

Geometrical nonlinear phenomena in solids ①

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1. Introduction

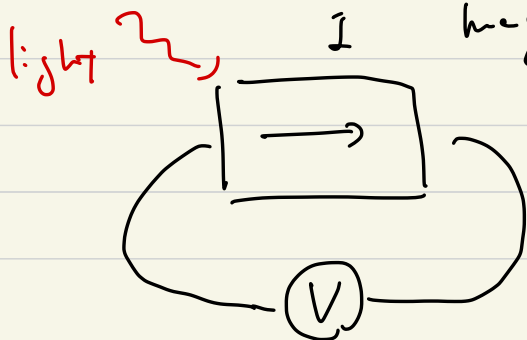
- Geometry / topology in solids
⇒ QHE, topological insulators

- Response phenomena:

electric
transport

optical
thermal
magnetic

nonlinear
response



1. Geometry in solids

semiclassics

Berry connection / curvature

2. Geometric nonlinear optical response

Shift current \leftrightarrow diagrams

3. Shift current in correlated electron systems.

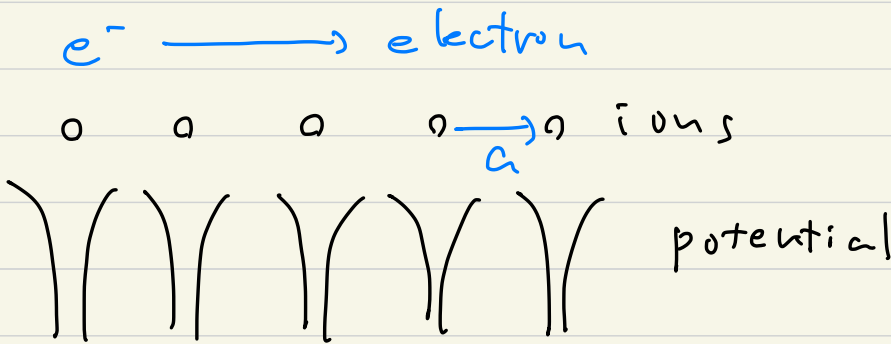
• excitons, magnons, phonons

(Quantized circular photogalvanic effect
in Weyl semimetals)

量子幾何学

2. Geometry in solids

Band theory and Bloch connection



• Schrödinger eq.

$$\left[-\frac{\hbar^2}{2m} \nabla^2 + V(r) \right] \psi(r) = E \psi(r)$$

$H(r)$ periodic

$$H(r+a) = H(r)$$

$$V(r+\underline{a}) = V(r)$$

lattice constant

Bloch's theorem:

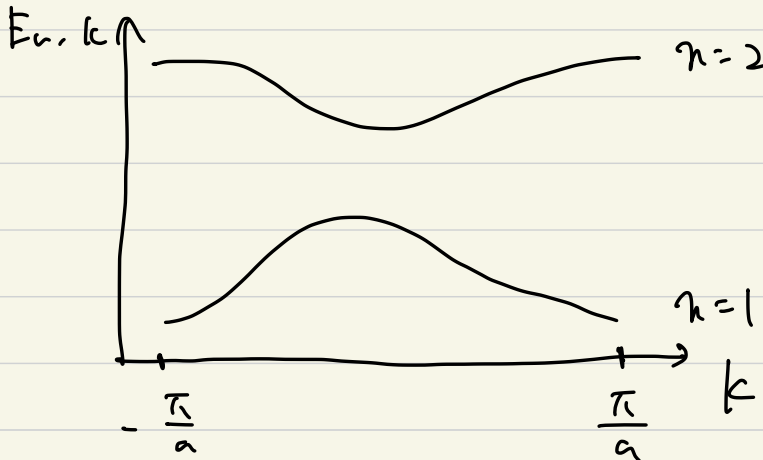
$$\psi_{n,k}(r) = \frac{1}{\sqrt{N}} \underbrace{u_{n,k}(r)}_{\downarrow \text{periodic}} e^{ikr}$$

