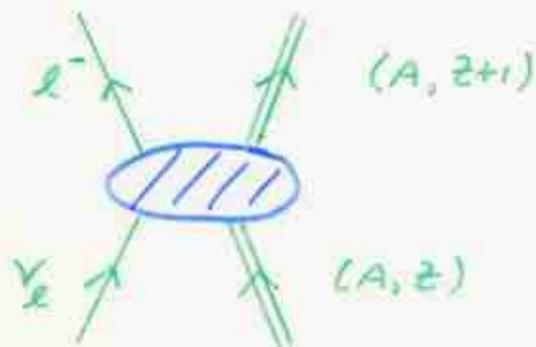


TMU  
JUNE 10  
1998

## THE NUCLEAR PHYSICS OF SOLAR AND SUPERNOVA NEUTRINO DETECTION

- nuclear responses to low energy neutrinos
- $^{37}\text{Cl}$  as an example
- supernova neutrinos
- CNO r-process & the Y-process

# review of neutrino-nucleus interaction



standard model at low energies  $\rightarrow$  effectively

$$H(x) = \frac{G_F}{\sqrt{2}} J_\mu(x) J^\mu(x)$$
$$\sim \frac{G^2}{M_W^2}$$

$$H \rightarrow \frac{G_F}{\sqrt{2}} \bar{u}(x) \gamma_\mu (1 - \gamma_5) u(x) \int e^{i(x_f - x_i) \cdot x} J^\mu(x)$$

$J^\mu(x)$  is nuclear current operator

$$\sim \sum_{i=1}^A j^\mu(x_i) + \text{corrections}$$

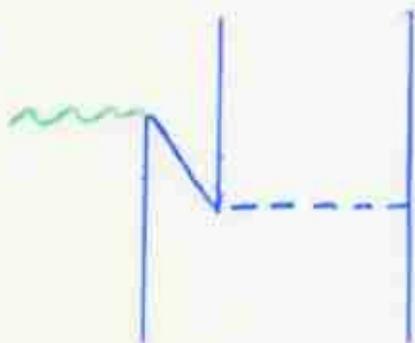
schematically

	$V$	$A$
charge	$e_i \delta(\vec{x} - \vec{x}_i) T_+(i)$	$g_A \delta(\vec{x} - \vec{x}_i) \vec{\sigma}_i \cdot \frac{\vec{p}_i}{M} T_+(i)$
3-current	$\frac{\vec{p}_i}{M} \delta(\vec{x} - \vec{x}_i) T_+(i)$	$\vec{\sigma}_i T_+(i)$

$$\Rightarrow \quad \begin{matrix} O(1) + O\left(\frac{v^2}{c^2}\right) & O\left(\frac{v}{c}\right) + O\left(\frac{v}{c}\right) \\ O\left(\frac{v}{c}\right) + O\left(\frac{v}{c}\right) & O(1) + O\left(\frac{v^2}{c^2}\right) \end{matrix}$$

but constrained  
by current conservation

where the "corrections" are contributions due to two-body currents, e.g.



$\Rightarrow$  lots of work in nuclear physics to do low energy nuclear physics rigorous in language of effective field theories: systematic treatments of relativity, many-body currents, ... P/A

long wave length response of nuclei

$$e^{i(\vec{k}_f - \vec{k}_i) \cdot \vec{x}} \sim 1$$

$$\Rightarrow |\vec{k}_f - \vec{k}_i| \ll \frac{1}{R_{\text{nucleus}}}$$

$$\sim \perp \quad (192 \text{ MeV} - f)$$

few fermis

$$\sim 40 \text{ MeV}$$

non-relativistic limit  $\frac{v}{c} \mid \text{nucleons in nucleus} \sim 0.1 \sim 0$

$\Rightarrow$  only two operators remain

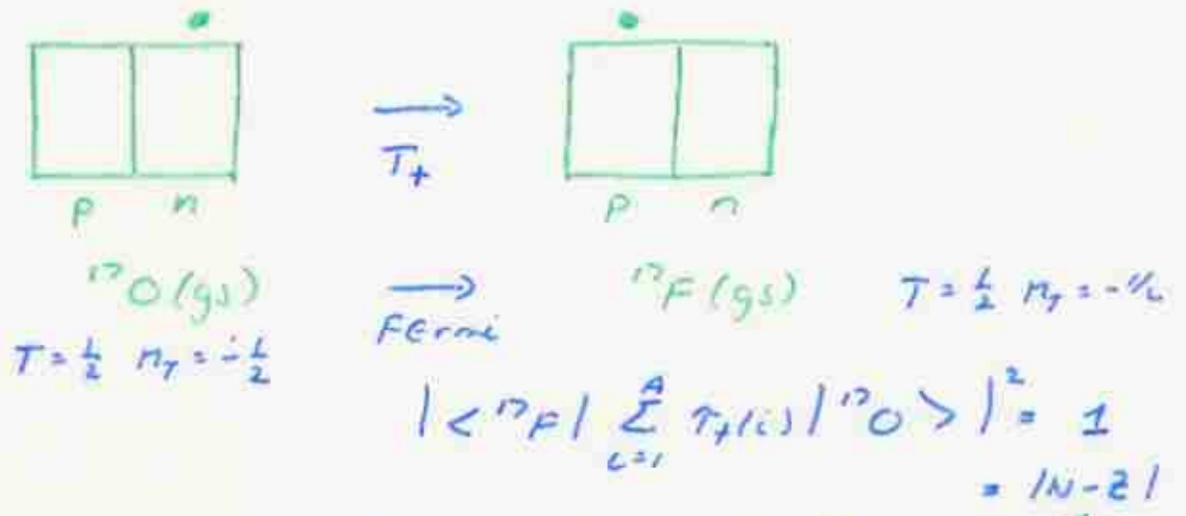
Fermi operator  $\sum_{i=1}^A \tau_+(i) = T_+$   $(J_0^{\text{vector}})$

Gandhi-Teller operator  $\sum_{i=1}^A \vec{\sigma}(i) \tau_+(i)$   $(\vec{J}^{\text{axial}})$

$\Rightarrow$  control response of  
detectors to solar neutrinos  
 $E_\nu \lesssim 15 \text{ MeV}$

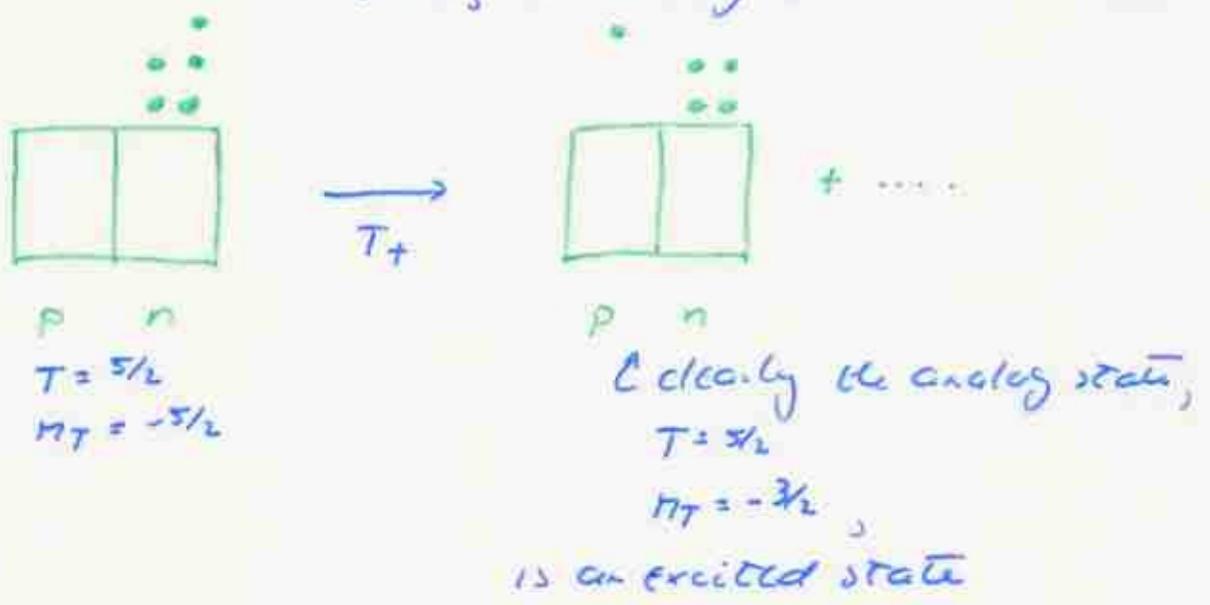
Isospin a good approximate symmetry of the nucleus  $\Rightarrow$   
 Fermi operator can be evaluated independent  
 of any details of nucleon wave functions

e.g.



sum rule exhausted by one state, the analog state  $\uparrow$  sum rule

e.g.



