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# *Symmetry energy in dense matter and its relation to phase boundary*

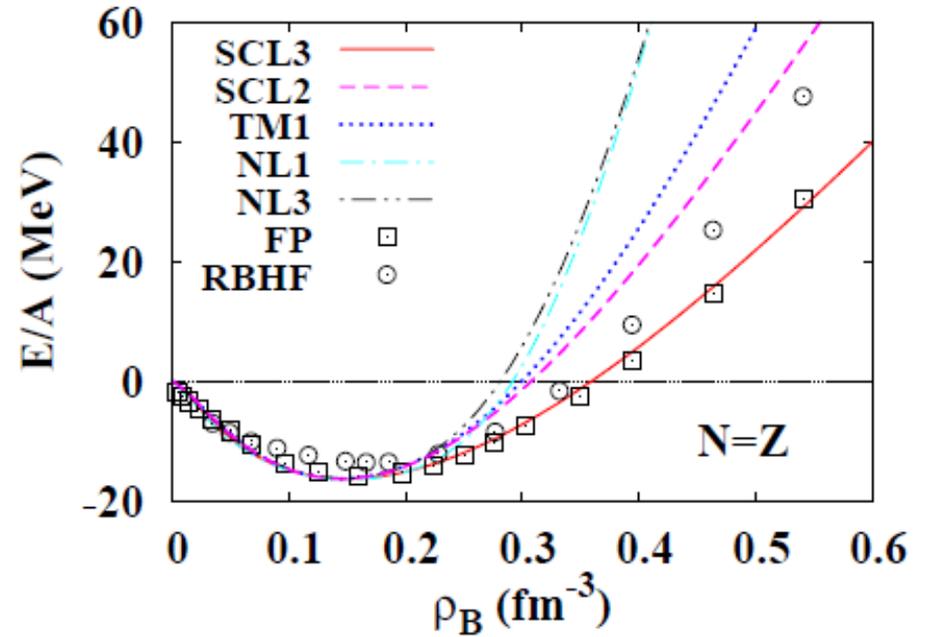
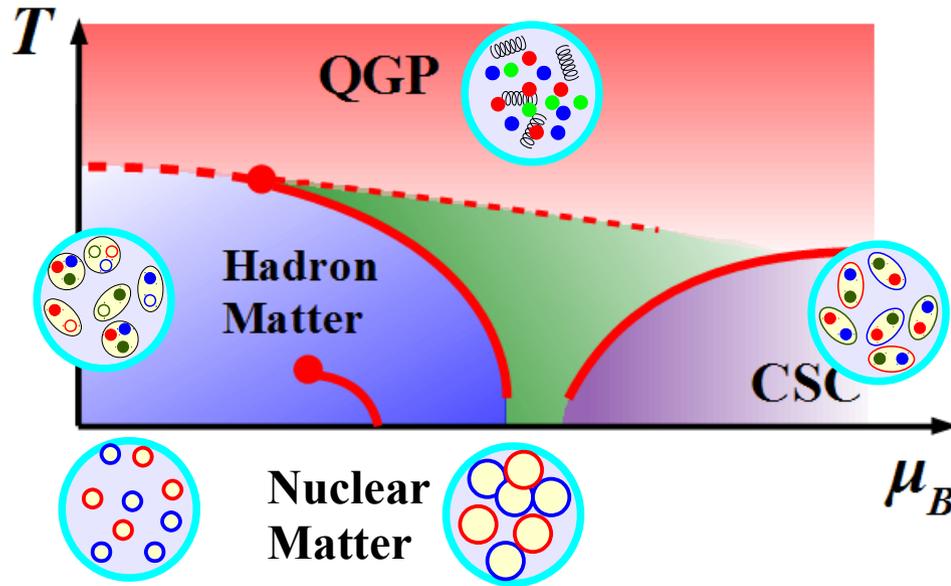
**Akira Ohnishi (YITP)**

**Symmetry Energy workshop,  
Nov. 10-12, 2011, Kyoto, Japan**

- **Introduction**
- **QCD phase diagram in asymmetric nuclear matter  
and black hole formation**
- **Symmetry energy dependence of dense matter EOS**
- **Summary**

# QCD Phase diagram and Nuclear Matter EOS

- Phase diagram and EOS  
= Two important aspects of Nuclear Matter
- Dense nuclear matter has rich physics  
→ Many-body theory, Exotic compositions, CEP, Astrophysical applications, ...



## Nuclear matter EOS

= Subjects in Nuclear, Quark-Hadron, Particle, Astro, and Condensed Matter Physics !

# Symmetry Energy

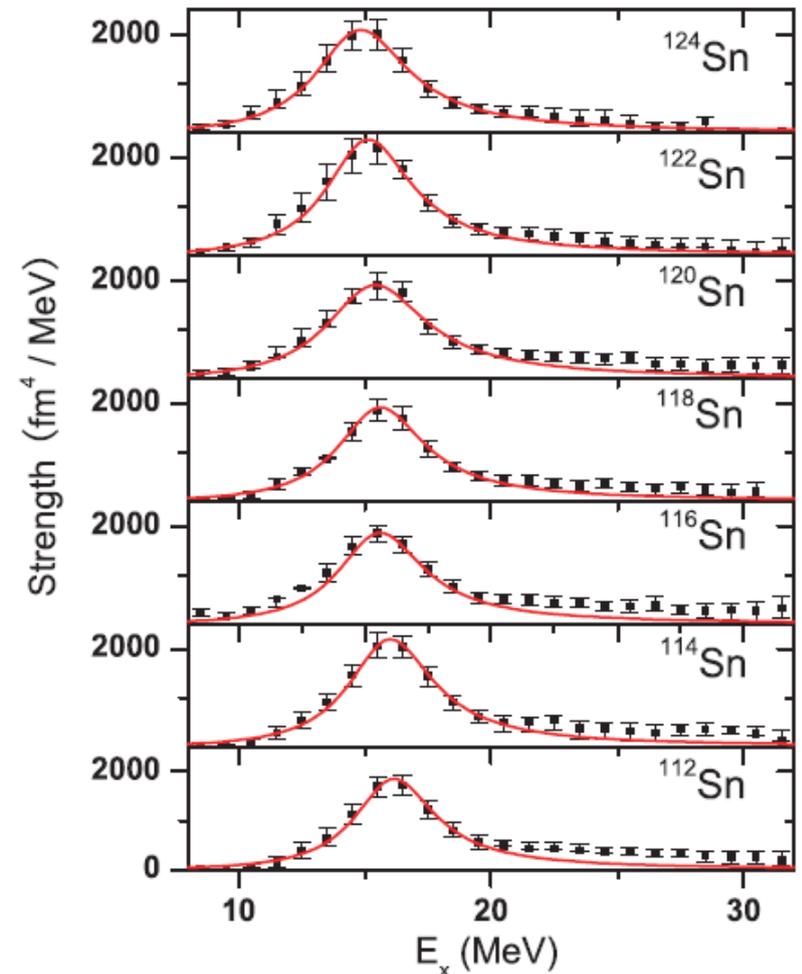
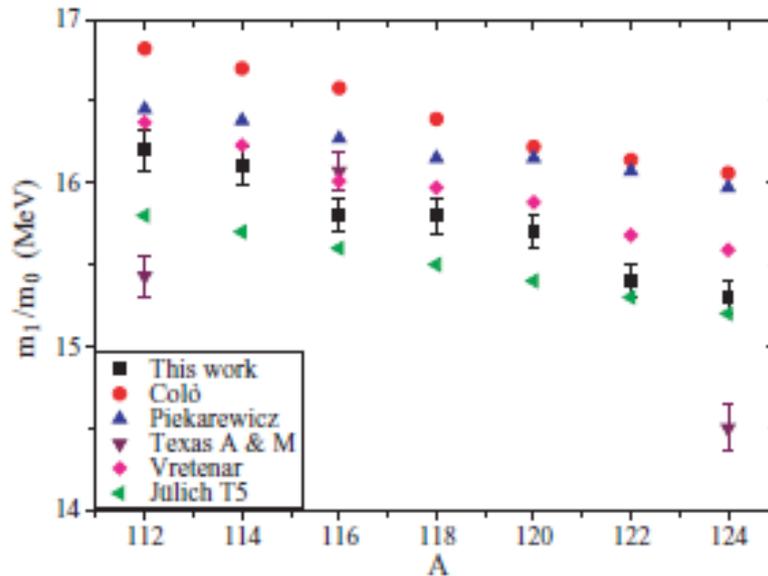
- Recent data suggest that EOS becomes softer in asymmetric nuclear matter.

$$K = K_{\text{sym}} + K_{\text{asy}} \delta^2, \quad K_{\text{asy}} \sim -550 \text{ MeV}$$

$$E_{\text{sym}} \simeq 31.6 (\rho / \rho_0)^{1.05}$$

- Isoscalar Giant Monopole Resonance (ISGMR) of Sn isotopes

- ISGMR in Isotope chain ( $^{112}\text{Sn} \sim ^{124}\text{Sn}$ ) is systematically studied.



