

# 格子フェルミオン再考

-グラフ理論と位相不変量の立場から-

Tatsuhiro MISUMI



# Table of contents

## 1. Reflection on Naive & Wilson fermions

Tanizaki, TM(19) TM, Yumoto (20)

## 2. Lattice fermions as spectra graphs

Yumoto, TM (21)

## 3. New conjecture on fermion doubling

Yumoto, TM (22)

**Back to Wilson (fermion) !**

# I. Reflection on Naive & Wilson fermions

# Wilson fermion : species-splitting mass fermion

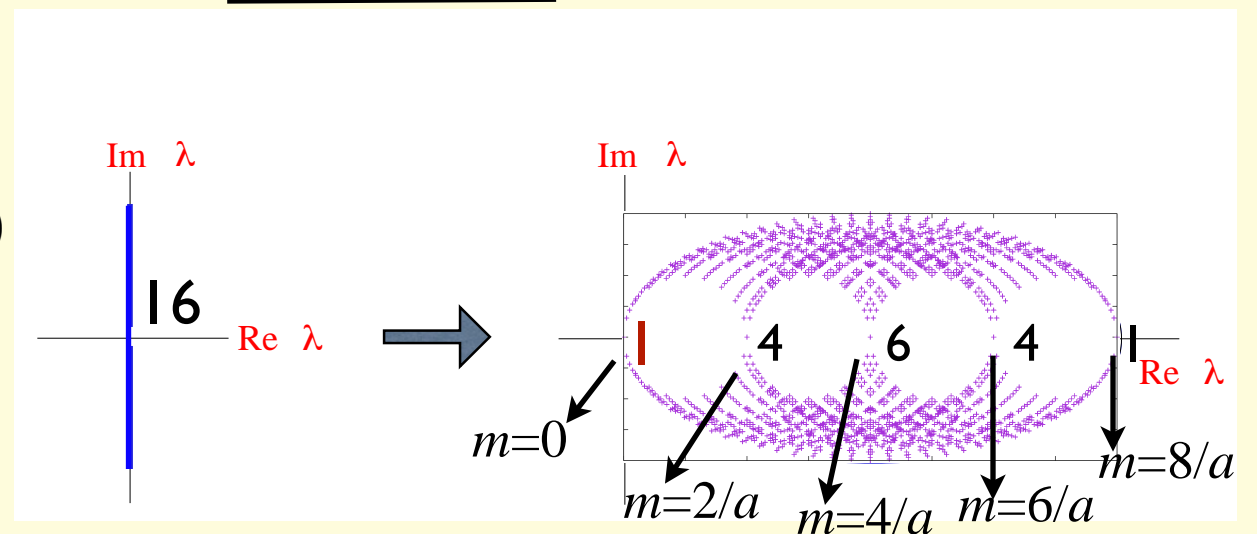
Lattice fermion action with species-splitting term  $\sum_{n,\mu} \frac{a^5}{2} \bar{\psi}_n (2\psi_n - \psi_{n+\mu} - \psi_{n-\mu})$

$$\Rightarrow D_W(p) = \frac{1}{a} \sum_{\mu} [i\gamma_{\mu} \sin ap_{\mu} + \underbrace{(1 - \cos ap_{\mu})}]$$

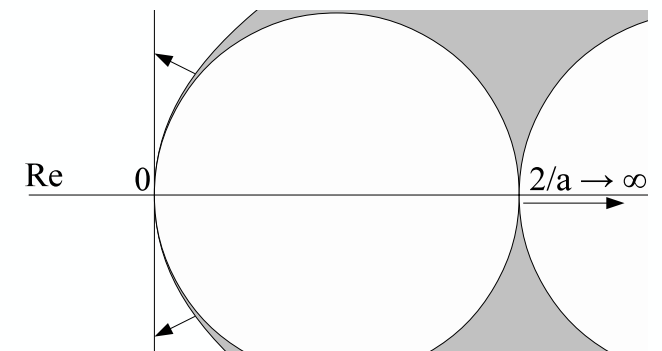
Physical  $(0,0,0,0) : D_W(p) = i\gamma_{\mu}p_{\mu} + O(a)$

Doubler  $(\pi/a,0,0,0) : D_W(p) = i\gamma_{\mu}p_{\mu} + \frac{2}{a} + O(a)$

Only one flavor is massless,  
while others have  $O(1/a)$  mass.



- ◆ 15 species are decoupled → doubler-less
- ◆ 1/a additive mass renormalization → Fine-tune
- ◆ Domain-wall & Overlap fermions → costs



# Wilson fermion : species-splitting mass fermion

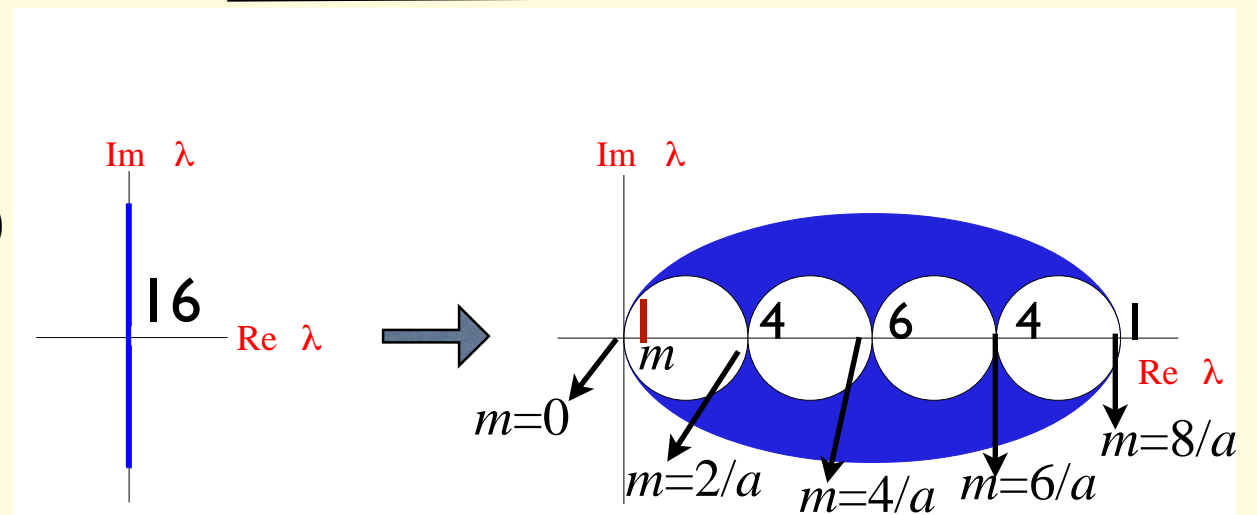
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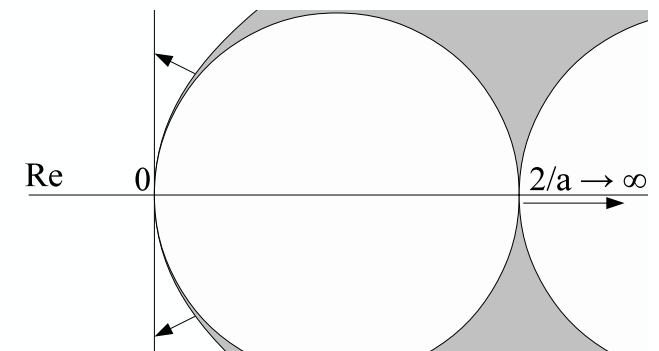
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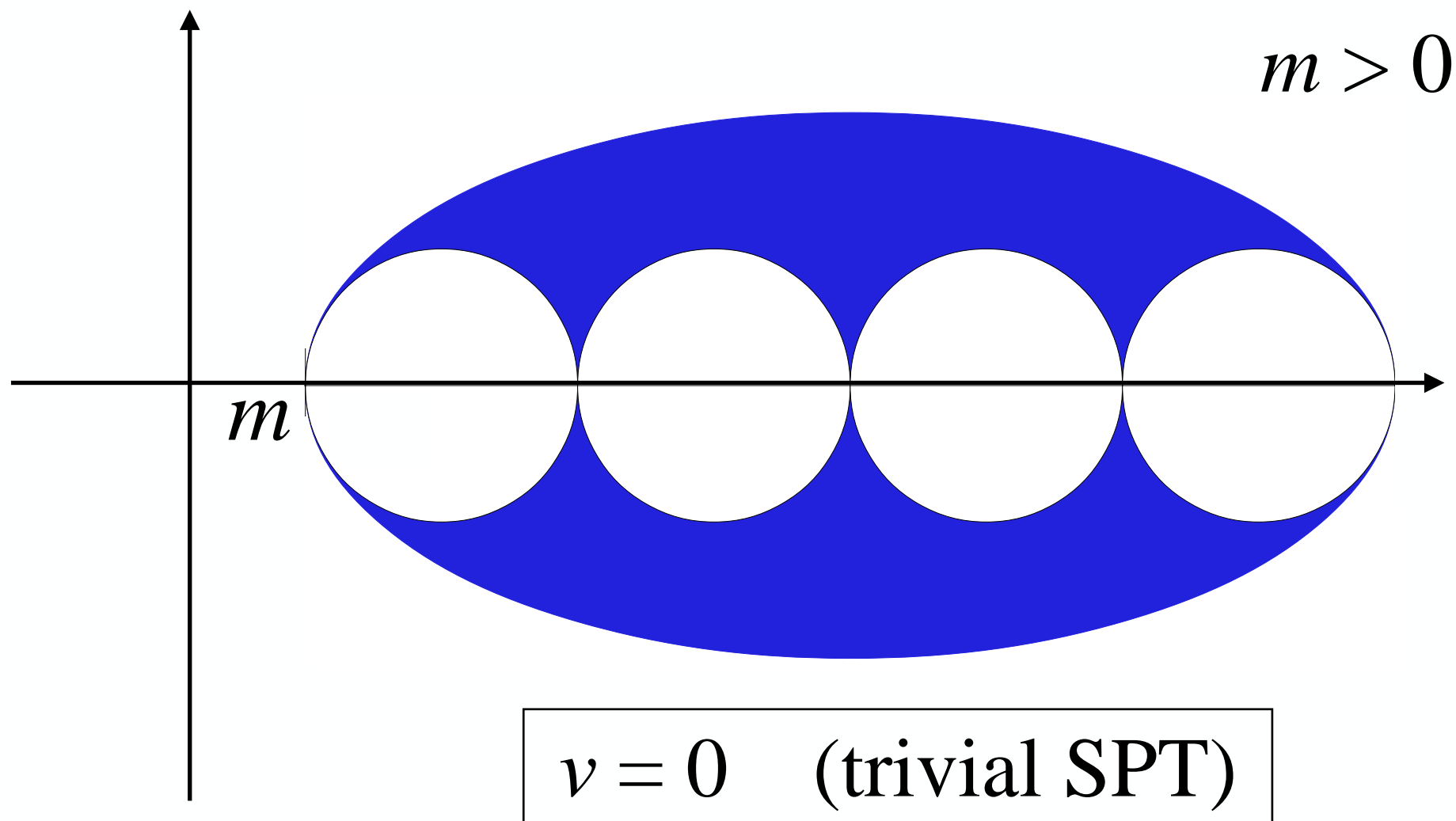


- ◆ 15 species are decoupled → doubler-less
- ◆  $1/a$  additive mass renormalization → Fine-tune
- ◆ Domain-wall & Overlap fermions → costs



# Wilson fermion as U(1) SPT phases

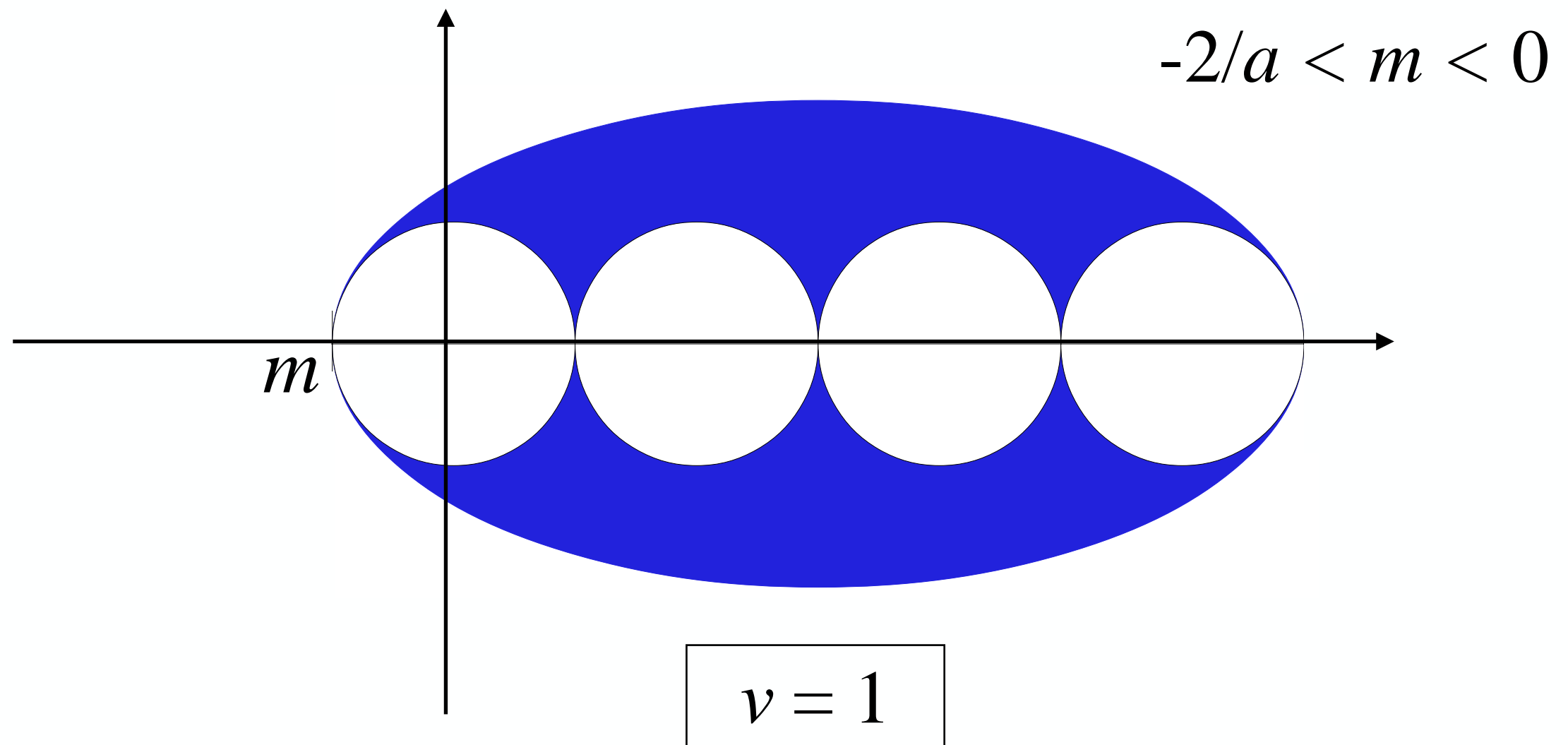
Topological # of SPT  $\sim$  index of modes with negative mass



These indices reflect topology of Berry connection for free fermion, while gauge field topology plays the same role in gauged theory.

# Wilson fermion as U(1) SPT phases

Topological # of SPT  $\sim$  index of modes with negative mass

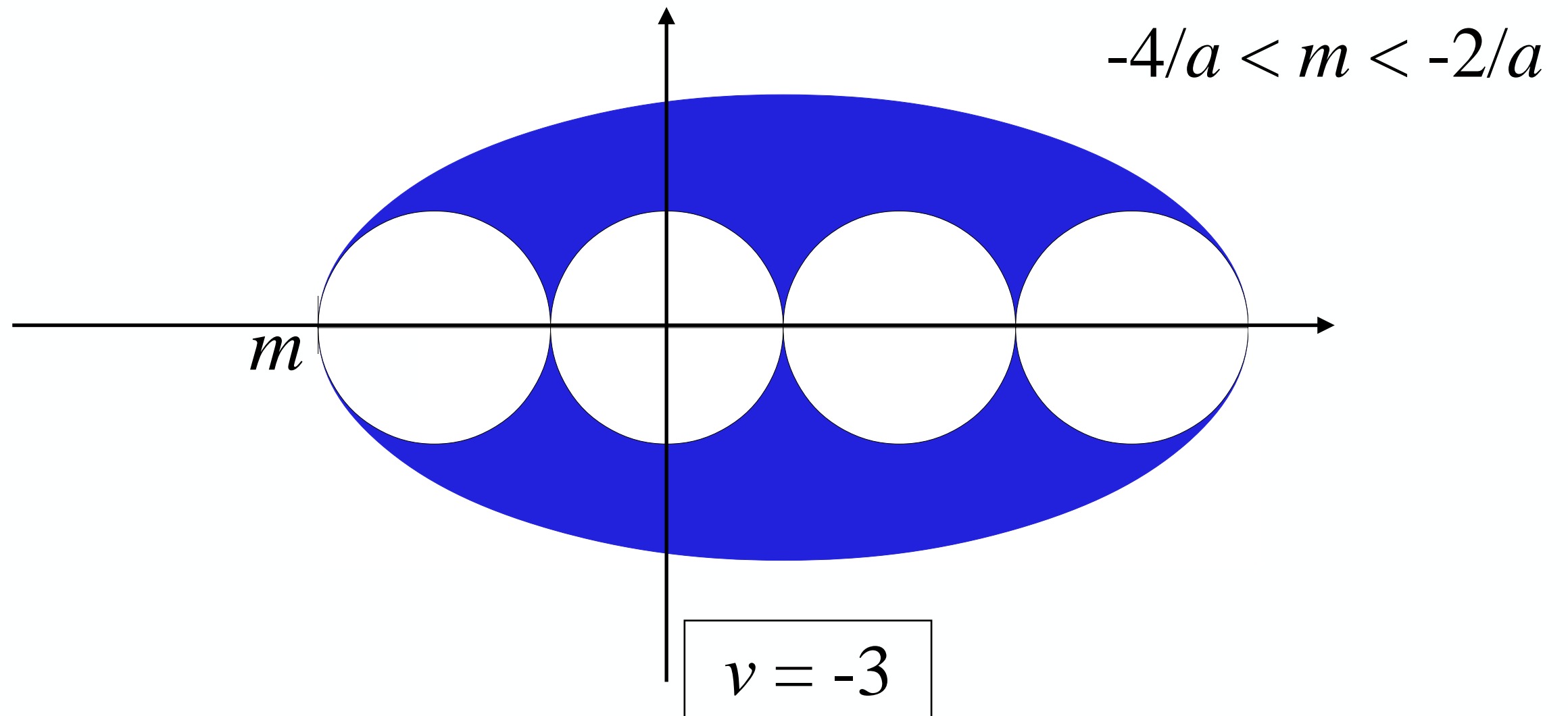


Domain-wall fermion : gapless mode emerging at boundary between  $v=0$  and  $v=1$  SPTs, where 't Hooft anomaly cancels.



