

# **Phenomenology of neutrinos for current and future experiments**

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# 1. Introduction

## Framework of 3 flavor $\nu$ oscillation

Mixing matrix

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Functions of mixing angles  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$ , and CP phase  $\delta$

## Information we have obtained so far:

$\nu_{\text{solar}}$  + KamLAND (reactor)

$$\theta_{12} \cong \frac{\pi}{6}, \Delta m_{21}^2 \cong 8 \times 10^{-5} \text{ eV}^2$$

$\nu_{\text{atm}}$  + K2K, MINOS (accelerators)

$$\theta_{23} \cong \frac{\pi}{4}, |\Delta m_{32}^2| \cong 2.5 \times 10^{-3} \text{ eV}^2$$

CHOOZ (reactor)

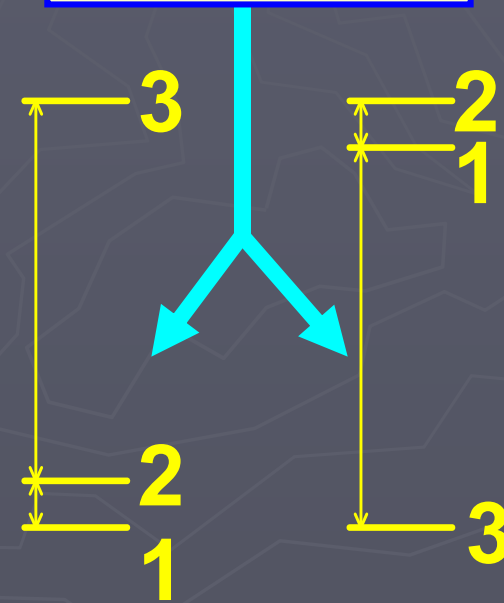
$$|\theta_{13}| \leq \sqrt{0.15/2}$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \cong \begin{pmatrix} \mathbf{c}_{12} & \mathbf{s}_{12} & \boldsymbol{\varepsilon} \\ -\mathbf{s}_{12}/\sqrt{2} & \mathbf{c}_{12}/\sqrt{2} & 1/\sqrt{2} \\ \mathbf{s}_{12}/\sqrt{2} & -\mathbf{c}_{12}/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$$

- $\theta_{13}$ : only upper bound is known
- $\delta$ : undetermined

Next task is to measure  $\theta_{13}$ ,  
 $\text{sign}(\Delta m_{31}^2)$  and  $\delta$ .

• Both  
**mass**  
**hierarchies**  
 are allowed



normal  
 hierarchy

$$\Delta m_{32}^2 > 0$$

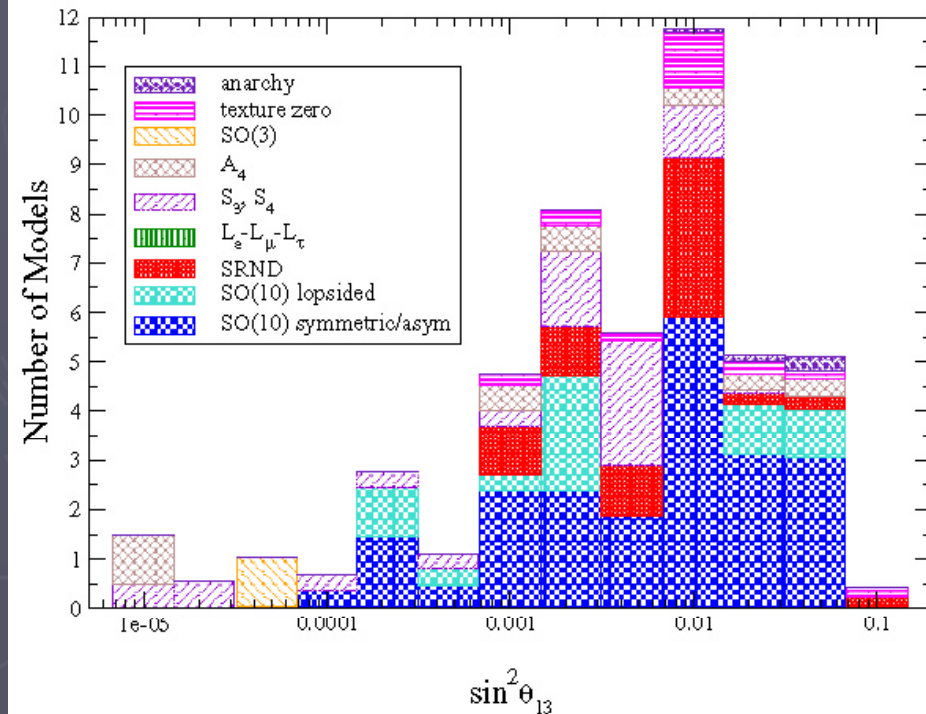
inverted  
 hierarchy

$$\Delta m_{32}^2 < 0$$

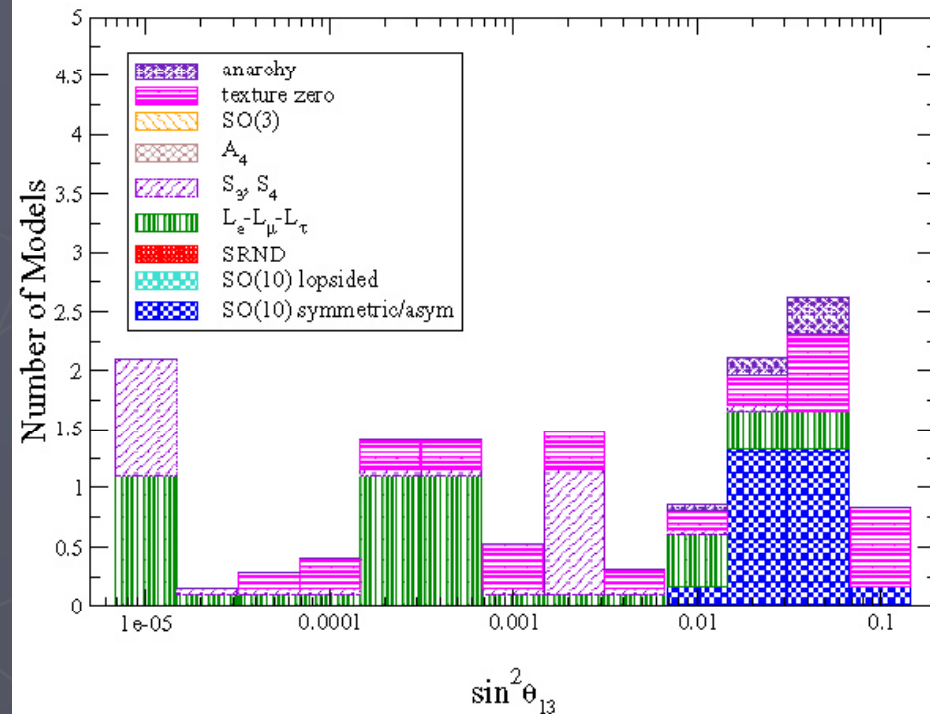
# ● A word on theory: Theoretical prediction for $\theta_{13}$