*T. Li, U. Garg, et al., PRC81('10), 034309.*

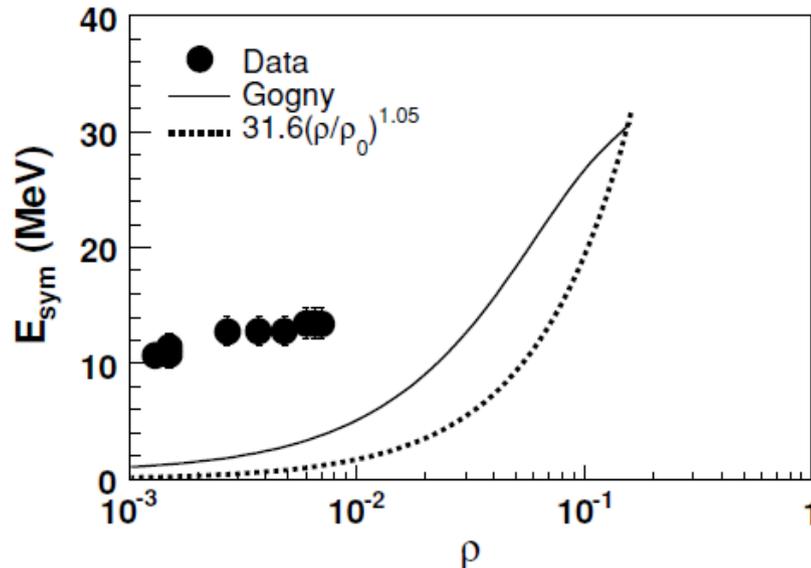
# Symmetry Energy

## ■ Symmetry energy in HIC

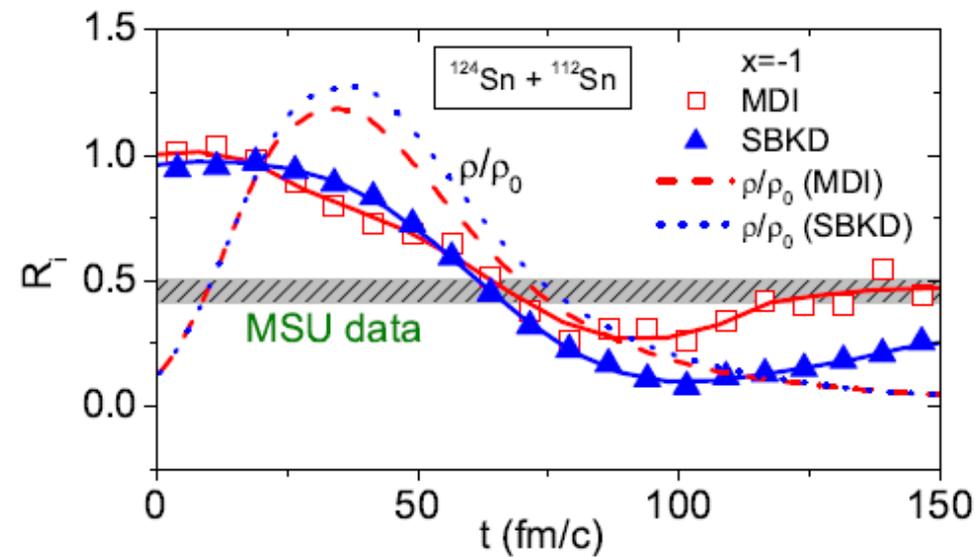
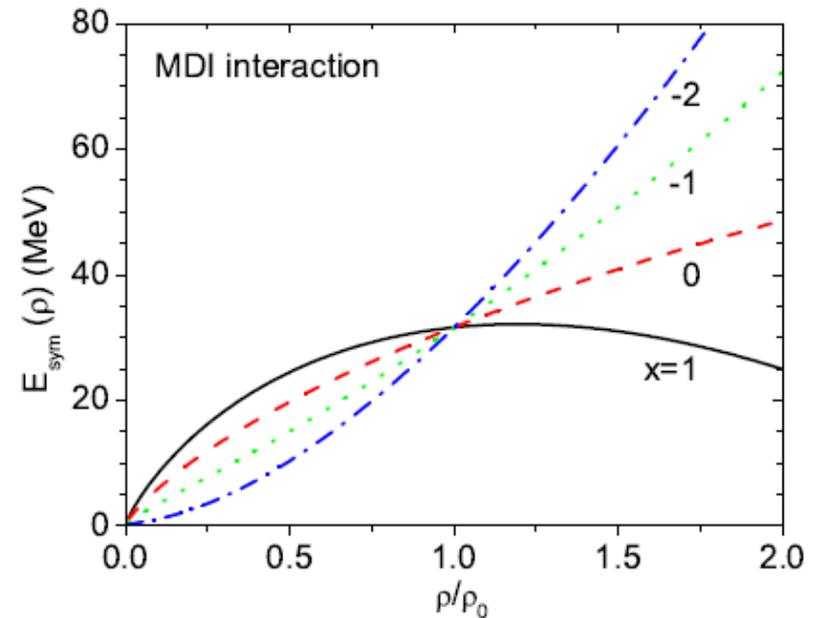
- Isospin diffusion  $\rightarrow K_{\text{asy}} \sim -550 \text{ MeV}$

$$R_I = \frac{2X_{^{124}\text{Sn}+^{112}\text{Sn}} - X_{^{124}\text{Sn}+^{124}\text{Sn}} - X_{^{112}\text{Sn}+^{112}\text{Sn}}}{X_{^{124}\text{Sn}+^{124}\text{Sn}} - X_{^{112}\text{Sn}+^{112}\text{Sn}}}$$