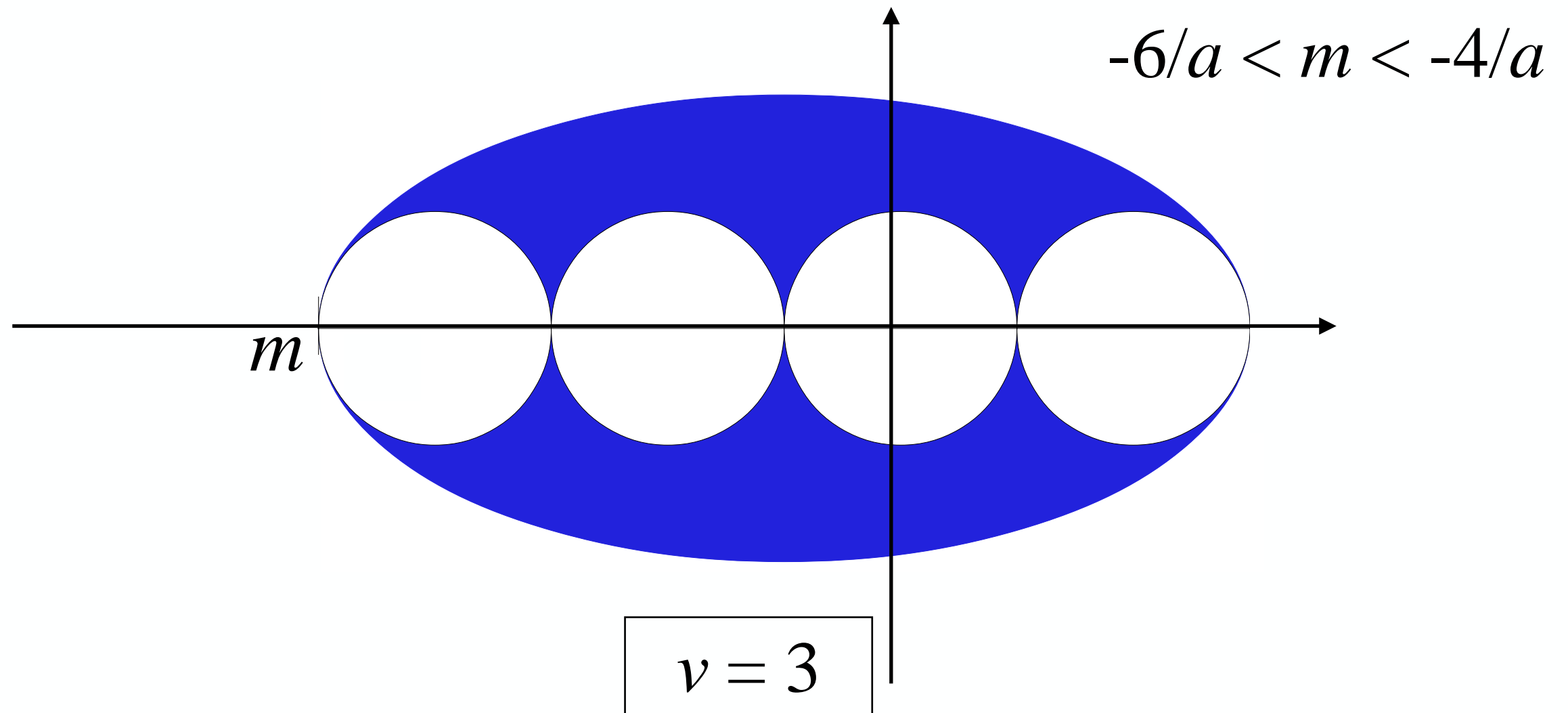
# Wilson fermion as U(1) SPT phases

Topological # of SPT  $\sim$  index of modes with negative mass



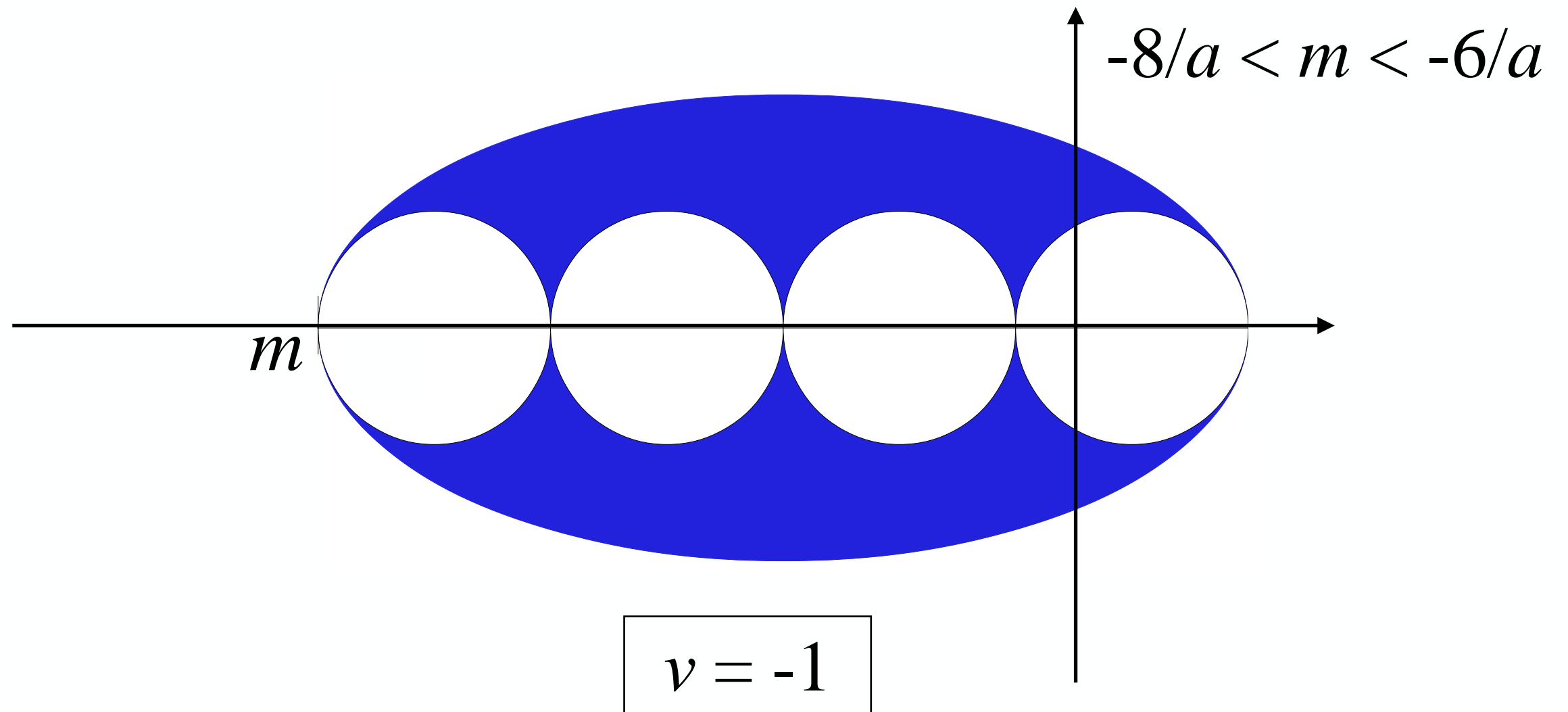
# Wilson fermion as U(1) SPT phases

Topological # of SPT  $\sim$  index of modes with negative mass



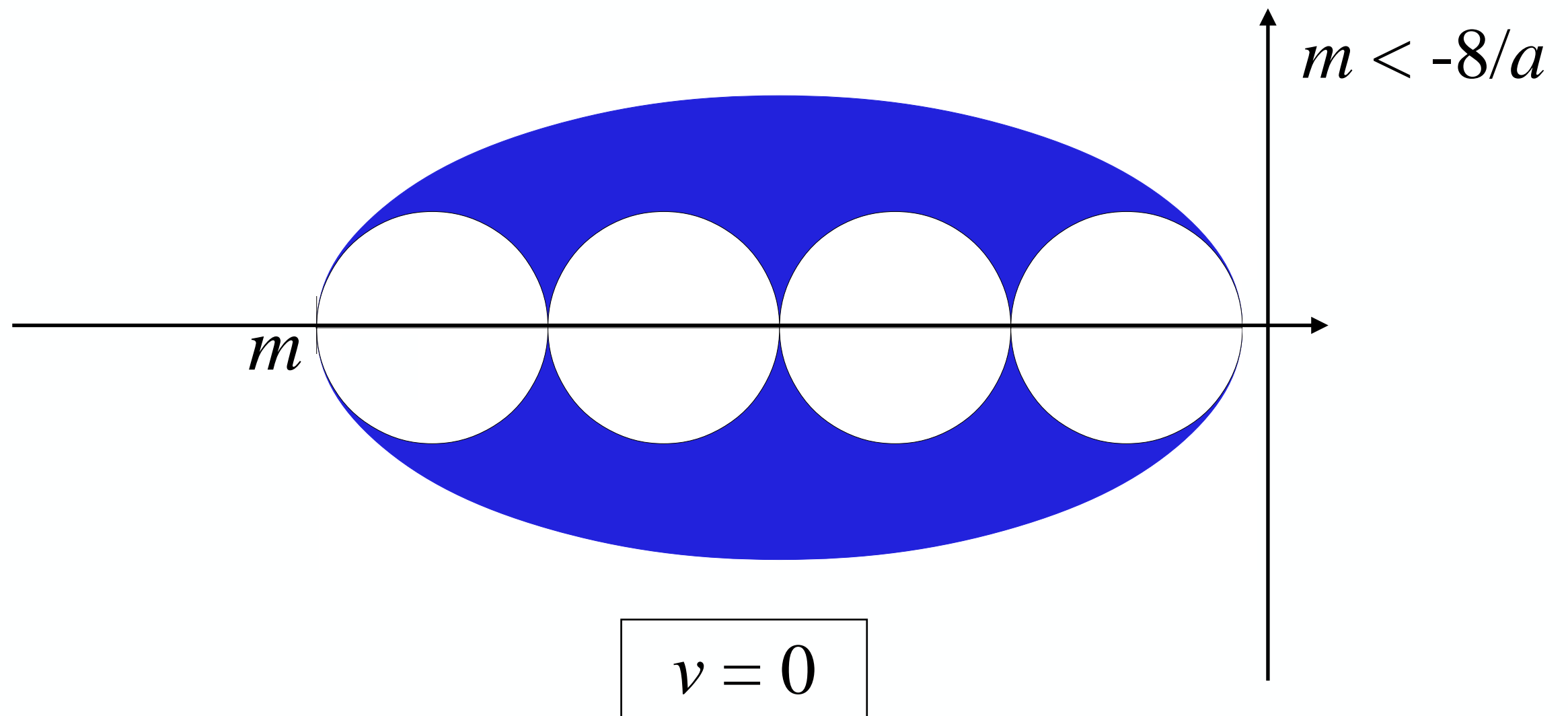
# Wilson fermion as U(1) SPT phases

Topological # of SPT  $\sim$  index of modes with negative mass



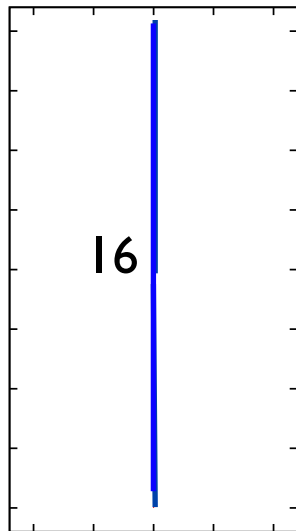
# Wilson fermion as U(1) SPT phases

Topological # of SPT  $\sim$  index of modes with negative mass



# Flavor-chiral symmetries of Wilson fermion

Naive



$$\sum_{\mu} C_{\mu}$$

➔

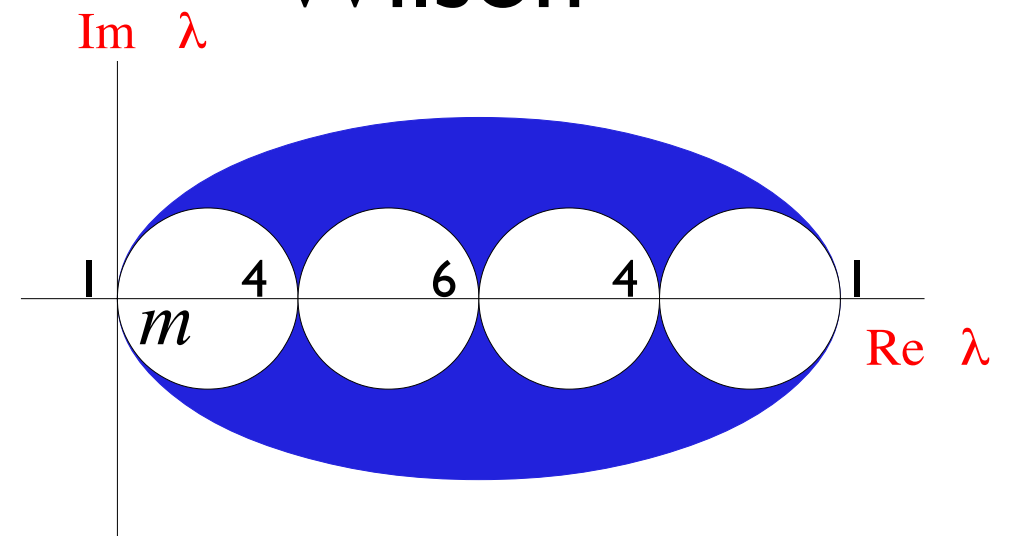
$$C_{\mu} = (T_{+\mu} + T_{-\mu})/2$$

$$T_{\pm\mu}\psi_n = U_{n,\pm\mu}\psi_{n\pm\mu}$$

$U(4) \times U(4)$

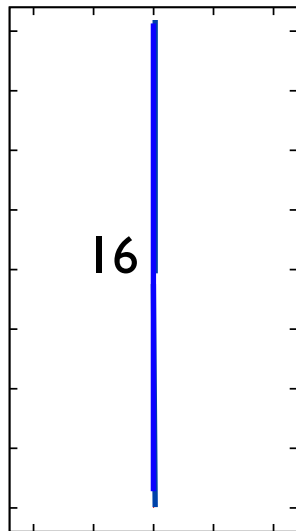
➔

Wilson



# Flavor-chiral symmetries of Wilson fermion

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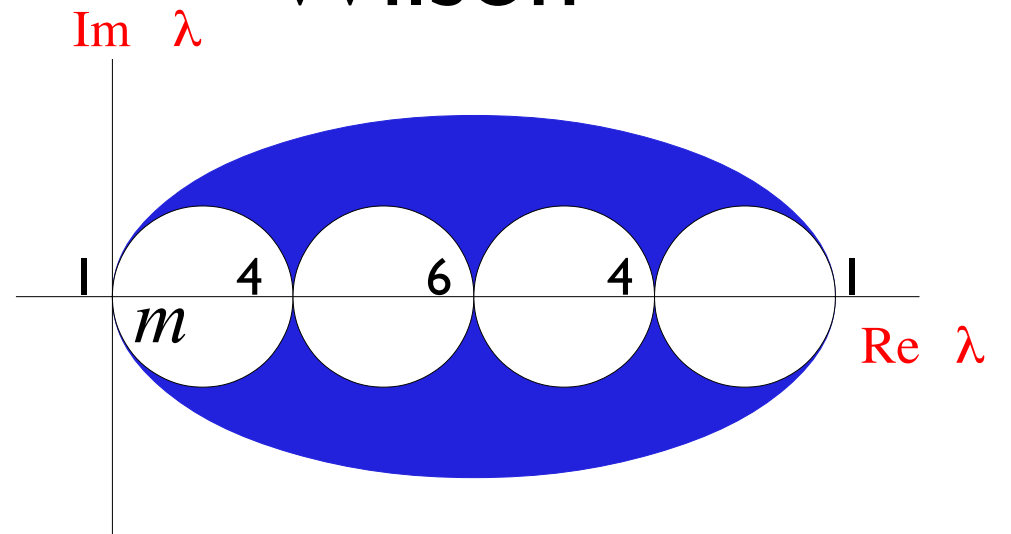
$$\sum_{\mu} C_{\mu}$$

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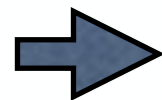
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Wilson



U(4)×U(4)



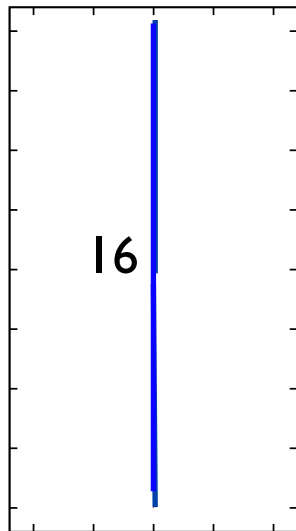
$$\Gamma_X^{(+)} \in \left\{ \mathbf{1}_4, (-1)^{n_1+\dots+n_4}\gamma_5, (-1)^{\tilde{n}_\mu}\gamma_\mu, (-1)^{n_\mu}i\gamma_\mu\gamma_5, (-1)^{n_{\mu,\nu}}\frac{[\gamma_\mu, \gamma_\nu]}{2} \right\}$$

$$\Gamma_X^{(-)} \in \left\{ (-1)^{n_1+\dots+n_4}\mathbf{1}_4, \gamma_5, (-1)^{n_\mu}\gamma_\mu, (-1)^{\tilde{n}_\mu}\gamma_\mu\gamma_5, (-1)^{\tilde{n}_{\mu,\nu}}\frac{[\gamma_\mu, \gamma_\nu]}{2} \right\}$$

$$\psi_n \rightarrow \psi'_n = \exp \left[ i \sum_X \left( \theta_X^{(+)} \Gamma_X^{(+)} + \theta_X^{(-)} \Gamma_X^{(-)} \right) \right] \psi_n, \quad \bar{\psi}_n \rightarrow \bar{\psi}'_n = \bar{\psi}_n \exp \left[ i \sum_X \left( -\theta_X^{(+)} \Gamma_X^{(+)} + \theta_X^{(-)} \Gamma_X^{(-)} \right) \right]$$