g.s. has  $T = \frac{3}{2}$   $M_T = -\frac{3}{2}$

Gamow-Teller operator much more complicated

- $g_A \sum_{L=1}^A \sigma(i) \tau_{\pm}(i)$  can connect parent nucleus ground state to many states in final nucleus

GT resonance  $\langle E_{ex} \rangle \sim 15 \text{ MeV}$

- satisfies Ikeda sum rule

$$\sum_f \left( \left| \langle f | \sum_{L=1}^A \sigma(i) \tau_{+}(i) | i \rangle \right|^2 - \left| \langle f | \sum_{L=1}^A \sigma(i) \tau_{-}(i) | i \rangle \right|^2 \right) = 3(N-Z)$$

$\sim (\nu_e, e^-) - (\bar{\nu}_e, e^+)$  response constrained

↑ often very small:  
blocked by neutron excess

⇒ generally need to find some way to measure or accurately calculate this for neutrino detectors

Supernova neutrinos  $\langle E_{\text{HEAVY FLAVOR}} \rangle \sim 25 \text{ MeV}$   
(0-80 MeV)

$\Rightarrow$  momentum transfer to nucleus  
is sufficiently high to begin to  
see radial profile of nucleus,  
not just total spin, isospin

e.g.  $e^{i\vec{k}\cdot\vec{x}} \sim 1 + i\vec{k}\cdot\vec{x}$

$\Rightarrow$  get a series of operators

$$\sum_{i=1}^A \vec{x}_i T_{+}(i)$$
$$\sum_{i=1}^A [\vec{x}_i \otimes \sigma(i)]_{J=0,1,2} T_{+}(i)$$

and include  $1/c$  corrections

$$\Rightarrow \sum_{i=1}^A \vec{\sigma}_i \cdot \frac{\vec{\nabla}_i}{M} T_{+}(i) \quad \text{axial charge}$$

Together called first forbidden operators

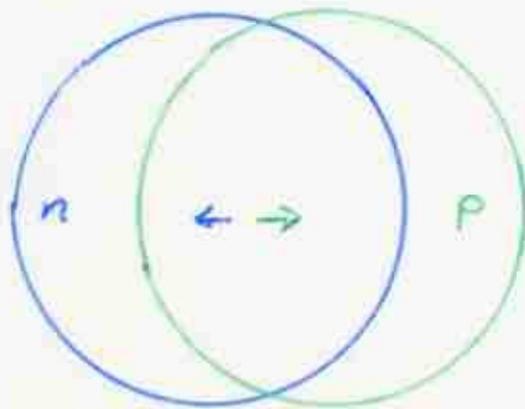
generate negative parity transition

e.g., consider analogous  $G=1$  operator of electroweak.

$$\sum_{i=1}^A \vec{x}(i) \tau_3(i)$$

↑ moves protons, neutrons in opposite direction

⇒ collective resonance



harmonic mode  
 $\Gamma \omega \sim 20 \text{ MeV}$   
 ↑  
 determined by the "spring constant" - calculable from the nuclear symmetry energy

+ various axial generalizations

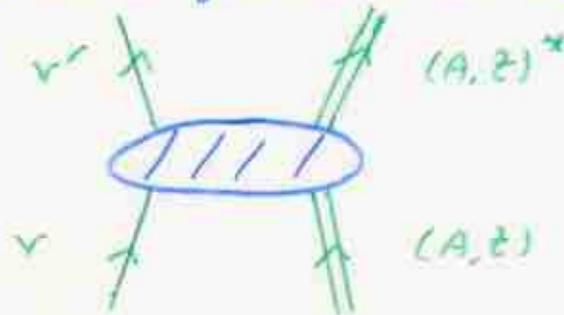
$$n \uparrow p \downarrow \longleftrightarrow n \downarrow p \uparrow$$

- related in spin-isospin  $SU(4)$  models
- satisfy TRK sum rule & spin generalization

$$\sum_f |\langle f | \sum_{i=1}^A x(i) \tau_+(i) | i \rangle|^2 \sim \frac{N^2}{A} \sim \frac{A}{4}$$

- highly collective

- could continue same game with neutral currents



essentially same except

- no analog of Fermi operator for inelastic scattering  
(coherent scattering only)
- threelevel oxid current is purely isovector

$$\Rightarrow G_{T_{NC}} \sim \sum_{\alpha=1}^A \bar{\sigma}_\alpha(i) \tau_\alpha(i)$$

- could continue with higher multipoles, etc.  $\Rightarrow$   
Fermi GW response

examples of nuclear-physics issues: solar & supernova  
neutrino physics

### solar neutrinos

- $p+p \rightarrow D + e^+ + \nu_e$  must be calculated, including two-body current ( $\sim 2\%$ ) ...
- what is the cross section for Cl detector? Ga detector?
- 6% of all solar neutrino reactions in SuperK are off trace quantities of  $^{180}$  (abundance 0.2%) Is this a problem? (HW)

### supernova mechanism

- how does a core collapse supernova produce a neutron star?
  - electron capture on nuclei  $\leftrightarrow$
  - neutrino production and trapping  $\leftrightarrow$
  - nuclear excitations and the entropy

## Solar Fusion Cross Sections

Eric G. Adelberger, Sam M. Austin, John N. Bahcall, A. B. Balantekin, Gilles Bogaert, Lowell S. Brown, Lothar Buchmann, F. Edward Cecil, Arthur E. Champagne, Ludwig de Braeckelee, Charles A. Duba, Steven R. Elliott, Stuart J. Freedman, Moshe Gai, G. Goldring, Christopher R. Gould, Andrei Gruzinov, Wick C. Haxton, Karsten M. Heeger, Ernest Henley, Calvin W. Johnson, Marc Kamionkowski, Ralph W. Kavanagh, Steven E. Koonin, Kuniharu Kubodera, Karlheinz Langanke, Tohru Motobayashi, Vijay Pandharipande, Peter Parker, R. G. H. Robertson, Claus Rolfs, R. F. Sawyer, N. Shaviv, T. D. Shoppa, K. A. Snover, Erik Swanson, Robert E. Tribble, Sylvaine Turck-Chièze, John F. Wilkerson

astro-ph/9805121 (Rev. Mod. Phys., October 1998)

Two recommendations:

$$S_{17}(0) = 22.4 \text{ eV b} \rightarrow 19_{-2}^{+4} \text{ eV b}, 1\sigma$$

$$S_{34}(0) = \begin{cases} 0.507 \pm 0.016 \text{ nV b} \\ 0.572 \pm 0.026 \text{ nV b} \end{cases} \quad \begin{array}{l} \text{capture } \gamma \\ \text{activity} \end{array}$$

$$\rightarrow 0.53 \pm 0.05 \text{ nV b}$$

- neutrino reflecting of matter: delayed  
Shock mechanism
- neutrino generated convection

### supernova neutrino detection

- how  $^{16}\text{O}$  in Super-K provides a test of  $\nu_e \leftrightarrow \nu_\mu$  oscillation
- how do we experimentally determine the flux and flavor of the neutrinos emitted in the next supernova?

### nucleosynthesis

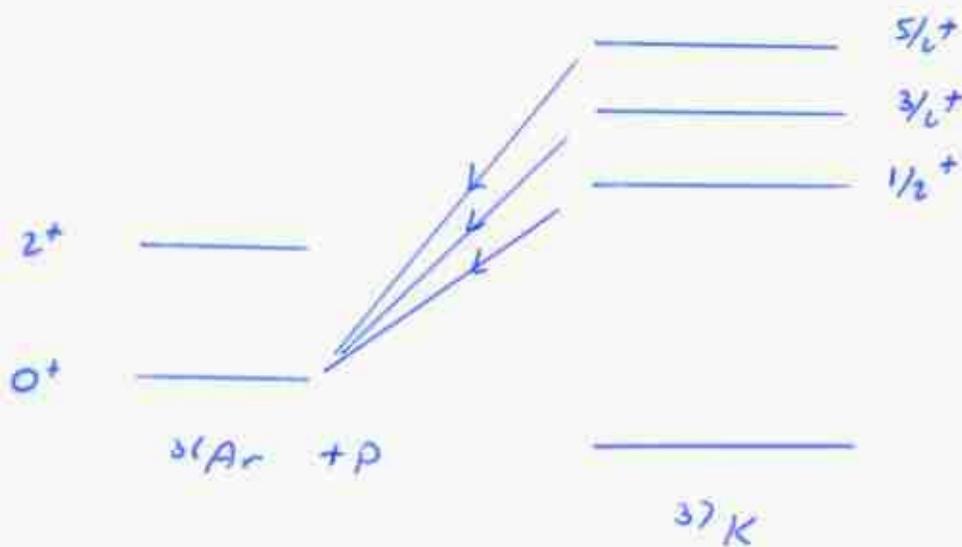
- origin of Li/Be/B  $\leftrightarrow$  HST measurements
- the origin of the heavy elements

# Detector cross section



delayed protons give strength and position of GT transition

model-dependent part of cross section





Garcia et al => ISOLDE p+ $\gamma$  coincidence  
~ 3% uncertainty in  $\sigma(^6\text{B})$

Technique could be applied to  $A=40$   
=> ICARUS

## NEUTRINO OBSERVATORIES

$T \gtrsim 30$  years

for supernova  $\nu_e \leftrightarrow \nu_\mu$

### • Super-K

- $E \bar{\nu}_e$

- $\nu_e + e^- \rightarrow \nu_e + e^-$  rate  $\propto L \nu_e$

$\Rightarrow$  NOT affected by oscillation

look for more "hard" events,  
but difficult...

