

# Extended Quantum Spin Liquid with Spinon-like Excitations in an Anisotropic Kitaev-Gamma Model

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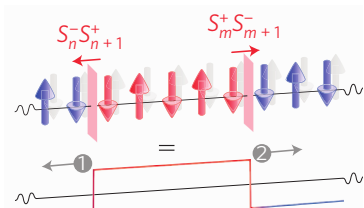
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Graduate School of Engineering, University of Hyogo (UHyogo), Himeji, Japan

# Spinon-like excitations in an anisotropic Kitaev-Gamma model

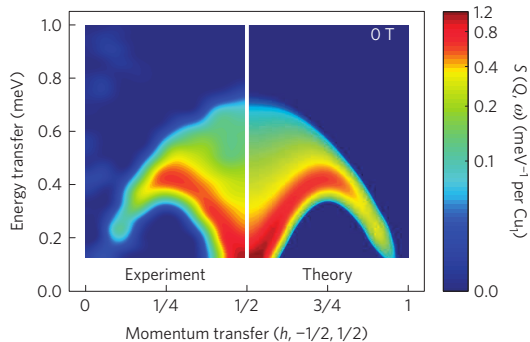
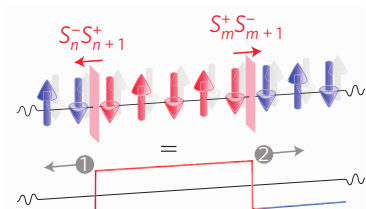
# Spinon-like excitations in an anisotropic Kitaev-Gamma model

e.g. AF Heisenberg chain



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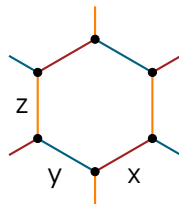
e.g. AF Heisenberg chain



# Spinon-like excitations in an anisotropic Kitaev-Gamma model

## Kitaev model:

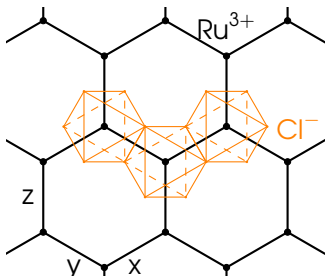
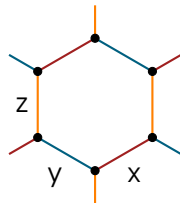
- ▶ Exactly solvable 2D spin model with bond-dependent  $S_i^x S_j^x$ ,  $S_i^y S_j^y$  and  $S_i^z S_j^z$
- ▶ Quantum spin liquid: itinerant Majorana in a static  $Z_2$ -gauge field



# Spinon-like excitations in an anisotropic Kitaev-Gamma model

## Kitaev model:

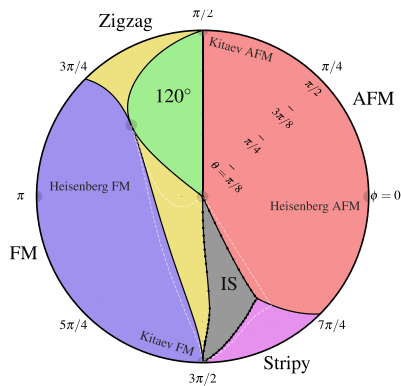
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- ▶ Quantum spin liquid: itinerant Majorana in a static  $Z_2$ -gauge field



## Experiments:

- ▶ Potential realization in materials via strong spin-orbit coupling

# Spinon-like excitations in an anisotropic Kitaev-Gamma model



spin-exchange, e.g. on z-bond:

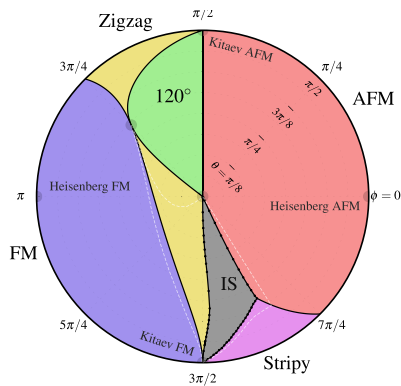
$$\mathcal{H}_{\langle i,j \rangle_z} = \mathbf{S}_i J_{ij}^z \mathbf{S}_j$$

with

$$J_{ij}^z = \begin{pmatrix} J & \Gamma & \Gamma' \\ \Gamma & J & \Gamma' \\ \Gamma' & \Gamma' & J + K \end{pmatrix}$$



# Spinon-like excitations in an anisotropic Kitaev-Gamma model



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$$\mathcal{H}_{\langle i,j \rangle_z} = \mathbf{S}_i J_{ij}^z \mathbf{S}_j$$

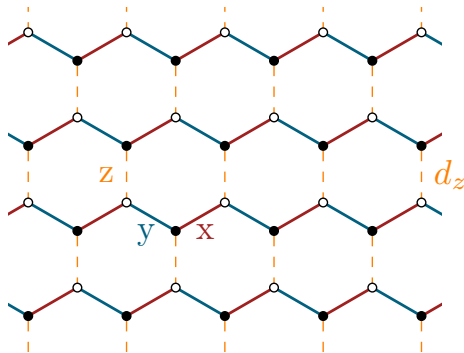
with

$$J_{ij}^z = \begin{pmatrix} J & \Gamma & \Gamma' \\ \Gamma & J & \Gamma' \\ \Gamma' & \Gamma' & J + K \end{pmatrix}$$

- ▶  $\alpha$ -RuCl<sub>3</sub> is zigzag ordered
- two minimal models:
  - ▶ Kitaev-Heisenberg with  $K > 0$ , and  $J < 0$
  - ▶ Kitaev- $\Gamma(-\Gamma')$  with  $K < 0$ ,  $\Gamma > 0$

# Spinon-like excitations in an anisotropic Kitaev-Gamma model

$$\mathcal{H} = K \sum_{\langle i,j \rangle_\gamma} d_\gamma S_i^\gamma S_j^\gamma + \Gamma \sum_{\langle i,j \rangle_{\gamma, \alpha, \beta \neq \gamma}} d_\gamma (S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha), \quad \text{where } 0 \leq d_z \lesssim 1, d_{x,y} = 1$$

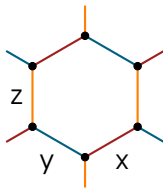


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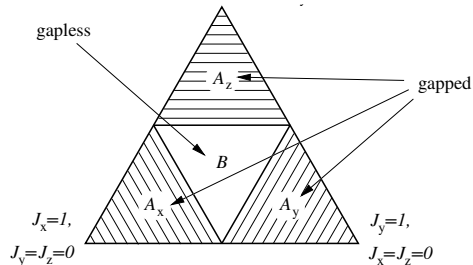
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## Kitaev limit

- ▶ Exactly solvable 2D spin model with bond-dependent  $S_i^x S_j^x$ ,  $S_i^y S_j^y$  and  $S_i^z S_j^z$

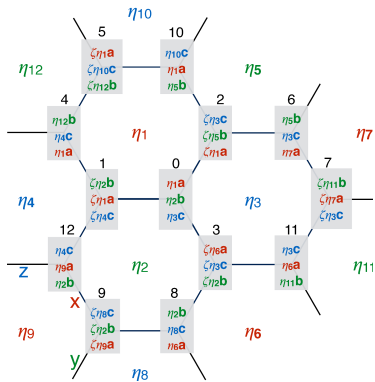


- ▶ Here: **gapless** Majorana fermions in a static  $Z_2$  gauge field



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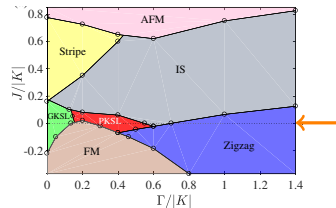
## $\Gamma$ limit

- ▶ classical: spin liquid with extensive degeneracy
- ▶ quantum: zigzag order? QSL?

