

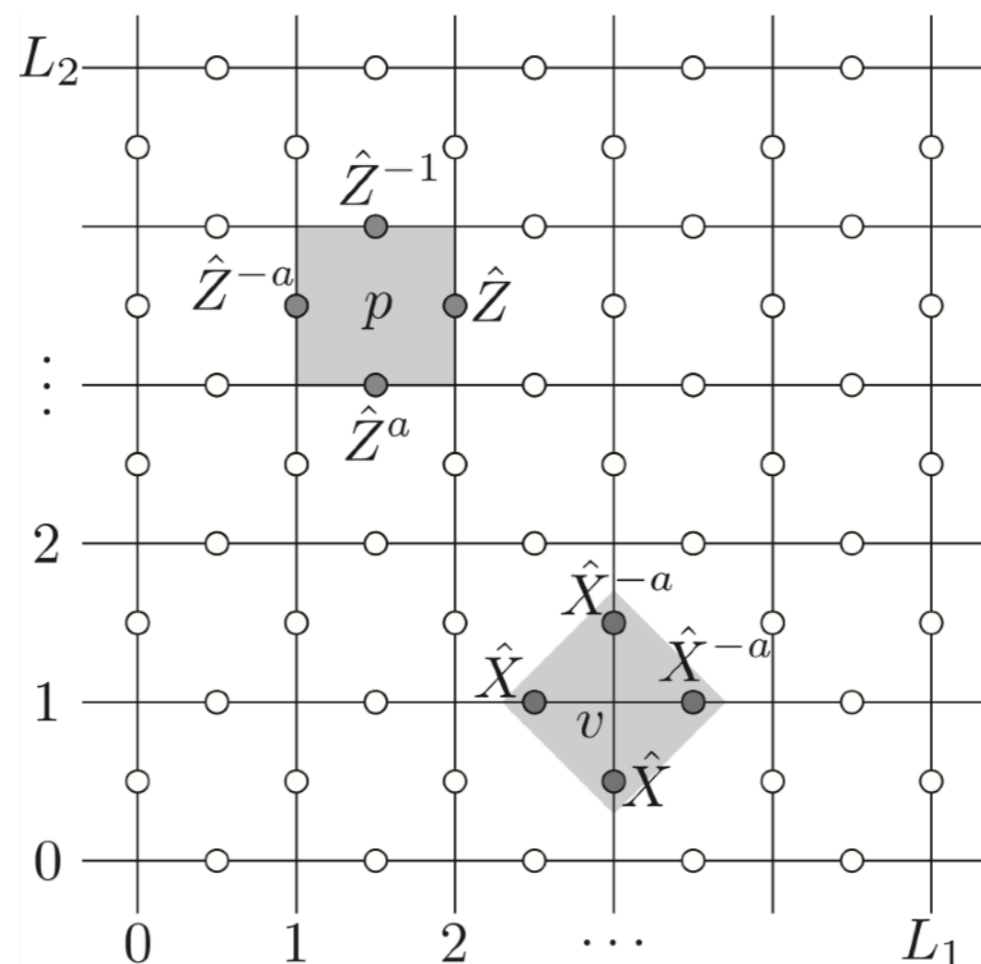
Ground state degeneracy on torus in topologically ordered phases

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Collaborators: Yohei Fuji (U Tokyo), Meng Cheng (Yale)

Ref: HW, M. Cheng, Y. Fuji, arXiv:2211.00299

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Introduction

Defining properties of phases (textbook)

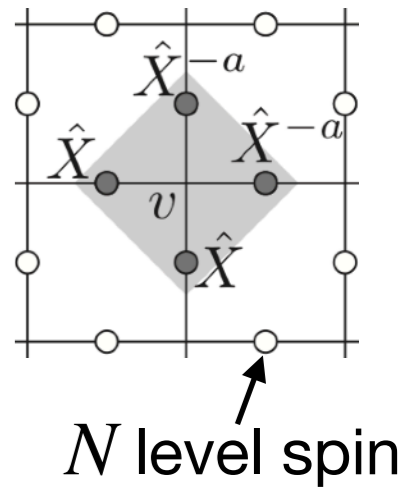
Symmetry-protected topological/trivial phases

1. **Unique GS** with excitation gap.
2. Stable against **symmetry-preserving perturbations**.
3. **Can be connected to product states** by local unitaries if symmetries are broken.
(Exceptions: 1D Kitaev chain, integer Quantum Hall systems, ...)
4. **Trivial excitations** in the bulk.
Anomalous states on symmetry-preserving boundaries.

Topologically-ordered phases

1. **Degenerate GS** with excitation gap.
Degeneracy does not originate from spontaneous breaking of symmetries.
2. Stable against **any local perturbations**.
3. **Cannot be connected to product state** by any local unitaries.
Topological entanglement entropy.
4. **Fractionalized (anyonic) excitations** in the bulk. Anyons must appear in pairs.

Features of our model



- Our model contains a parameter $N \geq 2$ and $a = 1, 2, \dots, N$.
- Here we consider the case where N is a prime and a is chosen properly.
(primitive root modulo N)
- Ground state degeneracy (GSD) N_{deg} :
 - ▶ $N_{\text{deg}} = N^2$ when both L_1 and L_2 are multiples of $N - 1$.
 - ▶ $N_{\text{deg}} = 1$ when L_1 or L_2 is not a multiple of $N - 1$.
- e.g. $N = 11$ and $a = 2$.
To observe $N_{\text{deg}} > 1$, both L_1 and L_2 need to be multiples of 10.
Minimum system size is 10×10 ; total Hilbert space dimension = 11^{200} .
→ Nearly impossible to realize in any numerical study.
- Topological entanglement entropy (TEE): $S_{\text{top}} = -\log N$.
- Anyons: N^2 species characterized by the electric charge $q_e = 1, 2, \dots, N$ and the magnetic charge $q_m = 1, 2, \dots, N$.

Defining properties of phases (updated)

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Defining properties of phases (updated)

Symmetry-protected topological/trivial phases

1. **Unique GS** with excitation gap **for any sequence of L_1 and L_2 .**
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Definition & basic properties of our model

N level spins

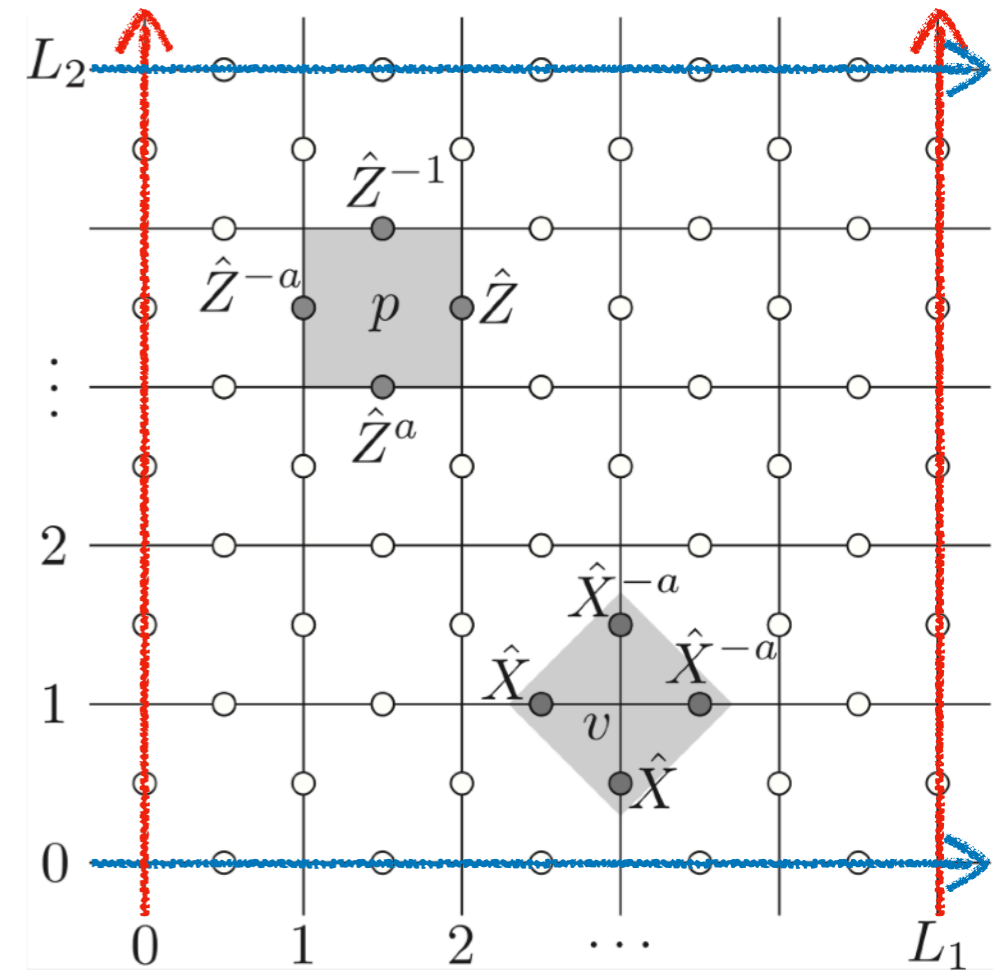
- Generalization of Pauli matrices to $N \geq 2$ level spins

$$X = \begin{pmatrix} & & & & 1 \\ & & & & \\ & & & & \\ & & & & \\ 1 & & & & \\ & 1 & & & \\ & & \ddots & & \\ & & & & 1 \end{pmatrix} \quad Z = \begin{pmatrix} 1 & & & & \\ & \omega & & & \\ & & \omega^2 & & \\ & & & \ddots & \\ & & & & \omega^{N-1} \end{pmatrix}$$