# Flavor-chiral symmetries of Wilson fermion

Naive



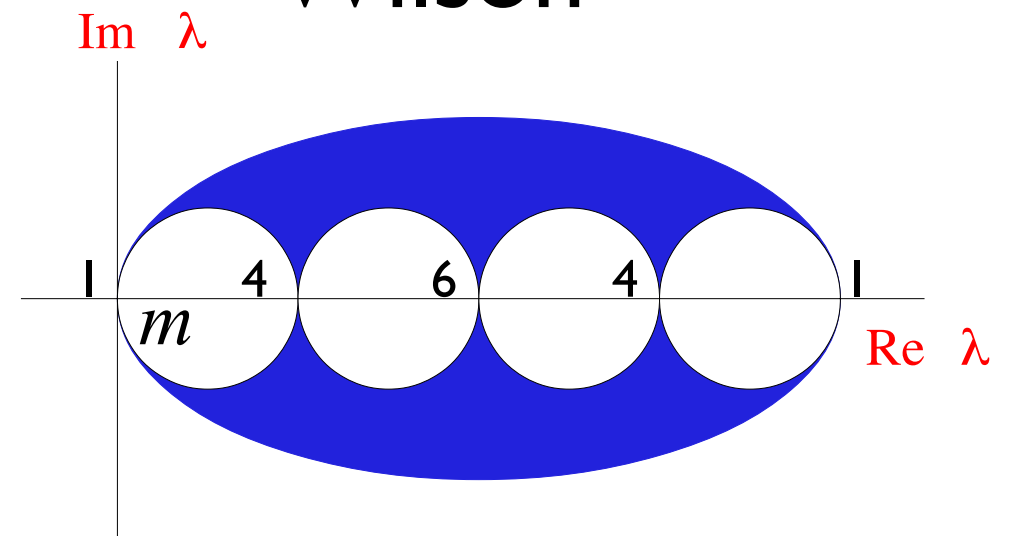
$$\sum_{\mu} C_{\mu}$$

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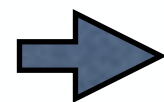
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Wilson



$U(4) \times U(4)$



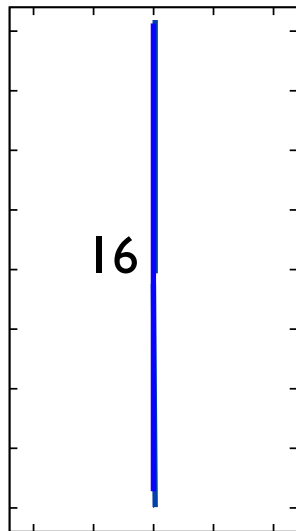
$U(1)$

$$\Gamma_X^{(+)} \in \left\{ \mathbf{1}_4, \right\}$$

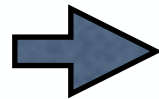
$$\Gamma_X^{(-)} \in \left\{ \right\}$$

# Flavor-chiral symmetries of Wilson fermion

Naive



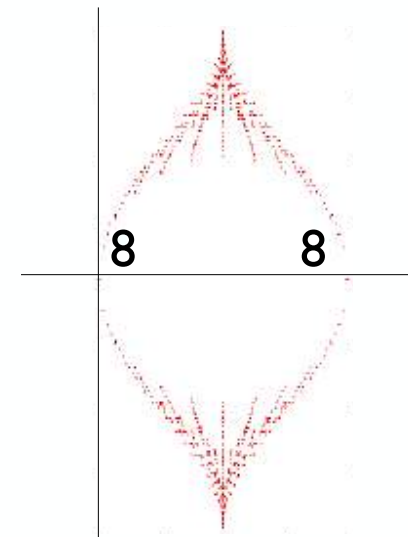
$$\sum_{sym.} C_1 C_2 C_3 C_4$$



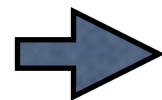
$$C_\mu = (T_{+\mu} + T_{-\mu})/2$$

$$T_{\pm\mu}\psi_n = U_{n,\pm\mu}\psi_{n\pm\mu}$$

Wilson'



$U(4) \times U(4)$



$U(2) \times U(2)$

$$\Gamma_X^{(+)} \in \left\{ \mathbf{1}_4, (-1)^{n_1+\dots+n_4} \gamma_5, \right.$$

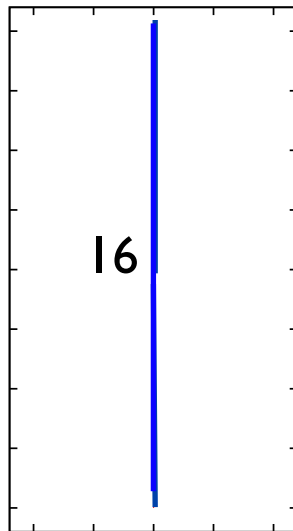
$$\Gamma_X^{(-)} \in \left\{ \right.$$

$$\left. (-1)^{n_{\mu,\nu}} \frac{[\gamma_\mu, \gamma_\nu]}{2} \right\}$$



# Flavor-chiral symmetries of Wilson fermion

Naive



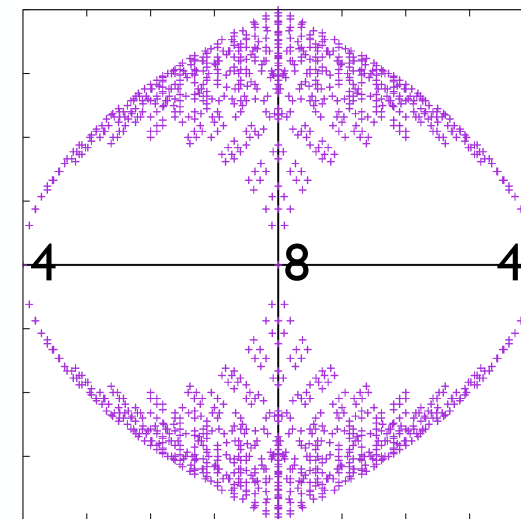
$$C_{12} + C_{34}$$



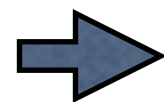
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Wilson'



$U(4) \times U(4)$



$U(2)$

$$\Gamma_X^{(+)} \in \left\{ \mathbf{1}_4, (-1)^{n_1+\dots+n_4} \gamma_5, \right.$$

$$\Gamma_X^{(-)} \in \left\{ \right.$$

$$\left. (-1)^{n_{1,2}} \frac{i [\gamma_1, \gamma_2]}{2}, (-1)^{n_{3,4}} \frac{i [\gamma_3, \gamma_4]}{2} \right\}$$

# Flavored-mass and generalized Wilson

Adams (09)  
Hoelbling (10)  
Creutz, Kimura, TM (10)

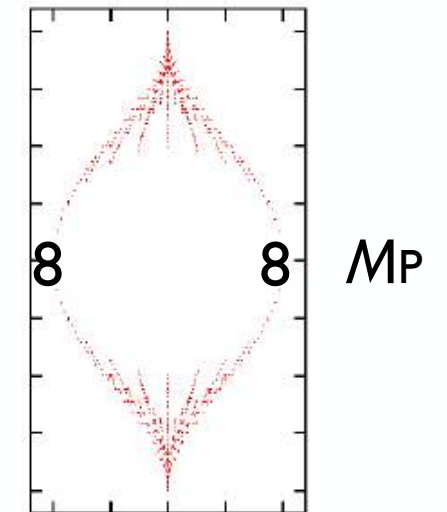
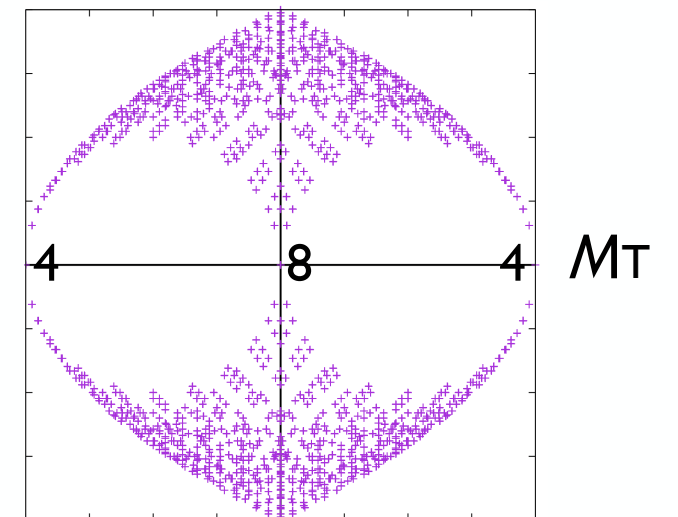
$$M_V = \sum_{\mu} C_{\mu}, \quad \text{Vector (1-link)}$$

$$M_T = \sum_{\text{perm. sym.}} \sum C_{\mu} C_{\nu}, \quad \text{Tensor (2-link)}$$

$$M_A = \sum_{\text{perm. sym.}} \sum \prod_{\nu} C_{\nu}, \quad \text{Axial-V (3-link)}$$

$$M_P = \sum_{\text{sym.}} \prod_{\mu=1}^4 C_{\mu}, \quad \text{Pseudo-S (4-link)}$$

Dirac eigenvalues



- Hypercubic sym, gamma5-hermiticity, C, P, T...

- Lattice laplacian  $\sum_n \bar{\psi}_n (M_P - 1) \psi_n \rightarrow -a \int d^4x \bar{\psi}(x) D_{\mu}^2 \psi(x) + O(a^2)$

- Generalized Wilson fermions

# Central-branch Wilson

Creutz, Kimura, TM (II)

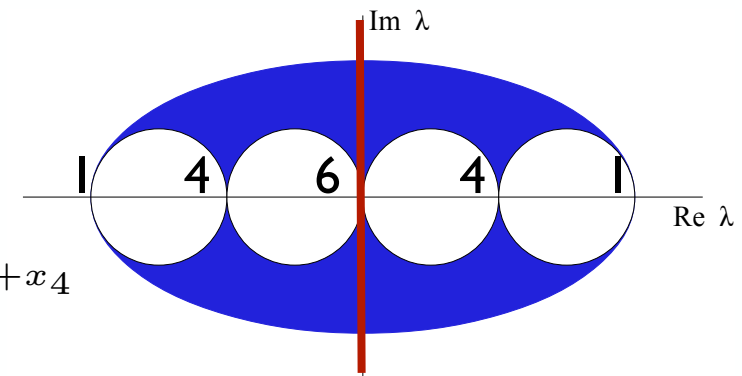
Kimura, Komatsu, TM, Noumi, Torii, Aoki (II)

## ◆ Wilson without onsite terms $M_W \equiv m + 4r = 0$

$$S = \frac{1}{2} \sum_{x,\mu} \bar{\psi}_x [\gamma_\mu (U_{x,\mu} \psi_{x+\mu} - U_{x,-\mu} \psi_{x-\mu}) - (U_{x,\mu} \psi_{x+\mu} + U_{x,-\mu} \psi_{x-\mu})]$$

➔ Extra **U(1)** for 6 flavors!

$$\psi_x \rightarrow e^{i\theta(-1)^{x_1+x_2+x_3+x_4}} \psi_x, \quad \bar{\psi}_x \rightarrow \bar{\psi}_x e^{i\theta(-1)^{x_1+x_2+x_3+x_4}}$$



### • Flavor-chiral symmetry

$$\Gamma_X^{(+)} \in \left\{ \mathbf{1}_4, (-1)^{n_1+\dots+n_4} \gamma_5, (-1)^{\tilde{n}_\mu} \gamma_\mu, (-1)^{n_\mu} i \gamma_\mu \gamma_5, (-1)^{n_{\mu,\nu}} \frac{[\gamma_\mu, \gamma_\nu]}{2} \right\}$$

$$\Gamma_X^{(-)} \in \left\{ (-1)^{n_1+\dots+n_4} \mathbf{1}_4, \gamma_5, (-1)^{n_\mu} \gamma_\mu, (-1)^{\tilde{n}_\mu} \gamma_\mu \gamma_5, (-1)^{\tilde{n}_{\mu,\nu}} \frac{[\gamma_\mu, \gamma_\nu]}{2} \right\}$$

# Central-branch Wilson

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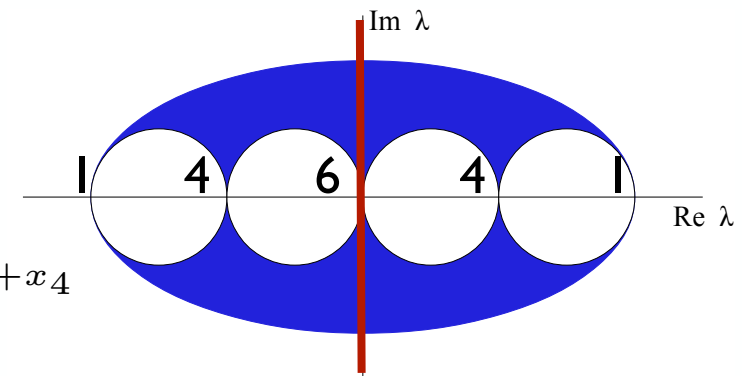
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### • Flavor-chiral symmetry

$$\begin{aligned} \Gamma_X^{(+)} &\in \left\{ \mathbf{1}_4, \right. \\ \Gamma_X^{(-)} &\in \left\{ (-1)^{n_1+\dots+n_4} \mathbf{1}_4, \right. \end{aligned}$$

↓

**Prohibits additive mass renormalization !**  
**No fine-tuning !**

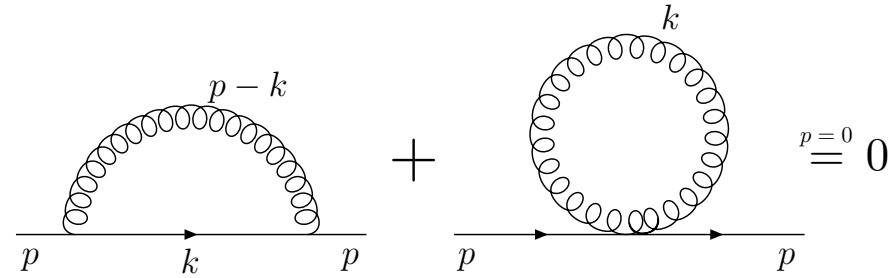
◆ Lattice perturbation    TM (12)    Chowdhury, et.al. (13)    TM, Yumoto (20)

- quark self-energy

$$\frac{g_0^2}{16\pi^2} \left( \frac{\Sigma_0}{a} + i\gamma_\mu p_\mu \Sigma_1 + m_0 \Sigma_2 \right)$$



$$\Sigma_0^{(\alpha)} = \Sigma_0^{(\alpha)}(\text{sun}) + \Sigma_0^{(\alpha)}(\text{tad}) = 0$$



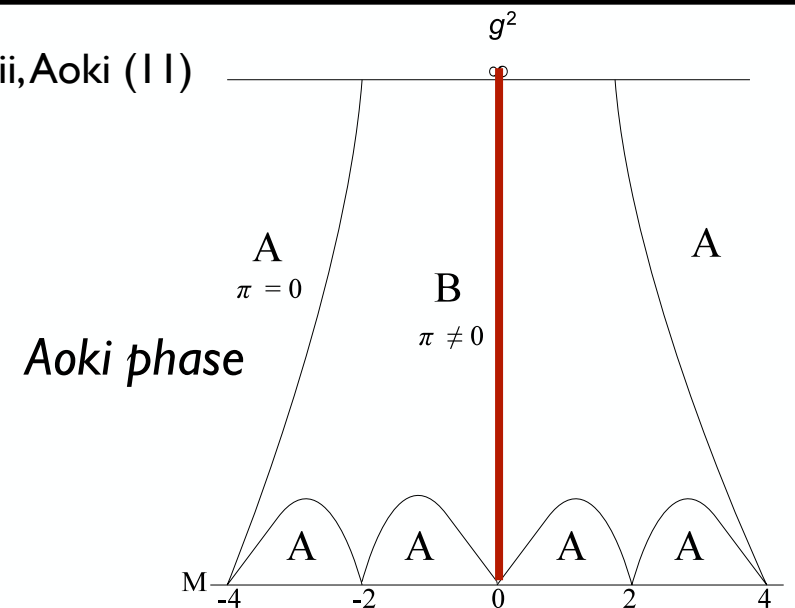
## No additive mass renormalization

◆ Strong-coupling QCD Kimura, Komatsu, TM, Noumi, Torii, Aoki (11)  
TM, Yumoto (20)

- Massless meson a/w extra  $U(1)$

$$\pi \sim \bar{\psi}(-1)^{\Sigma} \psi$$

$$\cosh(m_\pi) = 1 + \frac{2M_W^2(16 + M_W^2)}{16 - 15M_W^2} \rightarrow m_\pi = 0$$



- Pseudo-scalar condensate

$$\langle \bar{\psi} \gamma_5 \psi \rangle \neq 0 \quad \langle \bar{\psi} \psi \rangle = 0$$

## Parity is also broken!



NG boson emerges due to  
SSB of the extra  $U(1)$

## ◆ CB for other flavored masses

de Forcrand et.al. (12) TM (12) TM,Yumoto (20)

$M_T$  : **U(2)** restored

$M_H$  :  **$C_T$**  restored for staggered

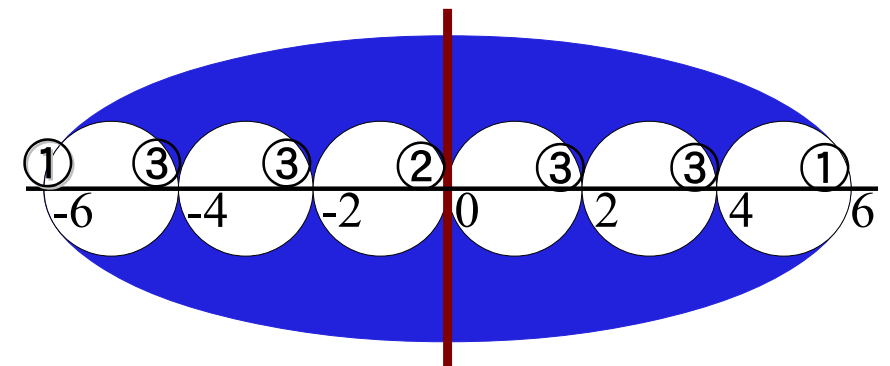
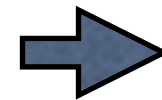
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$$\Gamma_X^{(-)} \in \left\{ (-1)^{\tilde{n}_{1,3}} \frac{i[\gamma_1, \gamma_3]}{2}, (-1)^{\tilde{n}_{2,4}} \frac{i[\gamma_2, \gamma_4]}{2}, (-1)^{\tilde{n}_{1,4}} \frac{i[\gamma_1, \gamma_4]}{2}, (-1)^{\tilde{n}_{2,3}} \frac{i[\gamma_2, \gamma_3]}{2} \right\}$$