Kitaev-Gamma model, isotropic limit  $d_z = 1$ , numerous results ...

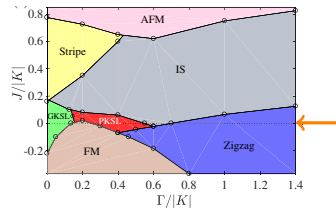
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VMC

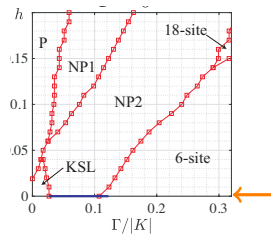


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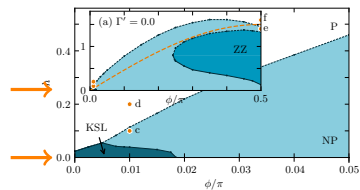
## VMC



## 2D Tensor Networks

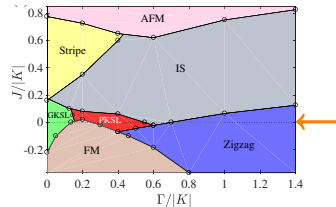


## iDMRG

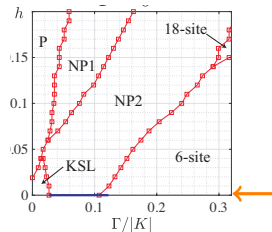


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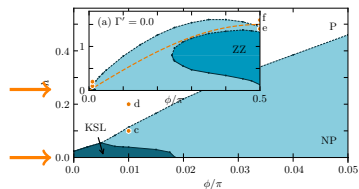
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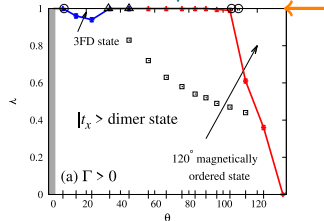
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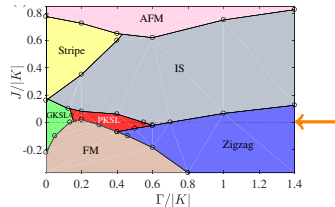
## Dimer Series Expansion & ED



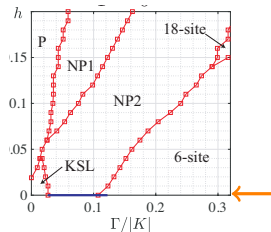


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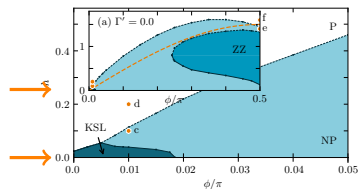
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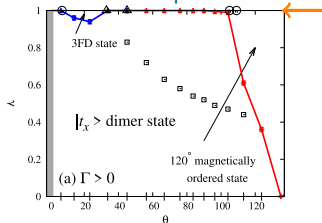
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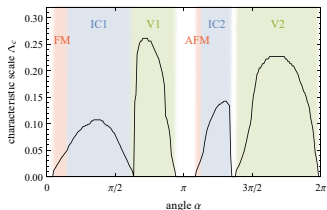
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## Dimer Series Expansion & ED



## Functional RG (finite-T)



Wang, Normand, Liu, PRL 123 197201 (2019)

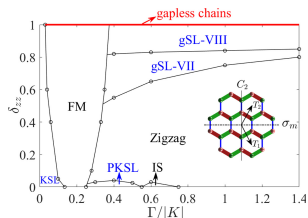
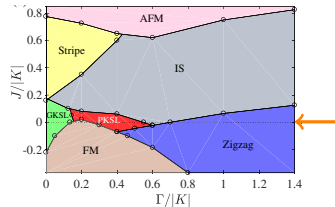
Lee, Kaneko, Chern, et al. Nature Comm. 11 1639 (2020)  
Yamada, Suzuki, PRB 102 024415 (2020)

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Matthias Gohlke (matthias.gohlke@oist.jp) 6

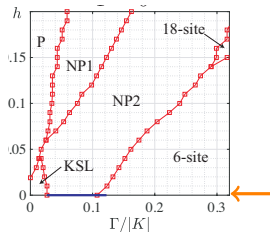
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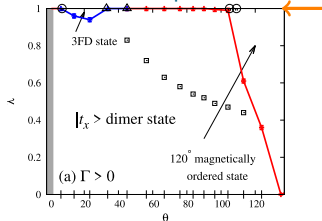


Wang, Normand, Liu, PRL 123 197201 (2019)  
Wang, Liu, PRB 102 094416 (2020)

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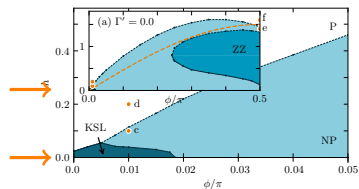


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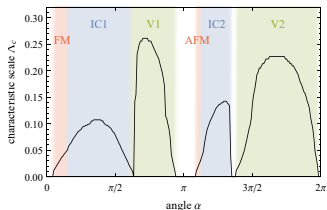


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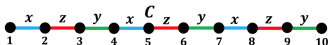
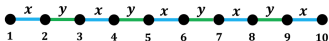
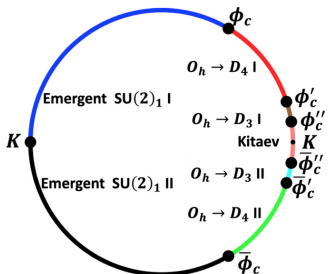
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# Anisotropic Kitaev-Gamma model, chain limit $d_z = 0$

$$\mathcal{H} = K \sum_{\langle i,j \rangle_\gamma} d_\gamma S_i^\gamma S_j^\gamma + \Gamma \sum_{\langle i,j \rangle_{\gamma, \alpha, \beta \neq \gamma}} d_\gamma (S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha), \quad \text{where } d_z = 0, d_{x,y} = 1$$

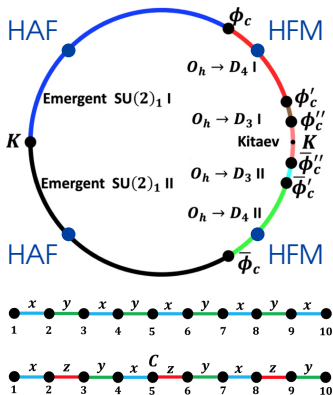


- ▶ Sublattice transformation:  
xy-K $\Gamma$  chain  $\mapsto$  alternating XXZ-chain

$$\mathcal{H}' = -K \sum_{\langle i,j \rangle_\gamma} S_i^\gamma S_j^\gamma - \Gamma \sum_{\langle i,j \rangle_{\gamma, \alpha, \beta \neq \gamma}} (S_i^\alpha S_j^\alpha + S_i^\beta S_j^\beta)$$