- $\nu_e + {}^{16}\text{O} \rightarrow {}^{16}\text{F} + e^-$

$\Rightarrow$  modest back angle enhancement  
of  $e^+ + e^-$  events

### • Iodine $\nu_e$ flavor specific detector

- Ken Koude's 1 kiloton detector

$\gtrsim 100$  events for galactic supernova

- recent IUCF GT measurements

$$\frac{\sigma_{\text{oscillations}}}{\sigma_{\text{no oscillations}}} \sim 6.4 - 6.9$$

$\Rightarrow$  rate increase of  $\sim 2.9$

• NEUTRON SPALLATION DETECTORS (OMNIS, LAND)

- attractive experimentally because large volumes can be actively instrumented
- generally NOT well suited to  $\nu_e \leftrightarrow \nu_\tau$  studies: not flavor specific

charged + neutral current responses



spectrum slope,  $\bar{E}$  UNCERTAINTIES

difficult to disentangle

nice exception:  $n+n$  emission in Pb



first forbidden  $\sim 14$  MEV

G. Fuller  
with  
G. McLanglin

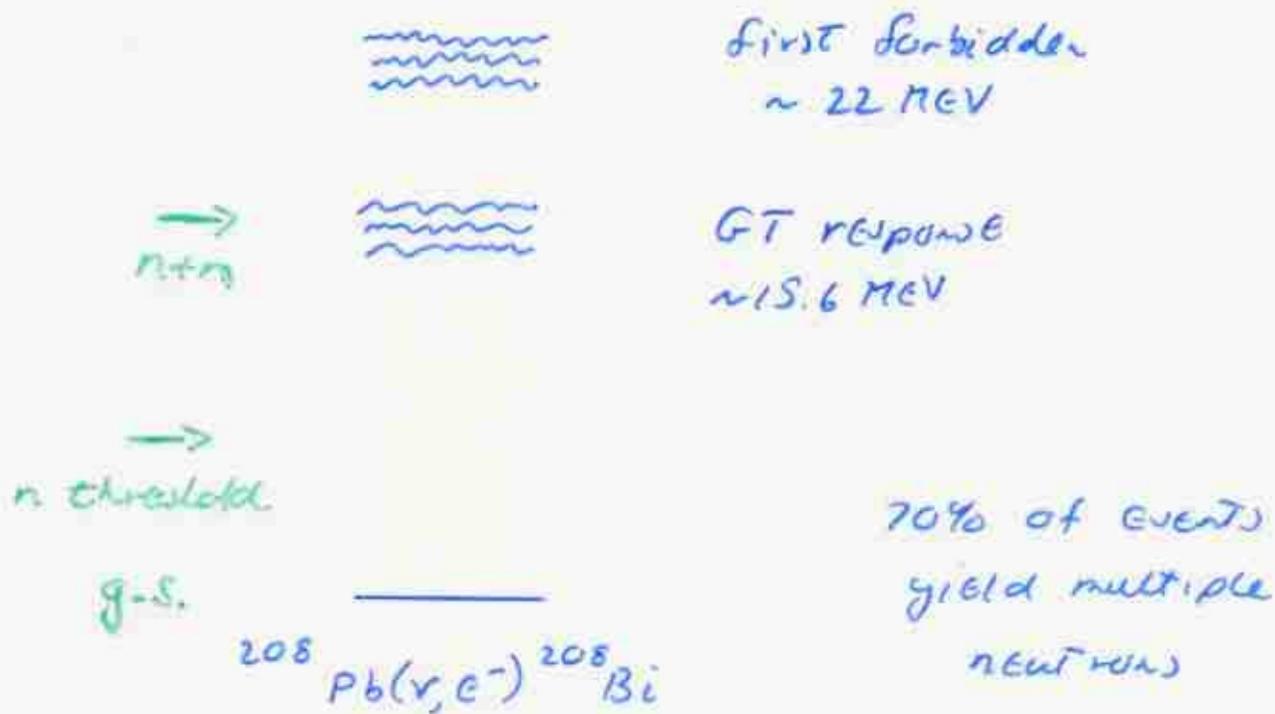


GT response  $\sim 7$  MEV

3% of neutral current events lead to  $n+n$

g.s. —————

Pb neutral current response



count multiple neutron events!

- nearly  $\nu_e$  flavor specific reactions
- very low effective threshold

$\Rightarrow \nu_e \leftrightarrow \nu_\tau$  oscillation increases multiple n rate by  $\times 40$

$$\sigma_{\nu_e} / \text{oscillation} = 3.95 \cdot 10^{-39} \text{ cm}^2$$

NEED TO START planning detection schemes

The r-process : site & neutrino physics implication

• recall big-bang  ${}^4\text{He}$  synthesis

• expanding, radiation-dominated, proton-rich gas



• freezeout occurs at  $T \sim 100 \text{ MeV} \sim 10^9 \text{ K}$



${}^4\text{He}/\text{H} \leftrightarrow n/p$  ratio at freezeout

• dilute nucleon gas  $\Rightarrow$  two-body reactions

this plus mass gaps at  $A = 5, 8$  terminate reaction chains



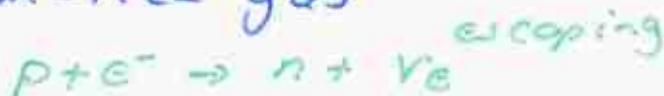
- exists a fossil record of this physics in present-day abundances of  $H$ ,  ${}^3\text{He}/d$ ,  ${}^4\text{He}$ ,  ${}^7\text{Li}$
- this + galactic chemical evolution studies  $\Rightarrow$   
     prordial abundances

$\Rightarrow$  constraints on important cosmological & particle physics parameters

$$\eta \equiv \frac{\#B}{\#p} \quad \# \nu \text{ generations}$$

- remarkably similar situation exists in Type II supernovae near mass cut

- expanding, radiation dominated, neutron-rich gas



- freezeout occurs at  $T \sim (300-100) \text{ keV}$   
 $\sim (3-1) \cdot 10^9 \text{ K}$

$n/p \leftrightarrow$  nucleosynthesis

- nucleon gas is less dilute  $\Rightarrow$  bridge  
mass gap at  $A=8$



$\Rightarrow$  SEEDS + EXCESS NEUTRONS

- will claim that there exists a fossil record of this synthesis in the distribution of r-process nuclei

this + HST results  $\Rightarrow$

know the "primordial" abundances

- $\Rightarrow$  constraints on important astrophysical and particle physics parameters

galactic chemical evolution  $\Leftrightarrow$   
supernova rate

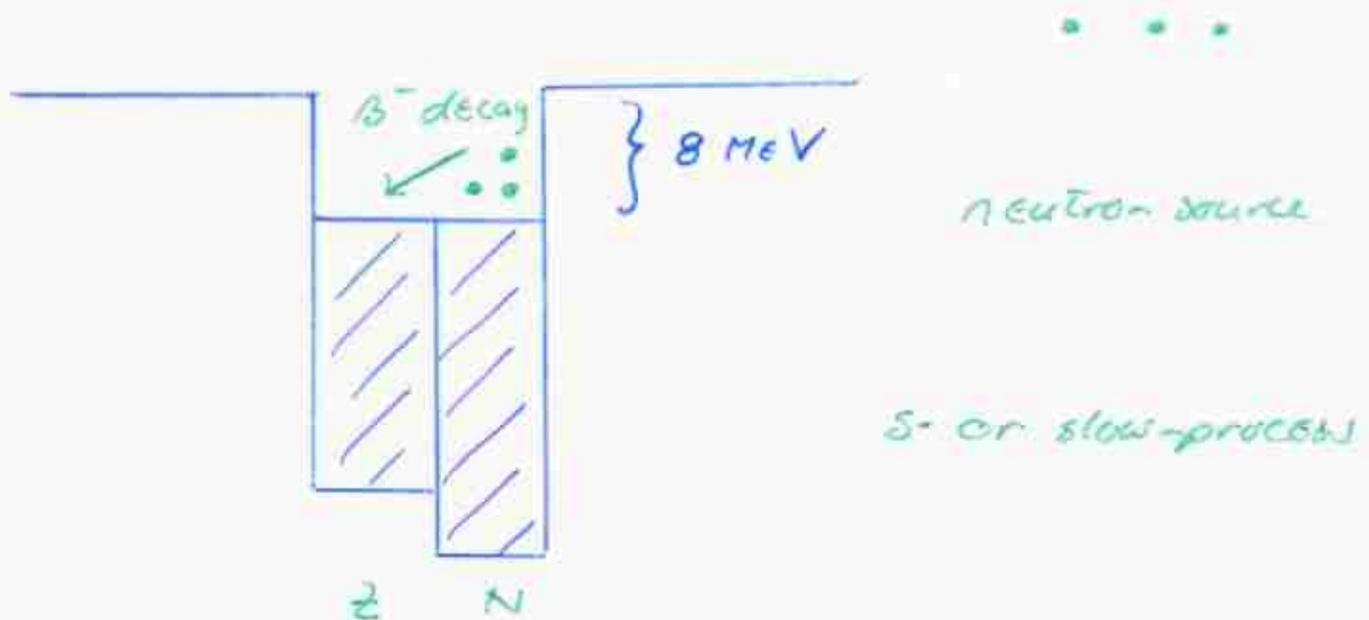
mass of  $M_{\text{VT}}$  in a cosmologically interesting window

new: detailed link between Type II supernovae  $\Leftrightarrow$  r-process

What is the r-process?

