

# Constraints on new physics from neutrinos

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1. Introduction for  $\nu$
2. New physics &  $\nu$
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## Reference:

- “Physics at a future Neutrino Factory and super-beam facility”, Int. Scoping Study Physics Working Group, **Rept.Prog.Phys.72:106201,2009 (arXiv:0710.4947 [hep-ph])**
- Chapter3: New physics (GUT, see-saw, extra dim.) to explain  $\nu$  mass & mixings in SM+massive  $\nu$
  - Chapter4: New physics to discuss possible deviation from SM+massive  $\nu$

# 1. Introduction for $\nu$

(i) Notations for  $\nu$ : different from those for quarks

Quarks (u, d, s, c, b, t): mass eigenstates

Charged leptons (e,  $\mu$ ,  $\tau$ ): mass eigenstates

Neutrinos ( $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ ): **not** mass eigenstates

Neutrinos are defined as **flavor** eigenstates because we can observe them only by

$$\nu_\alpha + N \rightarrow \ell_\alpha^- + X$$

Mixing matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Maki-Nakagawa-Sakata matrix

flavor eigenstates

mass eigenstates

In flavor eigenstates, flavor conversion (=  $\nu$  oscillation) occurs.

## (ii) 2 flavor oscillations in vacuum

$$\left\{ \begin{array}{l} i \frac{d}{dx} \nu_1(x) = E_1 \nu_1(x) \\ i \frac{d}{dx} \nu_2(x) = E_2 \nu_2(x) \end{array} \right.$$

mass eigenstates

$$E_j \equiv \sqrt{\mathbf{p}^2 + m_j^2}$$

$$\begin{pmatrix} \nu_e(x) \\ \nu_\mu(x) \end{pmatrix} = U \begin{pmatrix} \nu_1(x) \\ \nu_2(x) \end{pmatrix}$$

flavor eigenstates

$$U \equiv \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

mixing matrix in vacuum

$$P(\nu_e \rightarrow \nu_\mu; L) = \sin^2 2\theta \sin^2 \left( \frac{\Delta E L}{2} \right)$$

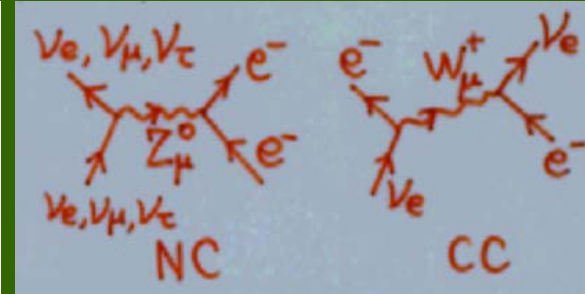
$$\Delta E = E_2 - E_1 \simeq \frac{m_2^2 - m_1^2}{2E} \equiv \frac{\Delta m^2}{2E}$$

### (iii) 2 flavor oscillations in matter (MSW effect)

$$\begin{aligned}
 i \frac{d}{dx} \begin{pmatrix} \nu_e(x) \\ \nu_\mu(x) \end{pmatrix} &= \left[ U \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix} U^{-1} + \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e(x) \\ \nu_\mu(x) \end{pmatrix} \\
 &= \tilde{U}(x) \begin{pmatrix} \tilde{E}_1 & 0 \\ 0 & \tilde{E}_2 \end{pmatrix} \tilde{U}^{-1}(x) \begin{pmatrix} \nu_e(x) \\ \nu_\mu(x) \end{pmatrix}
 \end{aligned}$$

$$(A \equiv \sqrt{2} G_F N_e(x))$$

For  $\bar{\nu}$ ,  $A \rightarrow -A$



If  $N_e = \text{const.}$

$$P(\nu_e \rightarrow \nu_\mu; L) = \sin^2 2\tilde{\theta} \sin^2 \left( \frac{\Delta \tilde{E} L}{2} \right)$$

$$\tan 2\tilde{\theta} \equiv \frac{\Delta E \sin 2\theta}{\Delta E \cos 2\theta - A}$$

$$\Delta \tilde{E} = \left[ (\Delta E \cos 2\theta - A)^2 + (\Delta E \sin 2\theta)^2 \right]^{1/2}$$

even if  $\theta$  in vacuum is small  $\tilde{\theta}$  in matter could be large (MSW effect)

## (iv) 3 flavor $\nu$ oscillation

### MNS matrix (very different from CKM)

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \cong \begin{pmatrix} \mathbf{C}_{12} & \mathbf{S}_{12} & \epsilon \\ -\mathbf{S}_{12}/\sqrt{2} & \mathbf{C}_{12}/\sqrt{2} & 1/\sqrt{2} \\ \mathbf{S}_{12}/\sqrt{2} & -\mathbf{C}_{12}/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$$

### Mixing angles & mass squared differences

$$\theta_{12} \cong \frac{\pi}{6}, \Delta m_{21}^2 \cong 8 \times 10^{-5} \text{ eV}^2$$

$\nu_{\text{solar}}$  + KamLAND  
(reactor)

$$\theta_{23} \cong \frac{\pi}{4}, |\Delta m_{32}^2| \cong 2.5 \times 10^{-3} \text{ eV}^2$$

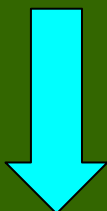
$\nu_{\text{atm}}$  + K2K, MINOS  
(accelerators)

$$|\theta_{13}| \leq \sqrt{0.15/2}$$

CHOOZ (reactor)

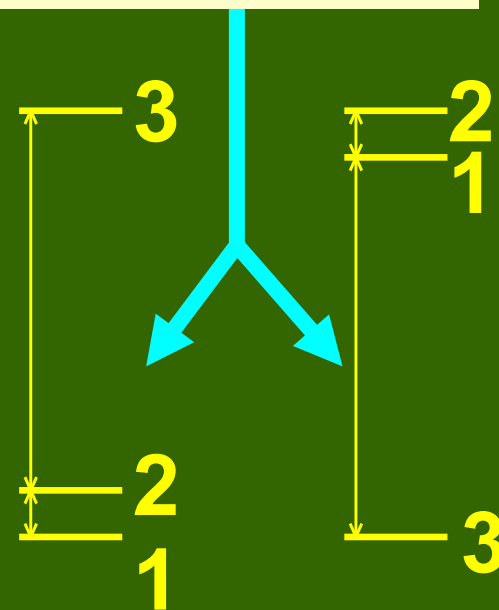
## (v) Unknown quantities in 3 flavor $\nu$ framework

- $\theta_{13}$ : only upper bound is known
- $\delta$ : undetermined



Next task is to measure  $\theta_{13}$ ,  
 $\text{sign}(\Delta m_{31}^2)$  and  $\delta$ .

- Both **mass hierarchies** are allowed



normal  
hierarchy

$$\Delta m_{32}^2 > 0$$

inverted  
hierarchy

$$\Delta m_{32}^2 < 0$$

## (vi) Future long baseline experiments

### Ongoing & Near future experiments

**Accelerator**  $\Rightarrow \theta_{13}, \text{sgn}(\Delta m_{32}^2)?, \delta?$

'06 ~ **MINOS** (FNAL→Soudan) L=730km, E ~ 10GeV

'08 ~ **OPERA·ICARUS** (CERN→GrandSasso) L=730km, E ~ 20GeV

'09 ~ **T2K** (JAERI→SK) L=295km, E ~ 1GeV **phase1** (0.75MW, 22.5kt)

'14 ~ **NOvA** (FNAL→Ash River) L=810km, E ~ 1GeV (0.7MW, 15kt)

**Reactor**  $\Rightarrow \theta_{13}$

'09 ~ **Double CHOOZ**

'10 ~ **RENO**

'11 ~ **Daya Bay**

### Far future experiments

**Accelerator**

'xx ~ **T2K(K)** (JAERI→HK(+Korea)) L=295km(+1050km), E ~ 1GeV  
**phase2** (4MW, 500kt)

'yy ~  **$\nu$  factory** (?→?) L ~ 4000km+7500km, E ~ 25GeV



## 2. New physics & $\nu$

**New physics** := Deviation from SM+massive  $\nu$

Most of discussions to date are **phenomenological**

**Motivation:** High precision measurements of  $\nu$  oscillation in future experiments can be used also to probe **physics beyond SM** by looking at deviation from SM+massive  $\nu$

List of **New physics** to be discussed here

- Non-standard interactions in propagation
- Non-standard interactions at production/detection
- Unitarity violation due to heavy particles
- Sterile neutrinos

## A word on exotic scenarios

- CPT invariance violation
- Presence of torsion
- Lorentz invariance violation
- Equivalence principle violation
- Decoherence
- Mass varying neutrinos

$$\bar{\theta}_{ij} \neq \theta_{ij} \quad \Delta \bar{m}_{ij}^2 \neq \Delta m_{ij}^2$$

$$P = \sin^2 2\theta \sin^2 (cL)$$

$$P = \sin^2 2\theta \sin^2 (cEL)$$

$$P_{\mu\mu} = 1 - \frac{1}{2} \sin^2 2\theta \times (1 - \exp(-\gamma_0 \frac{L}{E})) \times \cos(\frac{\Delta m^2 L}{2E})$$

$$\Delta m^2 \rightarrow \Delta m^2 \times (\rho_e / \rho_0)^n$$

	Lorentz inv.
●	✓
●	✗

None of them can be major cause for  $\nu$  oscillations for  $\nu_{\text{atm}}$  or  $\nu_{\text{sol}}$ , although these may show up as small perturbation (at least killing them all completely is an experimentally challenge). → I will not discuss these scenarios here.

**New physics** which can be probed at a future long baseline neutrino experiments includes:

- ◆ Non standard interactions in propagation
- ◆ Non standard interactions at production / detection
- ◆ Violation of unitarity due to heavy particles
- ◆ Schemes with light sterile neutrinos

$$\sum_{\beta=e,\mu,\tau} P(\nu_{\alpha} \rightarrow \nu_{\beta}) = 1$$

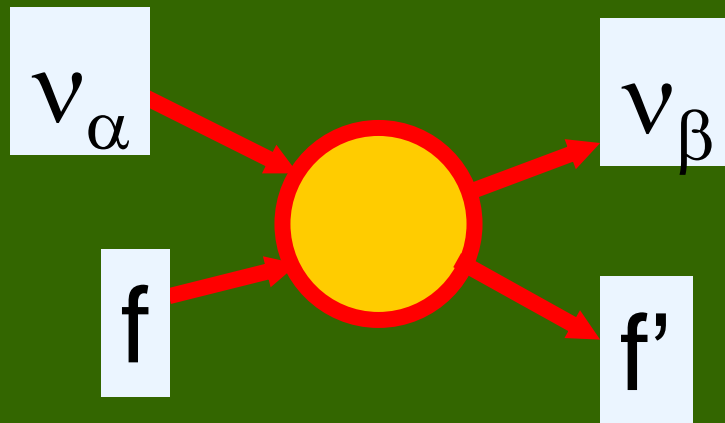
Scenarios	3 flavor unitarity
NSI in propagation	✓
NSI at production / detection	✗
Violation of unitarity due to heavy particles	✗
Light sterile neutrinos	✗

Scenarios	Phenomenological bound on deviation of unitarity
NSI at production / detection	$O(1\%)$
Violation of unitarity due to heavy particles	$O(0.1\%)$
Light sterile neutrinos	$O(10\%)$

- ◆ (Except sterile  $\nu$ ) none of these scenarios has ever been supported experimentally.
- ◆ Even if LSND anomaly is excluded in the near future, light sterile  $\nu$  could be phenomenologically even more promising than others.

