

Operator Growth in SYK model and Beyond

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Overview

- Motivation
- Definition of operator size and size distribution
- General results: thermal state and single fermion excitations
- SYK model
 - Large q limit
 - Large βJ limit
- Dual theory: size distribution of bulk fermion operators

Ref.

- 1. Alexandre Streicher, XLQ, arxiv:1810.11958
- 2. Yingfei Gu, Yuri Lensky, XLQ, Pengfei Zhang, in progress

Part I: General results

Motivation

- Classical chaos:
- $\xi(0) = (x(0), p(0)) \rightarrow \xi(t) = (x(t), p(t))$
- Sensitivity to initial condition
- Lyapunov exponents
- $\xi(t)$ is a complicated function of $\xi(0)$
- Quantum analog: Heisenberg operator evolution $\hat{O}(t) = e^{itH} \hat{O}(0) e^{-itH}$

 $\xi(0)$

 $\xi(t)$

- $\hat{O}(t)$ is a complicated function of \hat{O}
- How to define "complicated"?

Many-body quantum chaos

Non-interacting system:
 A particle has N possible positions.

$$\psi_x(t) = \sum_{y=1\dots N} \phi_x(y) \widehat{\psi}_y(0).$$

 Generic interacting system: A particle can decay into multi-particle states.
 Exponentially many final states in the Hilbert space.

•
$$\hat{\psi}_x(t) = \phi_x(y)\hat{\psi}_y(0) + \phi_x(y_1y_2y_3)\hat{\psi}_{y_1}\hat{\psi}_{y_2}^+\hat{\psi}_{y_3} + \cdots$$





Operator size distribution

- p_l probability of size l (Roberts-Stanford-Susskind '14, Hosur XLQ '16, Roberts, Streicher, Stanford '18)
- Example: Majorana fermions
- $\hat{\psi}_1(t) = a\hat{\psi}_3 + b\hat{\psi}_4 + c\hat{\psi}_2\hat{\psi}_3\hat{\psi}_4 + d\hat{\psi}_1\hat{\psi}_3\hat{\psi}_4 + \cdots$



Operator size distribution

• Example: a random fermionic operator

•
$$p_l = 2^{1-N} \binom{N}{l}$$
, for odd l . Average size $\frac{N}{2}$

• Doubled formalism:

- Take two systems *L*, *R*, each consisting of *N* Majoranas.

- Prepare a maximally entangled state $|I\rangle$
- For every operator O, applying it to the left system, to obtain a state: $|O\rangle = O_L \otimes \mathbb{I}_R |I\rangle$

•
$$\langle A|B\rangle = \frac{1}{D}tr(A^+B)$$



The size superoperator

• In the doubled formalism, size is a linear operator



The size superoperator

- Size superoperator î depends on reference state |I⟩.
 n̂|I⟩ = 0 is required
- A convenient choice of $|I\rangle$: $(\psi_{iL} i\psi_{iR})|I\rangle = 0$
- $|I\rangle$ is the vacuum state of fermion with annihilation operator $f_i = \frac{1}{2}(\psi_{iL} i\psi_{iR}), \{f_i, f_j^+\} = \delta_{ij}$
- In this basis $\hat{n} = \sum_i f_i^+ f_i$ is fermion number
- $\psi_{iL}\psi_{jL}\dots |I\rangle = f_i^+ f_j^+\dots |I\rangle$
- In Majorana basis

•
$$\hat{n} = \frac{1}{2} (N - i \sum_{i} \psi_{iL} \psi_{iR})$$



Generating function

- The size superoperator can be used to compute the full size distribution
- $p_l = \sum_{\alpha} |\langle l\alpha | \hat{O} | I \rangle|^2$
- $\mathcal{G}_{\mu} = \langle I | \hat{O}^+ e^{-\mu \hat{n}} \hat{O} | I \rangle = \sum_{l=0}^{N} e^{-\mu l} p_l [\hat{O}]$
- For random fermionic operator

•
$$\mathcal{G}_{\mu}^{rand} = \sum_{l \text{ odd}} e^{-\mu l} \binom{N}{l} 2^{1-N}$$

= $\left(\frac{1+e^{-\mu}}{2}\right)^{N} - \left(\frac{1-e^{-\mu}}{2}\right)^{N}$.

