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# *Phase diagram and a sign problem in lattice QCD at strong coupling*

**A. Ohnishi (YITP)**

in collaboration with

**T.Z.Nakano (YITP/Kyoto U.), T. Ichihara (Kyoto U.)**

## ■ Introduction

- Finite density QCD matter: Why and How

## ■ Phase diagram in strong coupling lattice QCD

- Strong coupling limit, finite coupling effects, fluctuations

## ■ Summary

*T. Z. Nakano, K. Miura, AO, PRD83(2011),016014*

*AO, T. Ichihara, T.Z. Nakano, PoS Lattice2012 (2012), 088*

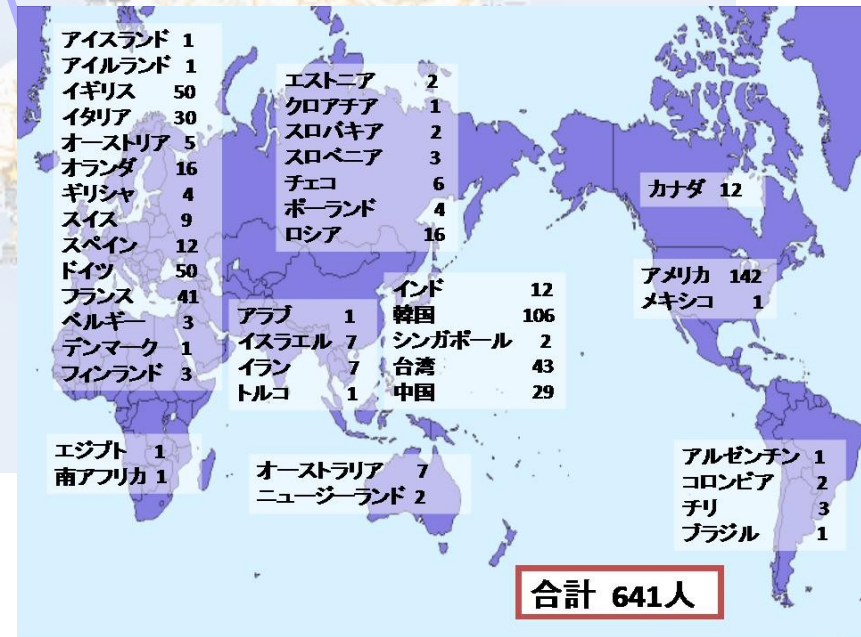
*T. Ichihara, T. Z. Nakano, AO, PoS Lattice2013 (2013), to appear*