unit cells

$$u_{n,k}(r+a) = u_{n,k}(r)$$

Momentum $k \in$ Brillouin zone

square lattice $(-\frac{\pi}{a}, \frac{\pi}{a})^d$





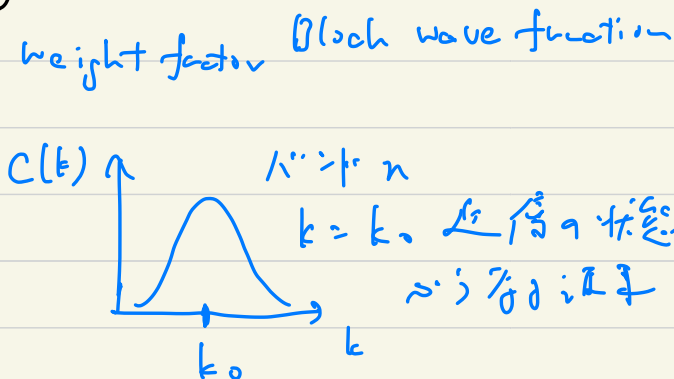
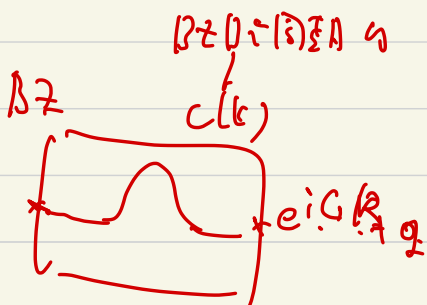
• position operator (redundancy due to the periodic structure)

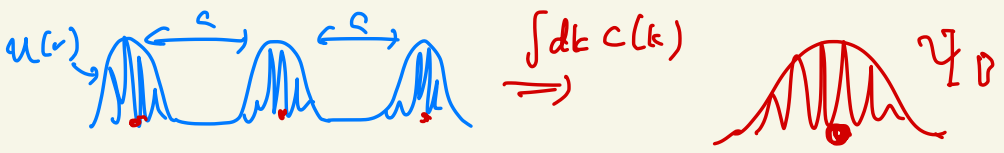
Maybe: $r = i \partial_k$

$\Leftrightarrow \left(\underbrace{p = \frac{\hbar}{i} \partial_r}_{\hbar k} \right)$ canonical conjugate

To check this, consider $\langle r \rangle$ for a Bloch wave packet Ψ_B :

$$\Psi_B = \frac{1}{\sqrt{N}} \int \frac{dk}{(2\pi)^d} \underbrace{c(k)}_{\text{weight factor}} \underbrace{e^{ikr} u_{k}(r)}_{\text{Bloch wave function}}$$





Bloch state: extended in r , fixed k

Bloch wave packet: localized in r & k
with finite widths

$\Leftrightarrow \langle r \rangle$ is well defined

$$\langle r \rangle = \int dr \Psi_B^*(r) r \Psi_B(r)$$

$$= \frac{1}{N} \int \underbrace{\frac{dk}{(2\pi)^d}}_{[dk]} \underbrace{\frac{dk'}{(2\pi)^d}}_{[dk']} dr c^*(k') u_{nk'}^*(r) e^{-ik'r} \times r \times c(k) u_{nk}(r) e^{ikr}$$

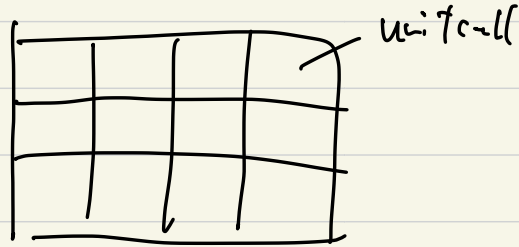
$$= \frac{1}{N} \int [dk] [dk'] dr c^*(k') u_{nk'}^* u_{nk} c(k) \times r \underbrace{e^{i(k-k')r}}_{\int -i\partial_k e^{i(k-k')r}}$$

k, k' 部分積分. 表面項は消滅.

