

# Disorder-free localization in lattice gauge theories

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Augsburg  
University



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Work together with

ICTP & SISSA: M. Dalmonte, M. Brenes, A. Scardicchio, F. Surace, R. Fazio, S. Notarnicola  
MPI-PKS: P. Karpov, R. Moessner, N. Chakraborty, G.-Y. Zhu, R. Verdel, A. Russomanno, Y.-P. Huang  
Cologne: M. Schmitt

# Gauge theories in physics

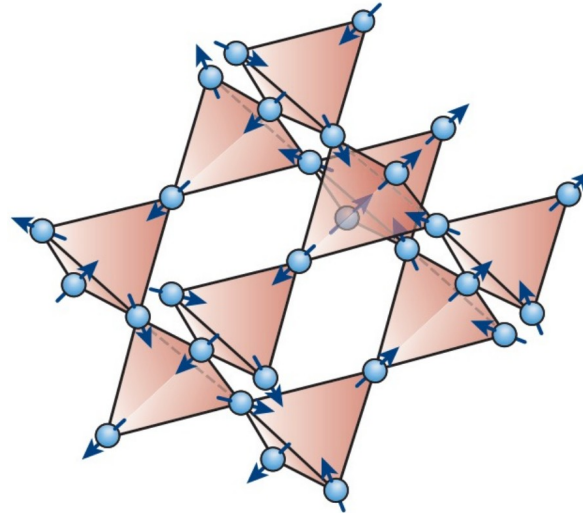
**Standard Model of Elementary Particles**

three generations of matter (fermions)						interactions / force carriers (bosons)	
I		II		III			
mass charge spin	$\approx 2.2 \text{ MeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$	$\approx 1.28 \text{ GeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$	$\approx 173.1 \text{ GeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$	$\approx 0$ $0$ $1$	$\approx 124.97 \text{ GeV}/c^2$ $0$ $0$		
	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> higgs		
<b>QUARKS</b>	$\approx 4.7 \text{ MeV}/c^2$ $-\frac{1}{3}$ $\frac{1}{2}$	$\approx 96 \text{ MeV}/c^2$ $-\frac{1}{3}$ $\frac{1}{2}$	$\approx 4.18 \text{ GeV}/c^2$ $-\frac{1}{3}$ $\frac{1}{2}$	$\approx 0$ $0$ $1$	$\approx 0$ $0$ $1$		
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	$\gamma$ photon			
<b>LEPTONS</b>	$\approx 0.511 \text{ MeV}/c^2$ $-1$ $\frac{1}{2}$	$\approx 105.66 \text{ MeV}/c^2$ $-1$ $\frac{1}{2}$	$\approx 1.7768 \text{ GeV}/c^2$ $-1$ $\frac{1}{2}$	$\approx 91.19 \text{ GeV}/c^2$ $0$ $1$			
	<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau	<b>Z</b> Z boson			
	$\approx 0$ $0$ $\frac{1}{2}$	$\approx 0$ $0$ $\frac{1}{2}$	$\approx 0$ $0$ $\frac{1}{2}$	$\approx 80.39 \text{ GeV}/c^2$ $\pm 1$ $1$			
	<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino	<b>W</b> W boson			