- Light frag. dist.  
 $\rightarrow$  Larger Sym. E at low  $\rho$

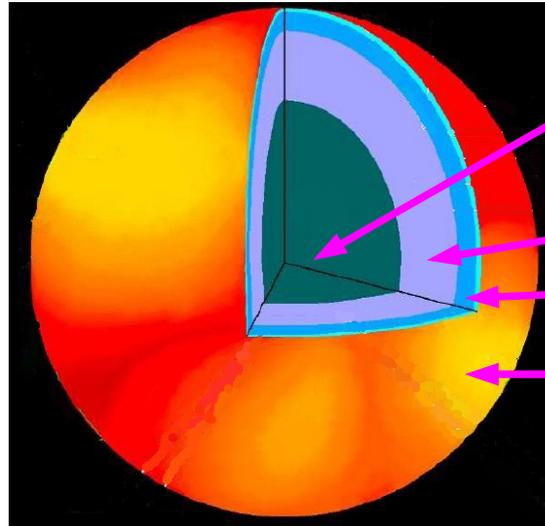


*S. Kowalski, ..., A. Ono, PRC75('07)014601*



*L.W.Chen, C.M.Ko, B.A.Li, PRL94('05),032701.*

# Neutron Star Composition

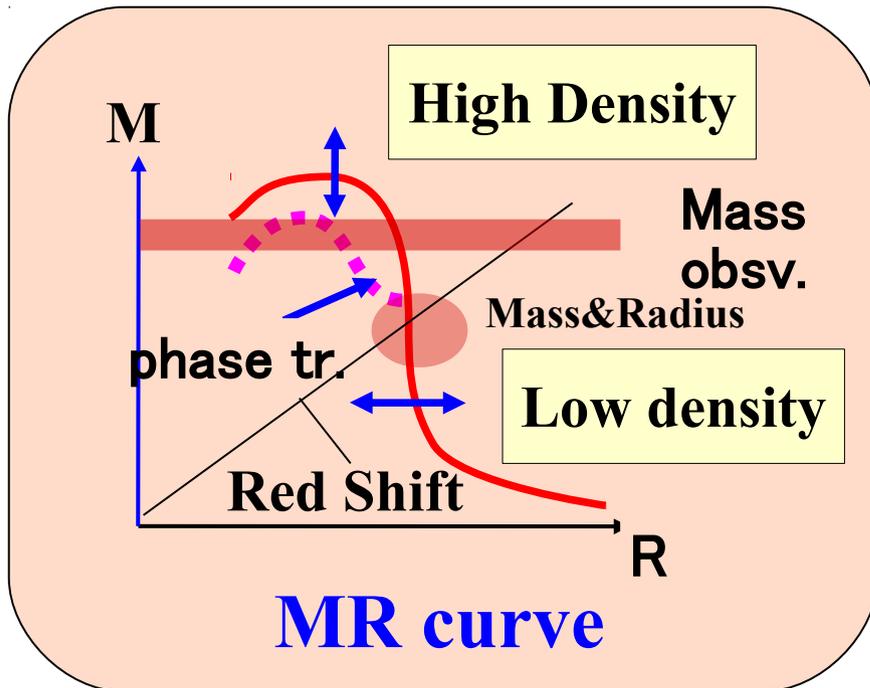


Hyperons, mesons, quarks

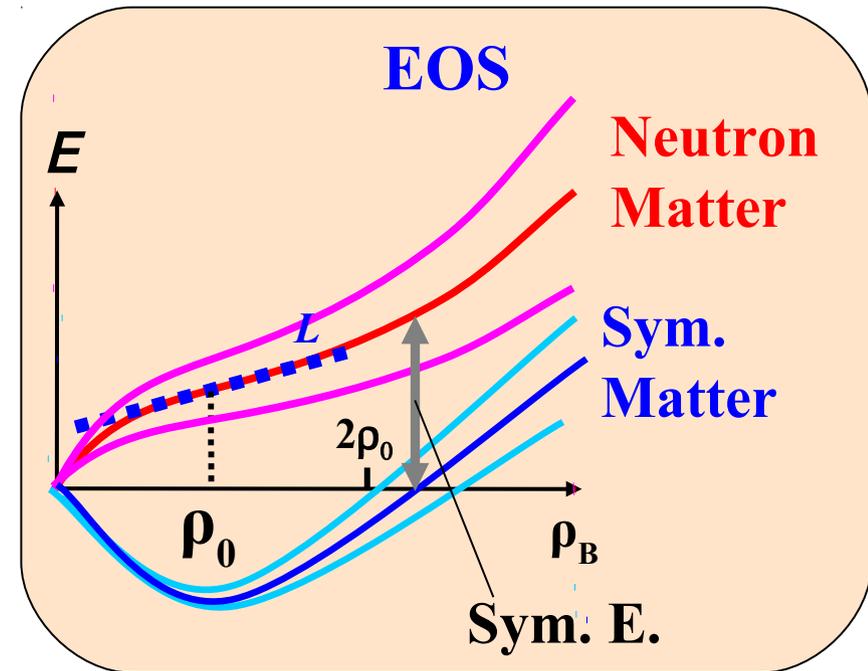
Asym. nuclear matter+elec.+ $\mu$

Nuclei+neutron gas+elec.

Nuclei + elec.

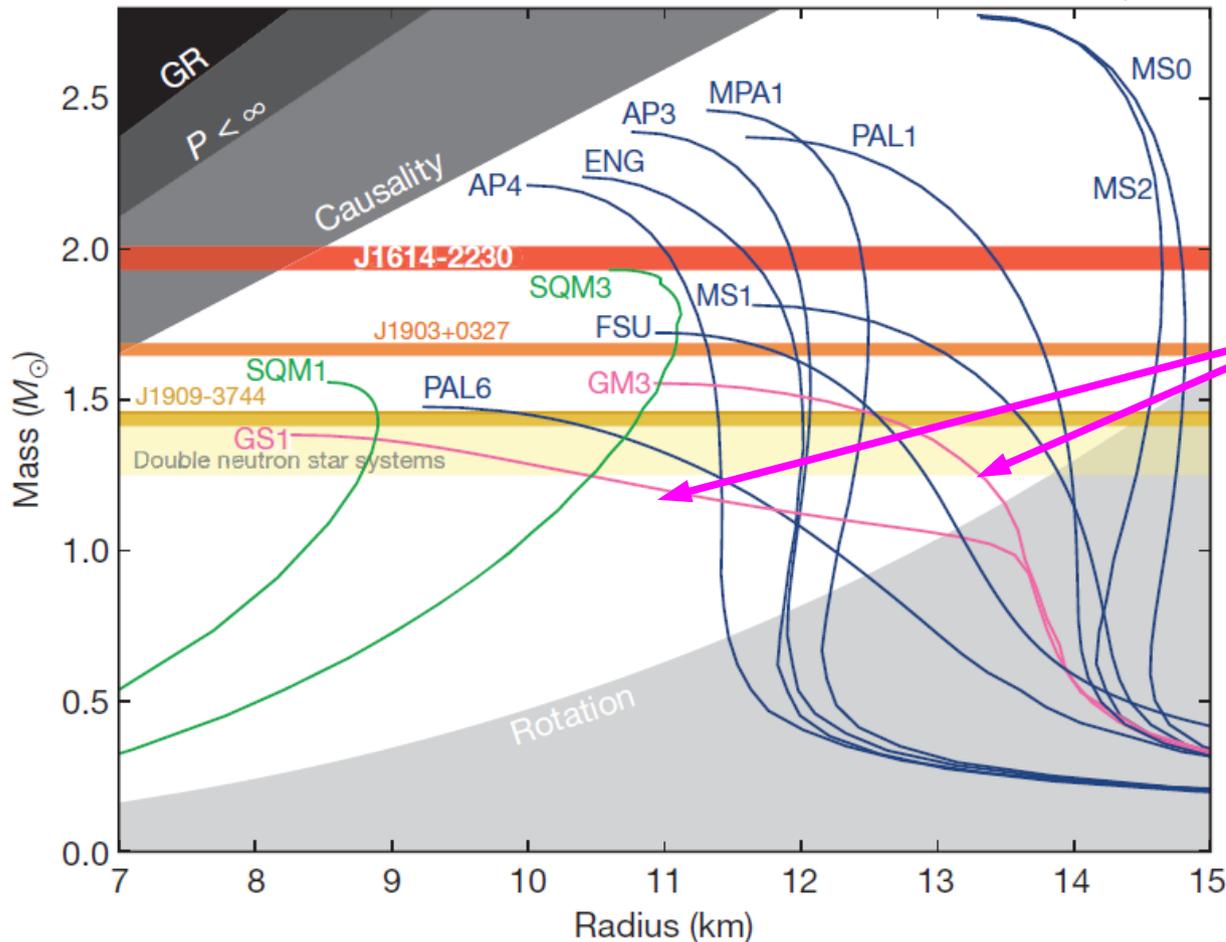


TOV  
eq.



# $1.97 \pm 0.04 M_{\odot}$ Neutron Star

Demorest et al., *Nature* 467 (2010) 1081 (Oct.28, 2010).



**EOS with  
Strange Hadrons**

signature. We calculate the pulsar mass to be  $(1.97 \pm 0.04)M_{\odot}$ , which rules out almost all currently proposed<sup>2-5</sup> hyperon or boson condensate equations of state ( $M_{\odot}$ , solar mass). Quark matter can support a star this massive only if the quarks are strongly interacting and are therefore not 'free' quarks<sup>12</sup>.

