

SPARSE RMT AND SPARSE SYK

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RECENT DEVELOPMENTS

- ▶ Random Matrix theory (RMT) is well known to have interesting connections with two-dimensional gravity. An interesting and new version of this connection has been discovered in the study of two-dimensional Jackiw-Teitelboim (Saad, Shenker, Stanford'19).
- ▶ It has been shown that the key features of JT gravity are correctly reproduced by the low-energy limit of the Sachdev-Ye-Kitaev (SYK) model (Sachdev, Ye'92, Kitaev'15), which is a quantum mechanical model of N flavors of Majorana fermions with random couplings.
- ▶ The Hamiltonian for SYK model with N flavours of Majorana fermions can then be thought of as a $L \times L$ dimensional Hermitian matrix, with $L = 2^{\frac{N}{2}}$, acting on a tensor product of Hilbert spaces of $N/2$ qubits.
- ▶ Quite remarkably, this model reproduces many aspects of JT gravity- the pattern of symmetry breaking, the Schwarzian action for the time reparametrizations, the resulting thermodynamics and the behaviour of OTOCs - are all shared by JT gravity. (Maldacena, Stanford '16, Polchinski, Rosenhaus'16).

MOTIVATION

These observations raise several interesting questions:

- ▶ How much randomness is needed for agreement with gravity?
- ▶ What happens when we start from the GUE and begin reducing the randomness, by decreasing the number of Gaussian random variables?
- ▶ Is the resulting behaviour, at low-energies, dependent on only the number of random variables or also on which variables have been retained?
- ▶ When do we get the behaviour at low-energies to agree with JT gravity? Etc.

GUE vs SYK

- ▶ The partition function for the random matrix theory is given by

$$Z_{RMT} = \int DM \exp\left(-\frac{1}{2\sigma^2} \text{Tr}M^2\right),$$

Here M are $L \times L$ Hermitian Matrices and where σ is given by $\sigma = \frac{1}{\sqrt{L}}$

- ▶ In the limit $L \rightarrow \infty$, the density of states ρ is given by

$$\rho(\lambda) = \frac{L}{2\pi} \sqrt{4 - \lambda^2}.$$

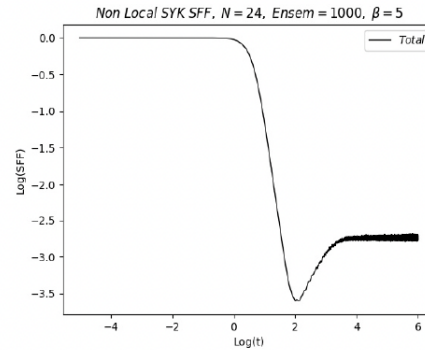
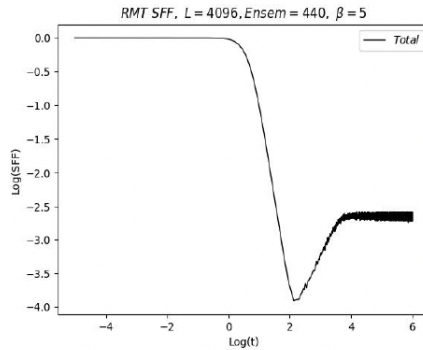
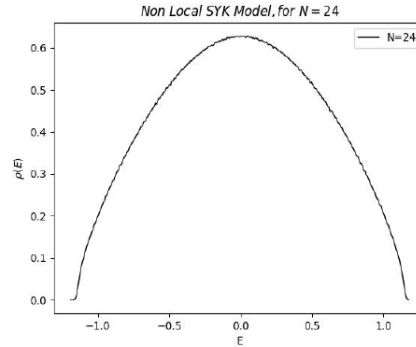
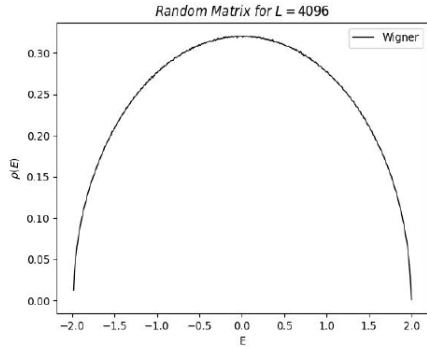
- ▶ The Hamiltonian for the SYK is given by

$$H_{\text{SYK}_q} = i^{q/2} \sum_{1 \leq i_1 < i_2 < \dots < i_q \leq N} j_{i_1 i_2 \dots i_q} \psi_{i_1} \psi_{i_2} \dots \psi_{i_q}$$

