

# Rotating strings and particles in AdS: Holography at **weak gauge coupling** and **without conformal symmetry**

Yasuhiro Sekino [C01]  
(Takushoku University)



- Tomotaka Kitamura (Rikkyo U.), Shoichiro Miyashita (Natl. Dong Hwa U.), YS, *PTEP* 2022(2022) 4, 043B03.
- YS, *PTEP* 2020 (2020) 2, 021B01.

# Outline

- The question:  
What is the gauge/gravity correspondence at weak gauge ('t Hooft) coupling?  
(We consider  $N \rightarrow \infty$ , and zero temperature.)
- Our “testing ground” (next slide):  
Example of gauge/gravity correspondence with maximal SUSY, but without conformal symmetry.
- Result:  
Reproduce the free-field correlators of gauge theory from string theory (based on “string bit” picture).

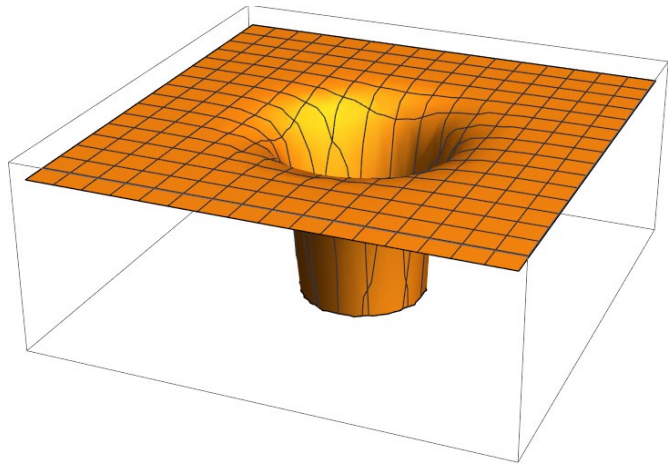
# Gauge/gravity correspondence for $Dp$ -branes

- Conjecture: [Itzhaki, Maldacena, Sonnenschein, Yankielowicz, '98]

Superstring on the near-horizon limit of  $Dp$ -brane solution

=

$SU(N)$  Super Yang-Mills in  $(p + 1)$  dimensions with 16 supercharges



$$S = \frac{1}{g_{\text{YM}}^2} \int dx^{p+1} \left\{ \frac{1}{4} F_{MN} F^{MN} + \frac{i}{2} \Psi \Gamma^M D_M \Psi \right\}$$

(Dimensional reduction of (9+1)D Super Yang-Mills)

(We consider  $0 \leq p \leq 4$ )

$p = 3$  : Conformally invariant ( $\text{AdS}_5/\text{CFT}_4$ )

$p \neq 3$  : Non-conformal (coupling const.  $g_{\text{YM}}$  has dimension)

# Background geometry

- Near-horizon D $p$ -brane solution in string frame

$$ds^2 = H^{-1/2} (-dt^2 + dx_a^2) + H^{1/2} (dr^2 + r^2 d\Omega_{8-p}^2)$$

$$e^\phi = g_s H^{\frac{3-p}{4}}, \quad H = \frac{q}{r^{7-p}} \quad q \propto (g_s N) \ell_s^{7-p}$$

- String coupling  $e^\phi$  depends on the position (for  $p \neq 3$ ).
- Metric is Weyl equivalent to  $\text{AdS}_{p+2} \times S^{8-p}$ :

