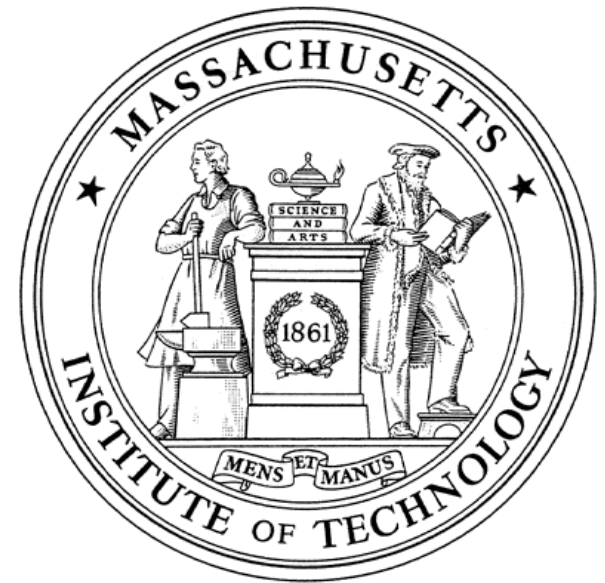


Emergence of space and time in holography

Hong Liu



YITP workshop

Recent Developments in Quantum
Physics of Black Holes 2023

Apr. 5th, 2023

based on recent work with [Samuel Leutheusser](#)



[arXiv: 2110.05497](#), [2112.12156](#) and [2212.13266](#)

Emergence of spacetime in quantum gravity

$G_N \rightarrow 0$ limit: Spacetime geometry + QFT in curved spacetime
causal structure, local regions, different notions of times

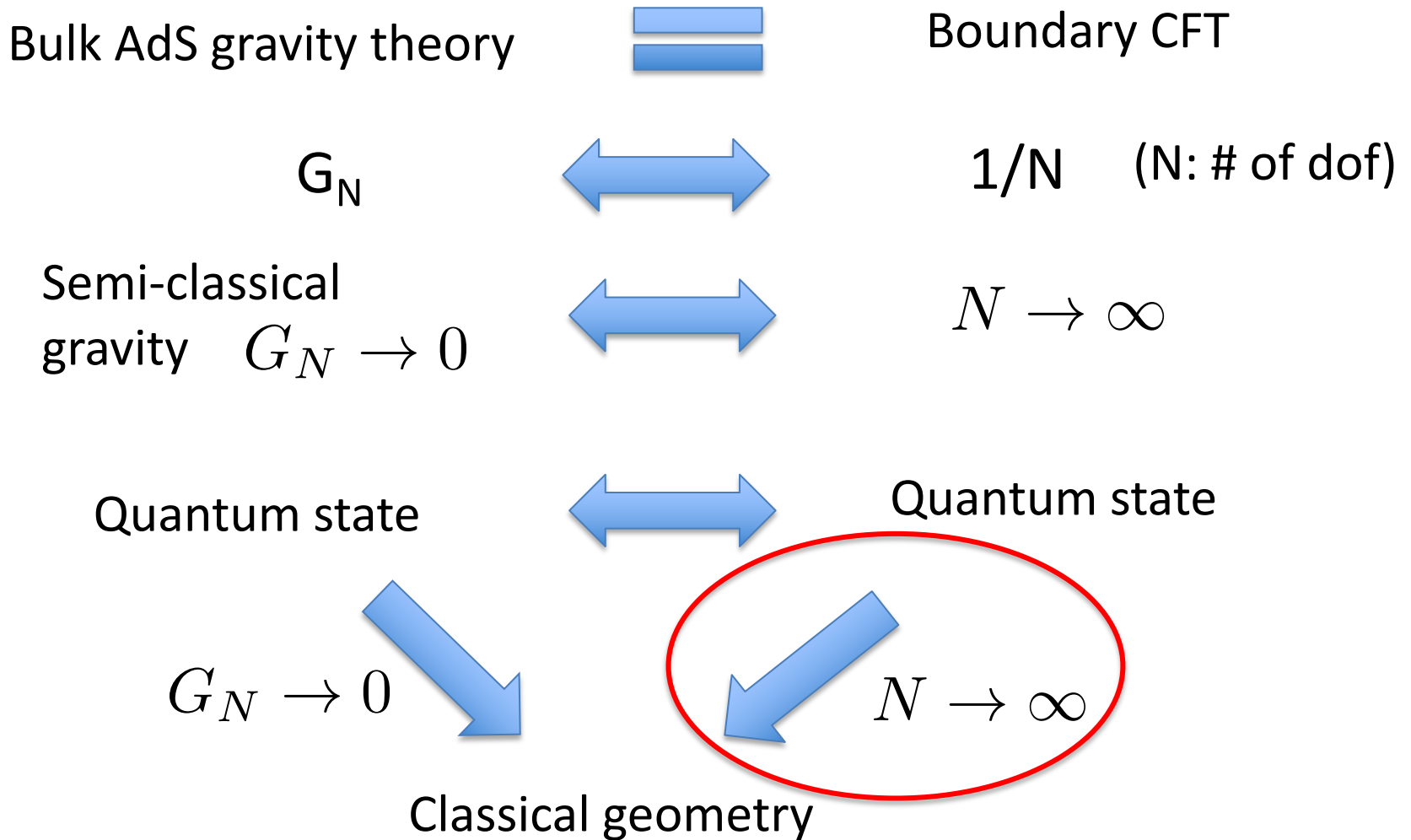
It has long been speculated that such geometric notions are low energy phenomena, emergent in the $G_N \rightarrow 0$ limit.

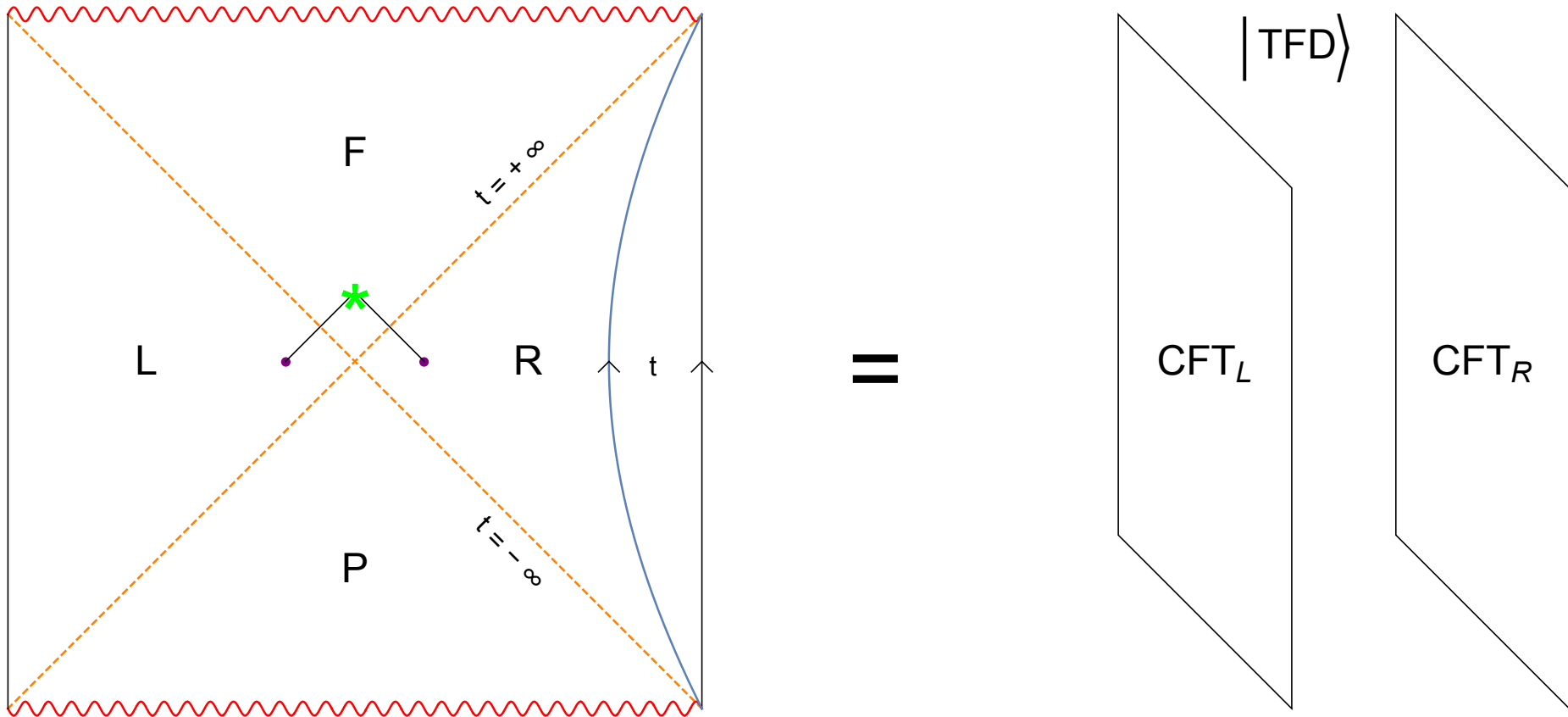
How?

What are the physical and mathematical structures underlying such emergence?

We should be able to answer these questions in the context of the AdS/CFT duality.

AdS/CFT duality





Maldacena, 2001

Time-like Killing vector **outside the horizon**

Many mysteries: F and P regions? **Kruskal-like time?**

horizons and associated causal structure ?

Emergence of space and time in holography

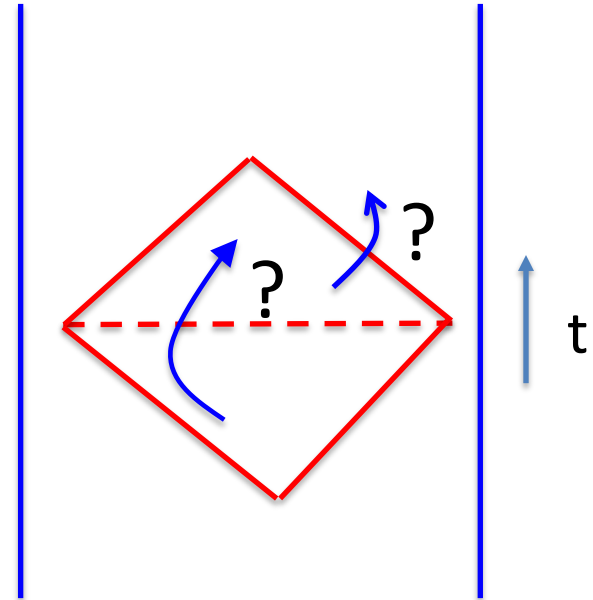
Consider a bulk spacetime, and some **causally complete** spacetime region in it.

How do we describe such a region in the **boundary** theory?

“interior” time?

causal structure?

“global” time ? (which can take one outside the region)

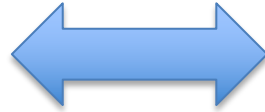


Goal of the talk:

Outline a formalism for addressing these questions.

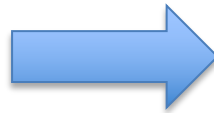
Bulk spacetime **locality** is a **geometrization** of emergent boundary **type III₁ von Neumann subalgebras**

Emergent type III₁
von Neumann subalgebra



bulk spacetime region

Properties of such
emergent type III₁
subalgebras



Geometric notions
such as **horizons**, **times**,
causal structure,

Subalgebra-subregion duality

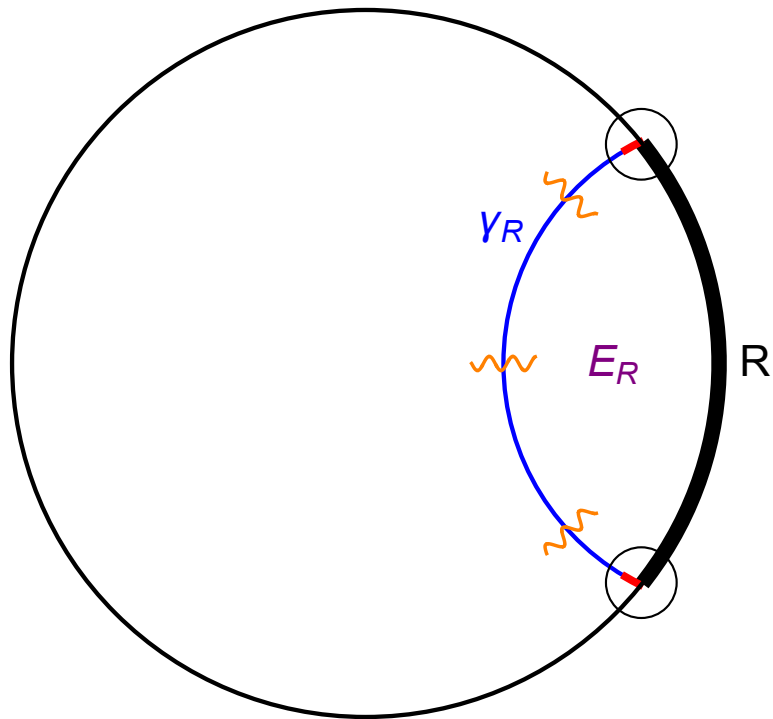
subcase: **subregion-subregion duality** (entanglement wedge reconstruction)

Van Raamsdonk (2009)

Czech, Karczmarek, Nogueira,
and Van Raamsdonk (2012)

.....