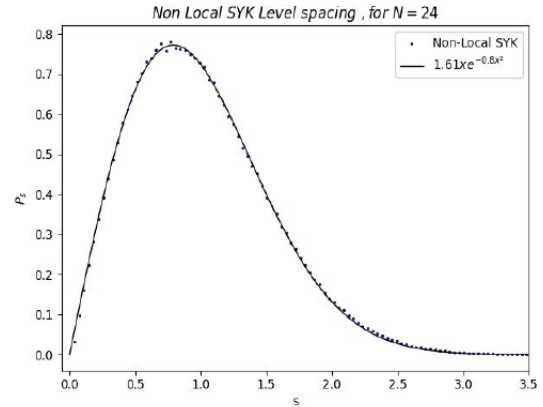
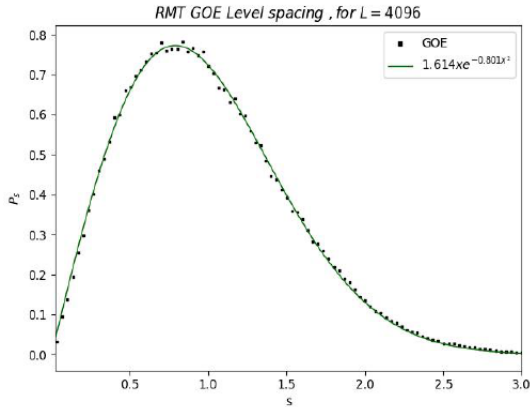
with the couplings $j_{i_1 \dots i_q}$ drawn from a Gaussian ensemble with variance

$$\langle j_{i_1 i_2 \dots i_q}, j_{j_1 j_2 \dots j_q} \rangle = \frac{(q-1)! J^2}{N^{q-1}} \delta_{i_1, j_1} \delta_{i_2, j_2} \dots \delta_{i_q, j_q}$$

- ▶ The density of states and the spectral form factor of GOE and SYK are



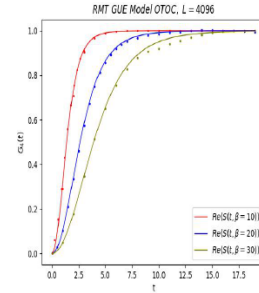
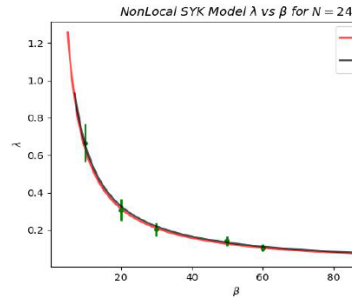
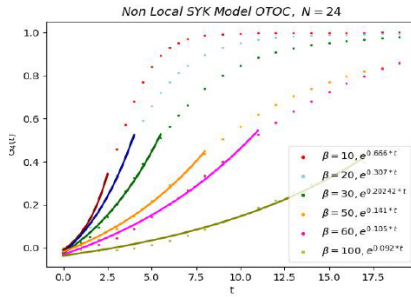
- ▶ The level spacing for the nearest neighbor eigenvalues is same,



Cotler, Gur-Ari, Hanada, Polchinski, Saad, Shenker, Stanford, Streicher, Tezuka '18

► The OTOC, given by

$$G_4 = \langle \text{Tr}(e^{-\beta H} \psi_i(t) \psi_j(0) \psi_i(t) \psi_j(0)) \rangle$$