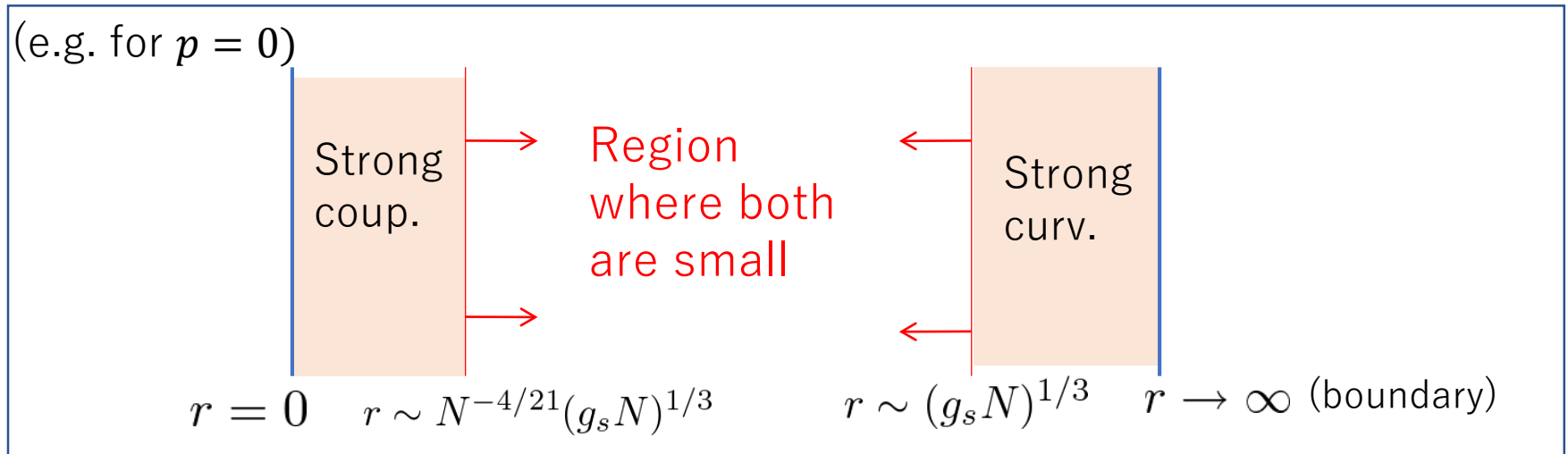
$$ds^2 = H^{1/2} r^2 \left[ \left( \frac{2}{5-p} \right)^2 \left( \frac{dt^2 + dx_a^2 + dz^2}{z^2} \right) + d\Omega_{8-p}^2 \right]$$

$$z = \frac{2}{5-p} (g_s N)^{1/2} l_s^{(7-p)/2} r^{-(5-p)/2}$$

- No AdS isometry for  $p \neq 3$ .
- Note: for  $p \neq 3$ , we cannot rely on the identification  
(Energy in global AdS) = (scaling dimension of CFT)

# Review of strong 't Hooft coupling (1)

- If we want to use weakly coupled SUGRA, we need a large region where  $e^{\phi}$  and curvature are both weak.



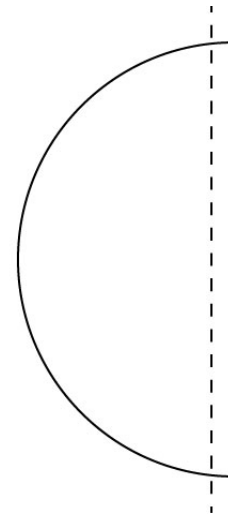
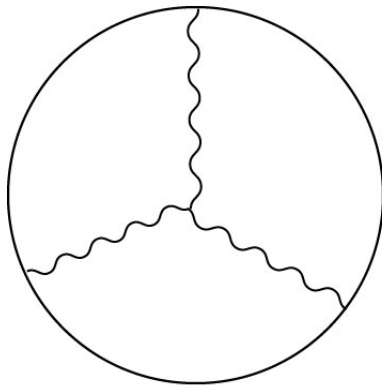
- This is satisfied if one takes  $N \rightarrow \infty$  with  $g_s N$  fixed and large. (i.e., SUGRA corresponds to 't Hooft limit with large 't Hooft coupling) [e.g., Sekino-Yoneya, '99].

# Review of strong 't Hooft coupling (2)

- Gubser-Klebanov-Polyakov-Witten (GKPW) prescription:

$$Z[\phi_0] = \langle e^{\int d^{p+1}x \phi_0(x) O(x)} \rangle$$

- Evaluate the classical SUGRA action as a function of the b.c., and obtain generating functional of gauge theory correlators.



- Boundary condition  $\phi(x, r) = \phi_0(x)$  at the (regulated) boundary, and decaying  $\phi(x, r) \rightarrow 0$  near the center.
- Remark: Due to this b.c., the singularity at  $r = 0$  is no problem.

# Review of strong 't Hooft coupling (3)

- From GKPW, correlators corresponding to SUGRA modes obey power law (even though there is no conformal sym.)

[YS, Yoneya, '99, YS, '00, Kanitscheider, Skenderis, Taylor, '08]

- e.g., for “BMN operator”  $O = \text{Tr}(Z^J)$ , ( $Z \equiv X_8 + iX_9$ )

$$\langle \mathcal{O}(\mathbf{x}') \mathcal{O}(\mathbf{x}) \rangle = \frac{\delta}{\delta \phi_0(\mathbf{x}')} \frac{\delta}{\delta \phi_0(\mathbf{x})} e^{-S_{\text{SG}}[\phi_0]} \sim \frac{1}{|\mathbf{x} - \mathbf{x}'|^{\frac{4J}{5-p} + c_p}}.$$

( $c_p = -\frac{(3-p)^2}{5-p}$ .)

