

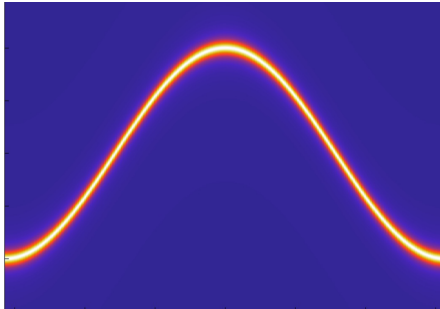
Exploring Hermitian and Non-Hermitian Topology in Synthetic Dimensions

Shanhui Fan

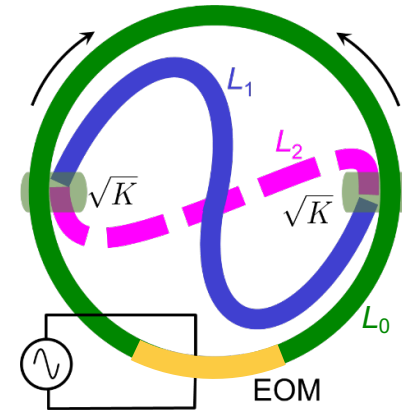
Department of Electrical Engineering, and Edward L. Ginzton Laboratory
Stanford University

Outline

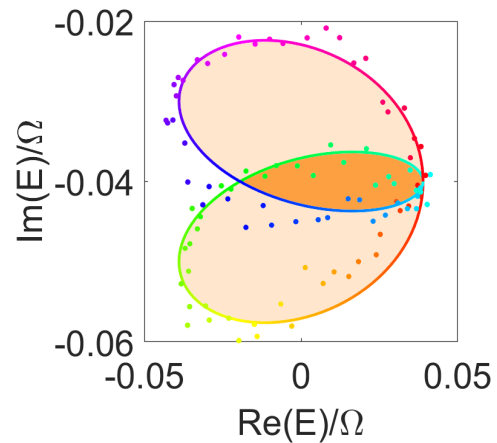
Background



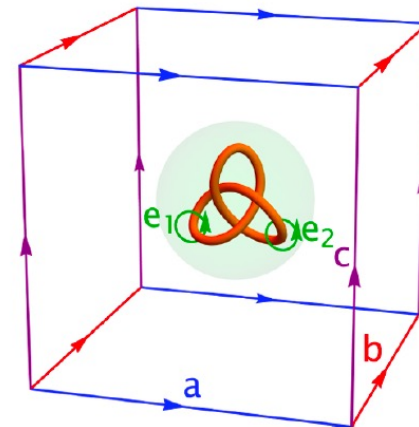
Hermitian Topology: experiments



Non-Hermitian Topology: experiments



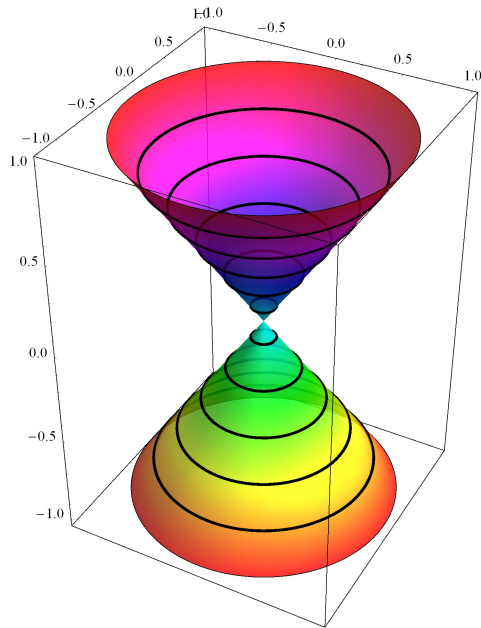
Non-Hermitian Topology: theory



Topological physics is much richer in higher dimensions

Weyl-point physics in three dimensions

$$H = k_x \sigma_x + k_y \sigma_y + k_z \sigma_z + E_0 I$$



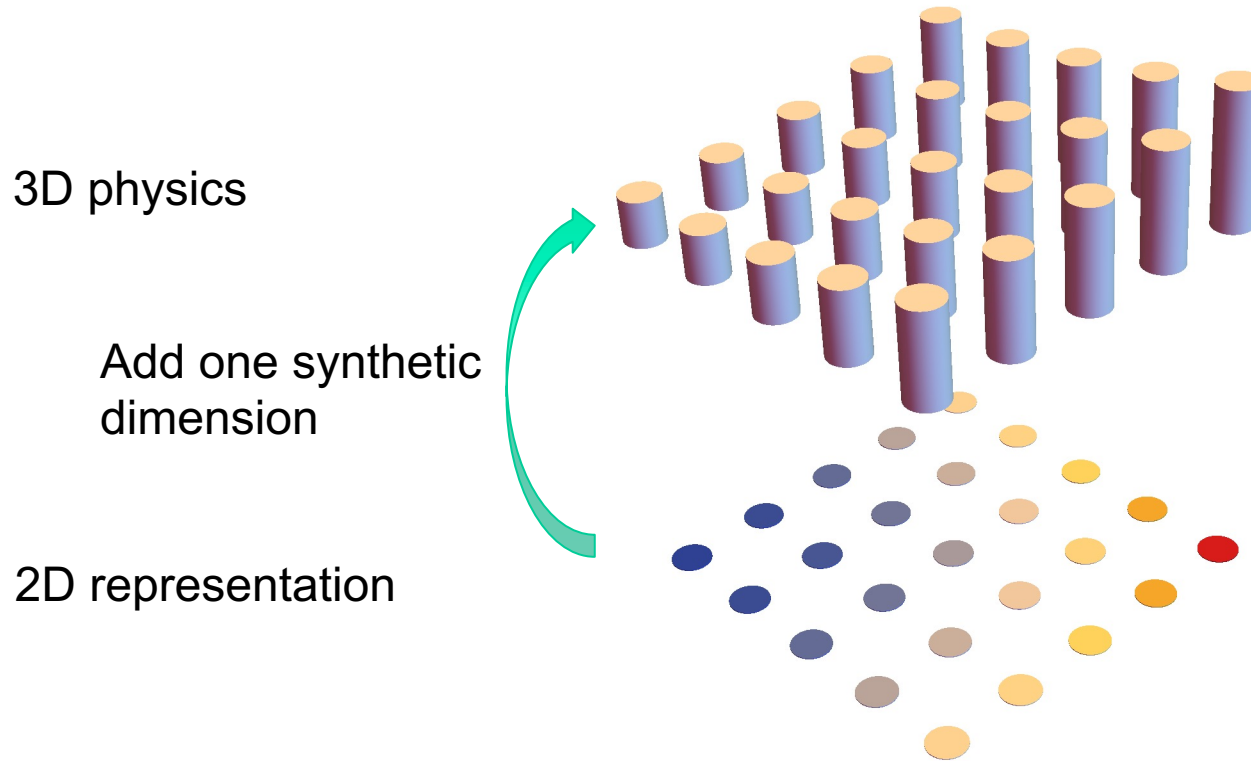
A Four-Dimensional Generalization of the Quantum Hall Effect

Shou-Cheng Zhang and Jiangping Hu

We construct a generalization of the quantum Hall effect, where particles move in four dimensional space under a $SU(2)$ gauge field. This system has a macroscopic number of degenerate single particle states. At appropriate integer or fractional filling fractions the system forms an incompressible quantum liquid. Gapped elementary excitation in the bulk interior and gapless elementary excitations at the boundary are investigated.

The concept of synthetic dimension

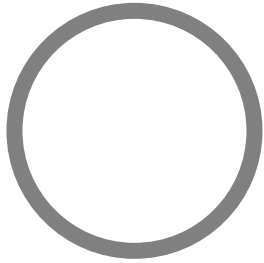
Explore higher-dimensional physics in lower dimensional physical systems



Tsomokos et al, PRA 82, 052311 (2010);
Boada et al, PRL 108, 133001 (2012);
Jukic and Buljan, PRA 87, 013814 (2013).

For a review on synthetic dimension in
photonics
L. Yuan et al, Optica 5, 1396 (2018).

A single ring resonator

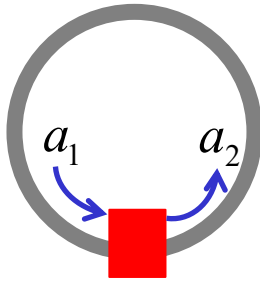


In the absence of group velocity dispersion (GVD) in the ring waveguide, the ring supports a set of resonances with equally spaced resonant frequencies.

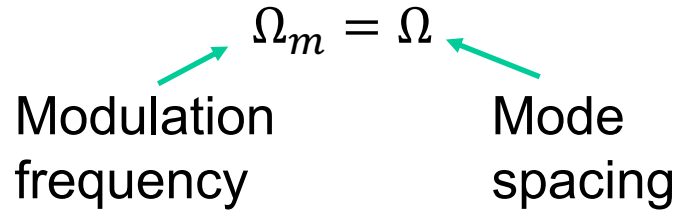
- | | |
|----------------------|----------|
| \vdots | \vdots |
| $\omega_0 + 2\Omega$ | ● |
| $\omega_0 + \Omega$ | ● |
| ω_0 | ● |
| $\omega_0 - \Omega$ | ● |
| $\omega_0 - 2\Omega$ | ● |
| \vdots | \vdots |

$\Omega = 2\pi/T$ where T is the round trip time

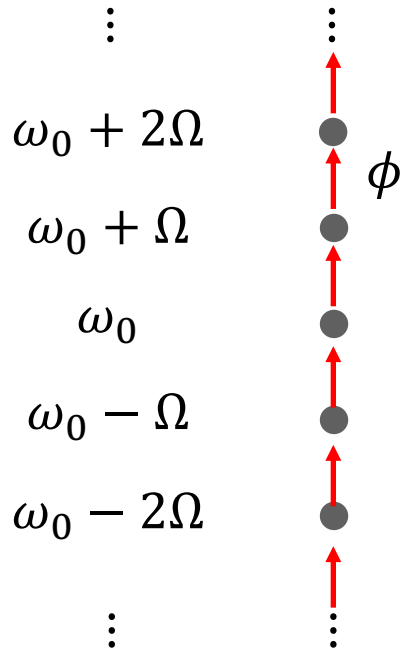
Gauge potential for light



$$a_2 = a_1 e^{i\alpha \sin(\Omega_m t + \phi)}$$



Modulation resonantly couples different modes together

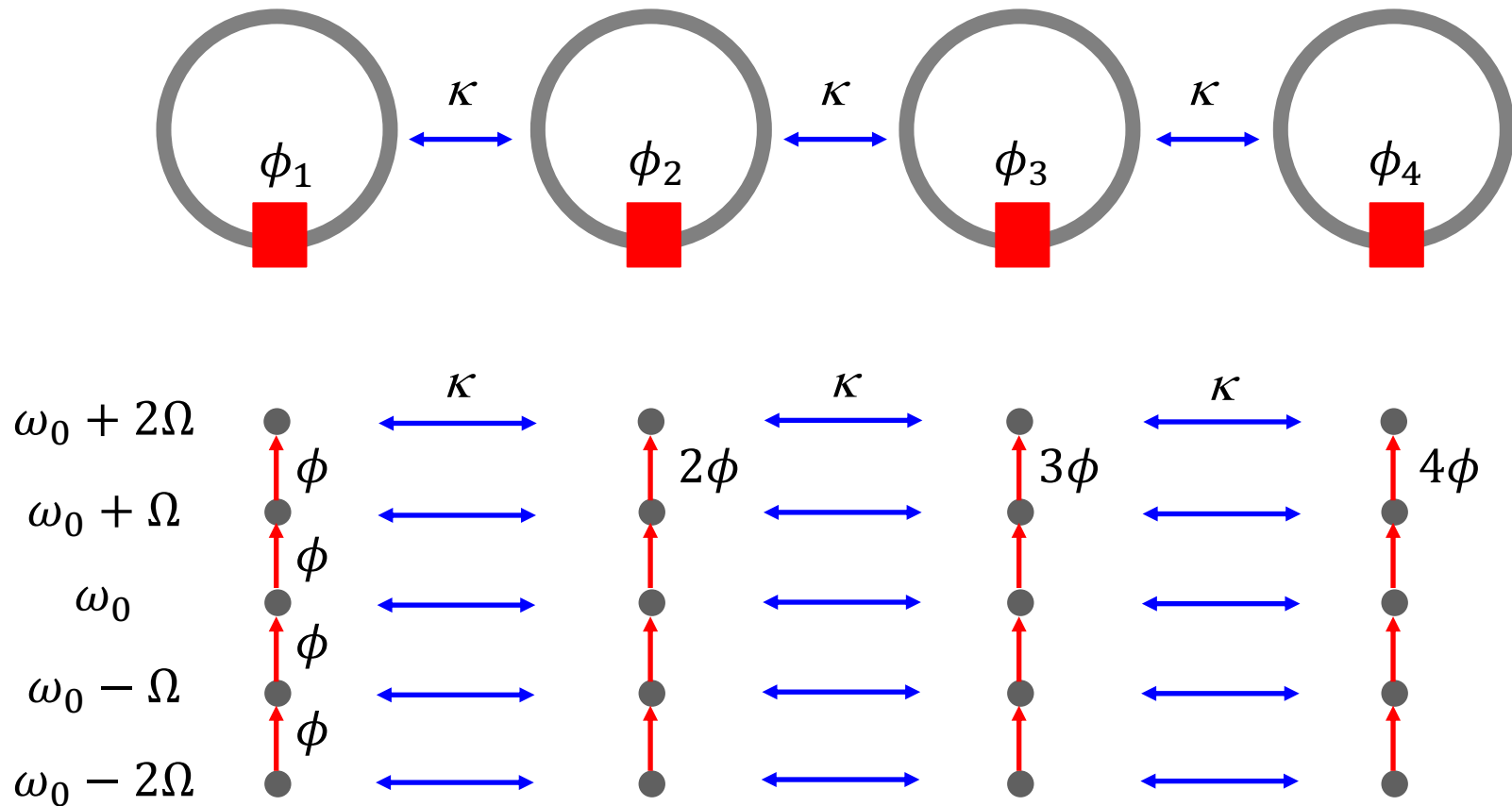


$$H = V \sum_n a_n^\dagger a_{n+1} e^{-i\phi} + a_{n+1}^\dagger a_n e^{i\phi}$$

- 1D physics in 0D structure
- ϕ is the gauge potential which breaks reciprocity

Tight-binding lattice in synthetic space

Array of coupled resonator

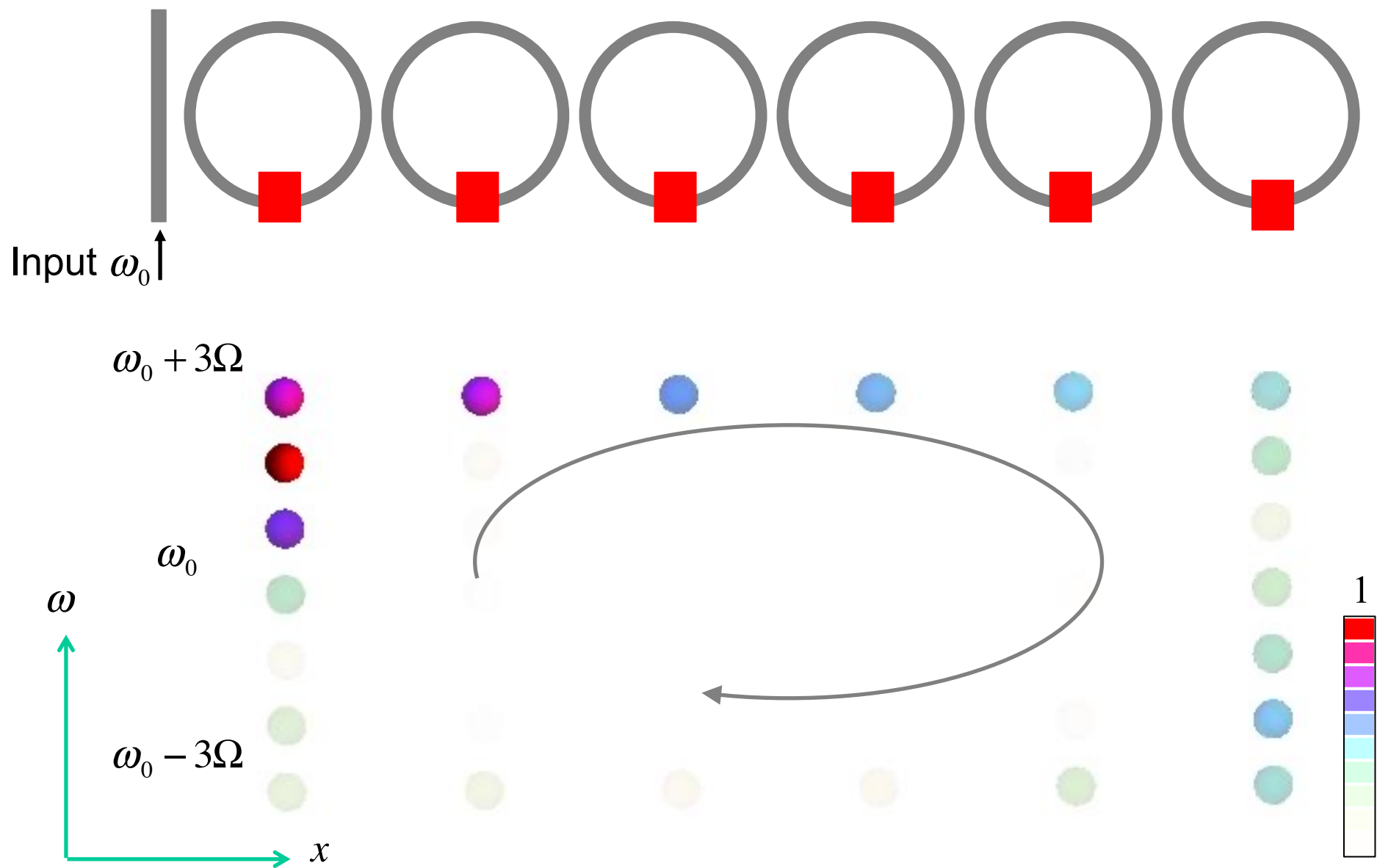


A two-dimensional space, having a real-space axis and a frequency axis with applied gauge field in the synthetic space.

L. Yuan, Y. Shi and S. Fan, Optics Letters 41, 741 (2016)

See also, Ozawa et al, PRA 93, 043827 (2016).

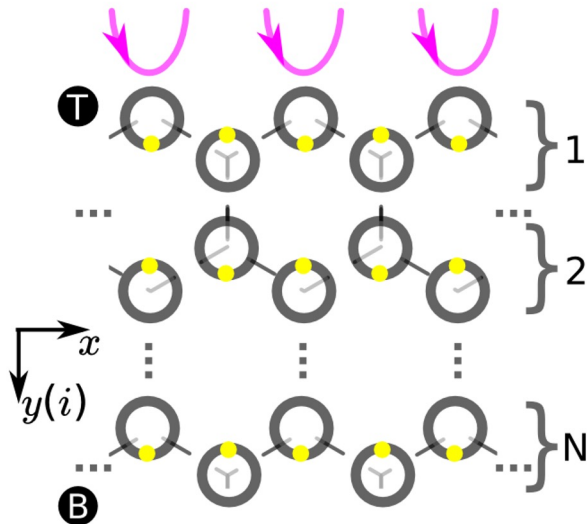
One-way edge mode in the synthetic space



See the experiment by Segev's group, Lustig et al, Nature 567, 356 (2019).

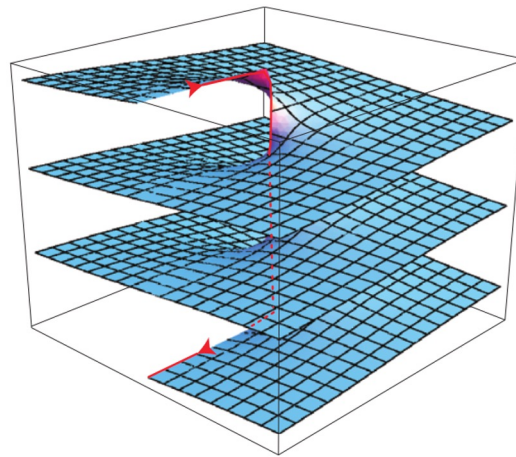
Many non-trivial topological phenomena in synthetic space

Weyl semimetal



Lin et al, Nature Communications 7, 13731 (2016).