Subregion-subregion duality



$$E_R \sim R$$

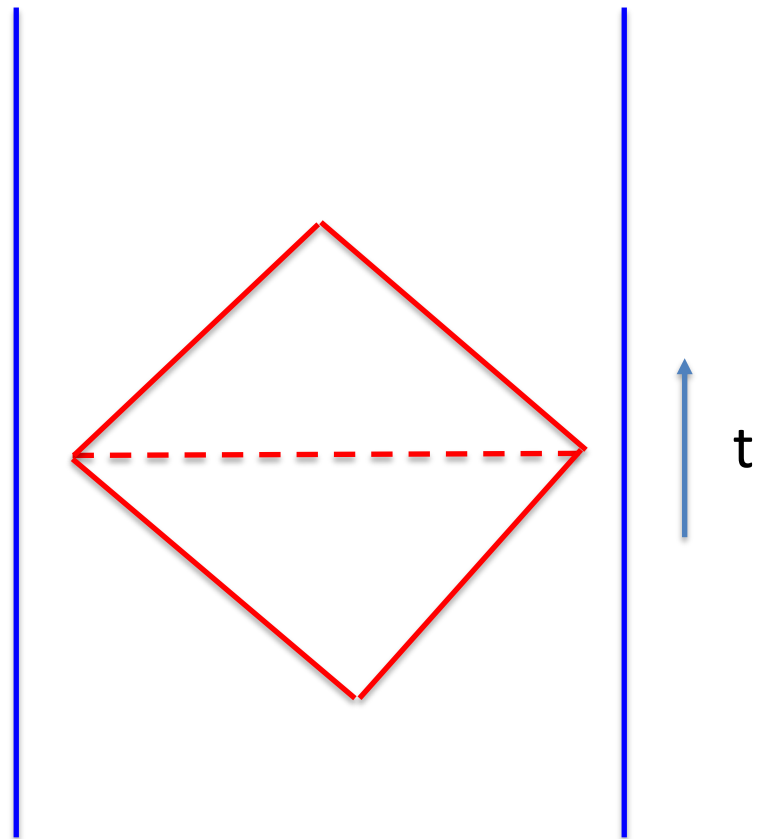
Equivalently: $\mathcal{M}_{E_R} = \mathcal{X}_R$



more general language



Subalgebra-Subregion duality



Plan

1. Some key elements used

- Von Neumann algebras
- Large N limit of the AdS/CFT duality

2. Formulation of a **duality for a general bulk subregion**

Example: boundary emergence of Kruskal-like time and event horizon of an eternal black hole

3. More general examples

4. New insights into subregion-subregion duality

(RT surface **without entropy**, **additivity anomaly**, **explanation of quantum error corrections**)

5. Some future perspectives

Von Neumann (vN) algebras

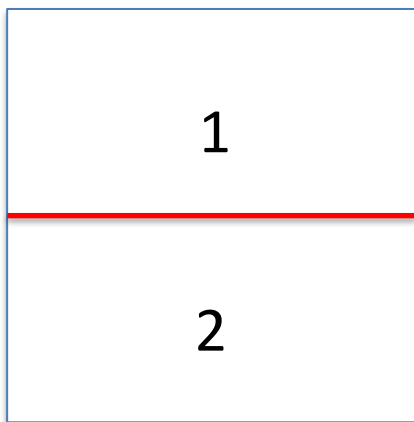
Soon after the development of quantum mechanics and its mathematical foundation using Hilbert space, Von Neumann and Murray initiated the task of **classifying operator algebras for all quantum systems**.

The **von Neumann algebras** are classified into: **Type I, II, III**

Operator subalgebras we **normally encounter in QM classes** are **all type I**.

Type II and III are more exotic.

Modern perspective: **Classification of entanglement patterns of quantum systems**



$$\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$$

$$\rho_1 = \text{Tr}_2 |\Psi\rangle\langle\Psi|$$

can be equivalently characterized in terms of **operator algebra** of **subsystem 1**: **type I**

Systems with an **infinite number of degrees of d.o.f**:

$\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$ may not exist: **Infinite amount of entanglement**

Type II: A **renormalized reduced density operator** and **entropy** may still be defined

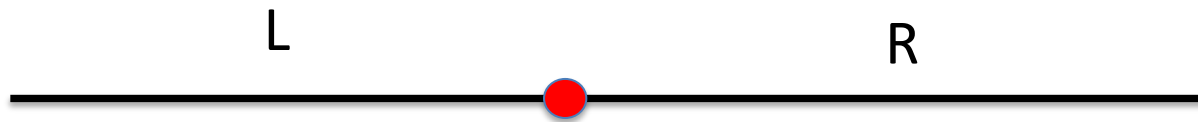
Type III: Even **renormalized density operator or entropy** **does not exist**

entanglement is instead characterized by **modular flows**

Type II and III algebras have since found applications in quantum statistical physics and quantum field theories.

Relativistic QFTs: local operator algebra in any local region R is type III_1

For example:

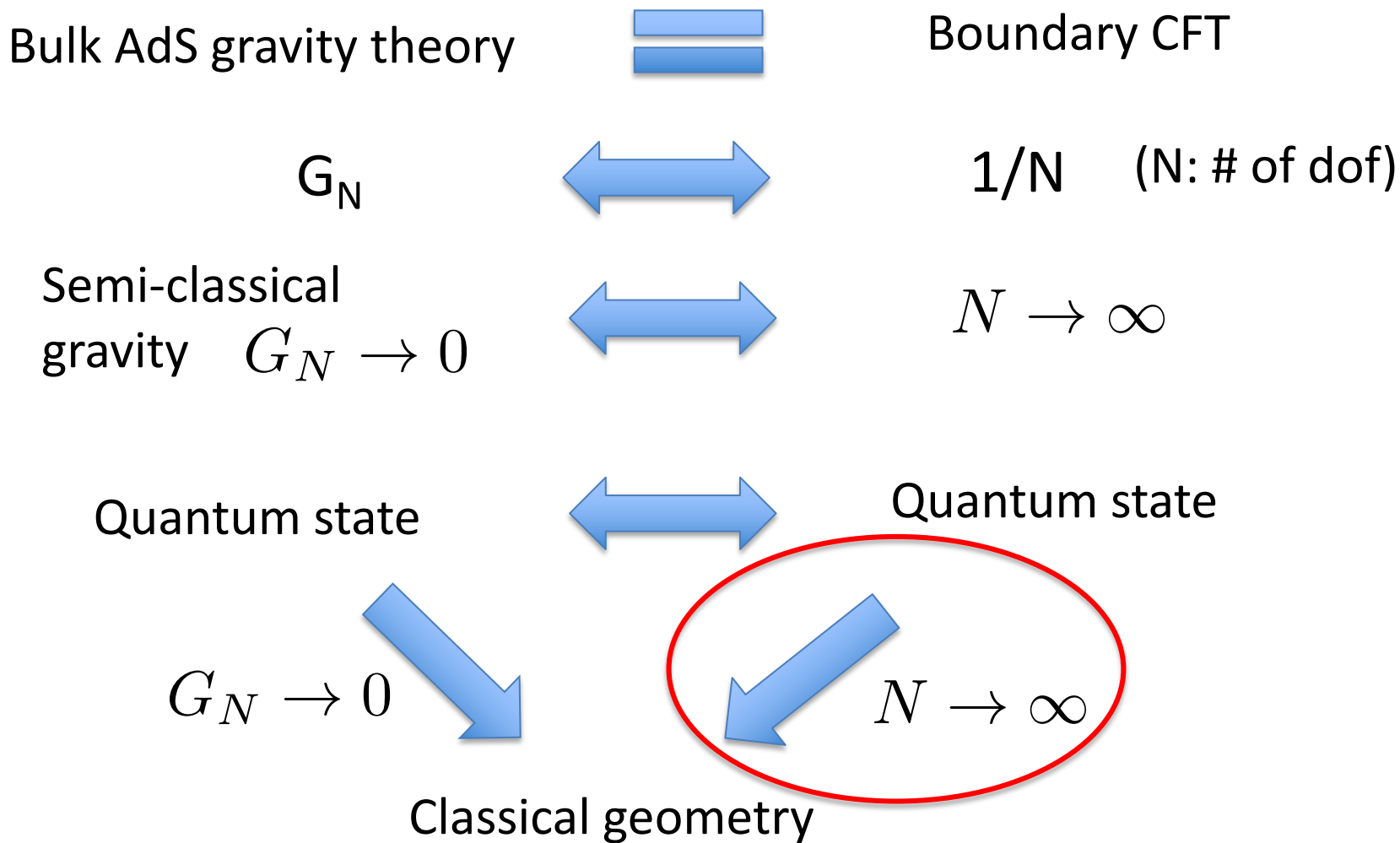


operator algebra in R is III_1 :

- infinite entanglement between R and L (in any state)
- Entanglement structure needed to have sharp causal structure (in any state).

Holography in the large N limit

AdS/CFT duality



Emergent physical and mathematical structures of the $N \rightarrow \infty$ limit

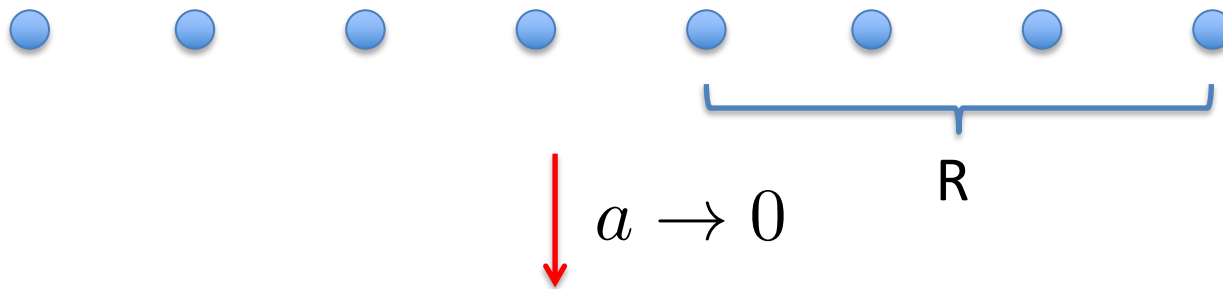
Large N limit of the duality

Consider, e.g. $\mathcal{N}=4$ super-Yang-Mills with gauge group $SU(N)$

Many states and operators do **not** have a **well-defined** large N limit



the structures of Hilbert space and operator algebras undergo **dramatic changes** in the large N limit



$$\mathcal{H} = \mathcal{H}_R \otimes \mathcal{H}_L$$
$$\mathcal{A}_R : \text{type I}$$

No sharp light-cone

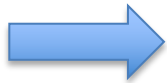
\mathcal{H} not factorizable

$\mathcal{A}_R : \text{type III}_1$

Sharp light-cone

Large N limit of operator algebras

An operator has a **sensible large N limit** if its **vacuum correlation functions** have a well-defined $N \rightarrow \infty$ limit.

