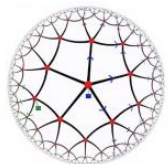


Random Matrices and Hydrodynamics

Brian Swingle (Brandeis)

Extreme Universe Colloquium

October 2, 2023



It from Qubit

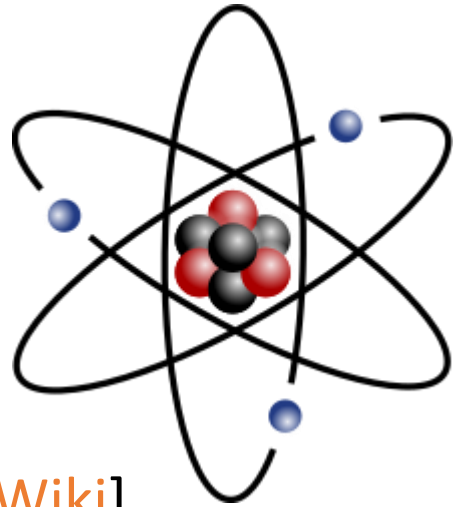
Simons Collaboration on
Quantum Fields, Gravity and Information



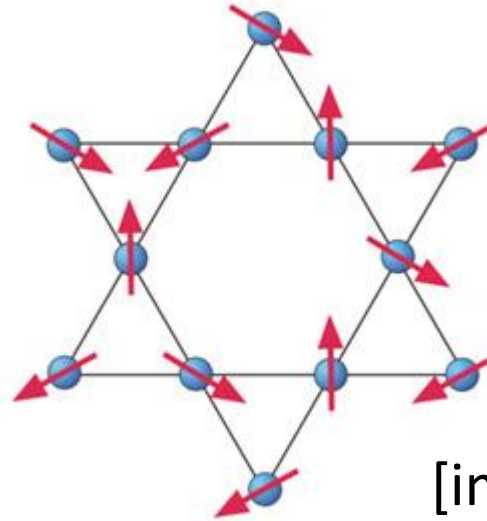
[Midjourney-S]

What do these have in common?

Atoms/Nuclei



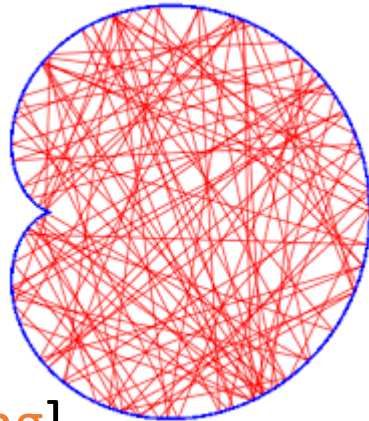
[image: Wiki]



Quantum spins

[image: R. Aguiar]

Billiards



[image: E. Yeung]

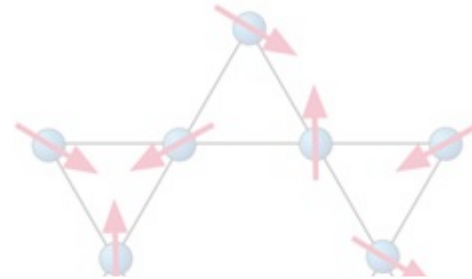


Black holes

[image: NASA]

Random matrices

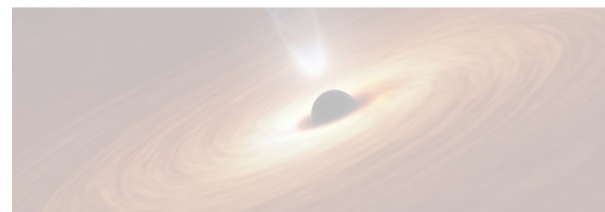
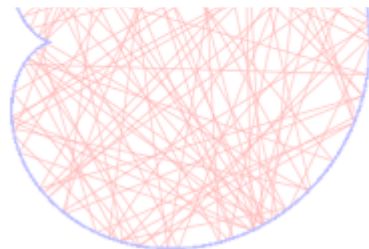
Atoms/Nuclei



Quantum spins

0.8404	-0.7442	-1.0191	-0.2102	1.6058
-0.7442	0.4900	-0.0501	1.5743	0.3155
-1.0191	-0.0501	1.3546	-1.5165	1.1700
-0.2102	1.5743	-1.5165	-0.1977	-1.1330
1.6058	0.3155	1.1700	-1.1330	-0.4686

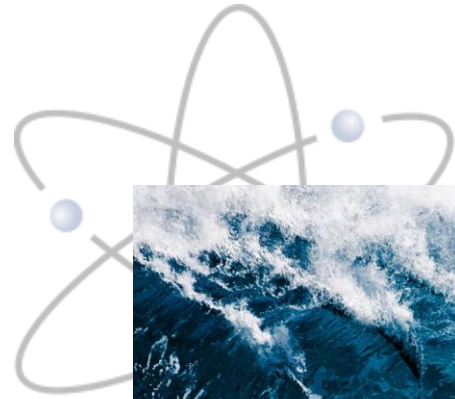
Billiards



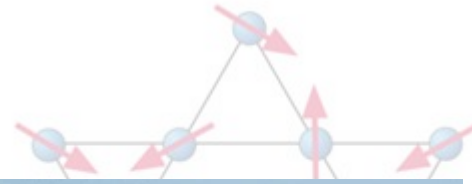
Black holes

Hydrodynamics, construed broadly

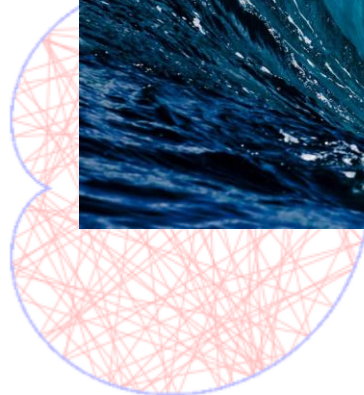
Atoms/Nuclei



Quantum spins



Billiards



Black holes



[image: MIT ME]

Are these two widespread phenomena related?

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YES!

Are these two widespread phenomena related?

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YES!



Spectral form factor (SFF)

Total return probability (TRP)

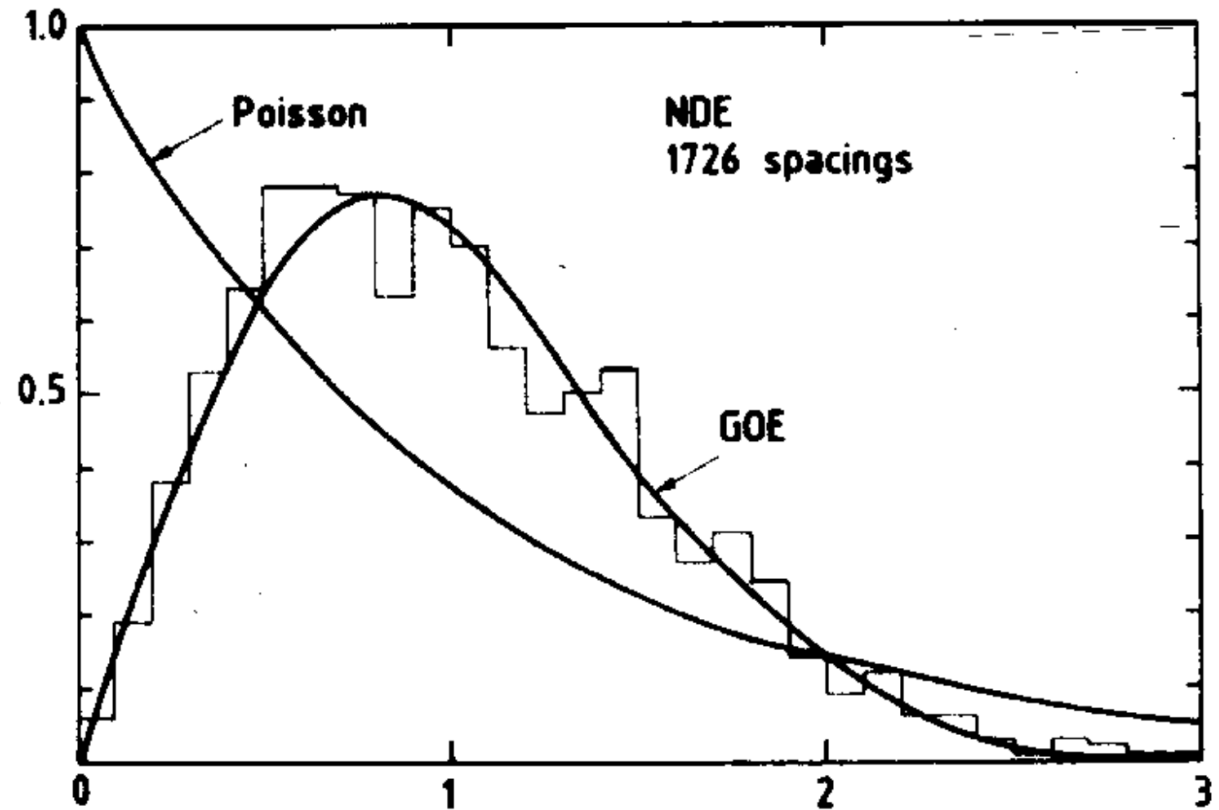
$$SFF(T) = SFF_{RMT}(T) \times TRP(T)$$

$$\begin{pmatrix} 0.8404 & -0.7442 & -1.0191 & -0.2102 & 1.6058 \\ -0.7442 & 0.4900 & -0.0501 & 1.5743 & 0.3155 \\ -1.0191 & -0.0501 & 1.3546 & -1.5165 & 1.1700 \\ -0.2102 & 1.5743 & -1.5165 & -0.1977 & -1.1330 \\ 1.6058 & 0.3155 & 1.1700 & -1.1330 & -0.4686 \end{pmatrix}$$

Random matrix theory (RMT) in physics

- Many complex quantum systems have an “unstructured” energy spectrum, **especially far away from the ground state**
- Wigner’s idea: we can model the spectrum of such “unstructured” systems using random* Hermitian matrices [Wigner, Dyson, Mehta, ...]