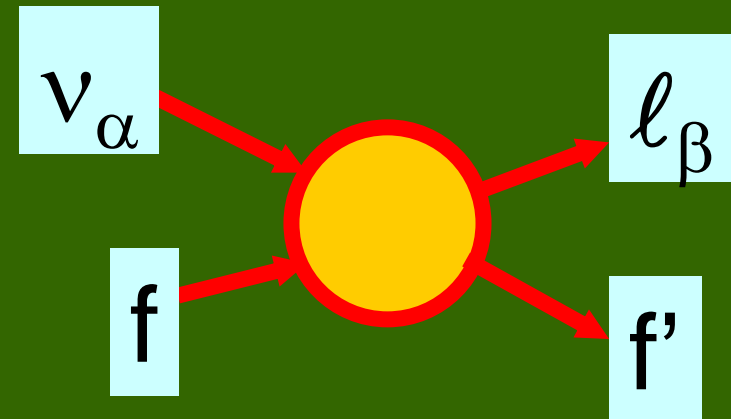
# Phenomenological discussions on **Non Standard Interactions** (4-fermi exotic interactions)

$$\mathcal{L}_{\text{eff}} = G_F \epsilon_{\alpha\beta}^{ff'} \bar{\nu}_\alpha \gamma^\rho \nu_\beta \bar{f} \gamma_\rho f'$$



neutral current

$$\mathcal{L}_{\text{eff}} = G_F \epsilon_{\alpha\beta}^{ff'} \bar{\nu}_\alpha \gamma^\rho \ell_\beta \bar{f} \gamma_\rho f'$$



charged current

## 2-1. NSI in propagation (matter effect)

Correction from

$$\mathcal{L}_{\text{eff}} = G_F \epsilon_{\alpha\beta}^{ff'} \bar{\nu}_\alpha \gamma^\rho \nu_\beta \bar{f} \gamma_\rho f'$$



$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \left[ U \text{diag}(E_1, E_2, E_3) U^{-1} + A \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{\mu e} & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{\tau e} & \epsilon_{\tau\mu} & \epsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

$$A \equiv \sqrt{2} G_F N_e$$

$N_e \equiv$  electron density

- Mass matrix  $\mathcal{M}$  is hermitian
- There are only 3 flavors



Oscillation probability satisfies **3 flavor unitarity**

# Current bounds on the parameters of NSI in propagation

Davidson, Pena-Garay, Rius, Santamaria, JHEP 0303:011,2003

Biggio, Blennow, Fernandez-Martinez, JHEP 0908:090 ('09)

$$\left[ \begin{array}{ccc} |\varepsilon_{ee}| < 4 & |\varepsilon_{e\mu}| < 0.3 & |\varepsilon_{e\tau}| < 3 \\ |\varepsilon_{e\mu}| < 0.3 & |\varepsilon_{\mu\mu}| < 0.07 & |\varepsilon_{\mu\tau}| < 0.3 \\ |\varepsilon_{e\tau}| < 3 & |\varepsilon_{\mu\tau}| < 0.3 & |\varepsilon_{\tau\tau}| < 20 \end{array} \right]$$

$\varepsilon_{e\mu}, \varepsilon_{\mu\mu}, \varepsilon_{\mu\tau}$  : Bounds  $\sim O(10^{-1})$

$\varepsilon_{ee}, \varepsilon_{e\tau}, \varepsilon_{\tau\tau}$  : Bounds  $\sim O(1)$

Constraint from  $\nu_{\text{atm}}$

Friedland- Lunardini, PRD72 ('05) 053009

$$\varepsilon_{\tau\tau} \cong |\varepsilon_{e\tau}|^2 / (1 + \varepsilon_{ee}) \quad (*)$$

$\varepsilon_{ee}, \varepsilon_{e\tau}, \varepsilon_{\tau\tau} \sim O(1)$  are consistent with all data w/ Eq. (\*)

## 2-2. NSI at source and detector

Grossman, Phys. Lett. B359, 141 (1995)

Possible processes with

$$\mathcal{L}_{\text{eff}} = G_F \epsilon_{\alpha\beta}^{ff'} \bar{\nu}_\alpha \gamma^\rho \ell_\beta \bar{f} \gamma_\rho f'$$

### ● NP at source

$$\mu^+ \rightarrow e^+ + \bar{\nu}_\mu + \nu_\mu^s$$

$$\nu_e^s = \nu_e + \epsilon_{e\mu}^s \nu_\mu$$

Effective eigenstate

$$\begin{pmatrix} \nu_e^s \\ \nu_\mu^s \end{pmatrix} = \begin{pmatrix} 1 & \epsilon_{e\mu}^s \\ -\epsilon_{e\mu}^s & 1 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

### ● NP at detector

$$\nu_\mu^d + n \rightarrow \mu^- + p$$

$$\nu_\mu^d = \nu_\mu - \epsilon_{e\mu}^d \nu_e$$

Effective eigenstate

$$\begin{pmatrix} \nu_e^d \\ \nu_\mu^d \end{pmatrix} = \begin{pmatrix} 1 & \epsilon_{e\mu}^d \\ -\epsilon_{e\mu}^d & 1 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

Oscillation probability breaks 3 flavor unitarity



# Direct bounds on prod/det NSI

From  $\mu, \beta, \pi$  decays and zero distance oscillations

$$2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{ud} \left( \bar{l}_\beta \gamma^\mu P_L \nu_\alpha \right) \left( \bar{u} \gamma_\mu P_{L,R} d \right) \quad 2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{\mu e} \left( \bar{\mu} \gamma^\mu P_L \nu_\beta \right) \left( \bar{\nu}_\alpha \gamma_\mu P_L e \right)$$

$$|\varepsilon^{ud}| < \begin{pmatrix} 0.042 & 0.025 & 0.042 \\ 2.6 \cdot 10^{-5} & 0.1 & 0.013 \\ 0.087 & 0.013 & 0.13 \end{pmatrix}$$

$$|\varepsilon^{\mu e}| < \begin{pmatrix} 0.025 & 0.03 & 0.03 \\ 0.025 & 0.03 & 0.03 \\ 0.025 & 0.03 & 0.03 \end{pmatrix}$$

Bounds  $\sim O(10^{-2})$

C. Biggio, M. Blennow and EFM 0907.0097

E. Fernandez-Martinez @ NSI workshop at UAM 2009-12-10

## 2-3. Violation of unitarity due to heavy particles (Minimal Unitarity Violation)

Antusch, Biggio, Fernandez-Martinez, Gavela, Lopez-Pavon, JHEP0610,084, '06

In generic see-saw models, after integrating out  $\nu_R$ , the kinetic term gets modified, and unitarity is expected to be violated.

$$L = \frac{1}{2} \left( i \bar{\nu}_\alpha \not{\partial} K_{\alpha\beta} \nu_\beta - \bar{\nu}^c_\alpha M_{\alpha\beta} \nu_\beta \right) - \frac{g}{\sqrt{2}} \left( W_\mu^+ \bar{l}_\alpha \gamma^\mu P_L \nu_\alpha + h.c. \right) + \dots$$

rescaling  $\nu$   


$$L = \frac{1}{2} \left( i \bar{\nu}_i \not{\partial} \nu_i - \bar{\nu}^c_i m_{ii} \nu_i \right) - \frac{g}{\sqrt{2}} \left( W_\mu^+ \bar{l}_\alpha \gamma^\mu P_L N_{\alpha i} \nu_i \right) + \dots$$

**N: non-unitary**

$$N \equiv HU$$

$\eta = H-1$ : deviation from unitarity

# Current bounds on the parameters of unitarity violation

- Without considering mixing bounds (90 % CL)

$$|\eta_{\alpha\beta}| < \begin{pmatrix} 2.0 \times 10^{-3} & 0.6 \times 10^{-4} & 0.8 \times 10^{-2} \\ 0.6 \times 10^{-4} & 0.8 \times 10^{-3} & 0.5 \times 10^{-2} \\ 0.8 \times 10^{-2} & 0.5 \times 10^{-2} & 2.7 \times 10^{-3} \end{pmatrix}$$

- Including mixing bounds (90 % CL)

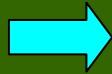
$$|\eta_{\alpha\beta}| < \begin{pmatrix} 2.0 \times 10^{-3} & 0.6 \times 10^{-4} & 1.6 \times 10^{-3} \\ 0.6 \times 10^{-4} & 0.8 \times 10^{-3} & 1.1 \times 10^{-3} \\ 1.6 \times 10^{-3} & 1.1 \times 10^{-3} & 2.7 \times 10^{-3} \end{pmatrix}$$

**Blennow @ NSI workshop at UAM 2009-12-10**

Bounds  $\sim O(10^{-3})$

## 2-4. Light sterile neutrinos

LSND('93-'98, LANL)  
 $L \sim 30\text{m}$ ,  $E \sim 50\text{MeV}$



$$\Delta m^2 \sim \mathcal{O}(1) \text{ eV}^2$$

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

Because of the hierarchy:

$$\Delta m_{\text{sol}}^2 \ll \Delta m_{\text{atm}}^2 \ll \Delta m_{\text{LSND}}^2$$

$N_\nu = 3$  schemes can't explain LSND.

$$\Delta m_{21}^2 = \Delta m_{\text{sol}}^2, \Delta m_{32}^2 = \Delta m_{\text{atm}}^2$$

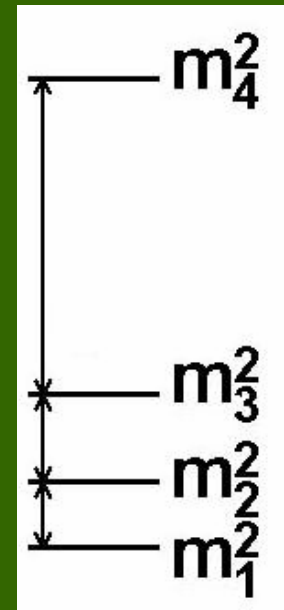
$N_\nu = 4$  schemes may be able to explain all.