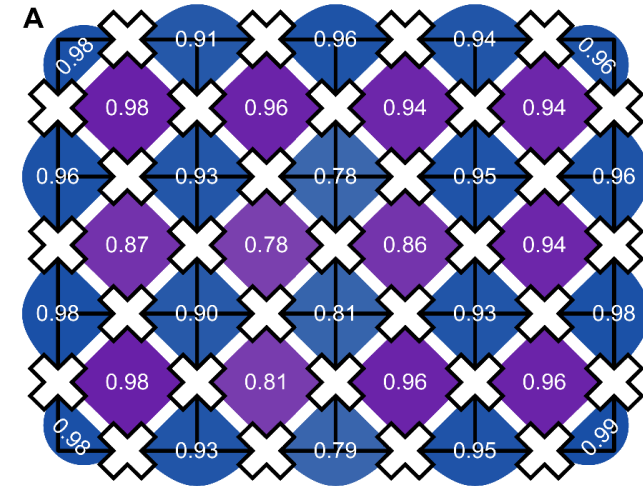
**SCALAR BOSONS**

**GAUGE BOSONS VECTOR BOSONS**

Wikipedia



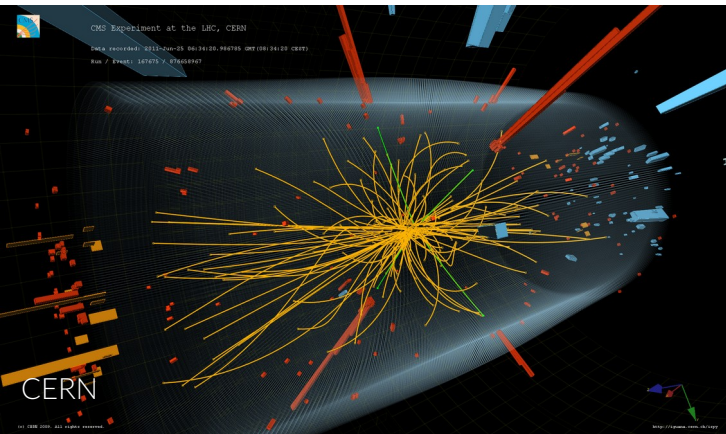
Balents Nature '10



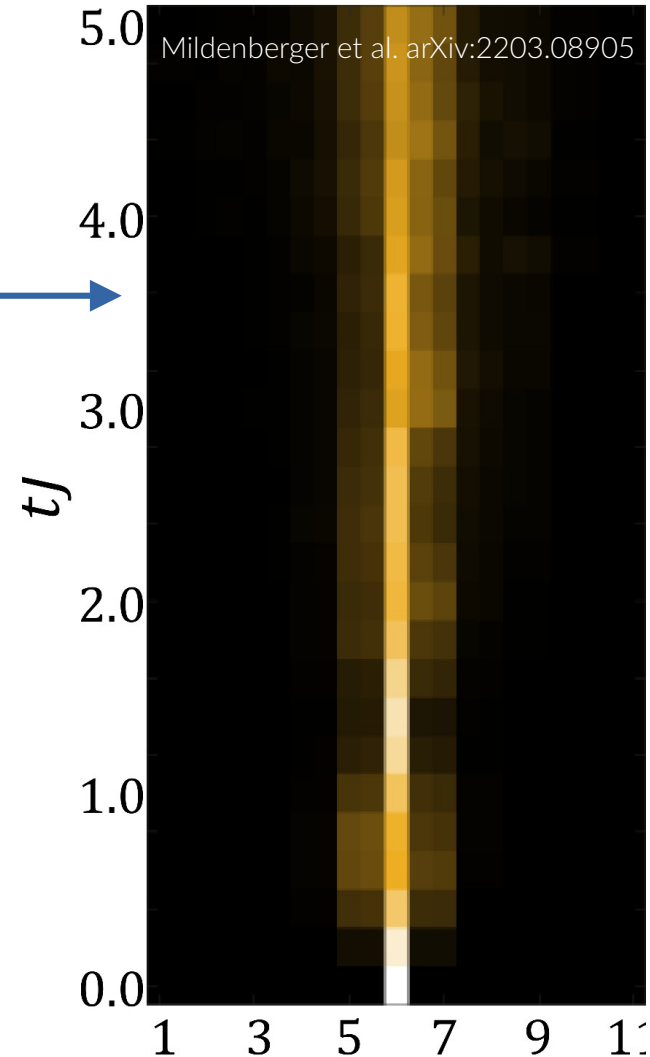
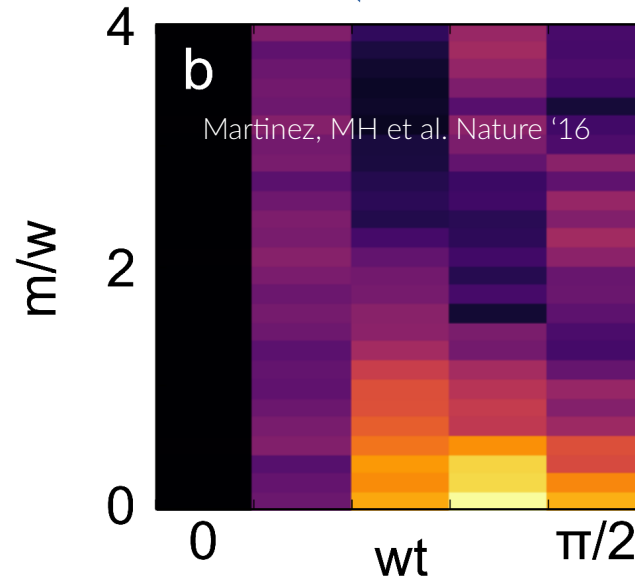
Satzinger et al. Science '21

# Dynamics in gauge theories

Particle collisions



Quantum simulators

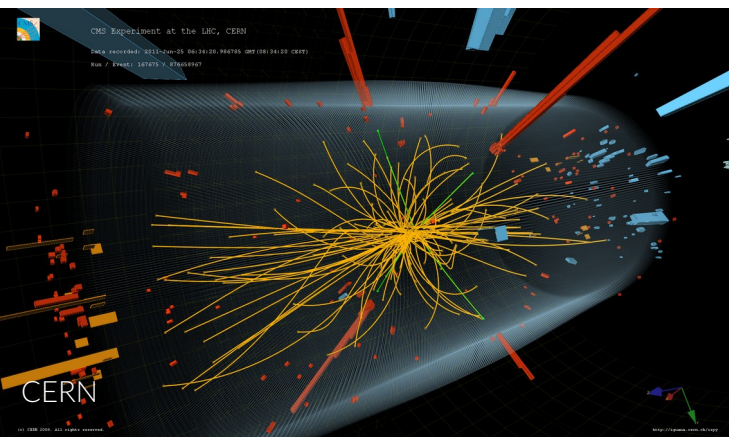


# Dynamics in gauge

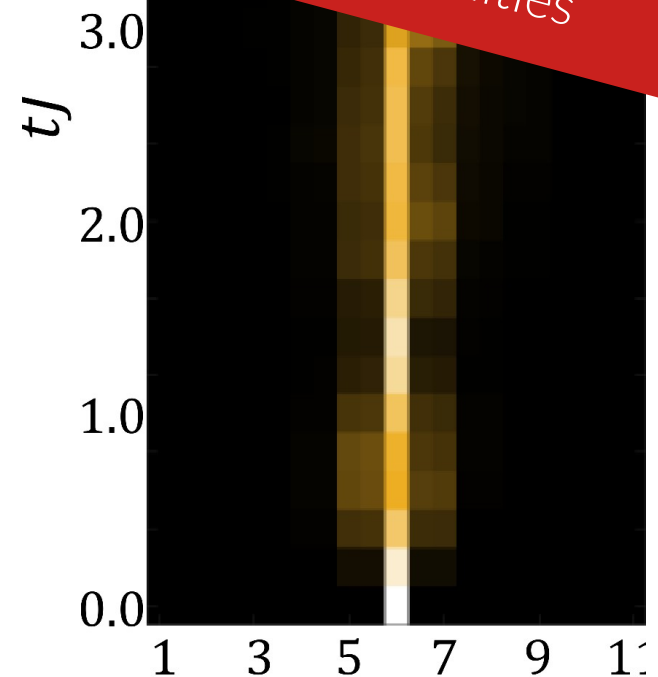
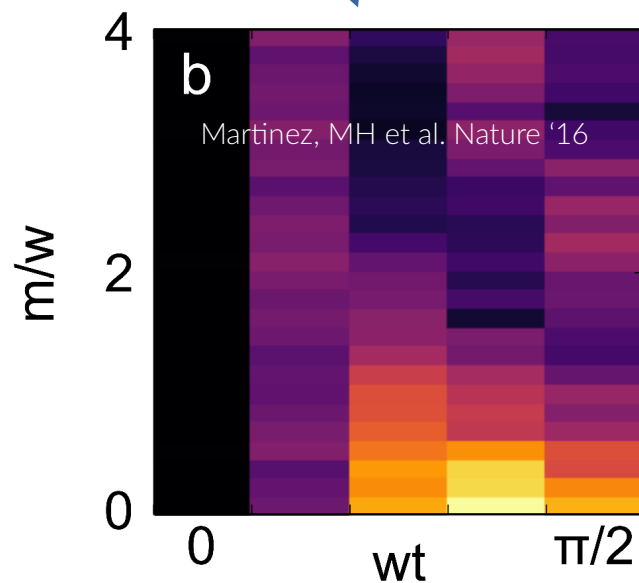
**Goal:** explore dynamical principles and dynamical universalities