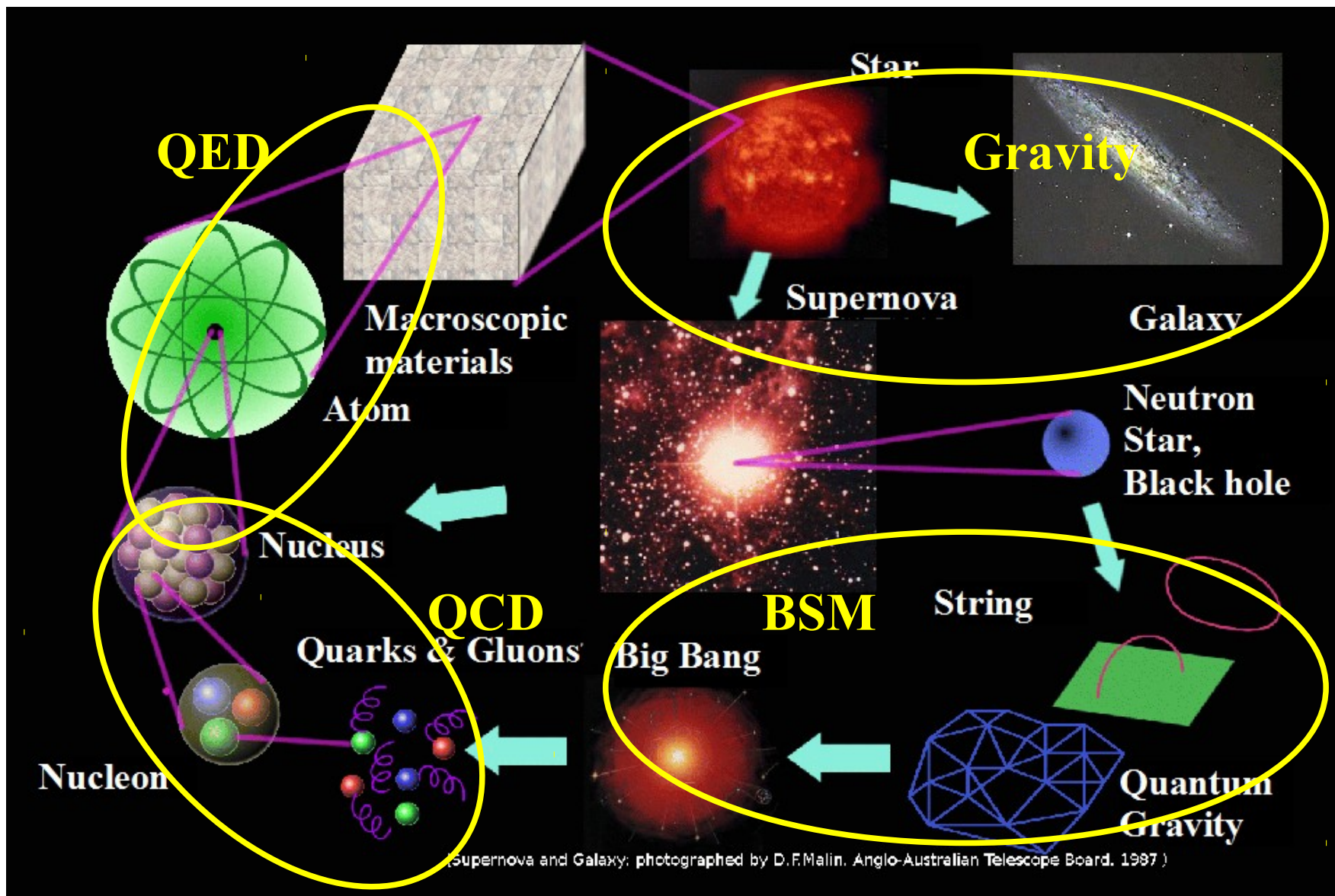
# Do you know Yukawa Institute ?

## ■ Yukawa Institute for Theoretical Physics, Kyoto University

- Founded in 1953 (We celebrated 60 year birthday of YITP in Sep.), to memorize Yukawa's Nobel prize (first winner in Japan).
- Domestic & International Collaboration program  
20-30 domestic workshops, ~ 10 international workshops,  
~ 1000(?) domestic visitors, 600-700 visitors from abroad
- Su Houng was the visiting professor in YITP in 2010.



# Hierarchy of Matter



*We cannot describe nuclei from quarks & gluons yet.  
→ Main obstacle in describing our world from SM.*



## ■ QCD

- Fundamental theory of strong interactions
- Non-Abelian gauge theory (cf. QED)
- Asymptotic freedom at high energies ( $g \rightarrow 0$  @ large  $Q$ ),  
Strong coupling at low energies

## ■ Lagrangian

$$L = \bar{q} (i \gamma^\mu D_\mu - m) q - \frac{1}{2} \text{tr} F_{\mu\nu} F^{\mu\nu}$$

$$D_\mu = \partial_\mu + i g A_\mu \quad (\text{Covariant derivative})$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - i g [A_\mu, A_\nu] = \frac{-i}{g} [D_\mu, D_\nu] \quad (\text{Field strength})$$

$$A_\mu = A_\mu^a t^a \quad (t^a = \text{SU}(3) \text{ generator}, [t^a, t^b] = i f_{abc} t^c, \text{tr}(t^a t^b) = \frac{1}{2} \delta_{ab})$$