## ◆ 2-flavor CB

TM (12) TM,Yumoto (20)

$$\S \text{ 4D } \sum_{\mu=1}^4 C_\mu \rightarrow \sum_{j=1}^3 C_j + 3C_4.$$

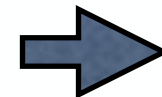


• 2-flavor fermion

• Hypercubic symmetry  $\rightarrow$  Cubic symmetry

(1,3,3,2,3,3,1) splitting

$$\S \text{ 5D } \sum_{\mu=1}^5 C_\mu \rightarrow \sum_{j=1}^4 C_j + 4C_5$$



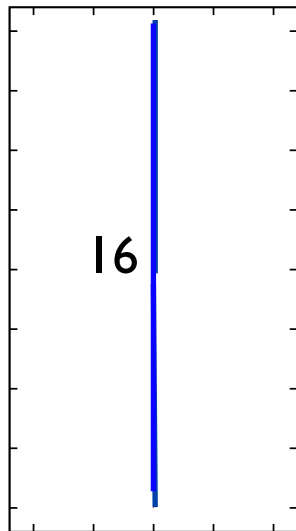
(1,4,6,4,2,4,6,4,1) splitting

• 5D 2-flavor fermion

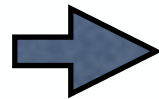
• 5D hypercubic  $\rightarrow$  4D hypercubic

# Flavor-chiral symmetries of Wilson fermion

Naive



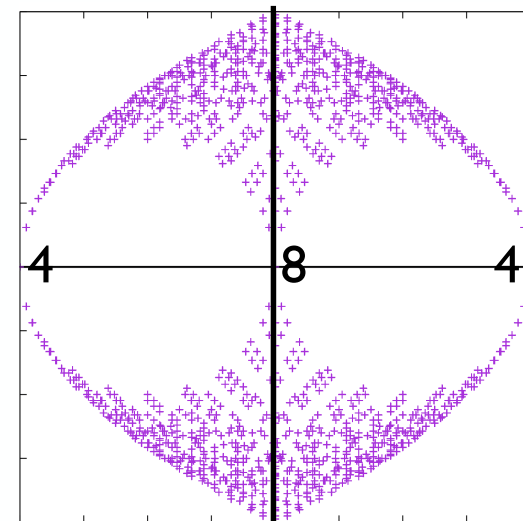
$$C_{12} + C_{34}$$



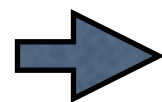
$$C_\mu = (T_{+\mu} + T_{-\mu})/2$$

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CB Wilson'



$U(4) \times U(4)$



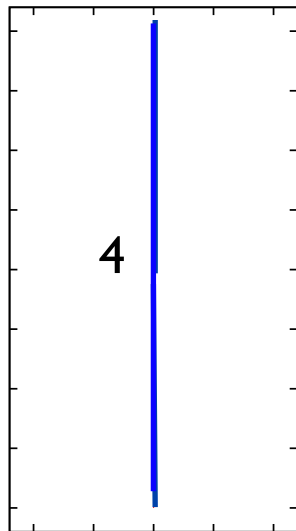
$U(2) \times U(2)$

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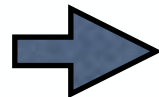
$$\Gamma_X^{(-)} \in \left\{ (-1)^{\tilde{n}_{1,3}}\frac{i[\gamma_1,\gamma_3]}{2}, (-1)^{\tilde{n}_{2,4}}\frac{i[\gamma_2,\gamma_4]}{2}, (-1)^{\tilde{n}_{1,4}}\frac{i[\gamma_1,\gamma_4]}{2}, (-1)^{\tilde{n}_{2,3}}\frac{i[\gamma_2,\gamma_3]}{2} \right\}$$

# Flavor-chiral symmetries of Wilson fermion

Staggered

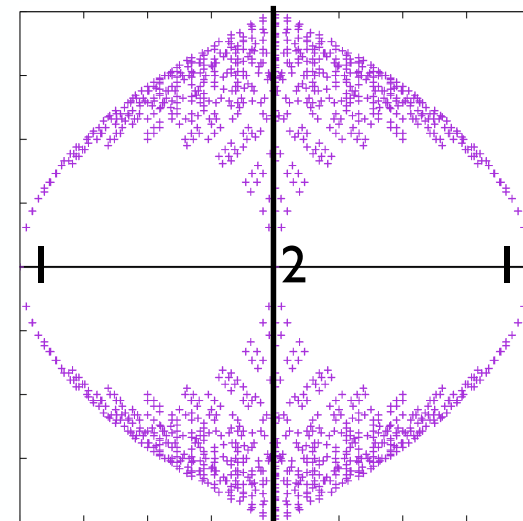


$$i(\eta_{12}C_{12} + \eta_{34}C_{34})$$



$$(\eta_{\mu\nu})_{xy} = \epsilon_{\mu\nu}\eta_\mu\eta_\nu\delta_{x,y}, \quad (\epsilon_{\mu\nu})_{xy} = (-1)^{x_\mu+x_\nu}\delta_{x,y},$$

CB staggered-Wilson



$$\{C_0, \Xi_\mu, I_s, R_{\mu\nu}\} \times \{U^\epsilon(1)\}_{m=0}$$



$$\{C_T, C'_T, \Xi'_\mu, R_{12}, R_{34}, R_{24}R_{31}\}$$

$$C'_T : \chi_x \rightarrow \bar{\chi}_x^T, \quad \bar{\chi}_x \rightarrow \chi_x^T, \quad U_{x,\mu} \rightarrow U_{x,\mu}^*$$



## ◆ CB for other flavored masses

de Forcrand et.al. (12) TM (12) TM,Yumoto (20)

$M_T$  : **U(2)** restored

$M_H$  :  **$C_T$**  restored for staggered

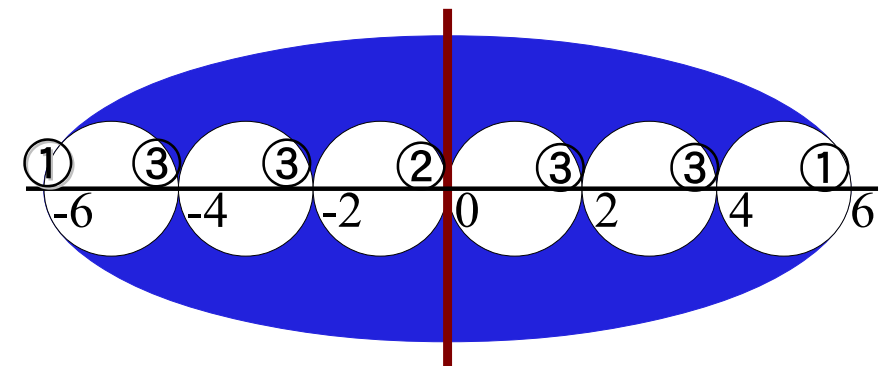
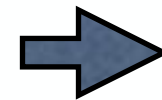
$$\Gamma_X^{(+)} \in \left\{ \mathbf{1}_4, (-1)^{n_1+\dots+n_4} \gamma_5, (-1)^{n_{1,2}} \frac{i[\gamma_1, \gamma_2]}{2}, (-1)^{n_{3,4}} \frac{i[\gamma_3, \gamma_4]}{2} \right\},$$

$$\Gamma_X^{(-)} \in \left\{ (-1)^{\tilde{n}_{1,3}} \frac{i[\gamma_1, \gamma_3]}{2}, (-1)^{\tilde{n}_{2,4}} \frac{i[\gamma_2, \gamma_4]}{2}, (-1)^{\tilde{n}_{1,4}} \frac{i[\gamma_1, \gamma_4]}{2}, (-1)^{\tilde{n}_{2,3}} \frac{i[\gamma_2, \gamma_3]}{2} \right\}$$

## ◆ 2-flavor CB

TM (12) TM,Yumoto (20)

$$\S \text{ 4D } \sum_{\mu=1}^4 C_\mu \rightarrow \sum_{j=1}^3 C_j + 3C_4.$$

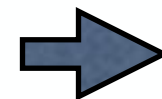


• 2-flavor fermion

• Hypercubic symmetry  $\rightarrow$  Cubic symmetry

(1,3,3,2,3,3,1) splitting

$$\S \text{ 5D } \sum_{\mu=1}^5 C_\mu \rightarrow \sum_{j=1}^4 C_j + 4C_5$$



(1,4,6,4,2,4,6,4,1) splitting

• 5D 2-flavor fermion

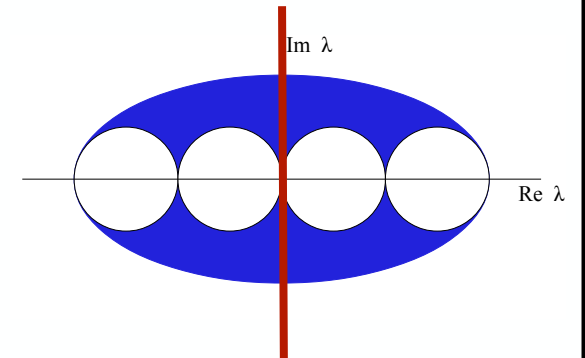
• 5D hypercubic  $\rightarrow$  4D hypercubic

# Semi-positivity of $\det(D)$

Tanizaki, TM (19)

◆ Use of extra  $U(1)$  symmetry  $D(-1)^{\sum_{\mu} n_{\mu}} = -(-1)^{\sum_{\mu} n_{\mu}} D$

$$\begin{aligned} D|R_{\lambda}\rangle &= \lambda|R_{\lambda}\rangle \\ \langle L_{\lambda}|D &= \lambda\langle L_{\lambda}| \end{aligned} \quad \Rightarrow \quad \begin{aligned} D(-)^{\sum_{\mu} n_{\mu}}|R_{\lambda}\rangle &= -\lambda(-)^{\sum_{\mu} n_{\mu}}|R_{\lambda}\rangle, \\ \langle L_{\lambda}|(-)^{\sum_{\mu} n_{\mu}}D &= -\lambda\langle L_{\lambda}|(-)^{\sum_{\mu} n_{\mu}}. \end{aligned}$$



It shows that  $+\lambda$  and  $-\lambda$  make a pair.

◆ Use of hermitian Dirac operator  $H = \gamma_5 D$

$$H(-1)^{\sum_{\mu} n_{\mu}} = -(-1)^{\sum_{\mu} n_{\mu}} H \quad \Rightarrow \quad \{\pm \varepsilon_i\}_{i=1, \dots, N} \quad \text{Pair of } +\varepsilon \text{ and } -\varepsilon$$

$$\Rightarrow \det(D) = \det(H) = \prod_{i=1}^N \varepsilon_i (-\varepsilon_i) = (-1)^N \prod_{i=1}^N \varepsilon_i^2 > 0 \quad N = N_1 N_2 N_3 N_4 : \text{even}$$

This procedure shows semi-positivity  $\det(D) \geq 0$

# 2D Central-branch Wilson

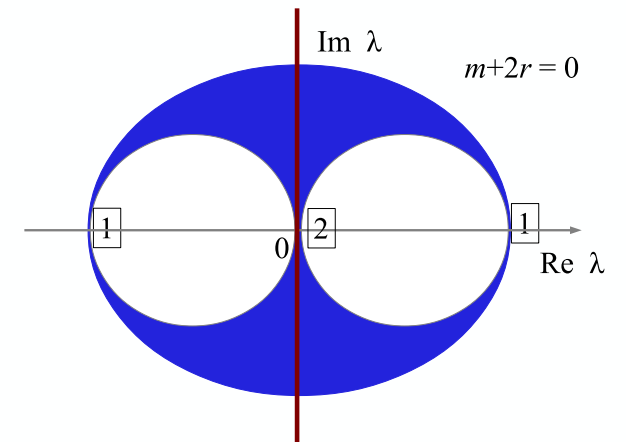
Tanizaki, TM (19)

◆ Wilson without onsite terms  $m + 2r = 0$

$$S_{\text{CB}} = \sum_{n,\mu} (\bar{\psi}_n \gamma_\mu D_\mu \psi_n - r \bar{\psi}_n C_\mu \psi_n)$$

➡ Extra **U(1)** for 2 flavors!

$$U(1)_{\bar{V}} : \psi_n \mapsto e^{i(-1)^{n_1+n_2}\beta} \psi_n, \quad \bar{\psi}_n \mapsto \bar{\psi}_n e^{i(-1)^{n_1+n_2}\beta}$$



*Dirac eigenvalue distribution*

• Flavor-chiral symmetry for central-branch Wilson fermion

$$\Gamma_X^{(+)} \in \{ \mathbf{1}_2 \}$$

$$\Gamma_X^{(-)} \in \{ (-1)^{n_1+n_2} \mathbf{1}_2 \}$$



This extra **U(1)** symmetry to prohibit mass term

# Symmetries of CB Wilson

Tanizaki, TM (19)

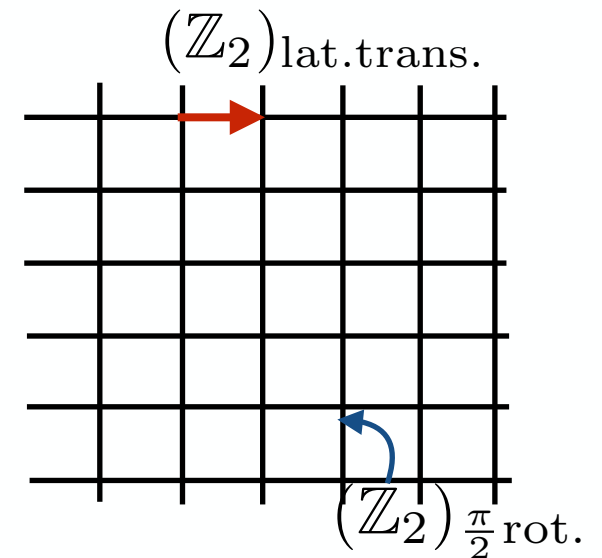
## ◆ Relevant symmetries

$$U(1)_V : \psi_n \mapsto e^{i\alpha} \psi_n, \quad \bar{\psi}_n \mapsto \bar{\psi}_n e^{-i\alpha}$$

$$U(1)_{\bar{V}} : \psi_n \mapsto e^{i(-1)^{n_1+n_2}\beta} \psi_n, \quad \bar{\psi}_n \mapsto \bar{\psi}_n e^{i(-1)^{n_1+n_2}\beta}$$

$$(\mathbb{Z}_2)_{\text{lat.trans.}} : \quad \psi(x, y) \mapsto \psi(x+1, y) \quad \psi(x, y) \mapsto \psi(x, y+1)$$

$$(\mathbb{Z}_2)_\chi : \quad \psi(x, y) \mapsto e^{i\frac{\pi}{4}\gamma_3} \psi(y, -x), \quad \bar{\psi}(x, y) \mapsto \bar{\psi}(y, -x) e^{-i\frac{\pi}{4}\gamma_3}$$



$$G_{\text{CB fermion}} = \frac{U(1)_V \times [U(1)_{\bar{V}} \rtimes (\mathbb{Z}_2)_{\text{lat.trans.}}]}{(\mathbb{Z}_2)_F} \times (\mathbb{Z}_2)_\chi$$

't Hooft anomaly matching tells CB-Wilson Schwinger model is gapless or has SSB of  $\mathbb{Z}_2$

# Anomaly matching for QED with CB

## ◆ XXZ spin chain



$$\hat{H} = - \sum_{\ell} (J_x \hat{X}_{\ell} \hat{X}_{\ell+1} + J_y \hat{Y}_{\ell} \hat{Y}_{\ell+1} + J_z \hat{Z}_{\ell} \hat{Z}_{\ell+1}) \quad J \equiv J_x = J_y \neq J_z$$

Symmetries :  $SO(2) \rtimes \mathbb{Z}_2 \times (\mathbb{Z}_2)_{\text{lat.trans.}}$  same symmetry structure as CB-Wilson Schwinger model

## ◆ Known facts on the system

$|J_z/J| < 1$  gapless phase with spin-wave or spinon

$|J_z/J| > 1$   $\mathbb{Z}_2$  SSB : ferromagnetic or anti-ferromagnetic

➡ consistent to our mixed anomaly !

