

# SURFACE DEFECT IN $\mathcal{N} = 4$ SUPER YANG-MILLS THEORY AND INTEGRABILITY

Hiroki Kawai

Based on the work with Adolfo Holguin from Trinity College Dublin

University of California, Santa Barbara  
hkawai@ucsb.edu

## Summary

In the  $\mathcal{N} = 4$  **super Yang-Mills theory**, it is well-known that the one-loop anomalous dimension operator for the single trace operators is equivalent to an **integrable spin chain**. Recent works have extended the application of this integrability to scenarios **involving a boundary or a defect**. The correlators of the single trace operators can be described as an overlap between the Bethe states and the corresponding defect state. The defect state is called an **integrable state** if it corresponds to the BCs not spoiling the bulk integrability. In that case, the overlap admits nice selection rules and can be exactly calculated for some cases. We show that **the state corresponding to the Gukov-Witten surface defect is integrable**. We also calculate the tree-level one-point function of the single trace operators in the  $SU(2)$  sector under this defect background.

## $\mathcal{N} = 4$ Super Yang-Mills and Integrable Spin chain

$\mathcal{N} = 4$  SYM is the 4D superconformal gauge theory with the global symmetry of  $PSU(2, 2|4)$  **superconformal group** (bosonic part:  $\supset SO(1, 5) \times SU(4)_R$ ).

Constructed with a  $\mathcal{N} = 4$  multiplet in the adjoint of  $G = SU(N)$  consisting of

- Real scalars  $\phi^I$  ( $I = 1, \dots, 6$ : R-symmetry  $SO(6)_R \simeq SU(4)_R$  vector **6** irrep.)
- LH Weyl fermions  $\lambda^a$  ( $a = 1, \dots, 4$ : R-symmetry  $SU(4)_R$  fund. **4** irrep. )
- Gauge fields  $A_\mu$

### Single trace operators

The simplest local gauge invariant ( $SU(N)$  singlet) objects:

$$\mathcal{O}(x) = \Psi_{I_1 I_2 \dots I_L} \text{tr} [\phi^{I_1}(x) \phi^{I_2}(x) \dots \phi^{I_L}(x)] \quad (1)$$

6 charges for the bosonic part : ( $\underbrace{\Delta}_{\text{conf. dim.}} ; \underbrace{S_1, S_2}_{SO(1,3) \text{ spins}} ; \underbrace{J_1, J_2, J_3}_{R\text{-sym.}}$ )

Classically,  $\Delta_0 = L$  (length of the chain). Each complex field  $Z \equiv \phi^1 + i\phi^4$ ,  $X \equiv \phi^2 + i\phi^5$ ,  $W \equiv \phi^3 + i\phi^6$  has a unit  $J_i$  charge for each  $i = 1, 2, 3$ .

$\mathcal{O}(x)$  with one of  $J_i = \Delta_0$  (up to similarity transf.)  $\implies$  1/2-BPS are called the **chiral primary operators (CPOs)**. All CPOs have **traceless and symmetric**  $\Psi_{I_1 \dots I_L}$ . Dimension  $\Delta$  is protected from the quantum corrections.

The non-CPOs' dimensions are corrected by the **anomalous dimension**  $\Delta = \Delta_0 + \gamma(\lambda)$ .

**Operator mixing**  $\implies$  need to diagonalize the “**anomalous dimension operator**”  $\Gamma$  with eigenvalues  $\gamma$ .

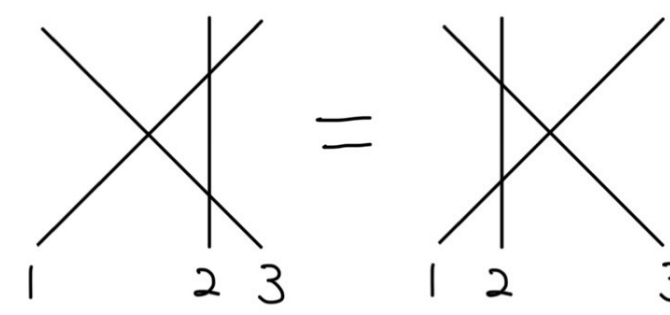
1-loop  $\Gamma \sim$  integrable spin chains in large- $N$  [Minahan-Zarembo (2002) [3]]

- The whole scalar ( $SO(6)$ ) sector:  $\Gamma_{SO(6)} \propto \sum_{\ell=1}^L ( \underbrace{1}_{\text{s.t. zero eval. for CPOs}} - \underbrace{P_{\ell, \ell+1}}_{\text{perm. op.}} + \underbrace{\frac{1}{2} K_{\ell, \ell+1}}_{\text{trace op.}} )$
- Smaller  $SU(2)$  sector (only  $Z$  and  $X$ ) ( $SU(2)$  sector): **Heisenberg chain**.

