

# *The Fall of the Black Hole Firewall: Natural Non-Maximal Entanglement for the Page Curve*

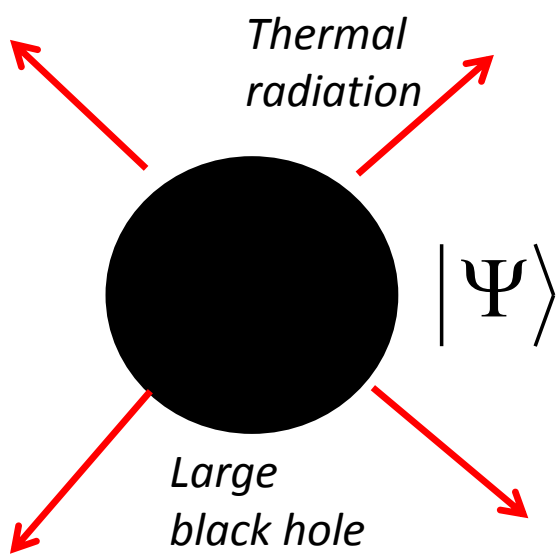
## **Based on**

*M. Hotta and A. Sugita,  
[arXiv:1505.05870](https://arxiv.org/abs/1505.05870) to appear in PTEP.*

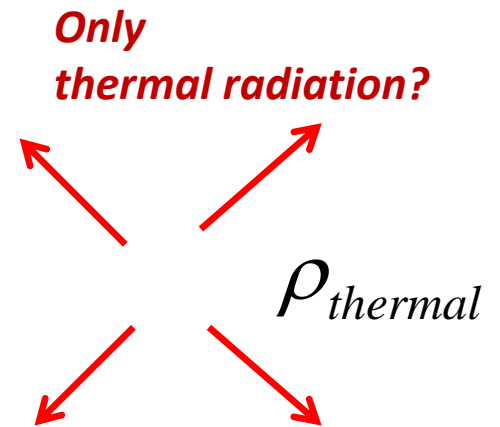
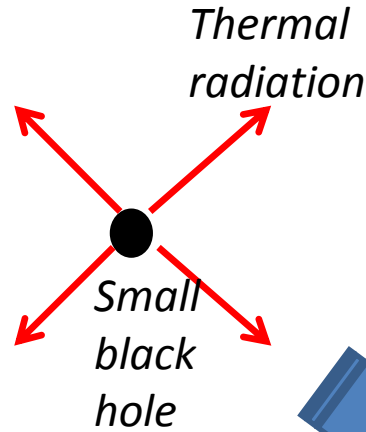
*M. Hotta, R. Schützhold and W. G. Unruh,  
Phys. Rev. D 91, 124060 (2015)*

# The Information Loss Problem

Hawking (1976)



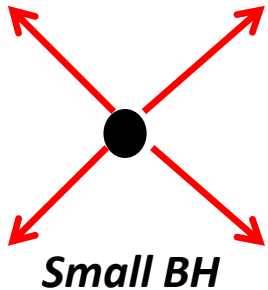
$$\hat{U}|\Psi\rangle\langle\Psi|\hat{U}^\dagger \neq \rho_{thermal}$$



**Unitarity breaking?**

**Information is lost!?**

*Why is the information loss problem so serious?*



*Too small energy  
to leak the huge  
amount of information.*

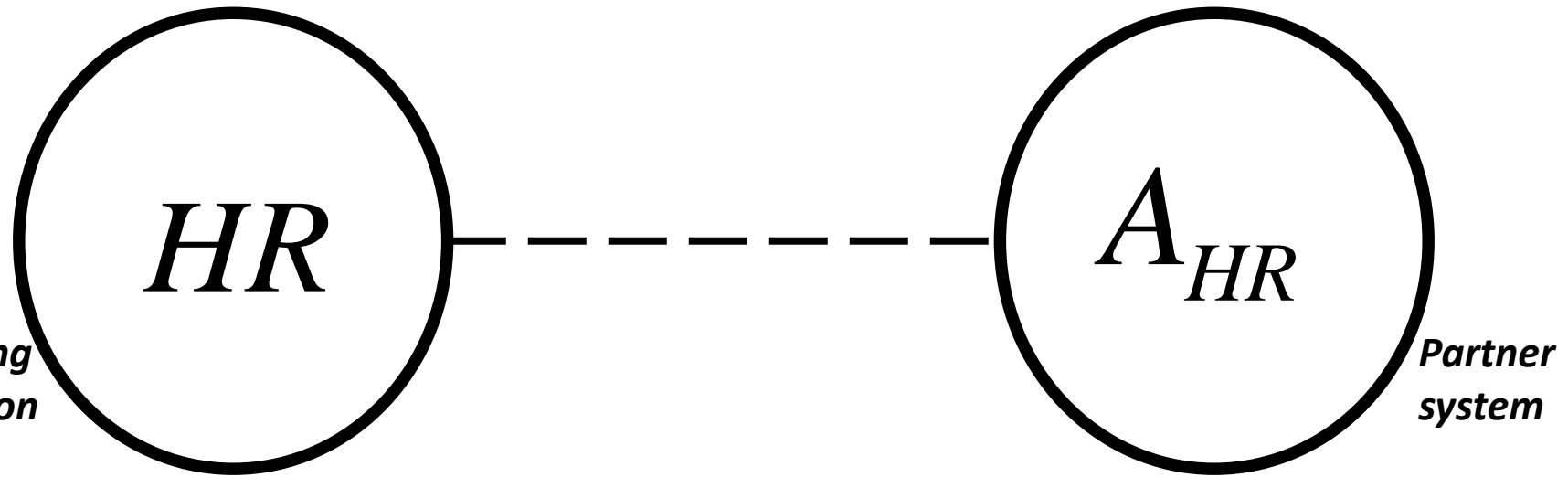
*(Aharonov, et al 1987; Preskill 1992.)*

*If the horizon prevents enormous amount of information from leaking until the last burst of BH, only very small amount of BH energy remains, which is **not** expected to excite carriers of the information and spread it out over the outer space.*

# **Purification Problem of Hawking Radiation:** **from a modern viewpoint of information loss**

$$\rho_{HR} = \sum_n p_n |n\rangle_{HR} \langle n|_{HR}$$

**Mixed state**



$$|\Psi\rangle_{HRA_{HR}} = \sum_n \sqrt{p_n} |n\rangle_{HR} |u_n\rangle_{A_{HR}}$$

**Composite system in a *pure* state**

# What is *the final purification partner* of the Hawking radiation?

(1) *Nothing, Information Loss*

(2) *Exotic Remnant (Aharonov, Banks, Giddings,...)*

(3) *Baby Universe (Dyson,..)*

(4) *Radiation Itself (Page,...)*

○ *Black Hole Complementarity ('t Hooft, Susskind, ...)*

○ *Fuzzi ball, **Firewall** (Mathur, Braunstein, AMPS, ...)*

(5) *Zero-Point Fluctuation Flow*

*(Wilczek, Hotta-Schützhold-Unruh, Hawking (2015) )*

*Gravitational zero-point fluctuation with BMS charges*

## *~MASSAGE (1)~*

***Canonical typicality for non-vanishing Hamiltonians yields **non-maximal** entanglement among black holes and the Hawking radiation, which makes spacetimes smooth without breaking monogamy. Thus, **no reason to have BH firewalls.*****

## *~MESSAGE (2)~*

*Typical states must be Gibbs states for smaller quantum systems with very high precision. If we have stable Gibbs states for old Schwarzschild BH's (and small AdS BH's), the heat capacity must be positive. Actually, it is negative. Thus the states of BH evaporation are not typical!*

*Inevitable Modification of the Page Curve*

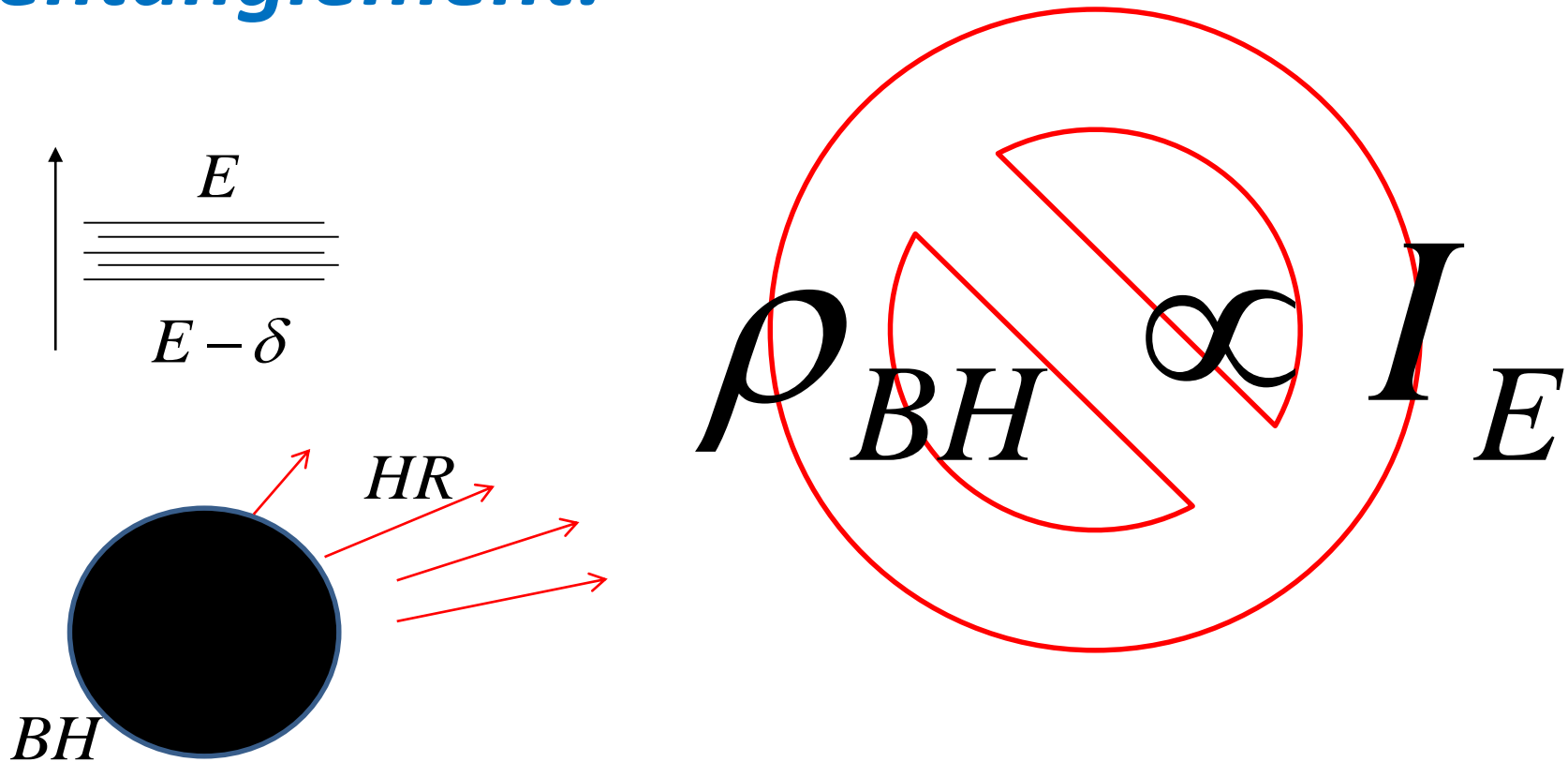
## ~MESSAGE (3)~

**Microcanonical states**  $\rho \propto I_E$  **are far from typical** for finite-temperature old BH's, even though canonical states are typical and the large entropy  $O(V)$  is merely different from the microcanonical entropy by  $O(\ln V)$ . Entropy difference between a typical state and the canonical state must be **exponentially small!**

$$\left| S_{\text{typical}} - S_{\text{thermal}} \right| \leq O(\exp(-\gamma V)) \sim 0$$



**You *cannot* use the microcanonical state in the typicality argument for evaporating black holes from a viewpoint of entanglement.**



## *Plan of this talk*

*I. Lubkin-Lloyd-Pagels-Page Theorem,  
Page Curve Hypothesis  
and BH Firewall Conjecture*

*II. Canonical Typicality  
for Non-Vanishing Hamiltonians  
Yields No Firewalls*