3D topological insulator



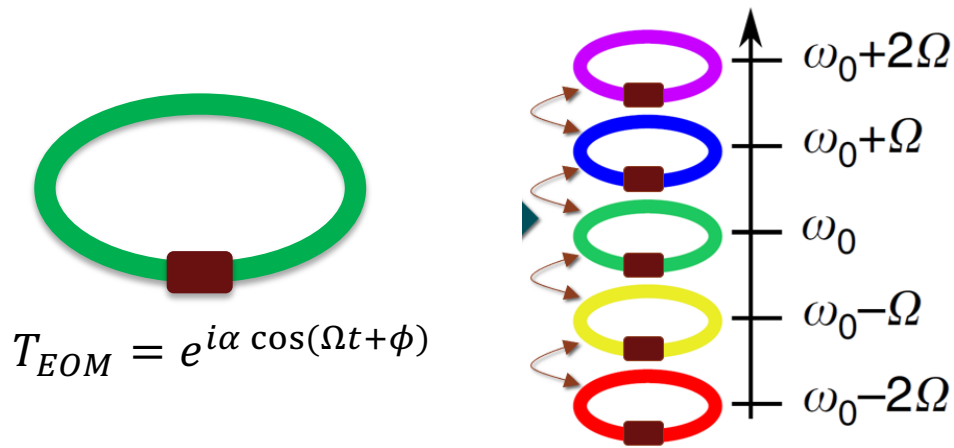
Lin et al, Science Advances 4, eaat2774 (2018).

Higher-order topological insulator

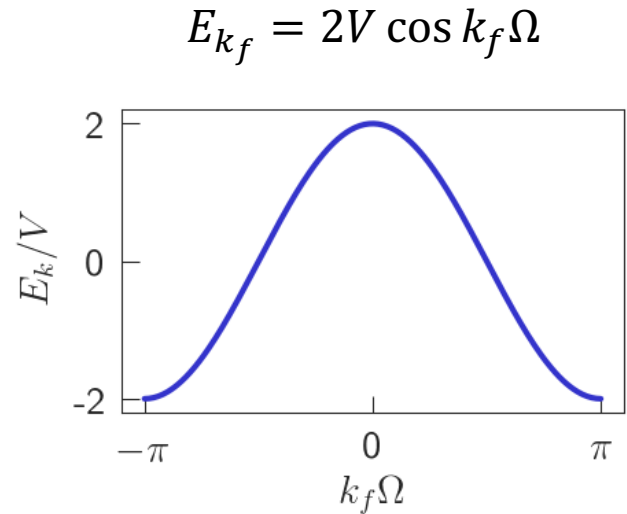


Dutt et al, Light: Science and Applications, 9, 131 (2020).

Band structure in synthetic space



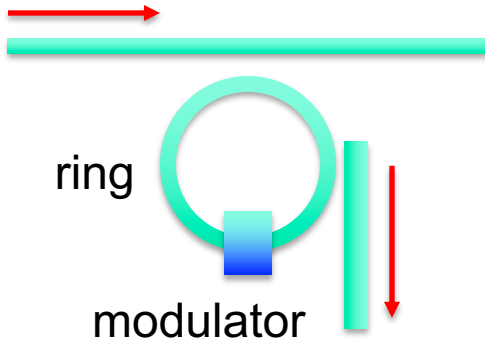
$$H = V \sum_n a_n^\dagger a_{n+1} + a_{n+1}^\dagger a_n$$



Quasi-momentum in the
synthetic frequency dimension k_f

\equiv time t

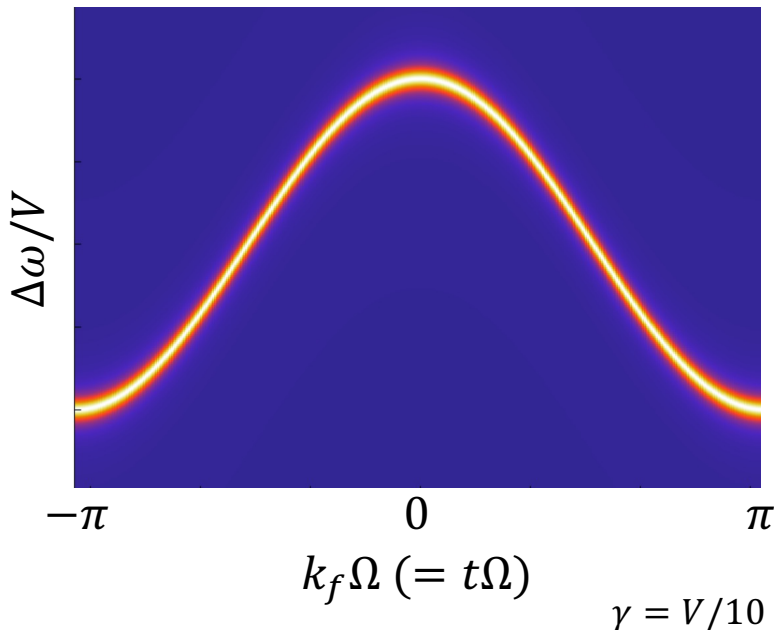
Band structure from time-dependent transmission



$$V(t) = V_1 \cos \Omega t$$

$$T(\omega, k_f) = \frac{\gamma^2}{[\omega - E(k_f)]^2 + \frac{\gamma^2}{4}} = \frac{\gamma^2}{\Delta\omega^2 + \frac{\gamma^2}{4}} = T(\Delta\omega, t)$$

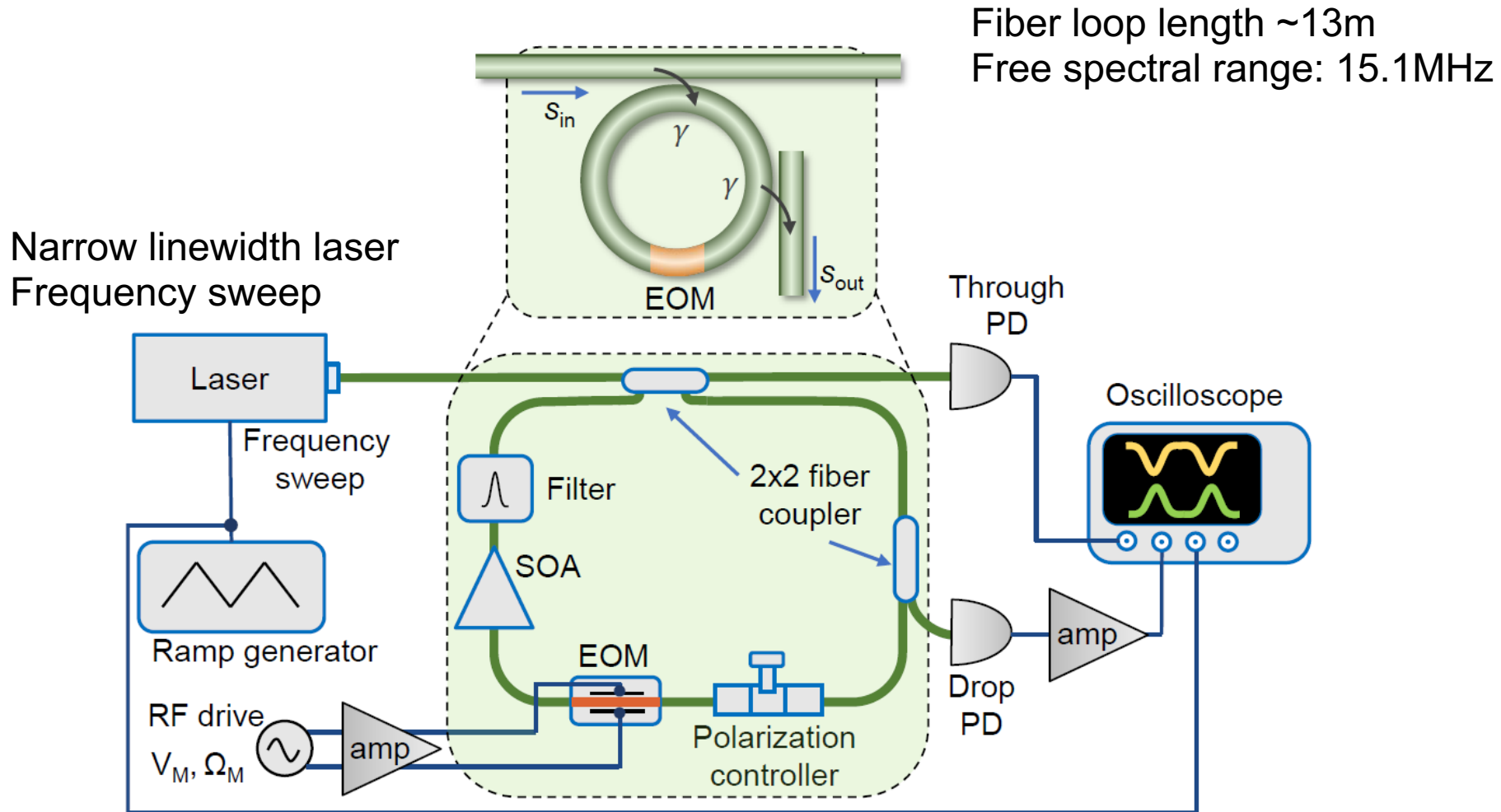
Theoretical transmission ($\gamma = V/10$)



To determine the band structure, one needs to measure the transmission of the system as a function of time (t or k_f), for various detunings $\Delta\omega$.

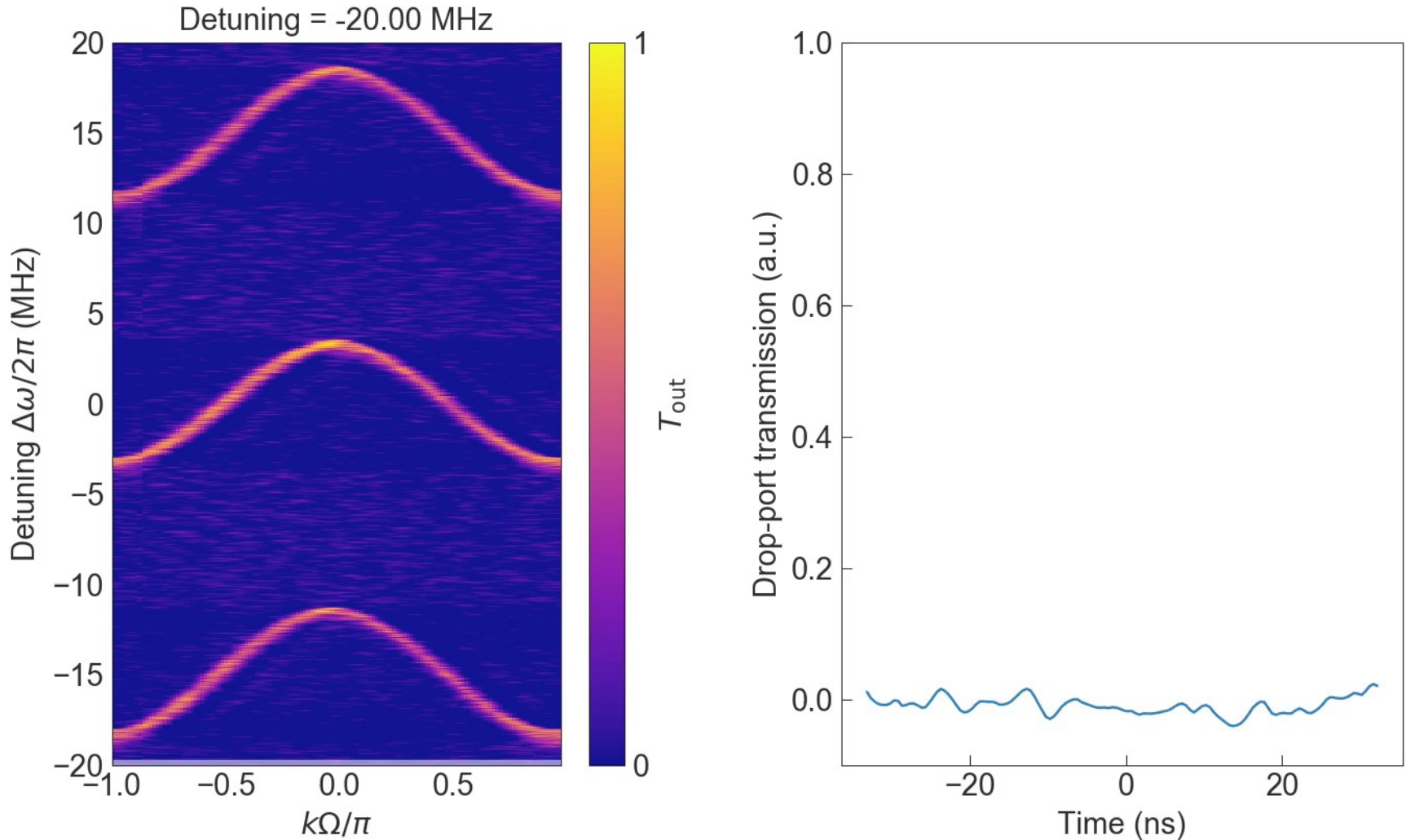


Experimental setup

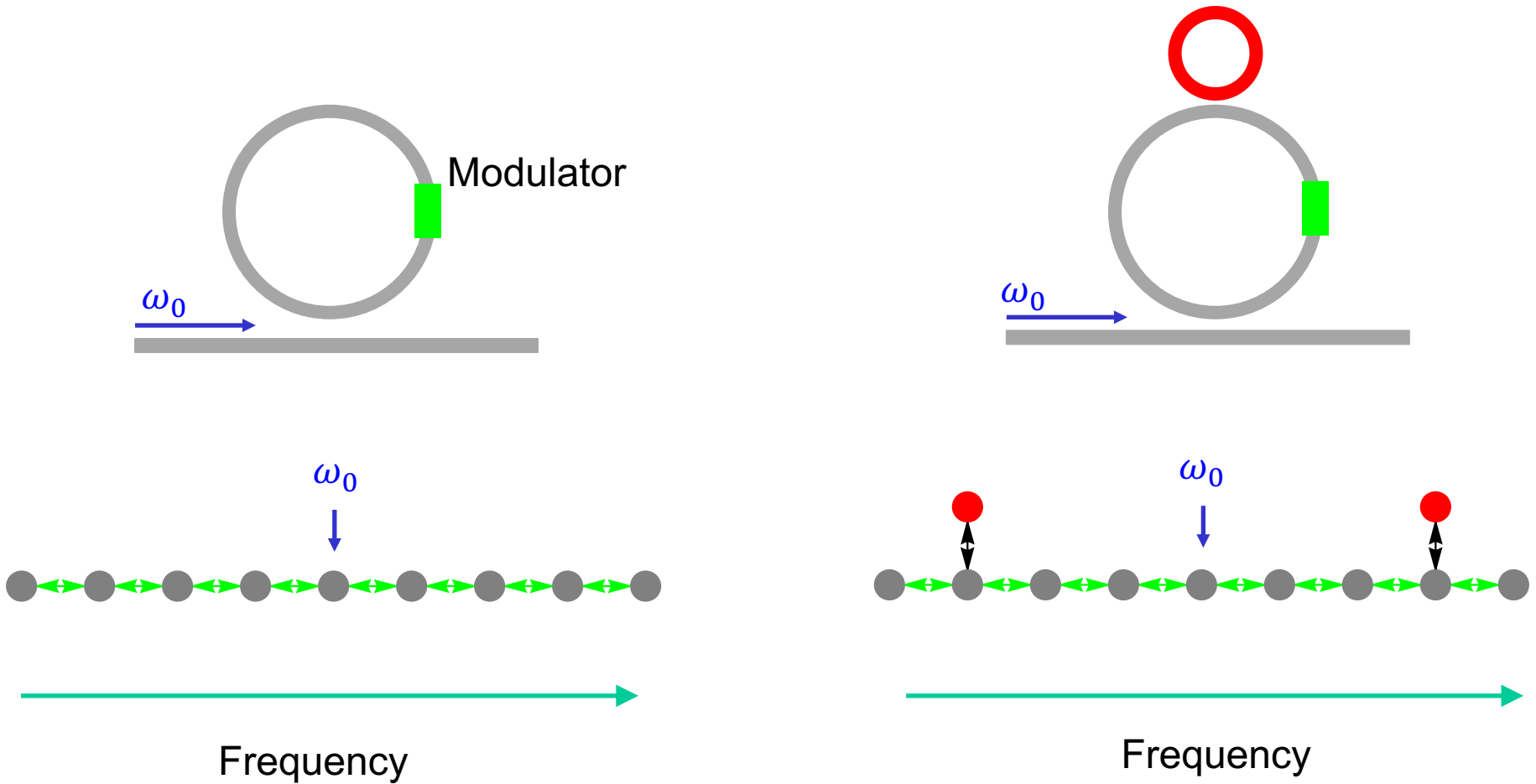


LiNbO₃ electro-optic modulator,
modulation frequency up to 2 GHz,
loss compensated with semiconductor optical amplifier

Modulation with a single frequency Ω equal to free spectral range

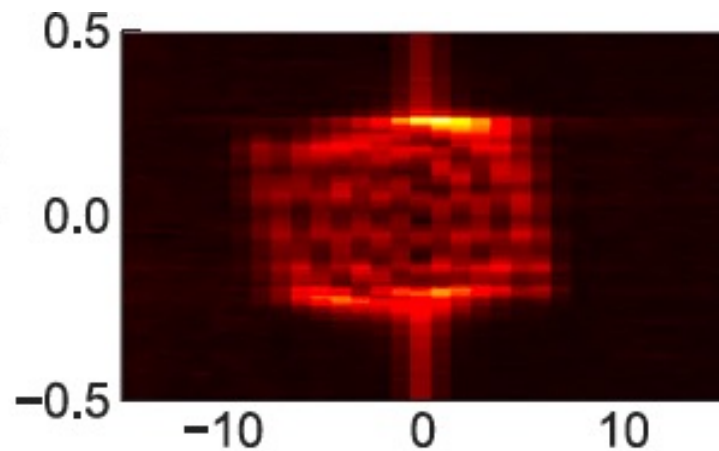
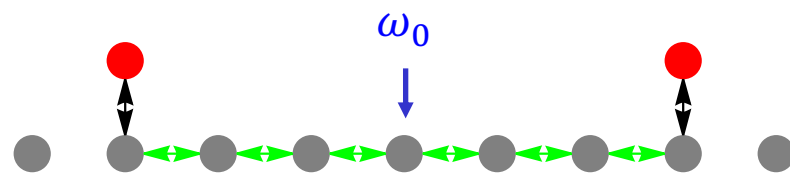
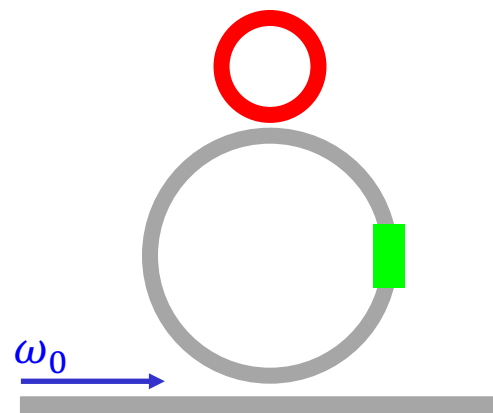
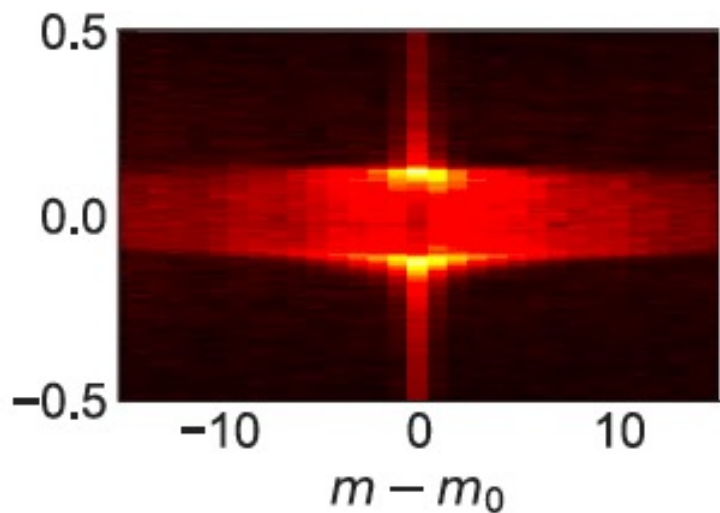
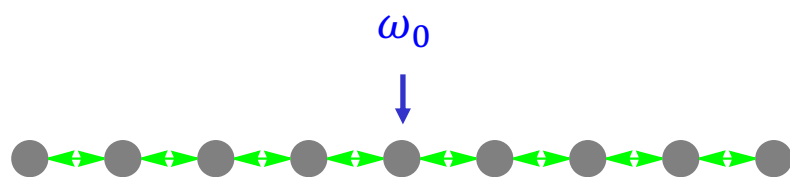
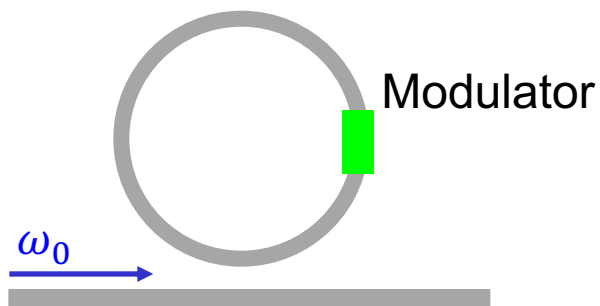