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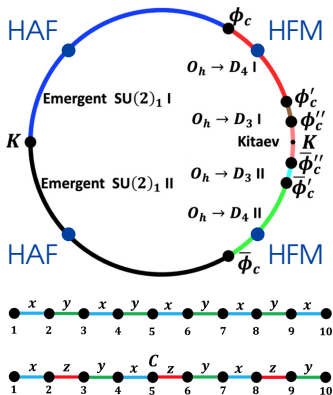
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- ▶ Dual points:  
 $K/|\Gamma| = 1$  is dual to HAF-chain  
 $K/|\Gamma| = -1$  is dual to HFM-chain

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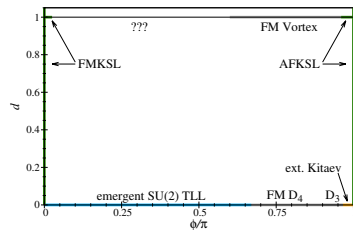


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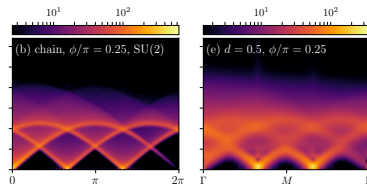
- ▶ Dual points:  
 $K/|\Gamma| = 1$  is dual to HAF-chain  
 $K/|\Gamma| = -1$  is dual to HFM-chain
- ▶ Tomonaga-Luttinger liquid  
with emergent  $SU(2)$  symmetry

## 1. Phase diagram as a function of anisotropy and $K/|\Gamma|$

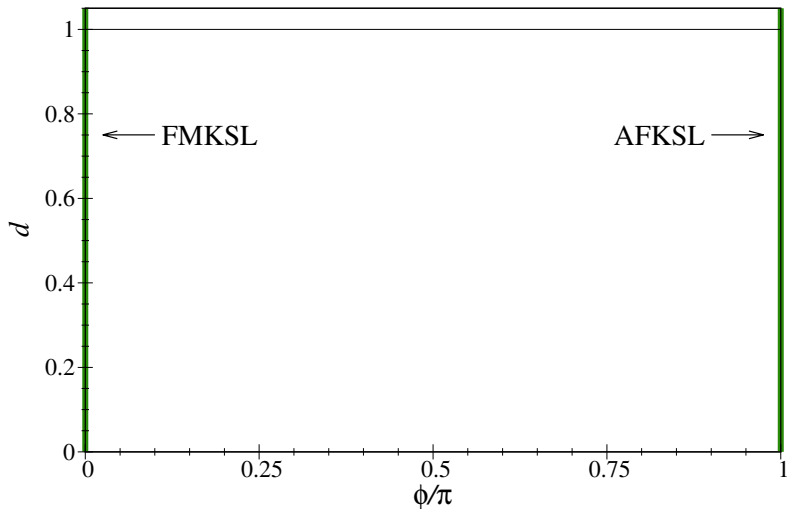


## 2. Properties of the extended QSL

- ▶ Frustration suppresses effective inter chain coupling
- ▶ Scaling of spectral gap and magnetization
- ▶ Dynamics

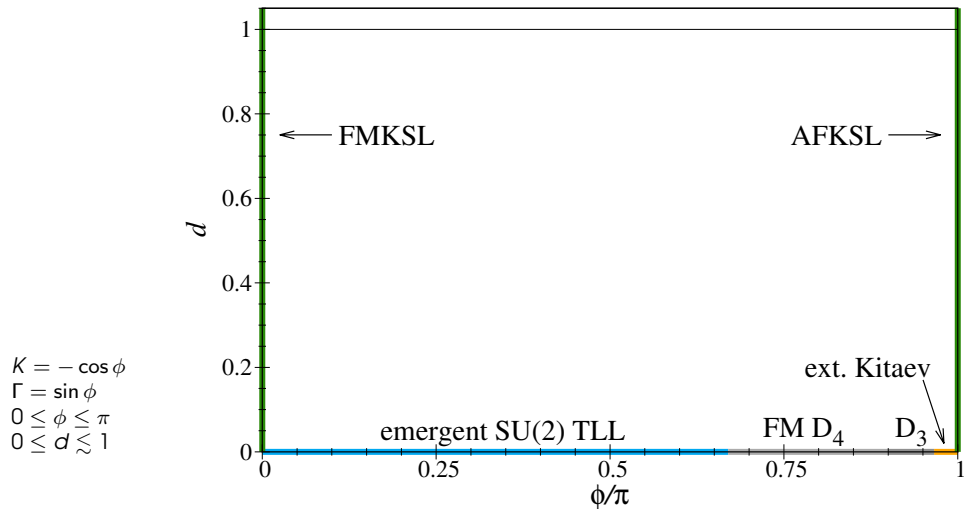


## Phase diagram, known limits



$$K = -\cos \phi$$
$$\Gamma = \sin \phi$$
$$0 \leq \phi \leq \pi$$
$$0 \leq d \leq 1$$

# Phase diagram, known limits





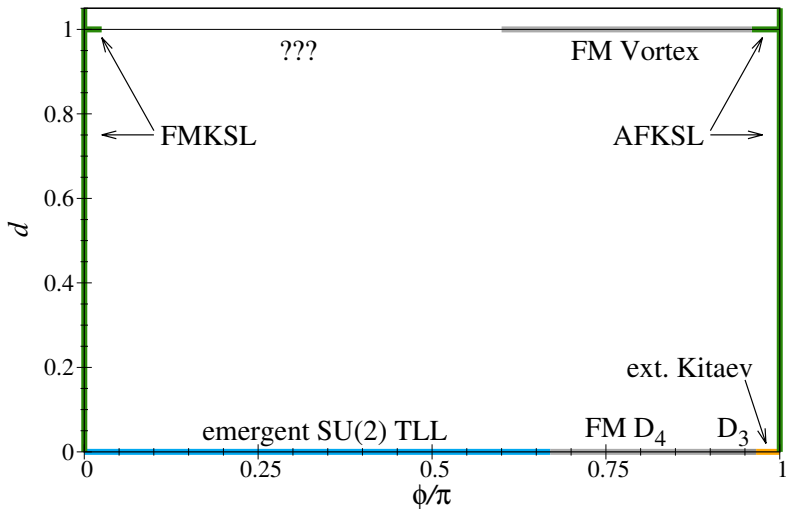
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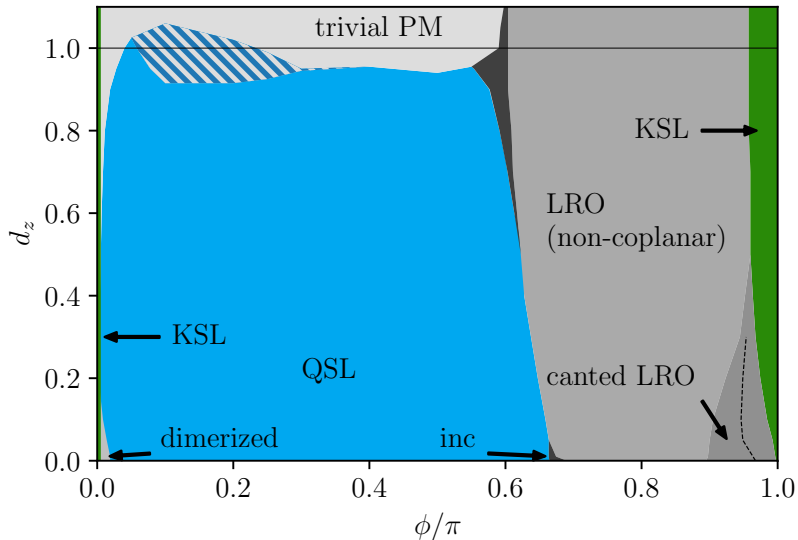
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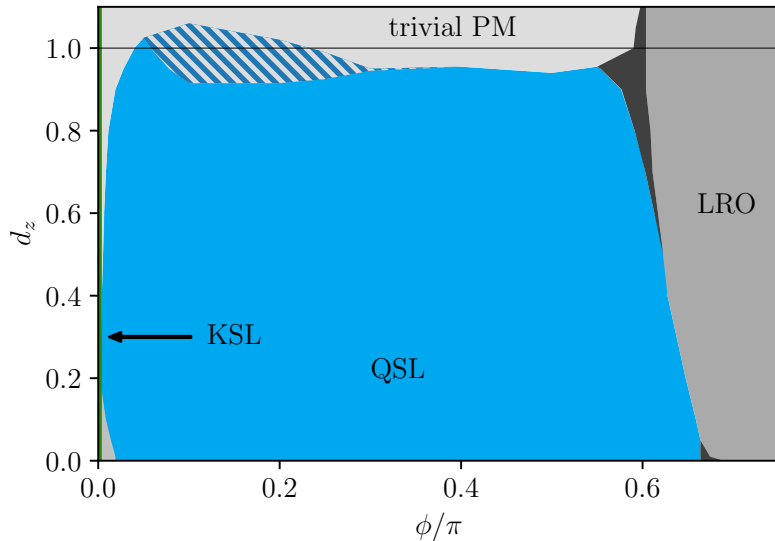


