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Integrable SYK models

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When it all started...

- Sagawa-san's talk @ Gakushuin in 2017
 - Hamiltonian of the clean SYK

$$H_{\rm cSYK} = \sum_{1 \le j < i \le N} \sum_{1 \le k < l \le N} c_i^{\dagger} c_j^{\dagger} c_k c_l$$

NOTE) *c*'s are complex fermions

- Classified as a *non-integrable* model
- My question: Really true?
- Exact diagonalization for small systems The number of *E*=0 states (*N*: the number of sites)

 N
 3
 4
 5
 6
 7
 8
 9
 10
 11
 12
 13

 Z_N
 6
 10
 20
 35
 70
 126
 252
 462
 924
 1716
 3432

Exponentially many ground states! What are these numbers?

At half-filling (the num. of particles $N_{\rm P} = N/2$)

Online encyclopedia at work!

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https://oeis.org/

^{0 1 3 6 2 7} THE ON-LINE ENCYCLOPEDIA : OE ¹³ OF INTEGER SEQUENCES [®]

founded in 1964 by N. J. A. Sloane

Search

Hints

6, 10, 20, 35, 70, 126, 252

(Greetings from The On-Line Encyclopedia of Integer Sequences!)

Search: seq:6,10,20,35,70,126,252



- The number of ground states for fixed $N_{\rm P}$
- There must be something deep behind them...

Outline

- 1. Majorana fermion models
 - Introduction & Motivation
 - Clean Majorana SYK
 - Clean supersymmetric Majorana SYK
- 2. Complex fermion models
 - Clean complex SYK
 - Clean supersymmetric complex SYK
- 3. Dynamics
 - Out-of-time order correlator (OTOC)
 - Spectral form factor
- 4. Summary

 $H_{4} = -\sum_{i < j < k < l} \gamma_{i} \gamma_{j} \gamma_{k} \gamma_{l}$ $Q_{3} = i \sum_{i < j < k} \gamma_{i} \gamma_{j} \gamma_{k}$ $H_{c4} = \sum_{j < i} \sum_{k < l} c_{i}^{\dagger} c_{j}^{\dagger} c_{k} c_{l}$ $Q_{c3} = \sum_{i < j < k} c_{i} c_{j} c_{k}$

Original Majorana SYK model

- Majorana (real) fermions γ_i (i, j = 1, 2, ..., N)• Obey $\gamma_i^{\dagger} = \gamma_i$, $\{\gamma_i, \gamma_j\} = 2\delta_{i,j}$
- Sachdev-Ye-Kitaev model
 - Hamiltonian $H_{SYK} = \sum_{1 \le i \le j \le k \le l \le N} J_{ijkl} \gamma_i \gamma_j \gamma_k \gamma_l$
 - $J_{ijkl} \in \mathbb{R}$: Gaussian with zero mean and variance $J/N^{3/2}$
 - Consists of all-to-all coupling terms
 - Tractable in the large-N limit
 - Toy model for holographic duality
 - Maximally chaotic (OTOC saturates the chaos bound)
- What if all the couplings are equal?
 - Turns out to be *integrable!*
 - Can we still find a signature/remnant of chaos?

Sachdev & Ye, PRL **70** (1993), arXiv:cond-mat/**92**12030; Kitaev, Talks at KITP (2015); Maldacena, Stanford, PRD **94** (2016)

Proposals for experiments: Danshita *et al.,* PTEP **2017** (2017); Pikulin & Franz, PRX **7** (2017)



Clean Majorana SYK model

Hamiltonian

$$H_4 = -\sum_{1 \le i < j < k < l \le N} \gamma_i \gamma_j \gamma_k \gamma_l$$

Jordan-Wigner transformation

$$\gamma_1 = \sigma_1^x, \quad \gamma_2 = \sigma_1^y, \quad \gamma_3 = \sigma_1^z \sigma_2^x, \quad \gamma_4 = \sigma_1^z \sigma_2^y, \dots$$

- N=4 $H_4 = -\gamma_1 \gamma_2 \gamma_3 \gamma_4 = \sigma_1^z \sigma_2^z$
- Just a 2-site Ising model! Trivially integrable.
- H_4 with N > 4 does not seem integrable...
- Level-spacing distribution
 - Use the energy levels in the middle of the spectrum $E_j E_{\text{GS}} \in [0.4N^2, 0.5N^2]$
 - Level gaps $\delta_j = E_j E_{j-1}$
 - Poisson distribution!
 Is H₄ integrable??



