# Learning to Love Disorder:

Spin-charge Conversion and Other Interesting Effects in 2D Spin-Orbit Coupled Systems Miguel A. Cazalilla

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## On the importance of disorder



### Disorder can be useful...

Quantum Hall Plateaux

#### John Bardeen



# Outline: Part I

- Search Search Search Strain Content and Mott Scattering
- Indirect Magneto-electric Coupling: Edelstein Effect
- Direct Magneto-electric Coupling: Anisotropic Spin Precession
- What about experiments? Non local probes, Hanle, and all that

# **Outline:** Part II

- Topology = Dimensional reduction?
- $\bigcirc$  Impurity in a 1D Channel w and w/o interactions: Kane & Fisher
- *Magnetic Impurity near the non-interacting edge of a 2D QSHI*
- Solution Magnetic Impurity near the *interacting* edge of a 2D QSHI

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- *Extrinsic Spin Hall Effect and Mott Scattering*
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# SHE and Symmetry (2D)

**2D** rotations  $J_z = L_z + S_z$   $[H, J_z] = 0$  [U(1) group]

Rank-2 Tensor?

$$J = (J_x, J_y) \quad \mathcal{J}^{\alpha} = (\mathcal{J}^{\alpha}_x, \mathcal{J}^{\alpha}_y)$$
Charge current
(2D vector) 
$$\mathcal{J}^{\alpha} \to \mathcal{J}^z \oplus (\mathcal{J}^x, \mathcal{J}^y)$$
(2D vector)
(2D vector)

Under reflection  $x \to -x$  and  $y \to y$  and  $S_z \to -S_z$   $J_x \to -J_x \quad J_y \to J_y \quad \text{vs.} \quad \mathcal{J}_y^z \to J_x^z \quad \mathcal{J}_y \to -\mathcal{J}_y^z$ Different sign determined by TRS (by Onsager's reciprocity)  $\mathcal{J}_y^z = \theta_{SHE} J_x \quad J_x = -\theta_{SHE} \mathcal{J}_y^z$ 

Symmetry arguments are fine, but what are the mechanisms?

## **Motivation: Functionalized Graphene**

### Chemisorption: H, F, ...



**Physisorption:** 

*Cu, Ag, Au, Th, In,...* 



AH Castro Neto & F Guinea Phys Rev Lett (2009)

C Weeks et al Phys Rev X (2012)

### Substrates:

Z Wang et al Phys. Rev. (2016) B Wang et al 2D Mater (2016)



# **Extrinsic Mechanisms for SHE**



### **Extrinsic SHE: Mott's Scattering**

Έ



Electron-atom scattering

2 x 2 Scattering matrix (to all orders...)

 $\boldsymbol{k}$ 

SOC 
$$T_{kp} = A_{kp} \ 1 + B_{kp} \cdot s$$
  
 $B_{kp} = S(\theta) (k \times p) \quad \left[ \cos \theta = \hat{k} \cdot \hat{p} \right]$  (3D Rotational Sym.)  
 $\rho_{in}(p) = \frac{1}{2} \mathbf{1} \qquad \rho_{out}(k) = T_{kp}\rho_{in}(p)T_{kp}^{\dagger} = \frac{1}{2}T_{kp}T_{kp}^{\dagger}$   
Inpolarized!

$$\langle S^{z} \rangle_{\text{out}} = \text{Tr} \left[ s^{z} \rho_{\text{out}}(\boldsymbol{k}) \right] = \text{Re} \left[ A_{\boldsymbol{k}\boldsymbol{p}}^{*} B_{\boldsymbol{k}\boldsymbol{p}}^{z} \right] \propto \sin \theta$$
  
**Polarization!**

# Forces from collisions

"Orbital" pseudo magnetic field from collisions

 $B_{\boldsymbol{k}\boldsymbol{p}}^{z} = S(\theta) \left(\boldsymbol{k} \times \boldsymbol{p}\right) \cdot \boldsymbol{\hat{z}} \propto \sin \theta$ 

Lorentz-like force  $F_s \propto n_{imp} \, \hat{y} s^z \int d\theta \, \sin \theta \, \mathrm{Re} \left[ A_{kp} \left( B_{kp}^z \right)^* \right] \quad (J = J_x \hat{x})$ 



