# FORMATION OF BLACK HOLE AND ACCRETION DISK IN A MASSIVE HIGH-ENTROPY STELLAR CORE COLLAPSE

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# ABSTRACT

We present the first numerical result of fully general relativistic axisymmetric simulations for the collapse of a rotating high-entropy stellar core to a black hole and an accretion disk. The simulations are performed taking into account the relevant microphysics. We adopt as initial conditions a spherical core with constant electron fraction  $(Y_e = 0.5)$  and entropy per baryon  $s = 8 k_B$ , and angular velocity is superimposed. In the early phase, the core collapses in a homologous manner. Then it experiences a weak bounce due to the gas pressure of free nucleons. Because the bounce is weak, the core eventually collapses to a black hole. Subsequent evolution depends on initial angular velocity. When the rotation is not fast, a geometrically thin (but optically thick) accretion disk is formed, and shock waves are formed in the inner part of the disk. For the moderately rotating case, the thin accretion disk eventually expands to become a geometrically thick torus after sufficient accumulation of the thermal energy is generated at the shocks. Furthermore, convection occurs inside the torus. Neutrino luminosities vary violently with time because of the convective motion. For the rapidly rotating case, by contrast, a geometrically thick torus is formed soon after the black hole formation, and the convective activity is weak due to the presence of an epicyclic mode.

Key words: accretion, accretion disks - black hole physics - gamma-ray burst: general - stars: rotation

Online-only material: color figures

# 1. INTRODUCTION

Gamma-ray bursts (GRBs) have been one of the most outstanding phenomena in the universe since their discovery in 1967 (Klebesadel et al. 1973) because of the huge energy they emit in a short timescale (isotopic equivalent luminosities of  $10^{49}\text{--}10^{52}~\text{erg}~\text{s}^{-1}$  in a short duration of  ${\sim}0.01\text{--}1000~\text{s})$  and, in addition, the violent time variability of  $\delta t \sim 1$  ms in time profiles of gamma-ray emission. GRBs are basically divided, in terms of their duration, into short bursts (SGRBs), for which the duration is shorter than 2 s, and long bursts (LGRBs), for which the duration is longer than 2 s. Recent observations have found GRBs with overlapped features of the two populations (Gehrels et al. 2006; Gal-Yam et al. 2006), and it is suggested that a new classification may be necessary (Zhang et al. 2009; Lü et al. 2010). However, the large amount of energy release, short duration, and variability timescale indicate that GRBs may be universally associated with accretion processes onto a compact object of stellar-mass size (Piran 1999). Because a rotating black hole is the most efficient converter of gravitational binding energy in nature, it is now widely believed that many central engines of GRBs are composed of a rotating black hole surrounded by a massive and hot accretion disk.

Although progenitors of GRBs have not yet been fully clarified, there is accumulating observational evidence that LGRBs are associated with the collapse of massive stars (Woosley & Bloom 2006). (For reviews on progenitors of SGRBs see, e.g., Nakar 2007 and Lee & Ramirez-Ruiz 2007.) The first solid evidence for the connection between LGRBs and supernovae came from spectroscopic identification of a supernova component (SN2003dh) in the afterglow of GRB030329 (Hjorth et al. 2003; Stanek et al. 2003; Kawabata et al. 2003). To date, at least six other connections between LGRBs and supernovae have been reported: GRB980425 with SN1998bw (Galama et al. 1998; Kulkarni et al. 1998), XRF020903 (Soderberg et al. 2005), GRB021211 with SN2002lt (Della Valle et al. 2003), GRB031203 with SN2003lw (Malesani et al. 2004; Cobb et al. 2004; Thomsen et al. 2004; Gal-Yam et al. 2004), GRB050525a with SN2005nc (Della Valle et al. 2006b), and GRB060218 with SN2006aj (Campana et al. 2006; Pian et al. 2006; Mirabal et al. 2006; Modjaz et al. 2006; Sollerman et al. 2006). All the GRB-associated supernovae are Type Ib/c. In addition, there is a wide variety of circumstantial evidence (Woosley & Bloom 2006), e.g., observed association of afterglows of LGRBs with star-forming regions in their host galaxies (Christensen et al. 2004; Fruchter et al. 2006; Savaglio et al. 2009; Svensson et al. 2010), and late-time bumps resembled supernova components in light curves of LGRBs (Zeh et al. 2004, 2005, 2006).

The observational association between GRBs and supernovae has provided strong support to a scenario, the so-called collapsar model, in which LGRBs are assumed to be originated in the collapse of a massive stellar core to a black hole (Woosley 1993). MacFadyen & Woosley (1999) outlined possible scenarios of driving LGRBs. In the collapsar model, the central core of a massive star is required to rotate rapidly enough that a massive accretion disk can be formed around a black hole. Then, the pair annihilation of neutrinos emitted from the accretion disk to electron-positron pairs could supply sufficient energy to induce relativistic outflows (Eichler et al. 1989; Meszaros & Rees 1992; Narayan et al. 1992; Mochkovitch et al. 1993). The relativistic outflows are expected to form a GRB fireball. In addition, it is suggested that strong magnetic fields of order  $10^{15}$  G, if they are present, could play an active role in driving the relativistic outflows (Nakamura et al. 1992; Narayan et al. 1992; Lyuikov 2006).

There are three possible varieties in the collapsar model (Heger et al. 2003): In Type I (MacFadyen & Woosley 1999) and Type II (MacFadyen et al. 2001) collapsar models,

a proto-neutron star is assumed to be formed initially, and a shock wave is launched. Then, in the Type I collapsar, the protoneutron star collapses promptly to a black hole because the shock wave is weak, while in the Type II collapsar a black hole is formed by a fallback process long after the proto-neutron star formation. In the Type III collapsar model (Heger et al. 2003; Fryer et al. 2001), a black hole is directly formed without the formation of a proto-neutron star.

Recently, two LGRBs (GRB060505 and GRB060614), which are not likely to be accompanied by a supernova, were discovered (Fynbo et al. 2006; Gehrels et al. 2006; Gal-Yam et al. 2006; Della Valle et al. 2006a). The host galaxy of GRB0600505 is a star-forming galaxy similar to that of canonical LGRBs. Such LGRBs might be associated with the Type I or Type III collapsar. Note that there is debate about the lack of a supernova feature in GRB06014 (Cobb et al. 2006; Dado et al. 2008). It has been discussed that the duration of GRB060505 is about 4 s, and it may be a short GRB (Ofek et al. 2007).

Because the observed supernovae associated with LGRBs are Type Ib/c and the relativistic jets have to reach the stellar surface (Zhang & Woosley 2004), the progenitors should have lost their envelopes before the onset of stellar core collapse; otherwise a peculiar evolution path is required. Due to these reasons, the progenitors of LGRBs are now believed to be rapidly rotating massive Wolf–Rayet (WR) stars. However, ordinary WR stars are known to be accompanied by strong stellar winds driven by radiation pressure, which leads to a rapid spin-down of the stellar core. Here, a serious problem concerning the collapsar model is that, according to stellar evolution calculations, it is very difficult to produce pre-collapse cores that satisfy both the requirement of the collapsar model and the association of the Type Ib/c supernova, if magnetic torques and standard massloss rates are taken into account (Woosley & Heger 2006).

To resolve the above dilemma, several models have been proposed (see Fryer et al. 2007 for a review). Izzard et al. (2004) and Podsiadlowski et al. (2004) proposed binary-interaction models in which the tidal force in a close binary keeps a helium star in synchronous, rapid rotation. van den Heuvel & Yoon (2007) showed that a helium star in a close binary with a compact companion (i.e., a neutron star or a black hole) can retain sufficient angular momentum to form a progenitor of a GRB. Fryer & Heger (2005) suggested a binary-merger model and showed that a merger of two helium cores during the common-envelope inspiral phase can produce a rapidly rotating core that satisfies the requirement of the collapsar models.

On the other hand, Yoon & Langer (2005, 2006; Yoon et al. 2006) and Woosley & Heger (2006) recently showed that a single star can fulfill the requirements of the collapsar models if it is initially rapidly rotating ( $\gtrsim$ 50% of the Keplerian velocity at the equatorial surface) and of low metallicity  $(Z/Z_{\odot} \leq 0.1)$ . Note that the low metallicity could keep the stellar radius smaller and also reduce the mass loss (Woosley & Heger 2006). Both effects suppress the loss of angular momentum from the star. The rapid rotation results in a short mixing timescale, which could help achieve a chemically homogeneous state throughout the hydrogen burning phase. In this case, a single star could become a rapidly rotating WR star without losing the hydrogen envelope through the stellar wind, avoiding the red giant phase that otherwise would cause a significant decrease of the core angular momentum due to magnetic torques (Yoon & Langer 2006). It is also noted that the chemically homogeneous evolution is likely to occur for the tidally spun-up star in a binary system (Cantiello et al. 2007).

There are several supports for the chemically homogeneousevolution model. Recent observations have indicated that LGRBs may prefer a low-metallicity environment (Fruchter et al. 2006; Stanek et al. 2006; Modjaz et al. 2008; Svensson et al. 2010). If the binary merger model resulted in most of the LGRB progenitors, such dependency would not be found.

Gravitational collapse of Population III (Pop III) stars, which are assumed to be formed from metal-free gas, may be accompanied by LGRB at a very high redshift (Schneider et al. 2002; Bromm & Loeb 2006). Numerical simulations have suggested that Pop III stars would be predominantly very massive with  $M \gtrsim 100 M_{\odot}$  (Omukai & Palla 2001, 2003; Nakamura & Umemura 2001; Abel et al. 2002; Bromm et al. 2002). Such a massive star may collapse directly to a black hole without producing a supernova explosion (Type III collapsar).

In addition, an attempt to constrain the characteristics of LGRB progenitors has been made by Campana et al. (2008), who studied in detail an absorption pattern in the X-ray spectrum of GRB060218 and found an extremely low O/N ratio in the surroundings of the progenitor, reaching the conclusion that only a progenitor star characterized by a fast rotation and subsolar metallicity could explain this.

All of the above progenitor models of LGRBs are anomalous in the sense that they are different from the progenitors of ordinary supernovae. Qualitatively speaking, the progenitor models should produce a core of angular momentum larger than the ordinary supernova cores. Also, the central entropy of the core would be higher than the ordinary supernova cores because of its high mass. The chemically homogeneous models tend to predict a well-mixed, larger core with higher central entropy than that in the ordinary supernova core. It is also expected that the object formed after the binary merger will have a higher entropy, if the mass ratio of merging stars is not far from unity (Suzuki et al. 2007; Gaburov et al. 2008). Thus, LGRB progenitor cores may be modeled by a rapidly rotating, higher-entropy core, regardless of their formation processes. Based on this assumption, in this paper, we perform collapse simulations of a very massive stellar core with a fairly high value of entropy ( $s = 8k_B$  per baryon) to study the effects of higher entropy.

A number of hydrodynamic simulations have been performed in studying the gravitational collapse of such rapidly rotating, higher-entropy core in the context of collapsar model. For the Type I collapsar model, see Proga et al. (2003), Fujimoto et al. (2006), Dessart et al. (2008), Nagataki (2009), Harikae et al. (2009), Lopez-Camara et al. (2009), and Ott et al. (2011); for the Type II collapsar model, see MacFadyen et al. (2001); for the Type III collapsar model, see Fryer et al. (2001), Shibata & Shapiro (2002), Sekiguchi & Shibata (2007), Suwa et al. (2007b), and Liu et al. (2007). Most of the simulations were performed in Newtonian or pseudo-Newtonian gravity (MacFadyen et al. 2001; Fryer et al. 2001; Proga et al. 2003; Fujimoto et al. 2006; Suwa et al. 2007b; Dessart et al. 2008; Harikae et al. 2009; Lopez-Camara et al. 2009). In such simulations, inner regions of the core ( $r \leq 5-20r_{\rm S}$ , where  $r_{\rm S}$ is the Schwarzschild radius) are excised, and, consequently, increase of the overall efficiency of accretion according to the black hole spin from  $\approx 6\%$  (zero spin) to  $\approx 42\%$  (maximal spin) cannot be taken into account. The black hole spin has significant effects on the structure of the accretion disk, because it dramatically changes the spacetime metric near the black hole, where most of the accretion power is released (Chen & Beloborodov 2007).

Also, to guarantee the formation of a centrifugally supported accretion disk at radii larger than the excised radius, most of the Newtonian studies adopted angular momentum distributions that are well above the threshold of the disk formation. The specific angular momentum j for a large fraction of the core is assumed to be much larger than that at the innermost stable circular orbit (ISCO),  $j_{ISCO}$ . In such cases, gravitational energy will not be effectively converted into thermal energy due to the large radii. Rather, these models rely on subsequent hypothetical viscous heating to generate a large amount of energy. Lee & Ramirez-Ruiz (2006) performed simulations of low angular momentum accretion flows into a black hole in the Newtonian framework. They found that a thin accretion disk is formed for  $j \leq 1.9r_{\rm S}c$ , while a thick torus is formed for  $j \leq 2.1r_{\rm S}c$  (see also Lopez-Camara et al. 2009). Harikae et al. (2009) found similar results.

To self-consistently follow the formation of a black hole and a surrounding disk, a fully general relativistic simulation for the collapse of a rapidly rotating massive star was first performed by Shibata & Shapiro (2002). Unfortunately, they could not follow the subsequent evolution of an accretion disk around the black hole. Sekiguchi & Shibata (2007) and Liu et al. (2007) performed fully general relativistic simulations of collapsars, successfully following the formation of an accretion disk and an early evolution of the disk. Recently, Ott et al. (2011) performed simulations in the context of the collapsar scenario, extracting the gravitational wave (GW) signature from it. Nagataki (2009) performed a long-term general relativistic simulation in a fixed Kerr black hole background. However, in these general relativistic simulations, relevant microphysical processes such as neutrino cooling were not taken into account.

In this paper, we report for the first time the results of fully general relativistic simulations for the collapse of a rapidly rotating, high-entropy core, taking into account detailed microphysics, a nuclear-theory-based finite-temperature equation of state (EOS), weak interaction processes such as electron capture and pair-neutrino processes, and neutrino cooling. We focus on self-consistently clarifying the formation process of a rotating black hole and the surrounding accretion disk, as well as the subsequent long-term evolution of this system. We will show how the black hole is formed and evolved and clarify the physical condition for the disk or torus in the vicinity of the black hole. In particular, this is the first work that clarifies the geometrical structure, thermal properties (such as chemical composition, chemical potentials, and entropy), neutrino optical depth, and neutrino luminosities of the accretion disk in the framework of full general relativity.

The paper is organized as follows: We first briefly summarize the basic equations, the input physics, and numerical setup in Section 2. The main results are described in Section 3. Discussion of our results and prospects for GRB production are given in Section 4. Section 5 is devoted to a summary. Throughout this paper,  $\hbar$ ,  $k_B$ , c, and G denote Planck's constant, Boltzmann's constant, velocity of light, and gravitational constant, respectively. In Sections 2.1 and 2.2 we adopt the geometrical unit c = G = 1, which is commonly used in numerical relativity.

#### 2. SETTING

### 2.1. Einstein's Equation and Gauge Conditions

The standard variables in the 3+1 decomposition of Einstein's equation are the three-dimensional metric  $\gamma_{ij}$  and the extrinsic curvature  $K_{ij}$  on the three-dimensional hypersurface defined by

(York 1979)

$$\gamma_{\mu\nu} \equiv g_{\mu\nu} + n_{\mu}n_{\nu}, \tag{1}$$

$$K_{\mu\nu} \equiv -\frac{1}{2} \mathcal{L}_n \gamma_{\mu\nu}, \qquad (2)$$

where  $g_{\mu\nu}$  is the spacetime metric,  $n_{\mu}$  is the unit normal to a three-dimensional hypersurface, and  $\mathcal{L}_n$  is the Lie derivative with respect to the unit normal  $n^{\mu}$ . Then we can write the line element in the form

$$ds^{2} = -\alpha^{2}dt^{2} + \gamma_{ij}(dx^{i} + \beta^{i}dt)(dx^{j} + \beta^{j}dt), \qquad (3)$$

where  $\alpha$  and  $\beta^i$  are the lapse function and the shift vector that describe the gauge degree of freedom.

