

Partial supersymmetry breaking and dispersive Majorana modes in quantum wires

Pasquale Marra^{1,2}, Daisuke Inotani², Muneto Nitta²
pmarra@ms.u-tokyo.ac.jp

¹ Graduate School of Mathematical Sciences, The University of Tokyo,

² Department of Physics, Keio University, Hiyoshi, Yokohama

Comm. Phys. **5** 149 (2022)
Phys. Rev. B **105**, 214525 (2022)
arXiv:2207.10103



東京大学
THE UNIVERSITY OF TOKYO



慶應義塾大学
Keio University



国立研究開発法人
科学技術振興機構
Japan Science and Technology Agency

CREST



JSPS 科研費
KAKENHI

Supersymmetry (SUSY)

Loosely speaking, supersymmetry is a symmetry between bosons and fermions. In particle physics, supersymmetric extensions of the Standard Model predicts a partner particle for each known particle.

Standard Model particles



- quarks
- leptons
- force particles

Supersymmetric partners



- squarks
- sleptons & sneutrinos
- neutralinos $\tilde{\chi}^0$ & charginos $\tilde{\chi}^\pm$

SUSY in Majorana chains

- Interacting Majorana chain with emergent space-time SUSY: tricritical Ising model (via Jordan-Wigner mapping)

$$H = it \sum_j \gamma_j \gamma_{j+1} + g \sum_j \gamma_j \gamma_{j+1} \gamma_{j+2} \gamma_{j+3},$$

Rahmani, Zhu, Franz, Affleck, PRL 115, 166401 (2015); PRB 92, 235123 (2015)

- Translational invariant Majorana models with emergent quantum-mechanical SUSY

$$H = \sum_{i=1}^N H_i, \quad Q = \sqrt{\frac{H}{2}} T(1 + P)$$

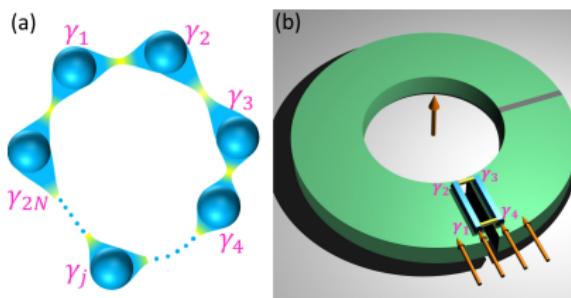
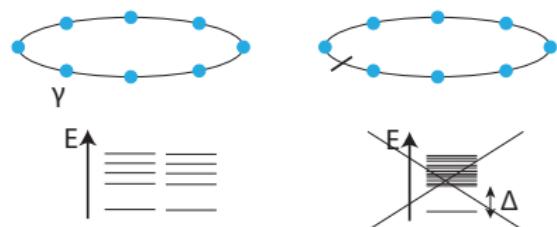
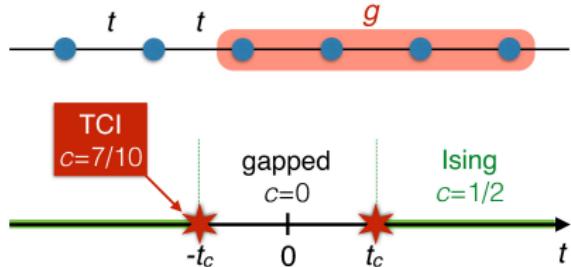
Hsieh, Halász, Grover PRL 117, 166802 (2016)

- Closed Majorana chains (with closed PH gap) with emergent quantum-mechanical SUSY

$$H = i \sum_{j=1}^{2N} t_j \gamma_j \gamma_{j+1}, \quad \prod_{j=1}^N t_{2j-1} = \prod_{j=1}^N t_{2j},$$

$$Q_{A,B} = \gamma_{A,B} \sqrt{H}$$

Huang, Shimasaki, Nitta, PRB 96, 220504(R) (2017)



Quantum Mechanical Supersymmetry (QM SUSY)

- QM SUSY: Symmetry of the many-body Hamiltonian which connects states with even and odd fermion parity (i.e., bosons and fermions)
- Supercharge Q which satisfies the superalgebra

$$\begin{aligned}\{Q, Q^\dagger\} &= QQ^\dagger + Q^\dagger Q = 2\mathcal{H}_{\text{SUSY}} \\ \{P, Q\} &= 0\end{aligned}$$

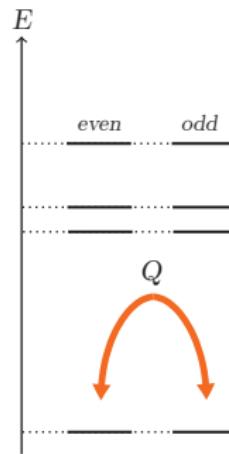
with P the parity operator.