Forces "emerge" from collisions (akin to the Bernoulli principle)

#### **Graphene: Resonant Enhancement**

Graphene is very prone to resonant scattering

 $Graphene \\ \gamma = spin Hall angle (T = 0)$ 



Yang et al. Science (2013)

A Ferreira, T Rappoport, MAC, AH Castro Neto Phys Rev Lett (2014)

# SHE in CVD Graphene

Spin Hall Angle

**Electric Conductivity** 



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### 2D Magnetoelectric Effect & Symmetry



 $\mathcal{M} = (\mathcal{M}^{x}, \mathcal{M}^{y}, \mathcal{M}^{z}) = \mathcal{M}^{z} \oplus \mathcal{M}_{\parallel} = (\mathcal{M}^{x}, \mathcal{M}^{y})$ (pseudo-vector under 3D rotation)  $\boldsymbol{J} = (J_{x}, J_{y})$  Charge current (2D vector)

Under reflection  $x \rightarrow -x$  and  $y \rightarrow y$  and  $S_z \rightarrow -S_z$ 

$$\mathcal{M}^y = \alpha_{CISP} J_x \quad J_x = \tilde{\alpha}_{CISP} \mathcal{M}^y$$

# **CISP from Edelstein Effect**



# **Indirect DMC: Eldestein Effect**

 $\dot{\delta S^y} = -2m\alpha J_y^z - \frac{\delta S^y}{-}$ 



*Two-step process* 

Rashba SOC

 $H_R = \boldsymbol{B}_R(\boldsymbol{k}) \cdot \boldsymbol{s}$ 

 $\boldsymbol{B}_{R}(\boldsymbol{k}) = \alpha_{R} \left( \boldsymbol{\hat{z}} \times \boldsymbol{k} \right)$ 

**SHE** 



K Shen, G Vignale & R Raimondi PRL (2014) R Raimondi, P Schwab, C Gorinni, and G Vignale Ann Phys (Berlin) 2012

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### **Revisiting Mott: Anisotropic Spin Precession**

**Understanding disorder from one impurity** 

$$T_{kp} = A_{kp} 1 + B_{kp} \cdot s - 7$$

**Unpolarized** 
$$\rho_{\rm in}(\boldsymbol{p}) = \frac{1}{2} \mathbf{1}$$
  $\rho_{\rm out}(\boldsymbol{k}) = T_{\boldsymbol{k}\boldsymbol{p}}\rho_{\rm in}(\boldsymbol{p})T_{\boldsymbol{k}\boldsymbol{p}}^{\dagger} = \frac{1}{2}T_{\boldsymbol{k}\boldsymbol{p}}T_{\boldsymbol{k}\boldsymbol{p}}^{\dagger}$ 

 $\langle \boldsymbol{S} \rangle = \operatorname{Tr} \left[ \boldsymbol{s} \rho_{\text{out}}(\boldsymbol{p}) \right] = \operatorname{Re} \left[ A_{\boldsymbol{k}\boldsymbol{p}}^* \boldsymbol{B}_{\boldsymbol{k}\boldsymbol{p}} \right] + \left[ i \left( \boldsymbol{B}_{\boldsymbol{k}\boldsymbol{p}}^* \times \boldsymbol{B}_{\boldsymbol{k}\boldsymbol{p}} \right) \right] ASP$ 

Isotropic TR-invariant

$$B_{kp} = S(\theta) (k \times p) \propto B^*_{kp} \Rightarrow ASP = 0$$
  
No ASP scattering!

## **ASP in 2D Materials**

C Huang, Y Chong, and MAC, Phys Rev B (2016)



3D Rotational Invariance broken to 2D rotation  $B_{kp} = B_{kp}^{\parallel} + \hat{z} B_{kp}^{z}$ "Zeeman-like" Orbital-like

#### (Rashba disorder fluctuations)

MM Glazov, E Ya Sherman, VK Dugaev Physica E (2010) JP Binder et al Nature Physics (2016) 2D Mott's scattering

 $B^{z}_{\boldsymbol{k}\boldsymbol{p}} = S(\theta) \left( \boldsymbol{k} \times \boldsymbol{p} \right) \cdot \boldsymbol{\hat{z}}$ 

