

# Deformation of Dijkgraaf-Vafa Relation via Spontaneously Broken $\mathcal{N} = 2$ Supersymmetry

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c.f.

- [hep-th/0409060] Prog.Theor.Phys.113(2005)429-455
- [hep-th/0503113] Nucl.Phys.**B723**(2005)33-52
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with K. Fujiwara & M. Sakaguchi

- The model
- Spontaneous Partial Breaking of  $\mathcal{N} = 2$  Supersymmetry
- Mass Spectrum . . .
- Computation & Structure of  $W_{\text{eff}}$

# The Model and Limiting Cases

$\mathcal{N} = 1$  large interpolates by  $e, m, \xi$  small  $\mathcal{N} = 2$

$$S_W^{\mathcal{N}=1}$$

$$S_{\text{FIS}}^{\mathcal{N}=2}$$

$$S_{\text{SYM}}^{\mathcal{N}=2}$$

$$\mathcal{F}_{\text{m.m.}}^{(\text{eff})}(S_i), W_{\text{eff}}$$

DV relation

R.S. & matrix model description

DV, CIV, CDSW, H.I.-Morozov '02

...

$$\mathcal{F}_{\text{SW}}^{\text{eff}}(\phi_i) \quad \text{SW}$$

R.S. description

Gorsky et.al., Martinec-Warner,

H.I.-Morozov '95 ...

$$S_{\text{FIS}}^{\mathcal{N}=2} = \int d^4x d^4\theta \left[ -\frac{i}{2} \text{Tr} \left( \bar{\Phi} e^{adV} \frac{\partial \mathcal{F}(\Phi)}{\partial \Phi} - h.c. \right) + \xi V^0 \right] \\ + \left[ \int d^4x d^2\theta \left( -\frac{i}{4} \frac{\partial^2 \mathcal{F}(\Phi)}{\partial \Phi^a \partial \Phi^b} \mathcal{W}^a \mathcal{W}^b + e \Phi^0 + m \frac{\partial \mathcal{F}(\Phi)}{\partial \Phi^0} \right) + h.c. \right]$$

cf.  $S_W^{\mathcal{N}=1} = \int d^4x d^4\theta \text{Tr} \bar{\Phi} e^{adV} \Phi + \left[ \int d^4x d^2\theta \text{Tr} (i\tau \mathcal{W} \mathcal{W} + W(\Phi)) + h.c. \right]$

$\mu_0$   
 $\mu$   
 $\mu_{\text{IR}}$   
↓

## $\mathcal{N} = 2$ Supersymmetry with (Bare) Superpotential

- Strategy to get  $\mathcal{N} = 2$ :

$$\lambda_i^a = \begin{pmatrix} \lambda^a \\ \psi^a \end{pmatrix} \rightarrow \lambda^{ia} = \begin{pmatrix} \psi^a \\ -\lambda^a \end{pmatrix} = R \lambda_i^a R^{-1}$$

$$R \delta_{\eta_1=\theta}^{(1,\xi)} R^{-1} \equiv \delta_{\eta_2=\theta}^{(2,-\xi)} \quad \text{so that} \quad 0 = \delta_{\eta_2=\theta}^{(2,\xi)} S(\xi) \quad \text{follows from} \quad R \delta_{\eta_1=\theta}^{(1,\xi)} S(\xi) R^{-1} = 0$$

- Take a generic superpotential and a gauge kinetic function and impose  $R$  invariance:

$$\text{The solution } W = eA^0 + m\mathcal{F}_0, \quad \tau_{ab} = \mathcal{F}_{ab}$$

- Transformation laws:

$$\delta \lambda_J^a = i(\tau \cdot D^a)_J{}^K \eta_K + \dots$$

$$D^a = \hat{D}^a - \sqrt{2} g^{ab*} \partial_{b*} (\mathcal{E} A^{*0} + \mathcal{M} \mathcal{F}_0^*).$$

fermion bilinears

$$\mathcal{E} = (0, -e, \xi), \quad \mathcal{M} = (0, -m, 0),$$

# Spontaneous Partial Breaking of $\mathcal{N} = 2$ Supersymmetry

- basic mechanism:  $\left\{ \bar{Q}_{\dot{\alpha}}^j, \mathcal{S}_{\alpha i}^m(x) \right\} = 2(\sigma^n)_{\alpha\dot{\alpha}} \delta_i^j T_n^m(x) + (\sigma^m)_{\alpha\dot{\alpha}} C_i^j$
- $C_i^j$ : **not** a VEV but follows simply from the algebra.

