

# Peeking into the $\theta$ vacuum

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Refs. Kitano, Matsudo, NY, Yamazaki, PLB822, 136657 (2021)  
Kitano, NY, Yamazaki, JHEP02 (2021) 073

# Introduction

$$\theta\text{-term: } \mathcal{L}_\theta = -i\theta \frac{g^2}{64\pi^2} \epsilon_{\mu\nu\rho\sigma} G_{\mu\nu}^a G_{\rho\sigma}^a$$

- None of symmetries of the SM constrains the value of  $\theta$  (usually called  $\bar{\theta}$ ).

Why is  $\theta \ll 1$  ? (strong CP problem)

- **Effects of the  $\theta$  term on various observables** have been actively studied experimentally, theoretically and on the lattice.

**Focus on the effect of  $\theta$  on the vacuum of 4d SU(N) YM.**

# How to explore the effect of $\theta$ on the vacuum

Look at vacuum energy density,  $f(\theta)$

$$e^{-Vf(\theta)} = \frac{Z(\theta)}{Z(0)}$$

where

$$Z(\theta) = \int \mathcal{D}U e^{-S_{\text{YM}} + i\theta Q}$$

$$Q = \int d^4x q(x)$$

- For  $SU(N)$  YM theory,

$$Q \in \mathbb{Z} \Rightarrow f(\theta) = f(\theta + 2\pi)$$

$$S_{\text{YM}} \text{ is CP even} \Rightarrow f(\theta) = f(-\theta)$$



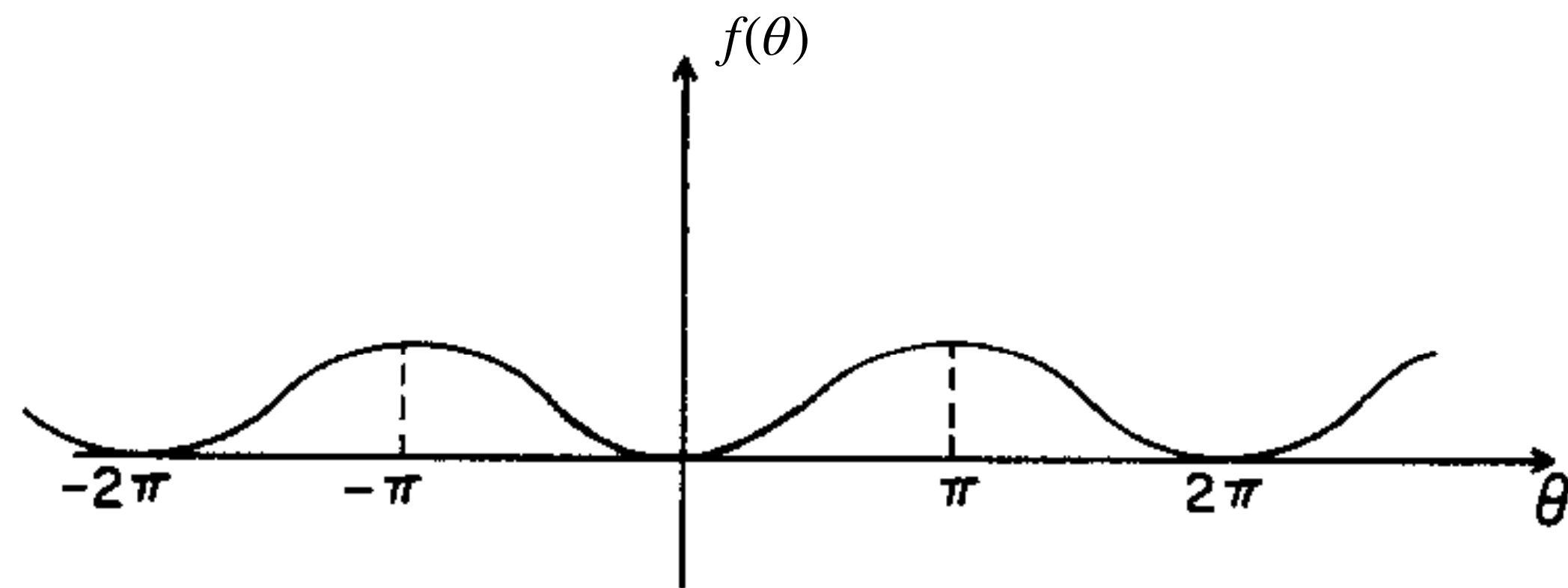
$$f(\pi - \theta') = f(\pi + \theta')$$

Action is CP symmetric at  $\theta = 0$  and  $\pi$ .

# Expected $\theta$ dependence: instanton vs large- $N_c$

Dilute instanton gas approximation (DIGA)

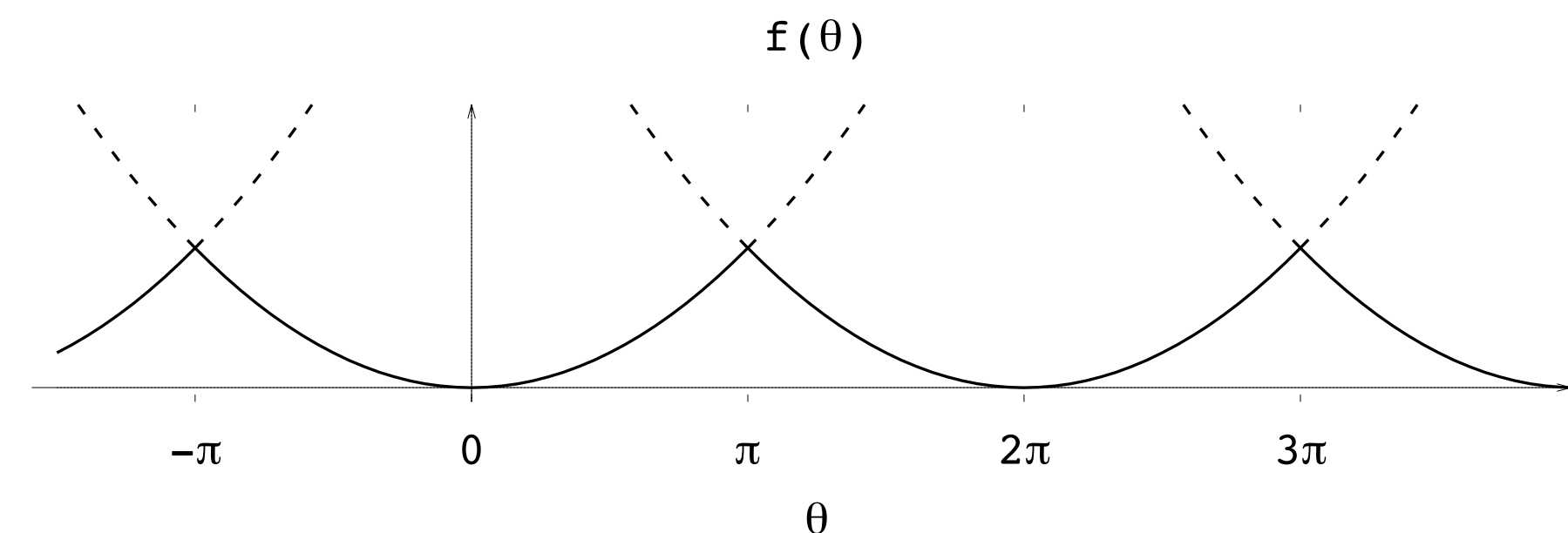
$$\Rightarrow f(\theta) = \chi(1 - \cos \theta)$$



- a single branch
- smooth everywhere

Large  $N_c$  argument [Witten (1980, 1998)]

$$\Rightarrow f(\theta) = \chi/2 \min_{k \in \mathbb{Z}} (\theta + 2\pi k)^2 + O(1/N_c^2)$$



- many branches
- spontaneous CPV (1st order PT) at  $\theta = \pm (2n + 1)\pi$
- order parameter  $df(\theta)/d\theta|_{\theta=\pi} = -i\langle q(x) \rangle_{\theta=\pi}$

the behavior of  $f(\theta)$  around  $\theta = \pi$

# Lesson from 2d $CP^{N-1}$ model

$$\mathcal{L} = \frac{N}{2g} \overline{D_\mu z} D_\mu z - i\theta q$$

$z$  :  $N$ -component complex scalar field with  $\bar{z}z = 1$

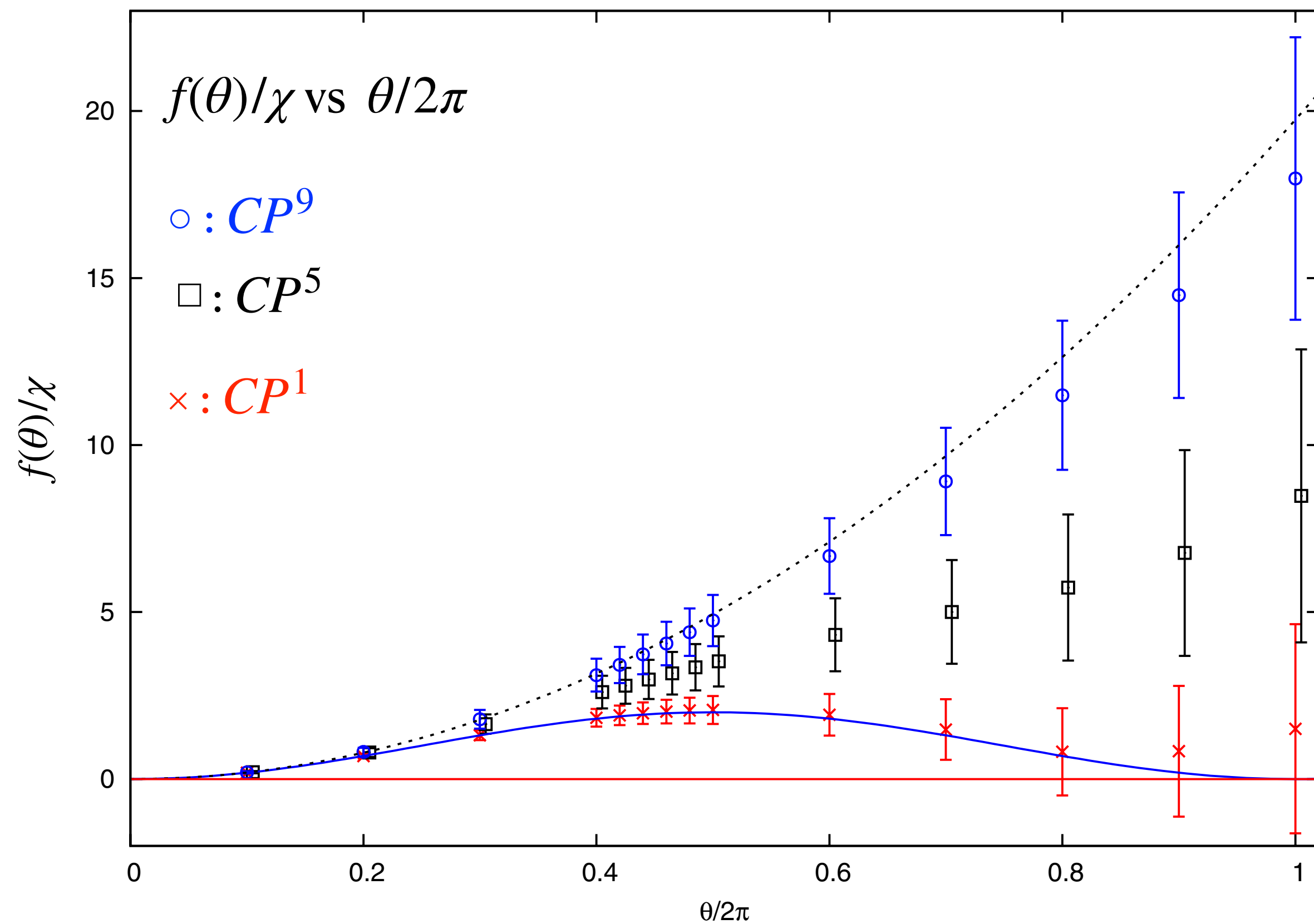
$$D_\mu = \partial_\mu + iA_\mu, \quad A_\mu = i\bar{z}\partial_\mu z$$

$$q(x) = \frac{1}{2\pi} \epsilon_{\mu\nu} \partial_\mu A_\nu = \frac{i}{2\pi} \epsilon_{\mu\nu} \overline{D_\mu z} D_\nu z$$

- Good testing ground for 4d  $SU(N)$  YM because of many similarities  
[asymptotically free, dynamical mass gap, instanton,  $1/N$  expandable, ...]
- At  $\theta = \pi$ , gapped and CP broken for  $N \geq 3$
- **But  $CP^1$  (i.e.  $N = 2$ ) is exceptional!**  
 $\Rightarrow$  gapless and no CPV at  $\theta = \pi$  ( $\Leftrightarrow$  Haldane conjecture)

# $f(\theta)$ in 2d $CP^{N-1}$ model (lattice)

[Keith-Hynes and Thacker (2008)]



$N = 10, 6, 2$  were studied.