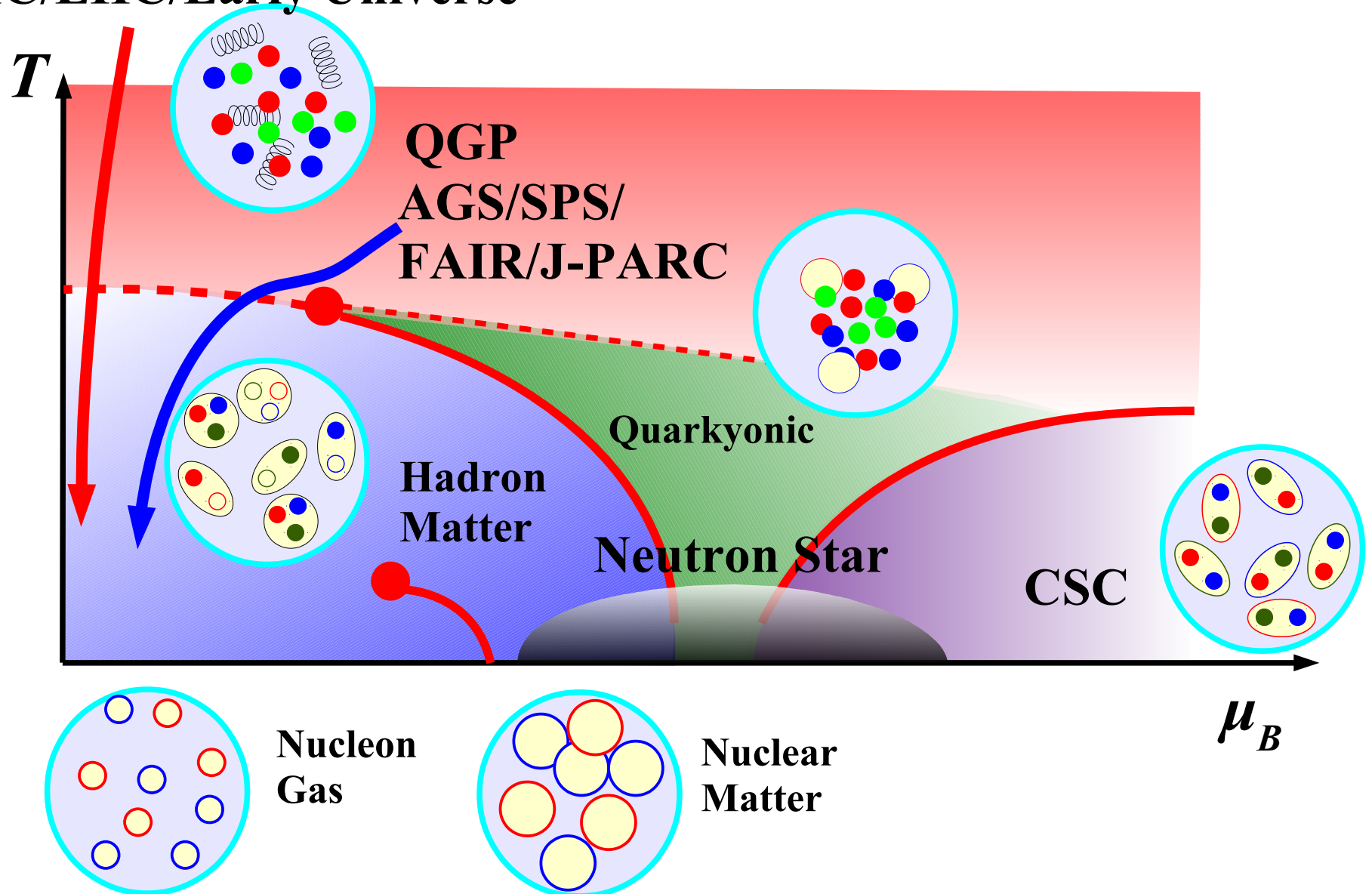
## ■ Gauge transformation

$$q(x) \rightarrow V(x) q(x), \quad g A_\mu(x) \rightarrow V(x) (g A_\mu(x) - i \partial_\mu) V^\dagger(x)$$