Numerical simulation is performed in the BSSN formulation (Shibata & Nakamura 1995; Baumgarte & Shapiro 1999) in which the spatial metric  $\gamma_{ij}$  is conformally decomposed as  $\gamma_{ij} = e^{4\phi} \tilde{\gamma}_{ij}$  where the condition  $\det(\tilde{\gamma}_{ij}) = 1$  is imposed for the conformal metric  $\tilde{\gamma}_{ij}$ . From this condition, the conformal factor is written as  $\phi = \frac{1}{12} \ln \gamma$  and  $\gamma \equiv \det(\gamma_{ij})$ . The extrinsic curvature  $K_{ij}$  is decomposed into the trace part K and the traceless part  $A_{ij}$  as  $K_{ij} = A_{ij} + (1/3)\gamma_{ij}K$ . The traceless part  $A_{ij}$  is conformally decomposed as  $A_{ij} = e^{4\phi}\tilde{A}_{ij}$ . Consequently, the fundamental quantities for the evolution equation are now split into  $\phi$ ,  $\tilde{\gamma}_{ij}$ , K, and  $\tilde{A}_{ij}$ . Furthermore, the auxiliary variable  $F_i \equiv \delta^{jk} \partial_k \tilde{\gamma}_{ij}$  is introduced in the BSSN formulation (Shibata & Nakamura 1995).

To follow stably the spacetime after the appearance of a black hole, we evolve  $W \equiv e^{-2\phi}$  instead of  $\phi$ , following Marronetti et al. (2008). The primary reason is that  $\phi$  diverges at the center of a black hole in the vertex-center grid. With the choice of W, such pathology can be avoided, as first pointed out by Campanelli et al. (2006), in which  $\chi \equiv e^{-4\phi}$  was used instead of W. The merits of using W are that (1) the equation for the Ricci tensor is slightly simplified, (2) no singular term appears in the evolution equations even for  $W \rightarrow 0$ , and (3) the determinant of  $\gamma_{ij}$  is always positive (Marronetti et al. 2008; Yamamoto et al. 2008).

We assume axial and equatorial symmetries of the spacetime, and the so-called Cartoon method (Shibata 2000, 2003a; Alcubierre et al. 2001) is adopted to avoid possible problems around the coordinate singularities of the cylindrical coordinates. In the present code, we use a fourth-order finitedifference scheme in the spatial direction and a third-order Runge–Kutta scheme in the time integration. The advection terms such as  $\beta^i \partial_i \phi$  are evaluated by a fourth-order upwind scheme (Brügmann et al. 2008).

As the gauge conditions for the lapse, we use a dynamical slicing (cf. Alcubierre & Brügmann 2001):

$$\partial_t \alpha = -2K\alpha. \tag{4}$$

It is known that this dynamical slicing enables us to perform a long-term evolution of neutron stars and has a strong singularity avoidance property in the black hole spacetime. The shift vector is determined by solving the following dynamical equation (Shibata 2003b):

$$\partial_t \beta^k = \tilde{\gamma}^{kl} (F_l + \Delta t \,\partial_t F_l). \tag{5}$$

Here, the second term on the right-hand side is necessary for numerical stability, and  $\Delta t$  denotes the numerical time step.

# 2.2. Hydrodynamic Equations Coupled to General Relativistic Leakage Scheme

Recently, Sekiguchi (2010a, 2010b) developed a fully general relativistic hydrodynamic code implementing a nucleartheory-based finite-temperature EOS, self-consistent electron and positron captures, and neutrino cooling by a general relativistic leakage scheme. Neutrino heating is not included in the current version of leakage scheme. Since we assume the axial and equatorial symmetry of the spacetime, the hydrodynamic equations are solved in the cylindrical coordinates  $(\varpi, \varphi, z)$ where  $\varpi = \sqrt{x^2 + y^2}$ . For a solution of the hydrodynamic equations we follow Sekiguchi (2010b), to which the readers may refer for details. In this section, we adopt the geometrical unit c = G = 1.

# 2.2.1. Energy-Momentum Conservation Equation

The basic equation of general relativistic hydrodynamics with neutrinos is

$$\nabla_{\alpha}(T^{\text{Total}})^{\alpha}_{\ \beta} = \nabla_{\alpha}\left[\left(T^{\text{F}}\right)^{\alpha}_{\ \beta} + \left(T^{\nu}\right)^{\alpha}_{\ \beta}\right] = 0,\tag{6}$$

where  $(T^{\text{Total}})_{\alpha\beta}$  is the total energy–momentum tensor, and  $(T^{\text{F}})_{\alpha\beta}$  and  $(T^{\nu})_{\alpha\beta}$  are the energy–momentum tensor of fluids and neutrinos, respectively. Following Sekiguchi (2010b), the neutrino energy–momentum tensor is decomposed into "trapped-neutrino"  $((T^{\nu,\text{T}})_{\alpha\beta})$  and "streaming-neutrino"  $((T^{\nu,\text{S}})_{\alpha\beta})$  parts as

$$(T^{\nu})_{\alpha\beta} = (T^{\nu,\mathrm{T}})_{\alpha\beta} + (T^{\nu,\mathrm{S}})_{\alpha\beta}.$$
(7)

Here, the trapped-neutrino part phenomenologically represents neutrinos that interact sufficiently frequently with matter, and the streaming-neutrino part describes a phenomenological flow of neutrinos streaming out of the system. Liebendörfer et al. (2009) developed a more sophisticated method in terms of the distribution functions of trapped and streaming neutrinos in the Newtonian framework.

Streaming neutrinos are produced with a leakage rate  $Q_{\alpha}^{\text{leak}}$ , according to

$$\nabla_{\alpha} (T^{\nu,S})^{\alpha}_{\ \beta} = Q^{\text{leak}}_{\beta}.$$
(8)

On the other hand, the trapped-neutrino part is combined with the fluid part as

$$T_{\alpha\beta} \equiv (T^{\rm F})_{\alpha\beta} + (T^{\nu,\rm T})_{\alpha\beta}.$$
(9)

Then the equation for  $T_{\alpha\beta}$  is

$$\nabla_{\alpha} T^{\alpha}_{\ \beta} = -Q^{\text{leak}}_{\beta}.$$
 (10)

We solve Equations (8) and (10) for the energy-momentum conservation equation.

The energy–momentum tensor of the fluid and trappedneutrino parts  $(T_{\alpha\beta})$  is treated as that of the perfect fluid,

$$T_{\alpha\beta} = (\rho + \rho\varepsilon + P)u_{\alpha}u_{\beta} + Pg_{\alpha\beta}, \qquad (11)$$

where  $\rho$  and  $u^{\alpha}$  are the rest-mass density and the 4-velocity. The specific internal energy density ( $\varepsilon$ ) and the pressure (P) are the sum of contributions from the baryons (free protons, free neutrons,  $\alpha$ -particles, and heavy nuclei), leptons (electrons, positrons, and trapped neutrinos), and photons as

$$P = P_B + P_e + P_v + P_{ph}, \tag{12}$$

$$\varepsilon = \varepsilon_B + \varepsilon_e + \varepsilon_\nu + \varepsilon_{ph}, \tag{13}$$

where subscripts "*B*," "*e*," "*ph*," and "*v*" denote the components of baryons, electrons and positrons, photons, and trapped neutrinos, respectively.

The streaming-neutrino part, on the other hand, is set to be a general form of

$$(T^{\nu,S})_{\alpha\beta} = En_{\alpha}n_{\beta} + F_{\alpha}n_{\beta} + F_{\beta}n_{\alpha} + P_{\alpha\beta}, \qquad (14)$$

where  $F_{\alpha}n^{\alpha} = P_{\alpha\beta}n^{\alpha} = 0$ . In order to close the system, we need an explicit expression of  $P_{\alpha\beta}$ . In this paper, we adopt a simple form  $P_{\alpha\beta} = \chi E \gamma_{\alpha\beta}$  with  $\chi = 1/3$ . Then we solve Equation (8) in a high-resolution shock-capturing scheme (Sekiguchi 2010b).

The closure relation employed in this paper is not very physical. Also, recall that we do not consider the so-called neutrino heating in this paper. To treat the neutrino heating accurately, a more sophisticated closure relation is required. However, such a study is beyond the scope of this paper. A more sophisticated treatment of neutrino transport equations, together with incorporating the neutrino heating, will be needed in the future (e.g., Shibata et al. 2011).

## 2.2.2. Lepton–Number Conservation Equations

The conservation equations of the lepton fractions are written schematically as

$$\frac{dY_e}{dt} = -\gamma_e,\tag{15}$$

$$\frac{dY_{\nu_e}}{dt} = \gamma_{\nu_e},\tag{16}$$

$$\frac{dY_{\bar{\nu}_e}}{dt} = \gamma_{\bar{\nu}_e},\tag{17}$$

$$\frac{dY_{\nu_x}}{dt} = \gamma_{\nu_x},\tag{18}$$

where  $Y_e$ ,  $Y_{\nu_e}$ ,  $Y_{\bar{\nu}_e}$ , and  $Y_{\nu_x}$  denote the fractions per baryon number for electrons, electron neutrinos, electron anti-neutrinos, and  $\mu$  and  $\tau$  neutrinos and anti-neutrinos, respectively. Here we consider, as local reactions, the electron capture, the positron capture, electron–positron pair annihilation, plasmon decay, and the Bremsstrahlung radiation of pair neutrinos, where  $\nu$  and  $\bar{\nu}$  denote the three flavors of neutrinos and anti-neutrinos.

The source terms are given by

$$\gamma_e = \gamma_{\nu_e}^{\text{local}} - \gamma_{\bar{\nu}_e}^{\text{local}},\tag{19}$$

$$\gamma_{\nu_e} = \gamma_{\nu_e}^{\text{local}} - \gamma_{\nu_e}^{\text{leak}},\tag{20}$$

$$\gamma_{\bar{\nu}_e} = \gamma_{\bar{\nu}_e}^{\text{local}} - \gamma_{\bar{\nu}_e}^{\text{leak}},\tag{21}$$

$$\gamma_{\nu_x} = \gamma_{\nu_x}^{\text{local}} - \gamma_{\nu_x}^{\text{leak}}, \qquad (22)$$

where  $\gamma^{\text{local}}$ 's and  $\gamma^{\text{leak}}$ 's are the local production and leakage rates of each species of neutrinos, respectively. Because  $\gamma_{\nu}^{\text{local}}$ are characterized by the timescale of weak-interaction processes  $t_{\text{wp}} \sim |Y_e/\dot{Y}_e|$ , which can be much shorter than the dynamical timescale (e.g., Bruenn 1985), a straightforward explicit solution of Equations (15)–(18) leads, in general, to a numerical instability. Therefore, we follow the procedure proposed in Sekiguchi (2010b) to solve the equations stably in an explicit manner.

First, in each time step *n*, the conservation equation of the *total* lepton fraction  $(Y_l = Y_e - Y_{\nu_e} + Y_{\bar{\nu}_e})$ ,

$$\frac{dY_l}{dt} = -\gamma_l,\tag{23}$$

is solved together with the conservation equation of  $Y_{\nu_x}$ , Equation (18), in advance of solving the whole of the lepton conservation equations (Equations (15)–(18)). Then, assuming that the  $\beta$ -equilibrium is achieved, values of the lepton fractions in the  $\beta$ -equilibrium ( $Y_e^{\beta}$ ,  $Y_{\nu_e}^{\beta}$ , and  $Y_{\overline{\nu_e}}^{\beta}$ ) are calculated from the evolved value of  $Y_l$ .

Second, regarding  $Y_{\nu_e}^{\beta}$  and  $Y_{\bar{\nu}_e}^{\beta}$  as the maximum allowed values of the neutrino fractions in the next time step, n + 1, the source terms are limited so that each value of  $Y_{\nu}$ 's in the time step n + 1cannot exceed that of  $Y_{\nu}^{\beta}$ 's. This limiter procedure enables us to solve explicitly the whole of the lepton conservation equations (Equations [15]–[18]).

Third, the following conditions are checked:

$$\mu_p + \mu_e < \mu_n + \mu_{\nu_e}, \tag{24}$$

$$\mu_n - \mu_e < \mu_p + \mu_{\bar{\nu}_e},\tag{25}$$

where  $\mu_p$ ,  $\mu_n$ ,  $\mu_e$ ,  $\mu_{\nu_e}$ , and  $\mu_{\bar{\nu}e}$  are the chemical potentials of protons, neutrons, electrons, electron neutrinos, and electron anti-neutrinos, respectively. If both conditions are satisfied, the values of the lepton fractions in the time step n + 1 are set to be those in the  $\beta$ -equilibrium value:  $Y_e^{\beta}$ ,  $Y_{\nu_e}^{\beta}$ , and  $Y_{\bar{\nu}_e}^{\beta}$ . On the other hand, if either of the or both conditions are not satisfied, the lepton fractions in the time step n + 1 are set to be those obtained by solving the whole of the lepton-number conservation equations.

### 2.3. Microphysics

#### 2.3.1. Equation of State

In this paper, we employ a tabulated EOS derived by Shen et al. (1998), which is based on the Brückner–Hartree–Fock-type relativistic mean field theory. The maximum gravitational mass of a cold spherical neutron star in this EOS is much larger than the canonical neutron star mass  $\approx 1.4 M_{\odot}$  as  $\approx 2.2 M_{\odot}$  (Shen et al. 1998). The framework of the relativistic mean field theory is extended with the Thomas–Fermi spherical cell model approximation to describe not only the homogeneous matter but also an inhomogeneous one.

The thermodynamic quantities of dense matter at various sets of  $(\rho, Y_p, T)$  are calculated to construct the numerical data table for simulation. Here,  $Y_p$  is the total proton fraction per baryon number. The original table covers a range of density  $10^{5.1}-10^{15.4}$  g cm<sup>-3</sup>, proton fraction 0.0–0.56, and temperature 0–100 MeV, which are required for supernova simulation. The original table has been extended to higher density (Sumiyoshi et al. 2007, 2008) and higher temperature (Nakazato et al. 2008) ranges of  $10^{5.1}-10^{17}$  g cm<sup>-3</sup> and 0–400 MeV, which are required for following black hole formation (Sumiyoshi et al. 2006).

It should be noted that the causality is guaranteed to be satisfied in this framework, whereas the sound velocity sometimes exceeds the velocity of the light in the non-relativistic framework, e.g., in the EOS by Lattimer & Swesty (1991). This is one of the benefits of the relativistic EOS.

To consistently calculate the pressure and the internal energy of electrons and positrons, the charge neutrality condition  $Y_p = Y_e$  should be solved to determine the electron chemical potential  $\mu_e$  for each value of the baryon rest-mass density  $\rho$ and the temperature *T* in the EOS table. Namely, it is required to solve the equation

$$n_e(\mu_e, T) \equiv n_- - n_+ = \frac{\rho Y_e}{m_u}$$
 (26)

in terms of  $\mu_e$  for given values of  $\rho$ , *T*, and  $Y_e$  (=  $Y_p$ ). Here,  $m_u = 931.49432$  MeV is the atomic mass unit, and  $n_-$  and  $n_+$ are the total number densities (i.e., including electron–positron pairs) of electrons and positrons, respectively. Then, assuming that electrons and positrons obey the Fermi–Dirac distribution, the number density, the pressure, and the internal energy density of electrons and positrons are calculated in a standard manner (e.g., Cox & Giuli 1968).

The pressure and the specific internal energy density of photons are given by

$$P_r = \frac{a_r T^4}{3}, \quad \varepsilon_r = \frac{a_r T^4}{\rho}, \tag{27}$$

where  $a_r = (\pi^2 k_B^4)/(15c^3\hbar^3)$  is the radiation constant.

In this paper, trapped neutrinos are assumed to interact sufficiently frequently with matter that is thermalized. Therefore, they are described as ideal Fermi gases with the matter temperature. From the numerically evolved neutrino fractions  $Y_{\nu}^{\text{evol}}$ , the chemical potentials of neutrinos ( $\mu_{\nu}$ ) are calculated by solving

$$Y_{\nu}^{\text{evol}} = Y_{\nu}(\mu_{\nu}, T) = \frac{m_u}{\rho} n_{\nu}(\mu_{\nu}, T).$$
(28)

Then the pressure and the internal energy of trapped neutrinos are calculated in the same manner as for electrons, using  $\mu_{\nu}$  and matter temperature.