The model predicts:

- $C_i^j = 4m\xi\tau_1 \xrightarrow{90^\circ \text{rot.}} 4m\xi\tau_3$  The scalar ptl VEV  $\langle\langle \mathcal{V} \rangle\rangle = \mp 2m\xi = 2|m\xi|$
- $\therefore$  Half of the supercharges annihilates the vacuum while the remaining half takes  $\infty \sim |m\xi| \int d^4x$  matrix elements.
- $\therefore$  Partial Breaking of Extended SUSY is a Reality.

## A Few Tree Properties

$$\langle\langle \mathcal{F}_{jj} \rangle\rangle = -2 \left( \frac{e}{m} \mp i \frac{\xi}{m} \right) = -2\zeta \quad ; \text{ the vac. condition}$$

$$\langle\langle g_{jj} \rangle\rangle = \mp 2 \frac{\xi}{m},$$

$$\langle\langle \mathbf{D}^j \rangle\rangle = \frac{m}{\sqrt{N}} \begin{pmatrix} 0 \\ -i \\ \pm 1 \end{pmatrix}$$

- NG fermion  $\frac{1}{\sqrt{2}}(\lambda^0 + \psi^0)$  resides in the overall  $U(1)$  part but **not decoupled**

$$\frac{\partial^2 \mathcal{F}(\Phi)}{\partial \Phi^a \partial \Phi^b} \mathcal{W}^a \mathcal{W}^b = \left\langle \frac{\partial^3 \tilde{\mathcal{F}}(\tilde{\Phi})}{\partial \tilde{\Phi}^0 \partial \tilde{\Phi}^{\hat{a}} \partial \tilde{\Phi}^{\hat{b}}} \right\rangle \mathcal{W}^0 \underbrace{\mathcal{W}^{\hat{a}} \tilde{\Phi}^{\hat{b}}}_{\substack{\uparrow \text{ overall } U(1) \quad \swarrow \text{ } SU(N)}}$$

- Breaking pattern of gauge symmetry:  $\text{deg } \mathcal{F} = n + 2$

$$U(N) \rightarrow \prod_{i=1}^n U(N_i) \quad \text{with} \quad \sum_{i=1}^n N_i = N$$

cf. partition of  $N$  eigenvalues

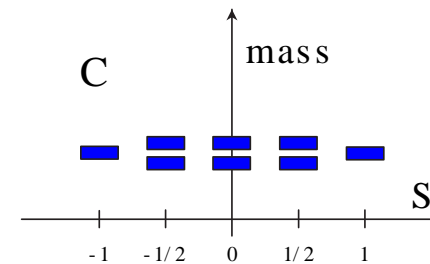
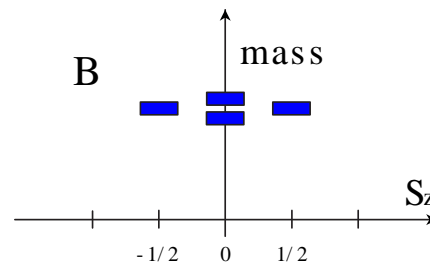
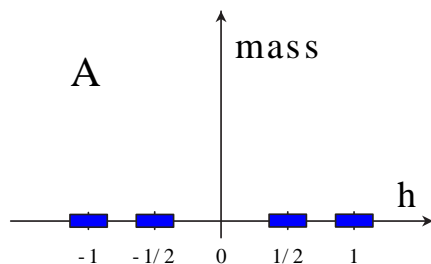
# Mass Spectrum

index labelling  $a, b, \dots = \begin{cases} \alpha, \beta, \dots & \text{for unbroken generators} \\ \mu, \nu, \dots & \text{for broken generators} \end{cases}$