- $\mathbb{Z}_N \times \mathbb{Z}_N$ group with phase $\omega = e^{\frac{2\pi i}{N}}$

$$ZX = \omega XZ = \begin{pmatrix} & & & & 1 \\ & & & & \\ & & & & \\ & & & & \\ \omega & & & & \\ & \omega^2 & & & \\ & & \ddots & & \\ & & & & \omega^{N-1} \end{pmatrix}$$

$$Z^N = X^N = 1$$



- A N level spin is placed on every bond. They satisfy $\hat{Z}_r \hat{X}_{r'} = \omega^{\delta_{r,r'}} \hat{X}_{r'} \hat{Z}_r$ and $\hat{Z}_r^N = \hat{X}_r^N = 1$.
- Periodic boundary condition (PBC) with system size L_1 and $L_2 \rightarrow$ System is put on torus.
- Total Hilbertspace dimension is $N^{2L_1L_2}$.

\mathbb{Z}_N toric code

- Vertex and plaquette terms (all commute regardless of a)

$$\hat{A}_{(m_1, m_2)} = \hat{X}_{(m_1 + \frac{1}{2}, m_2)}^{-a} \hat{X}_{(m_1, m_2 + \frac{1}{2})}^{-a} \hat{X}_{(m_1 - \frac{1}{2}, m_2)} \hat{X}_{(m_1, m_2 - \frac{1}{2})}$$

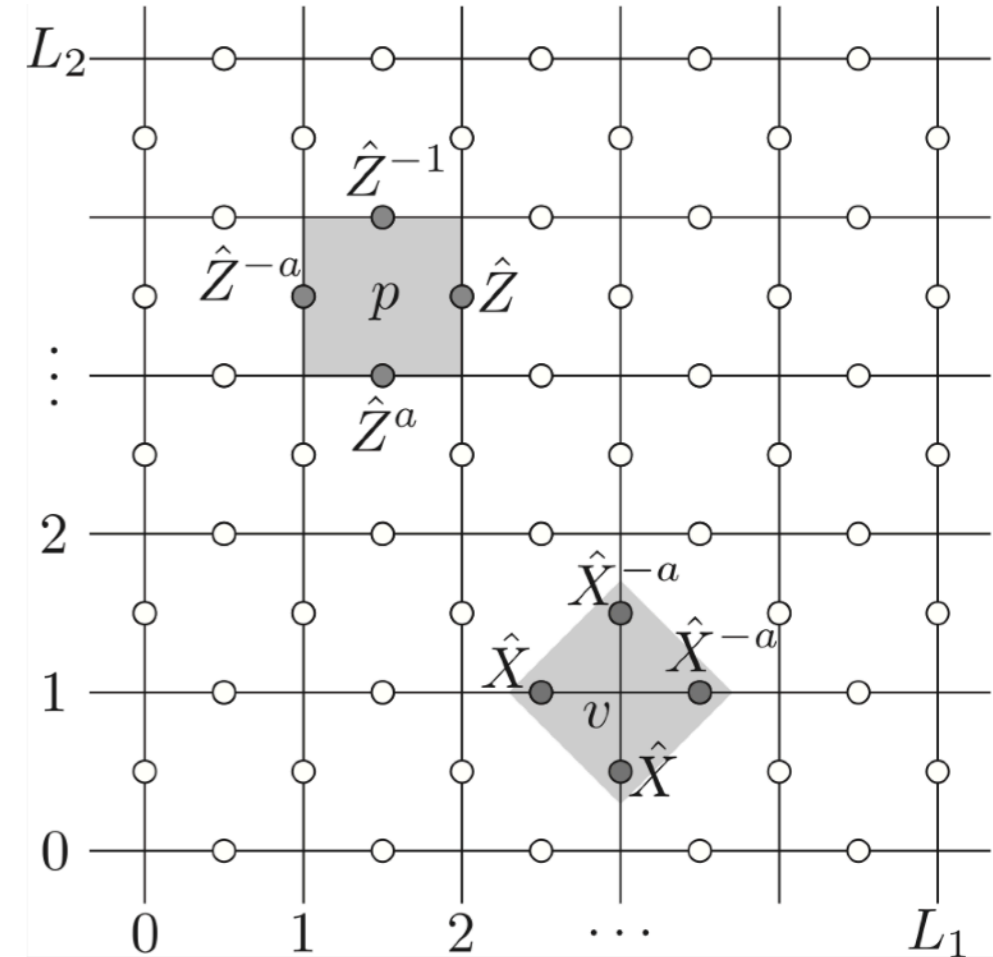
$$\hat{B}_{(m_1 + \frac{1}{2}, m_2 + \frac{1}{2})} = \hat{Z}_{(m_1 + 1, m_2 + \frac{1}{2})} \hat{Z}_{(m_1 + \frac{1}{2}, m_2 + 1)}^{-1} \hat{Z}_{(m_1, m_2 + \frac{1}{2})}^{-a} \hat{Z}_{(m_1 + \frac{1}{2}, m_2)}^a$$

- $a = 1, 2, \dots, N$ is a very important parameter.
- Hamiltonian is sum of stabilizers:

$$\hat{H} = - \sum_{v \in \mathcal{V}} \frac{1}{2} (\hat{A}_v + \text{h.c.}) - \sum_{p \in \mathcal{P}} \frac{1}{2} (\hat{B}_p + \text{h.c.})$$

$$\mathcal{V} = \{(m_1, m_2) \mid m_i = 0, 1, \dots, L_i - 1\}$$

$$\mathcal{P} = \{(m_1 + \frac{1}{2}, m_2 + \frac{1}{2}) \mid m_i = 0, 1, \dots, L_i - 1\}$$



- Translation symmetry: $\hat{T}_i \hat{X}_r \hat{T}_i^\dagger = \hat{X}_{r+e_i}$ and $\hat{T}_i \hat{Z}_r \hat{T}_i^\dagger = \hat{Z}_{r+e_i}$

A ground state

- Since $\hat{A}_v^N = \hat{B}_p^N = 1$, the eigenvalues of \hat{A}_v and \hat{B}_p are N -fold: $1, \omega, \dots, \omega^{N-1}$.
- Any state with eigenvalues +1 for all vertices and plaquettes is a ground state.
- A ground state can be constructed by

(i) starting from the ferromagnetic state $\hat{Z}_r |\phi_0\rangle = |\phi_0\rangle \quad (\forall r \in \Lambda)$

(ii) applying the projector $\hat{P} = \frac{1}{N^{L_1 L_2}} \prod_{v \in \mathcal{V}} \sum_{\ell=0}^{N-1} \hat{A}_v^\ell$ with the following properties.

Then $|\Phi_0\rangle \propto \hat{P} |\phi_0\rangle$ has eigenvalues +1 for all vertices and plaquettes.

▶ $\hat{P}^2 = \hat{P}$

▶ $\hat{A}_v \hat{P} = \hat{P} \hat{A}_v = \hat{P}$

▶ $\hat{B}_p \hat{P} = \hat{P} \hat{B}_p$

▶ $\hat{T}_i \hat{P} = \hat{P} \hat{T}_i$

Simple case 1: $a = 1$

- This case is basically the same as the original toric code.