Albright, Chen: Phys.Rev.D74:113006,2006

Models with Normal Hierarchy



Models with Inverted Hierarchy

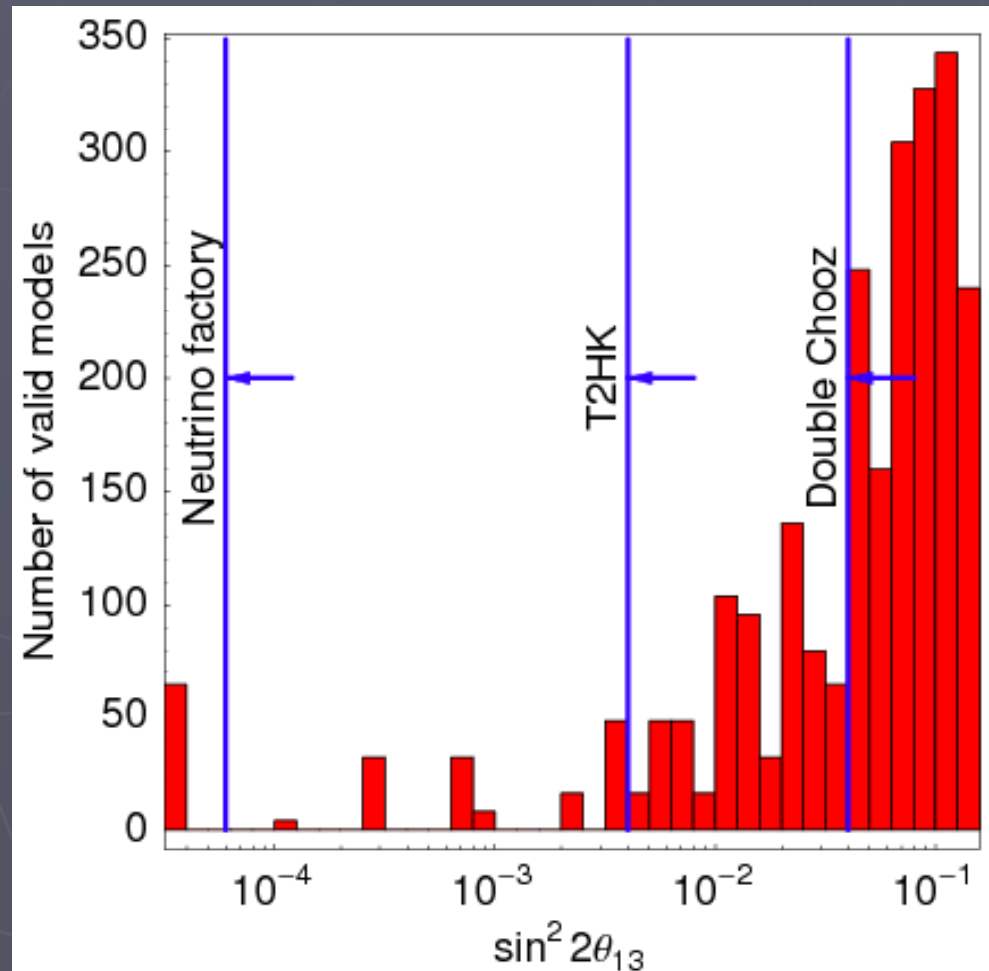


Depending on the mass hierarchy, the predictions differ.

Systematic generation of  $\nu$  mass matrices by extended QLC

1981 textures !!!

- ▶ Parameter space analysis based on realizations
- ▶ Large  $\theta_{13}$  preferred
- ▶ Compared to the GUT literature:  
Some realizations with very small  $\sin^2 2\theta_{13} \sim 3.3 \cdot 10^{-5}$



All kinds of values of  $\theta_{13}$  are predicted by theory, and it doesn't look like illuminating.

→ Theory is not yet developed enough to say something on mass & mixing of quarks & leptons.

Reference <a href="https://arxiv.org/abs/hep-ex/0402041">hep-ex/0402041</a>	$\sin \theta_{13}$	$\sin^2 2\theta_{13}$
<i>SO(10)</i>		
Goh, Mohapatra, Ng [40]	0.18	0.13
<i>Orbifold SO(10)</i>		
Asaka, Buchmüller, Covi [41]	0.1	0.04
<i>SO(10) + flavor symmetry</i>		
Babu, Pati, Wilczek [42]	$5.5 \cdot 10^{-4}$	$1.2 \cdot 10^{-6}$
Blazek, Raby, Tobe [43]	0.05	0.01
Kitano, Mimura [44]	0.22	0.18
Albright, Barr [45]	0.014	$7.8 \cdot 10^{-4}$
Maekawa [46]	0.22	0.18
Ross, Velasco-Sevilla [47]	0.07	0.02
Chen, Mahanthappa [48]	0.15	0.09
Raby [49]	0.1	0.04
<i>SO(10) + texture</i>		
Buchmüller, Wyler [50]	0.1	0.04
Bando, Obara [51]	0.01 .. 0.06	$4 \cdot 10^{-4}$ .. 0.01
<i>Flavor symmetries</i>		
Grimus, Lavoura [52, 53]	0	0
Grimus, Lavoura [52]	0.3	0.3
Babu, Ma, Valle [54]	0.14	0.08
Kuchimanchi, Mohapatra [55]	0.08 .. 0.4	0.03 .. 0.5
Ohlsson, Seidl [56]	0.07 .. 0.14	0.02 .. 0.08
King, Ross [57]	0.2	0.15
<i>Textures</i>		
Honda, Kaneko, Tanimoto [58]	0.08 .. 0.20	0.03 .. 0.15
Lebed, Martin [59]	0.1	0.04
Bando, Kaneko, Obara, Tanimoto [60]	0.01 .. 0.05	$4 \cdot 10^{-4}$ .. 0.01
Ibarra, Ross [61]	0.2	0.15
<i>3 × 2 see-saw</i>		
Appelquist, Piai, Shrock [62, 63]	0.05	0.01
Frampton, Glashow, Yanagida [64]	0.1	0.04
Mei, Xing [65] (normal hierarchy)	0.07	0.02
(inverted hierarchy)	> 0.006	> $1.6 \cdot 10^{-4}$
<i>Anarchy</i>		
de Gouvêa, Murayama [66]	> 0.1	> 0.04
<i>Renormalization group enhancement</i>		
Mohapatra, Parida, Rajasekaran [67]	0.08 .. 0.1	0.03 .. 0.04

## 2. Future accelerator and reactor experiments

Most realistic way to measure  $\theta_{13}$ ,  $\text{sign}(\Delta m^2_{31})$  and  $\delta$  is long base line experiments by **accelerators or reactors**.

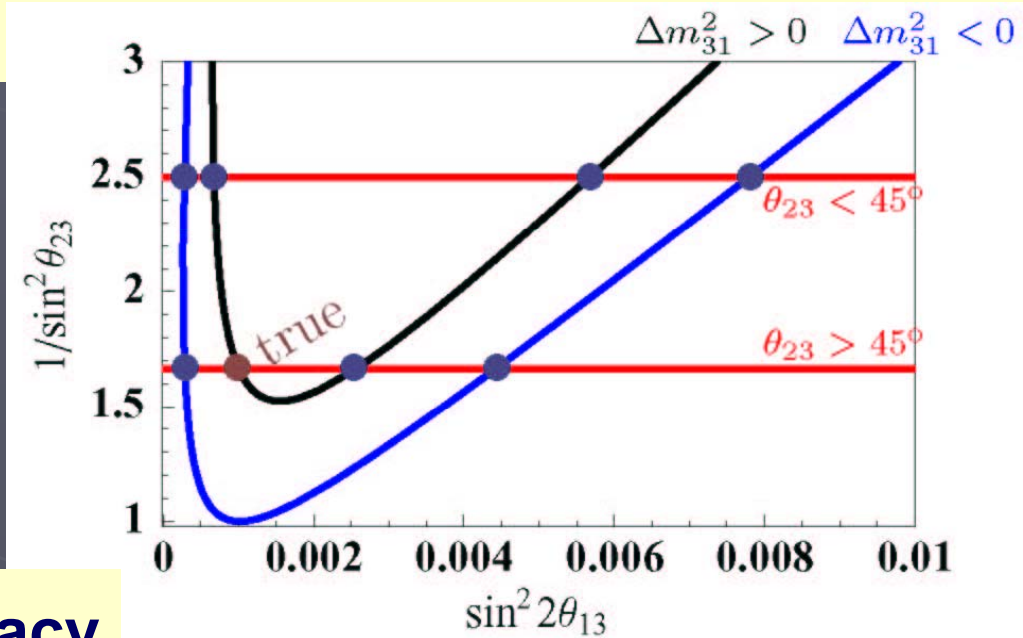
- One issue has to be taken into account for precise measurements:

**Parameter degeneracy**



## ● Parameter degeneracy

Even if we know  $P(\nu_\mu \rightarrow \nu_e)$  and  $P(\overline{\nu}_\mu \rightarrow \overline{\nu}_e)$  in a long baseline accelerator experiments with approximately monoenergetic neutrino beam, precise determination of  $\theta_{13}$ ,  $\text{sign}(\Delta m_{31}^2)$  and  $\delta$  is difficult because of the 8-fold parameter degeneracy.