• the table

field	mass	label	# of polarization states
$v_m^\alpha$	0	A	$2d_u (d_u \equiv \dim \prod_i U(N_i))$
$v_m^\mu$	$ \frac{1}{\sqrt{2}} f_{\mu i}^\nu \lambda^i $	C	$3(N^2 - d_u)$
$\frac{1}{\sqrt{2}}(\lambda^\alpha \pm \psi^\alpha)$	0	A	$2d_u$
$\frac{1}{\sqrt{2}}(\lambda^\alpha \mp \psi^\alpha)$	$ m \langle\langle g^{\alpha\alpha} \rangle\rangle \langle\langle \mathcal{F}_{0\alpha\alpha} \rangle\rangle $	B	$2d_u$
$\lambda_I^\mu$	$ \frac{1}{\sqrt{2}} f_{\mu i}^\nu \lambda^i $	C	$4(N^2 - d_u)$
$A^\alpha$	$ m \langle\langle g^{\alpha\alpha} \rangle\rangle \langle\langle \mathcal{F}_{0\alpha\alpha} \rangle\rangle $	B	$2d_u$
$\mathcal{P}_{\mu}^{\tilde{\mu}} A^\mu$	$ \frac{1}{\sqrt{2}} f_{\mu i}^\nu \lambda^i $	C	$N^2 - d_u$

•  $\mathcal{N} = 1$  supermultiplet



## Fermionic Shift Symmetry of $S_W^{\mathcal{N}=1}$ and $W_{\text{eff}}$

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$$S \equiv -\frac{1}{32\pi^2} \text{Tr} \mathcal{W}^\alpha \mathcal{W}_\alpha \quad \ni \text{Tr} \lambda^\alpha \lambda_\alpha \quad \text{gluino condensate variables} \quad \text{DV, CDSW}$$

$$w^\alpha \equiv \frac{1}{4\pi} \text{Tr} \mathcal{W}^\alpha \quad U(N) \text{ unbroken for simplicity}$$

- Introduce “grassmann coordinates”  $\psi^\alpha$

$$\begin{aligned} \hat{S} &= -\frac{1}{2} \text{Tr} \left( \frac{1}{4\pi} \mathcal{W}^\alpha - \psi^\alpha \mathbf{1} \right) \left( \frac{1}{4\pi} \mathcal{W}_\alpha - \psi_\alpha \mathbf{1} \right) \\ &= S + \psi w - \frac{1}{2} \psi \psi N \end{aligned}$$

- The fermionic shift symmetry  $\rightsquigarrow$  decoupling of overall  $U(1)$

$$\text{acts as} \quad \delta \hat{S} = \epsilon \frac{d}{d\psi} \hat{S}$$

- $\exists \mathcal{F}$  s.t.

$$W_{\text{eff}} = \int d^2\psi \mathcal{F}(\hat{S}) = N \frac{\partial \mathcal{F}(S)}{\partial S} + \frac{\partial^2 \mathcal{F}(S)}{\partial S^2} w w \quad \text{DV relation}$$

- Remnant of the 2nd supersymmetry of  $S_{\text{FIS}}^{\mathcal{N}=2}$

## $W_{\text{eff}}$ of $S_{\text{FIS}}^{\mathcal{N}=2}$ ; Deformation of DV Formula

So far the matter induced part only

- summary of our understanding;

$$W_{\text{eff}}^{(h-1)} = N \frac{\partial F^{(h-1)}}{\partial S} + \frac{\partial^2 F^{(h-1)}}{\partial S^2} w^\alpha w_\alpha - \frac{16\pi^2 i m g_3}{m g_2} \left( \frac{\partial F^{(h-1)}}{\partial S} \right) \frac{S}{m} + W_2^{(h-1)} + O\left(\frac{1}{m^2}\right)$$

$h$  : # of index loops

$F^{(h-1)}$  ; the  $(h-1)$  loop contribution to the planar free energy of the matrix model

$W_2^{(h-1)}$  ; replace one coupling constant  $m g_\ell$  by  $\frac{16\pi^2 i g_{\ell+1} S}{N h}$ , for  $\ell \geq 3$  in the 1st term

- basis of our argument;

- integrate  $\bar{\Phi}$  out

- propagator

$$\Delta(p, \pi) = \int_0^\infty ds e^{-s(p^2 + m' + \frac{1}{2} a d \mathcal{W}^\alpha \pi_\alpha - i g'_3 M)}$$

$$M_{abcd} = (\mathcal{W}\mathcal{W})_{da} \delta_{bc} + (\mathcal{W}\mathcal{W})_{bc} \delta_{da} + \mathcal{W}_{da} \mathcal{W}_{bc}$$

cf. Grisar et. al.