- $CP^9$  consistent with large  $N$
- $f(\theta)/\chi$  decreases as  $N$  decreases.
- $CP^9$  and  $CP^5$  shows CPV at  $\theta = \pi$ .
- $CP^1$  is consistent with the DIGA

$$f(\theta) = \chi(1 - \cos \theta)$$

# Lattice calculations of $f(\theta)$ in 4d $SU(N)$

$$\mathcal{L}_\theta = \frac{1}{4} G_{\mu\nu}^a G_{\mu\nu}^a - i\theta q$$

- “ $i$ ” makes direct lattice calculation difficult/impossible (sign problem).

- Taylor expansion around  $\theta = 0$

$$f(\theta) = \frac{\chi}{2} \theta^2 (1 + b_2 \theta^2 + b_4 \theta^4 + \dots)$$

and determines each coefficient on the lattice by

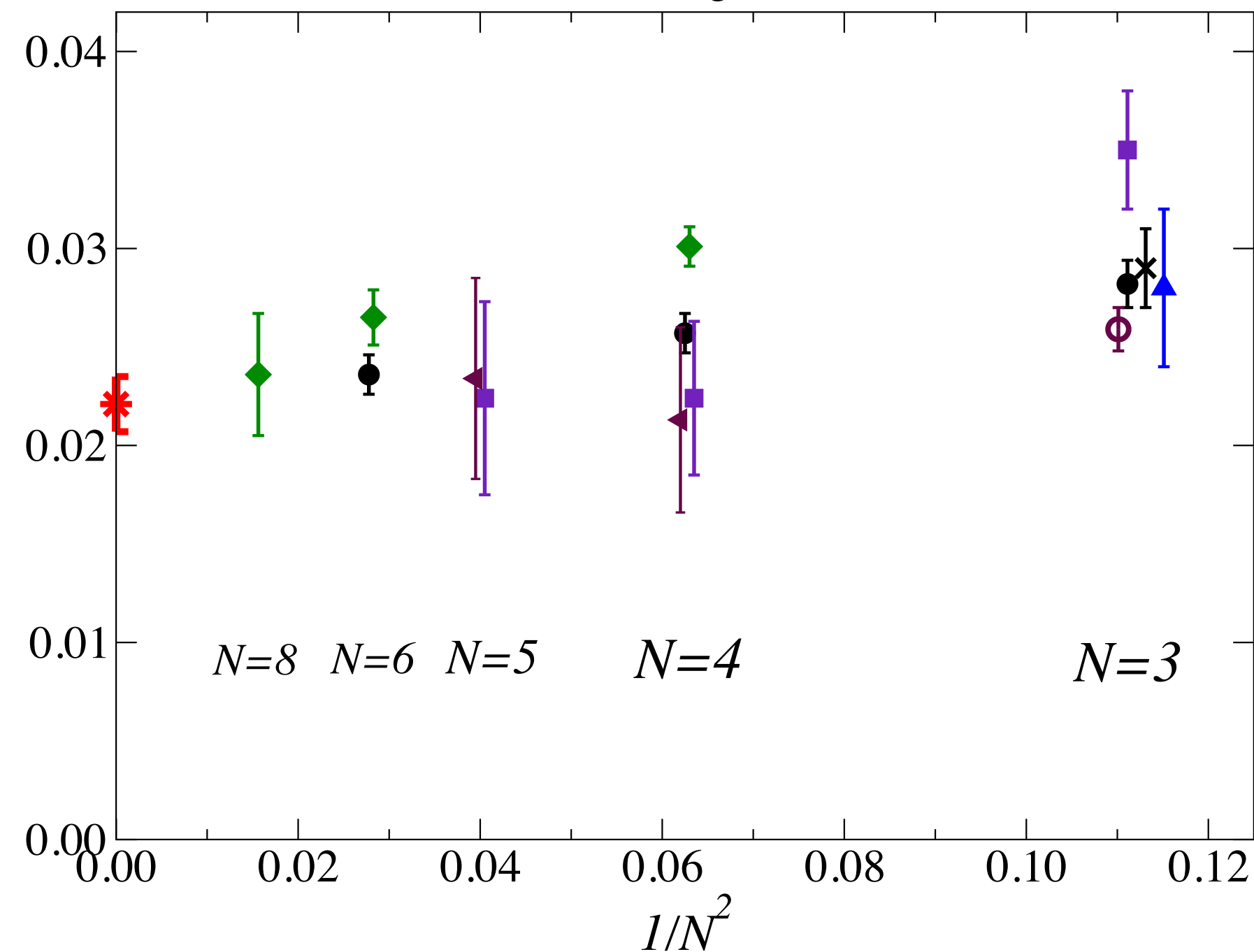
$$\begin{aligned} \chi &= \frac{\langle Q^2 \rangle_{\theta=0}}{V} \\ b_2 &= - \frac{\langle Q^4 \rangle_{\theta=0} - 3 \langle Q^2 \rangle_{\theta=0}^2}{12 \langle Q^2 \rangle_{\theta=0}} \\ b_4 &= \frac{\langle Q^6 \rangle_{\theta=0} - 15 \langle Q^2 \rangle_{\theta=0} \langle Q^4 \rangle_{\theta=0} + 30 \langle Q^2 \rangle_{\theta=0}^3}{360 \langle Q^2 \rangle_{\theta=0}} \\ &\vdots \end{aligned}$$

$$\text{c.f. } f(\theta) = \chi(1 - \cos \theta) \Rightarrow b_2 = -\frac{1}{12}, \dots$$

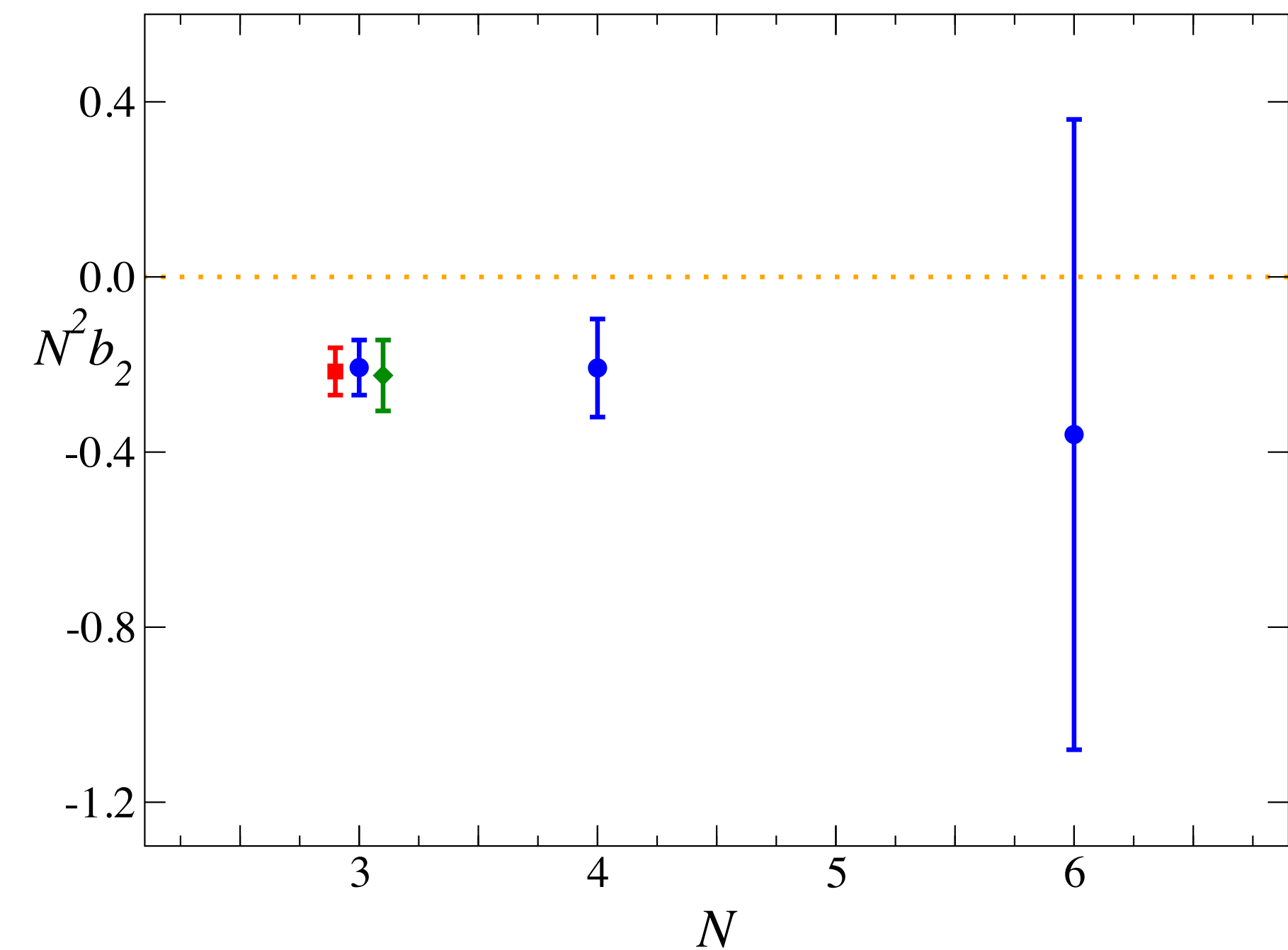
# First two coefficients

[Review by Vicari and Panagopoulos (2018)]