● intrinsic  $(\delta, \theta_{13})$  degeneracy

●  $\Delta m_{31}^2 \leftrightarrow -\Delta m_{31}^2$  degeneracy

●  $\theta_{23} \leftrightarrow \pi/2 - \theta_{23}$  degeneracy

To solve parameter degeneracy, combine the following:

(A) LBL measurement at  $|\Delta m_{31}^2| L/4E = \pi/2$

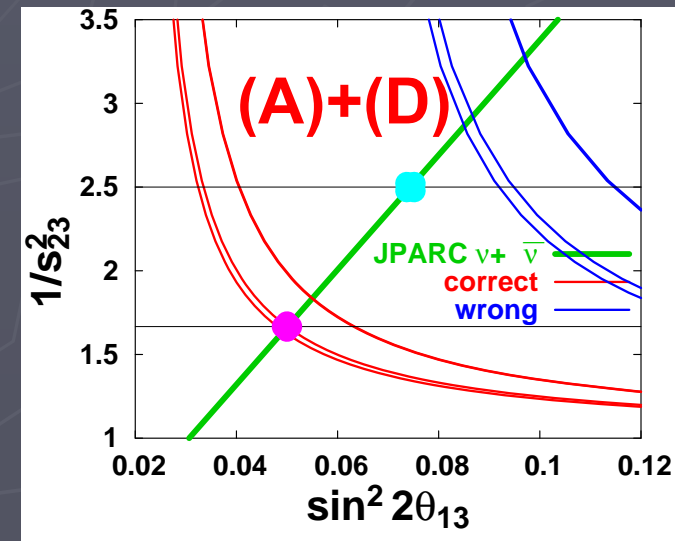
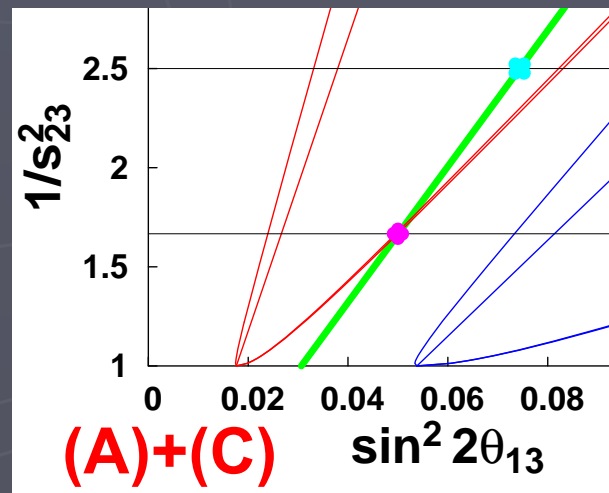
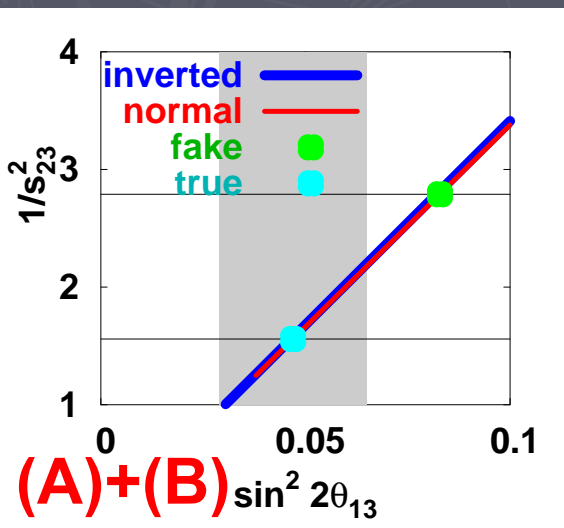
→ hyperbola shrinks to a straight line

(B) reactor measurement of  $\theta_{13}$   $\bar{\nu}_e \rightarrow \bar{\nu}_e$

→ depends only on  $\theta_{13}$

(C) LBL measurement of  $\nu_\mu \rightarrow \nu_e$  (or  $\nu_e \rightarrow \nu_\mu$ )  
with different L/E

(D) measurement of  $\nu_e \rightarrow \nu_\tau$



# Future accelerator LBL experiments

To perform precise measurements of  $\theta_{13}$  and  $\delta$ , one has to have a lot of numbers of events to improve statistical errors.

→ We need **high intensity** beam

Candidates for high intensity beam in the future:

- (conventional) superbeam
    - $\pi^+ \rightarrow \mu^+ + \nu_\mu$
    - $\pi^- \rightarrow \mu^- + \bar{\nu}_\mu$
  - neutrino factory
    - $\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$
    - $\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu$
  - beta beam
    - ${}^6_2\text{He} \rightarrow {}^6_3\text{Li} + e^- + \bar{\nu}_e$
    - ${}^{18}_{10}\text{Ne} \rightarrow {}^{18}_9\text{F} + e^+ + \nu_e$
- $\mu$  in a storage ring
- RI in a storage ring
- $\nu_\mu \rightarrow \nu_e$
- $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
- $\nu_e \rightarrow \nu_\mu$
- $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$
- $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$
- $\nu_e \rightarrow \nu_\mu$

## Future LBL exp. (under construction / proposed )

### ● superbeam

→ Kobayashi's talk

**T2K phase I** (2009-, 0.75MW,  $E \sim 1\text{GeV}$ ,  $L=295\text{km}$ )

**T2K phase II** (4MW+HK,  $E \sim 1\text{GeV}$ ,  $L=295\text{km}$ )

**T2KK** (JAERI→HK&Korea,  $E \sim 1\text{GeV}$ ,  $L=295\text{km} \& 1000\text{km}$ )

**NOvA** (FNAL→ Ash River (MN),  $E \sim 2\text{GeV}$ ,  $L=810\text{km}$ )

**VBLNO** (BNL→Homestake,  $E \sim 2\text{GeV}$ ,  $L > 2500\text{km}$ )

**SPL** (CERN→Frejus,  $E \sim 0.25\text{GeV}$ ,  $L=130\text{km}$ )

● **neutrino factory** ( $E_\nu < 50\text{GeV}$ ,  $L \sim 3000\text{km}$ )

● **beta beam** ( $E_\nu = 0.5-1.5\text{GeV}$ ,  $L \sim 130\text{km}$ )

## Future reactor experiments ( $E \sim 4\text{MeV}$ , $L \sim 2\text{km}$ )

→ Complementary to accelerator exp.

Kuze's talk

**Double CHOOZ** (France) , **Daya Bay** (China),

Joo's talk

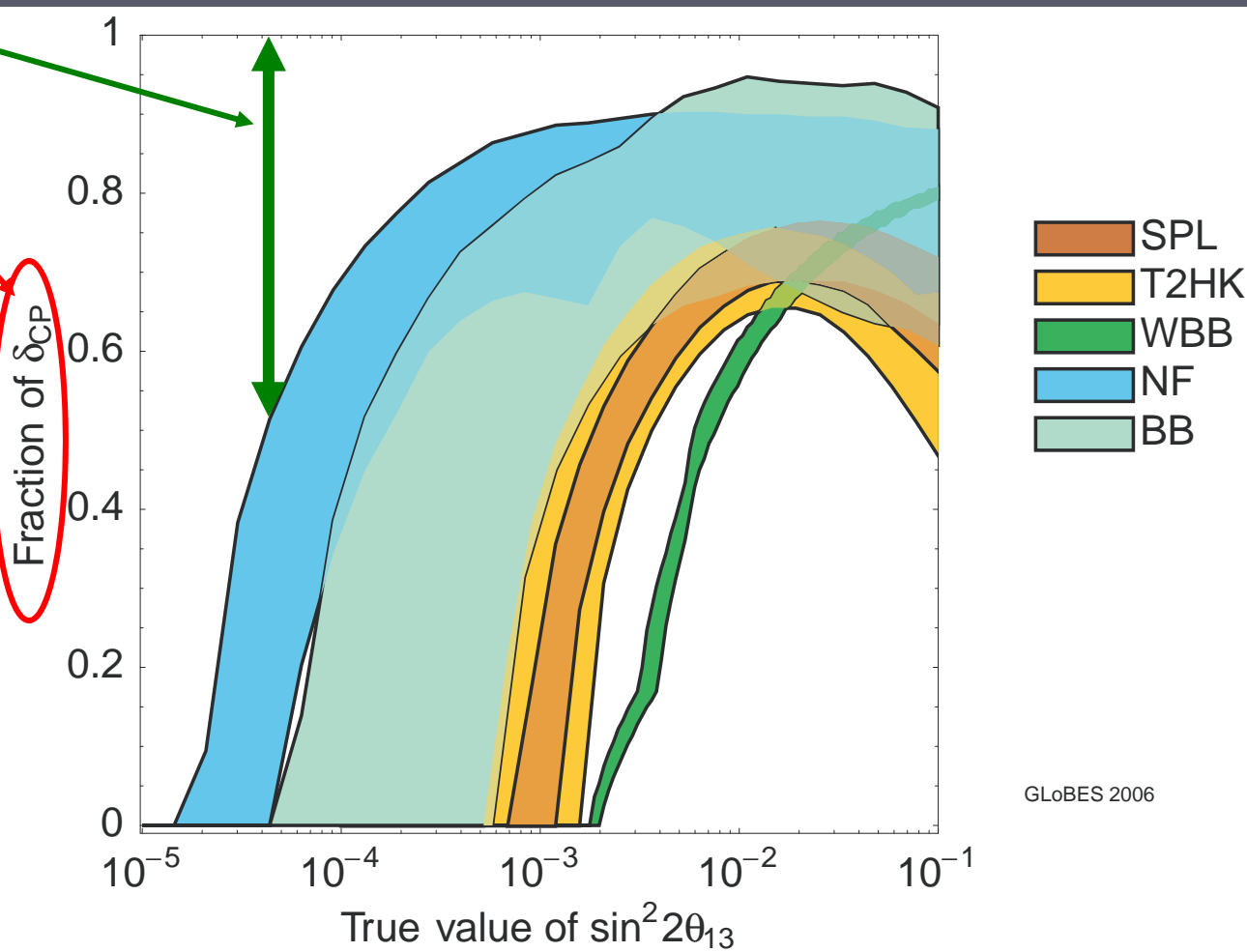
**Reno** (Korea), **Angra** (Brazil)