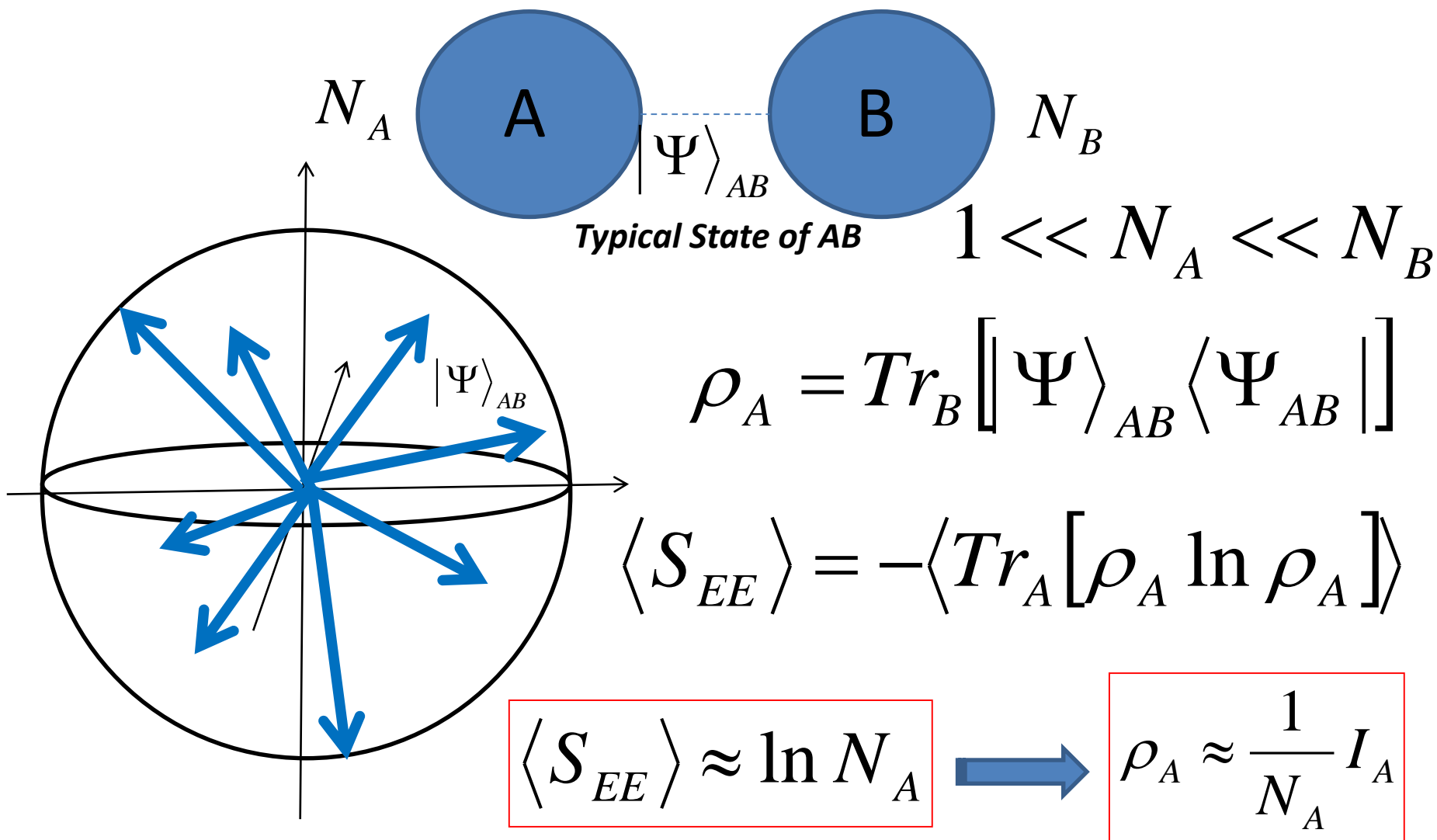
*III. Summary*

***I. Lubkin-Lloyd-Pagels-Page Theorem,  
Page Curve Hypothesis and  
BH Firewall Conjecture***

*The **BH firewall** conjecture is based on the **Page curve** hypothesis, and the hypothesis was inspired by the **Lubkin-Lloyd-Pagels-Page (LLPP)** theorem.*

# Lubkin-Lloyd-Pagels-Page Theorem:

**Typical states of A and B are almost maximally entangled when the systems are large.**



# ***Maximal Entanglement between A and B***

$$\rho_A = \frac{1}{N_A} I_A \Rightarrow \left| \text{Max} \right\rangle_{AB} = \frac{1}{\sqrt{N_A}} \sum_{n=1}^{N_A} \left| u_n \right\rangle_A \left| \tilde{v}_n \right\rangle_B$$

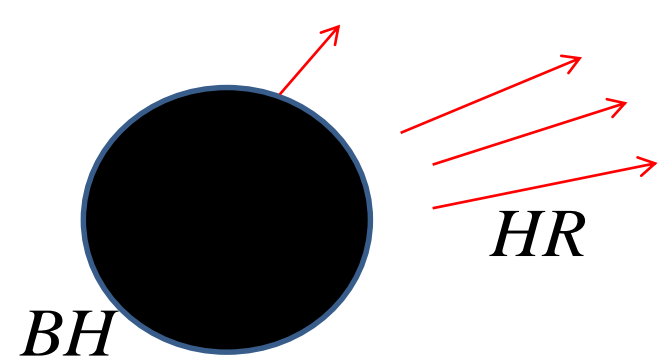
***Orthogonal unit vectors***

$$N_B \geq N_A$$

*Let us assume that Hilbert-space dimensions of black holes and Hawking radiation become **finite** due to quantum gravity effect.*



***Page's Strategy for Finding States of BH Evaporation:**  
Nobody knows exact quantum gravity dynamics.  
So let's gamble that the state scrambled by quantum gravity is one of **TYPICAL** pure states of the finite-dimensional composite system! That may not be so bad!*

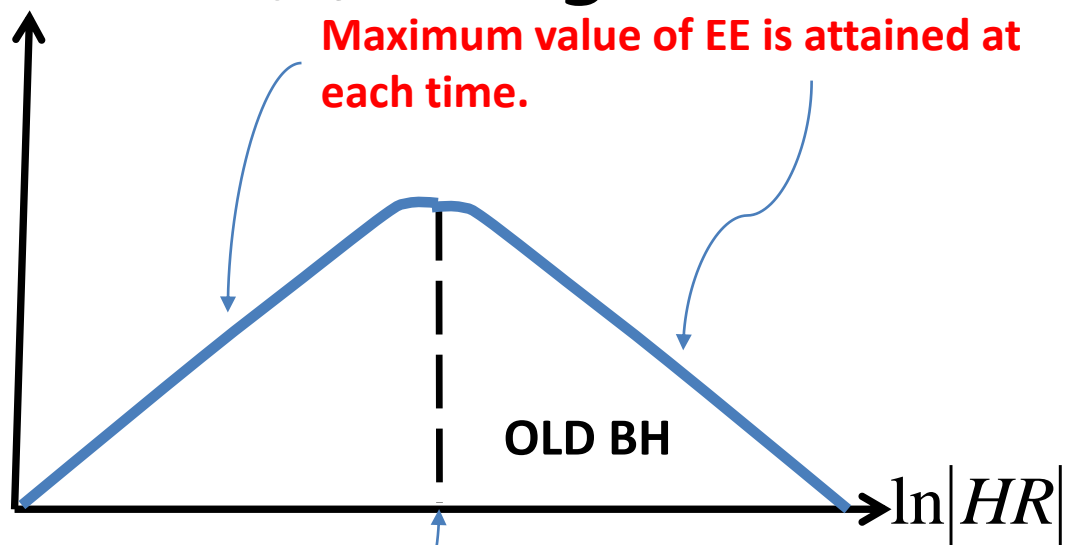


$$|BH| = \text{dim } H_{BH},$$

$$|HR| = \text{dim } H_{HR}$$

## $\langle S_{EE} \rangle$ Simplified Page Curve

Maximum value of EE is attained at each time.



$\ll \text{Page Time} \gg$

$$\circ \quad \ln|BH| \approx \ln|HR|$$

$$\circ \quad M_{\text{page}} \approx 0.7 M_{bh}$$

$$1 \ll |BH| \ll |HR| \Rightarrow \langle S_{EE} \rangle \approx \ln|BH| = \frac{A_{BH}}{4G}$$



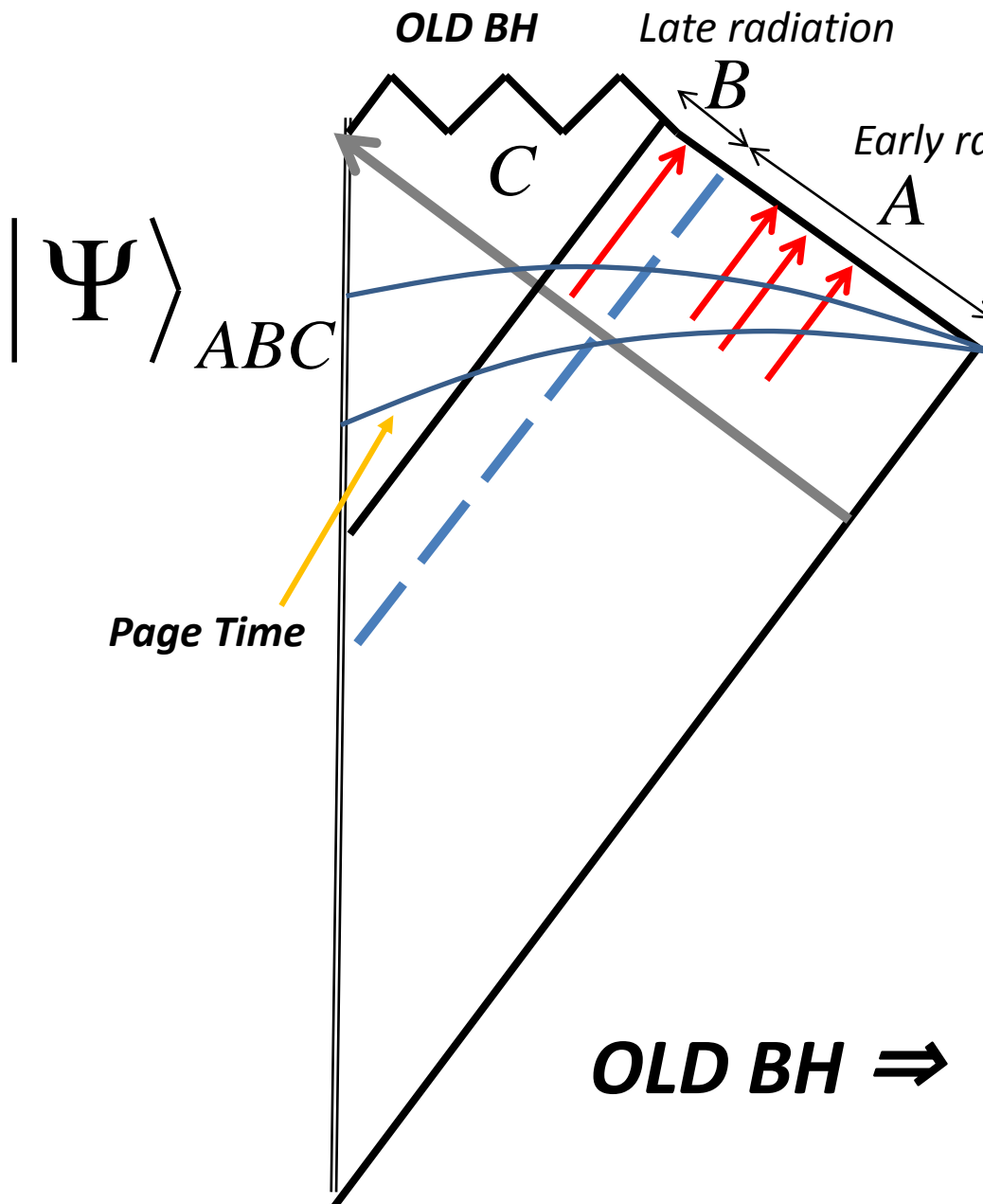
# ***Page Curve Hypothesis for BH Evaporation:***

## ***Proposition I:***

***When the dimension of the BH Hilbert space is much larger or less than that of Hawking radiation, BH and HR in a **typical pure state** of quantum gravity share almost **maximal entanglement**. In other words, quantum states of the smaller system is almost proportional to the **unit matrix**.***

## ***Proposition II:***

***$S_{EE} = S_{thermal}$  of the smaller system.***



$|\Psi\rangle_{ABC}$

OLD BH

Late radiation

Early radiation

B

C

A

Page Time

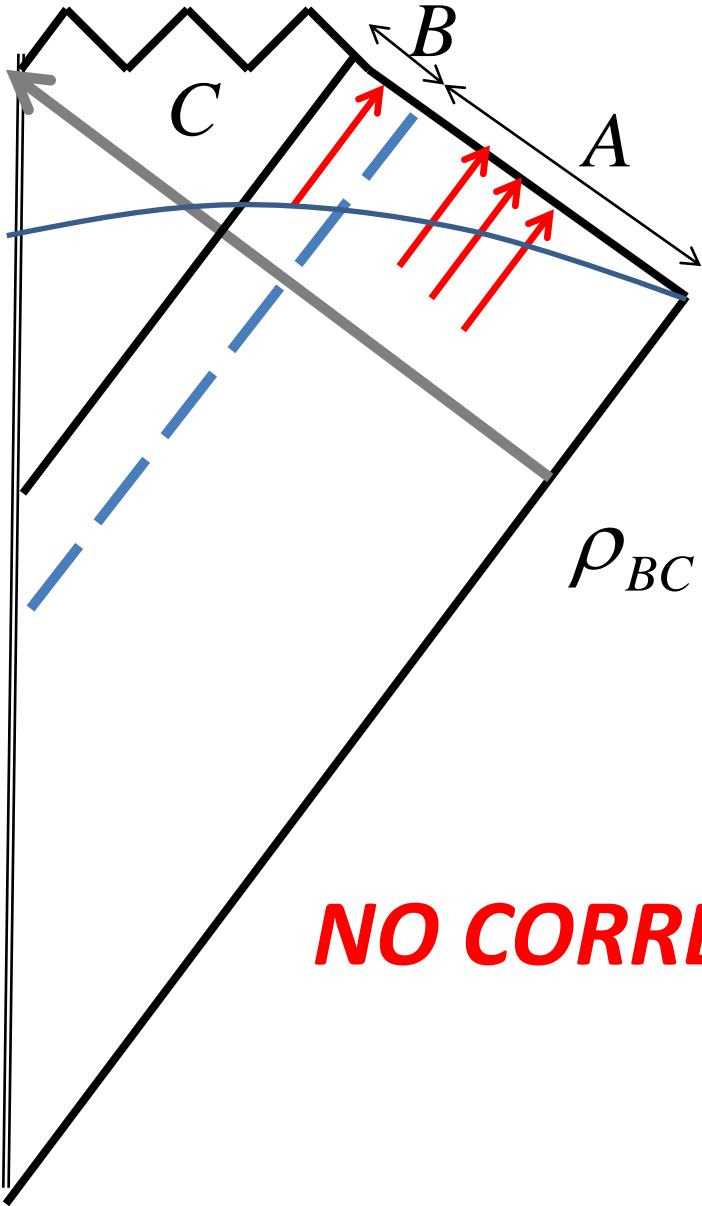
$$HR = A \cup B$$

$$BH = C$$

$$1 \ll |A|, |B|, |C|$$

$$OLD\ BH \Rightarrow |B||C| \ll |A|$$

**Proposition 1 means that A and BC are almost maximally entangled with each other.**



$$\rho_{BC} = \frac{1}{|BC|} I_{BC} = \left( \frac{1}{|B|} I_B \right) \otimes \left( \frac{1}{|C|} I_C \right)$$