Lau, Ma, Murugan & Tezuka,

JPA 54, 095401 (2021)

Integrability of quadratic case: warm-up

■Quadratic all-to-all Hamiltonian (*N*: even)

 $H_2 = i \sum_{1 \le i < j \le N} \gamma_i \gamma_j$

Lau *et al,* JPA **54** (2021)

Subject to twisted boundary conditions:

 $T\gamma_j T^{-1} = \gamma_{j+1} \text{ if } 1 \le j < N; \quad T\gamma_N T^{-1} = -\gamma_1$



Fourier transform (k = 1, 2, ..., N/2)

$$f_k = \frac{1}{\sqrt{2N}} \sum_{j=1}^N e^{i(j-1)\theta_k} \gamma_j, \quad f_k^{\dagger} = \frac{1}{\sqrt{2N}} \sum_{j=1}^N e^{-i(j-1)\theta_k} \gamma_j \quad \left(\theta_k = \frac{2k-1}{N}\pi\right)$$

• They are complex fermions obeying $\{f_k, f_\ell^{\dagger}\} = \delta_{k,\ell}, \quad \{f_k, f_\ell\} = \{f_k^{\dagger}, f_\ell^{\dagger}\} = 0 \quad \text{and} \quad Tf_k^{(\dagger)}T^{-1} = e^{\pm i\theta_k}f_k^{(\dagger)}$

Diagonal form of H_2

$$H_2 = \sum_{k=1}^{N/2} \epsilon_k \left(f_k^{\dagger} f_k - \frac{1}{2} \right), \quad \epsilon_k = 2 \cot \frac{\theta_k}{2}$$

Trivially solvable/integrable! Dispersion is very weird, though...

Integrability of H₄

Nontrivial identity

$$H_4 = \frac{1}{2} \left\{ (H_2)^2 - \frac{N(N-1)}{2} \right\}$$

Proof by division into cases

$$(H_2)^2 = -\sum_{i < j} \sum_{k < l} \gamma_i \gamma_j \gamma_k \gamma_l = \cdots$$

- Obviously, $[H_4, H_2] = 0$
- Eigenstates of H_4
 - Any eigenstate of H_2 is an eigenstate of H_4
 - Solvable structure is similar to that of the Hubbard + all-to-all interaction Hatsugai & Kohmoto, JPSJ 61, 2056 (1992)

1	i < j < k < l	$\gamma_i\gamma_j\gamma_k\gamma_l$
2	i < j = k < l	$\gamma_i\gamma_l$
3	i < k < j < l	$-\gamma_i\gamma_k\gamma_j\gamma_l$
4	i < k < j = l	$-\gamma_i\gamma_k$
5	i < k < l < j	$\gamma_i \gamma_k \gamma_l \gamma_j$
6	i=k < j < l	$-\gamma_j\gamma_l$
7	i=k < j=l	-1
8	i=k < l < j	$\gamma_l\gamma_j$
9	k < i < j < l	$\gamma_k \gamma_i \gamma_j \gamma_l$
10	k < i < j = l	$\gamma_k\gamma_i$
11	k < i < l < j	$-\gamma_k\gamma_i\gamma_l\gamma_j$
12	k < i = l < j	$-\gamma_k\gamma_j$
13	k < l < i < j	$\gamma_k \gamma_l \gamma_i \gamma_i$