LEP  $\Rightarrow$  4<sup>th</sup>  $\nu$  has to be sterile

$$\Delta m_{21}^2 = \Delta m_{\text{sol}}^2, \Delta m_{32}^2 = \Delta m_{\text{atm}}^2, \Delta m_{43}^2 = \Delta m_{\text{LSND}}^2$$

(3+1)-scheme is the simplest to explain potentially **LSND/MiniBooNE**

To test **LSND**, **MiniBooNE** has been running @ FNAL



(3+1)-scheme

(3+1)-scheme **w/ LSND** has tension  
w/ short baseline  
reactor/accelerator  $\nu$  experiments:

Negative result of **CDHSW** (~'80-'83,  
L=130m, 885m, E~1GeV,  $\nu_{\mu} \rightarrow \nu_{\mu}$ )

+

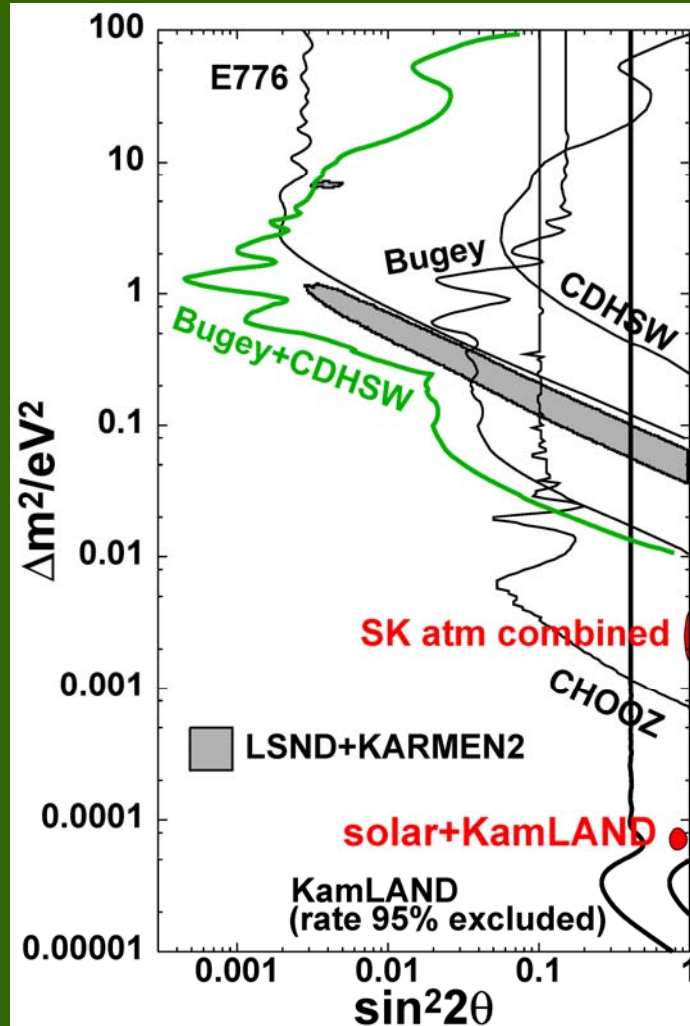
Negative result of **Bugey** (~'94, L=15m,  
40m, 95m, E~4MeV,  $\bar{\nu}_e \rightarrow \bar{\nu}_e$ )



Upper bound on oscillation probability  
for  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$

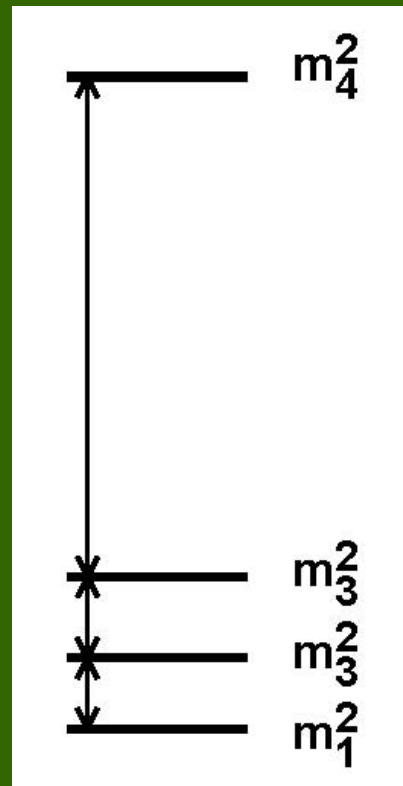
$$\sin^2 2\theta_{\text{LSND}}(\Delta m^2) < \frac{1}{4} \sin^2 2\theta_{\text{Bugey}}(\Delta m^2) \sin^2 2\theta_{\text{CDHSW}}(\Delta m^2)$$

Okada-OY, Int. J. Mod. Phys. A12, 3669,'97



But there is no overlap between LSND and left side of **Bugey+CDHSW**

If we forget about **LSND**, then (3+1)-scheme is a possible scenario, provided that the mixing angles satisfy all the constraints of the negative results (w/ less motivation).



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

$$U = R_{34}(\theta_{34}, 0) R_{24}(\theta_{24}, 0) R_{23}(\theta_{23}, \delta_3) R_{14}(\theta_{14}, 0) R_{13}(\theta_{13}, \delta_2) R_{12}(\theta_{12}, \delta_1)$$

$\theta_{34}$  : ratio of  $\nu_\mu \leftrightarrow \nu_\tau$  and  $\nu_\mu \leftrightarrow \nu_s$  in  $\nu_{\text{atm}}$

$\theta_{24}$  : ratio of  $\sin^2\left(\frac{\Delta m_{\text{atm}}^2 L}{4E}\right)$  and  $\sin^2\left(\frac{\Delta m_{\text{SBL}}^2 L}{4E}\right)$  in  $\nu_{\text{atm}}$

$\theta_{14}$  : mixing angle in  $\nu_{\text{reactor}}$  at  $L=O(10\text{m})$

# Constraints from $\nu_{\text{atm}}$ and SBL

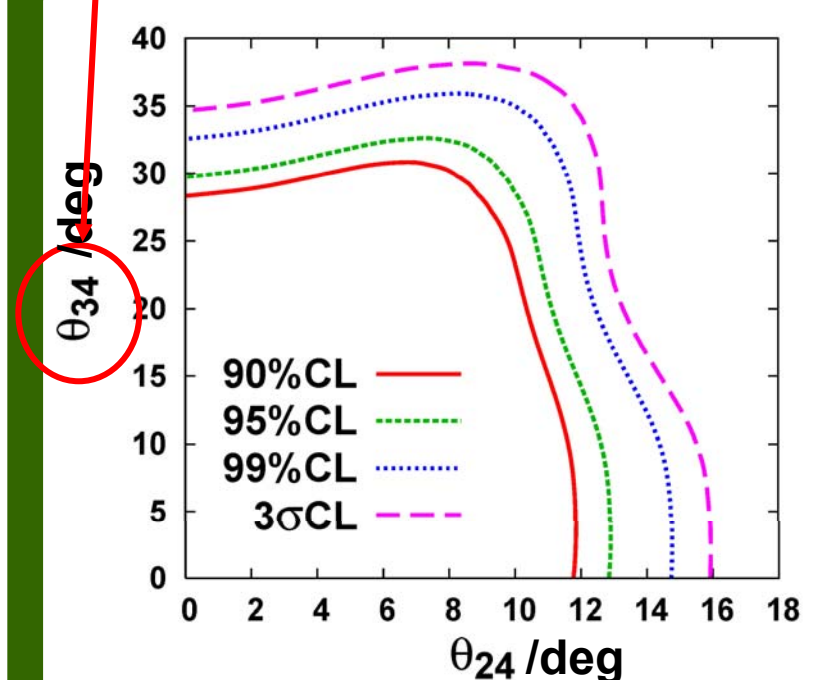
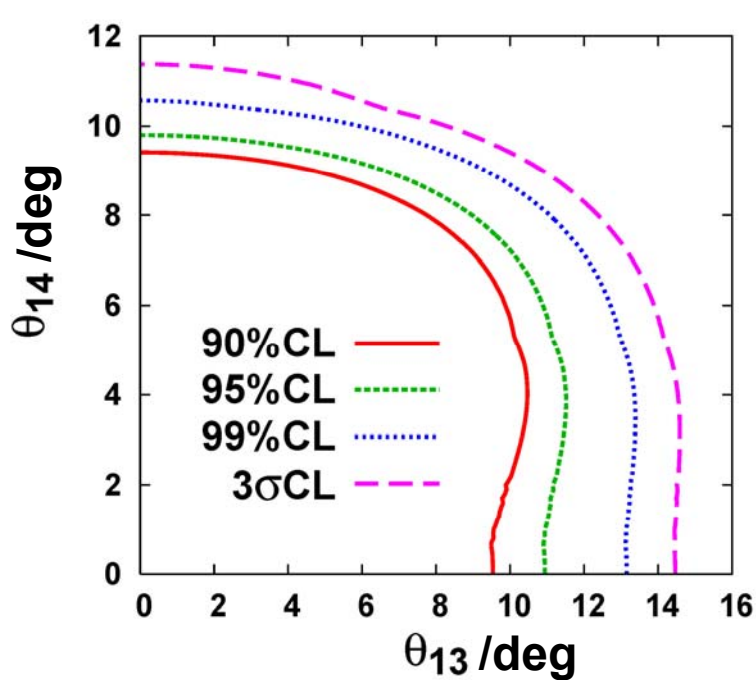
Donini-Maltoni-Meloni-Migliozzi-Terranova, JHEP 0712:013,'07

$$U = R_{34}(\theta_{34}) R_{24}(\theta_{24}) R_{23}(\theta_{23}, \delta_3) R_{14}(\theta_{14}) R_{13}(\theta_{13}, \delta_2) R_{12}(\theta_{12}, \delta_1)$$

Assumption on rapid oscillations in  $\nu_{\text{atm}}$ :

$$\Delta m^2_{41} > 0.1 \text{ eV}^2$$

$\theta_{34}$  : could be relatively large

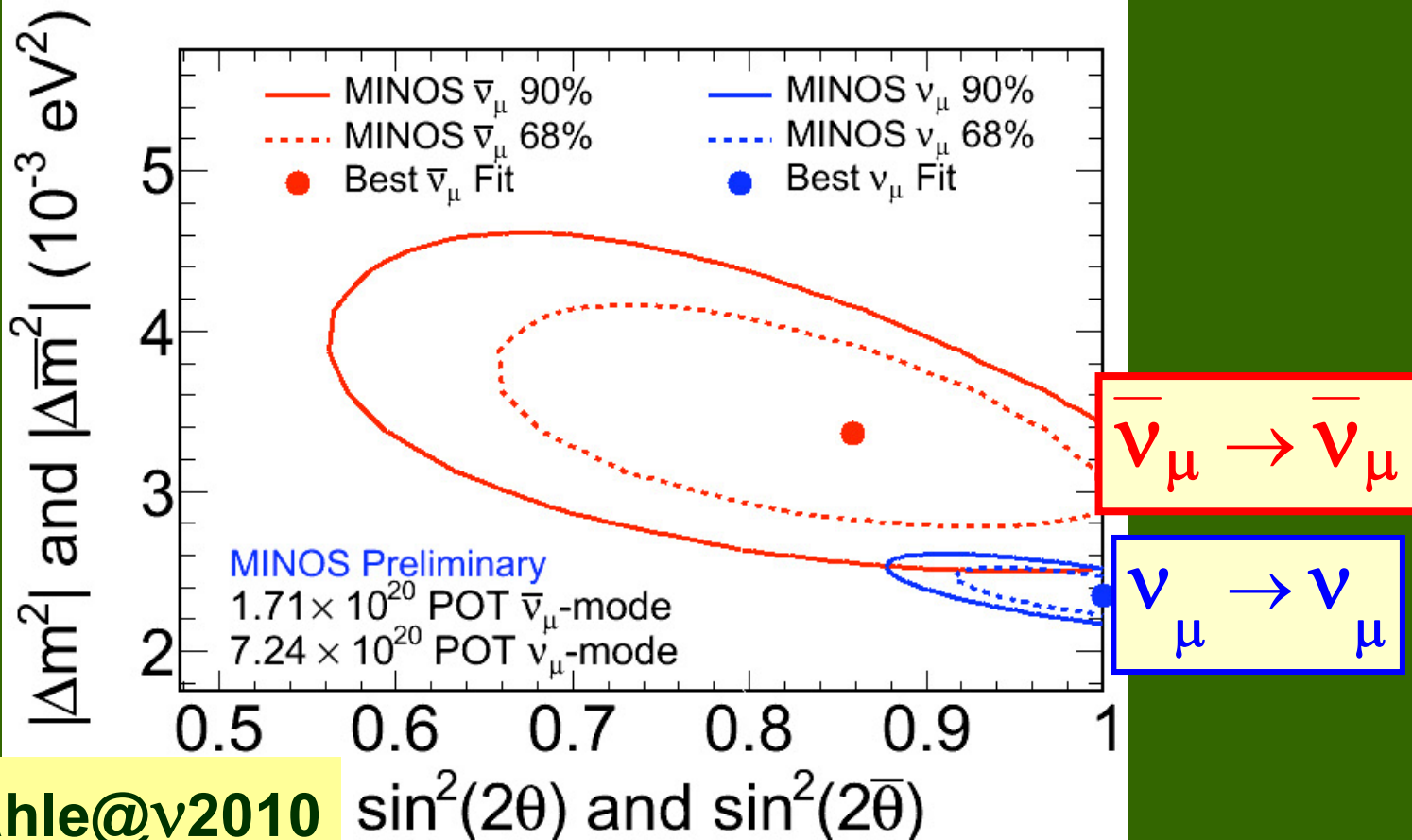


### 3. Anomalies @v2010

#### (1) Anomaly #1@v2010

MINOS (FNAL→Soudan, MN)  
L=730km, E~4GeV

Oscillation parameters seem to be different for  $\nu$  &  $\bar{\nu}$ :  
this can't be explained by standard  $3\nu$  oscillation)





# What can this be?

- CPT violation? Probably not.
- Just **statistics**? Combining the data will probably produce a decent  $\chi^2$ . But that is a weak test. Is there a parametric hypothesis?
- *“Within standard neutrino mixing, disappearance probabilities for neutrinos and antineutrinos are identical, by CPT conservation!”* (G. Karagiorgi). However, not true when matter is present.
- Could this mean that  $\theta_{13}$  is showing up??