**Challenge:** calculate dynamics theoretically

## Particle collisions

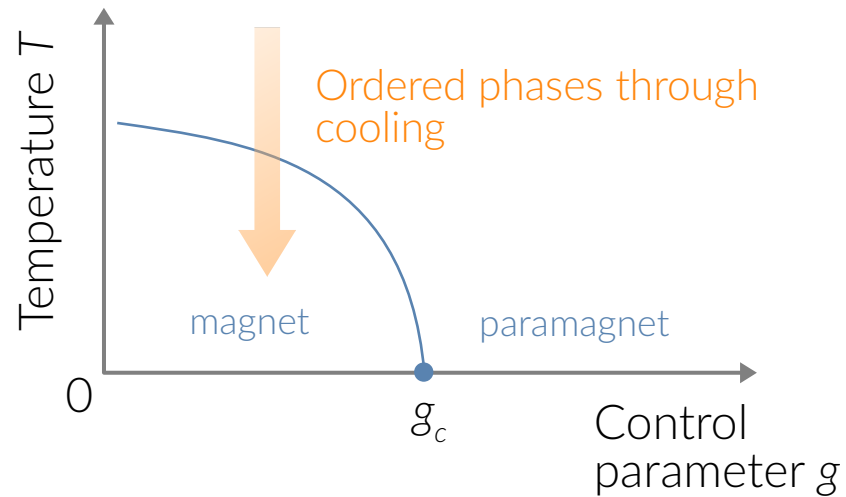


## Quantum simulators



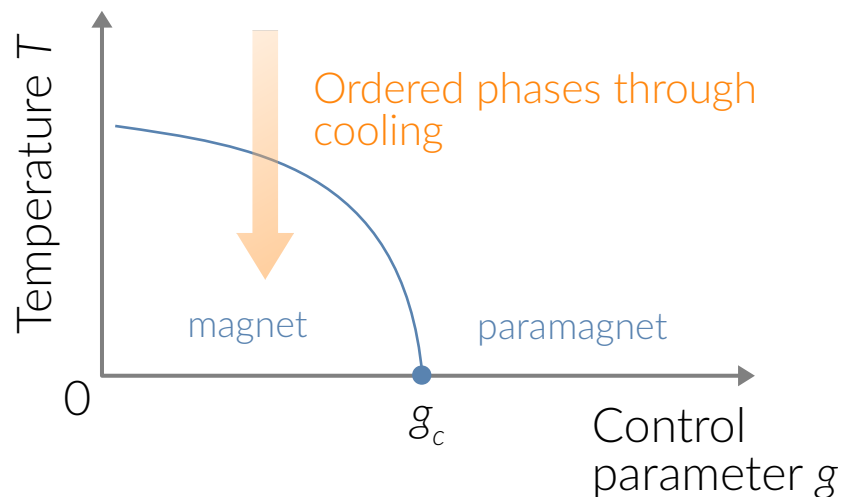
# New kinds of order

Conventional matter

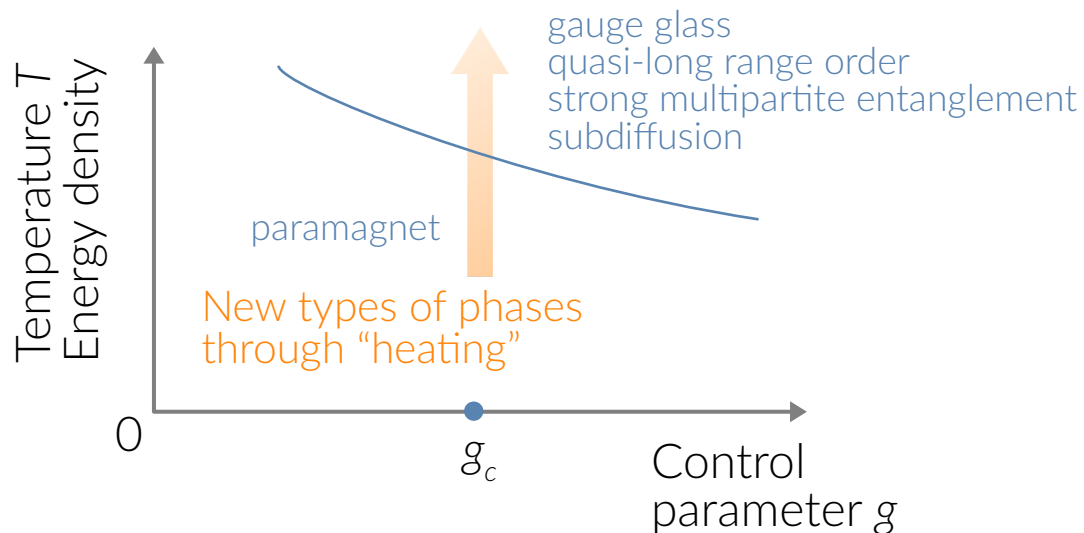


# New kinds of order

## Conventional matter



## Matter with gauge constraints



Not happening (generically) in thermodynamic systems → requires **new mechanism**

# Outline

Quantum thermalization

Disorder-free localization (DFL)

Breaking thermalization through gauge invariance

DFL in 2D lattice gauge theories

Quantum link model (quantum spin ice, quantum dimer model)

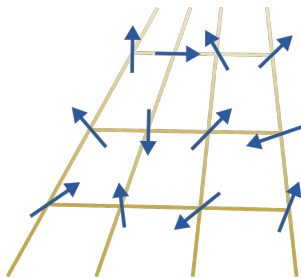
New types of order

Gauge time crystals  
Subdiffusion in the Kitaev honeycomb



# Quantum thermalization

Isolated quantum magnet



time



?