General results

- Independent from details, this formalism already tells us interesting information about operator size.
- Consider the TFD state:
- $\rho = \frac{1}{z}e^{-\beta H}$ • $|TFD\rangle = \left|\rho^{\frac{1}{2}}\right| = Z^{-\frac{1}{2}}e^{-\beta H_L}|I\rangle =$ • Size of $\rho^{\frac{1}{2}}$: • $n(\rho^{1/2}) = \langle TFD|\hat{n}|TFD\rangle =$ $\frac{N}{2} - \frac{i}{2}\sum \langle TFD|\psi_{iL}\psi_{iR}|TFD\rangle$

$$= \frac{N}{2} - \frac{1}{2} \sum_{i}^{i} \left\{ \psi_i \left(\frac{\beta}{2} \right) \psi_i(0) \right\} \equiv \frac{N}{2} - \frac{N}{2} G\left(\frac{\beta}{2} \right)$$

General results 1: thermal state

• Distance to scrambling (average size of random operator $n^* = N/2$): $G_1(\tau)$

•
$$\delta_{\beta} \equiv 1 - \frac{n}{n^*} = G\left(\frac{\beta}{2}\right)$$

- Usually, $G\left(\frac{\beta}{2}\right)$ decays to zero at $\beta \to \infty \Rightarrow$ Size approaches $\frac{N}{2}$
- For example, $\rho_{\beta \to \infty}$ has size $\frac{N}{2}$ if $\frac{1}{2}$ the system has unique ground state and a gap, or if the system is a CFT.

General results 2: single fermion excitation

- At infinite temperature, a single fermion operator χ_i has size 1. In our doubled language, this means $\hat{n}\psi_{iL}|I\rangle = \psi_{iL}|I\rangle$
- As a generalization, we can consider $\psi_{iL}|TFD\rangle$
- $\delta n_{\beta}[\psi_i] = \langle TFD | \psi_{iL} \hat{n} \psi_{iL} | TFD \rangle \langle TFD | \hat{n} | TFD \rangle$ = $\langle TFD | [\psi_{iL}, \hat{n}] \psi_{iL} | TFD \rangle$.
- Fermion anticommutation gives
- $\delta n_{\beta}[\psi_i] = -i\langle TFD | \psi_{iR} \psi_{iL} | TFD \rangle$

•
$$\frac{1}{N}\sum_{i}\delta n[\psi_{i}] = G\left(\frac{\beta}{2}\right) = \delta_{\beta}$$





General results 3: Time evolution and OTOC

• The effect of (chaotic) dynamics is described by studying the size of Heisenberg operators.



- $\delta n_{\beta}[\psi_i(t)] = \langle TFD | [\psi_{iL}(t), \hat{n}] \psi_{iL}(t) | TFD \rangle.$
- This is related to the out-of-time-ordered correlation function (OTOC):
- $\delta n_{\beta}[\psi_i(t)] = \sum_j \left\langle \psi_j\left(\frac{\beta}{2}\right) \{\psi_i(\epsilon + it), \psi_j(0)\} \psi_i(-\epsilon + it) \right\rangle_{\beta}.$
- Infinite temperature case Roberts, Streicher, Stanford '17
- Finite temperature XLQ-Streicher '18

Part II: SYK model

Sachdev-Ye-Kitaev model

- $H = \sum_{ijkl} J_{ijkl} \chi_i \chi_j \chi_k \chi_l$ with Gaussian random coupling J_{ijkl} . (Sachdev-Ye, '93, Kitaev '15, Maldacena-Stanford '16)
- Or complex fermion model $H = \sum_{ij,kl} J_{ijkl} c_i^+ c_j^+ c_k c_l.$
- Generalization (Maldacena-Stanford '16) : $H = \sum_{i_1 i_2 \dots i_q} J_{i_1 i_2 \dots i_q} \chi_{i_1} \chi_{i_2} \dots \chi_{i_q}$
- Averaging over disorder
- $\overline{Z^n} \simeq \overline{Z}^n$ in large N limit.
- Large N order parameter