#### **Anisotropic Precession Scattering (ASP)**

C Huang, Y Chong, and MAC, Phys Rev B (2016)



ASP rate =  $i \left( \boldsymbol{B}_{\boldsymbol{k}\boldsymbol{p}} \times \boldsymbol{B}_{\boldsymbol{k}\boldsymbol{p}}^* \right) \propto i \boldsymbol{B}_{\boldsymbol{k}\boldsymbol{p}}^{\parallel} \left( B_{\boldsymbol{k}\boldsymbol{p}}^z \right)^* \times \hat{\boldsymbol{z}} \propto \langle S^y \rangle_{\text{out}}$ 

*Electron current*  $\rightarrow$  *spin polarization perp. to*  $\boldsymbol{E}$  (i.e.  $\mathcal{M}^{y}$ )

## Kohn & Luttinger: Strong disorder

C Huang, Y Chong, and MAC, Phys Rev B (2016)





 $\delta n_k = n_k - n_k^0 \operatorname{spin} \\ \delta n_k = \rho_k \mathbf{1} + \mathbf{m}_k \cdot \mathbf{s}$ Charge

Yidong Chong

C-L Huang



## **Collision Integral**





Scattering rate  $\propto$  Probability = (Amplitude)  $\times$  (Amplitude)\*  $\left| \begin{array}{c} n_{imp} \\ \bigstar \\ \vdots \\ T_{kp} \\ \vdots \\ T_{kp} \\ \vdots \\ p \end{array} \right|^{2} = \begin{array}{c} \bigstar \\ n_{imp} \\ \vdots \\ T_{kp} \\ \vdots \\ p \\ k \end{array} \times \begin{array}{c} n_{imp} \\ \vdots \\ T_{kp} \\ \vdots \\ p \\ k \end{array}$ 

C Huang, Y Chong, and MAC, Phys Rev B (2016)

## Keldysh: Smooth weak disorder



C Huang, M Milletari, and MAC, arxiv:17061316 (2017) (accepted in PRB)

M

М

#### Linear Response & Direct Magnetoelectric Coupling (DMC)

C Huang, Y Chong, and MAC, Phys Rev B (2016)



## **Extrinsic CISP? Yes! Two mechanisms**



 $\mathcal{M}^y = \sigma_{\rm cisp} E_x$ 

 $(\theta_{\mathrm{sH}} \alpha_{\mathrm{R}} \tau_s)$  $\sigma_{\rm cisp} = \sigma_{\rm D}$  $lpha_{
m asp} au_s)$ **ASP Edelstein effect:** 

SHE × Rashba Scattering

Controlled by gating

C Huang, Y Chong, and MAC, Phys Rev B (2016)

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C Huang, Y Chong, and MAC, arXiv:1702.04955 (2017) J Hirsch PRL (1999) E M Hankiewiz et al PRB (2004) D Abanin et al PRB (2007)

### **Anomalous** Hanle spin precession

C Huang, Y Chong, and MAC, Phys. Rev. Lett. (2017)

Hanle effect is qualitatively different for different spincharge conversion mechanism. It may become asymmetric.





# Colossal enhancement of spin-orbit coupling in weakly hydrogenated graphene

Jayakumar Balakrishnan<sup>1,2†</sup>, Gavin Kok Wai Koon<sup>1,2,3†</sup>, Manu Jaiswal<sup>1,2‡</sup>, A. H. Castro Neto<sup>1,2,4</sup> and Barbaros Özyilmaz<sup>1,2,3,4</sup>\* <sup>a</sup>



#### Non-local resistance

#### Hanle precession



## "Spooky" Non-local signals...

#### Weakly Hydrogenated Graphene





AA Kaverzin and BJ van Wees PRB (2015) No Hanle precession!?  $V_{G}$  ( 75 25 50 0 (b)L=1 µm, W=0,5 µm Ohmic 30 0 T 7 T R (Ω) 20 10 ()