#### 2.3.2. Weak Interaction and Leakage Rate

Following Sekiguchi (2010b), the leakage rates are defined by

$$Q_{\nu}^{\text{leak}} = (1 - e^{-b\tau_{\nu}})Q_{\nu}^{\text{diff}} + e^{-b\tau_{\nu}}Q_{\nu}^{\text{local}}, \qquad (29)$$

$$\gamma_{\nu}^{\text{leak}} = (1 - e^{-b\tau_{\nu}})\gamma_{\nu}^{\text{diff}} + e^{-b\tau_{\nu}}\gamma_{\nu}^{\text{local}}, \qquad (30)$$

where  $\tau_{\nu}$  is the optical depth of neutrinos and *b* is a parameter that is typically set as  $b^{-1} = 2/3$ . The optical depth can be computed from the cross sections following an often employed prescription (Ruffert et al. 1996; Rosswog & Liebendörfer 2003): The optical depth is calculated by

$$\tau_{\nu} = \min\left[\tau_{\nu}^{\varpi}, \tau_{\nu}^{z}, \tau_{\nu}^{r}\right], \qquad (31)$$

where  $\tau_v^{\varpi}$ ,  $\tau_v^z$ , and  $\tau_v^r$  are the optical depths along  $\varpi$ , z, and the radial directions, respectively. We calculate, for example,  $\tau_v^z$  by

$$\tau_{\nu}^{z}(\varpi, z) = \int_{z}^{z_{\text{out}}} \kappa_{\nu}(\varpi, z') dz', \qquad (32)$$

where  $\kappa_{\nu}$  is the opacity and  $z_{out}$  denotes the outer boundary in the *z*-direction.  $\tau_{\nu}^{\varpi}$  and  $\tau_{\nu}^{r}$  are calculated in a similar manner.

Then, because  $Q_{\nu}^{\text{leak}}$  should be regarded as the emissivity of neutrinos measured in the *fluid rest frame*,  $Q_{\alpha}^{\text{leak}}$  is defined as (Shibata et al. 2007; Sekiguchi 2010a, 2010b)

$$Q_{\alpha}^{\text{leak}} = Q_{\nu}^{\text{leak}} u_{\alpha}. \tag{33}$$

As the local production reactions of neutrinos, we consider the electron and positron captures ( $\gamma_{\nu_e}^{ec}$  and  $\gamma_{\bar{\nu}_e}^{pc}$ ) following Fuller et al. (1985), the electron–positron pair annihilation ( $\gamma_{\nu_e \bar{\nu}_e}^{pair}$  for electron-type neutrinos and  $\gamma_{\nu_x \bar{\nu}_x}^{pair}$  for the other type) following Cooperstein et al. (1986), the plasmon decays ( $\gamma_{\nu_e \bar{\nu}_e}^{plas}$  and  $\gamma_{\nu_x \bar{\nu}_x}^{plas}$ ) following Ruffert et al. (1996), and the Bremsstrahlung processes ( $\gamma_{\nu_e \bar{\nu}_e}^{Brems}$  and  $\gamma_{\nu_x \bar{\nu}_x}^{Brems}$ ) following Burrows et al. (2006). Then, the local reaction rates for the neutrino fractions are

$$\gamma_{\nu_e}^{\text{local}} = \gamma_{\nu_e}^{\text{ec}} + \gamma_{\nu_e \bar{\nu}_e}^{\text{pair}} + \gamma_{\nu_e \bar{\nu}_e}^{\text{plas}} + \gamma_{\nu_e \bar{\nu}_e}^{\text{Brems}}, \qquad (34)$$

$$\gamma_{\bar{\nu}_e}^{\text{local}} = \gamma_{\bar{\nu}_e}^{\text{pc}} + \gamma_{\nu_e \bar{\nu}_e}^{\text{pair}} + \gamma_{\nu_e \bar{\nu}_e}^{\text{plas}} + \gamma_{\nu_e \bar{\nu}_e}^{\text{Brems}}, \qquad (35)$$

$$\gamma_{\nu_x}^{\text{local}} = \gamma_{\nu_x \bar{\nu}_x}^{\text{pair}} + \gamma_{\nu_x \bar{\nu}_x}^{\text{plas}} + \gamma_{\nu_x \bar{\nu}_x}^{\text{Brems}}.$$
 (36)

Similarly, the local neutrino energy emission rate  $Q_{\nu}^{\text{local}}$  is given by

$$Q_{\nu}^{\text{local}} = Q_{\nu_{e}}^{\text{ec}} + Q_{\bar{\nu}_{e}}^{\text{pc}} + 2 \left( Q_{\nu_{e}\bar{\nu}_{e}}^{\text{pair}} + Q_{\nu_{e}\bar{\nu}_{e}}^{\text{plas}} + Q_{\nu_{e}\bar{\nu}_{e}}^{\text{Brems}} \right) + 4 \left( Q_{\nu_{x}\bar{\nu}_{x}}^{\text{pair}} + Q_{\nu_{x}\bar{\nu}_{x}}^{\text{plas}} + Q_{\nu_{x}\bar{\nu}_{x}}^{\text{Brems}} \right).$$
(37)

The explicit forms of the local rates in Equations (34)–(37) are found in Sekiguchi (2010b).

We follow the recent work by Rosswog & Liebendörfer (2003) for the diffusive neutrino emission rates  $\gamma_{\nu}^{\text{diff}}$  and  $Q_{\nu}^{\text{diff}}$  in Equations (29) and (30). The explicit forms of  $\gamma_{\nu}^{\text{diff}}$  and  $Q_{\nu}^{\text{diff}}$  are found in Sekiguchi (2010b).

#### 2.4. Initial Model

Because there are no realistic models of rotating progenitors derived by multi-dimensional pre-collapse evolution calculations and no binary progenitor models, we prepare approximate initial models in the following manner (Nakazato et al. 2007): We first calculate a spherical equilibrium configuration with a constant electron fraction of  $Y_e = 0.5$  and with a constant entropy per baryon  $s = 8k_B$ . We set the central density to be  $\rho_c \approx 10^8 \text{ g cm}^{-3}$ . The corresponding central temperature is  $T_c \approx 9 \times 10^9 \text{ K}$ , which is higher than the critical temperature for the photodissociation of heavy nuclei to occur. Following Nakazato et al. (2007), we define the outer boundary of the "iron core" as where the temperature is  $5 \times 10^9$  K. Note that most of the heavy nuclei in the inner parts of this "iron core" in fact are already photodissociated. Then the mass and the radius of the core are  $M_{\rm iron} \approx 13 \, M_{\odot}$  and  $r_{\rm iron} \approx 7000$  km. In numerical simulation we follow a region of  $r_{\rm tot} \approx 14,000$  km (> $r_{\rm iron}$ ) in which the total mass of  $M_{\rm tot} \approx 23 \, M_{\odot}$  is enclosed. The radial profiles of density and temperature are shown in Figure 1.

For the purpose of reference, we note that our initial model might correspond to entropy per baryon for a star with an initial mass of  $\approx 120-130 M_{\odot}$  (Bond et al. 1984). However, a recent study (Waldman 2008) predicts that such massive stars will



Figure 1. Radial profiles of density (upper panel) and temperature (lower panel) of the initial configuration.

(A color version of this figure is available in the online journal.)

undergo a pulsational pair instability and considerable mass loss, resulting in hydrostatic degenerate iron cores of mass  $\sim 3 M_{\odot}$ , which is different from the initial model adopted in this paper. The 300  $M_{\odot}$  progenitor used by Fryer et al. (2001) has a central entropy of  $\sim 8k_B$  per baryon. However, such a very massive model does not form an iron core in hydrostatic fashion, but rather goes unstable in a much earlier burning phase. Note that these are results for a spherical single star with solar metallicity. Anomalous stars, such as stars in interacting binary and Pop III stars, might form such high-entropy cores (Nakazato et al. 2007).

Little is also known about the angular momentum distribution in the progenitor core. Thus, we employ the following rotation profile:

$$\Omega(\varpi) = \Omega_0 \exp\left[-\frac{1}{2} \frac{R_c^2}{(\varpi^2 + R_c^2)}\right] \exp\left[-\frac{\varpi^2}{R_0^2}\right], \quad (38)$$

where  $\overline{\omega} = \sqrt{x^2 + y^2}$ , and  $\Omega$ ,  $R_0$ , and  $R_c$  are parameters that control the degree of differential rotation. The exponential cutoff factor is introduced by a practical reason for numerical simulation: if the specific angular momentum in the outer region of the core is too large, the matter escapes from the computational domain. However, the majority of the "iron core" is almost uniformly rotating. We fix the values of  $R_0$ and  $R_c$  as  $R_0 = r_{tot}/5$  and  $R_c = r_{tot}/8$ , respectively. We vary  $\Omega_0$  as 0, 0.4, 0.5, and 0.6 rad s<sup>-1</sup> (hereafter referred to as spherical, slowly rotating, moderately rotating, and rapidly rotating models, respectively). The rotation period in the central region is  $\approx 10-15$  s. This is one order of magnitude longer than the dynamical timescale  $(G\rho_c)^{-1/2} \sim 0.4$  s. Thus, the progenitor star is not assumed to be rapidly rotating. The profiles of specific angular momentum along the cylindrical radius are plotted in Figure 2.

Figure 3 plots an averaged specific angular momentum distribution defined by  $J_*(j)/m_*(j)$ . Here, *j* is the specific angular momentum of a fluid element, which is a conserved quantity in axially symmetric spacetime in the absence of viscosity.  $m_*(j)$  is a rest-mass distribution as a function of *j*,



Figure 2. Radial profiles of specific angular momentum for the slowly, moderately, and rapidly rotating models.

which is the integrated baryon rest mass of fluid elements with the specific angular momentum less than j, defined by (Shibata & Shapiro 2002)

$$m_*(j) \equiv 2\pi \int_{j' < j} \rho_* r^2 dr d(\cos \theta). \tag{39}$$

Similarly,  $J_*(j)$  is an angular momentum distribution defined by

$$J_*(j) \equiv 2\pi \int_{j' < j} \rho_* j' r^2 dr d(\cos \theta).$$
<sup>(40)</sup>

These conserved quantities are often used in general relativistic study to predict a possible outcome of the collapse (Shibata & Shapiro 2002; Shapiro 2004; Sekiguchi & Shibata 2004).

It should be noted that the specific angular momentum considered in this paper is rather small for a large fraction of fluid elements, in the sense that it is smaller than the angular momentum required for a fluid element to stay outside the ISCO,  $j_{ISCO}$ , around a Schwarzschild black hole. In this sense, our model is "sub-Keplerian." This is in contrast to many of the previous models in which a specific angular momentum well above  $j_{ISCO}$  is usually imposed (e.g., MacFadyen & Woosley 1999; but see also Lee & Ramirez-Ruiz 2006, Lopez-Camara et al. 2009, and Harikae et al. 2009). In the present condition, the fluid elements of such a small specific angular momentum form a black hole, while those of large specific angular momentum does a disk (torus).

Now, to infer the evolution of a black hole surrounded by accreting materials, let us consider ISCO around a hypothetical black hole located at the center. If the value of *j* of a fluid element is smaller than that at the ISCO,  $j_{ISCO}$ , for the hypothetically formed black hole, the fluid element will eventually fall into the seed black hole. The value of  $j_{ISCO}$  will change as the ambient fluid elements accrete into the black hole. If  $j_{ISCO}$  increases as a result of the accretion, more ambient fluid elements will fall into the black hole. On the other hand, if  $j_{ISCO}$  decreases during the accretion, the accretion into the black hole will be suppressed, and then the black hole will approach to a quasi-stationary state with a small accretion rate.

To estimate the value of  $j_{\rm ISCO}$ , we assume that the spacetime metric can be instantaneously approximated by that of a Kerr spacetime of mass  $m_*(j)$  and the non-dimensional spin parameter  $q_*(j) \equiv cJ_*(j)/Gm_*(j)^2$ . On these approximations, we may



**Figure 3.** Distributions of the averaged specific angular momentum for slowly (blue curve), moderately (green curve), and rapidly (red curve) rotating models. The specific angular momentum required to support a fluid element in a circular orbit at ISCO around a Schwarzschild black hole and a maximally rotating Kerr black hole of mass  $m_*(j)$  is shown together (black dotted curves). The blue triangles, green squares, and red circles indicate the numerical results for the paths followed by the specific angular momentum and mass of the black hole formed in the collapse of the slowly, moderately, and rapidly rotating models, respectively (see Section 3).

(A color version of this figure is available in the online journal.)

compute the  $j_{ISCO}$  of a black hole (e.g., Shapiro & Teukolsky 1983).

For all the models considered in this paper,  $q_*(j)$  is smaller than unity for a fraction of fluid elements with small specific angular momentum. As a result of this fact, these fluid elements can form a black hole in the dynamical timescale. However, this will not be the case for the initial condition with  $q_*(j) > 1$  in an inner region. In this case, a black hole will not be formed directly because the Kerr spacetime with the spin parameter greater than unity contains a naked singularity. Instead, a rotating oblate object will be the outcome (Saijo & Hawke 2009; Sekiguchi & Shibata 2004). Such an oblate object will be unstable against non-axisymmetric deformation, and then angular momentum will be transported by the hydrodynamic torque from the inner region to the outer one. As a result of a sufficient amount of angular momentum transport, a black hole will eventually be formed (Zink et al. 2007). This suggests that the timescale for black hole formation may be determined by the timescale for the angular momentum transport. We do not consider this possibility in this paper.

Figure 4 plots the spin parameter distribution  $(q_*(j))$  and  $j_{\rm ISCO}(j) = j_{\rm ISCO}[m_*(j), q_*(j)]$  as functions of  $m_*(j)$ . This figure clearly indicates that the value of  $j_{ISCO}(j)$  takes the maximum at  $m_*(j) \approx 12, 16$ , and 20  $M_{\odot}$  for the rapidly, moderately, and slowly rotating models, respectively. These values show a possible final value of black hole mass, which is smaller than the total mass of the system. This indicates that a certain fraction of the material with mass  $> M_{\odot}$  will form a disk around the black hole. It should be noted that the curves of Figures 3 and 4 indicate the possible evolution path of the black hole only approximately. In determining  $j_{ISCO}$  as a function of  $m_*(j)$ , we assume that a fluid element of a smaller value of j falls into black hole earlier. However, this is not always the case in the dynamical evolution of the system, because the material in the outer region near the rotation axis has a small value of *j* and falls into the black hole at a later time.



**Figure 4.** Spin parameter distribution,  $q_*(j)$ , and the specific angular momentum at ISCO,  $j_{\text{ISCO}}(j)/M_*$ , in units of c/G, where  $M_*$  is the total baryon mass. (A color version of this figure is available in the online journal.)

#### 2.5. Analysis of Black Hole and Accretion Disk

The formation of a black hole is ascertained by finding an apparent horizon (Shibata 1997). Then, we calculate two geometrical quantities that possibly characterize the mass of a black hole. One is an irreducible mass defined by

$$M_{\rm irr} = \frac{c^2}{G} \sqrt{\frac{A_H}{16\pi}},\tag{41}$$

where  $A_H$  is the area of the apparent horizon. The other mass is associated with the circumference proper length along the equatorial surface  $C_e$ :

$$M_{\rm ce} = \frac{c^2}{G} \frac{C_e}{4\pi}.$$
(42)

This should agree with the mass of a Kerr black hole in the stationary axisymmetric spacetime. Note that in the case of a Schwarzschild black hole  $M_{irr} = M_{ce}$ .

We also estimate the black hole mass using an approximate conservation law,

$$M_{\rm con} = M_{\rm ADM} - M_{*,r>r_{\rm AH}},\tag{43}$$

where  $M_{ADM}$  is the ADM mass of the system and  $M_{*,r>r_{AH}}$  is the rest mass of baryons located outside the apparent horizon. It is suggested that  $M_{ce}$  may be a good indicator of mass of a black hole even in the presence of a massive accretion disk (Shibata 2007). As we shall see in Section 3,  $M_{ce}$  and  $M_{con}$  agree approximately with each other; thus, we use  $M_{ce}$  as the black hole mass, namely,

$$M_{\rm BH} \equiv M_{\rm ce} \approx M_{\rm con}.$$
 (44)

The non-dimensional spin parameter q of a Kerr black hole can be calculated from the ratio between the polar and equatorial circumferential radii of event horizon,  $C_p$  and  $C_e$ ,

$$\frac{C_p}{C_e} = \frac{\sqrt{2\hat{r}_+}}{\pi} \int_0^{\pi/2} d\theta \sqrt{1 - \frac{q^2}{2\hat{r}_+} \sin^2\theta},$$
 (45)

where  $\hat{r}_{+} = 1 + \sqrt{1 - q^2}$ . The definition of  $M_{irr}$  for a Kerr black hole,

$$\frac{M_{\rm irr}}{M_{\rm BH}} = \sqrt{\frac{1}{2} \left( 1 + \sqrt{1 - q^2} \right)},\tag{46}$$

may also be used to estimate the black hole spin. However, unlike  $M_{ce}$ ,  $C_p/C_e$  and  $M_{irr}/M_{BH}$  are not very good indicators of the black hole spin when a massive disk presents (Shibata 2007). In the case of equilibrium configuration of a black hole surrounded by a massive disk, it was found that a spin parameter estimated by Equations (45) and (46) decreases with the increase of disk mass and with the decrease of the inner edge of a disk. Accordingly, a spin parameter estimated by Equations (45) and (46) may contain an error of  $\Delta q \sim 0.1$ , because a massive accretion disk falling into a black hole is formed in the present study.