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1	i < j < k < l	$\gamma_i\gamma_j\gamma_k\gamma_l$
2	i < j = k < l	HAN
3	i < k < j < l	$-\gamma_i\gamma_k\gamma_j\gamma_l$
4	i < k < j = l	$-\gamma_i\gamma_k$
5	i < k < l < j	$\gamma_i\gamma_k\gamma_l\gamma_j$
6	i=k < j < l	$-\gamma_j\gamma_l$
7	i=k < j=l	$-1 \leftarrow \left(\frac{N}{2}\right)$
8	i=k < l < j	$\gamma_{i}\gamma_{j}$ cases
9	k < i < j < l	$\gamma_k\gamma_i\gamma_j\gamma_l$
10	k < i < j = l	YK Yi
11	k < i < l < j	$-\gamma_k\gamma_i\gamma_l\gamma_j$
12	k < i = l < j	$-\gamma_k\gamma_j$
13	k < l < i < j	$\gamma_k \gamma_l \gamma_i \gamma_j$

Equivalent Ising model

Spin Hamiltonian

- Occupation number $n_k = f_k^{\dagger} f_k$ (k = 1, ..., N/2) $\sigma_k = 2n_k - 1$
- Ising variables

$$H_4 = \sum_{k,\ell=1}^{N/2} J_{k\ell} \,\sigma_k \sigma_\ell + \text{const.} \qquad J_{k\ell} = \cot\left(\frac{2k-1}{2N}\pi\right) \cot\left(\frac{2\ell-1}{2N}\pi\right)$$

• Classical Ising model! Any Ising spin σ_k is a conserved quantity.

Ground states

- Exhibit complicated spin config. for N > 24
- Anything to do with number theory? Marinari, Parisi & Ritort, JPA 27, 7615 (1994)
- Energy spectrum
 - Many low-energy states near the g.s.
 - Residual entropy at T=0: $S/N \sim \frac{1}{2} \ln 2$



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N/2

Infinite family of models

Quadratic family (*m*=1,3,5,...)

$$H_2(\mathcal{A}^m) := i \sum_{1 \le i < j \le N} (\mathcal{A}^m)_{ij} \gamma_i \gamma_j,$$

Antisymmetric matrix $\mathcal{A}_{ij} = \begin{cases} 1 & i < j \\ 0 & i = j \\ -1 & i > j \end{cases}$

• They commute with $H_2 = H_2(\mathcal{A})$

Most general form

$$H(\{C_{mn}\}) = \sum_{m \ge 1} \sum_{n \ge 1} C_{mn} H_2(\mathcal{A}^m)^n + \text{const.}, \quad C_{mn} \in \mathbb{R}$$
(*)

Conjecture

The Hamiltonian of the generalized clean Majorana SYK

$$H_{2p} = i^p \sum_{1 \le i_1 < i_2 < \dots < i_{2p-1} < i_{2p} \le N} \gamma_{i_1} \gamma_{i_2} \cdots \gamma_{i_{2p-1}} \gamma_{i_{2p}}$$

can be written in the form of (*). $[H_{2p}, H_{2q}] = 0$ holds for all $p, q \in \mathbb{N}$.

• Yet to be proved but substantial evidence for small *p* and *N*

Supersymmetric (SUSY) SYK models

- $\blacksquare \mathcal{N} = 1$ SUSY quantum mechanics
 - Fermionic parity $(-1)^F$
 - Supercharge Q $(Q^{\dagger} = Q)$ anti-commutes with $(-1)^F$
 - Hamiltonian $H = Q^2$
 - Symmetry $[H, (-1)^F] = [H, Q] = 0.$

■ Spectrum of *H*

- $E \ge 0$ for all states
- E > 0 states come in pairs $\{|\psi\rangle, Q|\psi\rangle\}$
- E = 0 state, if exists, must be annihilated by Q

SUSY SYK

- Supercharge
- Hamiltonian
 - $H_{\rm SYK}^{\rm SUSY} = (Q_{\rm SYK})^2$

$$Q_{\text{SYK}} = i \sum_{1 \le i \le j \le k \le N} C_{ijk} \gamma_i \gamma_j \gamma_k$$

$$\langle C_{ijk} \rangle = 0, \quad \langle C_{ijk}^2 \rangle = \frac{2J}{N^2}$$

Fu, Gaiotto, Maldacena & Sachdev, *PRD* **95** (2017)

