{k}}^* dU_{\mathbf{k}}^\top (U_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha) \quad (11)$$

$$= (\Phi_{\mathbf{k}}^\alpha)^\dagger dU_{\mathbf{k}} U_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha \quad (12)$$

$$= -(\Phi_{\mathbf{k}}^\alpha)^\dagger U_{\mathbf{k}} dU_{\mathbf{k}}^\dagger \Phi_{\mathbf{k}}^\alpha. \quad (13)$$

Therefore, redefining the Berry connection by

$$\tilde{A}_{\mathbf{k}}^{\alpha} = A_{\mathbf{k}}^{\alpha} + \frac{1}{2}(\Phi_{\mathbf{k}}^{\alpha})^{\dagger} U_{\mathbf{k}} dU_{\mathbf{k}}^{\dagger} \Phi_{\mathbf{k}}^{\alpha}, \quad (14)$$

we obtain a real connection.

It remains to check whether this connection satisfies the desired patch transformation rule. The two terms transform as

$$A_{\mathbf{k}}^{\beta} = (T_{\mathbf{k}}^{\alpha\beta})^{\top} (A_{\mathbf{k}}^{\alpha} + d) T_{\mathbf{k}}^{\alpha\beta}, \quad (15)$$

$$(\Phi_{\mathbf{k}}^{\beta})^{\dagger} U_{\mathbf{k}} dU_{\mathbf{k}}^{\dagger} \Phi_{\mathbf{k}}^{\beta} = (T_{\mathbf{k}}^{\alpha\beta})^{\top} \left[(\Phi_{\mathbf{k}}^{\alpha})^{\dagger} U_{\mathbf{k}} dU_{\mathbf{k}}^{\dagger} \Phi_{\mathbf{k}}^{\alpha} \right] T_{\mathbf{k}}^{\alpha\beta}. \quad (16)$$

Thus

$$\tilde{A}_{\mathbf{k}}^{\beta} = A_{\mathbf{k}}^{\beta} + \frac{1}{2}(\Phi_{\mathbf{k}}^{\beta})^{\dagger} U_{\mathbf{k}} dU_{\mathbf{k}}^{\dagger} \Phi_{\mathbf{k}}^{\beta} \quad (17)$$

$$= (T_{\mathbf{k}}^{\alpha\beta})^{\top} (A_{\mathbf{k}}^{\alpha} + d) T_{\mathbf{k}}^{\alpha\beta} + \frac{1}{2} (T_{\mathbf{k}}^{\alpha\beta})^{\top} \left[(\Phi_{\mathbf{k}}^{\alpha})^{\dagger} U_{\mathbf{k}} dU_{\mathbf{k}}^{\dagger} \Phi_{\mathbf{k}}^{\alpha} \right] T_{\mathbf{k}}^{\alpha\beta} \quad (18)$$

$$= (T_{\mathbf{k}}^{\alpha\beta})^{\top} \left[A_{\mathbf{k}}^{\alpha} + \frac{1}{2} (\Phi_{\mathbf{k}}^{\alpha})^{\dagger} U_{\mathbf{k}} dU_{\mathbf{k}}^{\dagger} \Phi_{\mathbf{k}}^{\alpha} + d \right] T_{\mathbf{k}}^{\alpha\beta} \quad (19)$$

$$= (T_{\mathbf{k}}^{\alpha\beta})^{\top} (\tilde{A}_{\mathbf{k}}^{\alpha} + d) T_{\mathbf{k}}^{\alpha\beta}. \quad (20)$$

Hence $\tilde{A}_{\mathbf{k}}^{\alpha}$ has the expected transformation law as an $O(n)$ connection associated with the principal $O(n)$ bundle defined by $T_{\mathbf{k}}^{\alpha\beta}$.

As far as I know, a reference in which the redefined connection $\tilde{A}_{\mathbf{k}}^{\alpha}$ appears is [1].

References

- [1] Seishiro Ono and Ken Shiozaki, *Towards complete characterization of topological insulators and superconductors: a systematic construction of topological invariants based on Atiyah-Hirzebruch spectral sequence*, arXiv:2311.15814.