$$= \frac{1}{N} \int [dk] [dk'] \underline{dr} c^\dagger(k') i \partial_k \left[\underbrace{u_{nk}^*}_{(r)} \underbrace{u_{nk}}_{(r)} C(k) \right] \times e^{i(k-k')r}$$

$$\int dr \Rightarrow \sum_{\mathbf{R}} \int_{\text{unit cell}} dr$$

lattice vector



$$\sum_{\mathbf{R}} e^{i(k-k')r} = \underbrace{N}_{\# \text{ unit cells}} (2\pi)^d \delta(k-k')$$

$$= \int [dk] [dk'] c^\dagger(k') \int_{\text{unit cell}} dr i \partial_k \left[u_{nk}^* u_{nk} C(k) \right] \times (2\pi)^d \delta(k-k')$$

$$= \int [dk] \underbrace{dk'}_k c^\dagger(k') \int_{\text{unit cell}} dr \left[\underbrace{u_{nk}^*}_{k'} i (\partial_k u_{nk})_k C(k) + u_{nk}^* u_{nk} i \partial_k C(k) \right] \times \delta(k-k')$$

$\langle r \rangle$

$$= \int [dk] c^\dagger(k) [i \langle u_{nk} | \partial_k u_{nk} \rangle c(k) + i \langle \underbrace{u_{nk} | u_{nk} \rangle}_1 \partial_k c(k)]$$

$$= \int [dk] c^\dagger(k) [i \partial_k + \underbrace{i \langle u_{nk} | \partial_k u_{nk} \rangle}_{\text{Berry connection } a_n(k)}] c(k)$$

position operator

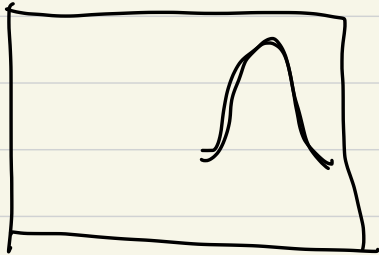
Berry connection $a_n(k)$

$$\gamma = i \partial_k + a_n(k) \quad \text{covariant derivative}$$

$$a_n(k) = i \langle u_{nk} | \partial_k u_{nk} \rangle$$

\wedge dimension of length

unit cell



position of the wave packet
within the unit cell
intra cell coordinate

$e^{i\mathbf{k}\cdot\mathbf{R}}$ = specifies the unit cell

- $C(\mathbf{k}) = 1$ in Ψ_0 gives a Wannier function (localized wave packet at some unit cell for a given band)

$$W(\mathbf{r}) = \frac{1}{\sqrt{N}} \int [d\mathbf{k}] u_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}}$$

Define the polarization P as

$$P = \int d\mathbf{r} \mathbf{r} |W(\mathbf{r})|^2$$

$$= \int [d\mathbf{k}] C^*(\mathbf{k}) (i\partial_{\mathbf{k}} + \mathbf{a}(\mathbf{k})) C(\mathbf{k})$$

$$\downarrow C(\mathbf{k}) = 1$$

$$= \int [d\mathbf{k}] \mathbf{a}(\mathbf{k})$$

Berry phase

\Rightarrow Berry phase formula for P

2.2 Berry curvature & anomalous velocity

$$\text{Berry curvature: } F_n(k) = \nabla \times a_n(k)$$

Consider

$$v = \frac{dr}{dt} = -\frac{i}{\hbar} [r, H]$$

for Ψ_0 with semiclassics:

$$\langle v \rangle = -\frac{i}{\hbar} \langle [\partial_k + a_n(k), H'] \rangle$$