# sensitivity to the CP phase $\delta$ of future experiments

error of  $\delta$

1-(error of  $\delta$ )

T2HK = Tokai to  
HyperKamiokande

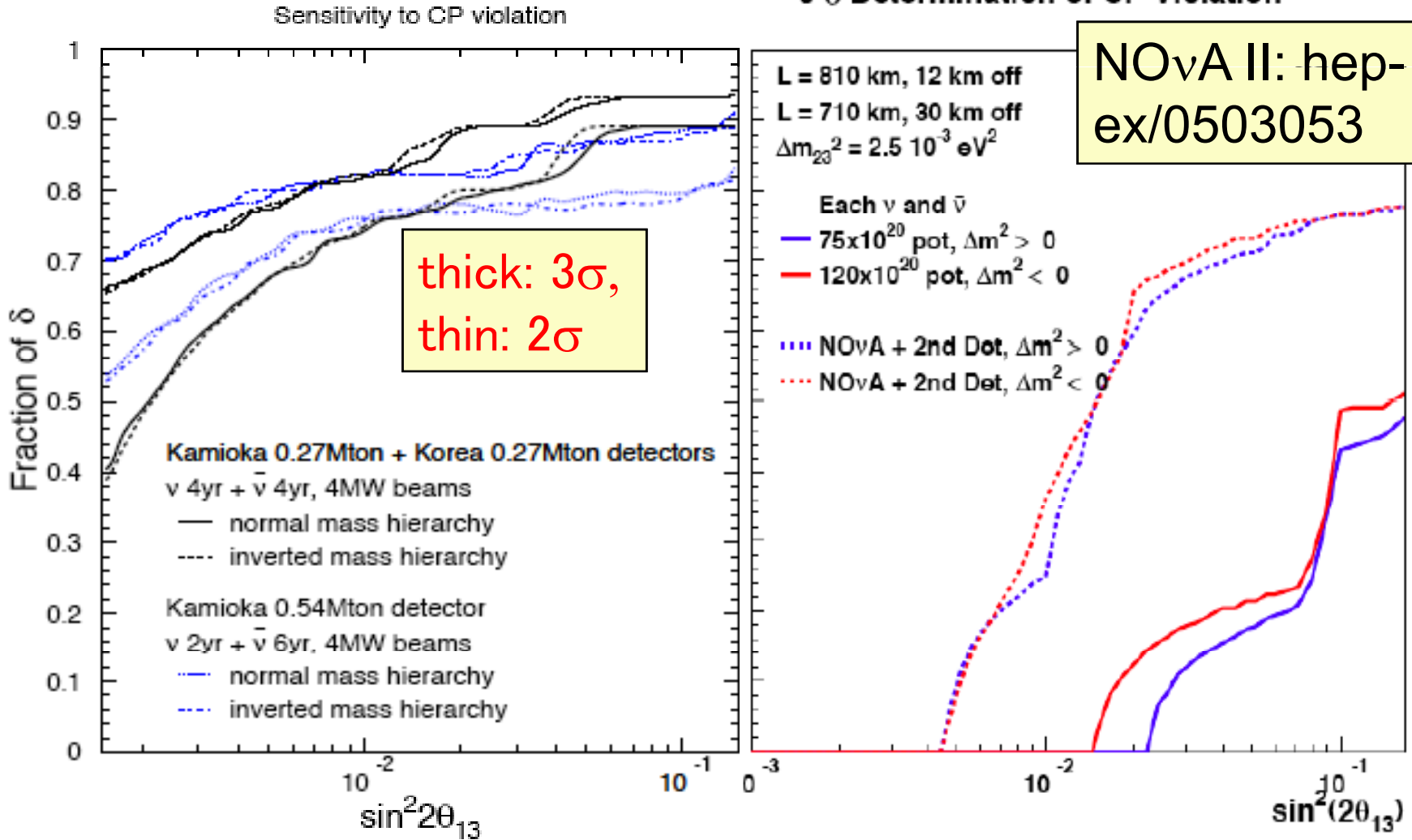


ISS report: [arXiv:0710.4947](https://arxiv.org/abs/0710.4947) [hep-ph]

# T2KK vs. NO $\nu$ A; CP

## 3 $\sigma$ Determination of CP Violation

NO $\nu$ A II: hep-ex/0503053



### **3. Deviation from standard 3 flavor framework**

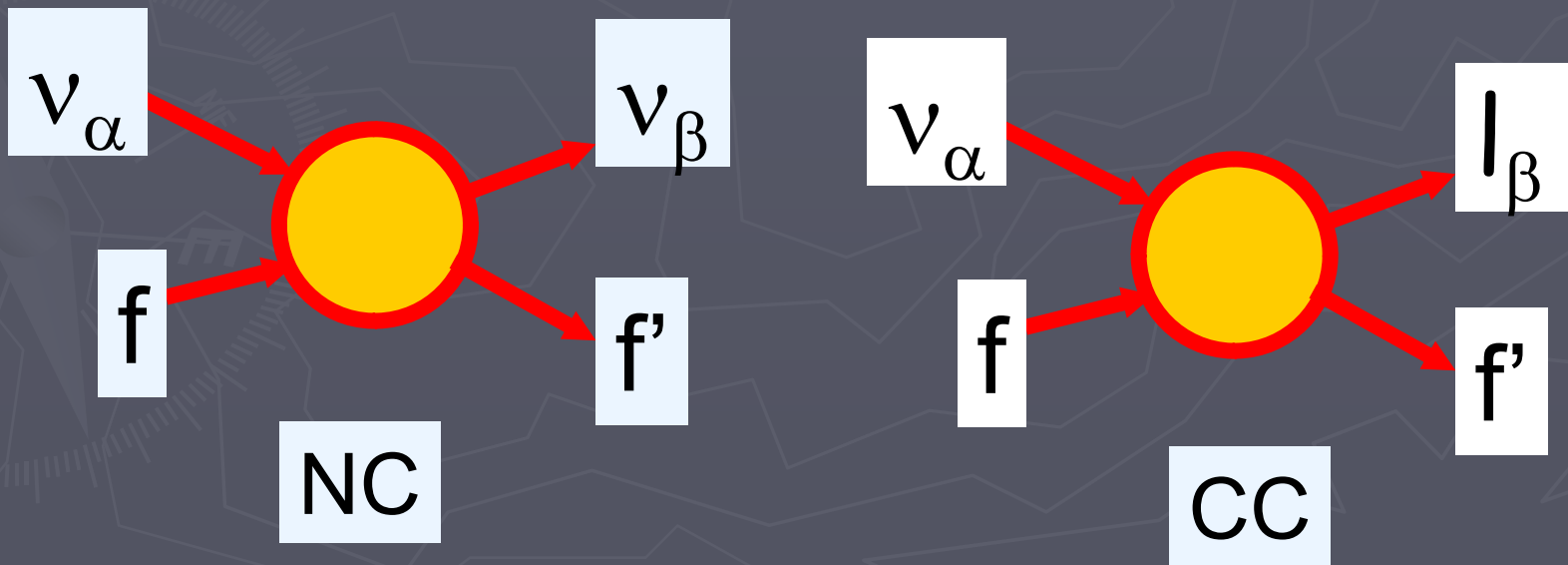
Just like at B factories, **high precision** measurements of  $\nu$  oscillation can be used also to probe **physics beyond SM** by looking at deviation from SM+massive  $\nu$ .