$$\chi/\sigma^2 = C_\infty + \frac{c_2}{N_c^2} + O(1/N_c^4)$$



$$b_2 = \frac{\bar{b}_2}{N_c^2} + O(1/N_c^4)$$

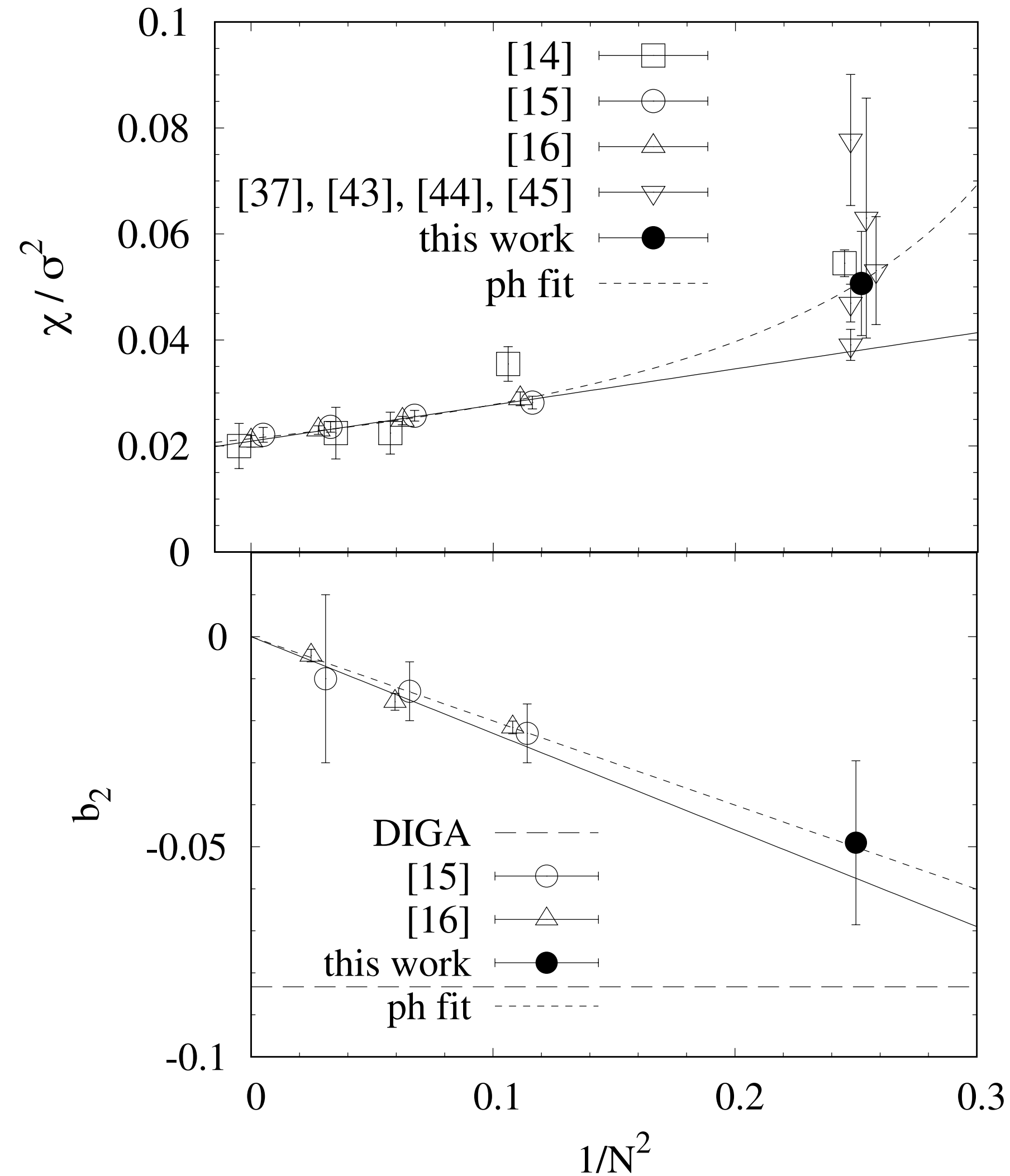


Correction to the large  $N_c$  limit looks small  $\Rightarrow$  nothing special happens down to  $N_c = 3$   
 Is  $N_c = 2$  exceptional like 2d  $CP^1$ ?



# $\chi$ and $b_2$ in $SU(2)$ at $T = 0$

[Kitano, NY, Yamazaki (2021)]



- smoothly connected to large  $N_c$  limit  
 $\Rightarrow N_c \in \mathbb{Z}$  can be analytically continued to  $\mathbb{R}$   
 $\Rightarrow f(\theta)$  is a smooth function of  $N_c$

- $b_2 \neq -\frac{1}{12}$  (*i.e.* not instanton-like)

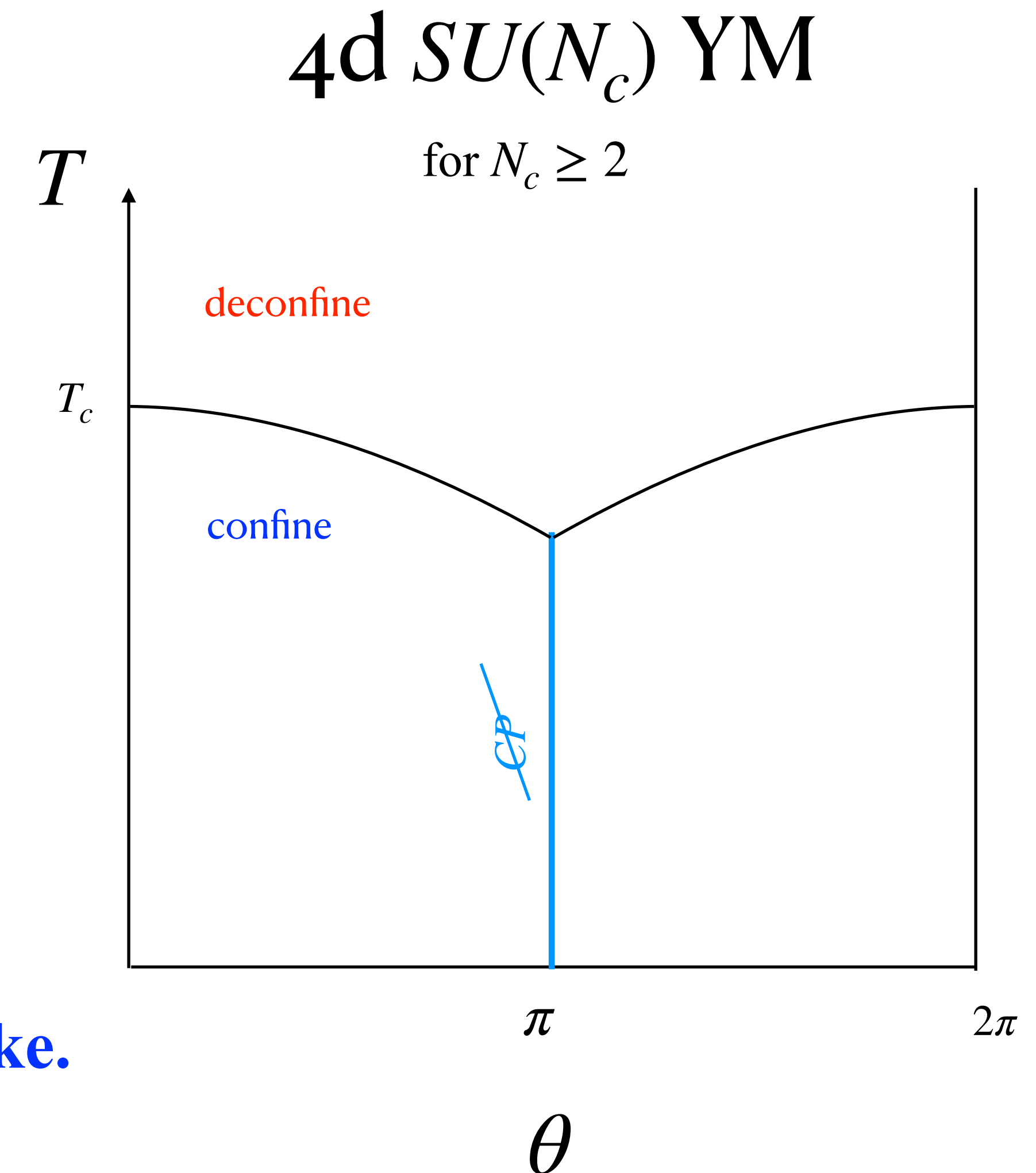
Speculated that  $SU(2)$  belongs to large  $N_c$  class and  **$CPV$  takes places at  $\theta = \pi$ .**

# Conjectured $\theta$ - $T$ phase diagram

- Large  $N_c$  argument  $\Rightarrow$  CPV in the vacuum at  $\theta = \pi$
- At high  $T$ , instanton calc.  $\Rightarrow$  no CPV at  $\theta = \pi$  at high  $T$
- “For general  $N_c$ , CP has to be broken at  $\theta = \pi$  if the vacuum is in the confining phase.”  
[Gaiotto, et al.(2017)], [Kitano, Suyama, NY(2017)]
- Numerical evidences and our speculation  $\Rightarrow$  CPV for  $N_c \geq 2$
- $T_c(\theta)$  has been known for SU(3) YM around  $\theta = 0$ .  
[D’Elia, Negro(2012, 2013)].

We want to check **the conjecture that SU(2) is large  $N_c$ -like.**

$\Rightarrow$  Naive lattice method fails. How to check?



# A method without any expansion

[Keith-Hynes and Thacker (2008)]

[Kitano, Matsudo, NY, Yamazaki (2021)]

Replace  $Q$  with  $Q_{\text{sub}} = \sum_{x \in V_{\text{sub}}} q(x) \notin \mathbb{Z}$

where  $V_{\text{sub}} = l^4$  is a sub-volume.

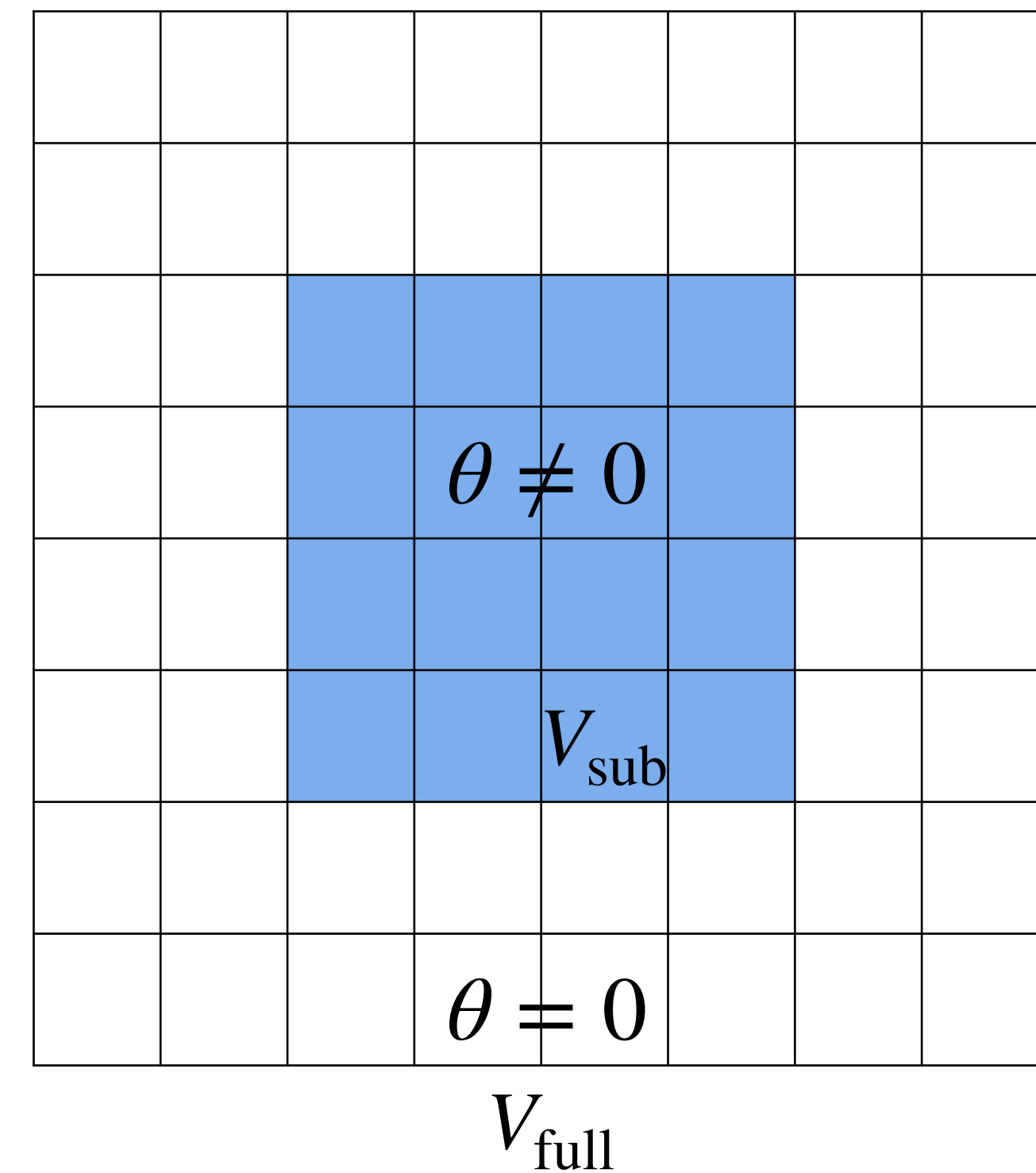
$$e^{-V_{\text{sub}} f_{\text{sub}}(\theta)} = \frac{Z_{\text{sub}}(\theta)}{Z(0)} = \frac{1}{Z(0)} \int \mathcal{D}U e^{-S_g + i\theta Q_{\text{sub}}} = \langle e^{i\theta Q_{\text{sub}}} \rangle$$

$$f_{\text{sub}}(\theta) = -\frac{1}{V_{\text{sub}}} \ln \langle \cos(\theta Q_{\text{sub}}) \rangle$$