CB-Wilson Schwinger enables us to simulate Heisenberg spin chain

## 2. Lattice fermions as spectral graphs

# Lattice fermion as spectral graph

Yumoto, TM (21)  
cf.) Ohta, Sakai (20)  
Ohta, Matsuura (21)

**Definition 1.** *A graph  $G$  is a pair  $G = (V, E)$ .  $V$  is a set of vertices and  $E$  is a set of edges.*

**Definition 2.** *A directed graph is a pair  $(V, E)$  of sets of vertices and edges together with two maps  $\text{init} : E \rightarrow V$  and  $\text{ter} : E \rightarrow V$ . The two maps are assigned to every edge  $e_{ij}$  with an initial vertex  $\text{init}(e_{ij}) = v_i \in V$  and a terminal vertex  $\text{ter}(e_{ij}) = v_j \in V$ . If  $\text{init}(e_{ij}) = \text{ter}(e_{ij})$ , the edge  $e_{ij}$  is called a loop.*

**Definition 3.** *A weighted graph has a value (weight) for each edge in a graph.*

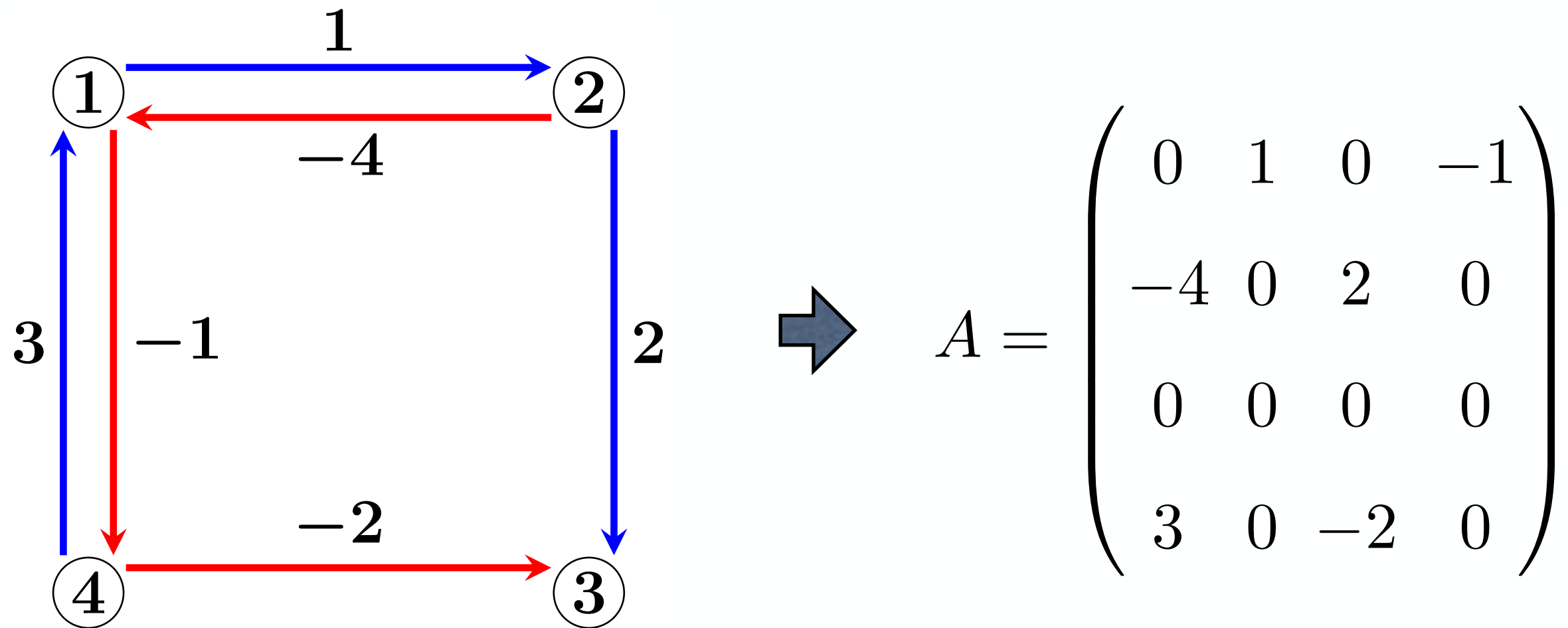
**Definition 4.** *A adjacency matrix  $A$  of a graph is the  $|V| \times |V|$  matrix given by*

$$A_{ij} = \begin{cases} w_{ij} & \text{if there is a edge from } i \text{ to } j \\ 0 & \text{otherwise} \end{cases},$$

*where  $w_{ij}$  is the weight of an edge from  $i$  to  $j$ .*

# Lattice fermion as spectral graph

Yumoto, TM (21)



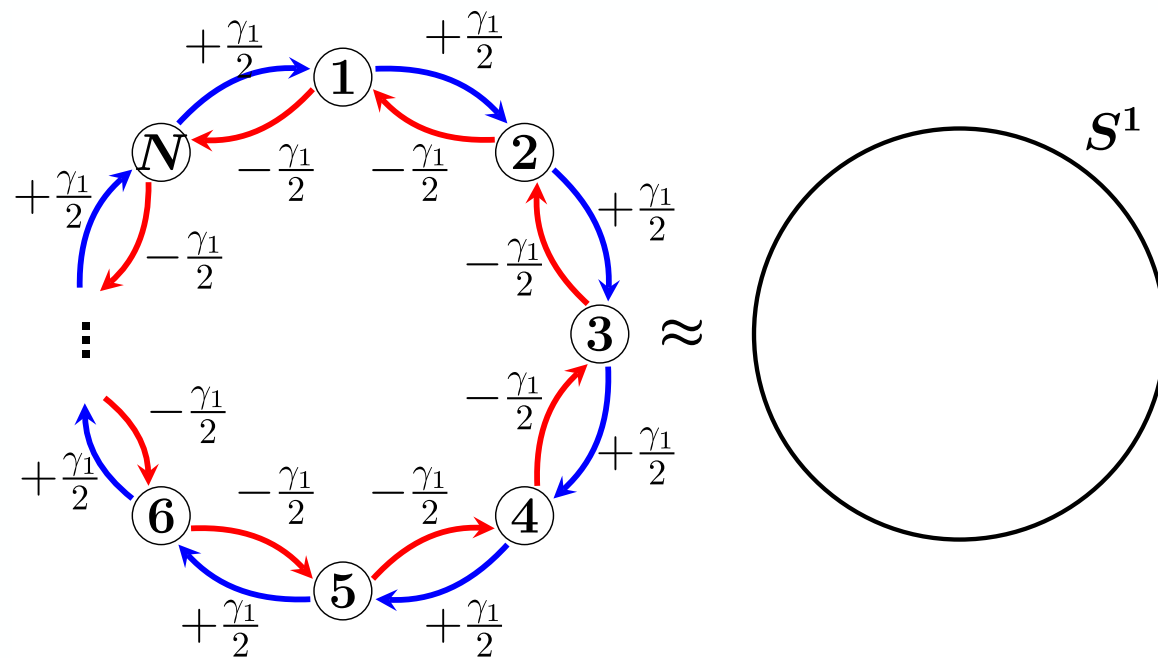


# Lattice fermion as spectral graph

Yumoto, TM (21)

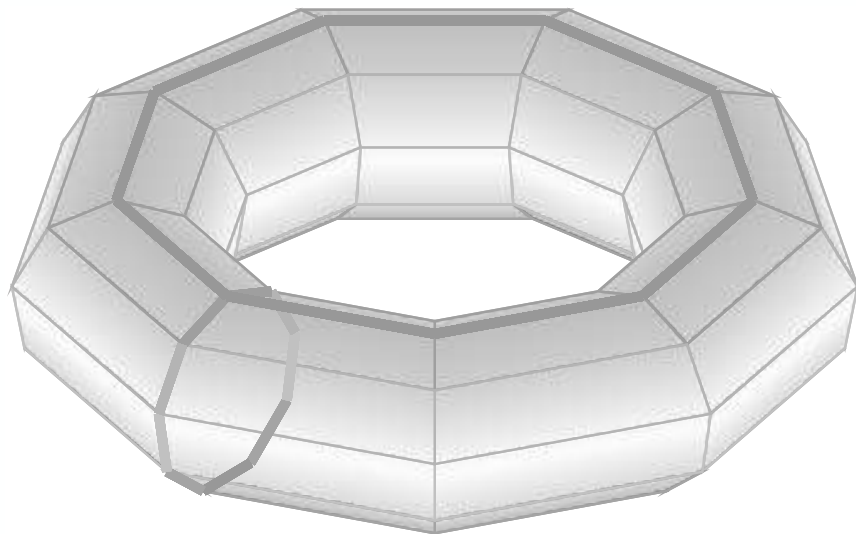
## ◆ Naive fermion

1D



$$\mathcal{D}^{1d} = P_N \otimes \gamma_1.$$

2D

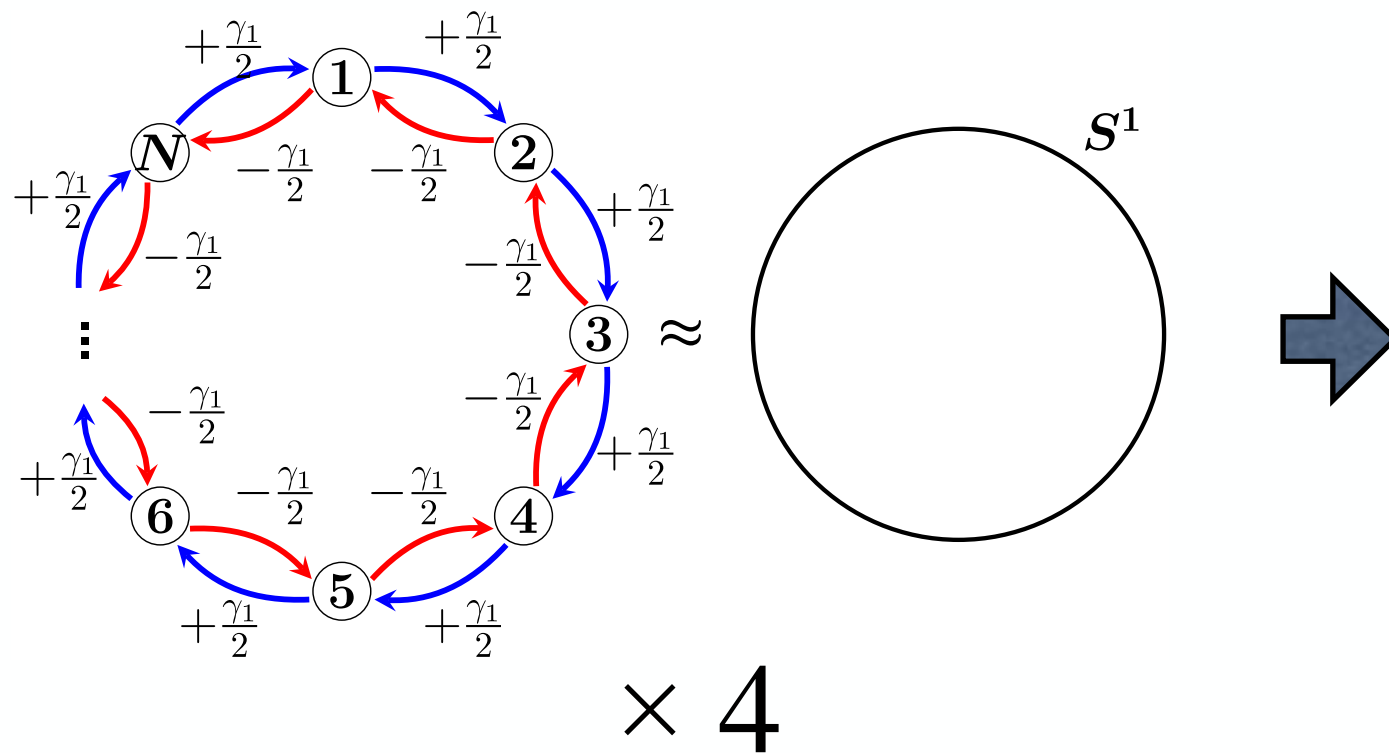


$$D^{2d} = \mathbf{1}_N \otimes P_N \otimes \gamma_1 + P_N \otimes \mathbf{1}_N \otimes \gamma_2$$

# Lattice fermion as spectral graph

Yumoto, TM (21)

## ◆ 4D Naive fermion



$$\mathcal{D} = \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes P_N \otimes \gamma_1$$

$$+ \mathbf{1}_N \otimes \mathbf{1}_N \otimes P_N \otimes \mathbf{1}_N \otimes \gamma_2$$

$$+ \mathbf{1}_N \otimes P_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_3$$

$$+ P_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_4$$

$$P_N = \frac{1}{2} \begin{pmatrix} 0 & 1 & 0 & & 0 & 0 & -1 \\ -1 & 0 & 1 & \cdots & 0 & 0 & 0 \\ 0 & -1 & 0 & & 0 & 0 & 0 \\ & \vdots & & \ddots & & \vdots & \\ 0 & 0 & 0 & & 0 & 1 & 0 \\ 0 & 0 & 0 & \cdots & -1 & 0 & 1 \\ 1 & 0 & 0 & & 0 & -1 & 0 \end{pmatrix}$$

# Lattice fermion as spectral graph

Yumoto, TM (21)

## ◆ Diagonalization

$$P_N X = i \text{Diag} \left[ 0, \sin \frac{2\pi}{N}, \sin \frac{4\pi}{N}, \dots, \sin \frac{2(N-1)\pi}{N} \right] X \equiv \Lambda_{P_N} X.$$

$$\mathcal{U}^\dagger \mathcal{D} \mathcal{U} = \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \Lambda_{P_N} \otimes \gamma_1$$



$$+ \mathbf{1}_N \otimes \mathbf{1}_N \otimes \Lambda_{P_N} \otimes \mathbf{1}_N \otimes \gamma_2$$

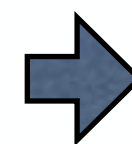
$$+ \mathbf{1}_N \otimes \Lambda_{P_N} \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_3$$

$$+ \Lambda_{P_N} \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_4$$

$$\mathcal{U} = \bigotimes_{\mu=1}^4 X \otimes \mathbf{1}_4$$



$$\sum_{\mu=1}^4 \sin \left( \frac{2\pi(k_\mu - 1)}{N} \right) \gamma_\mu = \mathbf{0}.$$

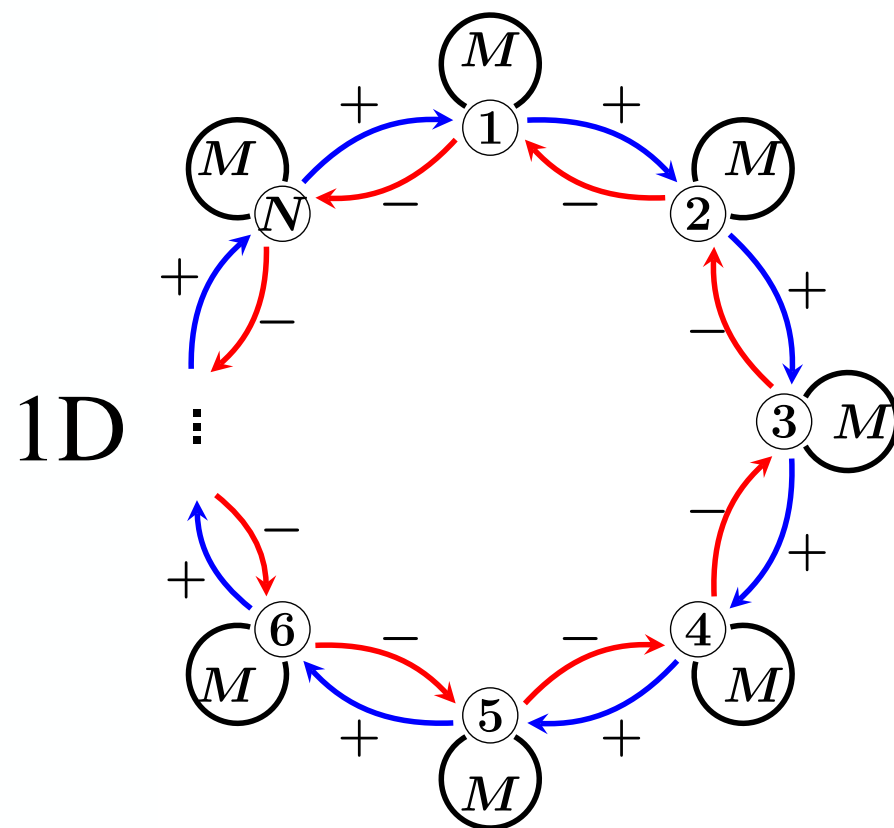


16 species

# Lattice fermion as spectral graph

Yumoto, TM (21)

## ◆ Wilson fermion



$$\pm(\gamma_1 \mp r\mathbf{1}_4)/2$$

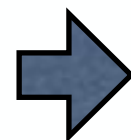
$$M = m + r$$

$$D_W^{1d} = P_N \otimes \gamma_1 + m\mathbf{1}_N \otimes \mathbf{1}_4 + rM_W \otimes \mathbf{1}_4$$

$$M_W = \mathbf{1}_N - (E + E^\dagger)/2$$

$$D_W^{2d} = \mathbf{1}_N \otimes P_N \otimes \gamma_1 + P_N \otimes \mathbf{1}_N \otimes \gamma_2$$

$$+ m(\mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_4) + r(\mathbf{1}_N \otimes M_W + M_W \otimes \mathbf{1}_N) \otimes \mathbf{1}_4$$



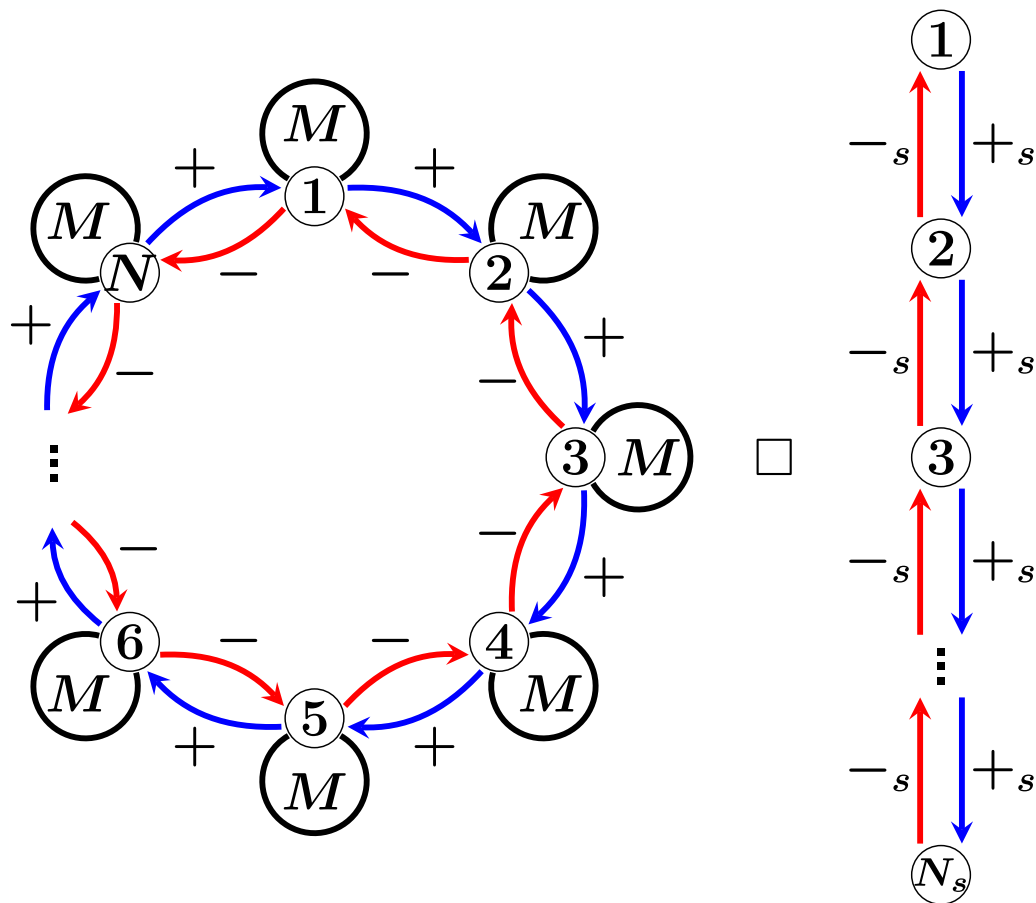
$$\sin\left(\frac{2\pi(k_\mu - 1)}{N}\right) = 0$$

$$m + 4r - r \sum_{\mu} \cos\left(\frac{2\pi(k_\mu - 1)}{N}\right) = 0$$

# Lattice fermion as spectral graph

Yumoto, TM (21)

