

A large black hole is depicted in the center-left, surrounded by a glowing accretion disk and two powerful jets extending outwards. The background is a dense field of stars, with a prominent reddish-brown nebula or star-forming region visible. The overall scene is set in deep space.

# The Evolution of Black Holes

Nick Warner, Kyoto, November 22, 2015

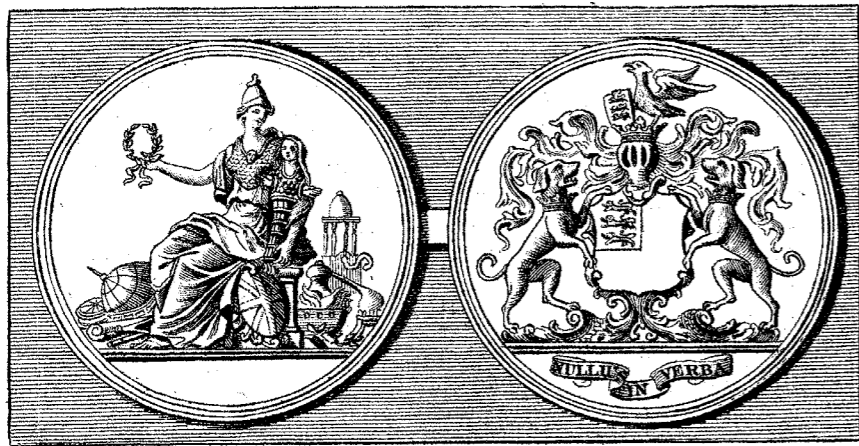


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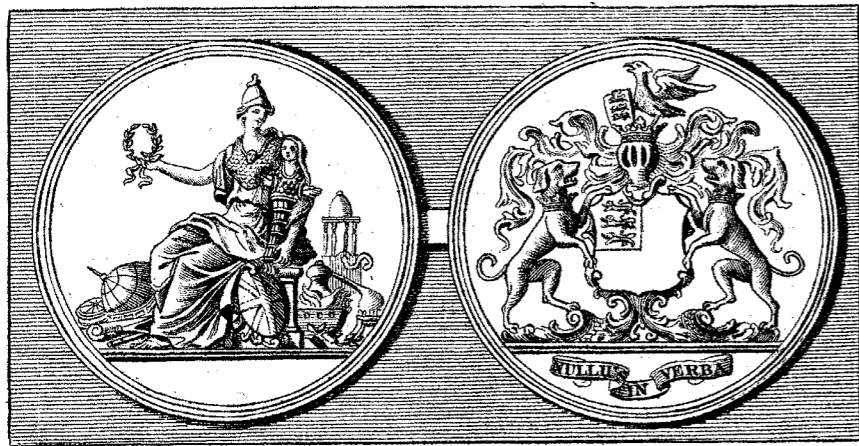
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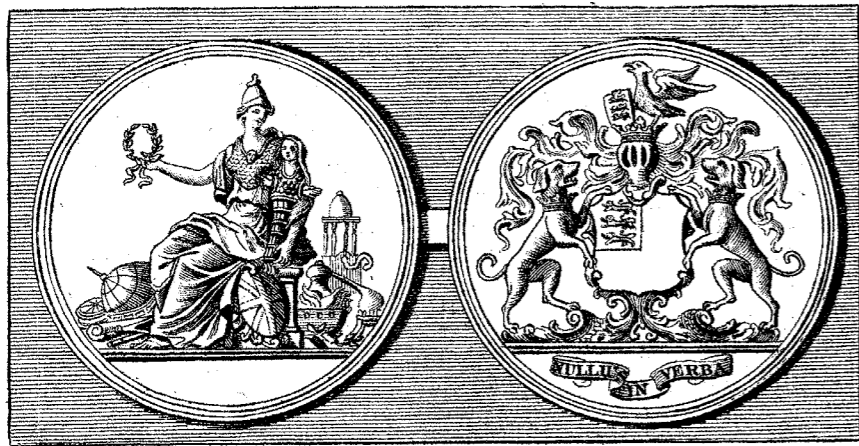
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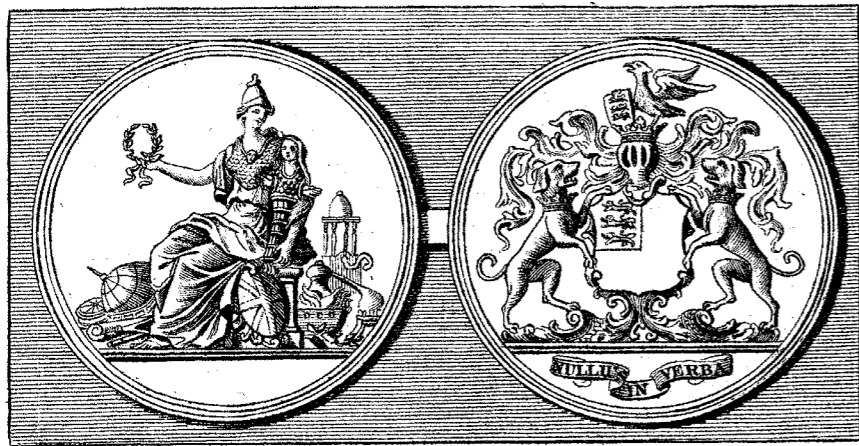
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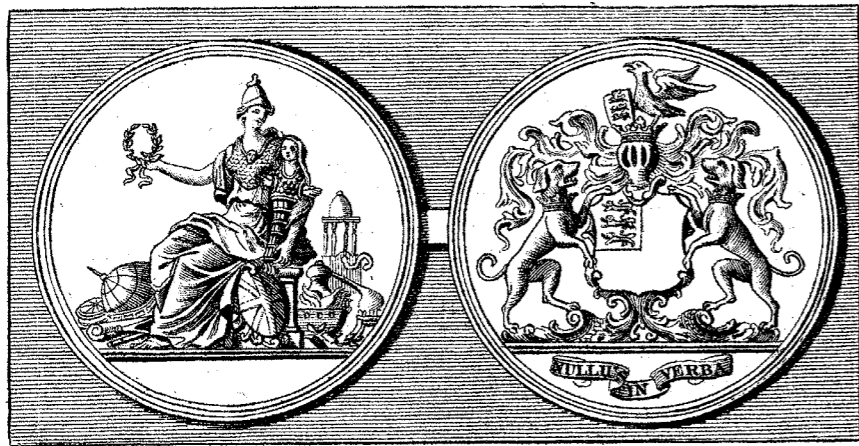
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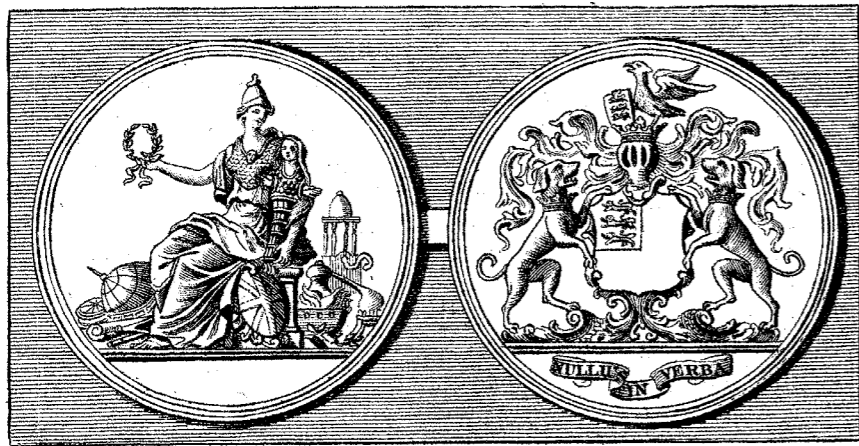


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To understand Michell’s **dark stars**, we  
must understand the physics of 1784 ...

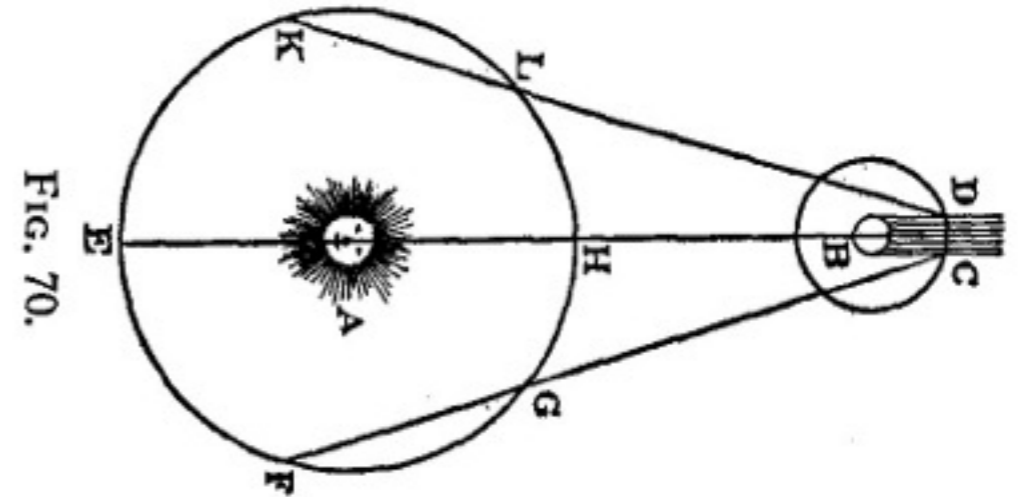
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**Ole Rømer**

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Done by making careful observations of the orbits of the moons of Jupiter





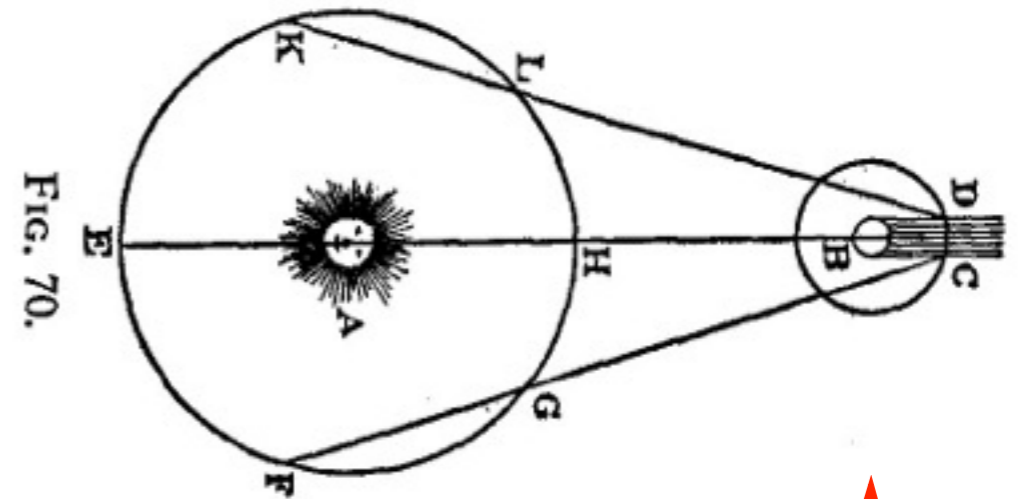
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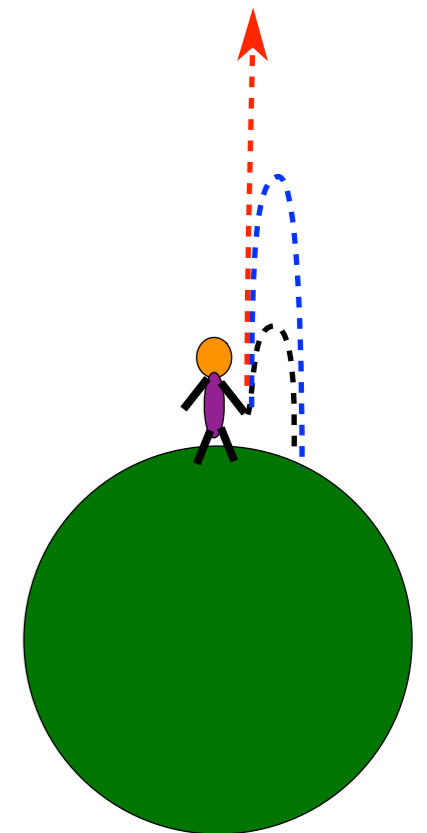


**1687: Newton's Universal Gravitation:**

⇒ **Escape speed**

*How fast must I throw a particle upwards for it to escape the gravity of a planet or star?*

**Earth: 11.2 km/s, Surface of Sun: 617 km/s**



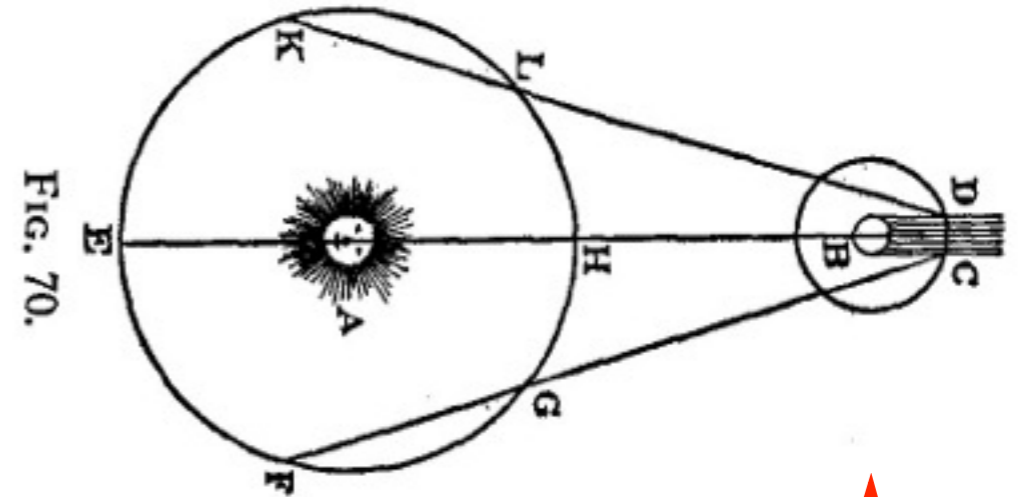
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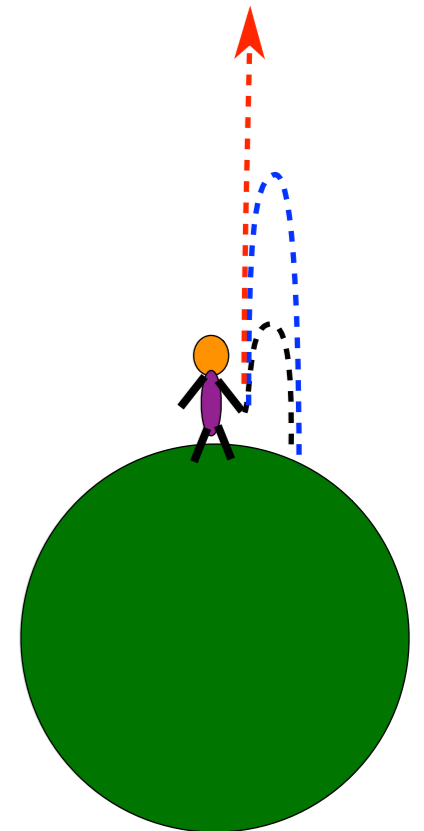


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**Before 1801: English scientists such as Newton and Michell thought**  
**Light is made of particles**



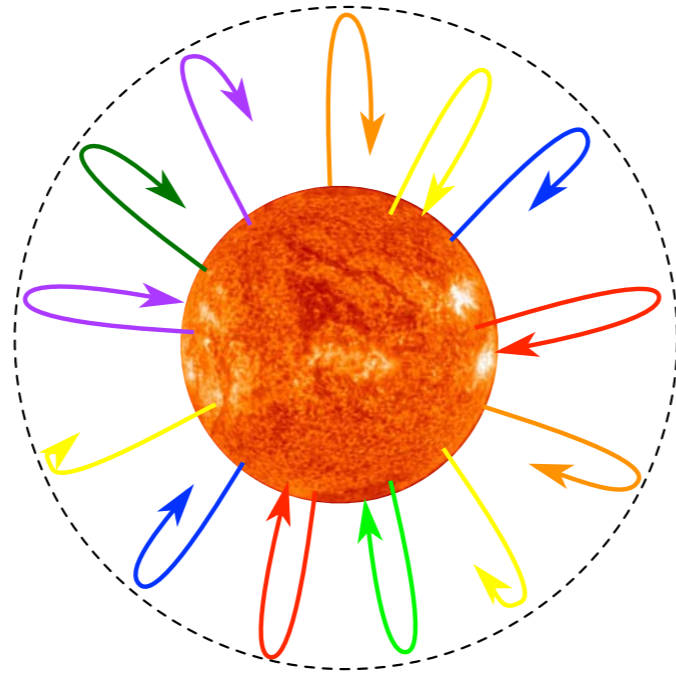
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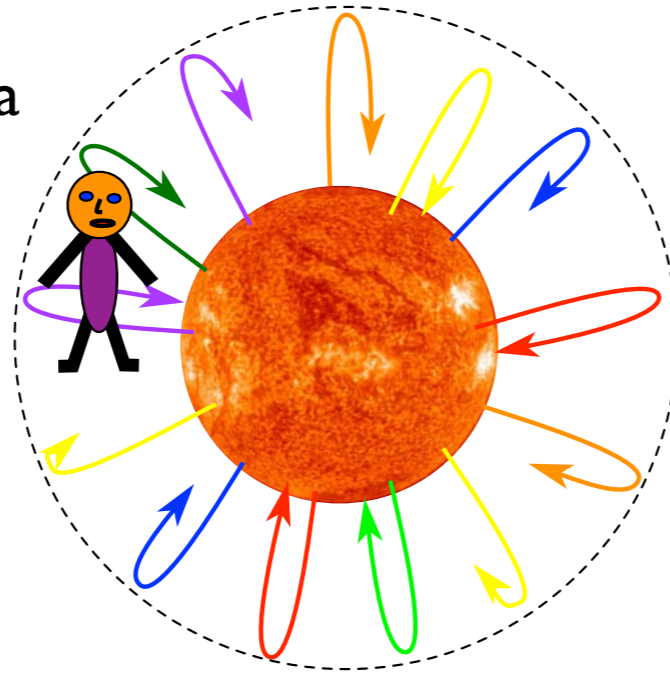
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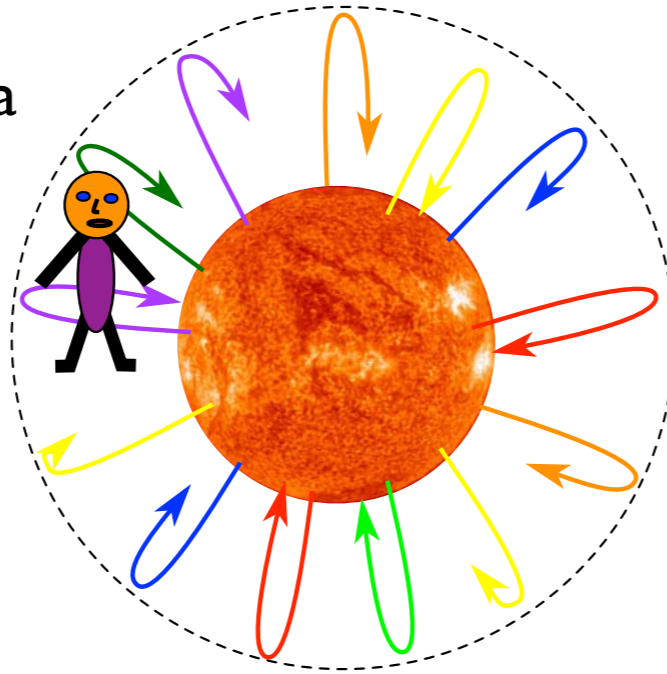
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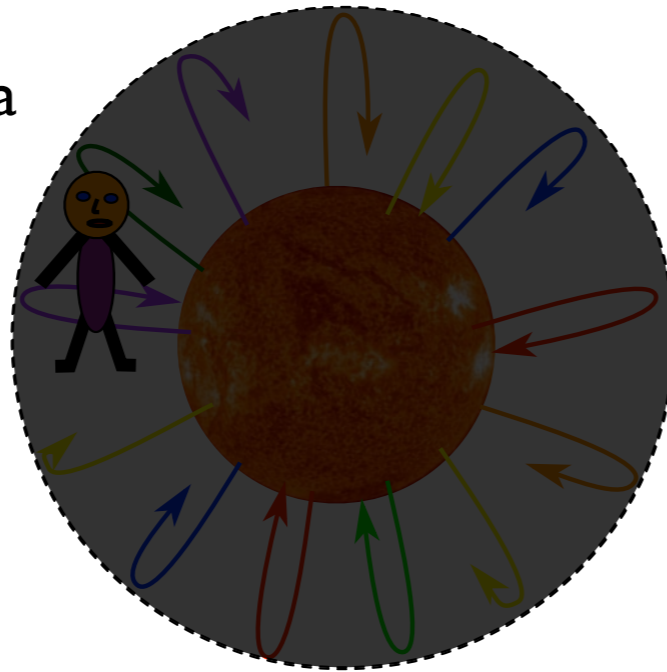
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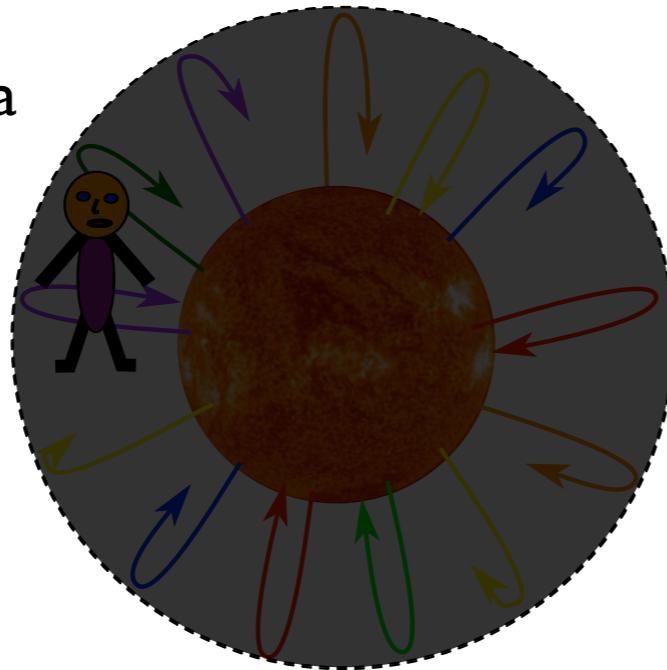
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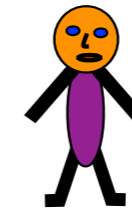
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**The speed of light in a vacuum is an absolute invariant  $\approx 300,000$  km/s**

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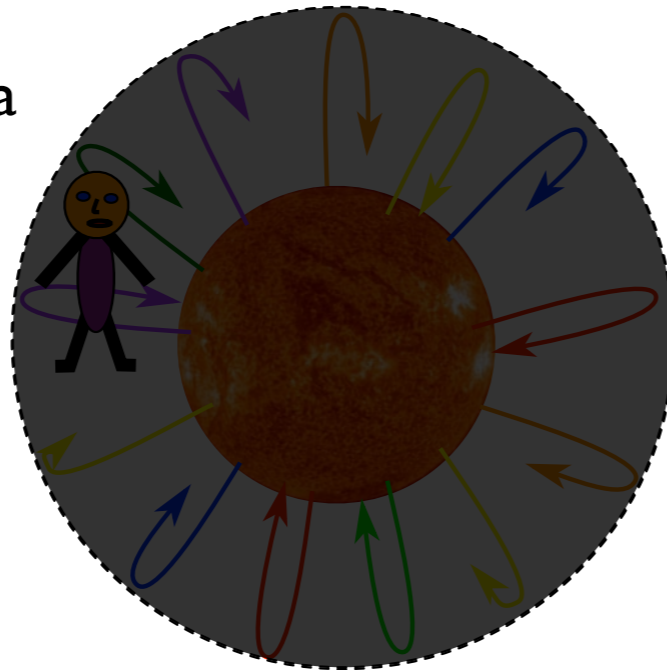
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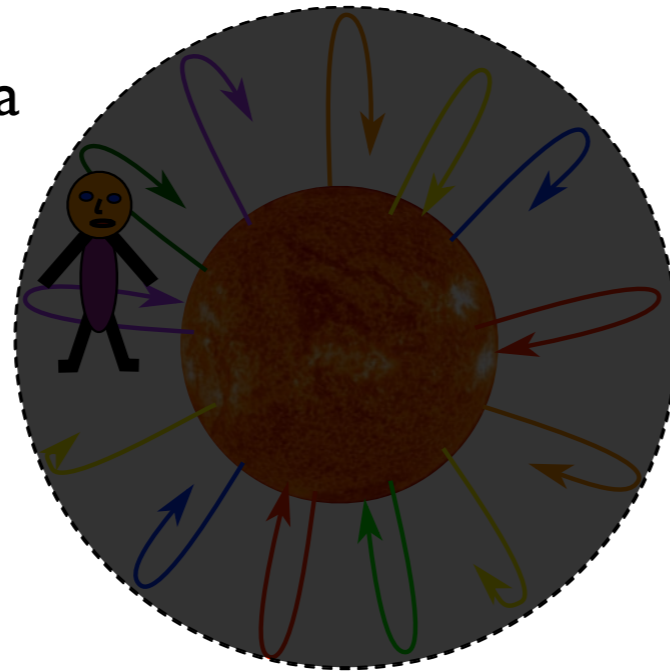
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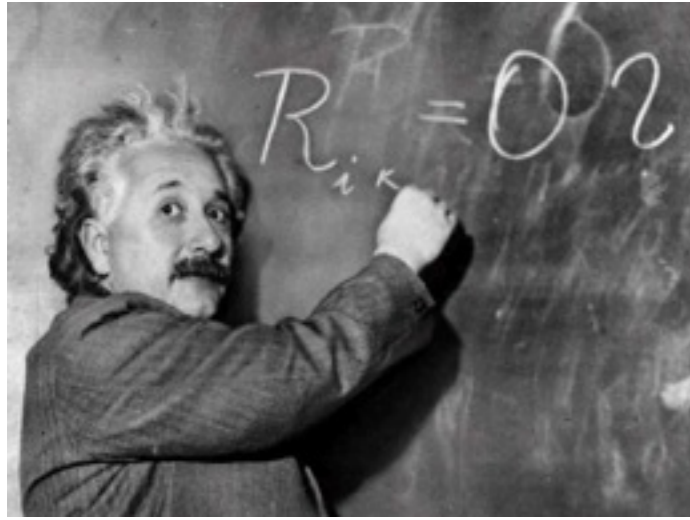
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*Can there be stars whose gravity is strong enough to trap light?  
What does such a dark star look like in general relativity?*

# The Schwarzschild Black Hole



**Albert Einstein**

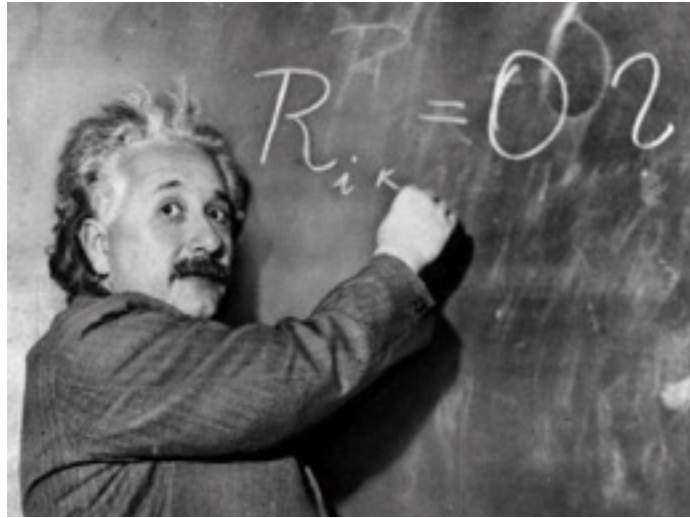
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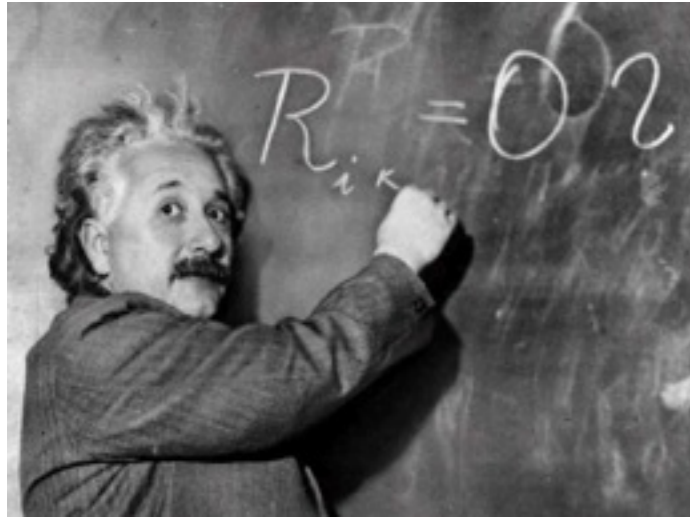
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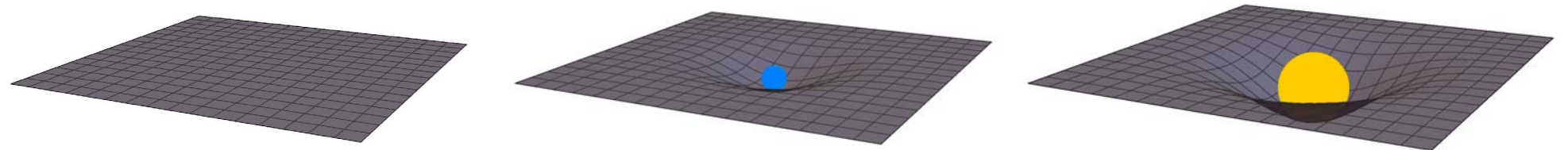
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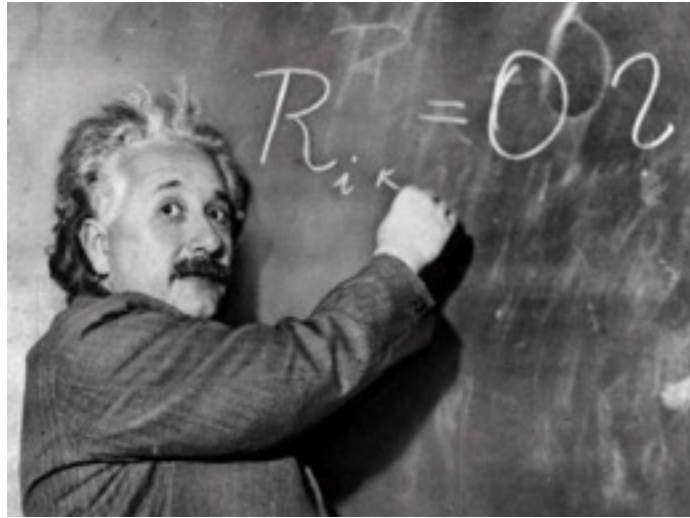
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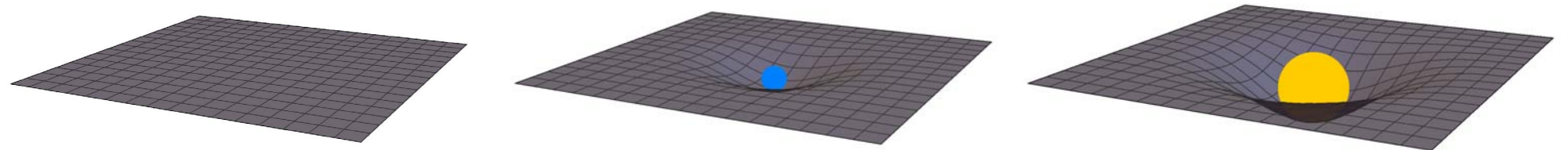
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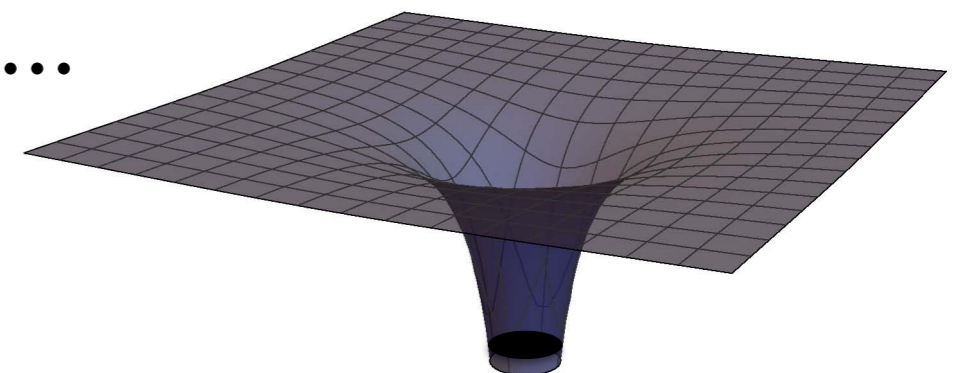
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The basis of the standard theory of black holes ...