- Global constraints $\prod_{v \in \mathcal{V}} \hat{A}_v = \prod_{p \in \mathcal{P}} \hat{B}_p = 1.$

- X loops: $\hat{X}^{(1)} = \prod_{\ell=0}^{L_1-1} \hat{X}_{(\ell, L_2-\frac{1}{2})}, \hat{X}^{(2)} = \prod_{\ell=0}^{L_2-1} \hat{X}_{(L_1-\frac{1}{2}, \ell)}$

- Z loops: $\hat{Z}^{(1)} = \prod_{\ell=0}^{L_1-1} \hat{Z}_{(\ell+\frac{1}{2}, 0)}, \hat{Z}^{(2)} = \prod_{\ell=0}^{L_2-1} \hat{Z}_{(0, \ell+\frac{1}{2})}$

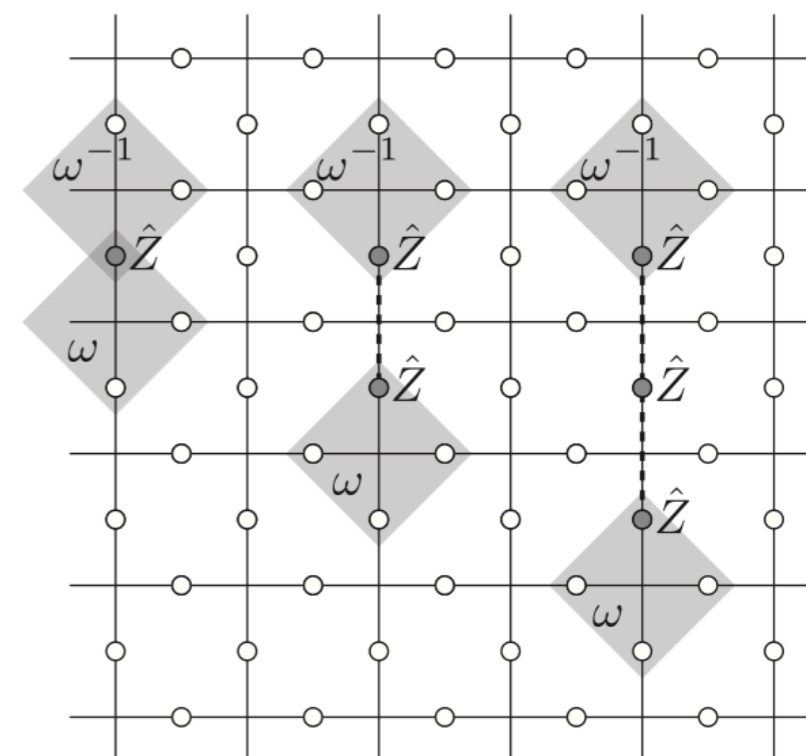
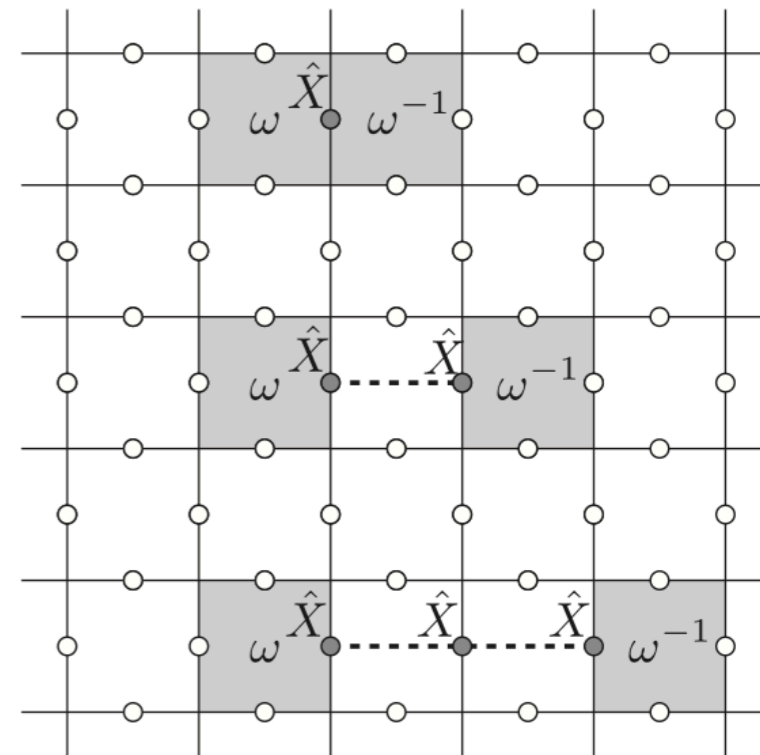
- ▶ $\hat{Z}^{(1)} \hat{X}^{(2)} = \omega \hat{X}^{(2)} \hat{Z}^{(1)}.$

- ▶ $\hat{Z}^{(2)} \hat{X}^{(1)} = \omega \hat{X}^{(1)} \hat{Z}^{(2)}.$

- ▶ $[\hat{Z}^{(1)}, \hat{Z}^{(2)}] = [\hat{X}^{(1)}, \hat{X}^{(2)}] = 0.$

- ▶ $[\hat{Z}^{(1)}, \hat{X}^{(1)}] = [\hat{X}^{(2)}, \hat{Z}^{(2)}] = 0.$

- GSD: $N_{\text{deg}} = N^2.$ TEE: $S_{\text{top}} = -\log N.$ N^2 species of anyons.



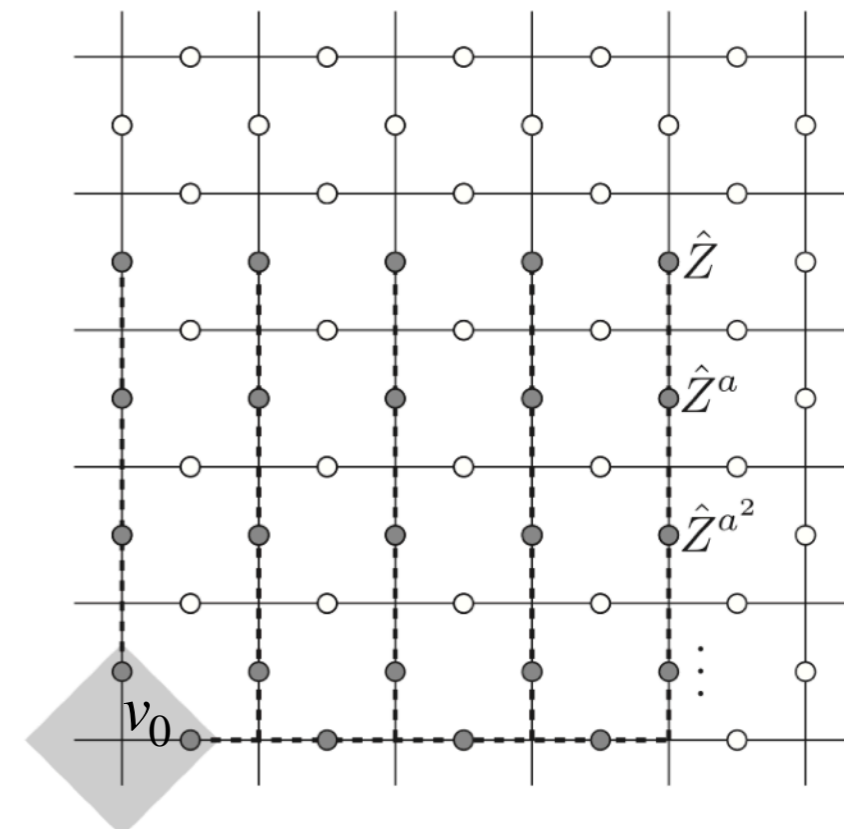
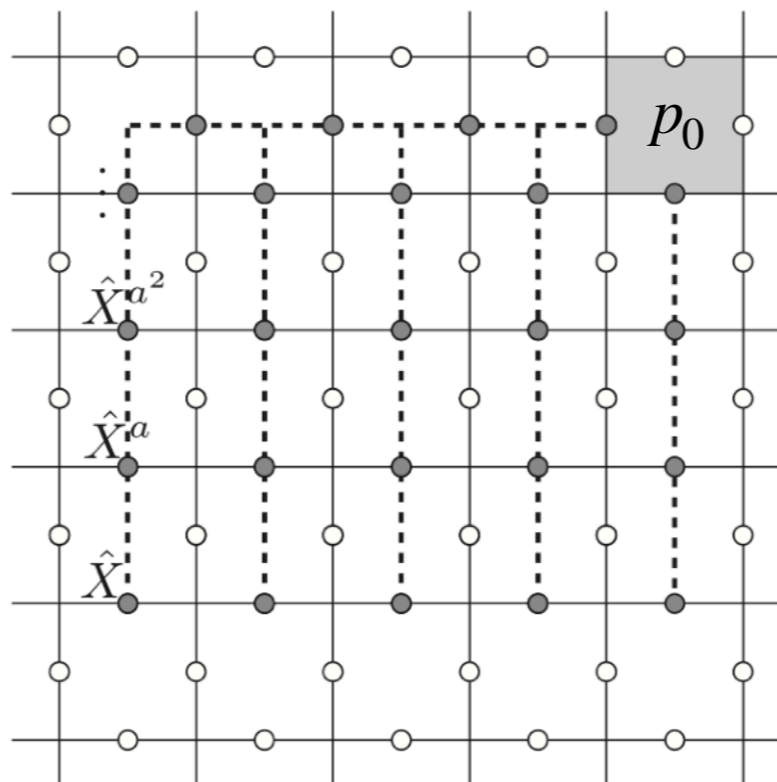
Open string operators

Control the eigenvalues of

- \hat{A}_v ($v \in \mathcal{V}, v \neq v_0$)
- \hat{B}_p ($p \in \mathcal{P}, p \neq p_0$)

$$\hat{Z}_r \hat{X}_{r'} = \omega^{\delta_{r,r'}} \hat{X}_{r'} \hat{Z}_r$$

\hat{A}_{v_0} and \hat{B}_{p_0} are fixed by global constraints.