## ◆ Domain-wall fermion



$$S_{DW} = \sum_{s,t} \bar{\psi}_s [\mathcal{D}_{DW} + \mathcal{M}_{DW}]_{st} \psi_t$$

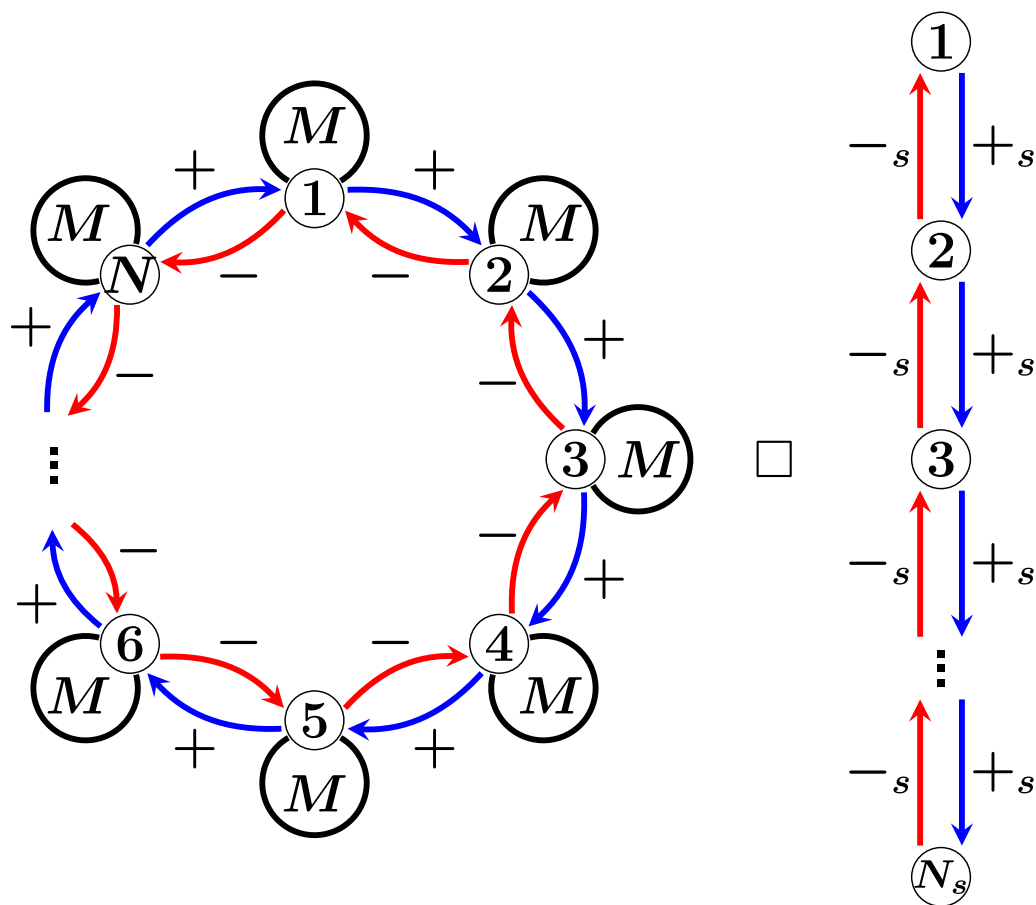
$$[\mathcal{D}_{DW}]_{st} = \delta_{st} \cdot (\mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes P_N \otimes \gamma_1 + \mathbf{1}_N \otimes \mathbf{1}_N \otimes P_N \otimes \mathbf{1}_N \otimes \gamma_2 \\ + \mathbf{1}_N \otimes P_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_3 + P_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_4)$$

$$[\mathcal{M}_{DW}]_{st} = \frac{1}{2} \Delta_{st}^{(-)} \cdot \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_5 \\ + \delta_{st} (-M_0 \cdot \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_4 \\ + \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes M_W \otimes \mathbf{1}_4 + \mathbf{1}_N \otimes \mathbf{1}_N \otimes M_W \otimes \mathbf{1}_N \otimes \mathbf{1}_4 \\ + \mathbf{1}_N \otimes M_W \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_4 + M_W \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_4) \\ + \frac{1}{2} (2\delta_{st} - \Delta_{st}^{(+)}) \cdot \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_4$$

# Lattice fermion as spectral graph

Yumoto, TM (21)

## ◆ Domain-wall fermion



$$S_{DW} = \sum_{s,t} \bar{\psi}_s [\mathcal{D}_{DW} + \mathcal{M}_{DW}]_{st} \psi_t$$

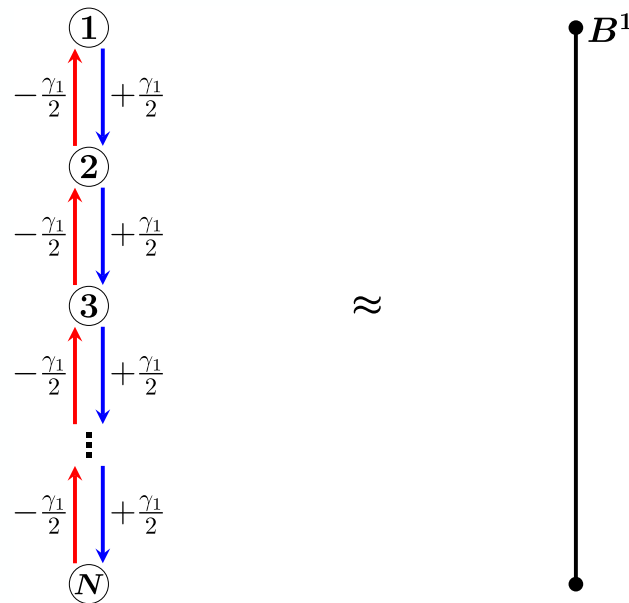
the solutions of $k_\mu$	the range of $M_0$	the number of zero eigenvalues
any $k_\mu = 1$	$0 < M_0 < 2$	1
one $k_\mu = 1 + N/2$ otherwise $k_\mu = 1$	$2 < M_0 < 4$	4
two $k_\mu = 1 + N/2$ otherwise $k_\mu = 1$	$4 < M_0 < 6$	6
three $k_\mu = 1 + N/2$ otherwise $k_\mu = 1$	$6 < M_0 < 8$	4
any $k_\mu = 1 + N/2$	$8 < M_0 < 10$	1

# Lattice fermion as spectral graph

Yumoto, TM (21)

## ◆ Naive fermion on hyperball

1D

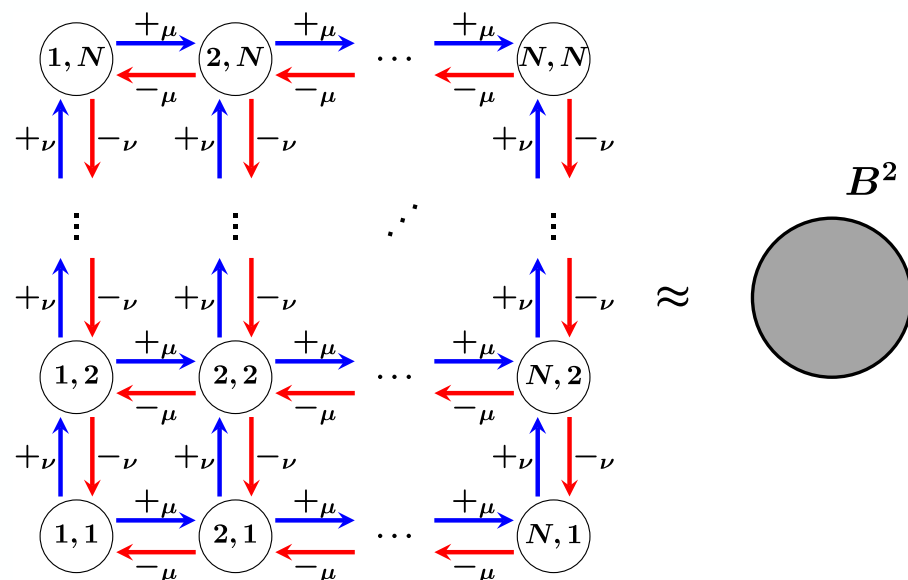


$$\mathcal{D}_{B^1} = Q_N \otimes \gamma_1$$

$$\mathcal{D}_{B^2} = \mathbf{1}_N \otimes Q_N \otimes \gamma_1 + Q_N \otimes \mathbf{1}_N \otimes \gamma_2$$

$$\begin{aligned} \mathcal{D}_{B^4} = & \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes Q_N \otimes \gamma_1 \\ & + \mathbf{1}_N \otimes \mathbf{1}_N \otimes Q_N \otimes \mathbf{1}_N \otimes \gamma_2 \\ & + \mathbf{1}_N \otimes Q_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_3 \\ & + Q_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_4. \end{aligned}$$

2D



$$Q_N = \frac{1}{2} \begin{pmatrix} 0 & 1 & 0 & & 0 & 0 & 0 \\ -1 & 0 & 1 & \cdots & 0 & 0 & 0 \\ 0 & -1 & 0 & & 0 & 0 & 0 \\ & \vdots & \ddots & & \vdots & & \\ 0 & 0 & 0 & & 0 & 1 & 0 \\ 0 & 0 & 0 & \cdots & -1 & 0 & 1 \\ 0 & 0 & 0 & & 0 & -1 & 0 \end{pmatrix}$$

# Lattice fermion as spectral graph

Yumoto, TM (21)

## ◆ Naive fermion on 4D hyperball

$$Q_N Y = i \text{Diag} \left[ \cos \left( \frac{\pi}{N+1} \right), \cos \left( \frac{2\pi}{N+1} \right), \dots, \cos \left( \frac{N\pi}{N+1} \right) \right] \equiv \Lambda_{Q_N} X$$

$$\begin{aligned} \mathcal{V}^\dagger \mathcal{D}_{B^4} \mathcal{V} &= \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \Lambda_{Q_N} \otimes \gamma_1 \\ &+ \mathbf{1}_N \otimes \mathbf{1}_N \otimes \Lambda_{Q_N} \otimes \mathbf{1}_N \otimes \gamma_2 \\ &+ \mathbf{1}_N \otimes \Lambda_{Q_N} \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_3 \\ &+ \Lambda_{Q_N} \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \mathbf{1}_N \otimes \gamma_4 \end{aligned}$$

$$\mathcal{V} \equiv \bigotimes_{\mu=1}^4 Y \otimes \mathbf{1}_4$$

$$\sum_{\mu} \cos \left( \frac{\lambda_{\mu} \pi}{N+1} \right) \gamma_{\mu} = 0$$

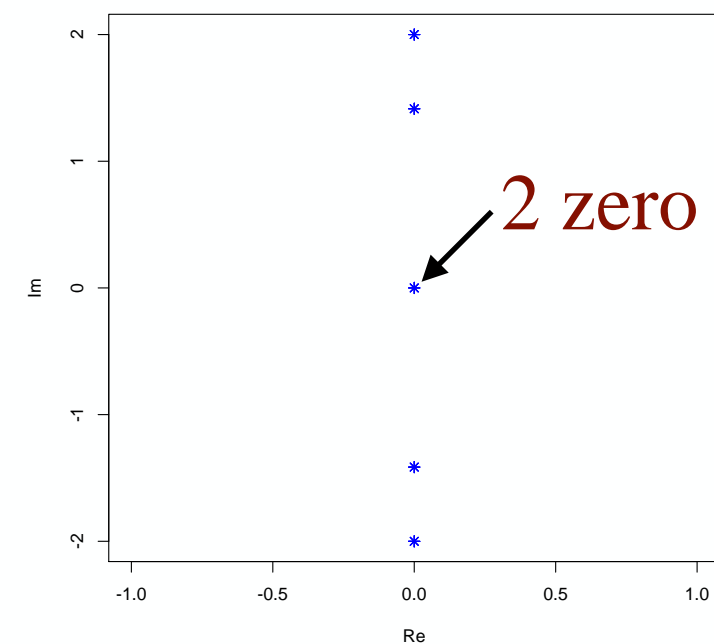
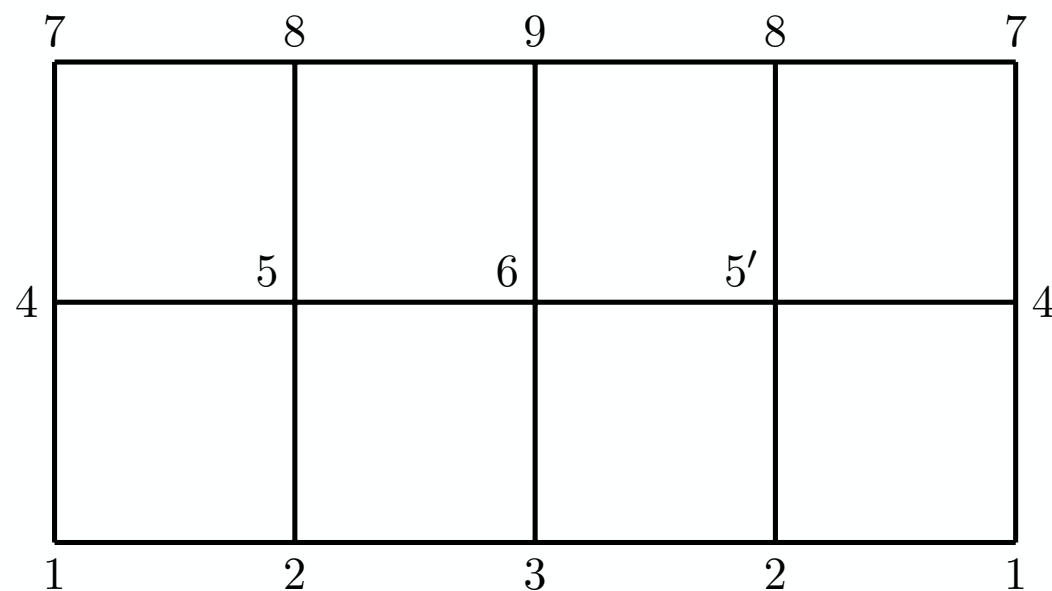
➡ 1 species in bulk !



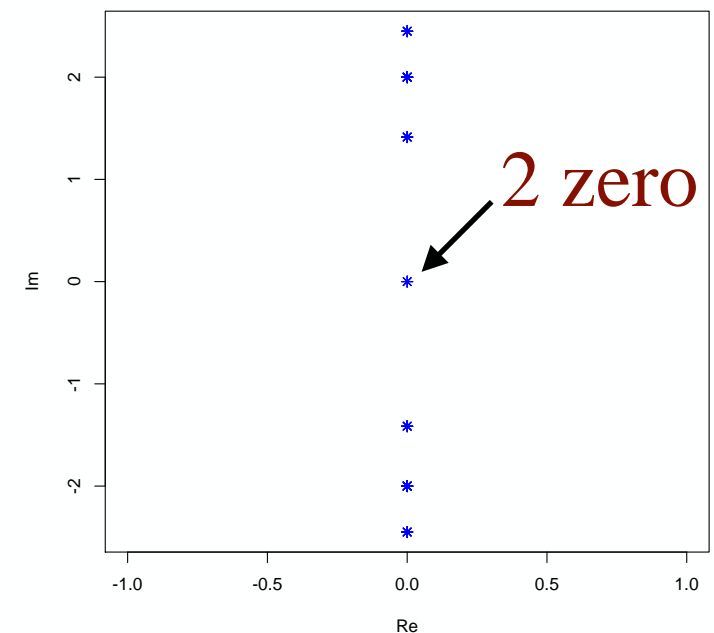
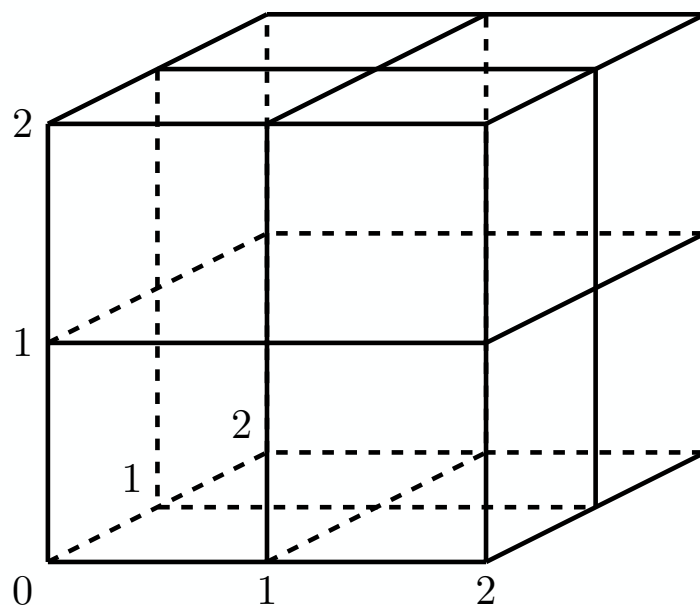
# Lattice fermion as spectral graph

Yumoto, TM (21)

## ◆ Naive fermion on sphere



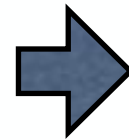
Empirically  
2 species !



# Lattice fermion as spectral graph

Yumoto, TM (21)

Lattice field theory



Spectral graph theory

Lattice fermion



Directed and Weighted  
spectral graph

# of Fermion species



Nullity of  
spectral matrix

We can use known theorems to study lattice field theory.