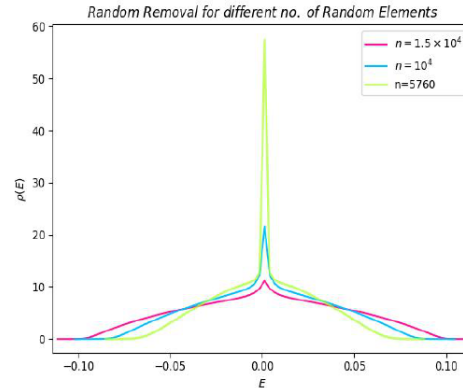
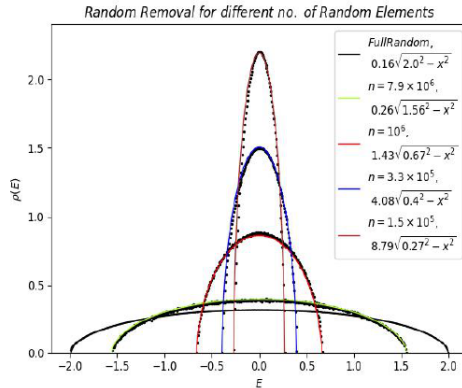
$$G_4^{SYK} \simeq 1 - \frac{1}{N} e^{-\lambda_L t},$$

$$G_4^{RMT} \simeq \frac{F^{RMT}(t)}{F^{RMT}(0)}, \quad F^{RMT}(t) = \left| \frac{J_1\left(2\left(t - i\frac{\beta}{4}\right)\right)}{t - i\frac{\beta}{4}} \right|^4$$

