

Cosmological Consequences of a Principle of Finite Amplitudes

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Based on

Jonas, Lehnert & JQ, Phys. Rev. D 103 (2021) 10, 103525, arXiv:2102.05550

Motivation

Barrow & Tipler's 1988 finite action principle (paraphrasing):

the action S is the most basic quantity in physics,
therefore it must be finite

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Action principles in nature

John D. Barrow* & Frank J. Tipler†

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Physical theories have their most fundamental expression as action integrals. This suggests that the total action of the Universe is the most fundamental physical quantity, and hence finite. In this article it is argued that finite universal action

Revisited by Barrow before his sad passing [1912.12926]

$$S_{\text{Universe}} = \int_{\mathcal{M}_4} d^4x \sqrt{-g} \mathcal{L}_{\text{all of gravity and matter}} < \infty$$

- otherwise claimed to be mathematically inconsistent to derive equations of motion
- integral must ‘know’ about both the past and the *future*
- But the action does play a fundamental role in the computation of *physical observables*, e.g.:

$$\mathcal{A}(\Phi_{\text{initial}} \rightarrow \Phi_{\text{final}}) = \langle \Phi_{\text{final}} | \Phi_{\text{initial}} \rangle = \int_{\Phi_{\text{initial}}}^{\Phi_{\text{final}}} \mathcal{D}\Phi e^{\frac{i}{\hbar} S[\Phi]}$$

$$\langle \prod_{i=1}^n \mathcal{O}_i \rangle = \int \mathcal{D}\Phi \left(\prod_{i=1}^n \mathcal{O}_i \right) e^{\frac{i}{\hbar} S[\Phi]}$$

$$\Phi = \{\phi, \psi_a, A_\mu, g_{\alpha\beta}, \dots\}$$

Finite Amplitude Principle



Caroline Jonas, Jean-Luc Lehnert & JQ [2102.05550]

The action that enters in the computation of any observable quantum amplitudes today should yield a non-zero, *finite*, well-defined result

$$\mathcal{A}(\Phi_{\text{ini}} \rightarrow \Phi_{\text{today}}) = \int_{\Phi_{\text{ini}}}^{\Phi_{\text{today}}} \mathcal{D}\Phi e^{\frac{i}{\hbar} S[\Phi]} \stackrel{\hbar \ll 1}{\simeq} \sum_{\text{saddles}} \mathcal{N} e^{\frac{i}{\hbar} S_{\text{on-shell}}[\Phi_{\text{ini}} \rightarrow \Phi_{\text{today}}]}$$

- Very often, this translates into

$$S_{\text{on-shell}}[\Phi_{\text{ini}} \rightarrow \Phi_{\text{today}}] < \infty$$

- ★ Off-shell contributions are expected to blow up, but that is completely fine quantum mechanically

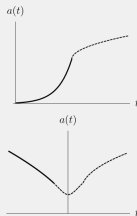
Straightforwardly applicable to the early universe

$$\text{GR+FLRW: } a(t) \propto |t|^{1/\epsilon}, \quad \epsilon = \frac{3}{2} \left(1 + \frac{p}{\rho} \right)$$

$$S_{\text{on-shell}} \sim \int_{t(\Phi_i)}^{t(\Phi_f)} dt a \dot{a}^2 \sim \begin{cases} t^{\frac{3-\epsilon}{\epsilon}} \Big|_0^{t_f} & \text{expansion with } \epsilon < 3 \\ (-t)^{\frac{3-\epsilon}{\epsilon}} \Big|_{-\infty}^{t_f} & \text{contraction with } \epsilon > 3 \end{cases}$$

→ Inflation ($\epsilon < 1$) appears to be fine

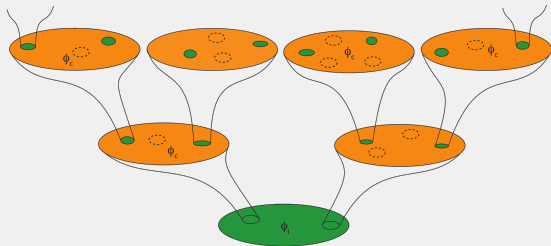
→ Contraction converges only if $\epsilon > 3$
($p > \rho$, i.e., ekpyrosis)



- Only a semi-classical statement, but interesting to test when there are quantum effects or for modified gravity and in alternative scenarios