$$f(\theta) = \lim_{V_{\text{sub}} \rightarrow \infty} f_{\text{sub}}(\theta) = \lim_{l \rightarrow \infty} \left\{ f(\theta) + \frac{s(\theta)}{l} + O(1/l^2) \right\}$$

with  $s(\theta)$  surface tension

sub-volume method

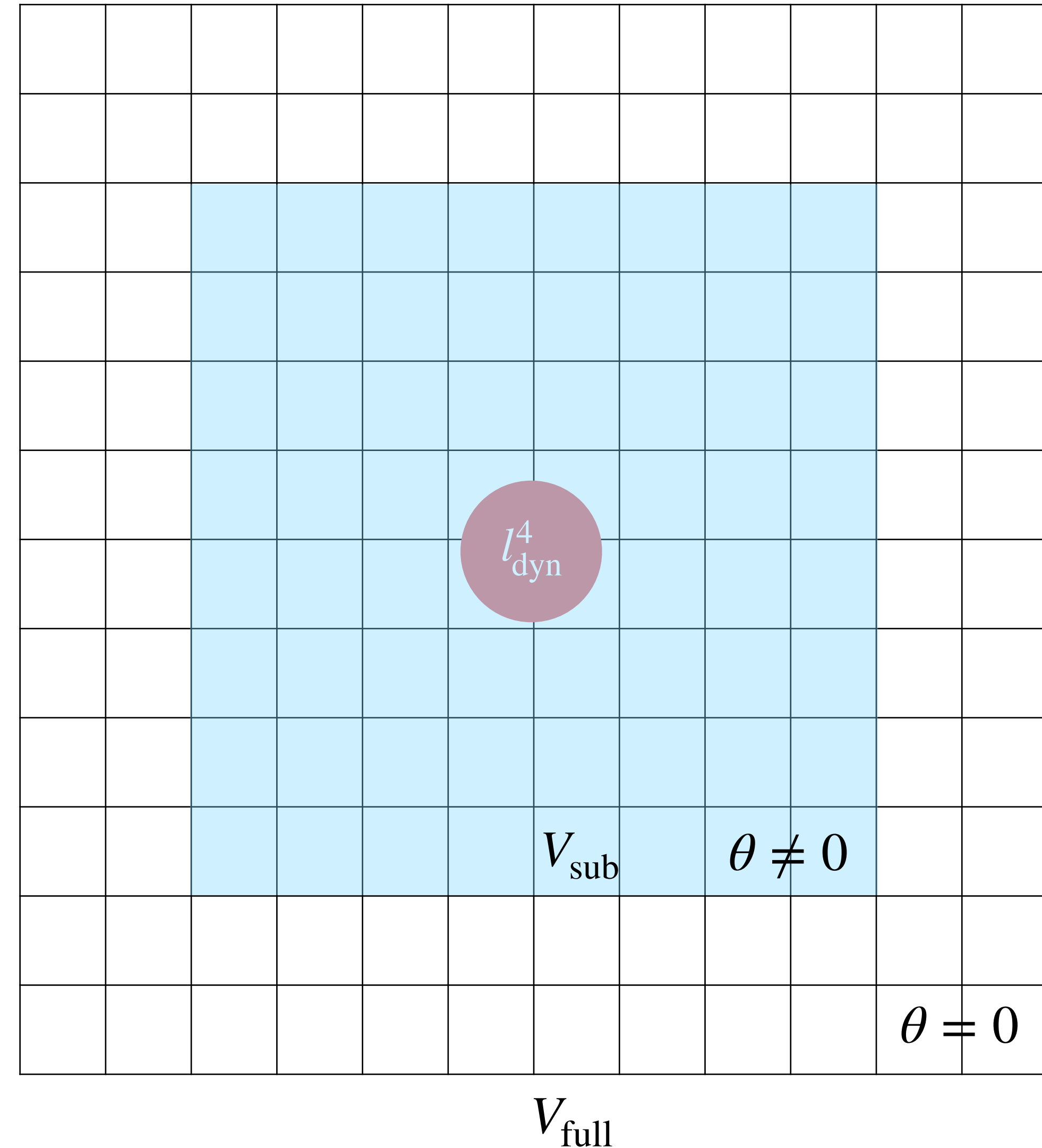


# Some remarks on the sub-volume method

What is the suitable range for  $V_{\text{sub}}$  ?

- $V_{\text{sub}} \gg l_{\text{dyn}}^4$  ( $l_{\text{dyn}}$ : dynamical length scale)
- As long as  $V_{\text{sub}} \gg l_{\text{dyn}}^4$ ,  $f_{\text{sub}}(\theta)$  is expected to show a scaling behavior,  $f_{\text{sub}}(\theta) = f(\theta) + \frac{s(\theta)}{l} + O(1/l^2)$ . (c.f. static potential)
- As  $V_{\text{sub}} \rightarrow V_{\text{full}}$ , finite size effects may appear.  $\Rightarrow V_{\text{sub}} \ll V_{\text{full}}$ .

$$l_{\text{dyn}}^4 \ll V_{\text{sub}} \ll V_{\text{full}}$$



# Some remarks on the sub-volume method (cont'd)

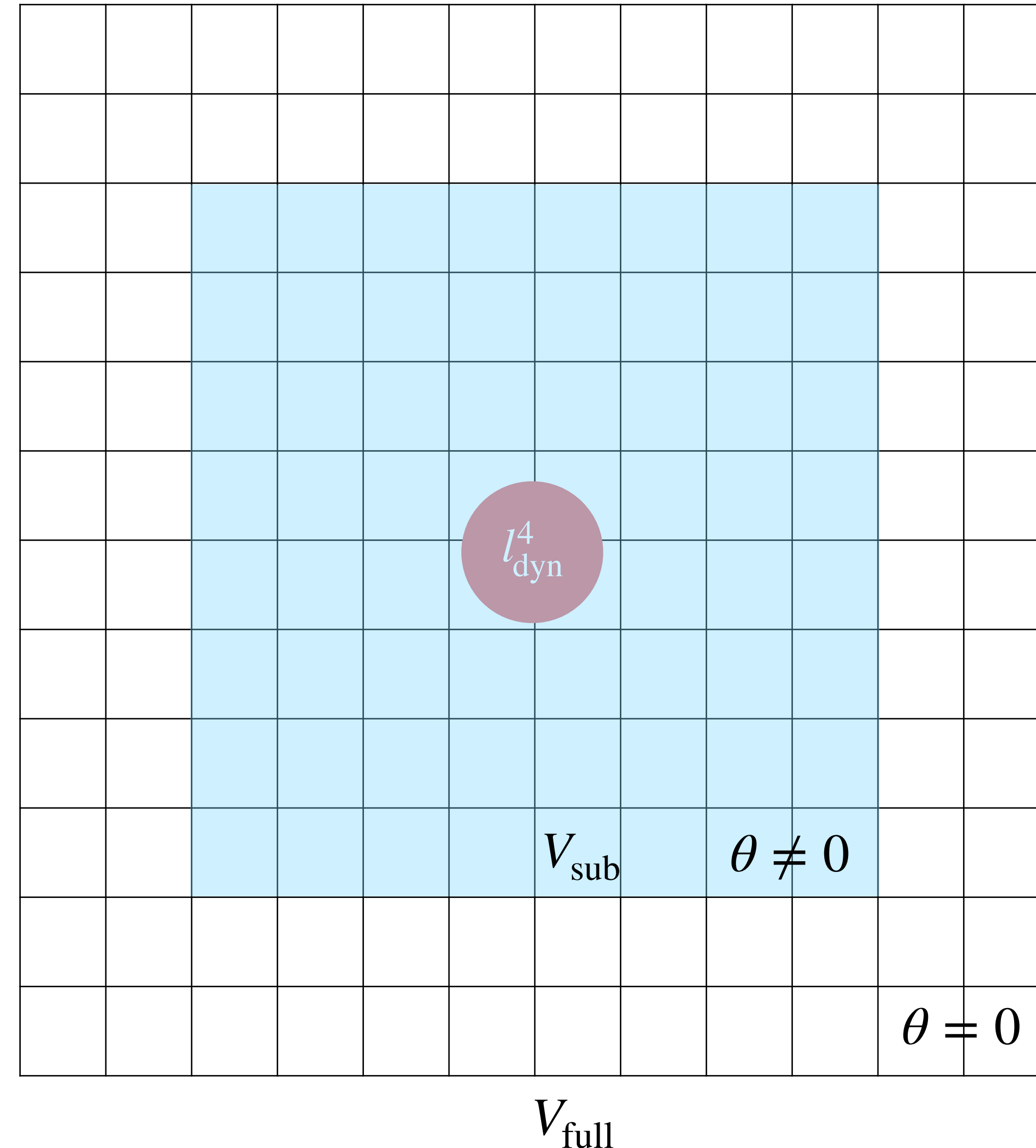
$$f_{\text{sub}}(\theta) = -\frac{1}{V_{\text{sub}}} \ln \langle \cos(\theta Q_{\text{sub}}) \rangle$$

The method fails when  $\langle \cos(\theta Q_{\text{sub}}) \rangle \leq 0$ .

Since  $Q_{\text{sub}}$  increases with  $V_{\text{sub}}$ ,  $V_{\text{sub}}$  may have an upper bound,  $V_{\text{sub}}^{\text{max}}(\theta)$ .

Requirement:  $l_{\text{dyn}}^4 \ll V_{\text{sub}}^{\text{max}}(\theta)$

If the window is open, possible to peek into the  $\theta$ -vacuum.



# Lattice parameters and observables

- $SU(2)$  YM theory by Symanzik improved gauge action

$$\bullet \beta = \frac{4}{g^2} = 1.975 \text{ [cf. } 1/(aT_c) = 9.50]$$

$$\bullet V_{\text{full}} = 24^3 \times \{48, 8, 6\} \text{ (} T = 0, 1.2T_c, 1.6T_c)$$

- Periodic boundary condition in all directions

$$\bullet \# \text{ of configs} = \{68000, 5000, 5000\}$$

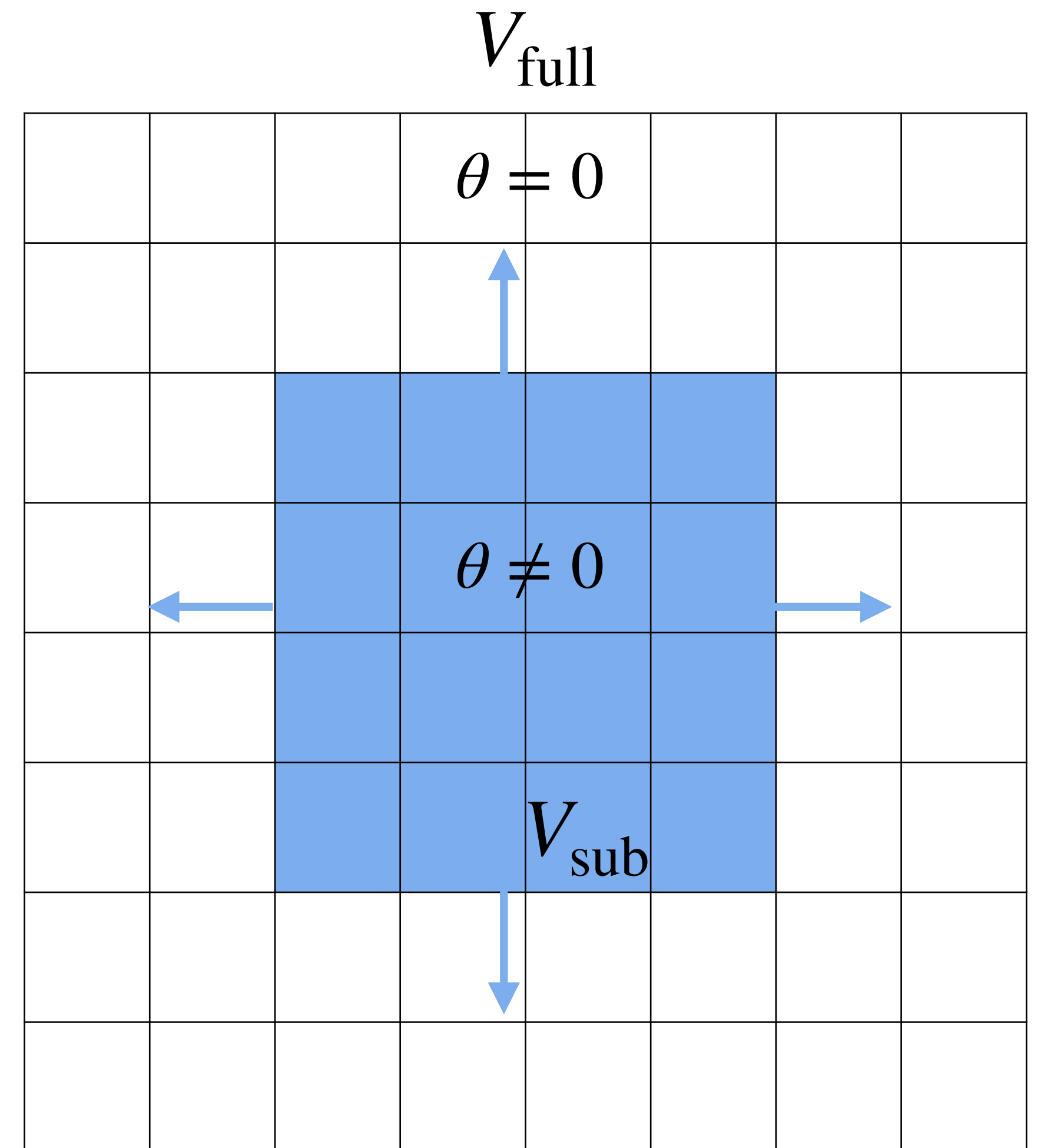
$$\bullet V_{\text{sub}} = l^4 \text{ for } T=0 \text{ and } V_{\text{sub}} = l^3 \times N_T \text{ for finite } T$$