**NO CORRELATION BETWEEN B AND C!**

Harrow-Hayden

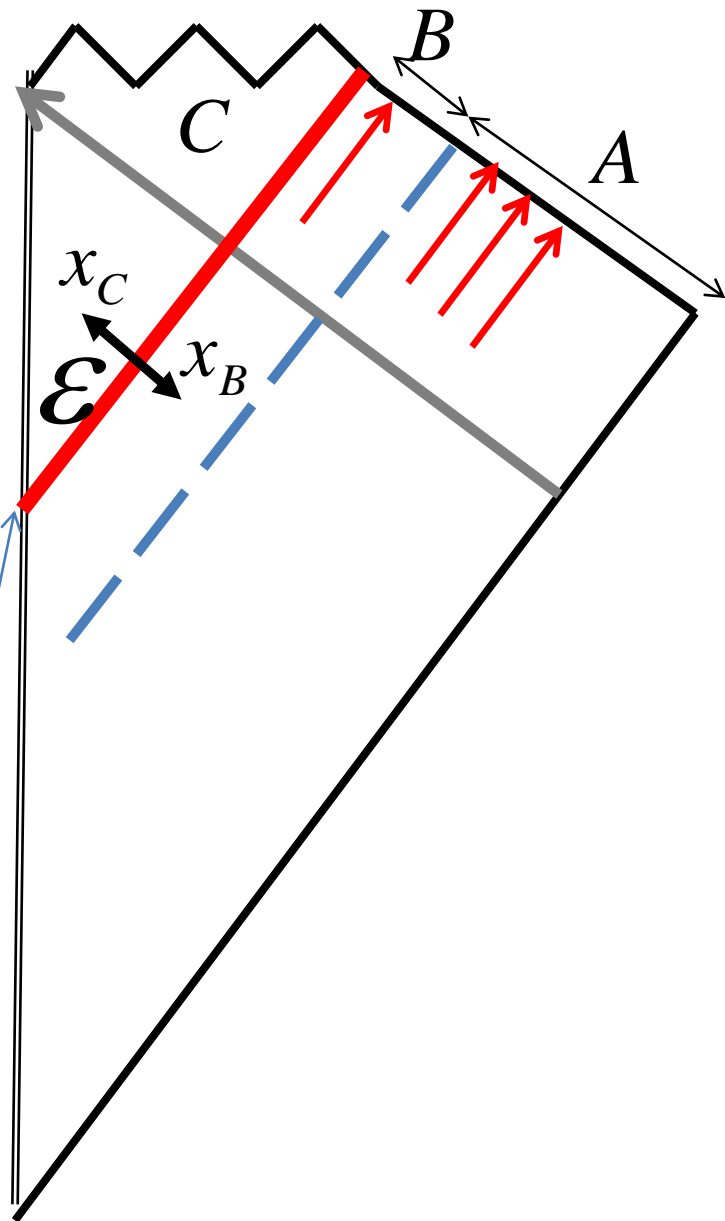
$$\rho_{BC} = \left( \frac{1}{|B|} I_B \right) \otimes \left( \frac{1}{|C|} I_C \right)$$



$$\text{Tr} \left[ \left( \frac{\varphi(x_B) - \varphi(x_C)}{\varepsilon} \right)^2 \rho_{BC} \right] = O \left( \frac{1}{\varepsilon^2} \right)$$

$$\varepsilon \rightarrow 0$$

$$\text{Tr} \left[ \left( \partial \varphi(x) \right)^2 \rho_{BC} \right] = \infty$$



**FIREWALL!**

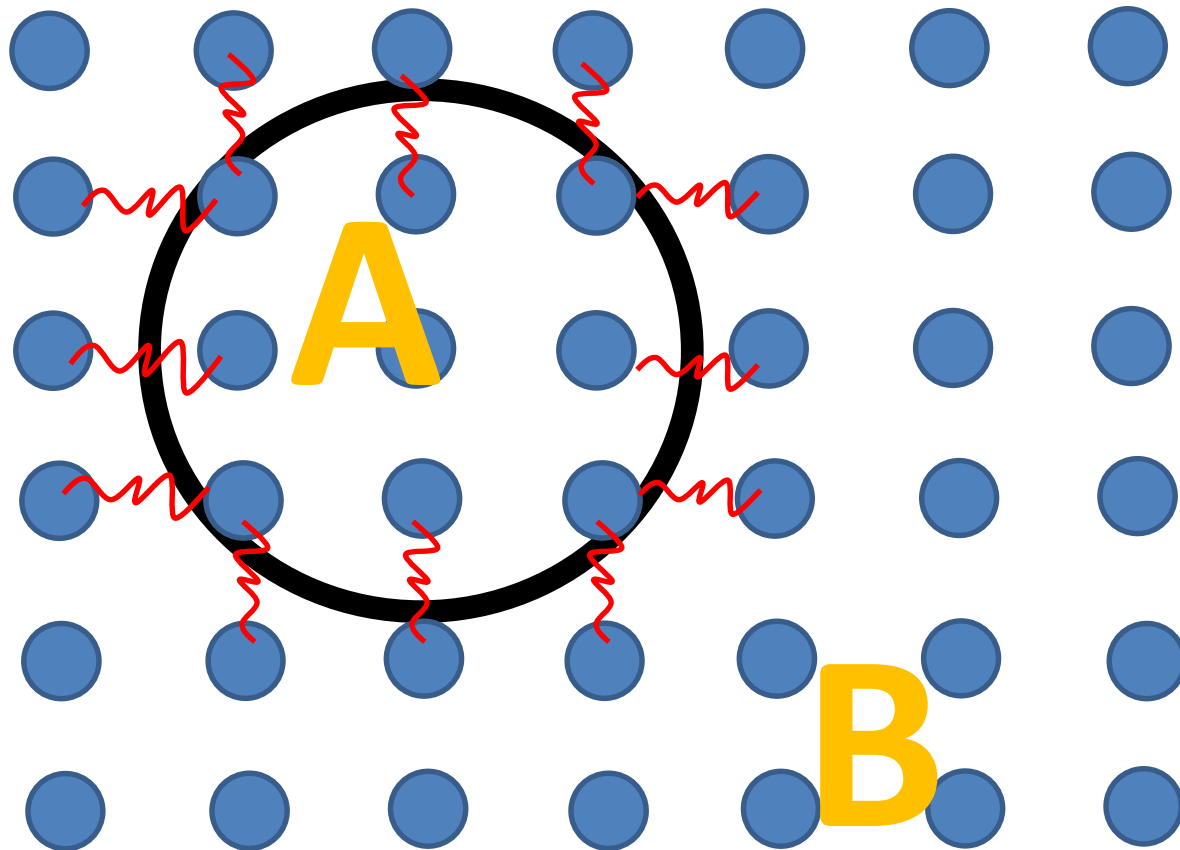
***II. Canonical Typicality  
for Non-Vanishing Hamiltonian,  
and No Firewalls***

# ***Problem for Proposition I of Page Curve Hypothesis:***

***The area law of entanglement entropy is broken in a sense of ordinary many body physics, though outside-horizon energy density in BH evaporation is much less than the Planck scale.***

$$S_{EE} \propto |\partial A| = |\partial B|$$

← *standard area law of entanglement entropy*



$$|\Psi\rangle_{AB} \approx |0\rangle_{AB}$$

*for low excited states*

**LLPP Typicality**  $\Rightarrow$

$$|\Psi\rangle_{AB} = \frac{1}{\sqrt{|A|}} \sum_{n=1}^{|A|} |u_n\rangle_A |\tilde{v}_n\rangle_B$$

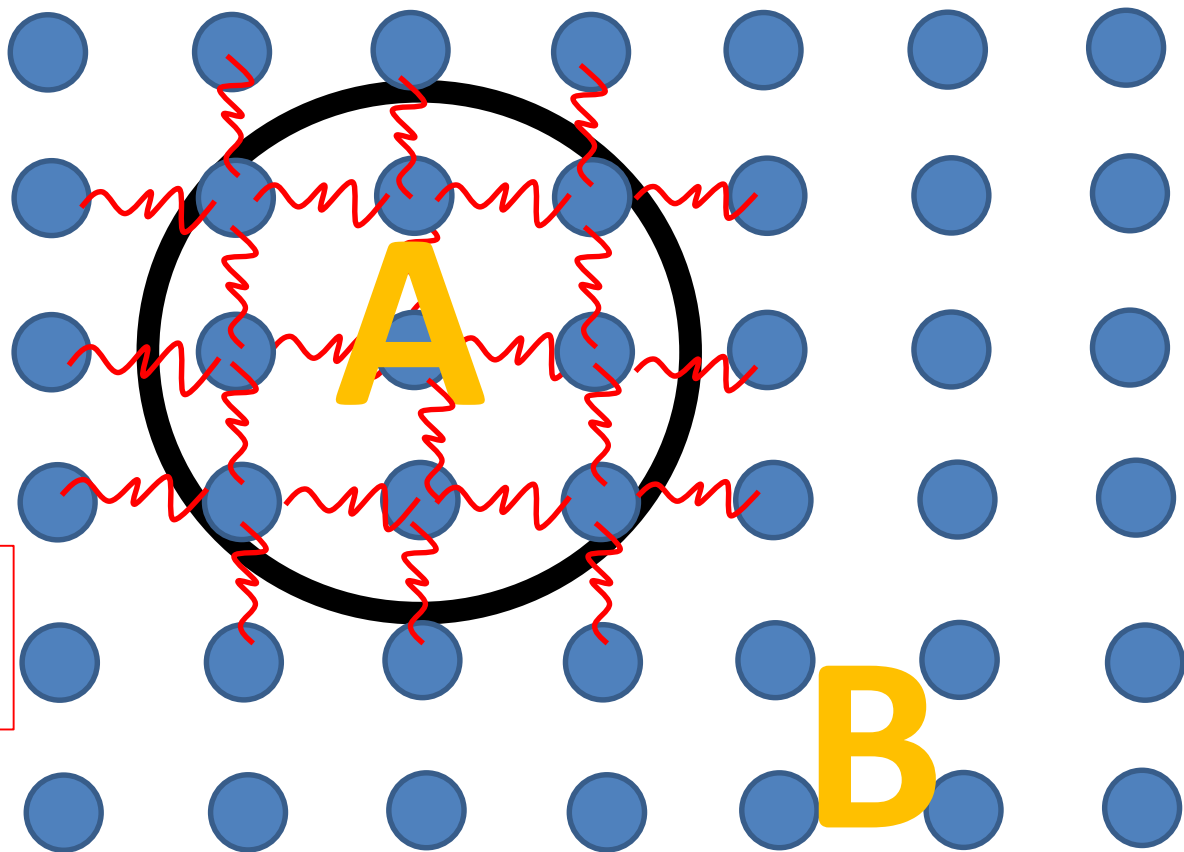
**Qubit network model**

$$|A| = 2^{V_A}$$

$$S_{EE} = \ln|A| \propto V_A$$



**Not area law,  
but volume law for  
highly excited states!**





*This is because **zero Hamiltonian (complete degeneracy)** is assumed in the LLPP theorem.*

*This is also an implicit premise of the Page curve hypothesis.*

$$H_{AB} = 0.$$

***In BH physics,  
we have to treat **canonical typicality with  
non-vanishing  $H$**  in a precise manner.  
Then non-maximal entanglement emerges  
and makes near-horizon regions smooth.  
Thus **no firewalls appear.*****