### Strained, semi-classically

 $\begin{array}{ll} \textbf{Semiclassical equations of motion} & \boldsymbol{u}_k = \boldsymbol{\nabla}_k \epsilon_k & \epsilon_k = \pm v_F |\boldsymbol{k}| \\ \dot{\boldsymbol{r}} = \boldsymbol{u}_k, & \dot{\boldsymbol{k}} = (e\boldsymbol{E} + \tau_z \dot{\boldsymbol{r}} \times \boldsymbol{\mathcal{B}}_s) & \boldsymbol{\mathcal{B}}_s = \boldsymbol{\nabla} \times \boldsymbol{\mathcal{A}}_s(\boldsymbol{r}) \end{array}$ 



## Semiclassical Transport $\partial_t \delta n_k + \dot{\boldsymbol{r}} \cdot \nabla_r \delta n_k + \dot{\boldsymbol{k}} \cdot \nabla_k \left[ n_k^0 + \delta n_k \right] = \mathcal{I}[\delta n_k]$

 $\delta n_k = \rho_k \mathbf{1} + \mathbf{\mathcal{P}}_k \cdot \mathbf{\tau}$ 

Describes quantum coherence between valleys



## Strain induced Valley Hall Effect

X-P Zhang, CL Huang, and MAC 2D Materials (2017)



Valley currents do not exhibit the Hanle effect!



## Interplay of VHE and SHE

X-P Zhang, CL Huang, and MAC in preparation



*Hydrogen+ nonuniform strain* 

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### Low energy description: Topology = Dimensional Reduction?



### **Quantum Spin Hall Insulators**

#### Quantum Spin Hall Effect and Topological Phase Transition in HgTe Quantum Wells

B. Andrei Bernevig,<sup>1,2</sup> Taylor L. Hughes,<sup>1</sup> Shou-Cheng Zhang<sup>1</sup>\*

We show that the quantum spin Hall (QSH) effect, a state of matter with topological properties distinct from those of conventional insulators, can be realized in mercury telluride—cadmium telluride semiconductor quantum wells. When the thickness of the quantum well is varied, the electronic state changes from a normal to an "inverted" type at a critical thickness  $d_c$ . We show that this transition is a topological quantum phase transition between a conventional insulating phase and a phase exhibiting the QSH effect with a single pair of helical edge states. We also discuss methods for experimental detection of the QSH effect.

#### $G = 0.01 e^2/h$ 20 Ш T = 0.03 K 10 15 R<sub>14,23</sub>/kD 0 01 14,23 $f = 2 e^2$ T = 30 mK 10<sup>6</sup> T = 1.8 K $R_{14,23} / \Omega$ Π -1.0 -0.5 0.0 0.5 1.0 $(V_g - V_{thr}) / V$ $G = 0.3 e^{2}/h$ Ш 10 $G = 2 e^{2}/h$ IV 10 2.0 -0.5 0.0 0.5 1.5 -1.0 1.0 $(V_g - V_{thr}) / V$

M König et al Science (2007)

#### Z Fei et al Nat. Phys (2017)



ΓERS

LET

PUBLISHED ONLINE: 26 JUNE 2017 | DOI: 10.1038/NPHYS4174

nature physics

#### Quantum spin Hall state in monolayer 1T'-WTe<sub>2</sub>



S Tang et al Nat. Phys (2017)

#### SCIENCE VOL 314 15 DECEMBER 2006

### Absence of conductance quantization



In samples with long edge channels two-terminal conductance is NOT quantized! DEVIATIONS FROM 2e<sup>2</sup>/h

The mechanism for backscattering is not clear, but conductance exhibits quantum critical SCALING with T and V

Are 1D models of the edge channels always complete?

Review: G Dolcetto, M Sassetti, T L Schmidt arxiv:1511.0614 (2015)

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### Structureless Impurity in 1D

#### Non-interacting electrons

Weak impurity: Potential scattering



 $H = -t \sum_{n} \left[ c_n^{\dagger} c_{n+1} + c_{n+1}^{\dagger} c_n \right] + \epsilon_0 c_0^{\dagger} c_0$ 

Strong impurity: Weak tunneling link

$$H = -t \sum_{n} \left[ c_{n}^{\dagger} c_{n+1} + c_{n+1}^{\dagger} c_{n} \right] - t_{0} \left[ c_{1}^{\dagger} c_{-1} + c_{+1}^{\dagger} c_{-1} \right]$$
  
Strong scatterer limit  $|\epsilon_{0}| \gg t$   $t_{0} = -\frac{t^{2}}{\epsilon_{0}}$ 