**Realistic** (homogeneous) systems thermalize

Ensemble equivalence: long-time steady state  $\leftrightarrow$  thermal Gibbs state

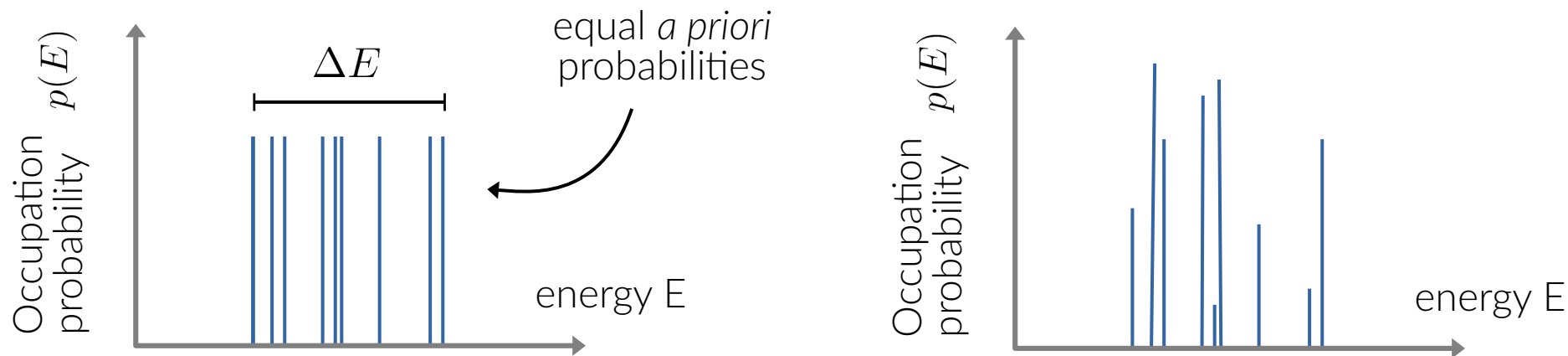
$$\rho_A = \text{tr}_B \rho(t \rightarrow \infty) = \text{tr}_B \frac{1}{Z} e^{-\beta H}$$

subsystems thermalize  
(remainder acting as an effective bath)



# Breaking ergodicity

No thermalization: **avoid** equipartition



New quantum states *beyond thermodynamic constraints*

# Breaking ergodicity

## Integrability

- Fine-tuning
- *Not robust*  
→ no stable nonthermal states

Polkovnikov *et al.* RMP '11

## Disorder

- (Many-body) Localization
- Robust in 1D
- But *unstable beyond 1D!*

Vosk & Altman, Nandkishore & Huse, Annu. Rev. '15

## Local constraints

- Fractons
- Quantum many-body scars
- *Gauge invariance*  
→ Disorder-free localization

Smith *et al.* PRL'17  
Brenes, MH *et al.* PRL '18

# Breaking ergodicity

Robust ergodicity breaking in any dimension  
→ Disorder-free localization

## Integrability

- Fine-tuning
- **Not robust**  
→ no stable nonthermal states

Polkovnikov *et al.* RMP '11

## Disorder

- (Many-body) Localization
- Robust in 1D
- But **unstable beyond 1D!**

Vosk & Altman, Nandkishore & Huse, Annu. Rev. '15

## LC

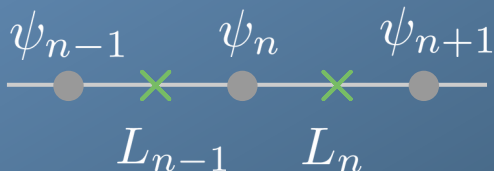
- Fractons
- Quantum many-body scars
- **Gauge invariance**  
→ Disorder-free localization

Smith *et al.* PRL'17  
Brenes, MH *et al.* PRL '18

# Disorder-free localization

# Gauge invariance & conservation laws

Lattice gauge theory  
(LGT)



Local gauge symmetry  $\rightarrow$  Generators:  $[G_n, H] = 0 \quad \forall n$

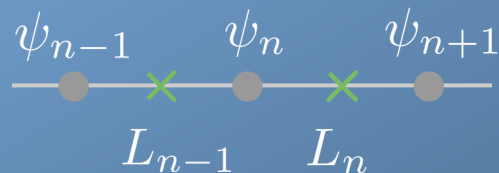
**Noether theorem:** *local symmetry  $\rightarrow$  local conservation law*

**Extensive** # local conserved operators/quantities

(almost like *integrable* systems, but not equal to the # DOF)

LGTs have *builtin constrained* dynamics

# Fragmentation & Superselection sectors



*Abelian* LGT  $\rightarrow$  Generators:

$$[G_n, H] = 0 \quad [G_n, G_{n'}] = 0 \quad \forall n$$

Hamiltonian eigenstates can be labeled by the *eigenvalues of the generators*

**Superselection sector**

$$\{q_\alpha\}_{\alpha=1,\dots,N}$$

$$G_n |\Psi_{\{q_\alpha\}}\rangle = q_n |\Psi_{\{q_\alpha\}}\rangle$$

$$\Rightarrow [\nabla E_n - \rho_n - q_n] |\Psi_{\{q_\alpha\}}\rangle = 0$$

↑  
'static background charge'

Hamiltonian decomposes into  
disconnected blocks  
(fragmentation built in automatically)

$$H = \begin{pmatrix} \square & & & \\ & \square & & \\ & & \square & \\ & & & \{q_\alpha\} & \\ & & & & \square \\ & & & & & \square \end{pmatrix}$$

# Background charges & dynamics

$$e^{-iHt}|\Psi_{\{q_\alpha\}}\rangle = e^{-iH_{\{q_\alpha\}}t}|\Psi_{\{q_\alpha\}}\rangle$$

Dynamics for superposition states:

$$|\Psi\rangle = \sum_{\{q_\alpha\}} C_{\{q_\alpha\}} |\Psi_{\{q_\alpha\}}\rangle$$

$$H = \begin{pmatrix} & & & & \\ & \blacksquare & & & \\ & & \blacksquare & & \\ & & & \blacksquare_{\{q_\alpha\}} & \\ & & & & \blacksquare \\ & & & & & \blacksquare \end{pmatrix}$$

Diagonal  
observable  
(gauge invariant)



$$\langle \mathcal{O}(t) \rangle = \sum_{\{q_\alpha\}} |C_{\{q_\alpha\}}|^2 \langle \Psi_{\{q_\alpha\}} | e^{iH_{\{q_\alpha\}}t} \mathcal{O} e^{-iH_{\{q_\alpha\}}t} | \Psi_{\{q_\alpha\}} \rangle$$

“disorder average” even though the state might be *homogeneous*

Disorder-free localization from strong *random background charges*

Smith et al. PRL '17 & Brenes, MH et al. PRL '18

# DFL Mechanisms

## Localization through interference

Disorder landscape generated by the background charges  
(disorder often spatially correlated)