Black Hole  $\Rightarrow$  Extreme (infinite) dimpling





# The Black Hole of General Relativity

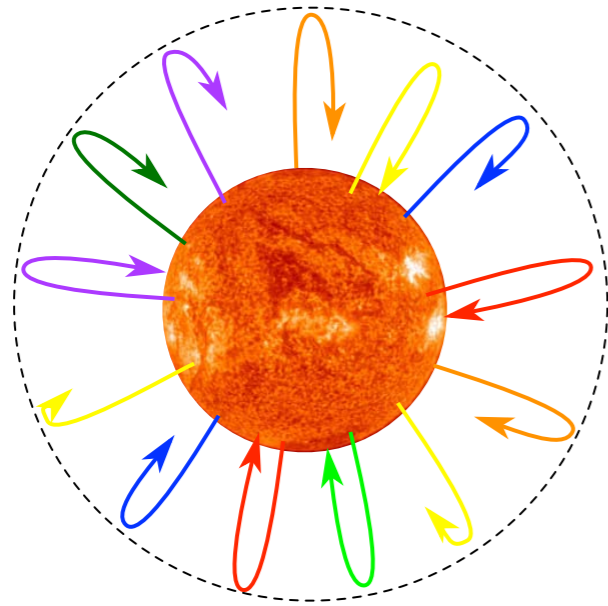
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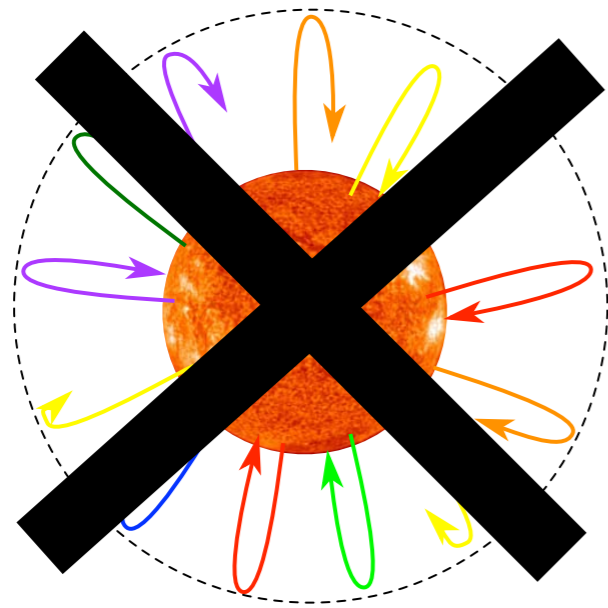


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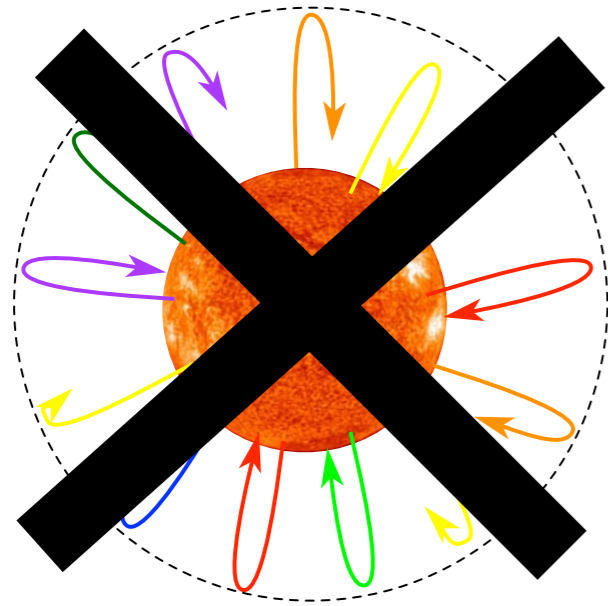
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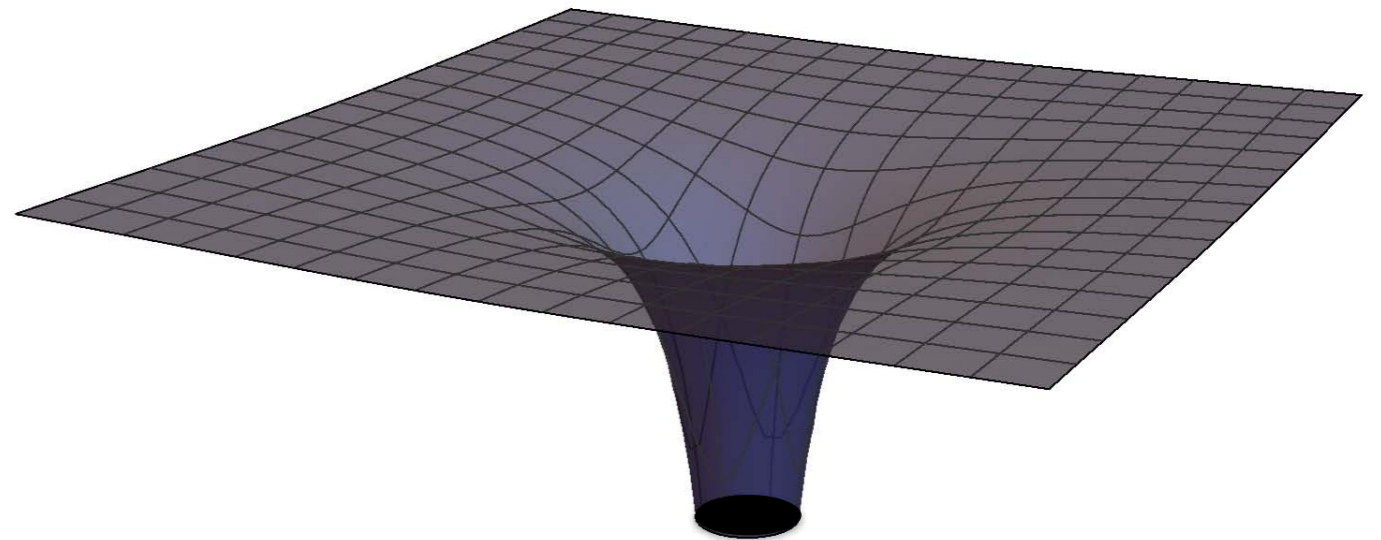
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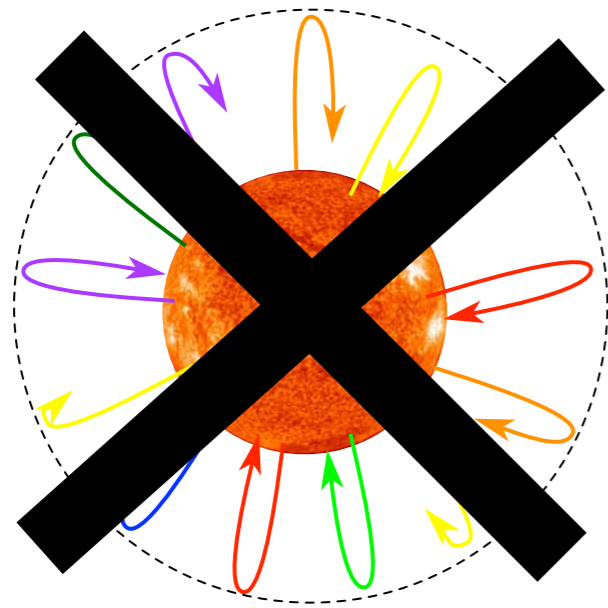


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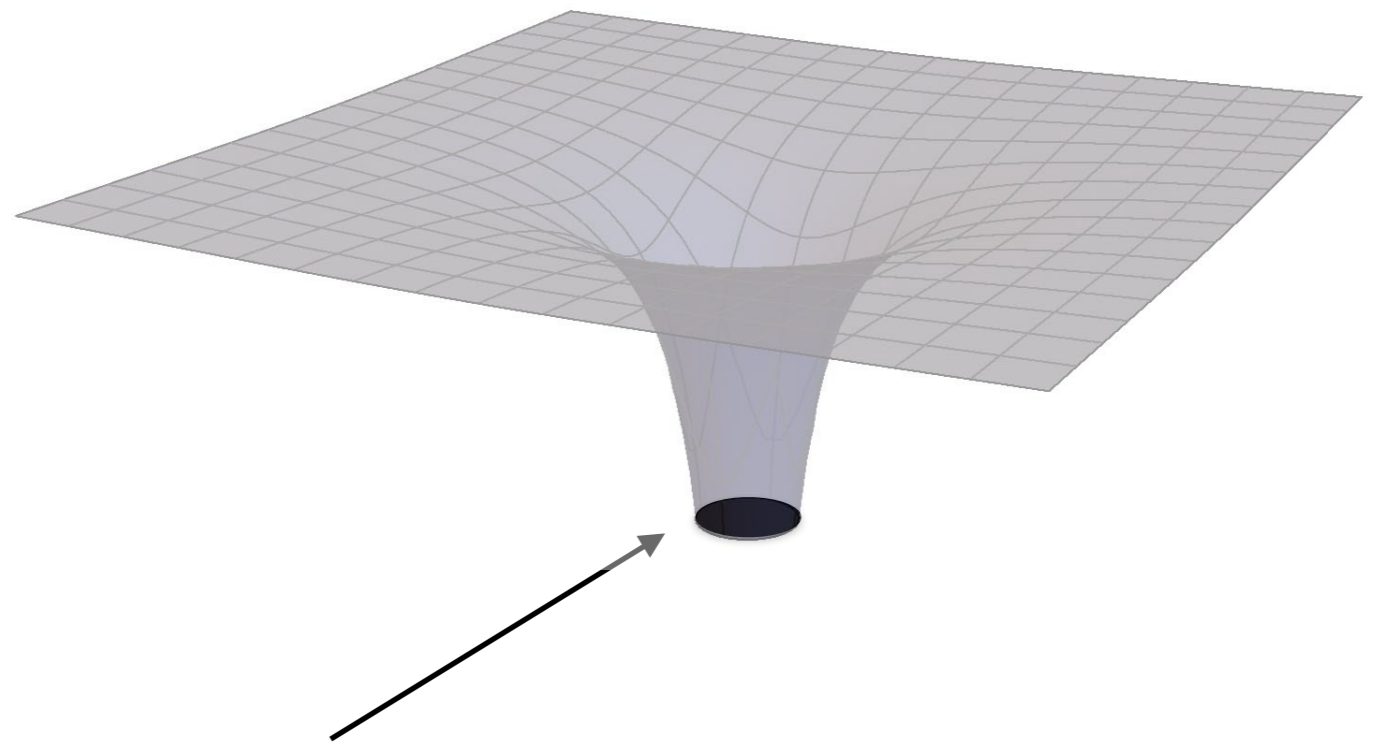
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Here the space-time becomes so curved that it traps light ...

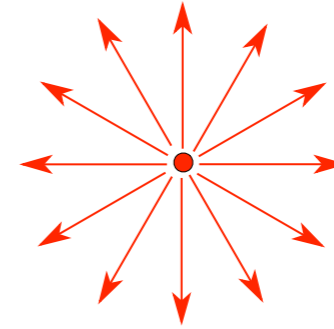
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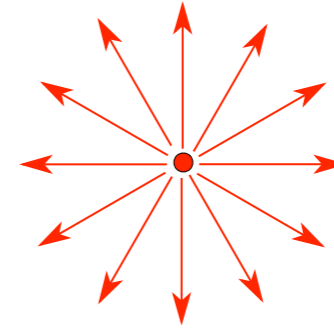
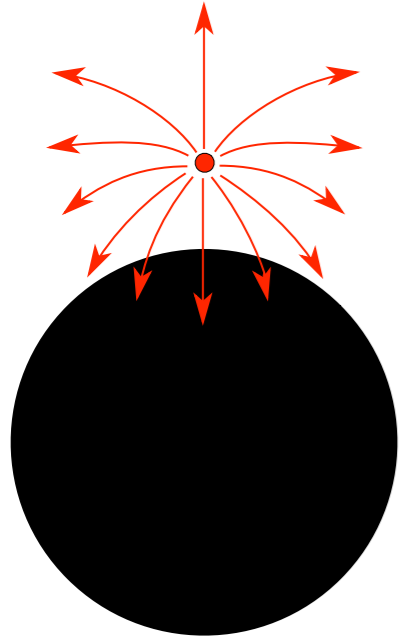


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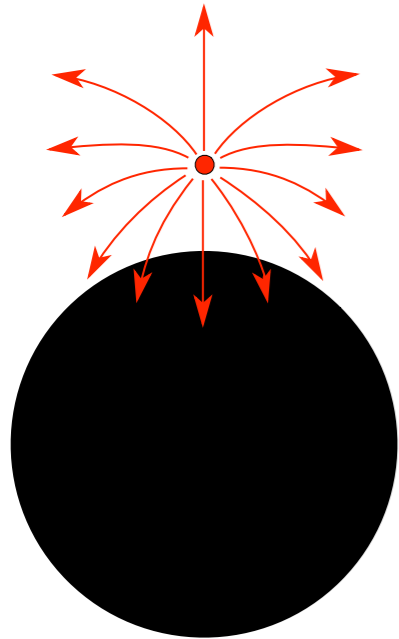
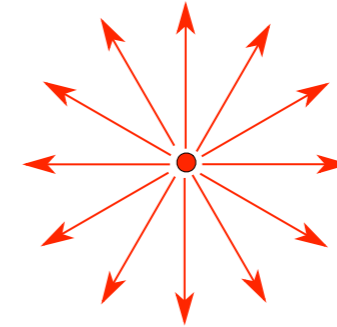


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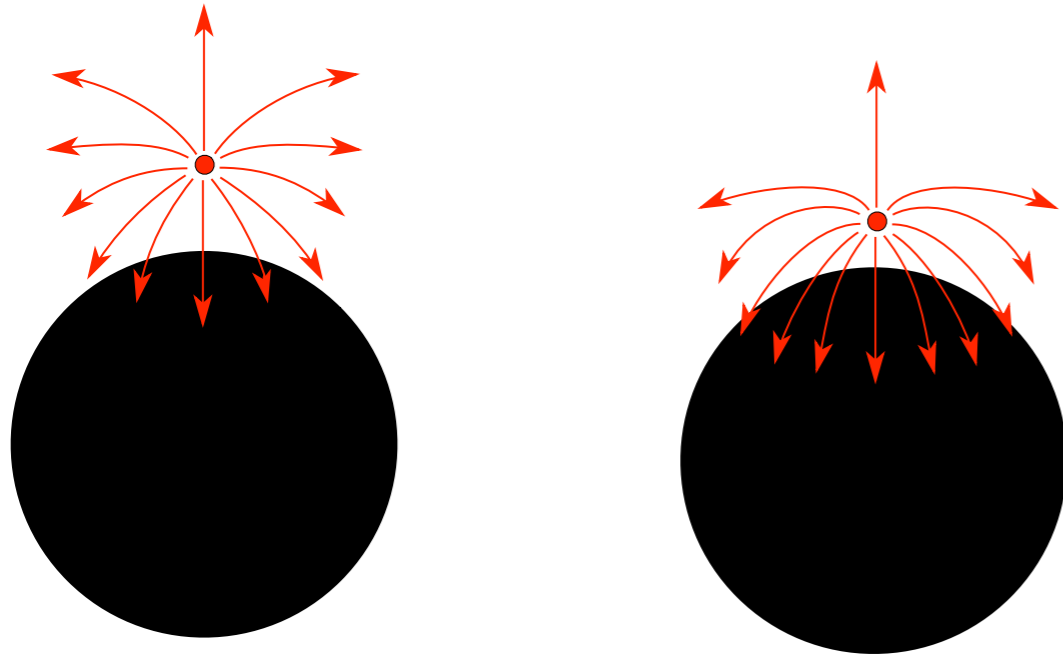
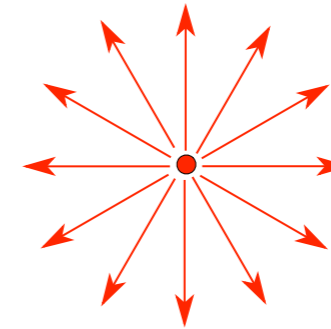
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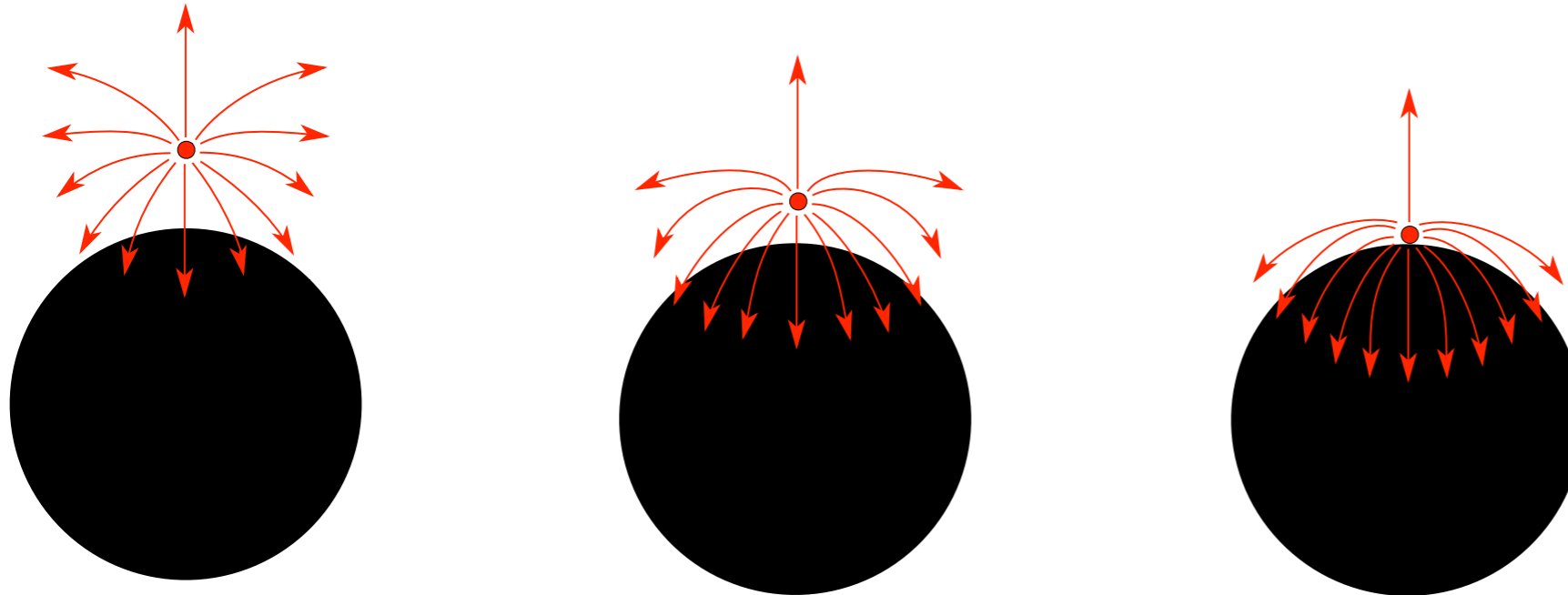
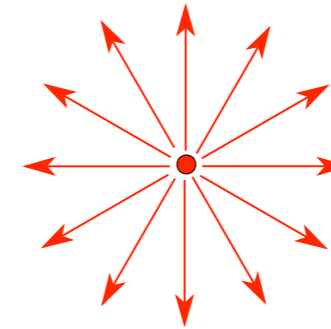
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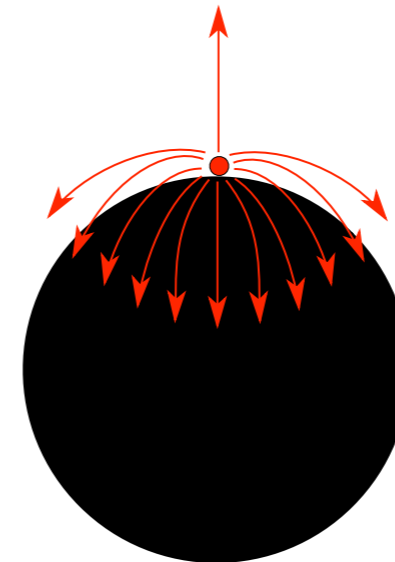
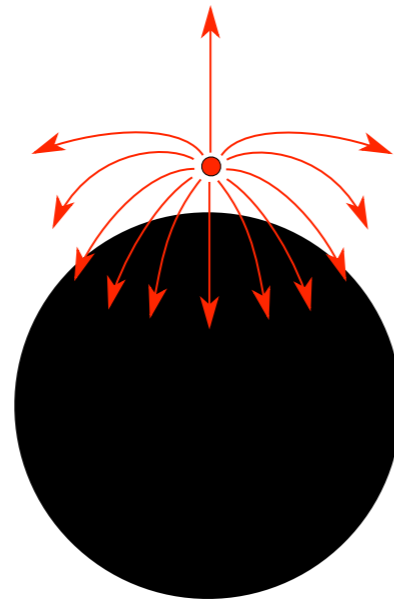
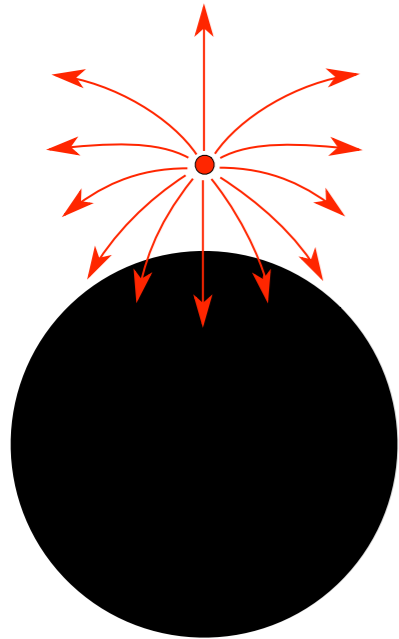
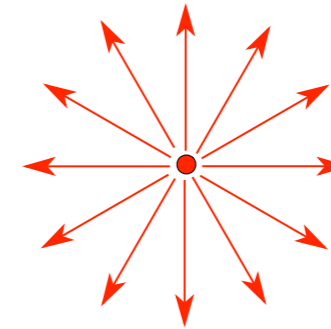
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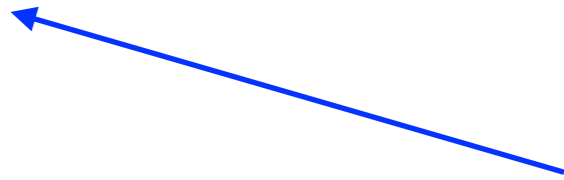
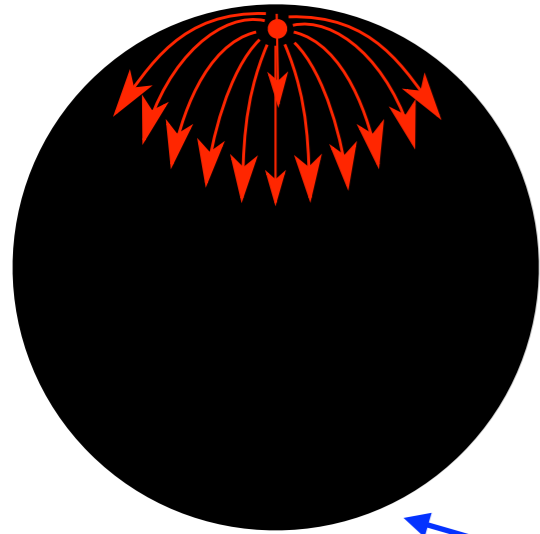
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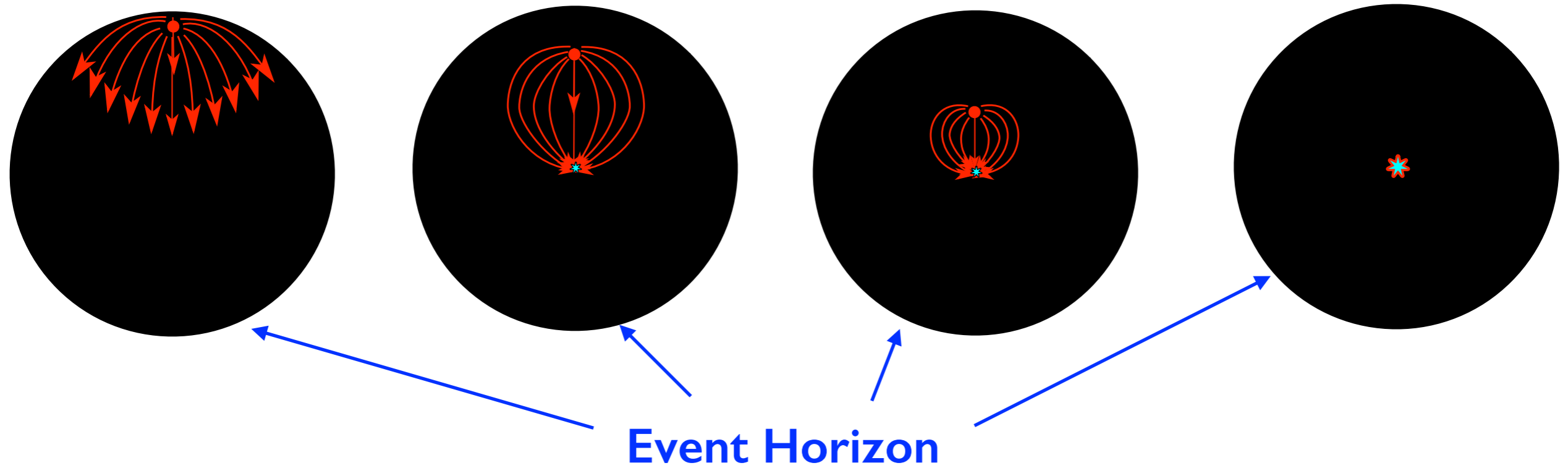
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**Event Horizon**

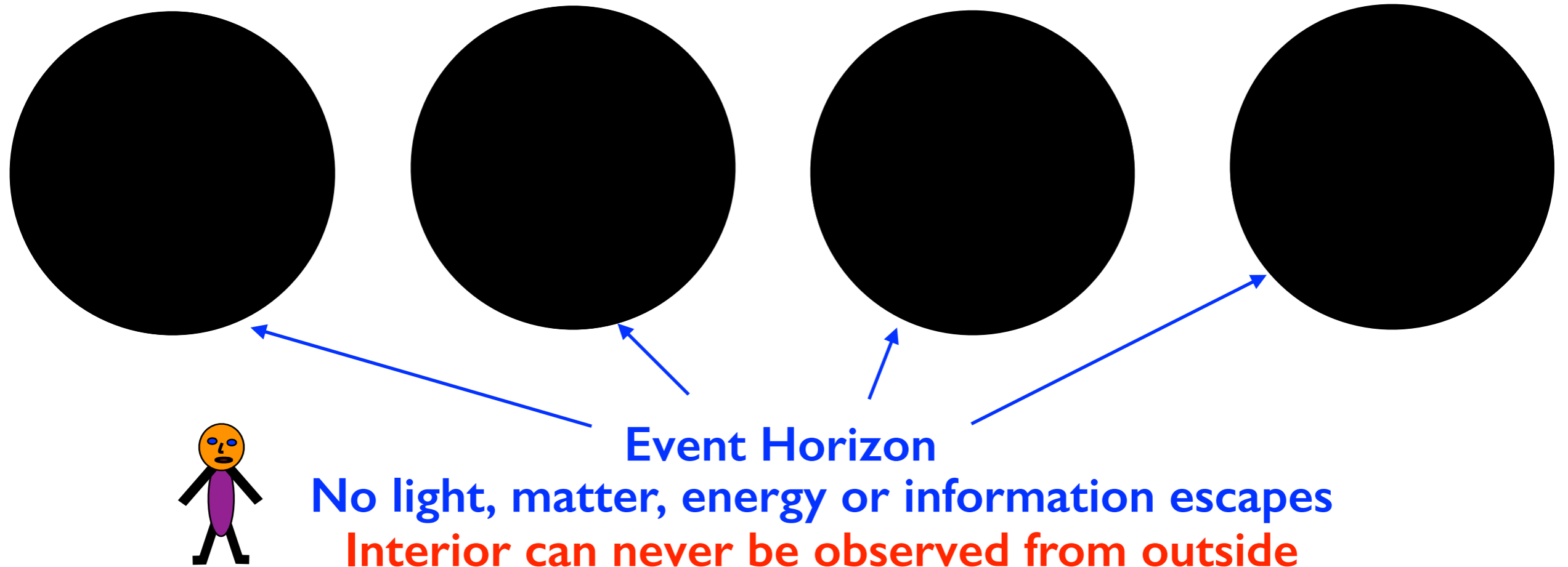
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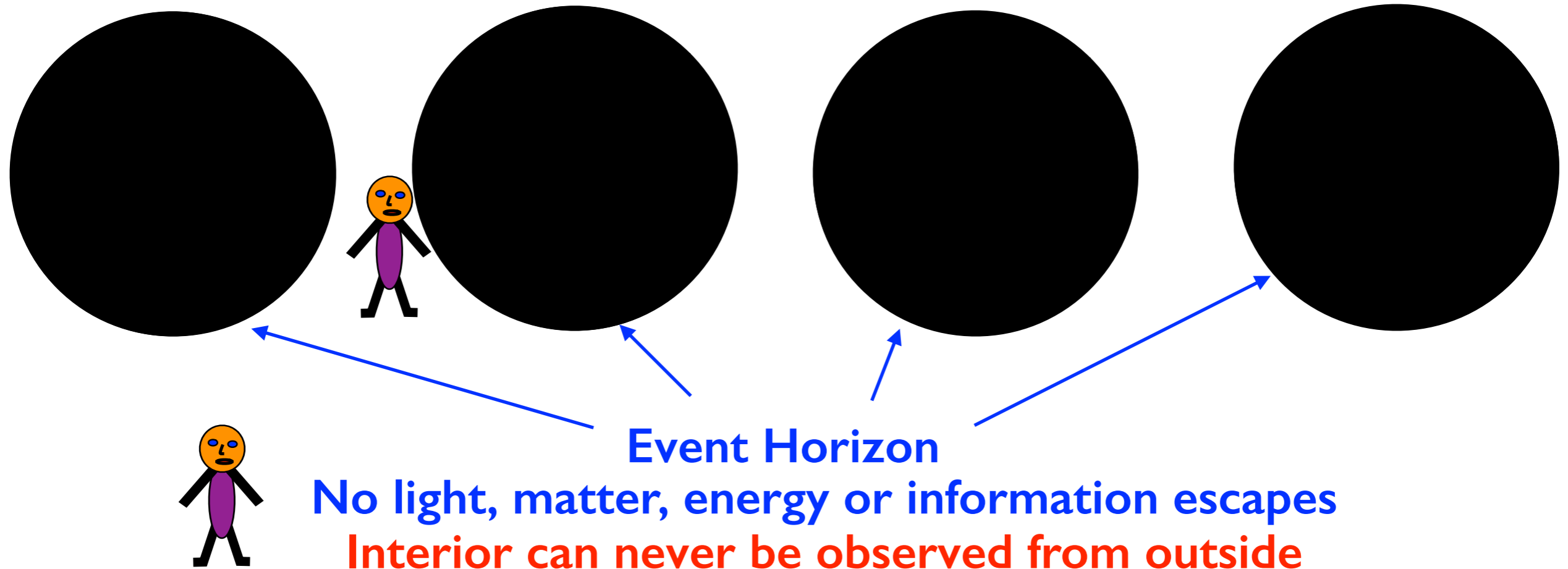
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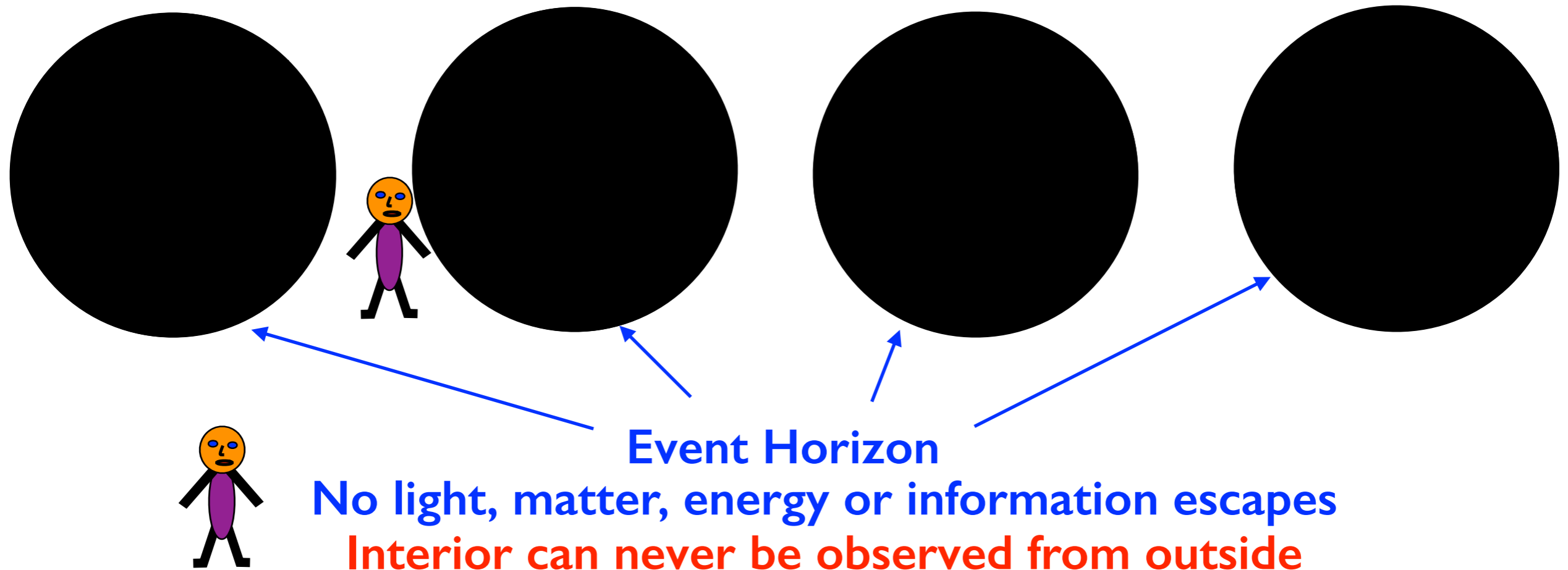


No matter how close you go, you cannot see inside the Event Horizon



# Inside and Outside the Black Hole

Matter and energy falling inside the surface/*event horizon* of a black hole then falls extremely rapidly into a singularity at the center...