We note that we approximately calculate  $C_p$ ,  $C_e$ ,  $M_{BH}$ , and  $M_{irr}$  measuring the geometrical quantities of the apparent horizon. The disagreement between the event horizon and the apparent horizon may be large if the spacetime is not stationary, e.g., during the mass accretion phase in which the black hole mass dynamically increases. This fact decreases the reliability of these methods. It should be noted that the dynamical horizon formalism (e.g., Schnetter et al. 2006) could be used to obtain more reliable estimations for mass and angular momentum of a dynamical black hole.

Instead of using Equations (45) and (46), we estimate the angular momentum of a black hole using the conservation law,

$$J_{\rm BH} \equiv J_{\rm con} = J_{\rm tot} - J_{r > r_{\rm AH}} - \Delta J_{\nu}, \qquad (47)$$

where  $J_{\text{tot}}$  is the total angular momentum of the system,  $J_{r>r_{\text{AH}}}$  is the amount of angular momentum located outside the apparent horizon, and  $\Delta J_{\nu}$  is the amount of angular momentum carried away by neutrinos. We here ignore a small contribution of  $\Delta J_{\nu}$ . Then, we adopt the quantity

$$q_{\rm BH} \equiv \frac{c J_{\rm con}}{G M_{\rm BH}^2} \tag{48}$$

as an approximate indicator of the non-dimensional spin parameter of a black hole.

An accretion disk will be formed in the collapse of the rotating models. Because it is difficult to strictly define disk mass, we estimate it by

$$M_{\rm disk} \equiv \int_{\rho > \rho_{\rm cut}, r_{\rm AH} < r < r_{\rm cut}} \rho_* d^3 x, \qquad (49)$$

where  $\rho_{cut}$  is the cutoff density that characterizes the density near the surface of the accretion disk,  $r_{AH}$  is the radius of the apparent horizon, and  $r_{cut}$  is a cutoff radius that characterizes the size of the accretion disk. Although  $M_{disk}$  is no more than an approximate indicator, the disk mass may be estimated by  $M_{disk}$  with reasonable accuracy. When  $\rho_{cut}$  is larger than the surface density, a slight change of  $\rho_{cut}$  will result in a large change of  $M_{disk}$ . By contrast, in the case where  $\rho_{cut}$  is smaller than the surface density,  $M_{disk}$  will not change much even if  $\rho_{cut}$ is decreased to some extent, because the density outside the disk is low. We choose  $\rho_{cut}$  so that  $M_{disk}$  is not greatly affected by a small change in  $\rho_{cut}$  and typically set  $\rho_{cut} = 10^{10}$  g cm<sup>-3</sup>.

In this paper, we basically consider two rates, mass accretion rate into a black hole  $(M_{BH})$  and mass infalling rate onto an accretion disk  $(\dot{M}_{disk})$ , which are associated with the time

Summary of the Regnduing Procedure						
Grid Parameters	Regridding Level					
	$\Phi_c \leqslant 0.0125$	$\leqslant \Phi_c \leqslant 0.025$	$\leqslant \Phi_c \leqslant 0.05$	$\leqslant \Phi_c \leqslant 0.1$	$\Phi_c \leqslant 0.2$	$\Phi_c \geqslant 0.2$
$\Delta x_0 (\mathrm{km})$	10.1	4.8	2.2	0.98	0.45	0.22
δ	0.008	0.0075	0.007	0.0065	0.006	0.0065
Ν	316	412	524	652	796	960
L (km)	14600	13300	11800	10100	8700	7700
$\Delta x_0$ (km)	5.8	2.5	1.1	0.47	0.22	0.097
δ	0.0075	0.007	0.0065	0.006	0.0055	0.005
Ν	400	520	656	812	980	1200
L (km)	14600	13300	11800	10100	8700	7700

 Table 1

 Summary of the Regridding Procedur

evolution of  $M_{\rm BH}$  and  $M_{\rm disk}$ , respectively. The total mass infalling rate onto the system of a black hole surrounded by an accretion rate is then approximately given by  $\dot{M} = \dot{M}_{\rm BH} + \dot{M}_{\rm disk}$ .

# 2.6. Grid Setting

In numerical simulations, we adopt a non-uniform grid, in which the grid spacing is increased according to the rule

$$dx_{j+1} = (1+\delta)dx_j, \quad dz_{l+1} = (1+\delta)dz_l, \tag{50}$$

where  $dx_j \equiv x_{j+1} - x_j$ ,  $dz_l \equiv z_{l+1} - z_l$ , and  $\delta$  is a constant. In addition, a regridding technique (Shibata & Shapiro 2002; Sekiguchi & Shibata 2005) is adopted to assign a sufficiently large number of grid points inside the collapsing core, saving the CPU time efficiently. The regridding is carried out whenever the characteristic radius of the collapsing star decreases by a factor of 2–3. At each regridding, the minimum grid spacing is decreased by a factor of ~2 and the geometrical factor  $\delta$  is changed slightly.

All the quantities on the new grid are calculated using the fifthorder Lagrange interpolation. However, for the fluid quantities such as  $\rho$  and h, the fifth-order interpolation could fail because the interpolation may give negative values of  $\rho$  and h - 1. In such cases, we adopt the linear interpolation to calculate the quantities on the new grid, based on the prescription proposed by Yamamoto et al. (2008). In each regridding, we solve the Hamiltonian constraint equation numerically.

To avoid discarding a large amount of matter in the outer region (i.e., for approximately keeping the location of outer boundary), we also increase the grid number at each regridding. For the regridding, we define a relativistic gravitational potential  $\Phi_c \equiv 1 - \alpha_c (\Phi_c > 0)$ , where  $\alpha_c$  is the central value of the lapse function. Because  $\Phi_c$  is approximately proportional to M/Rwhere M and R are the characteristic mass and radius of the core,  $\Phi_c^{-1}$  can be used as a measure of the characteristic length scale of the stellar core for the regridding.

To check the convergence of results, a simulation in a finer grid resolution is also performed. Table 1 summarizes the regridding parameters (N and L are the mesh number and the computational domain, respectively) of each level of the regridding procedure for normal (upper) and higher (lower) resolutions.

# 3. RESULTS

### 3.1. Spherical Model

In this section, we describe the features of collapse dynamics for the spherical model as a baseline for the rotational models described later. As in the core collapse of an ordinary supernova



**Figure 5.** Time evolution of the central values of density and temperature for the spherical model. The collapsing core experiences weak bounce at  $t \approx 1168$  ms. We note that the apparent horizon is formed at  $t \approx 1193$  ms.

(A color version of this figure is available in the online journal.)

for which the central value of entropy per baryon is  $s/k_B \sim 1$ , gravitational collapse is triggered by the electron capture and the photodissociation of heavy nuclei. Then the collapse in the early phase proceeds in a homologous manner. Because of the higher value of the entropy per baryon ( $s/k_B = 8$ ), most of the heavy nuclei are resolved into helium by photodissociation (cf. Figure 6). As the collapse proceeds and, as a result, the temperature increases, the helium are resolved into free nucleons (p, n). As we shall see below, due to the higher entropy and the resulting difference in the baryon composition, the collapse dynamics in a late phase is different from that of an ordinary supernova core.

### 3.1.1. Gas Pressure Dominated Bounce

It is known that an ordinary supernova core experiences a bounce when the central density exceeds the nuclear density  $(\rho_{nuc} \sim 2 \times 10^{14} \text{ g cm}^{-3})$  above which the pressure increases drastically due to the repulsive nuclear force. In the present case, the collapse is not decelerated by the nuclear force but by the thermal gas pressure  $P_{gas}$  at a density far below  $\rho_{nuc}$ . Such a feature of dynamics was already reported in the recent simulations (Fryer et al. 2001; Nakazato et al. 2007; Suwa et al. 2007b). We reconfirm this previous discovery and clarify the origin of this phenomena in more detail in the following.



Figure 6. Evolution path of the central values of density and temperature for the spherical model in the  $\rho$ -*T* plane (thick black solid curve). The thick and thin red solid curves show the boundaries at which the conditions  $P_e = P_{gas}$  or  $P_r = P_{gas}$  are satisfied. The thin black solid curves show evolution paths with constant entropy per baryon for  $s/k_B = 5$ , 8, and 16. The two blue dashed curves denote the values of  $(\rho, T)$ , with which <sup>56</sup>Fe or <sup>4</sup>He will be half by mass due to photodissociation. An evolution path of the central values of density and temperature for an ordinary supernova core (Sekiguchi 2010b; see the text for details) is shown with a solid green curve.

The evolution of the central values of density and temperature for the spherical model is shown in Figure 5. At  $t \approx 1168$  ms the core experiences a weak bounce (see also Figure 7). The central density at the bounce is below the nuclear density  $(\approx 2 \times 10^{12} \text{ g cm}^{-3})$  and the central value of the temperature is  $\approx 13 \text{ MeV}$ . At these values of central density and temperature, the pressure in the inner core is dominated by the thermal pressure of gas composed primarily of free nucleons and heliums.

This situation is different from that for  $t \leq 1160$  ms, for which the pressure in most regions of the inner part is dominated by the degenerate pressure of relativistic electrons. Because the adiabatic index of non-relativistic gas is  $\Gamma = 5/3$ , which is much larger than that for relativistic degenerate electrons,  $\Gamma \approx 4/3$ , the collapse is decelerated due to a sudden increase in pressure. The radial profiles of temperature, density, entropy, and entropy per baryon at the bounce along the equator are shown in Figure 7. This figure shows that the profiles do not vary significantly after the bounce, for 1168 ms  $\lesssim t \lesssim 1183$  ms.

The critical value of entropy per baryon for the gas-pressuredominated bounce to occur may be estimated as follows: We plot paths along which entropy per baryon is constant in Figure 6 (see the thin black curves). For  $s/k_B \leq 5$ , paths of  $P_e = P_{gas}$  and constant entropy do not intersect. For  $s/k_B \geq 16$ , on the other hand, the gas-pressure-dominated bounce cannot occur because the pressure is always dominated by the radiation pressure of photons (see the thin red curve in Figure 6). Therefore, most of the results obtained in this paper would be applied qualitatively to models with  $5 \leq s/k_B \leq 16$ .

### 3.1.2. Shock Stall and Black Hole Formation

As in the case of ordinary core collapse, a shock wave is formed at the gas-pressure-dominated bounce and then propagates outward (see Figure 7). Because this bounce is weak, the shock wave is stalled soon after the bounce, at  $t \approx 1179$  ms (cf. Figure 5). Near the stalled shock, a region of negative gradient of electron fraction  $(\partial Y_e/\partial r < 0)$  is formed (see the blue curve in Figure 7) because neutrinos carry away the lepton number from the shock-heated region. It is known that such a configuration is unstable to convection. However, because the thermally supported hot inner core quickly ( $\sim 10$  ms) collapses to a black hole, convection does not play an important role, which contrasts the case of ordinary supernovae.

Figure 8 plots the time evolution of black hole mass for the spherical model. Note that the three masses of the black hole (see Section 2.5) approximately agree with one another (see Figure 8). The apparent horizon is formed at  $t \approx 1193$  ms. After the apparent horizon formation, we continue the simulation using a hydrodynamic excision technique (Hawke et al. 2005) similar to the one adopted in Sekiguchi & Shibata (2007).

Black hole mass at the moment of its formation is  $\approx 5.8 M_{\odot}$ , which is much larger than the maximum mass of cold spherical neutron stars ( $M_{coldNS,max} \approx 2.2 M_{\odot}$  for Shen's EOS). This is because the maximum mass of a hot neutron star can be much larger than the canonical value  $M_{coldNS,max}$  due to the higher entropy. It is found that the approximate average value of the entropy is  $s/k_B \sim 7$  just before black hole formation (see Figure 7). Nakazato et al. (2007) calculated the maximum mass of a hot neutron star using Shen's EOS. According to their result, the maximum mass is  $\approx 5.6 M_{\odot}$  for an isentropic core of  $s/k_B \approx 7$  with  $Y_e = 0.1$ , which agrees approximately with our present result. After the formation of the black hole, its mass increases gradually as the accretion of the material from the outer region proceeds. In the first  $\sim 100$  ms, the mass accretion rate into the black hole is  $\dot{M}_{\rm BH} \sim 30 M_{\odot} \text{ s}^{-1}$ .

# 3.1.3. Neutrino Luminosities

Figure 9 plots the time evolution of neutrino luminosities for the spherical model. Before the weak bounce, the average energy of  $\mu$  and  $\tau$  neutrinos is at its largest. Electron neutrinos are dominantly emitted and the emissivity of electron anti-neutrinos is much smaller because electrons are mildly degenerate with the electron degeneracy parameter of  $\eta_e \sim 4(>1)$ . The positron



Figure 7. Radial profiles of temperature, density, entropy per baryon, and electron fraction along the radial coordinate in the equator at  $t \approx 1141$ , 1168 (bounce), 1179 (shock stall), and 1192 ms (just before the apparent horizon formation). The formation of a shock for  $t \gtrsim 1168$  ms is due to the weak bounce. (A color version of this figure is available in the online journal.)



**Figure 8.** Time evolution of black hole mass for the spherical model. (A color version of this figure is available in the online journal.)

fraction, responsible for anti-neutrino emission, is small. Note that the temperature is relatively low as  $T \sim$  a few MeV. At leading order, ignoring the blocking terms due to weak degeneracy of neutrinos, energy emission rates associated with the electron capture and with the positron capture are, respectively, written as

$$Q_{\nu_e}^{\rm ec} \propto X_p F_5(\eta_e), \tag{51}$$

$$Q_{\bar{\nu}_e}^{\rm pc} \propto X_n F_5(-\eta_e). \tag{52}$$

Here, the Fermi–Dirac integrals are approximately given by (e.g., Fuller et al. 1985)

$$F_5(-\eta_e) \approx 120e^{-\eta_e},\tag{53}$$



Figure 9. Time evolution of neutrino luminosities for the spherical model. Note that the black hole is formed at  $t \approx 1193$  ms.

(A color version of this figure is available in the online journal.)

$$F_5(\eta_e) \approx \frac{\eta_e^6}{6} + \frac{5\pi^2}{6}\eta_e^4 + \frac{7\pi^2}{6}\eta_e^2 + \frac{31\pi^2}{126} - 120e^{-\eta_e}, \quad (54)$$

which give, for  $\eta_e \sim 4$ ,  $F_5(\eta_e) \sim 3000$  and  $F_5(-\eta_e) \sim 2$ . At this stage, it is found that  $X_p/X_n \sim 0.1$ , where  $X_n$  and  $X_p$  are the neutron and proton fractions. Therefore, the relation of  $Q_{vv}^{ec} \gg Q_v^{pc}$  holds.

 $Q_{\nu_e}^{\text{ec}} \gg Q_{\bar{\nu}_e}^{\text{pc}}$  holds. After the weak bounce, the degeneracy parameter becomes as low as  $\eta_e \sim 1.5$  because a high temperature of  $T \gtrsim 10$  MeV is achieved. In this case,  $F_5(\eta_e) \sim 300$  and  $F_5(-\eta_e) \sim 30$ , and electron neutrinos and electron anti-neutrinos are approximately identically emitted for  $X_p/X_n \sim 0.1$  because  $Q_{\nu_e}^{\text{ec}} \sim Q_{\nu_e}^{\text{pc}}$ .

identically emitted for  $X_p/X_n \sim 0.1$  because  $Q_{\nu_e}^{\text{ec}} \sim Q_{\bar{\nu}_e}^{\text{pc}}$ . The peak luminosities of electron neutrinos ( $\approx 1.8 \times 10^{54} \text{ erg s}^{-1}$ ) and anti-neutrinos ( $1.6 \times 10^{54} \text{ erg s}^{-1}$ ) are achieved soon after the bounce (at  $t \approx 1176 \text{ ms}$ ) because neutrinos in the hot postshock region, where the density is not so large that optical depth for neutrinos is small, are copiously emitted. These luminosities remain approximately constant until the black hole is formed. This happens due to the following competing effects. As a result of neutrino emission, thermal energy in the neutrino emission region is decreased, and as a result of the compression associated with the collapse, temperature in the neutrino emission region is increased.