### 3. New conjecture on fermion doubling

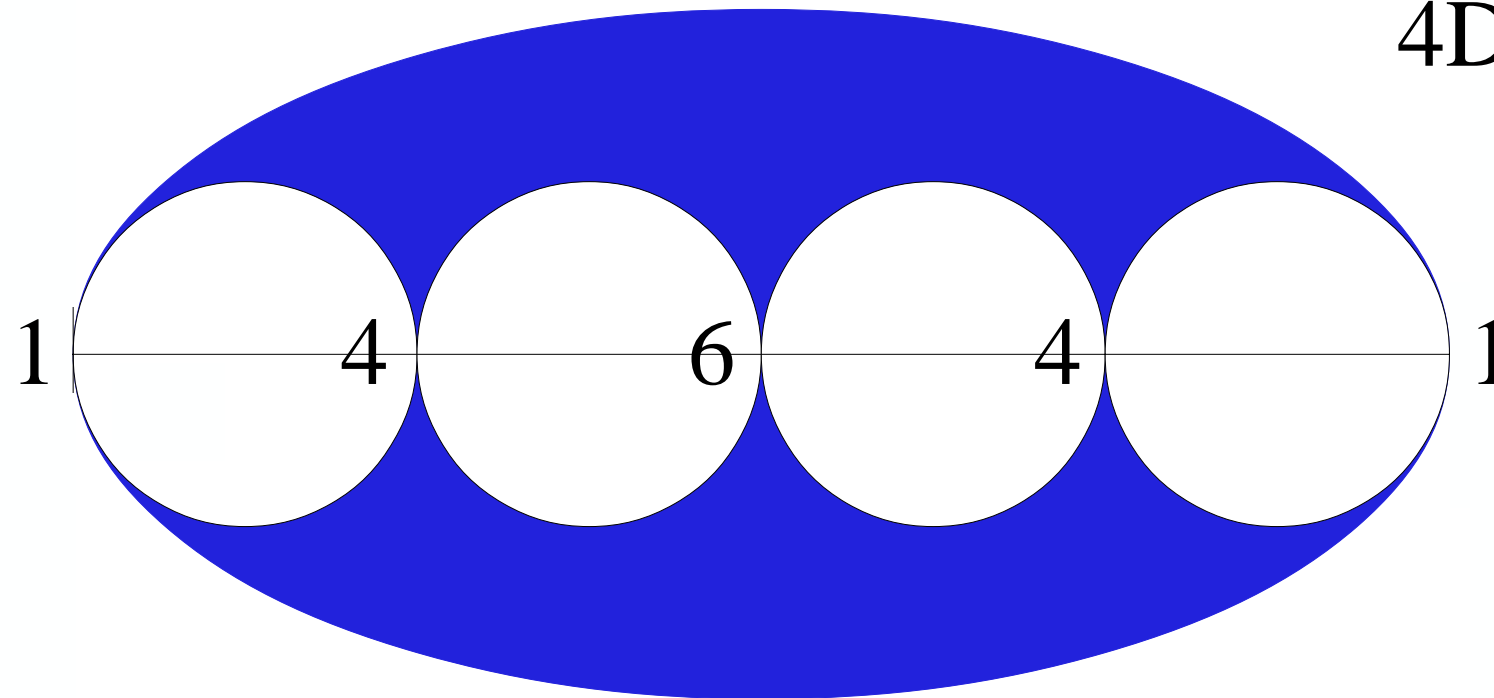
Nielsen-Ninomiya's no-go theorem is just no-go theorem.  
It never tells us how many fermion species emerge  
given a lattice fermion formulation.

Is there a theorem which informs us of # of species?

# Reconsider Naive and Wilson

Yumoto, TM (22)

4D Wilson



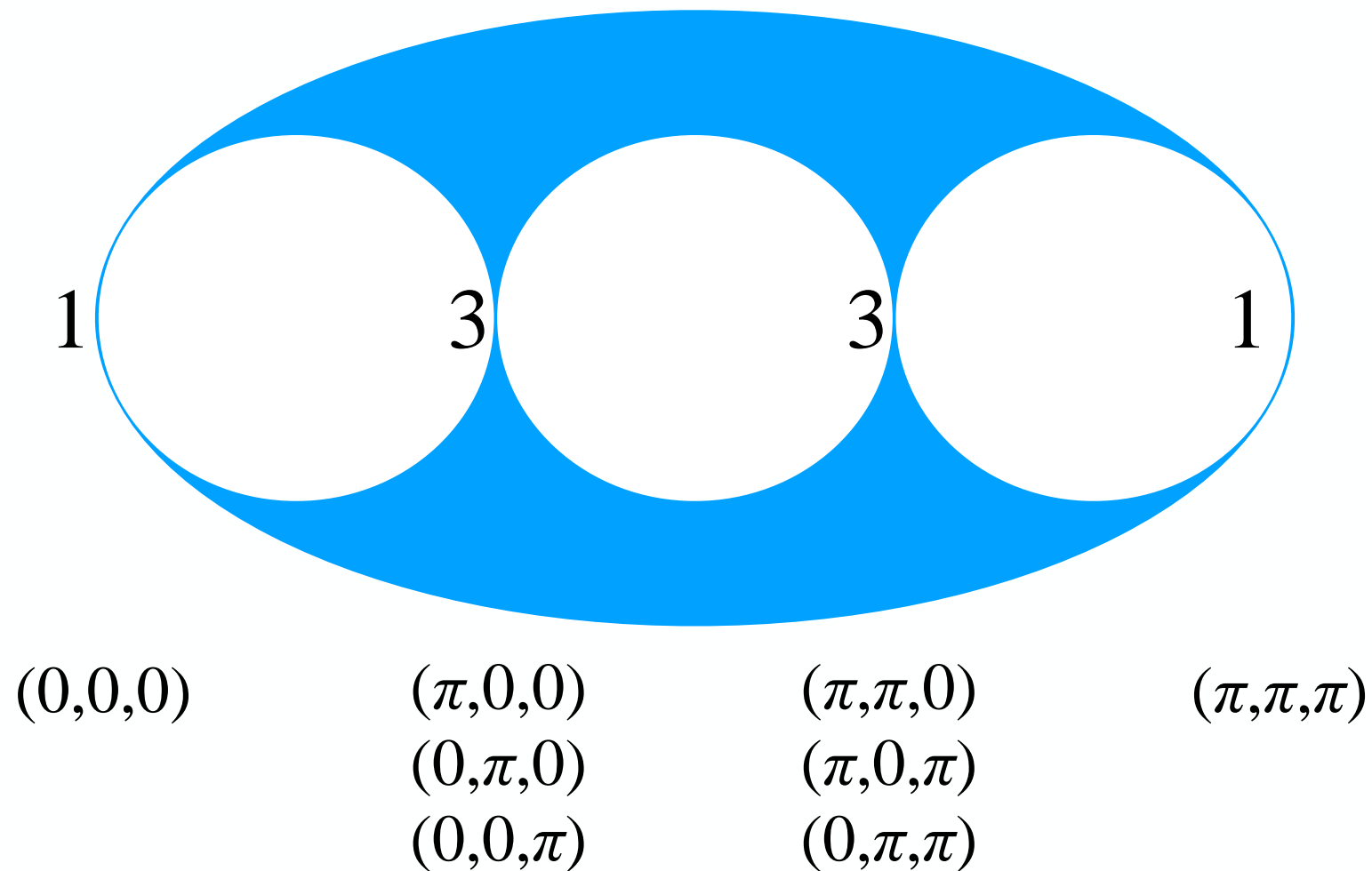
$(0,0,0,0)$	$(\pi,0,0,0)$	$(\pi,\pi,0,0)$	$(\pi,\pi,\pi,0)$	$(\pi,\pi,\pi,\pi)$
	$(0,\pi,0,0)$	$(\pi,0,\pi,0)$	$(\pi,\pi,0,\pi)$	
	$(0,0,\pi,0)$	$(\pi,0,0,\pi)$	$(\pi,0,\pi,\pi)$	
	$(0,0,0,\pi)$	$(0,\pi,0,\pi)$	$(0,\pi,\pi,\pi)$	
		$(0,0,\pi,\pi)$		
		$(0,\pi,\pi,0)$		

What is the meaning of the numbers?

# Reconsider Naive and Wilson

Yumoto, TM (22)

## 3D Wilson

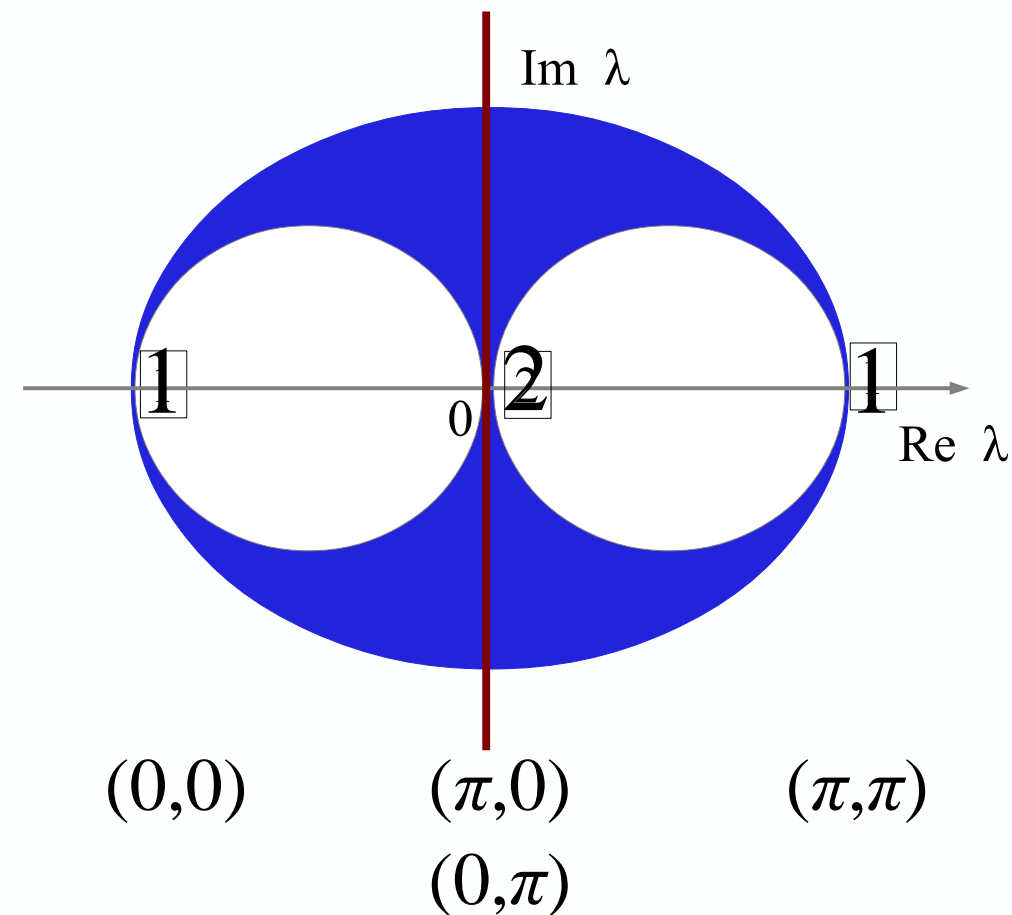


What is the meaning of the numbers?

# Reconsider Naive and Wilson

Yumoto, TM (22)

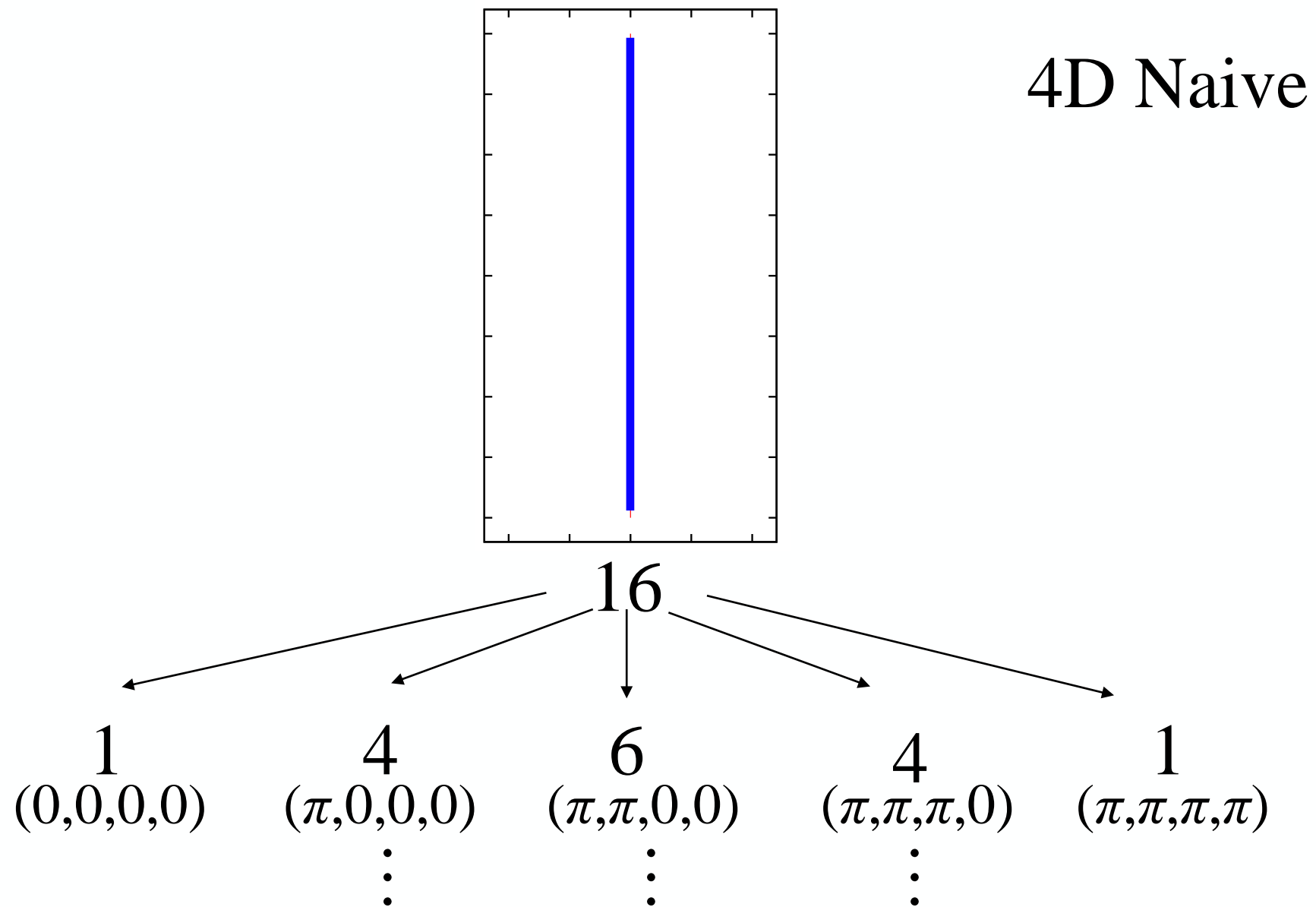
2D Wilson



What is the meaning of the numbers?

# Reconsider Naive and Wilson

Yumoto, TM (22)



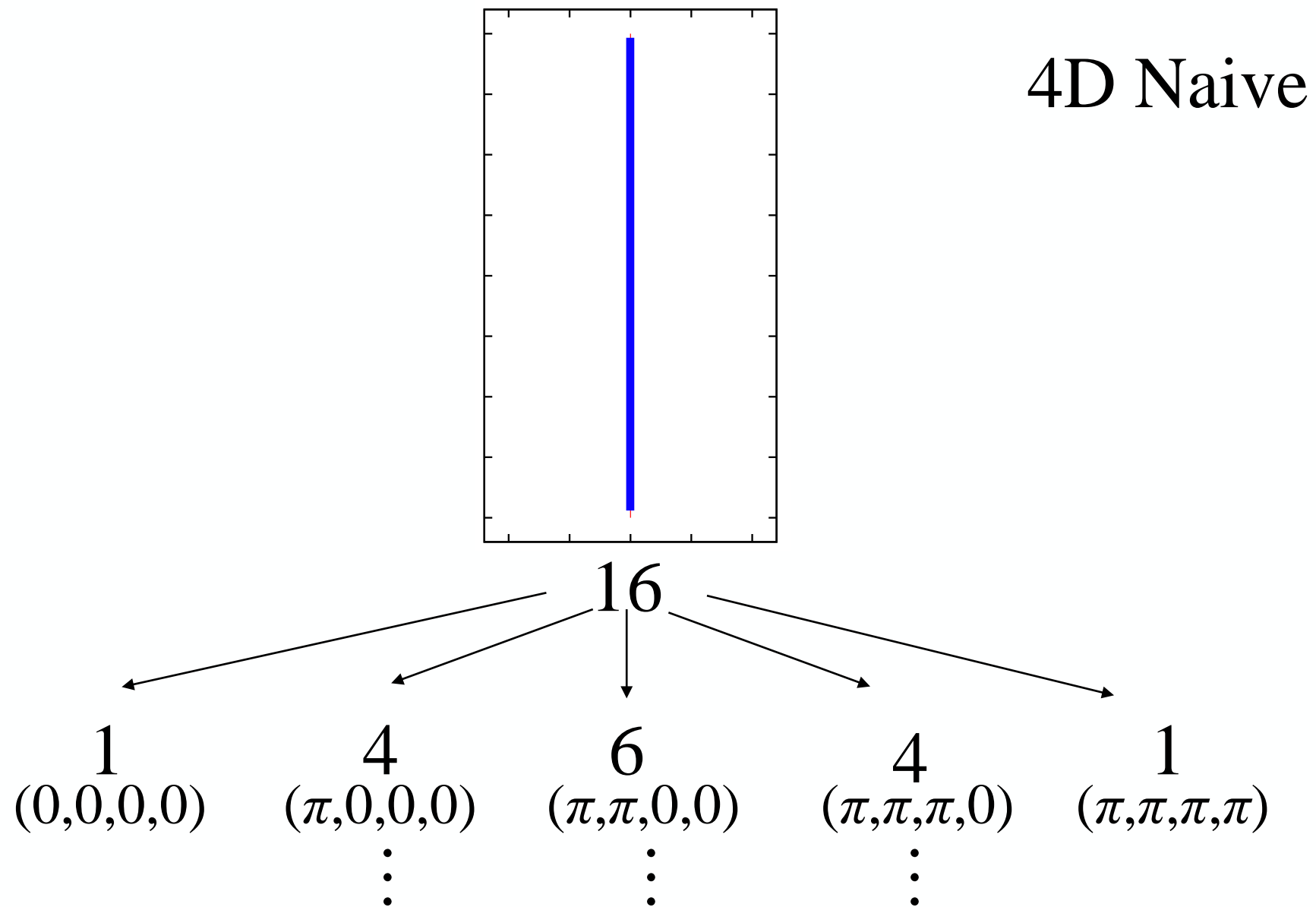
What is the meaning of the numbers?