- SUSY is a “fermionic” symmetry (unlike unitary symmetries)

$$\begin{aligned}\{\mathcal{H}_{\text{SUSY}}, Q\} &= 0 \\ Q^2 &= (Q^\dagger)^2 = 0\end{aligned}$$

- Extended QM SUSY:
many supercharges \mathcal{Q}_i which satisfies the superalgebra

$$\begin{aligned}\{\mathcal{Q}_i, \mathcal{Q}_j^\dagger\} &= 2\delta_{ij}\mathcal{H}, \\ \{\mathcal{Q}_i, \mathcal{Q}_j\} &= \{\mathcal{Q}_i^\dagger, \mathcal{Q}_j^\dagger\} = 0, \\ \{P, \mathcal{Q}_i\} &= 0.\end{aligned}$$



Spontaneous breaking of QM SUSY

- Unbroken QM SUSY:

$$Q|0\rangle = Q^\dagger|0\rangle = 0 \Rightarrow e^{i(\epsilon Q + \bar{\epsilon} Q^\dagger)}|0\rangle = |0\rangle$$

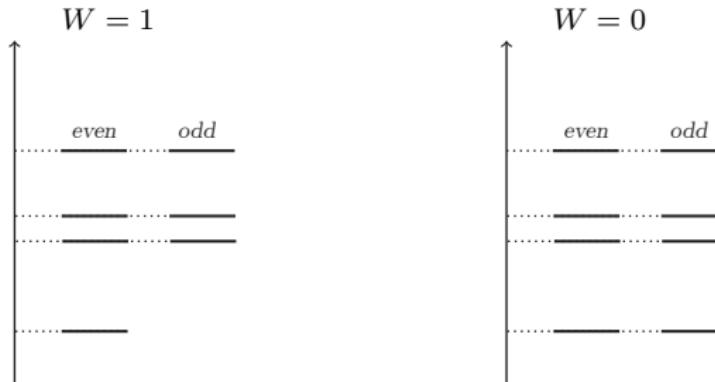
- Spontaneously broken QM SUSY:

$$Q|0\rangle \neq 0 \text{ or } Q^\dagger|0\rangle \neq 0 \Rightarrow e^{i(\epsilon Q + \bar{\epsilon} Q^\dagger)}|0\rangle \neq |0\rangle$$

- Witten index:

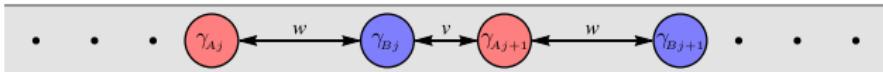
difference between the # of bosons and # of fermions

$$W = \text{Tr}(-1)^F = (\# \text{ even} - \# \text{ odd eigenstates})$$



- Spontaneously broken unitary symmetry \rightarrow Goldstone mode (boson)
Spontaneously broken supersymmetry \rightarrow Goldstino (fermion)
- Extended SUSY:
Witten's no-go theorem prohibits partial SUSY breaking
Loophole: central charges

Bipartite Majorana chain



- Chain of Majorana modes

$$\mathcal{H}_{\text{eff}} = i \sum_j (w \gamma_{Aj} \gamma_{Bj} + v \gamma_{Bj} \gamma_{Aj+1})$$

- In momentum space

$$\mathcal{H}_{\text{eff}} = \sum_k [c_k^\dagger, c_{-k}] \cdot \mathbf{H}_{\text{eff}}(k) \cdot \boldsymbol{\tau} \cdot \begin{bmatrix} c_k \\ c_{-k}^\dagger \end{bmatrix}$$

where $\mathbf{H}_{\text{eff}}(k) = (0, v \sin k, v \cos k - w)$

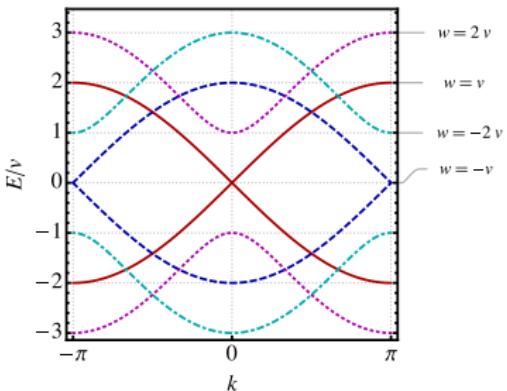
- Dispersion

$$E_k = |\mathbf{H}_{\text{eff}}(k)| = \sqrt{w^2 + v^2 - 2wv \cos k}$$

- Topological invariant $\mathcal{M}_{\text{eff}} = |w| - |v|$
- In the continuum limit \rightarrow 1D Dirac equation