•
$$G(\tau_1, \tau_2) = \frac{1}{N} \sum_i \langle \chi_i(\tau_1) \chi_i(\tau_2) \rangle =$$

q-body interaction



N fermions



Sachdev-Ye-Kitaev model

- Critical correlation function ($\Delta = 1/q$) $\langle \chi_i(\tau)\chi_i(0) \rangle \propto \left| \sin\left(\frac{\tau}{\beta}\pi\right) \right|^{-2\Delta} \operatorname{sgn}(\tau).$
- Real time: thermal double state $|TFD(t)\rangle = Z^{-\frac{1}{2}} \sum_{n} e^{-E_n \left(\frac{\beta}{2} + it\right)} |n\rangle_L |n\rangle_R.$
- $\langle \chi_{iL}(t)\chi_{iR}(t)\rangle \propto \left|\cosh\left(\frac{\pi t}{\beta}\right)\right|^{-2\Delta}$
- Chaos. Maximal Lyapunov exponent $\lambda = 2\pi T$ (Kitaev '15, Maldacena-Stanford '16, Maldacena-Shenker-Stanford '15)
- (Approximately) dual to Jackiw-Teitelboim gravity





Operator growth in the SYK model

- Infinite temperature case (Roberts-Stanford-Streicher '18)
- For finite temperature, we study the size distribution $\langle TFD | e^{-\mu \hat{n}} | TFD \rangle$ and $\langle TFD | \psi_{iL} e^{-\mu \hat{n}} \psi_{iL} | TFD \rangle$
- $\langle TFD | e^{-\mu \hat{n}} | TFD \rangle \equiv Z_{\mu}$ is a partition function of SYK with twisted boundary condition

$$\psi\left(\frac{\beta}{4}+\epsilon\right) = \cosh\mu\psi\left(\frac{\beta}{4}-\epsilon\right) - \sinh\mu\psi\left(\frac{3\beta}{4}-\epsilon\right)$$





Operator growth in the SYK model

• Two-point function with μ term can be obtained by Schwinger-Dyson equation

•
$$G = \left[\partial_{\tau} - \Sigma_{\mu}\right]^{-1}$$

 $\Sigma_{\mu}(\tau_1, \tau_2) = J^2 \mathcal{G}_{\mu}^{q-1}(\tau_1, \tau_2).$



)-M

Per

• Note that

$$\mathcal{G}_{\mu}(\tau_{1},\tau_{2}) = \frac{\langle TFD | \psi_{iL}(\tau_{1})e^{-\mu \hat{n}}\psi_{iL}(\tau_{2}) | TFD \rangle}{\langle TFD | e^{-\mu \hat{n}} | TFD \rangle}$$

- This is a ratio of two generating functions. Expansion of $\mathcal{G}_{\mu} = \sum_{m} e^{-\mu m} K_{m}$ Coefficient K_{m} satisfies
- $p_l\left[\psi_i(t)\rho^{\frac{1}{2}}\right] = \sum_m K_m p_{l-m}\left[\rho^{\frac{1}{2}}\right].$
- K_m is the "transition probability" that the fermion operator increases size of $\rho^{\frac{1}{2}}$ by m

Large-q solution

- In large q, the Schwinger-Dyson equation simplifies $\left[\partial_{\tau} - \frac{J^2}{q} G^{q-1}\right] * G = \mathbb{I},$ • $G = G_0 e^{\frac{g}{q}}, \Rightarrow$ Liouville equation $\partial_{\tau_1} \partial_{\tau_2} g = -2J^2 e^g$
- Define $\mu = \frac{\hat{\mu}}{q}$, $\hat{\mu}$ changes the boundary condition of g

$$\mathcal{G}_{\mu}(\tau_{1},\tau_{2}) = \frac{e^{-\hat{\mu}/q}G_{\mu}(\tau_{1}-\tau_{2})}{\left(1 - \frac{(1 - e^{-\hat{\mu}})}{\sin^{2}\gamma_{\mu}}\left(G_{\mu}(\tau_{1}-\tau_{2})\right)^{q/2}\sin\left(\alpha_{\mu}\left(\tau_{1}-\frac{\beta}{4}\right)\right)\sin\left(\alpha_{\mu}\left(\tau_{2}-\frac{\beta}{4}\right)\right)\right)^{2/q}}$$