No matter how close you go, you cannot see inside the Event Horizon

The event horizon is *almost* featureless

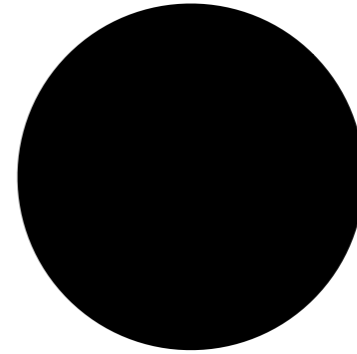
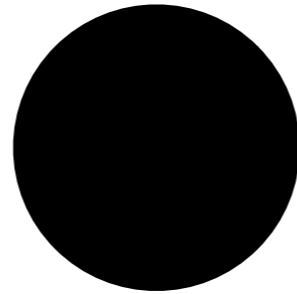
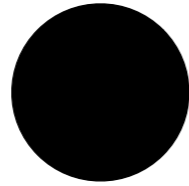
All you can see from outside: **Mass, Charge and Rotation** of the black hole

# Black Hole Uniqueness

Once a black hole forms, all you can measure from outside is

Mass ~ Size

**Radius ~ Mass**

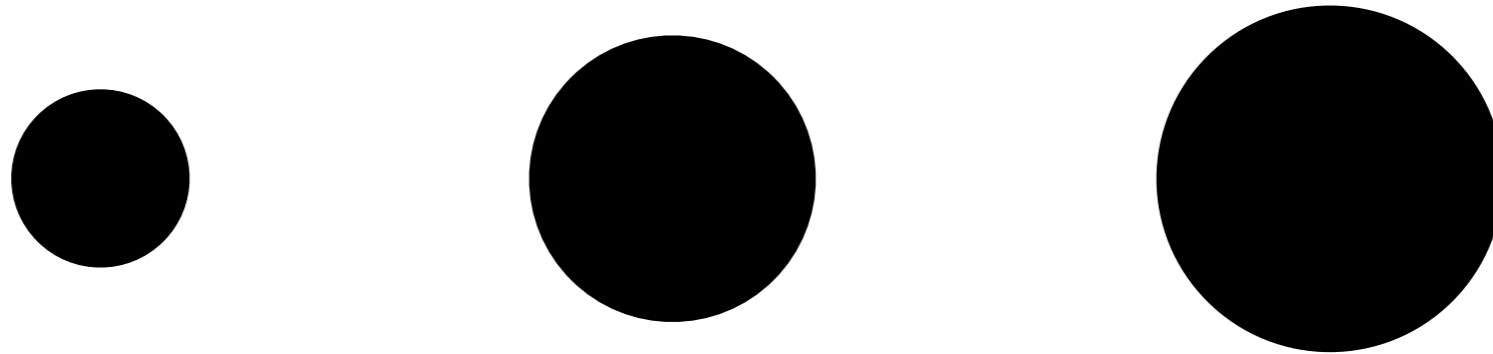


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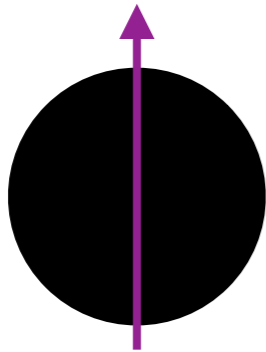
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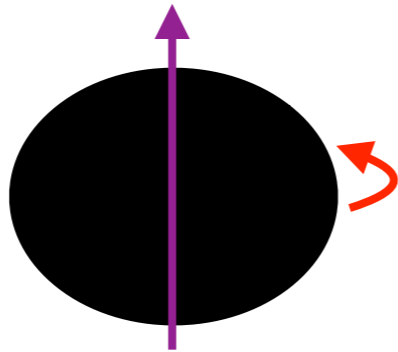


Rotation or Angular Momentum ~ Elliptical bulge at equator

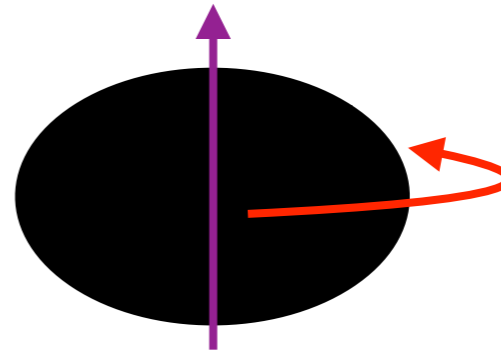
No rotation



Slower



Faster

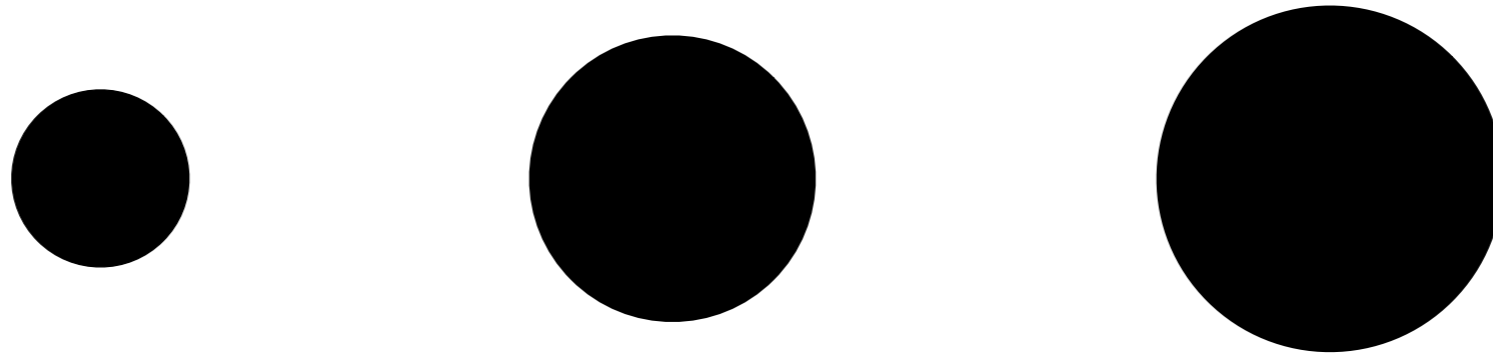


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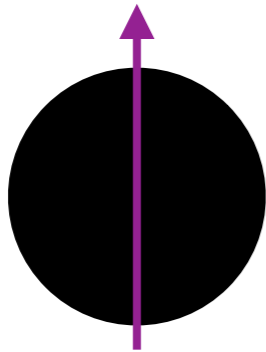
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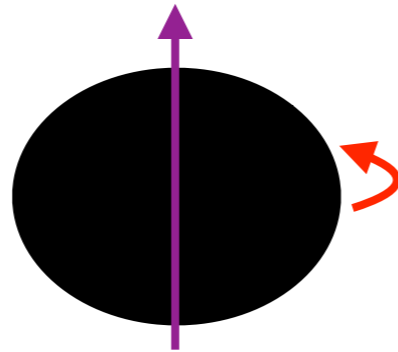


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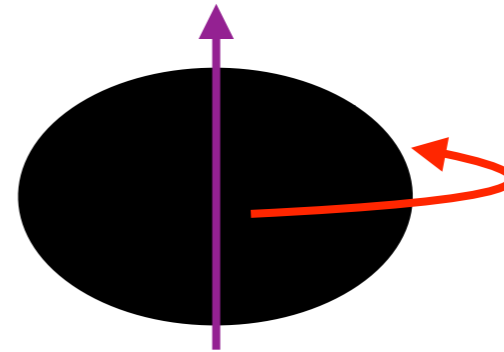
No rotation



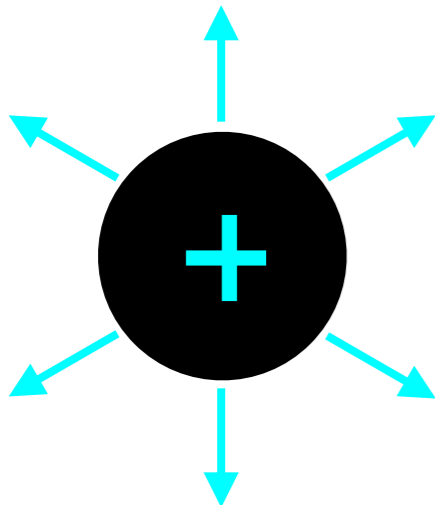
Slower



Faster



Electric Charge

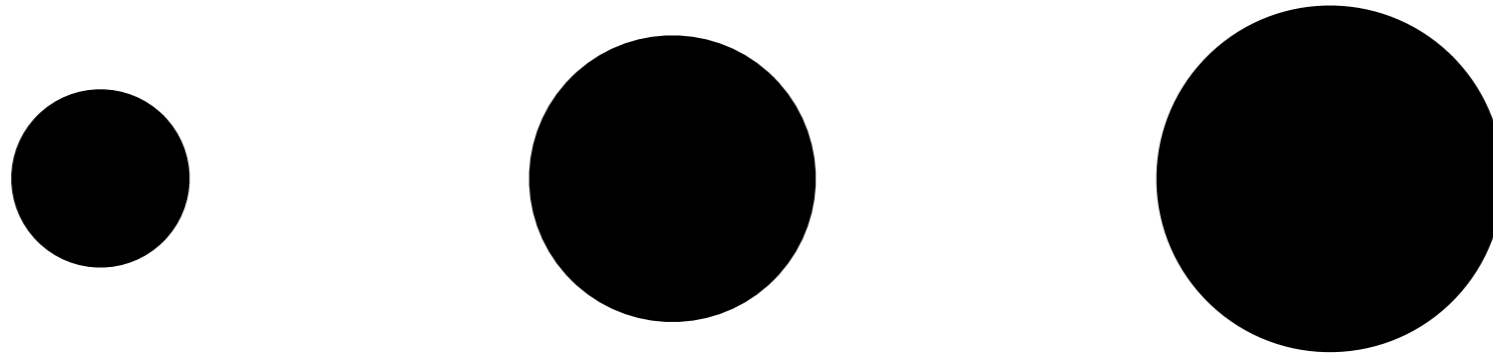


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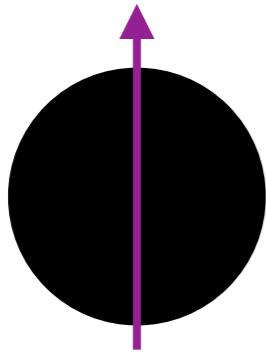
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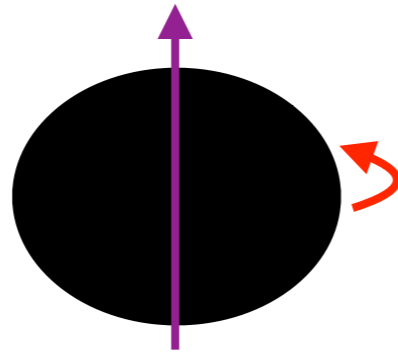


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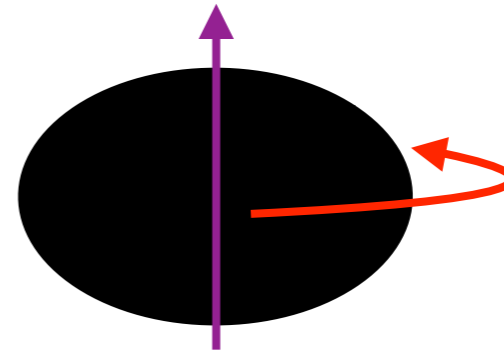
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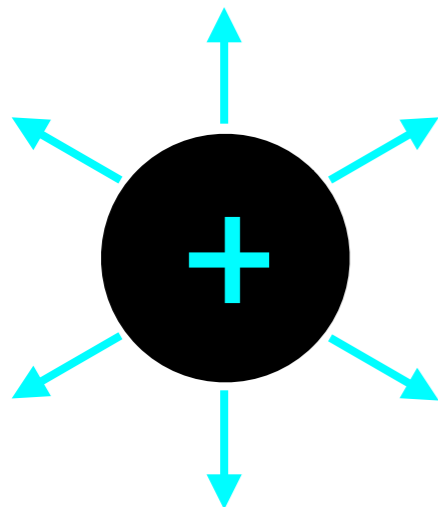
Slower



Faster



Electric Charge



*No matter what goes into the black hole, the horizon has a simple bulging, elliptical shape with no other features whatsoever.*



Black holes are made by compressing matter/energy to extreme densities ...

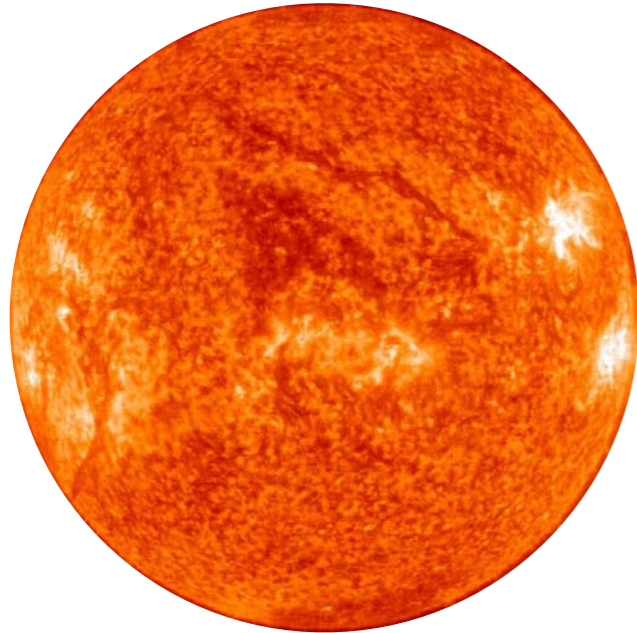
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Whatever the ingredients, the resulting black holes are indistinguishable:

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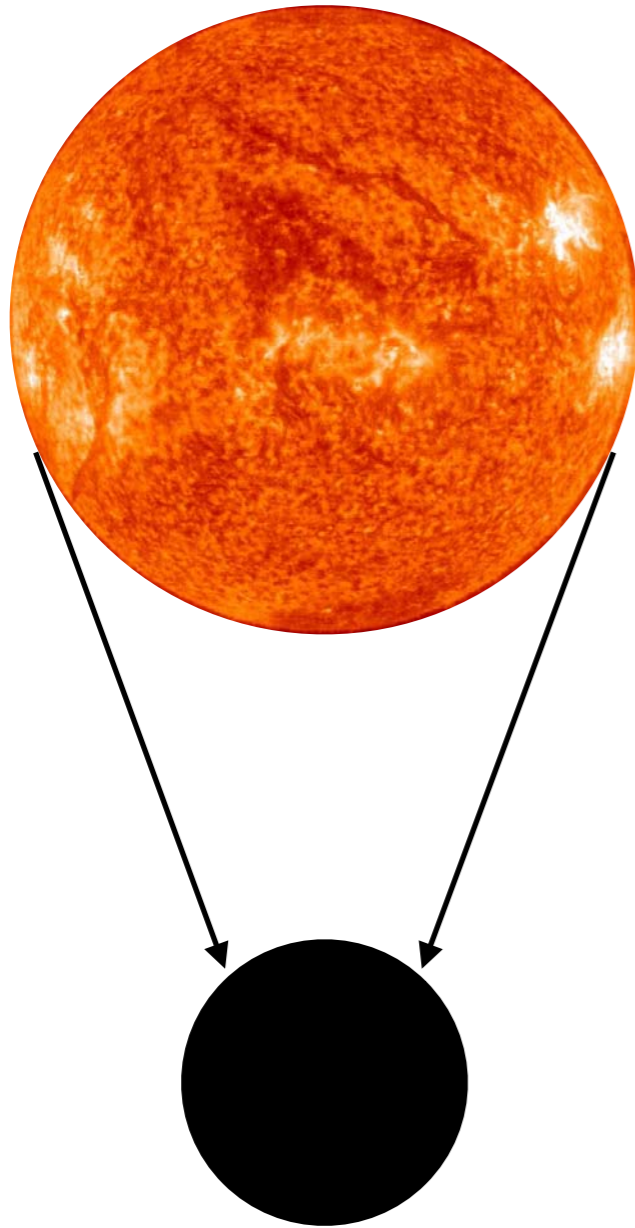
A star



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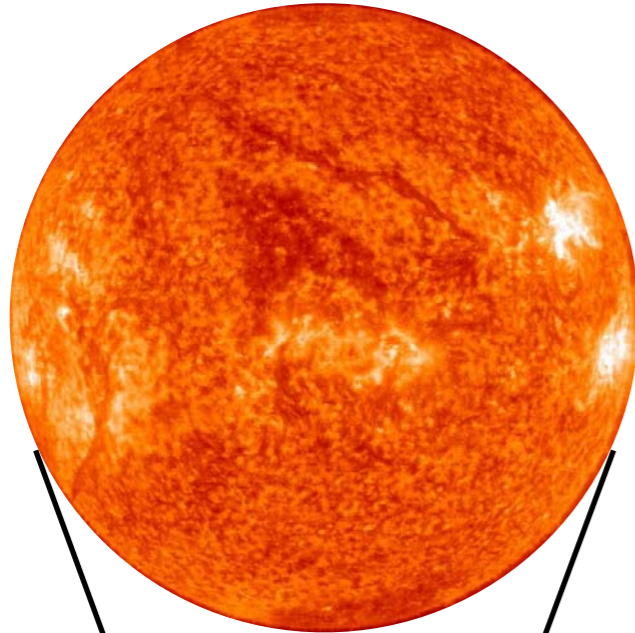
A star



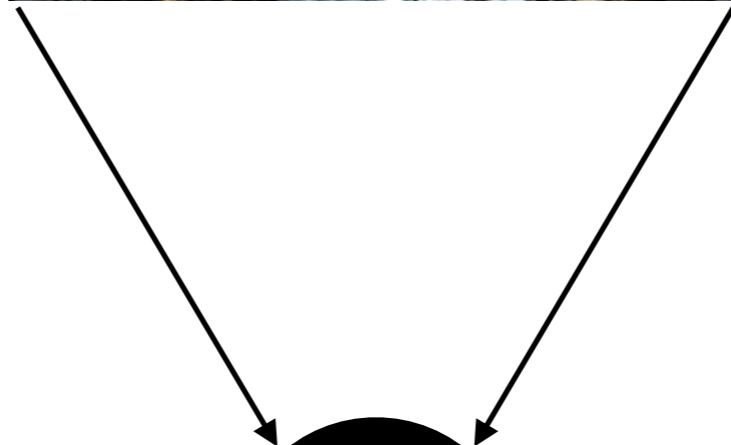
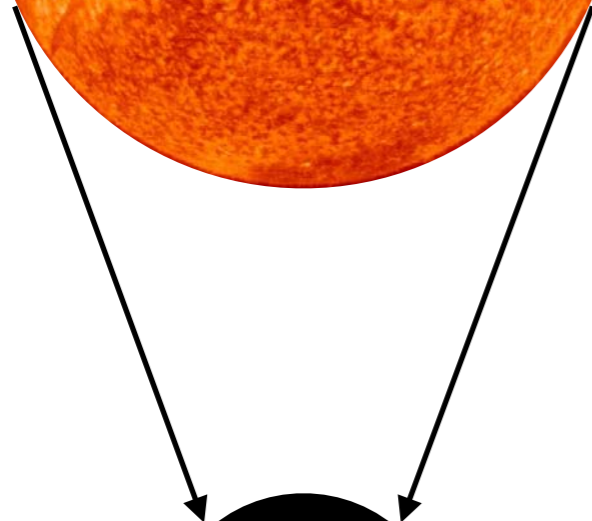
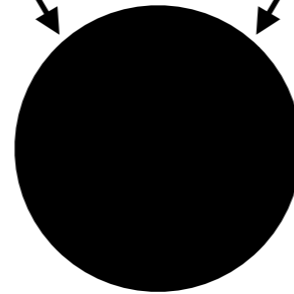
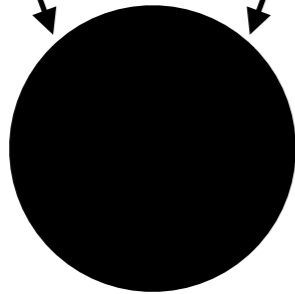
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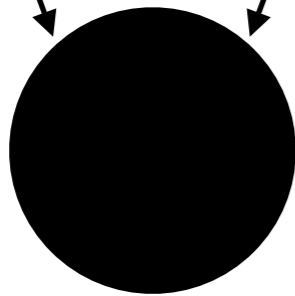
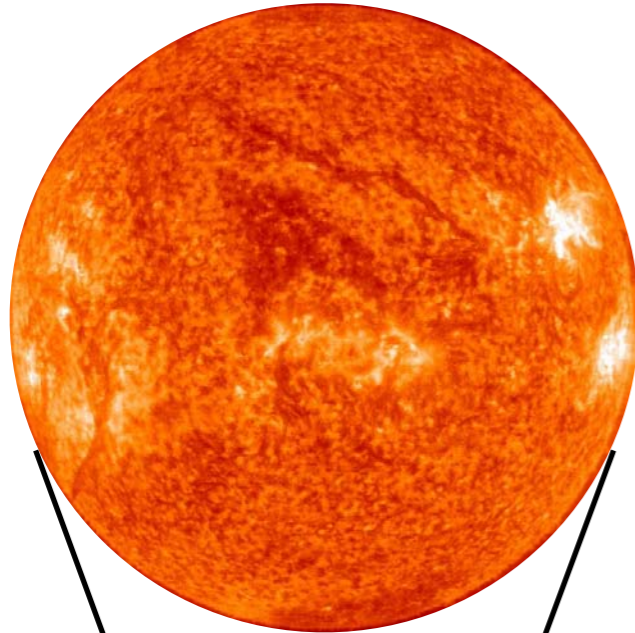
Trash



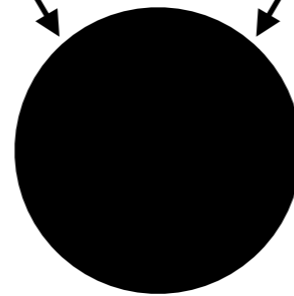
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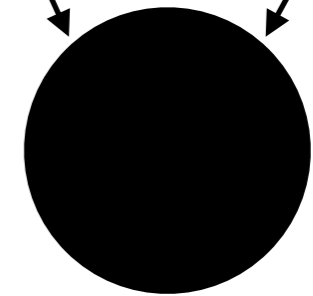
A star



Trash



Politicians

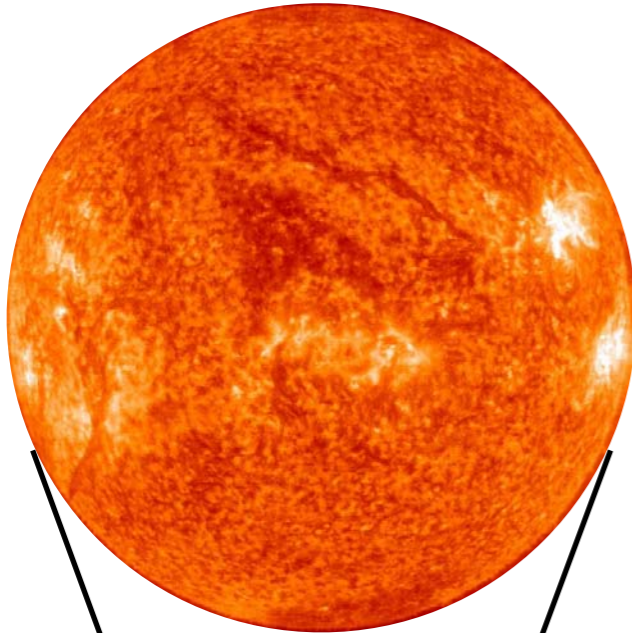




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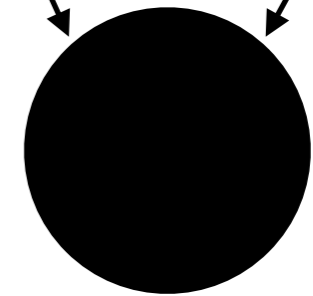
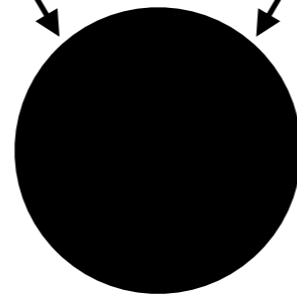
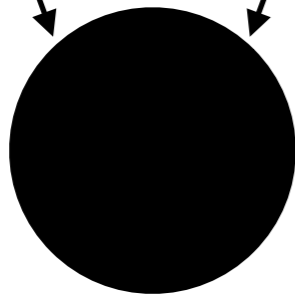
A star



Trash



Politicians



If the **star**, **trash** and **politicians** have the same mass, charge and rotation then *the black holes are utterly indistinguishable from outside*

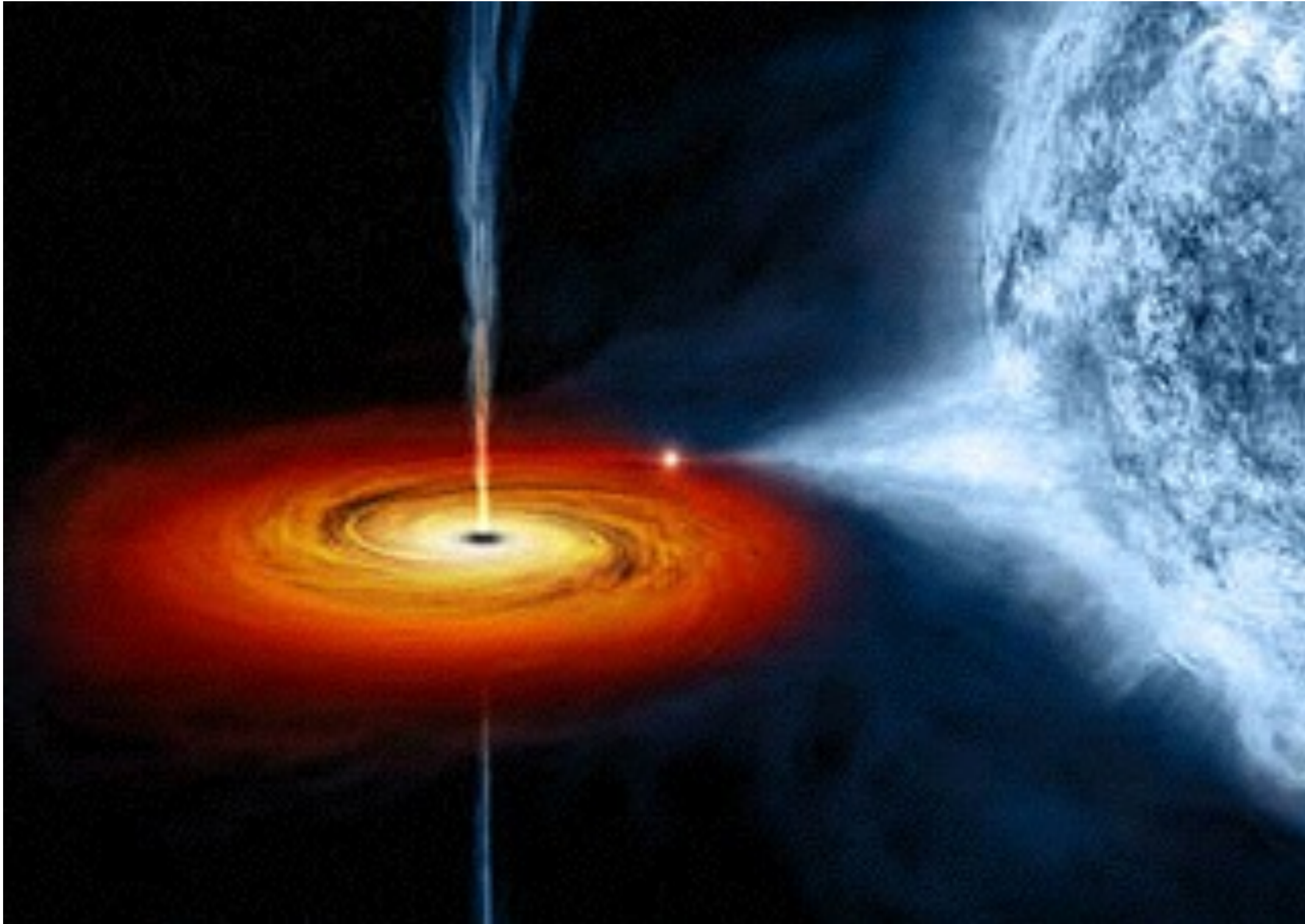
How do we see/detect black holes?

# How do we see/detect black holes?

Black and featureless suggests this is impossible ...

## “Seeing” Black Holes in Nature

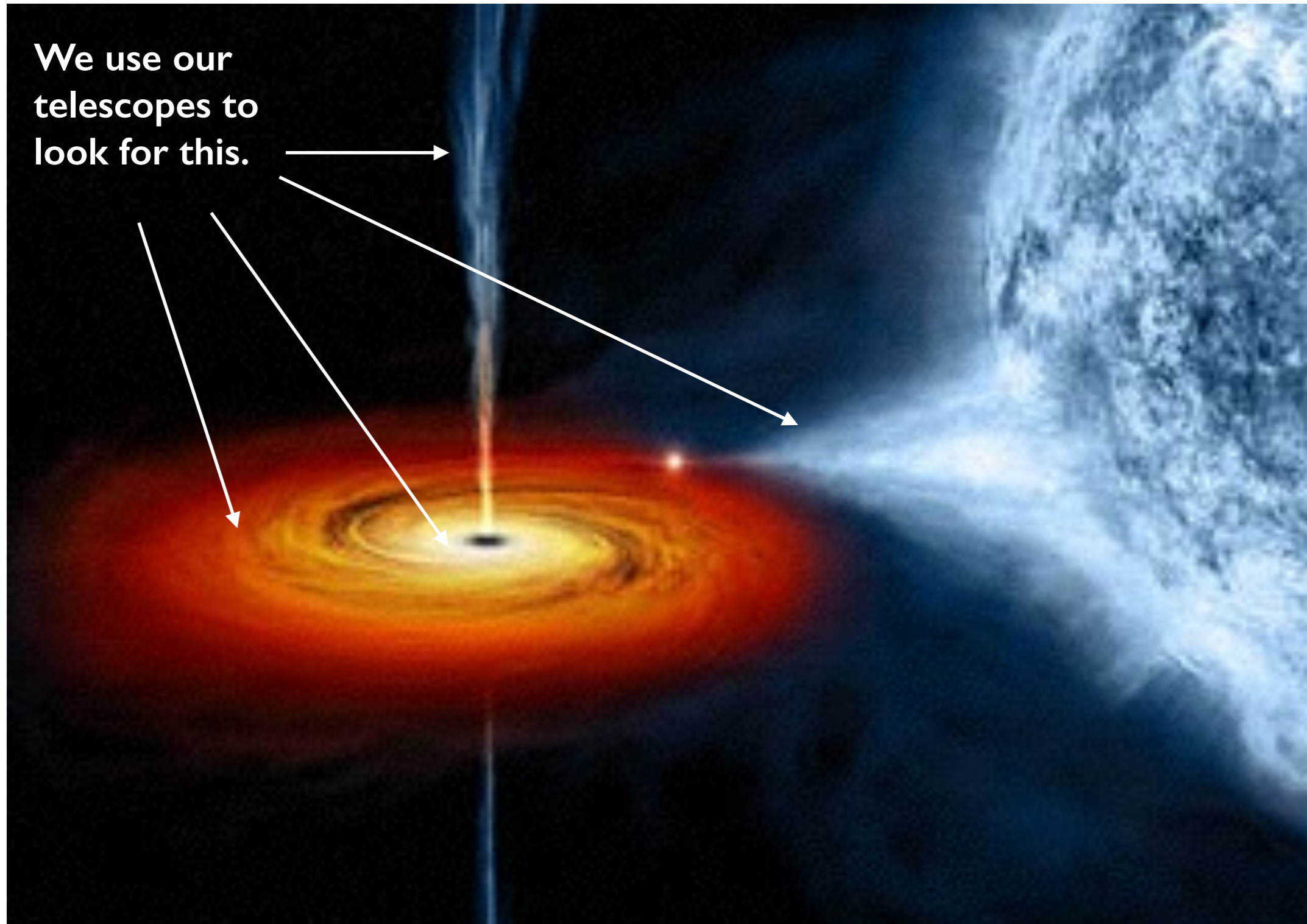
We detect black holes through their effects on surrounding matter. Smaller black holes can attract and heat up matter from nearby stars





# “Seeing” Black Holes in Nature

We detect black holes through their effects on surrounding matter. Smaller black holes can attract and heat up matter from nearby stars



# Larger black holes in the cores of galaxies disrupt and swallow whole stars

**Black Hole**



*Credits: NASA's Goddard Space Flight Center*



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Larger black holes in the cores of galaxies disrupt and swallow whole stars

*This is visible across the universe!*

*Credits: NASA's Goddard Space Flight Center*

# Simulations and visualizations of black holes based on General Relativity





# Simulations and visualizations of black holes based on General Relativity



## The real universe



There is now overwhelming evidence that there are black holes in Nature...