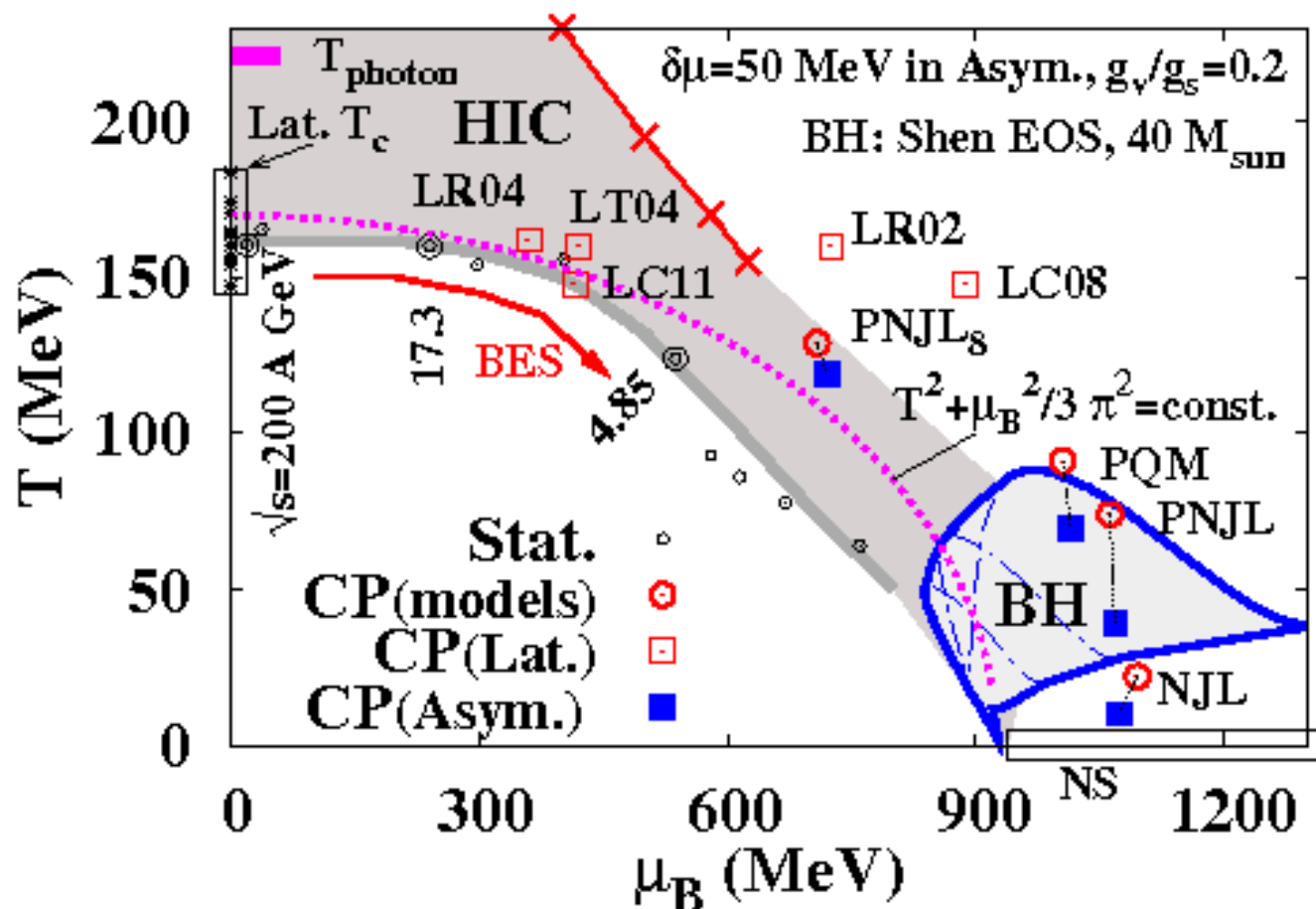
$$F_{\mu\nu}(x) \rightarrow V(x) F_{\mu\nu} V^\dagger(x), \quad D_\mu(x) \rightarrow V(x) D_\mu(x) V^\dagger(x)$$

# QCD Phase Diagram

RHIC/LHC/Early Universe



# QCD phase diagram (Exp. & Theor. Studies)



*QCD phase transition is not only an academic problem,  
 but also a subject which would be measured  
 in HIC or Compact Stars*