Lippmann-Schwinger Equation

$$T(\epsilon) = G_0(\epsilon) + G_0(\epsilon)VT(\epsilon) \quad G_0(\epsilon) = (\epsilon^+ - H_0)^{-1}$$

**Conductance from Landauer-Buttiker** 

$$\mathcal{T}(\epsilon) \sim T(\epsilon)$$
  $G(\epsilon) = |\mathcal{T}(\epsilon)|^2 = |\mathcal{T}_0|^2 = \text{const.}$ 

(For  $\varepsilon$  near the center of the band)

## The Kane-Fisher Problem: Impurity in 1D



C Kane and MPA Fisher Phys Rev Lett 1992

**Tunneling density of states** 

$$\begin{split} & & & & & \\ & & & & \\ & & & \\ & & & \\$$

# Add interactions

$$H = \sum_{n} \left[ -t \left( c_n^{\dagger} c_{n+1} + c_{n+1}^{\dagger} c_n \right) + V c_n^{\dagger} c_{n+1}^{\dagger} c_{n+1} c_n \right] + \epsilon_0 c_0^{\dagger} c_0$$

Weak impurity: Potential scattering



Strong impurity: Weak tunneling link

$$\frac{dt_0}{d\ell} = \left(1 - \frac{1}{K}\right)t_0$$

 $G(T) \sim |t_0(T)|^2 \begin{cases} \to 0 & K < 1 & (V > 0) \\ \to t & K > 0 & (V < 0) \end{cases}$ 

F Guinea and M Ueda Z Phys B (1991)

#### Another way to understand it ...

D Yue, L Glazman, K A Mateev Phys Rev B (1994)

Hartree approximation to interactions

$$H_{\text{int}} = V \sum_{n} c_{n}^{\dagger} c_{n+1}^{\dagger} c_{n+1} c_{n} \rightarrow H_{\text{int}}^{MFA} = V \sum_{n} [\langle n_{n} \rangle n_{n+1} + \langle n_{n} \rangle n_{n+1}]$$

$$\langle n_{n} \rangle \sim \frac{\sin(2k_{F}x_{n})}{x_{n}} \quad \text{Impurity induced Fiedel Oscillation}$$
Solve external + Hartree potential Transmission coefficient develops log singularity (becomes a power-law when resumed)  

$$T(\epsilon) = T_{0} \left[ 1 + c|\mathcal{R}_{0}|^{2}V \log \left| \frac{\epsilon_{F} - \epsilon}{D} \right| + \cdots \right] \sim |\epsilon - \epsilon_{F}|^{c|\mathcal{R}_{0}|^{2}V}$$

$$Phase \ diagram$$

$$t_{0} = 0 \quad (\epsilon_{0} = +\infty)$$

$$Impurity \ strength$$

$$\epsilon_{0} = 0 \quad (t_{0} = t)$$

$$Interaction$$

$$V < 0 \ V = 0 \quad V > 0$$

#### **Experiment and Numerics**

#### **Experiment** Z Yao et al Nature (1999)

#### **Carbon nanotube** intramolecular junctions

Zhen Yao\*, Henk W. Ch. Postma\*, Leon Balents† & Cees Dekker\*

\* Department of Applied Sciences and DIMES, Delft University of Technology, Lorentzweg 1, 2628 CJ Delft, The Netherlands

#### **Numerics**: Time-dependent DMRG

-Exact solution for V=0

- Td-DMRG for V/w = +0.5

• Td-DMRG for V/w = -0.5

20

24

•Td-DMRG for V = 0

16

12

time t

X



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## **Backsatterer in a non interacting TI**

Semi-infinite Kane-Mele model (with zigzag edge) + TRS breaking impurity



No interactions: Solve Scattering problem (Lippmann-Schwinger equation)  $|\Psi_s(k_x)\rangle = |\Phi_s(k_x)\rangle + \mathcal{V}_{int}G_0(\epsilon)|\Psi_s(k_x)\rangle \qquad \mathcal{V}_{imp} = \lambda_{imp} s^x$ 

 $\mathcal{H}_{0}^{s}(k_{x},\hat{\beta})\Phi_{s}(k_{x},y) = \epsilon\Phi_{s}(k_{x},y),$ Spectrum of the pristine system Look for solutions of the form  $\Phi_s(k_x, y) \sim e^{-\kappa y}$ 