# *Symmetry Energy at High Density*

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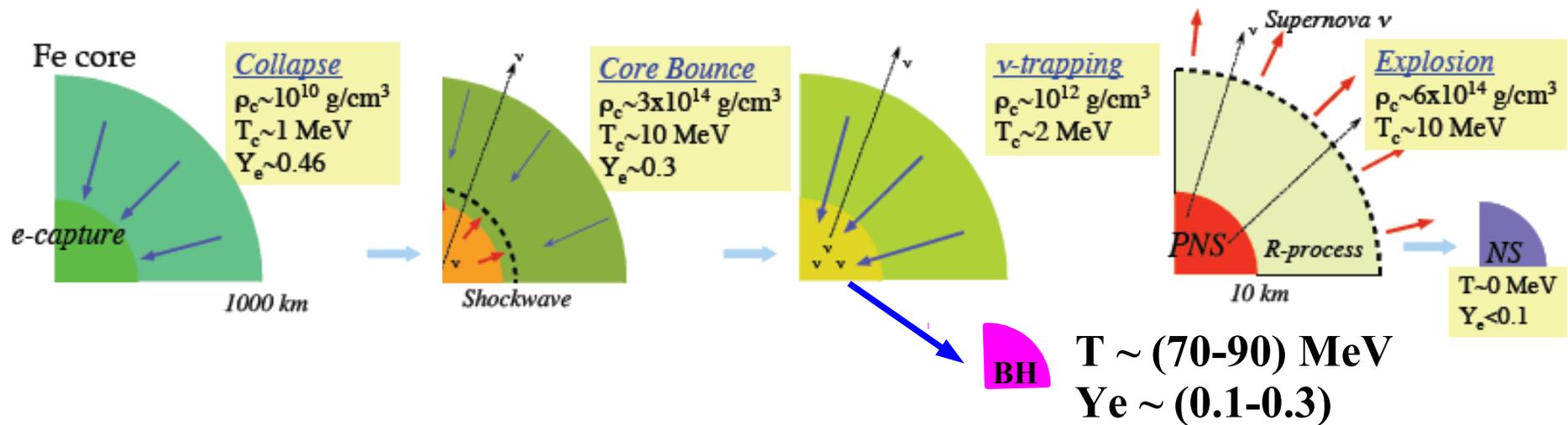
- **Symmetric matter pressure is zero at  $\rho_0$ .**  
→ A large part of pressure in neutron star matter at  $\sim \rho_0$  comes from symmetry energy.
- **Symmetry energy helps to enhance electron chemical potential.**  
→ How does it affect to pion condensation and transition to quark matter ?
- **In this work, we study the role of symmetry energy at high density from the comparison of the hadronic EOS (Relativistic Mean Field; RMF) and chiral effective model (NJL, PNJL, PQM, ...) results.**

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*Let me advertize our recent work on ...*

*Black Hole Formation  
and  
Phase diagram  
in (Isospin) Asymmetric Matter*

# Quark Matter in Compact Stars



- Probable in Neutron Star (Cold, dense, asymmetric)  
*E.g. N. Glendenning, "Compact Stars"; F. Weber, Prog.Part.Nucl.Phys.54('05)193*
- Suggestions in Supernovae (Warm, mildly dense ( $\sim 1.8 \rho_0$ ))  
*T. Hatsuda, MPLA2('87)805; I. Sagert et al., PRL102 ('09) 081101.*
- May be in Neutron star merger (Very dense)
- *How about Dynamical black hole formation*  
→ *Very Hot ( $\sim 90 \text{ MeV}$ ), dense ( $\sim 5\rho_0$ ), Asym. ( $Y_p \sim (0.1-0.3)$ )*  
*M. Liebendorfer et al., ApJS 150('04)263; K. Sumiyoshi, et al., PRL97('06) 091101;*  
*K.Sumiyoshi, C.Ishizuka, AO, S.Yamada, H.Suzuki, ApJL690('09),L43*

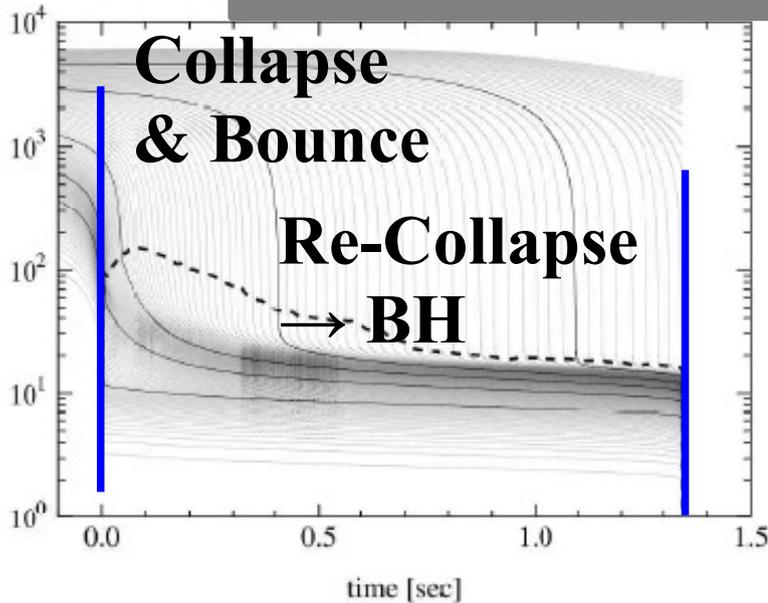
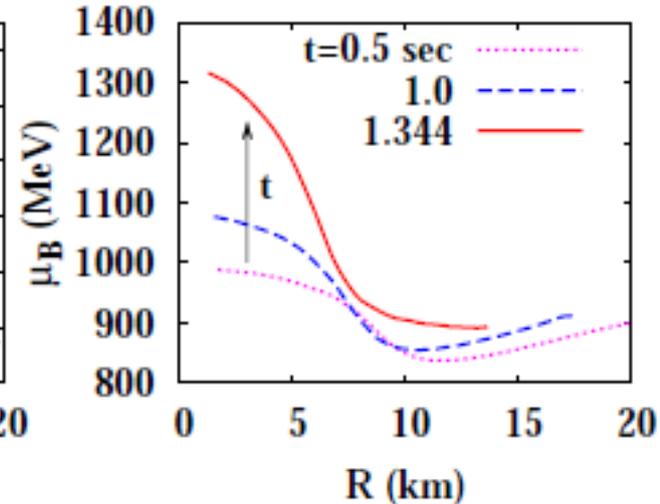
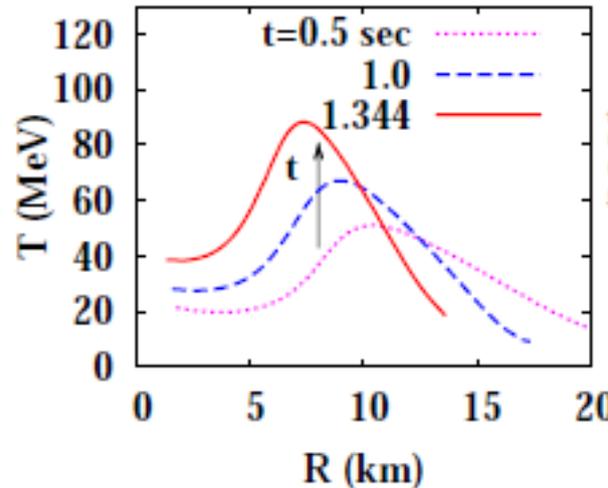
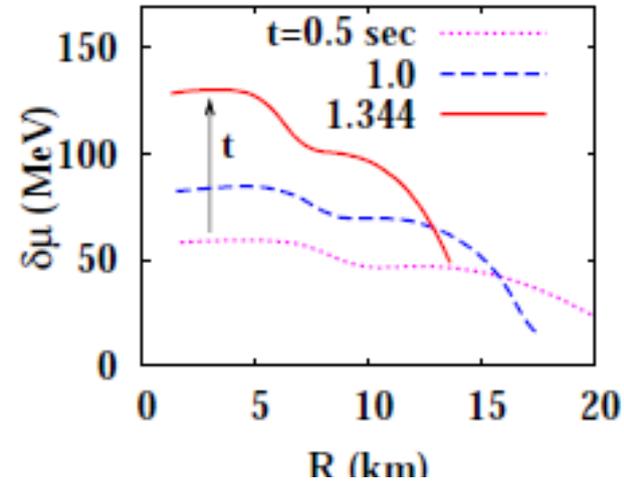
# Dynamical Black Hole Formation

## ■ Gravitational collapse of heavy (e.g. $40 M_{\odot}$ ) progenitor $\rightarrow$ BH

- $\nu$  heating is not enough  $\rightarrow$  failed supernova
- Hot and Dense  $\rightarrow$  exotic dof  $\rightarrow$  shorter  $\nu$  duration

Sumiyoshi, Ishizuka, AO, Yamada, Suzuki,  
ApJL690('09)L43

*Is quark matter formed ?*  
*Is the Critical Point probed ?*



Sumiyoshi, Yamada, Suzuki,  
Chiba, PRL 97('06)091101.