consider stellar environment where neutrons are present with nuclei

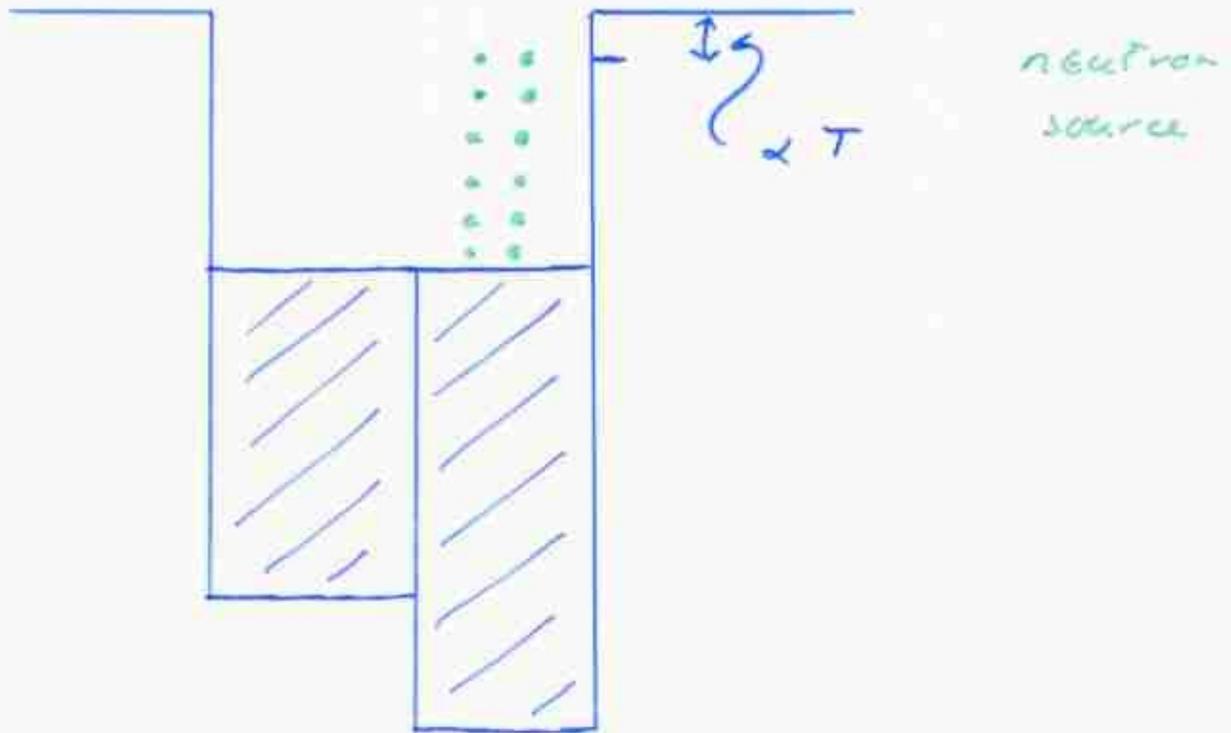
usual case:



- neutron capture slow compared to nuclear  $\beta$ -decay  $\Rightarrow$
- weak interactions maintain Z-N equilibrium  $\Rightarrow$
- nucleosynthesis rate  $\propto$  n capture rate
- nucleosynthesis path is along the path of stable nuclei

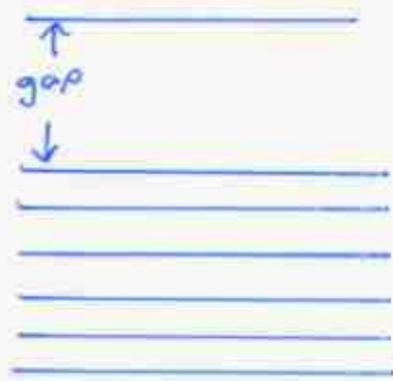
more exotic case: r-process

$n, \nu$  nuclei in a thermal bath

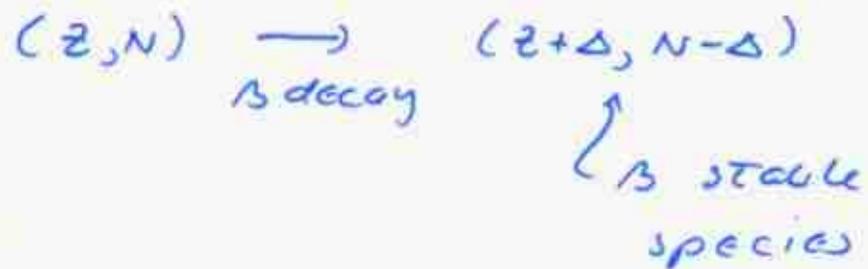


- neutron capture fast compared to  $\beta$ -decay
- the equilibrium maintained is  $(n, \nu) \leftrightarrow (\nu, n)$
- the nucleosynthesis rate  $\propto \beta$  decay rate
- the nucleosynthesis path is along very exotic neutron rich nuclei
- abundance  $A(Z, N) \propto \{W_{\beta}(Z, N)\}^{-1}$



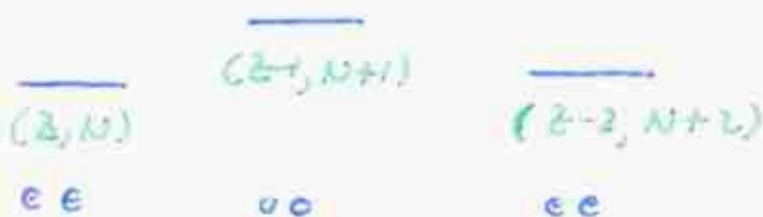
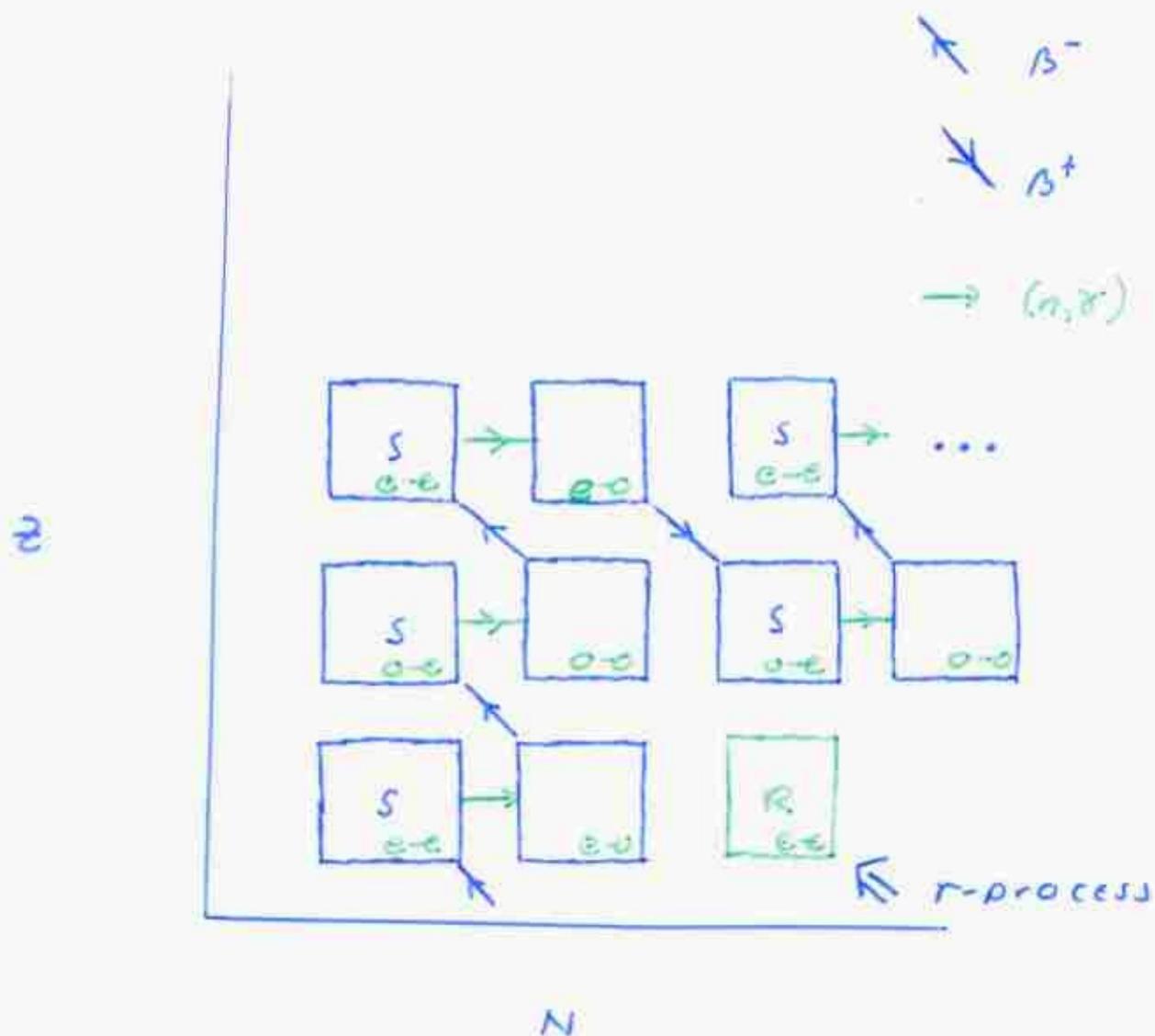