# 1974: Hawking Radiation. A new incarnation of black holes

*How does quantum mechanics change our picture of black holes?*

**Stephen  
Hawking**



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**Hawking:** quantum mechanics implies that black holes actually emit radiation...



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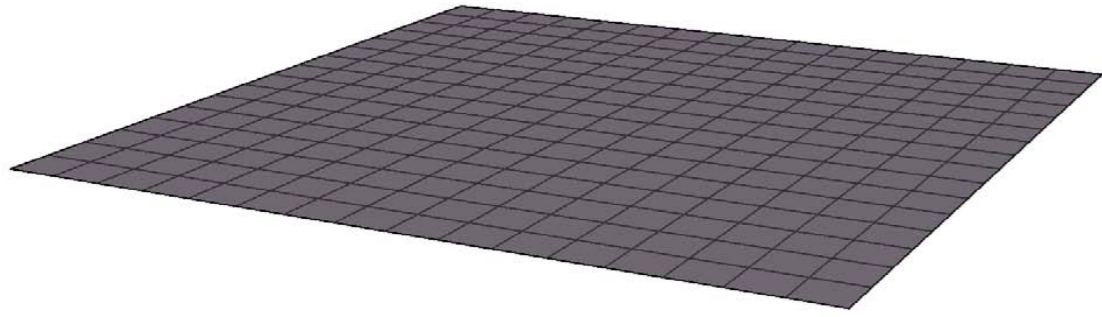
**Hawking:** quantum mechanics implies that black holes actually emit radiation...

To understand how such **Hawking radiation** is generated, one must understand that quantum mechanics completely changes the idea of the vacuum of empty space ...

# The vacuum of empty space

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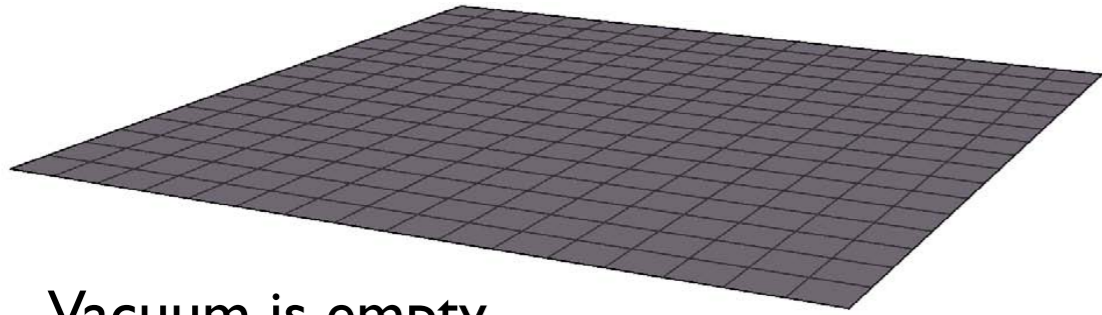
General Relativity





# The vacuum of empty space

General Relativity

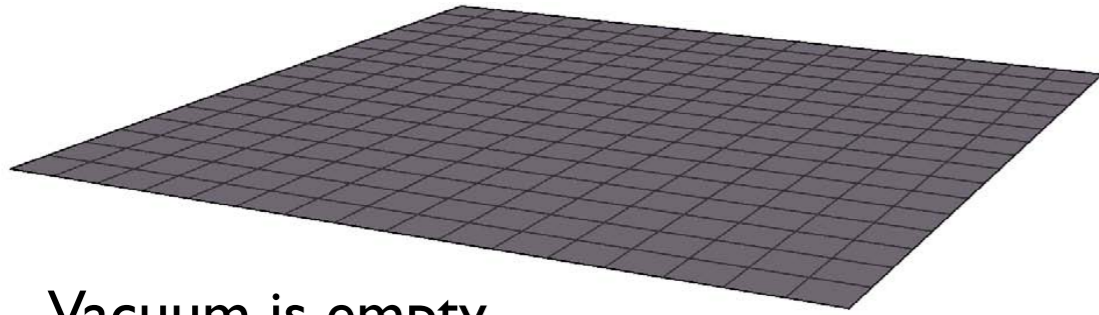


Vacuum is empty.

Vacuum has zero energy

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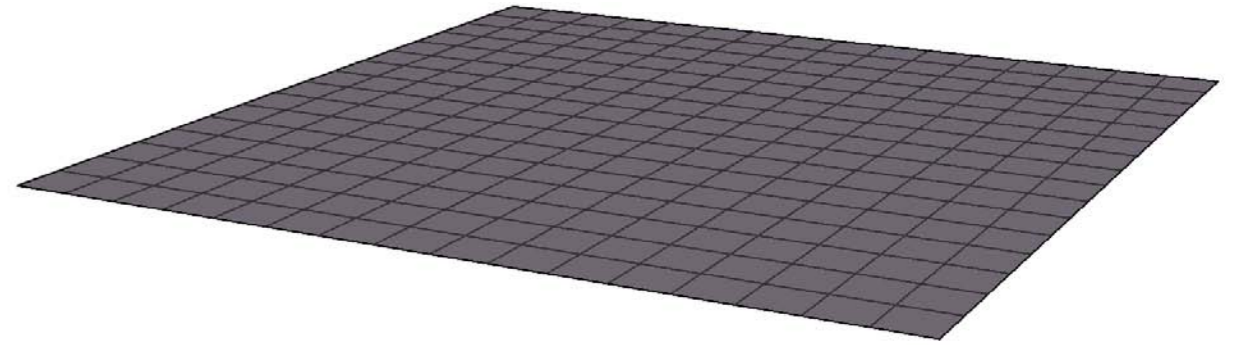
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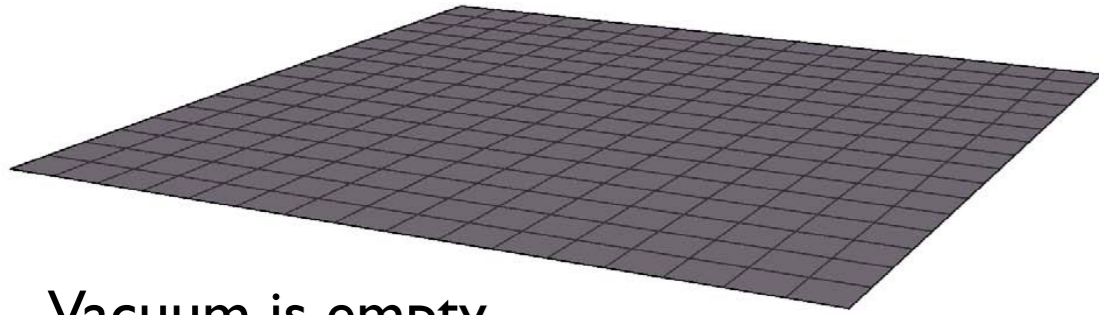
Quantum mechanics



**Quantum fluctuations:** *The Vacuum involves particle and anti-particles momentarily appearing and disappearing borrowing energy for a duration that is consistent with the Heisenberg uncertainty principle*

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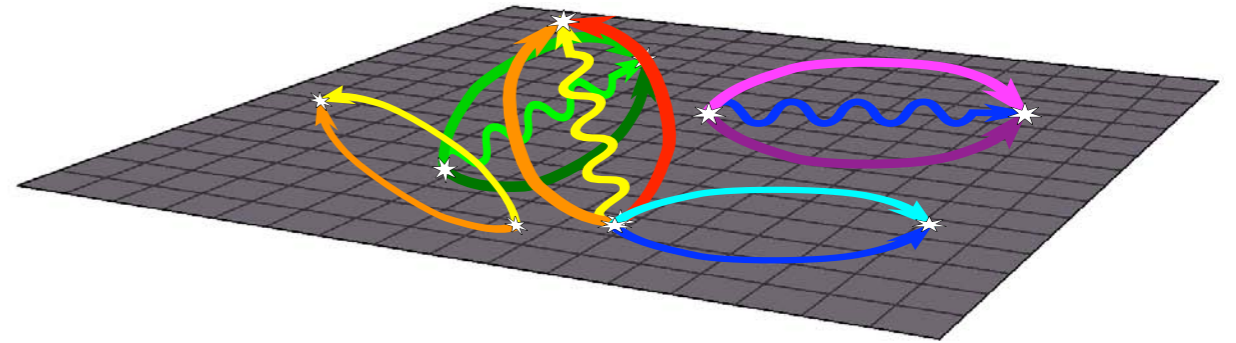
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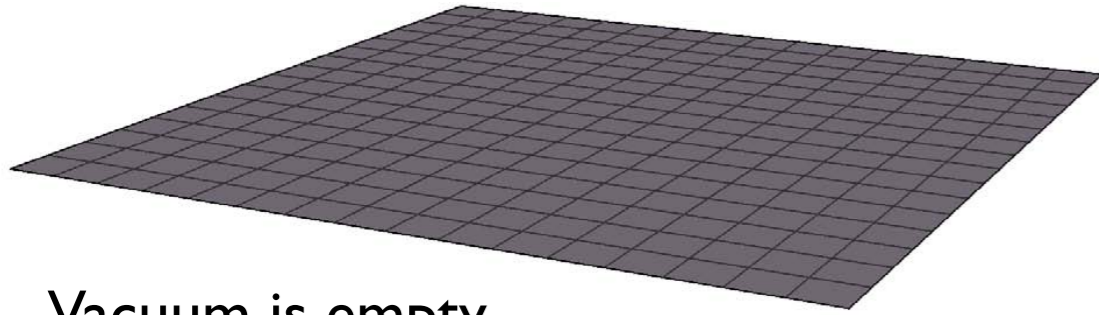
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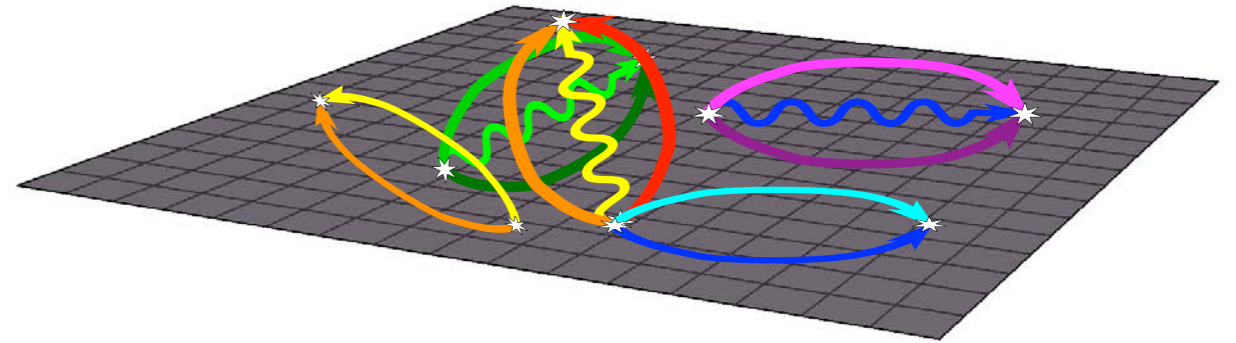
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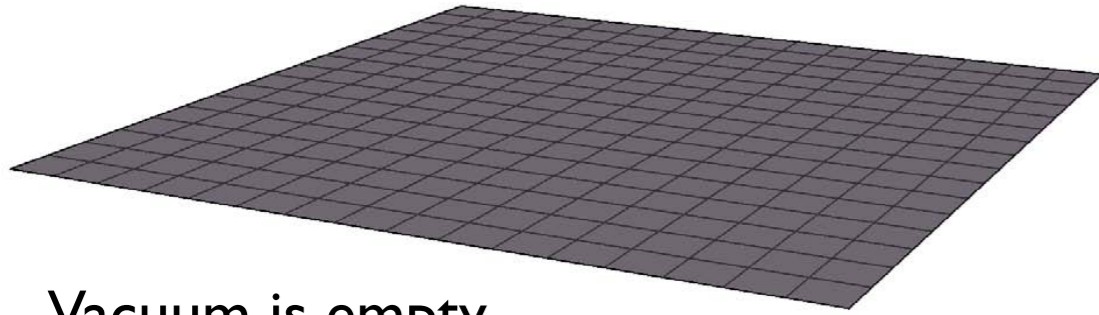
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**Quantum fluctuations:** The *Vacuum* involves particle and anti-particles momentarily appearing and disappearing borrowing energy for a duration that is consistent with the Heisenberg uncertainty principle Vacuum has zero energy *on average!*

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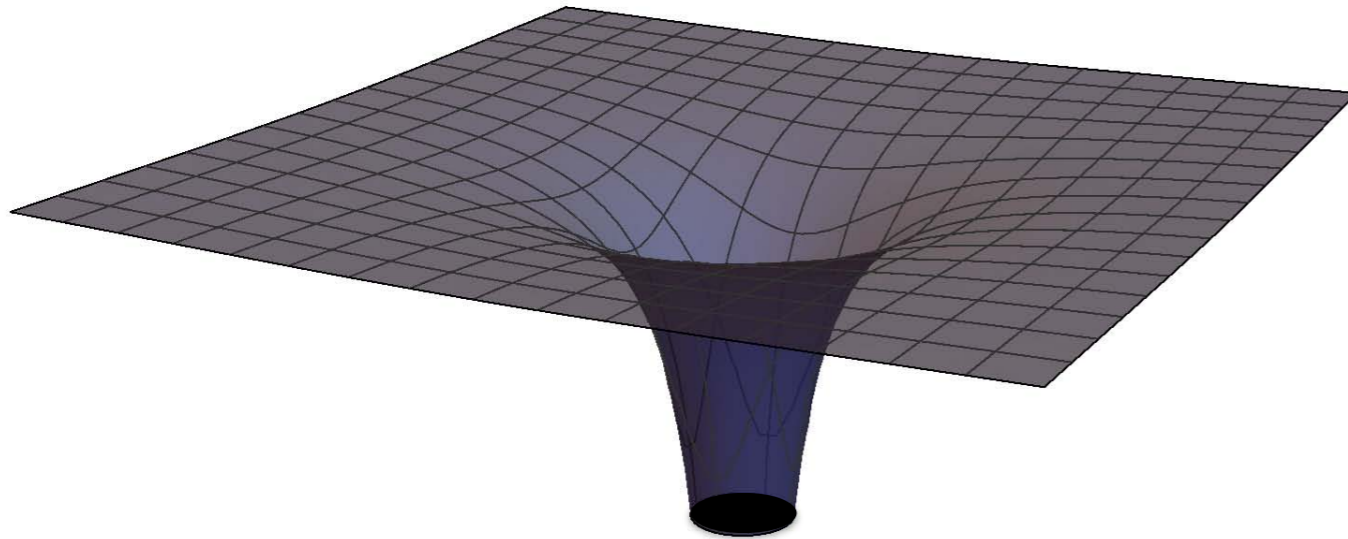
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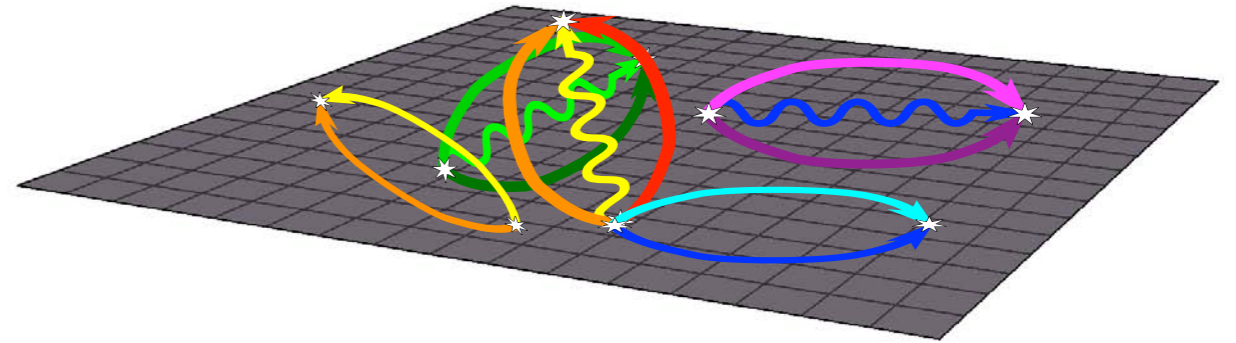
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Hawking's idea:



Quantum mechanics

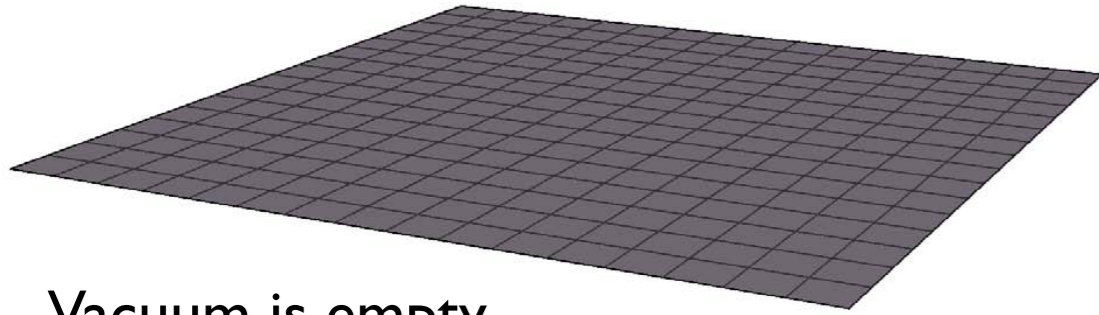


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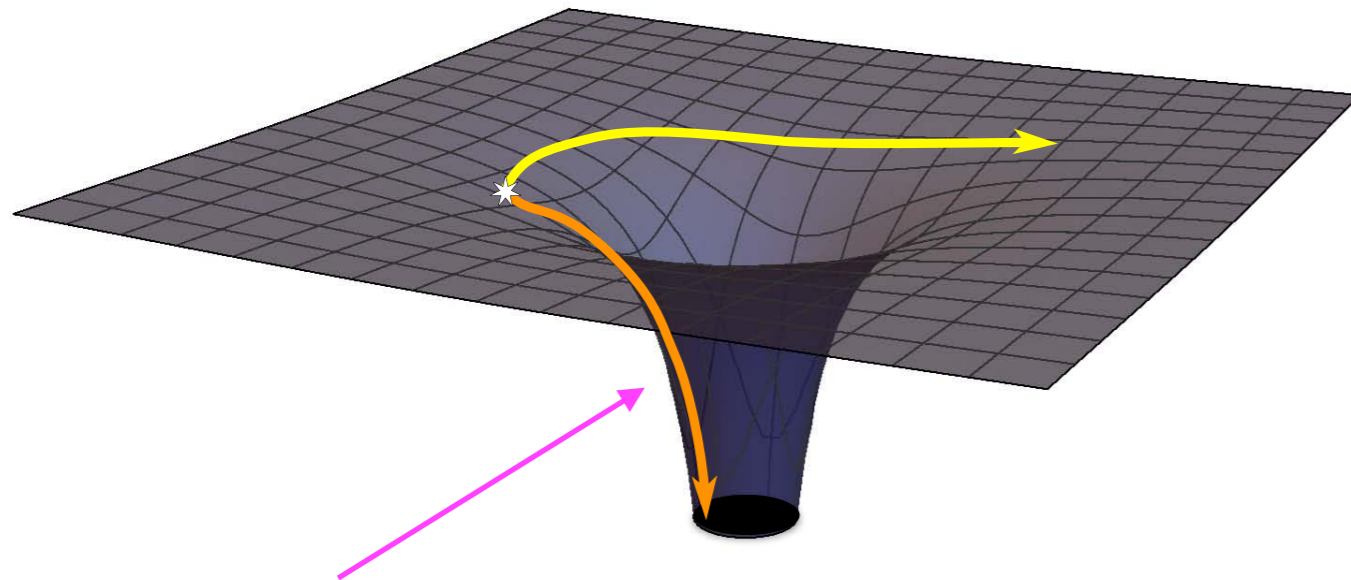
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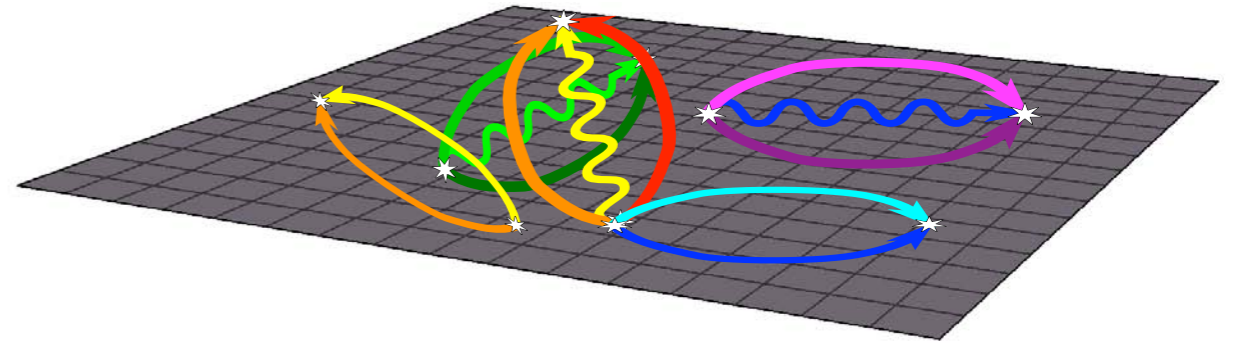
**Hawking's idea:**



Suppose one of these evanescent particle partners falls into a black hole ...

*never to return!*

Quantum mechanics

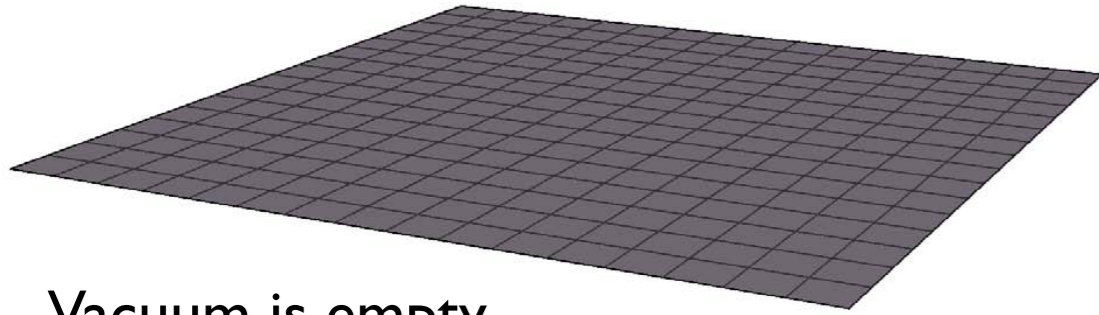


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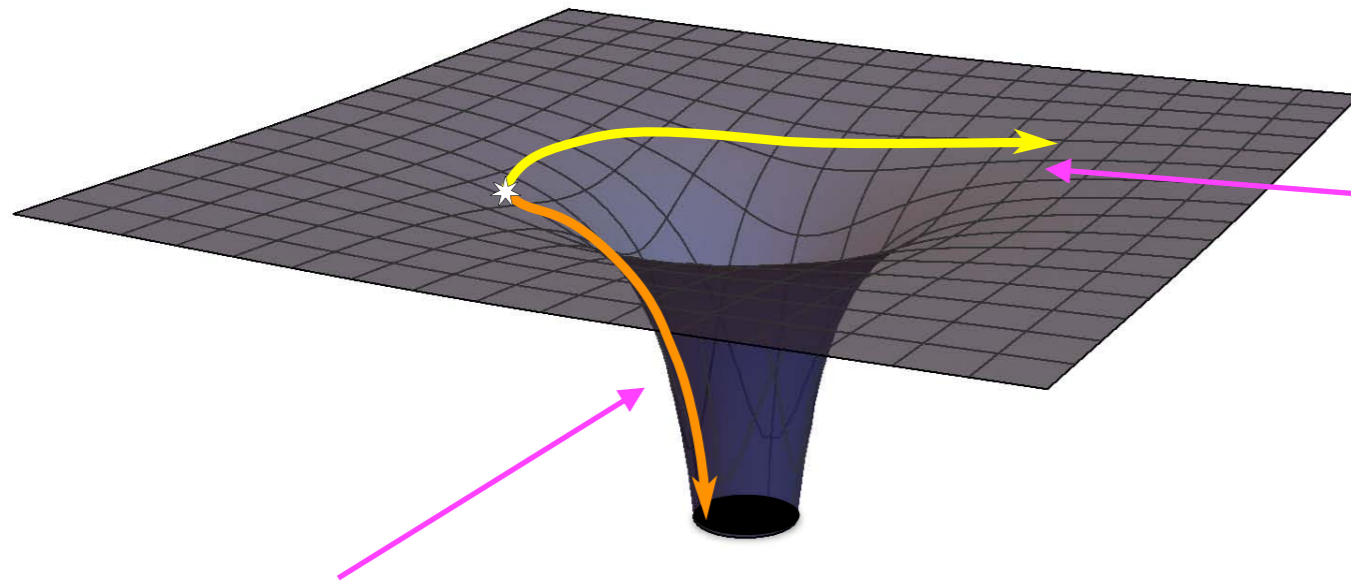
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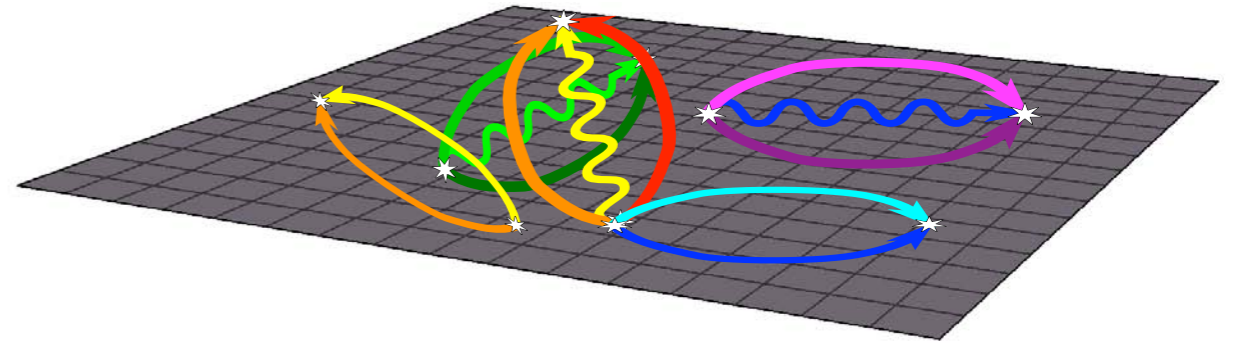
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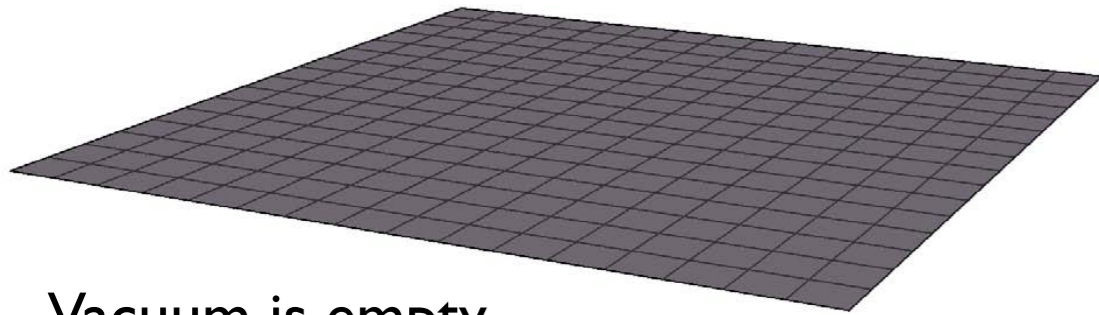


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Then its partner can escape to infinity as Hawking radiation