Creating boundary in frequency synthetic space

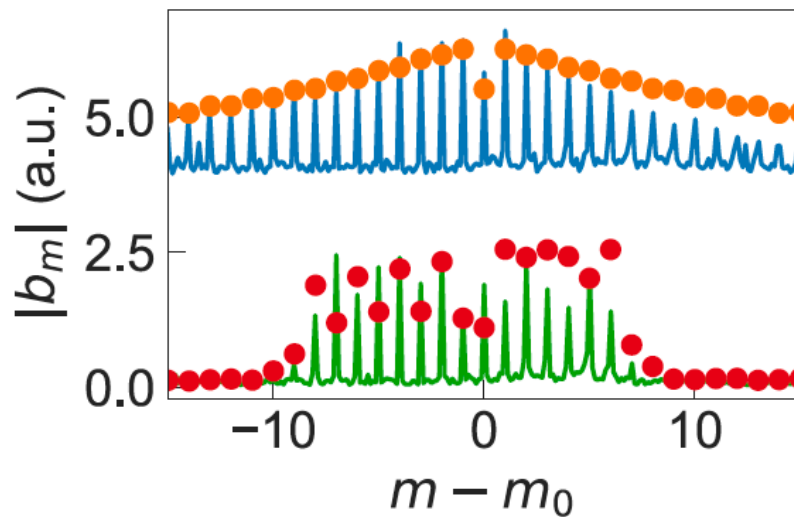
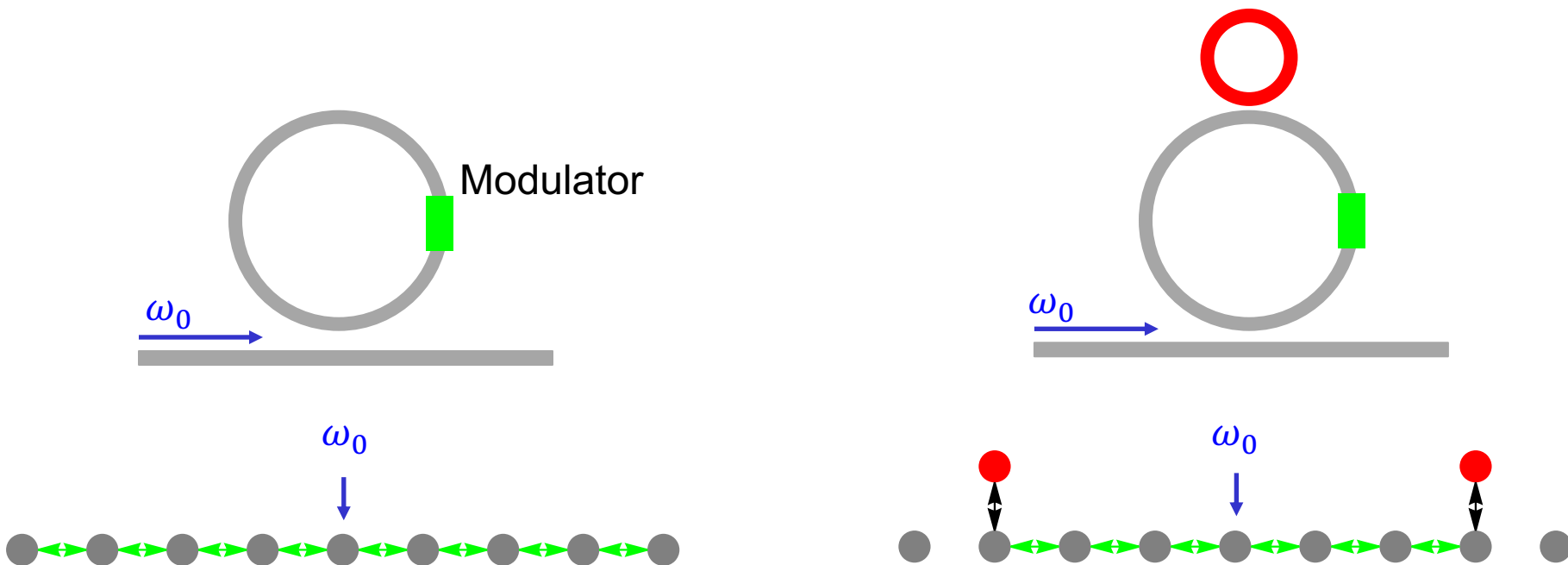


A. Dutt, L. Yuan, K. Y. Yang, K. Wang, S. Buddhiraju, J. Vuckovic, and S. Fan, Nature Communications 13, 3377 (2022).

Experimentally demonstrated boundary



Fabry-Perot Oscillation Along Frequency Dimension

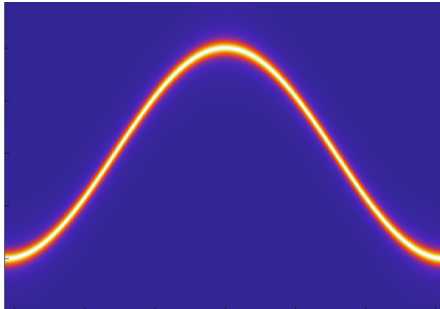


Without boundary

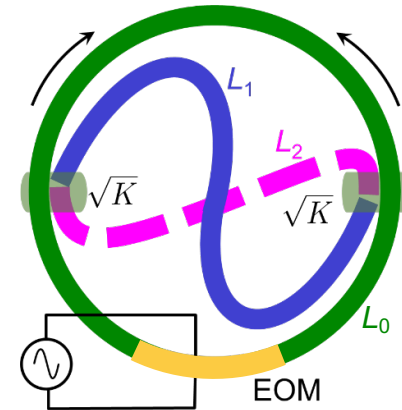
With boundary

Outline

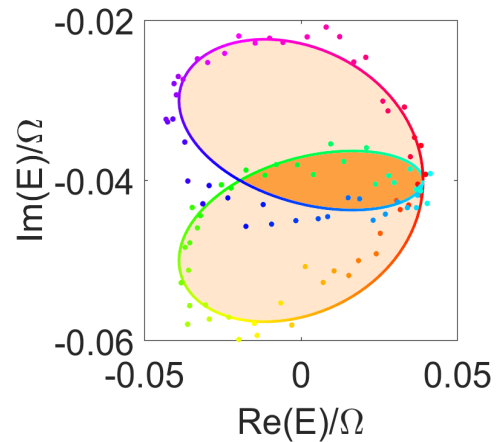
Background



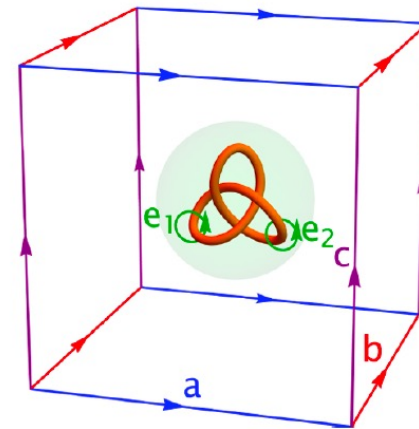
Hermitian Topology: experiments



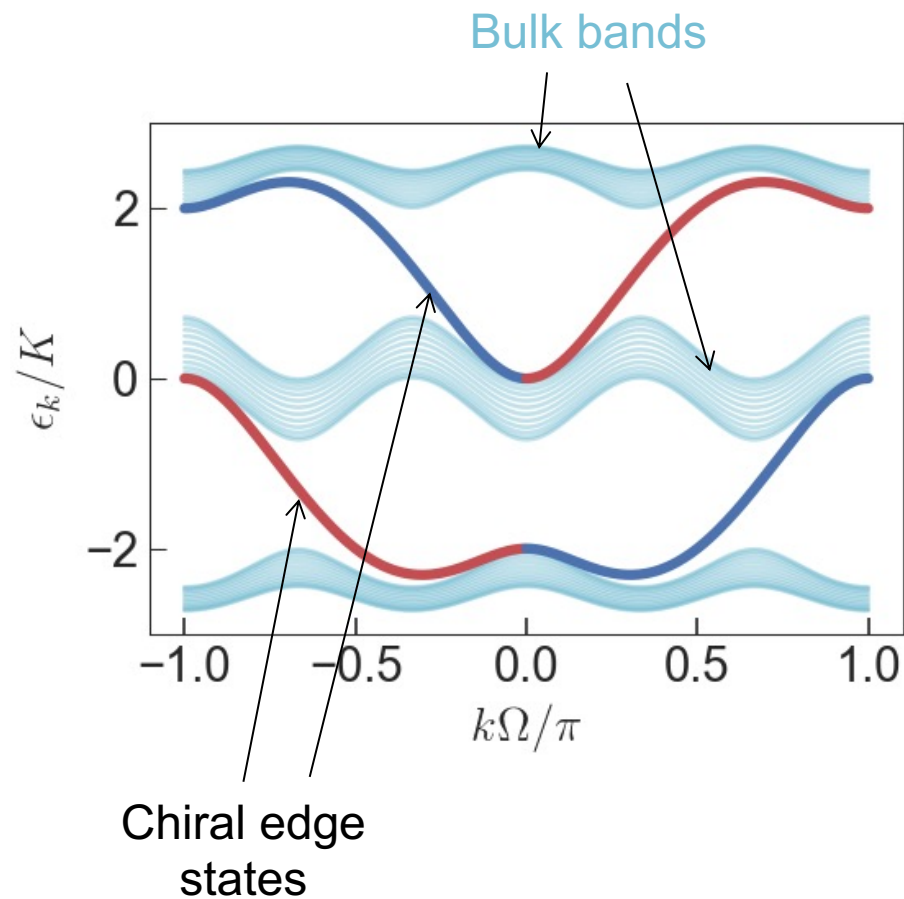
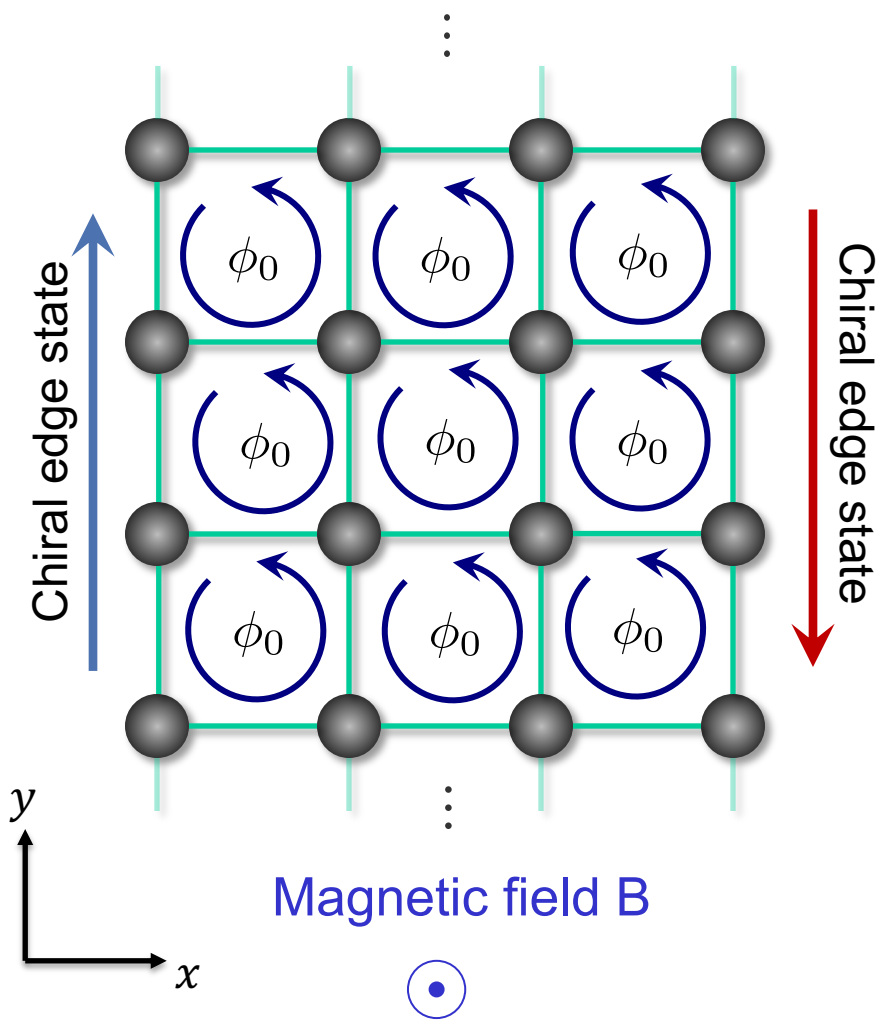
Non-Hermitian Topology: experiments



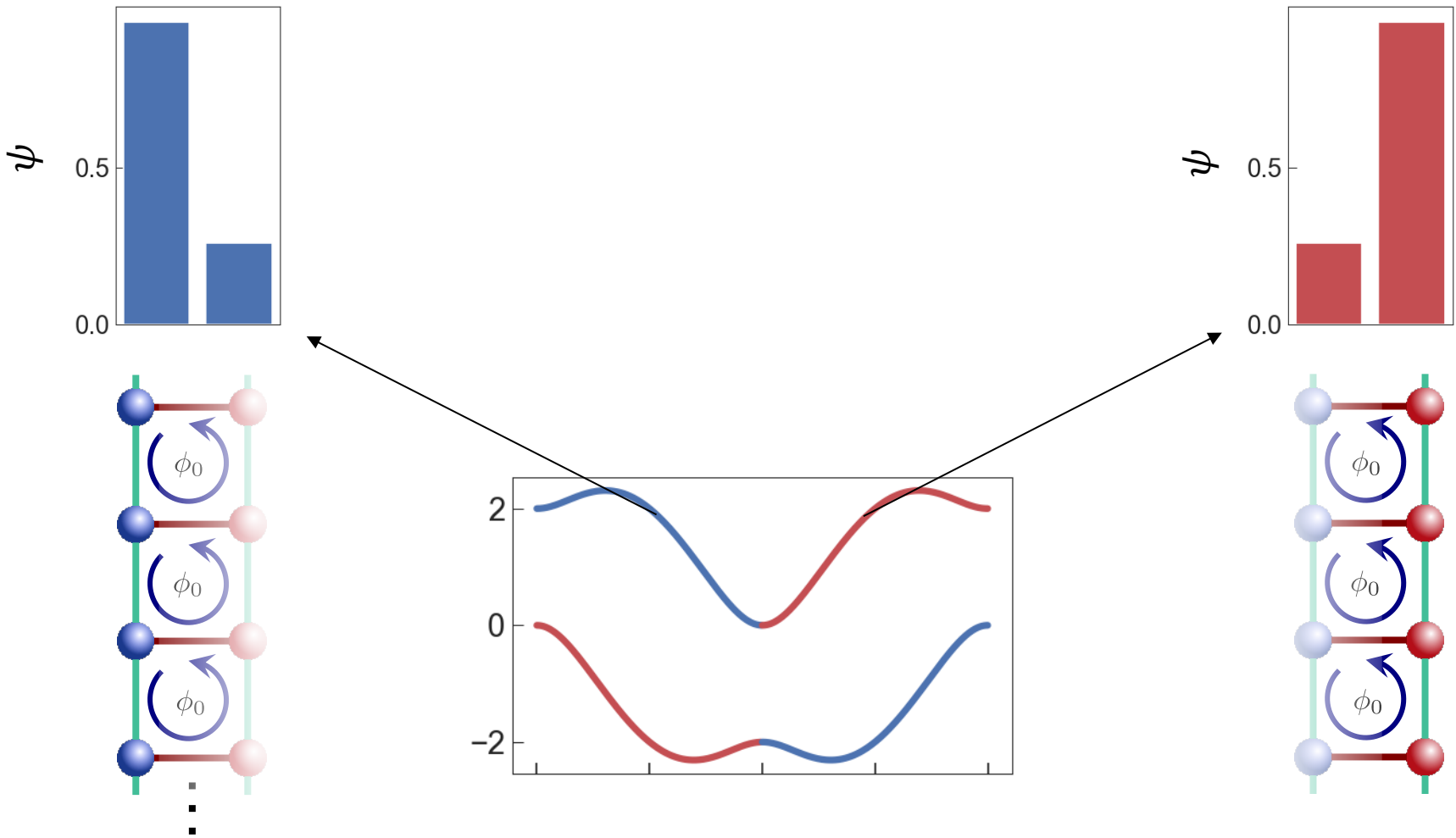
Non-Hermitian Topology: theory



Quantum Hall systems



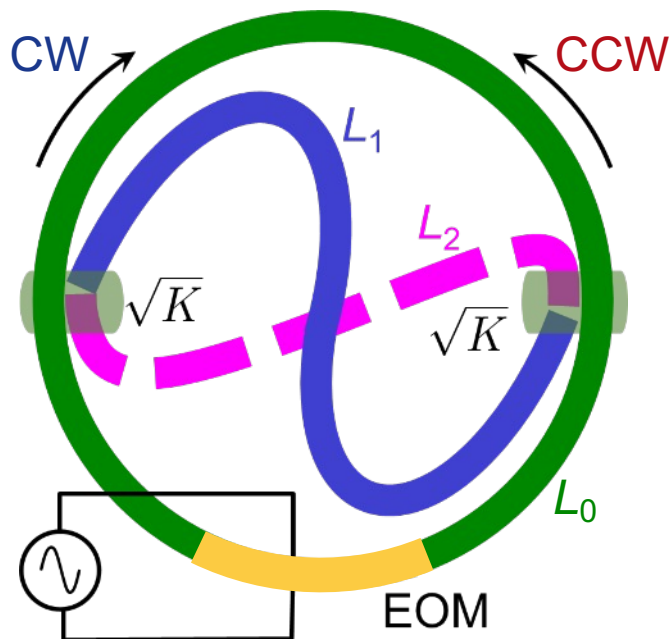
Chiral two-legged Hall ladder



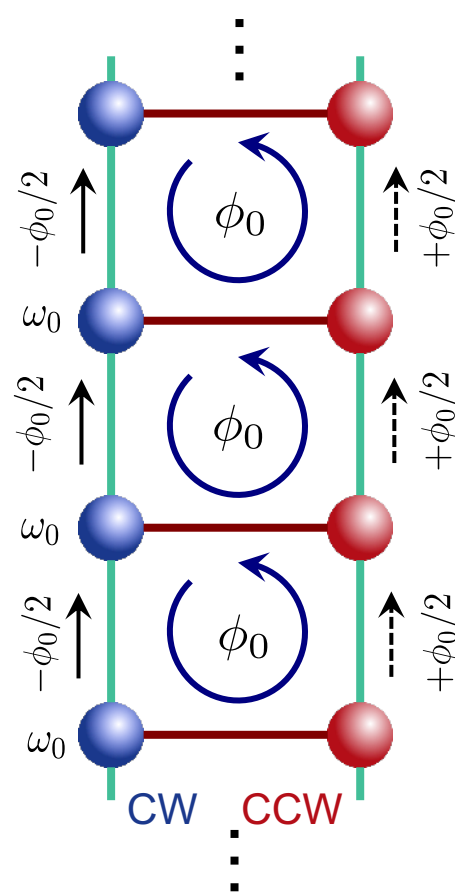
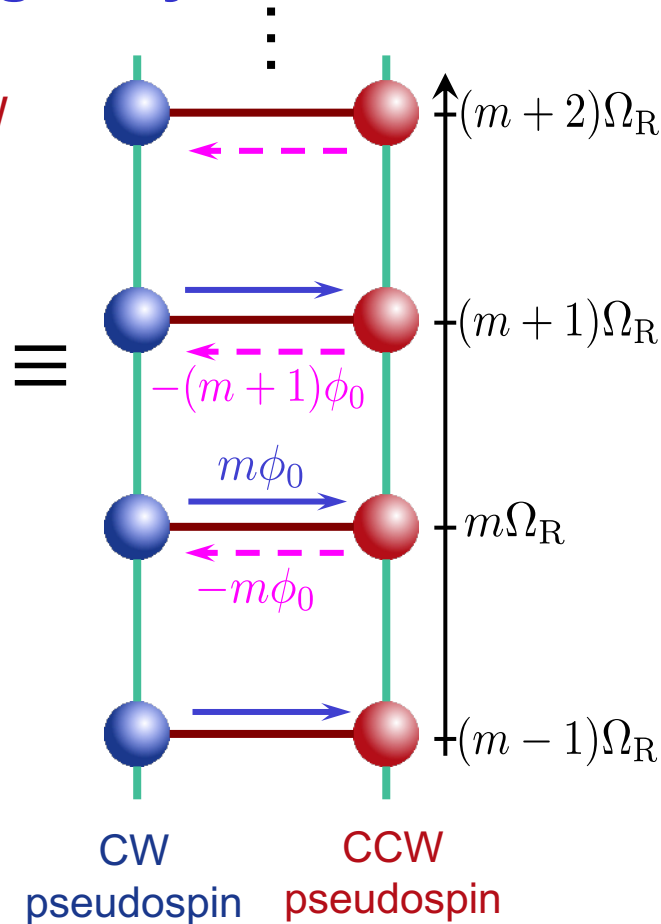
Entire bulk sites removed \rightarrow no bulk bands but **chiral edge states preserved**

Theory: D. Hugel and B. Paredes, Physical Review A 89, 023619 (2014).
Demonstrated in cold-atom systems with real-space lattices.