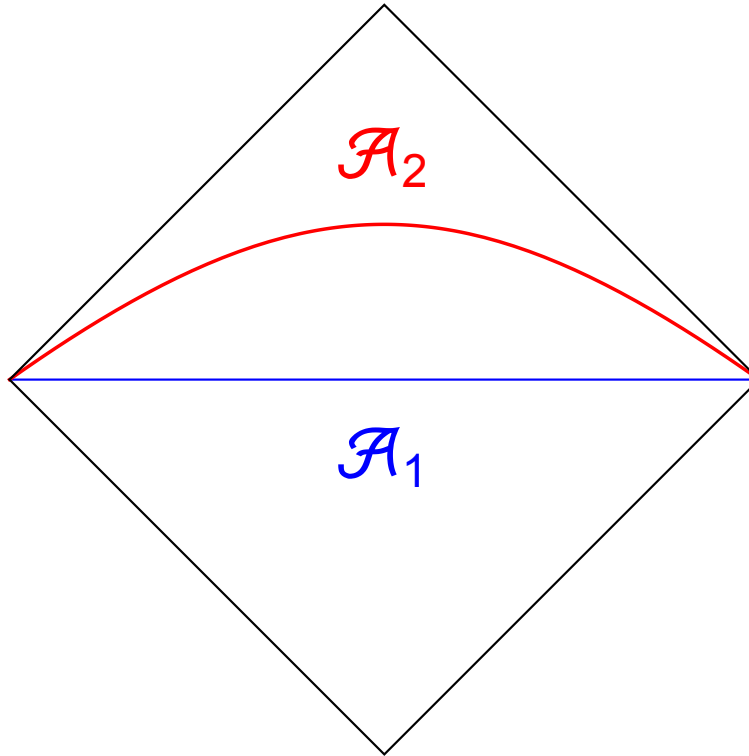


finite products of single-trace operators.

form an algebra **in the large N limit: single-trace operator algebra**

Key features:

- **state-dependent**
- Single-trace operators at **different times** are **independent**



Finite N, $\mathcal{A}_1 = \mathcal{A}_2$ (or in an ordinary QFT)

For algebras of **single-trace** operators, $\mathcal{A}_1 \neq \mathcal{A}_2$

AdS/CFT duality at large N

geometry \longleftrightarrow $|\Psi\rangle$

free bulk fields \longleftrightarrow generalized free fields
(single-trace operators)

$$\mathcal{H}^{\text{Fock}} = \mathcal{H}^{\text{GNS}}$$

$$\widetilde{\mathcal{M}} = \mathcal{M}_\Psi$$

(operator algebra of $\mathcal{H}^{\text{Fock}}$) (operator algebra of $\mathcal{H}_\Psi^{\text{GNS}}$)

Formulation of duality of a general bulk subregion

Duality for a bulk subregion

Now consider a **causally complete bulk** spacetime region **b**

Bulk field operator algebra $\tilde{\mathcal{Y}}_{\mathbf{b}}$ in **b** is **type III₁**

$$\tilde{\mathcal{Y}}_{\mathbf{b}} \subset \tilde{\mathcal{M}}_{\Psi}$$

So there **must be** an **emergent type III₁ subalgebra**

$$\mathcal{Y} \subset \mathcal{M}_{\Psi}$$

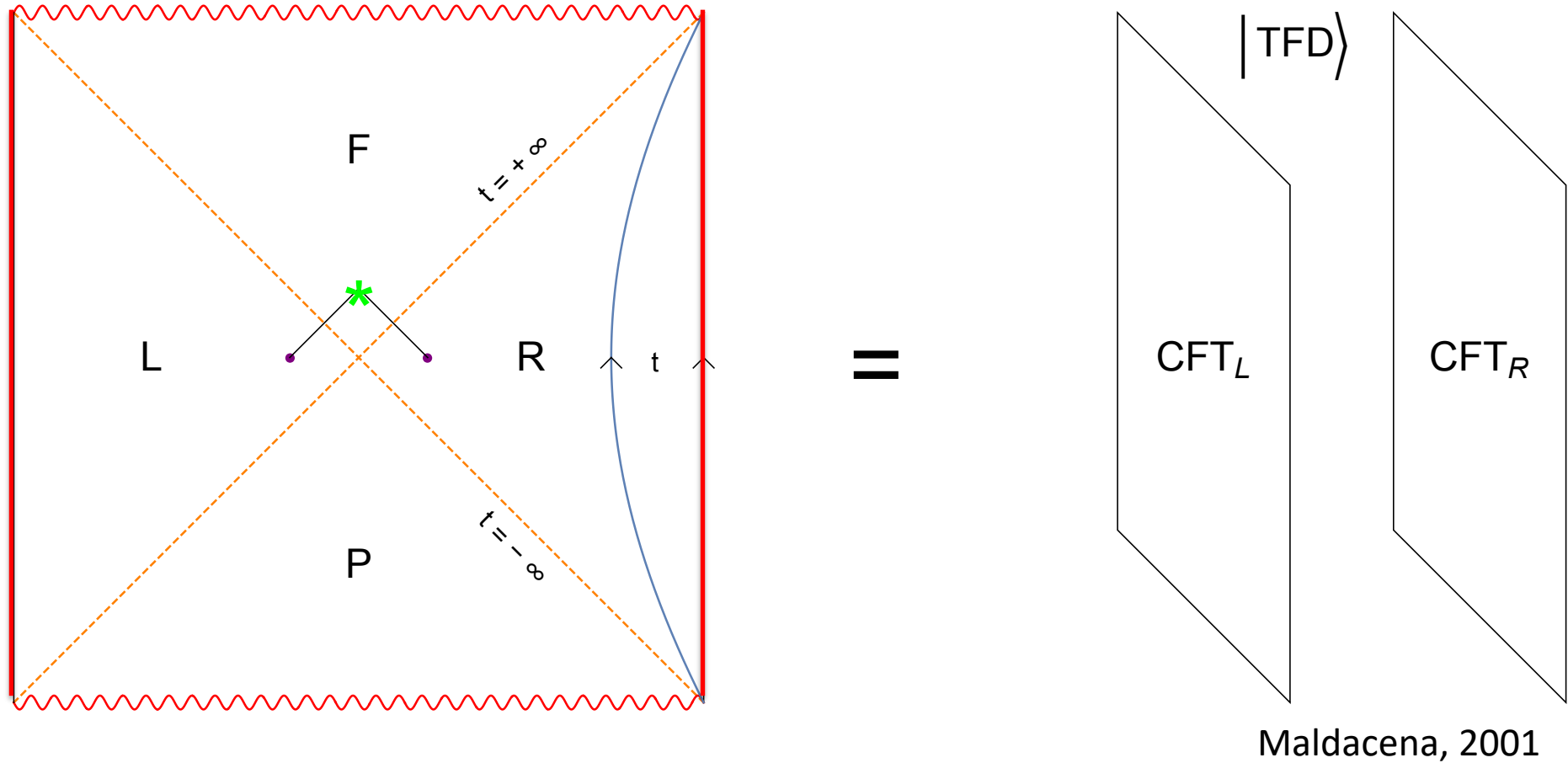
$$\mathcal{Y} = \tilde{\mathcal{Y}}_{\mathbf{b}}$$

Conversely, we would like to conjecture any type III₁ subalgebra $\mathcal{Y} \subset \mathcal{M}_{\Psi}$ **there exists some bulk region b**

$$\mathcal{Y} = \tilde{\mathcal{Y}}_{\mathbf{b}}$$

Example: Emergent times and horizons of an eternal BH

Eternal black hole in AdS



Maldacena, 2001

Boundary description of F and P regions? **Kruskal-like time?**

Boundary description of horizons and associated causal structure ?

Emergent type III₁ vN algebras

At finite N , the (bounded) operator algebra of CFT_R or CFT_L is a **type I von Neumann (vN) algebra** (not relevant for large N)

In the **large N** limit,

\mathcal{M}_R : algebra generated by single-trace operators of CFT_R

Claim:

$T < T_{\text{Hawking-Page}}$: \mathcal{M}_R is **type I vN algebra**

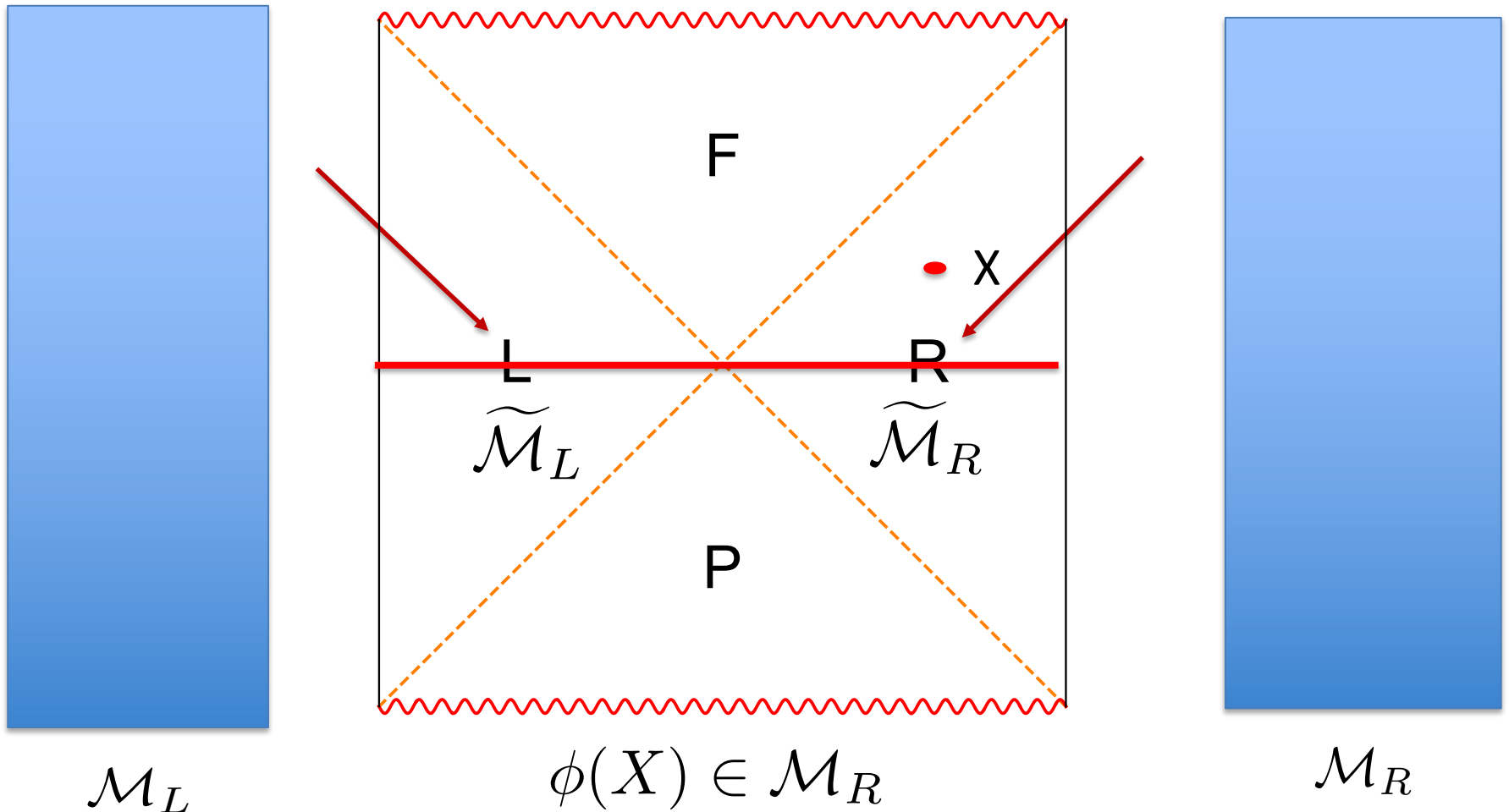
$T > T_{\text{Hawking-Page}}$: \mathcal{M}_R becomes **type III₁ vN algebra**

Same statements apply to \mathcal{M}_L

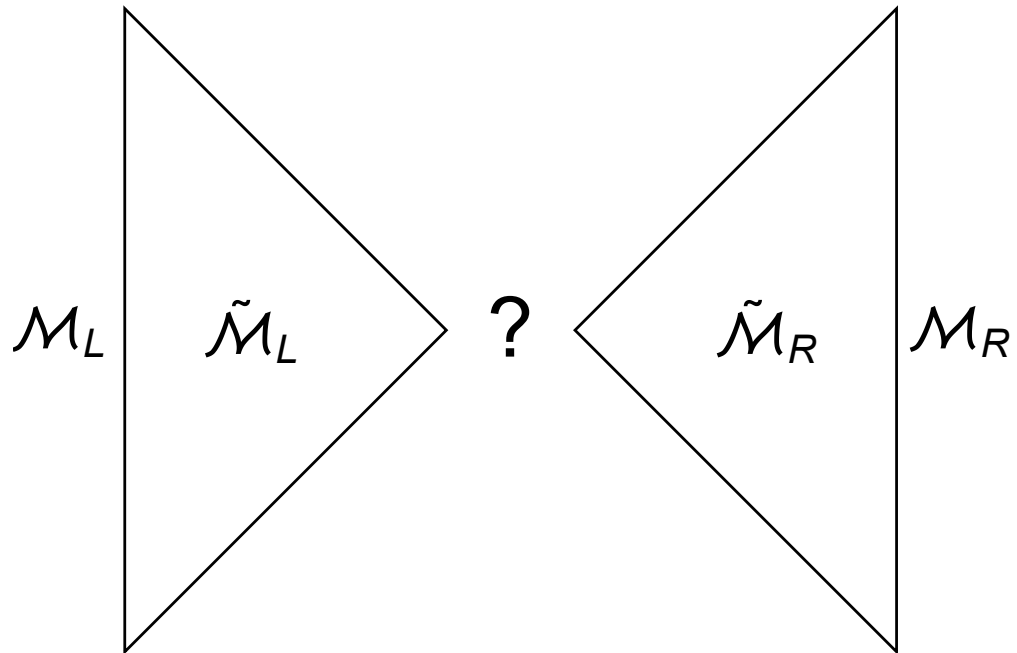
Identification of algebras

$\widetilde{\mathcal{M}}_R, \widetilde{\mathcal{M}}_L$: bulk operator algebras in the R and L regions