The peak luminosities of  $\mu$  and  $\tau$  neutrinos, on the other hand, are achieved just before the black hole formation. This is because the temperature significantly increases (see Figure 7) due to the adiabatic compression enhancing the pair production channel of neutrinos. Note that pair processes of neutrino production depend strongly on the temperature as  $Q_{\nu\bar{\nu}}^{\text{pair}} \propto T^9$ . Just before the black hole formation, luminosities of all the species of neutrinos become approximately identical. This shows that the pair production process is dominant.

Soon after the black hole formation at  $t \approx 1193$  ms, neutrino luminosities decrease drastically because the main neutrino-emission region is swallowed by the black hole. For the spherically symmetric case, i.e., in the absence of an accretion disk formation, neutrino luminosities damp monotonically as the density of infalling material decreases. The total energies emitted by neutrinos over the entire time of the simulation are  $E_{\nu,\text{tot}} \approx 8.3 \times 10^{52}$ ,  $5.2 \times 10^{52}$ , and  $4.5 \times 10^{52}$  erg for electron neutrinos, electron anti-neutrinos, and the *total* of  $\mu$ and  $\tau$  neutrinos, respectively.

Before closing this subsection, we briefly compare our results for the spherical model with those of Nakazato et al. (2007), who performed spherically symmetric general relativistic simulations in which the Boltzmann equation is solved for neutrino transfer with relevant weak interaction processes. Note that the evolution after the black hole formation was not followed in their simulations because they adopted the so-called Misner-Sharp coordinates (Misner & Sharp 1964), by which the evolution of the black hole cannot be followed. According to their results for a model with the initial entropy of  $s/k_B = 7.5$ , the maximum neutrino luminosities achieved are  $L_{\nu_e} \approx L_{\bar{\nu}_e} \approx 8 \times 10^{53} \text{ erg s}^{-1}$ and  $L_{\nu_x} \approx 4 \times 10^{53} \text{ erg s}^{-1}$ , which are by a factor of 2–3 smaller than those in our results. The primary reason for this is that their computation was finished before the peak luminosity was reached due to their choice of time coordinate, which is not suitable for following black hole evolution. However, the qualitative feature of luminosity curves for each species of neutrinos in our simulation agrees with that in Nakazato et al. (2007) for the phase before the black hole formation.

# 3.2. Moderately Rotating Model

The basic features of rotational core collapse until the black hole formation are qualitatively the same as those of the spherical model: Gravitational collapse is triggered primarily by the photodissociation of heavy nuclei; the gas-pressuredominated bounce occurs at a subnuclear density; a weak shock wave is formed at the bounce and is stalled quickly; a black hole is formed soon after the bounce in  $\approx 30-50$  ms. After the black hole formation, on the other hand, the dynamics of infalling material are modified by the centrifugal force; an accretion disk is formed around the black hole as the material with sufficient specific angular momentum falls into the central region. We first describe the feature of the collapse for the moderately rotating model in Sections 3.2.1, 3.2.2, and 3.2.3. Then, we discuss dependence of the dynamics of the accretion disk formation and properties of the disk on the amount of rotation in Section 3.4. It is found that the process of the accretion disk formation and properties of the disk depend sensitively on the amount of rotation initially given.

### 3.2.1. Black Hole and Thin Accretion Disk Formation

In this subsection, we describe features of dynamics of the first  $\sim$ 200 ms after black hole formation. We note that the time duration of this phase depends on the grid resolution, but the evolution process does not depend qualitatively on it. Figure 10 plots the contours of density, electron fraction, entropy per baryon, and temperature at selected time slices around the black hole formation epoch. As in the collapse for the spherical model, the weak bounce occurs at  $t \approx 1339$  ms, and then, convectively unstable regions with negative gradients of electron fraction appear when the shock wave is stalled. However, because the core immediately collapses to a black hole, the convection is only weakly activated and plays a minor role (see the left and middle panels in Figure 10). Accompanied by the black hole formation, a geometrically thin, "sub-Keplerian" disk is formed around the black hole (see below for details). Note that the disk is geometrically thin due not to the neutrino cooling (because the disk is optically thick), but mainly to the ram pressure of infalling material (see Equation (57) and the discussion below).

Figure 11 plots the time evolution of the mass and the spin parameter of the black hole as well as the disk mass  $(M_{\text{disk}})$ . At  $t \approx 1373$  ms, a black hole of  $M_{\rm BH} \approx 6.5 M_{\odot}$  with a spin parameter of  $q_{\rm BH} \approx 0.6$  is formed. The initial mass of the black hole is larger than that in the spherical collapse because the threshold mass for the black hole formation is larger due to the effect of rotation (the centrifugal force). Note that  $M_{ce}$  seems to be a good indicator of black hole mass even in the presence of a massive accretion disk as suggested in Shibata (2007), because the time evolution of  $M_{\rm con}$  and  $M_{\rm ce}$  approximately agree with each other. The upper panel in Figure 12 plots the time evolution of the mass accretion rate into the black hole  $(M_{\rm BH})$ . The mass accretion rate soon (10 ms) after the black hole formation is as high as  $\dot{M}_{\rm BH} \approx 40 \, M_{\odot} \, {\rm s}^{-1}$ . The mass accretion rate decreases gradually with time, but even at  $t \sim 1800$  ms, it is still as high as  $\dot{M}_{\rm BH} \sim 5-10 \, M_{\odot} \, {\rm s}^{-1}$  (see the upper panel in Figure 12).

Figure 13 plots the time evolution of neutrino luminosities for the moderately rotating model. As in the spherical model, electron neutrinos are dominantly emitted before the weak bounce, and electron neutrinos and electron anti-neutrinos are approximately identically emitted after the bounce. The luminosity curves of electron neutrinos ( $\approx 1.6 \times 10^{54} \text{ erg s}^{-1}$ ) and anti-neutrinos  $(1.4 \times 10^{54} \text{ erg s}^{-1})$  achieve the first peak soon after the weak bounce (at  $t \approx 1330$  ms). In contrast with the spherical model, the second peak appears in the neutrino luminosity curves at  $t \approx 1360$  ms. Because an oblate (or torus-like) neutrino "sphere" is formed after the bounce due to the rotation, the optical depth of neutrinos is smaller in the z-direction (see the middle panels in Figure 10). As a result, neutrinos are more efficiently emitted in the z-direction, and this effect constitutes the second peak. In this phase, more electron anti-neutrinos are emitted than electron neutrinos ( $Q_{\nu_e}^{\rm ec} \lesssim Q_{\bar{\nu}_e}^{\rm pc}$ ) because the electrons inside the torus are only weakly degenerate  $\eta_e \sim 1$  due to high temperature, and the fraction of neutrons is larger than that of protons as  $X_p/X_n \sim 0.2$ , enhancing the reaction of  $n + e^+ \rightarrow p + \bar{\nu}_e$ .

Soon after the black hole is formed, most of the material inside the oblate structure is quickly swallowed by the black hole because it does not have enough angular momentum to



**Figure 10.** Contours of rest-mass density (panels in the first row), electron fraction (panels in the second row), entropy per baryon (panels in the third row), and temperature (panels in the fourth row) at  $t \approx 1367$  ms (left panels), 1374 ms (middle panels), and 1444 ms (right panels) for the moderately rotating model. The black regions in the contours of rest-mass density and entropy per baryon and the white regions in the contours of electron fraction at t = 1374 and 1444 ms are inside the apparent horizon.

retain orbit around the formed black hole. However, a small amount of the material with sufficient angular momentum forms a geometrically thin accretion disk around the black hole (see the right panels in Figure 10). The mass of the geometrically thin disk just after black hole formation is  $M_{\text{disk}} \approx 0.2 M_{\odot}$  and subsequently decreases to  $\approx 0.1 M_{\odot}$  (see the bottom panel in Figure 11) because material with high density located near the rotational axis, which does not have sufficient angular momentum and does not constitute the disk, is swallowed by the black hole. Then the thin-disk mass relaxes to a quasi-stationary value of  $\sim 0.1 M_{\odot}$ , and the net mass infall rate onto the thin disk approximately vanishes ( $\dot{M}_{\text{disk}} \sim 0$ ). (For the sudden increase of  $M_{\text{disk}}$  at  $t \approx 1580$  ms, see Section 3.2.2.)

The rest-mass density and temperature of the thin disk are initially  $\sim 10^{11}$  g cm<sup>-3</sup> and  $\sim 8$  MeV (see Figure 10); accordingly, the thin disk is optically thick to neutrinos with the maximum optical depth of  $\tau_{\nu} \sim 4$  (which increases as the material with high angular momentum falls onto the thin disk). At the same time, shocks are formed in the inner part of the

thin disk, converting kinetic energy of infalling materials into thermal energy (see Lee & Ramirez-Ruiz 2006 for discussion of a similar phenomenon).

The shock is successively formed due to infall of the material with angular momentum too small to retain the orbit around the black hole. After hitting the surface in the inner region of the disk, such material falls into the black hole quickly because of its insufficient specific angular momentum and contributes to a rapid growth of the black hole. A part of the thermal energy generated at the shock is advected together into the black hole (see discussion below).

The thermal energy is also carried away by neutrinos because the cooling timescale of neutrino emission,  $t_{cool}$ , is short due to the low density and small pressure scale height of the disk, *H* (although the optical depth is greater than unity), as

$$t_{\rm cool} \sim \frac{H\tau_{\nu}}{c} \approx 0.12 \left(\frac{H}{10 \,\rm km}\right) \left(\frac{\tau_{\nu}}{4}\right) \,\rm ms.$$
 (55)



Figure 11. Time evolution of mass (top panel) and the non-dimensional spin parameter (lower panel) of the black hole and disk mass (bottom panel) for the moderately rotating model.



**Figure 12.** Mass accretion rate into the black hole  $dM_{\rm BH}/dt \equiv \dot{M}_{\rm BH}$  (upper panel) and an efficiency of neutrino emission  $L_{\nu}/\dot{M}_{\rm BH}c^2$  (lower panel) as functions of time after black hole (BH) formation for the moderately rotating model.

This is much shorter than the advection timescale approximately given by

$$t_{\rm adv} \sim \frac{R_{\rm disk}}{v_{\rm adv}} \approx 1.7 \left(\frac{R_{\rm disk}}{50 \,\rm km}\right) \left(\frac{v_{\rm adv}}{0.1c}\right)^{-1} \,\rm ms,$$
 (56)

where  $R_{\text{disk}} (\approx r_{\text{ISCO}} \gg H)$  and  $v_{\text{adv}}$  are the characteristic radius of the disk and the characteristic advection velocity, respectively.

The pressure scale height may be approximately determined by the following force balance relation (Sekiguchi & Shibata 2007):

$$\frac{P_{\rm disk} - P_{\rm ram}}{H} \sim \frac{GM_{\rm BH}\rho_{\rm disk}H}{R_{\rm disk}^3},\tag{57}$$



Figure 13. Time evolution of neutrino luminosities for the moderately rotating model for the lower (solid curves) and finer (dashed curves) resolutions. A black hole is formed at  $t \approx 1373$  ms.

(A color version of this figure is available in the online journal.)

where  $\rho_{\text{disk}}$  and  $P_{\text{disk}}$  are the characteristic density and pressure of the disk, and  $P_{\text{ram}}$  is the ram pressure of the infalling material, respectively. Equation (57) gives

$$\frac{H}{R_{\rm disk}} \sim \left(\frac{P_{\rm disk} - P_{\rm ram}}{10^{30} \,\rm dyn \,\rm cm^{-2}}\right)^{1/2} \left(\frac{\rho_{\rm disk}}{10^{10} \,\rm g \,\rm cm^{-3}}\right)^{1/2}.$$
 (58)

Because the density and temperature remain low due to rapid advection and copious neutrino emission,  $P_{\rm disk} \sim 10^{30}$  dyn cm<sup>-2</sup> is as small as the ram pressure, approximately written as

$$P_{\rm ram} \sim \rho_{\rm f} v_{\rm f}^2 \sim 10^{30} \left( \frac{\rho_{\rm f}}{10^{10} \,{\rm g} \,{\rm cm}^{-3}} \right) \,{\rm dyn} \,{\rm cm}^{-2},$$
 (59)

where  $\rho_{\rm f}$  and  $v_{\rm f} \sim (2GM_{\rm BH}/R_{\rm disk})^{1/2} \sim 0.4c$ -0.5c are the density and velocity of the infalling material, respectively. Since  $|P_{\rm disk} - P_{\rm ram}| \ll P_{\rm disk}$ , the pressure scale height is very small as  $H/R_{\rm disk} \ll 1$  in the early stage of the thin disk.

The lower panel in Figure 12 plots an efficiency of neutrino emission defined by  $L_{\nu,tot}/(\dot{M}_{BH}c^2)$ , where  $L_{\nu,tot}$  is the total neutrino luminosity. The efficiency is as low as ~0.01 in the thin accretion disk phase (until  $\approx 200$  ms after the black hole formation). On the other hand, the order of magnitude of the thermal energy generated at the shock in the inner region of the thin disk is estimated to give

$$\frac{GM_{\rm BH}\dot{M}}{r} \sim 0.1\dot{M}_{\rm BH}c^2 \sim 5 \times 10^{54} \left(\frac{\dot{M}_{\rm BH}}{30\,M_{\odot}\,{\rm s}^{-1}}\right)\,{\rm erg\,s}^{-1},\qquad(60)$$

where  $r \sim 0.1 G M_{\rm BH}/c^2$  is the distance from the black hole. Here, it is assumed that most of the material falling onto the system experiences the shock heating (i.e., the total mass accretion rate  $\dot{M}$  is used), and an approximation of  $\dot{M} = \dot{M}_{\rm BH} + \dot{M}_{\rm disk} \approx \dot{M}_{\rm BH}$  is used.

Thus, the neutrino luminosity is by one order of magnitude smaller than that of the energy generated at the shock. This indicates that the amount of material that experiences shock heating is much smaller than that swallowed into the black hole because of a small geometrical cross section with the disk.



Figure 14. Contours of rest-mass density at  $t \approx 1578$  (top left), 1584 (top middle), 1591 (top right), 1644 (bottom left), 1706 (bottom middle), and 1800 ms (bottom right) for the moderately rotating model.



Figure 15. Contours of entropy per baryon for the moderately rotating model. The selected time slices are the same as those in Figure 14. (A color version of this figure is available in the online journal.)

#### 3.2.2. Disk Expansion and Torus Formation

As the material with high specific angular momentum falls onto the disk and the size of the disk increases, neutrino luminosities and the shock heating efficiency increase (see Figure 13 and the lower panel in Figure 12). (For the decrease of neutrino luminosities at  $t \approx 1470$  ms, see Section 3.2.2.)

Because the total mass of the material surrounding the black hole is much larger than that in the spherical model, the neutrino luminosity remains high,  $>10^{53}$  erg s<sup>-1</sup>, even after the black hole formation (see Figure 13). For ~200 ms after the thin disk formation, the luminosity slightly increases but is kept at ~2 × 10<sup>53</sup> erg s<sup>-1</sup>. Because the duration of the neutrino emission from the thin disk is much longer than that before black hole formation, neutrinos are likely to be primarily emitted from the accretion disk (torus), not during black hole formation, in the moderately rotating model. Figures 14 and 15 plot the contours of density and entropy per baryon at selected time slices  $\sim 200-400$  ms after black hole formation. It is found that the geometrically thin accretion disk formed in the early stage expands to form a geometrically thick accretion torus. Note that the disk is also "sub-Keplerian" in this stage (see the top left panel in Figure 16). The feature of dynamics can be explained as follows.

As the material with higher specific angular momentum in the outer region falls onto the disk, the density and mass of the disk increases (see the bottom panel in Figure 11). This situation is different from that in the early evolution of the geometrically thin disk, in which the material with small specific angular momentum dominantly falls. As a result, neutrino optical depth



Figure 16. Profiles of  $\Omega/\Omega_{\rm K}$ , density, entropy per baryon, and total lepton fraction along the radial direction in the equator at  $t \approx 1574$ , 1576, 1578, and 1580 ms. (A color version of this figure is available in the online journal.)

increases and neutrino cooling timescale becomes longer (cf. Equation (55)). This helps further the storage of thermal energy inside the disk, and the pressure scale height increases (see the top left panel in Figure 15).