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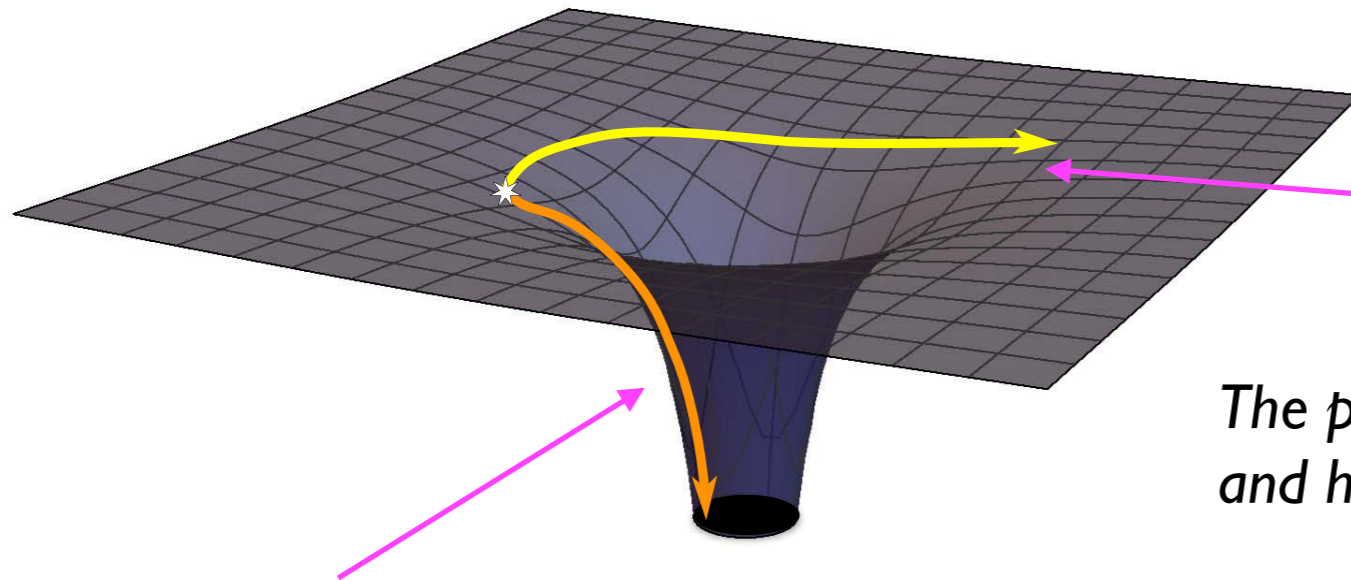
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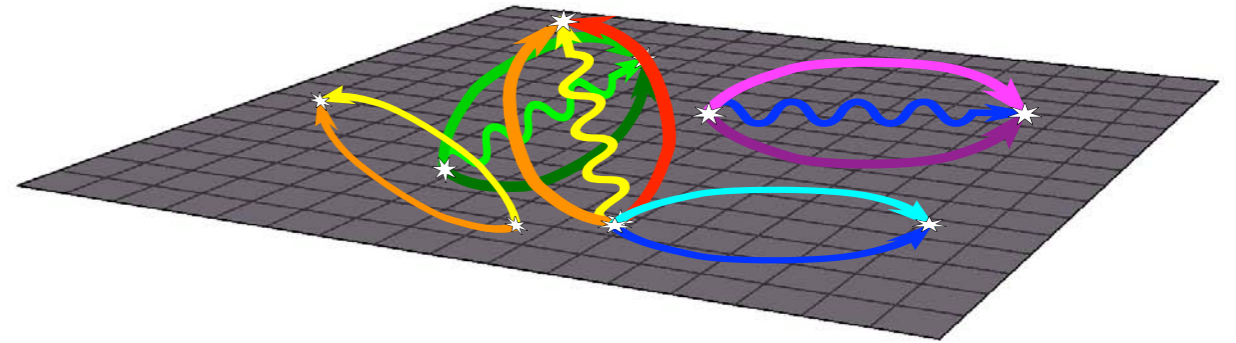
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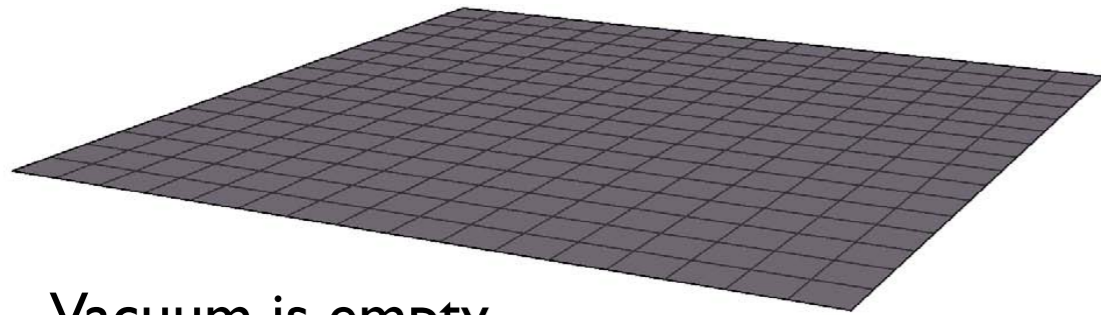
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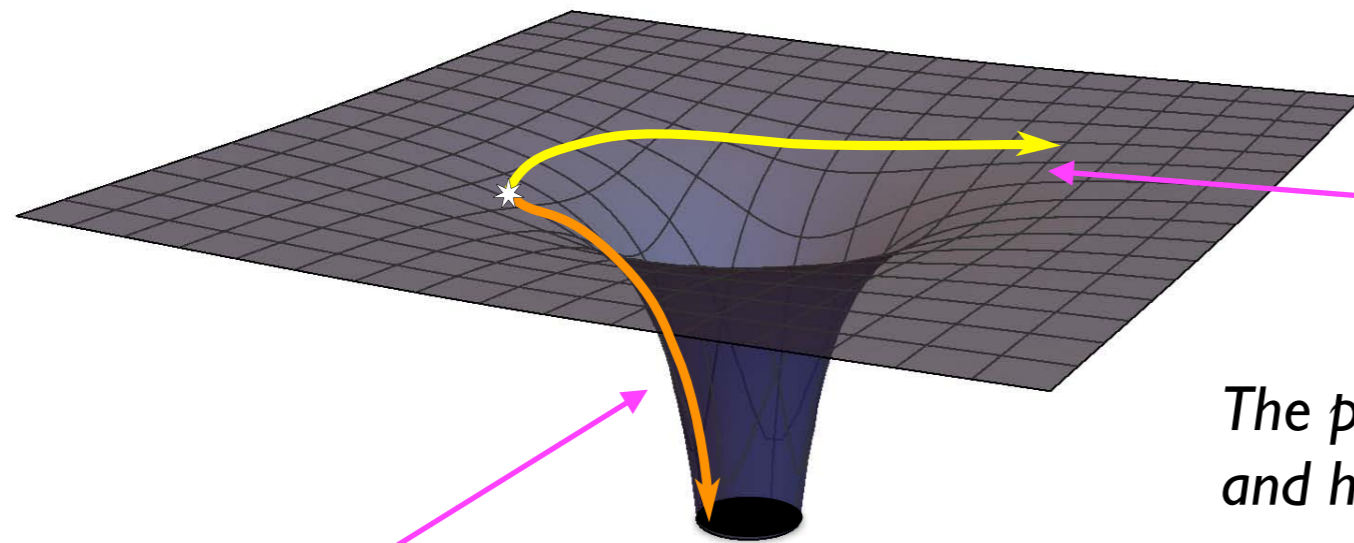
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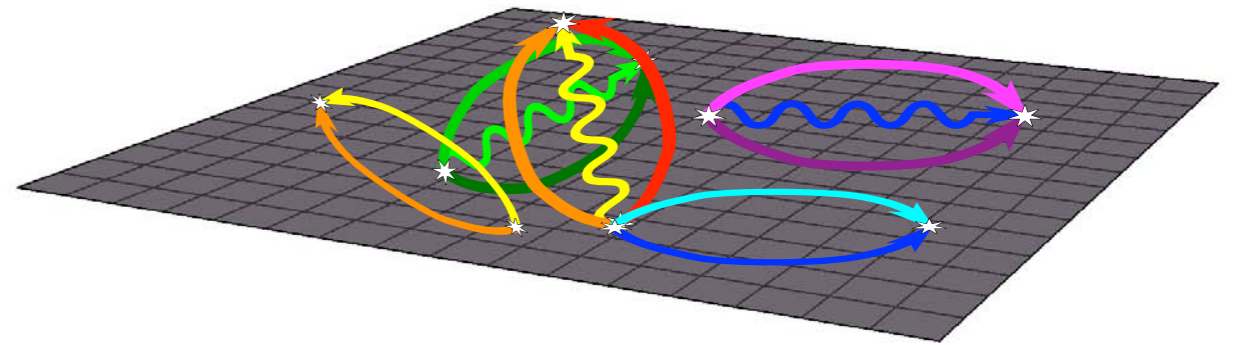
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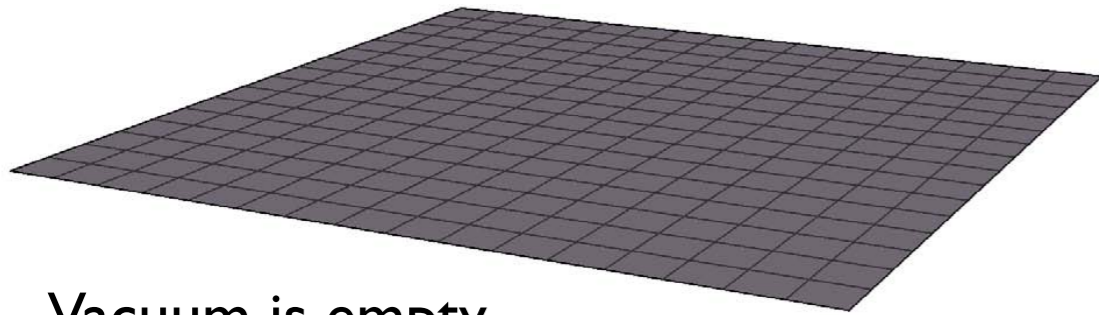
*The particle escaping to infinity is real and measurable and has positive energy ....*

*The particle falling into the black hole has **negative energy(!)** and decreases the mass of the black hole.*



# The vacuum of empty space

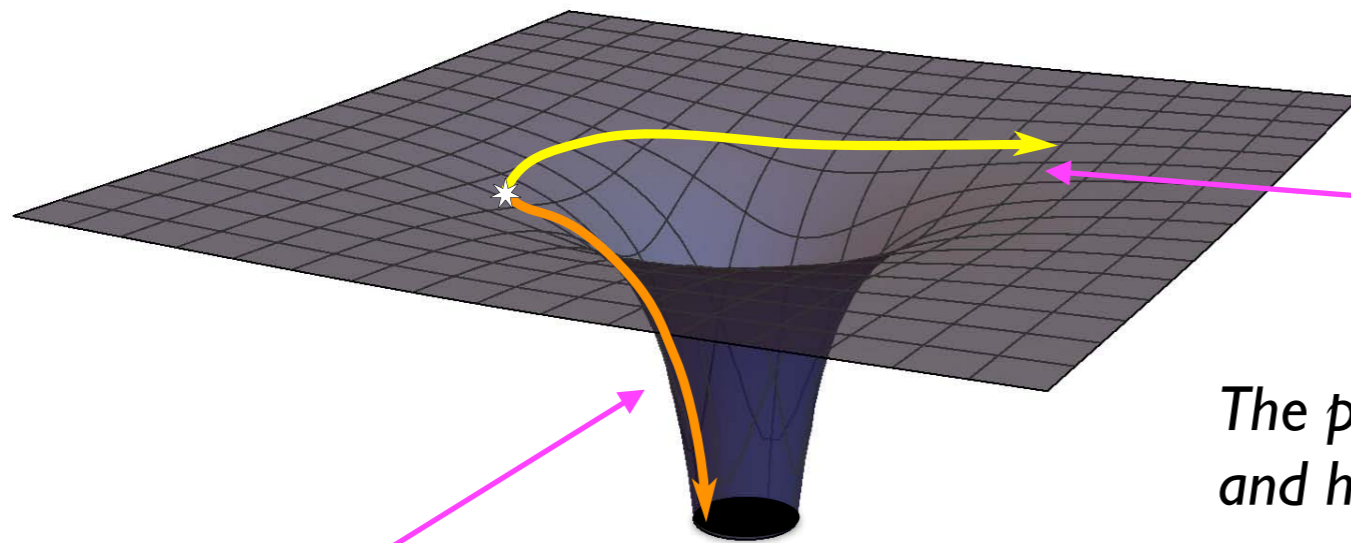
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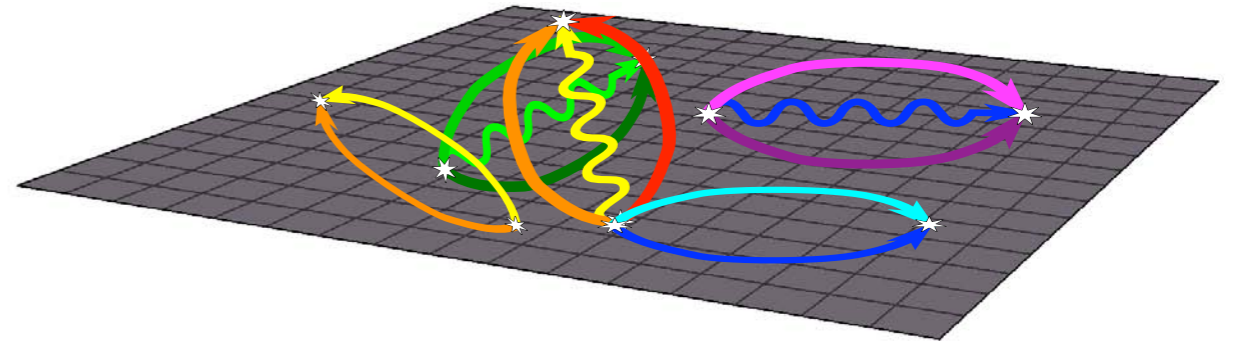
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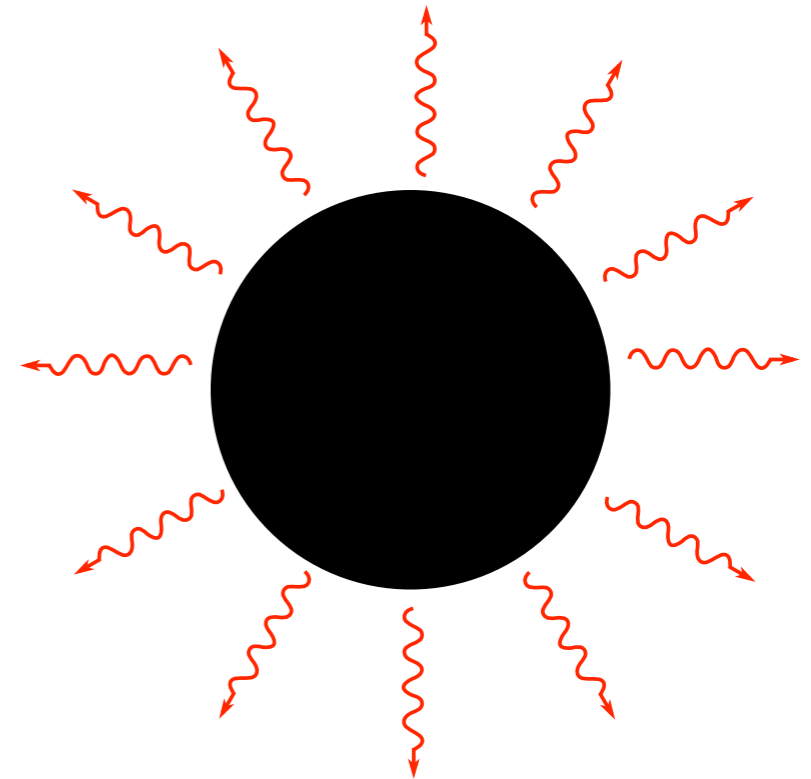
*Hawking radiation emerges from **quantum fluctuations** near the black hole **and draws energy** from the black hole*

# Hawking Radiation from a black hole



## Hawking Radiation from a black hole

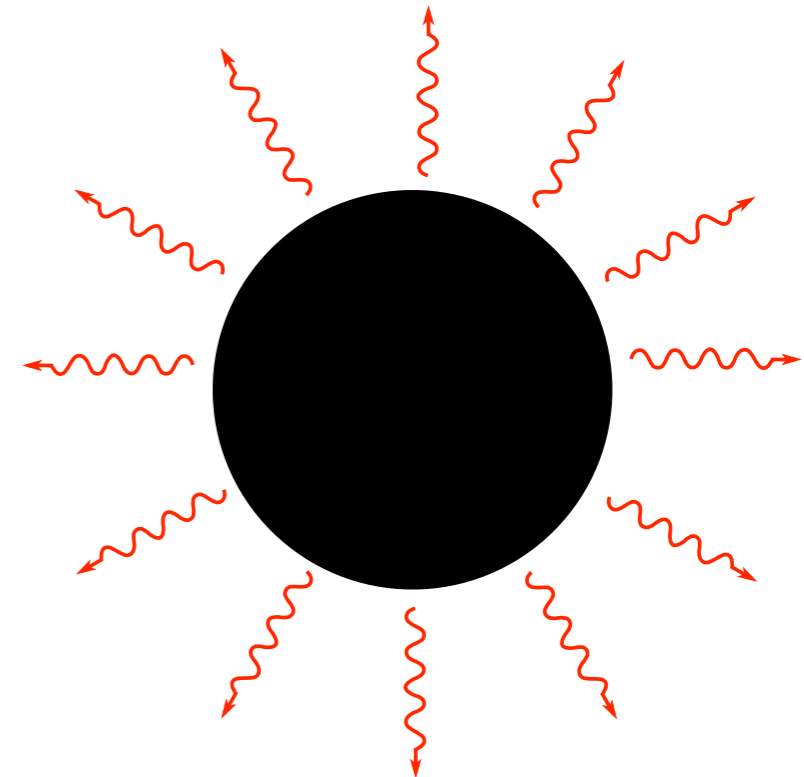
Hawking radiation from a black hole is exactly like radiation from a normal “hot” body  $\Rightarrow$  black holes have a **Hawking temperature**



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Hawking radiation takes energy from the black hole  
 $\Rightarrow$  the black hole slowly loses mass/energy



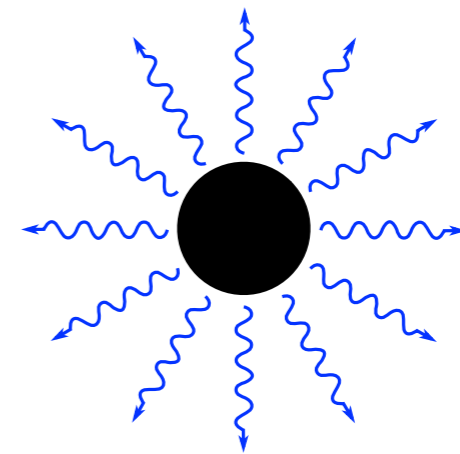
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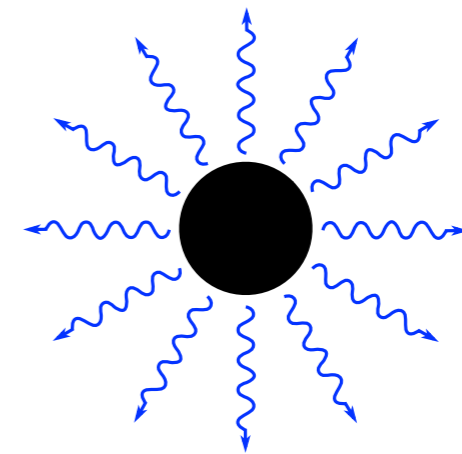


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Hawking Temperature: **Smaller black holes are hotter!**

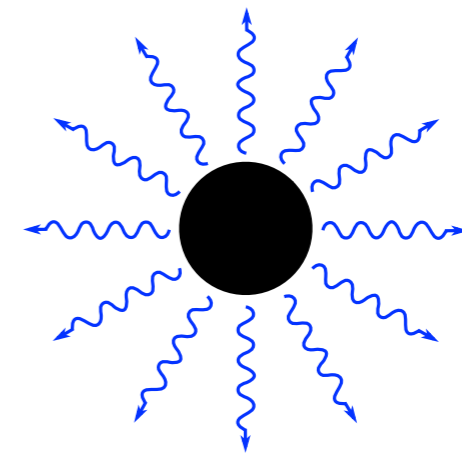


## Hawking Radiation from a black hole

Hawking radiation from a black hole is exactly like radiation from a normal “hot” body  $\Rightarrow$  black holes have a **Hawking temperature**

Hawking radiation takes energy from the black hole  
 $\Rightarrow$  the black hole slowly loses mass/energy  
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Hawking Temperature: **Smaller black holes are hotter!**  
 $\Rightarrow$  as the black hole gets smaller it loses mass/energy even faster...





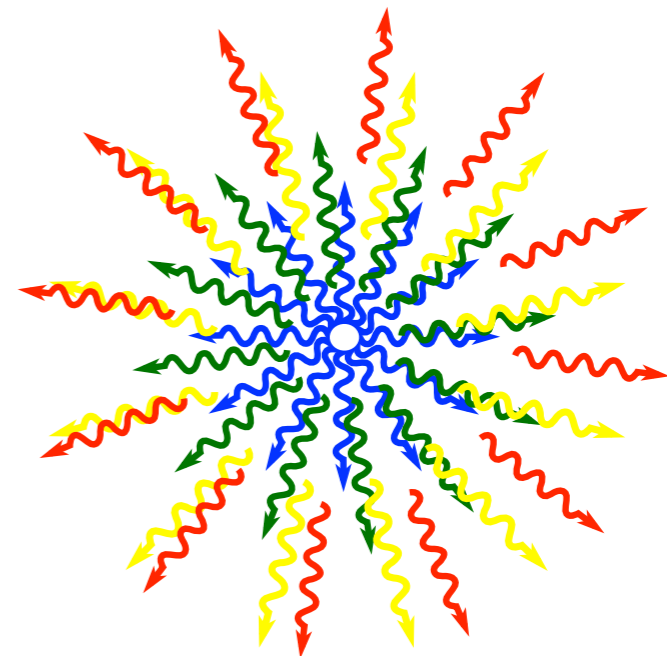
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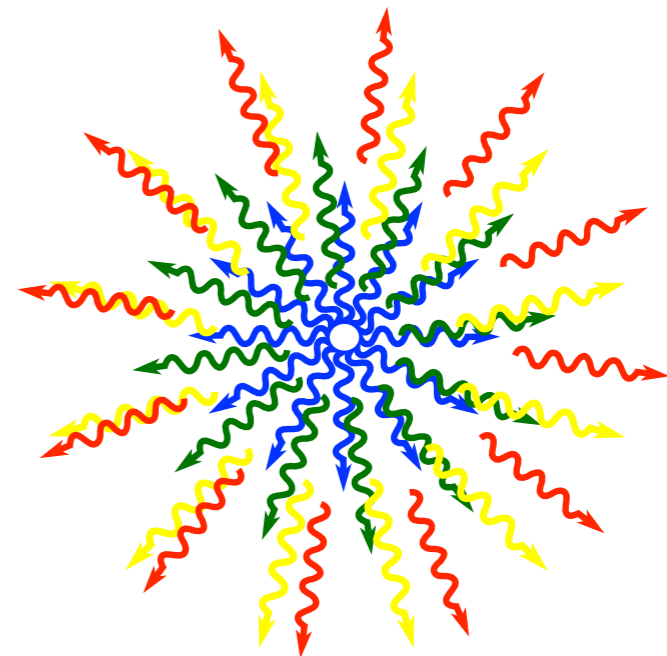
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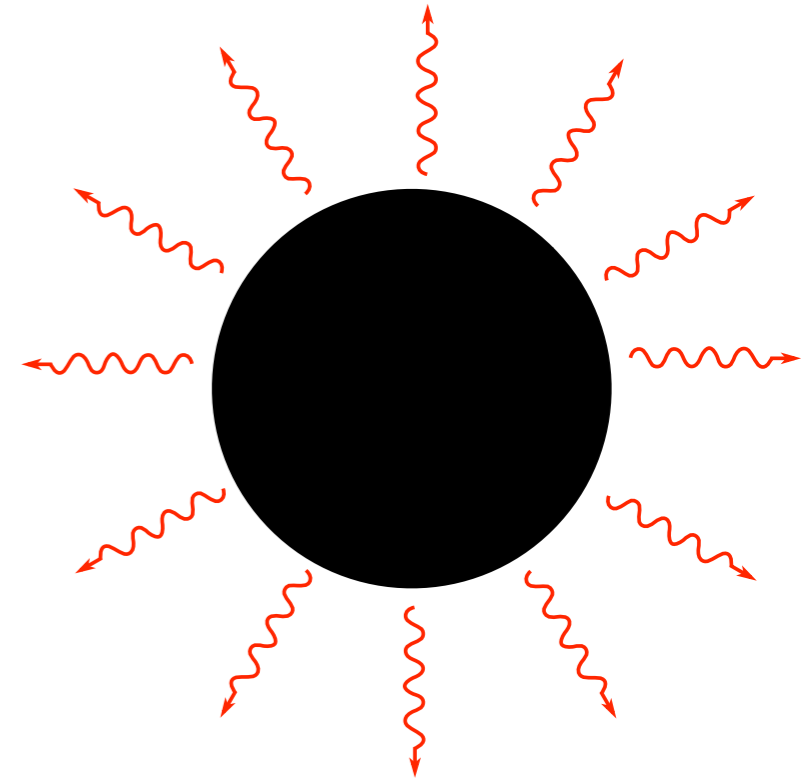
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*Leaving behind lots of **Hawking radiation***

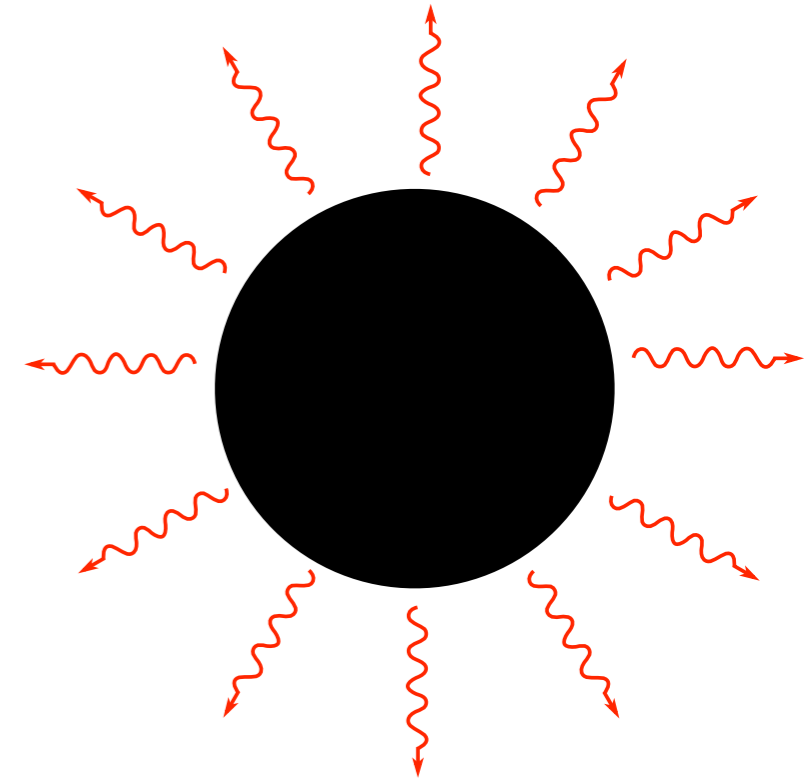


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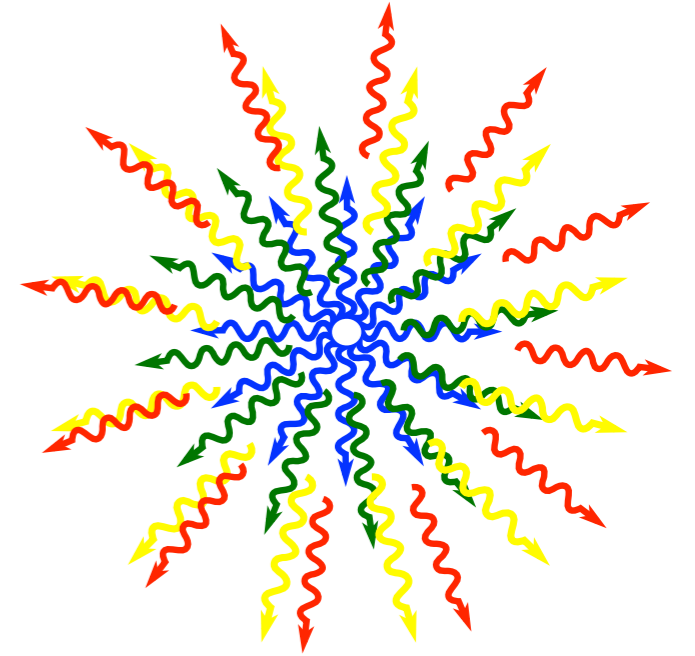
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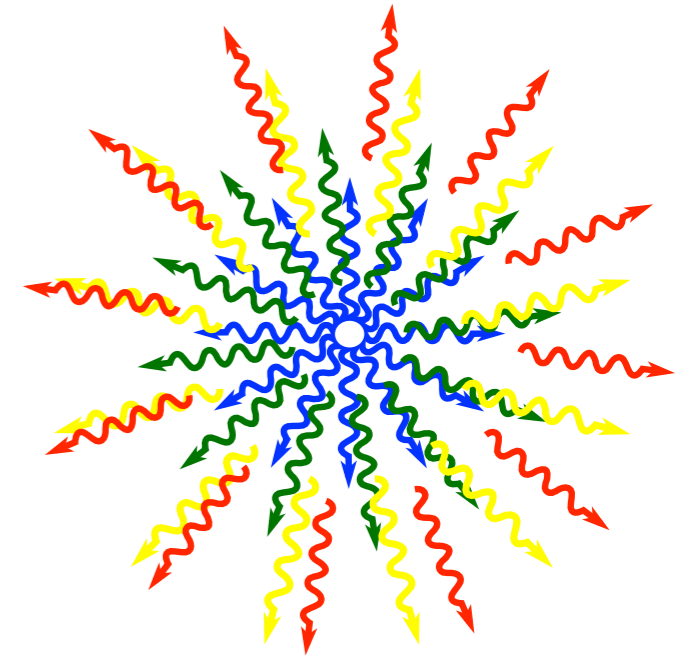
***10,000,000,000,000,000,000,000,000,  
000,000,000,000,000,000,000,000,  
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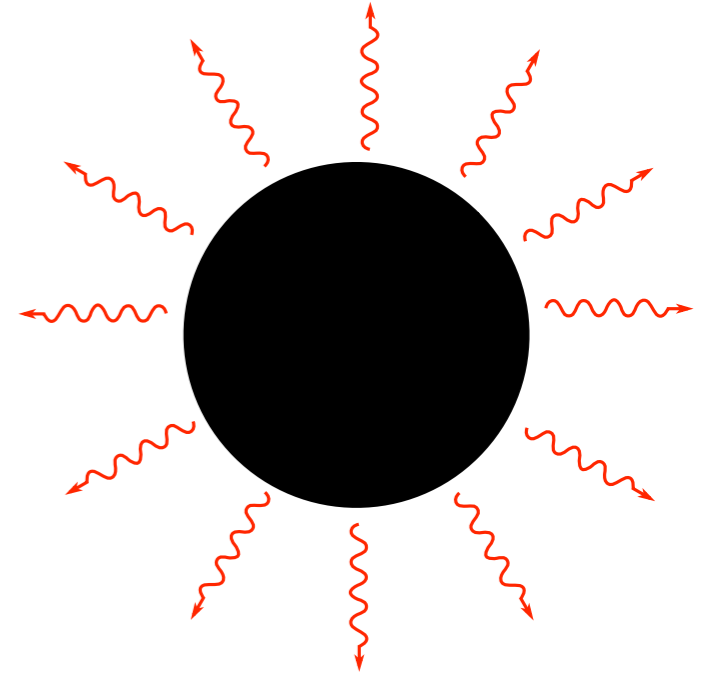
=  $10^{67}$  years

But no matter how slow the process of Hawking evaporation is, *it presents a fundamental conflict with the principles of quantum mechanics.*

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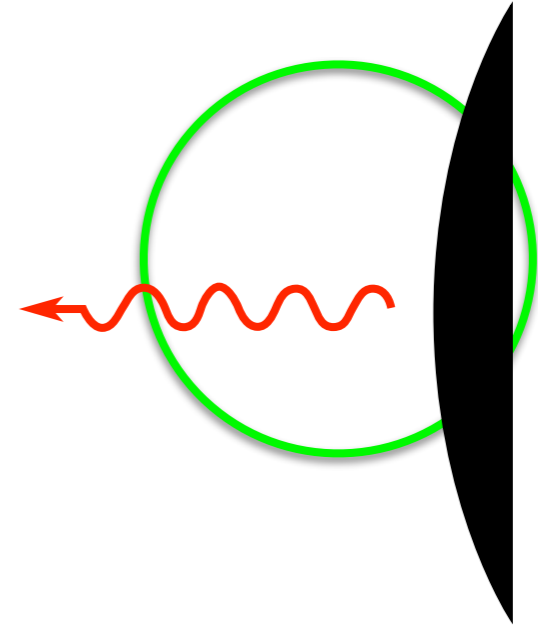
There are two crucial features of Hawking Radiation that reveal this conflict ...