- The powers (fractional numbers in general) are different from the free-field values (for  $p \neq 3$ ).
  - Not understood in gauge theory, analytically.
  - For  $p = 0$ , confirmed by Monte Carlo simulation.

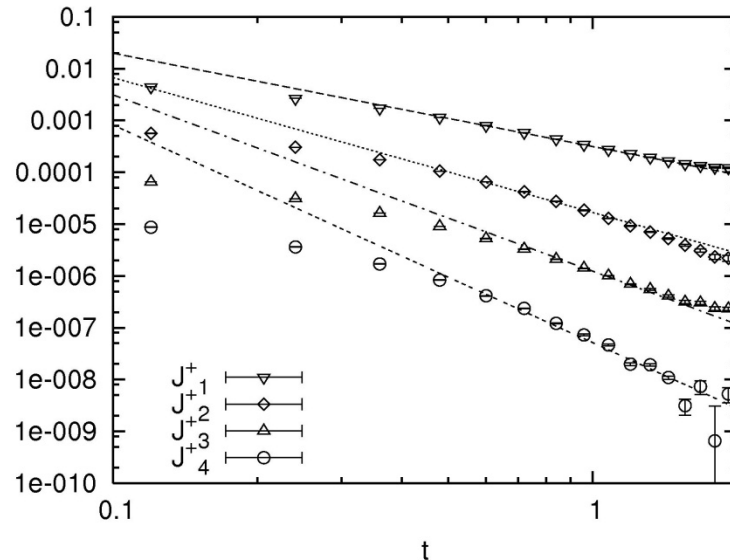
[Hanada, Nishimura, YS, Yoneya, '09, '11]
- Not known how to obtain free-field behavior from the bulk.

# Results (supergravity modes)

- $$J_{l,i_1,\dots,i_l}^{+ij} = \frac{1}{N} \text{Str} (F_{ij} X_{i_1} \cdots X_{i_l}) \quad (l = 1, 2, 3, 4)$$

Predicted power law  $\nu = 2l/5$

seen at  $0.5 \lesssim t \lesssim 1.5$  (in unit of  $(g_{YM}^2 N)^{-1/3}$ )



$$N = 3, \beta = 4, \Lambda = 16$$



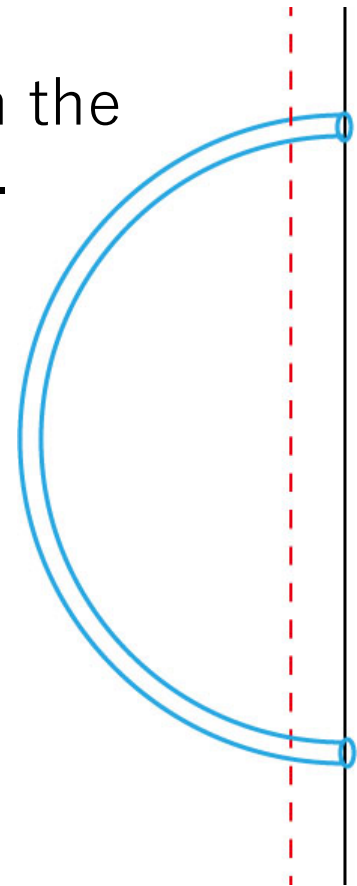
# Worldsheet analysis

The need of string theory:

- To understand weak 't Hooft coupling, we need to study highly curved background (in string unit).
- In this limit, string excited modes are lighter than the curvature scale  $\Rightarrow$  SUGRA analysis is insufficient.

The string that we first consider

- A point-like configuration of closed string moving along a geodesic which connects two points on the boundary.
- We include quantum fluctuations around it. (Using technical results from '02-'03.)
- We will consider states with angular momentum along  $S^{8-p}$ , and along  $\text{AdS}_{p+2}$



# State with angular momentum along $S^{8-p}$

- “BMN Operator” [Berenstein, Maldacena, Nastase, '02]  
 $O = \text{Tr}(Z^J)$  ( $Z \equiv X_8 + iX_9$ ) corresponds to a SUGRA mode with angular momentum  $J$  along  $S^{8-p}$ .
- SUGRA mode (lowest mode of string) is massless in 10D.
  - By KK reduction, it is massive in  $\text{AdS}_{p+2}$  with mass
$$m = \frac{2}{5-p}J$$
  - As long as we consider on-shell massless mode in 10D, Weyl factor plays no role (can be absorbed by worldline metric.)
- We will consider  $\text{AdS}_{p+2}$  with Euclidean signature (for which GKPW is formulated).