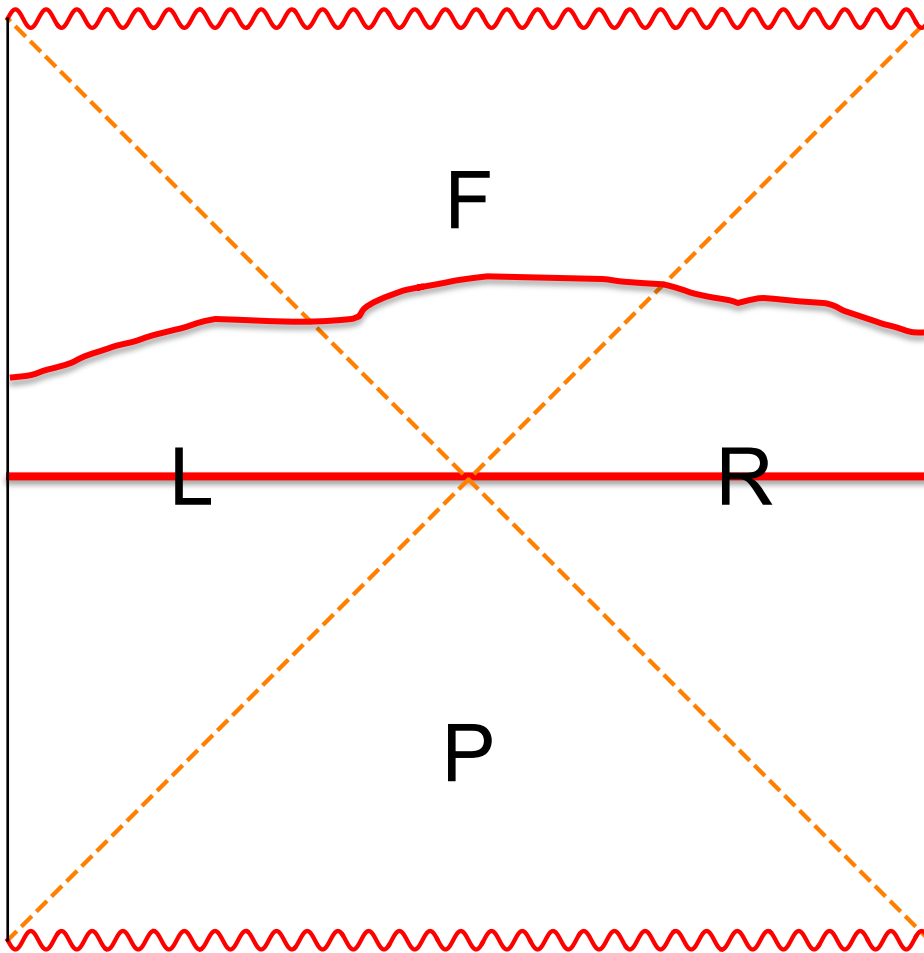
Duality: $\mathcal{M}_R = \widetilde{\mathcal{M}}_R, \quad \mathcal{M}_L = \widetilde{\mathcal{M}}_L$



$$\mathcal{M}_R = \widetilde{\mathcal{M}}_R, \quad \mathcal{M}_L = \widetilde{\mathcal{M}}_L$$



Times in the bulk gravity ?



Bulk time evolutions



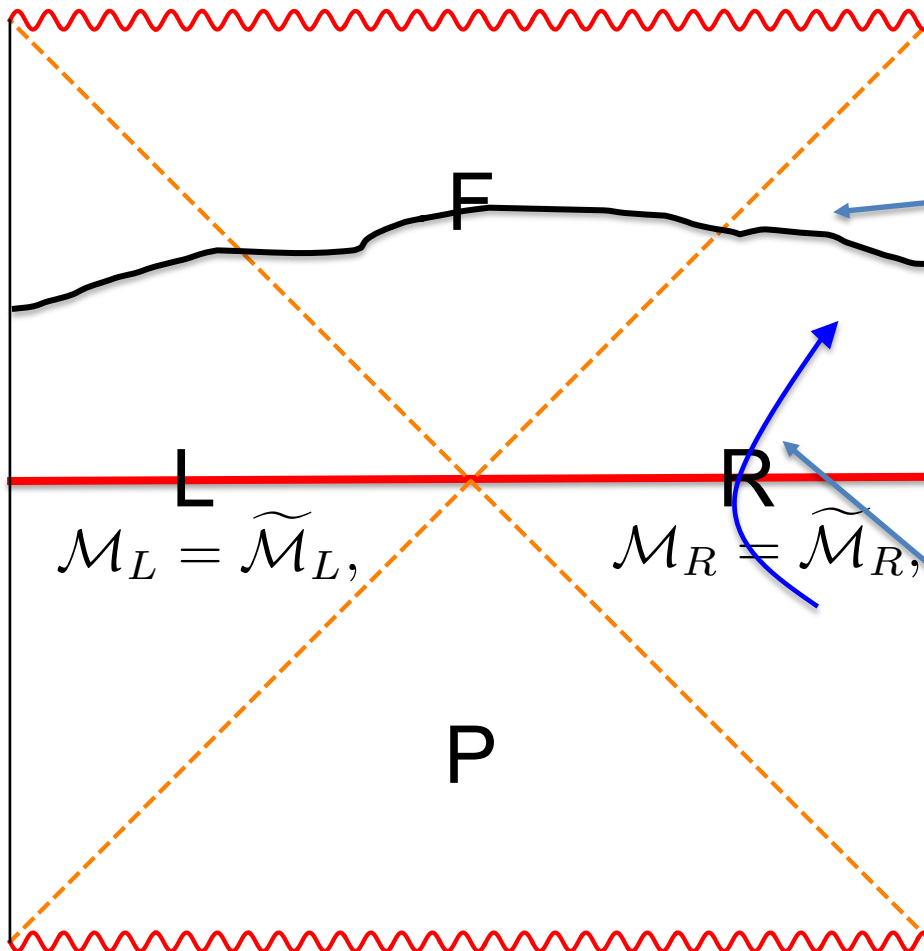
Boundary automorphisms of

$$\mathcal{M}_R \vee \mathcal{M}_L$$

What kind of **automorphism** corresponds to bulk **time evolution**?

Times in the bulk gravity ?

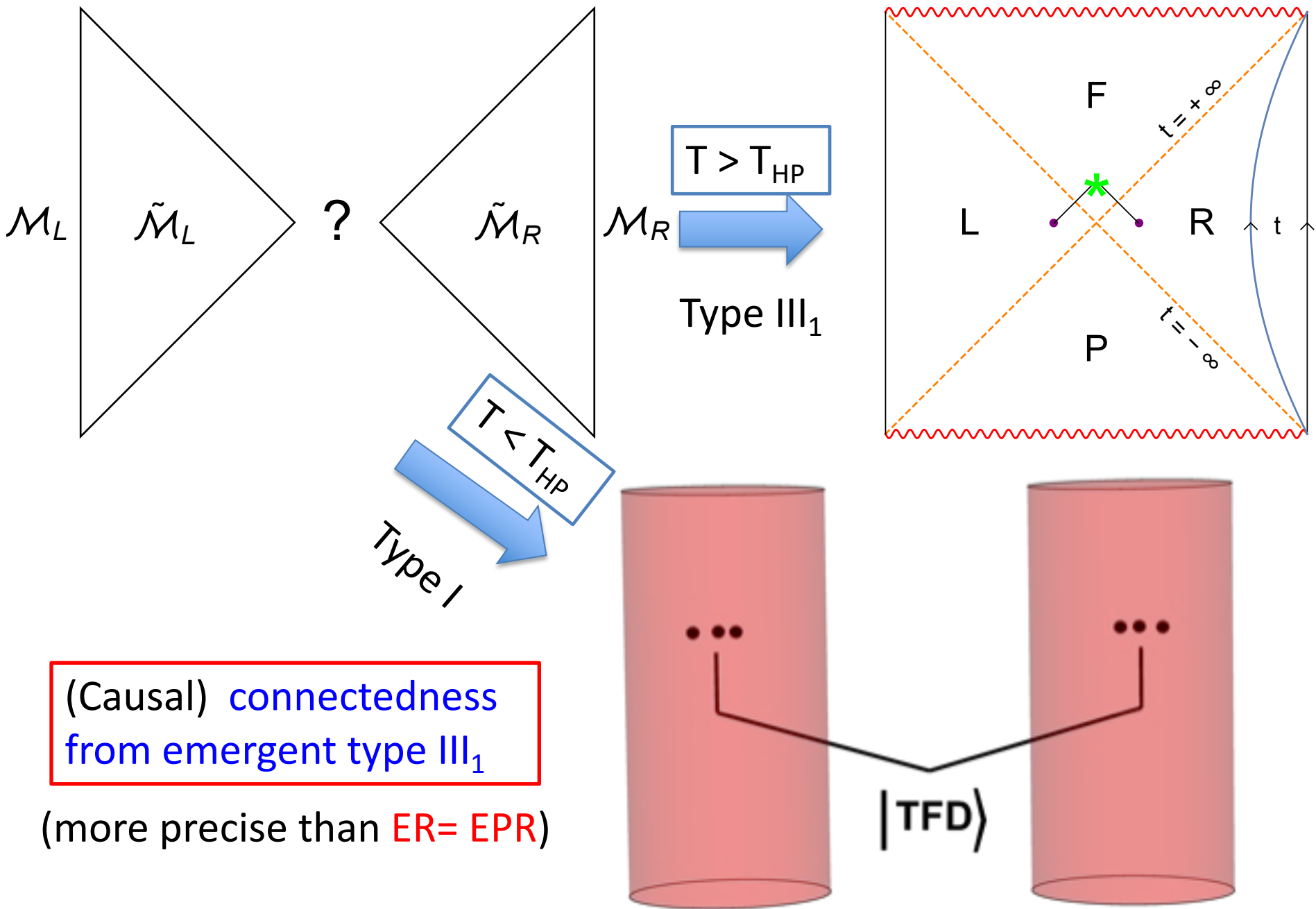
Bulk time evolutions \longleftrightarrow Boundary automorphisms of $\mathcal{M}_R \vee \mathcal{M}_L$



half-sided modular flows (specific to type III₁)

generate F and regions. Sharp boundary signature of horizon: non-analytic behavior under the flow.

Internal time generated by $H_R - H_L$ (modular flow)



Emergence of Kruskal-like time

Can construct a **unitary group** $U(s)$ (for example for 2d CFT):

$$U(s) = e^{-iGs}, \quad G \geq 0$$

$$\Phi(X; s) \equiv U(-s)\phi(X)U(s), \quad X \in R$$

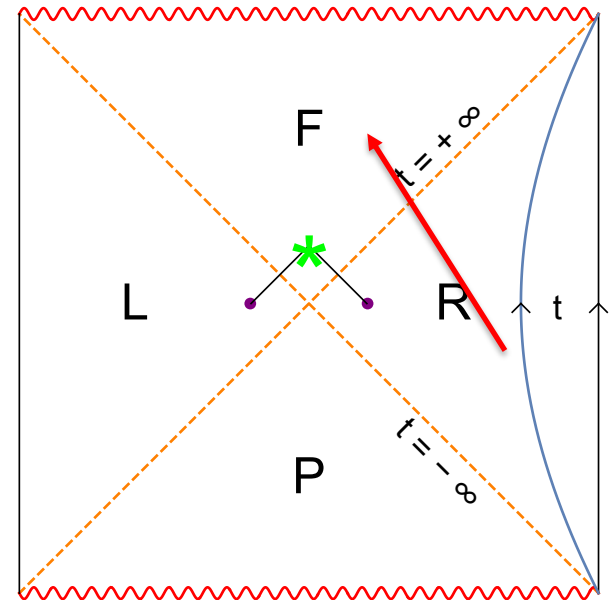
There exists an $s_0 > 0$

$$s < s_0, \quad \Phi(X; s) \in \text{CFT}_R$$

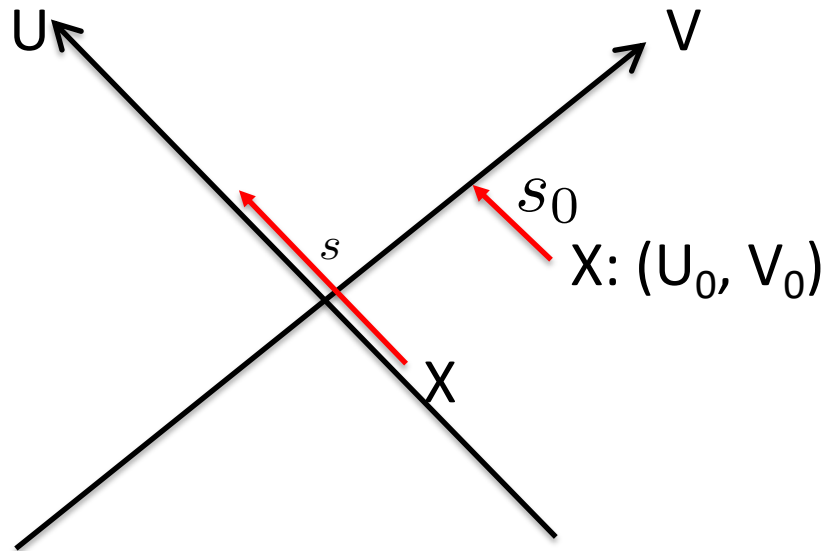
$$s > s_0, \quad \Phi(X; s) \in \text{CFT}_R \otimes \text{CFT}_L$$

signature of a sharp horizon.