Two-legged synthetic Hall ladder



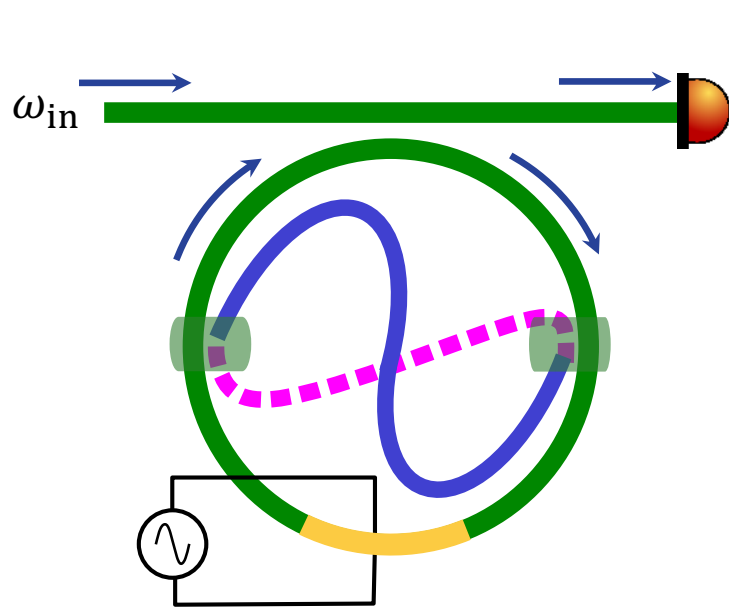
CW \rightarrow CCW: L_2
 CCW \rightarrow CW: L_1



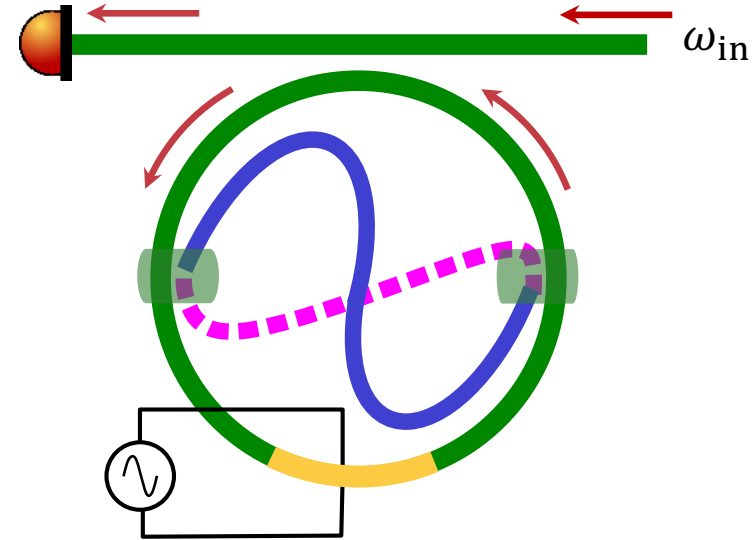
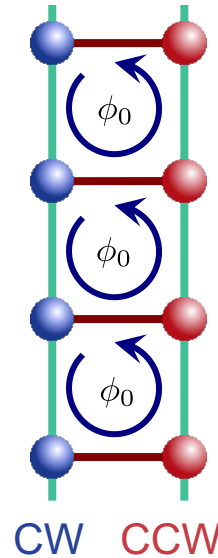
$$\Delta\phi = \beta_m(L_1 - L_2) = \frac{2\pi m}{L_0} \Delta L$$

- Realize effective magnetic field in synthetic space

Spin-resolved band structure measurement



Measure clockwise (**CW**) transmission, yields band structure projected on **CW** pseudospin by sweeping ω_{in}

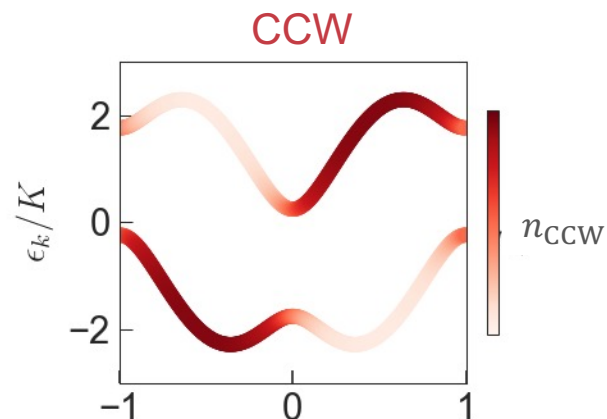
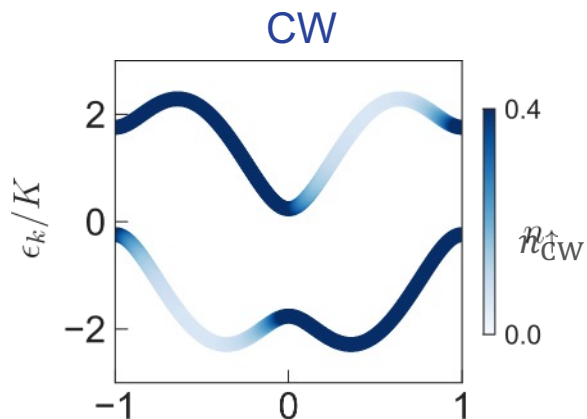


Measure counter-clock-wise (**CCW**) transmission, yields band structure projected on **CCW** pseudospin

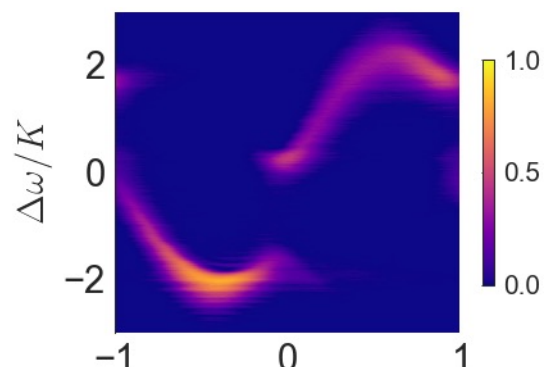
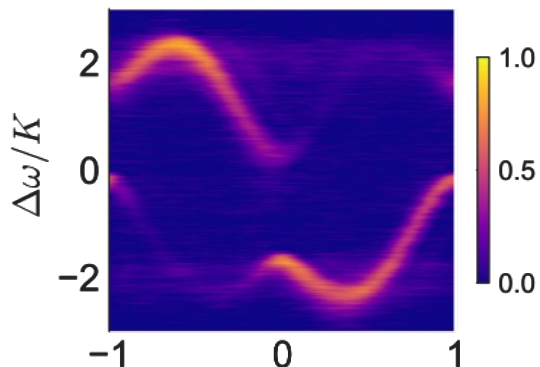
"Spin": CW or CCW modes

Chiral bands and spin-momentum locking

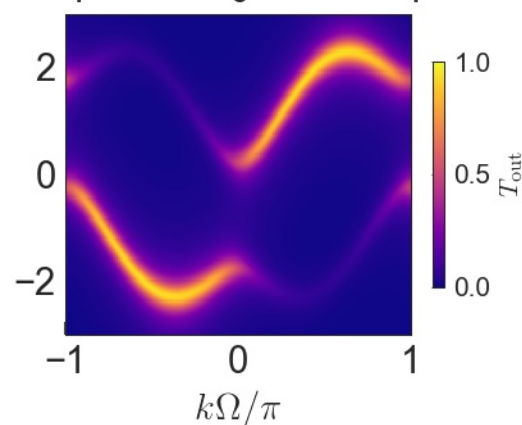
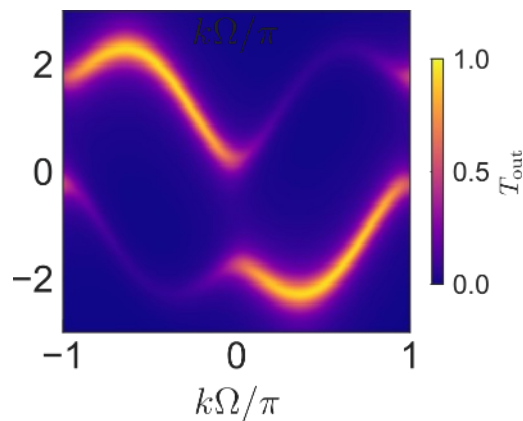
Theoretical
spin-projected
bands



Experimentally
measured
bands



Floquet
simulations

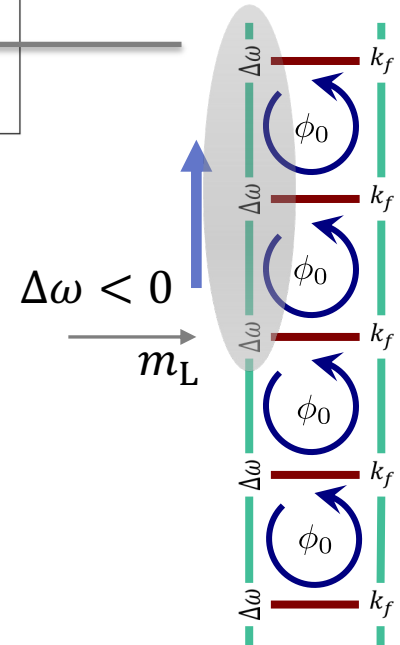
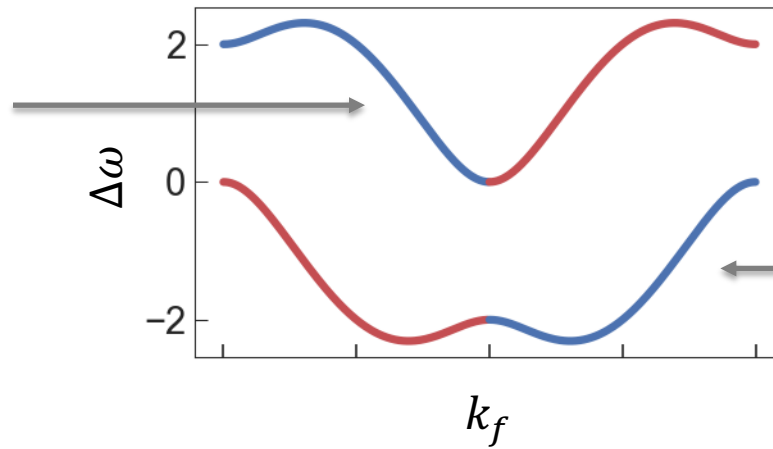
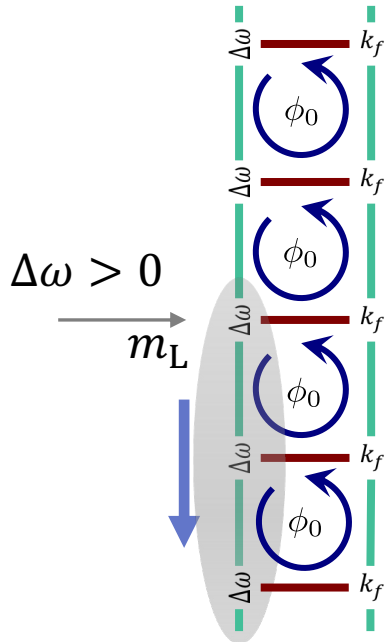


$$J/K = 2$$

$$\phi_0 = 3\pi/4$$

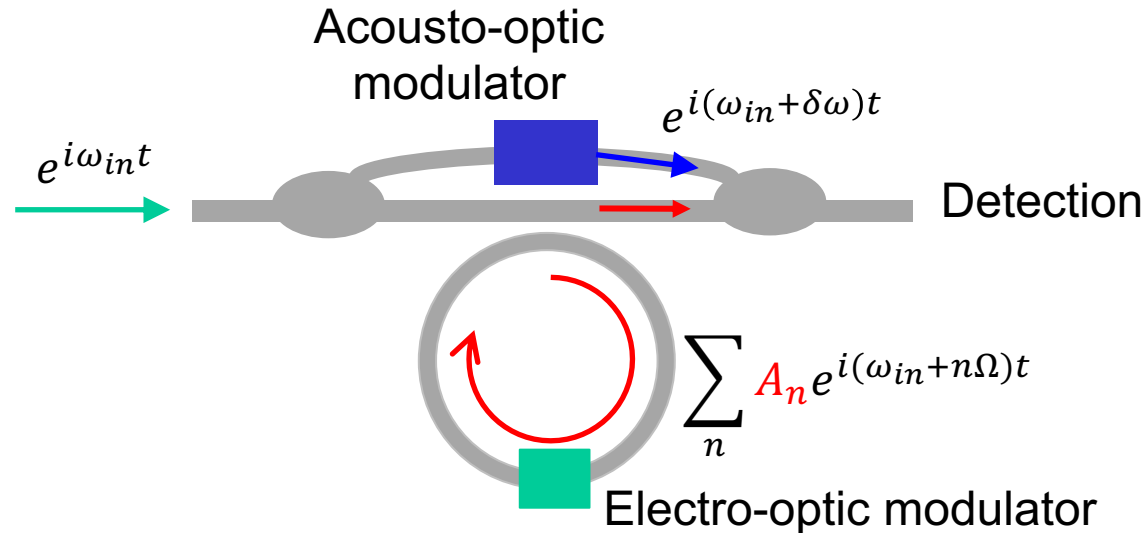
Chiral currents

Chiral current: $j_C = \sum_{m > m_L} P(m) - \sum_{m < m_L} P(m)$



To demonstrate one-way transport, we measure the output frequency spectrum

Heterodyne measurement of the spectrum



Aim of the measurement

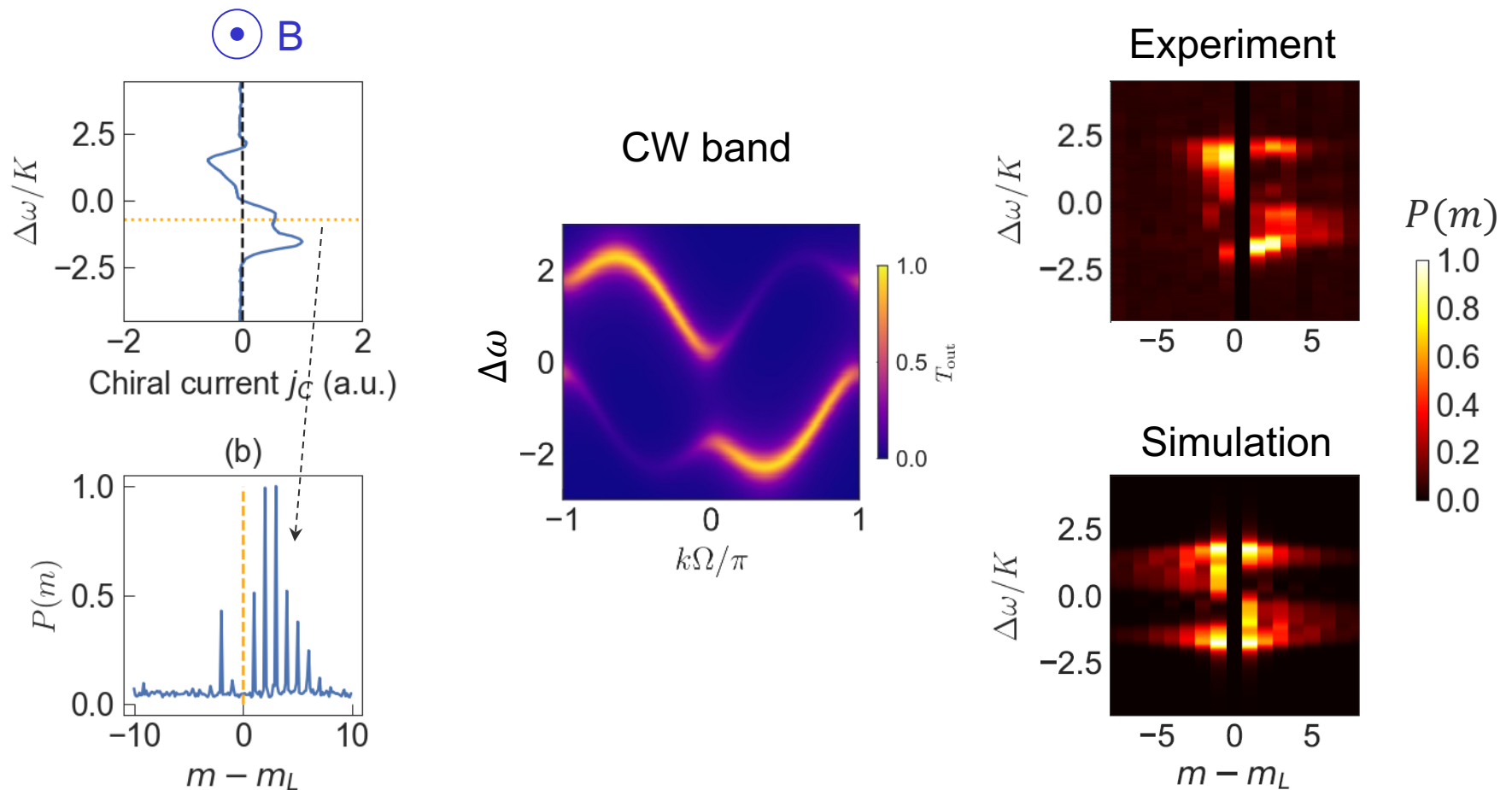
$$I(t) = \left| \underbrace{\sum_n A_n e^{i(\omega_{in} + n\Omega)t}}_{\text{Output of the modulated cavity}} + \underbrace{e^{i(\omega_{in} + \delta\omega)t}}_{\text{Small part of the input signal, frequency-shifted}} \right|^2 \sim \underbrace{\sum_n A_n e^{i(n\Omega - \delta\omega)t}}_{\text{Detected intensity}} + c.c$$