# *How can we investigate QCD phase diagram ?*

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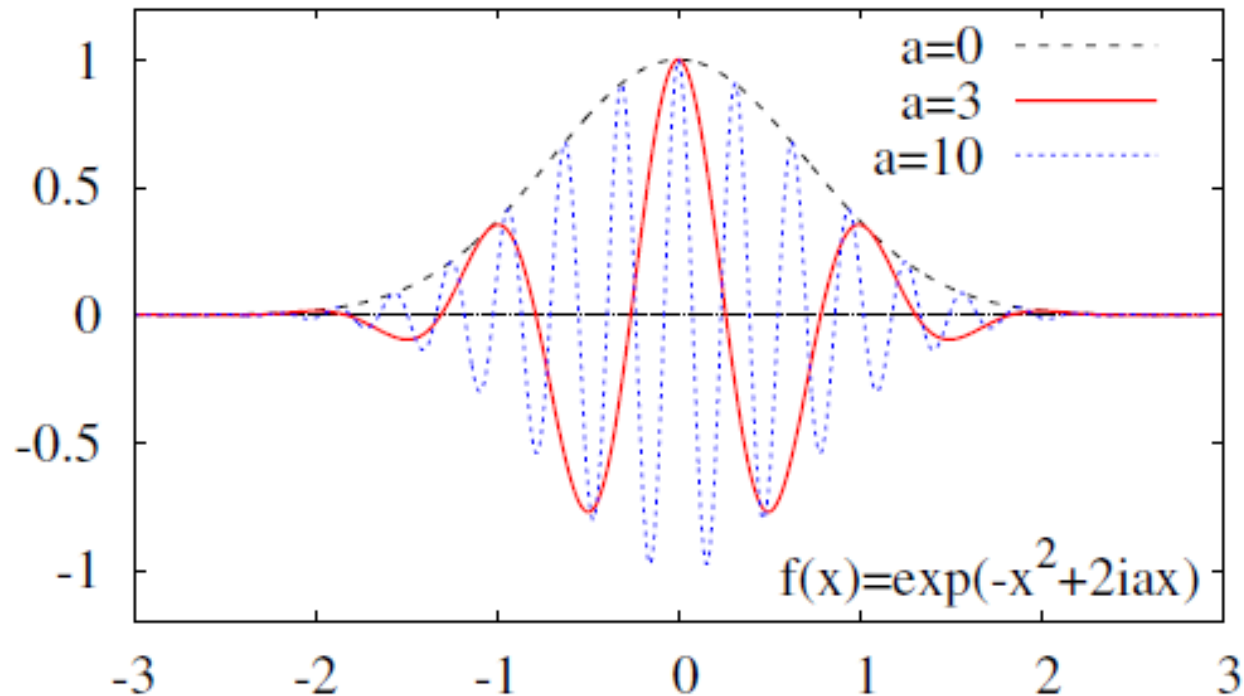
- **Non-pert. & ab initio approach**  
= Monte-Carlo simulation of lattice QCD  
but lattice QCD at finite  $\mu$  has the sign problem.

# Sign Problem

## ■ Monte-Carlo integral of oscillating function

$$Z = \int dx \exp(-x^2 + 2iax) = \sqrt{\pi} \exp(-a^2)$$

$$\langle O \rangle = \frac{1}{Z} \int dx O(x) e^{-x^2 + 2iax}$$



*Easy problem for human is not necessarily easy for computers.*

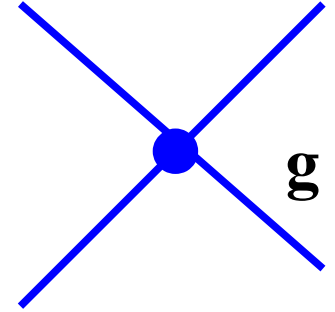


# Sign Problem (cont.)

## ■ Generic problem in quantum many-body problems

### ● Example: Euclid action of interacting Fermions

$$S = \sum_{x,y} \bar{\psi}_x D_{x,y} \psi_y + g \sum_x (\bar{\psi} \psi)_x (\bar{\psi} \psi)_x$$



### ● Bosonization and MC integral ( $g > 0 \rightarrow$ repulsive)

$$\begin{aligned} \exp(-g M_x M_x) &= \int d\sigma_x \exp(-g \sigma_x^2 - 2i g \sigma_x M_x) \quad (M_x = (\bar{\psi} \psi)_x) \\ Z &= \int D[\psi, \bar{\psi}, \sigma] \exp\left[-\bar{\psi}(D + 2i g \sigma)\psi - g \sum_x \sigma_x^2\right] \\ &= \int D[\sigma] \text{Det}(D + 2i g \sigma) \exp\left[-g \sum_x \sigma_x^2\right] \end{aligned}$$