Level spacings of different nuclei with the same spin/parity:



[Bohigas-Haq-Pandey]

*Many types of random matrices, but we’ll consider primarily Gaussian ensembles

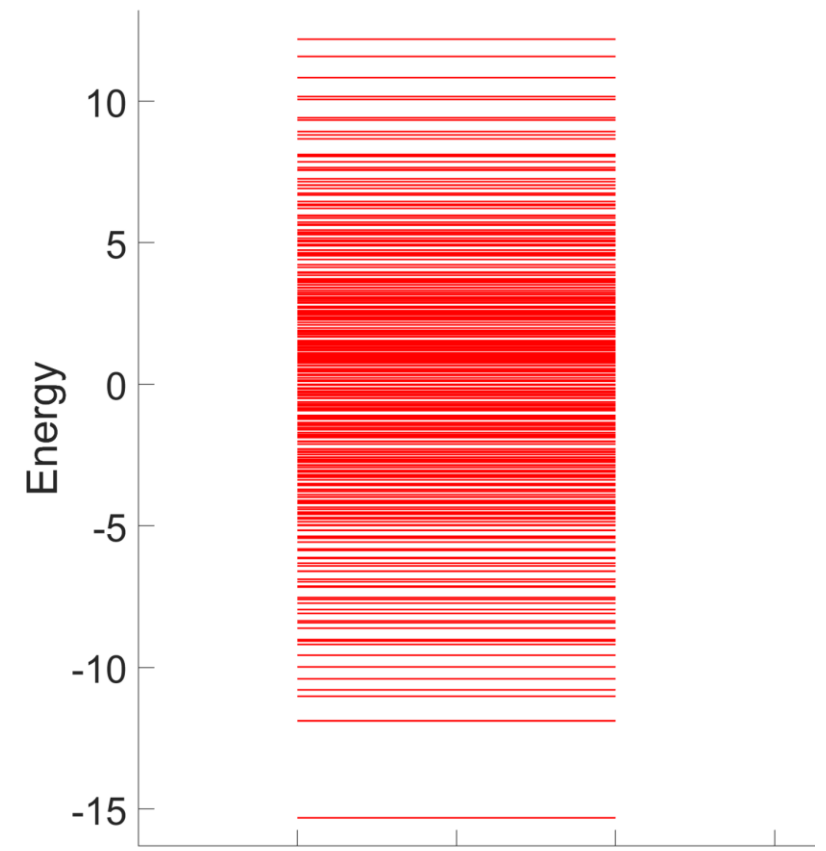
Spectrum and statistics

$$H = -J \sum_r \sigma_r^z \sigma_{r+1}^z - h_x \sum_r \sigma_r^x - h_z \sum_r \sigma_r^z$$

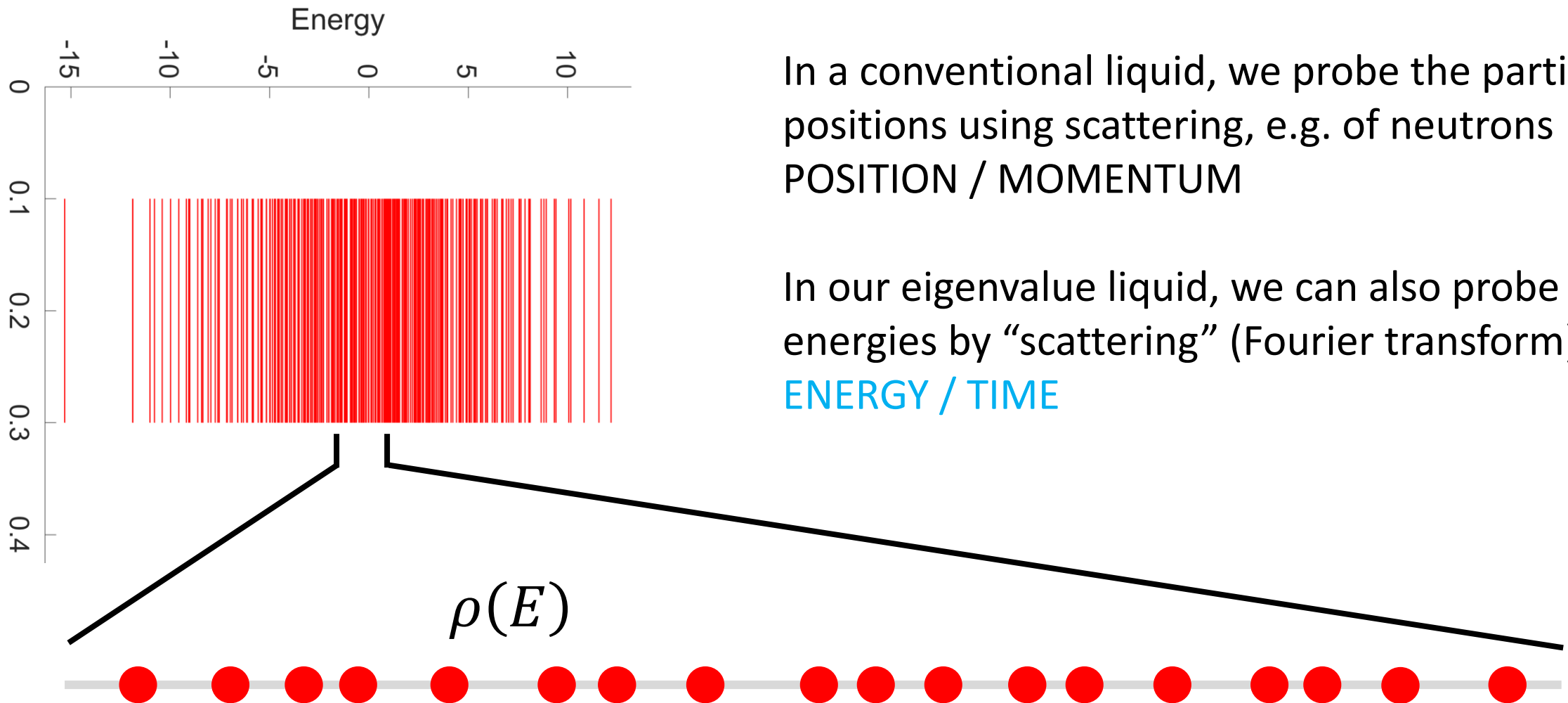
n=8 spins, 256 energy levels

Ensemble of Hamiltonians with random fields:

$$\Delta H = \sum_r \delta h_r \sigma_r^z$$



[chaos-RMT conjecture: [Bohigas-Giannoni-Schmit](#)]



In a conventional liquid, we probe the particle positions using scattering, e.g. of neutrons

In our eigenvalue liquid, we can also probe the energies by “scattering” (Fourier transform)

$$SFF(T) = \int dE \int d\epsilon e^{-i\epsilon T/\hbar} \overline{\rho\left(E + \frac{\epsilon}{2}\right) \rho\left(E - \frac{\epsilon}{2}\right)}$$