Output of the modulated cavity

Small part of the input signal, frequency-shifted

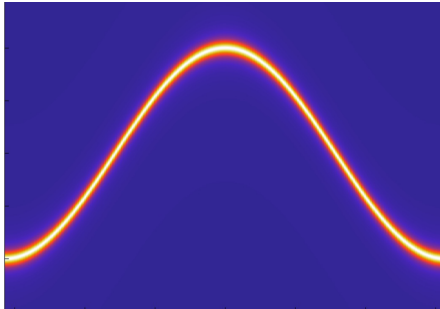
Detected intensity

Chiral current measurement results

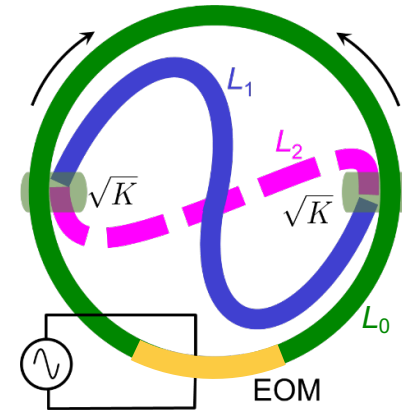


Outline

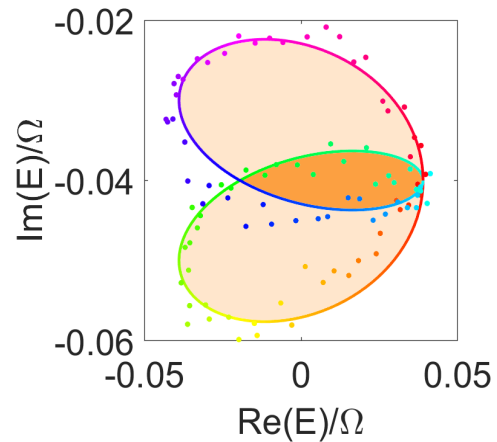
Background



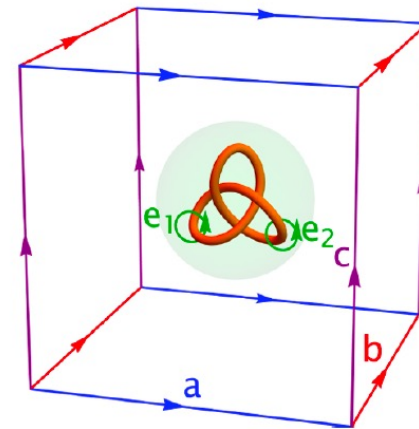
Hermitian Topology: experiments



Non-Hermitian Topology: experiments

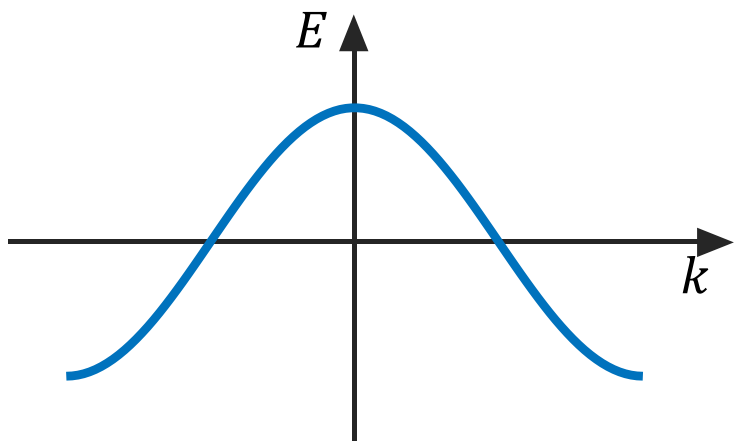


Non-Hermitian Topology: theory

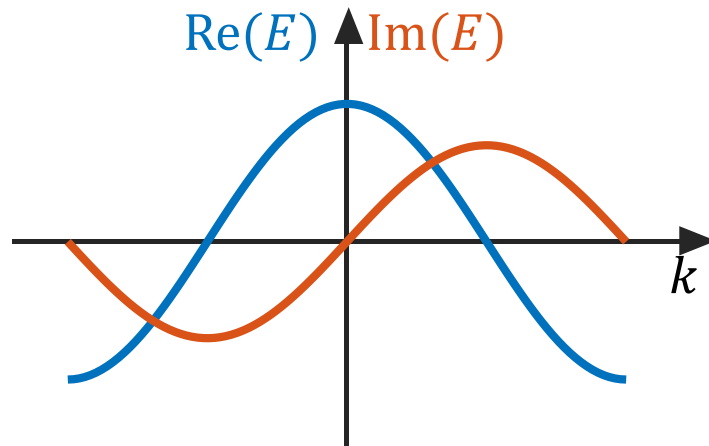


Band structure of Hermitian versus non-Hermitian systems in one dimension

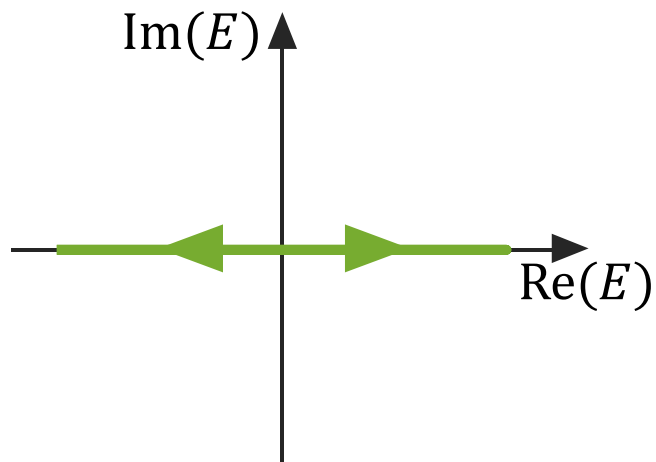
Hermitian



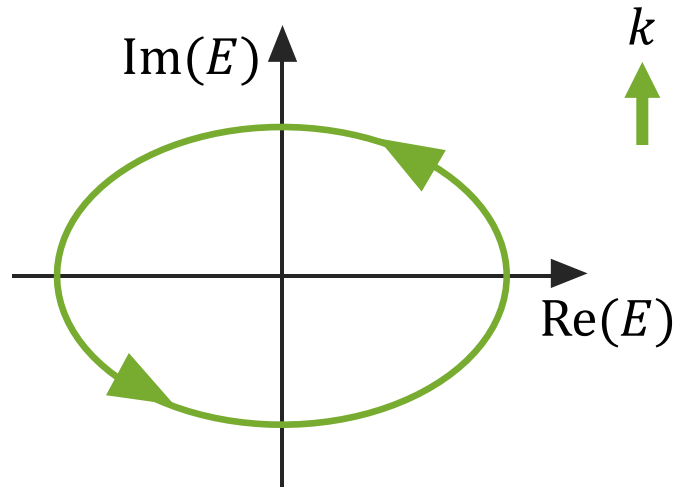
Non-Hermitian



$\text{Im}(E)$

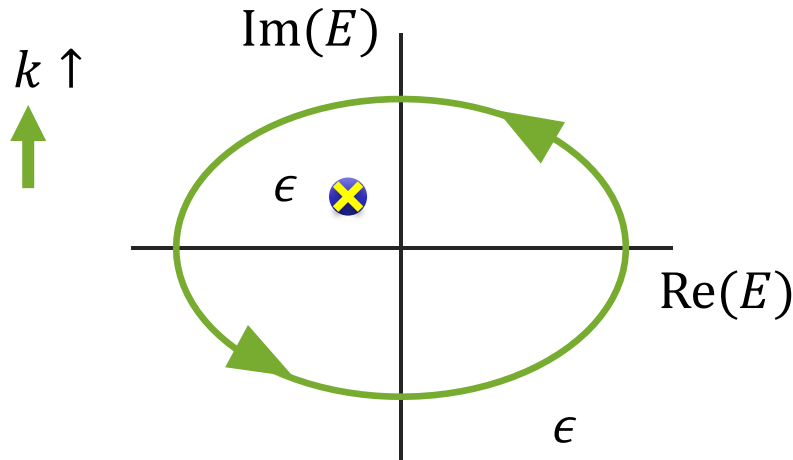


$\text{Im}(E)$



Point-gap topology

Topology of a single non-Hermitian band with respect to a given reference frequency ϵ



Winding number

$$v := \int_0^{2\pi} \frac{dk}{2\pi i} \frac{d}{dk} \ln[E(k) - \epsilon]$$

$v = 1$ if ϵ is in the loop 

$v = 0$ if ϵ is outside the loop 

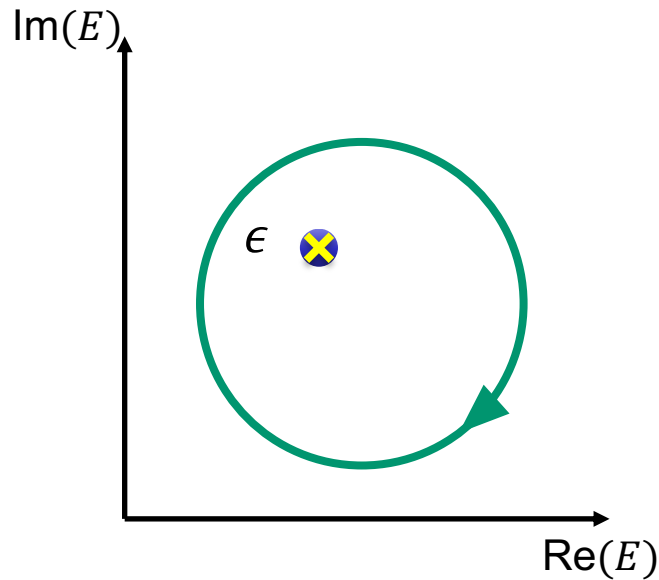
K. Kawabata, K. Shiozaki, M. Ueda, and M. Sato, Phys. Rev. X **9**, 041015 (2019).

Z. Gong, et al., Phys. Rev. X **8**, 031079 (2018).

N. Okuma, et al., Phys. Rev. Lett. **124**, 086801 (2020).

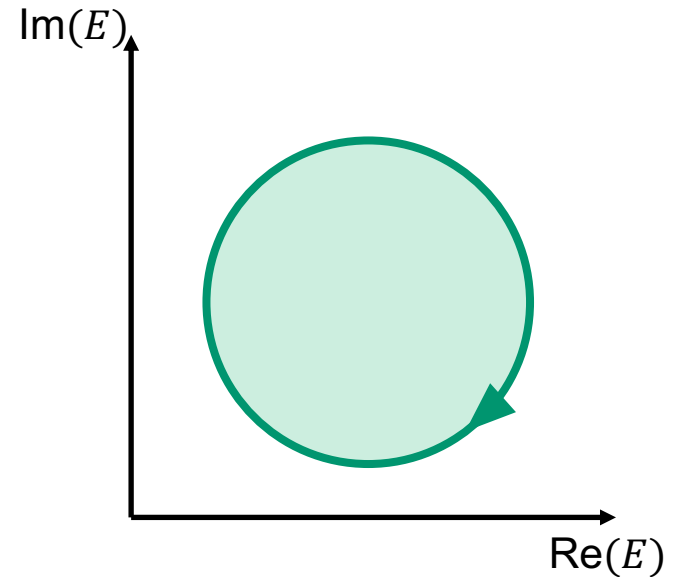
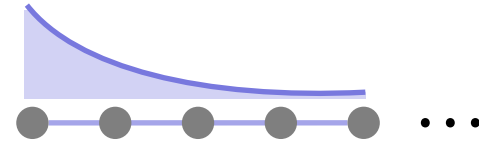
Implication of point-gap topology: non-Hermitian skin effect

Infinite (periodic) structure



$$\nu = -1 \text{ at } \epsilon$$

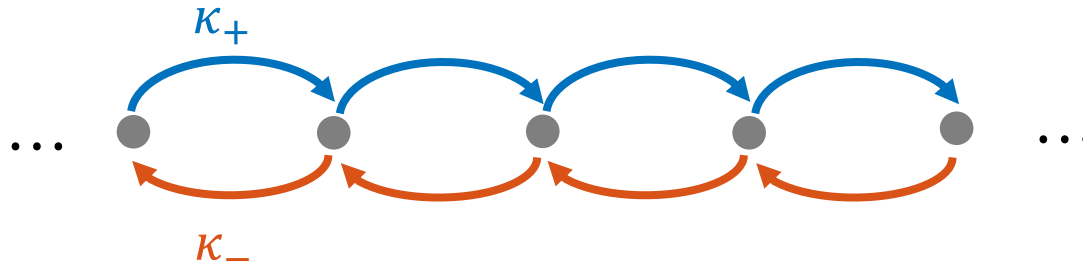
Semi-infinite structure



There are exactly $|\nu|$ edge states at every such ϵ

Theory: Z. Gong, et al., Phys. Rev. X 8, 031079 (2018); N. Okuma, et al., Phys. Rev. Lett. 124, 086801 (2020).
Experiment: S. Weidemann, et al., Science 368, 311 (2020); L. Xiao, et al., Nat. Phys. 16, 761 (2020);
T. Helbig, et al., Nat. Phys. 16, 747 (2020).

Hatano-Nelson model



$$\hat{H} = \kappa_+ \hat{a}_{n+1}^\dagger \hat{a}_n + \kappa_- \hat{a}_n^\dagger \hat{a}_{n+1}$$

$$\kappa_+ = C + \Delta$$

$$\kappa_- = C - \Delta$$

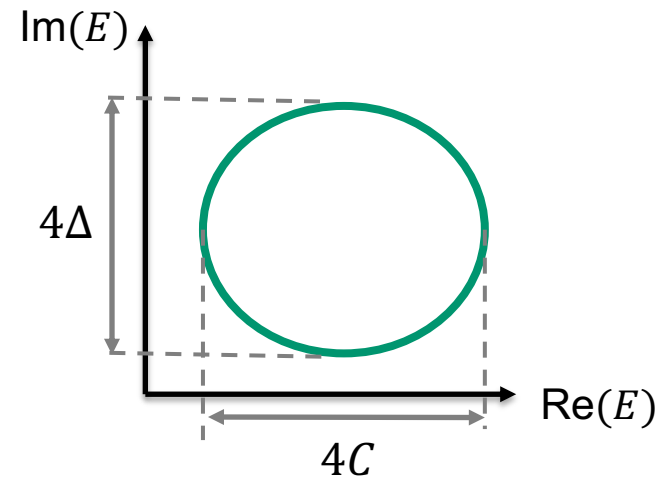
$$C, \Delta \in \mathbb{R}$$

Direction-dependent coupling amplitude

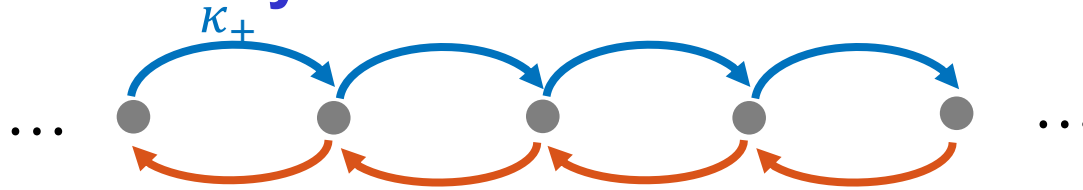
Complex-valued dispersion

$$E(k) = 2C \cos k + 2\Delta i \sin k$$

Sign of $C\Delta$ determines the handedness of the loop



Implementation of the Hatano-Nelson model in a synthetic dimension



$$\hat{H} = \kappa_+ \hat{a}_{n+1}^\dagger \hat{a}_n + \kappa_- \hat{a}_n^\dagger \hat{a}_{n+1}$$

$$\kappa_{\pm} = C \pm \Delta$$

Hermitian part

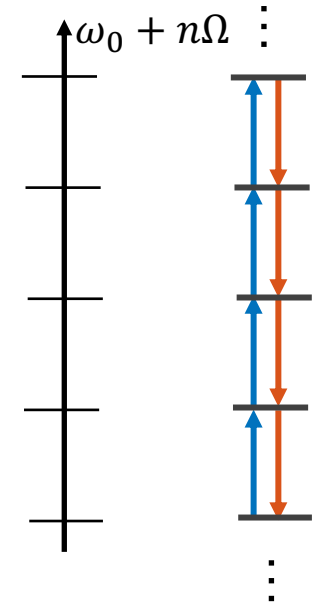
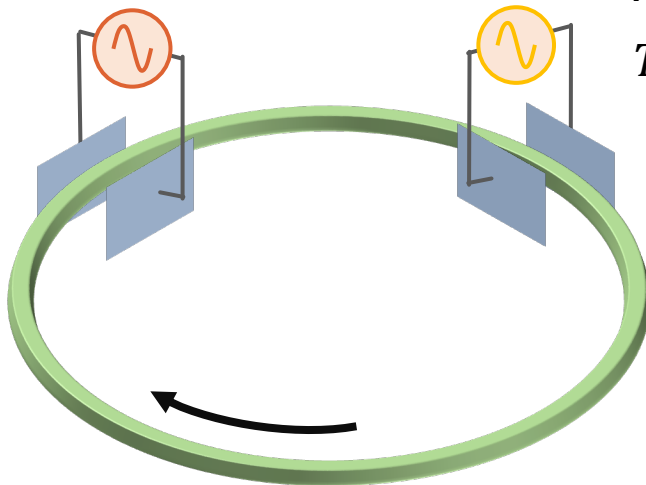
Phase modulation

$$T_{Ph} = \exp(-i2C \cos \Omega t)$$

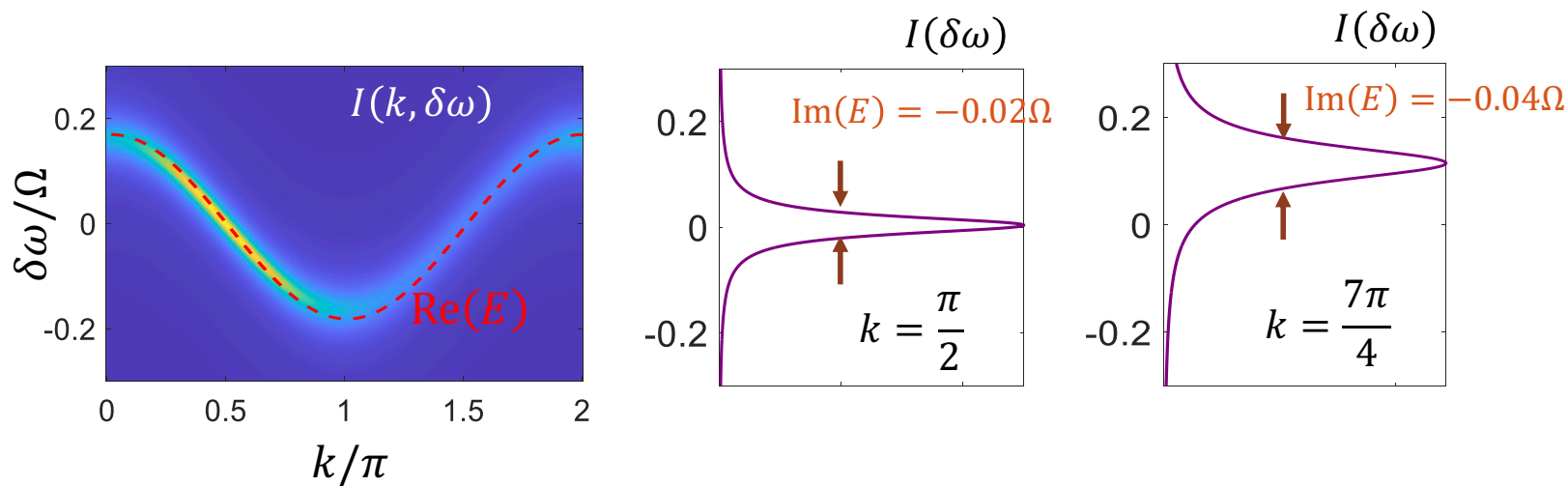
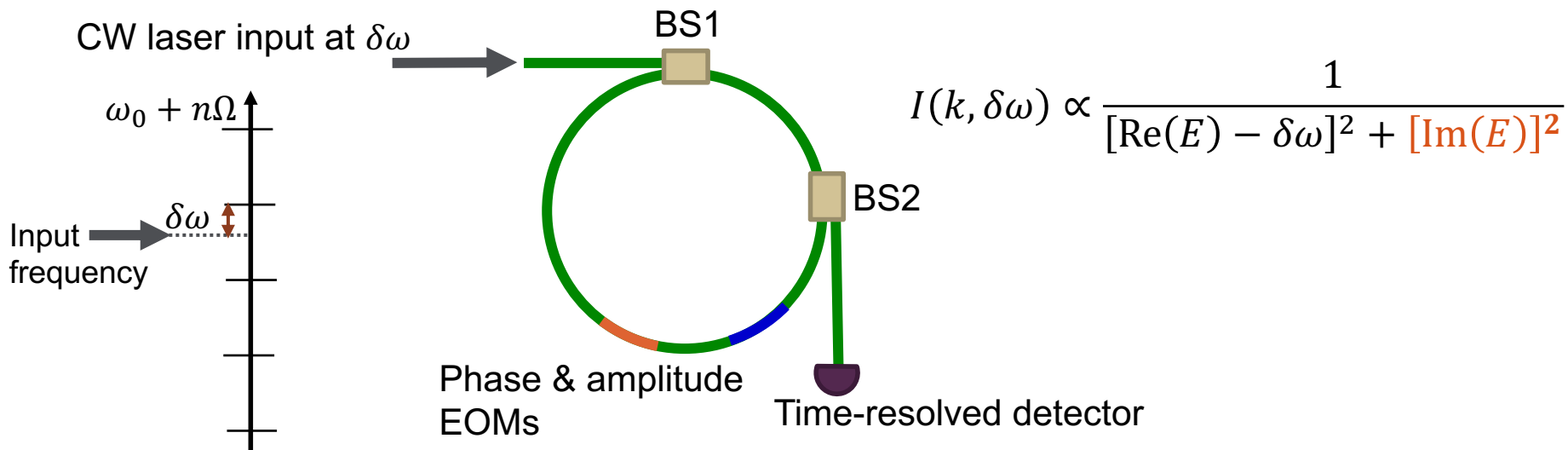
Anti-Hermitian part