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Clean SUSY Majorana SYK

Supercharge & Hamiltonian

Integrability of H_4^{SUSY}

- $H_4^{\text{SUSY}} = (H_{\text{free}})^2$ follows from $[H_{\text{free}}, \chi_0] = 0, \ (\chi_0)^2 = 1$
- Any eigenstate of $H_{\rm free}$ is an eigenstate of $H_4^{\rm SUSY}$
- Diagonal form

$$H_{\text{free}} = \sum_{k=1}^{\frac{N}{2}-1} \epsilon_k \left(g_k^{\dagger} g_k - \frac{1}{2} \right), \quad \epsilon_k = 2 \cot\left(\frac{k\pi}{N}\right), \quad g_k = \sqrt{\frac{2}{N}} \sum_{j=1}^{N} \exp\left(i\frac{2(j-1)k}{N}\pi\right) \gamma_j$$

Infinite families of models

Non-SUSY yet solvable models

- Quadratic Hamiltonians of the form $H_{hop,r} = i \sum_{j=1} \gamma_j \gamma_{j+r}$ (r = 1, 2, ...) commute with Q_3
- E.g., $(1-s)(Q_3)^2 + sH_{hop,1}$, an interpolation between clean SUSY SYK and critical Kitaev chain is solvable
- SUSY solvable models
 - Supercharges $Q_{2p+1} = i^p$ $\sum \gamma_{i_1} \gamma_{i_2} \cdots \gamma_{i_{2p+1}}, \quad (p = 0, 1, 2, ...)$
 - Properties $1 \le i_1 < i_2 < \dots < i_{2p+1} \le N$ 1. They all commute: $[Q_{2p+1}, Q_{2q+1}] = 0$ (still a conjecture)

2.
$$Q_{2p+1} = \frac{1}{2} \{Q_1, H_{2p}\}$$
 $(Q_1 = \sqrt{N}\chi_0)$

- Multi-parameter family: $H^{\text{SUSY}}(\{C_{2p+1}\}) = (C_1Q_1 + C_3Q_3 + \cdots)^2, \quad C_{2p+1} \in \mathbb{R}$
- All-to-all version of Sannomiya & Katsura, PRD 99 (2019) Is SUSY broken or unbroken?

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Clean complex SYK model

Complex (spinless) fermions

- Creation and annihilation operators: c_i^{\dagger}, c_j (i, j = 1, 2, ..., N)
- Canonical anti-commutation relations

$$\{c_i, c_j^{\dagger}\} = c_i c_j^{\dagger} + c_j^{\dagger} c_i = \delta_{i,j}, \quad \{c_i, c_j\} = \{c_i^{\dagger}, c_j^{\dagger}\} = 0$$

- Original model (disordered)
 - Hamiltonian Sachdev, PRX 5, (2015); Fu & Sachdev, PRB 94 (2016)

$$H_{\rm cSYK} = \sum_{1 \le j < i \le N} \sum_{1 \le k < l \le N} J_{ij;kl} c_i^{\dagger} c_j^{\dagger} c_k c_l$$

Complex Gaussian variables $J_{ij;kl} = -J_{ji;kl} = -J_{ij;lk} = J_{lk;ji}^*$ $\langle J_{ij;kl} \rangle = 0, \quad \langle |J_{ij;kl}|^2 \rangle = J^2/N^3$

- Clean complex SYK model
 - Hamiltonian Iyoda & Sagawa, PRA 97 (2018)

$$H_{c4} = \sum_{1 \le j < i \le N} \sum_{1 \le k < l \le N} c_i^{\dagger} c_j^{\dagger} c_k c_l$$

Is it Integrable? YES! But not free-fermionic...



Integrability of H_{c4}

Iyoda, Katsura & Sagawa, PRD **98** (2018)

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Antisymmetric matrix

Factorization

$$H_{c4} = A^{\dagger}A \ge 0,$$
 $A = \sum_{1 \le k < l \le N} c_k c_l = \frac{1}{2} \mathbf{c}^T \mathcal{A} \mathbf{c}$
 $\mathcal{A}_{ij} = \begin{cases} 1 & i < j \\ 0 & i = j \\ -1 & i > j \end{cases}$