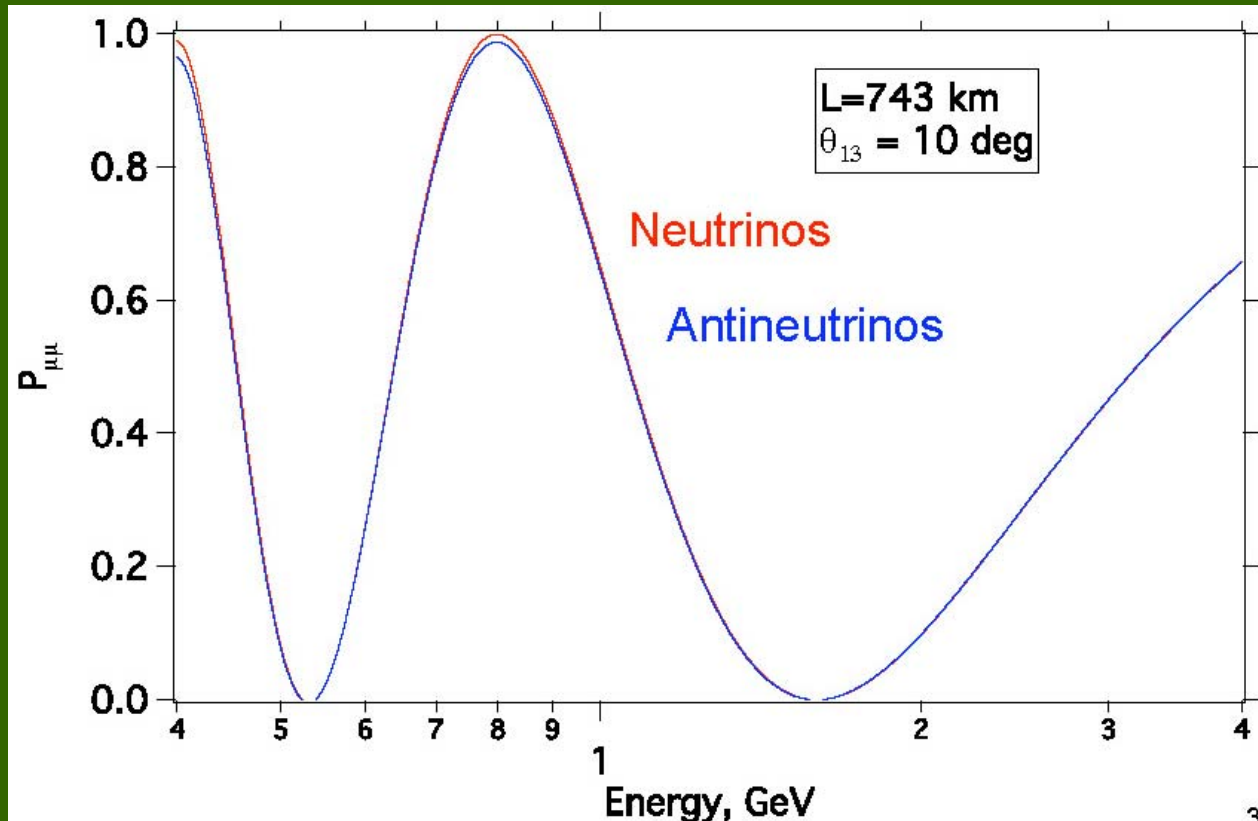
# standard 3ν oscillation

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \left[ U \text{diag}(E_1, E_2, E_3) U^{-1} + A \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

When  $\theta_{13}$  is small,  $\nu_e$  decouples  
 $\rightarrow 1 - P_{\mu\mu} \doteq P_{\mu\tau}$  : vacuum oscillation

This is supported by  $\nu_{\text{atm}}$

This anomaly might be explained by non-standard interaction in propagation.

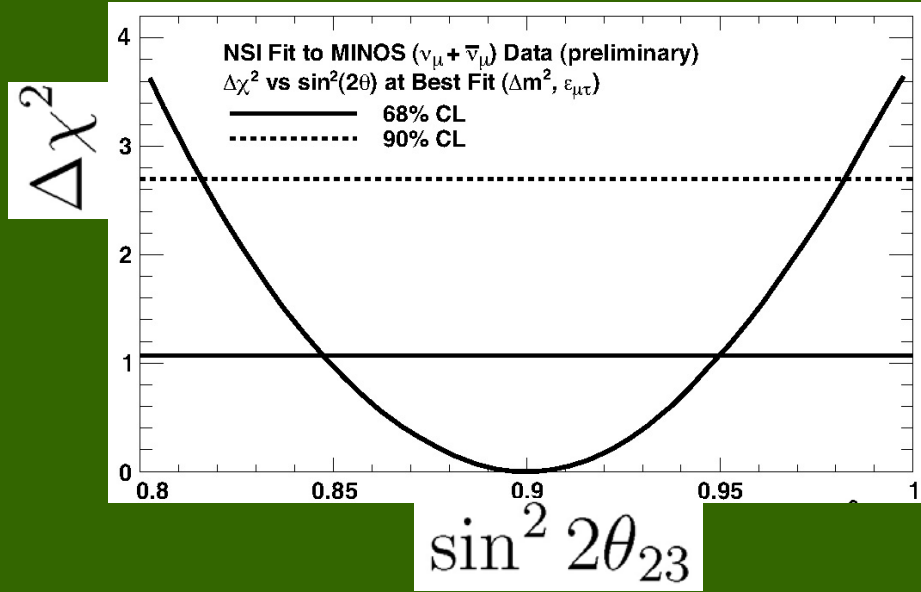
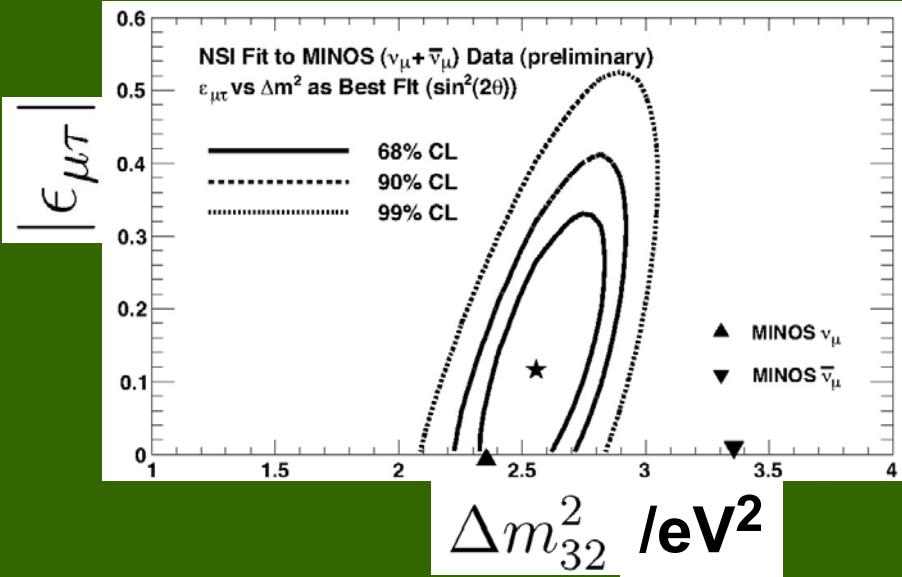


# Non-standard interaction in propagation

Mann, Cherdack, Musial, Tomas, Kafka, Arxiv:1006.5720v1

## 2 flavor analysis w/ $\nu_\mu$ & $\bar{\nu}_\mu$ & $\theta_{13}=0$

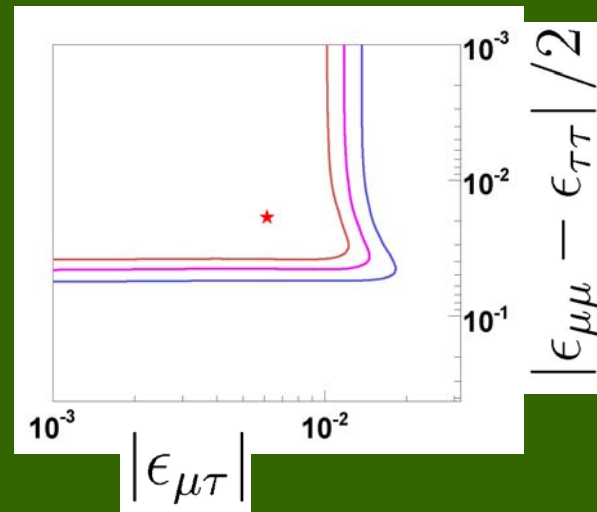
$$A \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & \epsilon_{\mu\tau} \\ 0 & \epsilon_{\tau\mu} & 0 \end{pmatrix}$$



However,  $|\epsilon_{\mu\tau}| \sim 0.1$  is probably inconsistent w/  $\nu_{\text{atm}}$ .

Mitsuka, PoS NUFACT08, 059 ('08)

$$|\epsilon_{\mu\tau}| \lesssim 0.01$$



## (2) Anomaly #2@v2010

MiniBooNE(FNAL)  
 $L \sim 0.5\text{km}$ ,  $E \sim 0.5\text{GeV}$

Oscillation w/  $\Delta m^2 \sim O(1)\text{eV}^2$  for  $\bar{\nu}$ : this can't be explained by standard 3  $\nu$  oscillation

cf. LSND('93-'98,LANL)  
 $L \sim 30\text{m}$ ,  $E \sim 50\text{MeV}$



$\Delta m^2 \sim O(1)\text{eV}^2$

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

## Summary of MiniBooNE (R. Van der Water@v2010)

### 1) Neutrino Mode:

$\nu_\mu \rightarrow \nu_e$

- a)  $E < 475\text{ MeV}$ : An unexplained  $3\sigma$  electron-like excess.
- b)  $E > 475\text{ MeV}$ : A two neutrino fit is inconsistent with LSND at the 90% CL.