Not possible for a **type I vN algebra**.



$$\Phi(X; s) \equiv U(-s)\phi(X)U(s), \quad X \in R$$



U, V : Kruskal null coordinates

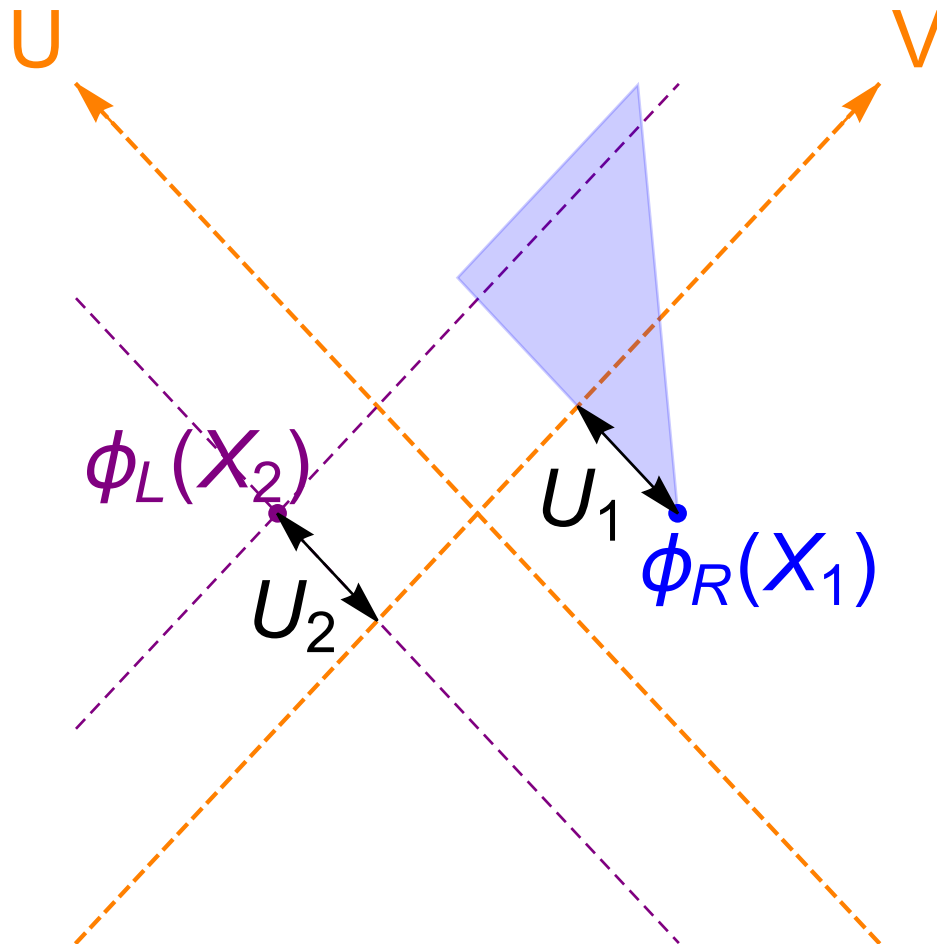
$$s_0 = -U_0$$

X near the horizon, local transformation: Kruskal null translation

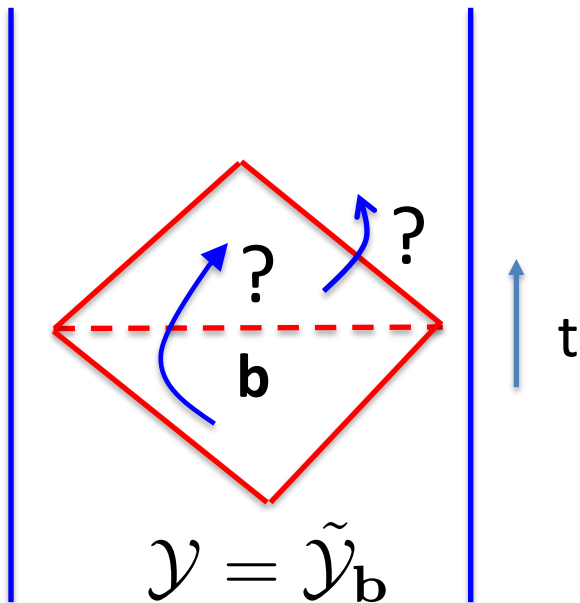
General X : transformation is nonlocal, but respects the casual structure

Causal structure

$$[U^\dagger(s)\phi_R(X_1)U(s), \phi_L(X_2)] = \begin{cases} 0 & s < |U_1| + U_2 \\ \neq 0 & s > |U_1| + U_2 \end{cases}$$



Emergent geometric properties



1 “interior” time of **b**

described by modular flow of \mathcal{Y}

2. “Global” time flows taking one outside **b**

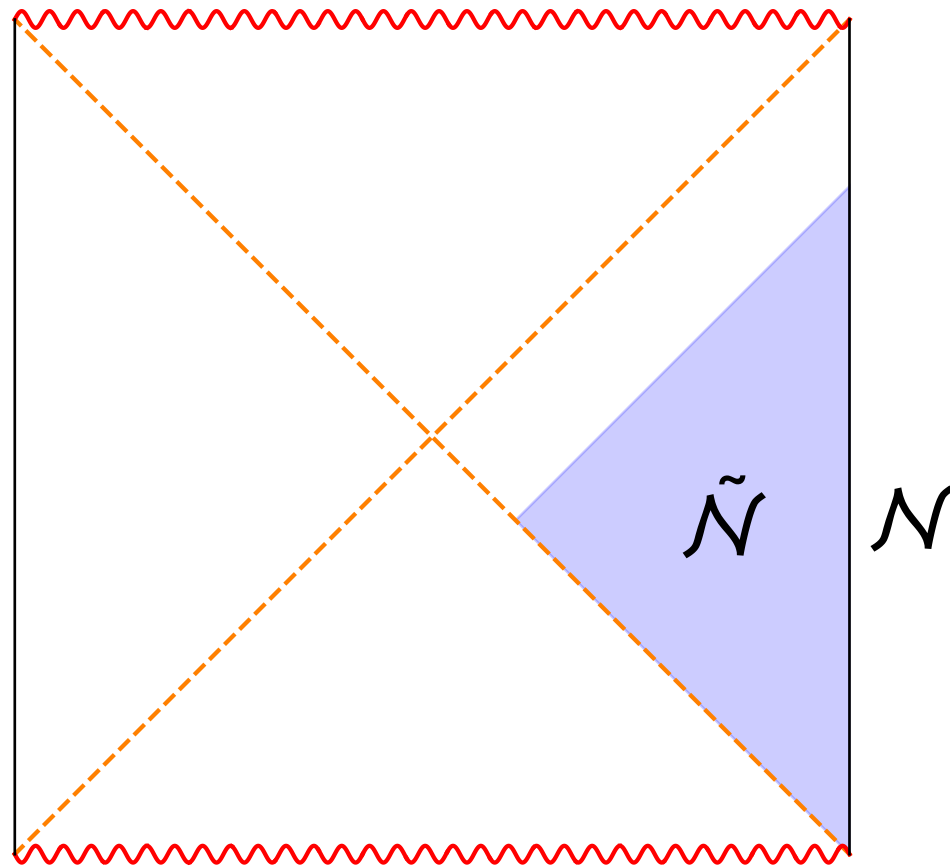
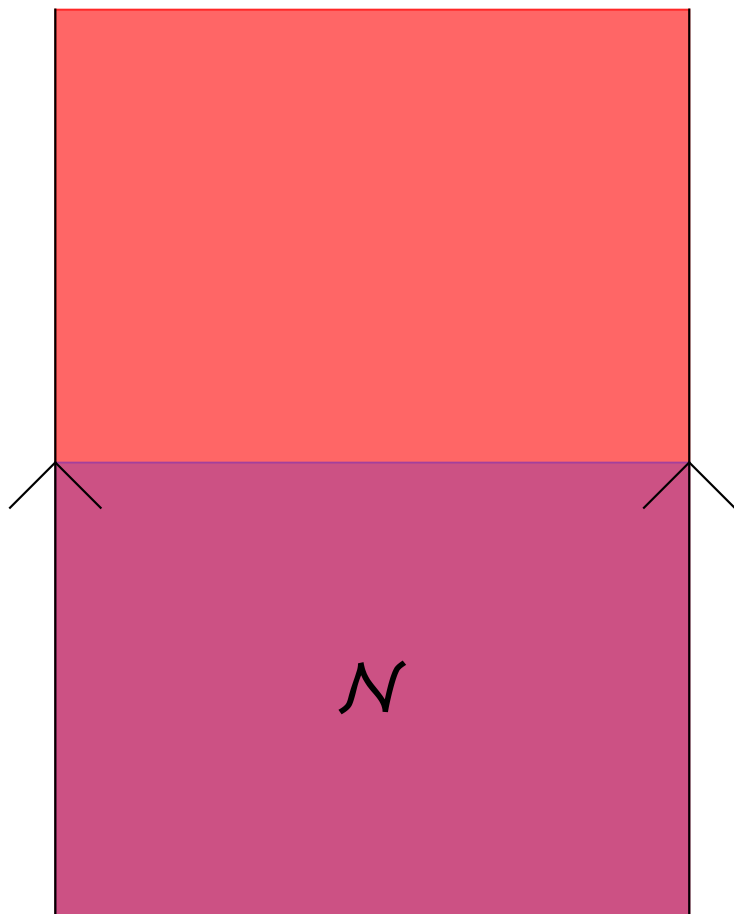
described by half-sided modular flow

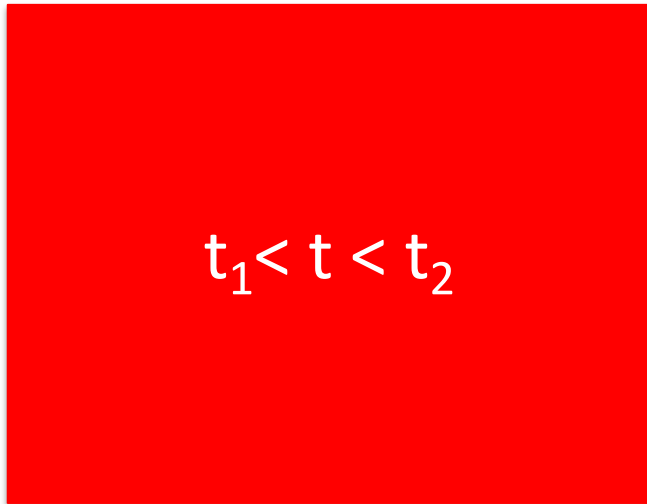
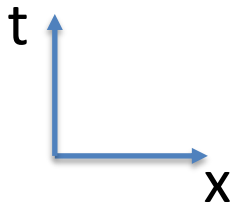
3. Causal structure from non-analytic behavior under half-sided modular flows