$$\bullet Q_{\text{sub}} = \sum_{x \in V_{\text{sub}}} q(x) \text{ is calculated after APE smearing and}$$

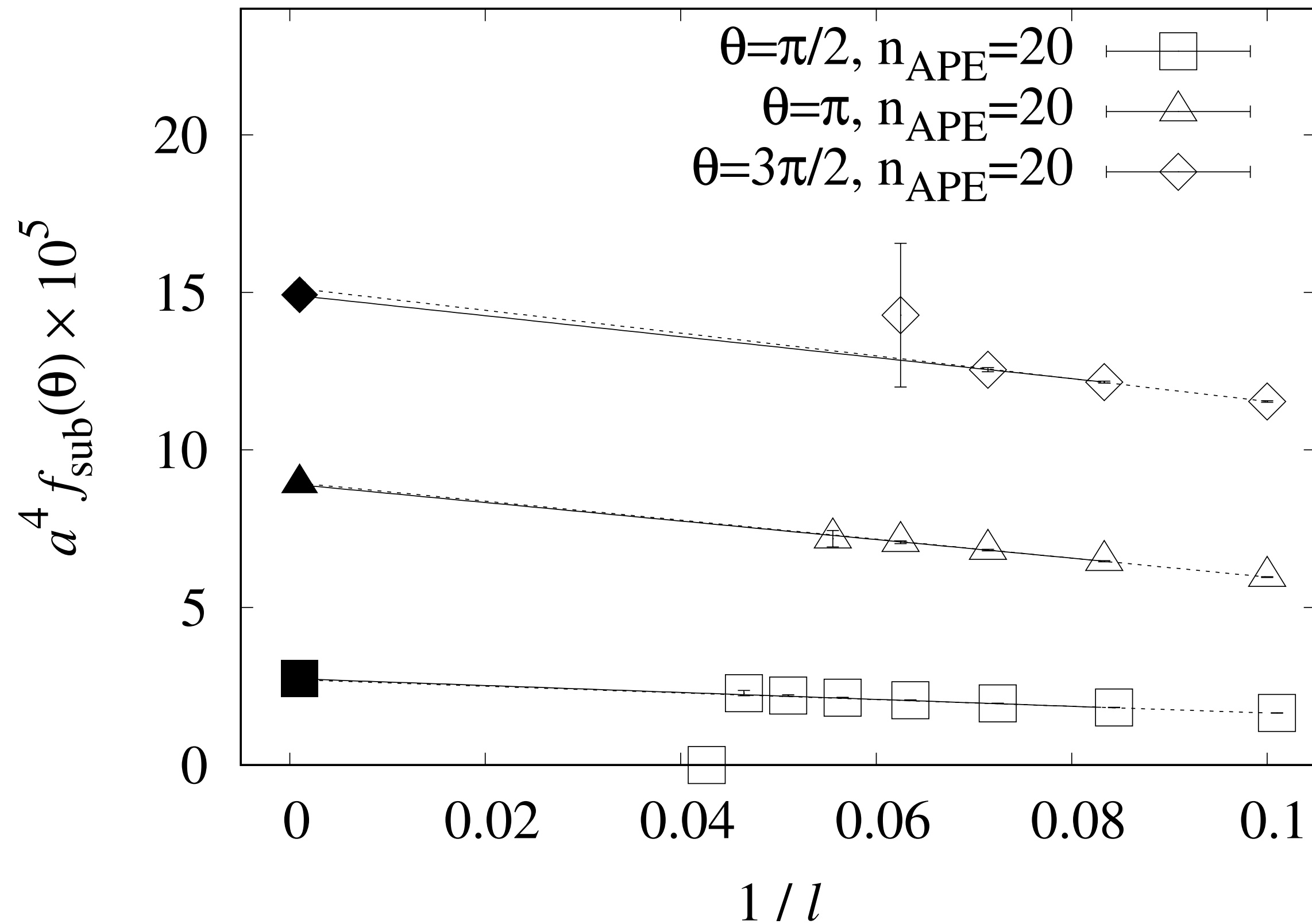
substituted in

$$\checkmark f(\theta) = - \lim_{V_{\text{sub}} \rightarrow \infty} \frac{1}{V_{\text{sub}}} \ln \langle \cos(\theta Q_{\text{sub}}) \rangle$$

$$\checkmark \frac{df(\theta)}{d\theta} = \lim_{V_{\text{sub}} \rightarrow \infty} \frac{1}{V_{\text{sub}}} \frac{\langle Q_{\text{sub}} \sin(\theta Q_{\text{sub}}) \rangle}{\langle \cos(\theta Q_{\text{sub}}) \rangle}$$



# $l \rightarrow \infty$ limit at $T = 0$

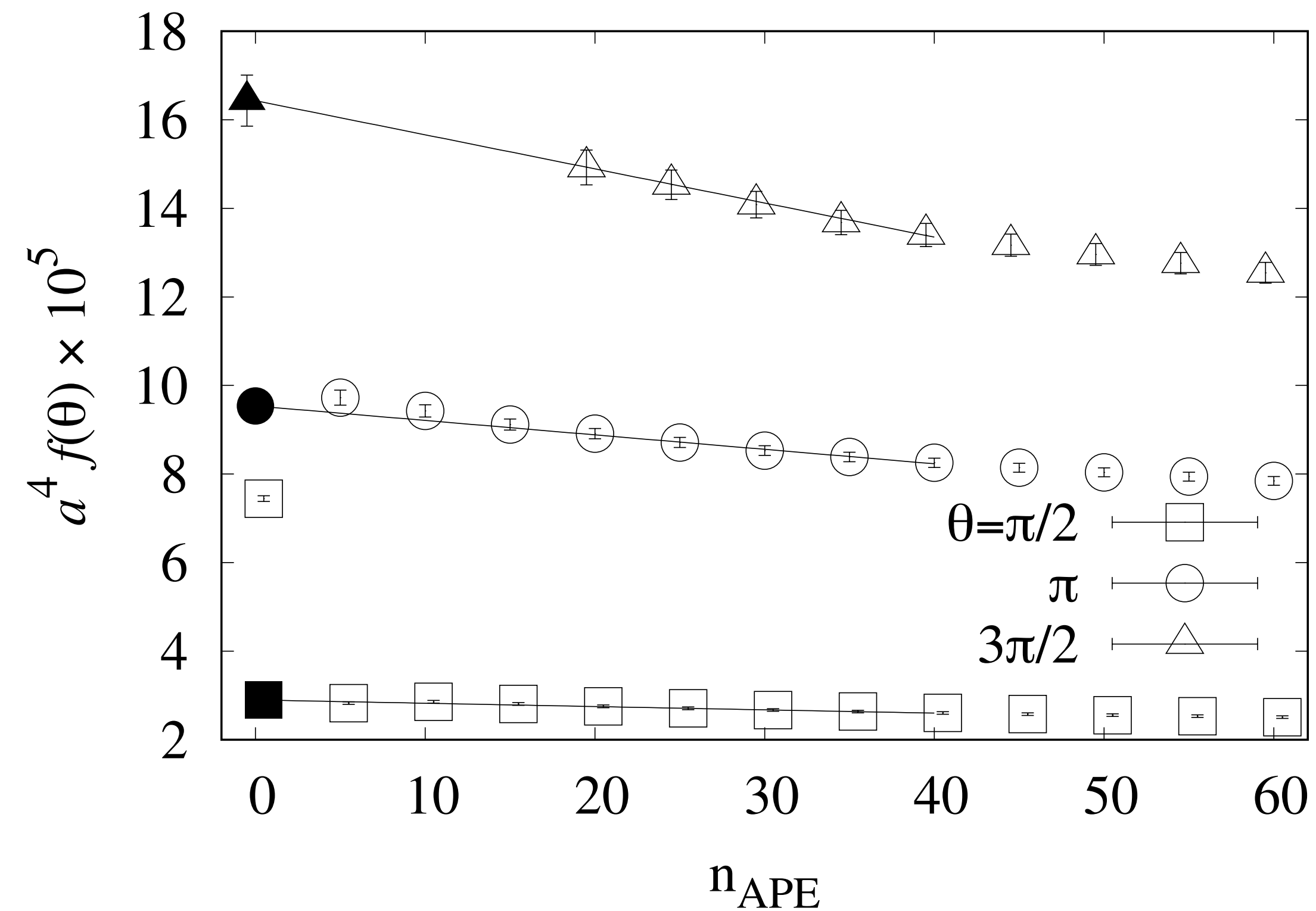


- $V_{\text{sub}} = l^4$  with  $l \in \{10, 12, \dots, 20\}$
- Data in the range of  $l_{\text{dyn}}^4 \ll V_{\text{sub}} \ll V_{\text{full}}$  are fitted to

$$f_{\text{sub}}(\theta) = f(\theta) + \frac{as(\theta)}{l}$$

- Linear extrapolation works well.