**inconsistent with LSND oscillation**

### 2) Anti-neutrino Mode:

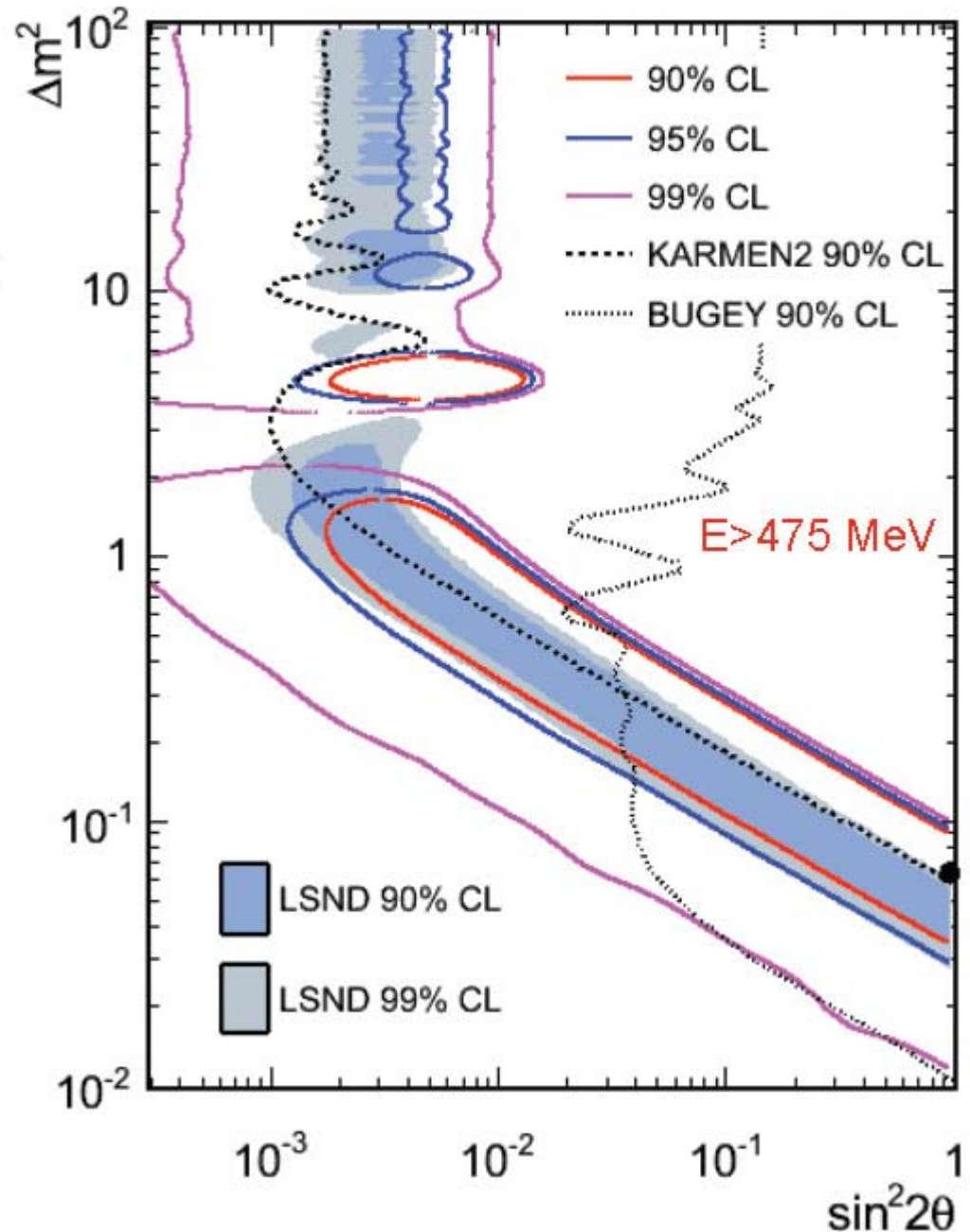
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

- a)  $E < 475\text{ MeV}$ : A small  $1.3\sigma$  electron-like excess.
- b)  $E > 475\text{ MeV}$ : An excess that is 3.0% consistent with null. Two neutrino oscillation fits consistent with LSND at 99.4% CL relative to null.

**consistent with LSND oscillation**

# Updated **Antineutrino** mode MB results for $E > 475$ MeV (official oscillation region)

- Results for **5.66E20 POT**
- Maximum likelihood fit.
- Null excluded at 99.4% with respect to the two neutrino oscillation fit.
- Best Fit Point  
( $\Delta m^2, \sin^2 2\theta$ ) =  
(0.064 eV<sup>2</sup>, 0.96)  
 $\chi^2/\text{NDF} = 16.4/12.6$   
 $P(\chi^2) = 20.5\%$
- Results to be published.



**MiniBooNE**

**R. Van der Water**



# (3+2)-scheme

Sorel, Conrad, Shaevitz, PRD70,073004,'04

LSND( $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ ): affirmative  
MiniBOONE( $\nu_\mu \rightarrow \nu_e$ ): negative

difference between  $\nu$  &  $\bar{\nu}$  may offer a promising fit

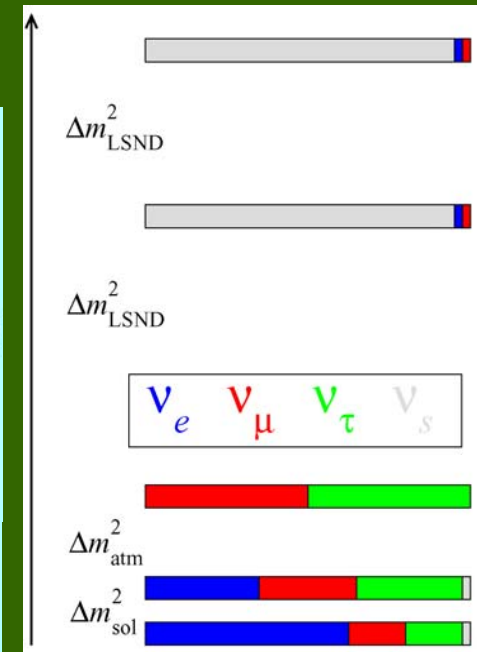
## (3+2)-scheme w/ CP phase $\delta$

$$P_{\nu_\mu \rightarrow \nu_e} = 4 |U_{e4}|^2 |U_{\mu 4}|^2 \sin^2 \phi_{41} + 4 |U_{e5}|^2 |U_{\mu 5}|^2 \sin^2 \phi_{51} + 8 |U_{e4} U_{\mu 4} U_{e5} U_{\mu 5}| \sin \phi_{41} \sin \phi_{51} \cos(\phi_{54} - \delta)$$

with the definitions

$$\phi_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E},$$

$$\delta \equiv \arg(U_{e4}^* U_{\mu 4} U_{e5} U_{\mu 5}^*).$$



➡ (3+2)-scheme also has tension w/ short baseline reactor/accelerator  $\nu$  experiments

### 3. Summary

- A brief review was given on new physics in  $\nu$  phenomenology.
- Like B factories, the future neutrino experiments with high precision will be able to see deviation from SM.
- So far there is no experimental evidence to suggest CPT/Lorentz invariance violation.
- The anomalies @  $\nu 2010$  may or may not be explained by new physics.

**Backup slides**



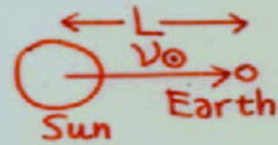
# 3 flavor $\nu$ oscillation

KamLAND(reactor)  $\bar{\nu}_e \rightarrow \bar{\nu}_e$

◦ solar  $\nu$

$\nu_e \rightarrow \nu_e$

① flux of  $\nu_e$  observed on the Earth is lower than theoretical predictions



GALLEX-GNO, SAGE, Homestake, Kamiokande, SK, SNO  
Ga Cl H<sub>2</sub>O D<sub>2</sub>O

② data/th depends on exps.

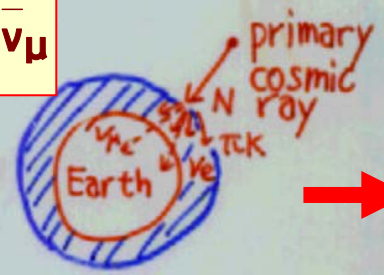
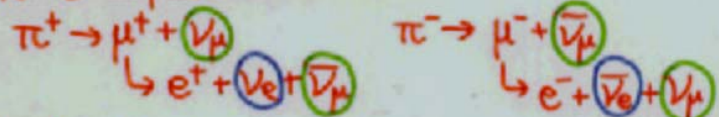
Large Mixing Angle solution

$\theta_{12} \cong \pi/6$   
 $\Delta m_{21}^2 = 8 \times 10^{-5} \text{ eV}^2$

◦ atmospheric  $\nu$

$\nu_\mu \rightarrow \nu_\mu, \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$

naive expectation from



maximal mixing

$\theta_{23} \cong \pi/4$   
 $|\Delta m_{32}^2| = 2.5 \times 10^{-3} \text{ eV}^2$

K2K  $\nu_\mu \rightarrow \nu_\mu$

Kamiokande  
IMB  
SK  
Soudan2  
MACRO

leads to

$\#(\nu_\mu + \bar{\nu}_\mu) / \#(\nu_e + \bar{\nu}_e) \cong 2$

but observations show

①  $\#(\nu_\mu + \bar{\nu}_\mu) / \#(\nu_e + \bar{\nu}_e) \cong 1.3$

② data/th depends on zenith angle

CHOOZ (reactor)

$L \sim 1 \text{ km}, E_\nu \sim 3 \text{ MeV}$

$\bar{\nu}_e \rightarrow \bar{\nu}_e$

$\left| \frac{\Delta m_{21}^2 L}{4E} \right| = \left| \frac{\Delta m_{\theta=2}^2 L}{4E} \right| \ll 1$

$N_\nu = 3$

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \cong 1 - \frac{4|U_{e3}|^2(1-|U_{e3}|^2)}{\sin^2 2\theta_{13}} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

small mixing

$\sin^2 2\theta_{13} < 0.15$

## ● Oscillation probability w/ NP in propagation

$$\mathcal{M} \equiv U \text{diag}(E_j) U^{-1} + \mathcal{A} = \tilde{U} \text{diag}(\tilde{E}_j) \tilde{U}^{-1}$$

$$\mathcal{A} \equiv A \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix}$$

$$A \equiv \sqrt{2} G_F N_e$$

$N_e \equiv$  electron density

$$P(\nu_\alpha \rightarrow \beta) = \left| \left[ \tilde{U} \exp \left\{ -i \text{diag}(\tilde{E}_j) L \right\} \tilde{U}^{-1} \right]_{\beta\alpha} \right|^2$$

- Mass matrix  $\mathcal{M}$  is hermitian
- There are only 3 flavors



Oscillation probability satisfies **3 flavor unitarity**

- Oscillation probability w/ NP @ source/detector

$$\mathcal{M} \equiv U \text{diag}(E_j) U^{-1} + \mathcal{A}_0 = \tilde{U}_0 \text{diag}(\tilde{E}_j^0) \tilde{U}_0^{-1}$$

$$\mathcal{A}_0 \equiv A \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$P(\nu_\alpha \rightarrow \beta) = \left| \left[ U^d \tilde{U}_0 \exp \left\{ -i \text{diag}(\tilde{E}_j^0) L \right\} \tilde{U}_0^{-1} (U^s)^{-1} \right]_{\beta\alpha} \right|^2$$