Here I will discuss the following topics:

- (1) New physics (NP) (exotic interactions)**
- (2) Violation of unitarity (like at a B factory)**
- (3) Sterile neutrinos**

# (1) New physics (NP) (exotic interactions)

Flavors are not necessarily conserved in these interactions:  $\alpha = \beta$  &  $\alpha \neq \beta$





# Effects of New Physics on $\nu$ oscillations

$$\mathcal{A}_{SM} \equiv A \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$A \equiv \sqrt{2}G_F N_e$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \left[ U^d \tilde{U} \exp \left\{ -i \text{diag}(\tilde{E}_j) L \right\} \tilde{U}^{-1} (U^s)^{-1} \right]_{\beta\alpha} \right|^2$$

with  $U \text{diag}(E_j) U^{-1} + \mathcal{A}_{SM} + \mathcal{A}_{NP} \equiv \tilde{U} \text{diag}(\tilde{E}_j) \tilde{U}^{-1}$

source

$$|(U^s)^{-1}|_{\alpha\beta}| < \mathcal{O}(10^{-2})$$

$$\begin{pmatrix} \nu_e^s \\ \nu_\mu^s \\ \nu_\tau^s \end{pmatrix} = U^s U_{MNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

propagation

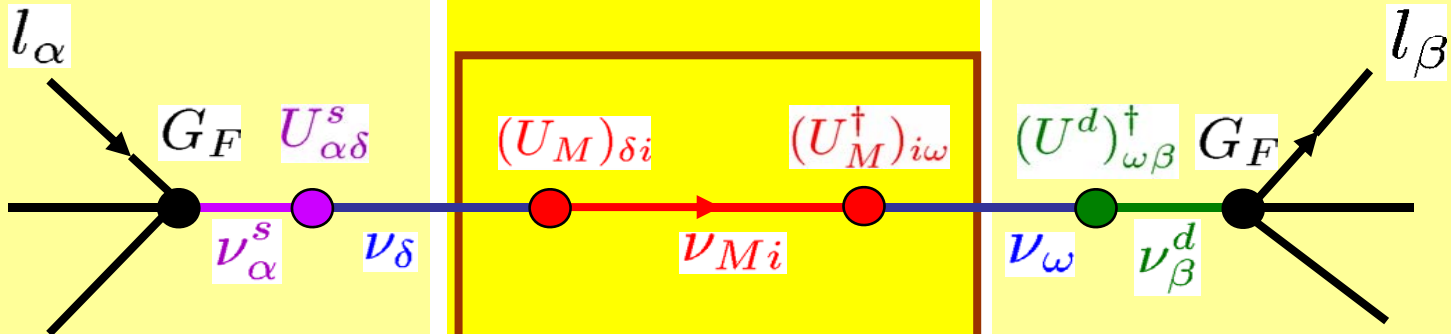
$$\epsilon_{ee}, \epsilon_{e\tau}, \epsilon_{\tau\tau} \sim \mathcal{O}(1)$$

$$A \begin{pmatrix} \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix}$$

detection

$$|(U^d)^{-1}|_{\alpha\beta}| < \mathcal{O}(10^{-2})$$

$$\begin{pmatrix} \nu_e^d \\ \nu_\mu^d \\ \nu_\tau^d \end{pmatrix} = U^d U_{MNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



## (i) Effects of New Physics at source and detector

Deviation from the standard form is small:

Grossman (PLB359:141,1995)

$$|(U^s-1)_{\alpha\beta}| < O(10^{-2}), |(U^d-1)_{\alpha\beta}| < O(10^{-2})$$

## (ii) New Physics effects in propagation

### 1. Constraints from various $\nu$ experiments:

Davidson et al (JHEP 0303:011,2003)

$$\left( \begin{array}{ccc} -3 \lesssim \epsilon_{ee} \lesssim 2 & |\epsilon_{e\mu}| \lesssim 0.5 & |\epsilon_{e\tau}| \lesssim 1.5 \\ |\epsilon_{e\mu}| \lesssim 0.5 & |\epsilon_{\mu\mu}| \lesssim 0.05 & |\epsilon_{\mu\tau}| \lesssim 0.15 \\ |\epsilon_{e\tau}| \lesssim 1.5 & |\epsilon_{\mu\tau}| \lesssim 0.15 & |\epsilon_{\tau\tau}| \lesssim 6 \end{array} \right)$$

### 2. Constraints from atmospheric neutrinos:

Friedland-Lunardini (Phys.Rev.D72:053009,2005)

$\epsilon_{ee}, \epsilon_{e\tau}, \epsilon_{\tau\tau} \sim O(1)$  are consistent with  $\nu_{\text{atm}}$  data, provided

→ Deviation could be large

$$\epsilon_{\tau\tau} \sim \frac{|\epsilon_{e\tau}|^2}{1 + \epsilon_{ee}}$$

$$0 \leq |\epsilon_{e\tau}| \lesssim 1 + \epsilon_{ee}$$

$$-1 \lesssim \epsilon_{ee} \lesssim 1.5$$

In general:

NP effects **at production** and **at detection** becomes important when **L is smaller**

$$|\varepsilon_{\alpha\beta}| < O(10^{-2})$$

$$P(\mathbf{v}_\alpha \rightarrow \mathbf{v}_\beta) \rightarrow \left| \left[ U d (U^s)^{-1} \right]_{\beta\alpha} \right|^2$$