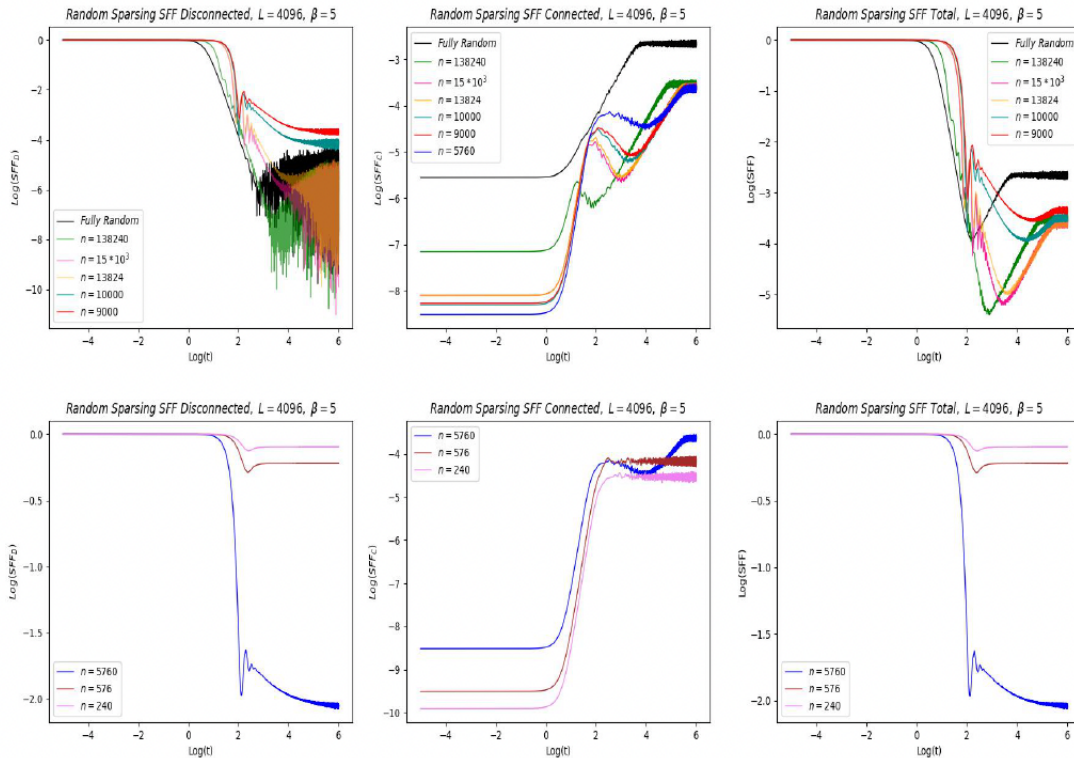
Cotler, Hunter-Jones, Liu, Yoshida ' 17

SPARSE RMT

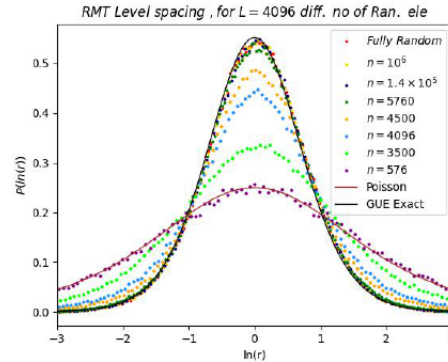
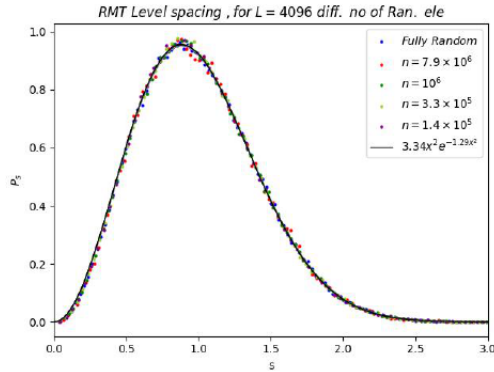
- ▶ Explore the consequences of considering random matrices which are not fully random.
- ▶ Pick randomly and uniformly, n off-diagonal matrix elements, M_{ij} , with $i < j$.
- ▶ The value of each of these matrix elements is chosen independently with its real and imaginary parts being drawn from a Gaussian distribution with variance $\frac{1}{2L}$. All other off-diagonal matrix elements of M are also set to zero.
- ▶ Finally the matrix is made Hermitian by taking it to be $\frac{1}{2}(M + M^\dagger)$.
- ▶ The density of states is given by



► SFF shows interesting characteristics.



- ▶ Level spacing shows that the eigenvalue differences become uncorrelated for sufficiently sparse random matrix theory.

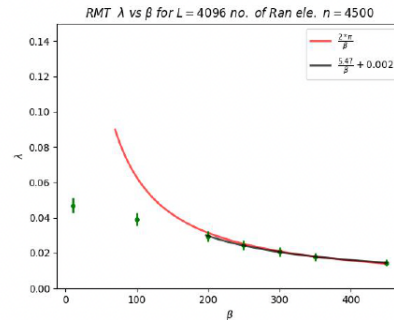
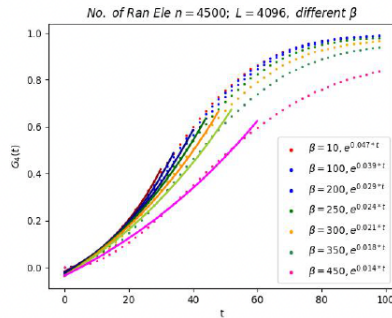
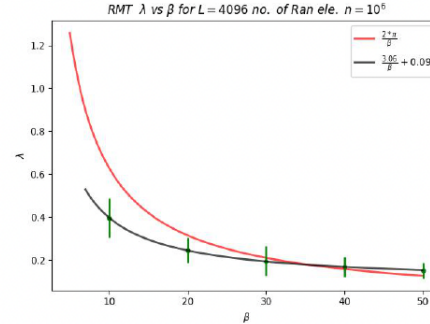
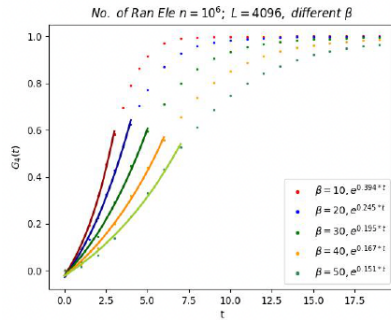


$$\frac{32}{\pi^2} s^2 e^{-\frac{4s^2}{\pi}}$$

$$P(\ln r) = \frac{1}{K} \frac{r(r+r^2)^\beta}{(1+r+r^2)^{1+\frac{3\beta}{2}}}, \quad (\text{You, Ludwig, Xu'16})$$

$$\text{where } r_i = \frac{\Delta \lambda_i}{\Delta \lambda_{i+1}}$$

- ▶ OTOC show a slower growth, perhaps indicating a possible exponential growth at early times at sufficient sparseness.