AO, Ueda, Nakano, Ruggieri, Sumiyoshi, PLB704('11),284

Ohnishi, Sym. E., Nov.10-12, 2011, YITP, Kyoto, Japan 10

# Model Details

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## ■ BH formation calculation

*Sumiyoshi, Yamada, Suzuki, Chiba, PRL 97('06)091101.*

- **v radiation 1D (spherical) Hydrodynamics**
- **v transport is calculated exactly by solving the Boltzmann eq.**
- **Gravitational collapse of  $40 M_{\odot}$  star**
- **Initial condition: WW95**  
*S.E.Woosley, T.A.Weaver, ApJS 101 ('95) 181*
- **Shen EOS (npe $\mu$ )**

## ■ QCD effective models

- **NJL, PNJL, PNJL with 8 quark int., PQM**
- **$N_f=2$**
- **Vector coupling  $\rightarrow G_v/G_s$  ( $g_v/g_s$  in PQM)=0, 0.2**

# Chiral Effective Models

## Approaches to Phase Diagram

### Lattice QCD:

Reliable at small  $\mu$  ( $\mu \ll T$ ), but has the sign problem at large  $\mu$

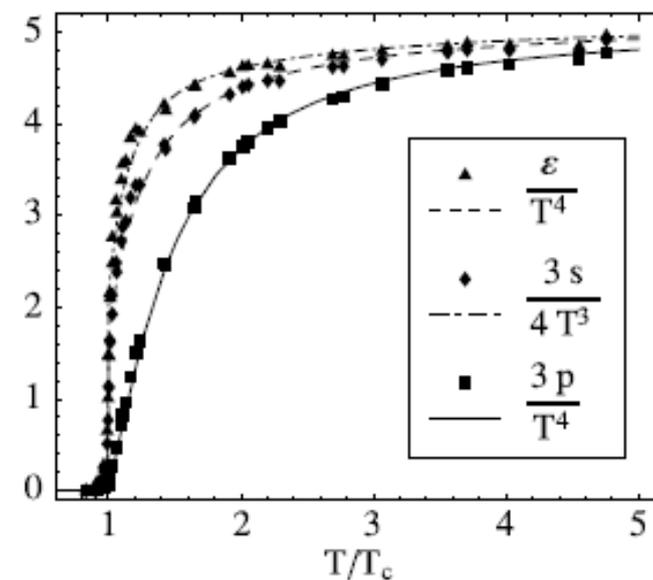
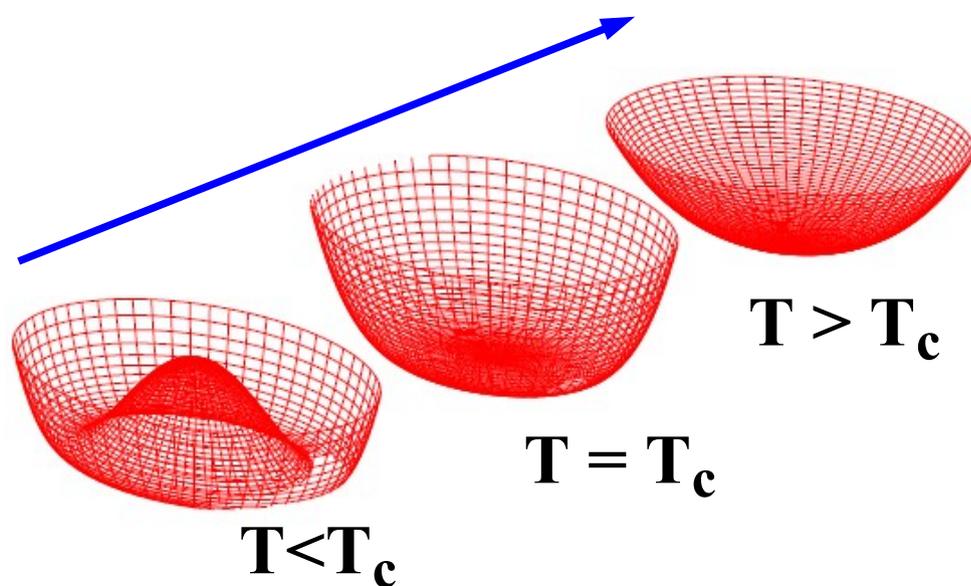
### Chiral Effective models: NJL, PNJL, PQM

*Nambu, Jona-Lasinio ('61), Fukushima('03), Ratti, Thaler, Weise ('06),*

*B.J.Schafer, Pawłowski, Wambach ('07); Skokov, Friman, E.Nakano, Redlich('10)*

Spontaneous breaking & restoration of chiral symmetry

Polyakov loop extension  $\rightarrow$  Deconf. transitions



*Roessner et al.('07)*

# Chiral Effective Models ( $N_f=2$ )

## ■ Lagrangian (PQM, as an example)

$$L = \bar{q} \left[ i \gamma^\mu \underline{D}_\mu - g_\sigma (\underline{\sigma} + i \gamma_5 \underline{\tau} \cdot \underline{\pi}) \right] q + \frac{1}{2} \partial^\mu \sigma \partial_\mu \sigma + \frac{1}{2} \partial^\mu \underline{\pi} \cdot \partial_\mu \underline{\pi} - \underbrace{U_\sigma(\sigma, \pi)}_{\text{chiral}} - \underbrace{U_\Phi(\Phi, \bar{\Phi})}_{\text{Polyakov}}$$

q-Pol.
quark-meson
chiral
Polyakov

$$F_{\text{eff}} \equiv \Omega/V = U_\sigma(\sigma, \pi=0) + U_\Phi(\Phi, \bar{\Phi}) + \underbrace{F_{\text{therm}}}_{\text{particle exc.}} + \underbrace{U_{\text{vac}}(\sigma, \Phi, \bar{\Phi})}_{\text{q zero point}}$$

- $U_\sigma \sim \phi^4$  theory,  $U_\Phi \sim -\log$  (Haar Measure)
- Parameters are fixed by fitting vacuum hadron masses ( $U_\sigma$ ) and lattice data ( $\Phi(T) \rightarrow U_\Phi$ )

- Vector coupling is not known well  $\rightarrow$  Comparison of  $g_v/g_s=0, 0.2$

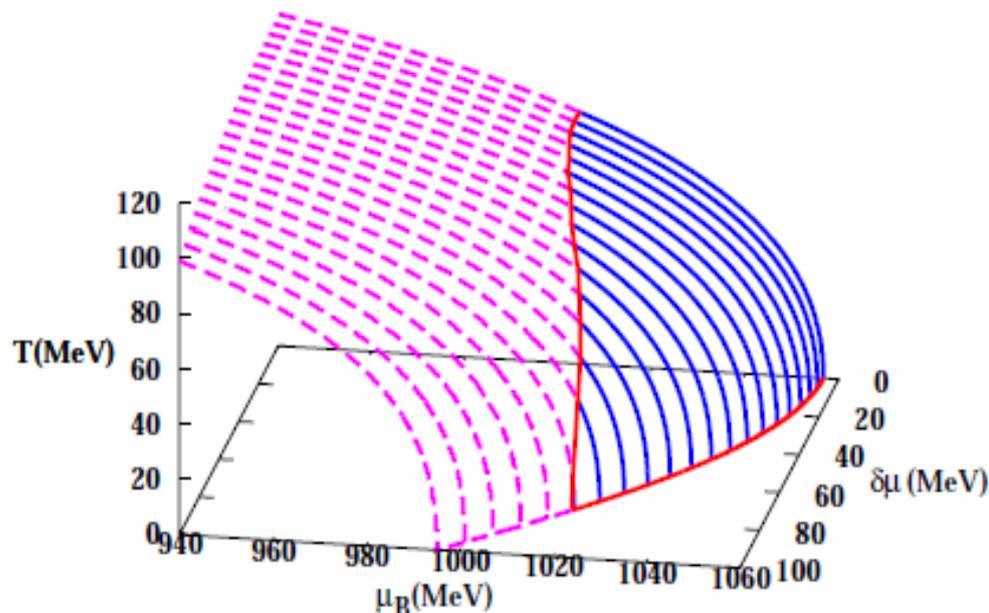
$$L_V = -g_v \bar{q} \gamma_\mu (\omega^\mu + \underline{\tau} \cdot \underline{R}^\mu) q - \frac{1}{4} \omega_{\mu\nu} \omega^{\mu\nu} - \frac{1}{4} \underline{R}_{\mu\nu} \cdot \underline{R}^{\mu\nu} + \frac{1}{2} m_\omega^2 \omega_\mu \omega^\mu + \frac{1}{2} m_\rho^2 R_\mu R^\mu$$