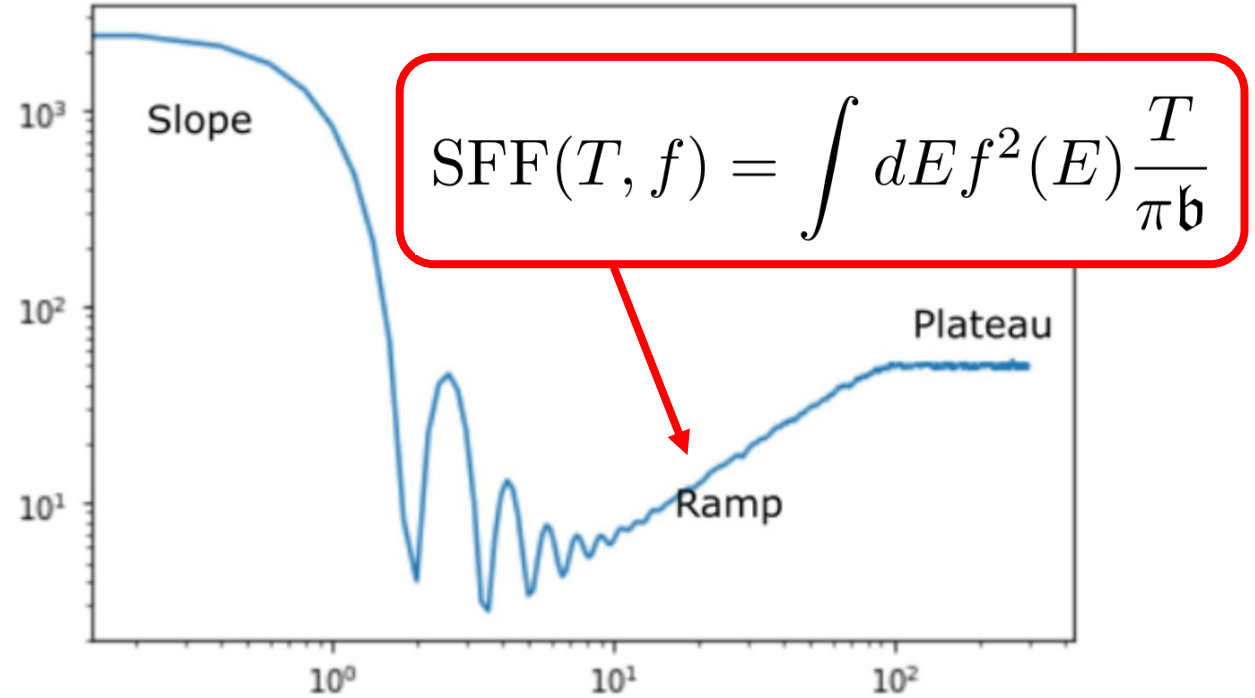
RMT and SFF

$$dP \propto \prod_{ij} dH_{ij} \exp(-\text{Tr}[V(H)])$$

$$dP = \frac{1}{\mathcal{Z}} \prod_{i < j} |E_i - E_j|^\beta \prod_i e^{-V(E_i)}$$

data: Dyson index and potential

Disorder Averaged SFF for N=50 GUE Random Matrix Theory

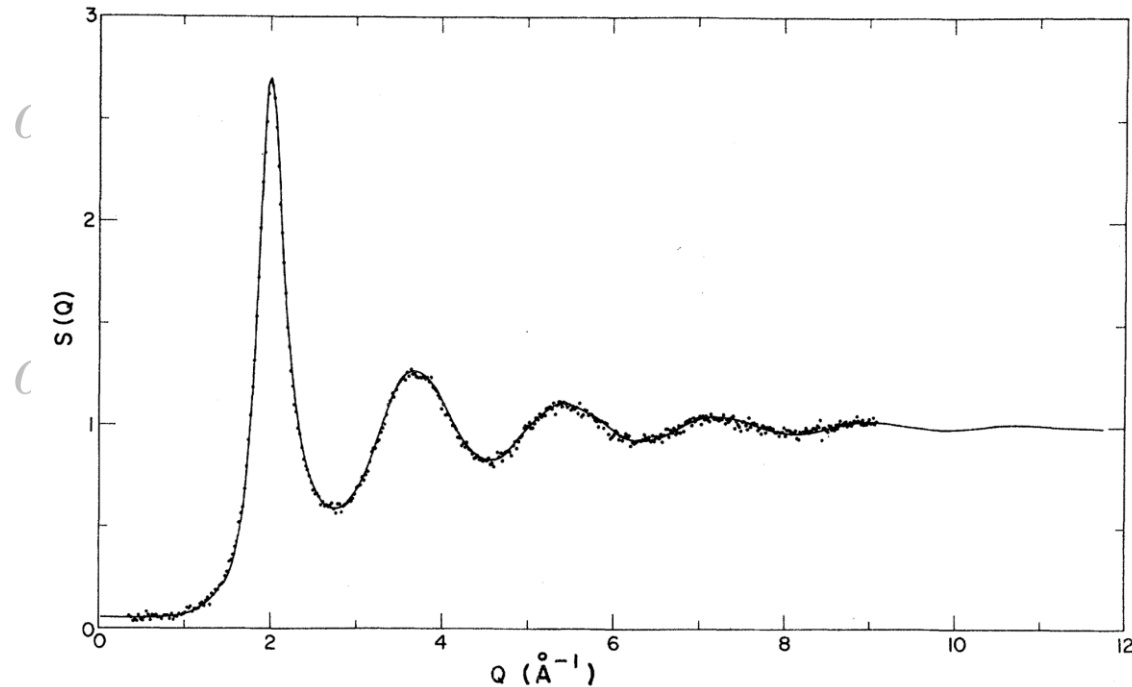


$$\text{SFF}(T, f) = \overline{|\text{Tr}[f(H)e^{iHT}]|^2} = \overline{\sum_{i,j} f(E_i)f(E_j)e^{i(E_i - E_j)T}}$$

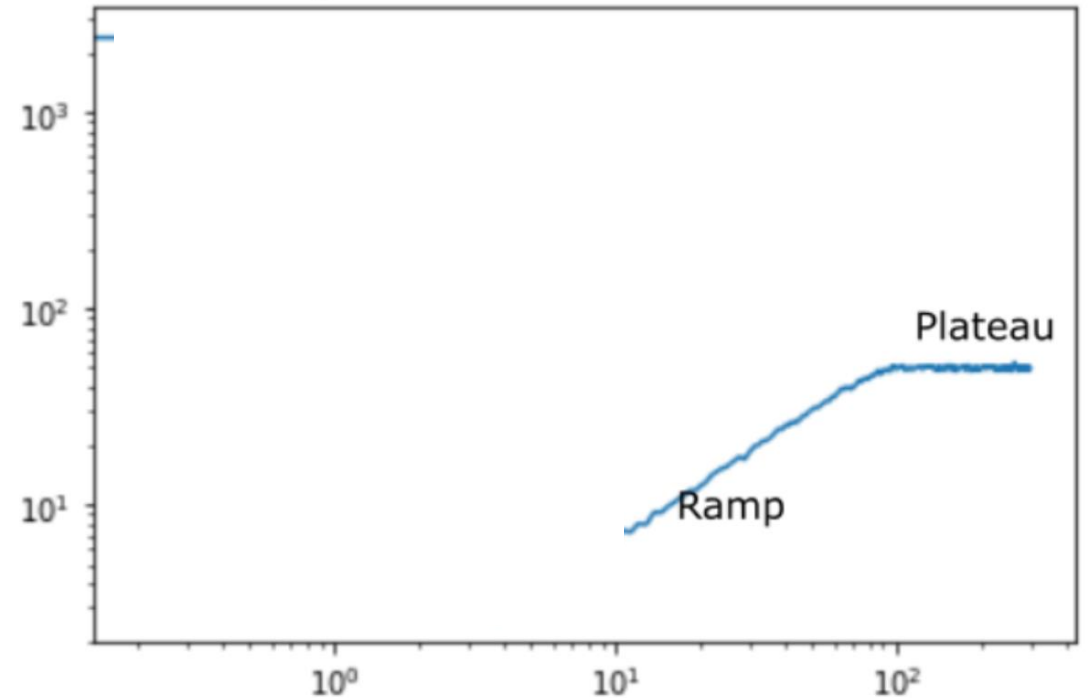
f = filter function

[review: Haake]

For fun ... liquid Argon vs liquid eigenvalues



Disorder Averaged SFF for N=50 GUE Random Matrix Theory

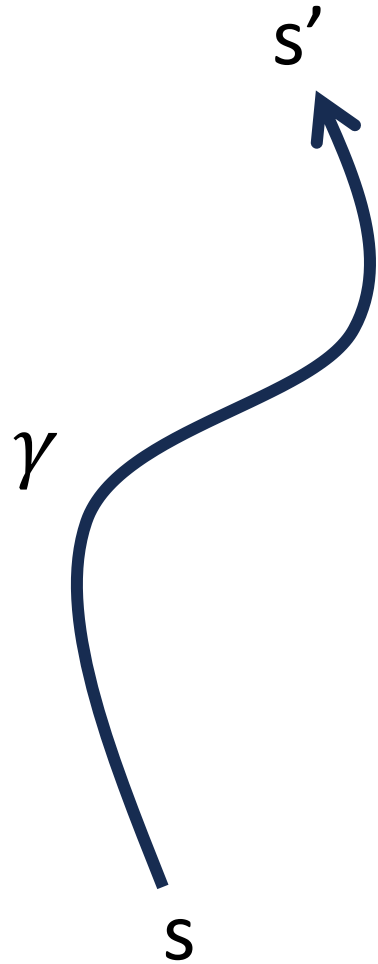


[Yarnell-Katz-Wenzel-Koenig]

$$\text{SFF}(T, f) = \overline{|\text{Tr}[f(H)e^{iHT}]|^2} = \overline{\sum_{i,j} f(E_i)f(E_j)e^{i(E_i-E_j)T}}$$

f = filter function

Quantum rules



The SFF can be written as a sum over return amplitudes, so let us recall the quantum rules for such amplitudes