Large-q solution

- Size of thermal state
- $n\left[\rho^{\frac{1}{2}}\right] = \frac{N}{2}\left(1 \delta_{\beta}\right),$ • $\delta_{\beta} = \left(\frac{\alpha}{2}\right)^{\frac{2}{q}}$



- At low temperature $\alpha \simeq \frac{\pi}{\beta}$.
- Fermion size $\delta n_{\beta}[\psi_1(t)] = 1 + 2\left(\frac{\partial}{\alpha}\sinh\alpha t\right)^2$
- Lyapunov exponent 2α
- Consistency at $t \to 0$: conjecture $\delta n_{\beta}[\psi_1(t)] = \delta_{\beta} \left[1 + 2 \left(\frac{\mathcal{J}}{\alpha} \sinh \alpha t \right)^2 \right].$

Large-q solution

- Full size distribution can be obtained by expanding \mathcal{G}_{μ}
- The entire distribution is the same as infinite temperature case except a renormalization contained at $\mathcal{J}t \rightarrow \frac{\mathcal{J}}{\alpha} \sinh \alpha t$



$$K_{\delta_{\beta}(1+qn)}^{\beta}\left[\psi_{1}\left(t\right)\right] = \left(-1\right)^{n} \binom{-2/q}{n} \frac{\left(\frac{\mathcal{J}}{\alpha}\sinh\left(\alpha t\right)\right)^{2n}}{\left(1 + \left(\frac{\mathcal{J}}{\alpha}\sinh\left(\alpha t\right)\right)^{2}\right)^{n+\frac{2}{q}}}$$

$$\propto e^{-\frac{4}{q}\alpha t} \exp\left[-\left(\frac{2\alpha}{\mathcal{J}}\right)^2 e^{-2\alpha t}n\right]$$

Low temperature solution

• At large βJ , the dynamics of the SYK model can be described by reparameterization modes $f(\tau)$, defined by

 $G_{f}(\tau_{1},\tau_{2}) = |f'(\tau_{1})f'(\tau_{2})|^{\Delta}G_{S}(f(\tau_{1}),f(\tau_{2}))$

- Effective theory describes the breaking of reparameterization symmetry.
- Schwarzian action $S = \frac{N}{J} \alpha \int_{0}^{\beta} d\tau \operatorname{Sch} \left[\tan \left(\frac{\pi}{\beta} f(\tau) \right), \tau \right]$
- Preserves SL(2,R) gauge symmetry
- Bulk picture $S = N\alpha(L A)$. $L = \beta J$, maximize A.



Low temperature solution

- $e^{-\mu \hat{n}}$ term adds an interaction between the time $\tau = 0$ and $\tau = \frac{\beta}{2}$.
- $\delta S \propto N \left\langle \psi\left(\frac{\beta}{2}\right) \psi(0) \right\rangle_f = N \cosh D^{\Delta}$
- Solution: two arcs (similar to Gu, Lucas XLQ '17)
- Analytic continuation $\tau_1 \rightarrow \epsilon + it_1$, $\tau_2 \rightarrow -\epsilon + it_2$ to determine $\mathcal{G}_{\mu}(t_1, t_2)$.