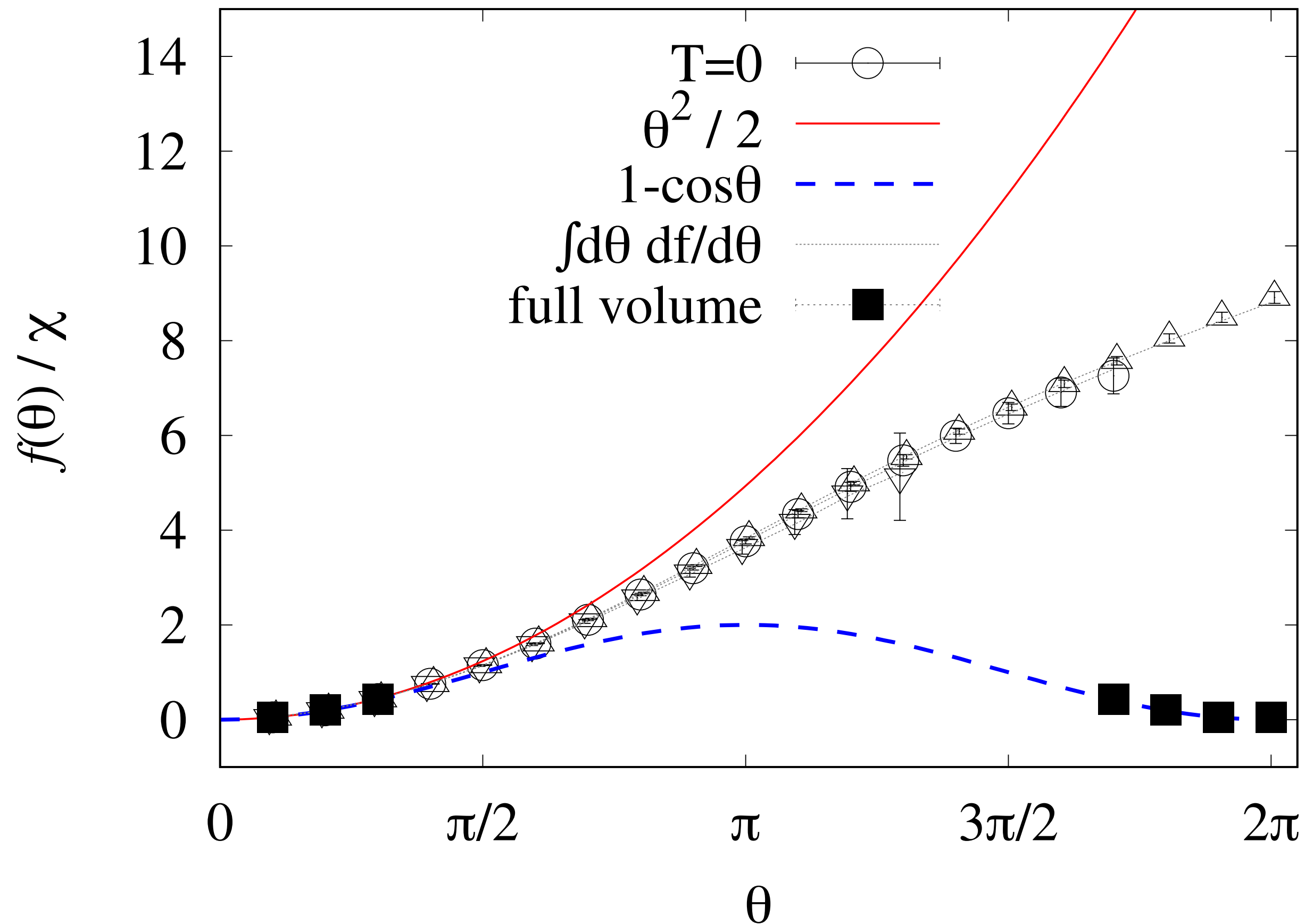
$n_{\text{APE}} \rightarrow 0$  limit at  $T = 0$



- Fit range  $n_{\text{APE}} = [20, 40]$  determined in [\[Kitano, NY, Yamazaki \(2021\)\]](#).
- Linear fit works well.
- Monotonic function  $f(\pi) < f(3\pi/2)$

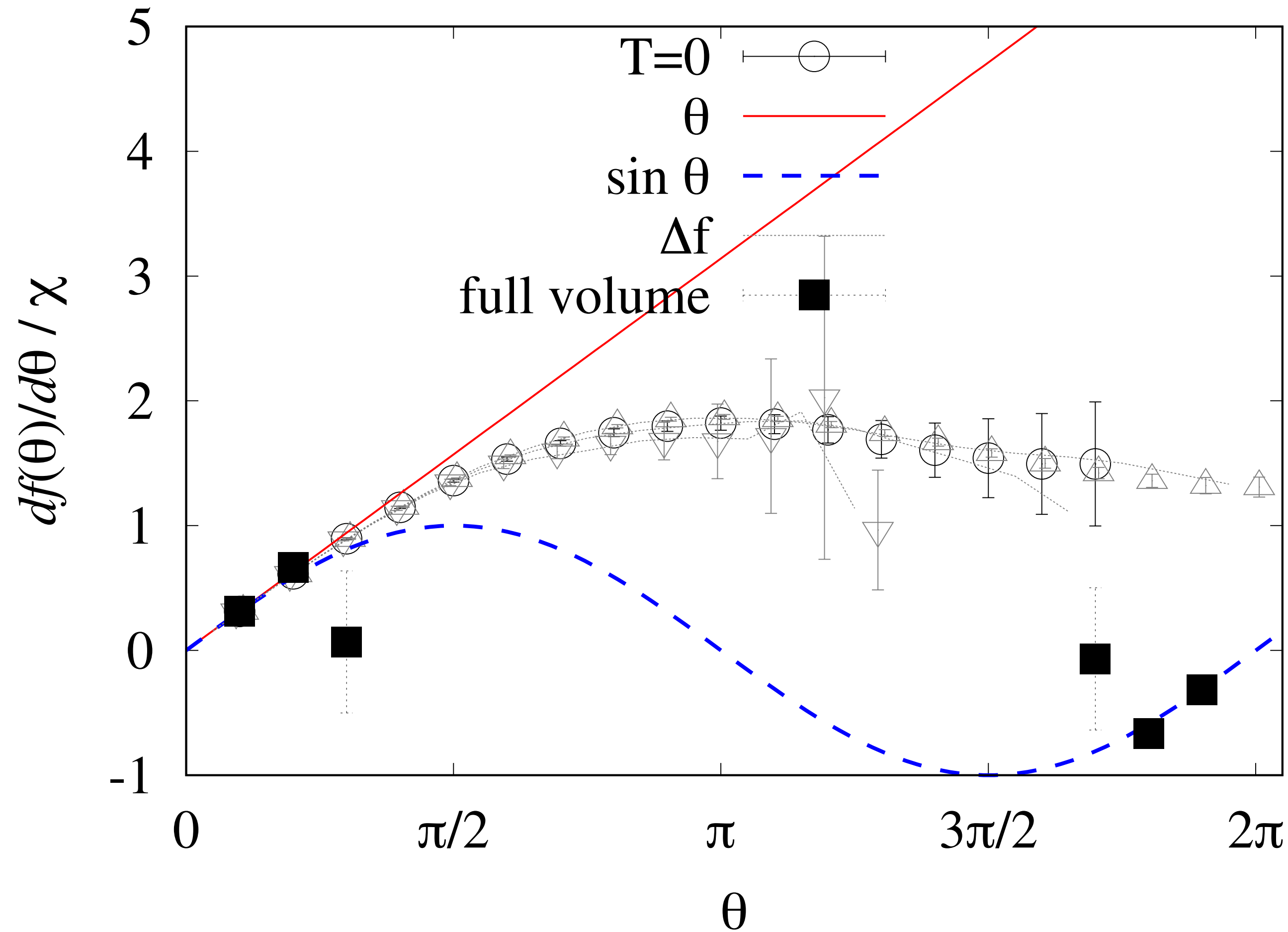


# $\theta$ dependence of $f(\theta)$ at $T = 0$



- Succeed to calculate up to  $\theta \sim 3\pi/2$
- Monotonically increasing function
- Inconsistent with DIGA
- Re-weighting (=full volume) method works only around  $\theta = 0$ .
- Numerical consistency with  $\int d\theta \frac{df}{d\theta}$

# $df(\theta)/d\theta$ at $T = 0$

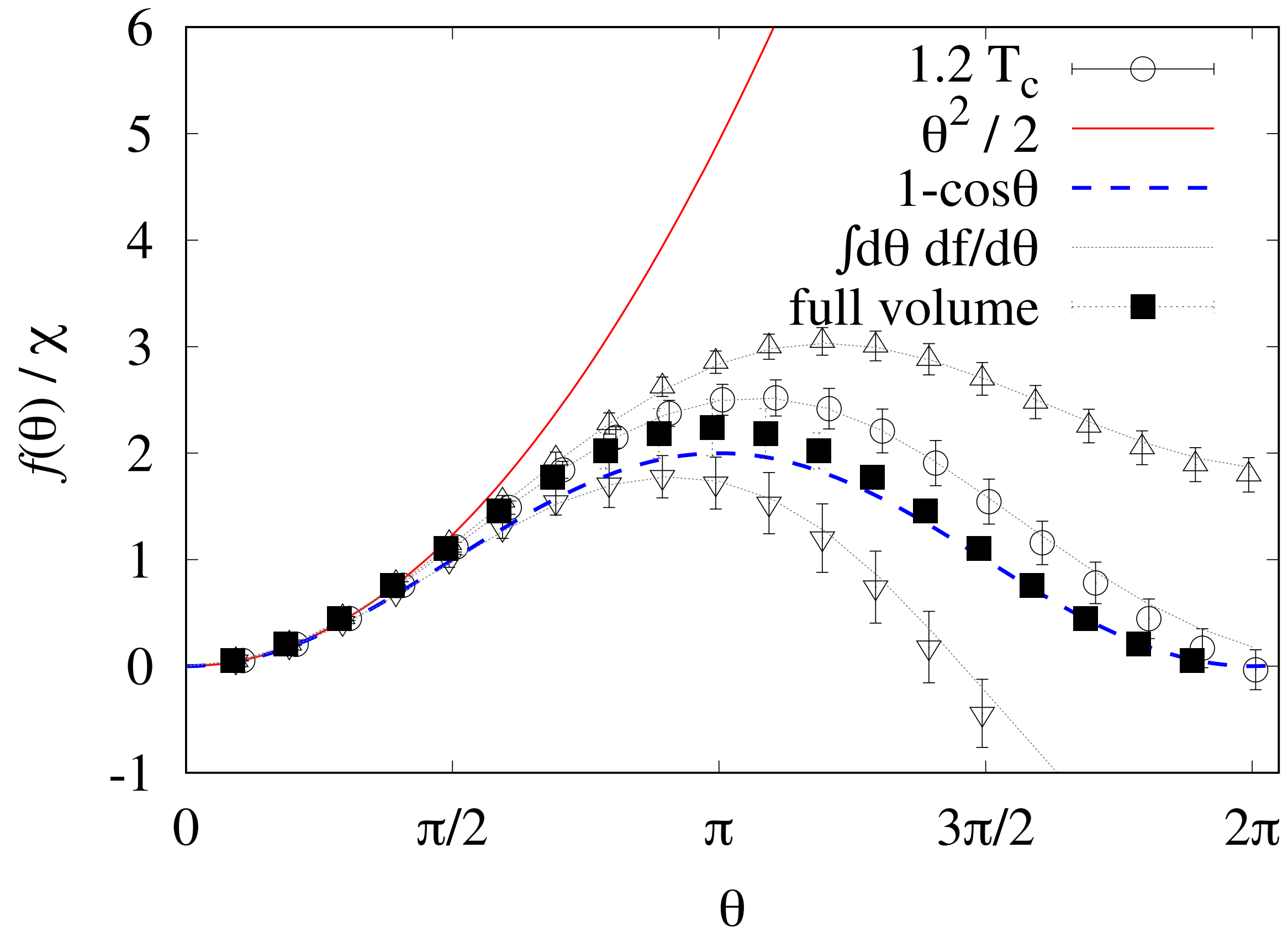


- Order parameter is non-zero

$$df(\theta)/d\theta \Big|_{\theta=\pi} = -i \langle q(x) \rangle_{\theta=\pi} \neq 0$$