4. Given \mathcal{Y} , region **b**, including its light-cone boundary can in principle be determined.

More general examples

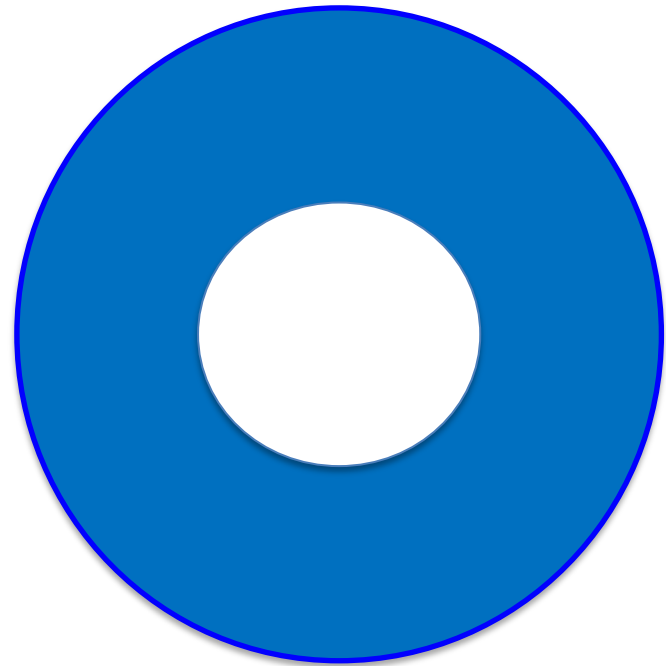
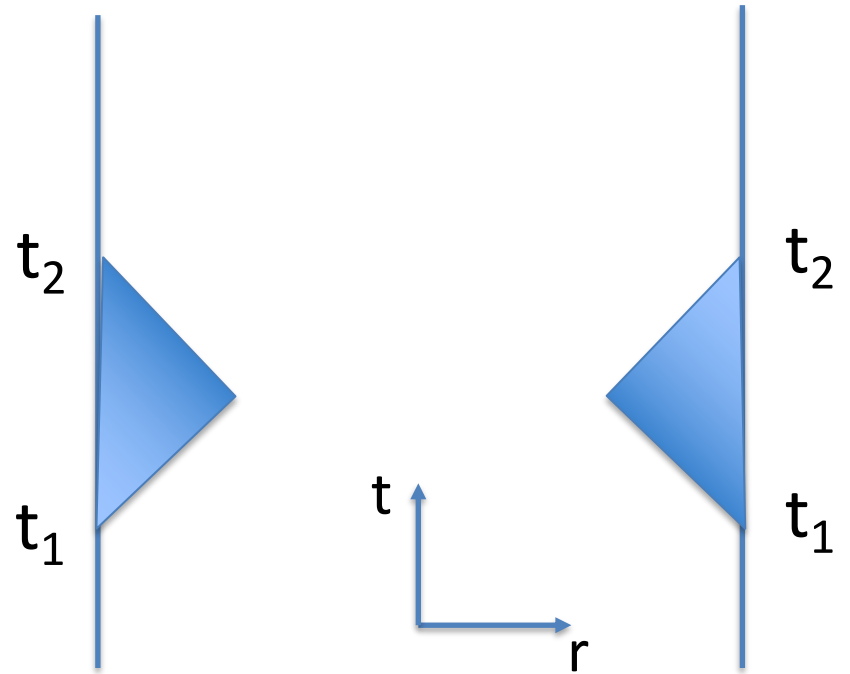
Examples

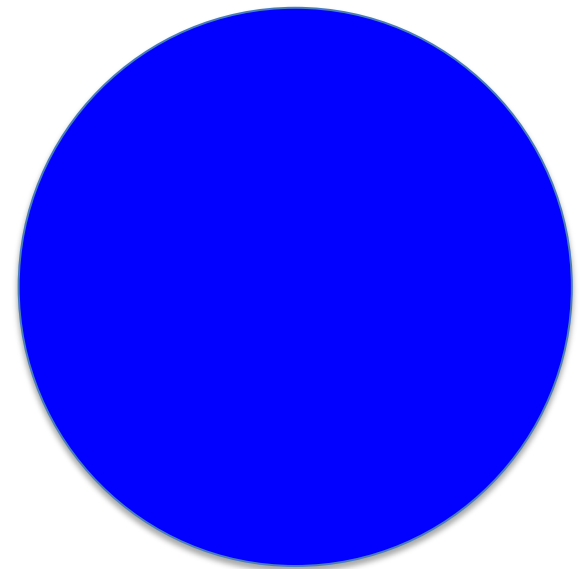
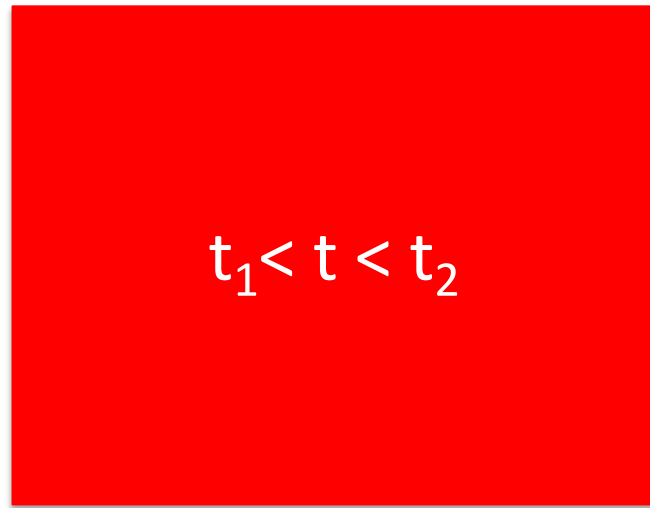
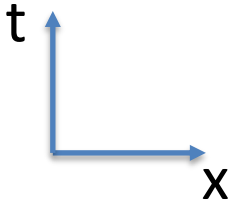




CFT₂ in the vacuum

$$t_2 - t_1 < \pi R$$

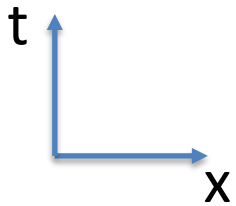




CFT₂ in the vacuum

Full bulk operator algebra

$$t_2 - t_1 \geq \pi R$$

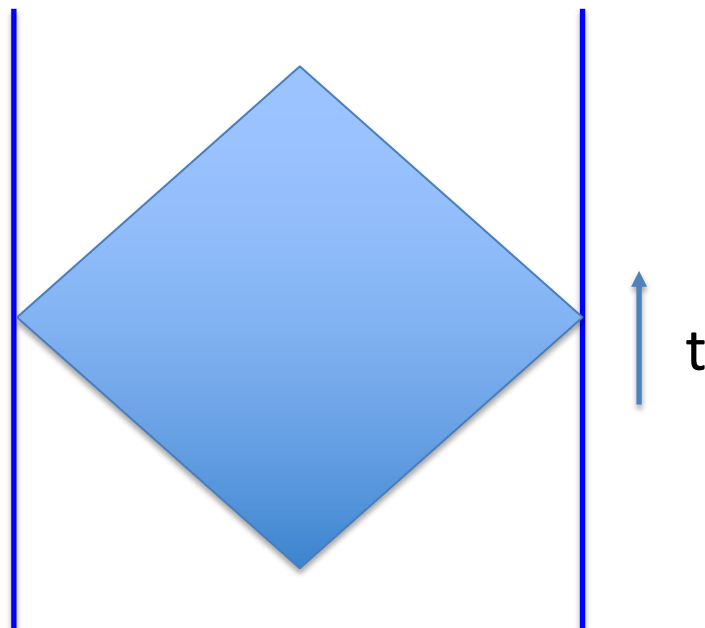
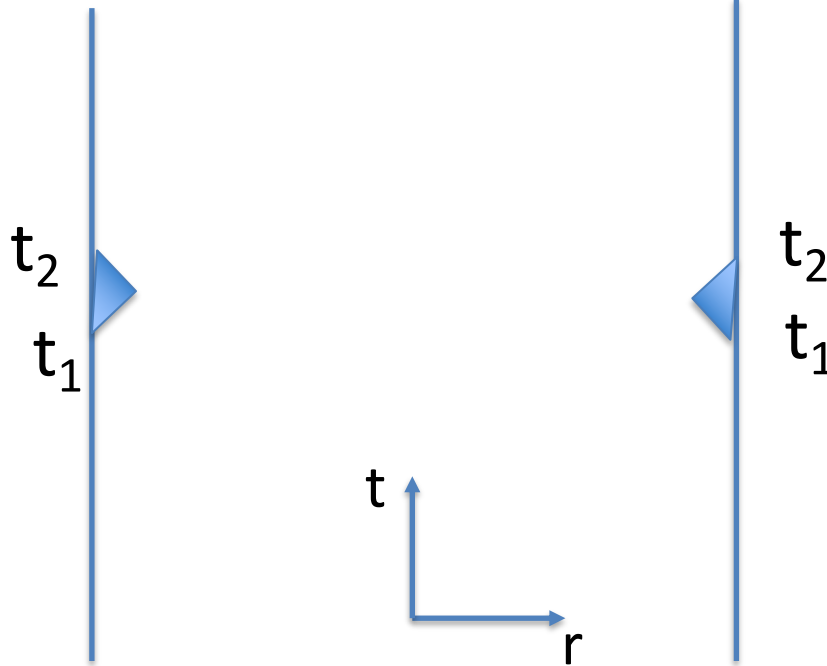


$$t_1 < t < t_2$$

$$t_2 \rightarrow t_1$$

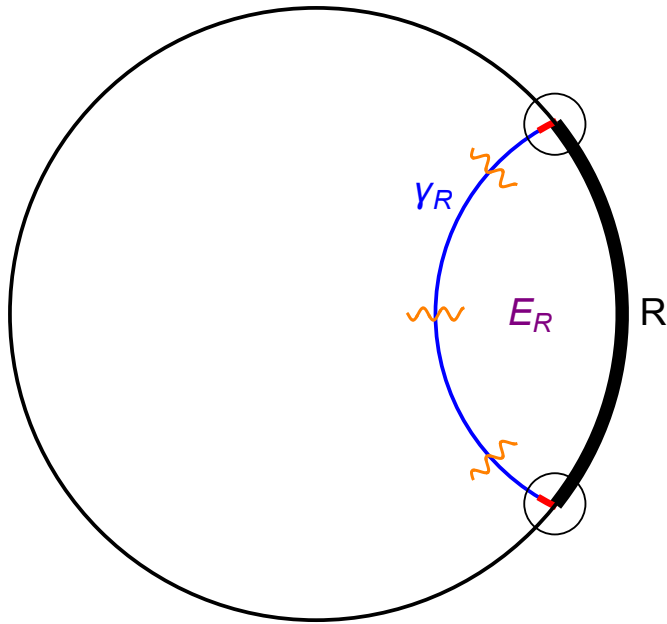
\mathcal{Y}'

(no obvious boundary geometric description)



Insights into subregion-subregion duality

Emergent type III₁ algebra in a local region



Consider a local region R in the boundary theory.

At finite N , \mathcal{B}_R is type III₁

We can introduce a short-distance cutoff to turn it into type I.

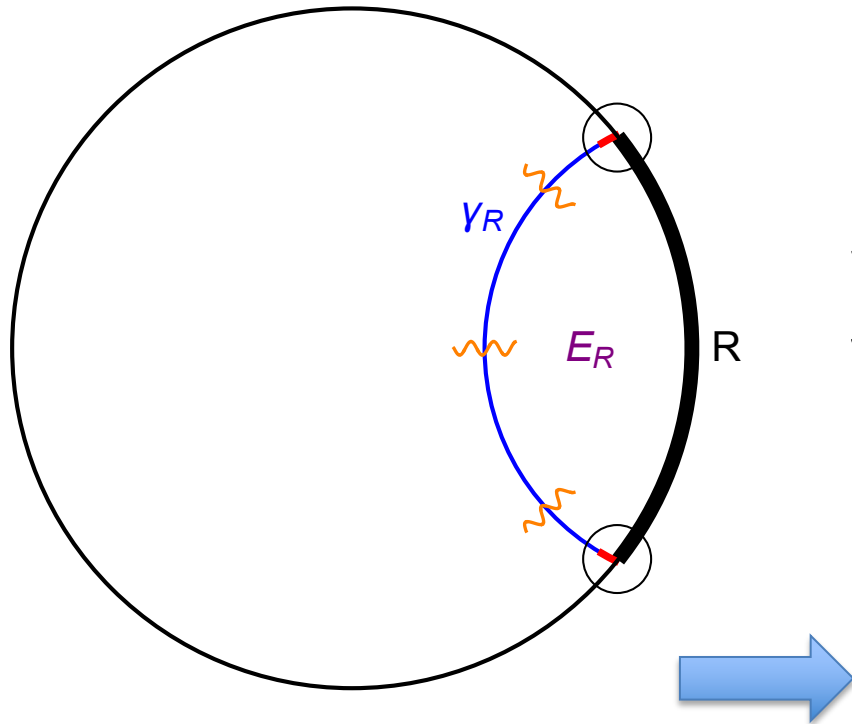
In the large N limit, there is an emergent type III₁ algebra:

$$\mathcal{X}_R = \lim_{N \rightarrow \infty, |\Psi\rangle} \mathcal{B}_R$$

Entanglement wedge reconstruction: $\mathcal{X}_R = \mathcal{M}_{E_R}$

\mathcal{M}_{E_R} : bulk operator algebra in the entanglement wedge

Entanglement wedge without entropy



Given boundary algebra \mathcal{X}_R

We can try to find the bulk region whose operator algebra is equivalent to \mathcal{X}_R

RT surface without using entropy

We have worked out some simple examples, but how the minimal surface prescription arises in this language is still missing.