Amplitude modulation

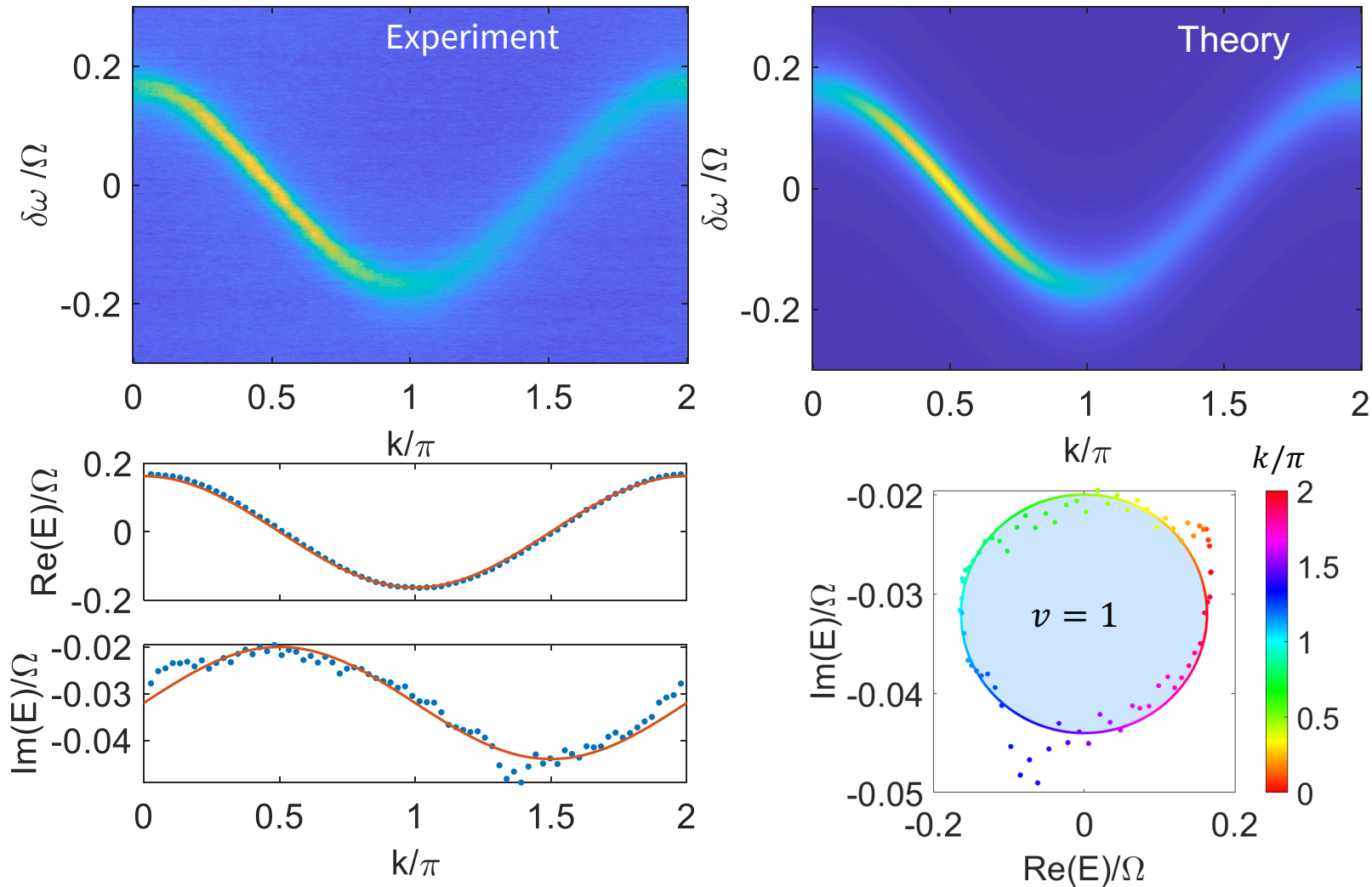
$$T_{Am} = 1 + 2\Delta \sin \Omega t$$



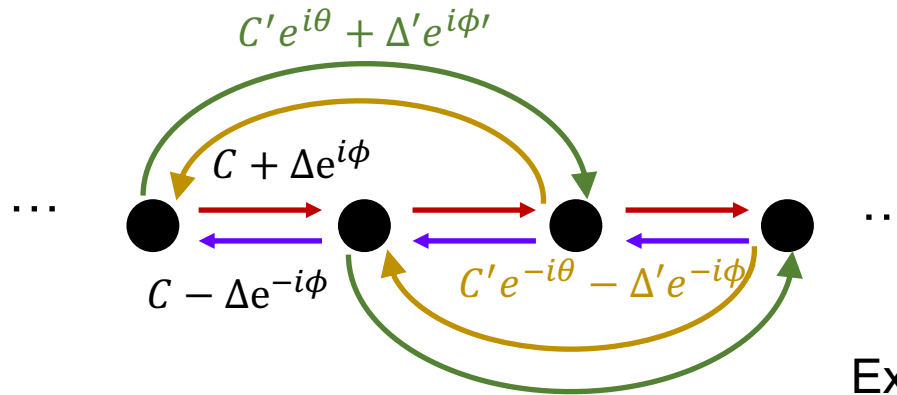
Complex band structure measurement in frequency synthetic space



Demonstrating the Hatano-Nelson model



Implementation of long-range coupling



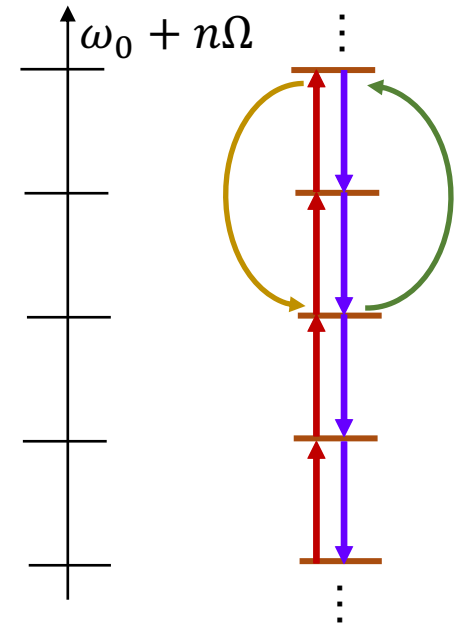
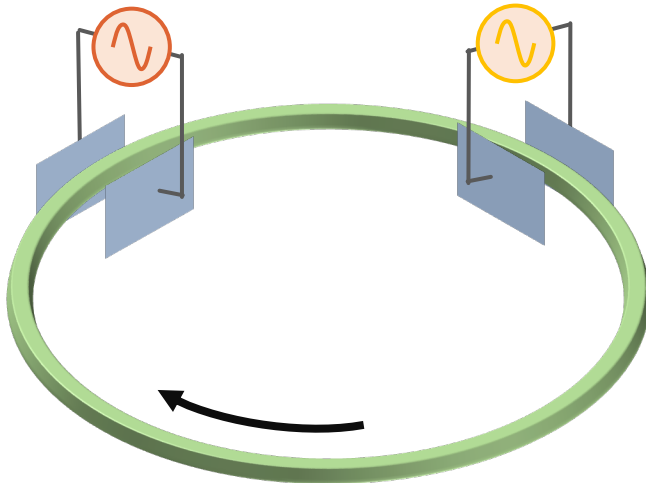
Phase modulation

$$T_{Ph} = \exp[-i2C \cos \Omega t - i2C' \cos(2\Omega t + \theta)]$$

Amplitude modulation

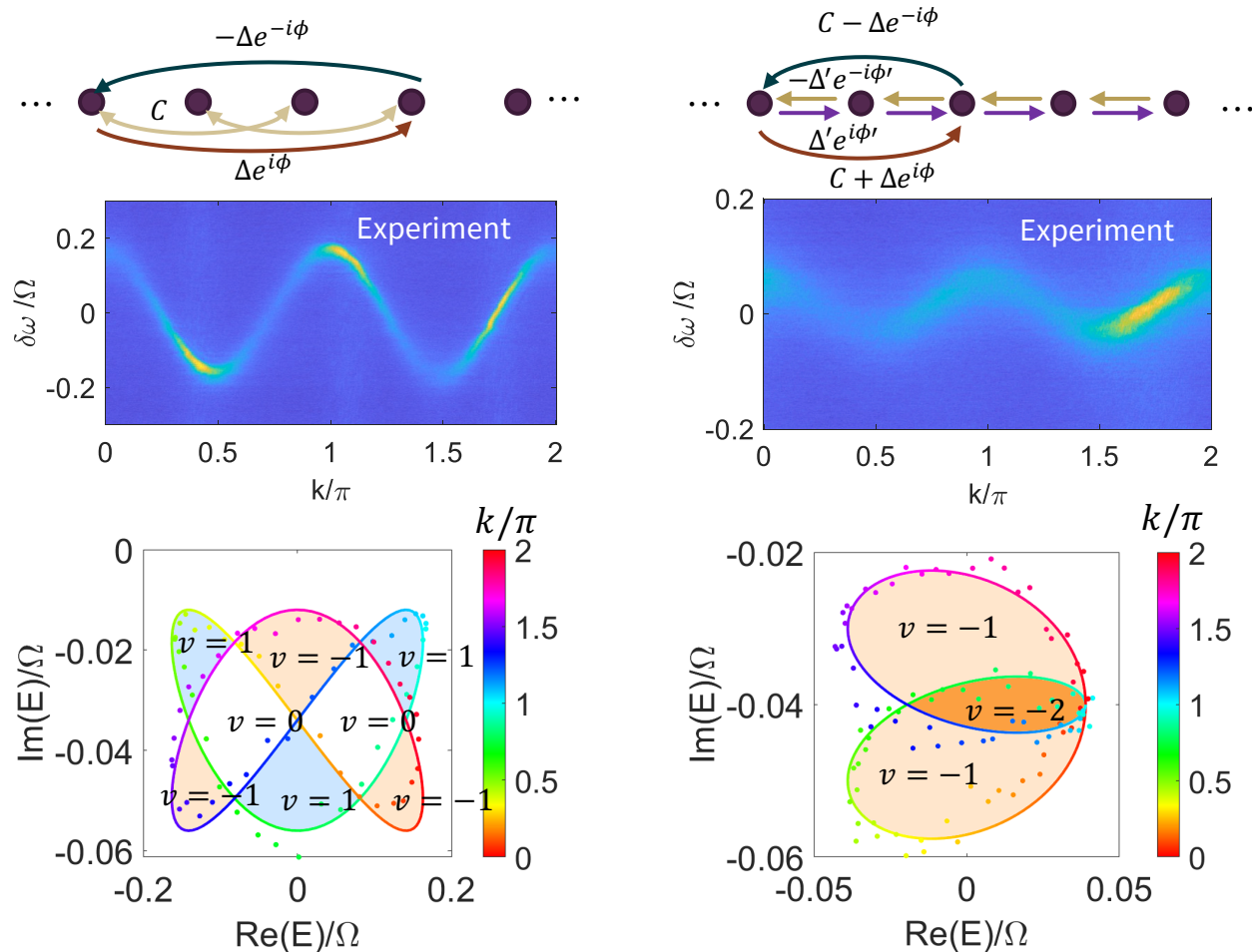
$$T_{Am} = 1 + 2\Delta \sin(\Omega t + \phi) + 2\Delta' \sin(2\Omega t + \phi')$$

Example: $\{m\} = \{1,2\}$



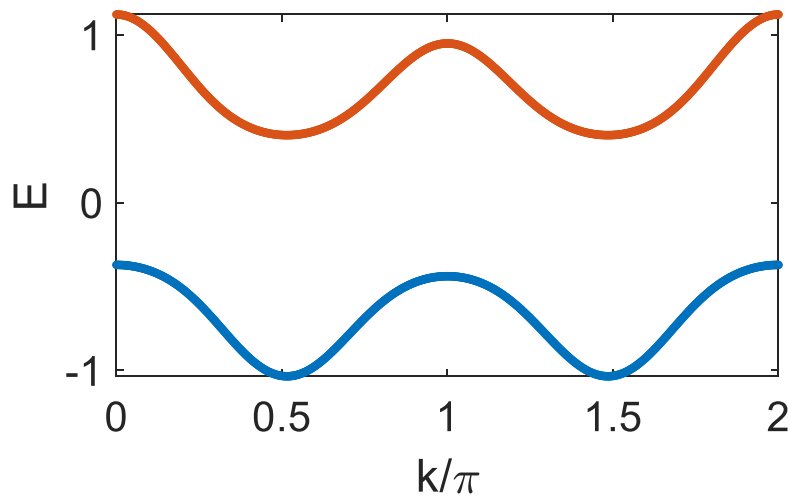
Experimental demonstration of complex winding of a single non-Hermitian band

Longer-ranges of coupling can give rise to more nontrivial windings

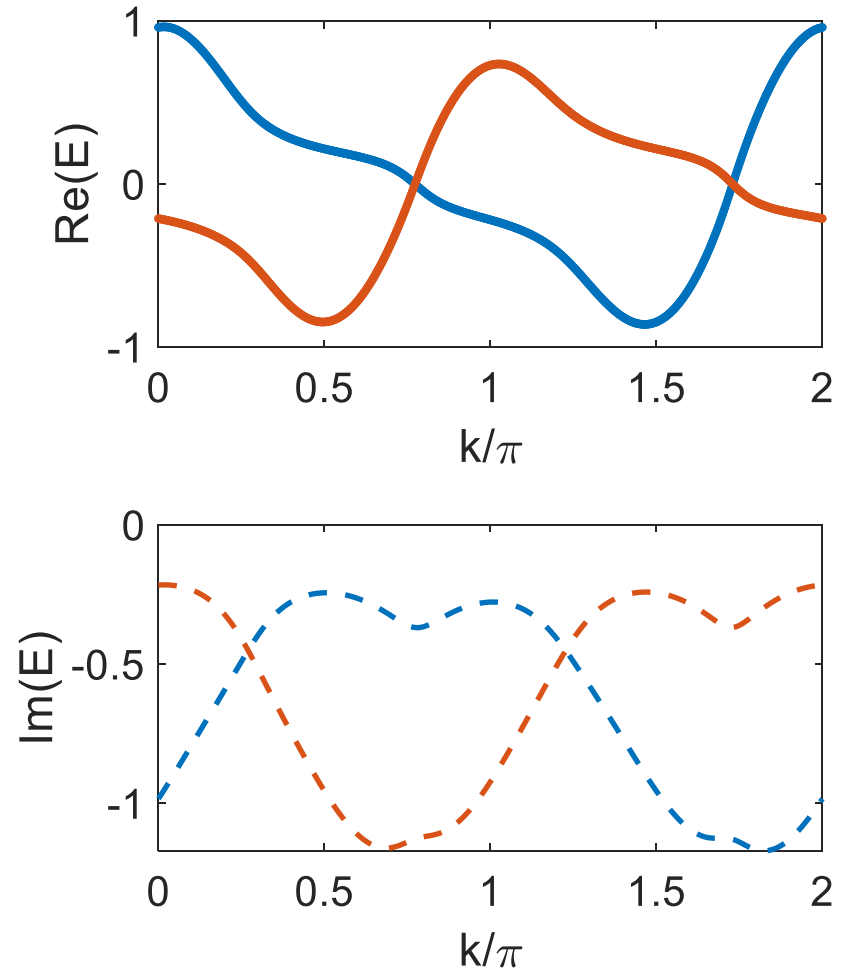


Multiple energy bands of Hermitian versus non-Hermitian systems in one dimension

Hermitian

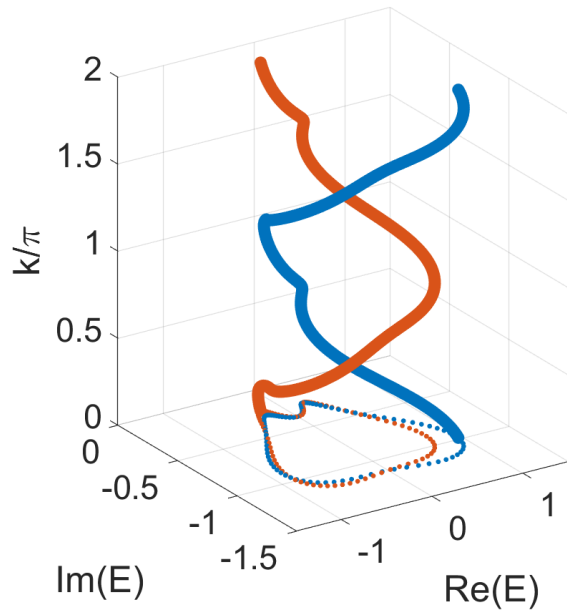


Non-Hermitian



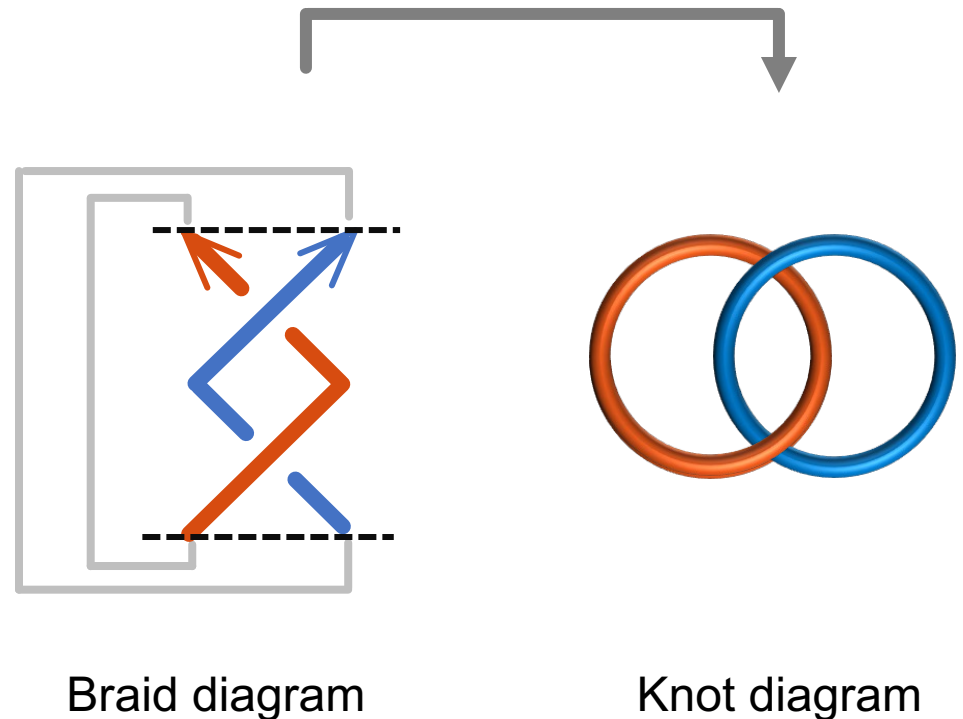
Braiding of non-Hermitian energy bands

Non-Hermitian band structure
in $(\text{Re}(E), \text{Im}(E), k)$ – space

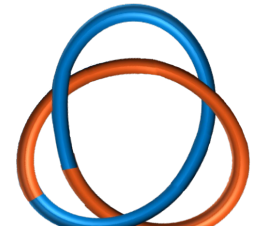
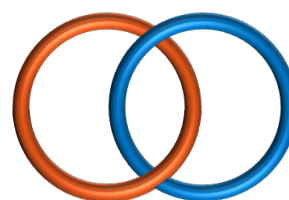
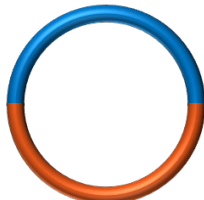
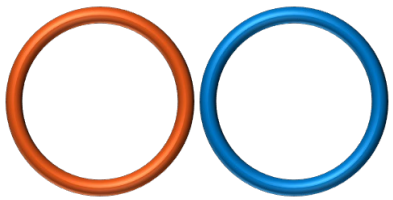
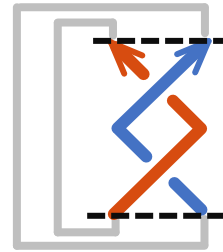
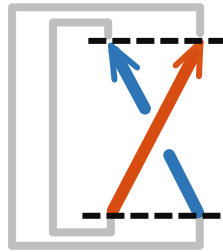
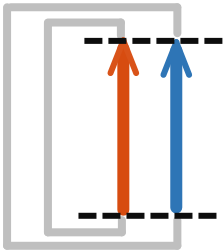
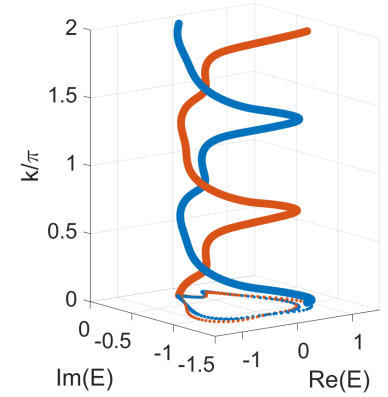
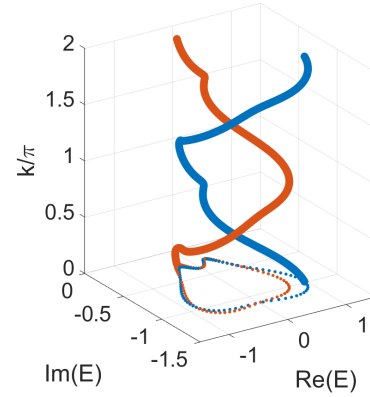
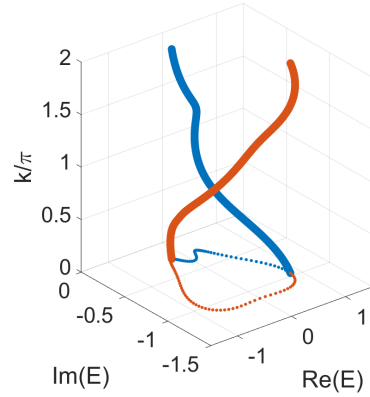
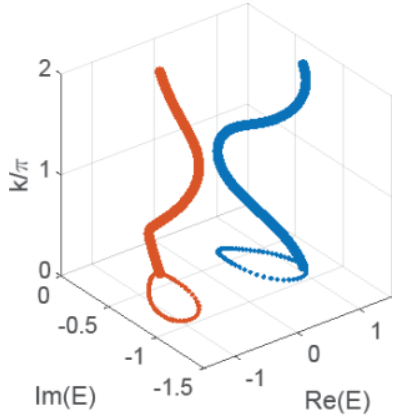