LOCAL SYK

- ▶ Consider a variation of the SYK model where the number of random couplings is vastly reduced and is only $\mathcal{O}(N)$.
- ▶ The Hamiltonian is given by

$$H_{\text{local-SYK}} = i^{q/2} \sum_{i_1, i_2, \dots, i_q} j_{i_1 i_2 \dots i_q} \psi_{i_1} \psi_{i_2} \dots \psi_{i_q},$$
$$j_{i_1 i_2 \dots i_q} = 0 \quad (\text{except } i_1 = i_2 + 1 = i_3 + 2 = \dots = i_q + q - 1,$$
$$\text{and } i_1 = i_2 - 1 = i_3 - 2 = \dots = i_q - (q - 1)),$$

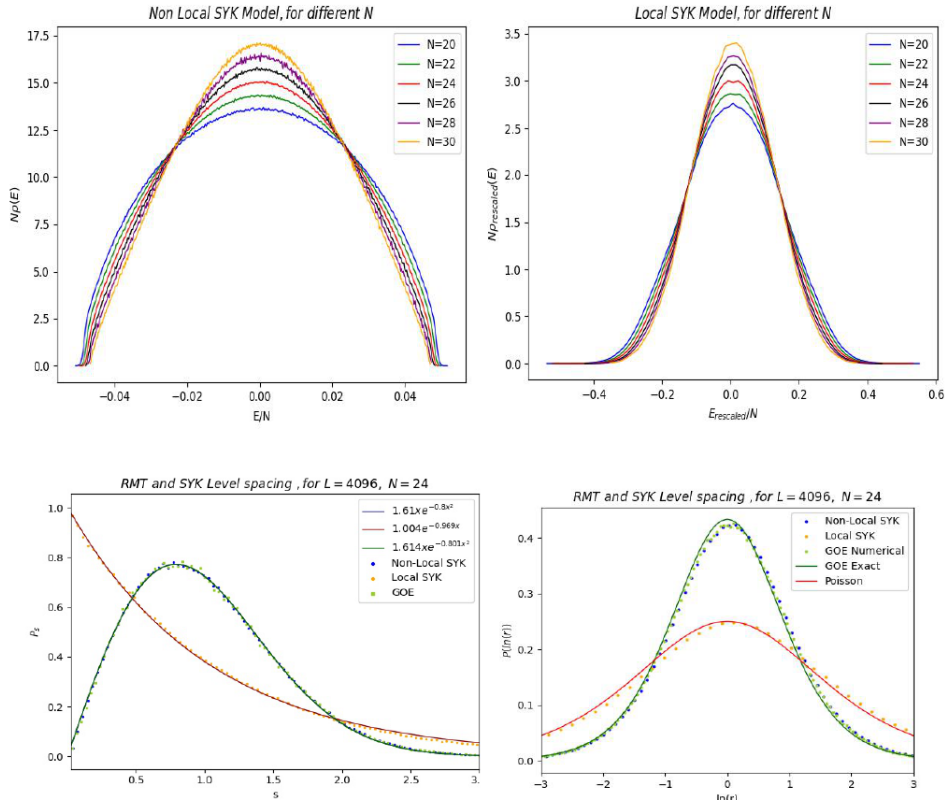
with the fermions satisfying periodic boundary conditions:

$$\psi_{i=N+1} = \psi_{i=1}.$$

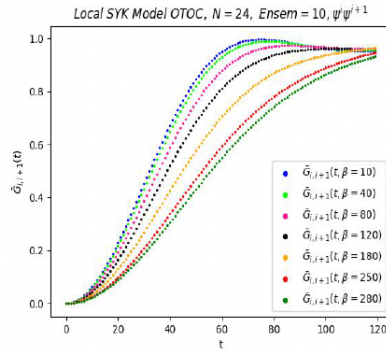
The couplings $j_{i_1 i_2 \dots i_q}$ present are taken to be random with vanishing mean and variance

$$\langle j_{i_1 i_2 \dots i_q} j_{j_1 j_2 \dots j_q} \rangle = (q - 1)! \hat{j}^2 \delta_{i_1, j_1} \delta_{i_2, j_2} \dots \delta_{i_q, j_q}$$

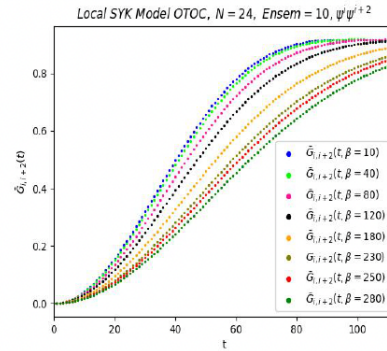
► The density of states is more narrower and level spacing is Poisson-like.