Closed string operators

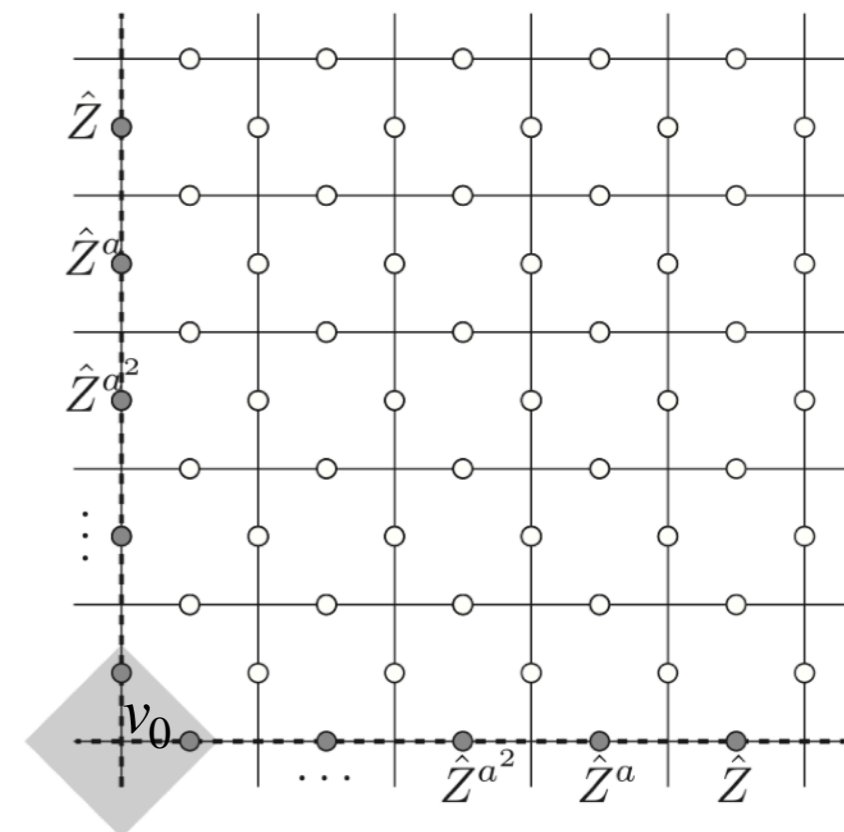
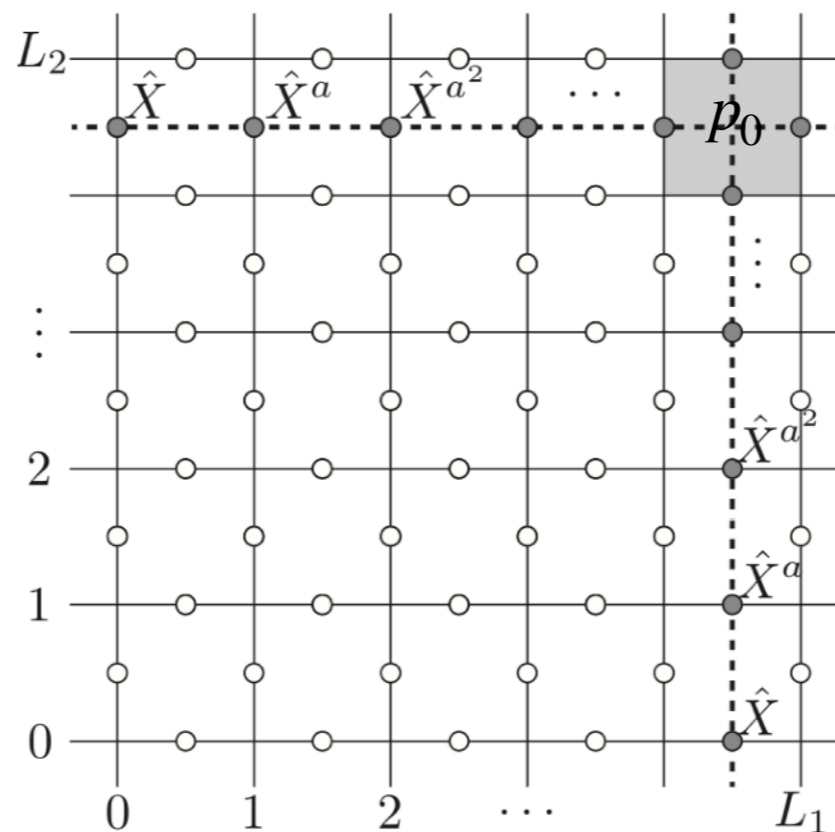
$\hat{X}^{(2)}$ and $\hat{Z}^{(2)}$

Control the eigenvalues of

- $\hat{X}^{(1)}$ and $\hat{Z}^{(1)}$

$$\hat{Z}^{(2)} \hat{X}^{(1)} = \omega \hat{X}^{(1)} \hat{Z}^{(2)}$$

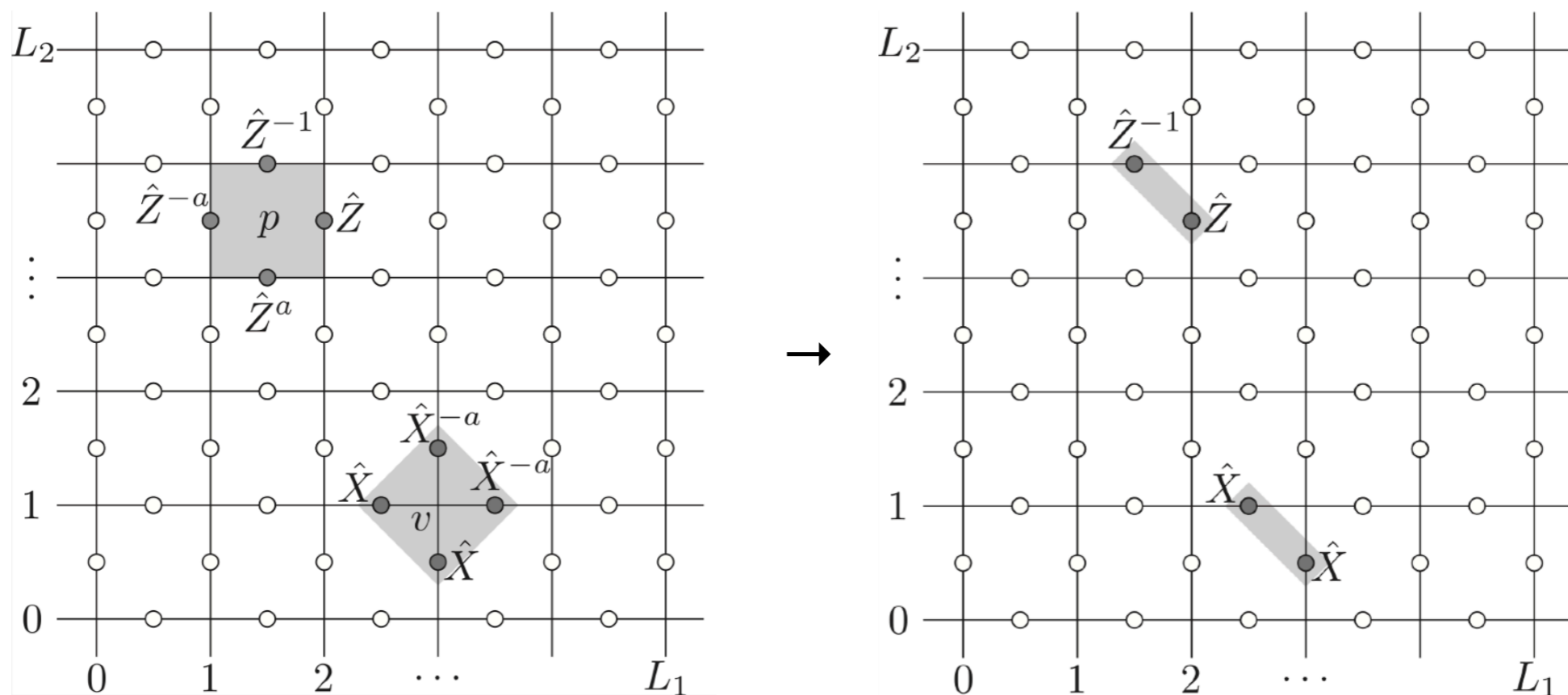
$$\hat{Z}^{(1)} \hat{X}^{(2)} = \omega \hat{X}^{(2)} \hat{Z}^{(1)}$$