→ Anderson or many-body localization

## Localization through kinetic constraints

Fragmentation in real & Hilbert space

→ Quantum/classical percolation problem

→ this talk



# DFL in interacting 2D LGTs

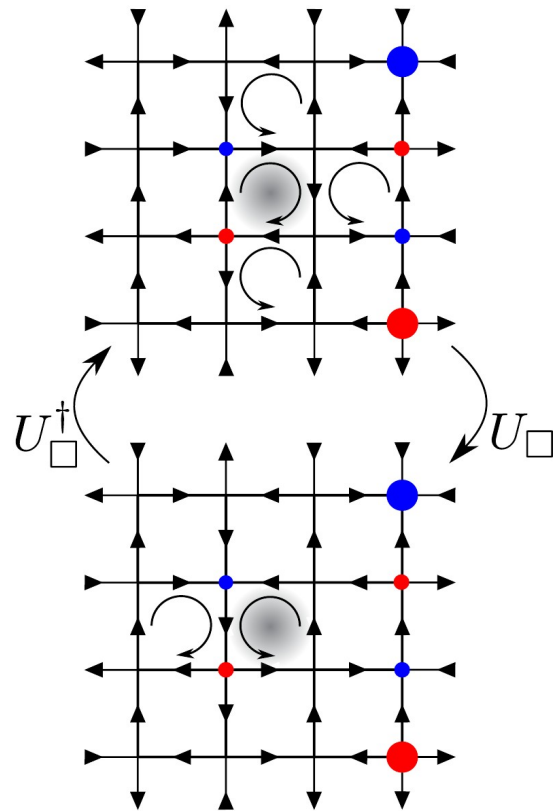
# U(1) quantum link model (QLM)

$$H = H_0 + V \equiv \lambda \sum_{\square} (U_{\square} + U_{\square}^{\dagger})^2 - J \sum_{\square} (U_{\square} + U_{\square}^{\dagger})$$

↑
↑

Potential energy counting # flippable plaquettes      Correlated spin flip on full plaquette

Local symmetry:  $G_n = \frac{1}{2} [\# \text{ out} - \# \text{ in}]$



Quantum spin ice ( $G_n=0$ )  $\rightarrow$  Emergent strong-coupling QED, quantum dimer model ( $G_n=(-1)^n$ ), ...

# Nonequilibrium dynamics

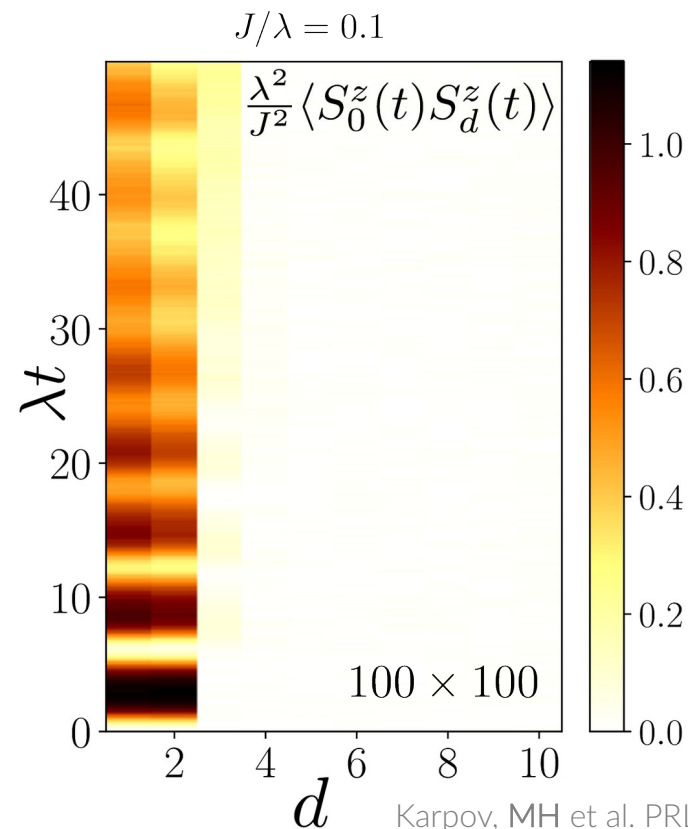
Initial condition:

$$|\psi_0\rangle = |\rightarrow\rangle = \frac{1}{\sqrt{\dim_H}} \sum_s |s\rangle$$

→ superposition over all superselection sectors

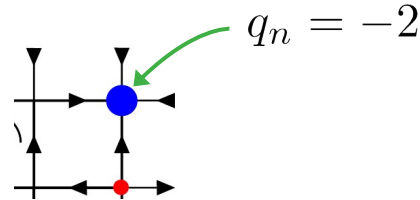
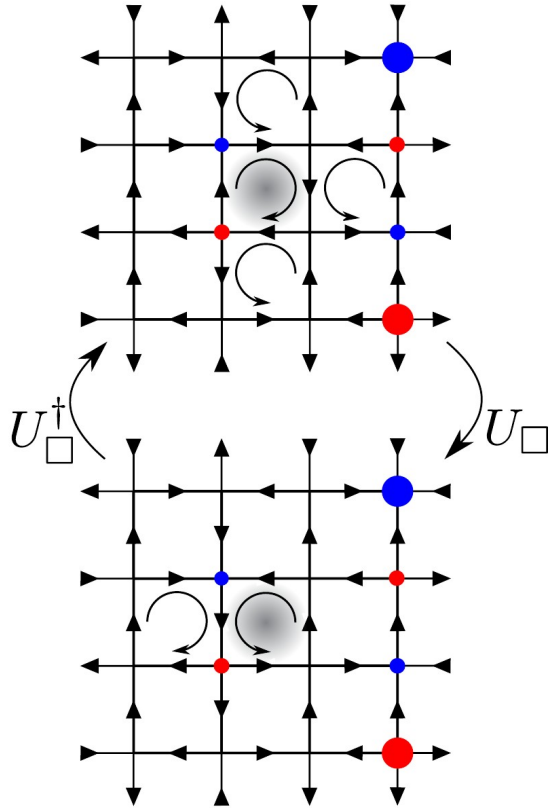
No propagation of quantum correlations

Is this just *slow dynamics* or really  
*nonergodic* behavior?