- 8 Fermi interaction

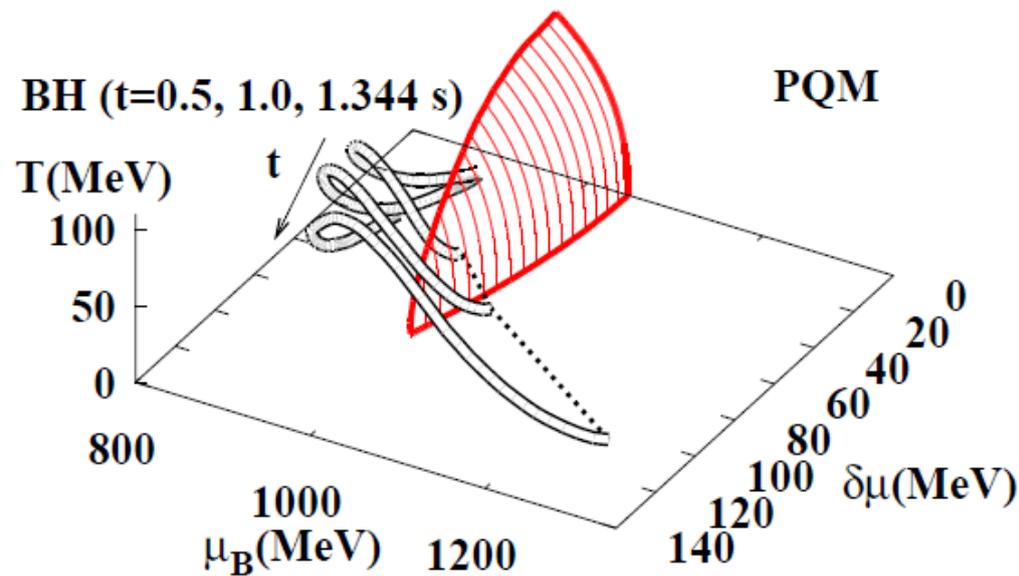
*T. Sasaki, Y. Sakai, H. Kouno, M. Yahiro ('10)*  $G_{\sigma 8} \left[ (\bar{q}q)^2 + (\bar{q}i\gamma_5 \underline{\tau}q)^2 \right]^2$

# 3D phase diagram and BH formation

- Isospin chemical potential  $\delta\mu = (\mu_d - \mu_u)/2 = (\mu_n - \mu_p)/2$ 
  - Smaller “Effective” number of flavors  $\rightarrow$  smaller  $T_{CP}$
- BH formation process  $\rightarrow$  Quark matter formation & CP sweep
  - Highest  $\mu_B$  just  $\sim 1300$  MeV  $>$   $\mu_c$  (1000-1100 MeV in eff. models)
  - Highest  $T \sim 90$  MeV  $>$   $T_{CP}$  (at  $\delta\mu \sim 50$  MeV)



*H. Ueda, et al, in preparation*



*AO, H.Ueda, T.Z.Nakano, M.Ruggieri, K.Sumiyoshi, PLB 704 ('11)284.*

# Swept Region of Phase Diagram during BH formation

## ■ CP location

### in Symmetric Matter

- Lattice QCD

$$\mu_{\text{CP}} = (400-900) \text{ MeV}$$

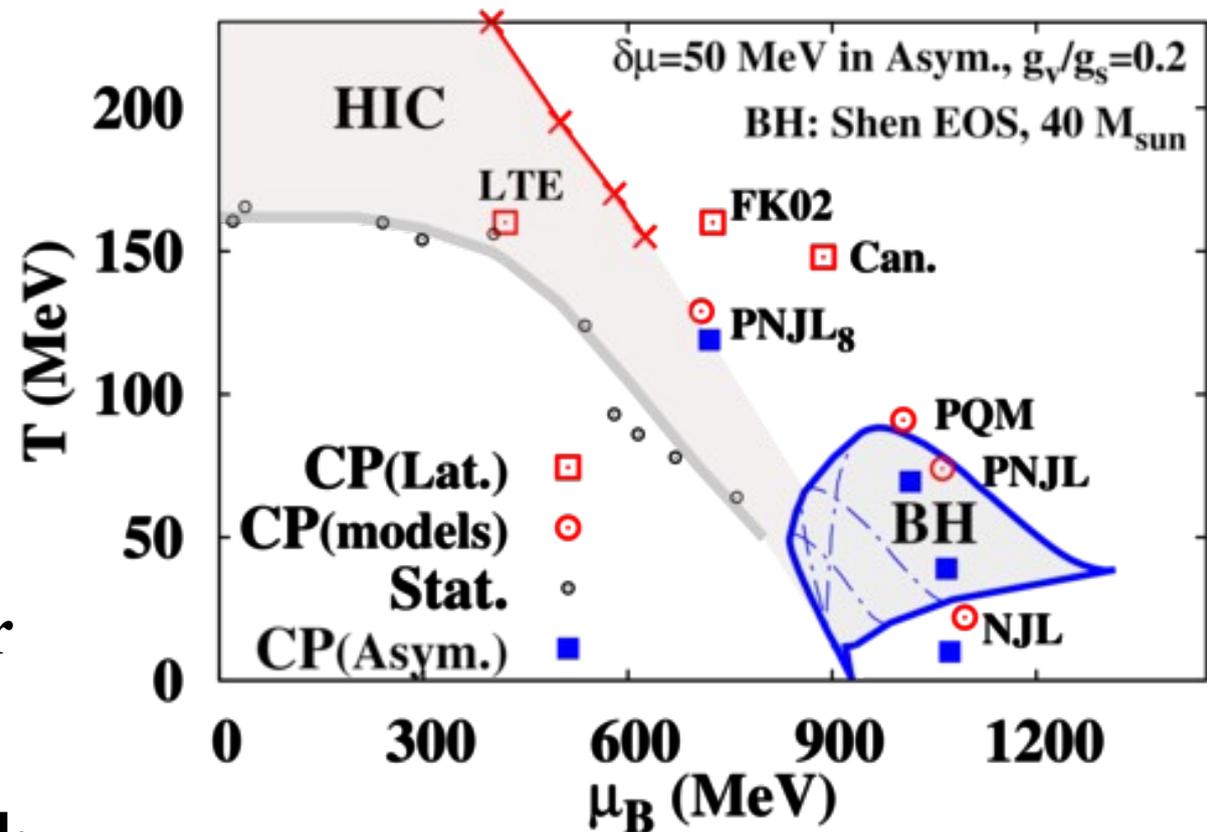
- Effective models

$$\mu_{\text{CP}} = (700-1050) \text{ MeV}$$

## ■ CP in Asymmetric Matter (E.g. $\delta\mu=50 \text{ MeV}$ )

- $T_{\text{CP}}$  decreases at finite  $\delta\mu$ .

→ Accessible  $(T, \mu_{\text{B}})$  region  
during BH formation



*M.A.Stephanov, Prog.Theor.Phys.Suppl.153 ('04)139;*

*FK02:Z. Fodor, S.D.Katz, JHEP 0203 (2002) 014*

*LTE:S. Ejiri et al., Prog.Theor.Phys.Suppl. 153 (2004) 118;*

*Can: S. Ejiri, PRD78 (2008) 074507*

*Stat.:A. Andronic et al., NPA 772('06)167*

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*Phase diagram in  $(\mu_B, \delta\mu)$  plane ( $T=0$ )  
and  
Nuclear Symmetry Energy  
at High Density*