Simple case 2: $a = N$

- $\hat{H} = \sum_{r \in \Lambda} \hat{h}_r$ with

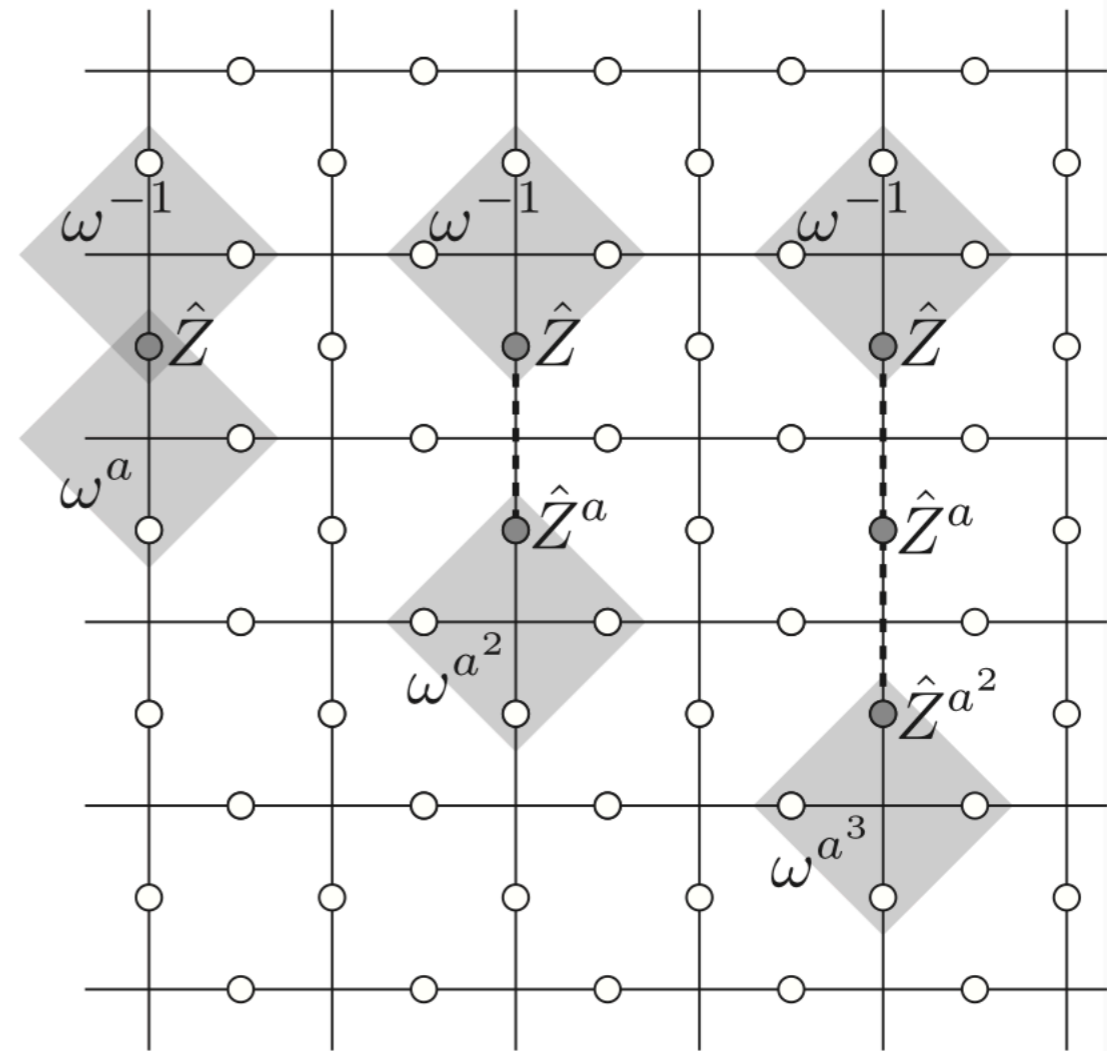
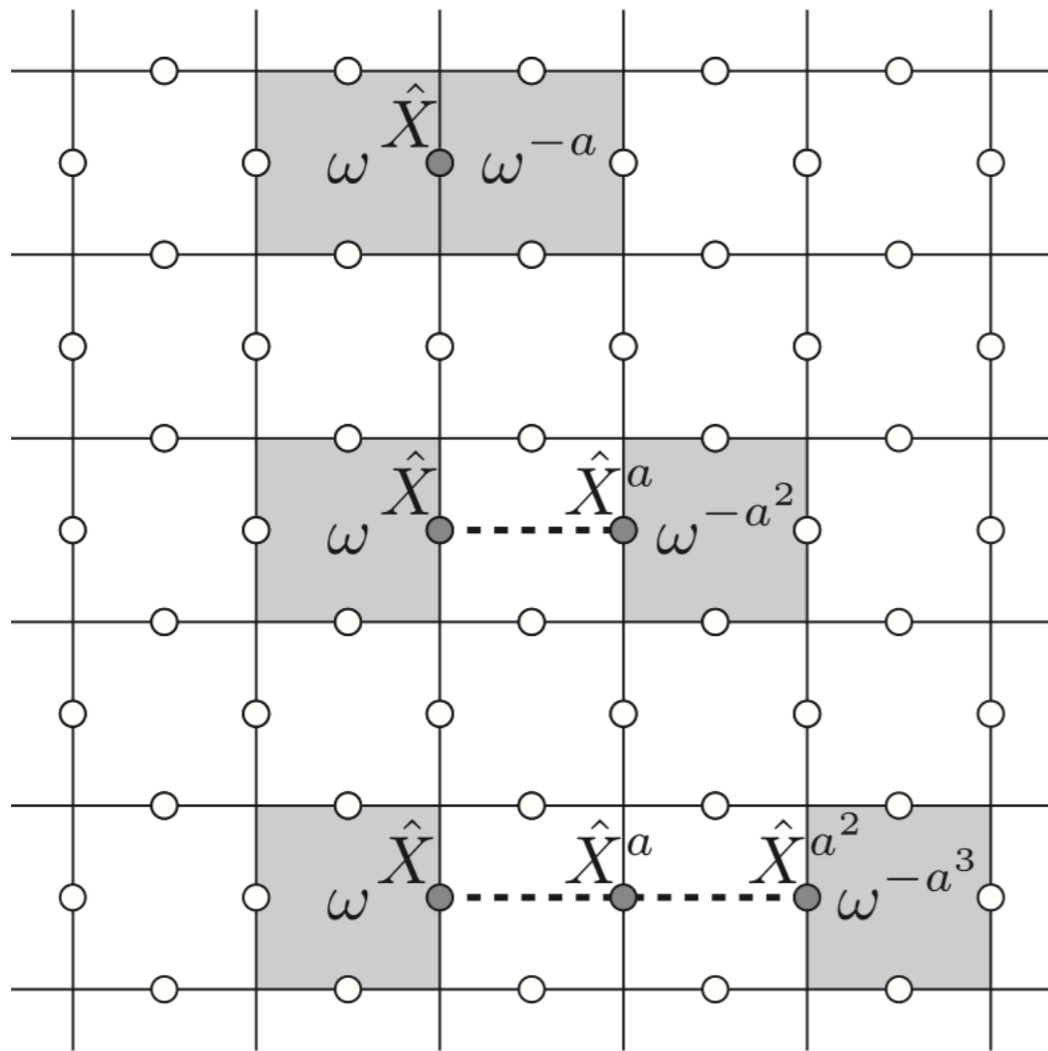
$$\hat{h}_{(m_1, m_2)} = \frac{1}{2} \left(\hat{X}_{(m_1 - \frac{1}{2}, m_2)} \hat{X}_{(m_1, m_2 - \frac{1}{2})} + \text{h.c.} \right) - \frac{1}{2} \left(\hat{Z}_{(m_1, m_2 - \frac{1}{2})} \hat{Z}_{(m_1 - \frac{1}{2}, m_2)}^{-1} + \text{h.c.} \right)$$
- Completely decoupled \rightarrow product state.
- GSD: $N_{\text{deg}} = 1$. TEE: $S_{\text{top}} = 0$. No anyons.



More general cases

Excited states

- Applying open strings of \hat{X} and \hat{Z} creates a pair of electric and magnetic particles.
- Translation permutes anyons (i.e. changes the charges of e and m particles).



$$\hat{X}_{(m_1 - \frac{1}{2}, m_2 + \frac{1}{2}), (m'_1 + \frac{1}{2}, m_2 + \frac{1}{2})}^{(1)} = \prod_{\ell=0}^{m'_1 - m_1} \hat{X}_{(m_1 + \ell, m_2 + \frac{1}{2})}^{a^\ell}$$

$$\hat{Z}_{(m_1, m_2), (m_1, m'_2 + 1)}^{(2)} = \prod_{\ell=0}^{m'_2 - m_2} \hat{Z}_{(m_1, m_2 + \ell + \frac{1}{2})}^{a^{m'_2 - m_2 - \ell}}$$

Global constraints

- Then GSD is given by $N_{\text{deg}} = N_C^2$ (proof is given later),

- ▶ where N_C is the number of global constraints: $\prod_{v \in \mathcal{V}} \hat{A}_v^{\ell_v} = 1$ ($0 \leq \ell_v \leq N - 1$).