*We frequently encounter complex Fermion matrix which leads to complex weight in MC integral.  
E.g. Solving 2-dim Hubbard model is still problematic.*

# Partition function & Euclidean Action

## ■ Partition function

$$\begin{aligned} Z &= \sum_n \exp(-E_n/T) = \sum_n \langle n | \exp[-\hat{H}/T] | n \rangle \\ &= \sum_n \langle n | \exp[-i\hat{H}(t_f - t_i)] | n \rangle_{t_f - t_i = -i/T} = \int D\phi \exp(-S_E[\phi]) \end{aligned}$$

$$S_E[\phi] = \int_0^\beta d\tau d^3x L_E(\phi, \partial_i \phi, \partial_\tau \phi) \Big|_{\phi(x, \beta) = \phi(x, 0)}$$

$$L_E(\phi, \partial_i \phi, \partial_\tau \phi) = -L(\phi, \partial_i \phi, i\partial_t \phi)$$

$$t = -i\tau, \quad \partial_\tau = -i\partial_t, \quad \beta = 1/T$$

$$iS = i \int_0^{-i\beta} dt \int d^3x L = \int_0^\beta d\tau d^3x L = - \int_0^\beta d\tau d^3x L_E$$

● Partition function = Sum of imaginary time evolved amplitudes

## ■ Euclidean Action: Example

$$L = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2 - U(\phi) \longrightarrow L_E = \frac{1}{2} \partial_\mu \phi \partial_\mu \phi + \frac{1}{2} m^2 \phi^2 + U(\phi)$$

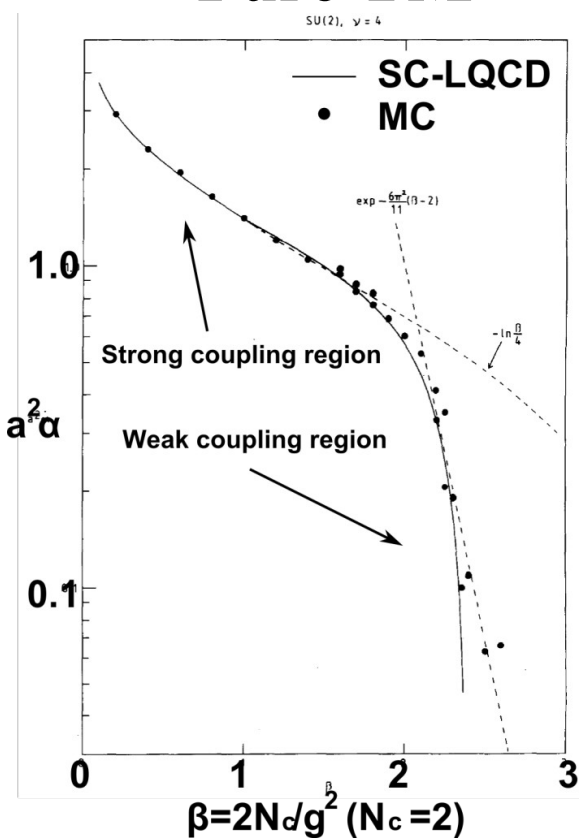
# *How can we investigate QCD phase diagram ?*

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- **Non-pert. & ab initio approach**  
= Monte-Carlo simulation of lattice QCD  
but lattice QCD at finite  $\mu$  has the sign problem.
- **Effective model and/or Approximations are necessary.**
  - **Effective models:**  
Nambu-Jona-Lasinio model,  
Polyakov loop extended NJL model, ...  
but model dependence is large.
  - **Approximate methods:**  
Taylor expansion in  $\mu/T$ , Imag.  $\mu$ , Canonical ensemble,  
Re-weighting, Fugacity expansion, Histogram method,  
Complex Langevin approach, **Strong coupling lattice QCD**