*M. Hotta and A. Sugita, [arXiv:1505.05870](https://arxiv.org/abs/1505.05870)*

# ***Microcanonical Energy Shell***

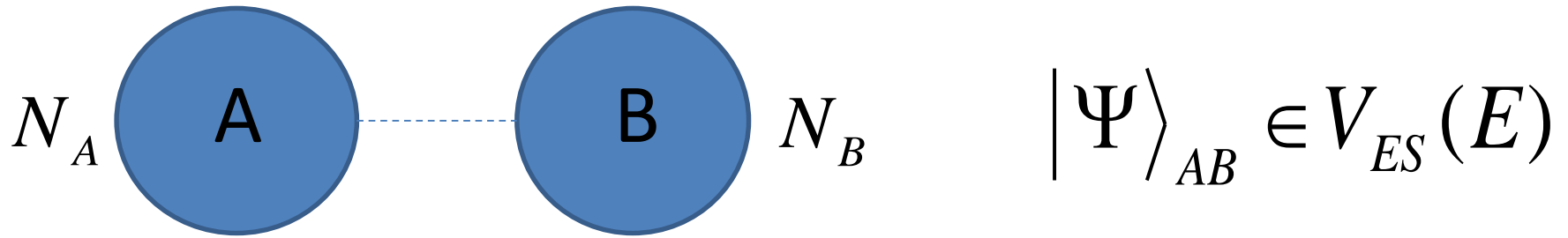
***(not a tensor product of the sub-Hilbert spaces)***

$$H_{AB} |E_j\rangle = E_j |E_j\rangle$$

$$\Delta(E) = \{j \mid E_j \in [E - \delta, E]\}$$

***Microcanonical Energy Shell:***

$$V_{ES}(E) = \left\{ \sum_{j \in \Delta(E)} c_j |E_j\rangle \right\}$$



$$\rho_A = \text{Tr}_B \left[ |\Psi\rangle_{AB} \langle \Psi|_{AB} \right]$$

$$= \frac{1}{N_A} \left[ I_A + \sum_{n=1}^{N_A^2-1} \langle T_n \rangle T_n \right]$$

*Bloch Representation of higher-dim quantum states*

$$T_n^\dagger = T_n, \text{Tr}[T_n] = 0, \text{Tr}[T_n T_{n'}] = N_A \delta_{nn'}$$

$$\langle T_n \rangle = \text{Tr}[T_n \rho_A]$$

**Evaluate**  $\langle T_n \rangle$  **for**  $|\Psi\rangle_{AB} = \sum_{j \in \Delta(E)} c_j |E_j\rangle$ .

$$D = \dim V_{ES}(E) = \dim \left\{ \sum_{j \in \Delta(E)} c_j |E_j\rangle \right\}$$

$$N_A \ll N_B$$

*Volume of B*

*Hilbert space dimension of B*

$$D \propto \exp(\gamma V_B(N_B)) \gg 1$$

***for ordinary systems.***

# ***Uniform Ensemble on Microcanonical Energy Shell:***

$$p(c) \propto \delta\left(\sum_{j \in \Delta(E)} |c_j|^2 - 1\right) \quad \int p(c) d^D p = 1$$

$$\overline{f} = \int f(c) p(c) d^D p$$

$$\overline{c_j c_{j'}^*} = \frac{1}{D} \delta_{jj'},$$

$$\overline{c_j c_k c_{j'}^* c_{k'}^*} = \frac{1}{D(D+1)} \left( \delta_{jj'} \delta_{kk'} + \delta_{jk'} \delta_{j'k} \right)$$

$$\overline{\left(\langle T_n \rangle - \overline{\langle T_n \rangle}\right)^2} \leq \frac{\left\| T_n^2 \right\|}{D+1} \quad \leftarrow \text{Max eigenvalue}$$



$$\overline{\text{Tr}_A \left( \rho_A - \overline{\rho_A} \right)^2} \leq \left( \frac{1}{N_A} \sum_{n=1}^{N_A^2-1} \left\| T_n^2 \right\| \right) \frac{1}{D+1}$$

$N_B$  independent!

$$D \propto \exp(\gamma V_B) = O(\exp(10^{23})) \gg 1$$

$$\left\| \rho_A - \overline{\rho_A} \right\| \leq O(\exp(-\gamma V_B))$$

# ***Hotta-Sugita (2015) as a response to a BH firewall debate with Daniel Harlow***

*Private Communication with D. Harlow about  
“Jerusalem Lectures on Black Holes and Quantum  
Information”, [arXiv:1409.1231](https://arxiv.org/abs/1409.1231) .*

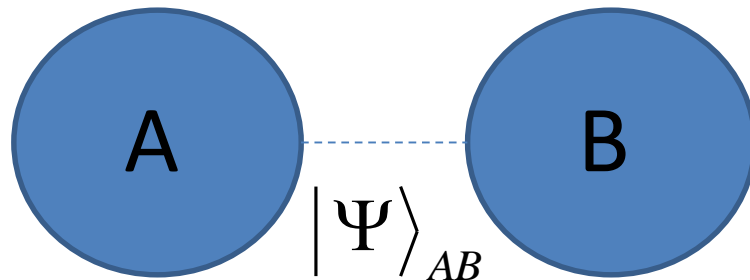


***Harlow argued a canonical typicality  
in a weak interaction limit.***

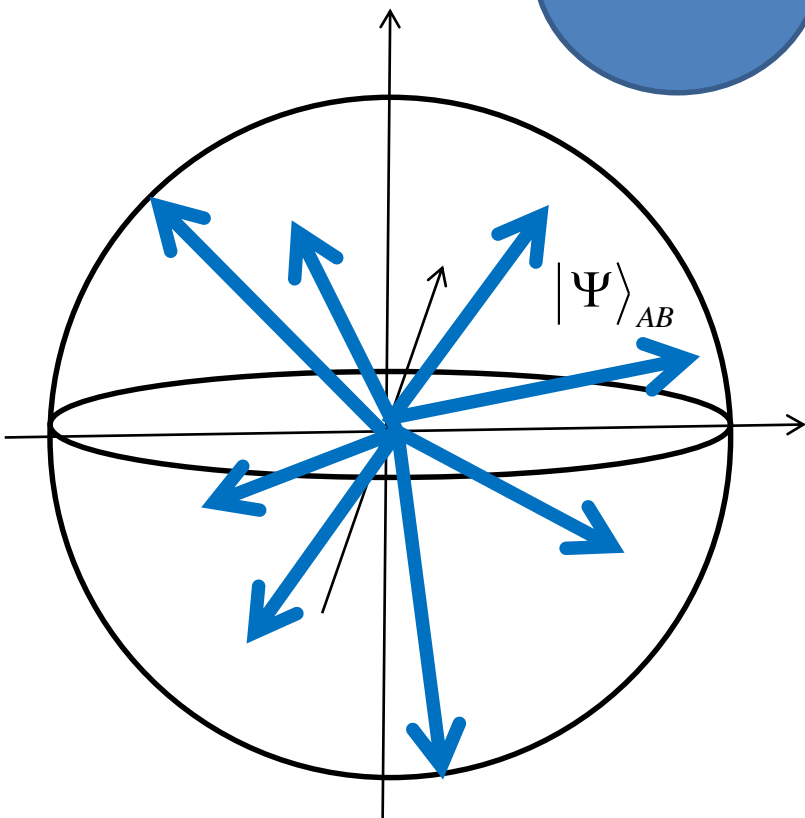
$$H = H_A + H_B + \underbrace{\dots}_{\text{Negligibly small}}$$

$$\langle H \rangle = E_A + E_B = \text{const.}$$

$$E_A + E_B = \text{const.}$$



$$N_B \gg N_A$$



$$\rho_A = \text{Tr}_B [ |\Psi\rangle_{AB} \langle \Psi_{AB} | ]$$

$$\langle S_{AB} \rangle = - \langle \text{Tr}_A [ \rho_A \ln \rho_A ] \rangle$$

$$\rho_A \approx \frac{1}{Z_A} \exp(-\beta H_A)$$

$$\langle S_{AB} \rangle \approx S_{\text{thermal},A}(\beta)$$

**Without any proof, Harlow argued these only in the weak interaction limit.**

**Harlow pointed out a possibility that BH firewalls may exist even after canonical typicality with non-zero Hamiltonian.**

$$HR = A \cup B, \quad BH = C$$

$$1 \ll |A|, |B|, |C| \quad |B||C| \ll |A|$$

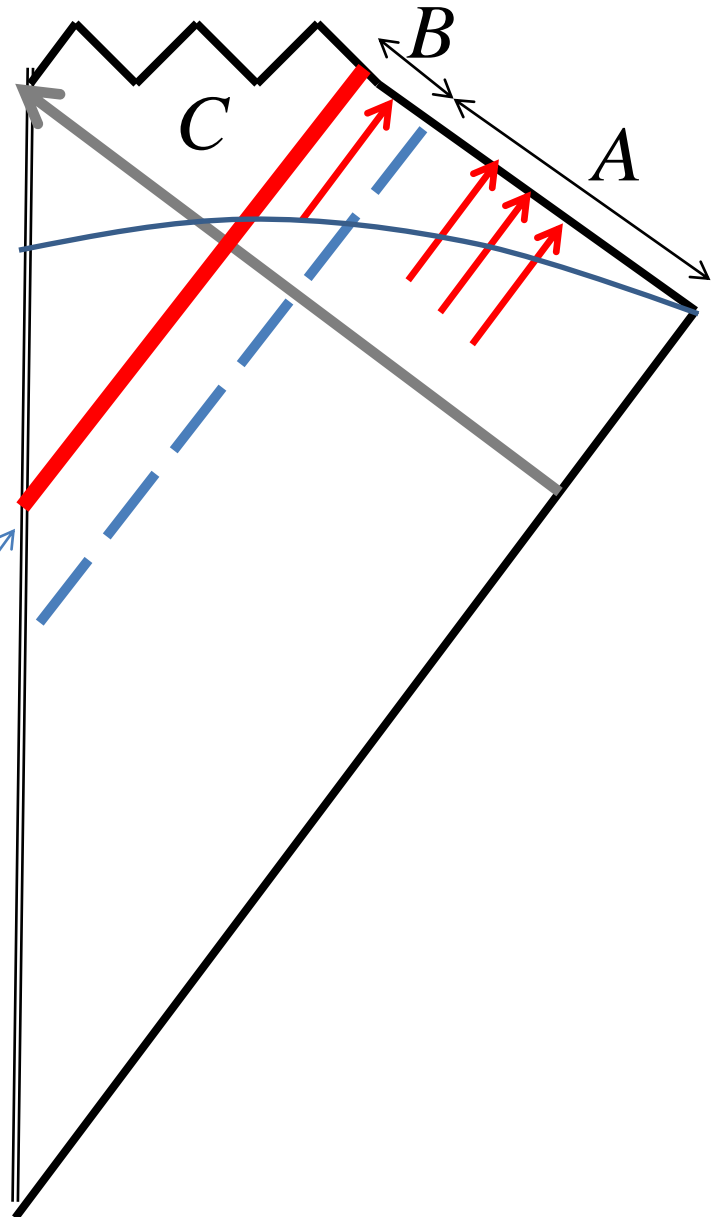
$$\rho_{BC} \propto \exp(-\beta(H_B + H_C)) \\ = \exp(-\beta H_B) \otimes \exp(-\beta H_C)$$

**No Correlation, just like  $I_B \otimes I_C$**

$$\text{Tr} \left[ (\partial \varphi(x))^2 \rho_{BC} \right] = \infty ?$$

**FIREWALL?**

Harlow, [arXiv:1409.1231](https://arxiv.org/abs/1409.1231)



**However, *the worry is useless.***

**We can prove nonexistence of firewalls for general systems by using the general theory of canonical typicality.**

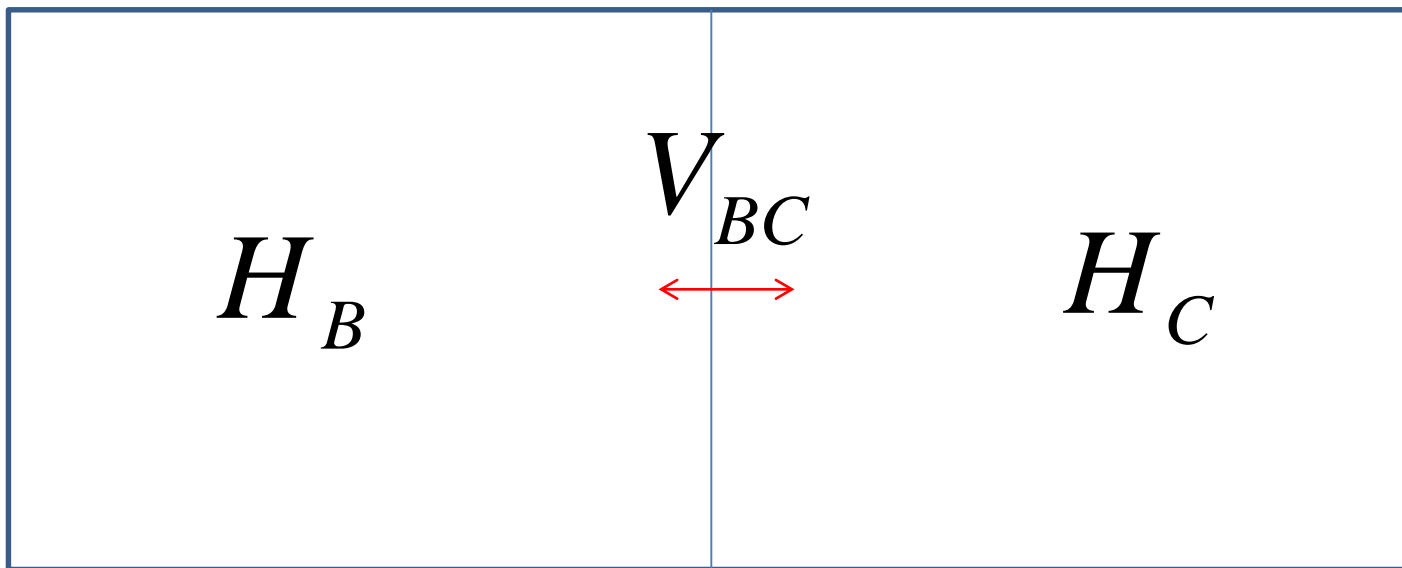
M. Hotta and A. Sugita, [arXiv:1505.05870](https://arxiv.org/abs/1505.05870) .