# Two very important points



## Two very important points

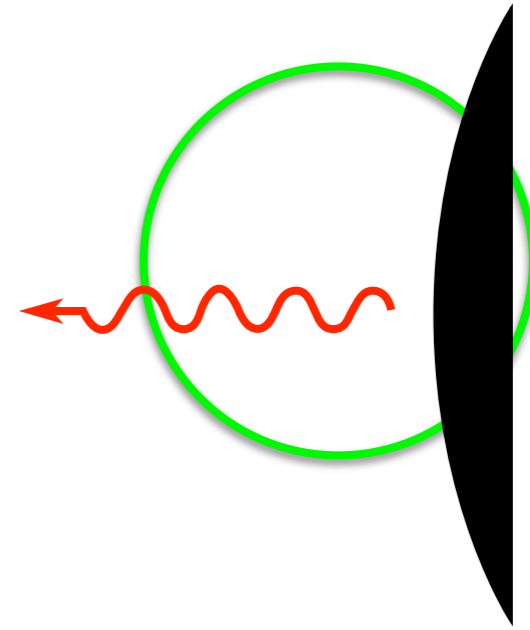
- 1) **Hawking Radiation** originates from quantum fluctuations in a region just outside the *event horizon*





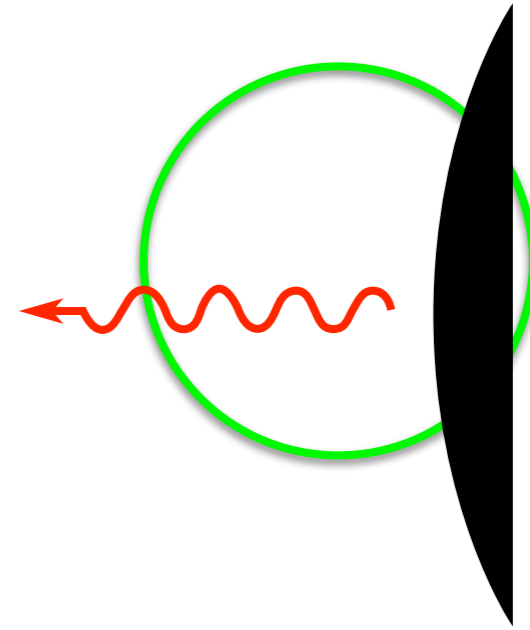
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- ⇒ *Hawking Radiation is equally featureless:*

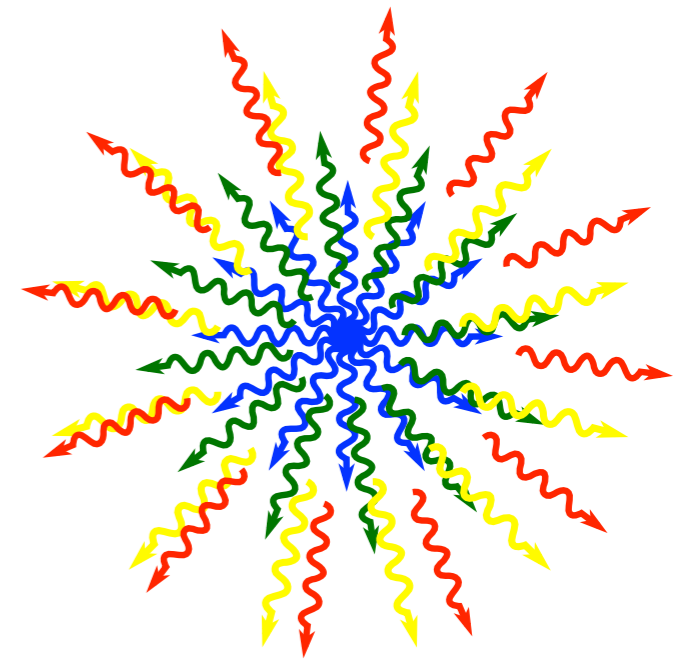
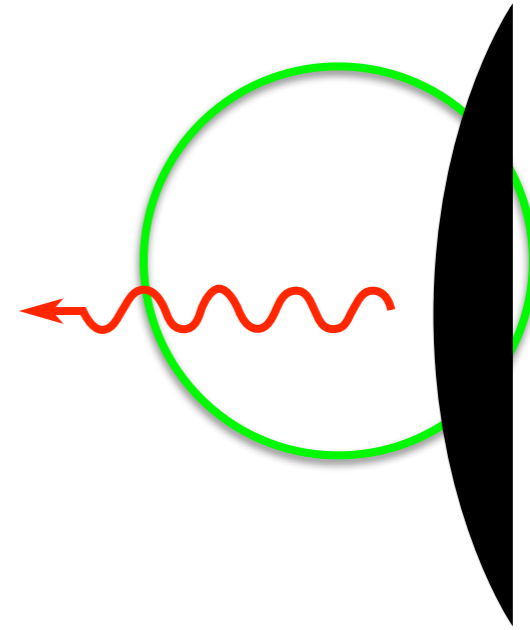


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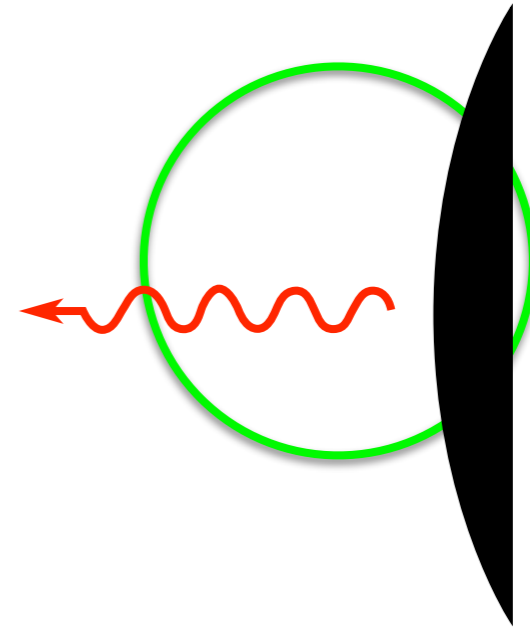
⇒ *Hawking Radiation is equally featureless:*

- ★ It encodes only the **mass, rotation and charge** of the black hole
- ★ It encodes this in the mixture of wavelengths (**colors**) of the radiation



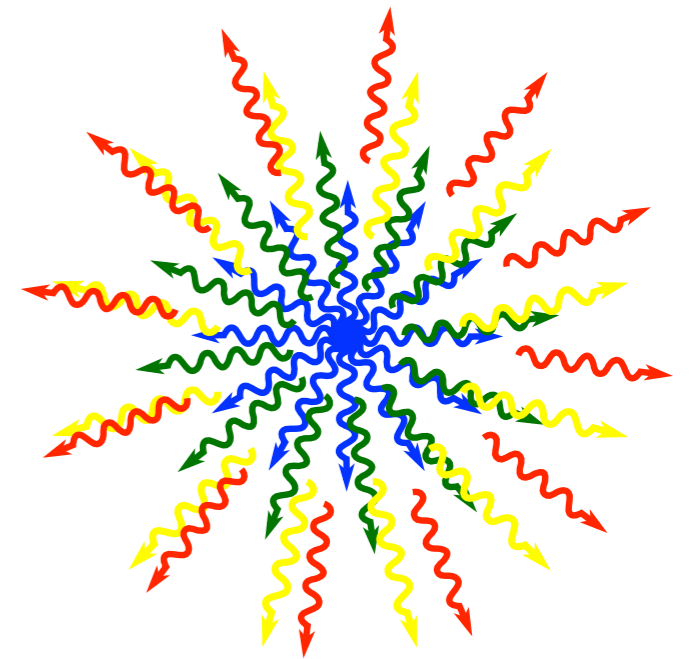
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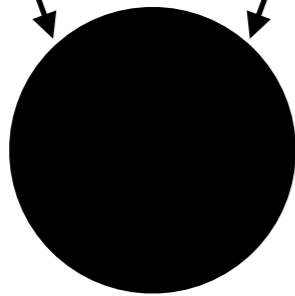
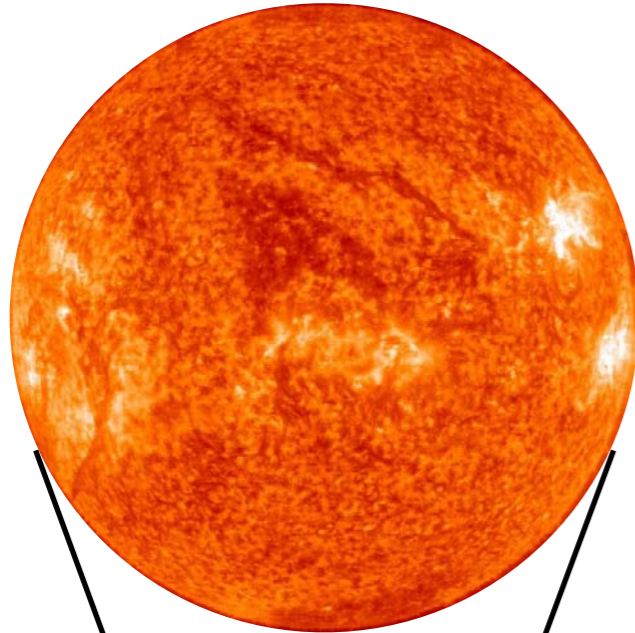
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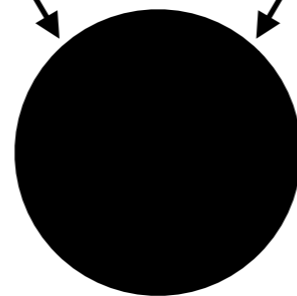
Black-hole uniqueness ⇒ Hawking radiation is (almost) featureless

No matter how you make a black hole,  
the resulting *Hawking radiation* is the same

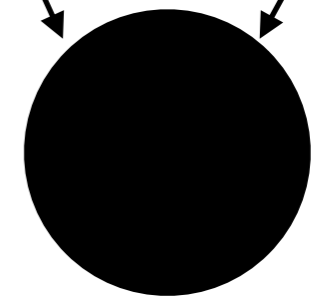
A star



Trash



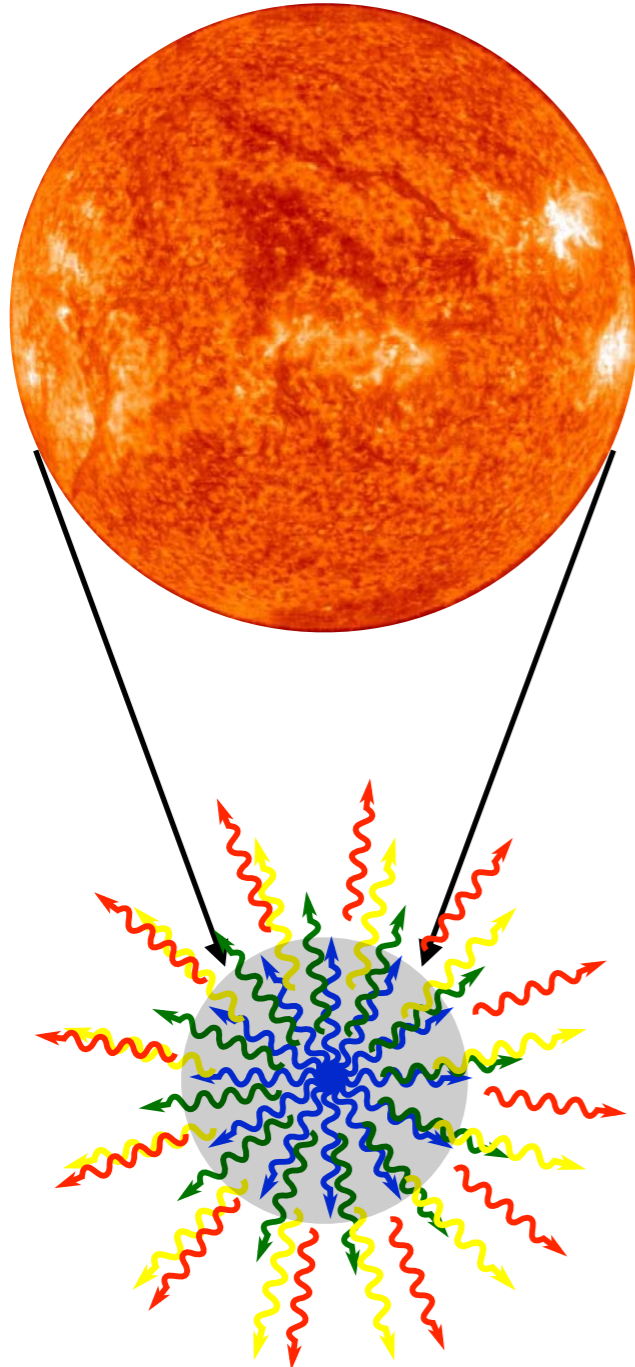
Politicians





No matter how you make a black hole,  
the resulting *Hawking radiation* is the same

A star



Trash



Politicians



If the **star**, **trash** and **politicians** have the same mass, charge and rotation and form a black hole, then *the Hawking radiation is universally the same*

# A Foundation of Quantum Mechanics: *“Unitary Evolution”*

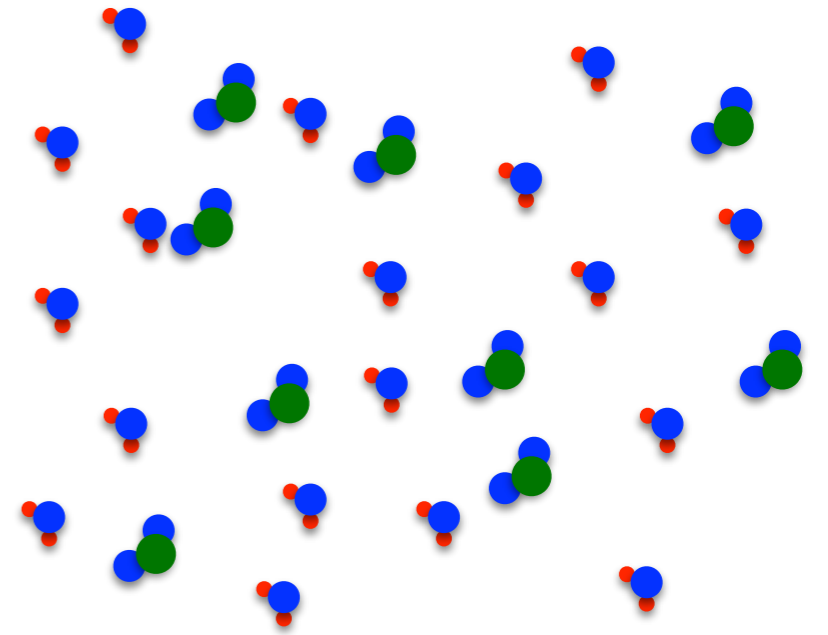
Information on paper



# A Foundation of Quantum Mechanics: “Unitary Evolution”

Information on paper

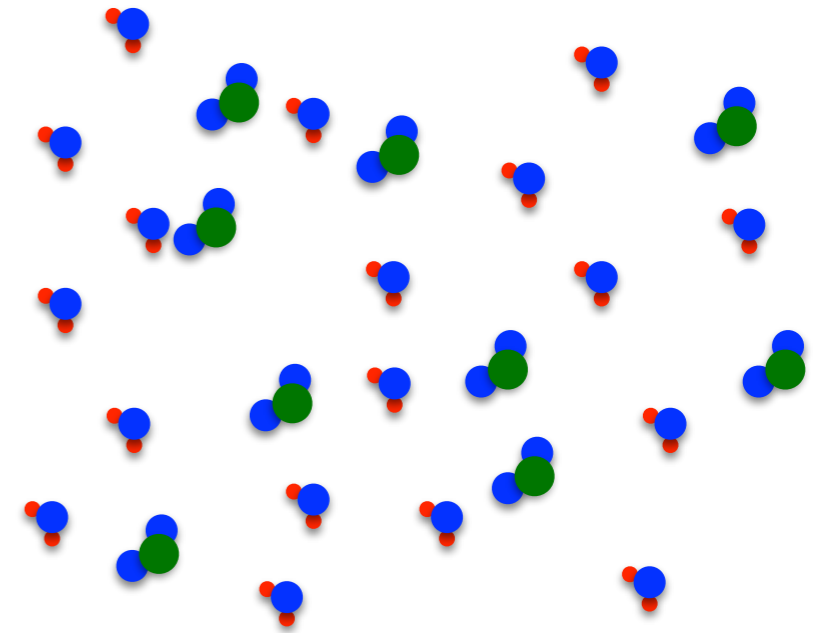
Molecules fly off



# A Foundation of Quantum Mechanics: “Unitary Evolution”

Information on paper

Molecules fly off



**Unitary Evolution** means that with a lot of effort we *can reconstruct the original paper* and information by measuring positions and speeds of all the molecules of the burnt paper



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Unitary evolution means that the evolution can be run backwards or forwards.

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Like a jigsaw puzzle, one can scatter the pieces...



Unitary evolution means that the evolution can be run backwards or forwards.  
With a lot of effort one can figure out where everything came from and  
*reconstruct the original state*





Just like the the burnt paper...



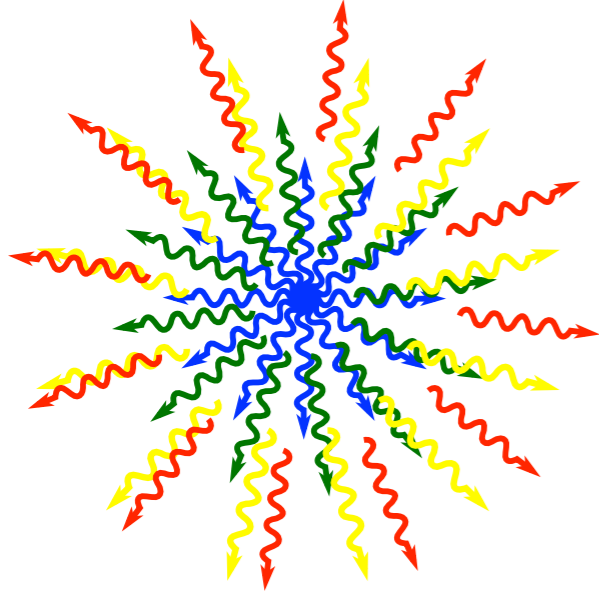


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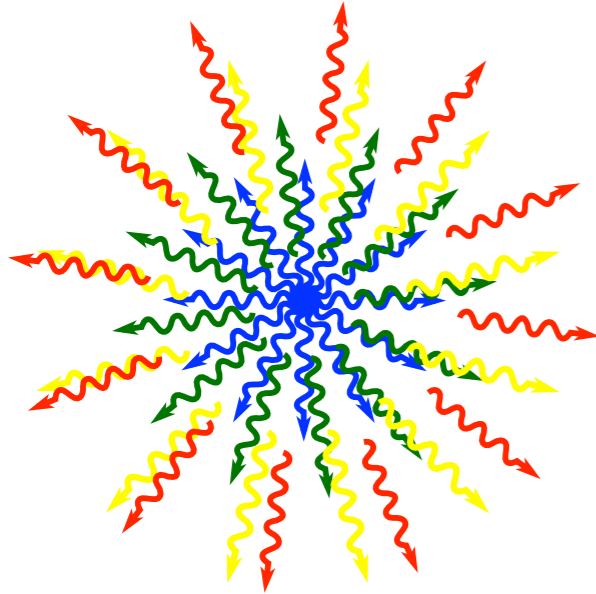
What happens when you try the same thing with black holes?

## Hawking Radiation



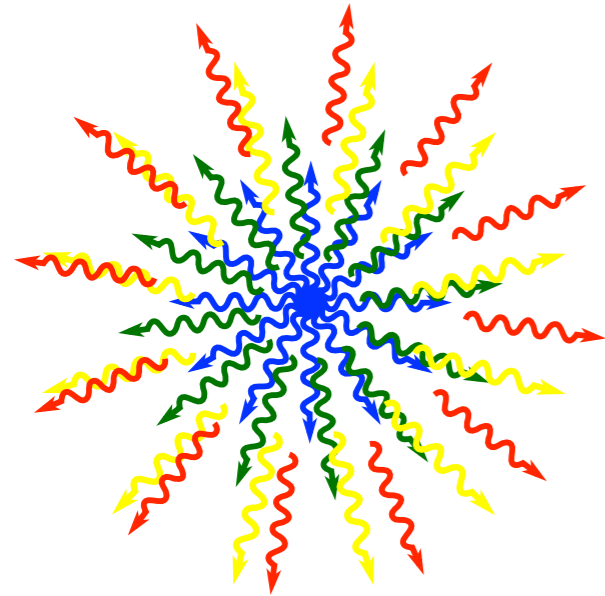
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**Hawking Radiation**  
(almost) featureless

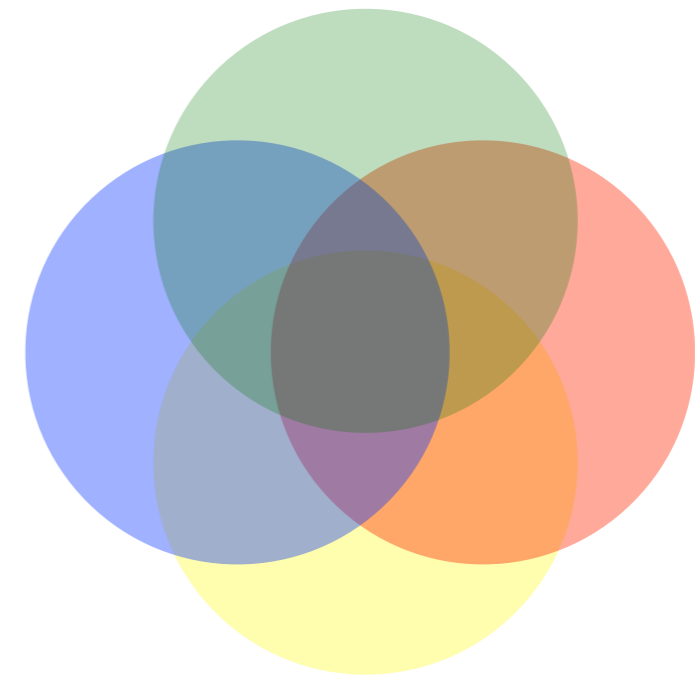


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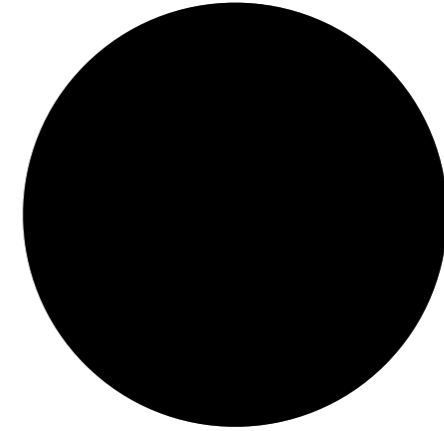
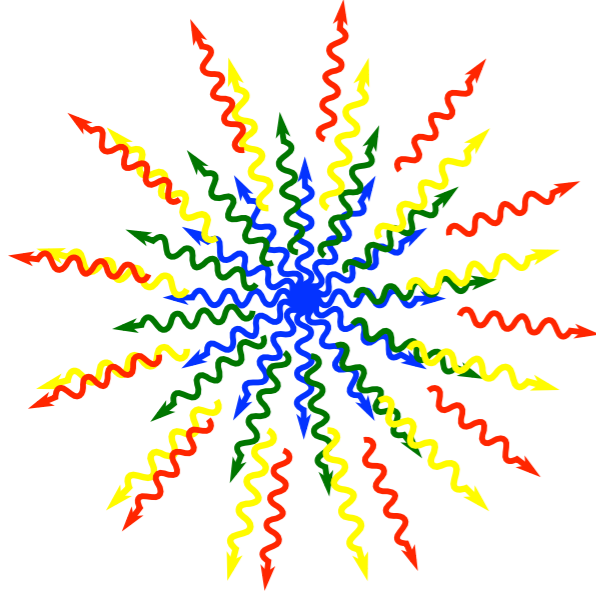
**Rebuild black hole**



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**Rebuild black hole**  
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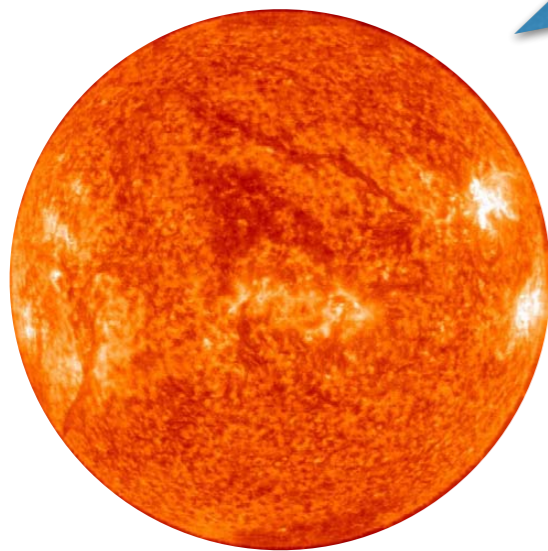
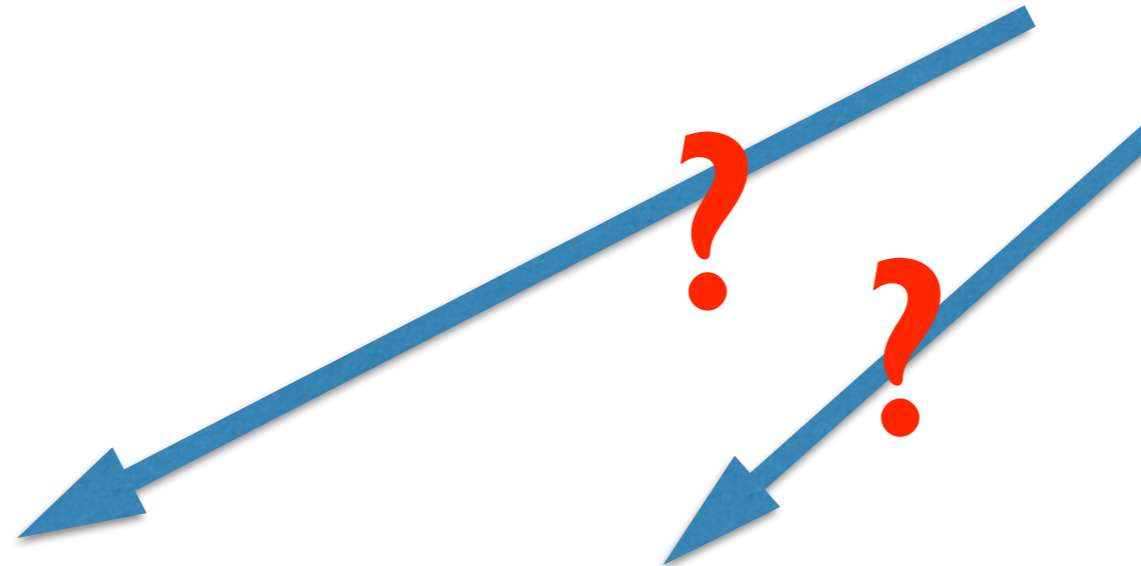
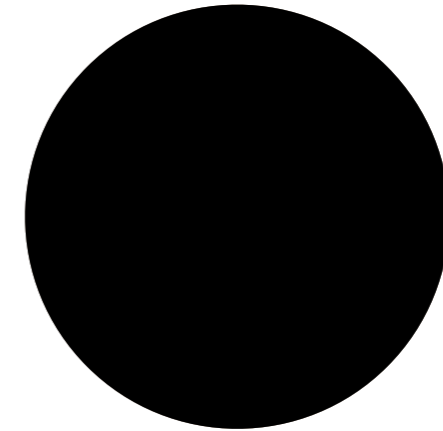
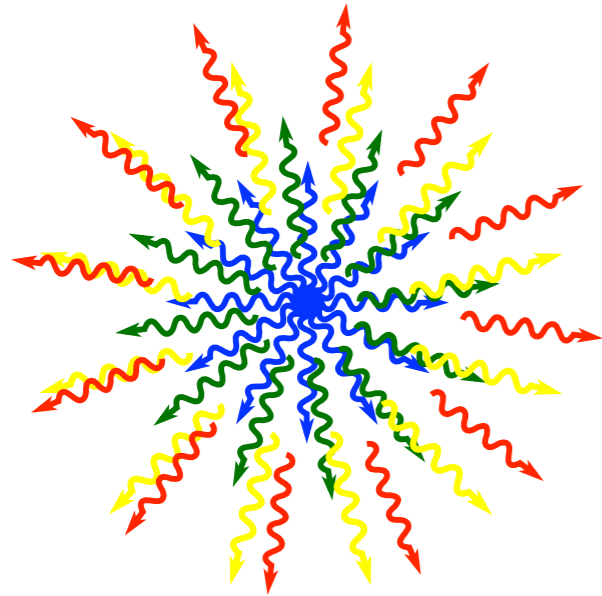




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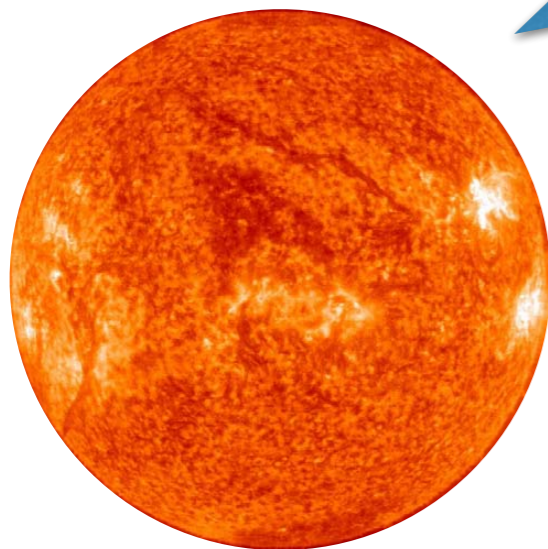
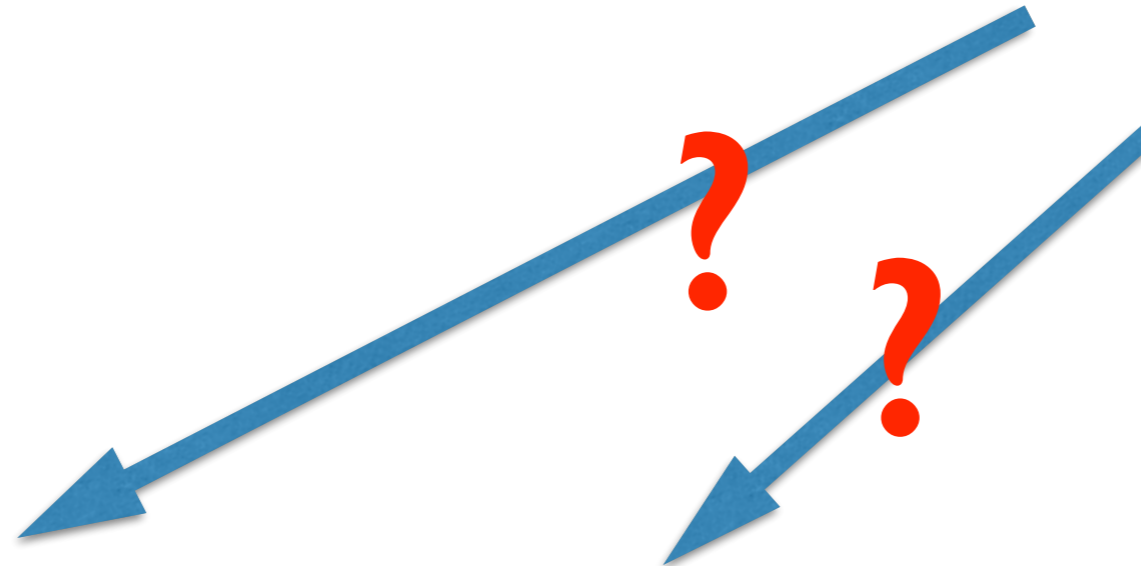
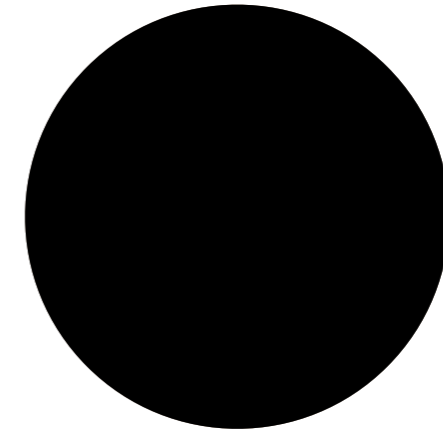
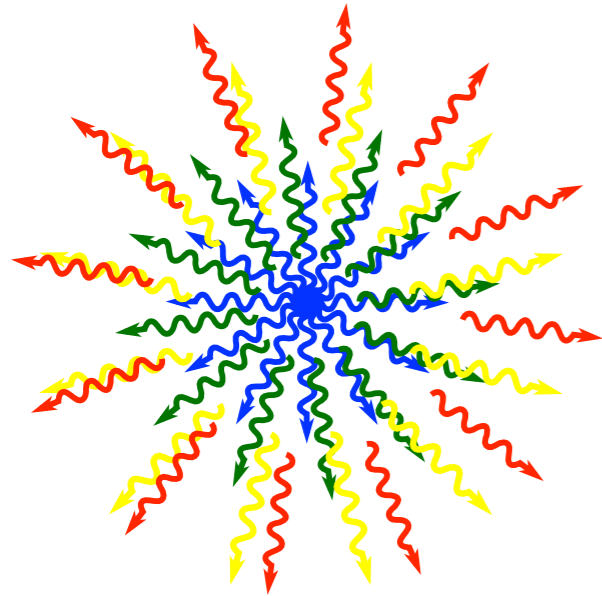
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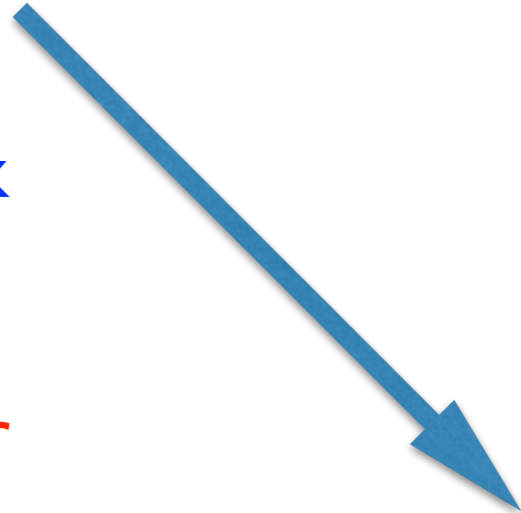
**Rebuild black hole**  
(almost) featureless



***It is impossible to determine the original state!***







Ordinarily one can break up a puzzle and then reconstruct the initial state: *Unitary evolution of quantum mechanics*

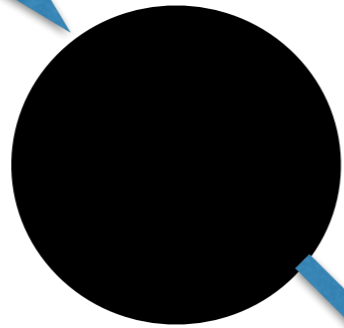






If it goes through a black hole intermediate then all pieces are wiped of their information ..