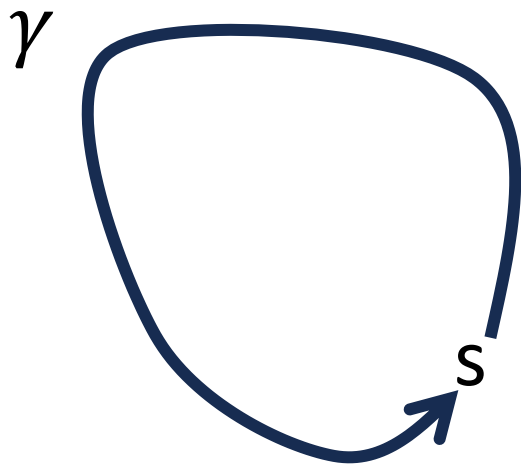
$$A(s \rightarrow s') = A(\gamma) \subset \langle s | \exp(-iHt/\hbar) | s' \rangle$$

$$P(s \rightarrow s') = |A(s \rightarrow s')|^2$$

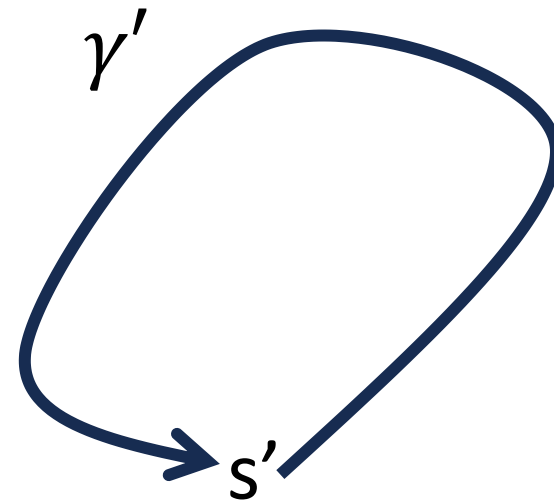
Probabilities are squares of amplitudes

$$SFF = \sum_{\gamma, \gamma'} A(\gamma) [A(\gamma')]^*$$

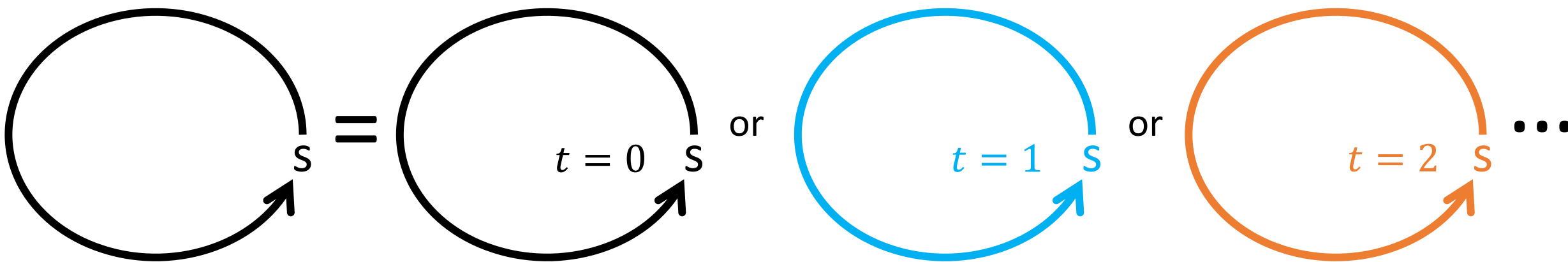
Terms in the SFF sum tend to destructively interfere unless the paths γ, γ' are the same



$A(\gamma)$



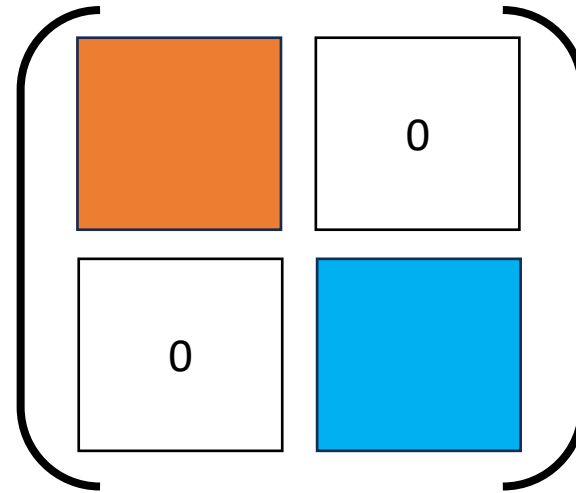
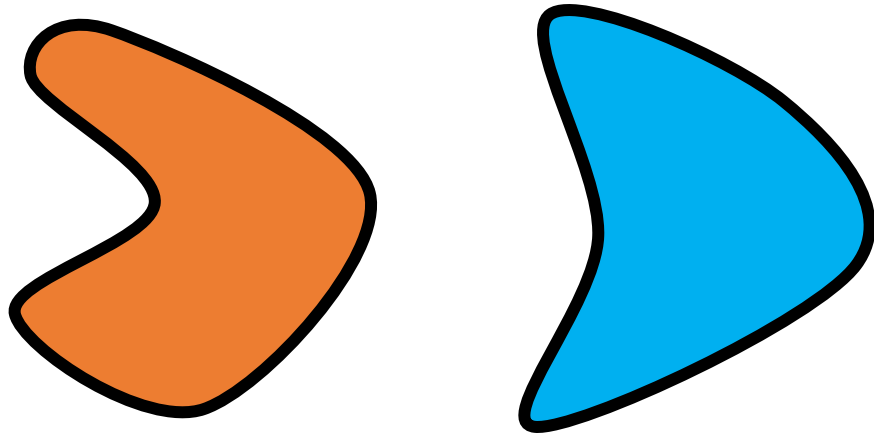
$[A(\gamma')]^*$



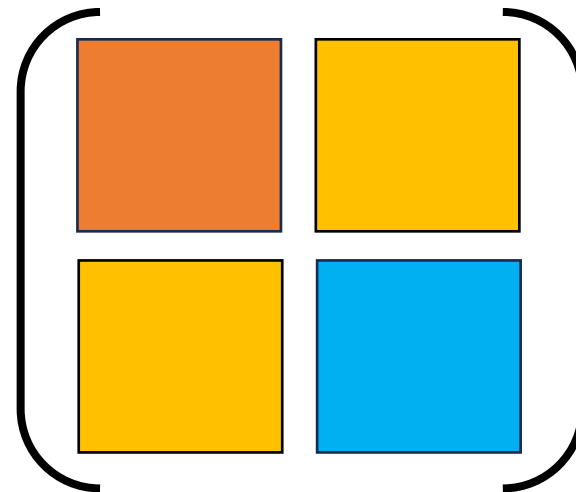
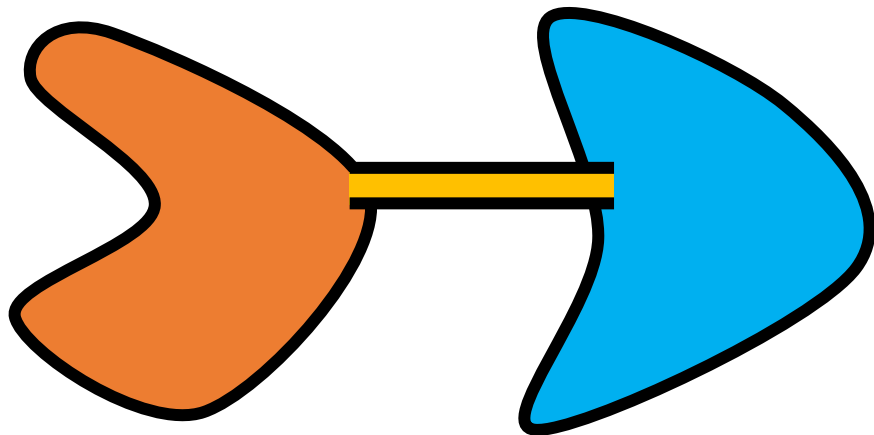
This residual freedom is responsible for the linear-in-T ramp



Toy example: coupled billiards



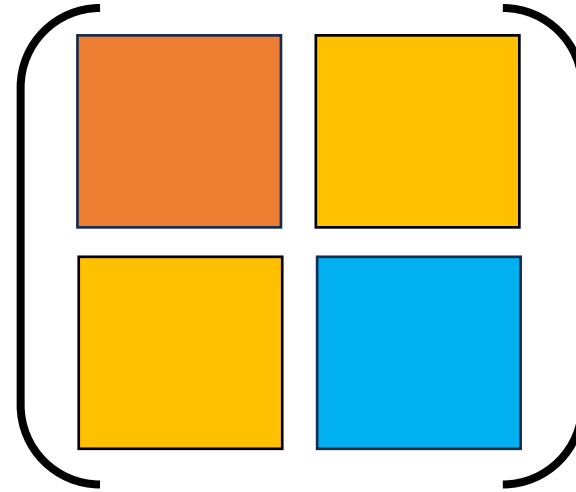
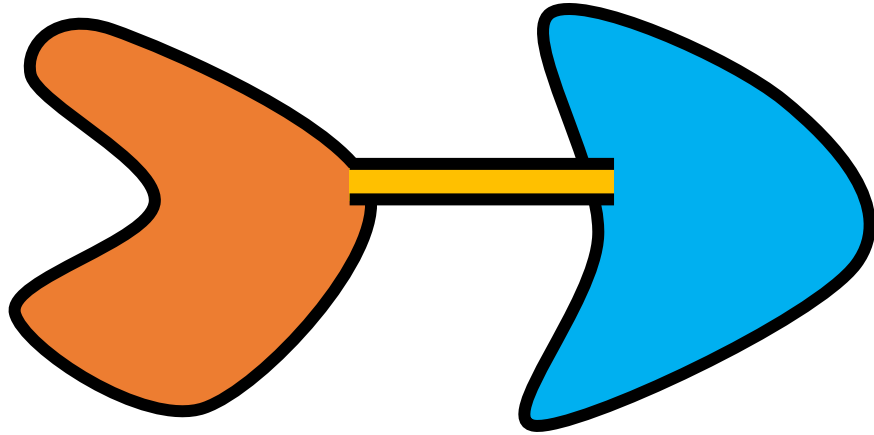
$$\text{SFF} = 2 \times \text{RMT}$$