$$H = v k \tau_y + \left(m v^2 - \frac{v}{2} k^2 \right) \tau_z$$

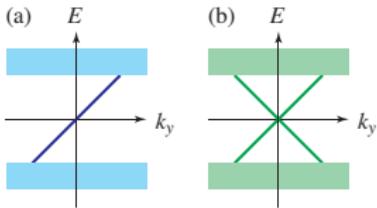
- Hybridization of 0D Majorana modes \Rightarrow 1D Majorana mode in a 1D system



Chiral and helical 1D Majorana fermions



Qi, Hughes, Raghu, Zhang, PRL 102, 187001 (2009)



Sato, Fujimoto, J. Phys. Soc. Jpn. 85, 072001 (2016)

QM SUSY in Majorana chains

- The Majorana chain exhibits SUSY with supercharges

$$\textcircled{1} \quad Q_M = \sqrt{\frac{\mathcal{H}_{\text{SUSY}}}{2}} (\tilde{\gamma}_A + i\tilde{\gamma}_B)$$

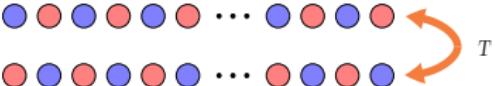
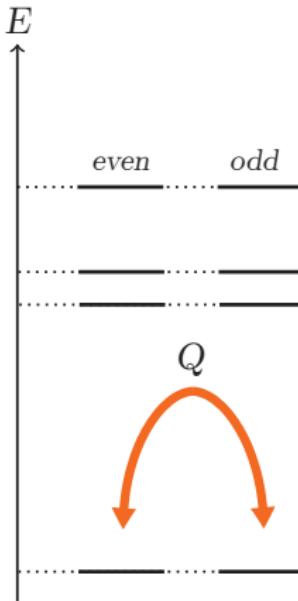
$$\textcircled{2} \quad Q_T = \sqrt{\frac{\mathcal{H}_{\text{SUSY}}}{2}} T(1+P)$$

$$2\mathcal{H}_{\text{SUSY}} = QQ^\dagger + Q^\dagger Q = \{Q, Q^\dagger\}$$

$$\{P, Q\} = 0, Q^2 = (Q^\dagger)^2 = 0
 \text{with } \mathcal{H}_{\text{SUSY}} = \mathcal{H}_{\text{eff}} + \text{const} > 0$$

- $\textcircled{1}$ Huang, Shimasaki, Nitta, PRB 96, 220504(R) (2017)
 $\textcircled{2}$ Hsieh, Halász, Grover PRL 117, 166802 (2016)

- Spontaneously broken SUSY: Witten index is zero
 $W = \text{Tr}(-1)^F = (\#\text{even} - \#\text{odd eigenstates}) = 0$
- Goldstino \rightarrow 1D Majorana fermion



QM SUSY in Majorana chains

- The Majorana chain exhibits SUSY with supercharges

$$\textcircled{1} \quad Q_M = \sqrt{\frac{\mathcal{H}_{\text{SUSY}}}{2}} (\tilde{\gamma}_A + i\tilde{\gamma}_B)$$

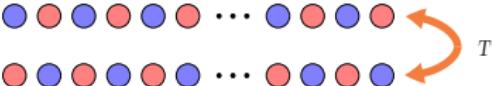
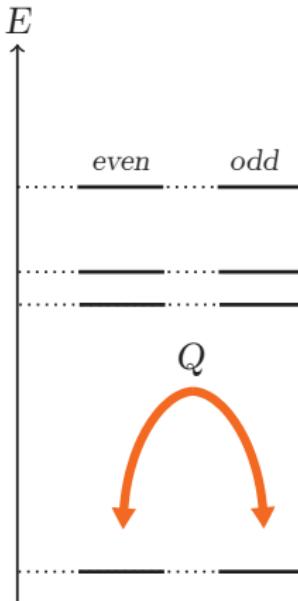
$$\textcircled{2} \quad Q_T = \sqrt{\frac{\mathcal{H}_{\text{SUSY}}}{2}} T(1+P)$$

$$2\mathcal{H}_{\text{SUSY}} = QQ^\dagger + Q^\dagger Q = \{Q, Q^\dagger\}$$

$$\{P, Q\} = 0, Q^2 = (Q^\dagger)^2 = 0
 \text{with } \mathcal{H}_{\text{SUSY}} = \mathcal{H}_{\text{eff}} + \text{const} > 0$$