# Review of the formalism (1)

- Geodesic in Euclidean AdS:

$$z = \frac{\ell}{\cosh \tau}, \quad x = \ell \tanh \tau$$

- Half-circle  $x^2 + z^2 = \ell^2$
- Connects two points on boundary; coordinate distance  $|x_f - x_i| = 2\ell$

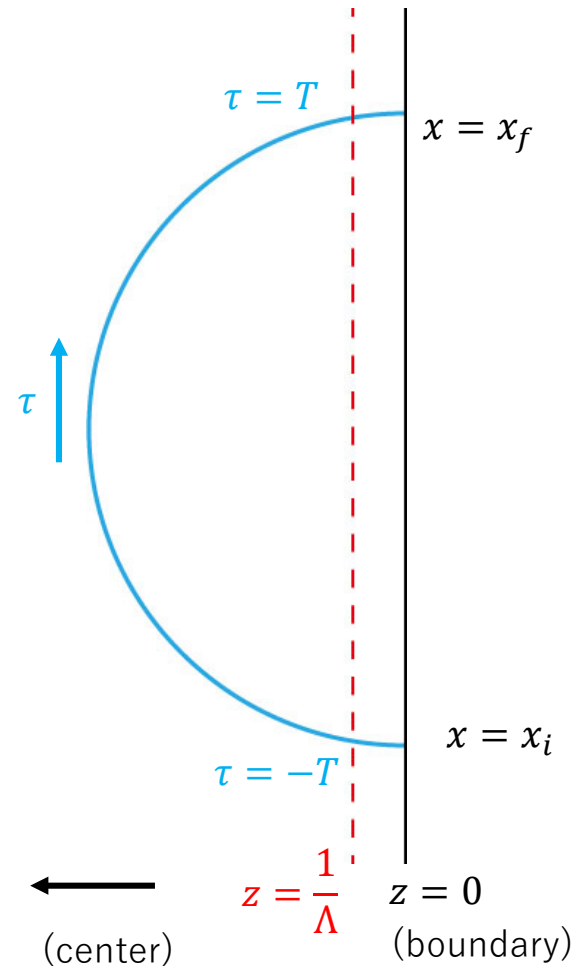
- Cutoffs:

$$z \geq \frac{1}{\Lambda} \quad \text{and} \quad -T \leq \tau \leq T$$

- Related by  $e^T \sim |x_f - x_i| \Lambda$

- Geodesic approximation (Classical contribution from Routh function in 10D)

$$\langle \mathcal{O}(x_f) \mathcal{O}(x_i) \rangle = e^{-m \int_{-T}^T d\tau} = e^{-\frac{4}{5-p} J T} = \frac{1}{(\Lambda |x_f - x_i|)^{\frac{4J}{5-p}}}$$



Consistent with GKPW. Leading exponent in  $J$  is obtained.

# Review of the formalism (2)

- We study fluctuations around this geodesic, by expand world-sheet fields around it:

$$x_{\text{full}}^{\mu}(\tau, \sigma) = x_{\text{cl}}^{\mu}(\tau) + x^{\mu}(\tau, \sigma)$$

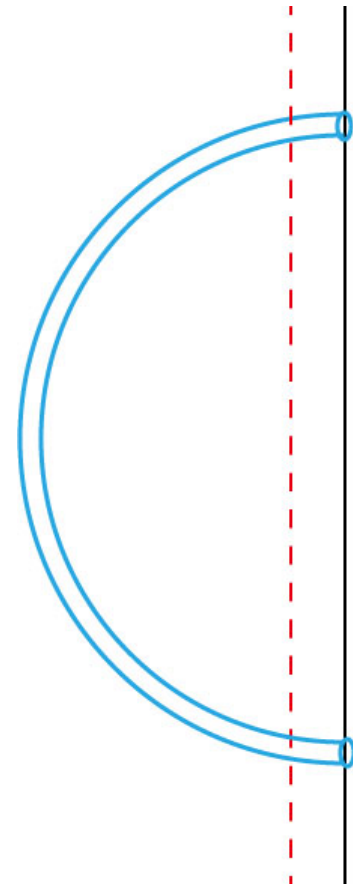
- Perform “double Wick rotation”

[Dobashi-Shimada-Yoneya, '02]

- Time (in AdS and worldsheet) is Euclidean.
- To keep angular momentum  $J$  real, we take an angle in  $S^{8-p}$  effectively imaginary:

$$J = P_{\psi} \sim \int d\sigma \frac{\partial\psi}{\partial\tau}$$

- Under this prescription,  
(Gauge-theory correlator)  
= (Euclidean amplitude of closed string)



# Review of the formalism (3)

[Asano-Sekino-Yoneya, '03, Asano-Sekino, '04]

( $p = 3$  case: BMN, '02 (Lorentzian), DSY, '02 (Euclidean))