- neutron exposure ends or neutrons are exhausted



- about half of all nuclei  $Z > 80$  can only be made in this way
- shell gaps and waiting points

# Typical S-process path



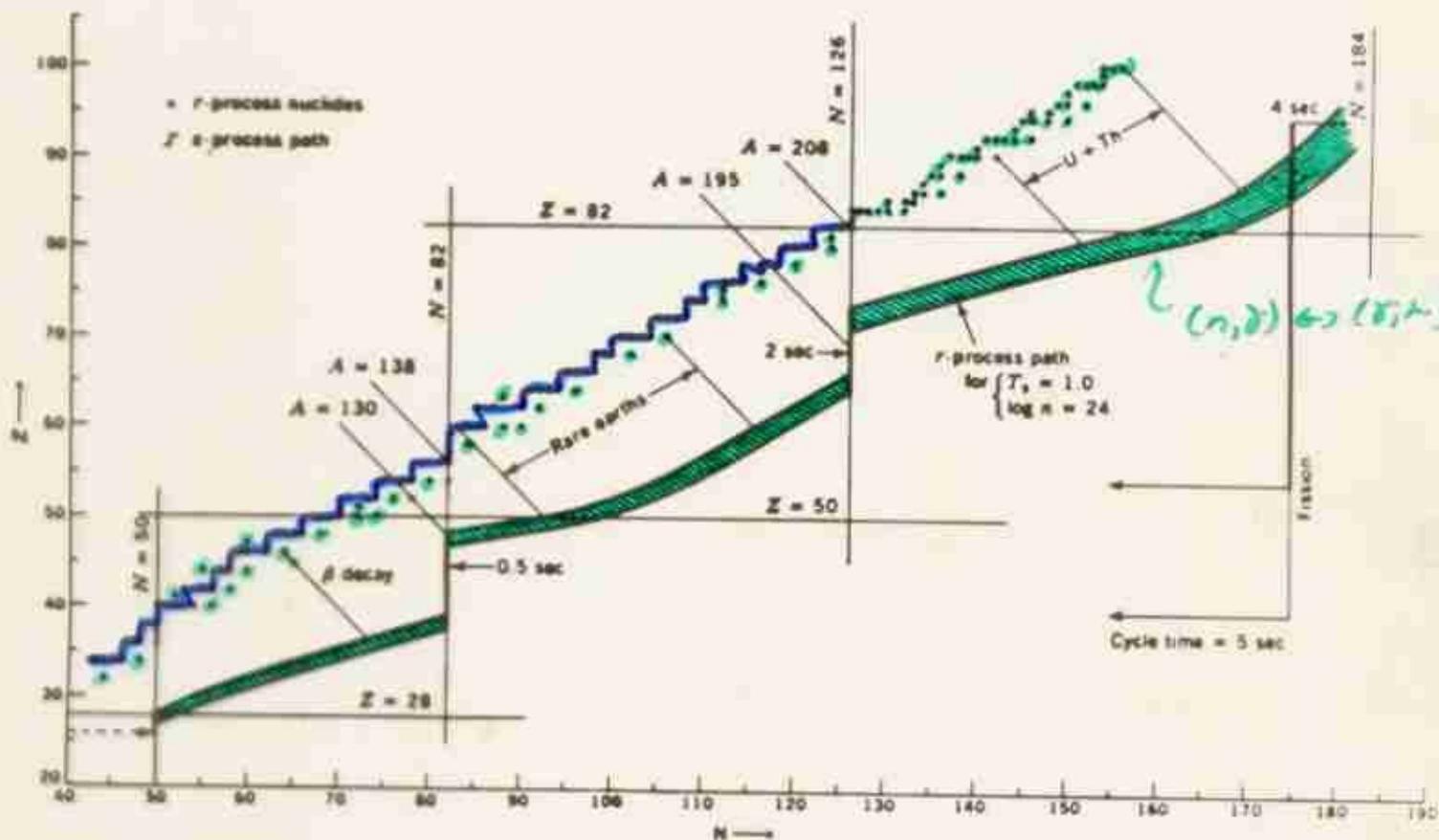


Fig. 7-28 Neutron-capture paths for the s process and the r process. The s process follows a path in the  $NZ$  plane along the line of beta stability. The neutron-rich progenitors to the stable r-process nuclei, which are here shown as small circles, are formed in a band in the neutron-rich area of the  $NZ$  plane, such as the shaded area shown here. This r-process path was calculated for the case  $T_9 = 1.0$  and  $\log n_0 = 24$ . After the synthesizing event the nuclei in this band beta-decay to the stable r-process nuclei. The abundance peaks at  $A = 80, 130,$  and  $195$  are attributed to abundance peaks in the neutron-rich progenitors having  $N = 50, 82,$  and  $126$ . Neutron capture flows upward from the lower left-hand corner along the shaded band until neutron-induced fission occurs near  $A = 270$ . [P. A. Seeger, W. A. Fowler, and D. D. Clayton, *Astrophys. J. Suppl.*, 11:121 (1965). By permission of The University of Chicago Press. Copyright 1965 by The University of Chicago.]

s process

r process

- growing body of evidence favoring primary supernova site

1) HST discovery of very-metal-poor halo stars enriched in r-process material

C. Snedden et al.

- $[Fe/H] \sim (-1.7) - (-3.12)$

- abundance pattern matching solar r-process distribution

⇒ primary process, operating in early galaxy, producing a unique distribution/event

2) galactic chemical evolution models showing that the growth of r-process elements is consistent with low-mass Type II supernovae rate, distribution

Matthew & Cowan

3) more convincing modeling of an r-process occurring in the expanding neutron-rich matter near the mass cut in supernovae

Woosley et al.

- SUPERNOVA r-PROCESS

- massive star  $\sim 20 M_{\odot}$  evolves to form iron core
- undergoes nearly free-fall collapse, rebounding at  $\sim 5 \cdot 10^{14} \text{ g/cm}^3$

shock wave  
+  
neutrino wind  $\Rightarrow$  mantle ejection

- conditions near mass cut

- expanding, high entropy, n-rich gas

- He synthesis, then s-process to moderately heavy Z

- result in a neutron/seed ratio  $\sim 100$

- resulting r-process material driven off by neutrino wind

## astrophysics

- r-process requires spectacularly explosive conditions

$$\rho_n \gtrsim 10^{20} \text{ cm}^{-3}$$

$$T \gtrsim (1-3) \cdot 10^9 \text{ K} \quad \begin{array}{l} \text{known for} \\ \sim 40 \text{ years} \end{array}$$

$$\tau \sim 1 \text{ sec}$$

- suggested primary sites

- neutronized atmosphere above  
proton-neutron star  
in Type II supernova  $\Leftarrow$

- neutron-rich jets from supernovae  
or neutron star mergers

- inhomogeneous big bangs

⋮

- secondary sites (where  $\rho_n$  can be lower)

- He/C zones in Type II supernovae
- red giant He flash

- ⇒
- reasonable r-process distribution
  - $\sim 10^{-5} M_{\odot}$  of material ejected : correct
- ⇒
- worried about the required light entropies ( $5 \times 300$ )