- vertices

type I.  $m \frac{g_k a^k}{k!} \text{Tr} \Phi^k, \quad k = 3, \dots, n+1$

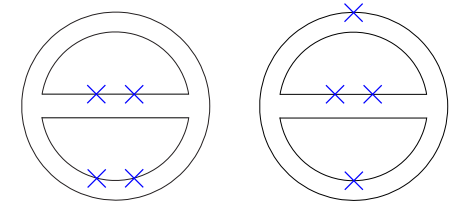
type II.  $-\frac{i}{4} \sum_{s=0}^{k-1} \frac{g_k a^{k-1}}{k!} \text{Tr}(\mathcal{W} \Phi^s \mathcal{W} \Phi^{k-1-s}),$   
 $k = 4, \dots, n+1$



- outline of our argument;

e.g.  $h - 1 = 2$

- **universal** to every  $(h - 1)$ -loop planar diagram up to c.c. & symmetric factors



- $\pi^\alpha$  momentum integration must be **saturated**:  
 $\Rightarrow$  only the **planar** diagrams contribute
- suppose that **our finding were** absent:  
 $\Rightarrow$  up to the factors mentioned, we get

$$\left( \prod_{i=1}^h \int ds_i \right) e^{-(\sum s_i)m'} \frac{1}{4^{h-1}} \{ N h S^{h-1} + {}_h C_2 2 S^{h-2} w^\alpha w_\alpha \}$$

$$\equiv \left( \prod_{i=1}^h \int ds_i \right) e^{-(\sum s_i)m'} \mathcal{A}_0^{(h-1)}$$

★  $\exists$  two types of corrections to  $\mathcal{A}_0^{(h-1)}$ :

•  $\mathcal{A}_1^{(h-1)}$ ; propagator correction insert two more  $\mathcal{W}$ , namely,  $\times \times$

$$\begin{aligned} & \left( \prod_{i=1}^h \int ds_i \right) e^{-(\sum s_i)m'} (\mathcal{A}_0^{(h-1)} + \mathcal{A}_1^{(h-1)}(s_i)) \\ & = \frac{h}{m'} \left( \frac{S}{4m'} \right)^{h-1} \left( N - \frac{16\pi^2 i g_3 S}{m g_2} \right) + \frac{h C_2}{2m'^2} \left( \frac{S}{4m'} \right)^{h-2} w^\alpha w_\alpha. \end{aligned}$$

•  $\mathcal{A}_2^{(h-1)}$ ; the correction obtained by replacing  $\text{Tr}\Phi^\ell$  by

$$\text{Tr}(2\mathcal{W}\mathcal{W}\Phi^\ell + \mathcal{W}\Phi\mathcal{W}\Phi^{\ell-1} + \dots + \mathcal{W}\Phi^{\ell-1}\mathcal{W}\Phi)$$

$\Rightarrow$  can use only once and exhaust all possibilities

$$m g_\ell \rightarrow \frac{16\pi^2 i g_{\ell+1} S}{N h}, \quad \text{for } \ell \geq 3$$

• explicit computation for  $h - 1 = 2$  loop

$$W_{\text{eff}}^{(2)} = -\frac{(m g_3)^2}{32(m g_2)^3} N S^2 - \frac{(m g_3)^2}{16(m g_2)^3} S w^\alpha w_\alpha + \frac{\pi^2 i (m g_3)^3 S^3}{2(m g_2)^4 m} - \frac{\pi^2 i (m g_3)(m g_4) S^3}{2(m g_2)^3 m}$$

## Generalized Konishi Anomaly Equation

$$R(z) = -\frac{1}{64\pi^2} \left\langle \text{Tr} \mathcal{W}^\alpha \mathcal{W}_\alpha \frac{1}{z - \Phi} \right\rangle_\Phi, \quad T(z) = \left\langle \text{Tr} \frac{1}{z - \Phi} \right\rangle_\Phi$$

$$R(z)^2 = W'(z)R(z) + \frac{1}{4}f(z),$$

$$2R(z)T(z) = W'(z)T(z) + \frac{1}{4}c(z) + 16\pi^2 i \mathcal{F}'''(z)R(z) + \frac{1}{4}\tilde{c}(z)$$

$f(z)$  and  $c(z)$  are polynomials of degree  $n - 1$  in  $z$  and  $\tilde{c}(z)$  is a polynomial of degree  $n - 2$ .