- Worldsheet action at quadratic order
  - 8 bosons and 8 fermions. Bosonic part:

$$S^{(2)} = \frac{1}{4\pi\ell_s^2} \int d\tau \int_0^{2\pi\tilde{\alpha}} d\sigma \left\{ \dot{x}_a^2 + \tilde{r}^{p-3}(\tau) x_a'^2 + m_x^2 x_a^2 \right. \\ \left. + \dot{y}_i^2 + \tilde{r}^{p-3}(\tau) y_i'^2 + m_y^2 y_i^2 \right\}$$

- Fluctuations are massive (These are the mass of SUGRA modes, due to background curvature)

$$m_x = 1 \quad (p + 1) \text{ fields along AdS}_{p+2}$$

$$m_y = \frac{2}{5 - p} \quad (7 - p) \text{ fields along } S^{8-p}$$

$$m_f = \frac{7 - p}{2(5 - p)} \quad 8 \text{ fermionic fields}$$

- String excited modes have time-dependent mass, from the factor  $\tilde{r}^{p-3}(\tau)$  (due to Weyl factor) for the  $\sigma$ -derivative.

# String bit picture

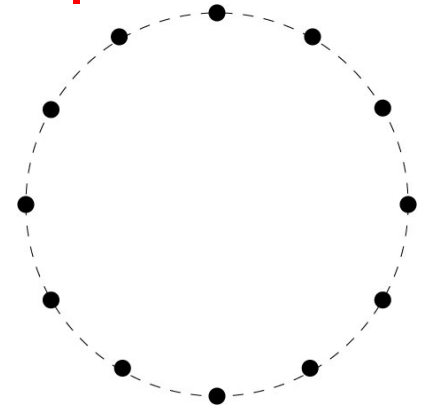
[YS, '20; See also BMN, '02, Verlinde, '02, etc.]

## Assumptions:

- The string corresponding to  $\text{Tr} (Z^J)$  has the  $\sigma$  direction discretized into  $J$  “bits.”
- One bit has a unit angular momentum along  $S^{8-p}$ .

- Reason 1: (In gauge theory,) string states are constructed by inserting “impurities” into  $\text{Tr} (Z^J)$ . Can only represent  $J$  sites. e.g.,

$$a_{i,n}^\dagger |0\rangle \leftrightarrow \sum_{k=0}^J e^{ikn/J} \text{Tr} (Z^k X_i Z^{J-k})$$



- Reason 2: (In string theory,) we may imagine angular momentum  $J$  to be realized by acting a creation operator in position representation (in terms of the worldsheet  $\sigma$ ) instead of the usual momentum rep.

# BMN operator at zero 't Hooft coupling

[YS, 2020]

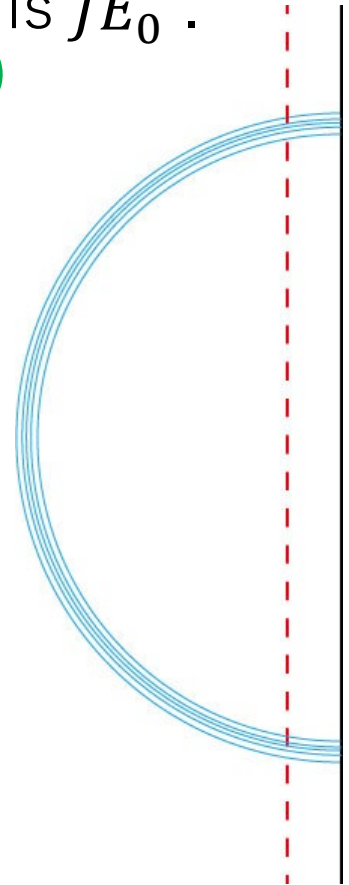
- String tension is zero at zero 't Hooft coupling. The string can be regarded as a collection of  $J$  free bits.
  - Then, zero-point energy for the ground state is  $JE_0$ . ( $E_0$  for each bit, from 8 bosons and fermions)

$$E_0 = \frac{1}{2} ((p+1)m_x + (7-p)m_y - 8m_f) = -\frac{(3-p)^2}{2(5-p)}.$$

- Amplitude (correlator for  $O = \text{Tr}(Z^J)$ ):

$$\langle \mathcal{O}(x_f) \mathcal{O}(x_i) \rangle = e^{-2(m+JE_0)T} = \frac{1}{(\Lambda|x_f - x_i|)^{(p-1)J}}.$$

- Agrees with the free-field result.  
(Dimensional analysis: one scalar field in  $(p+1)D$  has dimension  $(p-1)/2$ .)