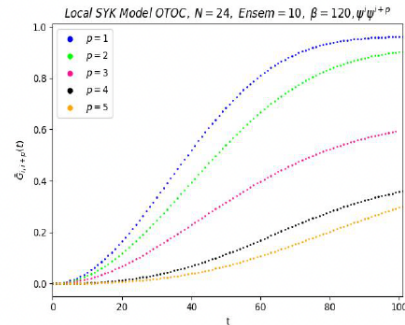
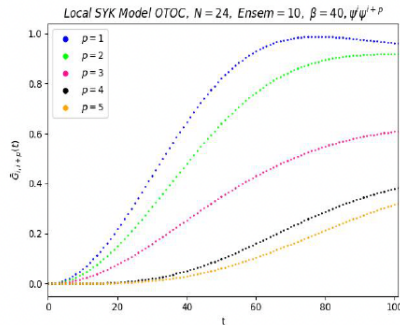
► No good large N limit exists, so Lyapunov exponent is not well defined.



(a) Local SYK, $\bar{G}_{i,i+1}$ vs t



(b) Local SYK, $\bar{G}_{i,i+2}$ vs t



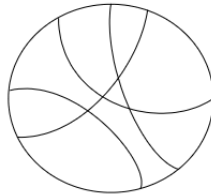
DOUBLE-SCALING LIMIT

- ▶ Even though the local SYK model is simple enough, the model is not amenable to a saddle point analysis.
- ▶ Is there any limit in the space of (q, N) that is analytically tractable? Yes! the double scaling limit.
- ▶ For conventional SYK it corresponds to the limit (Erdős, Schröder'14, Cotler, Gur-Ari, Hanada, Polchinski, Saad, Shenker, Stanford, Streicher, Tezuka'18, Berkooz, Prithvi, Simòn '18)

$$q \rightarrow \infty, N \rightarrow \infty, \quad \frac{\lambda}{2} \equiv \frac{q^2}{N} = \text{fixed}$$

- ▶ Chord-diagram technique used for computing partition function and matter correlators by evaluating the moments of the form

$$m_k = \text{Tr}(H^k)$$



TRIPLE SCALING LIMIT

- ▶ Further taking a triple scaling limit leads to the Schwarzian theory,

$$\lambda \rightarrow 0, E \rightarrow 0, \quad \frac{E}{\lambda} = \text{fixed}.$$

- ▶ Analogous double scaling limit exists for the Local SYK model.

$$q \rightarrow \infty, N \rightarrow \infty, \quad \frac{q}{N} = \text{fixed}$$

- ▶ Further taking a triple scaling limit leads to the Schwarzian theory,

$$\lambda \equiv \frac{q}{N} \rightarrow 0.$$

BACK TO GAUSSIAN ENSEMBLE

- ▶ An Hermitian matrix H can be thought of as being a vector in an L^2 dimensional Hilbert space \mathcal{H} of $L \times L$ Hermitian matrices. H can be expanded in any basis of \mathcal{H} ,

$$H = \sum_{a=1}^{L^2} c_a T^a,$$

- ▶ Under a change of basis the T^a 's, and H , transform by conjugation, $T^a \rightarrow UT^aU^\dagger, H \rightarrow UHU^\dagger$ where U is the $L \times L$ unitary matrix specifying the change of basis.
- ▶ Different basis can be used. (1) A standard basis corresponding to root vectors of $U(L)$. (2) A basis made out of tensor products of Pauli matrices. (3) A basis made out of products of N flavours of Majorana fermions.
- ▶ Interesting to consider the breaking of the $U(L)$ symmetry, say to $U(M) \times U(L - M)$ by

$$\frac{\text{Tr}H^2}{2\sigma^2} \rightarrow \sum_{I,J=1}^M \frac{|H_{IJ}|^2}{2\sigma_1^2} + \sum_{\alpha,\beta=M+1}^L \frac{|H_{\alpha\beta}|^2}{2\sigma_2^2} + \sum_{I=1}^M \sum_{\alpha=M+1}^L \frac{|H_{I\alpha}|^2}{2\sigma_3^2}.$$

- ▶ Alternatively, can consider the class of $q = 0 \pmod{4}$ terms of the SYK class.