Short time:
 $\text{SFF} = 2 \times \text{RMT}$

Long time:
 $\text{SFF} = \text{RMT}$

Toy example: coupled billiards



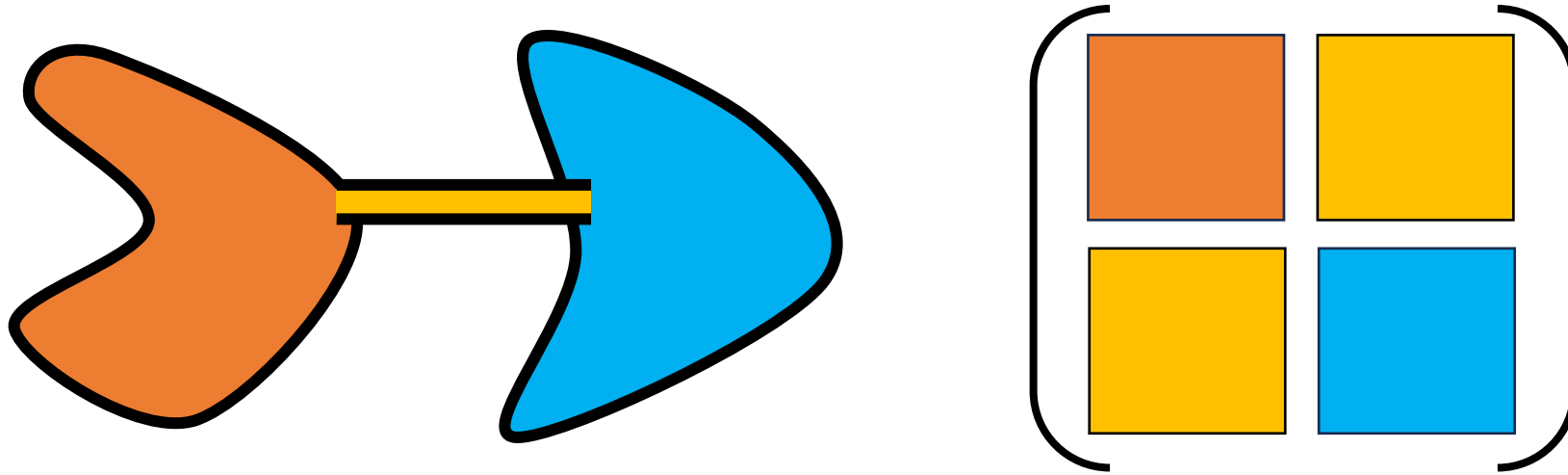
Short time:
SFF = 2 x RMT

Long time:
SFF = RMT

$$\text{SFF}(T, f) = \sum_{\alpha} f(E_{\alpha})^2 p_{\alpha \rightarrow \alpha}(T) \text{SFF}_{\alpha}(T)$$

[Winer-S]

Toy example: coupled billiards



Short time:
SFF = 2 x RMT

Long time:
SFF = RMT

$$\text{SFF}(T, f) = \sum_{\alpha} p_{\alpha \rightarrow \alpha}(T) \text{SFF}_{\text{RMT}}(T)$$

[Winer-S]

TRP(T)

Energy diffusion

$$\partial_t \epsilon = D \nabla^2 \epsilon + \xi$$

diffusion + “noise” with appropriate correlations

- Imagine breaking all other symmetries: all that remains is energy diffusion \rightarrow minimal slow dynamics in a local Hamiltonian system
- At time T , there are an extensive number of almost conserved modes:

$$k_T \sim \frac{1}{\sqrt{DT}} \quad N_T \sim \sum_k \theta(k_T - |k|) \sim V \int \frac{d^d k}{(2\pi)^d} = \frac{V S_d}{(2\pi)^d} \frac{k_T^d}{d}$$

- If each sector is random matrix like, then the SFF should correspond to a sum of many almost-independent ramps \rightarrow sectors are labelled by amplitudes of nearly-conserved energy fluctuations

$$\epsilon(x, t) = \epsilon_{k_1}(t) \text{ (wavy line)}_{k_1} + \epsilon_{k_2}(t) \text{ (wavy line)}_{k_2} + \dots$$

Linear diffusion

$$p(\epsilon_{k,\text{final}}, T) = \frac{\exp\left(-\frac{(\epsilon_{k,\text{final}} - e^{-\gamma_k T} \epsilon_k)^2}{2\sigma^2(T)}\right)}{\sqrt{2\pi\sigma^2(T)}}$$

$$\partial_t \epsilon = D \nabla^2 \epsilon + \xi$$

$$\int d\epsilon_k p(\epsilon_{k,\text{final}} = \epsilon_k, T) = \frac{1}{1 - e^{-\gamma_k T}}$$

$$\sum_{\alpha} p_{\alpha \rightarrow \alpha}(T) = \prod_k \frac{1}{1 - e^{-Dk^2 T}} = \exp\left(V \left(\frac{1}{4\pi DT}\right)^{d/2} \zeta(1 + d/2)\right)$$

exclude zero mode,
quasi-continuous
wavevector regime

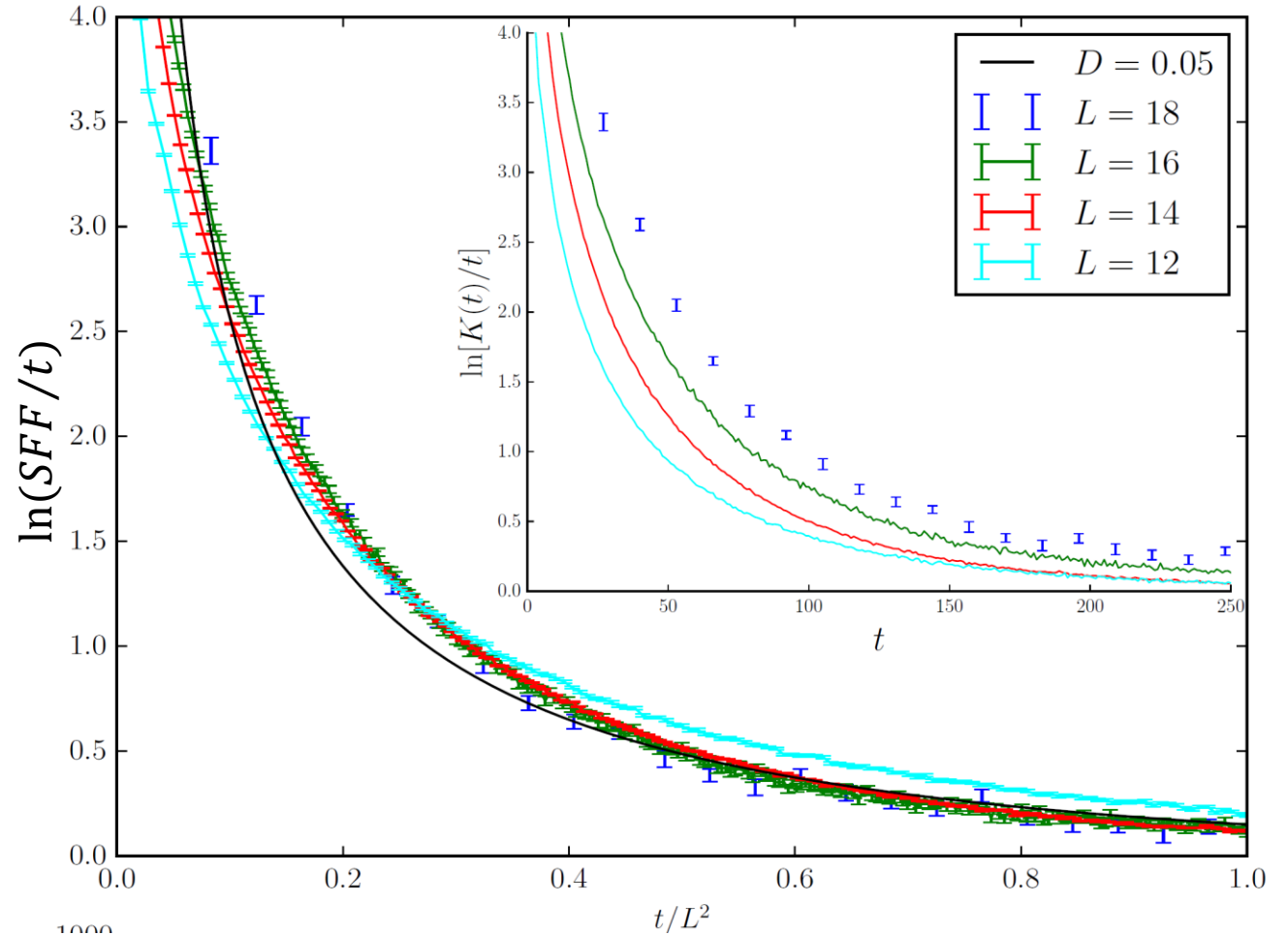
TRP(T)