Canonical form

- *A* is non-diagonalizable, but \mathcal{A} can be taken to $\mathcal{K} = \mathcal{O}\mathcal{A}\mathcal{O}^{\mathrm{T}} = \begin{pmatrix} 0 & \lambda_{1} & O \\ -\lambda_{1} & 0 & O \\ O & -\lambda_{2} & 0 \end{pmatrix}, \quad \lambda_{k} = \cot\left(\frac{2k-1}{2N}\pi\right)$
- A in the new basis:

$$A = \frac{1}{2} \boldsymbol{f}^{\mathrm{T}} \, \mathcal{K} \, \boldsymbol{f} = \sum_{k=1}^{N/2} \lambda_k \, f_{k\uparrow} f_{k\downarrow}, \qquad \boldsymbol{f} = \mathcal{O} \boldsymbol{c} = (f_{1\uparrow}, f_{1\downarrow}, f_{2\uparrow}, f_{2\downarrow}, ...)$$

Equivalent to a known model!

- Particular case of Richardson-Gaudin model
- Bethe-ansatz solvable
- *E*=0 states are in 1-to-1 correspondence with the lowest-weight states of η SU(2) Yang, PRL **63** (1989)

Richardson, JMP **6**, 1034 (1965); Gaudin's book

$$\eta^- = \sum_{k=1}^{N/2} f_{k\uparrow} f_{k\downarrow}$$

Supersymmetric version

- $\blacksquare \mathcal{N} = 2$ SUSY quantum mechanics
 - Supercharges $Q, Q^{\dagger}, Q^2 = 0, (Q^{\dagger})^2 = 0$
 - Fermionic parity $\{Q, (-1)^F\} = \{Q^{\dagger}, (-1)^F\} = 0$
 - Hamiltonian
 - Symmetry

■ Spectrum of *H*

- $E \ge 0$ for all states
- E > 0 states come in pairs $\{|\psi\rangle, Q^{\dagger}|\psi\rangle\}$

 $H = \{Q, Q^{\dagger}\} = QQ^{\dagger} + Q^{\dagger}Q$

 $[H,Q] = [H,Q^{\dagger}] = [H,(-1)^{F}] = 0$

 $Q_{\rm cSYK} = i$ $\sum D_{ijk} c_i c_j c_k$

 $1 \le i \le j \le k \le N$

• E = 0 iff a state is a SUSY singlet

SUSY cSYK

- Supercharge
- Hamiltonian

 $H_{\rm cSYK}^{\rm SUSY} = \{Q_{\rm cSYK}, Q_{\rm cSYK}^{\dagger}\}$

Nicolai, JPA **9**, 1497 (1976); Witten, NPB **202**, 253 (1982)



 $\langle D_{ijk} \rangle = 0, \quad \langle |D_{ijk}|^2 \rangle = \frac{2J}{N^2}$

Fu, Gaiotto, Maldacena & Sachdev, PRD **95** (2017); Sannomiya, Katsura & Nakayama, PRD **95** (2017)

Clean SUSY complex SYK



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Sum of two commuting Richardson-Gaudin Hamiltonians!

Explains the degeneracies observed numerically

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S. Ozaki and H. Katsura, in preparation

Quantum dynamics of H_4

• Reminder

$$H_{4} = -\sum_{i < j < k < l} \gamma_{i} \gamma_{j} \gamma_{k} \gamma_{l} = \frac{1}{2} \left\{ (H_{2})^{2} - \frac{N(N-1)}{2} \right\}$$
$$H_{2} = i \sum_{i < j} \gamma_{i} \gamma_{j} = \sum_{k=1}^{N/2} \epsilon_{k} \left(f_{k}^{+} f_{k}^{-} - \frac{1}{2} \right), \quad \epsilon_{k} = 2 \cot \frac{\theta_{k}}{2}, \quad \theta_{k} = \frac{2k-1}{N} \pi$$

• Warm-up: quadratic case

$$[H_2, f_k^{\pm}] = \pm \epsilon_k f_k^{\pm} \qquad \Longrightarrow \qquad e^{iH_2t} f_k^{\pm} e^{-iH_2t} = \exp\left(\pm i\epsilon_k t\right) f_k^{\pm}$$