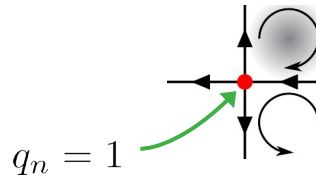


Solution via classical networks  
Verdel, MH et al. PRB '21

# Background charges & kinetic constraints



All 4 spins will be frozen *forever*

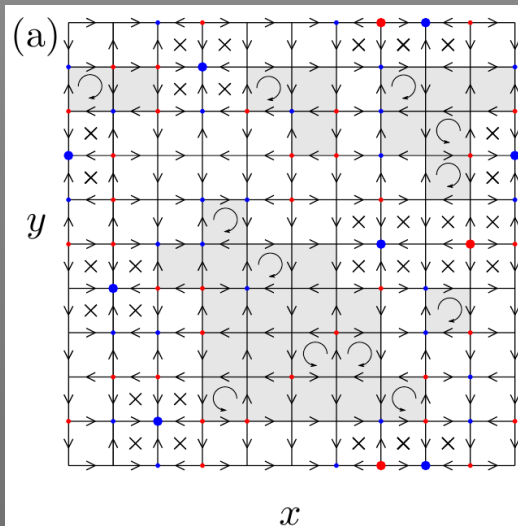


At least 2 neighboring plaquettes unflippable

Key question: kinetics local or can excitations still propagate?

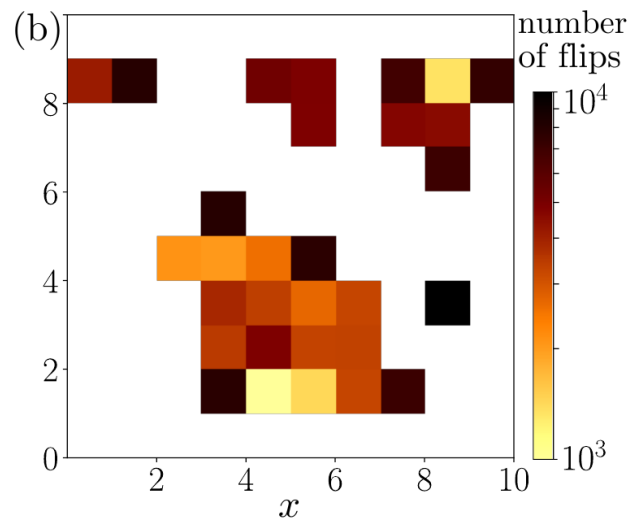
# Mapping onto percolation problem

Typical superselection sector

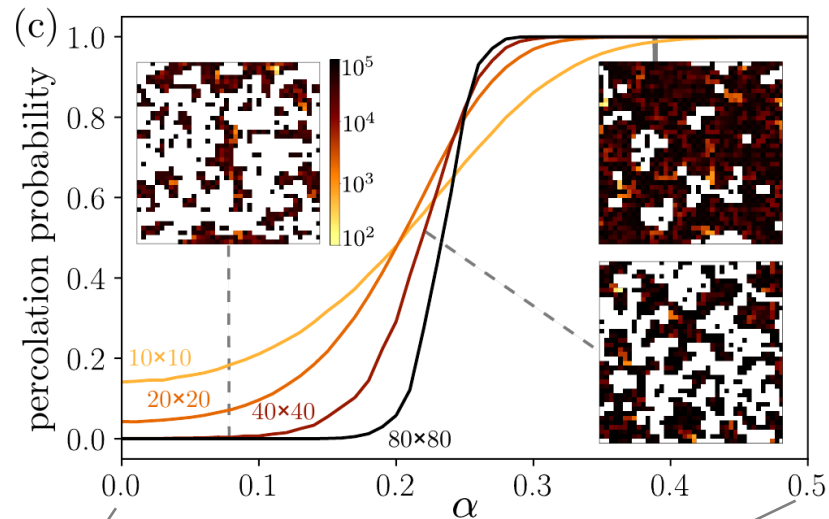


Karpov, MH et al. PRL '21

Kinetics & clusters



Percolation of clusters

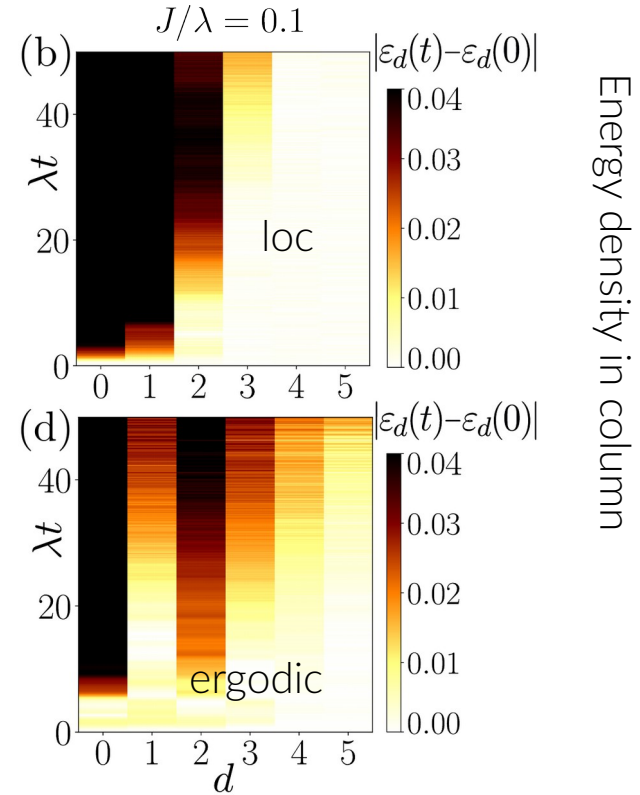
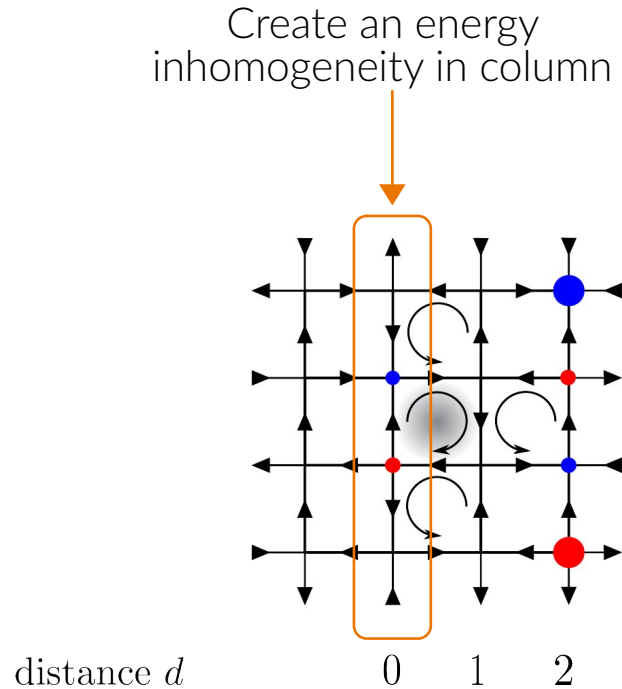