Additivity anomaly (I)

\mathcal{B}_R obeys additivity:

$$\mathcal{B}_{R_1} \vee \mathcal{B}_{R_2} = \mathcal{B}_{R_1 \cup R_2}$$

$$\mathcal{B}_{R_1} \cap \mathcal{B}_{R_2} = \mathcal{B}_{R_1 \cap R_2}$$

\mathcal{X}_R in general does not:

$$\mathcal{X}_{R_1} \vee \mathcal{X}_{R_2} \subseteq \mathcal{X}_{R_1 \cup R_2}$$

$$\mathcal{X}_{R_1 \cap R_2} \subseteq \mathcal{X}_{R_1} \cap \mathcal{X}_{R_2}$$

The inequality arises from taking the **large N limit**

Additivity anomaly (II)

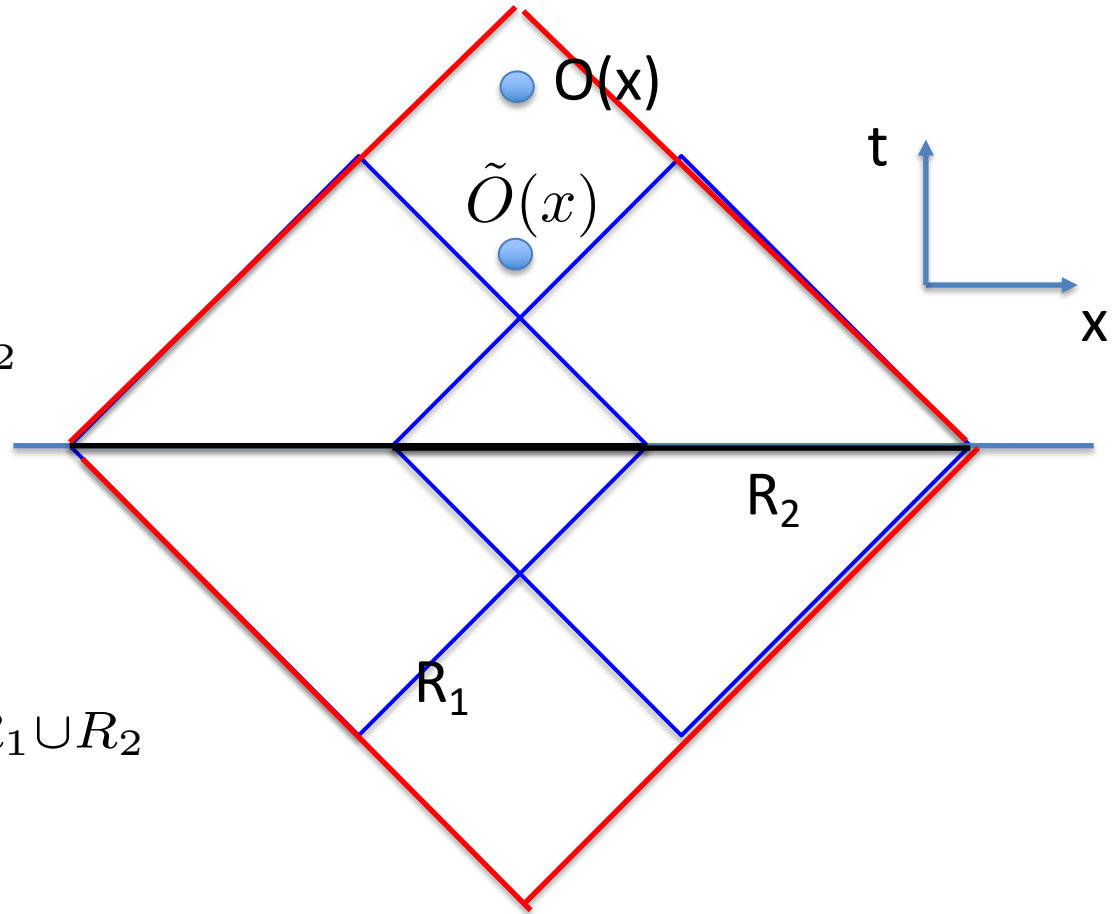
Simplest example:

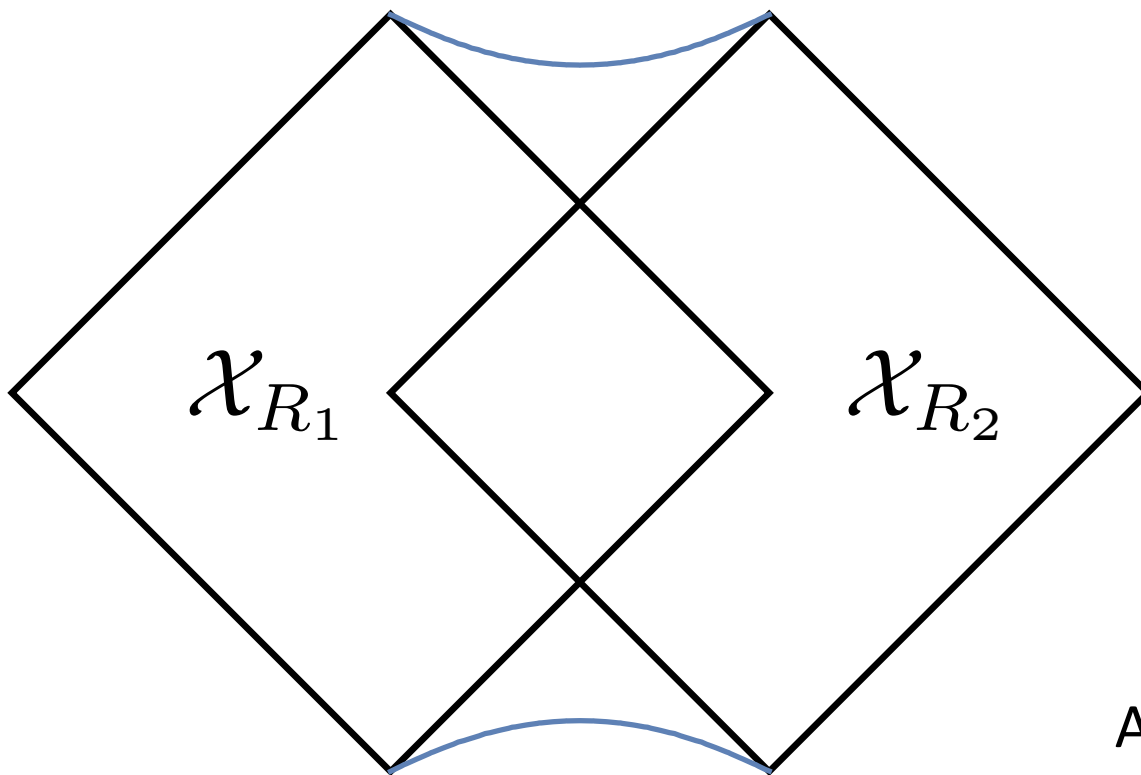
$$O(x) \in \mathcal{X}_{R_1 \cup R_2}$$

$$O(x) \notin \mathcal{X}_{R_1} \vee \mathcal{X}_{R_2}$$

$$\tilde{O}(x) \in \mathcal{X}_{R_1} \vee \mathcal{X}_{R_2}$$

$$\mathcal{X}_{R_1} \vee \mathcal{X}_{R_2} \subset \mathcal{X}_{R_1 \cup R_2}$$



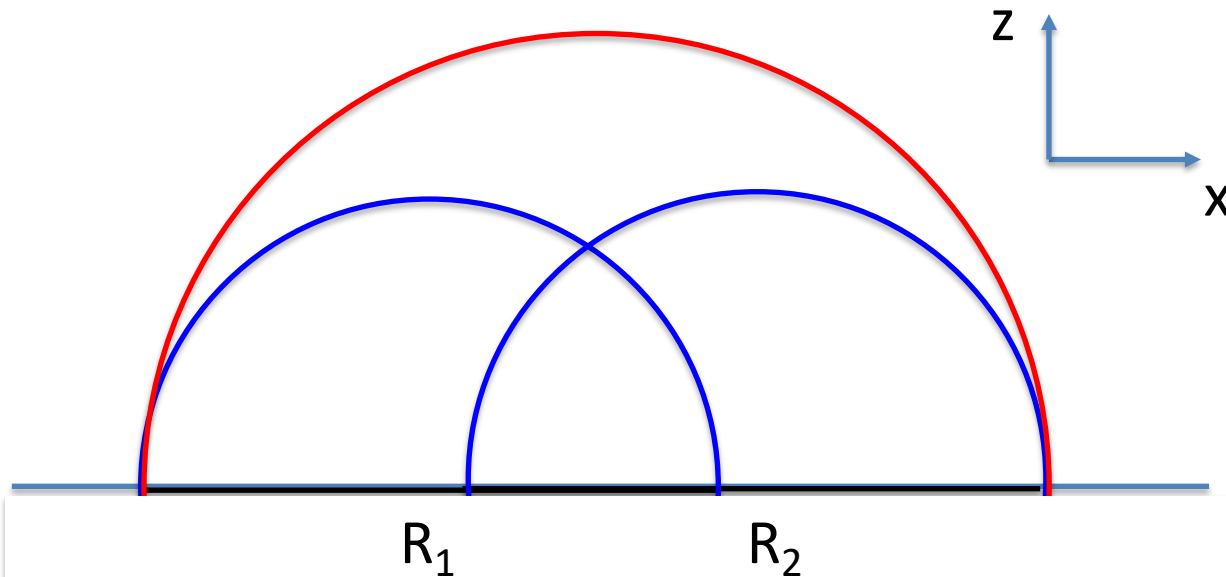


Araki (1963)

$$\mathcal{X}_{R_1} \vee \mathcal{X}_{R_2}$$

Implications of additivity anomaly (I)

Additivity anomaly underlies many properties we observe on the gravity side including :



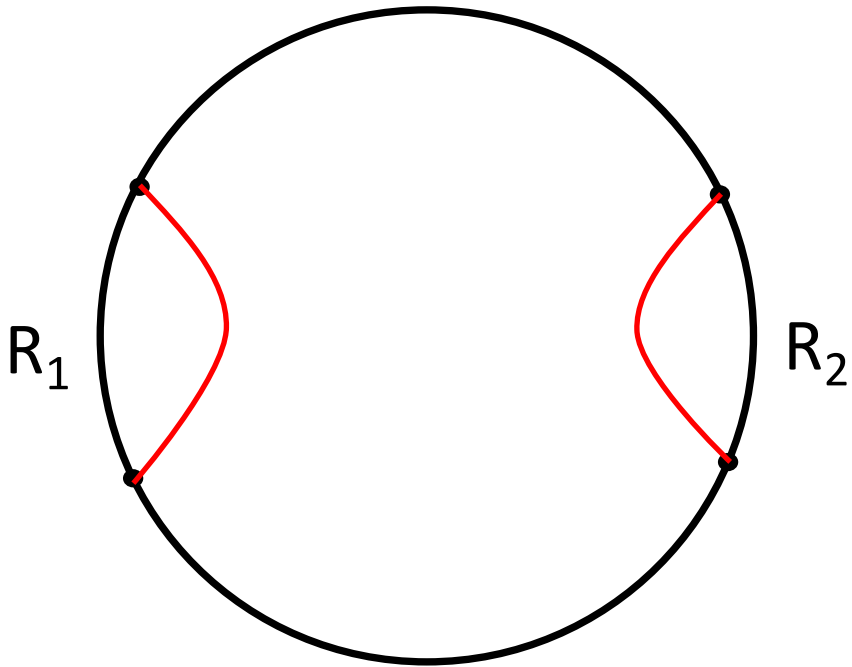
This has been interpreted in terms of quantum error corrections