As thermal energy is stored, the disk height *H* increases according to Equation (57). The density and the temperature  $(T_{\text{disk}})$  inside the disk eventually increase to be  $\gtrsim 10^{11}$  g cm<sup>-3</sup> and  $\gtrsim 10$  MeV (and hence,  $P_{\text{disk}} \gtrsim 10^{30}$  dyn cm<sup>-2</sup>). At the same time, the ram pressure decreases to  $\lesssim 0.1 P_{\text{disk}} (\ll P_{\text{disk}})$  because the density of the infalling material decreases to  $\lesssim 10^9$  g cm<sup>-3</sup>. Consequently, *H* increases to  $\sim R_{\text{disk}}$  (see the top middle panels in Figures 14 and 15). For  $H \gtrsim R_{\text{disk}}$ , the approximate force balance relation (57) becomes

$$(P_{\rm disk} - P_{\rm ram}) \sim \frac{GM_{\rm BH}\rho_{\rm disk}}{H}.$$
 (61)

Because the binding due to the gravitational force by the black hole decreases as *H* increases, the disk expands, forming a shock wave once the condition  $H \gtrsim R_{\text{disk}}$  is satisfied (Sekiguchi & Shibata 2007). Figure 16 shows that the shock is formed at  $t \approx 1576$  ms.

The neutrino opacities decrease as the disk expands (density and temperature decrease), and accordingly, the cooling timescale becomes shorter. Then, the shock wave is stalled and the disk relaxes to a new geometrically thick state. The shock becomes a standing accretion shock and expands gradually because the material with higher specific angular momentum continuously falls onto the shock and also because the ram pressure of the infalling material continues to decrease (see the bottom panels in Figures 14 and 15).

Note that when the pressure scale height and thus the optical depth become sufficiently large, the neutrino-cooling timescale becomes longer than the advection timescale into black hole, and, consequently, neutrinos are *trapped* in the accretion flow.

This can be seen in the time evolution of neutrino luminosities plotted in Figure 13. At  $t \approx 1490$  ms, neutrino luminosities start decreasing slightly. The trapping of neutrinos is also found in a steady high-density accretion disk model (Di Matteo et al. 2002; Chen & Beloborodov 2007). Note also that the similar decrease of neutrino luminosities has been found in the simulations of ordinary core collapse soon after the onset of neutrino trapping (e.g., Liebendörfer et al. 2001).

Figure 17 plots the contours of the total neutrino emissivity at selected time slices  $\sim$ 200–400 ms after the black hole formation. Neutrino luminosities are significantly enhanced after the thick torus formation. The reason for this is mainly that the amount of material which experiences shock heating increases. The disk is optically thick to neutrinos at first and becomes optically thin as the disk expands. Then, neutrinos trapped inside the torus are emitted. This feature is somewhat similar to the so-called neutrino burst associated with the early shock formation in the ordinary supernova explosion.

After the expansion, the total luminosity reaches  $\approx 2 \times 10^{54}$  erg s<sup>-1</sup> because the amount of material that experiences shock heating significantly increases. Then the efficiency of neutrino emission is as high as  $L_{\nu,\text{tot}}/(\dot{M}_{\text{BH}}c^2) \sim 0.1$  (see the lower panel in Figure 12). These agree approximately with the generation rate of thermal energy by infalling material on the standing shock,

$$\frac{GM_{\rm BH}\dot{M}}{r} \sim 0.1\,\dot{M}c^2 \\ \sim 2\times 10^{54}\,{\rm erg\,s^{-1}}\left(\frac{\dot{M}}{10\,M_\odot\,{\rm s^{-1}}}\right),\qquad(62)$$

where a characteristic value of  $\dot{M} = \dot{M}_{BH} + \dot{M}_{disk} \sim 10 M_{\odot} \text{ s}^{-1}$  is adopted (see the bottom panel in Figure 11 and the upper panel in Figure 12). The high efficiency indicates that neutrino optical



Figure 17. Contours of the total neutrino emissivity for the moderately rotating model. The selected time slices are the same as those in Figure 14. (A color version of this figure is available in the online journal.)

depth is not very high for the neutrino-emission region and that advection of the thermal energy into the black hole is not very large in this phase because of the quick neutrino emission.

# 3.2.3. Convective Activities

After the formation of the geometrically thick torus, convective motions are excited near the shocked region in the torus. The origin of the convection is explained as follows.

Shock heating is more efficient in an inner part of the torus because the kinetic energy of infalling material is larger (see the top left panel in Figure 15). On the other hand, the neutrino cooling is less efficient in the inner part of the torus because of its higher density and resulting larger optical depth. Then, the entropy per baryon becomes higher in the shocked inner region of the torus (see Figure 15), and, consequently, regions of negative entropy gradient along the radial direction near the equatorial plane are developed. Also, because neutrinos are trapped and  $\beta$ -equilibrium is achieved in the inner part of the torus, the total lepton fraction increases inward. These tendencies are enhanced as the accretion of the material with higher angular momentum proceeds.

The condition for convective instabilities to occur is given by the so-called Solberg–Hoiland criterion (e.g., Tassoul 1978),

$$N_{\rm SH}^{\ 2} = N_{\rm BV}^{\ 2} + \kappa^2 < 0, \tag{63}$$

where  $N_{\rm BV}$  is the Brunt–Väisälä frequency given by (e.g., Lattimer & Mazurek 1981)

$$N_{\rm BV}^{\ 2} = \frac{g_{\rm eff}}{\rho} \left(\frac{\partial\rho}{\partial P}\right)_{s,Y_l} \\ \times \left[ \left(\frac{\partial P}{\partial s}\right)_{\rho,Y_l} \left(\frac{ds}{dr}\right) + \left(\frac{\partial P}{\partial Y_l}\right)_{\rho,s} \left(\frac{dY_l}{dr}\right) \right], \quad (64)$$

and  $\kappa$  is the epicyclic frequency, which may be written for nearly circular orbits as (e.g., Binney & Tremaine 1987)

$$\kappa^2 = \varpi \frac{d\Omega^2}{d\varpi} + 4\Omega^2. \tag{65}$$

Figure 16 plots the profiles of angular velocity, total lepton fraction, and entropy per baryon along the radial direction in the equator after the convection sets in. It is clearly shown that negative entropy gradient is formed in several regions inside the torus and drives convection (see Figures 14 and 15). Rotation does not play an important role in suppressing the convective activities because the angular velocity  $\Omega$  is smaller than the Kepler angular velocity given by

$$\Omega_{\rm K} = \left[ \sqrt{\frac{r^3}{GM_{\rm BH}}} + q_{\rm BH} \frac{GM_{\rm BH}}{c^3} \right]^{-1} \tag{66}$$

(see the top left panel in Figure 16); and thus, the Coriolis force is not large enough.

The convective flows cannot move freely because the material infalling from the outside of the torus prevents the free expansion of the convective components (see the top middle panel in Figure 14). Figure 18 plots the contours of electron fraction with velocity fields. Interacting with the thin accretion flows, a part of the convective flows is swerved to form finger-like structures (see the top right panel in Figure 18). Then, the convective components form a swirl. Note that regions with velocity shear appear at the interface between the convective fingers and the accretion flows (see the right panel in Figure 18); and hence, the Kelvin–Helmholtz instability could be developed at the interface, generating turbulent motions (see the bottom left panel in Figure 18).

In addition, oscillations of the standing shock wave are induced. Such shock oscillations are proposed in a different context to explain quasi-periodic oscillations of X-ray binaries (Molteni et al. 1996) and found in a recent Newtonian simulation of sub-Keplerian accretion flows around a black hole (Giri et al. 2010).

Associated with the convective motions, many shock waves are formed and accretion flows show very complicated features. Because of an interplay of the neutrino trapping, the Kelvin–Helmholtz instability, and the convective shock, the accretion flow remains convectively unstable. Figure 19 shows



Figure 18. Contours of electron fraction with velocity fields at  $t \approx 1589$  (top left panel), 1590 (top right panel), 1596 (bottom left panel), and 1644 ms (bottom right panel).

the Solberg–Hoiland frequency,  $N_{\rm SH}$ , defined in Equation (63). The effective gravity in Equation (64) is approximately evaluated using the Newtonian gravity as  $g_{\rm eff} = GM_{\rm BH}/r^2$ . As this figure shows, several regions inside the standing shock remain convectively unstable.

As a natural consequence of the convective activities of the accretion flow, neutrino luminosities vary violently in time (see Figure 13). If GRBs are driven by the pair annihilation of neutrinos and anti-neutrinos, such time variability may explain the observed time variability of GRB light curves. Furthermore, electrons in the convective regions are only weakly degenerate due to the high entropy and temperature. Consequently, the emissivities of electron neutrinos and electron anti-neutrinos are approximately identical ( $Q_{\nu_e}^{ec} \sim Q_{\bar{\nu}_e}^{pc}$ ). This is favorable for the pair annihilation of neutrinos to electron–positron pairs because its rate is proportional to  $L_{\nu}L_{\bar{\nu}}$  (see Section 4.3). We finally note that the total energies emitted in neutrinos over the entire time of the simulations are  $E_{\nu,tot} \approx 3.8 \times 10^{53}$ ,  $3.9 \times 10^{53}$ , and  $9.4 \times 10^{52}$  erg for electron neutrinos, respectively.

#### 3.2.4. Effect of Viscosity and Formation of Viscous Accretion Disk

Finally, we consider possible effects of viscosity in the evolution of the accretion disk, which are not taken into account in our simulation. Assuming that the disk (or torus) can be described by the standard disk model with  $\alpha$ -viscosity (Shakura & Sunyaev 1973), the mass accretion rate of disk material into the black hole due to the viscous transport of angular momentum  $(\dot{M}_{\rm vis})$  is written as

$$\dot{M}_{\rm vis} \sim 4\pi \alpha_{\rm vis} P H \Omega^{-1},$$
 (67)

where  $\alpha_{vis}$  is the viscous parameter and the pressure scale height is approximately estimated by

$$H \approx \sqrt{\frac{P}{\rho} \frac{r^3}{GM_{\rm BH}}} \,. \tag{68}$$

Figure 20 plots characteristic values of  $\dot{M}_{\rm vis}$  along the radial direction in the equatorial plane in the geometrically thin-disk phase (at  $t \approx 1556$  ms) and the early (at  $t \approx 1644$  ms) and late



Figure 19. Contours of the Solberg–Hoiland frequency for the moderately rotating model. The selected time slices are the same as those in Figure 14. (A color version of this figure is available in the online journal.)



**Figure 20.** Profiles of  $\dot{M}_{\rm vis}$  along the radial direction in the equator in the geometrically thin-disk phase (at  $t \approx 1556$  ms) and in the convective phase (at  $t \approx 1644$  and 1574 ms).

(at  $t \approx 1772$  ms) stages of the convective phase. During the evolution of the accretion disk, viscosity is not likely to play an active role as described in the following.

In the geometrically thin-disk phase, the predicted viscous mass accretion rate is as small as  $\dot{M}_{\rm vis} \lesssim 0.1 \, M_{\odot} \, {\rm s}^{-1}$  for a relatively large viscous parameter of  $\alpha_{\rm vis} = 0.1$ . The characteristic timescale for viscous mass accretion is ~1 s because the disk mass is  $M_{\rm disk} \sim 0.1 \, M_{\odot}$  (see Figure 11), which is much longer than the duration of the geometrically thin-disk phase ~200 ms. Thus, the viscosity will not play an important role in the geometrically thin-disk phase.

In the convective phase, the viscous mass accretion rate becomes as large as  $\dot{M}_{\rm vis} \sim M_{\odot} \, {\rm s}^{-1}$  for  $\alpha_{\rm vis} = 0.1$ . On the other hand, the mass infall rate onto the torus is  $\dot{M}_{\rm disk} \sim 3-4 \, M_{\odot} \, {\rm s}^{-1}$ (see Figure 11), which is larger than the viscous mass accretion rate. Thus, the effect of viscosity is not likely to play a central role, and the disk will accumulate mass even in the presence of the viscosity.

The disk will spread outward with accumulating mass until the viscous mass accretion rate exceeds the infall mass accretion rate onto the disk ( $\dot{M}_{\rm disk} \sim 4\pi R_{\rm disk}^2 \rho_{\rm f} v_{\rm f}$ ). When  $\dot{M}_{\rm disk}$  becomes smaller and the torus becomes more massive due to accretion of material from outer regions, the viscosity will play an important role in the evolution and dynamics of the torus. Over the past decade, many groups have studied properties of the viscous accretion disk around a black hole (Popham et al. 1999; Narayan et al. 2001; Di Matteo et al. 2002; Kohri & Mineshige 2002; Kohri et al. 2005; Gu et al. 2006; Chen & Beloborodov 2007; Kawanaka & Mineshige 2007). Such studies have successfully explained the energetics of LGRBs.

It should be noted that in the viscous accretion phase, the material with low angular momentum will also fall in the vicinity of the black hole, and shock dissipation of the infall kinetic energy will also occur. Material with high angular momentum can dissipate their infall kinetic energy on the standing shock before they reach the centrifugal barrier. The degree to which such materials depend on the initial density and rotational profile is poorly known. There might be a substantial amount of mass accretion and energy generation due to such processes.

#### 3.3. Dependence on Grid Resolution and Numerical Accuracy

Because the present simulation is a long-term one, we here describe dependence of results on the grid resolution and numerical accuracy. In Figure 13, we compare the time evolution of neutrino luminosities derived both in the high (dashed curves) and low (solid curves) resolution runs. The neutrino luminosities in the two grid resolutions agree very well until black hole formation, indicating that converged results are obtained for such phase. In the geometrically thin disk phase, on the other hand, the luminosities in the finer resolution are systematically higher than those in the lower resolution. This is because the vertical structures of the geometrically thin disk and shock-heated region are more accurately resolved in the finer resolution; hence, the maximum temperature is higher in the finer resolution. Also, the geometrically thin disk expands



Figure 21. Time evolution of the total baryon mass (top panel), the total ADM mass (middle panel), and the total angular momentum (bottom panel) for the moderately rotating model. The red and blue curves correspond to the results in the lower resolution and the higher resolution, respectively.

more quickly to become the geometrically thick disk. This is because thermal energy is more efficiently stored in the disk, because neutrino opacities are larger due to the higher density and temperature. These results indicate the importance of resolving the vertical structure of the geometrically thin disk for the quantitative study. If the grid resolution is not sufficient, a geometrically thin disk may remain thin instead of expanding to become a thick torus.

Note that the effects of grid resolution work in a positive manner in our results; that is, the transition of a thin disk to a thick disk is more likely to occur. We therefore safely conclude that the qualitative feature of our results does not depend on the grid resolution.

To check the accuracy of our results, conservations of the baryon mass  $(M_*)$ , the ADM mass  $(M_{ADM}; e.g., York 1979)$ , and the total angular momentum (J), and violations of the Hamiltonian constraint are monitored during the simulation. Figure 21 displays the time evolution of these quantities. The several discontinuous changes correspond to the regridding procedures where the outer low-density region, which does not affect the evolution of the central region, is discarded. In each regridding level,  $M_*$ ,  $M_{ADM}$ , and J are well conserved. To see this more quantitatively, we display the time evolution of error in each level of the regridding until the black hole formation in Figure 22. The error is given by

$$\Delta Q_{\operatorname{regrid}i}(t) = \left| \frac{Q_{\operatorname{regrid}i}(t) - Q_{\operatorname{regrid}i}(0)}{Q_{\operatorname{regrid}i}(0)} \right|, \quad (69)$$

where  $Q_{\text{regrid}i}$  denotes the conserved quantities  $M_*$ ,  $M_{\text{ADM}}$ , and J in the *i*th regrid level. For the purpose of facilitating visualization, the time is normalized by the duration of each regridding level.

The error of conservation of total baryon mass grows monotonically in time, though it is as small as  $O(10^{-3})$ . The error is partially caused by the outer boundary conditions for fluid quantities where a simple copy is imposed. The error of the ADM mass shows an oscillating behavior caused by the regrid-



**Figure 22.** Time evolution (normalized) in each regrid level of the total baryon mass (top panel), the total ADM mass (middle panel), and the total angular momentum (bottom panel) for the moderately rotating model. The solid curves correspond to the results in the lower resolution and the dashed curves to those in the higher resolution.

(A color version of this figure is available in the online journal.)



**Figure 23.** Time evolution of the Hamiltonian constraint error for the moderately rotating model. The red and blue curves correspond to the results in the lower resolution and the higher resolution, respectively.

(A color version of this figure is available in the online journal.)

ding procedure, and is also as small as  $\lesssim 1\%$ . The error in total angular momentum is also as small as a few percent, indicating good accuracy of conservation. Note that after black hole formation we start to adopt the excision procedure in solving hydrodynamic equations; consequently, these quantities do not conserve.