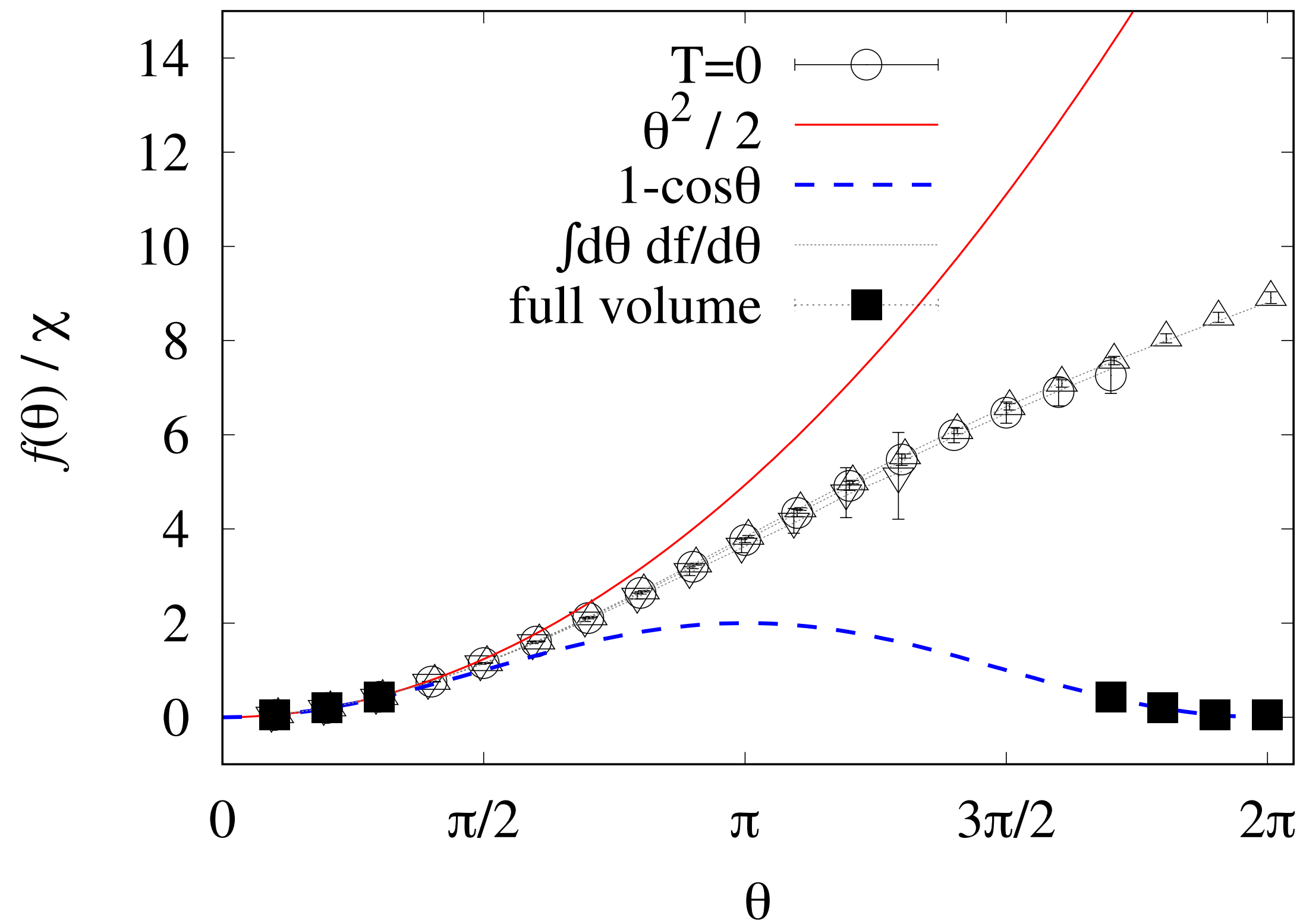
**$\Rightarrow$  spontaneous CPV at  $\theta = \pi$**

# $\theta$ dependence of $f(\theta)$ at $T = 1.2T_c$

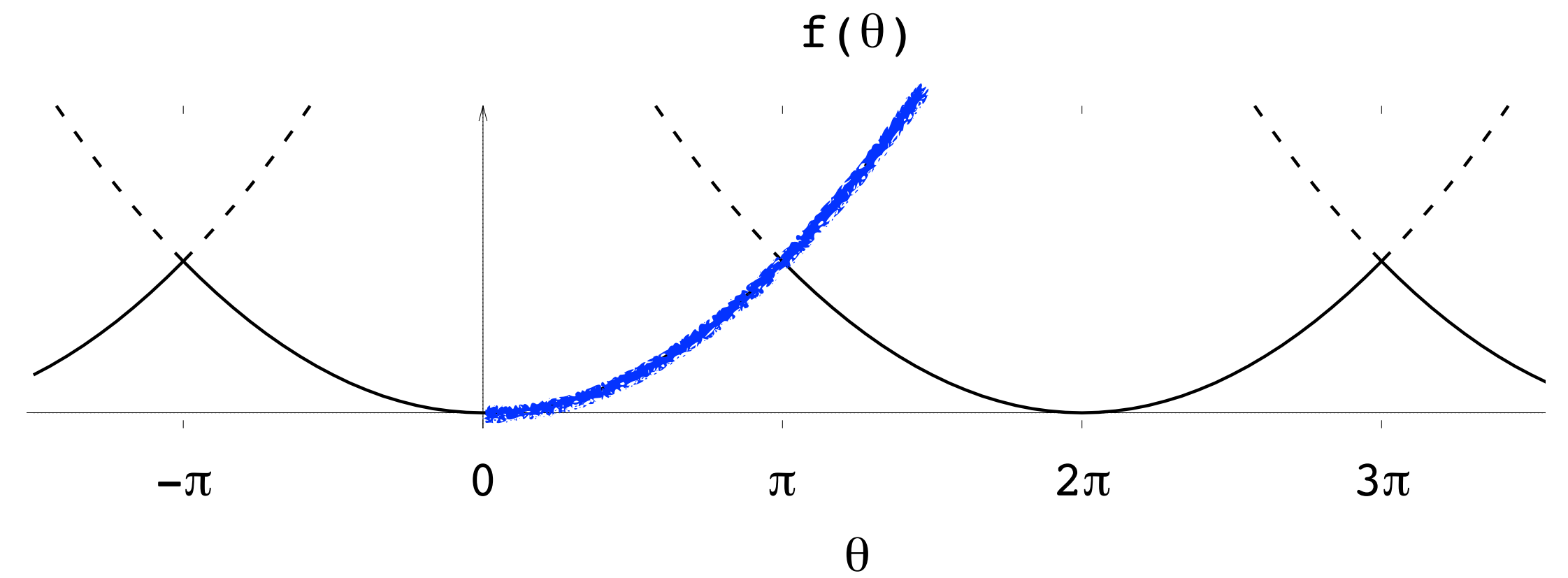


- Large systematic uncertainty due to ambiguity of the scaling region
- Within large uncertainty, consistent with the DIGA, and  $df(\theta)/d\theta \Big|_{\theta=\pi} \approx 0 \Rightarrow$  **no CPV** for  $T \geq 1.2 T_c$
- Similar results at  $T = 1.6 T_c$