***Irrespective of the strength of the interaction between B and C,***

$$\rho_{BC} \propto \exp\left(-\beta\left(H_B + H_C + \underline{V_{BC}}\right)\right)$$

$$|B||C| \ll |A|$$

Actually, **a correlation exists** between  $B$  and  $C$  for small interactions.



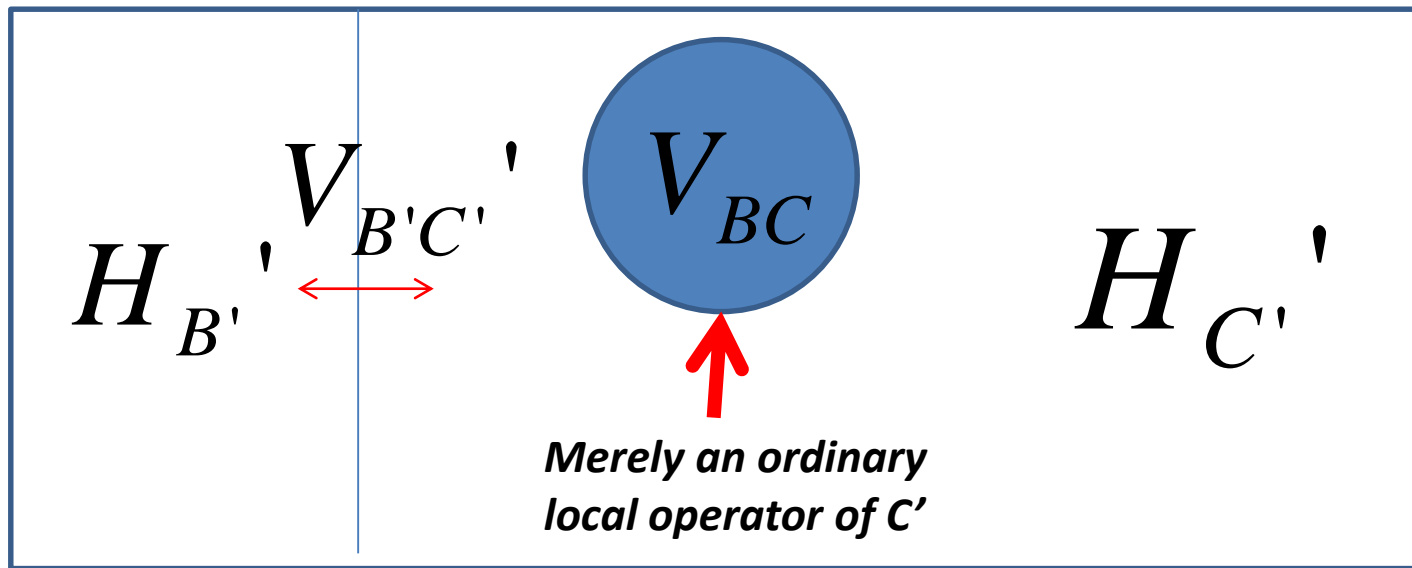
$$\rho_{BC} \propto \exp(-\beta(H_B + H_C + V_{BC}))$$

**Harlow's worry:**  $\lim_{V_{BC} \rightarrow 0} |\text{Tr}[\rho_{BC} V_{BC}]| = \infty!?$

***Border shift does not change physics at all.***

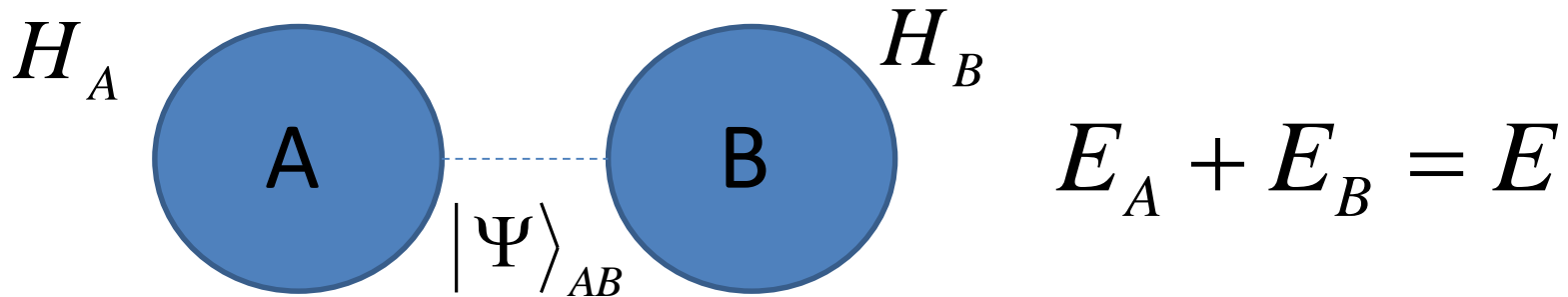
$$H_B + H_C + V_{BC} = H_{B'} + H_{C'} + V_{B'C'}$$

$$\rho_{BC} = \rho_{B'C'} \propto \exp(-\beta(H_{B'} + H_{C'} + V_{B'C'}))$$



$$|\text{Tr}[\rho_{BC} V_{BC}]| = |\text{Tr}[\rho_{B'C'} V_{BC}]| < \infty \quad \text{No firewall!}$$

**Remark:** for ordinary weakly interacting quantum systems, entanglement entropy is upper bounded by thermal entropy, **as long as stable Gibbs states exist.**



**Arbitrary state:**  $\rho_A = \text{Tr}_B \left[ |\Psi\rangle_{AB} \langle \Psi_{AB} | \right]$

**Gibbs state:**  $\bar{\rho}_A = \exp(-\beta(E)H_A) / Z_A(\beta(E))$

$$S_{EE} = -\text{Tr}[\rho_A \ln \rho_A] \leq -\text{Tr}[\bar{\rho}_A \ln \bar{\rho}_A] = S_{\text{thermal}}$$

## ***Conventional “proof”:***

$$I = -\text{Tr}_A[\rho_A \ln \rho_A] - \lambda_1(\text{Tr}_A[\rho_A H_A] - E_A) - \lambda_2(\text{Tr}_A[\rho_A] - 1)$$

$$\delta I = 0$$



$$\bar{\rho}_A = \exp(-\beta H_A) / Z_A(\beta)$$

$$-\text{Tr}[\rho_A \ln \rho_A] \leq -\text{Tr}[\bar{\rho}_A \ln \bar{\rho}_A]$$

***If a stable Gibbs state exists, it attains the maximum of the von Neumann entropy with average energy fixed.***



***Unfortunately, the typicality argument  
cannot be applied to Schwarzschild BH  
evaporation!***

***Actually, from our result,  
the typical state must be a Gibbs state,  
but...***

# ***No stable Gibbs state for Schwarzschild BH***

***due to negative heat capacity! (Hawking –Page, 1983)***

$$\langle E \rangle = M_{BH} = \frac{1}{8\pi GT} \rightarrow \frac{d\langle E \rangle}{dT} = -\frac{1}{8\pi GT^2} < 0$$

***If there exists a stable Gibbs state,  
heat capacity must be positive.***

$$Z_{BH}(\beta) = \text{Tr}[\exp(-\beta H_{BH})]$$

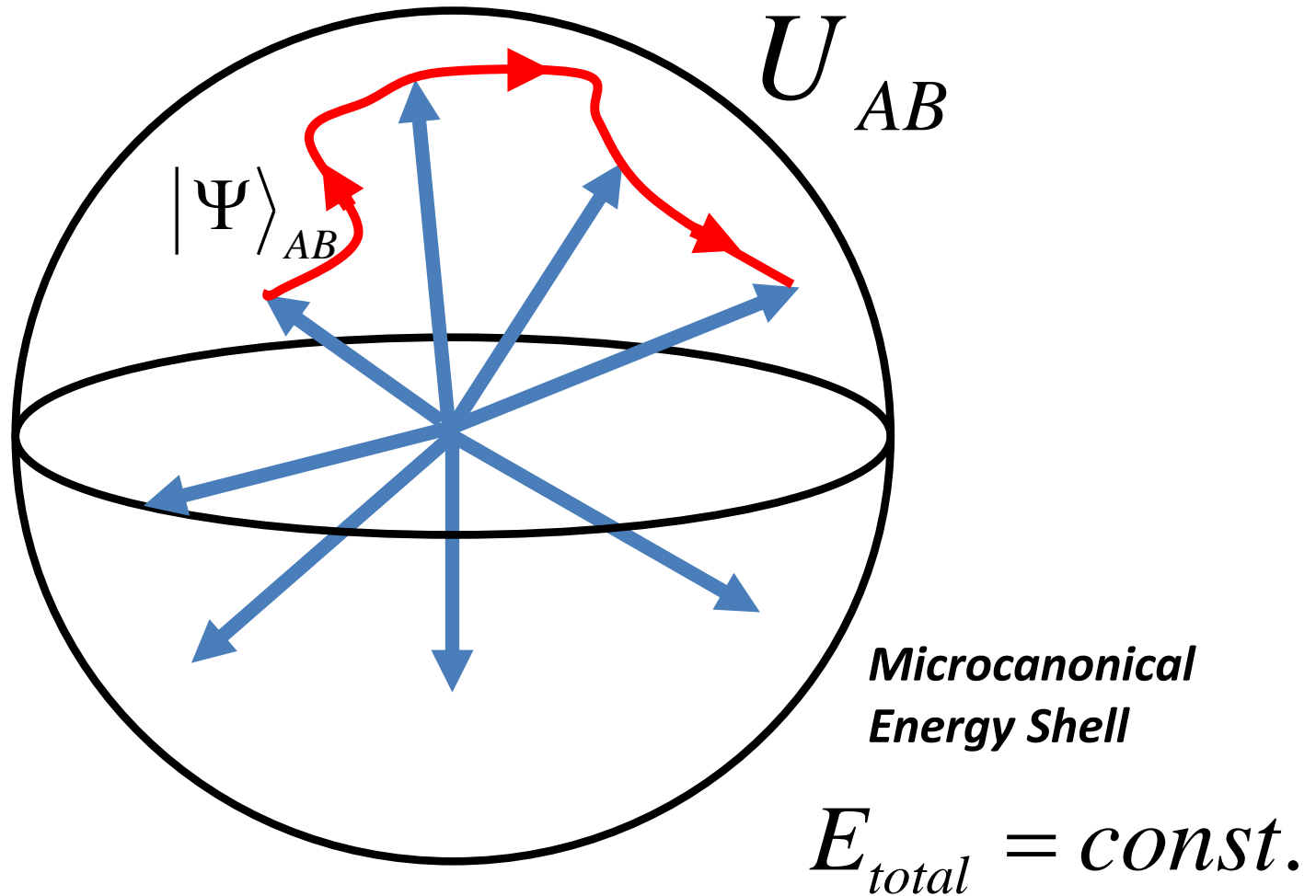


$$\frac{d\langle E \rangle}{dT} = \frac{\langle (E - \langle E \rangle)^2 \rangle}{T^2} > 0$$

***Thus, a system of a black hole and Hawking radiation is **not** in typical states, at least in the sense of the Page curve hypothesis, during BH evaporation. Because we have no stable Gibbs state, “thermal entropy” of Schwarzschild BH ( $A/(4G)$ ) is not needed to be an upper bound of entanglement entropy.***

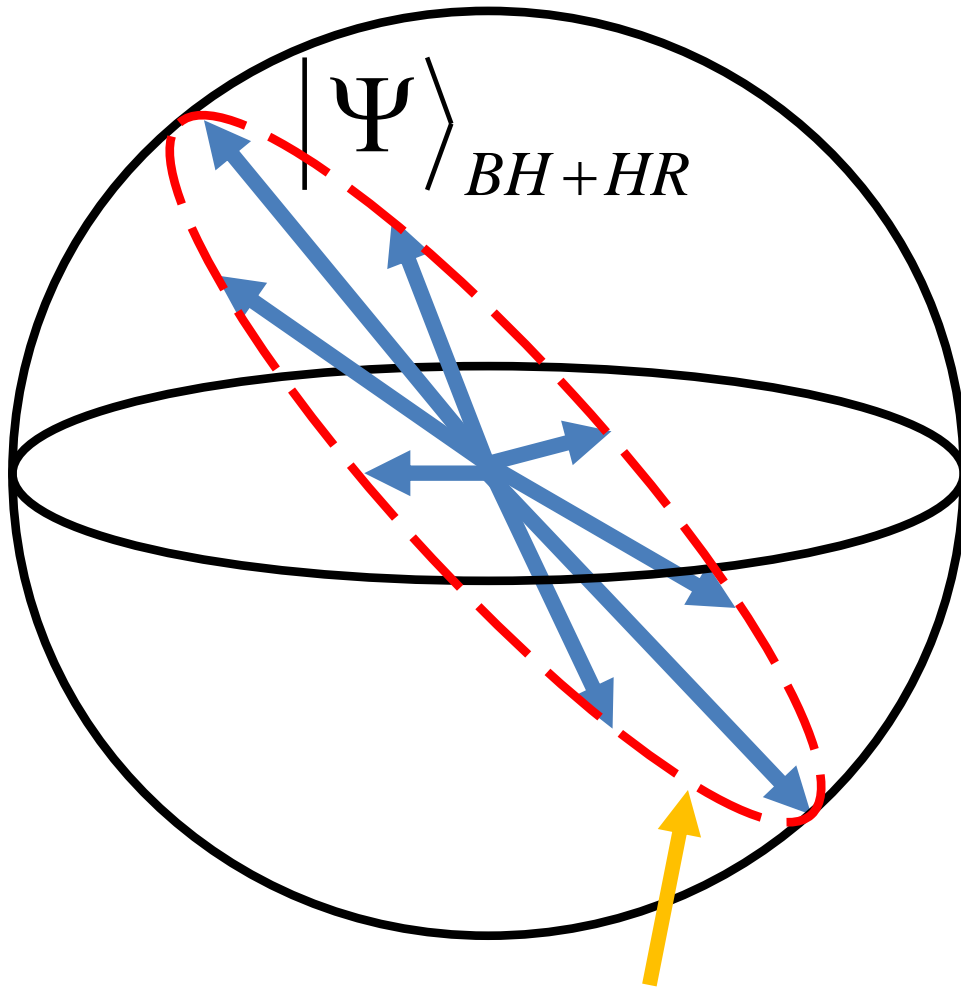
$$~~S_{EE} \leq S_{\text{thermal}} \approx A/(4G)~~$$