- $\textcircled{1}$ Huang, Shimasaki, Nitta, PRB 96, 220504(R) (2017)
 $\textcircled{2}$ Hsieh, Halász, Grover PRL 117, 166802 (2016)

- Spontaneously broken SUSY: Witten index is zero
 $W = \text{Tr}(-1)^F = (\#\text{even} - \#\text{odd eigenstates}) = 0$
- Goldstino \rightarrow 1D Majorana fermion



Extended QM SUSY and partial SUSY breaking

- The two $\mathcal{N} = 2$ superalgebras can be combined
- The Majorana chain exhibits *extended* SUSY $\mathcal{N} = 4$ with central charges. The $\mathcal{N} = 4$ superalgebra is given by

$$\{\mathcal{Q}_i, \mathcal{Q}_j^\dagger\} = 2\delta_{ij}\mathcal{H}_{\text{SUSY}} + \mathcal{Z}_{ij},$$

$$\{\mathcal{Q}_i, \mathcal{Q}_j\} = \{\mathcal{Q}_i^\dagger, \mathcal{Q}_j^\dagger\} = 0,$$

$$\{P, \mathcal{Q}_i\} = 0,$$

- Supercharges:

$$\mathcal{Q}_1 = \sqrt{\frac{\mathcal{H}_{\text{SUSY}}}{2}} d_M(1+P), \quad \mathcal{Q}_2 = \sqrt{\frac{\mathcal{H}_{\text{SUSY}}}{2}} T(1+P),$$

- Central charges:

$$\mathcal{Z}_{ij} = \mathcal{H}_{\text{SUSY}} \begin{bmatrix} -(1+P(-1)^{d_M^\dagger d_M}) & \{d_M(1+P), T^\dagger\} \\ \{d_M^\dagger(1-P), T\} & 0 \end{bmatrix},$$

where $d_M = (\tilde{\gamma}_A + i\tilde{\gamma}_B)/2$.

- Partially broken SUSY

$$\mathcal{Q}_2 |0\rangle = \sqrt{2\mathcal{H}_{\text{SUSY}}} |1\rangle, \quad \mathcal{Q}_2^\dagger |1\rangle = \sqrt{2\mathcal{H}_{\text{SUSY}}} |0\rangle,$$

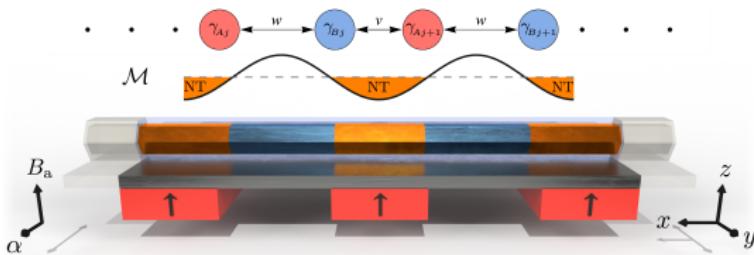
$$\mathcal{Q}_1 |0\rangle = \mathcal{Q}_1 |1\rangle = \mathcal{Q}_1^\dagger |0\rangle = \mathcal{Q}_1^\dagger |1\rangle = \mathcal{Q}_2^\dagger |0\rangle = \mathcal{Q}_2 |1\rangle = 0.$$

- Witten's no-go theorem prohibits partial SUSY breaking

Loophole: central charges

Marra, Inotani, Nitta, Comm. Phys. **5** 149 (2022), Phys. Rev. B **105**, 214525 (2022)

Array of quasi-Majorana modes induced by modulated fields



- Oreg-Lutchyn model with spatially-modulated fields

$$H = \left(\frac{p^2}{2m} + \frac{\alpha}{\hbar} \sigma_y p - \mu \right) \tau_z + \mathbf{b}(x) \cdot \boldsymbol{\sigma} + \Delta(x) \tau_x$$

- local Majorana mass $\mathcal{M} = \sqrt{\mu(x)^2 + \Delta(x)^2} - |b(x)|$
quasi-Majorana modes localize at the nodes $\mathcal{M} = 0$
- Effective theory → Chain of Majorana modes

$$\mathcal{H}_{\text{eff}} = i \sum_j (w \gamma_{Aj} \gamma_{Bj} + v \gamma_{Bj} \gamma_{Aj+1})$$

finite overlaps:

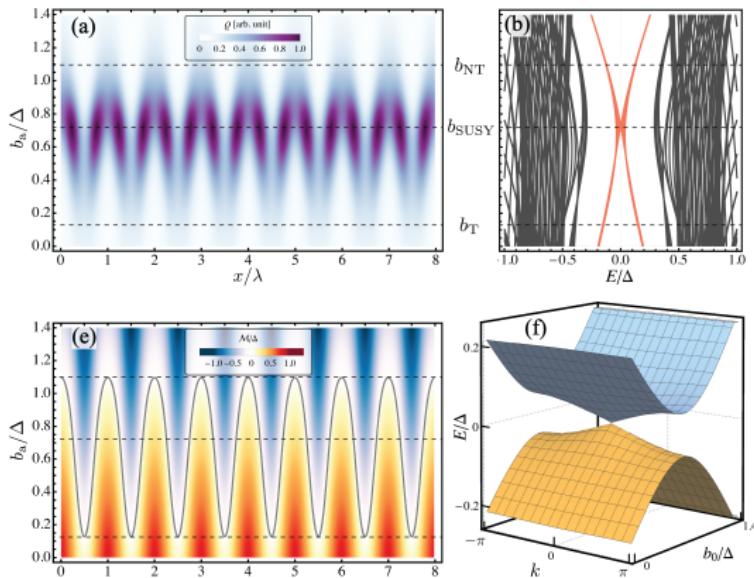
$$w = -i \langle \gamma_{Aj} | H | \gamma_{Bj} \rangle \propto e^{-L_{AB}/\xi_M}$$

$$v = -i \langle \gamma_{Bj} | H | \gamma_{Aj+1} \rangle \propto e^{-L_{BA}/\xi_M}$$

Marra, Inotani, Nitta, Comm. Phys. **5** 149 (2022), Phys. Rev. B **105**, 214525 (2022)

Energy dispersion and LDOS (numerical calculations)

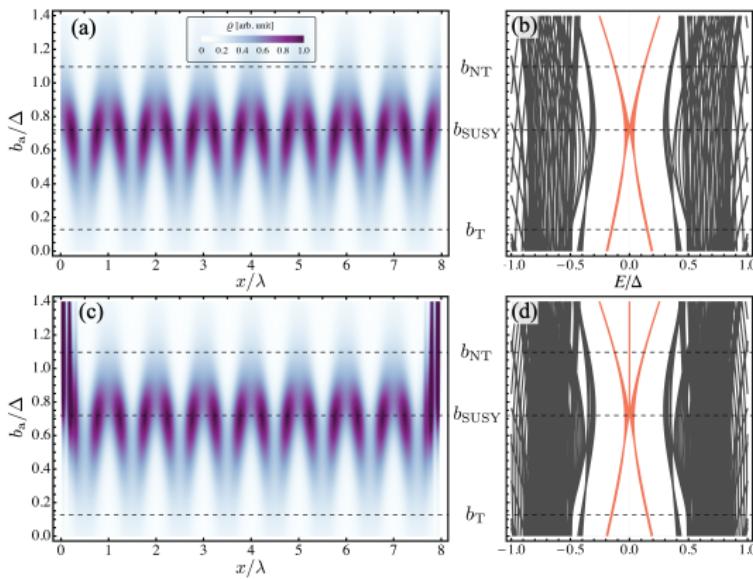
- Magnetic field calculated with FEM: $|b_{nm}(x)|^2 \approx |b_{nm}|^2 (2 - 2 \cos(2\pi x/\lambda))$
- The local Majorana mass $\mathcal{M}(x) \approx \sqrt{\mu^2 + \Delta^2} - |b_{nm}| \sqrt{(2 - 2 \cos(2\pi x/\lambda))}$
- LDOS at zero energy: localized modes
- Energy dispersion E_k : Dispersive subgap level



Marra, Inotani, Nitta, Comm. Phys. **5** 149 (2022), Phys. Rev. B **105**, 214525 (2022)

Edge modes of edge modes

- Open boundaries in the globally nontrivial phase
- Global topological invariant $\mathcal{M}_{\text{eff}} = |w| - |v|$
- LDOS at zero energy: localized modes accumulating at the boundary