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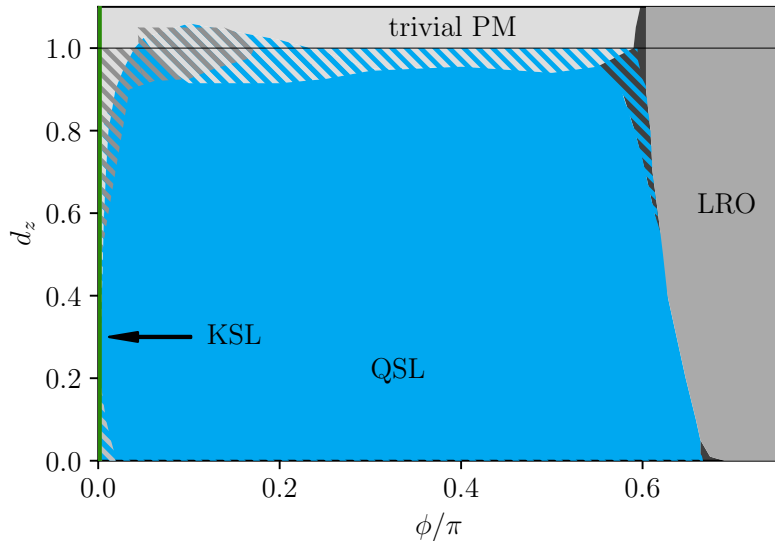


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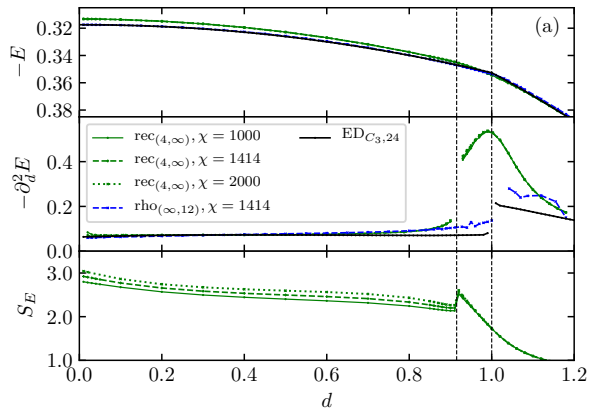
$$\begin{aligned} K &= -\cos \phi \\ \Gamma &= \sin \phi \\ 0 &\leq \phi \leq \pi \\ 0 &\leq d_z \leq 1 \end{aligned}$$

# Phase diagram

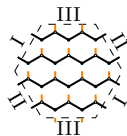


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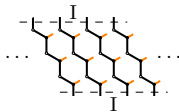
# Single cut at $\phi/\pi = 1/4$



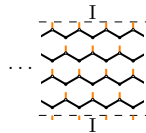
(b)  $ED_{C_{3,24}}$



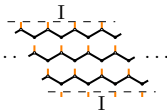
(c)  $\rho(\infty, 6)$



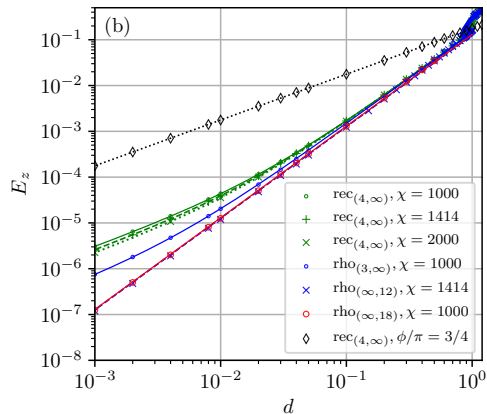
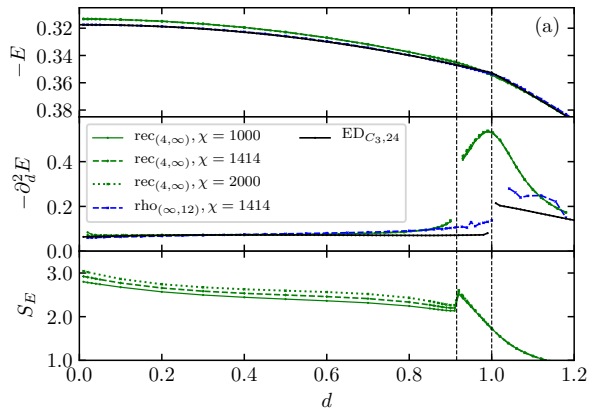
(d)  $rec(4, \infty)$



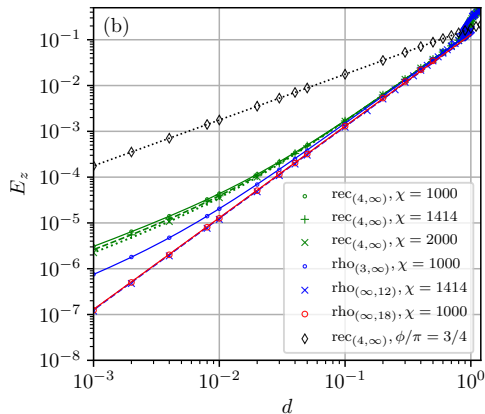
(e)  $\rho(3, \infty)$



# Single cut at $\phi/\pi = 1/4$ : reduced eff. inter-chain coupling



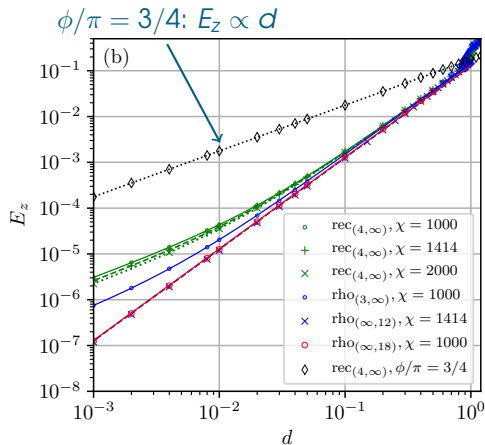
# Single cut at $\phi/\pi = 1/4$ : reduced eff. inter-chain coupling