- ▶ There will be an equal number of constraints: $\prod_{p \in \mathcal{P}} \hat{B}_p^{\ell_p} = 1$ ($0 \leq \ell_p \leq N - 1$).

- Trial global constraints:

- ▶ $\prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{A}_{(m_1, m_2)}^{a^{m_1+m_2}} = \prod_{m_1=0}^{L_1-1} \hat{X}_{(m_1, -\frac{1}{2})}^{-a^{m_1}(a^{L_2-1})} \prod_{m_2=0}^{L_2-1} \hat{X}_{(-\frac{1}{2}, m_2)}^{-a^{m_2}(a^{L_1-1})}$.

- ▶ $\prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{B}_{(m_1+\frac{1}{2}, m_2+\frac{1}{2})}^{a^{(L_1-1-m_1)+(L_2-1-m_2)}} = \prod_{m_1=0}^{L_1-1} \hat{Z}_{(m_1+\frac{1}{2}, 0)}^{a^{(L_1-1-m_1)}(a^{L_2-1})} \prod_{m_2=0}^{L_2-1} \hat{Z}_{(0, m_2+\frac{1}{2})}^{-a^{(L_2-1-m_2)}(a^{L_1-1})}$.

- Consider their n -th power. We need $(a^{L_1} - 1)n = (a^{L_2} - 1)n = 0 \pmod N$.

Loops

- $\hat{X}^{(i)} \hat{B}_{p_0} = \omega^{\underline{a^{L_i-1}}} \hat{B}_{p_0} \hat{X}^{(i)}$ and $\hat{Z}^{(i)} \hat{A}_{v_0} = \omega^{\underline{1-a^{L_i}}} \hat{A}_{v_0} \hat{Z}^{(i)}$.
- Closed loops are not really closed. Create an anyon without forming a pair.

$$\hat{X}^{(1)} = \prod_{\ell=0}^{L_1-1} \hat{X}_{(\ell, L_2-\frac{1}{2})}^{a^\ell}$$

$$\hat{X}^{(2)} = \prod_{\ell=0}^{L_2-1} \hat{X}_{(L_1-\frac{1}{2}, \ell)}^{a^\ell}$$

$$\hat{Z}^{(2)} = \prod_{\ell=0}^{L_2-1} \hat{Z}_{(0, \ell+\frac{1}{2})}^{a^{L_2-1-\ell}}$$

$$\hat{Z}^{(1)} = \prod_{\ell=0}^{L_1-1} \hat{Z}_{(\ell+\frac{1}{2}, 0)}^{a^{L_1-1-\ell}}$$

Math definitions

- Multiplicative order of a modulo n
 - ▶ Suppose n and a are coprime.
 - ▶ $M_n(a)$ is the smallest positive integer ℓ such that $a^\ell = 1 \pmod{n}$.
 - ▶ $M_n(a) = 1$ if and only if $a = 1 \pmod{n}$.
 - ▶ When $n \geq 3$, $M_n(a) = 2$ if $a = n - 1 \pmod{n}$.
- Euler's totient function $\varphi(n)$
 - ▶ The number of positive integers smaller than n that are relatively prime to n .
e.g., $\varphi(n) = n - 1$ when n is a prime.
- Primitive root modulo n
 - ▶ a is a primitive root modulo n if $M_n(a) = \varphi(n)$.
 - ▶ The primitive roots exist if and only if n is either 2, 4, p^k , or $2p^k$ (p is an odd prime & k is a positive integer).

Case 3: N is a prime

- When N is a prime, $(a^{L_i} - 1)n = 0 \pmod{N} \Leftrightarrow a^{L_i} = 1 \pmod{N} \Leftrightarrow L_i = 0 \pmod{M_N(a)}$.
- If $L_i \neq 0 \pmod{M_N(a)}$, $a^{L_i} - 1$ is coprime to N . Eigenvalues of \hat{A}_{v_0} and \hat{B}_{p_0} can be freely controlled by using $\hat{X}^{(i)} \hat{B}_{p_0} = \omega^{a^{L_i}-1} \hat{B}_{p_0} \hat{X}^{(i)}$ and $\hat{X}^{(i)} \hat{A}_{p_0} = \omega^{1-a^{L_i}} \hat{A}_{p_0} \hat{X}^{(i)}$.

This argument can be extended to all $v \in \mathcal{V}$ and $p \in \mathcal{P} \rightarrow$ Absence of global constraints.

- GSD N_{deg} depends on L_1 and L_2 :
 - ▶ $N_{\text{deg}} = N^2$ when both L_1 and L_2 are multiples of $M_N(a)$.
 - ▶ $N_{\text{deg}} = 1$ when L_1 or L_2 is not a multiple of $M_N(a)$.

Example: $N = 11$

a	$a^\ell \pmod{N}$
1	1
2	2, 4, 8, 5, 10, 9, 7, 3, 6, 1
3	3, 9, 5, 4, 1
4	4, 5, 9, 3, 1
5	5, 3, 4, 9, 1
6	6, 3, 7, 9, 10, 5, 8, 4, 2, 1
7	7, 5, 2, 3, 10, 4, 6, 9, 8, 1
8	8, 9, 6, 4, 10, 3, 2, 5, 7, 1
9	9, 4, 3, 5, 1
10	10, 1

- a is a primitive root if the smallest integer ℓ such that $a^\ell = 1 \pmod{N}$ is $N - 1$.
c.f. Fermat's little theorem: $a^{N-1} = 1 \pmod{N}$
- TEE: $S_{\text{top}} = -\log N$. N^2 species of anyons.

Primitive roots are $a = 2, 6, 7, 8$.

Calculation of TEE

- Kitaev-Preskill prescription

- ▶ $S_{\text{topo}} = (S_A + S_B + S_C) - (S_{AB} + S_{BC} + S_{CA}) + S_{ABC}$

- ▶ $S_R = -\text{tr}[\hat{\rho}_R \log \hat{\rho}_R]$

- Useful formula: $S_R = n_R \log N - \log |G_R|$

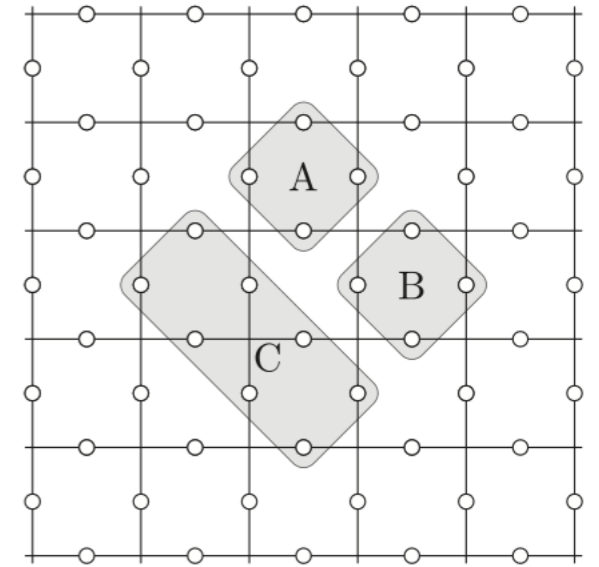
- ▶ n_R is the number of N -level spins in R .

- ▶ G_R is the subgroup of G supported in R .

- ▶ G is the multiplicative group generated by all \hat{A}_v 's ($v \in \mathcal{V}$), \hat{B}_p 's ($p \in \mathcal{P}$), and possible closed string operators for which $|\Phi_0\rangle$ has the eigenvalue +1.

- When N and a are coprime: $S_R = (n_R - m_R) \log N$

- ▶ m_R is the number of generators of G supported in R .



General case

- Prime factorization $N = \prod_{j=1}^n p_j^{r_j} = p_1^{r_1} p_2^{r_2} \cdots p_n^{r_n}$.
- Radical of N : $\text{rad}(N) = \prod_{j=1}^n p_j = p_1 p_2 \cdots p_n$.
- $N_a =$ the largest divisor of N that is coprime to a .
 - ▶ $N_a \neq 1$ if a is not a multiple of $\text{rad}(N)$ → Topologically-ordered phases
 - ▶ $N_a = 1$ if a is a multiple of $\text{rad}(N)$ → SPT phases
- GSD $N_{\text{deg}} = d_a^2$ with $d_a = \text{gcd}(a^{L_1} - 1, a^{L_2} - 1, N_a)$.
- N_a^2 anyon species characterized by the electric charge $q_e = 1, 2, \dots, N_a$ and the magnetic charge $q_m = 1, 2, \dots, N_a$.