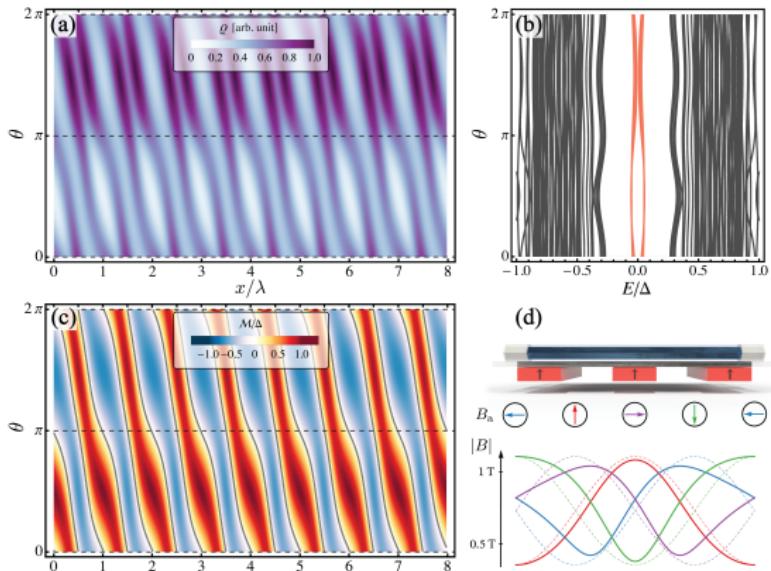
Marra, Inotani, Nitta, Comm. Phys. **5** 149 (2022), Phys. Rev. B **105**, 214525 (2022)

Adiabatic pumping of 0D Majorana modes

- By applying a rotating magnetic field $b_a[\cos \theta \hat{x} + \sin \theta \hat{z}]$, produces a sliding field

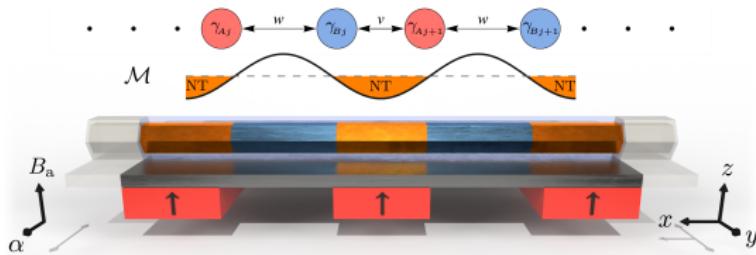
$$\mathcal{M}(x) \propto \sin(2\pi x/\lambda + \theta)$$

- $\theta \rightarrow \theta + \pi$: adiabatic pumping of one 0D Majorana state
- $\theta \rightarrow \theta + 2\pi$: adiabatic pumping of two 0D Majorana states (one fermion)
- Analogy to Thouless pumps of cold atoms: local Majorana mass \sim optical lattice



Marra, Inotani, Nitta, Comm. Phys. **5** 149 (2022), Phys. Rev. B **105**, 214525 (2022)

Experimental considerations



- Hierarchy of length scales
(length of the wire) \gg (spatial periodicity of the field) \gtrsim (Majorana localization length)
- Conditions for SUSY and massless Majorana fermions

$$L_{AB} \approx L_{BA}$$

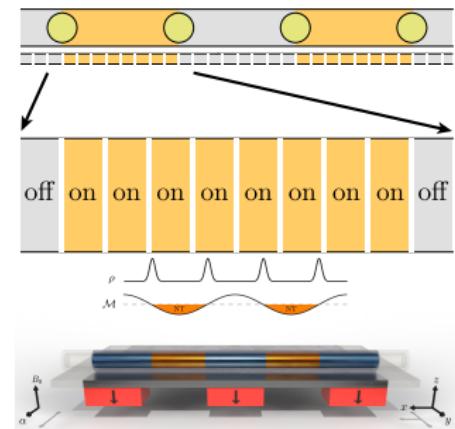
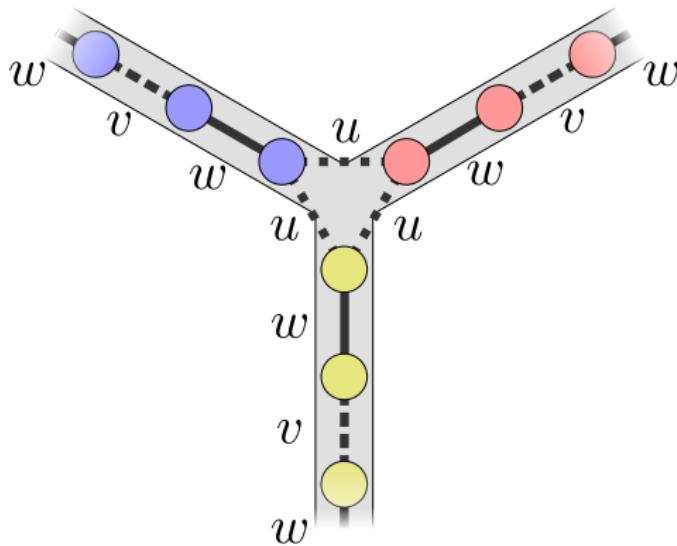
- The SUSY point appears at a single point of parameter space. However, electronic interactions may pin the Majorana modes to zero energy in a larger window [see Domínguez, Cayao, San-Jose, Aguado, Yeyati, Prada, npj Quantum Materials 2, 13 (2017)]
- The transition between a dip $G = 0$ to a quantized peak $G = 2e^2/\hbar$ in the zero-bias conductance may signal the onset of SUSY [see also Flensberg, PRB 82, 180516 (2010)]