Figure 23 plots the time evolution of the Hamiltonian constraint error defined by Shibata (2003a)

$$\text{ERROR} = \frac{1}{M_*} \int \rho_* |V| d^3 x, \qquad (70)$$

$$V = \frac{\tilde{\Delta}\psi - \frac{\psi}{8}\tilde{R} + 2\pi E\psi^5 + \frac{\psi^5}{8}\tilde{A}_{ij}\tilde{A}^{ij} - \frac{\psi^5}{12}K^2}{|\tilde{\Delta}\psi| + \left|\frac{\psi}{8}\tilde{R}\right| + 2\pi\rho_h\psi^5 + \frac{\psi^5}{8}\tilde{A}_{ij}\tilde{A}^{ij} + \frac{\psi^5}{12}K^2},$$
 (71)



Figure 24. Time evolution (normalized) in each regrid level of L1 norm of the Hamiltonian constraint for the moderately rotating model. The solid curves correspond to the results in the lower resolution and the dashed curves to those in the higher resolution.

where  $\psi \equiv e^{\phi}$  and  $\Delta$  denotes the Laplacian with respect to  $\tilde{\gamma}_{ij}$ . Namely, we use  $\rho_*$  as a weight factor for the average. This weight factor is introduced to monitor whether or not the main bodies of the system (inner cores and dense matter regions) in which we are interested are accurately computed.

The several distinct spikes correspond to the regridding procedures where the Hamiltonian constraint equation is solved numerically. Until the black hole is formed, the constraint violation is very small,  $\leq 10^{-2}$ , and no signal of any increase is seen. After the black hole formation, the degree of violation becomes greater because of the excision procedure. However, the violation is still small as  $\sim 10^{-1}$ , indicating the good accuracy of the simulation. Note that the integration in Equation (70) includes the inside of the black hole. Figure 24 plots the time evolution (normalized) of the L1 norm of the Hamiltonian constraint in each regrid level. Again, the violation does not show the signal of rapid increase.

# 3.4. Dependence on Rotation

In this section, we describe the dependence of the formation process of the black hole and surrounding accretion disk, the convective activities inside the disk, and the emissivity of neutrinos on the degree of initial rotation.

#### 3.4.1. Slowly Rotating Model

In the slowly rotating model, a black hole with  $M_{\rm BH} \approx 6.3 \, M_{\odot}$  and  $q_{\rm BH} \approx 0.53$  is formed at  $t \approx 1298$  ms. The mass and spin parameters are only slightly smaller than those in the moderately rotating model. Figure 25 plots the time evolution of the mass and spin parameters of the black hole as well as disk mass. The mass accretion rate into the black hole soon (10 ms) after the black hole formation is  $\dot{M}_{\rm BH} \approx 45 \, M_{\odot} \, {\rm s}^{-1}$  (see the upper panel in Figure 27), which is slightly larger than that in the moderately rotating model. The spin parameter remains modest but gradually increases as in the moderately rotating model.

As in the collapse of the moderately rotating model, a geometrically thin (but optically thick) accretion disk is formed soon after the black hole formation. In this case, a fraction of the material that forms the disk is smaller than that for the



Figure 25. Time evolution of mass (top panel) and the non-dimensional spin parameter (middle panel) of the black hole and disk mass (bottom panel) for the slowly rotating model.

(A color version of this figure is available in the online journal.)



Figure 26. Time evolution of neutrino luminosities for the slowly rotating model.

(A color version of this figure is available in the online journal.)

moderately rotating model due to the lower specific angular momentum of fluid elements in the slowly rotating model; hence, the disk mass is smaller as  $M_{\text{disk}} \sim 0.05 M_{\odot}$  than that in the moderately rotating model and  $M_{\text{disk}} < 0$  (see the bottom panel in Figure 25). However, part of the material that falls onto the disk still produces shock waves in the inner part of the disk. Thermal energy generated at the shock is not efficiently stored in the disk in the early stage because most of the shocked material is advected into the black hole, and neutrinos carry away thermal energy. Then, the disk remains geometrically thin for a long time (at least  $\gtrsim 100$  ms) after the formation of the black hole.

Figure 26 plots the time evolution of neutrino luminosities. Before black hole formation, the luminosity curves are similar to those in the moderately rotating model. It is found that the geometrically thin accretion disk emits  $\approx 10^{53}$  erg s<sup>-1</sup> by neutrinos. This magnitude is by a factor of  $\sim 2$  smaller than that for the moderately rotating model. The efficiency of



**Figure 27.** Mass accretion rate into the black hole  $dM_{\rm BH}/dt \equiv \dot{M}_{\rm BH}$  (upper panel) and efficiency of neutrino emission  $L_{\nu}/\dot{M}_{\rm BH}c^2$  (lower panel) as functions of time after the black hole (BH) formation for the slowly rotating model.

neutrino emission is  $L_{\nu,tot}/(\dot{M}_{BH}c^2) \approx 0.002-0.003$  is by a factor of ~3 smaller than that for the moderately rotating model (see the lower panel in Figure 27), indicating that less of the material experiences the shock heating, and that more thermal energy is advected into the black hole before released being by neutrinos due to slower rotation and the resulting shorter advection timescale.

We do not find any enhancement of neutrino luminosity after the black hole formation in our simulation time. However, after the free-fall timescale of ~seconds, the material with higher specific angular momentum may eventually form a dense disk. Then, thermal energy may be stored inside the disk, and the disk may expand to become a geometrically thick torus when the ram pressure of the infalling material becomes sufficiently low. Furthermore, provided that the total mass accretion rate is sufficiently high as  $\dot{M} \gtrsim M_{\odot} \text{ s}^{-1}$ , neutrinos will be trapped in the inner region of the disk, and convective activities may set in as in the moderately rotation model (see discussion in Section 4.1). If so, it is expected that neutrino luminosities are enhanced and show rapid time variability as in the moderately rotating model.

# 3.4.2. Rapidly Rotating Model

In the rapidly rotating model, a black hole is first formed at  $t \approx 1494$  ms with mass of  $\approx 6.8 M_{\odot}$  and the non-dimensional spin parameter of  $\approx 0.8$ . Figure 28 plots the time evolution of mass and spin parameter of the black hole together with disk mass. The spin parameter is much larger than that in the moderately rotating model, as expected from Figure 4.

In the rapidly rotating model, the disk formation process is qualitatively different from that in the moderately rotating model. Figure 29 plots the contours of rest-mass density at selected time slices. The contour curve of  $\tau_{v_e} = 5$  is shown together as an approximate boundary of occurrence of the neutrino trapping. Inside this curve, neutrinos are trapped because  $t_{adv}(\sim R_{disk}/v_{adv} \sim R_{disk}/0.1c) \sim t_{cool}(\sim H\tau_v/c)$  for  $R_{disk} \sim 2H$ .

In the moderately rotating model, a geometrically thin accretion disk is first formed and then expands to become a geomet-



Figure 28. Time evolution of mass (top panel) and the non-dimensional spin parameter (lower panel) of the black hole and disk mass (bottom panel) for the rapidly rotating model.

(A color version of this figure is available in the online journal.)

rically thick torus. In the rapidly rotating model, by contrast, a geometrically thick torus is formed immediately after black hole formation because the pressure gradient and the angular momentum of the fluid near the equator are large enough that it retains an orbit outside the ISCO. The disk at this phase is still "sub-Keplerian" with  $\Omega/\Omega_K \approx 0.8$  at its maximum, and the pressure gradient plays a role in the immediate torus formation. Reflecting the torus formation,  $M_{\rm disk}$  is much larger at  $\approx 0.4 M_{\odot}$  than that in the slowly and moderately rotating models (see the bottom panel in Figure 28). Shock waves formed at the weak bounce are not swallowed into the black hole, and a torus-shaped standing accretion shock remains around the black hole.

Associated with the torus formation, the mass accretion rate into the black hole just after the black hole formation shows non-monotonic behavior, in contrast to the slowly and rapidly rotating models (see the upper panel in Figure 30). The mass accretion rate quickly drops to  $\dot{M}_{\rm BH} \approx 20 M_{\odot} \, {\rm s}^{-1}$  at  $t \approx$ 6 ms after the black hole formation because of the centrifugal and pressure-supported hangup of the torus. The subsequent oscillating behavior is due to mass accretion associated with the oscillation of the torus. The mass accretion rate then decreases quickly with time because the centrifugal force of the infalling material prevents rapid accretion into the black hole. Note that the pressure gradient also plays a role in this phase. The mass accretion is expected to cease when  $M_{\rm BH} \approx 12 \, M_{\odot}$ .

Figure 31 plots the neutrino luminosities as a function of time. Until the onset of the weak bounce (until the first local peak), the luminosity curves are similar to those in other models. After the weak bounce occurs, the material near the rotation axis starts collapsing; as a result, the temperature increases due to compression and the optical depth near the rotation axis relatively decreases. Then, a second local peak (at  $t \approx 1475$  ms) associated with a substantial emission from the vicinity of the rotation axis appears. This is the same feature found in the slowly and moderately rotating models. In the rapidly rotating model, in addition, a third local peak appears just before black hole formation at  $t \approx 1494$  ms. This is due to the fact that a dense torus, which subsequently falls into the black hole, is formed



**Figure 29.** Contours of rest-mass density at  $t \approx 1495$  (top left), 1497 (top middle), 1499 (top right), 1500 (bottom left), 1502 (bottom middle), and 1535 ms (bottom right) for the rapidly rotating model. The green curves indicate the region where  $\tau_{\nu} = 5$  for electron neutrinos. (A color version of this figure is available in the online journal.)



**Figure 30.** Mass accretion rate into the black hole  $dM_{\rm BH}/dt \equiv \dot{M}_{\rm BH}$  (upper panel) and efficiency of neutrino emission  $L_{\nu}/\dot{M}_{\rm BH}c^2$  (lower panel) as functions of time after black hole (BH) formation for the rapidly rotating model.

(see the first panel in Figure 29) and emits a large amount of neutrinos just before being swallowed by the black hole.

After the black hole formation, the luminosities decrease slightly. However, a dense torus surrounding the black hole is formed in a short timescale. Then, the luminosity again increases and becomes as large as the second and third peaks with the total luminosity  $\sim 3 \times 10^{54}$  erg s<sup>-1</sup>. The approximate generation rate of thermal energy at the shock on the surface of the torus due to infalling material is

$$\frac{GM_{\rm BH}M}{r} \sim 0.1 \dot{M} c^2 \sim 4 \times 10^{54} \left(\frac{\dot{M}}{20 \, M_{\odot} \, {\rm s}^{-1}}\right) \, {\rm erg} \, {\rm s}^{-1}.$$
(72)



**Figure 31.** Time evolution of neutrino luminosities for the rapidly rotating model. Note that the black hole is formed at  $t \approx 1494$  ms.

(A color version of this figure is available in the online journal.)

Thus, the neutrinos are emitted by converting the infall kinetic energy of the material to the thermal energy.

Convective motions are also observed in the rapidly rotating model as in the moderately rotating model. A large-scale circulation is formed, associated with the formation of the thick (mainly) centrifugally supported torus (see the bottom middle panel in Figure 29). However, successive large-scale circulations, appearing in the moderately rotating model, do not occur in the rapidly rotating model, although small-scale convective activities are driven (see the bottom right panel in Figure 29). This is due to the stabilizing effect of the epicyclic frequency (see Equation (63)). Figure 32 plots the Brunt-Väisälä frequency (see Equation (64)) and the Solberg-Hoiland frequency defined by Equation (63). As shown in this figure, there exist regions with negative gradients of entropy per baryon and lepton fraction  $(N_{\rm BV}^2 < 0)$  inside the thick torus (see the left panel in Figure 32). However, most of the low-frequency modes are suppressed by the stabilizing epicyclic mode and only the



Figure 32. Contours of the Brunt–Väisälä frequency (left panel) and the Solberg–Hoiland frequency defined by Equation (63) (right panel) at  $t \approx 1534$  ms for the rapidly rotating model.

higher-frequency modes are present. Consequently, large-scale circulation modes are suppressed and only small-scale convective modes appear.

Due to the absence of large-scale convective modes, effects of the convection on neutrino luminosities are likely to be minor. Indeed, no violent time variability is observed after the thick torus formation. The small bumps in luminosities at  $t \approx 1500-1510$  ms are associated with large-scale circulation (see the bottom middle panel in Figure 29).

The total mass of the torus is  $\sim 7\%$  of the black hole mass and gradually increases (see Figure 28). The self-gravity of the torus may play a role in a later phase; the torus may be unstable against non-axisymmetric perturbation, and this may affect the evolution of the torus because angular momentum transport and redistribution inside the torus are enhanced. To strictly clarify the evolution of such a massive torus, a threedimensional numerical simulation may be needed. This is one of the issues left for future work.

Finally, we consider the possible effects of viscosity in the rapidly rotating model. Assuming that the torus can be described by the standard disk model, the mass accretion rate associated with a hypothetical viscous stress is estimated as  $\dot{M}_{\rm vis} \sim 3-5 M_{\odot} \, {\rm s}^{-1}$  for  $\alpha_{\rm vis} = 0.1$  (cf. Equation [67]). Because the mass infalling rate onto the torus is  $\dot{M}_{\rm disk} \approx 8 M_{\odot} \, {\rm s}^{-1}$  at the late phase (see the bottom panel in Figure 28), the viscosity is not expected to play a crucial role for the evolution of the torus at the early phase simulated in this paper.

However, in a later phase, when the mass infalling rate onto the torus becomes smaller, the viscosity is expected to play an important role. Then, an ADAF-type (accretion-dominated accretion flow) accretion flow may be the outcome in the presence of high viscosity. A high-velocity outflow may be accompanied because the accretion rate is likely to be very high (e.g., Narayan et al. 2001). The high black hole spin may also play an important role in driving a high-velocity outflow because the heating rate is enhanced near the ISCO and the mass accretion is suppressed due to the small black hole radius.

### 4. DISCUSSIONS

# 4.1. Effect of the Black Hole Spin on Disk Property and Neutrino Emissivity

The black hole formed after the core collapse is in general not a Schwarzschild black hole but a rotating black hole ( $q_{BH} \gtrsim 0.5$ 

for our models). In addition, a high spin state with  $q_{\rm BH} \gtrsim 0.8$  is easily achieved during the evolution of the black hole. Thus, it is necessary to take into account the effects associated with such a high black hole spin to build plausible models in the collapsar scenario.

The spin of a black hole is known to play a crucial role in the evolution of the accretion disk (Chen & Beloborodov 2007). The inner edge of the disk (or torus) around a rapidly rotating black hole comes closer to the black hole than that around a Schwarzschild black hole; consequently, the temperature and density of the disk reach higher values. These significantly enhance neutrino luminosities. In addition, due to the higher density and temperature, the disk becomes more opaque to neutrinos, and neutrinos are often trapped in the inner regions of the disk. This leads to the formation of regions with a negative entropy gradient, and convection is induced. As a result of convection, neutrino luminosity curves may become highly variable.

Here, it should be noted that the trapping of neutrinos and occurrence of convective motions are not likely to be special consequences of the high mass accretion rate ( $\dot{M} \sim 10 M_{\odot} \,\mathrm{s}^{-1}$ ) achieved in our models. According to results of a general relativistic study by Chen & Beloborodov (2007), the neutrino trapping occurs even with a moderate mass accretion rate of  $\dot{M} \sim M_{\odot} \, {\rm s}^{-1}$  for accretion flows around a rapidly rotating Kerr black hole. For accretion flows around a Schwarzschild black hole, by contrast, the neutrino trapping does not occur even with a high mass accretion rate of  $\dot{M} \sim 10 M_{\odot} \text{ s}^{-1}$  (Chen & Beloborodov 2007). This illustrates that the black hole spin plays a crucial role in the properties of accretion flows around a black hole. They also find that the neutrino trapping occurs in the vicinity of the black hole  $(r \leq 20GM_{\rm BH}/c^2)$ , as in our case. This indicates the importance of resolving the regions in the vicinity of the black hole, because the seed of convection is formed there. (We note that the enhancement of neutrino luminosities due to the convection was not found in previous pseudo-Newtonian studies because a rather wide region near the black hole was excised in these studies.)