***In ordinary quantum systems,***



***$|\Psi\rangle_{AB}$  is a typical state with almost certainty after a relaxation time.***

***The state of BH evaporation can be non-typical until the last burst.***



***Sub-Hilbert space of non-typical states***

$$U_{BH} \otimes I_{HR}$$

***Fast scrambling of BH does **not** contribute to entanglement between BH and HR.***

$$U^{(emission)}$$

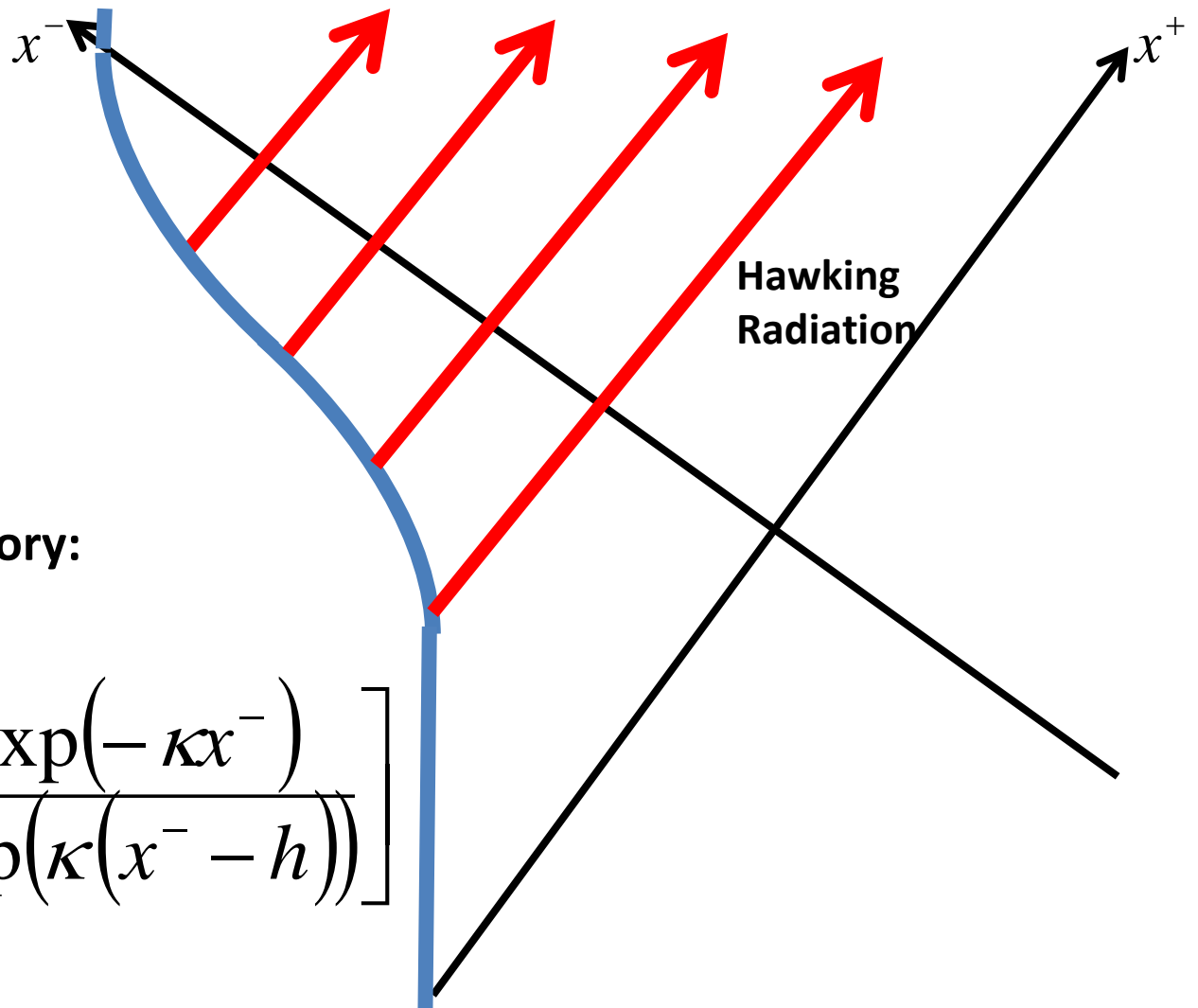
***Non-chaotic HR emission generated by smooth space time curvature outside horizon***

***If so, how is the Page curve modified?***

***The moving mirror model is totally unitary.  
So we are able to learn how the information  
can be retrieved.***

***The model is a tool to explore the Page curve  
hypothesis and its modification by using  
various mirror trajectories.***

# Page Curve in Moving Mirror Model

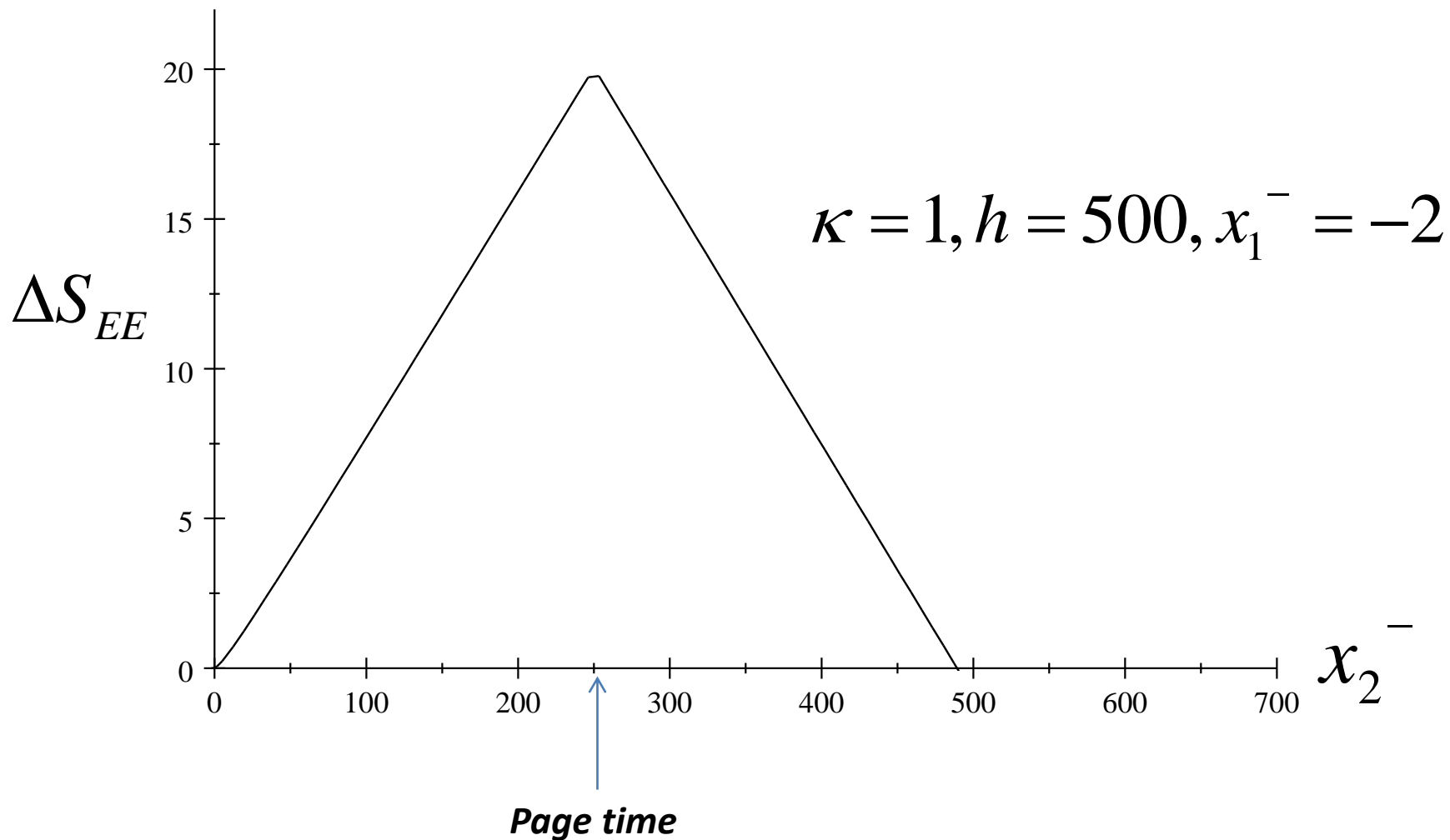


Mirror Trajectory:

$$x^+ = -\ln \left[ \frac{1 + \exp(-\kappa x^-)}{1 + \exp(\kappa(x^- - h))} \right]$$



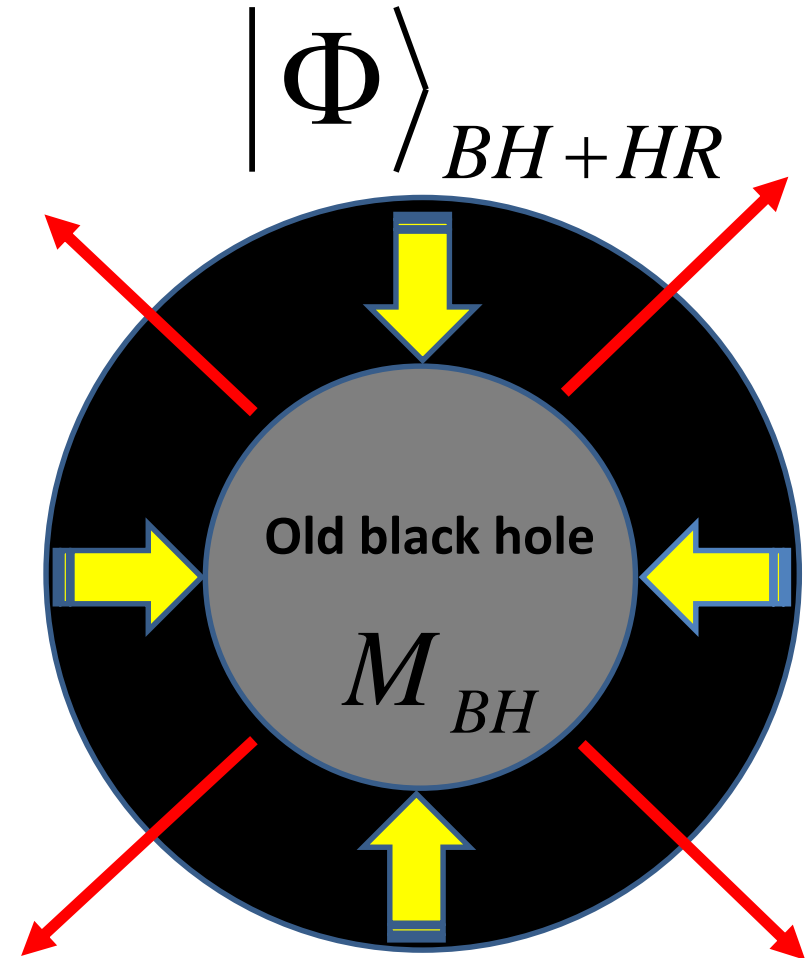
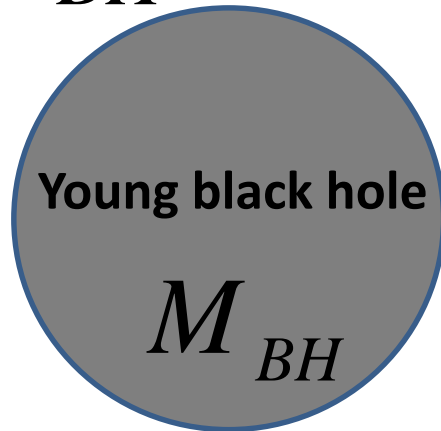
# Page Curve in Moving Mirror Model