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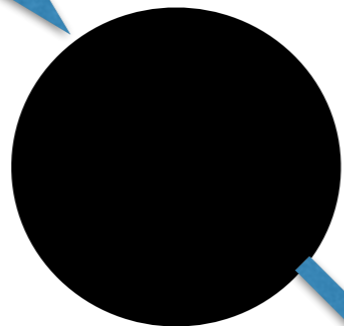






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*Almost all information is lost*  
(almost) featureless pieces

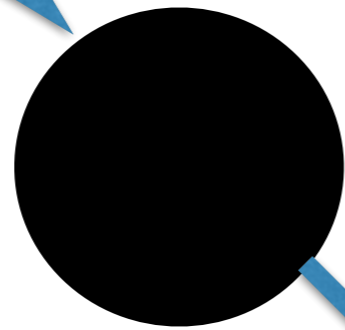




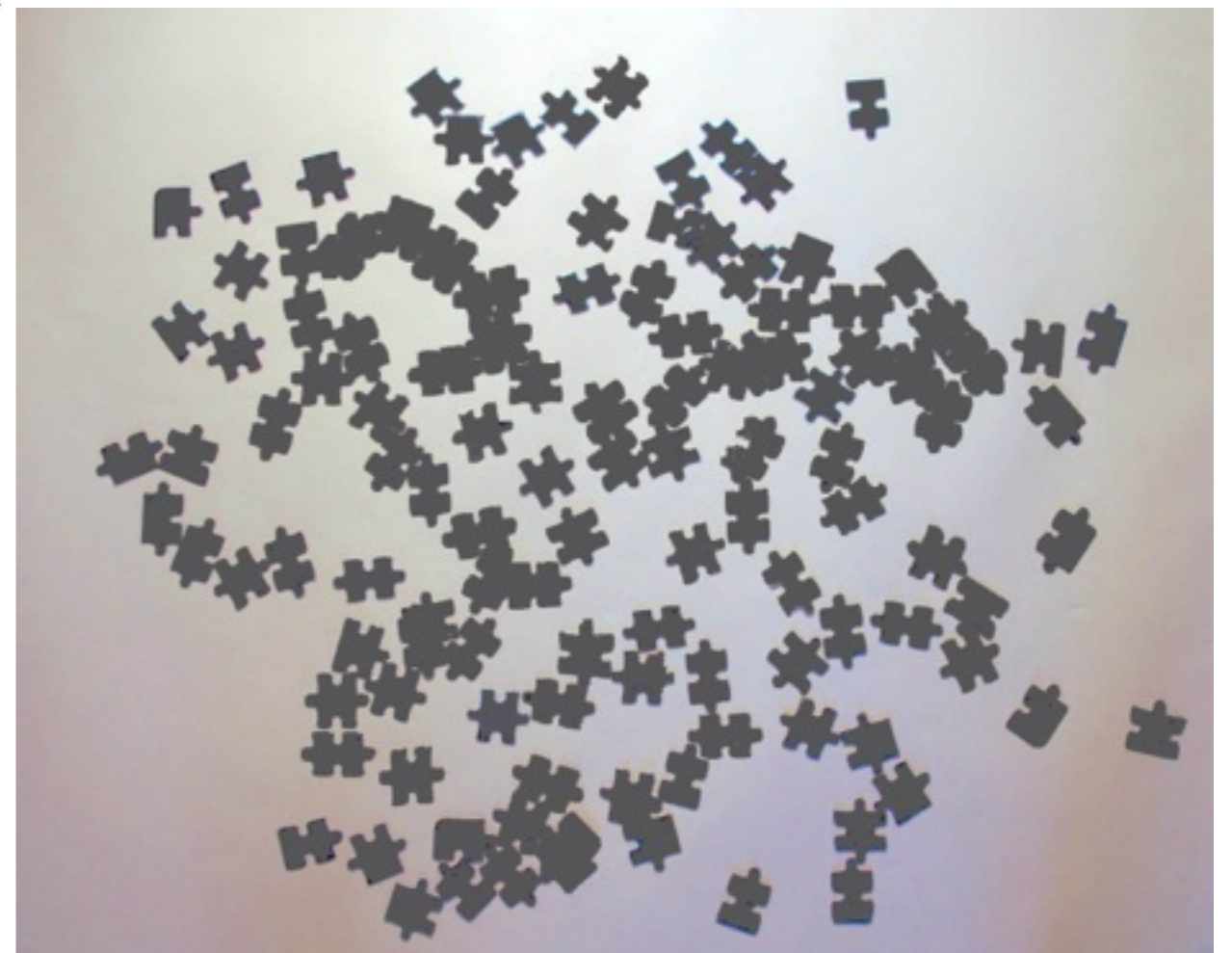
If it goes through a black hole intermediate then all pieces are wiped of their information ..

Any attempt at reconstruction results in a featureless picture

**Almost all information is lost**  
(almost) featureless pieces



Ordinarily one can break up a puzzle and then reconstruct the initial state: *Unitary evolution of quantum mechanics*



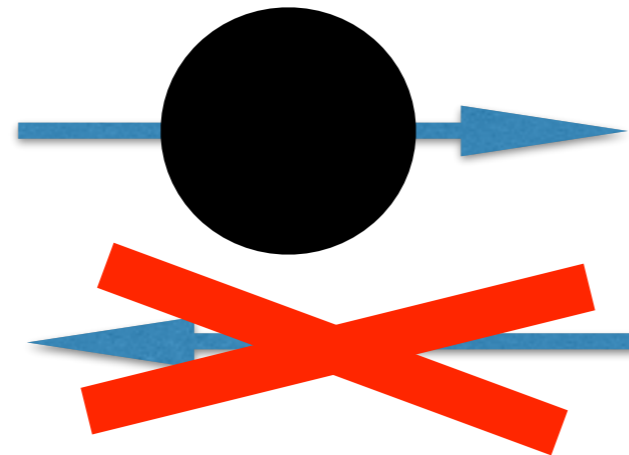
**(almost) featureless reconstruction**

General Relativity and quantum mechanics imply black hole formation and evaporation via Hawking Radiation



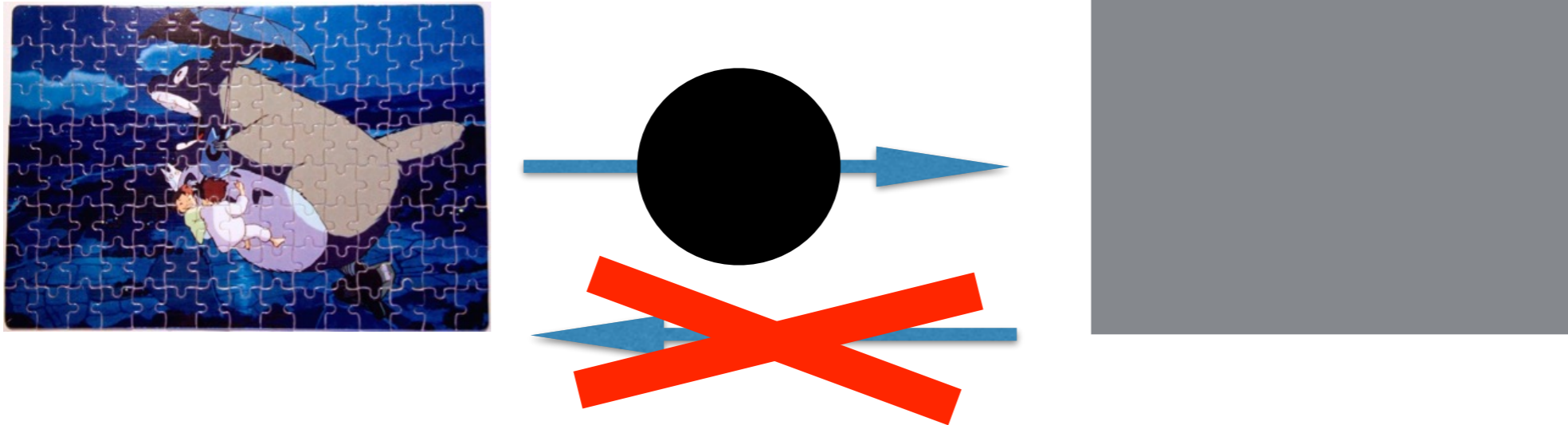
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This implies a loss of information:



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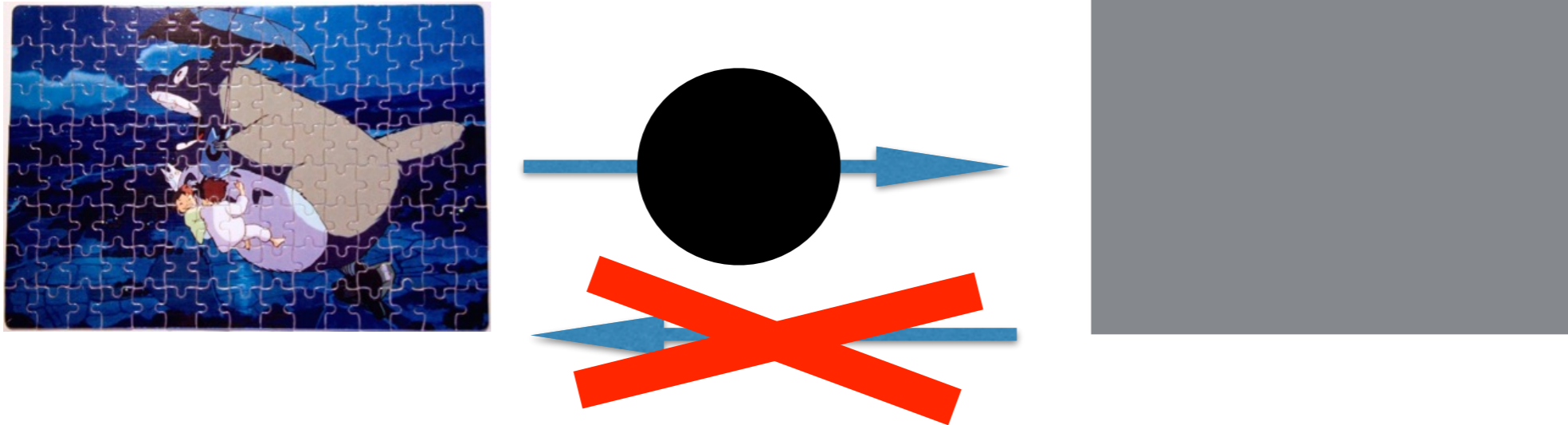


This is in complete conflict with the unitary evolution required by quantum mechanics!



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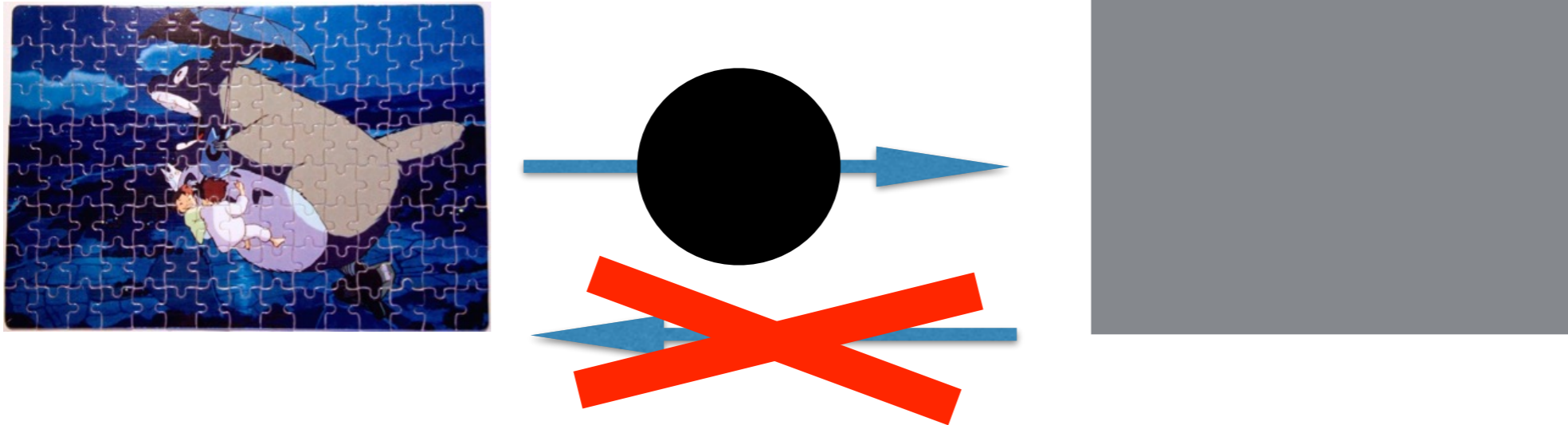
This is in complete conflict with the unitary evolution required by quantum mechanics!

**BUT...**

General Relativity and Quantum Mechanics are foundational theories upon which we have based much of technological society and upon which we bet lives every day .... and yet these theories do not agree!

General Relativity and quantum mechanics imply black hole formation and evaporation via Hawking Radiation

This implies a loss of information:



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**BUT...**

General Relativity and Quantum Mechanics are foundational theories upon which we have based much of technological society and upon which we bet lives every day .... and yet these theories do not agree!

**How can we fix this problem?**

*We need a consistent, combined theory of quantum mechanics and gravity*

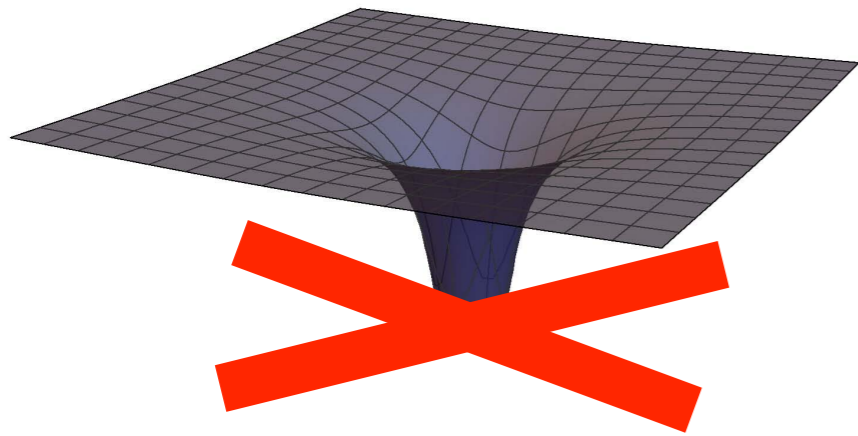
*We need a consistent, combined theory of quantum mechanics and gravity*

*Most promising candidate: String Theory*

# We need a consistent, combined theory of quantum mechanics and gravity

**Most promising candidate: String Theory**

General Relativity: Schwarzschild



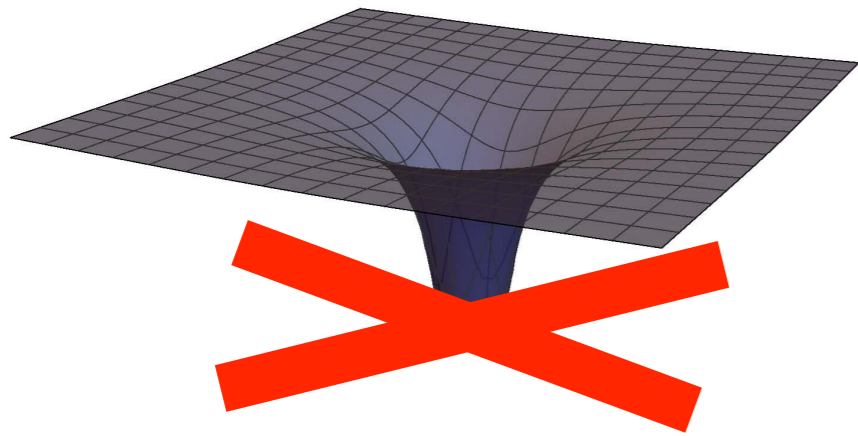
The event horizon leads to a fundamental inconsistency with quantum mechanics.



# We need a consistent, combined theory of quantum mechanics and gravity

## **Most promising candidate: String Theory**

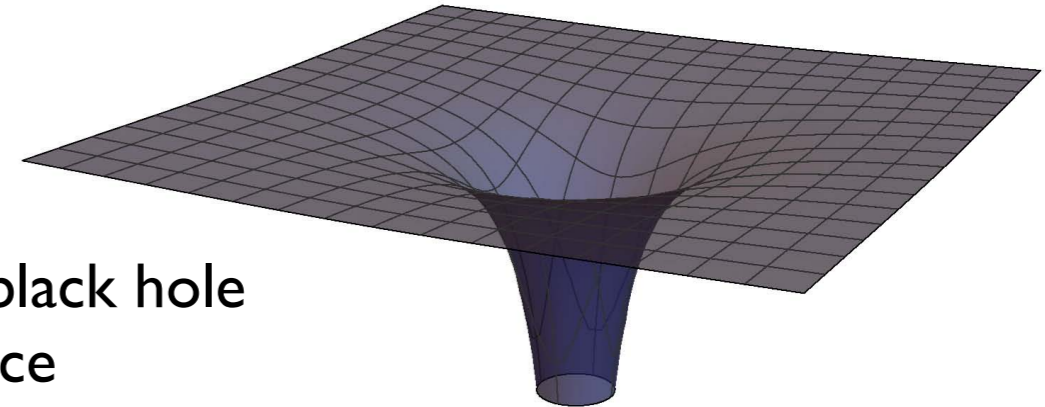
General Relativity: Schwarzschild



The event horizon leads to a fundamental inconsistency with quantum mechanics.

Stringy Black Hole:

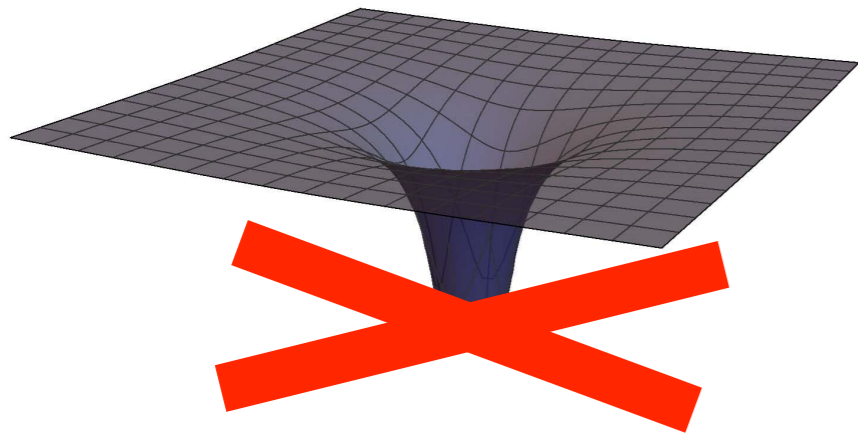
Looks like a black hole from a distance



# We need a consistent, combined theory of quantum mechanics and gravity

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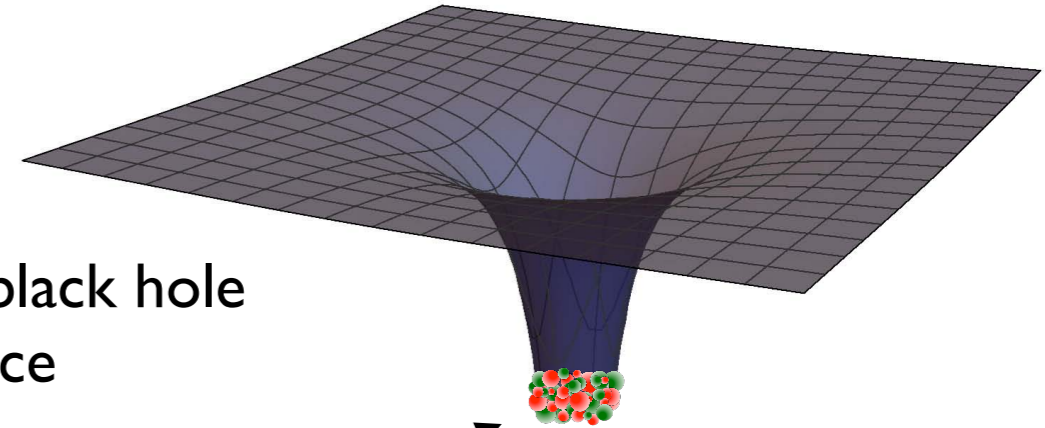
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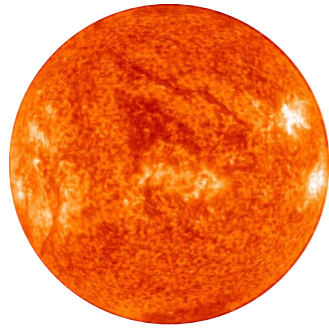


New states and phases of matter and even *extra dimensions of space* emerge from string theory in a way that can encode everything about what made the black hole ...

⇒ Professor Shigemori's talk

# Making *stringy* black holes

A star



Trash



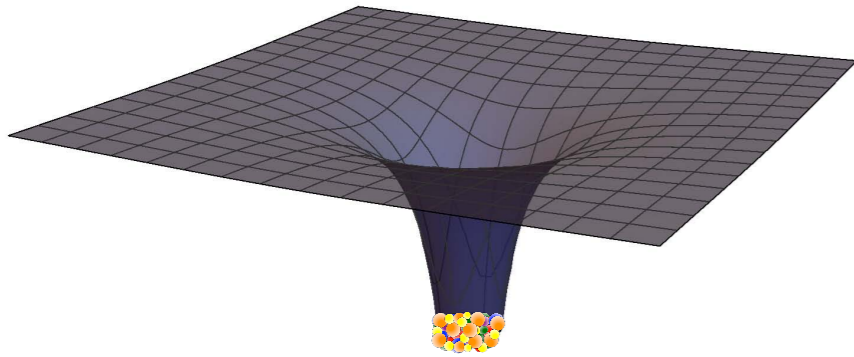
**Politicians**



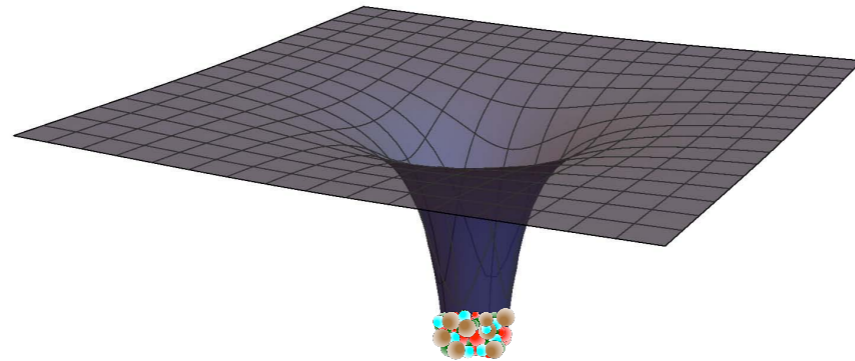
# Making *stringy* black holes

The details of what formed the black hole are stored in the new string theory structures at the bottom of the black-hole geometry ...

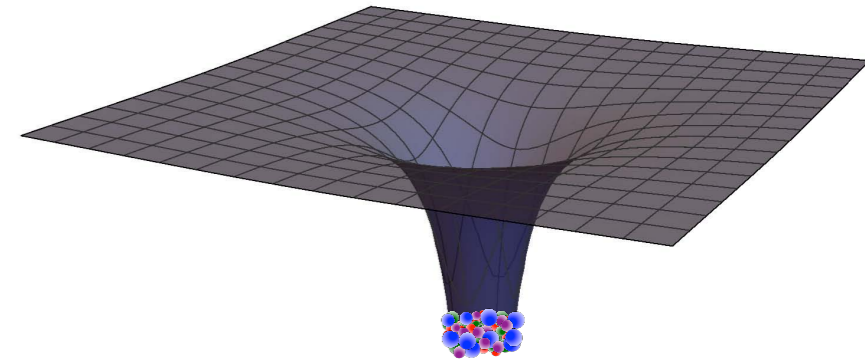
A star



Trash



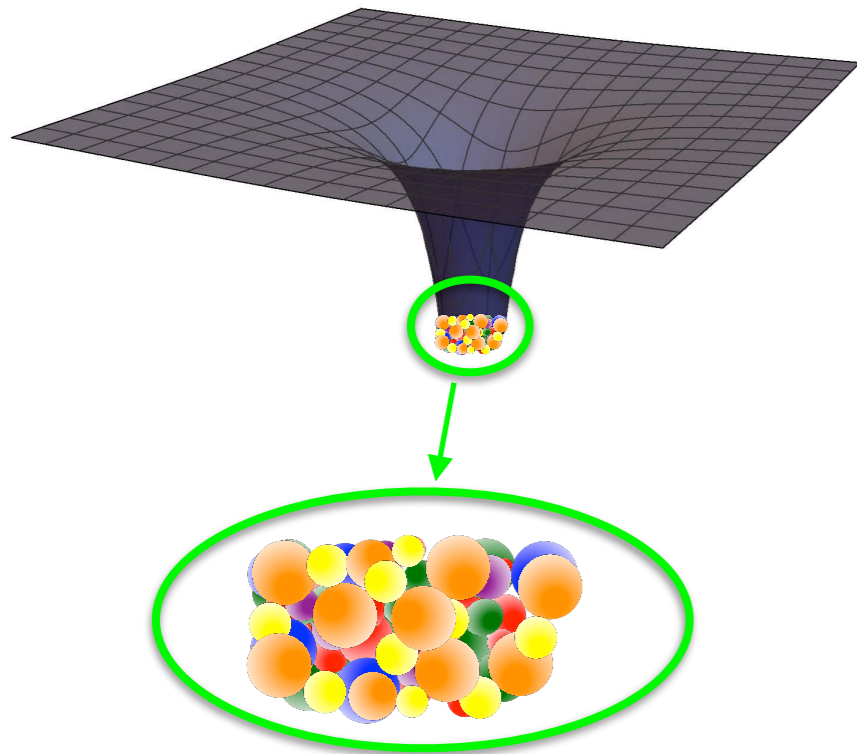
Politicians



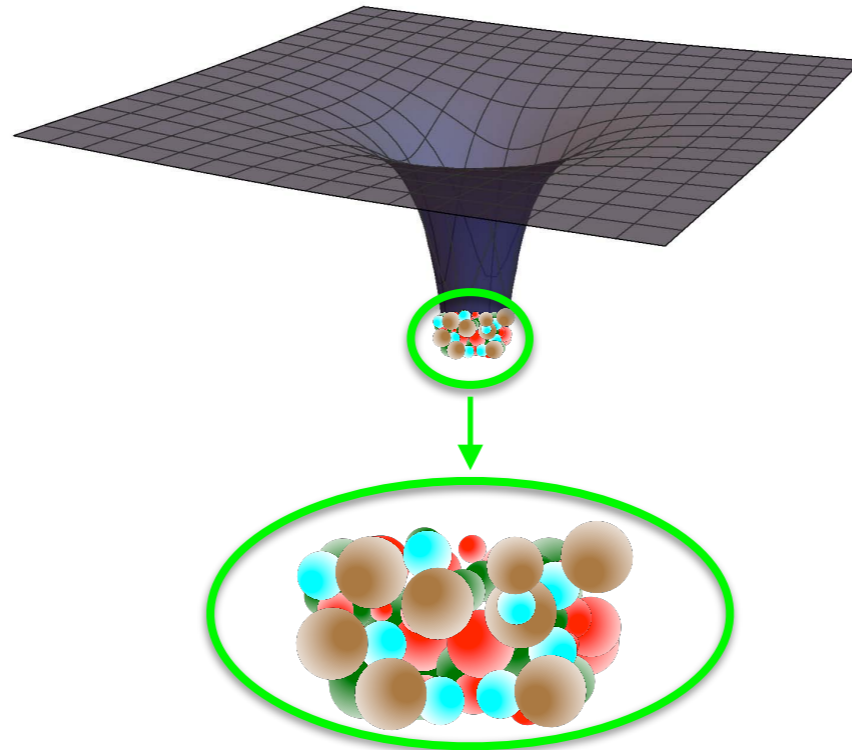
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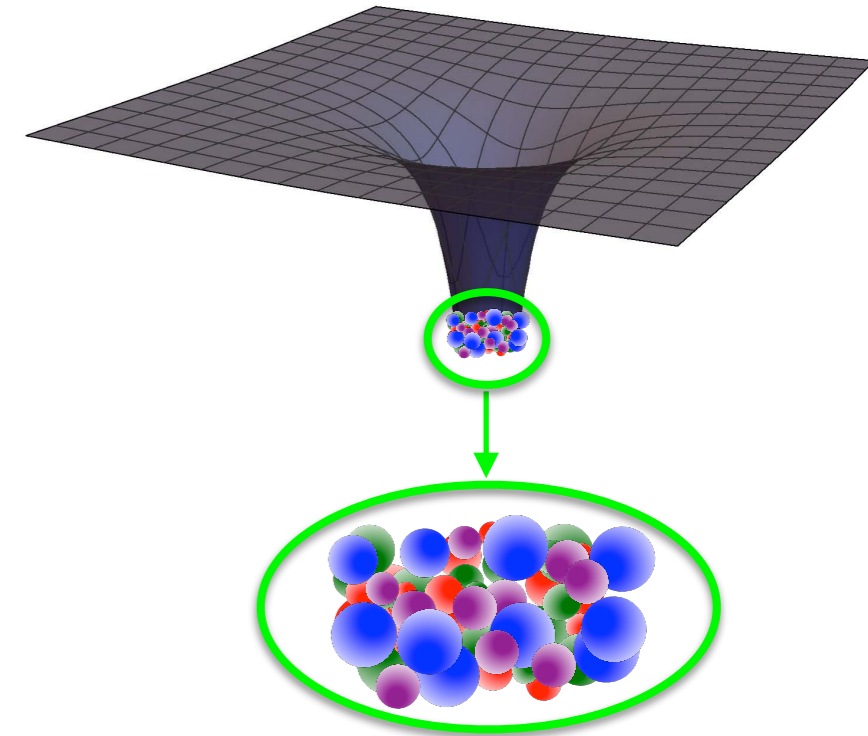
A star



Trash



Politicians





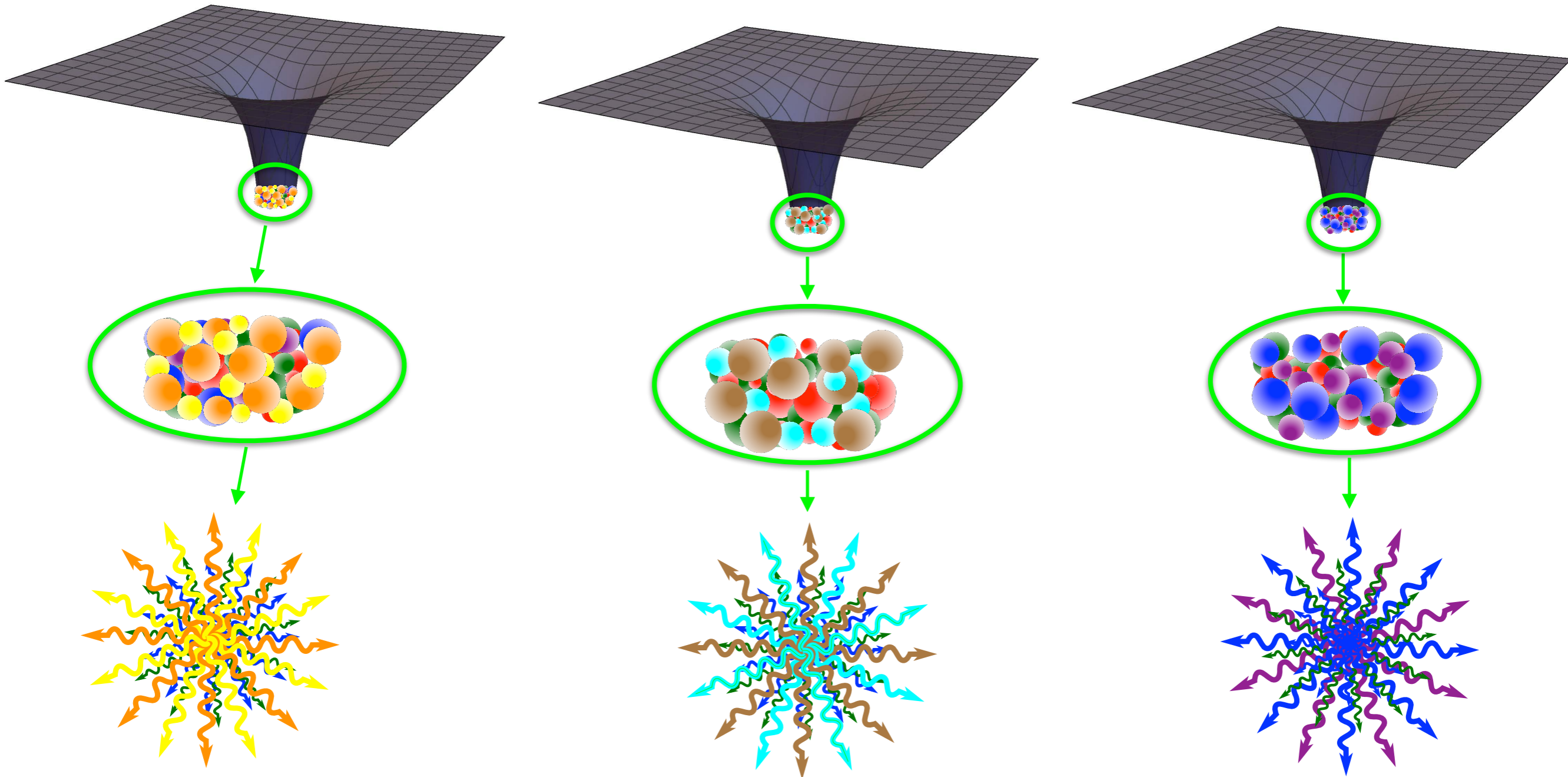
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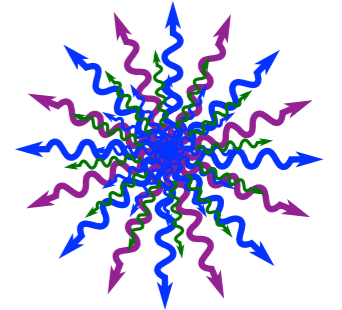
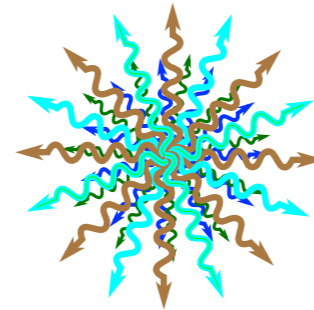
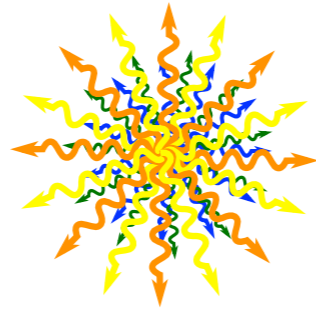
Politicians



The details are recycled as differences in the Hawking Radiation ...