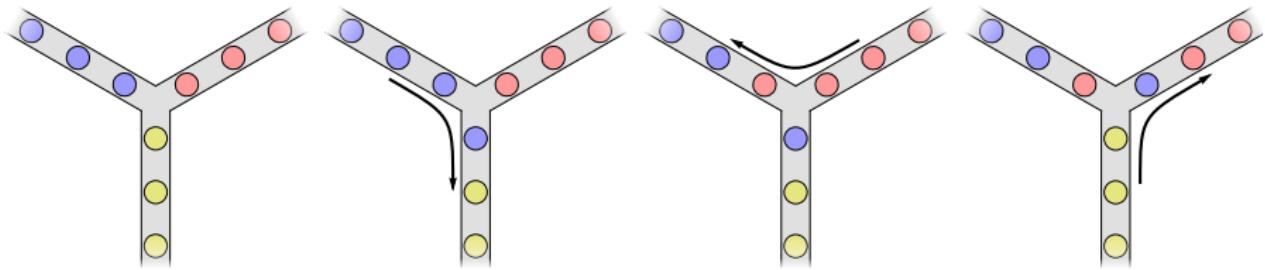
Marra, Inotani, Nitta, Comm. Phys. **5** 149 (2022), Phys. Rev. B **105**, 214525 (2022)

Trijunctions

- T-shaped or Y-shaped trijunctions with lattices of $2N$ Majorana modes on each branch
- Nanomagnets, piano keyboard, or in planar Josephson junctions

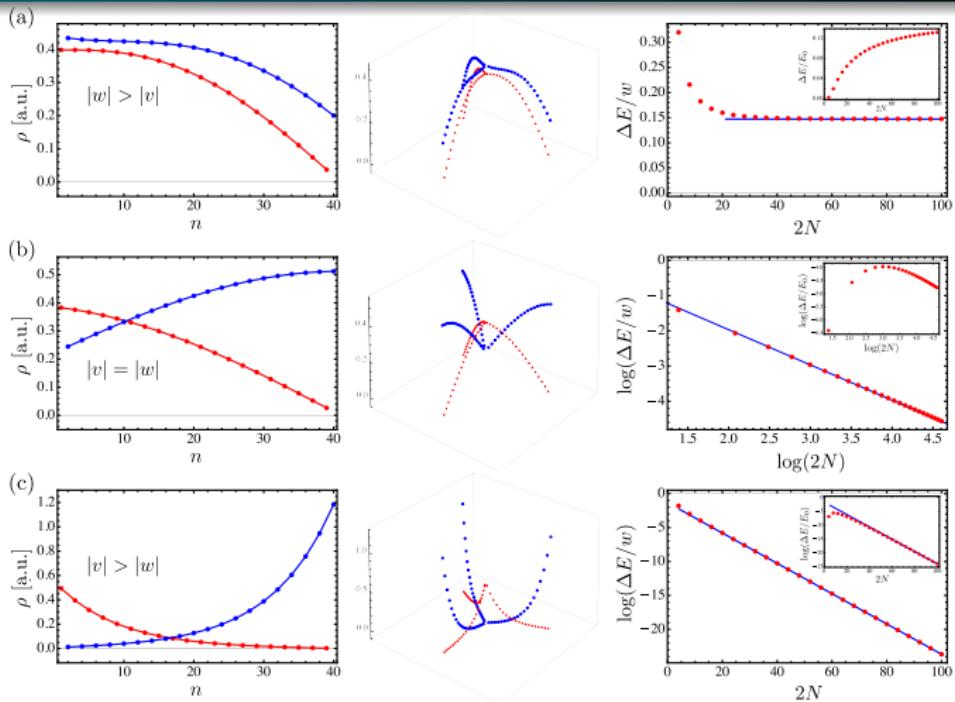
$$\mathcal{H}_{\text{eff}} = iu(\gamma_{1,1}\gamma_{2,1} + \gamma_{2,1}\gamma_{3,1} + \gamma_{3,1}\gamma_{1,1}) + i \sum_{m=1}^3 \left(\sum_{n=1}^N w\gamma_{m,2n-1}\gamma_{m,2n} + \sum_{n=1}^{N-1} v\gamma_{m,2n}\gamma_{m,2n+1} \right)$$