$f_{\text{fit}}(x) = c_1x^2 + c_2x$	$c_1$	$c_2$
rec $_{(4,\infty)}$ , $\chi = 1000$	0.1425(4)	0.002928(11)
rec $_{(4,\infty)}$ , $\chi = 1414$	0.1440(2)	0.002468(5)
rec $_{(4,\infty)}$ , $\chi = 2000$	0.14521(15)	0.002101(3)
rho $_{(3,\infty)}$ , $\chi = 1000$	0.1440(17)	0.000607(18)
rho $_{(\infty,12)}$ , $\chi = 1414$	0.1265(20)	0.00000(8)
rho $_{(\infty,18)}$ , $\chi = 1000$	0.1293(15)	0.00000(5)
rec $_{(4,\infty)}$ , $\phi/\pi = 3/4$	0.00000(6)	0.176794(16)

(c) fitting parameter for (b)

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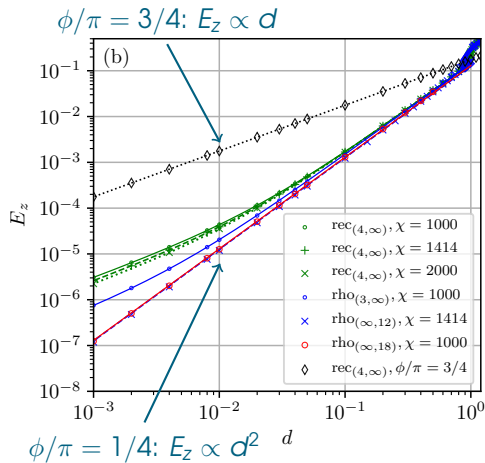


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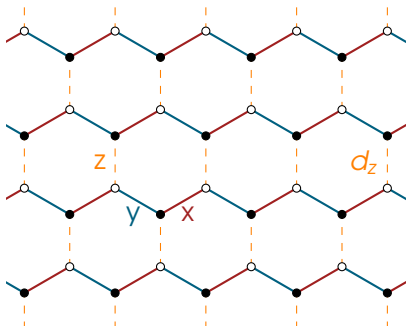


$f_{\text{fit}}(x) = c_1 x^2 + c_2 x$	$c_1$	$c_2$
rec $_{(4,\infty)}$ , $\chi = 1000$	0.1425(4)	0.002928(11)
rec $_{(4,\infty)}$ , $\chi = 1414$	0.1440(2)	0.002468(5)
rec $_{(4,\infty)}$ , $\chi = 2000$	0.14521(15)	0.002101(3)
rho $_{(3,\infty)}$ , $\chi = 1000$	0.1440(17)	0.000607(18)
rho $_{(\infty,12)}$ , $\chi = 1414$	0.1265(20)	0.00000(8)
rho $_{(\infty,18)}$ , $\chi = 1000$	0.1293(15)	0.00000(5)
rec $_{(4,\infty)}$ , $\phi/\pi = 3/4$	0.00000(6)	0.176794(16)

(c) fitting parameter for (b)

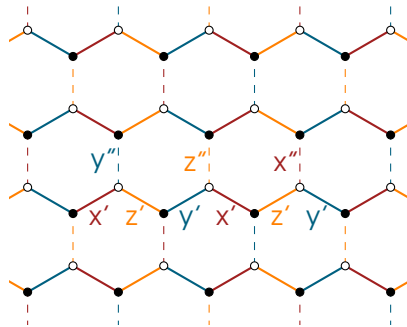
## Reduced eff. inter-chain coupling due to frustration

Original KΓ model



$$\mathcal{H}_{i,j}^{\gamma} = K S_i^{\gamma} S_j^{\gamma} + \Gamma (S_i^{\alpha} S_j^{\beta} + S_i^{\alpha} S_j^{\beta})$$

Dual model with HAF-like chains

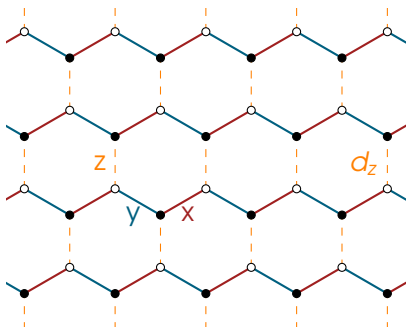


$$\mathcal{H}_{i,j}^{\gamma'} = -K S_i^{\gamma} S_j^{\gamma} + \Gamma (S_i^{\alpha} S_j^{\alpha} + S_i^{\beta} S_j^{\beta})$$

$$\mathcal{H}_{i,j}^{\gamma''} = -K S_i^{\gamma} S_j^{\gamma} - \Gamma (S_i^{\alpha} S_j^{\alpha} + S_i^{\beta} S_j^{\beta})$$

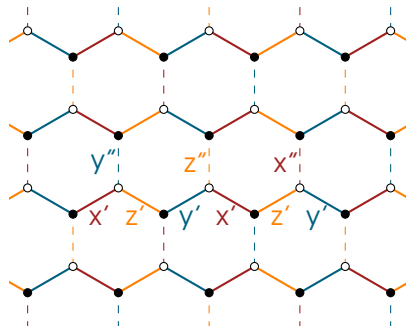
# Reduced eff. inter-chain coupling due to frustration

## Original K $\Gamma$ model



$$\mathcal{H}_{i,j}^{\gamma} = K S_i^{\gamma} S_j^{\gamma} + \Gamma (S_i^{\alpha} S_j^{\beta} + S_i^{\beta} S_j^{\alpha})$$

## Dual model with HAF-like chains



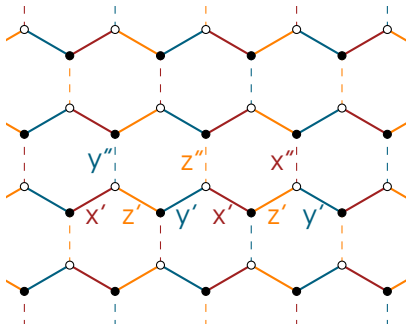
here:  $0 < \phi < \pi/2 \rightarrow K < 0 < \Gamma$

$$\mathcal{H}_{i,j}^{\gamma'} = |K| S_i^{\gamma} S_j^{\gamma} + |\Gamma| (S_i^{\alpha} S_j^{\alpha} + S_i^{\beta} S_j^{\beta})$$

$$\mathcal{H}_{i,j}^{\gamma''} = |K| S_i^{\gamma} S_j^{\gamma} - |\Gamma| (S_i^{\alpha} S_j^{\alpha} + S_i^{\beta} S_j^{\beta})$$

# Reduced eff. inter-chain coupling due to frustration

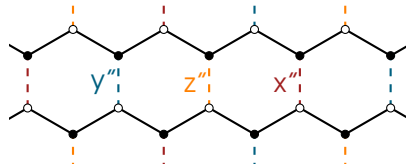
## Dual model with HAF-like chains



$$\mathcal{H}_{i,j}^{\gamma'} = |K|S_i^\gamma S_j^\gamma + |\Gamma|(S_i^\alpha S_j^\alpha + S_i^\beta S_j^\beta)$$

$$\mathcal{H}_{i,j}^{\gamma''} = |K|S_i^\gamma S_j^\gamma - |\Gamma|(S_i^\alpha S_j^\alpha + S_i^\beta S_j^\beta)$$