[Winer-S, large-q d=1 Floquet model Friedman et al. '19]

$$T = t_{\text{Th}} = \frac{L^2 \log \frac{1}{\epsilon}}{(2\pi)^2 D} \longrightarrow \sum_{\alpha} p_{\alpha \rightarrow \alpha}(T) = 1 + 2d\epsilon + O(\epsilon^2) \quad \text{periodic box}$$

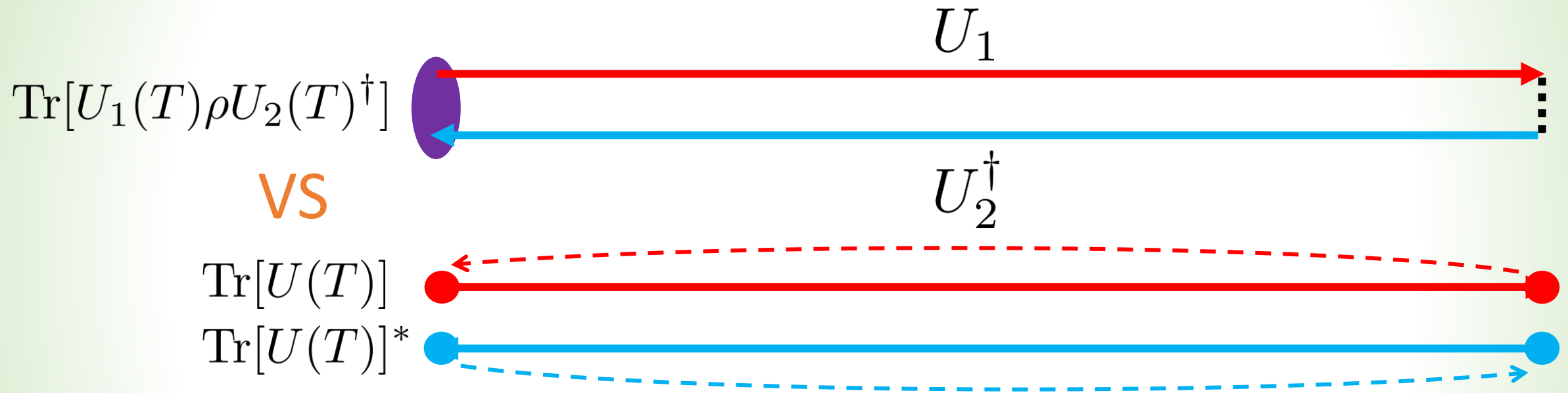
Comparison with numerical data

- Consistent with numerical data from [Friedman et al.], which derives the previous formula (in the context of U(1) conservation) in $d=1$ with large onsite dimension
- We show that it arises generally from linearized diffusion; and we can compute corrections



[data from Friedman et al. 1906.07736]

Theorist's corner



SFF effective theory should be related to an effective theory on a Schwinger-Keldysh contour \rightarrow hydro!

Theorist's corner

$$\text{SFF} = \int \mathcal{D}\epsilon \mathcal{D}\phi_a \exp(iS_{\text{hydro}})$$

$$\mathcal{D}\epsilon \mathcal{D}\phi_a = \prod_x \prod_{\ell=0}^{T/\Delta t - 1} \frac{d\epsilon(x, t = \ell\Delta t) d\phi_a(x, t = \ell\Delta t)}{2\pi}$$

$$S_{\text{hydro}} = \int dV dt \left(-\phi_a (\partial_t - D\Delta)\epsilon + i\beta^{-2} \kappa (\nabla\phi_a)^2 \right)$$

eigenvalues of $dt\partial_t$: $T/\Delta t$ complex numbers $i\omega$ obeying $(i\omega + 1)^{T/\Delta t} = 1$

$$\text{SFF} = \prod_k \prod_{\omega} \frac{1}{i\omega - \lambda_k \Delta t} = \prod_k \frac{1}{1 - e^{\lambda_k T}} \quad \lambda_k = -Dk^2$$

exactly reproduces prior calculation

Are these two widespread phenomena related?

$$\begin{pmatrix} 0.8404 & -0.7442 & -1.0191 & -0.2102 & 1.6058 \\ -0.7442 & 0.4900 & -0.0501 & 1.5743 & 0.3155 \\ -1.0191 & -0.0501 & 1.3546 & -1.5165 & 1.1700 \\ -0.2102 & 1.5743 & -1.5165 & -0.1977 & -1.1330 \\ 1.6058 & 0.3155 & 1.1700 & -1.1330 & -0.4686 \end{pmatrix}$$

YES!

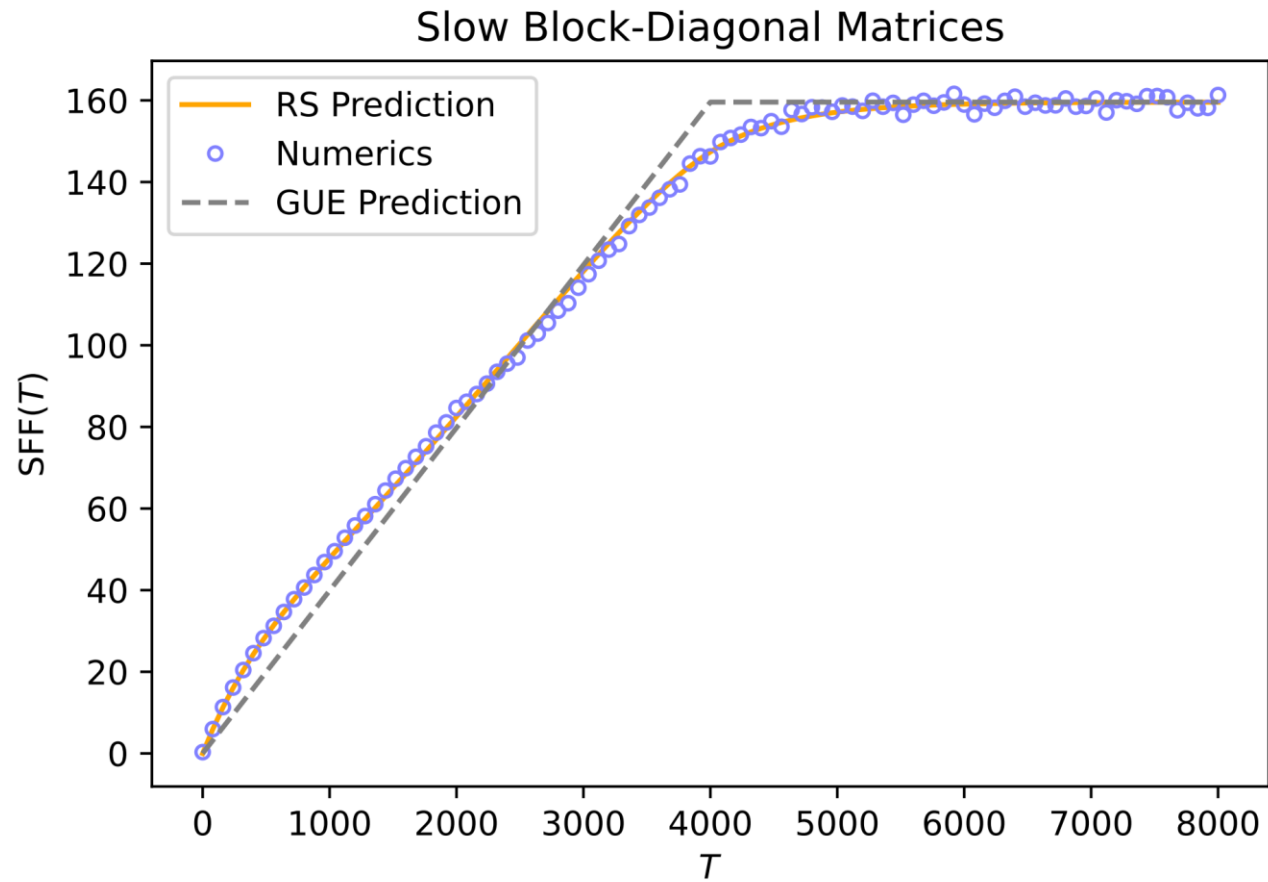


Spectral form factor (SFF)