# How about Neutron Stars ?

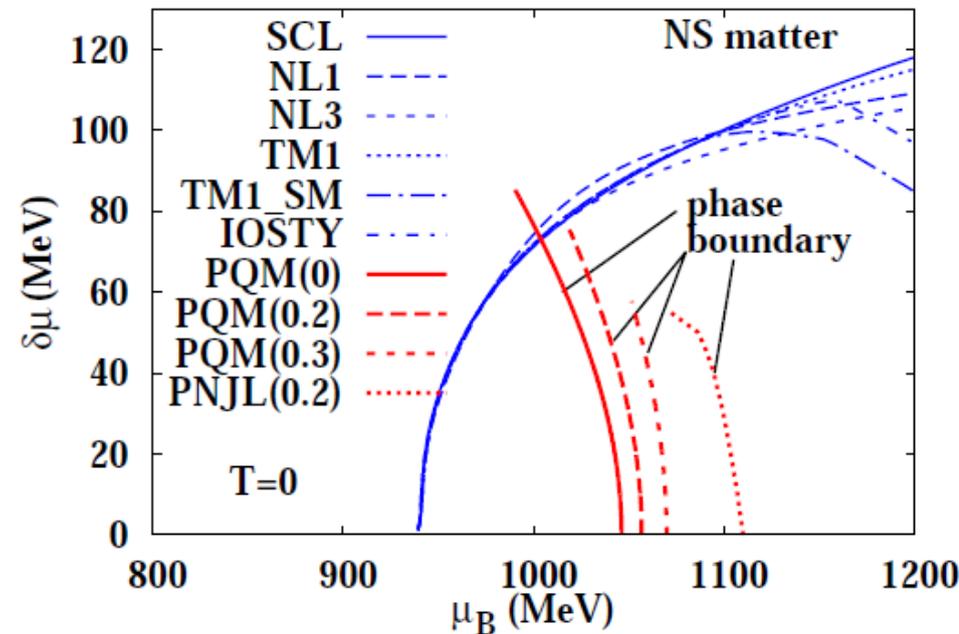
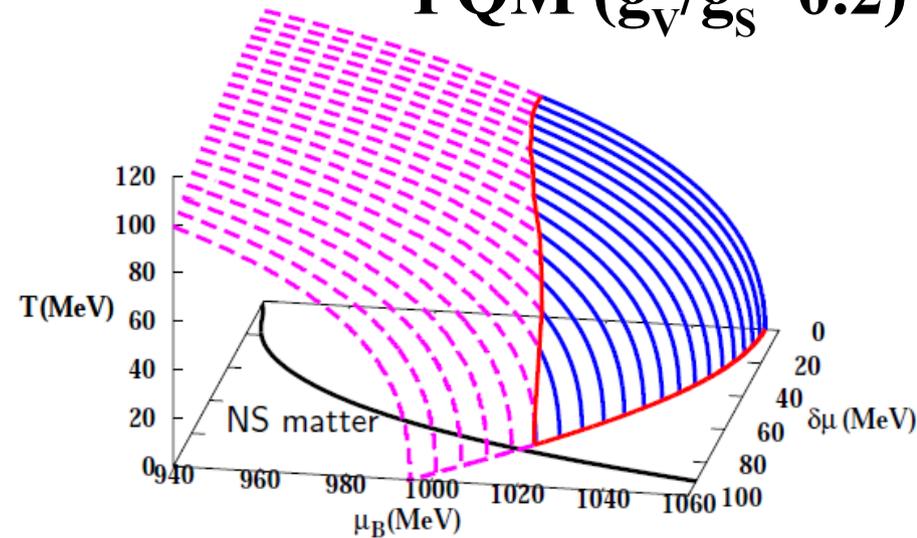
## ■ Neutron Star matter in RMF

- Solve equilibrium condition at  $T=0$   
 $\delta\mu = \mu_e/2$ ,  $\rho_c=0$  ( v less, no charge)
- Various RMFs predict similar  $\delta\mu$  values
- max.  $\delta\mu \sim 100$  MeV

## ■ Phase boundary at $T=0$ in eff. models

- First order phase transition disappears at  $\delta\mu = (60-80)$  MeV  
 $\rightarrow$  Possibility of cross over in NS

PQM ( $g_v/g_s=0.2$ )



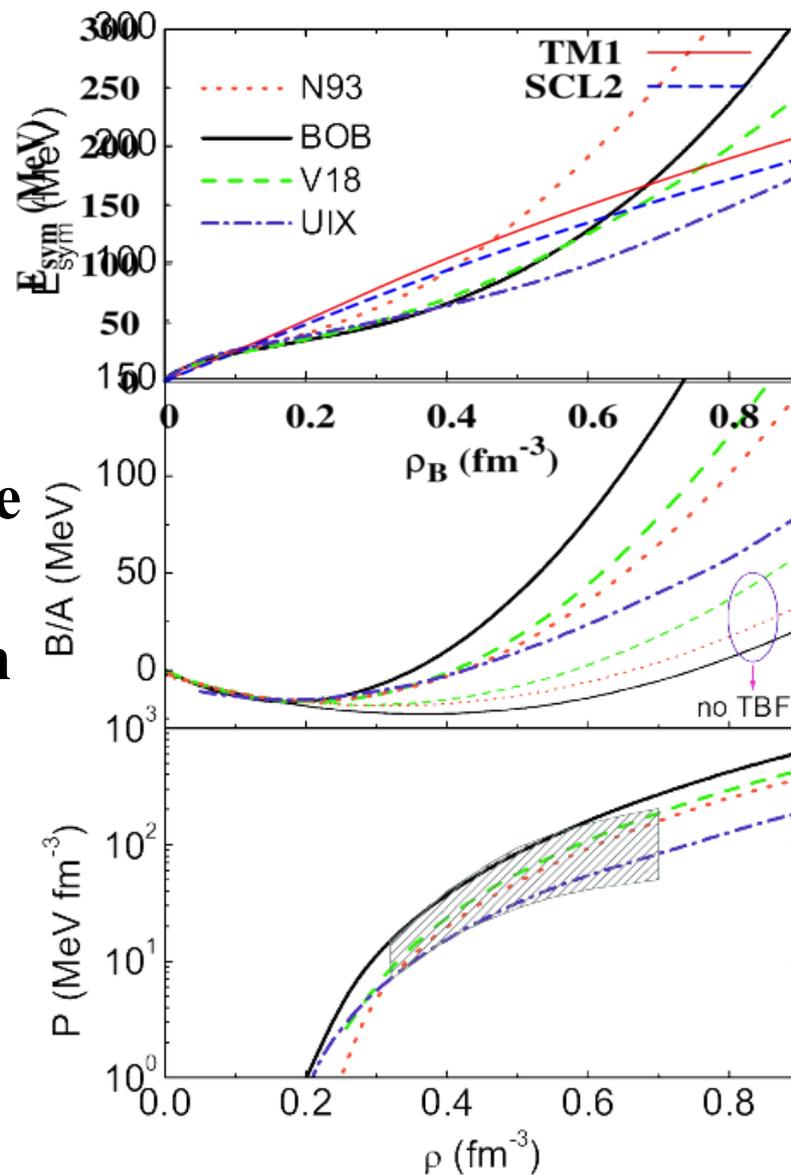
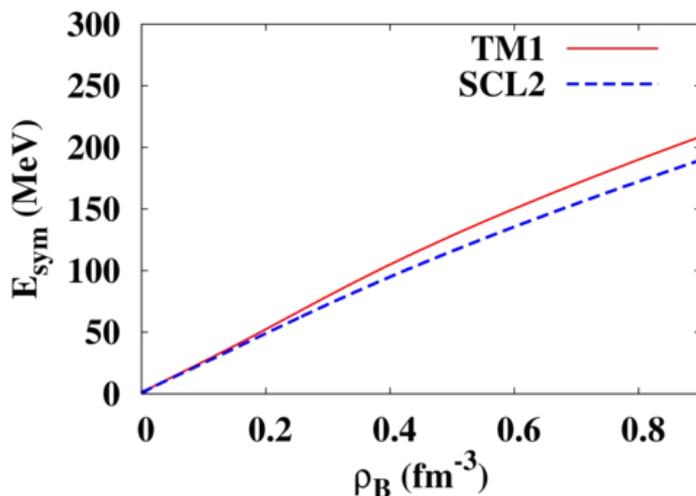
*H. Ueda et al., in preparation*

# Density dependence of Symmetry Energy

- RMFs have small ambiguity in  $E_{\text{sym}}$ .

Is it true ?