Justification

- Trial global constraints:

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{A}_{(m_1, m_2)}^{a^{m_1+m_2}} = \prod_{m_1=0}^{L_1-1} \hat{X}_{(m_1, -\frac{1}{2})}^{-a^{m_1}(a^{L_2}-1)} \prod_{m_2=0}^{L_2-1} \hat{X}_{(-\frac{1}{2}, m_2)}^{-a^{m_2}(a^{L_1}-1)}.$$

$$\triangleright \prod_{m_1=0}^{L_1-1} \prod_{m_2=0}^{L_2-1} \hat{B}_{(m_1+\frac{1}{2}, m_2+\frac{1}{2})}^{a^{(L_1-1-m_1)+(L_2-1-m_2)}} = \prod_{m_1=0}^{L_1-1} \hat{Z}_{(m_1+\frac{1}{2}, 0)}^{a^{(L_1-1-m_1)}(a^{L_2}-1)} \prod_{m_2=0}^{L_2-1} \hat{Z}_{(0, m_2+\frac{1}{2})}^{-a^{(L_2-1-m_2)}(a^{L_1}-1)}.$$

- Consider their n -th power. We need $(a^{L_1} - 1)n = (a^{L_2} - 1)n = 0 \pmod{N}$.

$$\text{Solution: } n = \frac{N}{d_a} \text{ with } d_a = \gcd(a^{L_1} - 1, a^{L_2} - 1, N_a).$$

- Similarly, $[\hat{X}^{(i)}]^{n_{i,a}}$ commutes with \hat{B}_{p_0} if $(a^{L_i} - 1)n_{i,a} = 0 \pmod{N}$.

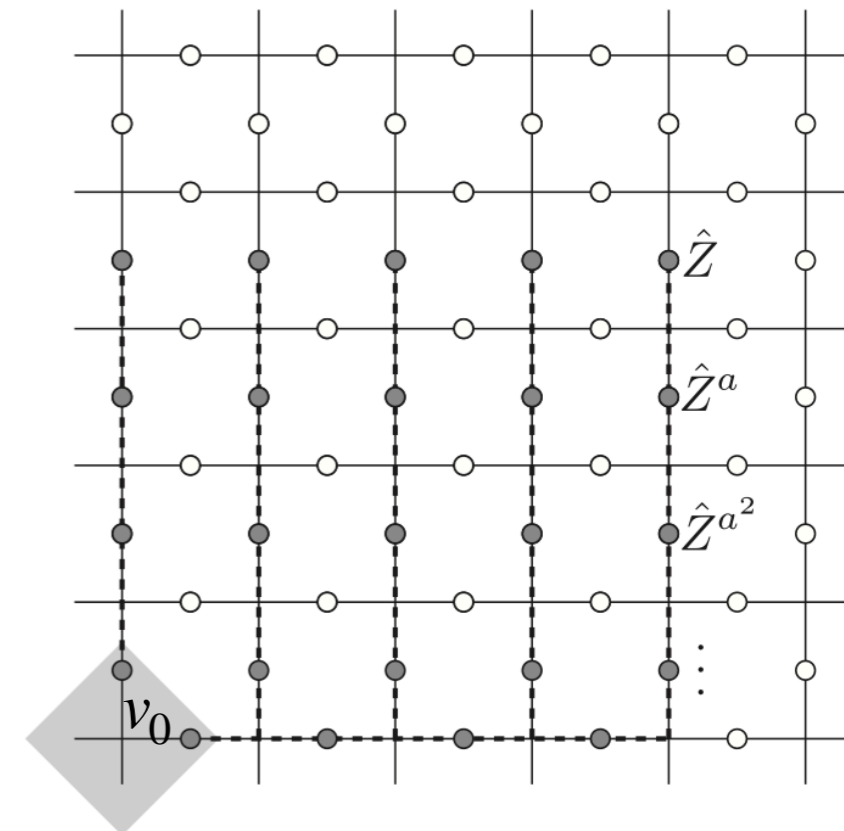
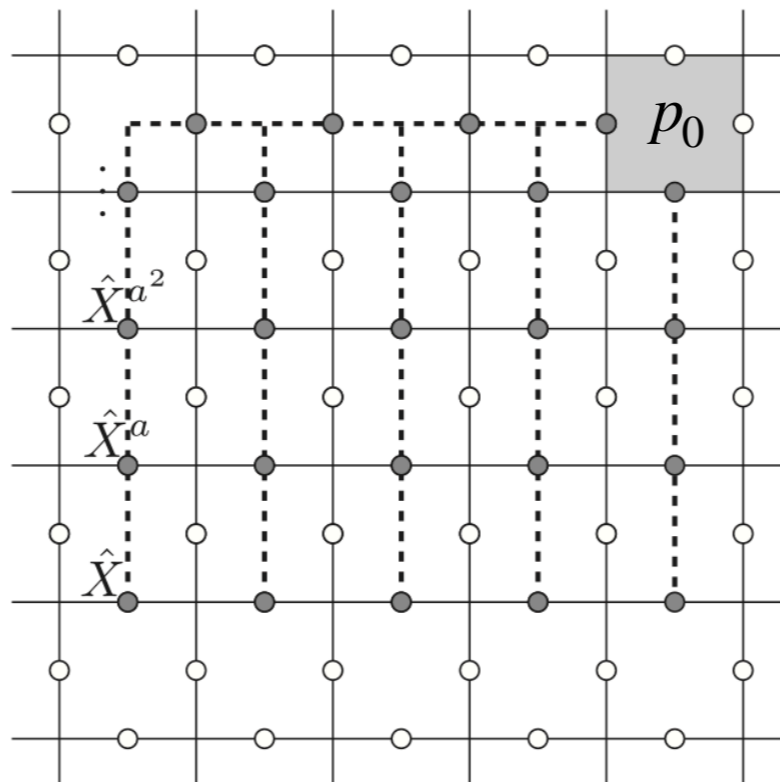
$$\text{Solution: } n_{i,a} = \frac{N}{\gcd(a^{L_i} - 1, N_a)}.$$

Open string operators

Control the eigenvalues of

- \hat{A}_v ($v \in \mathcal{V}, v \neq v_0$)
- \hat{B}_p ($p \in \mathcal{P}, p \neq p_0$)

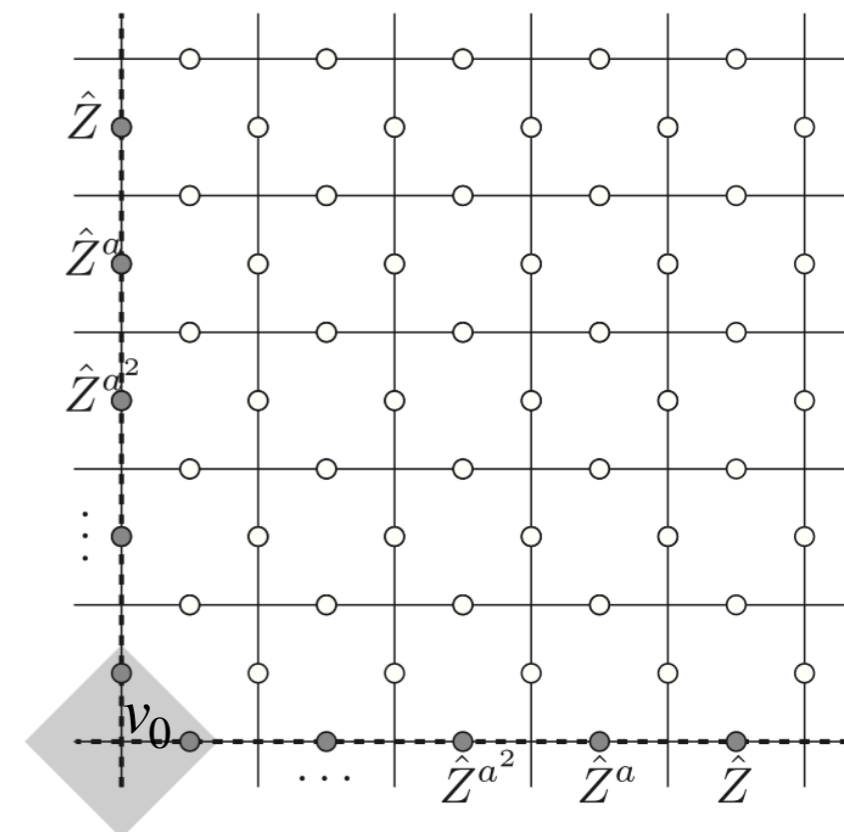
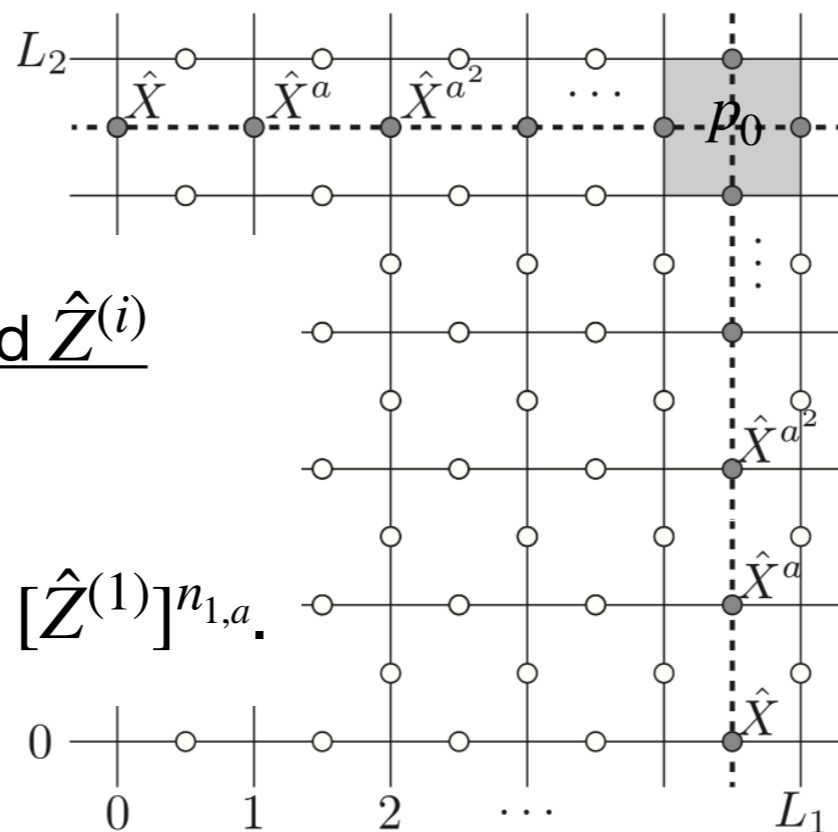
$[\hat{A}_{v_0}]^{\frac{N}{d_a}}$ and $[\hat{B}_{p_0}]^{\frac{N}{d_a}}$ are fixed by global constraints.