Thanks to Daniel Harlow

***In order to reproduce the Page curve, very strange time evolution induced by nonlocality is required for the mirror trajectories!***

$$|\Psi\rangle_{BH} \otimes |0\rangle_{HR}$$



***Quite different time schedules of information leakage for black holes with the same mass.***

**Possible modification  
of the Page curve,  
assuming local dynamics.**

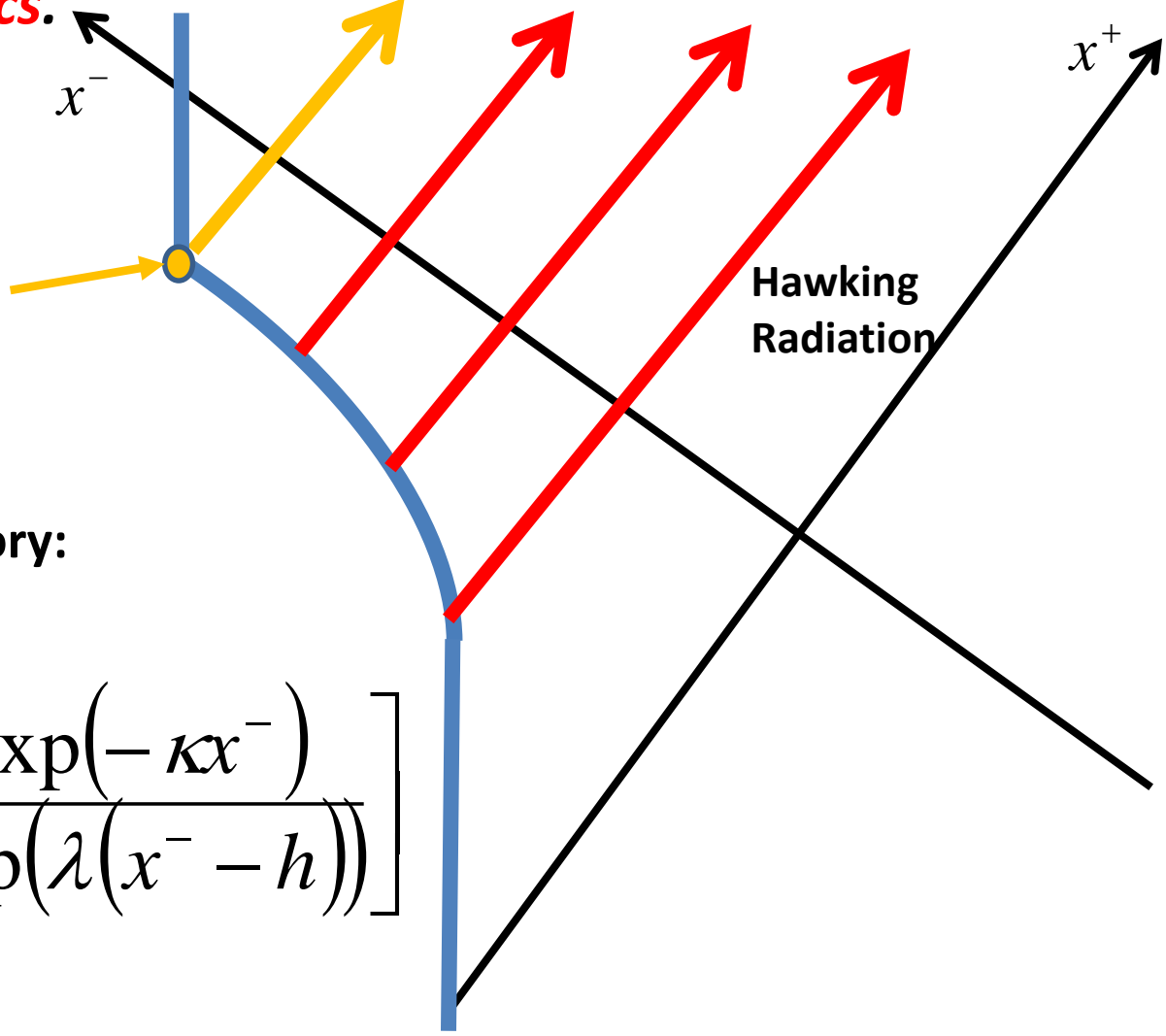
*Planck-energy last burst  
with a **tiny** amount of  
information*

Quantum  
Gravity

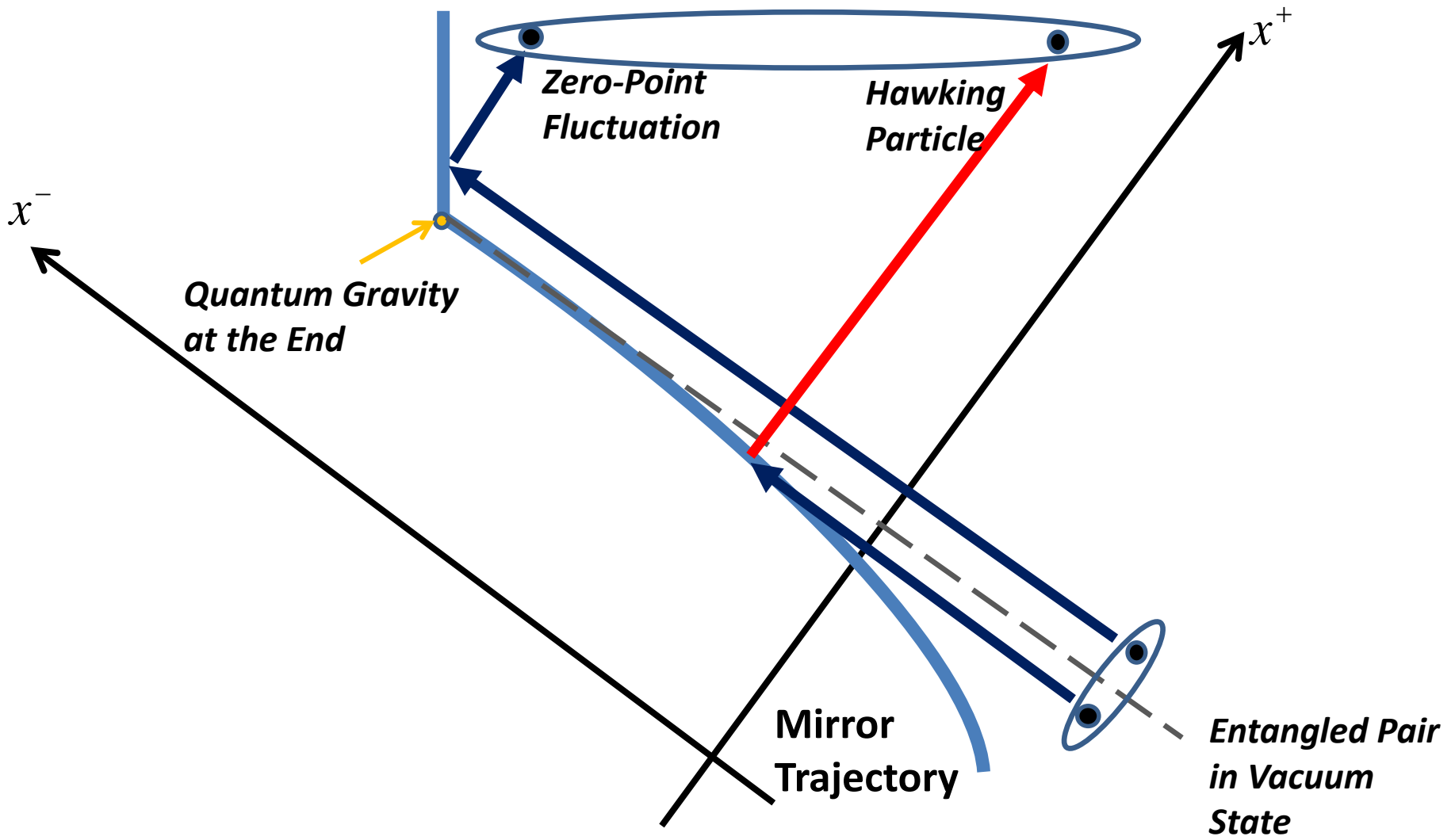
Hawking  
Radiation

Mirror Trajectory:

$$x^+ = -\ln \left[ \frac{1 + \exp(-\kappa x^-)}{1 + \exp(\lambda(x^- - h))} \right]$$



# *Information Retrieval without Energy at the End*



***The entangled partner of the Hawking particle is zero-point fluctuation with zero energy. (Wilczek, Hotta-Schützhold-Unruh)***

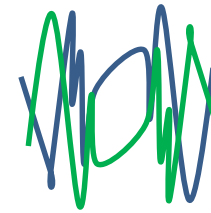
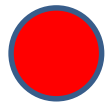
# *Entangled Partner*

*Particle A*

*Particle B*



## *Entanglement*

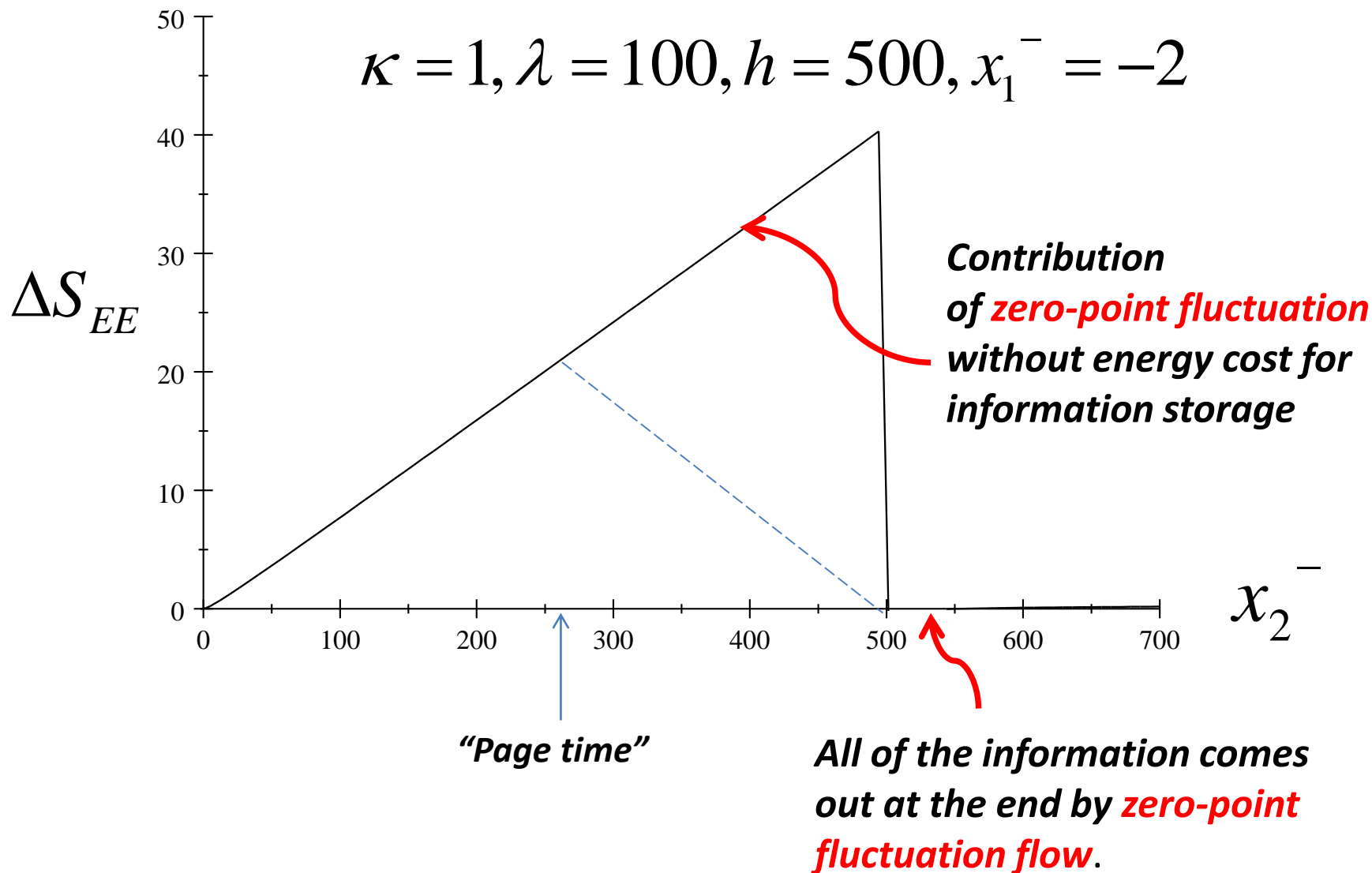


*Hawking  
Particle*

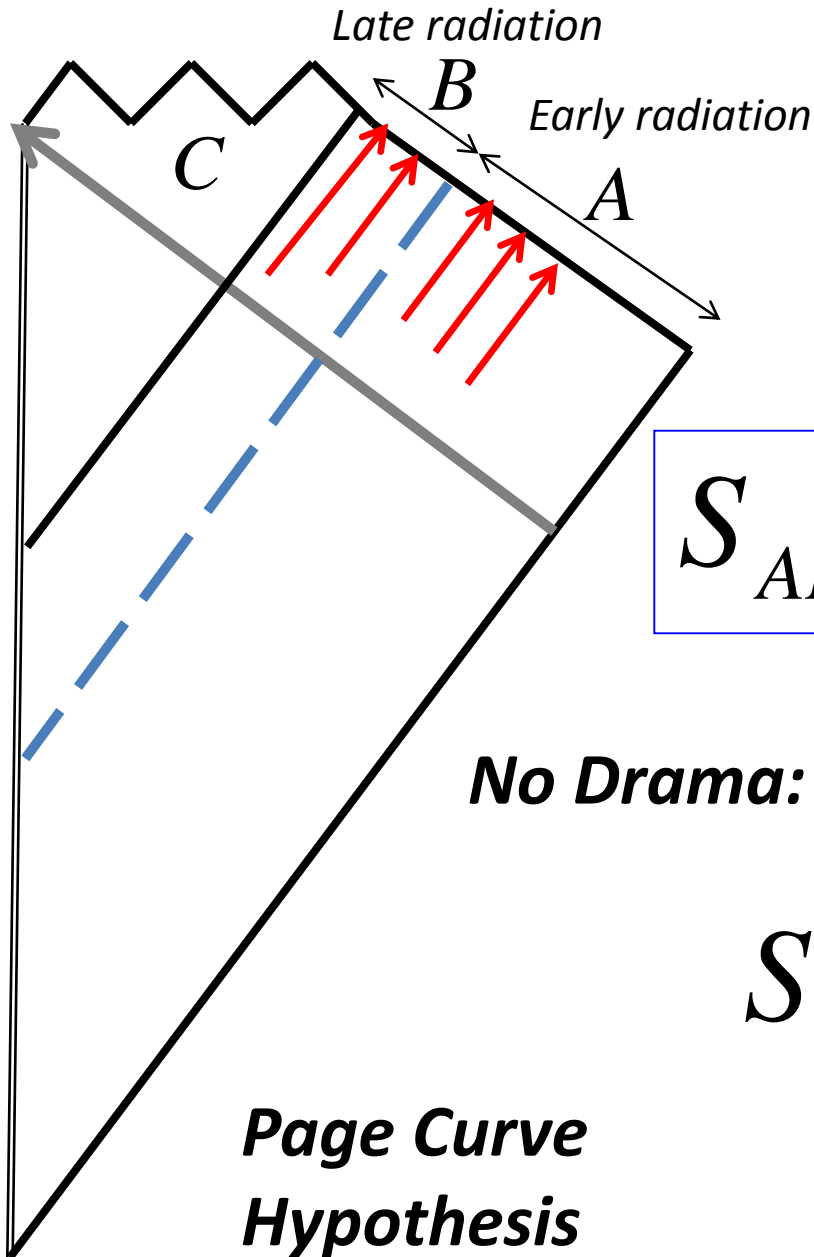
*Zero-Point Fluctuation Flow  
with Zero Energy*