### characteristics

- r-process T:  $3 \cdot 10^9 \text{ K} \rightarrow 1 \cdot 10^9 \text{ K}$
- FREEZEOUT radius 600-1000 km
- $L_{\nu} \sim (0.015 - 0.005) \cdot 10^{51} \text{ ergs} / (100 \text{ km})^2 \text{ s}$
- $\tau \sim 3 \text{ SEC}$

### ⇒ neutrino fluxes after freezeout

$$[0.045 - 0.015] \cdot 10^{51} \text{ ergs} / (100 \text{ km})^2$$

- ∴ The ejection of r-process material is predicted to occur in an intense neutrino flux

- Supernova neutrinos

- infall: when  $\rho \gtrsim 10^{12} \text{ g/cm}^3$ ,

$$\tau_{\nu}^{\text{DIFFUSION}} > \tau^{\text{COLLAPSE}}$$

$\Rightarrow 3 \cdot 10^{53}$  ergs of gravitational energy trapped in the proton-neutron star

$$T_{\text{central}} \gtrsim 100 \text{ MeV}$$

- after core bounce, neutrinos random walk out of neutron star



$\Rightarrow$  flavor equilibrium

$\Rightarrow$  equipartition of energy / flavor

- weak decoupling from matter flavor-dependent



decoupling  
at  
 $10^{12} \text{ g/cm}^3$

$\Rightarrow \nu_\mu, \nu_\tau, \bar{\nu}_\mu, \bar{\nu}_\tau$  decouple at higher density,  
temperature

$T_{\nu_\mu, \nu_\tau} \sim 8 \text{ MeV}$
$T_{\bar{\nu}_e} \sim 4.5 \text{ MeV}$
$T_{\nu_e} \sim 3.5 \text{ MeV}$

$$\text{but } \bar{L}_e \sim \bar{L}_\mu \sim \bar{L}_\tau$$

- heavy-flavor neutrinos are responsible for novel nucleosynthesis

Woosley + WH  
Demazotskii et al.

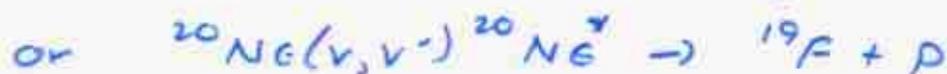
c.g.  $\nu + A \rightarrow \nu' + A^*$  cross section  $\sim 3 \cdot 10^{-41}$   
 $\text{cm}^2/\text{flavor}$   
 $(\nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau)$

flux in middle of Ne shell

$$\Phi = \frac{4 \cdot 10^{57} \nu/s}{4\pi (20,000 \text{ km})^2} \sim 10^{38} / \text{cm}^2$$

$\Rightarrow \frac{1}{300}$  of Ne nuclei excited by  $(\nu, \nu')$

$$\Delta E_{\text{excite}} \sim 20 \text{ MeV}$$



more careful modeling  $\Rightarrow$

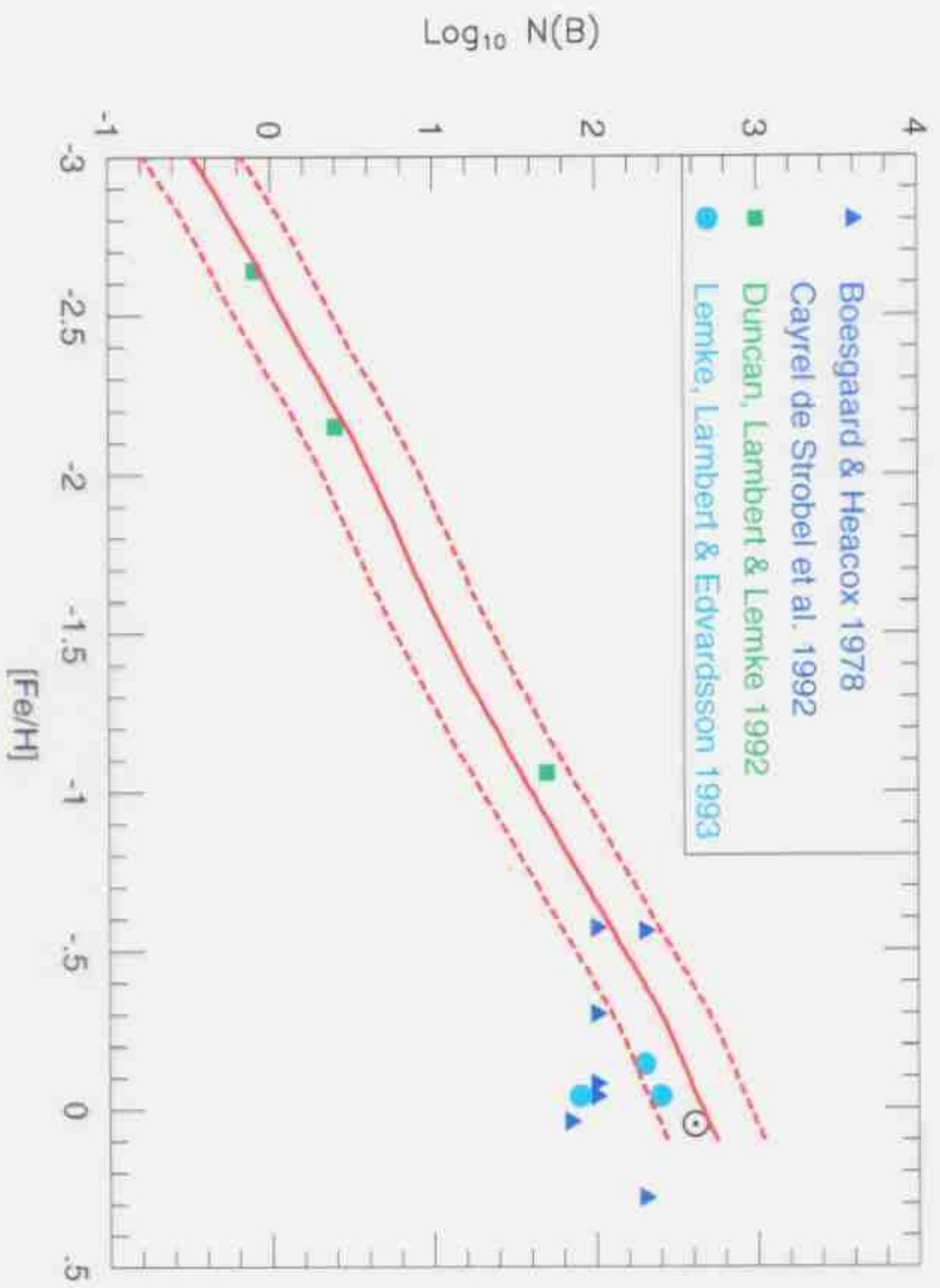
$$T_{\nu_\mu, \nu_\tau} = 8 \text{ MeV} \Rightarrow \left[ {}^{19}\text{F} / {}^{20}\text{Ne} \right]_{\text{produced}} =$$

$$1.2 \left[ {}^{19}\text{F} / {}^{20}\text{Ne} \right]_{\text{source}}$$

origin of F in our galaxy

"neutrino process"

from F. Ferraro



**POINT:** Nuclear processes occurring in the vicinity of proto neutron star can be altered in subtle but calculable ways by neutrino flux

**QUESTION:** If the r-process occurs so close to the proto neutron star, is there a neutrino "fingerprint" to prove this?

1) signatures during r-process will be erased



2) signatures after freezeout of r process?

wouldn't any signatures be buried in the noise of our incomplete understanding of the r-process?

If r-process freezes out in an intense neutrino  
fluence  $\Rightarrow$

neutrino interaction followed by  
emission of multiple neutrons

$\Rightarrow$  shifts distribution of r-process nuclei

Expect this to be a small effect

except for the new peaks and associated  
valleys

Can evaluate the effects independent of all  
the many hydrodynamic and nuclear physics  
uncertainties

W H,  
Gian,  
Lange,  
Vog!

work backward, from observed  
solar r-process abundances to  
time of freezeout by unfolding  
neutrino physics