## **Transmission coefficient**



No coupling to bulk states (zero weight on the 1st atomic row) Indendent of the energy (like 1D system)

Energy dependent scattering

## What is going on?

Lippmann-Schwinger Equation

$$T(\epsilon) = \mathcal{V}_{imp} + \mathcal{V}_{imp}G_0(\epsilon)T(\epsilon)$$
$$T(\epsilon) = \frac{\mathcal{V}_{imp}}{1 - G_0(\epsilon)\mathcal{V}_{imp}}$$

#### Impurity in the bulk of the crystal

$$\mathcal{V}_{ ext{imp}}$$
  
 $\mathcal{V}_{ ext{imp}}=\lambda_{ ext{imp}}\ s^x$ 

**Bound state?**  $1 - G_0(\epsilon) \mathcal{V}_{imp} = 0$   $\epsilon \in \text{Reals}$ 

 $\begin{array}{c|c} \textbf{Bulk Green's function (particle-hole symmetric)} \\ & & G_0(\epsilon) \sim \epsilon \quad |\epsilon| < \frac{\Delta}{2} \quad (\operatorname{Im} G_0(\epsilon) = 0) \\ & & \textbf{Bound state?} \\ & & 1 - G_0(\epsilon) \mathcal{V}_{\mathrm{imp}} = 0 \Rightarrow 1 - g_0 \lambda_{\mathrm{imp}} \ \epsilon \ s^x = 0 \\ & & \epsilon_{\mathrm{Bound}} = \epsilon_0 \sim \pm \frac{1}{\lambda_{\mathrm{imp}}} \end{array}$ 

## Fitting an effective low energy model



Use discrete symmetries of the microscopic model (TRS +  $\pi$ -rotation, TRS+p-h transformation)  $H_{\text{eff}} = H_B + H_+ [u, t_+ \psi(0)] + H_- [d, t_- \psi(0)],$   $H_B = iv_F \int dx \ \psi^{\dagger} s^z \partial_x \psi + V_B a_0 \psi^{\dagger}(0) s^x \psi(0),$   $H_{\pm}[f, \chi] = \pm \epsilon_0 \ (f^{\dagger} f - \frac{1}{2}) + V_c a_0^{1/2} \ [f^{\dagger} \chi + \text{h.c.}]$ Two-level Fano model Bound states resonate with edge



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# Adding interactions

*Non-resonant case* = *Kane-Fisher* 

 $\epsilon_F \neq \pm \epsilon_0$  Integrate out <u>both</u> bound states

$$V'_B \simeq V_B - \left[\frac{V_c^2}{\epsilon_0 - \epsilon_F} + \frac{V_c^2}{\epsilon_0 + \epsilon_F}\right]$$

 $\epsilon_0 \propto V_B'$ 

**Back to Kane and Fisher** 

Edge



### **Adding interactions: RG Flow**

dup	<b>Compare to Kane-Fisher</b>
$\frac{dy_B}{d\ln\xi} = (1-K)y_B + y_t^2.$	$\frac{d\dot{y}_B}{d\log\xi} = (1-K)y_B$
$\frac{dy_t}{d\ln\xi} = \left[1 - K/4 - (1 - \delta_F)^2 K\right]$	$^{-1}/4 \Big] y_t + y_t (y_B + v_B),$
$\frac{d\delta_F}{d\ln\xi} = 4(1-\delta_F)y_t^2,$	Tunneling flows to strong coupling
$\frac{dv_B}{d\ln\xi} = (1-K)v_B.$	also for moderately attractive interactions!!

M Goldstein and R Berkovits Phys Rev Lett (2011)

Side-coupled resonant level  $t_c$  $\epsilon_0 \propto V_B'$  Broadening of transmission resonance at low T



# Summary & Conclusions

- Resonant enhancement of Skew Scattering in Graphene
- Direct coupling between non equilibrium spin polarization and charge current induced by impurities: Anisotropic spin precession (ASP)
- ASP can lead to negative non local resistance and asymetry in the Hanle precession
- Strong coupling limit of a magnetic impurity near the edge of a QSHI induces resonant states and non-trivial behavior of the transmission at resonance

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