Suppose  $J = |K| = |\Gamma|$  ( $\phi/\pi = 1/4$ )

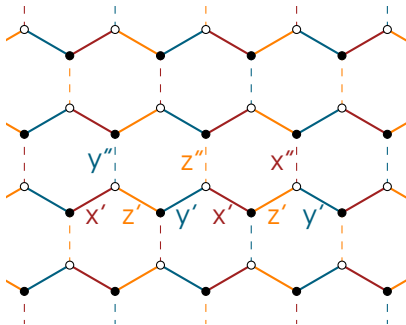


$$\mathcal{H}_{i,j}^{\gamma'} = \mathcal{H}_{i,j}^{\text{HAF}} = J\mathbf{S}_i \cdot \mathbf{S}_j$$

$$\mathcal{H}_{i,j}^{\gamma''} = J \left[ S_i^\gamma S_j^\gamma - S_i^\alpha S_j^\alpha - S_i^\beta S_j^\beta \right]$$

# Reduced eff. inter-chain coupling due to frustration

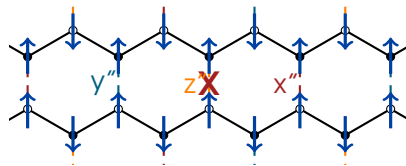
## Dual model with HAF-like chains



$$\mathcal{H}_{i,j}^{\gamma'} = |K|S_i^\gamma S_j^\gamma + |\Gamma|(S_i^\alpha S_j^\alpha + S_i^\beta S_j^\beta)$$

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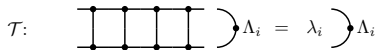
$$\mathcal{H}_{i,j}^{\gamma'} = \mathcal{H}_{i,j}^{\text{HAF}} = J\mathbf{S}_i \cdot \mathbf{S}_j$$

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# iDMRG: scaling with bond dimension $\chi$ , transfer matrix and spectral gap

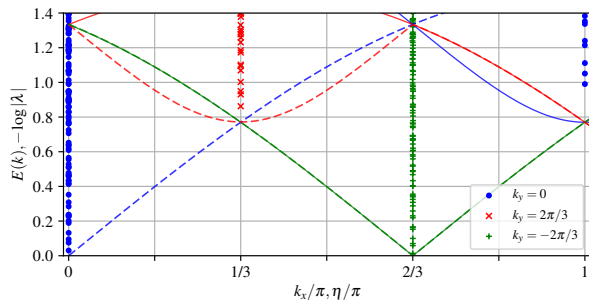
Consider transfer matrix of iMPS:



►  $\lambda_0 = 1, \forall i > 0 : \lambda_i < 1$

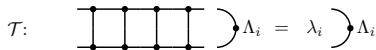
► quasi-energies:  
 $\epsilon_j = -\ln \lambda_j$

Example: TM spectrum of Kitaev model



# iDMRG: scaling with bond dimension $\chi$ , transfer matrix and spectral gap

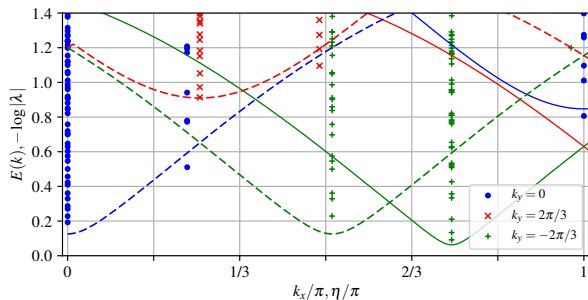
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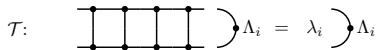
Example: TM spectrum of Kitaev model





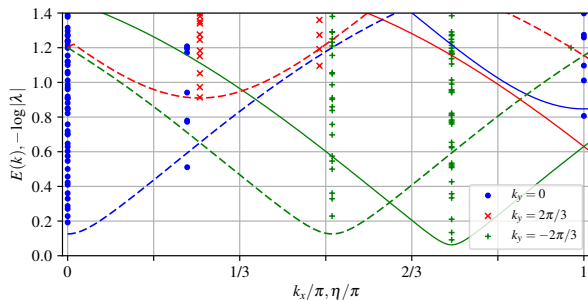
# iDMRG: scaling with bond dimension $\chi$ , transfer matrix and spectral gap

Consider transfer matrix of iMPS:



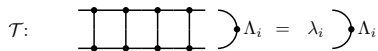
- ▶  $\lambda_0 = 1, \forall i > 0 : \lambda_i < 1$
- ▶ quasi-energies:  
 $\epsilon_j = -\ln \lambda_j$
- ▶  $\delta = \sum_j c_j \epsilon_j$   
with choice  $c_j$  such that  
 $\sum c_j = 0$
- ▶ plot  $\epsilon_1(\chi) \propto \Delta(\chi)$  vs.  $\delta(\chi)$

Example: TM spectrum of Kitaev model

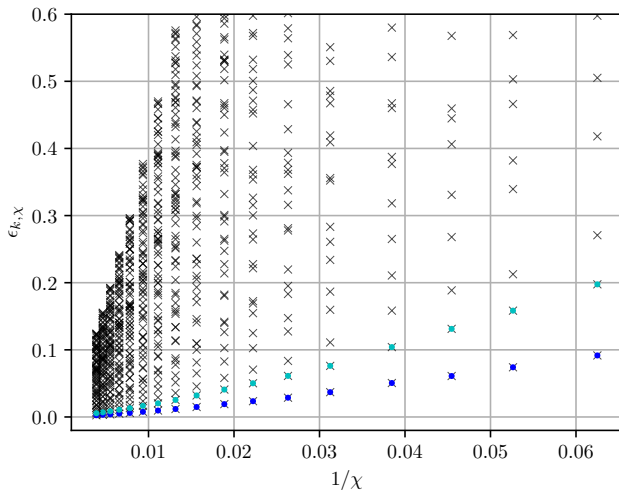


# iDMRG: scaling with bond dimension $\chi$ , chain

Consider transfer matrix of iMPS:

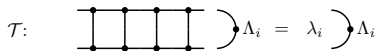


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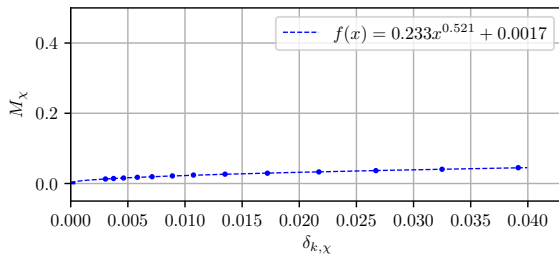
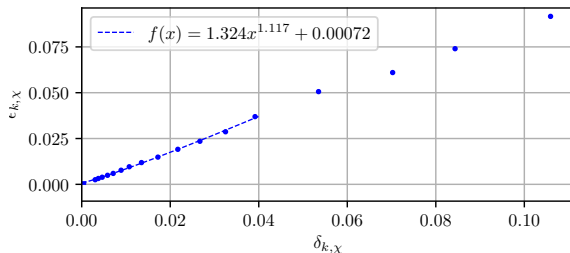


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Consider transfer matrix of iMPS:

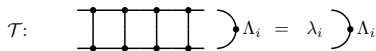


- ▶  $\lambda_0 = 1, \forall i > 0 : \lambda_i < 1$
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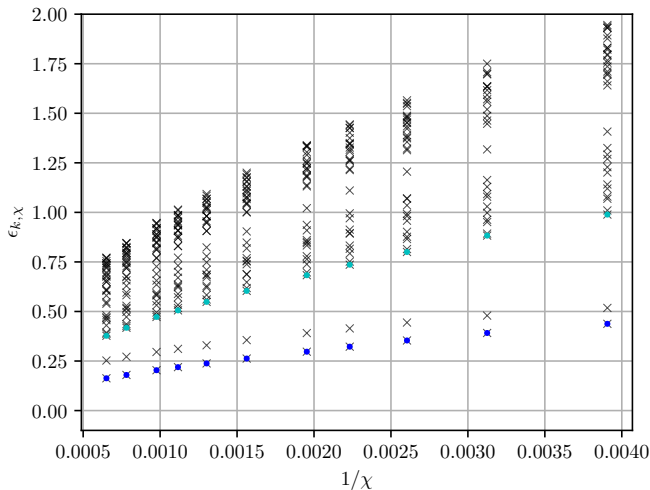


# iDMRG: scaling with bond dimension $\chi$ , 4-coupled chains, $d = 0.5$

Consider transfer matrix of iMPS:

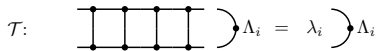


- ▶  $\lambda_0 = 1, \forall i > 0 : \lambda_i < 1$
- ▶ quasi-energies:  
 $\epsilon_j = -\ln \lambda_j$
- ▶  $\delta = \sum_j c_j \epsilon_j$   
with choice  $c_j$  such that  
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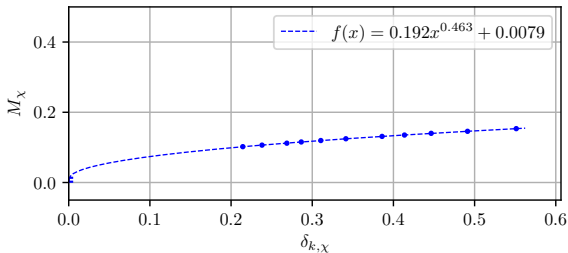
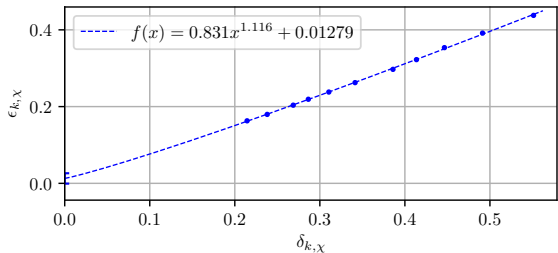


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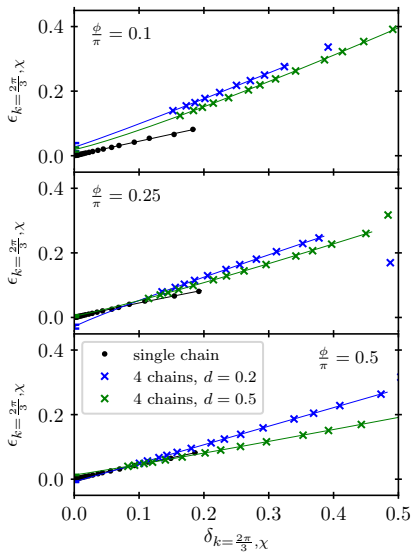
Consider transfer matrix of iMPS:



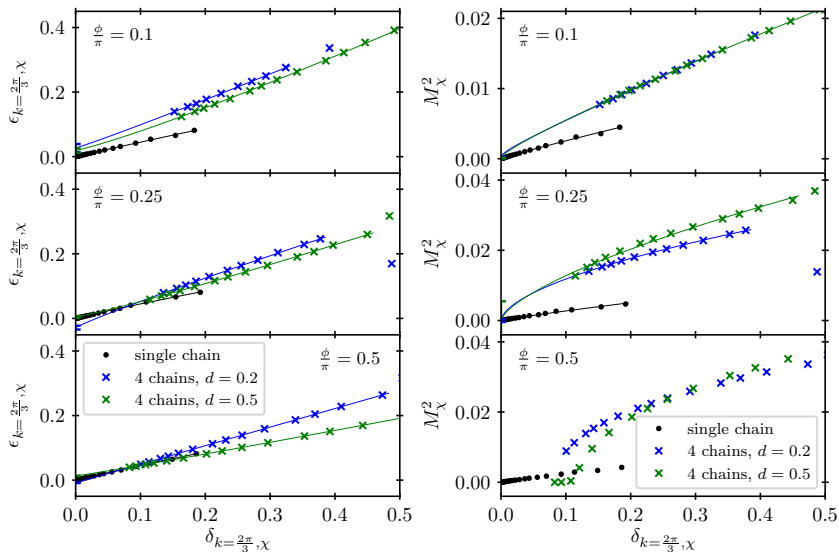
- ▶  $\lambda_0 = 1, \forall i > 0 : \lambda_i < 1$
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with choice  $c_j$  such that  
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# iDMRG: scaling with bond dimension $\chi$ , 4-coupled chains, at various $K/\Gamma$ and $d$



# iDMRG: scaling with bond dimension $\chi$ , 4-coupled chains, at various $K/\Gamma$ and $d$



## Dynamics: $\mathcal{S}(\mathbf{q}, \omega)$ in chain limit, $d = 0$ , TLL phase

→ Spatio-temporal Fourier transform of spin-spin correlations:

$$\mathcal{S}^{\gamma\gamma}(\mathbf{k}, \omega) = \frac{1}{2\pi} \sum_{\mathbf{r}} \int_{-\infty}^{\infty} e^{i(\omega t - \mathbf{k} \cdot \mathbf{r})} C^{\gamma\gamma}(\mathbf{r}, t) dt,$$

where  $C_{i,j}^{\gamma\gamma}(\mathbf{r}, t) = \langle \psi_0 | S_j^\gamma U(t) S_i^\gamma | \psi_0 \rangle$  computed using tMPO

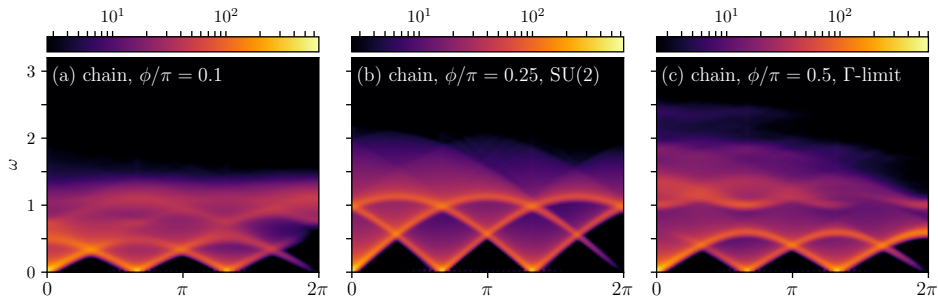


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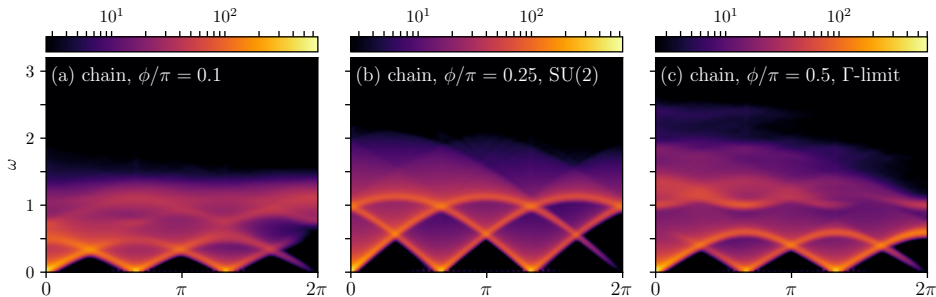