Quartic case

$$[H_4, f_k^{\pm}] = \left(\mp \epsilon_k H_2 - \frac{1}{2}\epsilon_k^2\right) f_k^{\pm} \implies e^{iH_4t} f_k^{\pm} e^{-iH_4t} = \exp\left(\mp i\epsilon_k \underline{H_2}t - \frac{1}{2}\epsilon_k^2t\right) f_k^{\pm}$$

$$\Rightarrow \gamma_j(t) = e^{iH_4t} \gamma_j e^{-iH_4t} = \sqrt{\frac{2}{N}} \sum_{s=\pm} \sum_{k=1}^{N/2} \exp\left(is(j-1)\theta_k + is\epsilon_k H_2t - \frac{i}{2}\epsilon_k^2t\right) f_k^s$$

Out-of-time order correlator (OTOC)

$$Infinite-TOTOC \quad (i \neq j) C_{ij}(t) = \operatorname{Tr}[\gamma_i(t)\gamma_j(0)\gamma_i(t)\gamma_j(0)] = -\frac{4}{N^2} \sum_{k,\ell=1}^N \cos(\epsilon_k \epsilon_\ell t) + \cdots$$
 Vanish in the large-*N* limit
$$= -\frac{2}{\pi} \left(\sin(4t)\operatorname{Ci}(4t) - \cos(4t)\operatorname{si}(4t) + \cdots \right)$$
 $\operatorname{Ci}(x) = -\int_x^\infty \frac{\cos t}{t} dt$ $\operatorname{Si}(x) = -\int_x^\infty \frac{\sin t}{t} dt$

Early-time behavior

$$C_{ij}(t) \sim -1 + \frac{8}{\pi} (1 - \log(4t) - \gamma)t \qquad (t \ll 1)$$

- Different from the exponential growth in the original (disordered) SYK
- Late-time behavior $C_{ij}(t) \sim \frac{1}{2\pi t}$
 - Similar algebraic decay in other integrable models Lin & Motrunich, PRB 97 (2018); Bao & Zhang (2020)

Euler's constant $\gamma = 0.5772...$

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Numerical vs. analytical results Similar behavior in bipartite kicked rotor model



• N=1000 particles

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- Definition $g(t,\beta) = \left|\frac{\mathrm{Tr}e^{(\mathrm{i}t-\beta)H_4}}{\mathrm{Tr}e^{-\beta H_4}}\right|^2$
- Early-time behavior
 - can be computed combinatorially

$$g(t,0) = 1 - {\binom{N}{4}}t^2 + O(t^4)$$

- Late-time behavior

 - Yet to get a closed form But likely to be $g(t,0) \simeq \frac{0.16}{N^2 t}$
- Intermediate-time region
 - Unlike the original SYK, no dip-ramp-plateau structure



Summary

(Partial) List of integrable SYK models

Clean Majorana SYK

$$H_4 = -\sum_{i < j < k < l} \gamma_i \gamma_j \gamma_k \gamma_l$$

Clean SUSY Majorana SYK
 USUSY

$$Q_3 = i \sum_{i < j < k} \gamma_i \gamma_j \gamma_k, \quad H_4^{\text{SUSY}} = (Q_3)^2$$

• Clean complex SYK $H_{c4} = \sum_{j < i} \sum_{k < l} c_i^{\dagger} c_j^{\dagger} c_k c_l$ - Clean SUSY Complex SYK $Q_{c3} = \sum_{i < j < k} c_i c_j c_k, \quad H_{c4}^{SUSY} = \{Q_{c3}, Q_{c3}^{\dagger}\}$

Discussed dynamics: OTOC & spectral form factor

Future directions

- Clean dissipative SYK? Integrable Lindblad master eq.? Kulkarni *et al.*, PRB **106** (2022); Sa *et al.*, PRR **4** (2022); Kawabata *et al.*, PRB **108** (2023)
- Parafermionic extensions? $\psi_i \psi_j = \omega \psi_j \psi_i \ (i < j), \quad \omega = \exp(2\pi i/N)$ Fractional SUSY? $H = Q^3 + (Q^{\dagger})^3$ Bernard & LeClair, PhysLett B **247** (1990); Fendley & O'Brien, SciPost Phys. **9** (2020)