$$f(\pi - \theta) = f(\pi + \theta)?$$

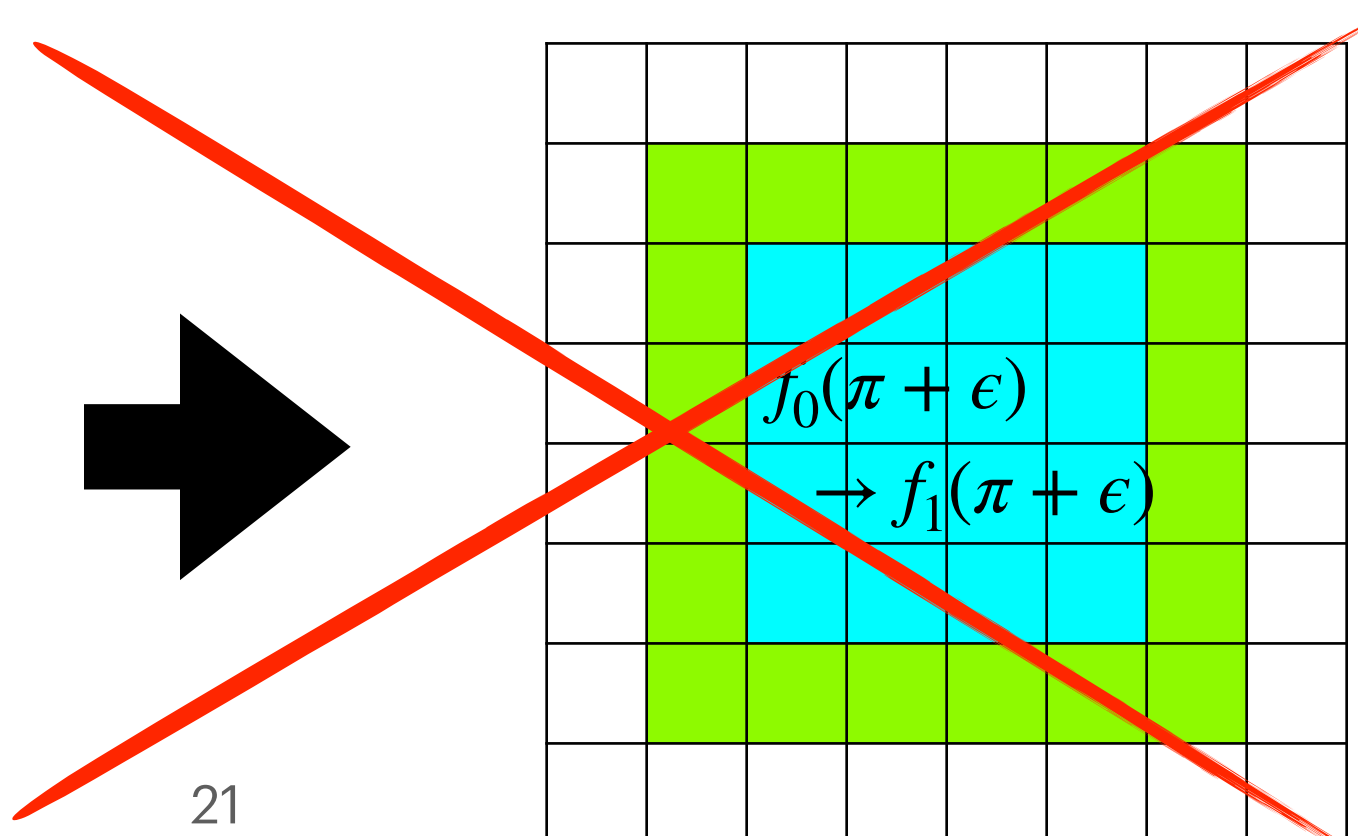
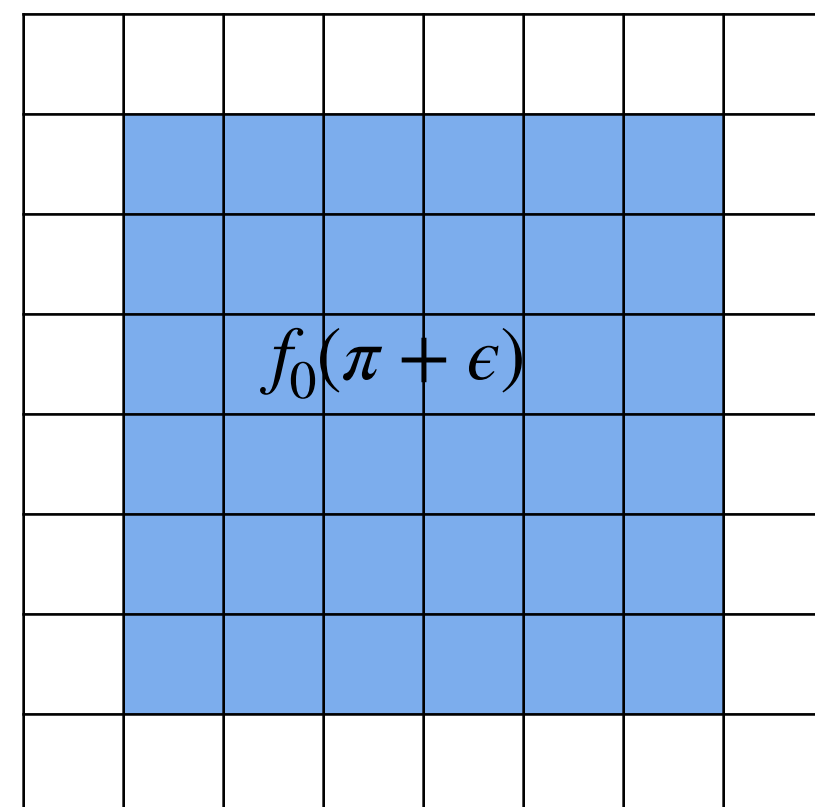
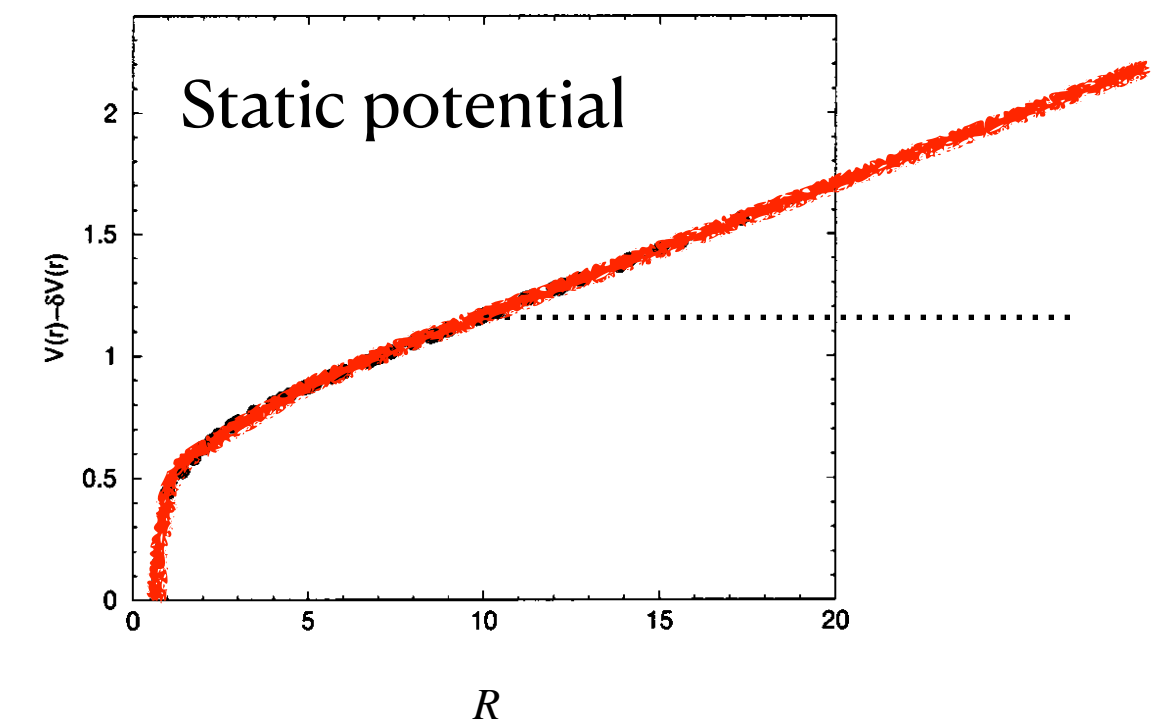
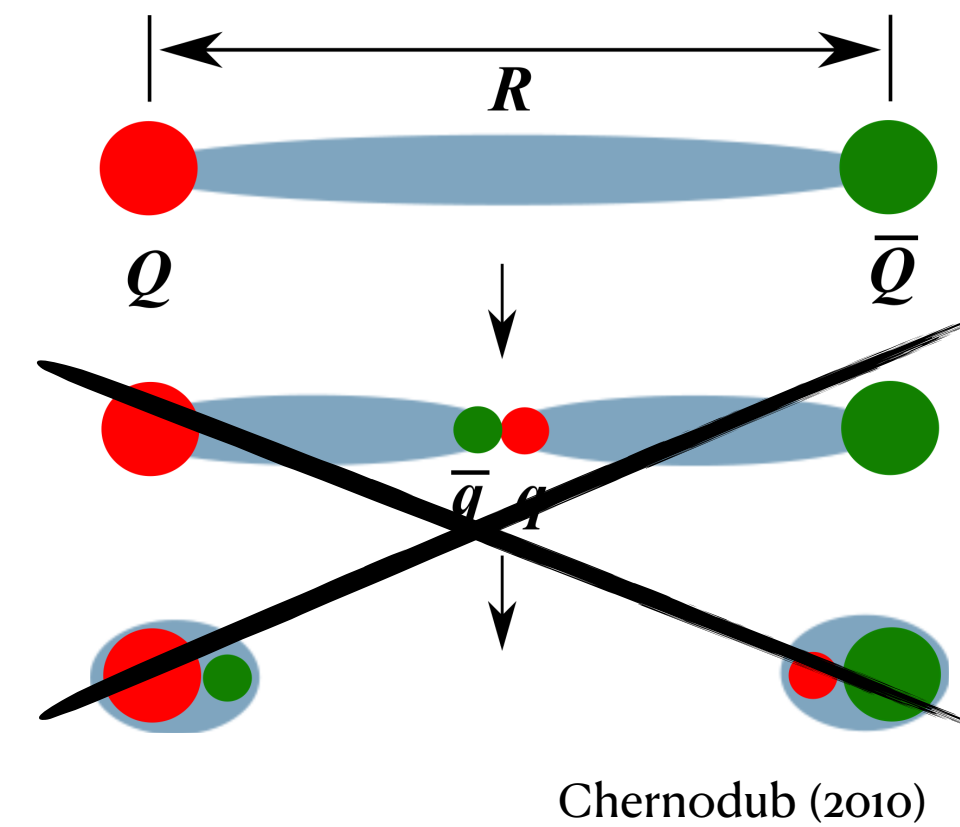
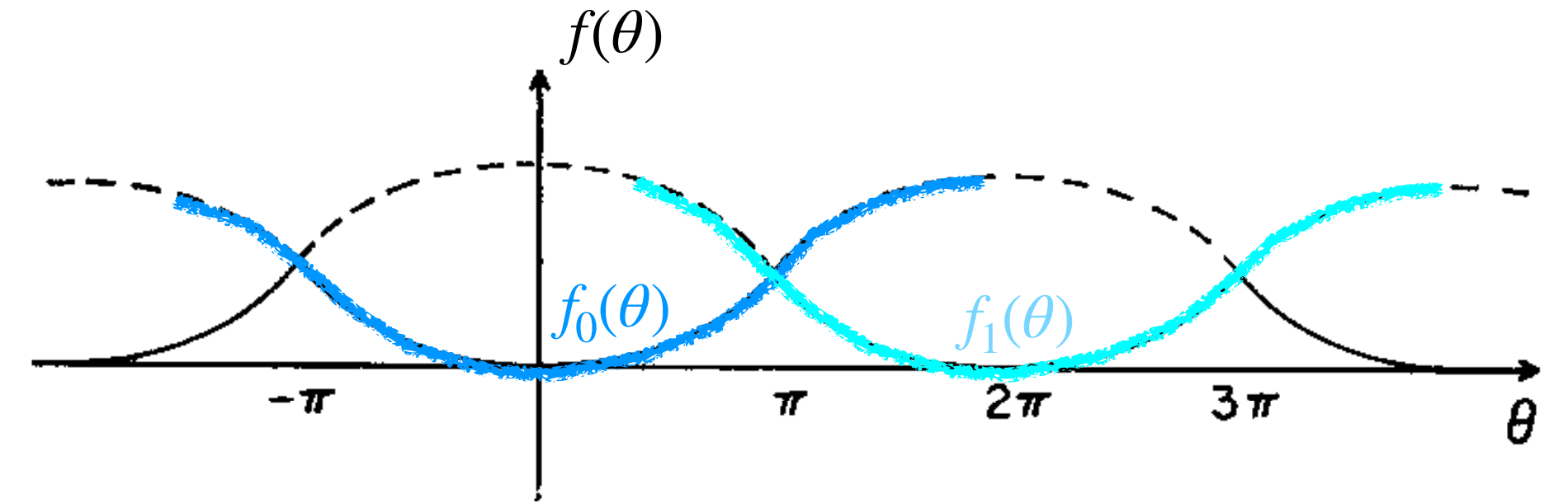


We are looking at



# $f(\pi - \theta) = f(\pi + \theta)$ ? (Cont'd)

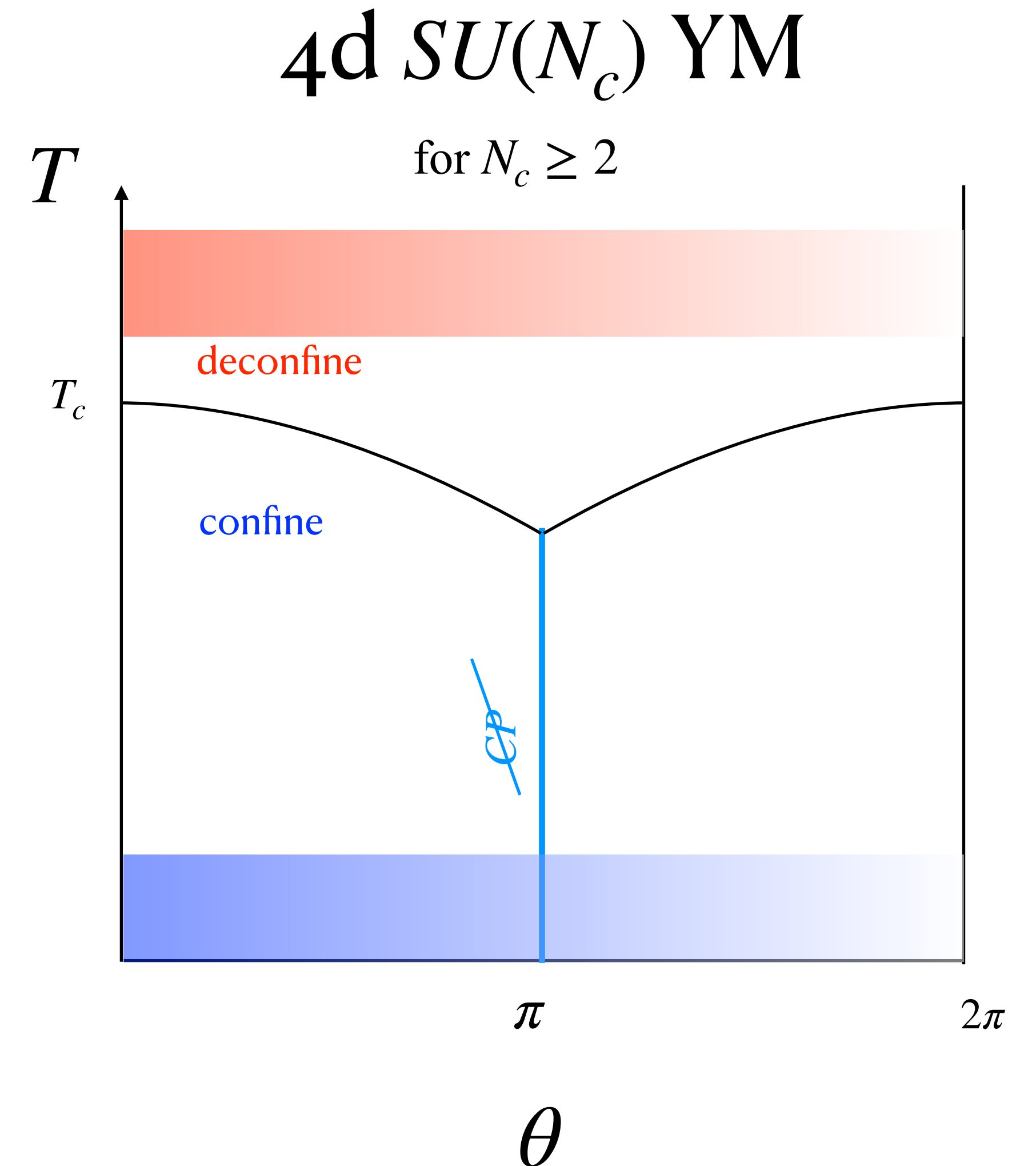
- Sub-volume method stick to trace the original branch even after passing the crossing points.
- Similar experience in the the static potential on the dynamical configs, where “string breaking” is expected to occur at large separation but ...  
 $\Rightarrow$  Nothing but overlap problem
- In the present case, the transition from domain to a bag-like object is expected to occur but ...



4d SU(N) YM has an topological object called “glue bag”.  
 [Luscher (1978)].

# Summary and conclusion

- We have developed a sub-volume method, which enables us to calculate  $f(\theta)$  up to  $\theta \sim 3\pi/2$  in  $SU(2)$  Yang-Mills theory.
- Combining with the theory requirement  $f(\pi - \theta) = f(\pi + \theta)$ , our result provides with the evidence for **spontaneous CPV** at  $\theta = \pi$  for the vacuum.  
 $\Rightarrow N_c=2$  belongs to large N class (not like  $CP^1$  model).
- The same method reproduces the result consistent with the DIGA,  $f(\theta) \sim \chi(1 - \cos \theta)$ , above  $1.2 T_c$ .



# Future studies

- In order for everything to be clear, we want to look at the  $\theta$  vacuum rather than peek into.
- Exploring the location of  $T_c(\theta)$   
[D'Elia, Negro(2012, 2013)]
- Also interesting to apply the sub-volume method to the finite density system.
- Other application ?