$$H' = H - \underbrace{g E x}$$

static potential in E

$$e_0^- \Rightarrow$$

$$E(x)$$

$$(g = -|e|)$$

$$\begin{aligned}
 \langle v_y \rangle &= -\frac{i}{\hbar} \langle [y, H(k) - q E x] \rangle \\
 &= -\frac{i}{\hbar} \langle [y, H(k)] \rangle + \frac{i q}{\hbar} E \langle [y, x] \rangle \\
 &= \frac{1}{\hbar} \partial_{k_y} E_n(k) - \frac{i q}{\hbar} E \langle \underbrace{[x, y]} \rangle
 \end{aligned}$$

ψ_0 是正交归一化的

一半古典 \Rightarrow 位置算符 \hat{y}

\uparrow
 同位能 \Rightarrow 对 ψ_0 求导 $\frac{\partial \psi_0}{\partial k_x}$ 等等

$$[x, y] = [i \partial_{k_x} + a_{x,n}, i \partial_{k_y} + a_{y,n}]$$

$$\boxed{\frac{\partial \mathbf{A}}{\partial a}}$$

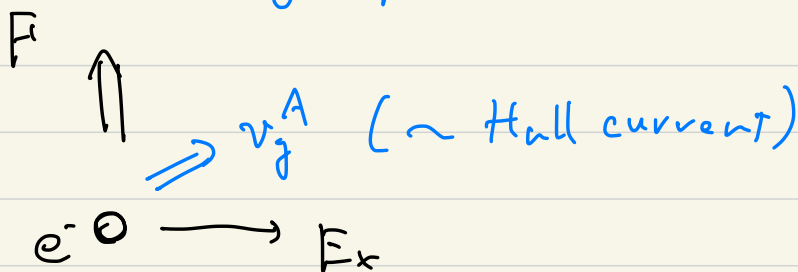
$$= i \partial_{k_x} a_{y,n} - i \partial_{k_y} a_{x,n}$$

$$= i [\nabla \times \mathbf{a}]_z$$

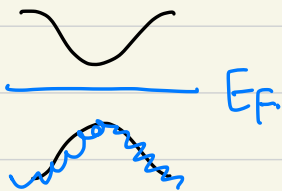
$$= i \underline{F_n(k)}$$

Berry curvature : noncommutativity of x & y in solids

$$\langle v_y \rangle = \underbrace{\frac{1}{\hbar} \partial_{k_y} E_n(k)}_{\text{group velocity}} + \underbrace{\frac{g}{\hbar} E_n(k)}_{\text{anomalous velocity}}$$



• For an insulator (a filled band):



$$\Rightarrow J_y = g \int \frac{dk}{(2\pi)^d} \langle v_y \rangle$$

$$= g \int \underbrace{[dk]}_{dk_y} \left[\frac{1}{\hbar} \partial_{k_y} E_n(k) + \frac{g}{\hbar} E_n(k) \right]$$

\uparrow
 1/dim 周期化

$$\bar{J}_y = \frac{q^2}{h} E_x \int [dk] F(k)$$

Hall conductivity $\bar{J}_y = \sigma_{yx} E_x$

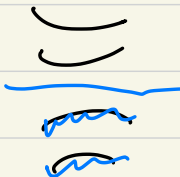
$$\sigma_{xy} = -\sigma_{yx} \Rightarrow$$

$$\sigma_{xy} = -\frac{q^2}{h} \int [dk] F(k)$$

ホールの伝導度
 $\frac{dk}{(2\pi)d}$

$L \gg \lambda_D$:

$$\sigma_{xy} = -\frac{q^2}{h} \underbrace{\int \frac{d^2k}{2\pi} F(k)}_{\text{Chern \# } C \in \mathbb{Z}} = -\frac{q^2}{h} C$$

 E_F multiband case:

$$\sigma_{xy} = -\frac{q^2}{h} \sum_n C_n$$

Quantum Hall effect

(Quantized anomalous Hall effect)