i.e., no BG from osc.  
in the limit of **L → 0**

Experiments with a shorter baseline are advantageous

NP effects **in propagation** becomes important when **L is larger**

$$\varepsilon_{\alpha\beta} \text{ can be of } O(1)$$

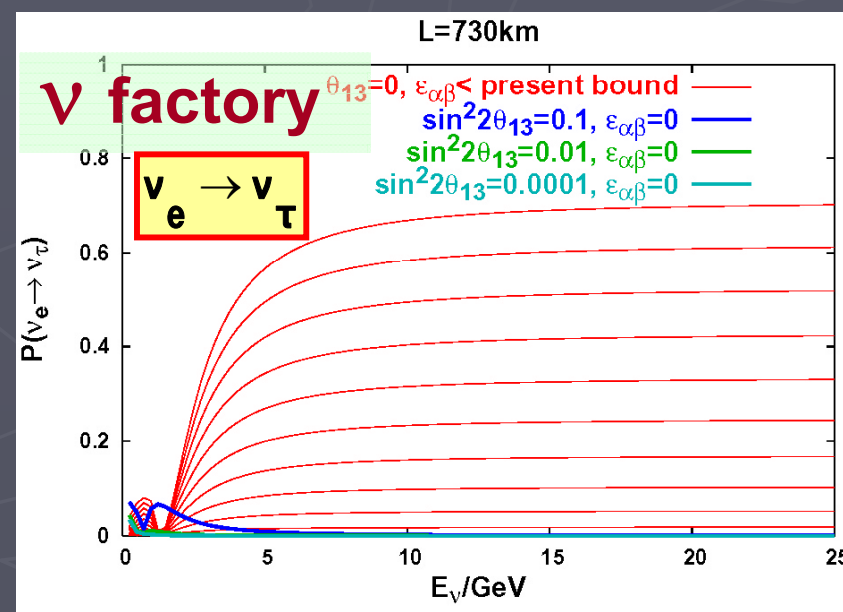
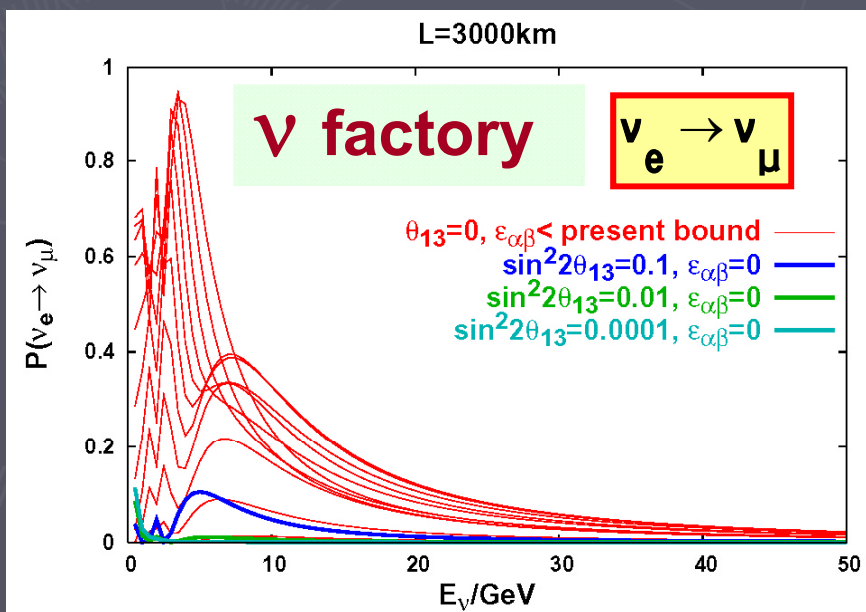
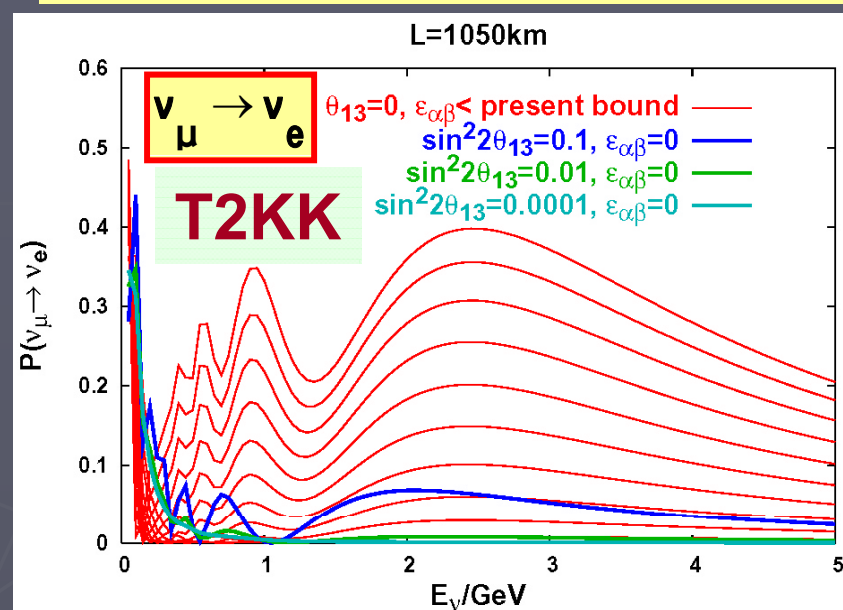
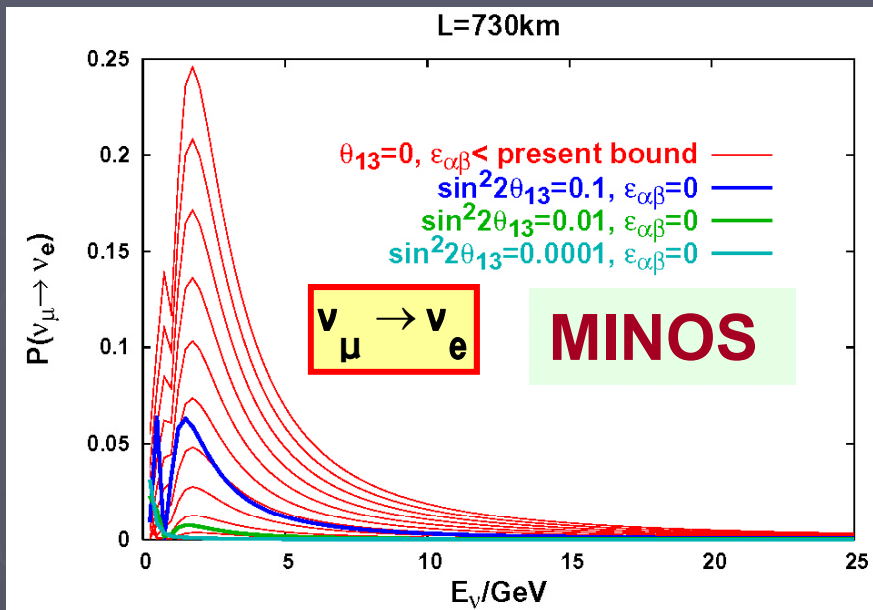
because **AL**  $\varepsilon_{\alpha\beta} \sim \varepsilon_{\alpha\beta} (L/2000\text{km})$

Experiments with a longer baseline are advantageous to see them;

Experiments with very short baselines (e.g., **reactors**) have no BG from NP effects in propagation

# Potentially expected New Physics effects in propagation

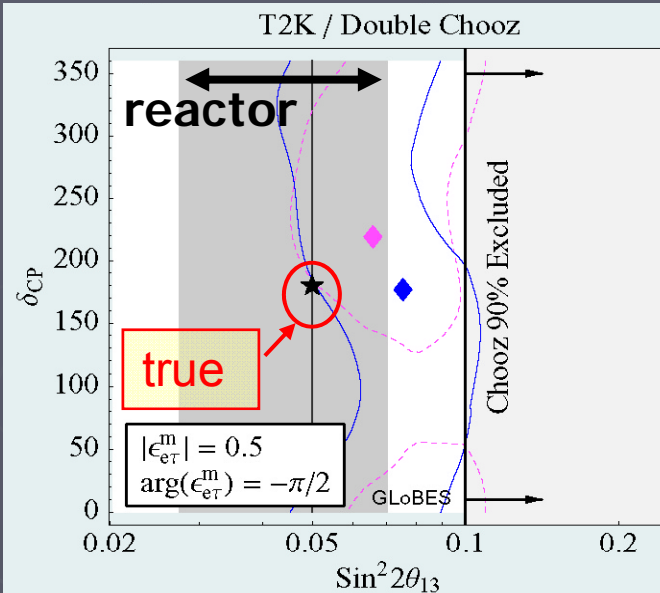
NK,HS,OY, hep-ph/0606013



# Confusion in the presence of New Physics

## Mismatch

Best fit points for reactor & T2K don't agree



Kopp, Lindner, Ota, Sato,  
PRD77:013007,2008

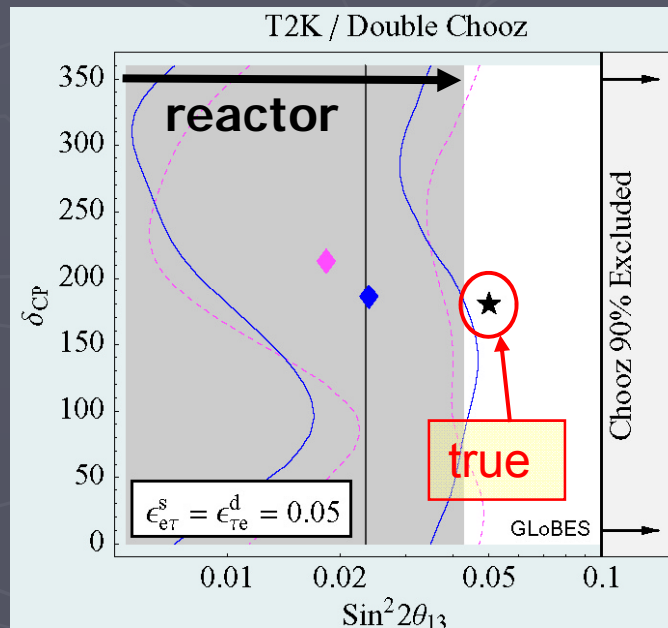
$\epsilon^m$ : NP in propagation

Best fit of T2K with Normal Hierarchy

Best fit of T2K with Inverted Hierarchy

## Offset

Best fit points for two agree but miss the true point



$\epsilon^{s,d}$ : NP at source or detection

## (2) Unitarity violation due to heavy $\nu$

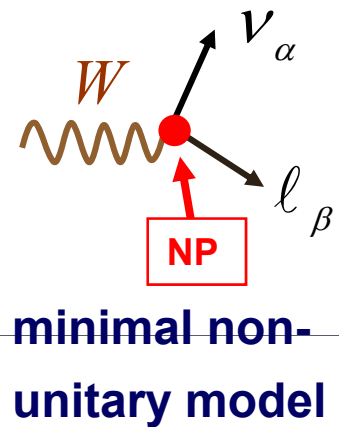
$U \rightarrow N$  (non-unitary)

$NN^\dagger - 1$ : deviation from unitarity

$$|NN^\dagger| \approx \begin{pmatrix} 0.994 \pm 0.005 & < 7.1 \cdot 10^{-5} & < 1.6 \cdot 10^{-2} \\ < 7.1 \cdot 10^{-5} & 0.995 \pm 0.005 & < 1.0 \cdot 10^{-2} \\ < 1.6 \cdot 10^{-2} & < 1.0 \cdot 10^{-2} & 0.995 \pm 0.005 \end{pmatrix}$$

mostly from rare decays

90% C.L.