$$E(k = 0) = E(k = 2\pi)$$

Closure of the braid forms a knot/ link



Examples of two-band braids and the corresponding knots/links



Unlink

Unknot

Hopf link

Trefoil

N separable non-Hermitian bands in one dimension can be classified by the braid group \mathbb{B}_N

$$\pi_1[UConf_N(\mathbb{C})] = \mathbb{B}_N$$

An example with three energy bands ($N = 3$)

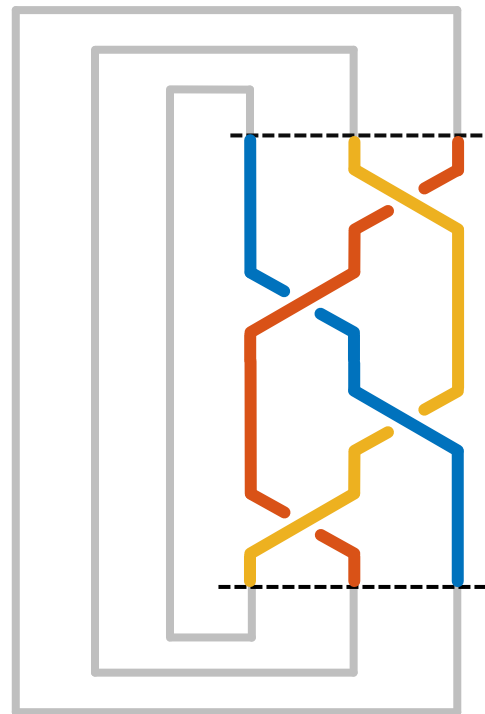
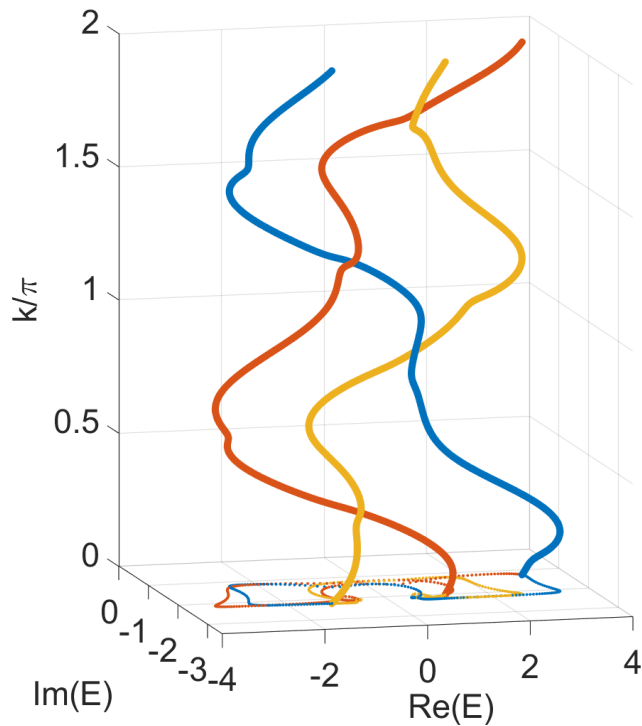
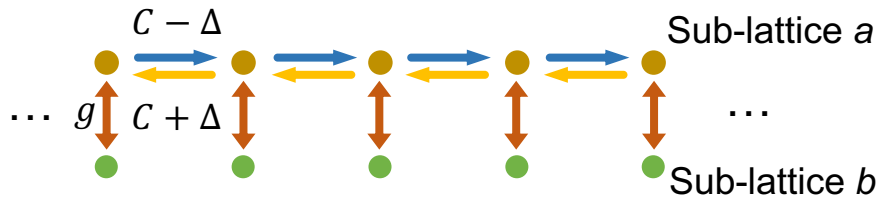


Figure-eight knot

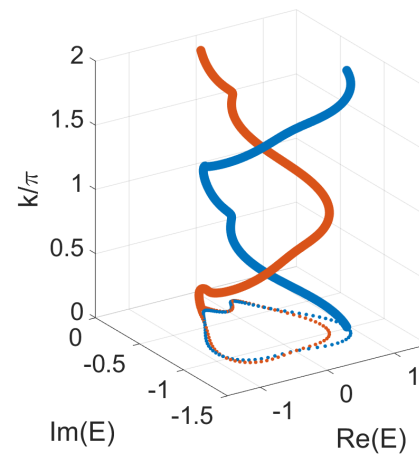
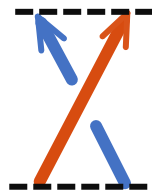
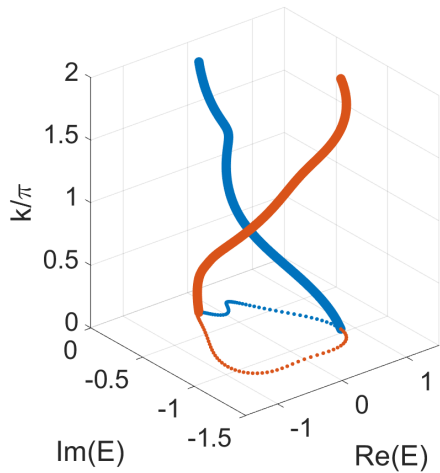
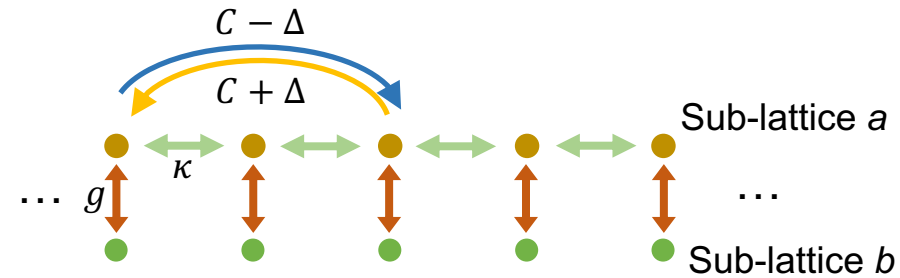
A model Hamiltonian for realizing two-band braids

$$\hat{H}^{(m)} = \sum_n \underbrace{g \hat{a}_n^\dagger \hat{b}_n + g \hat{b}_n^\dagger \hat{a}_n}_{\text{orange}} \underbrace{- i\gamma \hat{a}_n^\dagger \hat{a}_n}_{\text{grey}} \underbrace{+ \kappa \hat{a}_{n+1}^\dagger \hat{a}_n + \kappa \hat{a}_n^\dagger \hat{a}_{n+1}}_{\text{green}} \underbrace{+ (C - \Delta) \hat{a}_{n+m}^\dagger \hat{a}_n}_{\text{blue}} \underbrace{+ (C + \Delta) \hat{a}_n^\dagger \hat{a}_{n+m}}_{\text{yellow}}$$

$m = 1$



$m = 2$



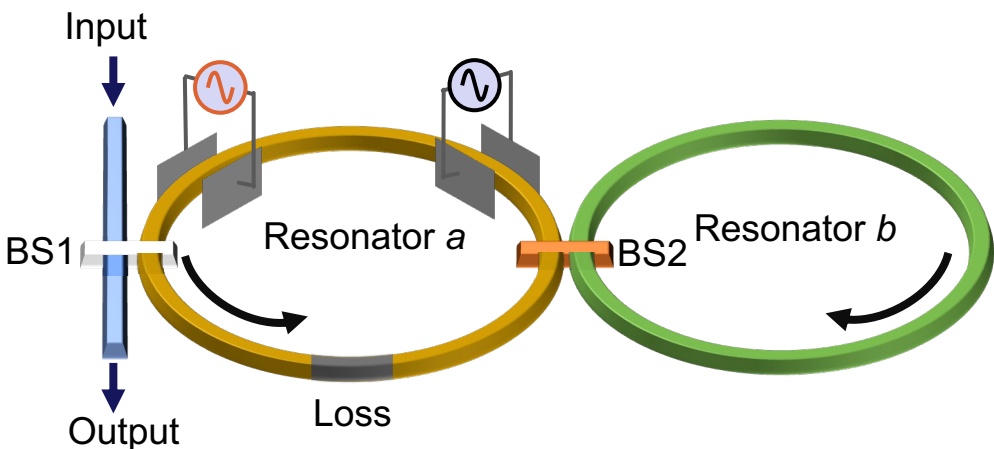
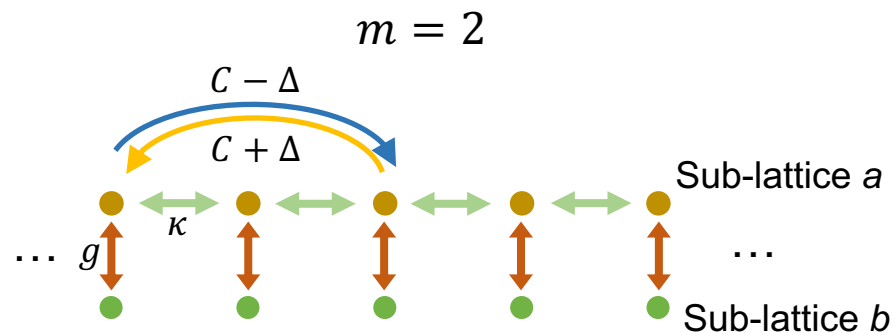
Experimental realization of the model Hamiltonian

① Phase modulation

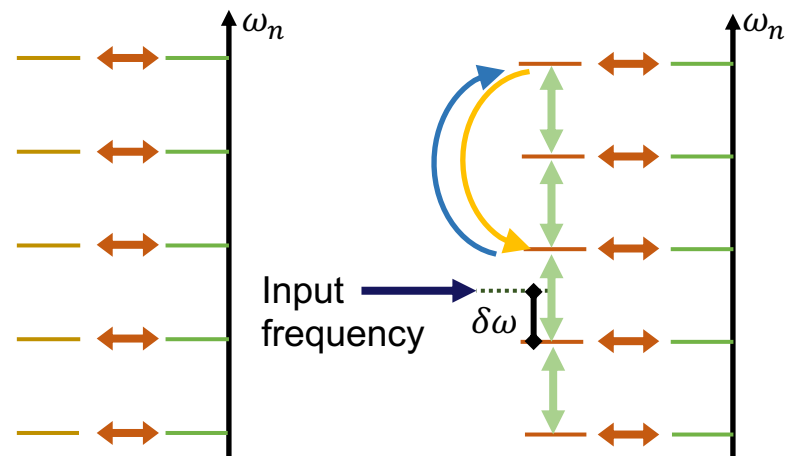
$$T_{Ph} = \exp[-i(2\kappa \cos \Omega t + 2C \cos m\Omega t)]$$

② Amplitude modulation

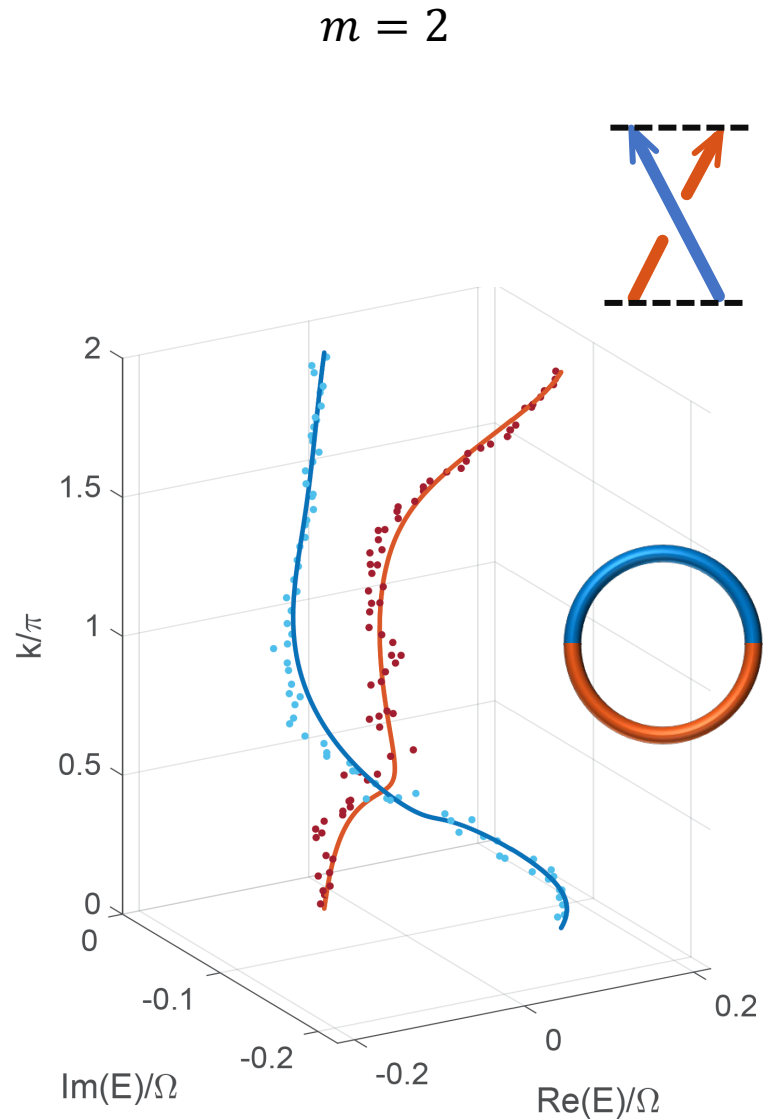
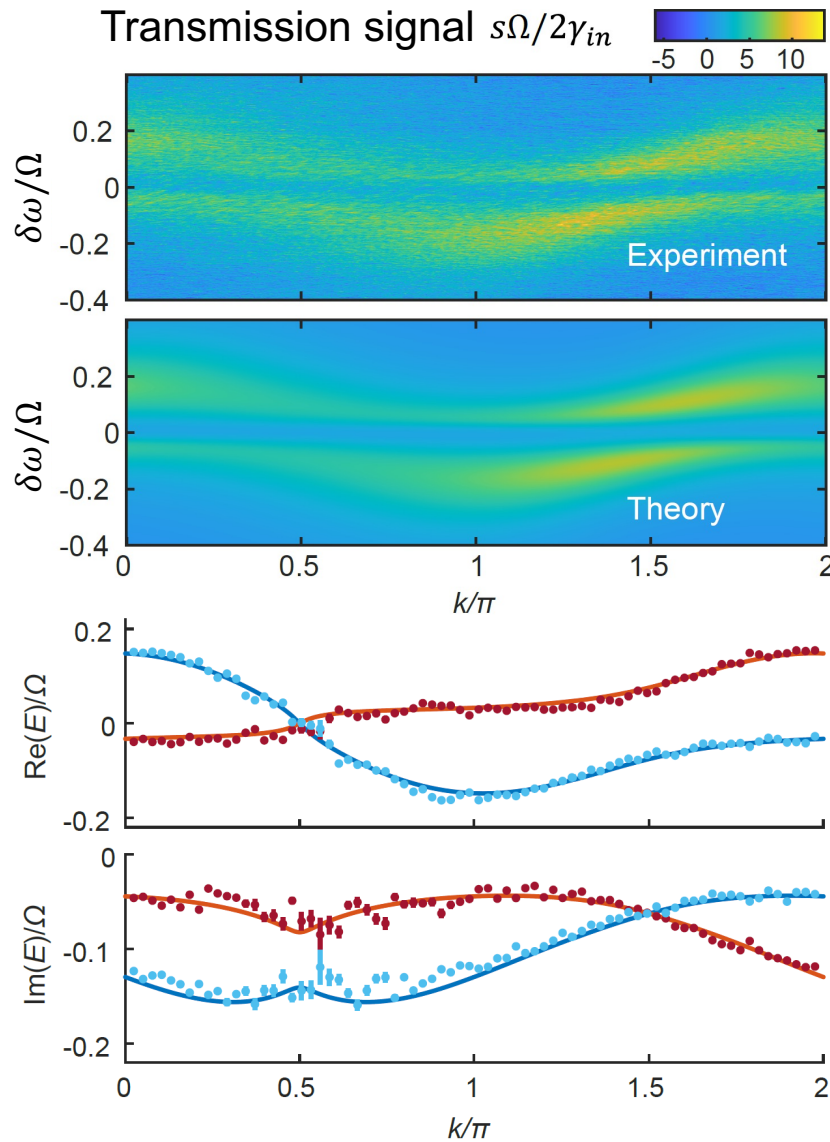
$$T_{Am} = 1 + 2\Delta \sin m\Omega t$$