➤ There are only 3 flavors

➤ But matrix  $U^d \mathcal{M} (U^s)^{-1}$  is **not** hermitian



Oscillation probability does **not** satisfy 3 flavor unitarity

## Off-diagonals from mixing with heavy states

- If NU is due to some mixing with heavy states, then  $\varepsilon$  is negative semi-definite
- In particular this implies

Antusch, Baumann, Fernandez-Martinez, NPB810(2009)369, 0807.1003

$$|\varepsilon_{\alpha\beta}|^2 \leq |\varepsilon_{\alpha\alpha}\varepsilon_{\beta\beta}|$$

as well as

$$\varepsilon_{\alpha\alpha} < 0$$

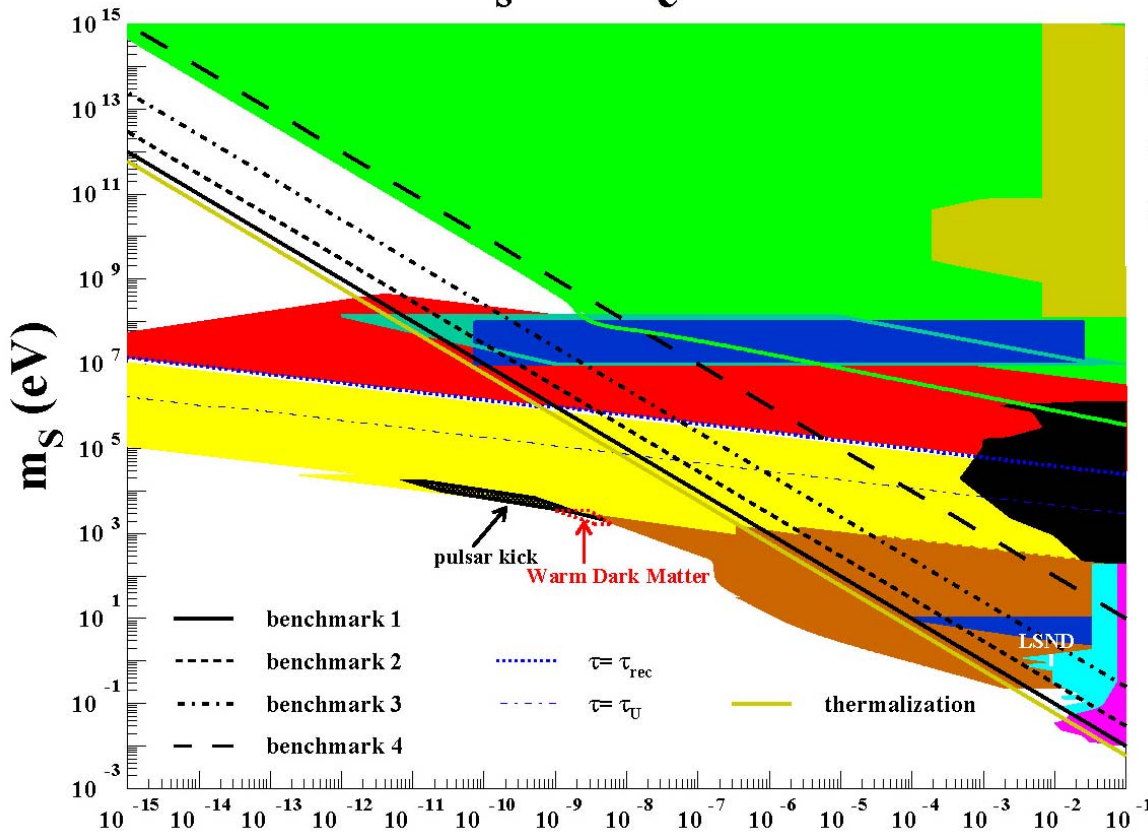
# Cosmological constraints on light sterile neutrinos

Smirnov & Zukanovich -Funchal, Phys.Rev.D74:013001,2006

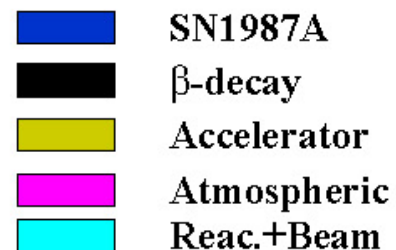
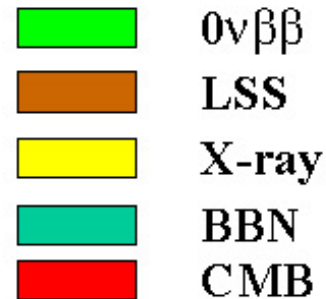
$$\nu_s \leftrightarrow \nu_e$$

$$P(\nu_e \rightarrow \nu_s)$$

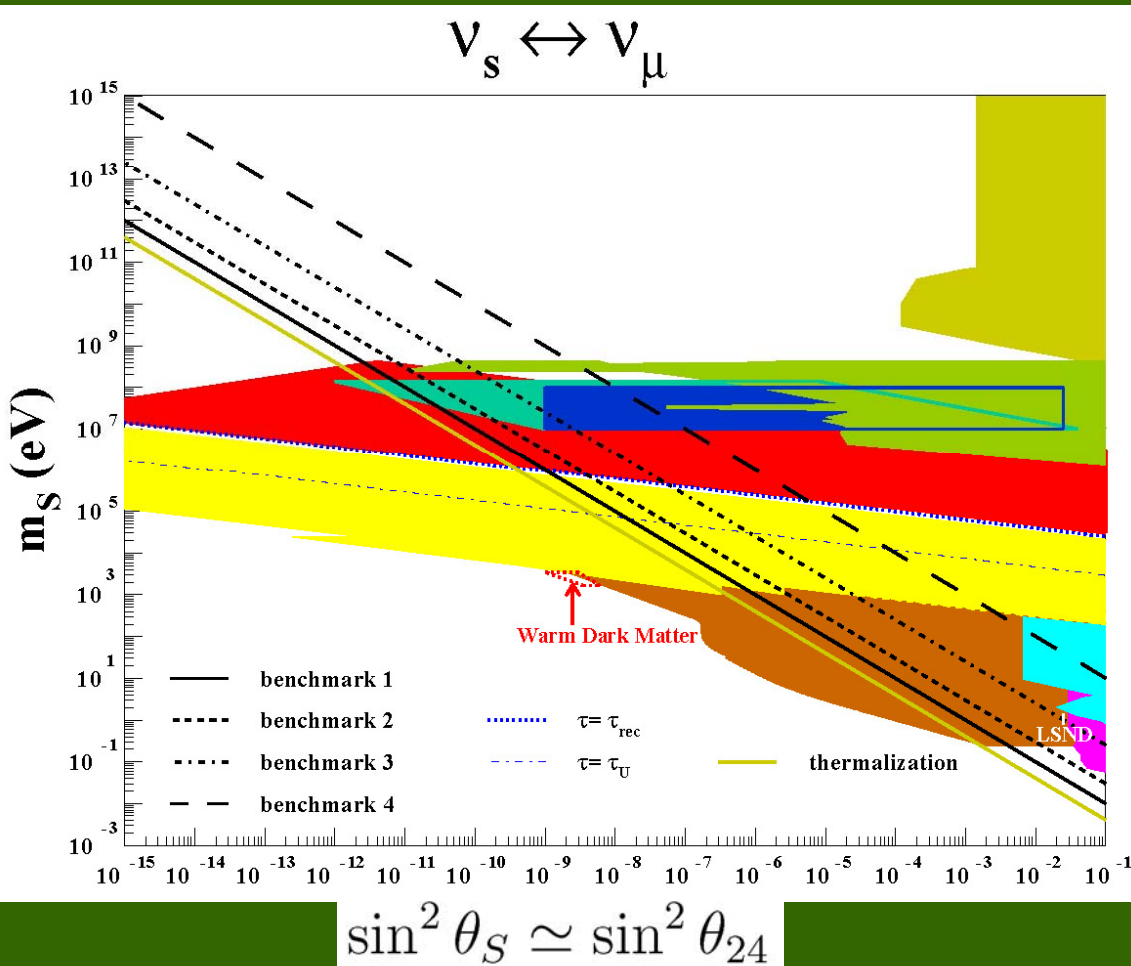
$$\begin{aligned} &\simeq 4|U_{e4}U_{s4}|^2 \sin^2(\Delta m_{41}^2 L/4E) \\ &= c_{24}^2 c_{34}^2 \sin^2 2\theta_{14} \sin^2(\Delta m_{41}^2 L/4E) \\ &\simeq \sin^2 2\theta_{14} \sin^2(\Delta m_{41}^2 L/4E) \end{aligned}$$



$$\sin^2 \theta_S \simeq \sin^2 \theta_{14}$$



# Smirnov & Zukanovich -Funchal, Phys.Rev.D74:013001,2006



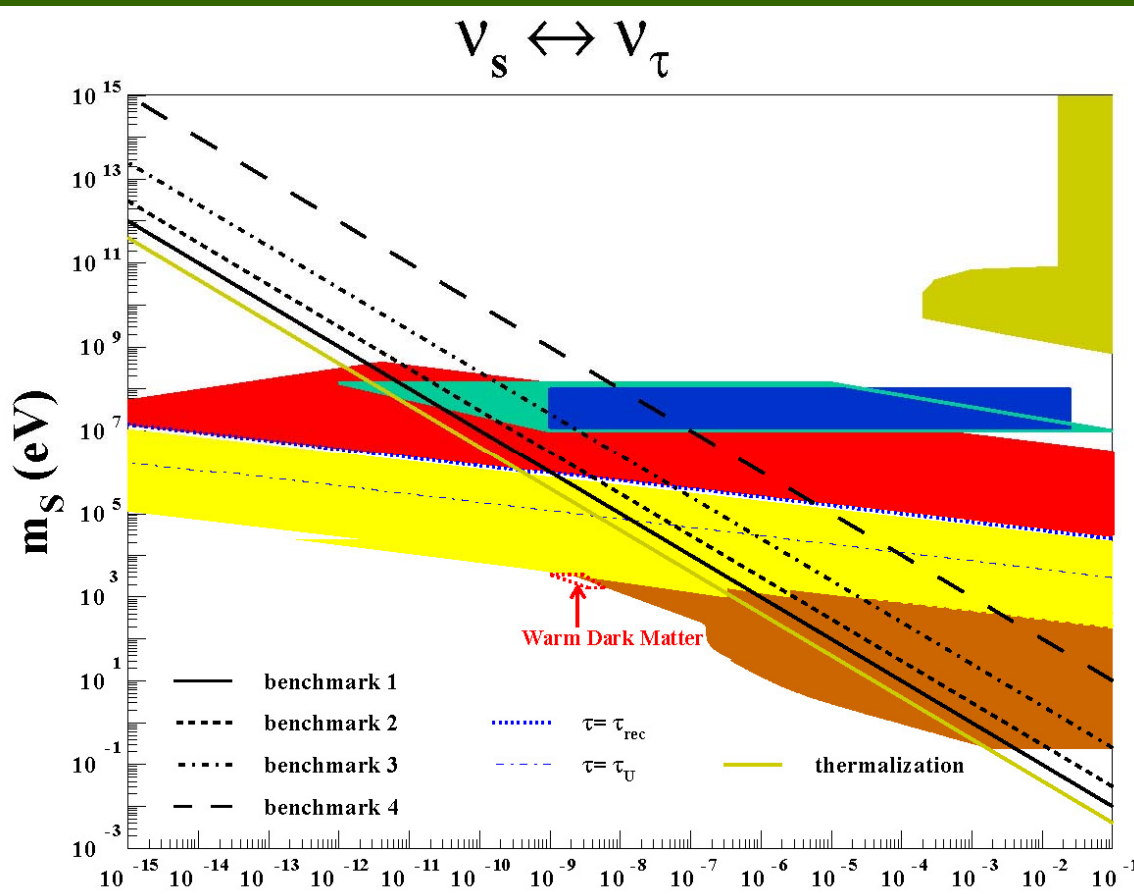
$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_s) &\simeq 4|U_{\mu 4} U_{s 4}|^2 \sin^2(\Delta m_{41}^2 L/4E) \\
 &= c_{14}^4 c_{34}^2 \sin^2 2\theta_{24} \sin^2(\Delta m_{41}^2 L/4E) \\
 &\simeq \sin^2 2\theta_{24} \sin^2(\Delta m_{41}^2 L/4E)
 \end{aligned}$$