$\Rightarrow$  8 special isotopes, coordinately  
sensitive to neutrinos

124-126

183-187

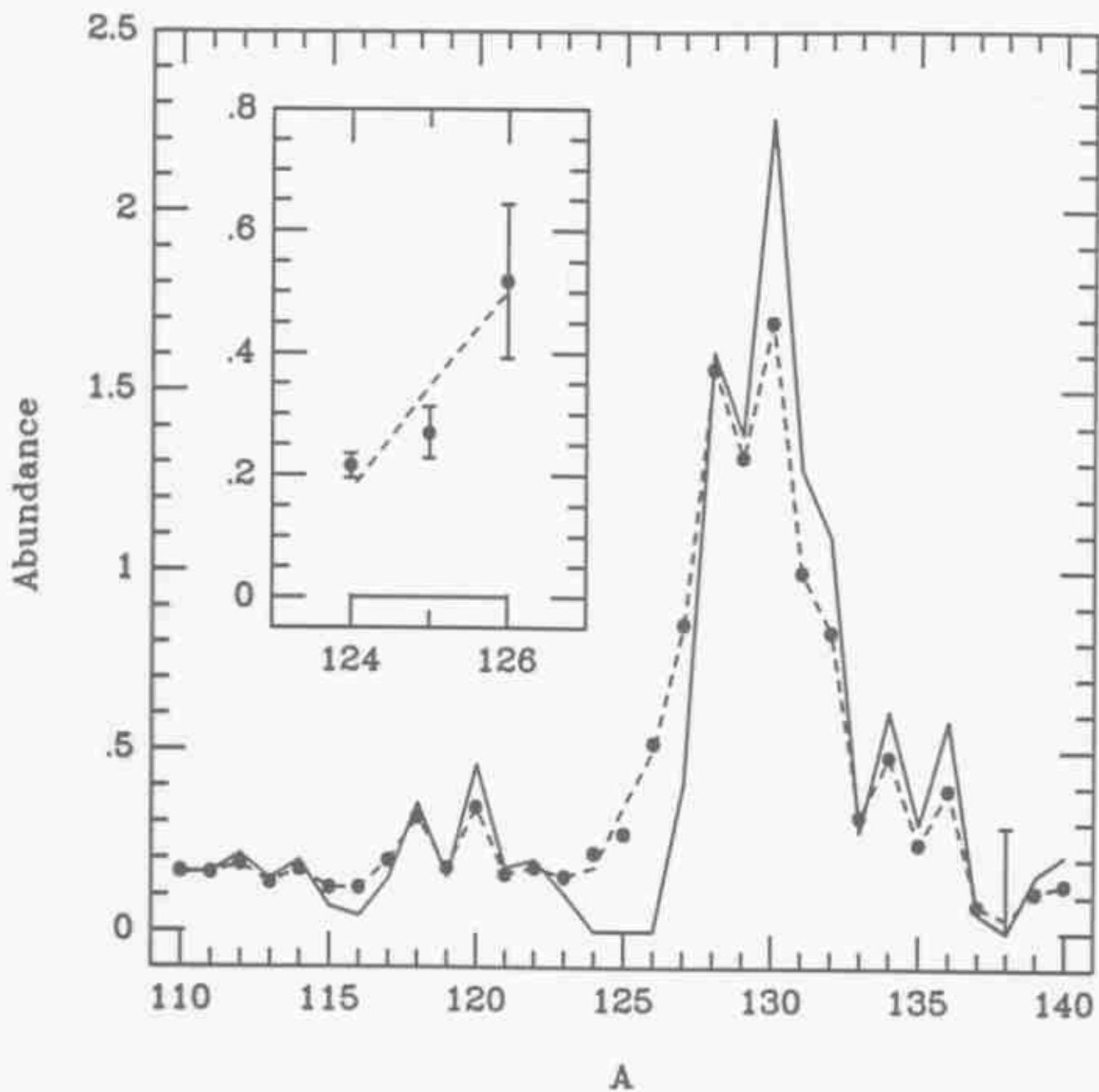


Fig. 2

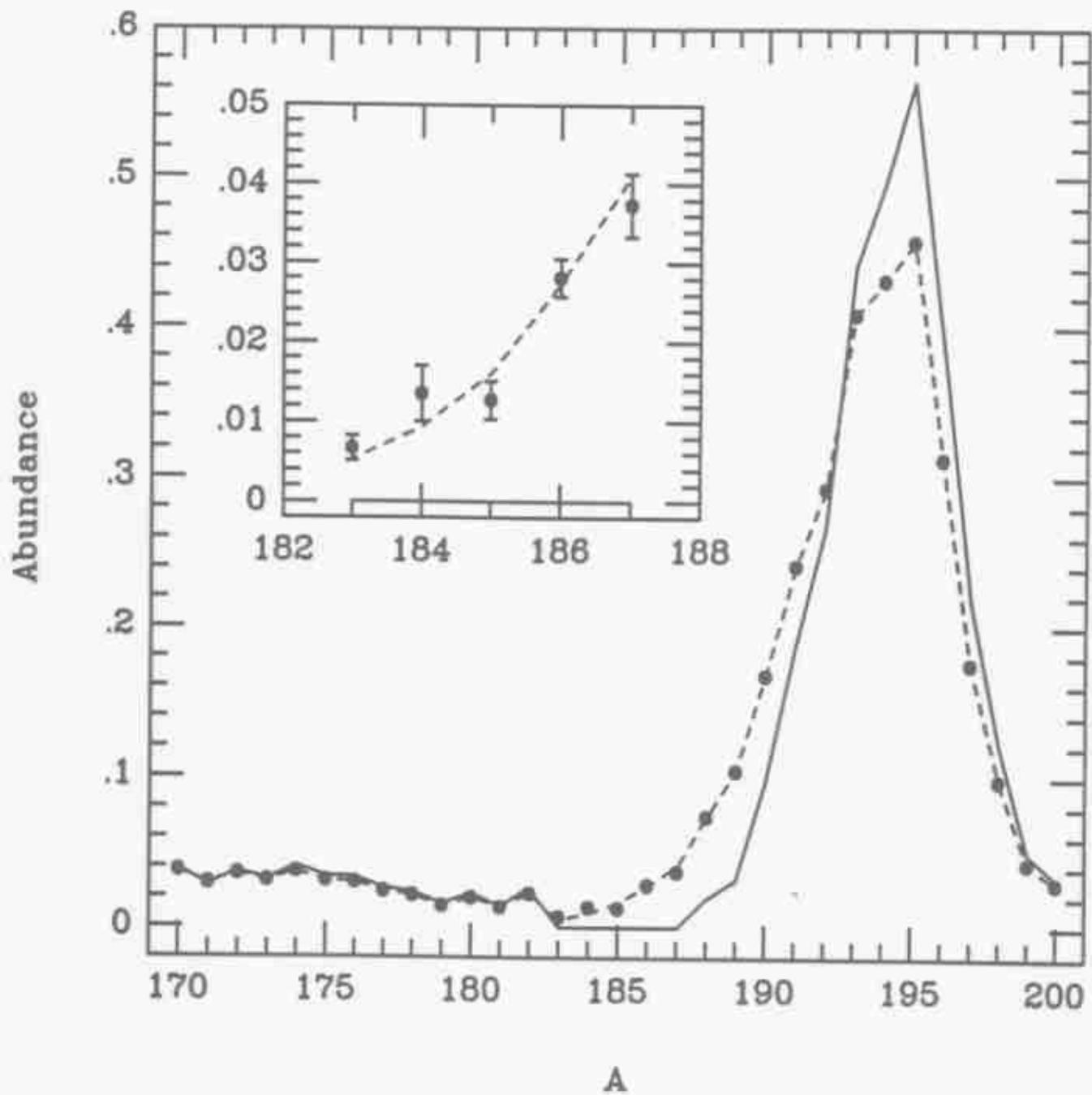


Fig. 3

⊙ oscillations of supernova neutrinos

solar neutrinos:  $\nu_e \rightarrow \nu_{\mu}$   
↑ but could also be  $\nu_{\tau}$

implicitly assumed  $\nu_e \sim \nu_1$   $m_1 < m_2$   
 $\nu_{\mu} \sim \nu_2$

$\nu_e$  became heavier in matter

⇒ crossing

supernovae:  $\nu_e, \nu_{\mu}, \nu_{\tau}$   $\bar{\nu}_e, \bar{\nu}_{\mu}, \bar{\nu}_{\tau}$