*(Wilczek, Hotta-Schützhold-Unruh, [Hawking](#))*

# Modified Page Curve in Moving Mirror Model



# Strong Subadditivity “Paradox”



**Strong subadditivity:**

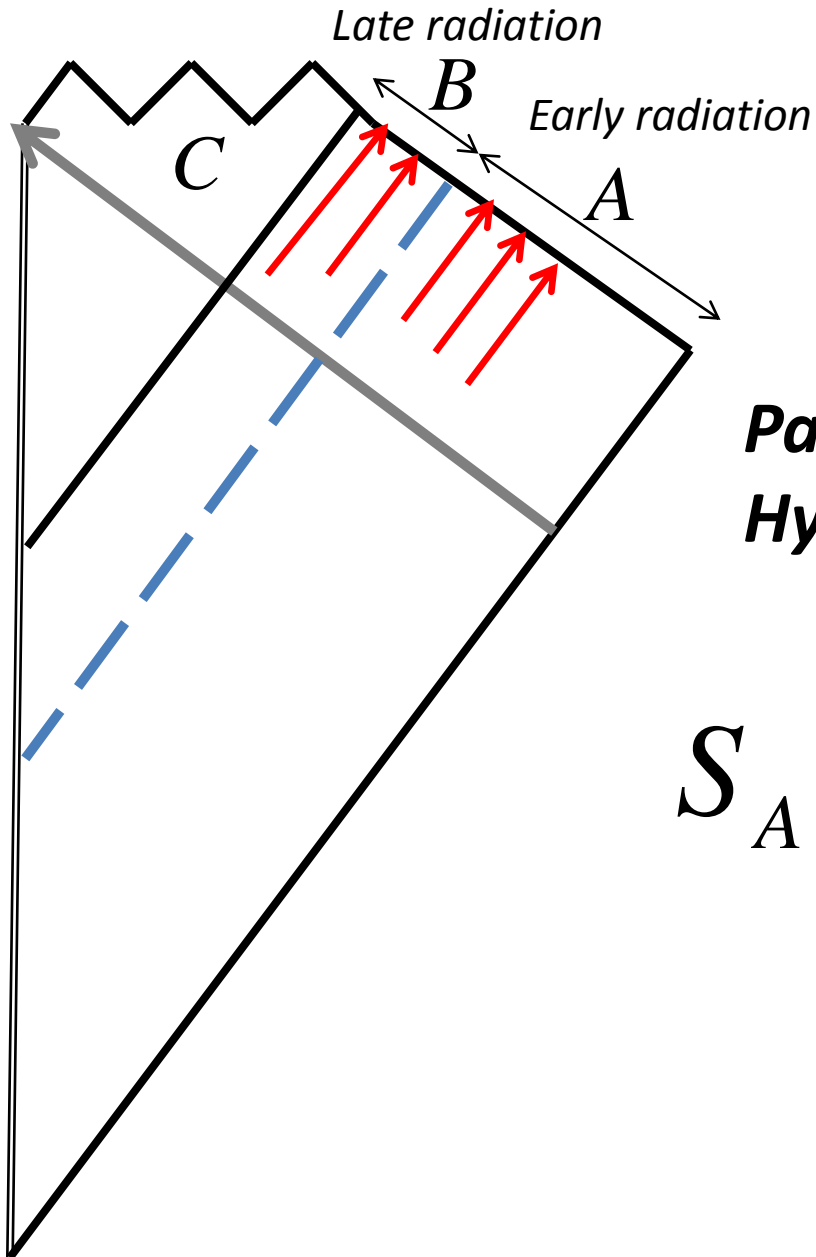
$$S_{AB} \geq S_B + S_{ABC} - S_{BC}$$

**No Drama:**  $S_{BC} = 0, S_{ABC} = S_A$

$$S_{AB} \geq S_B + S_A$$

$$S_A > S_{AB}$$

# Strong Subadditivity "Paradox"



**Page Curve Hypothesis**

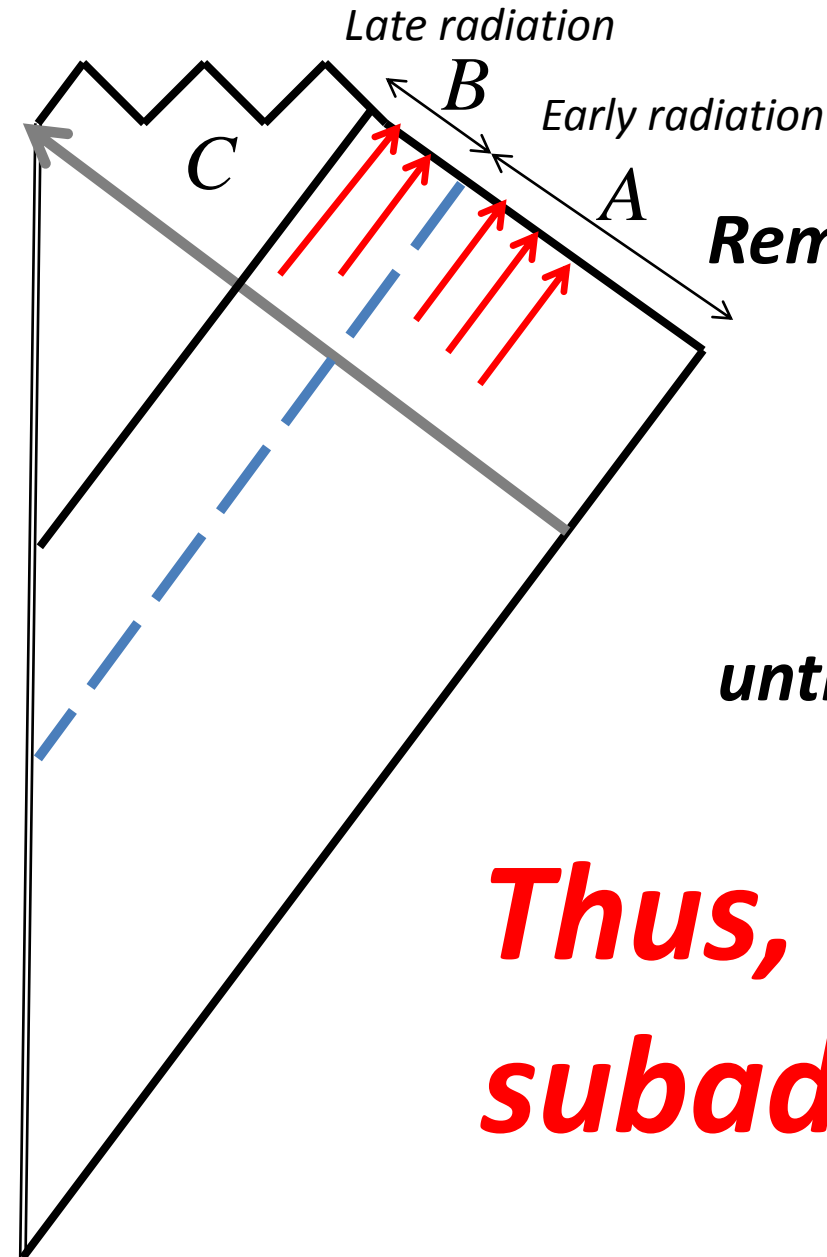
$$S_A > S_{AB}$$

$$S_A > S_{AB} \geq S_B + S_A$$

$$0 > S_B$$



# Strong Subadditivity “Paradox”



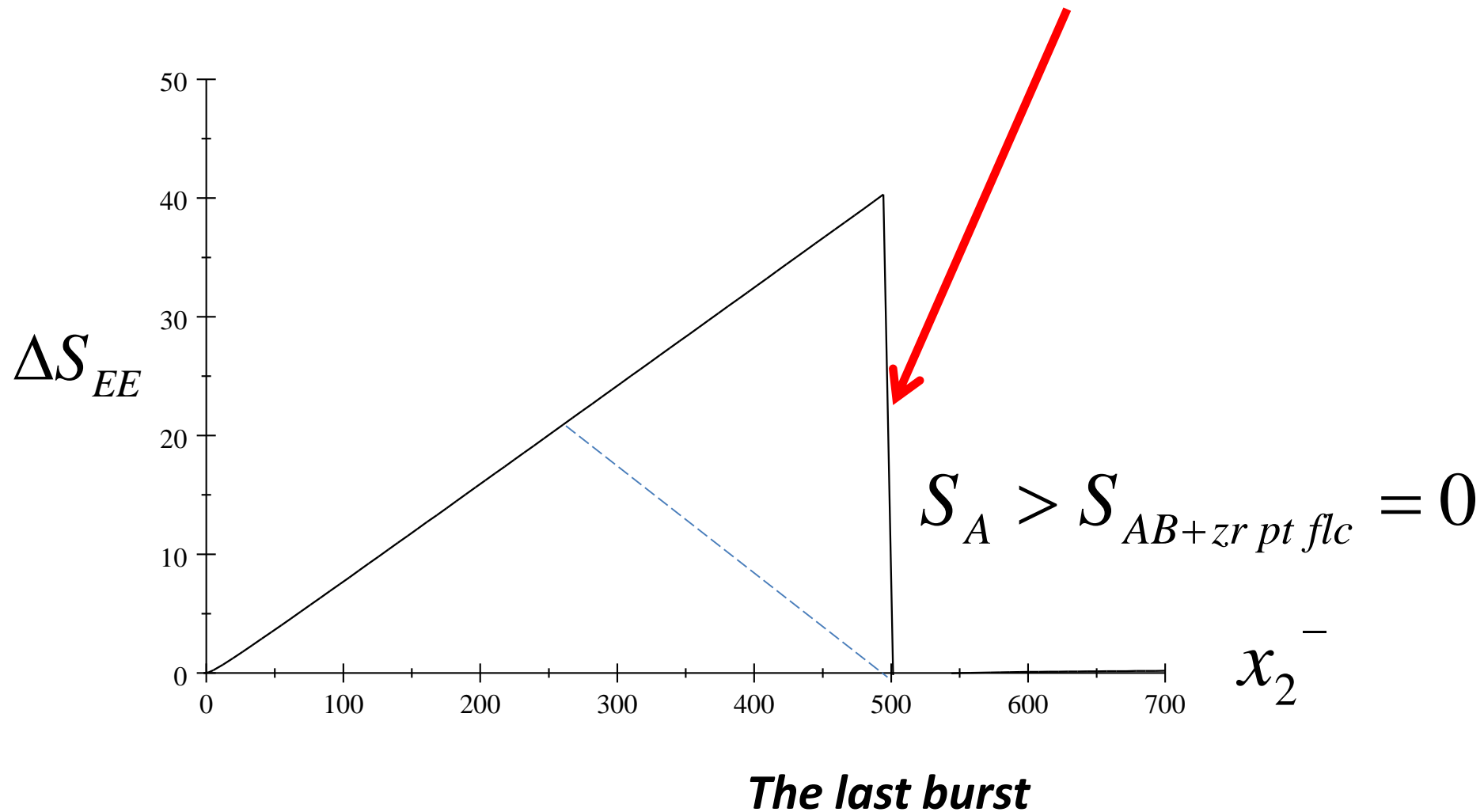
**Remnant & Zero-Point Fluctuation Flow**

$$S_A < S_{AB}$$

*until the last burst.*

***Thus, no strong  
subadditivity paradox!***

***We don't care the no drama condition breaks at the last burst,  
because the horizon is affected by quantum gravity.***



# Summary

○ Adopting canonical typicality for nondegenerate systems with **nonvanishing Hamiltonians**, the entanglement becomes **non-maximal**, and **BH firewalls do not emerge**.

○ **Typical states must be Gibbs states for smaller quantum systems**. If we have stable Gibbs states for old Schwarzschild BH's (and small AdS BH's), the heat capacity must be positive. Because it is actually **negative**, the states of BH evaporation are **not typical**.

⇒ **Inevitable Modification of the Page Curve**

**Note: for a large AdS BH and Hawking radiation in a thermal equilibrium, the entanglement entropy equals the thermal entropy of the smaller system.**