Constraints on unitary violation are strong:

$$|(NN^\dagger - 1)_{\alpha\beta}| < \mathcal{O}(10^{-2})$$

Minimal case: Antusch, Biggio, Fernandez-Martinez, Gavela, Lopez-Pavon: JHEP 0610:084,2006.

Non-minimal case: Abada, Biggio, Bonnet, Gavela, Hambye: JHEP 0712:061,2007

Even stronger constraints  $\rightarrow$  more difficult to detect

Unitarity violation is similar to NP effects  
at production and at detection →  
becomes important when **L is smaller**

$$P(\mathbf{v}_\alpha \rightarrow \mathbf{v}_\beta) \rightarrow \left| \left[ (1 + \eta) U \exp[-i \text{diag}(E_j)] U^{-1} (1 + \eta)^{-1} \right]_{\beta\alpha} \right|^2$$

$$\eta \equiv (NN^\dagger - 1) / 2$$

$$|\eta_{\alpha\beta}| < O(10^{-2})$$

$$U^s \rightarrow 1 + \eta/2, U^d \rightarrow 1 + \eta/2$$



Experiments with a shorter baseline  
are advantageous

# Neutrino factory with a baseline $L=130\text{km}$

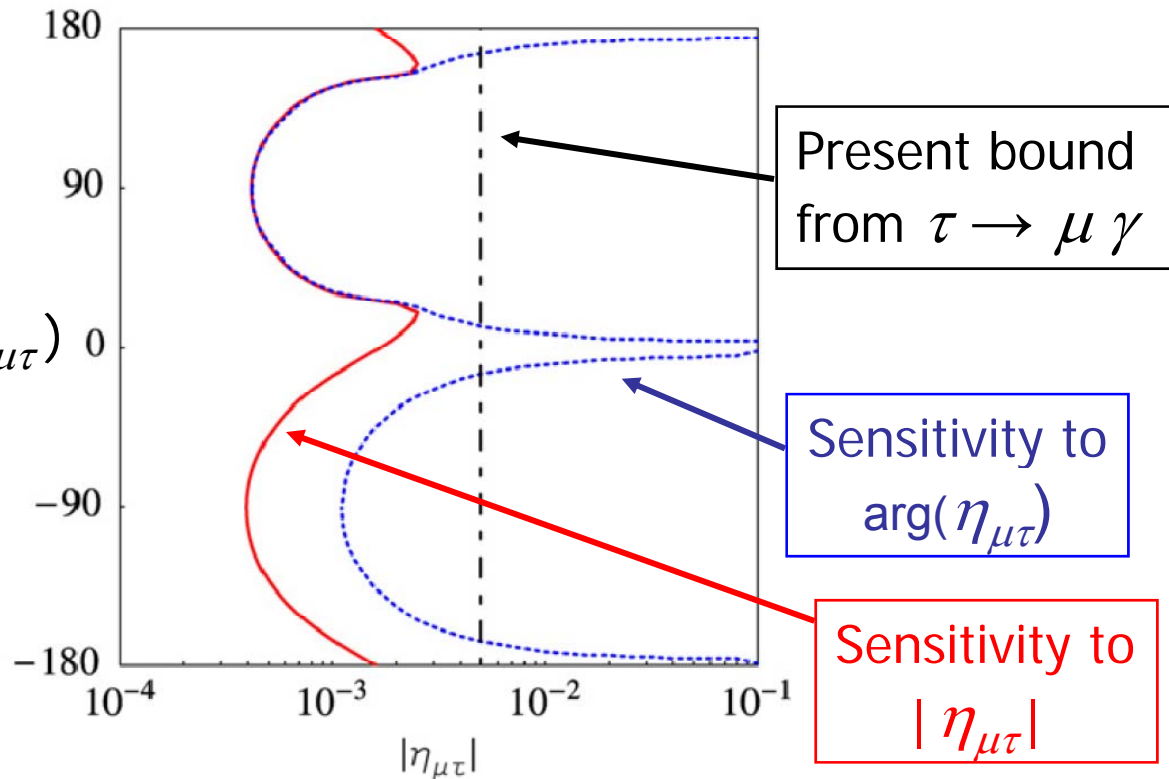
Fernandez-Martinez, Gavela, Lopez-Pavon, OY,  
Phys.Lett.B649:427-435,2007

## Phase of N

$$\nu_\mu \leftrightarrow \nu_\tau$$

For non-trivial  $\arg(\eta_{\mu\tau})$ ,  
one order of magnitude  
improvement for  $\eta_{\mu\tau}$

$\arg(\eta_{\mu\tau})$





# (3A) Sterile neutrinos assuming LSND

$$\Delta m_{\text{sol}}^2 \sim 10^{-4} \text{ eV}^2$$

$$\Delta m_{\text{atm}}^2 \sim 10^{-3} \text{ eV}^2$$

$$\Delta m_{\text{LSND}}^2 \sim O(1) \text{ eV}^2$$

→ at least one  $\nu_s$  is required

LSND( $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ ): affirmative  
 MiniBOONE( $\nu_\mu \rightarrow \nu_e$ ): negative

with one more  $\nu_s$   
 difference between  $\nu$   
 & anti- $\nu$  may offer a  
 promising fit

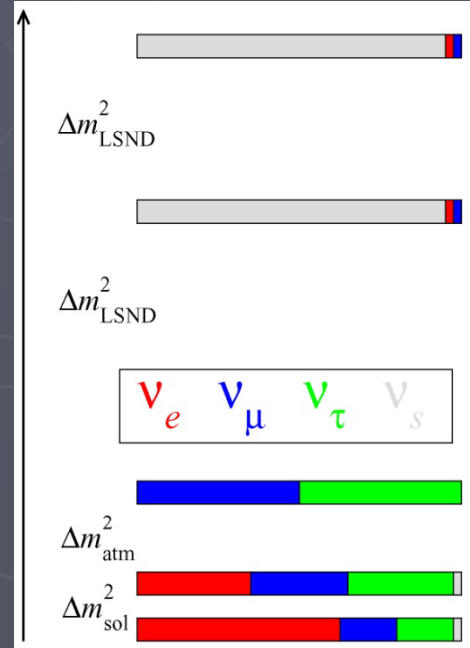
**(3+2)-scheme with CP phase  $\delta$**

$$\begin{aligned}
 P_{\nu_\mu \rightarrow \nu_e} &= 4 |U_{e4}|^2 |U_{\mu4}|^2 \sin^2 \phi_{41} \\
 &+ 4 |U_{e5}|^2 |U_{\mu5}|^2 \sin^2 \phi_{51} \\
 &+ 8 |U_{e4} U_{\mu4} U_{e5} U_{\mu5}| \sin \phi_{41} \sin \phi_{51} \cos(\phi_{54} - \delta)
 \end{aligned}$$

with the definitions

$$\phi_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E},$$

$$\delta \equiv \arg(U_{e4}^* U_{\mu4} U_{e5} U_{\mu5}^*)$$



**Schwetz-Mangold@nufact07**

$$\varphi_{54}^{best} = 1.64\pi$$

$$\Delta m_{41}^2 = 0.89 \text{ eV}^2$$

$$\Delta m_{51}^2 = 6.49 \text{ eV}^2$$

$$\chi_{\min}^2 = 94.5 / (107 - 7)$$

**Karagiorgi@nufact07**

$$\chi^2/ndf = 146.7/156$$

$$gof=69\%$$

$$\varphi_{54}^{best} = 1.74\pi$$

- **(3+2)** schemes

- offer the possibility of CP violation to reconcile LSND and MiniBooNE,

- but there is tension between appearance and disappearance data ( $3\sigma, 4\sigma$  for MB300)