See also Hegde et al., Ann. Phys. **423**, 168326 (2020).

Topological phases and energy scaling



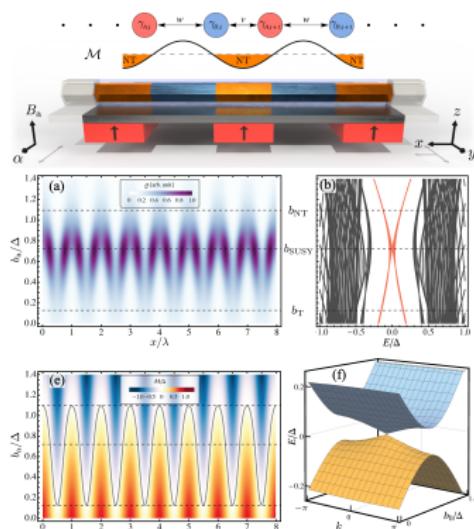
- $|w| > |v|$, trivial phase, $\Delta E/w \rightarrow E_0$ for $N \rightarrow \infty$
- $|w| = |v|$, SUSY, $\Delta E/w \propto 1/N$ for $N \rightarrow \infty$
- $|w| < |v|$, nontrivial phase, $\Delta E/w \propto e^{-2N/\xi_{\text{eff}}}$ for $N \rightarrow \infty$

Marra, Inotani, Nitta, arXiv:2207.10103

Conclusions

- Spatially-modulated fields can induce dispersive 1D Majorana fermions in 1D nanowires by the hybridization of 0D Majorana modes
- Real-world realization of centrally-extended QM-SUSY and partial breaking of the extended SUSY algebras
- Dispersive 1D Majorana fermion is the Goldstino of the spontaneously, partially broken SUSY
- Zero-bias dip to peak transition as a signature of SUSY
- Adiabatic pumping of Majorana modes analogous to Thouless pumps of (non-Majorana) fermions
- Implementation of novel braiding protocols?

Marra, Inotani, Nitta,
Comm. Phys. **5** 149 (2022)
Phys. Rev. B **105**, 214525 (2022)
arXiv:2207.10103



You can find this presentation here:
<https://www.ms.u-tokyo.ac.jp/~pmarra/slides>
email to:
pmarra@ms.u-tokyo.ac.jp



Pseudohelicity

- Let's define the Majorana pseudospin operator

$$\mathbf{T} = \frac{1}{2}\boldsymbol{\tau} = \frac{1}{2}(\tau_x, \tau_y, \tau_z)$$

- The expectation value of \mathbf{T} on a state $E_k > 0$ is

$$\langle \mathbf{T} \rangle = \left(0, \frac{v \sin k}{2E_k}, \frac{v \cos k - w}{2E_k} \right) = \frac{\mathbf{H}(k)}{2E_k}$$

- two Majorana modes with opposite pseudospins

$$\langle \mathbf{T} \rangle = \frac{1}{2} \operatorname{sgn}(v \sin k) \hat{\mathbf{y}} \text{ at } k \rightarrow 0, \pi \text{ for } v = \pm w.$$

e.g., $\langle \mathbf{T} \rangle = \pm \frac{1}{2} \hat{\mathbf{y}}$ at $k \rightarrow 0^\pm$ for $v = w > 0$

- Pseudohelical, but not necessarily helical

(the effective Hamiltonian does not act on the spin degrees of freedom)

- The expectation values $\langle T_x \rangle$ and $\langle T_y \rangle$ coincide with the Majorana polarization P_{M_x} and P_{M_y} (Sticlet, Bena, Simon, PRL 108, 096802, 2012)