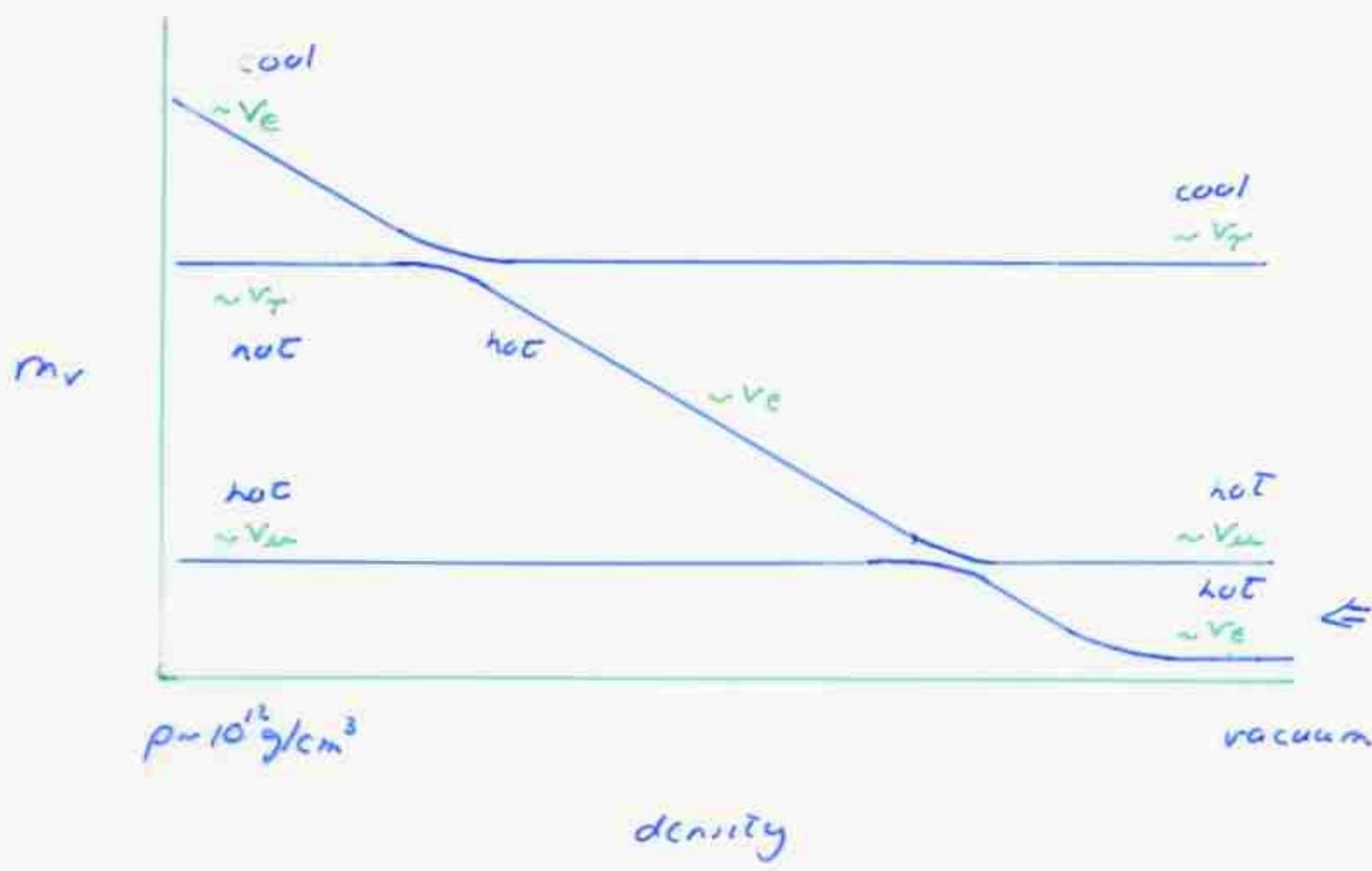
assume again  $m_1 < m_2 < m_3$

sign of effective interaction flips for  
antineutrinos

⇒  $\bar{\nu}_e$  becomes even lighter in matter

⇒ no crossing

but neutrinos?



cosmologically interesting  $\nu_{\tau\mu}$   
 have level crossings outside  
 neutrinosphere

But

$$T_{\nu_e, \nu_\tau} \sim 8 \text{ MeV}$$

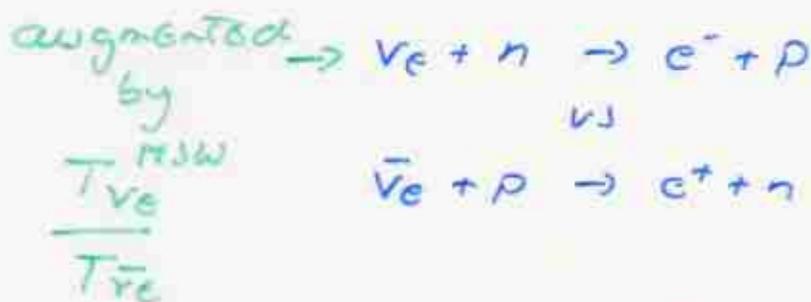
$$T_{\bar{\nu}_e} \sim 5 \text{ MeV}$$

$$T_{\nu_e} \sim 4 \text{ MeV}$$

without  
oscillations

$$\Rightarrow \boxed{T_{\nu_e}^{\text{MSW}} \sim 8 \text{ MeV} \gg T_{\bar{\nu}_e}}$$

$\therefore$  in the presence of oscillations  $\left\{ \begin{array}{l} \sigma \propto T^2 \\ \text{flux} \cdot T = \text{const} \\ = L \end{array} \right.$

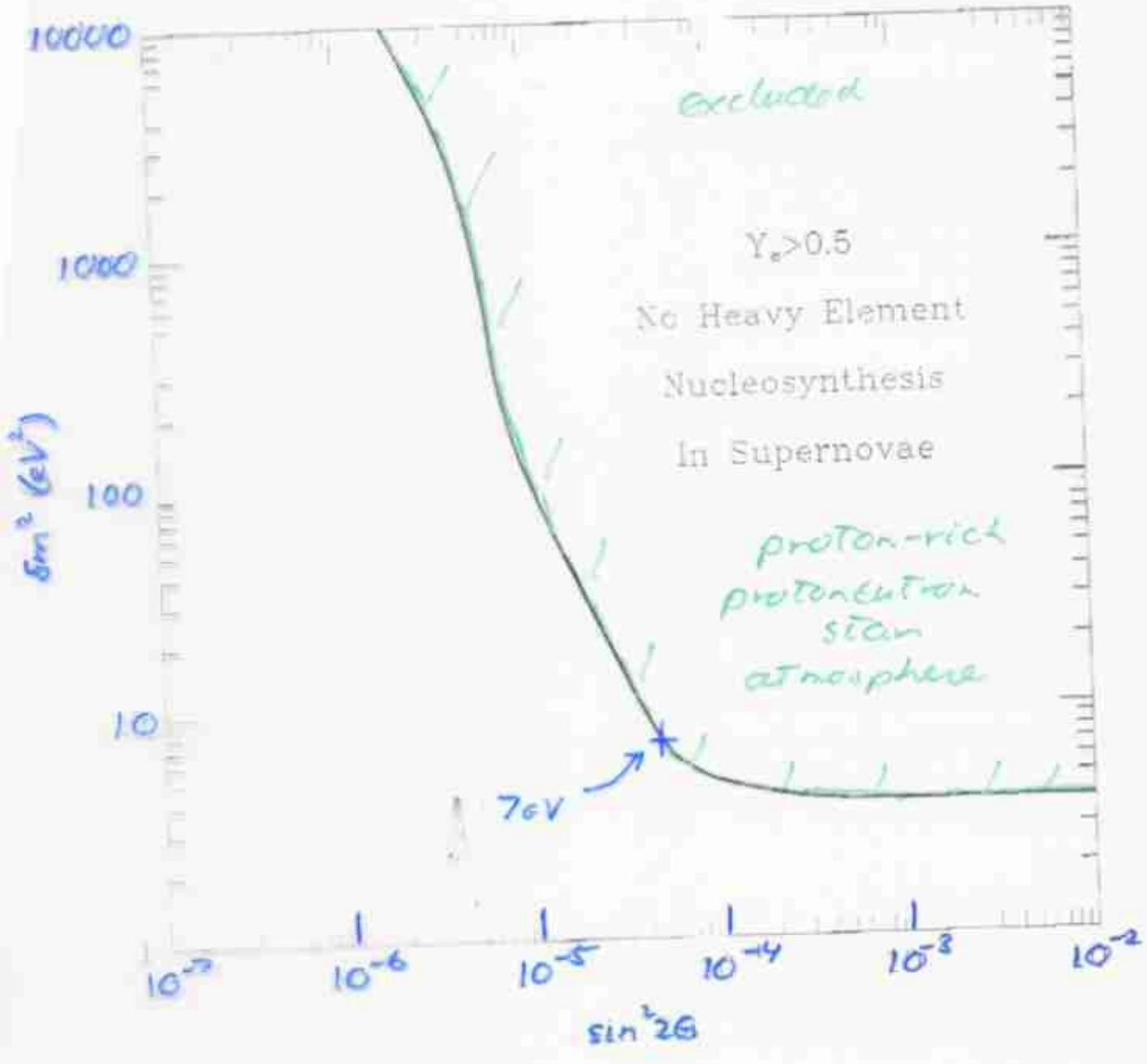


} matter outside  
 neutrinosphere  
 is driven proton  
 rich

a cosmologically interesting  $\nu_\tau$   
 and  
 a supernova r-process

likely cannot both happen

Fuller + Qian



Leads to lots of interesting possibilities

• Supernova r-process  $\Rightarrow$

•  $m_{\nu_\tau}$  small  $\approx$  few eV

•  $\theta_{e\tau}$  small  $\approx$  0.003

↳ not implausible

$$0.002 < V_{ub} < 0.007$$

$$0.003 < V_{td} < 0.018$$

but not good news experimentally  
for terrestrial  $\nu_e \rightarrow ?$  disappearance  
experiments

• r-process occurs elsewhere ...