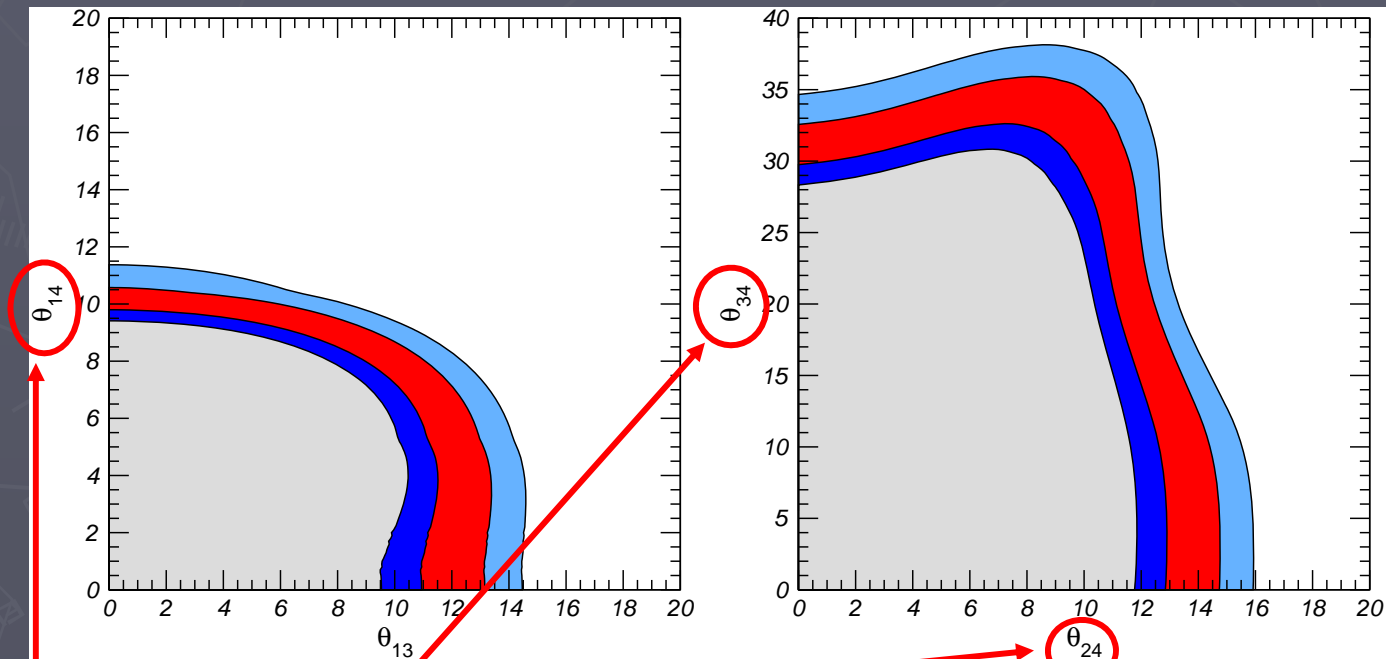
$\bar{\nu}_e \rightarrow \bar{\nu}_e$  : Bugey  $\nu_\mu \rightarrow \nu_\mu$  : CDHSW

**So from the global fit, (3+2) is probably not a promising scheme...**

## (3B) Sterile neutrinos w/o assuming LSND

Without assuming LSND and imposing all the negative constraints one can still consider (3+1)-scheme

Donini, Maltoni, Meloni, Migliozzi, Terranova,  
JHEP 0712:013,2007



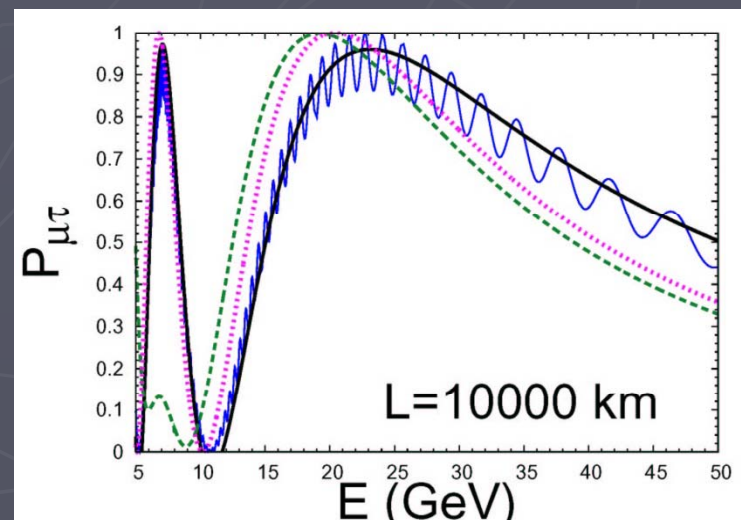
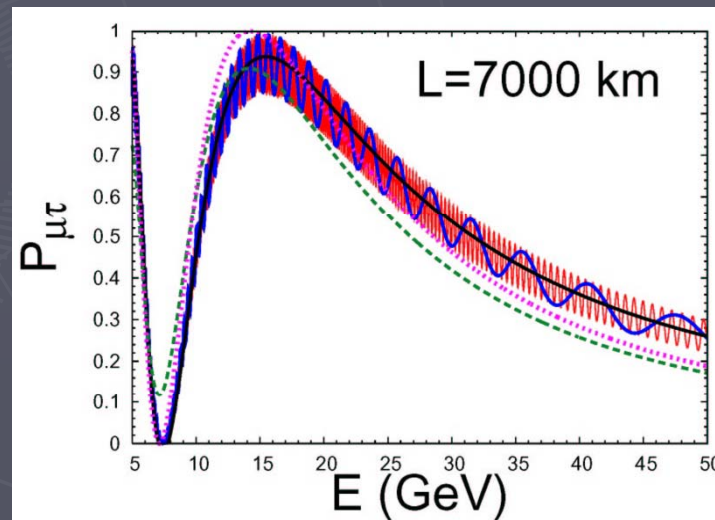
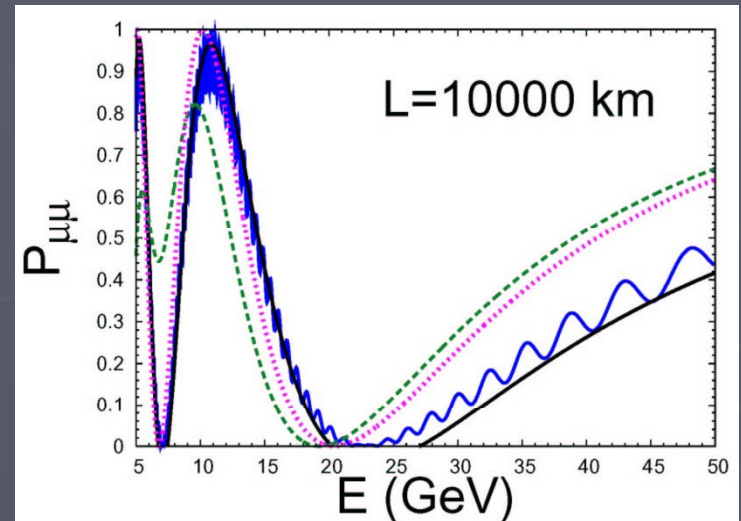
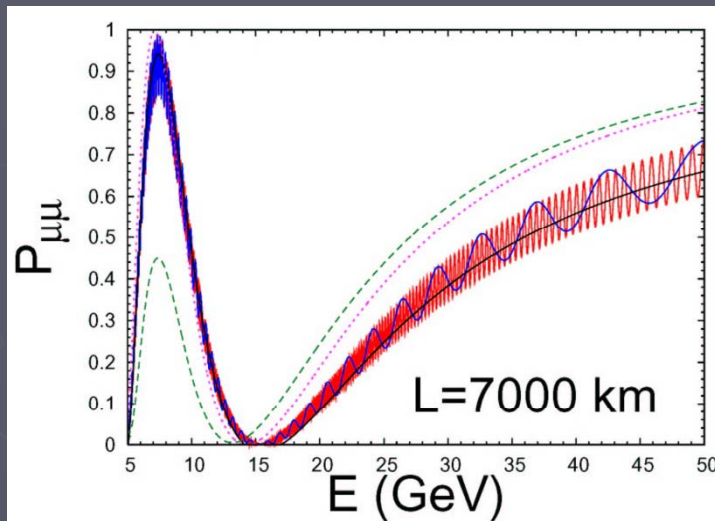
$\theta_{14}, \theta_{24}, \theta_{34}$  : angles which appear only in  $4\nu$  scenario

# Potentially expected effects of sterile neutrinos at longer baseline

Dighe, Ray: Phys.Rev.D76:113001,2007

dashed lines:  $N=3$

solid lines:  $N=4$



## 4. Summary

- A brief review was given on the known parameters in SM+massive  $\nu$ . Efforts to determine the unknown parameters ( $\theta_{13}$ ,  $\delta$ ,  $\text{sign}(\Delta m_{31}^2)$ ) in the future experiments were described.
- The future neutrino experiments with high precision will be able to see deviation from SM such as **non-standard interactions, unitarity violation, sterile neutrinos, etc.**
- Beyond SM+massive  $\nu$ , there are still a lot of things to be worked out: sensitivities to NP, optimization to NP, degeneracy in the presence of NP, etc.
- **Accelerator and reactor** experiments are **complementary** not only in SM+massive  $\nu$  but also in Beyond SM+massive  $\nu$ .