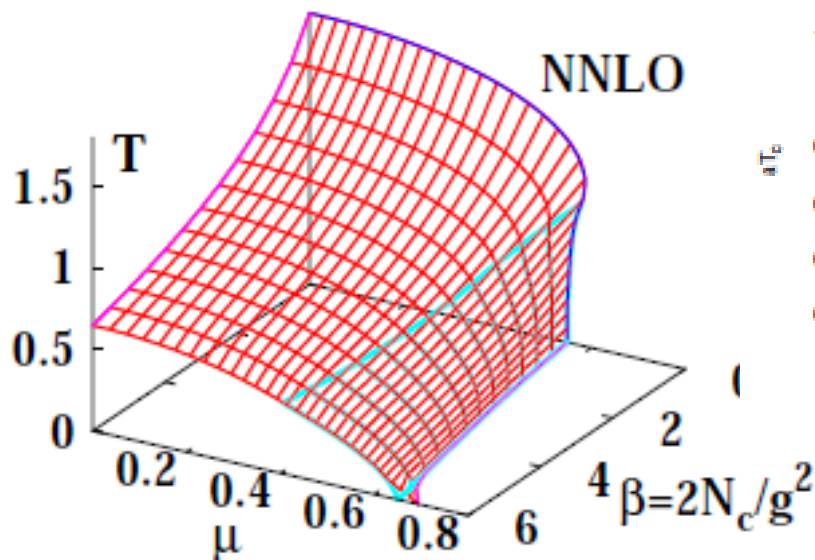
# Strong Coupling Lattice QCD

## Pure YM



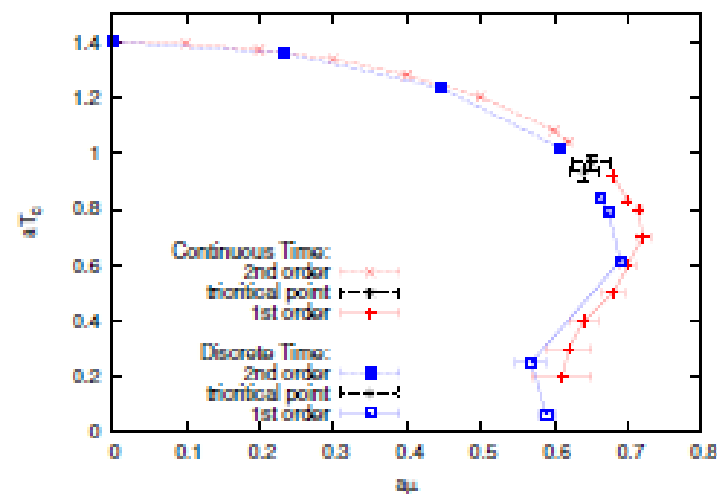
*Wilson ('74), Creutz ('80),  
Munster ('80, '81), Lottini,  
Philipsen, Langelage's ('11)*

## YM+Quarks (MF)



*Kawamoto ('80), Kawamoto, Smit ('81),  
Damagaard, Hochberg, Kawamoto ('85),  
Bilic, Karsch, Redlich ('92),  
Fukushima ('03); Nishida ('03),  
Kawamoto, Miura, AO, Ohnuma ('07).  
Miura, Nakano, AO, Kawamoto ('09)  
Nakano, Miura, AO ('10)*

## YM+Q+Fluc. (MDP) (SCL( $1/g^2=0$ ))



*Mutter, Karsch ('89),  
de Forcrand, Fromm ('10),  
de Forcrand, Unger ('11)*

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Complex Langevin approach, **Strong coupling lattice QCD**

*We discuss the QCD phase diagram  
in strong coupling lattice QCD (SC-LQCD),  
and examine how the sign problem can be weakened.*



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# *Strong coupling lattice QCD*