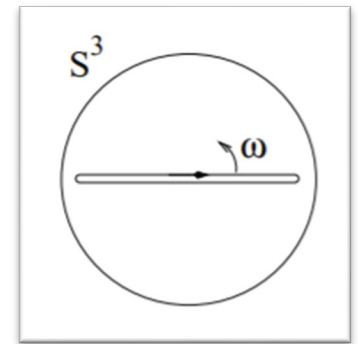
# Operators with angular momentum along $\text{AdS}_{p+2}$

[Kitamura, Miyashita, YS, 2022]

- “GKP operator” [Gubser, Klebanov, Polyakov, ‘02]

$$\text{Tr}(Z^k D^S Z^{J-k}) \quad (D \equiv D_1 + iD_2)$$

- For  $p = 3$ , at strong ‘t Hooft coupling:  
Interpreted as a folded string spinning  
in Lorentzian  $\text{AdS}_5$ . (By identifying AdS  
energy with scaling dimension.)



(Figure: GKP, ‘02)

- For general  $p$  (without symmetry):

- Consider Euclidean  $\text{AdS}_{p+2}$ .
- Generalizing Dobashi-Shimada-Yoneya, take the angles imaginary: along  $\text{AdS}_{p+2}$ ,  $\psi \rightarrow i\psi$ , and along  $S^{8-p}$ ,  $\tilde{\psi} \rightarrow i\tilde{\psi}$ , to keep angular momenta real.

(In the following, we briefly explain the general formalism, and state the result for zero ‘t Hooft coupling.)



# The general formalism (1)

The “Spinning” string solution: boundary

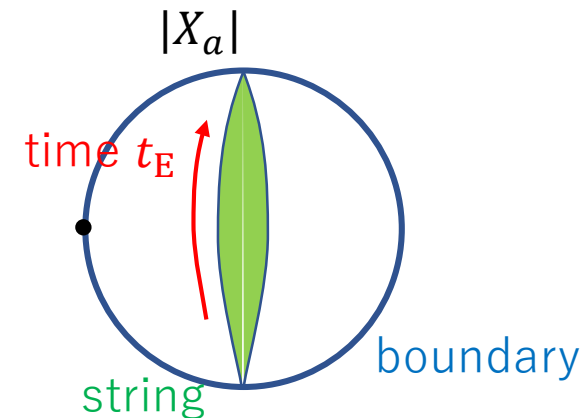
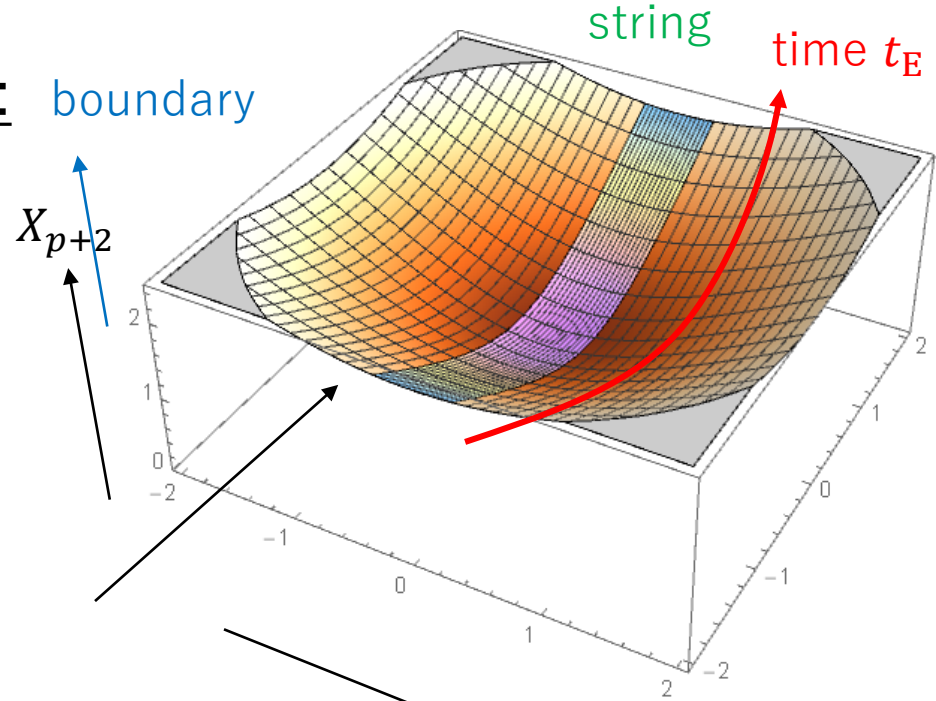
- Euclidean  $\text{AdS}_{p+2}$  in  $R^{p+2,1}$ :

$$-X_{p+2}^2 + X_0^2 + \sum_{a=1}^{p+1} X_a^2 = -L^2$$

- Global coordinates:

$$\begin{aligned} X_{p+1} &= \cosh \rho \cosh t_E \\ X_0 &= \cosh \rho \sinh t_E \\ X_a &= \sinh \rho \Omega_a \end{aligned}$$

- We have taken the rotating angle (not shown in the figure) imaginary.
- The string moves from boundary to boundary.