## Quantum Integrability and Integrable States

Both  $\Gamma$  as a spin-chain Hamiltonian  $H$  can be constructed from the R-matrix ( $\sim$  S-matrix)  $V \otimes V \rightarrow V \otimes V$  satisfying the **Yang-Baxter equation**

$$R_{12}(\lambda - \mu) R_{13}(\lambda) R_{23}(\mu) = R_{23}(\mu) R_{13}(\lambda) R_{12}(\lambda - \mu)$$



The integrability can be said by the fact that there exist many conserved charges  $Q_n$ .

$$t(\mu) \equiv \text{Tr}_a(T_a(\mu)) = \text{Tr}_a(R_{aL}(\mu) \dots R_{a1}(\mu)) = \sum_n Q_{n+1} \mu^n \quad (Q_2 = H) \quad (2)$$

$$\text{YB eqn.} \implies [t(\lambda), t(\mu)] = 0 \implies [Q_n, Q_m] = 0 \quad \forall m, n \quad (3)$$

BCs keeping the integrability satisfy the **boundary Yang-Baxter equation**.

Rotate (open  $\rightarrow$  closed) then this is an initial condition of the quantum state.

**Integrable boundary state** [Piroli-Pozsgay-Vernier (2017) [4]]

$$t(u) |\psi\rangle = \Pi t(u) \Pi |\psi\rangle \implies Q_{2n+1} |\psi\rangle = 0 \quad (n = 1, 2, \dots) \quad (4)$$

This gives nice selection rules: the Bethe states with nonzero overlap with  $|\psi\rangle$  must have **zero total rapidity**.

## References

- [1] I would like to thank Shota Komatsu for providing a part of the Mathematica code.
- [2] S. Gukov and E. Witten. Gauge Theory, Ramification, And The Geometric Langlands Program. 12 2006.
- [3] J. A. Minahan and K. Zarembo. The Bethe ansatz for  $N=4$  superYang-Mills. *JHEP*, 03:013, 2003.
- [4] L. Piroli, B. Pozsgay, and E. Vernier. What is an integrable quench? *Nucl. Phys. B*, 925:362–402, 2017.

## Integrable states and integrable defects

Expectation: **Integrable defect in  $\mathcal{N} = 4$  SYM**  $\leftrightarrow$  **Integrable boundary state of  $\Gamma$**

To see this, let us think of a 1pt function at the tree level:

$$\begin{aligned} \langle \mathcal{O}(x) \rangle_{\mathcal{D}} &= \Psi_{I_1 \dots I_L} \text{tr} [\langle \phi^{I_1} \rangle_{\mathcal{D}} \dots \langle \phi^{I_L} \rangle_{\mathcal{D}}] \\ &= \langle I_1 \dots I_L | \Psi_{I_1 \dots I_L} \text{tr} [\langle \phi^{I_1} \rangle_{\mathcal{D}} \dots \langle \phi^{I_L} \rangle_{\mathcal{D}}] | J_1 \dots J_L \rangle = \langle \Psi | \mathcal{D} \rangle \end{aligned} \quad (5)$$

The state  $|\mathcal{D}\rangle = \text{tr} [\langle \phi^{J_1} \rangle_{\mathcal{D}} \dots \langle \phi^{J_L} \rangle_{\mathcal{D}}] | J_1 \dots J_L \rangle$  describes the defect.

$|\mathcal{D}\rangle$  is characterized by the nonzero classical configurations of the fields  $\langle \phi^I \rangle_{\mathcal{D}}$ .

## Gukov-Witten defect [Gukov-Witten 2006 [2]]

Gukov-Witten defect is the codim-2 defect keeping maximal (1/2) SUSY supported on  $\Sigma$ .  $\Sigma = \mathbb{R}^2$  or  $S^2$  for maximal symmetry.

Integrability  $\checkmark$  on the string side by [Dekel-Oz (2011)] as the probe D3-brane config.

**Bosonic symmetry breaking:**  $SO(1, 5) \times SU(4) \rightarrow \underbrace{SO(1, 3)}_{\text{s.t.}} \times \underbrace{SO(2)}_{\text{s.t.+R}} \times \underbrace{SO(4)}_R \quad (6)$

**Gauge symmetry breaking:**  $SU(N) \rightarrow \mathbb{L} = S \left[ \prod_{l=1}^M U(N_l) \right] \quad \left( \sum_{l=1}^M N_l = N \right) \quad (7)$

Classical configs. satisfy the 4D SD equation  $\rightarrow$  2D Hitchin's equations

$$\text{Solutions: } A = \alpha d\psi, \quad \Phi = \phi^1 + i\phi^2 = \frac{1}{z}(\beta + i\gamma) \quad (8)$$

where  $\alpha, \beta, \gamma$  are **diagonal**, and there is a 2D theta-parameter  $\eta$ , which is also diagonal:

$$(\alpha, \beta, \gamma, \eta) \in (\mathbb{T}, \mathfrak{t}, \mathfrak{t}, \mathbb{T}^V) / W_{\mathbb{L}} \quad (9)$$

$z = re^{i\psi}$  is the complex coordinate of the transverse direction to  $\Sigma$ .