- LSS
- X-ray
- BBN
- CMB

- SN1987A
- Accelerator
- Decays
- Atmospheric
- Beam



# Smirnov & Zukanovich -Funchal, Phys.Rev.D74:013001,2006



$$\begin{aligned}
 P(\nu_\tau \rightarrow \nu_s) &\simeq 4|U_{\tau 4}U_{s4}|^2 \sin^2(\Delta m_{41}^2 L/4E) \\
 &= c_{24}^4 \sin^2 2\theta_{34} \sin^2(\Delta m_{41}^2 L/4E) \\
 &\simeq \sin^2 2\theta_{34} \sin^2(\Delta m_{41}^2 L/4E)
 \end{aligned}$$

- LSS
- X-ray
- BBN
- CMB

- SN1987A
- Accelerator

# CPT and Lorentz invariance violation

If the major cause of  $\nu$  oscillations come from a force which is mediated by a spin  $J$  particle, then oscillation probability behaves as

OY gr-qc/9403023v1

$$\text{Prob}(J = 0) = \sin^2 2\theta \sin^2 \left( \frac{\text{const.} \times L}{E} \right)$$

$$\text{Prob}(J = 1) = \sin^2 2\theta \sin^2 (\text{const.} \times L)$$

$$\text{Prob}(J = 2) = \sin^2 2\theta \sin^2 (\text{const.} \times EL)$$

the same as osc. from mass

Lorentz inv. Violation,  
torsion

equivalence principle violation

## CPT invariance violation

Murayama-Yanagida,  
PL B520 (2001) 263

$$\bar{\theta}_{ij} \neq \theta_{ij} \quad \Delta \bar{m}_{ij}^2 \neq \Delta m_{ij}^2$$

None of them can be major cause for  $\nu$  oscillations, although these may show up as small perturbation (at least killing them all completely is an experimentally challenge).



## MaVaN (Mass Varying Neutrino) model

### Neutrino dark energy scenario

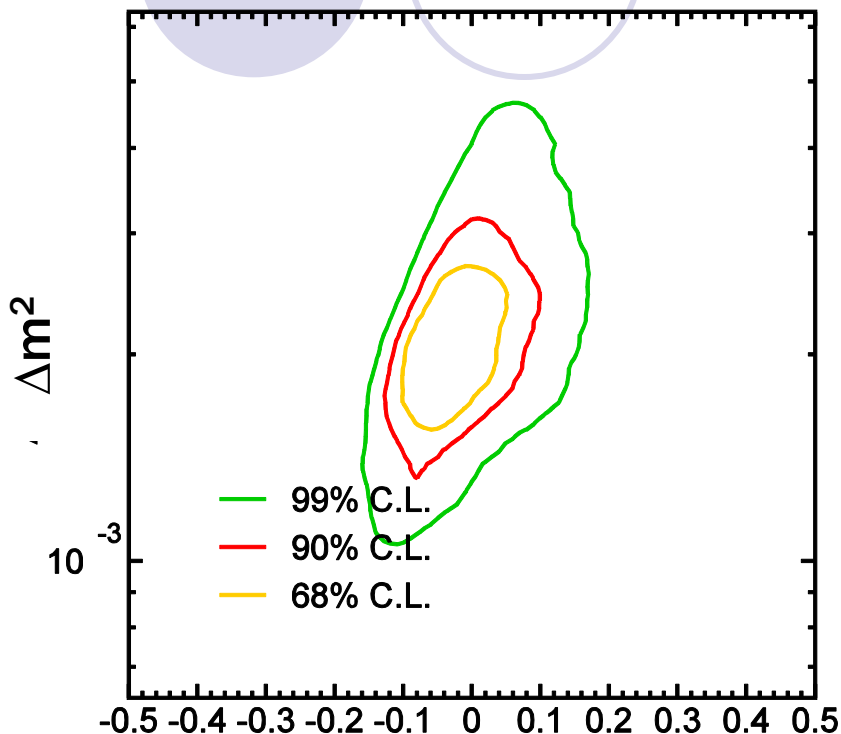
- Relic neutrinos of which masses varied by ambient neutrino density (A.Nelson et al. 2004)
- Possibly their masses also varied by matter density or electron density beyond the MSW effect

Check additional matter effect in atmospheric data

- $\Delta m^2 \rightarrow \Delta m^2 \times (\rho_e / \rho_0)^n$  ( $\rho_0 = 1.0 \text{ mol/cm}^3$ )  
mass varying with electron density
- 2 flavor Zenith angle analysis
- assuming  $\sin^2 2\theta = 1.0$
- SK-I dataset

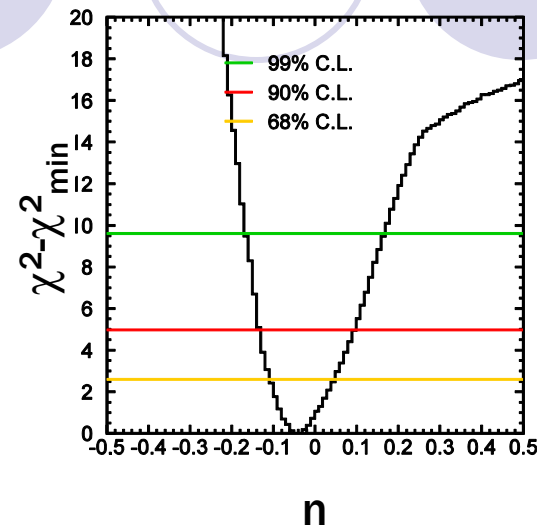
# Result for MaVaN-type matter effect

n vs  $\Delta m^2$  for MaVaN model

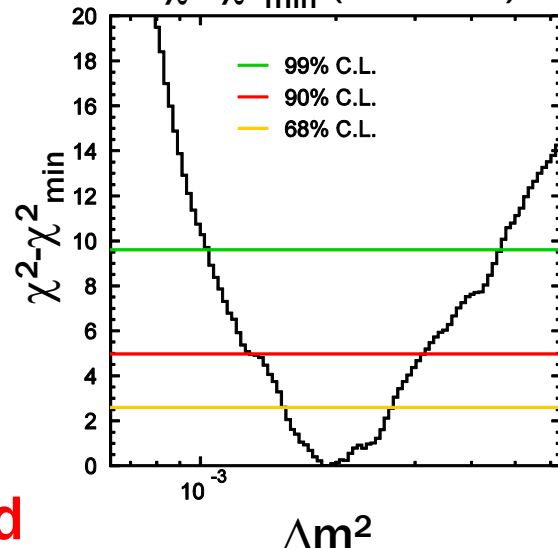


Best fit :  $\Delta m^2 = 1.95 \times 10^{-3} \text{eV}^2$   
 $n = -0.03$   
 $\chi^2 = 172.2/178 \text{ dof}$

$\chi^2 - \chi^2_{\min} (\Delta m^2 = 1.95 \times 10^{-3})$



$\chi^2 - \chi^2_{\min} (n = -0.03)$



**MaVaN type models tested are disfavored**

# L/E oscillation analysis

**Neutrino oscillation :**

$$\chi^2_{osc} = 83.9/82 \text{ d.o.f}$$

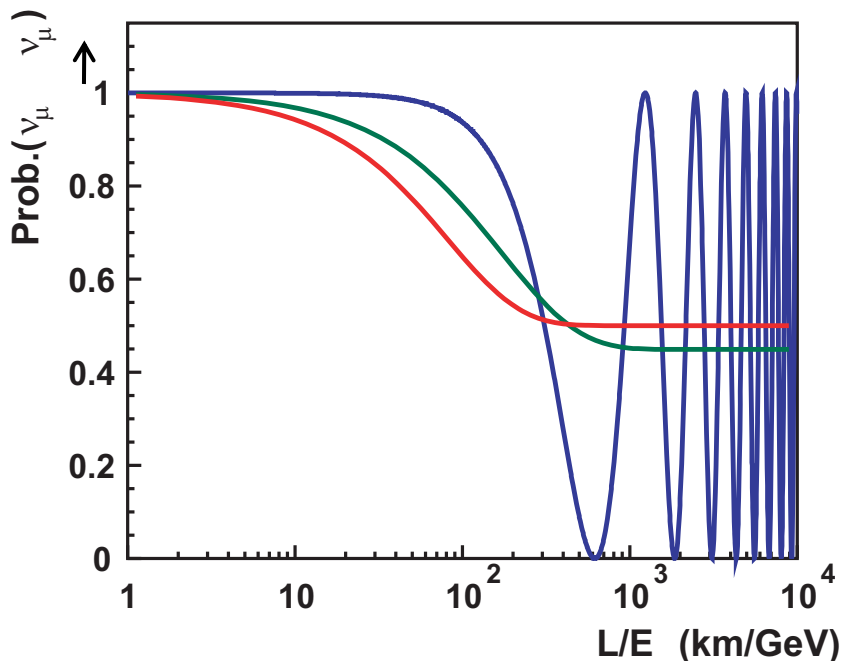
**Neutrino decay :**

$$\chi^2_{dcy} = 107.1/82 \text{ d.o.f}, \quad \Delta\chi^2 = 23.2 \text{ (} 4.8\sigma \text{)}$$