# The general formalism (2)

- Center of string ( $x_i = 0$ ) follows the same trajectory as a massive particle.
- In the Poincare coordinates,

$$t_P = \tilde{\ell} \tanh \tau_E,$$

$$z = \frac{\tilde{\ell}}{\cosh \rho \cosh \tau_E},$$

$$x_i^2 = z^2 \sinh^2 \rho = \frac{\tilde{\ell}^2 \tanh^2 \rho}{\cosh^2 \tau_E},$$

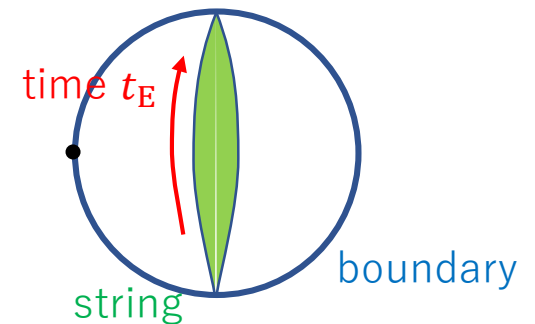
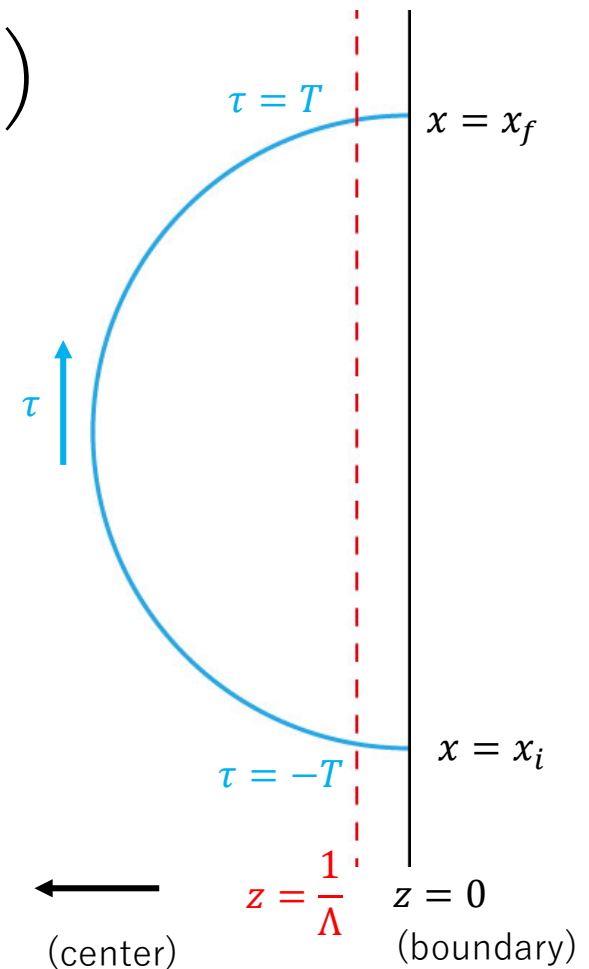
- String reduces to a “single point” at boundary ( $x_i^2 \rightarrow 0$  as  $z \rightarrow 0$ ):

- In comfort with the fact that this corresponds to a local operator.

- (Correlator) = (Amplitude).