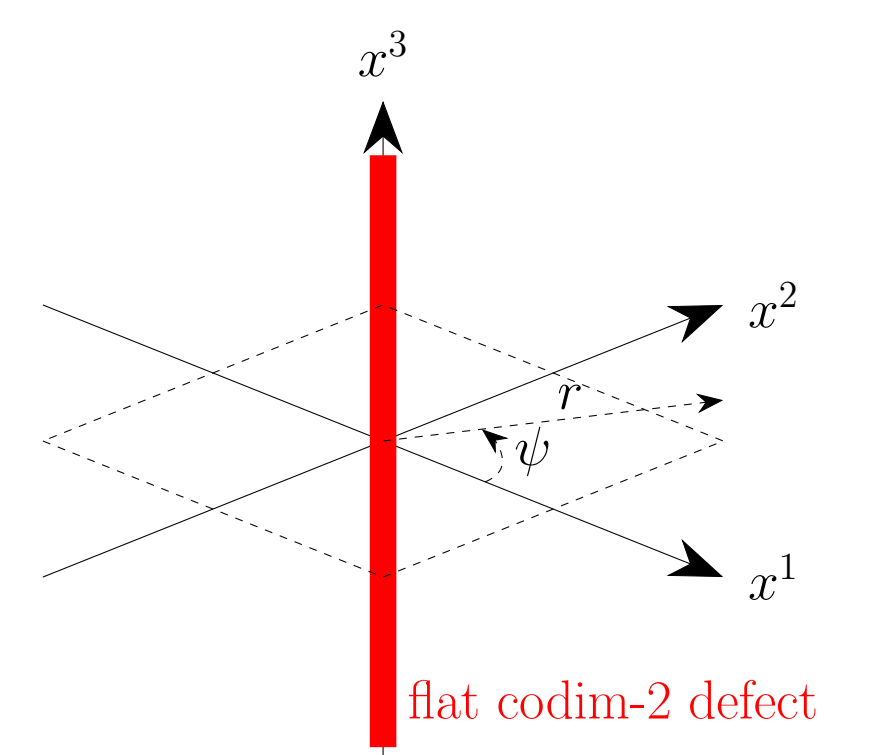


Fig. 1: Slice of the codim-2 defect (red) with  $\Sigma = \mathbb{R}^2$  extended to the  $x^{3,4}$  directions.

## Defect State Integrability and Overlaps

$\langle \phi^{I=1,2} \rangle_{\mathcal{D}}$  are diagonal matrices  $\supset \beta, \gamma$ .

•  **$SU(2)$  sector:** We can prove that the defect state  $|\mathcal{D}\rangle$  satisfies the integrability  $t(u) |\mathcal{D}\rangle = \Pi t(u) \Pi |\mathcal{D}\rangle$

•  **$SO(6)$  sector:** We do not know how to show the integrability exactly, at least checked that it is annihilated by the first odd charge  $Q_3 |\mathcal{D}\rangle = 0$  (enough for MPS?)

**No contradiction against the expectation of its integrability!**

Let us consider the tree-level 1pt func.  $\langle \mathcal{O}(x) \rangle_{\Sigma} = \langle \Psi | \text{MPS} \rangle$  for the  $SU(2)$  sector. Representation for e.g. the  $L = 4$  case:

$$\square \otimes \square \otimes \square \otimes \square = \underbrace{\square \oplus \square \oplus \square \oplus \square}_{\text{non-BPS}} \oplus \underbrace{\square \oplus \square}_{\text{0-cyclicity}} \oplus \underbrace{\square \oplus \square}_{\text{BPS}}$$

• **CPOs:** corresponding to the states in the symmetric traceless rep. They can have a **nonzero 1pt function**. E.g.

$$\langle \text{tr} [Z^L] \rangle_{\Sigma} = \frac{1}{r^L} (\beta \cos \psi + \gamma \sin \psi)^L \quad (10)$$

• **Non-CPOs:** Non-CPOs constructed via the Bethe ansatz have antisymmetric indices, and the matrices are all diagonal (i.e. commutative), so the overlaps with  $|\text{MPS}\rangle$  are **all zero**.

```

In[96]:= overlapSU[12, 4] // MatrixForm
Out[96]//MatrixForm=
{ Paired 0
  Paired 0
  Paired 0
  Not 0
  Not 0
  Paired 0
  Paired 0
  Paired 0 }

```

Fig. 2: E.g.  $L = 12$  with  $n_{\text{Bethe roots}} = 4$ , all the Bethe states have zero overlaps with  $|\text{MPS}\rangle$  [1].