(Yingfei Gu, Yuri Lensky, XLQ, Pengfei Zhang, in progress)

Low temperature solution

• For $t_1 = t_2$,

$$g(\mu) = b_{\Delta} \left(\frac{\alpha \sin\left(\frac{\alpha}{2}\right)}{2\pi \cos\left(\alpha\left(\frac{1}{2} - \frac{\epsilon}{\pi}\right)\right) - 2\pi \cos\left(\frac{\alpha}{2}\right) \cosh\left(\frac{\alpha t}{\pi}\right)} \right)^{2\Delta}$$
$$(\alpha \approx \pi + c\mu)$$
• Schwarzian
$$g(\mu) = b_{\Delta} \left(\frac{1}{\alpha' \mu \cosh t + 2\epsilon} \right)^{2\Delta}.$$
• Large q

$$g_q(\mu) = \frac{1}{2} \frac{e^{-\mu}}{\left(1 + (1 - e^{-q\mu})\left(\frac{J}{\alpha_{\mu}}\right)^2 \sinh^2(\alpha_{\mu}t)\right)^{2\Delta}}.$$

• Agreement for long time requires to take the UV cutoff $\epsilon = \frac{\pi}{\beta J}$, and small $\hat{\mu} = q\mu \ll 1$

Part III: Holographic dual theory

The dual theory: bulk operator size

- The SYK is approximately dual to Jackiw-Teitelboim gravity coupled with matter fields.
- Gravitational dynamics \iff Repara. Modes
- Matter field
- In particular, fermion

$$\chi_{i\alpha}(\rho,t) \quad \longleftrightarrow \, \psi_i(t)$$

- Bulk fermion mass $m = \Delta - \frac{1}{2} = \frac{1}{q} - \frac{1}{2}$.
- HKLL construction $\chi_{i\alpha}(\rho, t) = \int_{t_{-}}^{t_{+}} dt' K_{\alpha}(\rho, t - t') \psi_{i}(t').$
- Size of $\chi_{i\alpha}(\rho, t)$ can be computed.

Yingfei Gu, Yuri Lensky, XLQ, Pengfei Zhang, in progress

Conformal fields

The dual theory: bulk operator size

Generating function

•
$$\mathcal{G}^{B}_{\mu}(\rho,t) \equiv \frac{\langle TFD | \chi_{i\alpha}(\rho,t)e^{-\mu \hat{n}} \chi_{i\alpha}(\rho,t) | TFD \rangle}{\langle TFD | e^{-\mu \hat{n}} | TFD \rangle}$$

$$= \int dt_{1} dt_{2} K_{\alpha}(\rho,t-t_{1}) K_{\alpha}(\rho,t-t_{2}) \\ \cdot \frac{\langle TFD | \psi_{i}(t_{1})e^{-\mu \hat{n}} \psi_{i}(t_{2}) | TFD \rangle}{\langle TFD | e^{-\mu \hat{n}} | TFD \rangle}$$

$$= \int dt_{1} dt_{2} K_{\alpha}(\rho,t-t_{1}) K_{\alpha}(\rho,t-t_{2}) \mathcal{G}_{\mu}(t_{1},t_{2})$$

• Simplification: contribution is dominated by the light cone $t=t_{\pm}$

•
$$\mathcal{G}^{B}_{\mu}(\rho, t) = \frac{1}{2} \Big(\mathcal{G}_{\mu}(t_{+}, t_{+}) + \mathcal{G}_{\mu}(t_{-}, t_{-}) \Big) \pm Re \Big(\mathcal{G}_{\mu}(t_{+}, t_{-}) \Big)$$

The dual theory: bulk operator size

- Bulk fermion size is almost determined by the size of boundary fermions at t_{\pm} .
- Approximately,

•
$$\langle n \rangle = \frac{(\beta J)^2}{2\pi^2} (\cosh t \coth \rho - 1) + \frac{\beta J}{2\pi} (\cosh \rho - \sinh \rho \cosh t) + O((\beta J)^0).$$

- Size grows exponentially along radial and temporal direction with proper distance.
- Operator size diverges near the horizon
- The calculation only applies to $\left|t_{\pm}\right| < t^{*}$ (before scrambling time)



Conclusion and further discussion

- Operator size growth characterizes chaos (at early time)
- The same general results apply to qubit systems.
- SYK model operator size growth can be computed for low temperature or large q
- Size growth of bulk operator provides an interpretation of emergent bulk direction ρ
- Generalization to global AdS2?
- Does the size of bulk operator depend on the representation?
- Relation to other works, such as A. Brown et al 1804.04156



Thanks!