The reason why  $p=\pi$  becomes zero of Dirac operator is "periodicity"



# Reconsider Naive and Wilson

Yumoto, TM (22)

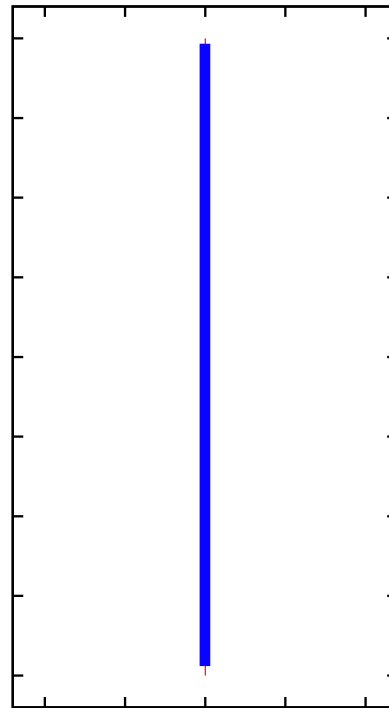


What is the meaning of the numbers?

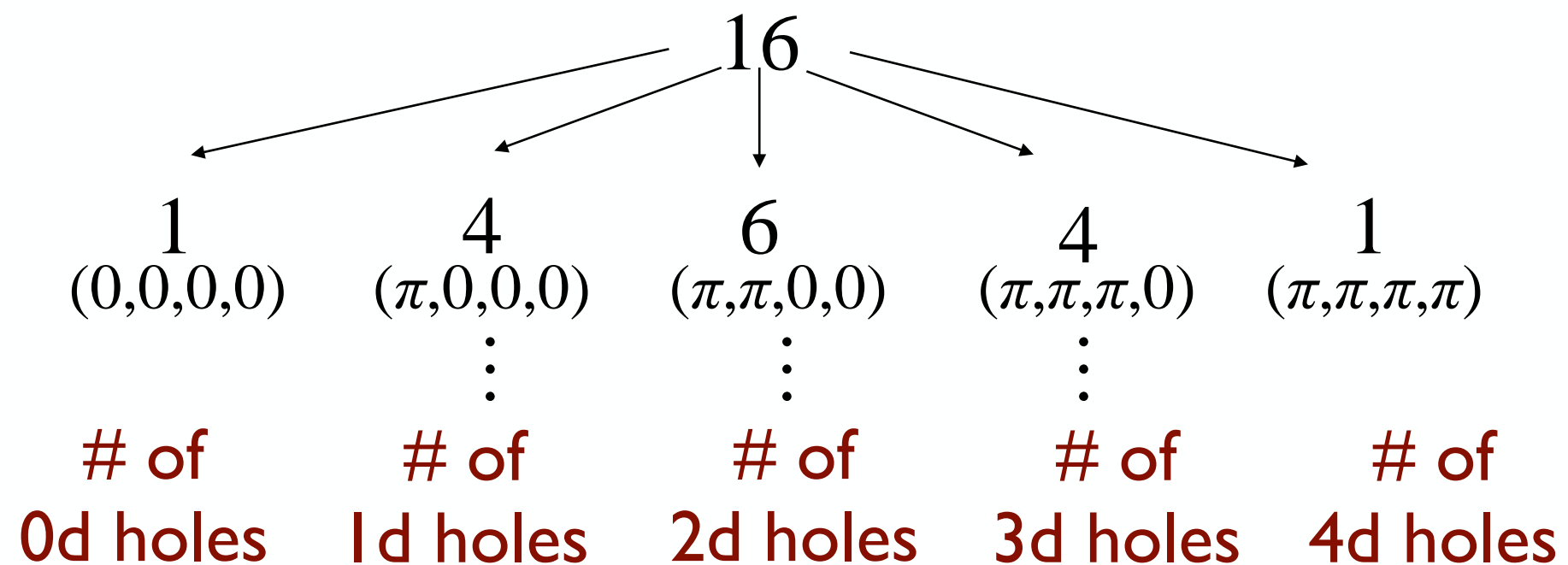
It means these numbers are related to certain topological invariants

# Reconsider Naive and Wilson

Yumoto, TM (22)



4D Naive



# Topological invariants

Yumoto, TM (22)

- Topological invariant

Betti number is an indicator how many  $n$ -dimensional holes the space has.

$$\beta_n(M) = \text{rank of } H_n(M) = \text{Ker } \partial_n / \text{Im } \partial_{n+1}$$

$n$ -th Betti number is a rank of  $n$ -th homology group

- **4D torus**

$$\beta_0(M) = 1 \quad \beta_1(M) = 4 \quad \beta_2(M) = 6 \quad \beta_3(M) = 4 \quad \beta_4(M) = 1$$



Sum of Betti numbers is 16  $\rightarrow$  # of naive fermion species !

# Topological invariants

Yumoto, TM (22)

- Topological invariant

Betti number is an indicator how many  $n$ -dimensional holes the space has.

$$\beta_n(M) = \text{rank of } H_n(M) = \text{Ker } \partial_n / \text{Im } \partial_{n+1}$$

$n$ -th Betti number is a rank of  $n$ -th homology group

- **3D torus**

$$\beta_0(M) = 1 \quad \beta_1(M) = 3 \quad \beta_2(M) = 3 \quad \beta_3(M) = 1$$



Sum of Betti numbers is 8  $\rightarrow$  # of naive fermion species !

# Topological invariants

Yumoto, TM (22)

- Topological invariant

Betti number is an indicator how many  $n$ -dimensional holes the space has.

$$\beta_n(M) = \text{rank of } H_n(M) = \text{Ker } \partial_n / \text{Im } \partial_{n+1}$$

$n$ -th Betti number is a rank of  $n$ -th homology group

- **2D torus**

$$\beta_0(M) = 1 \quad \beta_1(M) = 2 \quad \beta_2(M) = 1$$



Sum of Betti numbers is 4  $\rightarrow$  # of naive fermion species !

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- **D-dim hyperball**

$$\beta_0(M) = 1 \quad \beta_1(M) = 0 \quad \beta_2(M) = 0 \quad \dots$$



Sum of Betti numbers is 1  $\rightarrow$  # of bulk fermion species !

# Topological invariants

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$n$ -th Betti number is a rank of  $n$ -th homology group

- **D-dim hyperball**

$$\beta_0(M) = 1$$



$$Q_N = \frac{1}{2} \begin{pmatrix} 0 & 1 & 0 & & 0 & 0 & 0 \\ -1 & 0 & 1 & \cdots & 0 & 0 & 0 \\ 0 & -1 & 0 & & 0 & 0 & 0 \\ & \vdots & & \ddots & & \vdots & \\ 0 & 0 & 0 & & 0 & 1 & 0 \\ 0 & 0 & 0 & \cdots & -1 & 0 & 1 \\ 0 & 0 & 0 & & 0 & -1 & 0 \end{pmatrix}$$

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- $\mathbf{T}^4 \times \mathbf{R}^1$

$$\beta_0(M) = 1 \quad \beta_1(M) = 4 \quad \beta_2(M) = 6 \quad \beta_3(M) = 4 \quad \beta_4(M) = 1 \quad \beta_5(M) = 0$$



Sum of Betti numbers is 16  $\rightarrow$  maximal # of species !



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- $\mathbf{T}^2 \times \mathbf{R}^2$

$$\beta_0(M) = 1 \quad \beta_1(M) = 2 \quad \beta_2(M) = 1 \quad \beta_3(M) = 0 \quad \beta_4(M) = 0$$



Sum of Betti numbers is 4  $\rightarrow$  maximal # of species !

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$n$ -th Betti number is a rank of  $n$ -th homology group

- **2D Spheres**

$$\beta_0(M) = 1 \quad \beta_1(M) = 0 \quad \beta_2(M) = 1$$



Kamata, Matsuura, TM, Ohta (16)  
Yumoto, TM (21)

Sum of Betti numbers is 2  $\rightarrow$  # of fermion species !

# Topological invariants

Yumoto, TM (22)

	sum of $\beta_n(M)$	maximum # of species
1D torus	1+1	2
2D torus	1+2+1	4
3D torus	1+3+3+1	8
4D torus	1+4+6+4+1	16
$T^D$	$(1+1)^D$	$2^D$
Hyperball	1+0+0+....	1 for bulk
Sphere	1+0+0+...+1	2
$T^D \times R^d$	$2^D + 0$	$2^D$

# Conjecture on fermion species

Yumoto, TM (22)

- **Conjecture**

*A sum of Betti numbers of background space is  
a maximal number of fermion species  
when the fermion is defined on the discretized space.*

How can we prove it?

# Sketch of proof

Yumoto, TM (22)

Prove each of Betti numbers ( $\beta_0=1$  and  $\beta_1=1$ ) is equivalent to each of nullity of the Dirac matrix on 1D torus or 1D ball by regarding lattice fermion as chain complex.



By use of Künneth theorem, elevate the above argument to higher dimensional space such as 4D Torus and Hyperball.

$$H_n(C_* \otimes C'_*) \cong \bigoplus_{p+q=n} H_p(C_*) \otimes H_q(C'_*)$$



Classify necessary conditions and complete proof.

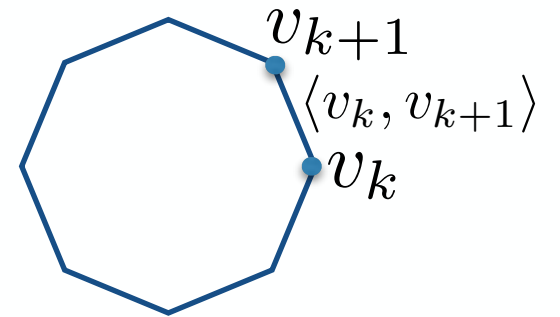
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- 1D torus lattice fermion as Chain complex

$$\begin{aligned} \mathcal{C}^1(L_N^{(p)}) &\equiv \left\{ \sum_{k=1}^N a_{k,k+1} \langle v_k, v_{k+1} \rangle \mid a_{k,k+1} \in \mathbb{Z} \right\} \\ &= \{ a_{1,2} \langle v_1, v_2 \rangle + a_{2,3} \langle v_2, v_3 \rangle + \cdots + a_{N,1} \langle v_N, v_1 \rangle \mid a_{1,2}, a_{2,3}, \cdots, a_{N,1} \in \mathbb{Z} \} \end{aligned}$$



$L_N^{(p)}$  : simplicial complex  $\rightarrow$  graph (1D lattice)

$\langle v_k, v_{k+1} \rangle$  : 1-simplices of complex  $L_N^{(p)} \rightarrow$  edges (links)

$v_k$  : boundaries of simplices  $\rightarrow$  vertices (lattice points)

$a_{k,k+1}$  : coefficients of simplices (should be abelian ring)

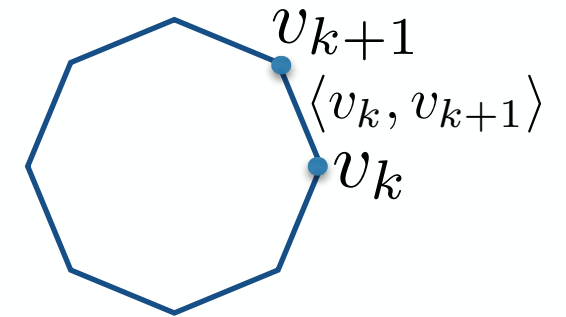
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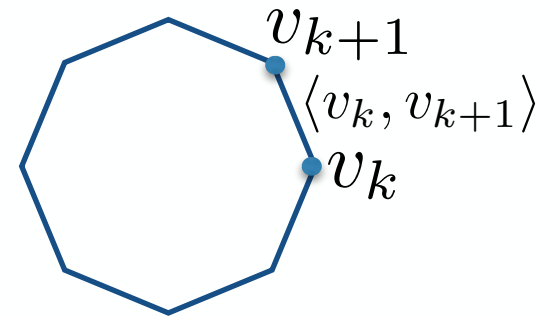
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$$v_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ \vdots \\ \vdots \\ 0 \end{pmatrix} \quad v_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \\ \vdots \\ 0 \end{pmatrix} \quad \cdots \quad v_N = \begin{pmatrix} 0 \\ 0 \\ 0 \\ \vdots \\ \vdots \\ 1 \end{pmatrix}$$

represented as  
linearly  
independent vectors



# Sketch of proof

Yumoto, TM (22)

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- prove  $\beta_1=1$  is equivalent to degeneracy of Dirac matrix

$$\beta_1(M) = \text{rank of } H_1(M) = \text{Ker } \partial_1$$

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Cycle group  $\text{Ker} \partial_1$   $c_1 \in \mathcal{C}^1(L_N^{(p)})$

$$\begin{aligned}\partial_1 c_1 &= \sum_{k=1}^N a_{k,k+1} \partial_1 \langle v_k, v_{k+1} \rangle = \sum_{k=1}^N a_{k,k+1} (v_k - v_{k+1}) \\ &= a_{1,2} (v_1 - v_2) + a_{2,3} (v_2 - v_3) + \cdots + a_{N,1} (v_N - v_1) \\ &= (a_{12} - a_{N1}) v_1 + (a_{23} - a_{12}) v_2 + \cdots + (a_{N,1} - a_{N-1,N}) v_N = 0\end{aligned}$$



$$a = a_{1,2} = a_{2,3} = \cdots = a_{N-1,N}$$

$$c'_1 = a \sum_{k=1}^N \langle v_k, v_{k+1} \rangle \quad c'_1 \in \text{Ker } \partial_1$$

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$$H_1(L_N^{(p)}) = \text{Ker } \partial_1 = \{a (\langle v_1, v_2 \rangle + \langle v_2, v_3 \rangle + \cdots + \langle v_N, v_1 \rangle) \mid a \in \mathbb{Z}\} \cong \mathbb{Z}$$

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$$\beta_1(L_N^{(p)}) = 1$$

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$$\begin{aligned} \Rightarrow \partial_1 c'_1 &= a(v_2 - v_1 + v_2 - v_3 + \cdots + v_N - v_1) \\ &= a(\underbrace{-v_2 + v_N}_{w_1} + \underbrace{v_1 - v_3}_{w_2} + \cdots + \underbrace{v_{N-1} - v_1}_{w_N}) = 0 \end{aligned}$$

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$w_1 \qquad w_2 \qquad \qquad w_N$

$$\begin{aligned} \mathcal{D}_{1D} &= \frac{1}{2} (w_1, w_2 \cdots w_N) \\ &= \frac{1}{2} \begin{pmatrix} 0 & 1 & 0 & & 0 & 0 & -1 \\ -1 & 0 & 1 & \cdots & 0 & 0 & 0 \\ 0 & -1 & 0 & & 0 & 0 & 0 \\ & \vdots & & \ddots & & \vdots & \\ 0 & 0 & 0 & & 0 & 1 & 0 \\ 0 & 0 & 0 & \cdots & -1 & 0 & 1 \\ 1 & 0 & 0 & & 0 & -1 & 0 \end{pmatrix} \end{aligned}$$



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zero mode  
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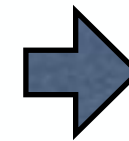
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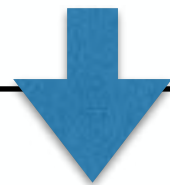
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# Summary

- Wilson fermion has an unknown aspect charming in both theoretical and practical terms.
- CB Wilson Schwinger model is in the same universality class of XXZ spin chain.
- Lattice fermions are interpreted as spectral graphs. It means we can study them in terms of topology of graphs.
- New conjecture on fermion doubling is proposed:  
The maximal number of doublers is the sum of Betti numbers.

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**The maximal number of doublers is the sum of Betti numbers**



I hope you join Yumoto's talk too!

# Symmetry-protected topological phase

- G-Symmetry Protected Topological phase (SPT) Wen, et.al., (13)
  1. Unique ground state with trivial gap as long as  $G$  is unbroken
  2. Gap should be closed when moving to another SPT
  3. Massless modes at boundary btwn two different SPTs
  4. 't Hooft anomaly cancelled btwn bulk & boundary with gauged  $G$

All 't Hooft anomalies are (expected to be) classified by SPTs.

Kapustin (14), Witten (15), Yonekura (16), Yonekura, Witten (19)

# Symmetry-protected topological phase

ex.) (2+1)-dim free massive Dirac fermion = U(1) SPT = IQHS

$$m < 0$$

$$Z = e^{-2\pi i \eta}$$

$$(\eta: \text{APS } \eta\text{-invariant} \equiv \sum_i \text{sgn}[\lambda_i] )$$

$$m > 0$$

$$Z = 1$$

2-dim chiral fermions  $Z_{\text{bdry}}$



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APS index theorem  
cf.)Fukaya, Onogi,  
Yamaguchi,et.al.(17-19)

$$= e^{\frac{i}{4\pi} \int A dA}$$

$$m > 0$$

$$Z = 1$$

2-dim chiral fermions  $Z_{\text{bndry}}$

$$Z_{\text{total}} = Z_{\text{bulk}} \cdot Z_{\text{bndry}} \longrightarrow Z_{\text{bulk}} e^{\frac{i}{4\pi} \int F} \cdot Z_{\text{bndry}} e^{-\frac{i}{4\pi} \int F} = Z_{\text{total}}$$

't Hooft anomaly is cancelled between bulk and boundary



# Staggered symmetry

$$C_0 : \chi_x \rightarrow \epsilon_x \bar{\chi}_x^T, \quad \bar{\chi}_x \rightarrow -\epsilon_x \chi_x^T, \quad U_{x,\nu} \rightarrow U_{x,\nu}^* .$$

$$\Xi_\mu : \chi_x \rightarrow \zeta_\mu(x) \chi_{x+\hat{\mu}}, \quad \bar{\chi}_x \rightarrow \zeta_\mu(x) \bar{\chi}_{x+\hat{\mu}}, \quad U_{x,\nu} \rightarrow U_{x+\hat{\mu},\nu} ,$$

$$\zeta_1(x) = (-1)^{x_2+x_3+x_4}, \zeta_2(x) = (-1)^{x_3+x_4}, \zeta_3(x) = (-1)^{x_4} \text{ and } \zeta_4(x) = 1.$$

$$I_\mu : \chi_x \rightarrow (-1)^{x_\mu} \chi_{x'}, \quad \bar{\chi}_x \rightarrow (-1)^{x_\mu} \bar{\chi}_{x'}, \quad U_{x,\nu} \rightarrow U_{x',\nu} ,$$

$$R_{\rho\sigma} : \chi_x \rightarrow S_R(\tilde{R}^{-1}x) \chi_{\tilde{R}^{-1}x}, \quad \bar{\chi}_x \rightarrow S_R(\tilde{R}^{-1}x) \bar{\chi}_{\tilde{R}^{-1}x}, \quad U_{x,\nu} \rightarrow U_{\tilde{R}x,\nu}$$