Frequency modes

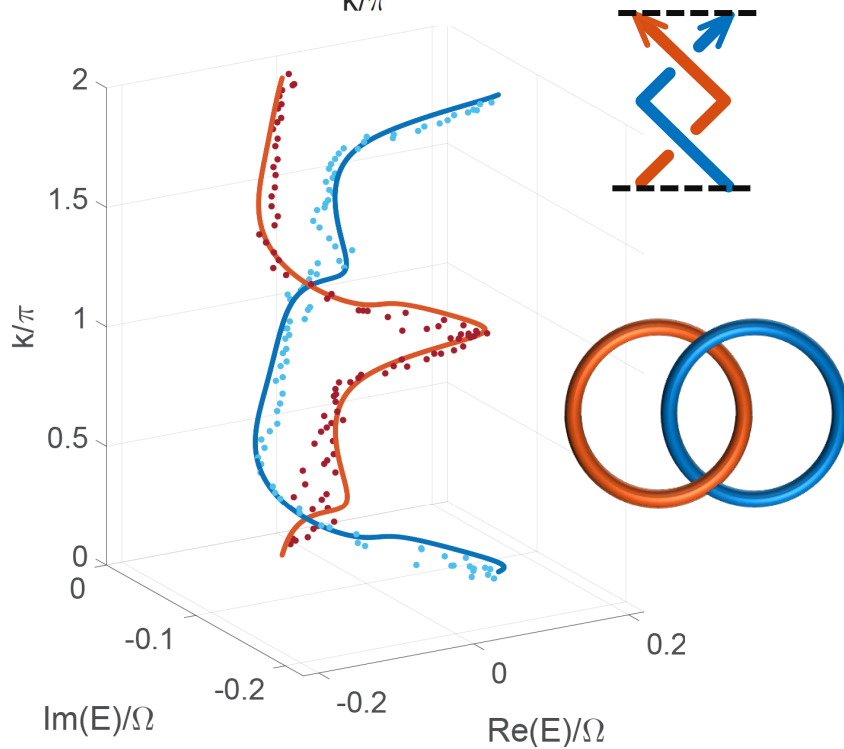
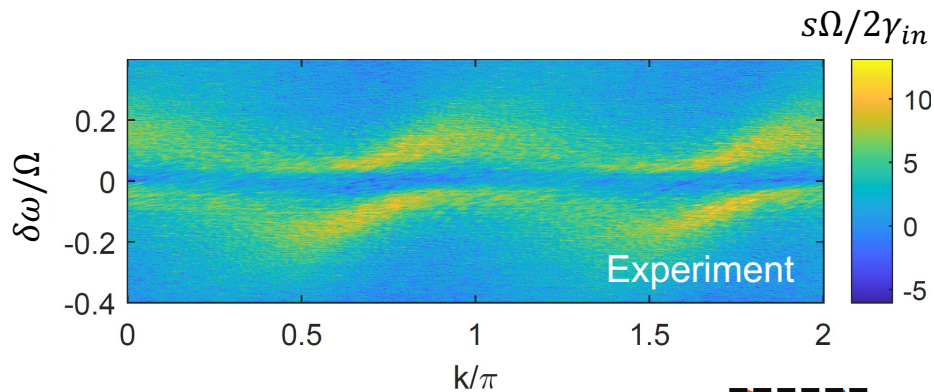


Experimental realization of a two-band complex-energy braid

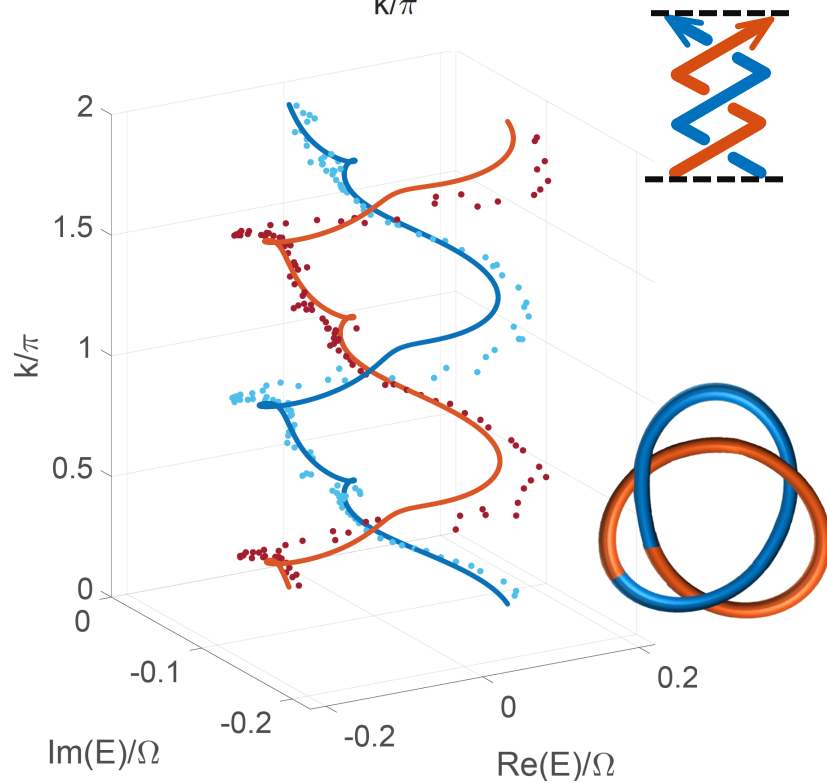
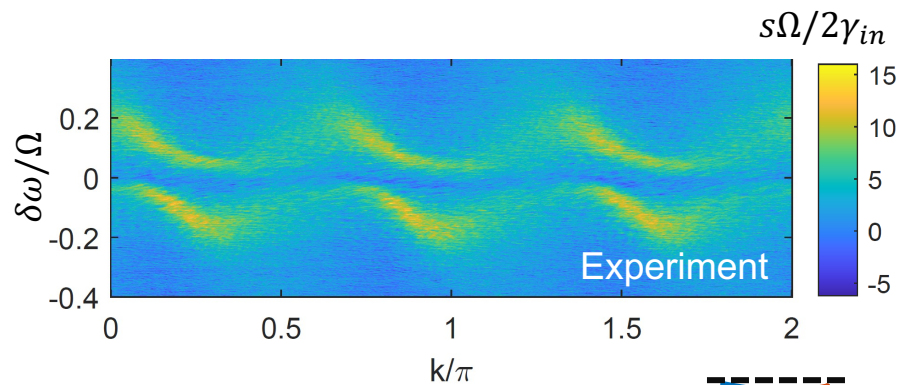


Demonstration of higher-order braids

$m = 3$

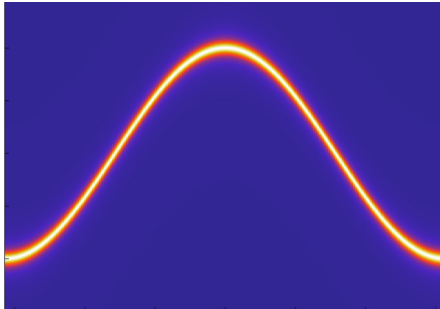


$m = 4$

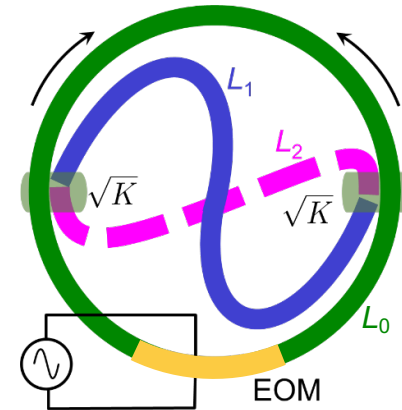


Outline

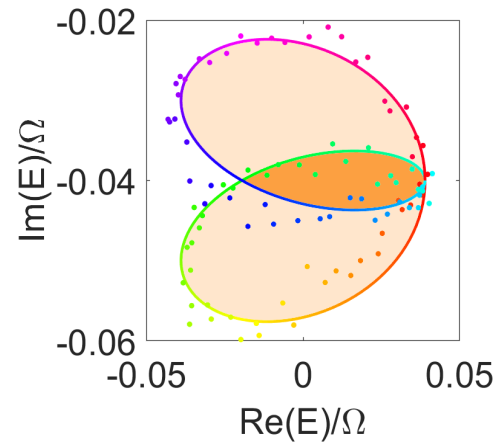
Background



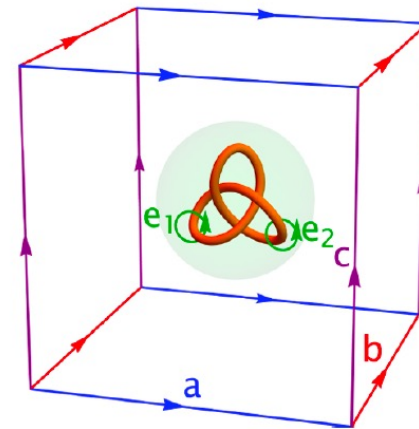
Hermitian Topology: experiments



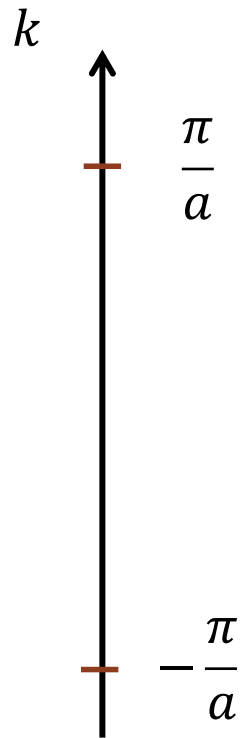
Non-Hermitian Topology: experiments



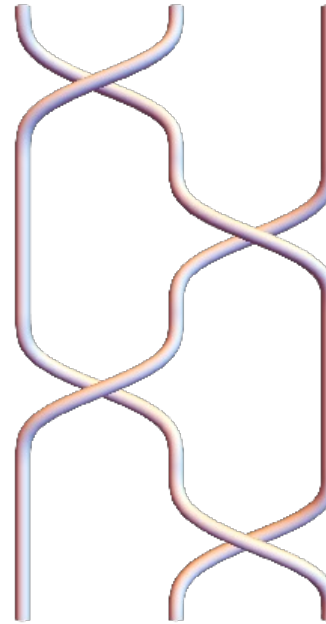
Non-Hermitian Topology: theory



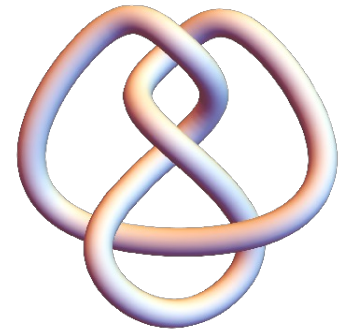
Eigenvalue topology in one dimension



$$k = \frac{\pi}{a}$$

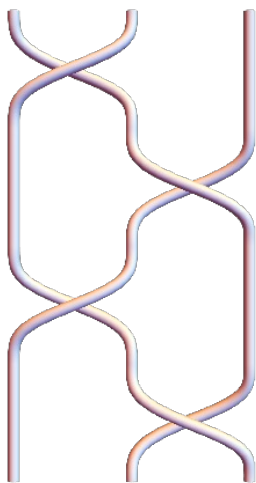


$$k = -\frac{\pi}{a}$$

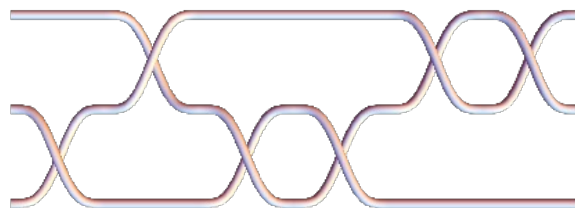
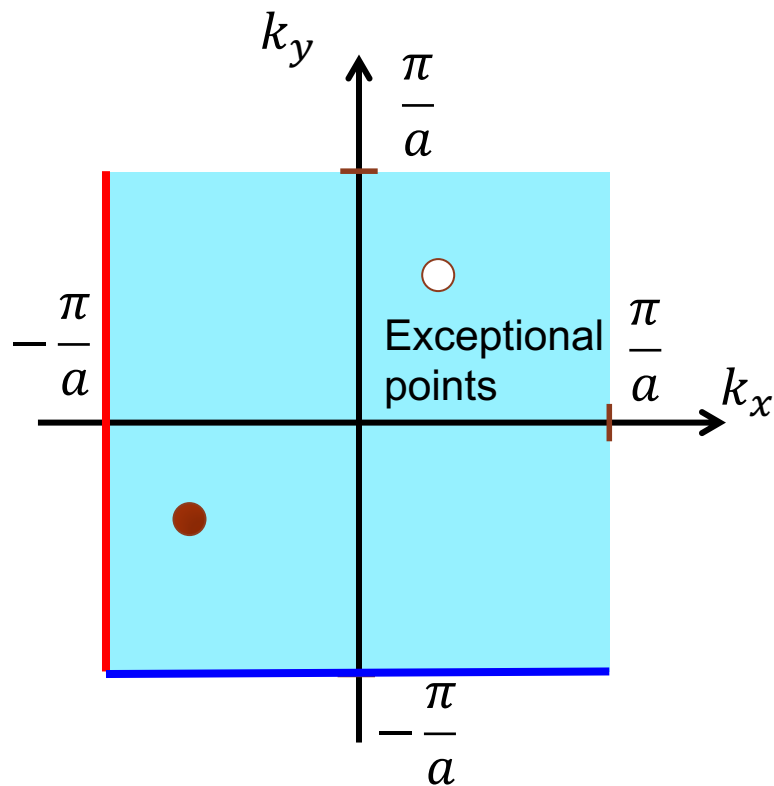


Eigenvalue topology in two dimensions

$$(k_x, k_y) = (0, \frac{\pi}{a})$$



$$(k_x, k_y) = (0, -\frac{\pi}{a})$$



$$(k_x, k_y) = (-\frac{\pi}{a}, 0)$$

$$(k_x, k_y) = (\frac{\pi}{a}, 0)$$

Classification of N-band eigenvalue topology

Class of homotopy

Group homomorphism

$$[T^d - \Delta, UConf_N(C)] = Hom(\pi_1(T^d - \Delta), B_N)$$

d-
dimensional
Brillouin zone

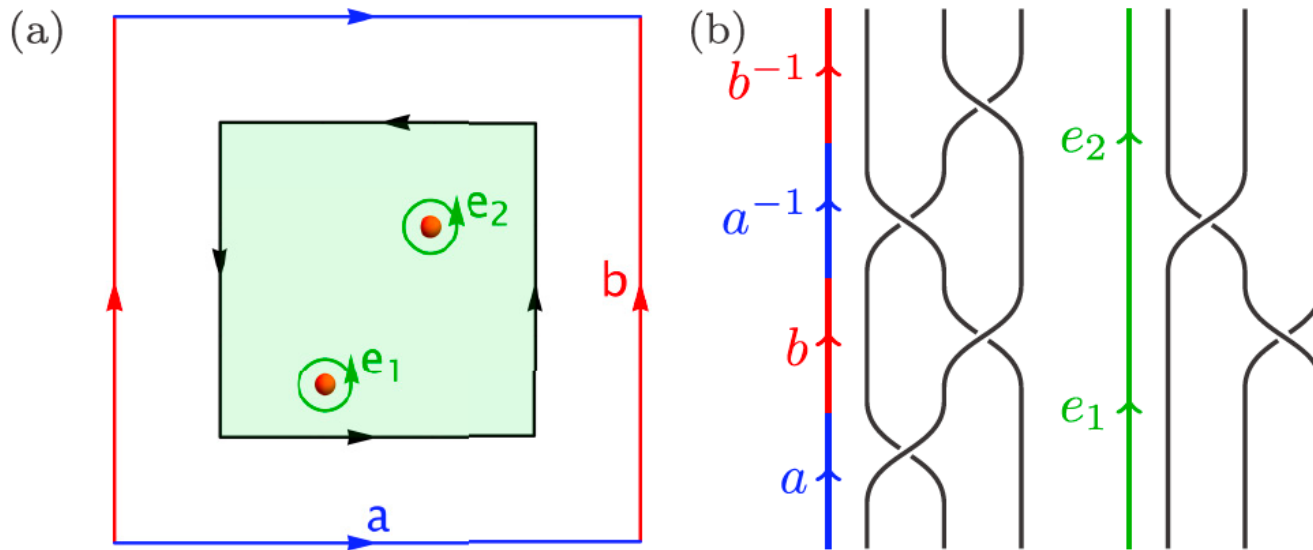
Exceptional
contour

N-band

Fundamental
group of
 $T^d - \Delta$

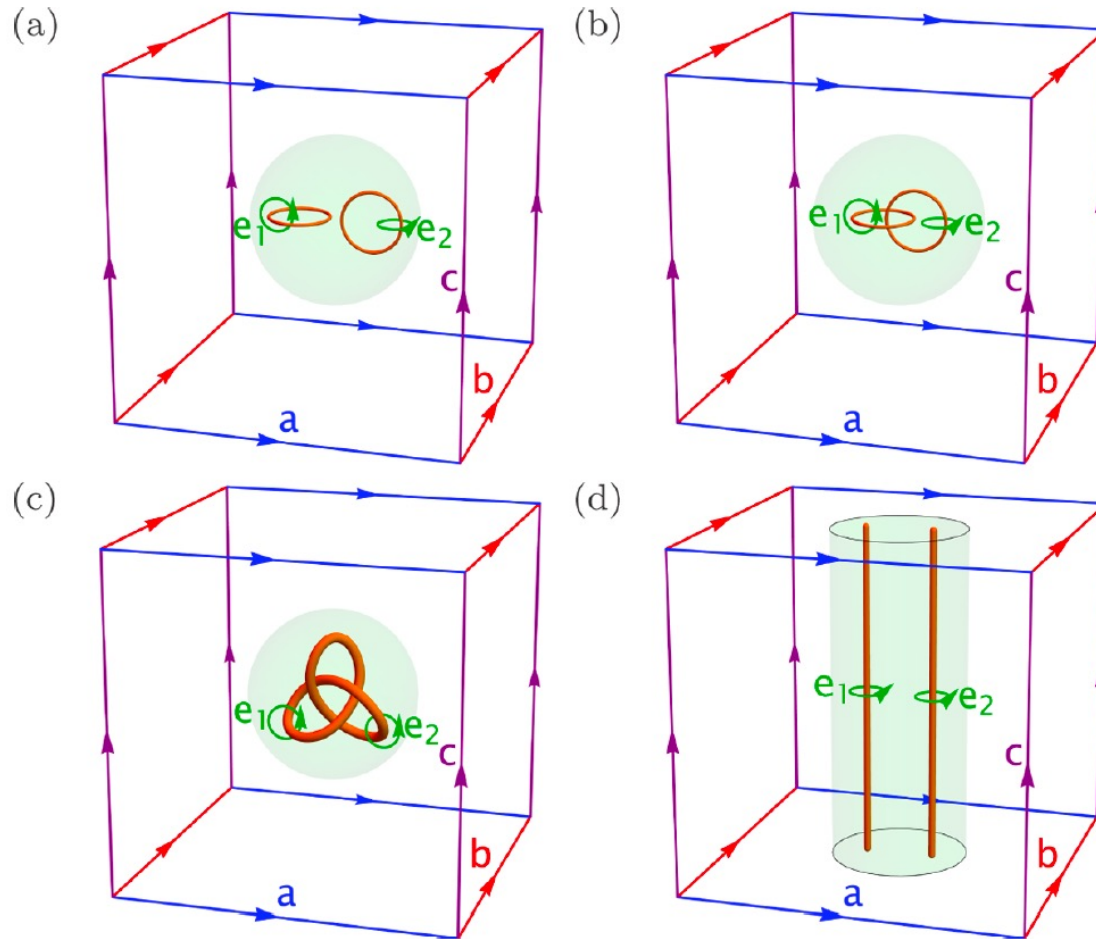
N-strand
braid group

2D example



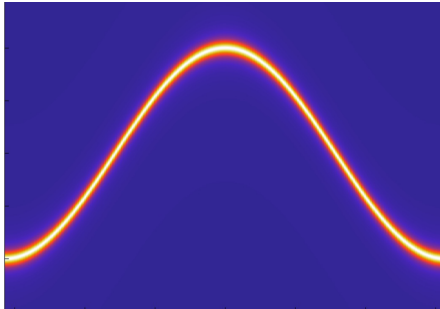
$$\text{Hom}(\pi_1(T^2 - \Delta), B_N) = \{a, b, e_1, e_2, \dots, e_k \in B_N : [a, b] = e_1 e_2 \cdots e_k\}$$

3D examples

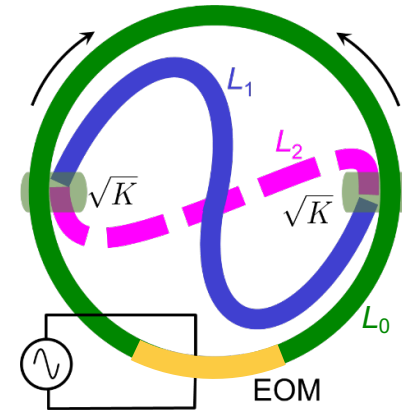


Summary

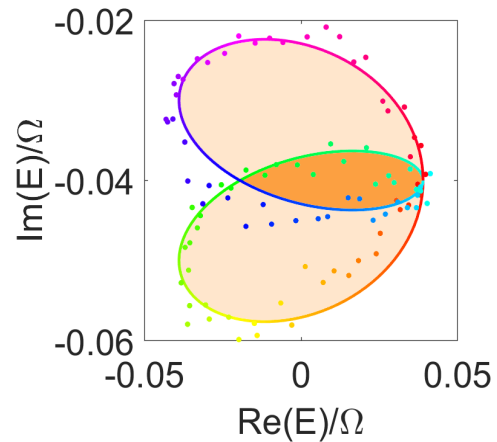
Background



Hermitian Topology: experiments



Non-Hermitian Topology: experiments



Non-Hermitian Topology: theory