The story is not that simple for inflation

- If inflation really goes all the way back to the big bang singularity ($a = 0$), instabilities in the perturbations arise (quantum interference among different saddle points) \Rightarrow unviable [Di Tucci, Feldbrugge, Lehnert & Turok \[1906.09007\]](#)
- If inflation is eternal (potential is so flat that field stochastically jumps up the potential and keeps inflating), action is divergent



$$S_{\text{on-shell}} \sim \int_0^\infty dt a^3 V(\phi) \underbrace{\text{Prob}[\phi \text{ is inflating at time } t]}_{\text{sol. to Fokker-Planck equation}} \rightarrow \infty \quad \text{if} \quad \frac{|V, \phi|}{V^{3/2}} < \frac{1}{\sqrt{2\pi}}$$

\rightarrow Reminiscent of swampland criteria [Rudelius \[1905.05198\]](#)

Quadratic gravity (and beyond)

- Quadratic gravity is renormalisable [Stelle \[PRD 1977\]](#)

$$S_{\text{quad}} = \int d^4x \sqrt{-g} \left(\frac{M_{\text{Pl}}^2}{2} R + \frac{\omega}{3\sigma} R^2 - \frac{1}{2\sigma} C_{\mu\nu\rho\sigma}^2 \right)$$

→ FLRW solutions $a(t) \sim t^s$ as $t \rightarrow 0^+$ lead to finite amplitudes only if $s > 1$

⇒ accelerating out of the big bang

[Lehners & Stelle \[1909.01169\]](#); [Jonas, Lehners & JQ \[2102.05550\]](#)

→ In Bianchi I, only ‘bounded anisotropy’ solutions satisfy the principle e.g., constant-Hubble and constant-shear solution [Barrow & Hervik \[gr-qc/0610013\]](#)

- For some generic higher-curvature theory (up to Riem^n):

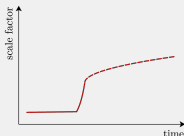
$$S_{\text{Riem}^n} = \int d^4x \sqrt{-g} f(R^\mu{}_{\nu\rho\sigma})$$

→ $a(t) \sim t^s$ solutions need to have $s > (2n - 3)/3$

⇒ If there are infinitely many ($n = \infty$), as potentially required, no such solutions respect the principle

Stringy loitering

- A loitering (emerging) spacetime has $H, \dot{H} \rightarrow 0$ ($a \rightarrow \text{constant}$) as $t \rightarrow -\infty$



$$S_{\text{EH}} \sim \int_{-\infty}^{t_0} dt a^3 (2H^2 + \dot{H}) < \infty$$

- e.g., string gas cosmology [Brandenberger & Vafa \[Nucl. Phys. B 1989\]](#) and genesis scenarios e.g., [Creminelli, Nicolis & Trincherini \[1007.0027\]](#)
- Actual implementations involve modified gravity (e.g., Horndeski) or string theory
- One recent development involves α' corrections to all orders
[Hohm & Zwiebach \[1905.06963\]](#); [Bernardo, Brandenberger & Franzmann \[2005.08324\]](#); JQ, [Bernardo & Franzmann \[2105.01083\]](#)
 - ✓ We confirmed that the Einstein-frame on-shell action is convergent for that solution

Conclusions

- Idea that action plays a fundamental role allows us to put interesting constraints on theories

Additional recent works in this direction: Borissova & Eichhorn [2012.08570]; Casadio, Kamenshchik & Kuntz [2102.10688]; Chojnacki & Kwapisz [2102.13556]; Giacchini, de Paula Netto & Modesto [2105.00300]

- Demanding a finite past cosmological amplitude allows (selected examples):
 - ✓ Transient and non-eternal inflationary phase within GR
 - ✓ Ekpyrotic cosmology
 - ✓ No-boundary proposal
 - ✓ Sufficiently accelerating backgrounds in quadratic gravity
 - ✓ Limiting curvature constant-Hubble and constant-shear solutions
 - ✓ Loitering phase in string cosmology (with α' corrections)

but rules out:

- ✗ Inflation starting at $a = 0$ in GR (quantum interference)
 - ✗ Eternal inflation
 - ✗ Exactly cyclic universes
 - ✗ FLRW power-law approaches to the big bang in infinitely higher Riemann theory
- Finite amplitudes in quantum gravity could very well be a fundamental principle. E.g., all swampland conjectures of string theory could be consequences thereof Hamada, Montero, Vafa & Valenzuela [2111.00015]

Thank you for your attention!

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