Closed string operators $\hat{X}^{(i)}$ and $\hat{Z}^{(i)}$

Control the eigenvalues of

- Residual part of \hat{A}_{v_0} and \hat{B}_{p_0} .
- Closed strings: $[\hat{X}^{(1)}]^{n_{1,a}}$ and $[\hat{Z}^{(1)}]^{n_{1,a}}$.

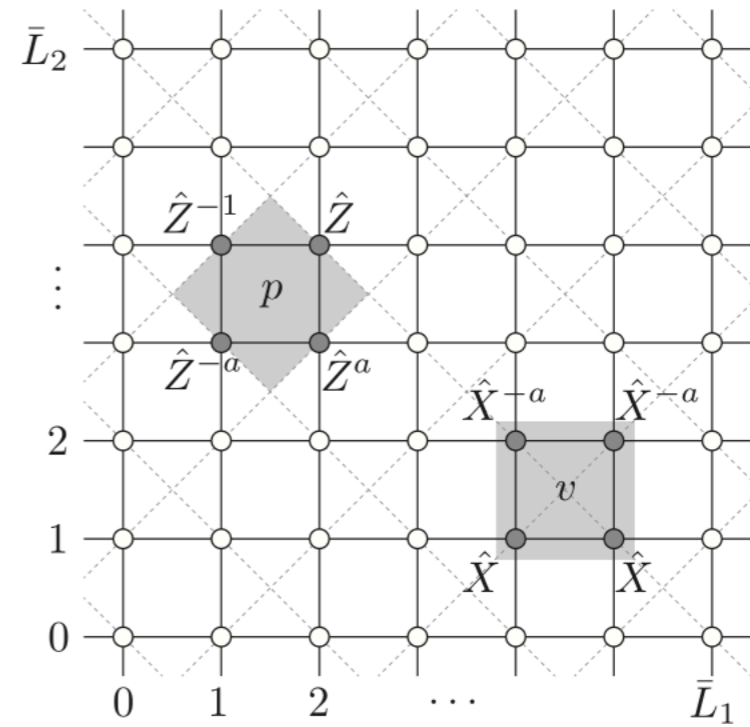


Case 4: $a^2 = N$

- Rotate the model by 45 degree.
- Subsystem symmetries for each row

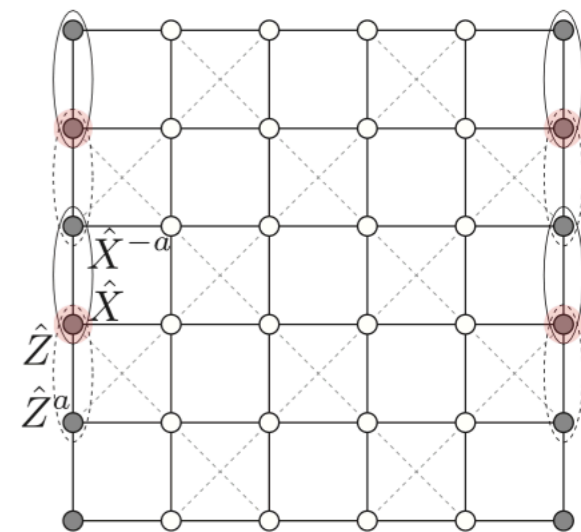
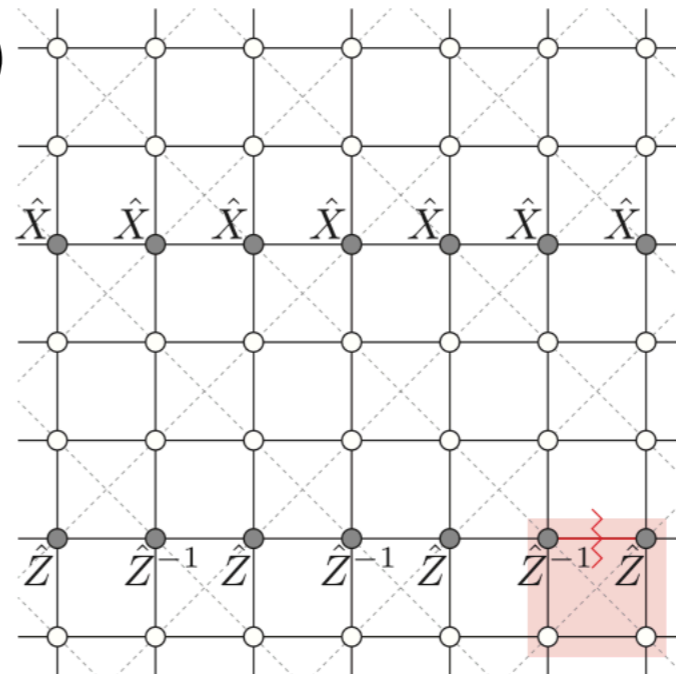
$$\hat{X}_{\bar{m}_2} = \prod_{\bar{m}_1=0}^{\bar{L}_1-1} \hat{X}_{(\bar{m}_1, \bar{m}_2)}$$

$$\hat{Z}_{\bar{m}_2} = \prod_{\bar{m}_1=0}^{\bar{L}_1-1} \hat{Z}_{(\bar{m}_1, \bar{m}_2)}^{(-1)^{\bar{m}_1}}$$



- Subsystem-Protected Topological (SSPT)

- Charge pumping
- Zero energy edge states



Summary 1

- We introduced a family of \mathbb{Z}_N toric code with an integer parameter a .
- $N_a =$ the largest divisor of N that is coprime to a .
 - ▶ $N_a \neq 1$ if a is not a multiple of $\text{rad}(N)$ \rightarrow Topologically-ordered phases
 - ▶ $N_a = 1$ if a is a multiple of $\text{rad}(N)$ \rightarrow (S)SPT phases
- GSD $N_{\text{deg}} = d_a^2$ with $d_a = \text{gcd}(a^{L_1} - 1, a^{L_2} - 1, N_a)$.
- N_a^2 anyon species characterized by the electric charge $q_e = 1, 2, \dots, N_a$ and the magnetic charge $q_m = 1, 2, \dots, N_a$.

Summary 2

Symmetry-protected topological/trivial phases

1. **Unique GS** with excitation gap **for any sequence of L_1 and L_2 .**
2. Stable against **symmetry-preserving perturbations.**
3. **Can be connected to product states** by local unitaries if symmetries are broken.
4. **Trivial excitations** in the bulk.
Anomalous states on symmetry-preserving boundaries.

Topologically-ordered phases

1. **Degenerate GS** with excitation gap **for a sequence of L_1 and L_2 .**
Degeneracy does not originate from spontaneous breaking of symmetries.
2. Stable against **any local perturbations.**
3. **Cannot be connected to product state** by any local unitaries.
Topological entanglement entropy.
4. **Fractionalized (anyonic) excitations** in the bulk. ~~Anyons must appear in pairs.~~