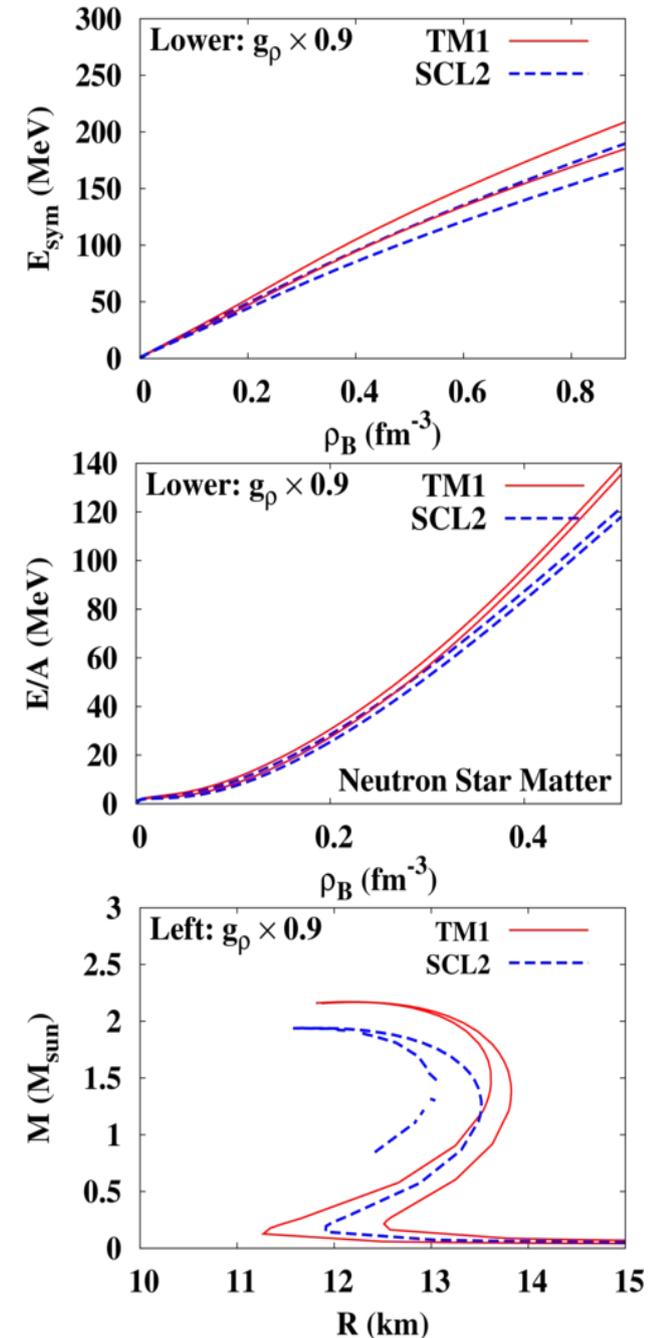
- RMF  $E_{\text{sym}}$  is determined to fit finite nuclear BE, thus reflects average values in the  $\rho_B < \rho_0$  region.
- Nuclear effective potential (g-matrix) suggests S-curve behavior of  $E_{\text{sym}}$ , while RMF gives  $E_{\text{sym}}$  almost linear in  $\rho_B$ .  
 → RMF may overestimate  $E_{\text{sym}}$  at high density.



Lombardo, DCEN slide

# Effects of Symmetry Energy Change on Phase Transition

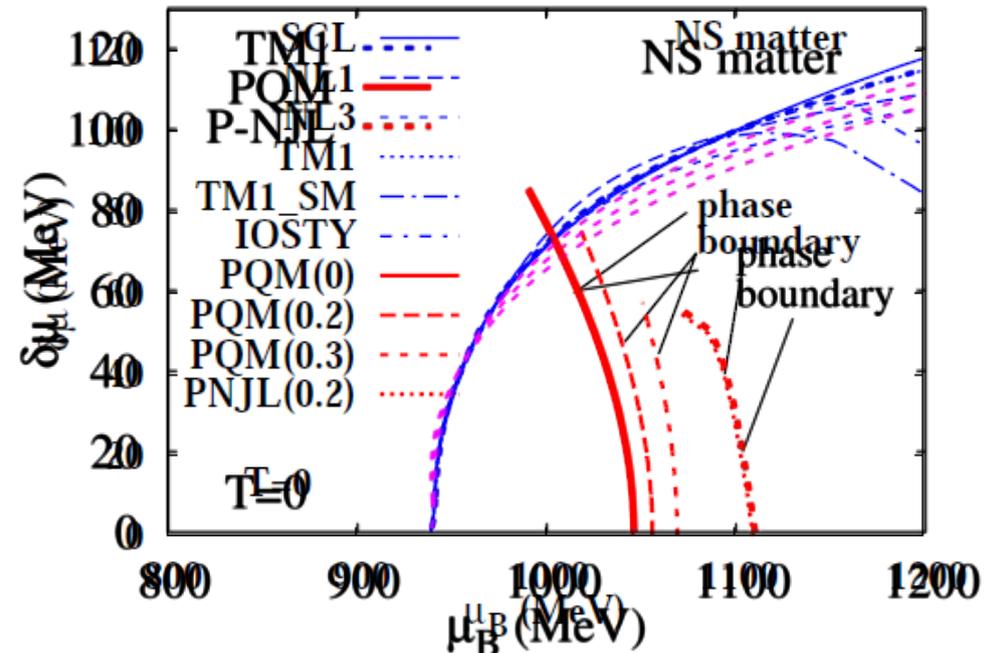
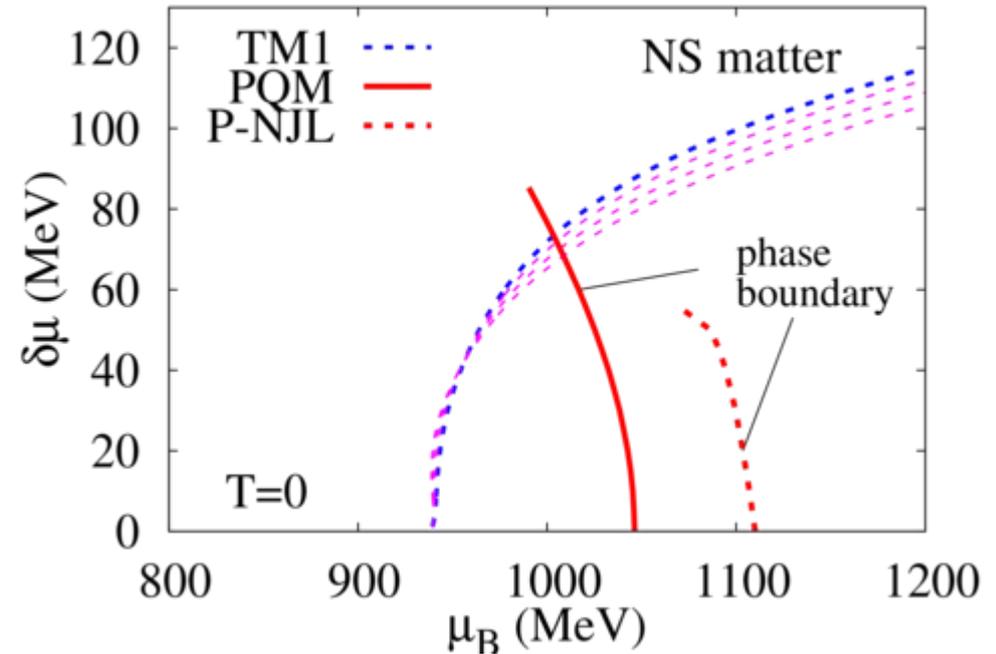
- Simple try: Reduce  $g_\rho$  ( $\rho$ -N coupling) by a factor 0.9.
  - No re-fit of nuclear BE
  - Not connected to low-density (nuclear mixed) EOS (i.e. Do not believe the results.)
- Light neutron star radii sensitively depends on the symmetry energy strength.
  - Pressure at around  $\rho_0$  is dominated by symmetry energy.



# Phase transition with reduced Nuclear Sym. Energy

## ■ Isospin chemical potential

- Smaller  $E_{\text{sym}}$  leads to smaller  $\delta\mu$ .
- Dependence is not large, but moves in the region of  $g_v/g_s=0-0.2$ .



# Summary

- **Critical point temperature would decrease at finite isospin chemical potential,  $\delta\mu=(\mu_d - \mu_u)/2$ .**
  - **Quark matter formation and critical point sweep are expected in black hole formation processes.**
  - **There is also a possibility for the first order phase boundary to disappear in neutron stars because of large  $\delta\mu$ .**
- **Symmetry energy strength at high density is relevant in low-mass neutron star radius (already known) and phase transition.**
  - **Reduced SymE  $\rightarrow$  Smaller  $\delta\mu$  in NS  $\rightarrow$  Possibility of transition order change.**
- **There are many subjects to be discussed**
  - **Construction of Hadron-Quark matter EOS with CP (c.f. J. Steinheimer; D. Blaschke), and its application.**
  - **S-shape dep. of symmetry energy,  $1.97 M_\odot$  neutron star, ...**

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*Thank you for your attention !*

## **Collaborators**

**H. Ueda (Kyoto U.), T.Z.Nakano (Kyoto U./YITP),  
M. Ruggieri (YITP), K. Sumiyoshi (Numazu),  
K. Tsubakihara (Hokkaido U.), C. Ishizuka (Tokyo U. of Sci.),  
S. Yamada (Waseda), H. Suzuki (Tokyo U. Sci.),**