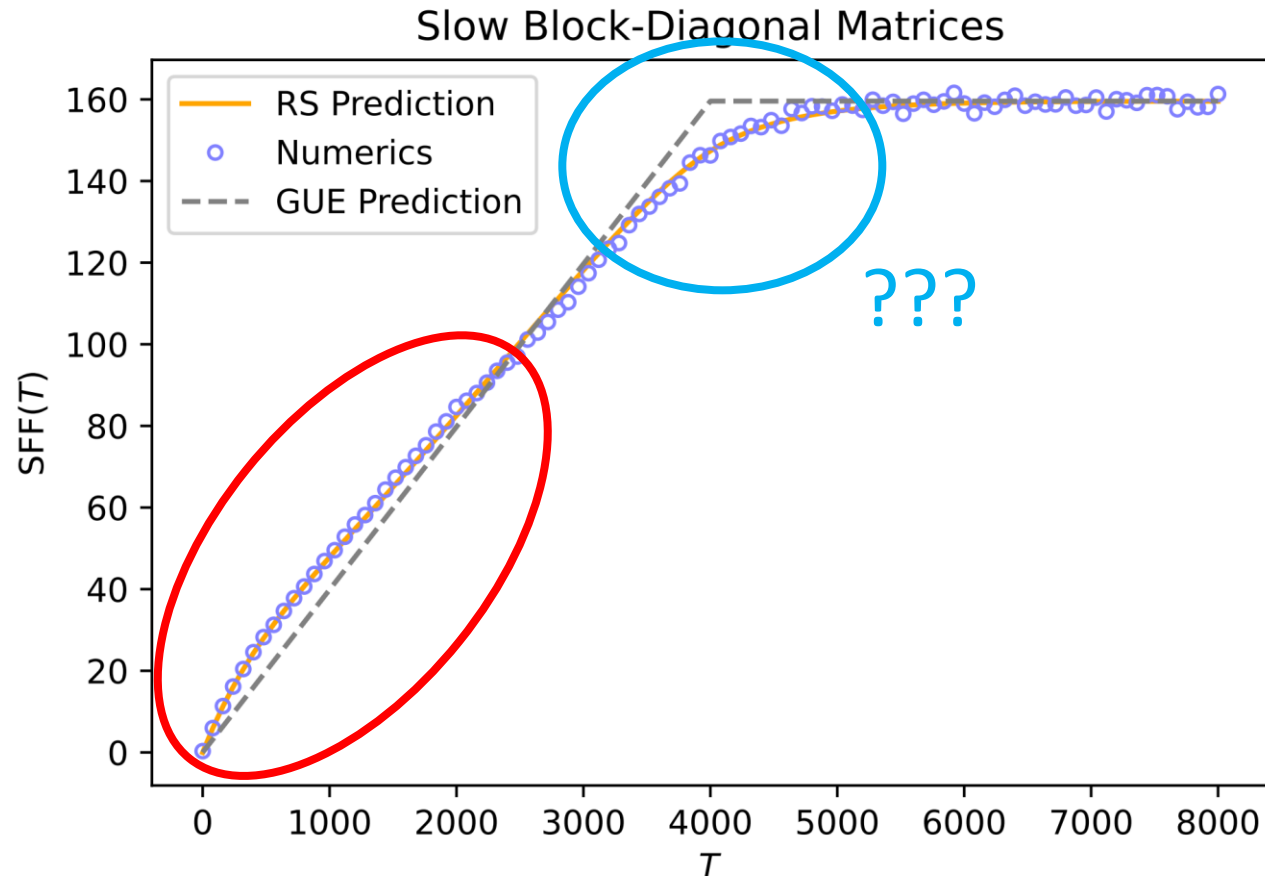
Total return probability (TRP)

$$SFF(T) = SFF_{RMT}(T) \times TRP(T)$$

But there is a twist ...



But there is a twist ...



$$SFF(T) = SFF_{RMT}(T) \times TRP(T)$$

We first observed this **suppression** in SFFs in a “block Rosenzweig-Porter” model [Barney-Winer-Baldwin-Galitski-S]

Detour: Zeta zeros

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$$

- Riemann zeta has zeros on the “critical” line $s = \frac{1}{2} + it$; **amazingly, these critical line zeros are distributed like the eigenvalues of a random Hermitian matrix!** [Montgomery, Odlyzko...]
- **Hilbert, Polya, Berry, Keating**, and others’ inspiring idea: what if the zeta zeros are secretly the energies of a quantum chaotic system?
- Still incomplete ... but one fruit is the **Riemann-Siegel lookalike** formula – a resummation formula for the **Gutzwiller** trace formula inspired by the Riemann-Siegel formula for zeta, a relationship between the contributions of short and long periodic orbits



SFF “sum rule”
$$\int_{-\infty}^{\infty} [\text{SFF}(T) - L] dT = 0$$

- Valid for any system with enough level repulsion \rightarrow early time enhancements must be “paid for” with a late time suppression
- We conjecture a specific formula for GUE-type problems (derivation in special cases from the Riemann-Siegel lookalike [[Berry-Keating](#)])

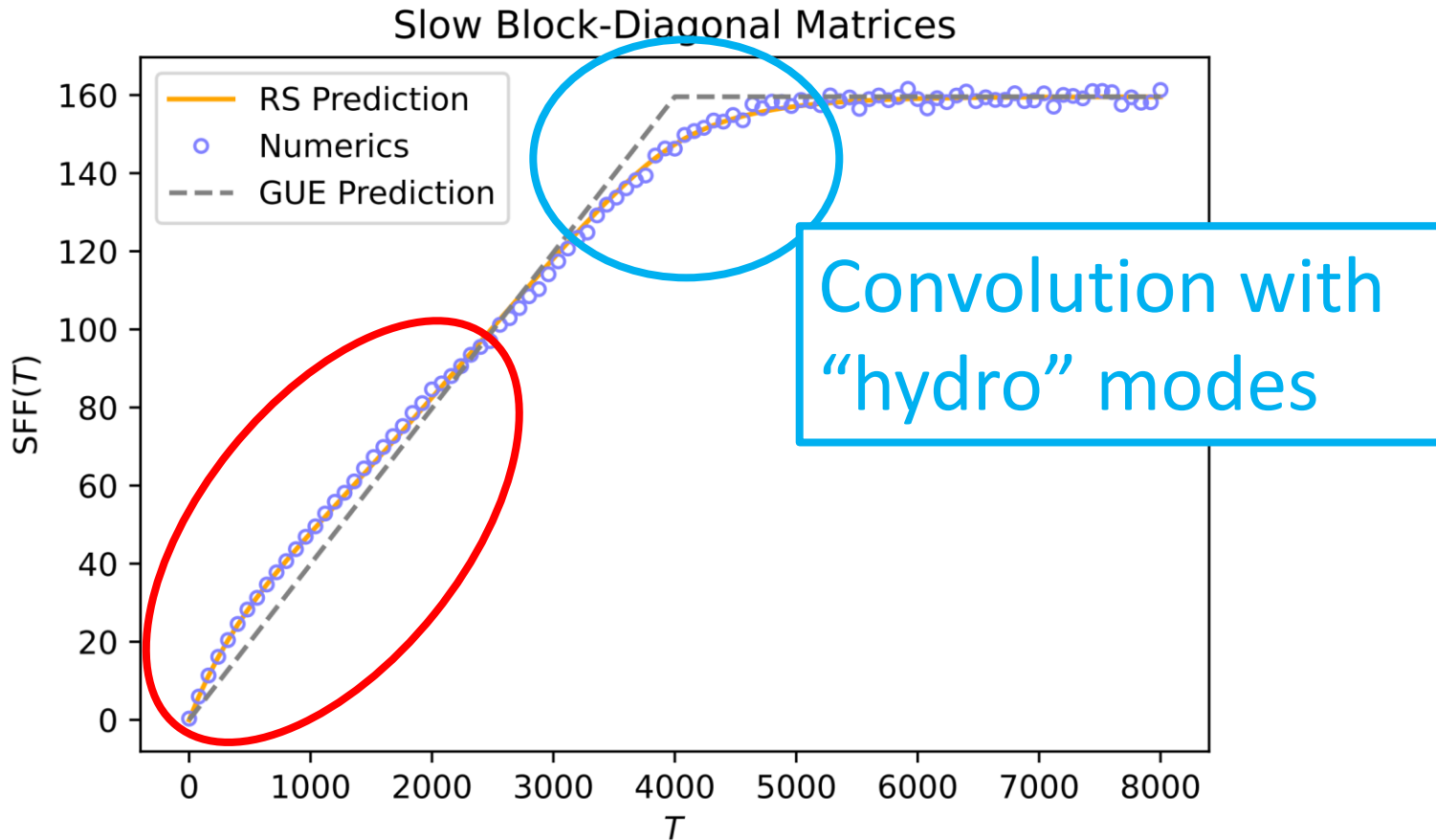
$$\text{SFF}(T) = \text{SFF}_{\text{short time}}(T) + \text{SFF}_{\text{long time}}(T)$$

$$\text{SFF}_{\text{short time}}(T) = \frac{|T|}{2\pi} \left(1 + \sum_n e^{-\lambda_n |T|} \right)$$

$$\text{SFF}_{\text{long time}}(T) = \frac{\lambda_1 e^{-\lambda_1 |T|}}{2} * \frac{\lambda_2 e^{-\lambda_2 |T|}}{2} * \frac{\lambda_3 e^{-\lambda_3 |T|}}{2} \dots * \text{SFF}_{\text{long time}}^0(T)$$

$$\text{SFF}_{\text{long time}}^0(T) = \begin{cases} 0 & \text{if } |T| \leq 2\pi\hat{\rho} \\ \hat{\rho} - \frac{|T|}{2\pi} & \text{if } |T| > 2\pi\hat{\rho} \end{cases}$$

But there is a twist ...