Almheiri, Dong, and Harlow

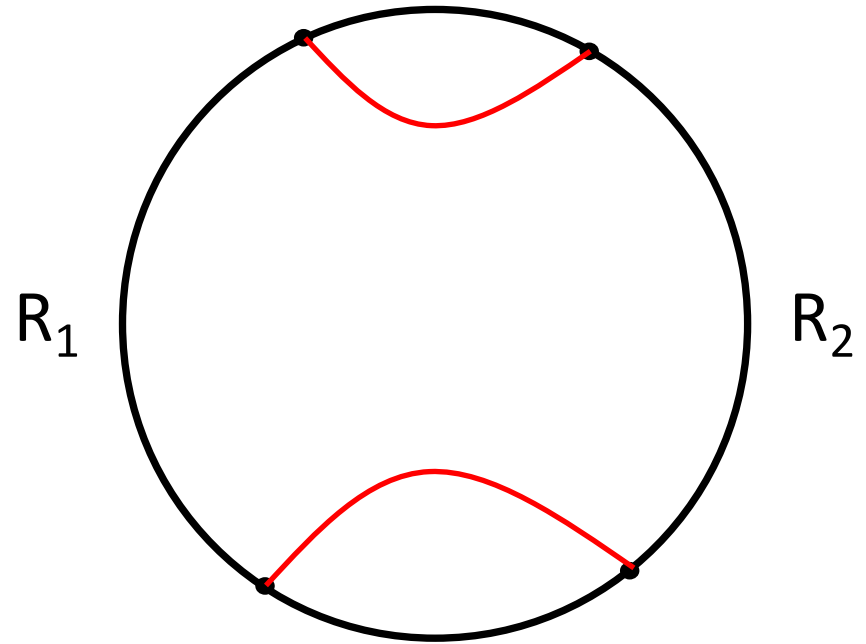
Here we give its physical origin.

$$\mathcal{X}_{R_1} \vee \mathcal{X}_{R_2} \subset \mathcal{X}_{R_1 \cup R_2}$$

Implications of additivity anomaly (II)



$$\mathcal{X}_{R_1} \vee \mathcal{X}_{R_2} = \mathcal{X}_{R_1 \cup R_2}$$



$$\mathcal{X}_{R_1} \vee \mathcal{X}_{R_2} \subset \mathcal{X}_{R_1 \cup R_2}$$

New Insights into subregion-subregion duality

1. Give a precise mathematical definition

2. Entanglement wedge without entropy.

RT surface without
using entropy

3. Additivity anomaly and implications

Underlies many bulk properties, including quantum error correction properties

Future perspectives

- Including $1/N$ corrections, the role of conserved charges
(boundary manifestation of Gauss law and bulk nonlocality)
Bahiru, Belin, Papadodimas, Sarosi, Vardian
arXiv: 2209.06845
- connections with finite N
 - Type II Witten (arXiv:2112:12828)
Chandrasekaran, Penington, Witten, 2209.10454
 - Type I: (finite N)
- Implications for holography in flat and cosmological spacetimes
Chandrasekaran, Longo, Penington, Witten, 2206.10780
- New perspectives on single-sided or evaporating BHs,
Derivation of “island”
- Entropy associated with general bulk surfaces

Thank you!

Large N limit of the Hilbert space

Many states do not have a well-defined large N limit.

A state has a well-defined large N limit, if correlation functions of single-trace operators (with expectation value subtracted) in it have well-defined $N \rightarrow \infty$ limits.

We refer to a state with well-defined large N limit and factorization property as a semi-classical state.

Examples: vacuum , thermal density operator, thermal field double

.....

For a semi-classical state $|\Psi\rangle$, we can build a Hilbert space around it by acting finite products of single-trace operators on it. (GNS Hilbert space \mathcal{H}_{Ψ}^{GNS})

In the large N limit, only semi-classical states and states around them survive.

The full state space splits into disconnected GNS Hilbert spaces around semi-classical states.

Different Ψ lead to different single-trace operator algebra with possibly very different mathematical and physical properties

The same structure appears on the gravity side.

We quantize gravity fields around a geometry to obtain the Fock space around that geometry.

Half-sided modular translation

There is a **very special structure** associated with type III_1 vN algebra.

Suppose \mathcal{M} is a von Neumann algebra and the vector $|\Omega\rangle$ is **cyclic and separating** for \mathcal{M}

Suppose there exists a von Neumann subalgebra \mathcal{N} of \mathcal{M} with the properties:

$|\Omega\rangle$ is cyclic for \mathcal{N}

$$\Delta_{\mathcal{M}}^{-it} \mathcal{N} \Delta_{\mathcal{M}}^{it} \subset \mathcal{N}, \quad t \leq 0$$

Then there exists a **unitary group** $U(s)$, with the following properties:

Borchers, Wiesbrock

$$U(s) = e^{-iGs}, \quad G \geq 0$$

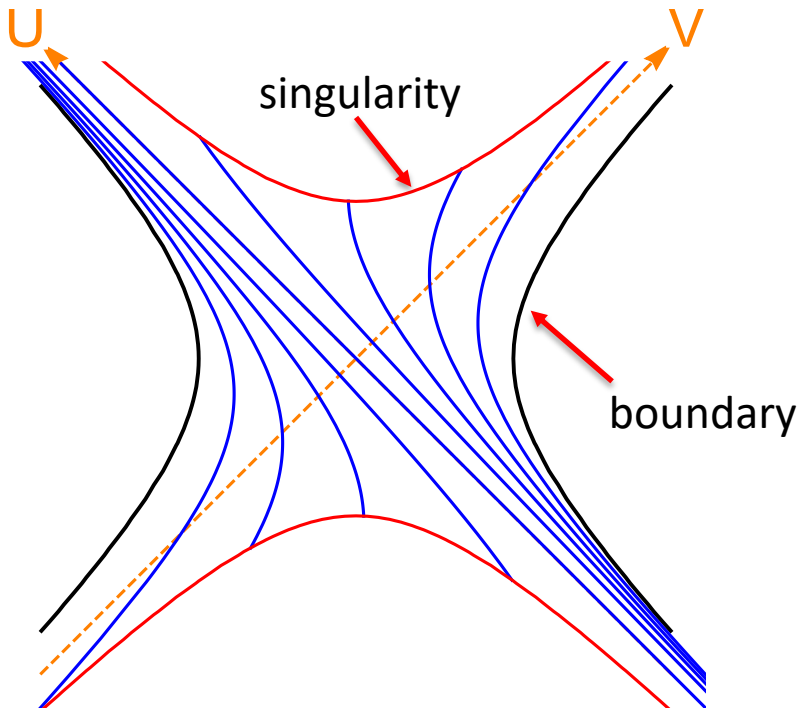
$$U(s)\Omega = \Omega, \quad \forall s \in \mathbb{R}$$

This can be used to generate “new” times!

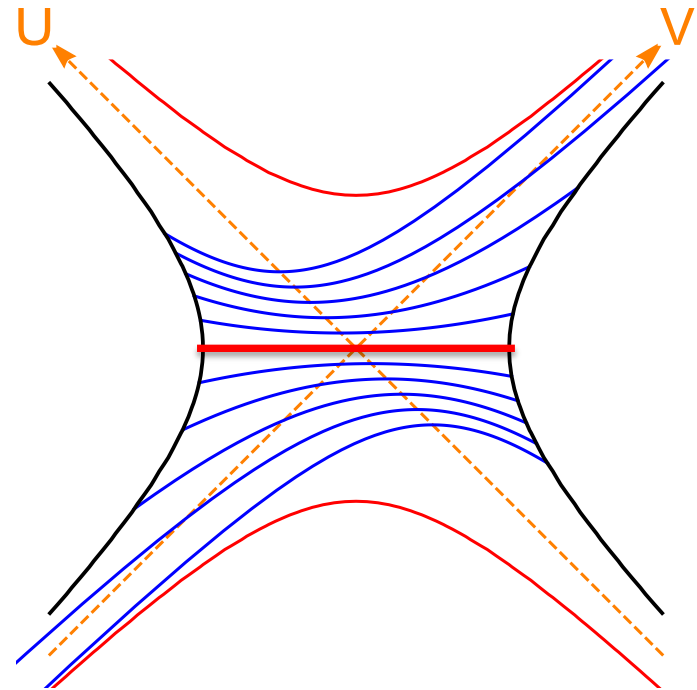
Flow pattern in the large mass limit

$$\Phi(X; s) \equiv U(-s)\phi(X)U(s) \propto \phi(X_s) \quad \text{average over boundary spatial directions}$$

$$U_s = U_0 + s, \quad V_s = \frac{V_0}{1 - sV_0}$$

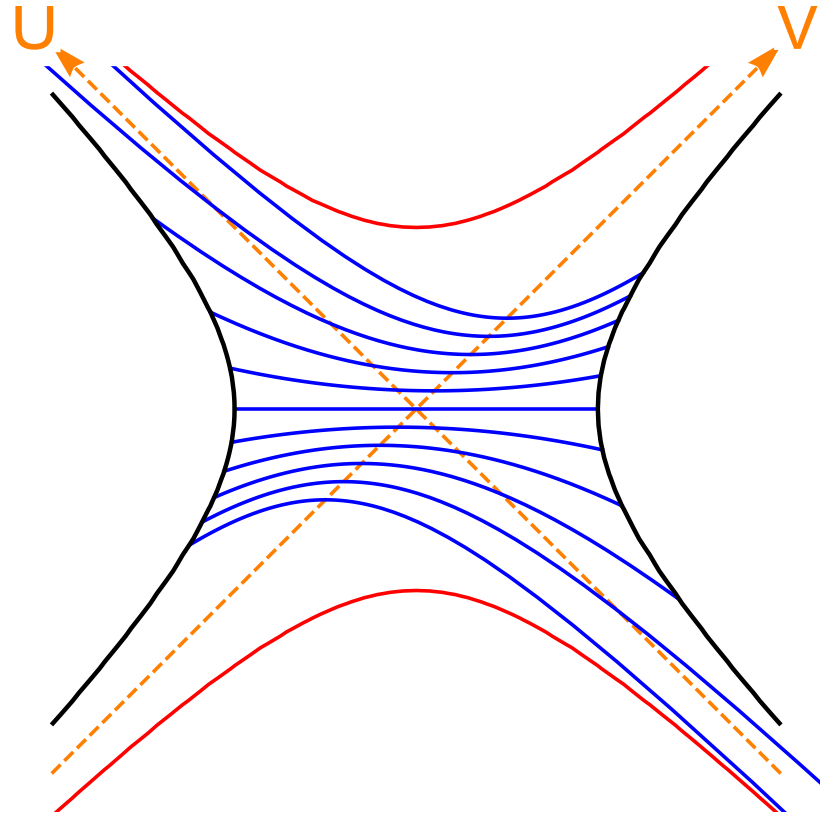
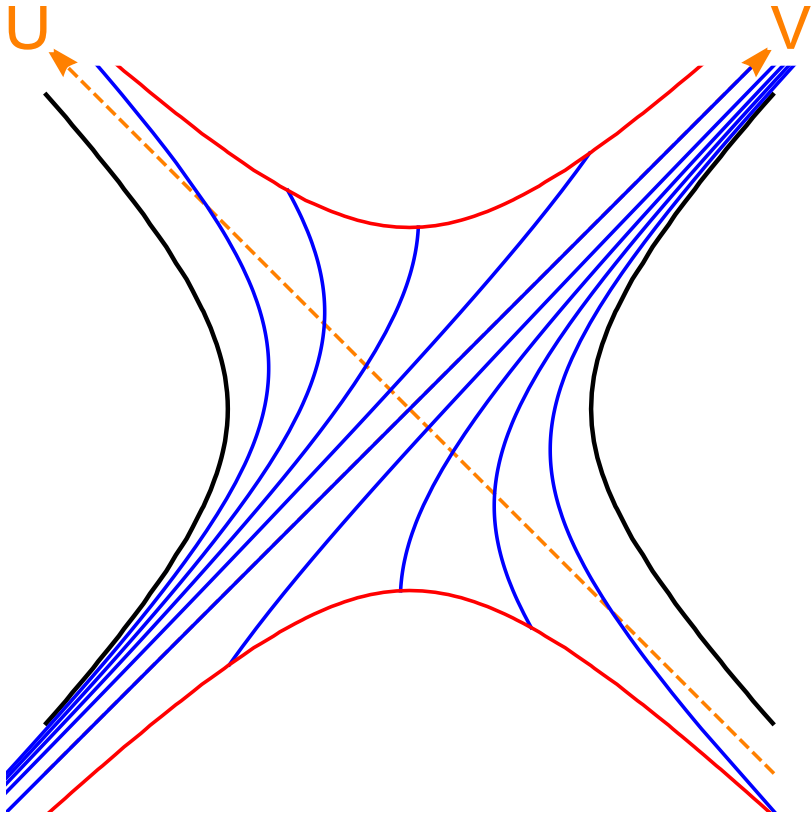


Family of trajectories



Constant-s slices

V-type Flows



We can also consider compositions of such Kruskal-like U-type and V-type flows.

There are an infinite number of such emergent times

(by definition diffeomorphism invariant)