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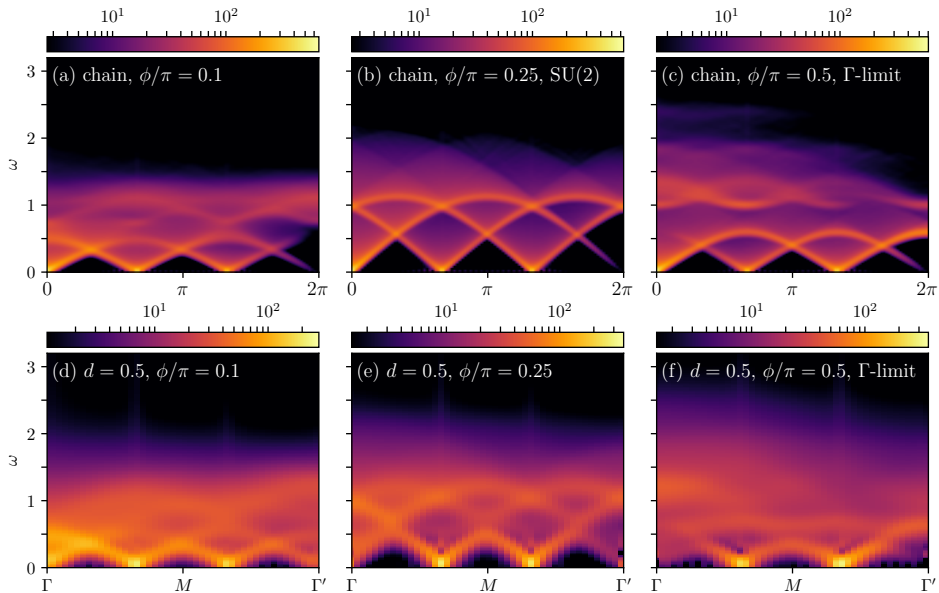
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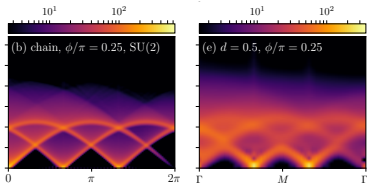
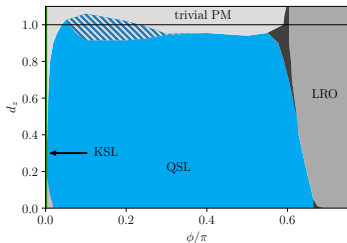
→ three copies of HAF-chain spinon continuum.

# Dynamics: $\mathcal{S}(\mathbf{q}, \omega)$ for 3-coupled chains, $d = 0.5$ , pTLL phase



## 1. Extended QSL phase next to TLL:

- ▶ gapless
- ▶ 'survives' up to isotropic limit due to frustration suppressing eff. inter-chain coupling
- ▶ Spinons of HAF-chain survive significant inter-chain coupling



## Counter-rotating spiral, zigzag, and $120^\circ$ orders from coupled-chain analysis of Kitaev-Gamma-Heisenberg model, and relations to honeycomb iridates

Wang Yang,<sup>1</sup> Alberto Nocera,<sup>1</sup> Chao Xu,<sup>2</sup> Hae-Young Kee,<sup>3,4</sup> and Ian Affleck<sup>1</sup>

<sup>1</sup>*Department of Physics and Astronomy and Stewart Blusson Quantum Matter Institute,  
University of British Columbia, Vancouver, B.C., Canada, V6T 1Z1*

<sup>2</sup>*Kavli Institute for Theoretical Sciences, University of Chinese Academy of Sciences, Beijing 100190, China*

<sup>3</sup>*Department of Physics, University of Toronto, Toronto, Ontario M5S 1A7, Canada*

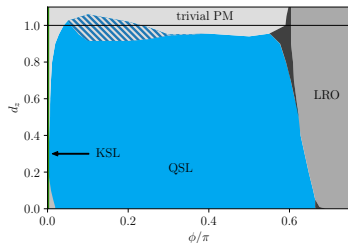
<sup>4</sup>*Canadian Institute for Advanced Research, CIFAR Program in Quantum Materials, Toronto, Ontario M5G 1M1, Canada*

On page 18:

On the other hand, as discussed in Ref. [61], the 1D Kitaev-Gamma model has an intricate symmetry group  $G_0$ , which is nonsymmorphic and satisfies  $G_0/\mathbb{Z} \cong O_h$ , where  $O_h$  is the full octahedral group, or the largest 3D crystalline point group. Therefore, within a coupled-chain approach, there are many more possibilities of magnetic orders and symmetry breaking patterns in 2D Kitaev-Gamma model because of the much larger symmetry group, which is worth for future studies. Indeed, classical analysis and machine-learning-based method have revealed the great complexity of the phase diagram of the 2D Kitaev-Gamma model [51, 52], where magnetic orders having a unit cell of 18, 24, or even 48 sites are found. In addition, it cannot be ruled out the possibility that the Kitaev-Gamma model hosts some disordered phases such as nematic paramagnets [42, 46, 47, 50, 51].

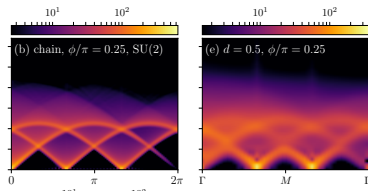
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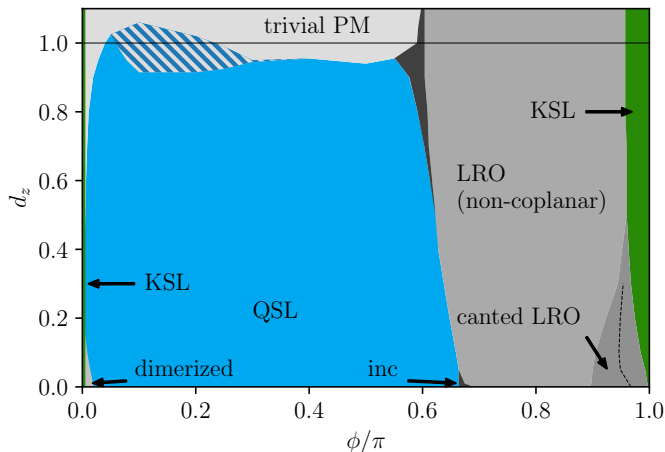


## 2. Questions/issues:

- ▶ Extended QSL related to Lattice-nematic paramagnetic phase in (111) field?
- ▶ Previous studies: proximity to phase transition reason for (many) different results?



Phase diagram is very rich beyond the QSL phase ...



- ▶  $d \rightarrow 0, \phi/\pi \approx 0.66$ : region with strong incommensurate correlations, survives small  $d$
- ▶  $d \approx 1, \phi/\pi \approx 0.55$ : gapless, no dipolar LRO
- ▶  $d \approx 0$  to  $0.4, \phi/\pi \approx 0.95$ : dipolar LRO: canted Vortex ( $120^\circ$ ) and doubled unit cell
- ▶  $d \approx 0$  to  $0.2, \phi/\pi \rightarrow 0$ : dimerized phase (also for single chain)