- For  $p = 3$ ,

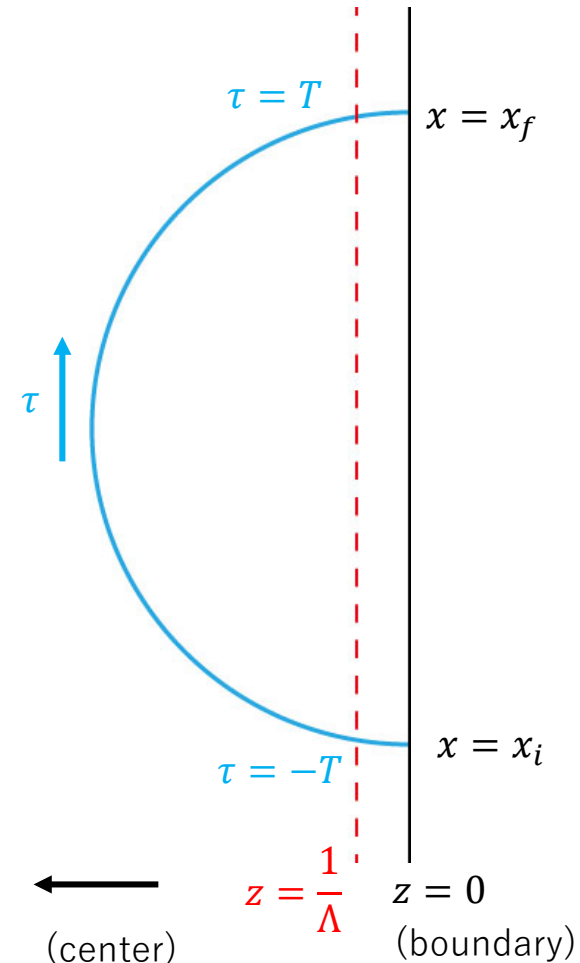
$$e^{-\int_{-T}^T d\tau_E H(\tau_E)} = e^{-2HT} = \frac{1}{(\Lambda |t_f - t_i|)^{2H}}.$$



# Zero 't Hooft coupling (general $p$ )

- Assumption (as before):
  - One bit has a unit angular momentum along  $S^{8-p}$ .
- At zero 't Hooft coupling, can ignore interaction among bits.
  - To keep  $S$  real, take the corresponding angle imaginary.
    - $R$ : radius of rotation,  $\Theta$ : angle
  - Consider motion of one bit with  $S$  units of angular momentum along  $\text{AdS}_{p+2}$ .
    - Follows trajectory similar to  $S = 0$  case.

$$I = \int_{-T}^T d\tau \frac{\tilde{\alpha}}{2} L^2 \left[ \left( \frac{2}{5-p} \right)^2 \frac{1}{z^2} \left\{ \dot{t}^2 + \dot{z}^2 + \dot{R}^2 - R^2 \dot{\Theta}^2 + \dots \right\} + (\dot{\theta}^2 - \cos^2 \theta \dot{\psi}^2 + \dots) \right]$$



- Amplitude of  $J$  free bits with total angular momentum  $S$  along  $\text{AdS}_{p+2}$  (by including the zero-point energy for each bit):

$$\begin{aligned}
 \langle t_f, J, S | t_i, J, S \rangle &\simeq e^{-(I+J(\psi_f-\psi_i)+S(\Theta_f-\Theta_i))} Z_{J\text{-bit}}^{(2)} \\
 &= e^{-((p-1)J+2S)T} \\
 &= \left( \frac{2J + S(5-p)}{2J} \right)^{\frac{p-1}{2}J+S} \frac{1}{\Lambda^{(p-1)J+2S}} \frac{1}{|t_f - t_i|^{(p-1)J+2S}}
 \end{aligned}$$

- Agrees with the free-field result in gauge theory. (A covariant derivative is just a partial derivative at zero coupling, and contributes  $S$  to the dimension.)
- Remark: The spectrum of the fluctuations around this geodesic is different from the previous one (with  $S = 0$ ), but the zero-point energy is the same value.

# Summary

- Consider the gauge/gravity correspondence between superstring on near-horizon limit of  $D_p$ -brane solution, and SYM in  $(p + 1)$  dim.
- Studied the case of zero 't Hooft coupling.
  - Taking the string-bit picture.
- Reproduced the free-field result of gauge theory from string theory.
  - Confirmed this for general operators.

# Issues to be clarified

1. Are there  $\alpha'$ -corrections to the near-horizon  $Dp$ -brane background (for general  $p$ )?
  - We are assuming there it not.
  - The  $p = 3$  case ( $\text{AdS}_5 \times S^5$ ) is believed to be  $\alpha'$ -exact, but for general  $p$ , not known.
2. We got the free-field result by taking into account fluctuations around geodesic, only up to quadratic order. No higher-order corrections?
  - There is no small parameter; everything is order 1.
  - This could be exact because of the high symmetry of the  $\text{AdS}_{p+2} \times S^{8-p}$  background. (This is calculation of a single bit (particle), so Weyl factor does not play a role.)

# Future directions

## 1. Study of non-zero gauge coupling:

- Include interactions among bits perturbatively, and see if it reproduces the perturbation in gauge theory.
- If successful, this can be regarded as a proof for gauge/gravity correspondence.

## 2. Classical solution of rotating string for $p \neq 3$

- Will be useful for the study of strong 't Hooft coupling.
- Technically challenging, in the absence of AdS isometry.

## 3. Formulation of finite temperature case

## 4. Application to other backgrounds