# 4.2. Comparison with CDAFs

The presence of convective accretion flow, named as convection-dominated accretion flow (CDAF), was first predicted by Narayan & Yi (1994) in their studies of a self-similar



**Figure 33.**  $r\varphi$ -Component of the Reynolds stress tensor  $t_{r\varphi}$ , normalized so that the maximum amplitude of negative sign is unity.

solution of advection-dominated accretion flows (ADAFs). Later, CDAFs were found in numerical studies of ADAFs around a black hole (Stone et al. 1999; Igumenshchev & Abramowicz 2000). They found as a remarkable property of CDAF that the convection transports the angular momentum *inward* rather than outward.

To see whether this is the case in the present simulation, we calculate the  $r\varphi$ -component of the Reynolds stress tensor,  $t_{r\varphi} = \langle \delta v_r \delta v_{\varphi} \rangle$ , where  $\delta v_i = v_i - \langle v_i \rangle$  is the velocity fluctuation and  $\langle \rangle$  denotes time-averaging (Igumenshchev & Abramowicz 2000). Note that negative (positive) sign of  $t_{r\varphi}$  corresponds to the inward (outward) transfer of the angular momentum. Figure 33 plots the contour of  $t_{r\varphi}$  in the *x*-*z* plane. This figure clearly shows that there are regions with negative values of  $t_{r\varphi}$  near the outer surface of the torus. Convection in these regions transports the angular momentum inward, generating flows with higher angular momentum in an inner region. Such flows will then move outward, forming circulations.

While the CDAF-like accretion flows are formed in the outer part of the torus, flows in the inner region are similar to those of neutrino-dominated accretion flows (NDAFs; Popham et al. 1999). Furthermore, the torus is accompanied by the quasi-radial flows that consist of the material with low angular momentum and the outer geometrically thin accretion flows near the equatorial plane. Narayan et al. (2001) found that the transition between CDAF and NDAF is determined by a characteristic radius  $r_{out}$ : flows injected from  $r \gtrsim r_{out}$  form CDAFs, and those injected from  $r \leq r_{out}$  form NDAFs. In terms of the specific angular momentum, transition between CDAF and NDAF may be determined by a characteristic specific angular momentum  $j_{\rm out}$ . The material with  $j \gtrsim j_{\rm out}$  form CDAFs and those with  $j \lesssim j_{\rm out}$  form NDAFs. As found in the present simulation, the accretion flows in the moderately rotating collapsar model will be characterized by the inner NDAF-like and outer CDAF-like parts.

### 4.3. Application to Gamma-Ray Bursts

We now turn to application of our results to LGRBs. We consider, as two possible energy deposition processes, the neutrino pair annihilation and the Blandford–Znajek process

(Blandford & Znajek 1977). Because both of these processes are not included in our numerical simulation, we give an order estimate of the energy deposition rates for the purpose of clarifying the potential of driving relativistic jets in our models.

The annihilation rate of neutrinos and anti-neutrinos into electron-positron pairs has been calculated as a mechanism to power GRBs by several groups (Ruffert et al. 1997; Popham et al. 1999; Asano & Fukuyama 2000, 2001; Salmonson & Wilson 2001; Setiawan et al. 2004, 2006; Birkl et al. 2007; Harikae et al. 2010a, 2010b; Zalamea & Beloborodov 2011). The energy from the neutrino pair annihilation should be deposited in a baryon-poor region in order to generate highly relativistic outflows. The funnel region near the rotational axis above the torus is a promising place for this purpose.

Here, we give an order estimate of the total energy deposition rate by the neutrino pair annihilation  $(\dot{E}_{\nu\bar{\nu}})$ . The deposition rate is proportional to  $\dot{M}^{9/4}M_{\rm BH}^{-3/2}$  (Beloborodov 2008). In this estimation, the neutrino luminosity is assumed to originate from viscous heating. In our present calculation, the neutrino luminosity is determined by the mass accretion rate of the infalling material, which experiences the shock heating and increases the thermal energy of the disk. However, the dependence of the pair-annihilation rate on the mass infall rate  $\dot{M}$  is essentially the same for the thick torus phase. Due to this strong dependence on the mass accretion rate, the energy deposition by the neutrino pair annihilation will be important only for an early phase of the LGRB formation.

In the geometrically thin disk, the efficiency of the neutrino pair annihilation for a rapidly rotating black hole may be written, according to a recent general relativistic study by Zalamea & Beloborodov (2011), as

$$(\text{eff})_{\nu\bar{\nu}} \equiv \frac{\dot{E}_{\nu\bar{\nu}}}{L_{\nu, \text{ tot}}} \sim 0.01 \left(\frac{\dot{M}}{M_{\odot} \,\text{s}^{-1}}\right)^{5/4} \left(\frac{M_{\text{BH}}}{10 \,M_{\odot}}\right)^{-3/2}, \quad (73)$$

where  $L_{\nu, \text{ tot}}$  is the total neutrino luminosity. In the present simulation, the expected energy deposition rate by neutrino pair annihilation is quite high at  $E_{\nu\bar{\nu}} \sim 10^{53}$  erg s<sup>-1</sup> for  $M_{\rm BH} \sim 10 M_{\odot}$ ,  $\dot{M} \sim 10 M_{\odot}$  s<sup>-1</sup>, and  $L_{\nu, \text{ tot}} \sim 10^{54}$  erg s<sup>-1</sup> in an early phase of disk evolution for  $\sim 1$  s.

The efficiency of the neutrino pair annihilation depends strongly on the geometry of the disk. In particular,  $(eff)_{\nu\bar{\nu}}$  is proportional to  $V_{ann}^{-1}$ , where  $V_{ann}$  is the characteristic volume above the disk (Mochkovitch et al. 1993; Liu et al. 2010; Zalamea & Beloborodov 2011). Liu et al. (2010) calculated the vertical structure of geometrically thick accretion flows in the pseudo-Newtonian gravity and estimated the energy deposition rate. They found that the efficiency could be enhanced by an order of magnitude. In this case, a very large energy deposition rate by neutrino pair annihilation of  $\dot{E}_{\nu\bar{\nu}} \sim 10^{54}$  erg s<sup>-1</sup> may be expected.

The outgoing Poynting power at the horizon in the Blandford–Znajek process is given by (Blandford & Znajek 1977; Thorne et al. 1986)

$$\dot{E}_{\rm BZ} \approx \frac{c}{32} q_{\rm BH}^2 \left( B_H^\perp \right)^2 R_H^2 \frac{\Omega_B (\Omega_H - \Omega_B)}{\Omega_H^2}, \tag{74}$$

where  $B_H^{\perp}$  is the magnitude of magnetic fields normal to the horizon,  $R_H \sim GM_{\rm BH}/c^2$  is the radius of the horizon, and  $\Omega_H$  and  $\Omega_B$  are the angular velocities of the horizon and the magnetic field lines.

McKinney (2005) suggested an approximate fitting formula for the estimation of the field strength based on the results of general relativistic magnetohydrodynamic simulations. According to his formula, the outgoing Poynting power in the Blandford–Znajek process is given by

$$\dot{E}_{\rm BZ} \sim 10^{52} f_{\Omega_H} q_{\rm BH}^2 \left(\frac{\dot{M}}{M_{\odot} \,\rm s^{-1}}\right) \,\rm erg \,\rm s^{-1},$$
 (75)

where  $f_{\Omega_H}$  is a parameter that depends strongly on the angular velocity, and the most optimistic condition  $\Omega_B = \Omega_H/2$  is assumed. According to the result of McKinney (2005),  $\gtrsim 10\%$  of the total outgoing power may be used to produce the LGRB jet. Thus, the outgoing jet power will be  $E_{\text{BZ,jet}} \sim 10^{51} f_{\Omega_H} q_{\text{BH}}^2 (\dot{M}/(M_\odot \, \text{s}^{-1}))$  erg s<sup>-1</sup> for our models. The Blandford–Znajek power will eventually become much

The Blandford–Znajek power will eventually become much larger than the deposition rate by the neutrino pair annihilation because the power depends less on the mass accretion rate. Even in a late phase with  $\dot{M} \sim 0.1 M_{\odot} \text{ s}^{-1}$ , a jet power of  $\dot{E}_{\text{BZ,jet}} \sim 10^{51} \text{ erg s}^{-1}$  may be achieved if the black hole is sufficiently rapidly rotating ( $q_{\text{BH}} \gtrsim 0.9$  for which  $f_{\Omega_H} \gtrsim 10$ ), accumulating the angular momentum of infalling material. Note also that magnetic fields may be amplified in the torus due to the magnetorotational instability and/or convection (Balbus & Hawley 1991, 1998).

### 4.4. Gravitational Waves from Anisotropic Neutrino Emission

A cosmological population of core-collapse supernovae is one of the most important sources of GW backgrounds (Buonanno et al. 2005). GWs associated with anisotropic neutrino emission are particularly important because they generate a burst of GWs accompanying the memory effect, the so-called burst with memory (Braginskii & Thorne 1987). GW memory due to anisotropic neutrino emission could contaminate, at low frequencies around 0.1 Hz, the inflationary GW (Buonanno et al. 2005; Hiramatsu et al. 2005; Suwa et al. 2007a), which is one of the targets of future space GW detectors such as DECIGO (Seto et al. 2001) and BBO (Ungarelli et al. 2005). Here, we give an order estimate of the amplitude of GWs associated with anisotropic neutrino emission.

The amplitude of GWs due to anisotropic neutrino emission is given by Mueller & Janka (1997), Kotake et al. (2007), and Suwa & Murase (2009). Taking characteristic values of the total neutrino luminosity of  $\sim 10^{54}$  erg s<sup>-1</sup> from our simulation results and assuming a duration of neutrino emission of  $\Delta t_{\nu} \sim 1$  s (cf. the moderately rotating model), the amplitude may be estimated as

$$h_{\nu} \sim 2 \times 10^{-24} \left(\frac{10 \,\mathrm{Gpc}}{D}\right) \left(\frac{L_{\nu}}{10^{54} \,\mathrm{erg}\,\mathrm{s}^{-1}}\right) \left(\frac{\Delta t_{\nu}}{1\,\mathrm{s}}\right), \quad (76)$$

where *D* is the distance to the source. This value is as large as that calculated by Suwa et al. (2007a) for the 300  $M_{\odot}$  Pop III stellar core collapse. Note that the initial core mass in Suwa et al. (2007a) is about three times larger than ours. The peak neutrino luminosities achieved in their results are by a factor of  $\sim 10$  larger than those in our results, while the duration in their results is by a factor of  $\sim 10$  shorter than that in the moderately rotating model, because they failed to find convective activities in the accretion torus.

If long-term neutrino emission as found in the present simulations is universal for the Pop III stellar collapse, the GW memory due to anisotropic neutrino emission could significantly contaminate the inflationary GW.

# 5. SUMMARY

In this paper, we performed axisymmetric simulations of a very massive stellar core collapsing to a system composed of a rotating black hole and surrounding disk in full general relativity. We took into account a nuclear-theory-based finite-temperature EOS (Shen's EOS), weak interaction processes such as electron capture and pair-neutrino processes, and neutrino cooling, which is handled by a general relativistic leakage scheme (Sekiguchi 2010a, 2010b).

Progenitor models of LGRBs suggested in the literatures (e.g., Fryer et al. 2007) raise a possibility that they may have an entropy higher than that of ordinary supernova cores. In this work, we employed a core with a high entropy of  $s/k_B = 8$  as the initial condition. Because the distribution of angular momentum in very massive stars is highly uncertain, we employed four models (spherical, slowly rotating, moderately rotating, and rapidly rotating models) by superimposing a profile of rotational angular velocity in a parametric manner. The initial models adopted in this paper are not rapidly rotating in the sense that the rotation velocity imposed is much smaller than that required to retain the ISCO around a Schwarzschild black hole and that considered in previous studies (e.g., MacFadyen & Woosley 1999; see also Lopez-Camara et al. 2009 and references therein).

As in the collapse of ordinary supernova cores, gravitational collapse sets in due to the photodissociation of heavy nuclei and electron capture. However, the collapse dynamics and properties of neutrino emission are different from those of ordinary supernova cores. The characteristics of the collapse of high-entropy cores are summarized as follows.

- 1. The gravitational contraction is decelerated by the thermal gas pressure of free nucleons at a subnuclear density, and the core experiences a weak bounce (the gas-pressure-dominated bounce). This is a result of the high entropy. We reconfirmed this previous discovery (Nakazato et al. 2007; Suwa et al. 2007b) and clarified the physical origin in detail. We clarified that the weak bounce is universal for the collapse of the core with  $s/k_B \approx 5-16$ .
- 2. Because the gas-pressure-dominated bounce is too weak to halt the infalling material, a black hole is formed soon after the bounce (within  $\sim$ 30 ms). The mass of the black hole at the moment of its formation ( $\sim$ 5.8–7  $M_{\odot}$ ) is much larger than the maximum mass of a cold neutron star  $(\approx 2.2 M_{\odot})$  for the Shen's EOS). This is also due to the high entropy (high thermal pressure). Just before black hole formation, the pair-neutrino production processes are enhanced because the temperature increases due to the adiabatic compression (due to neutrino trapping). As a result, approximately the same amount of electron neutrinos and anti-neutrinos are emitted. The mass accretion rate into the black hole just after the black hole formation and the total neutrino luminosity just before the black hole formation are  $\sim 40 M_{\odot} \,\mathrm{s}^{-1}$  and  $\sim 4 \times 10^{54} \,\mathrm{erg s}^{-1}$ , depending weakly on the degree of rotation. Thus, the maximum efficiency for the neutrino emission is  $L_{\nu}/(\dot{M}c^2) \sim 6\%$ .
- 3. In the moderately rotating model, a geometrically thin accretion disk is first formed around the black hole and shocks are formed on its surface, generated by the infalling material. As the thermal energy is stored in the disk, it expands eventually to become a geometrically thick accretion torus. After the thick torus formation, convective activities, which are similar to those in CDAFs (Narayan et al. 2001), set in because a region with negative entropy

gradient emerges in the inner part of the torus, due to occurrence of the neutrino trapping. The neutrino luminosities are  $L_{\nu_e} + L_{\bar{\nu}_e} \sim 10^{54}$  erg s<sup>-1</sup> and show violent time variability. Here we emphasize that the source of thermal-energy generation, which is eventually dissipated by neutrinos, is the shock heating of infalling materials. The high spin of a black hole is likely to play a crucial role in the evolution of the accretion disk, convective activities, and the enhancement of neutrino luminosities.

- 4. The evolution processes of the accretion disk and neutrino emissivity depend strongly on the degree of initial rotation. In the slowly rotating model, the disk remains geometrically thin for a long time; and hence, the neutrino emissivity also remains relatively small ( $L \sim 10^{53}$  erg s<sup>-1</sup>) for more than 100 ms. In the rapidly rotating model, by contrast, a geometrically thick torus is immediately formed after black hole formation, and luminosities of neutrinos emitted from the torus are as high as  $10^{54}$  erg s<sup>-1</sup> even at its formation. However, the convection is suppressed by the stabilizing epicyclic mode due to the rapid rotation, and no violent time variability is observed in the neutrino luminosities.
- 5. Irrespective of the degree of rotation, the long-lived disk or torus surrounding the black hole is a primary emitter of neutrinos because of its high luminosity and long lifetime  $\gtrsim 1$  s. This implies that anisotropic emission of neutrinos comes mainly from the accretion disk (torus) surrounding a black hole, not from the dense matter collapsing to a black hole. For a correct estimation of GW background by anisotropic neutrino emission, it may be necessary to understand the physical condition of the accretion disk or torus.

Finally, we comment on the major limitations of the present study. First, we adopt initial conditions that are not based on the latest theoretical models of stellar evolution. We are soon going to perform simulations adopting more realistic initial models. Second, the present simulations are performed with the assumption of axial symmetry. The accretion disk formed in the present simulations may become unstable against nonaxisymmetric instabilities (e.g., Korobkin et al. 2011; Taylor et al. 2011; Kiuchi et al. 2011). Competition between nonaxisymmetric instabilities and convective instabilities should be explored. Third, we do not take into account the neutrino heating. A simple approximate procedure for including effects of neutrino heating is adopted by O'Connor & Ott (2011), in which stellar core collapse to a black hole is studied by a spherically symmetric fully general relativistic simulation. We also plan to study the effects of neutrino heating using a recently developed formulation (Shibata et al. 2011). Fourth, we do not consider the effects of magnetic fields, which will play a role during the collapse (e.g., Barkov & Komissarov 2008; Komissarov & Barkov 2009) and subsequent evolution of the disk (e.g., Penna et al. 2010; Barkov & Baushev 2011) if progenitor cores have large magnetic fields. We plan to perform simulations taking into account magnetic fields using a general relativistic magnetohydrodynamic code we have developed (Shibata & Sekiguchi 2005).

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