$\rightarrow$

fully-flippable sector  
(no background charges)

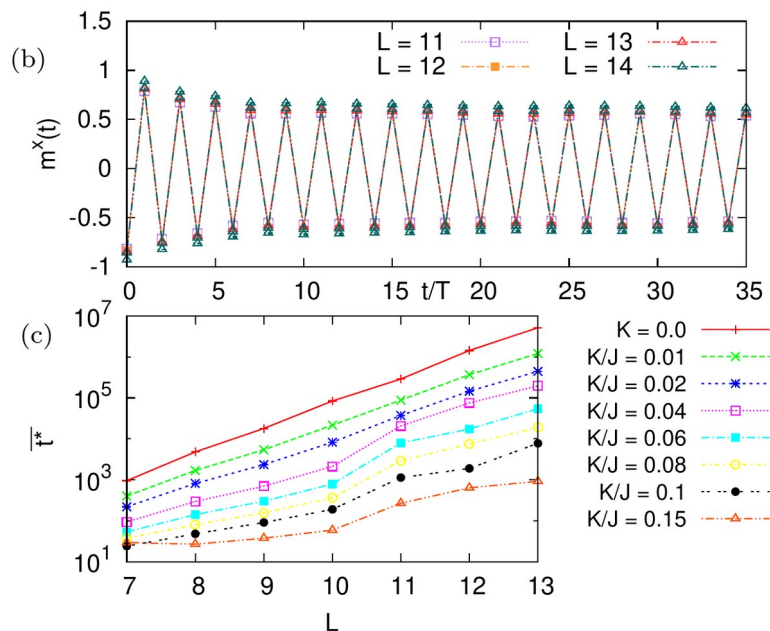
No percolation  $\rightarrow$  Small clusters  $\rightarrow$  Ergodicity breaking

# Signatures in quantum dynamics



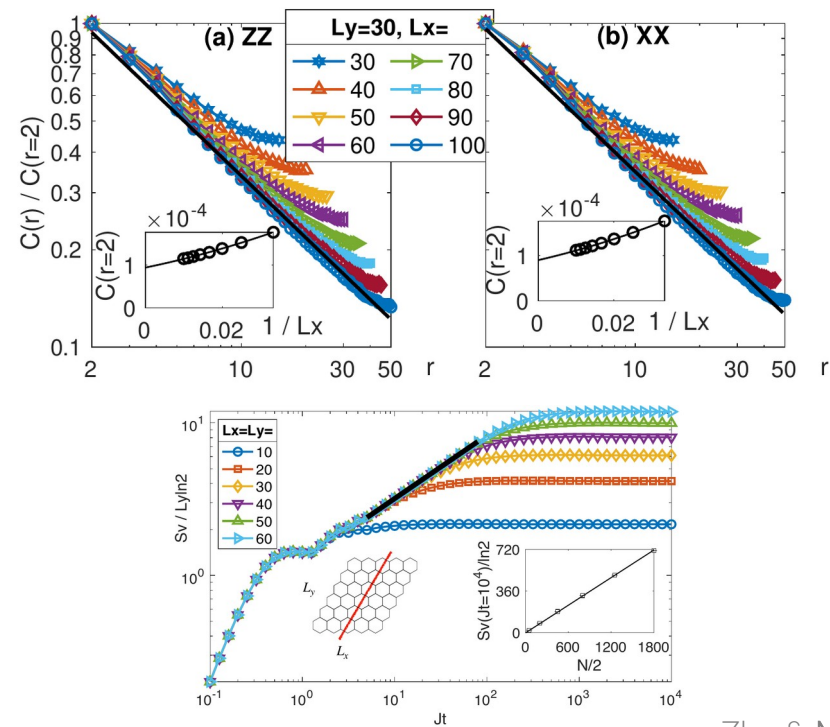
# Localization protected quantum order

## Gauge time crystal



Russomanno, MH et al. PRR '20

## Quasi long-range order



Zhu & MH PRR '21

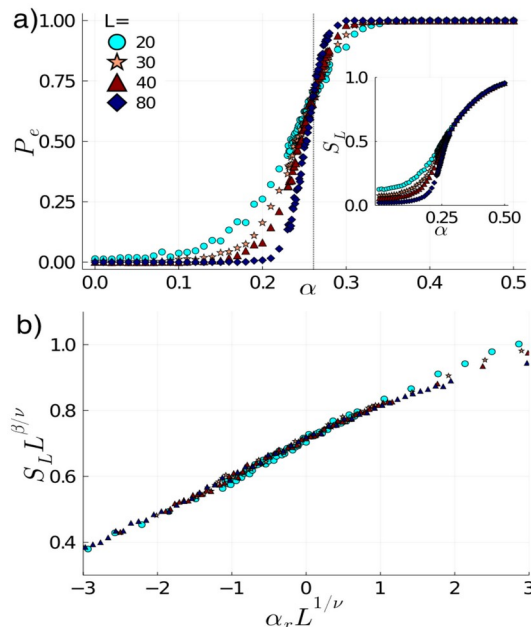
# Summary & outlook

- Disorder-free localization as a new mechanism for ergodicity breaking
- Robust ergodicity breaking even in 2D (provided gauge invariance is preserved)
- What new types of nonequilibrium phases are possible?
- What about 3D? Can there still be a nonergodic phase?
- What happens in the presence of matter in 2D?
- Implications on high-temperature spectral functions?