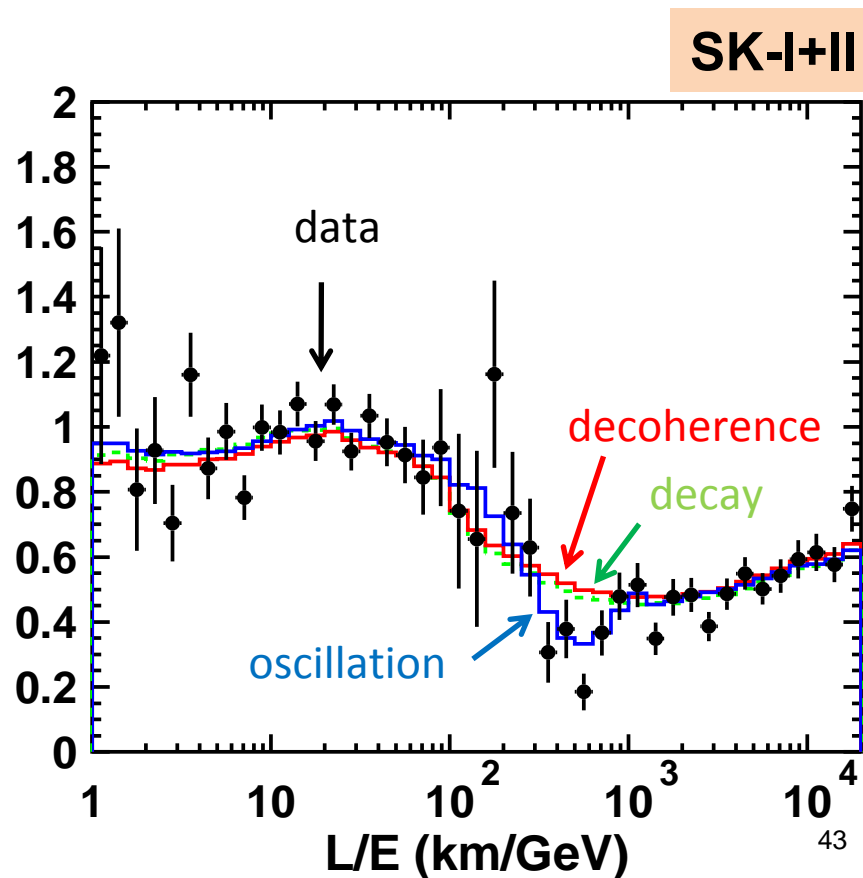
**Neutrino decoherence :**

$$\chi^2_{dec} = 112.5/82 \text{ d.o.f}, \quad \Delta\chi^2 = 27.6 \text{ (} 5.3\sigma \text{)}$$

survival probability

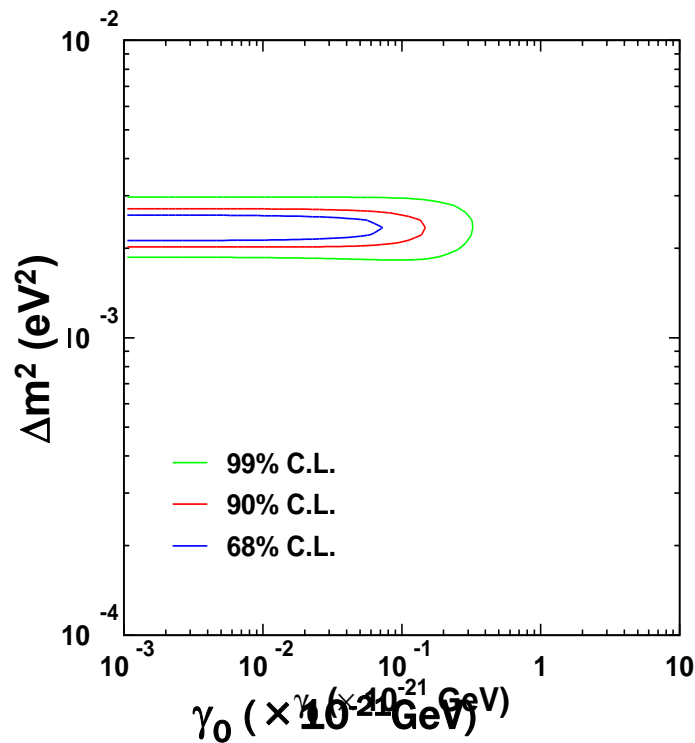


Data/Prediction (null oscillation)



**oscillation+decoherence**

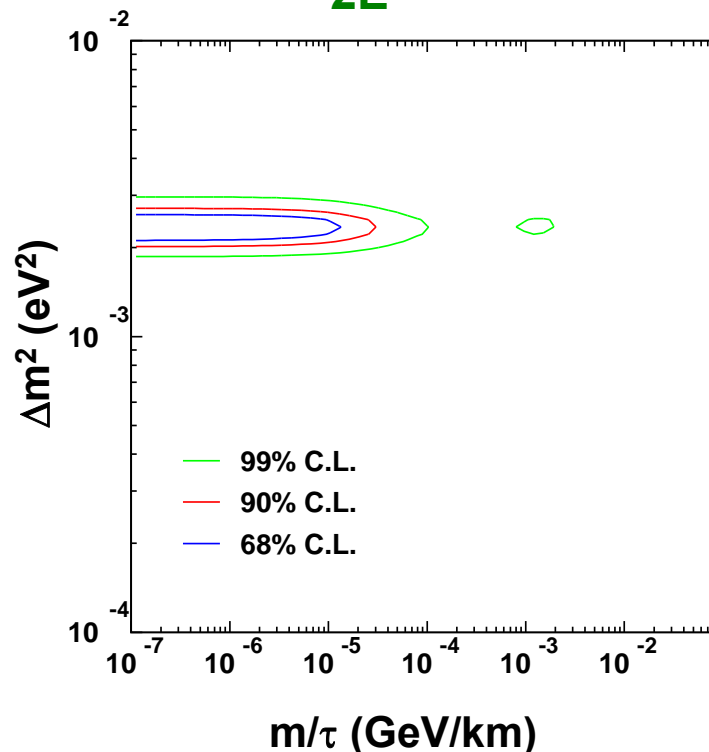
$$P_{\mu\mu} = 1 - \frac{1}{2} \sin^2 2\theta \times (1 - \exp(-\gamma_0 \frac{L}{E})) \times \cos(\frac{\Delta m^2 L}{2E})$$



$\chi^2_{\min} = 83.8/81$  d.o.f  
 ( $\gamma_0, \Delta m^2, \sin^2 2\theta$ ) = (0 GeV,  $2.4 \times 10^{-3}$  eV<sup>2</sup>, 1.0)  
 $\gamma_0 < 1.4 \times 10^{-22}$  GeV (90% C.L.)

**oscillation+decay**

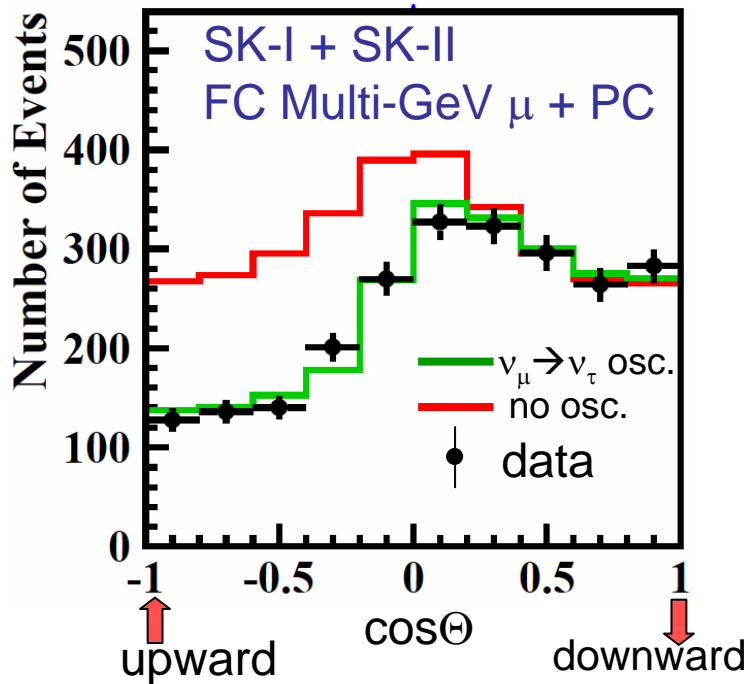
$$P_{\mu\mu} = \sin^4 \theta + \cos^4 \theta \times \exp(-\frac{m}{\tau} \frac{L}{E}) + 2 \sin^2 \theta \cos^2 \theta \times \exp(-\frac{mL}{2\tau E}) \times \cos(\frac{\Delta m^2 L}{2E})$$



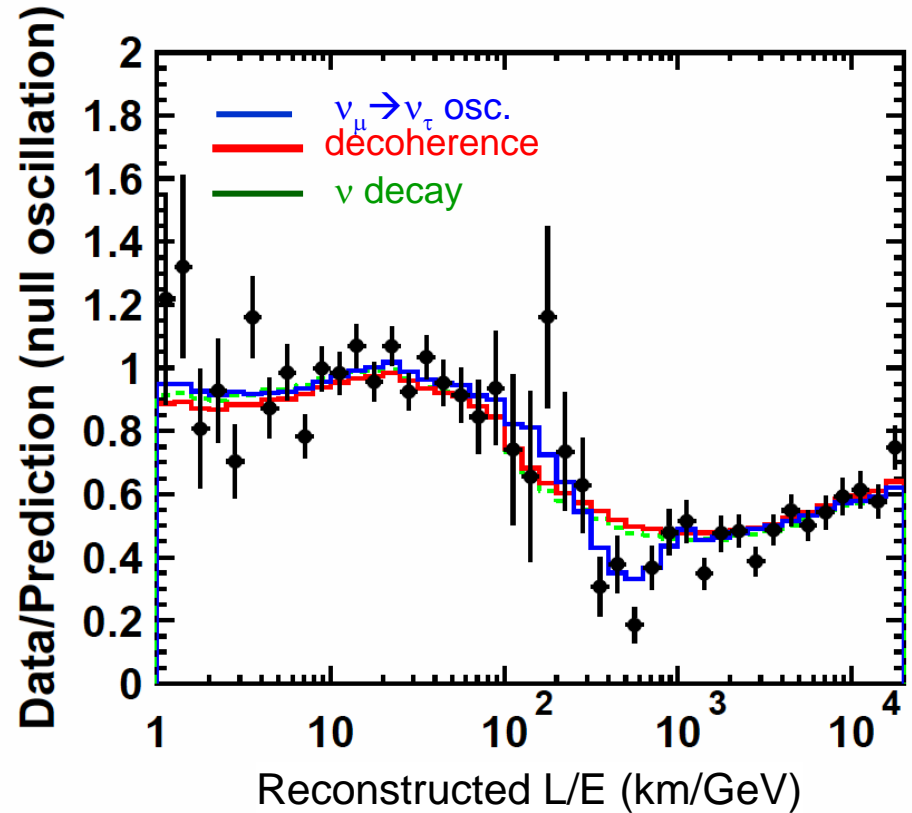
$\chi^2_{\min} = 83.8/81$  d.o.f  
 ( $m/\tau, \Delta m^2, \cos^2 \theta$ ) = (0 GeV/km,  $2.4 \times 10^{-3}$  eV<sup>2</sup>, 0.5)  
 $m/\tau < 3.2 \times 10^{-5}$  GeV/km (90% C.L.)

# New physics on Neutrinos using atmospheric neutrinos

Observed deficit of atmospheric muon neutrinos is well explained by Neutrino oscillation due to neutrino mass differences.



New physics can be explain the observed deficit pattern ?



$\nu_{\mu} \rightarrow \nu_{\tau}$  osc. :  $\chi^2_{\min} = 83.9/83$  dof  
 decoherence :  $\chi^2_{\min} = 112.5/83$  dof,  $\Delta\chi^2=23.2(5.3\sigma)$   
 $\nu$  decay :  $\chi^2_{\min} = 107.1/83$  dof  $\Delta\chi^2=27.6(4.8\sigma)$

# Neutrino oscillations due to “New Physics”

Several classes of the theories predicts neutrino oscillation with a different energy dependence of the probability:

$$P(\nu_{\mu} \rightarrow \nu_{\mu}) = 1 - \sin^2 2\Theta \sin^2 (a \cdot L \cdot E^n)$$

$\Theta$ : mixing angle,  $L$ :  $\nu$  flight length,  $E$ :  $\nu$  Energy,  
 $a$ : oscillation parameter

## Models and $E\nu$ dependence:

$L$  ( $n=0$ ) : CPT violation

$LE$  ( $n=1$ ) : Lorentz inv. violation, Equiv. Principle violation

$L/E$  ( $n=-1$ ) : mass difference (“standard” picture)

“Standard” scenario is a most favored one.  
Pure CPT violation, LIV violation cannot explain the observed data.