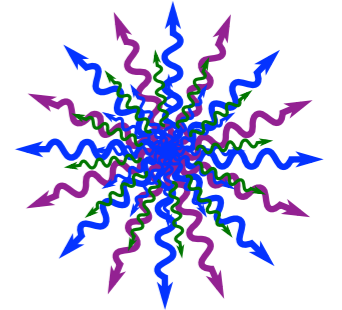
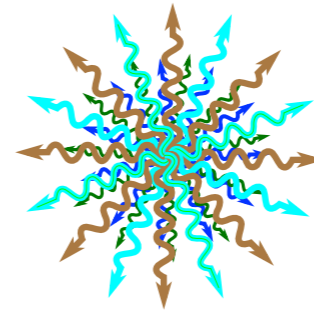
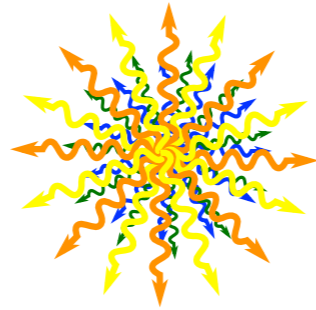
The Goal: Show that *stringy* black holes have “Unitary Evolution”

From the differences in  
the Hawking radiation ...

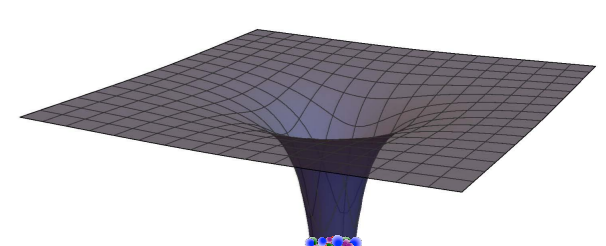
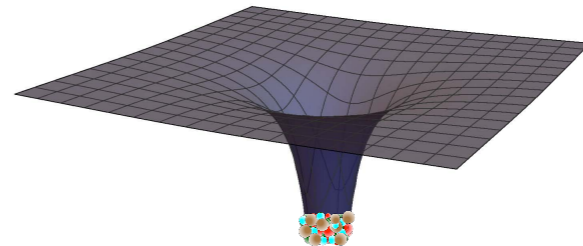
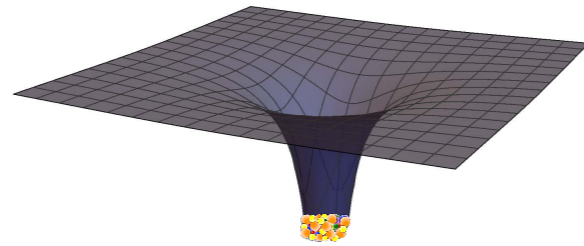


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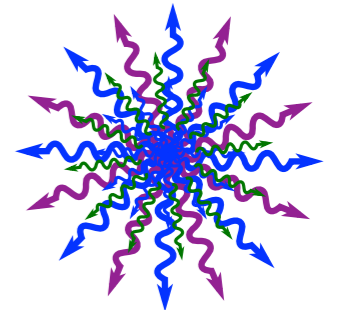
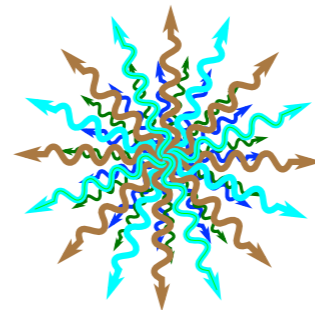
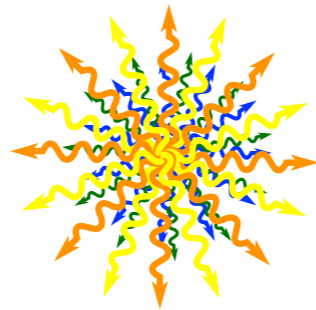


One can reconstruct  
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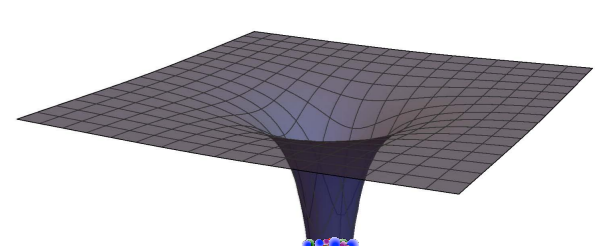
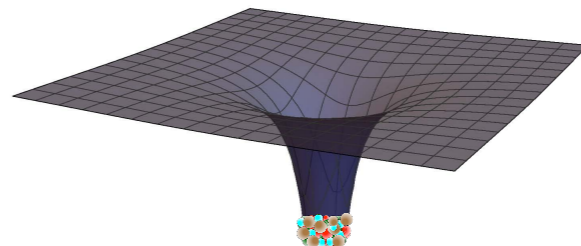
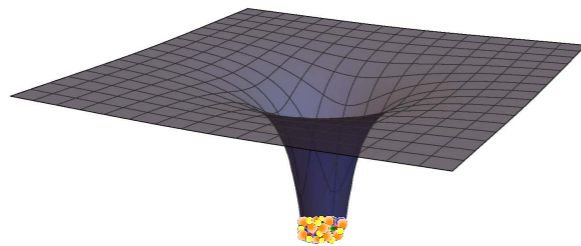


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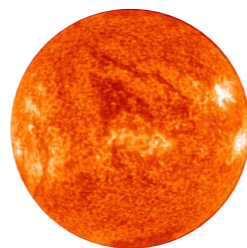
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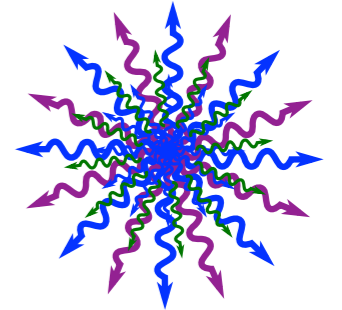
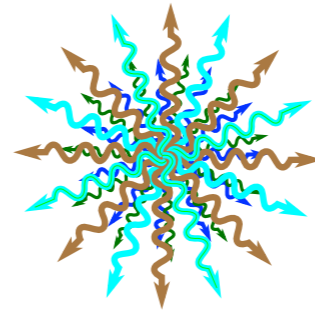
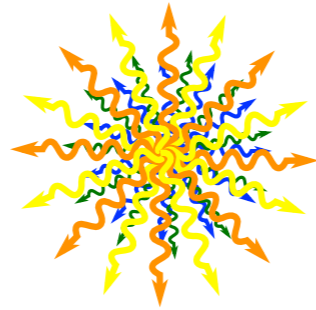


From which one can reconstruct the matter from which the black holes formed ...

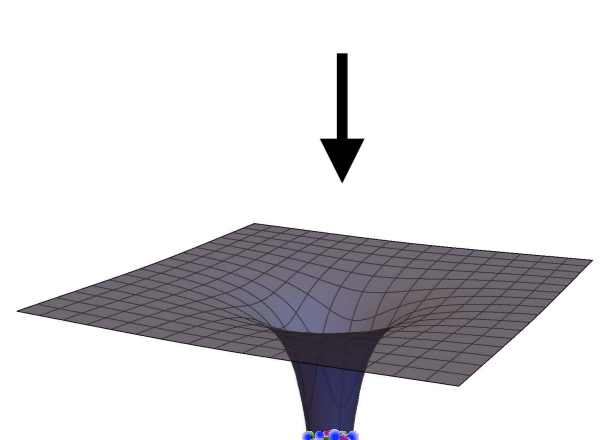
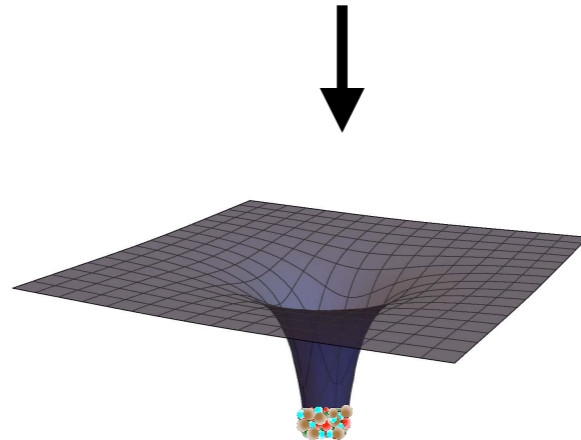
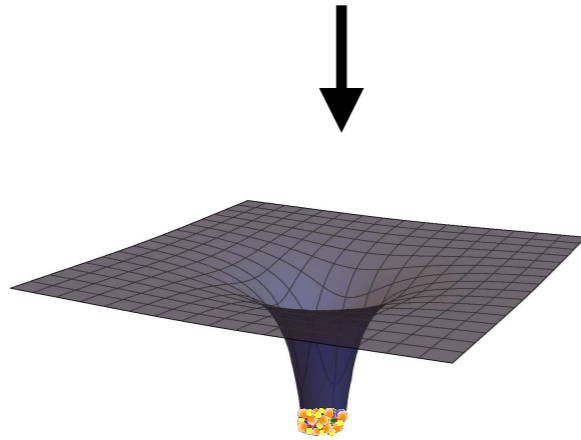


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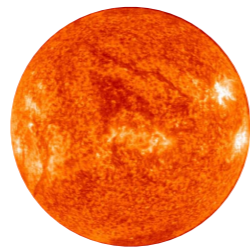
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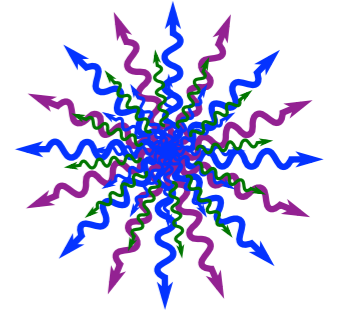
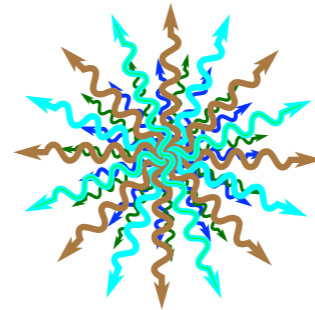
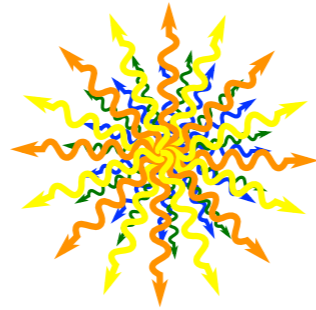


If this idea can be made to work in string theory, then it would finally reconcile Einstein's theory of gravity with the principles of quantum mechanics ...

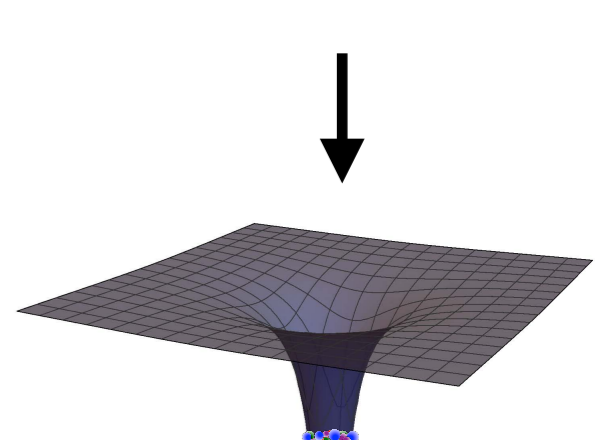
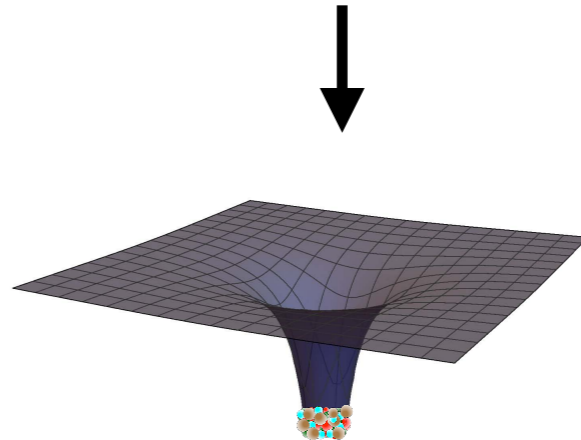
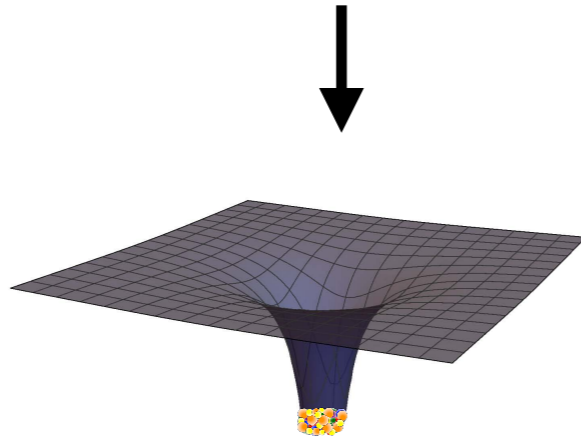


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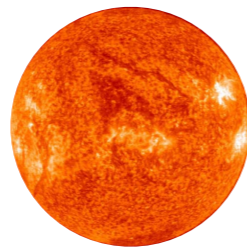
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A very hard problem: but we are making progress towards the solution....

*We have returned, once again, to the question that John Michell asked in 1784:*

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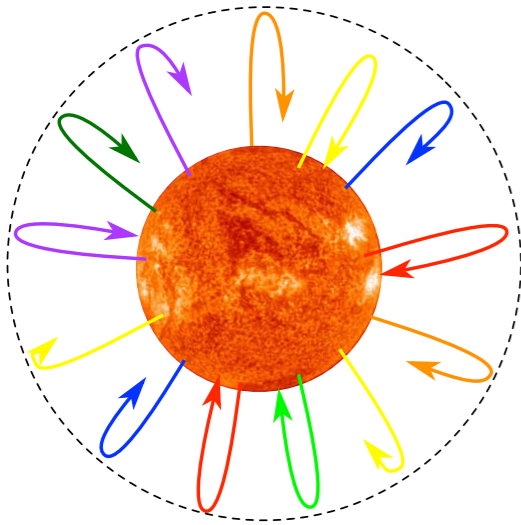
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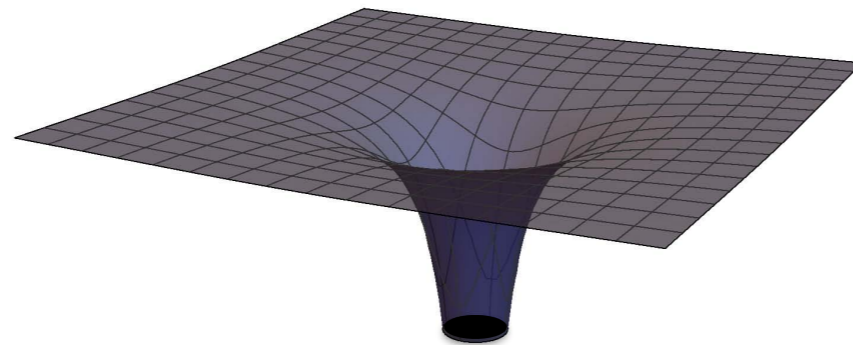
**What are the properties of an object whose gravity is so strong that it traps the light it emits?**

A series of answers:

Michell



Schwarzschild



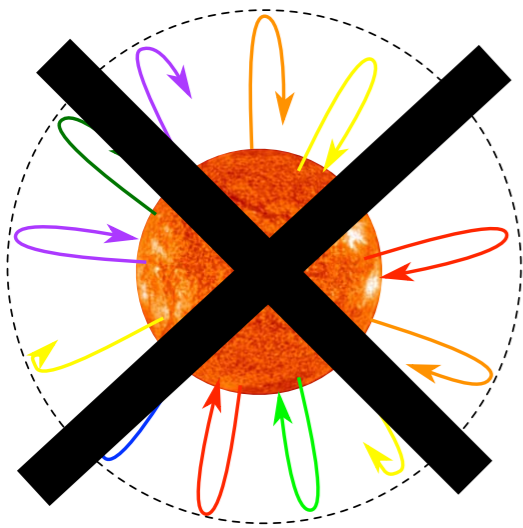


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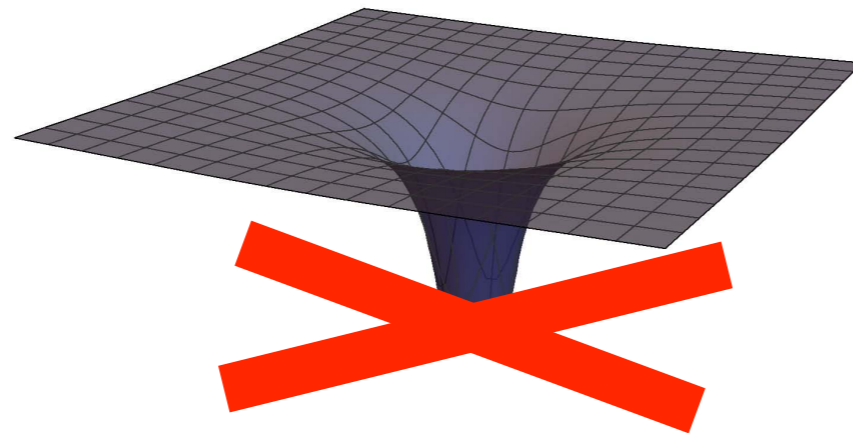
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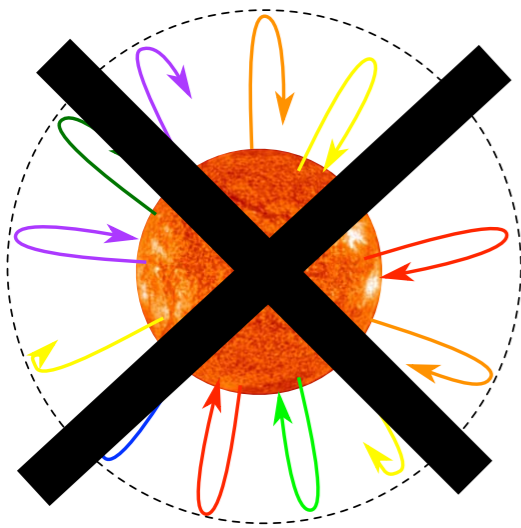


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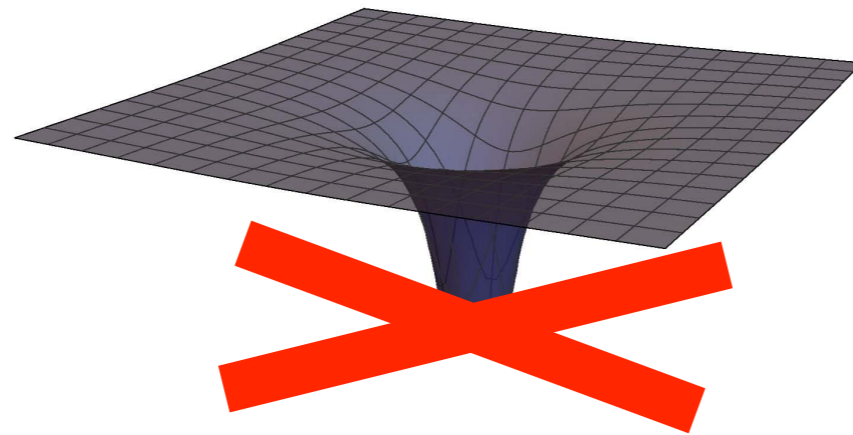
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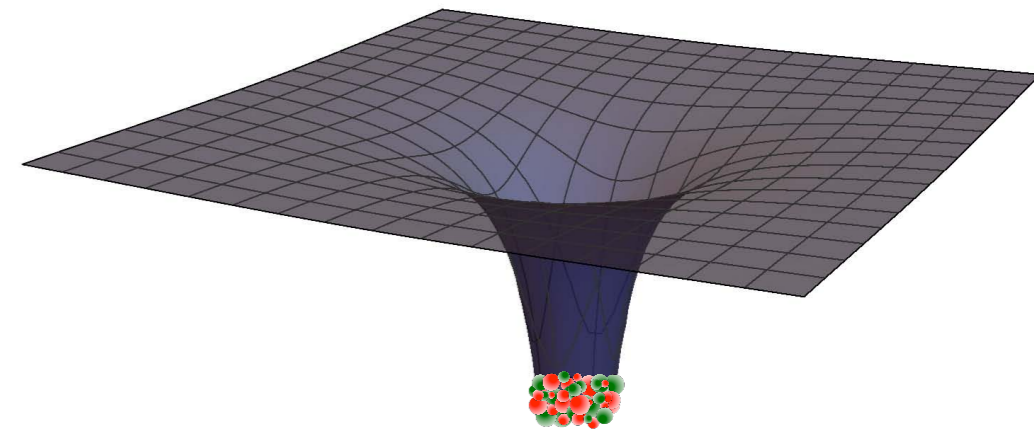
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Black holes in string theory

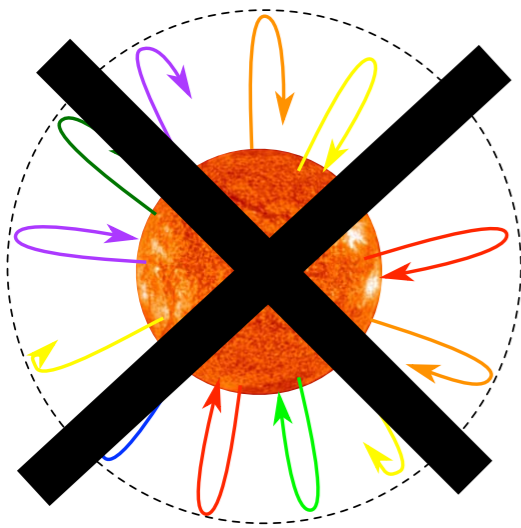


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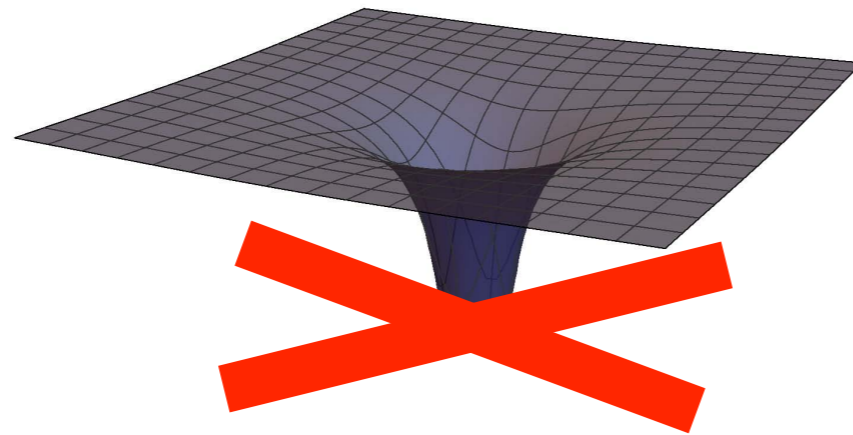
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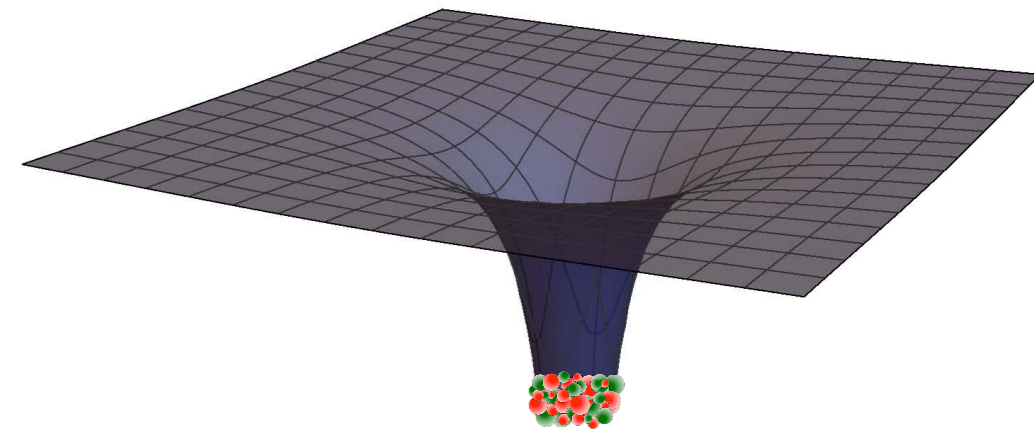
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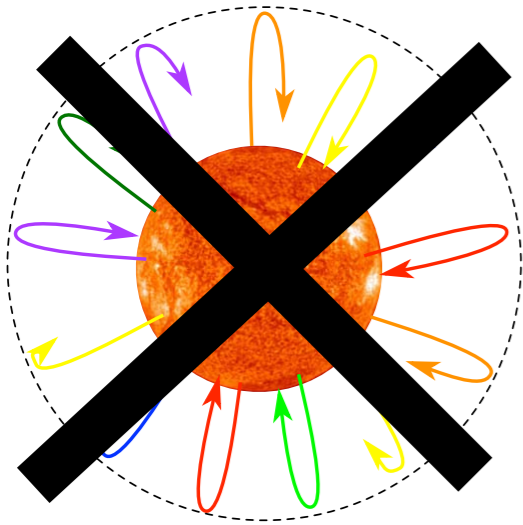
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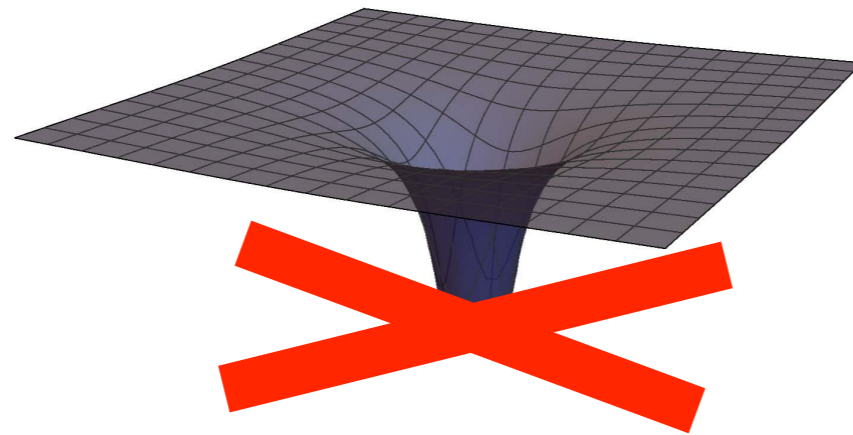
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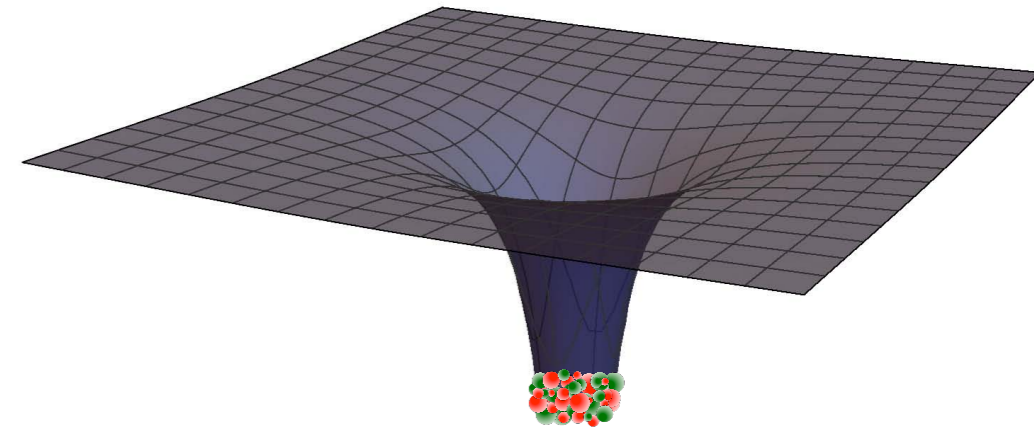
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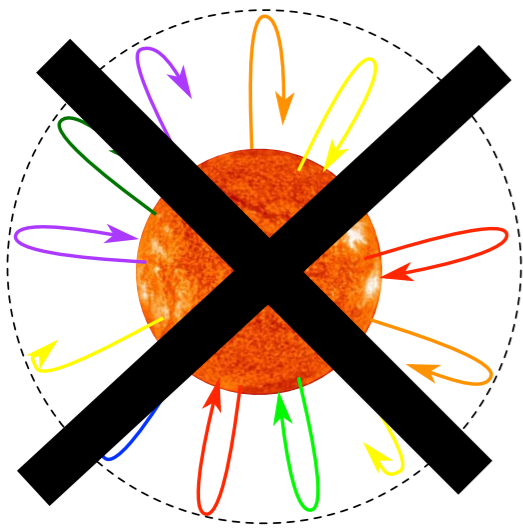
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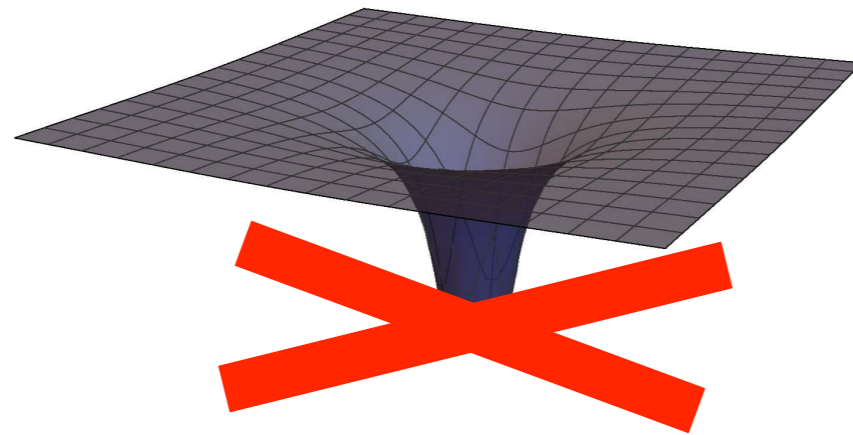
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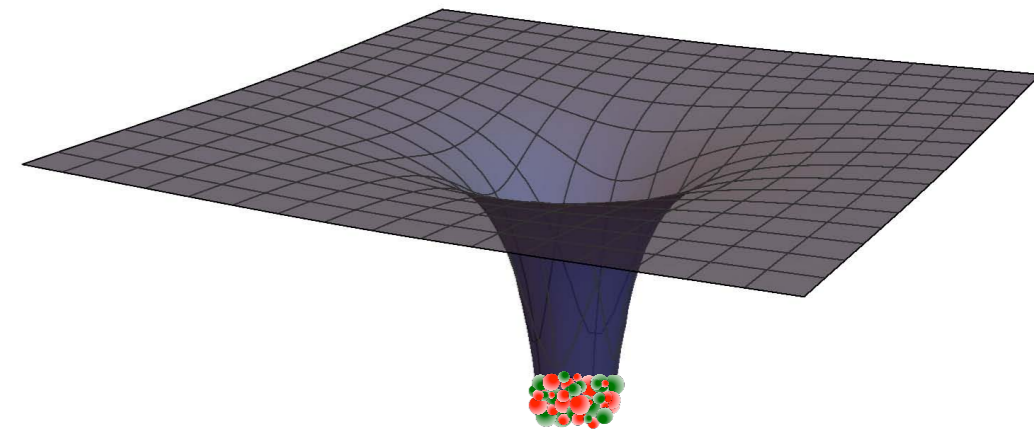
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The issue for the present and new generations of theoretical physicists:  
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But we must always remember something a crucial fact about Nature:



The universe has many objects that, on very large scales, behave like the black holes of General Relativity...





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*but the black holes of General Relativity lead to conflicts with quantum mechanics...*





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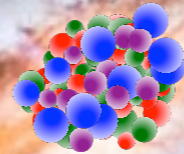
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Through the study of black holes, we have begun to find ways in which we might resolve the conflicts between quantum mechanics and general relativity ...



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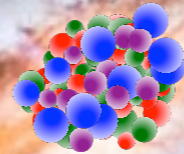


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..... Professor Shigemori's talk