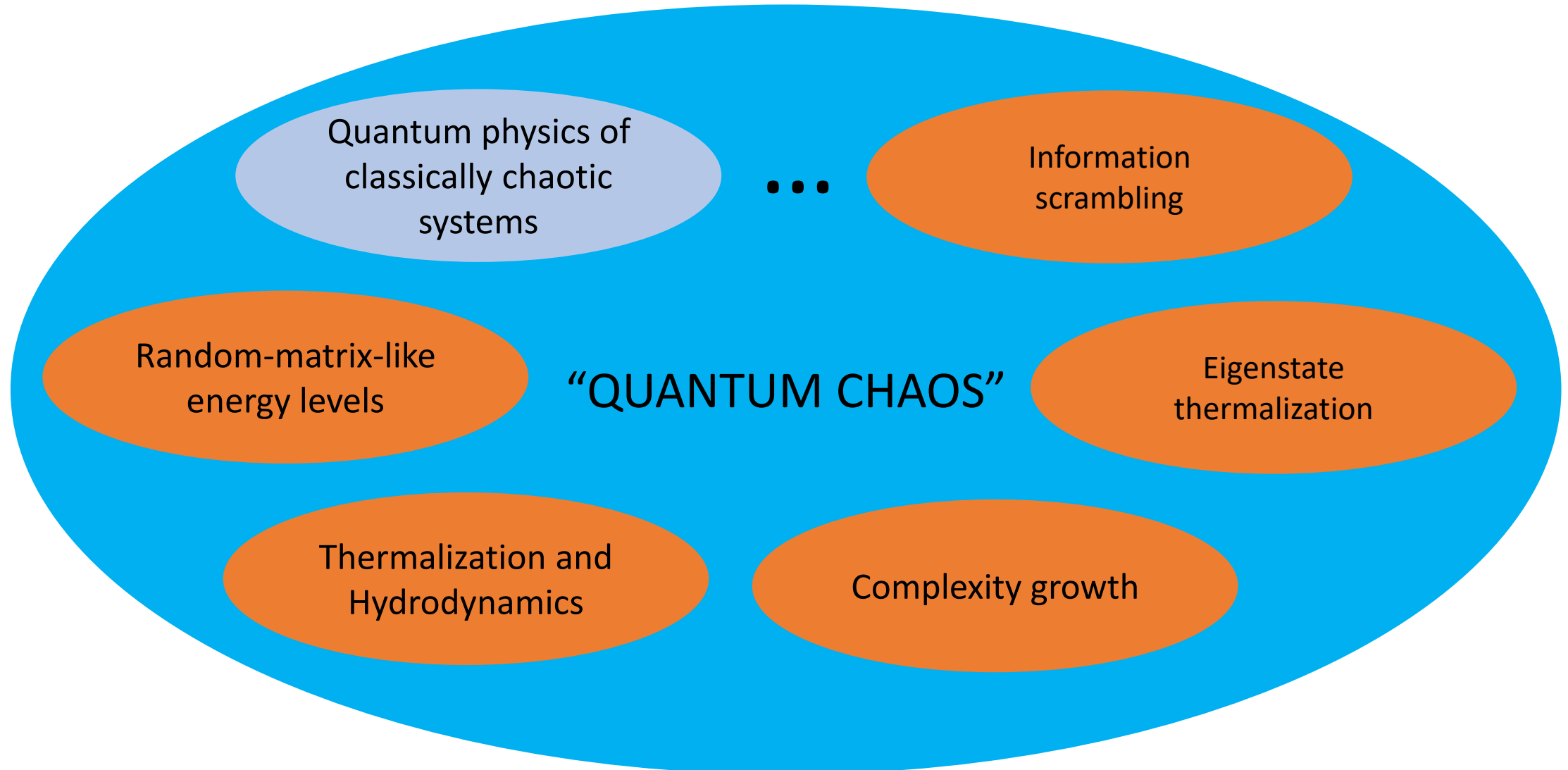


$$SFF(T) = SFF_{\text{RMT}}(T) \times \text{TRP}(T)$$

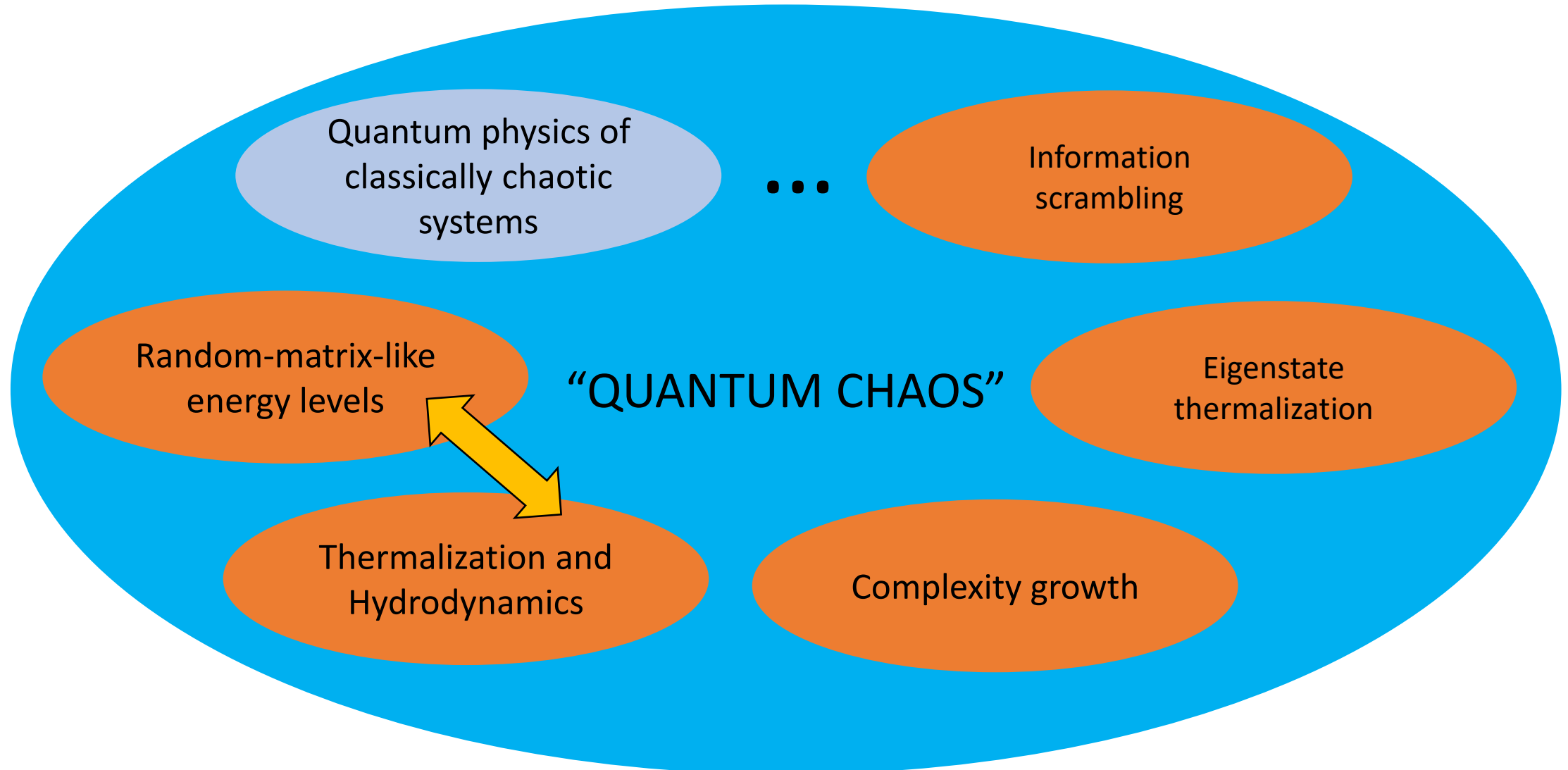
Summary

- Random-matrix-like energies are common to many quantum systems
- But real systems are not literally random matrices, and deviations from RMT are controlled by hydro, construed broadly
- We didn't emphasize it, but there is even a sense in which this hydro-based "effective theory of spectral correlations" yields the ramp itself
- So far, we've applied this theory to spin chains and simple block models; what about nuclei and elsewhere?
- Quantum information has provided many new inspirations, e.g. the growth of complexity, scrambling and thermalization, and more ...

Outlook – chaos and quantum information



Today – linking hydro and RMT



Thanks and references

- Mostly based on results with my student **Mike Winer**
 - *Hydrodynamic theory of the connected spectral form factor*, [2012.01436](#)
 - *Reappearance of Thermalization Dynamics in the Late-Time Spectral Form Factor*, [2307.14415](#)
- Quantum chaos, e.g. [Altshuler-Shklovskii '86](#), D'Alessio-Kafri-Polkovnikov-Rigol, ...
- Analytic results: Bertini-Kos-Prosen, Dubertrand-Muller, Chan-De Luca-Chalker, [Saad-Shenker-Stanford](#), Garcia-Garcia-Verbaarschot, Altland-Sonner, ...
- RMT Onset: [Schiulaz-Torres-Herrera-Santos](#), [Gharibyan-Hanada-Shenker-Tezuka](#), [Friedman-Chan-De Luca-Chalker](#), Altland-Bagrets, ...
- Fluctuating hydro: [Dubovsky-Hui-Nicolis-Son](#), [Grozdanov-Polonyi](#), [Haehl-Loganayagam-Rangamani](#), [Crossley-Glorioso-Liu](#), [Jensen-Pinkani-Fokeeva-Yarom](#), [Chen-Lin-Delacretaz-Hartnoll](#), ...