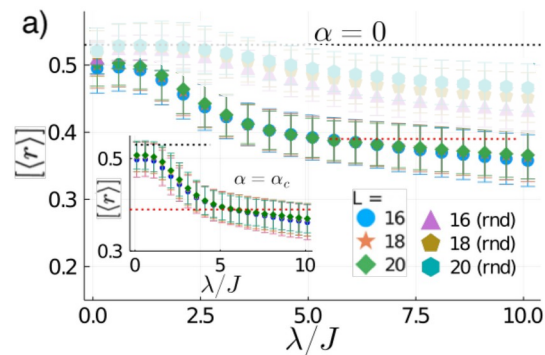
# Quantum localization transition

Chakraborty, MH et al. '22



Universality class of 2D site percolation

Level-spacing statistics for individual clusters

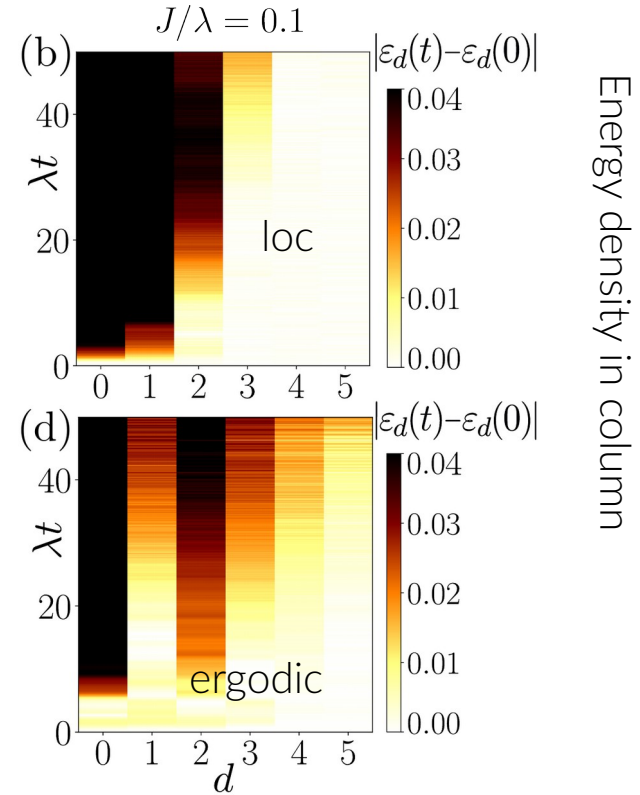
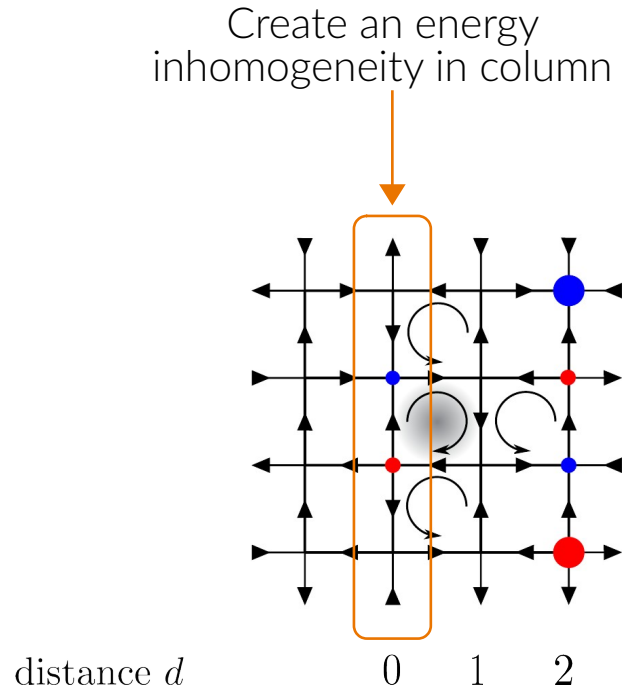


cluster  
size

Clusters ergodic

Quantum thermalization transition  
= classical percolation transition

# Signatures in quantum dynamics



## Method: classical networks

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**Challenge:** no *efficient* compression of quantum states available 2D

$$|\psi\rangle = \sum_s \psi(s) |s\rangle$$

# amplitudes exponential in system size

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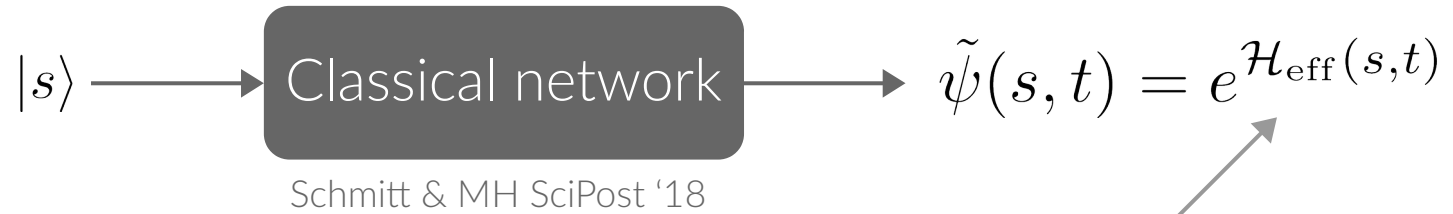
**Classical networks:** “*Don’t store. Generate on the fly.*”



Sample using MC techniques

Schmitt & MH SciPost '18

## Method: classical networks



Effective classical Hamiltonian

- Structure obtained from cumulant expansion  
(around a classical limit)

$$\mathcal{H}_{\text{eff}}(s, t) = h_0(s, t) + \epsilon h_1(s, t) + \epsilon^2 h_2(s, t) + \dots$$

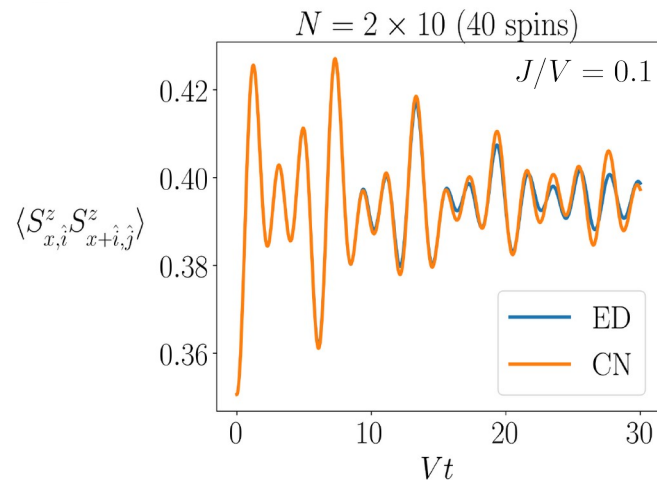
- Further variationally optimized
- “Simple artificial neural network”

# Method: classical networks



Schmitt & MH SciPost '18

Dynamics in zero charge  
superselection sector  
(worst case for method)



Effective classical Hamiltonian

- Structure obtained from cumulant expansion  
(around a classical limit)

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- Further variationally optimized
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