**Disclaimer:**

**Lattice Unit ( $a=1$ ),**

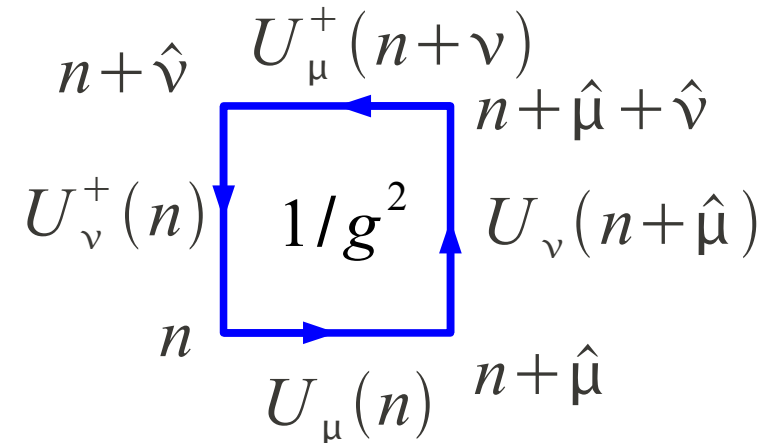
**Staggered Fermion ( $N_f=4$  in the cont. limit),**

# Lattice QCD action

■ Gluon field → Link variables  $U_\mu(x) \simeq \exp(i g A_\mu)$

■ Gluon action → Plaquette action

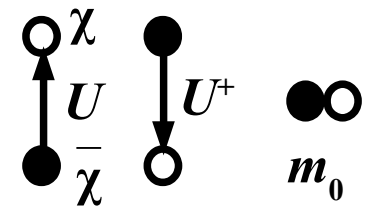
$$S_G = \frac{2 N_c}{g^2} \sum_{\text{plaq.}} \left[ 1 - \frac{1}{N_c} \text{Re tr } U_{\mu\nu}(n) \right]$$



● Loop → surface integral of “rotation”  $F_{\mu\nu}$  in the U(1) case.

■ Quark kinetic term (staggered fermion)

$$S_F = \frac{1}{2} \sum_x \left[ \bar{\chi}_x e^\mu U_{0,x} \chi_{x+\hat{0}} - \bar{\chi}_{x+\hat{0}} e^{-\mu} U_{0,x}^+ \chi_x \right]$$



$$+ \frac{1}{2} \sum_{x,j} \eta_j(x) \left[ \bar{\chi}_x U_{x,j} \chi_{x+\hat{j}} - \bar{\chi}_{x+\hat{j}} U_{x,j}^+ \chi_x \right] + \sum_x m_0 M_x$$

$$= S_F^{(t)} + S_F^{(s)} + \sum_x m_0 M_x$$

## ■ QCD

- **Fundamental theory of strong interactions**
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Strong coupling at low energies**

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$$F_{\mu\nu}(x) \rightarrow V(x) F_{\mu\nu} V^\dagger(x), \quad D_\mu(x) \rightarrow V(x) D_\mu(x) V^\dagger(x)$$

# Link integral $\rightarrow$ Area Law

## ■ One-link integral

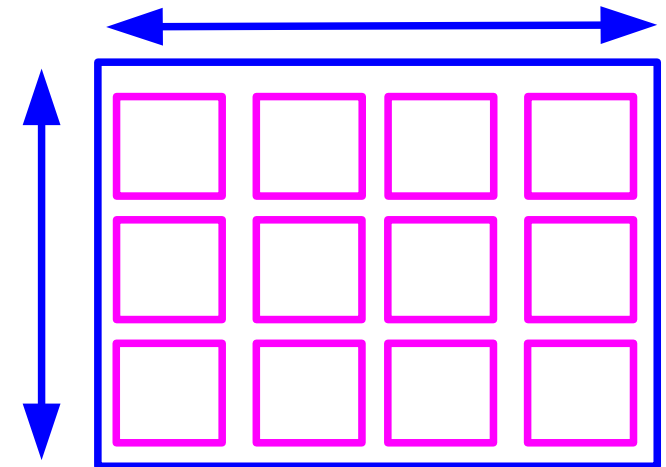
$$\int dU U_{ab} U_{cd}^+ = \frac{1}{N_c} \delta_{ad} \delta_{bc}$$

## ■ Wilson loop in pure Yang-Mills theory

$$\begin{aligned} \langle W(C=L \times N_\tau) \rangle &= \frac{1}{Z} \int DU W(C) \exp \left[ \frac{1}{g^2} \sum_P \text{tr}(U_P + U_P^+) \right] \\ &= \exp(-V(L) N_\tau) \end{aligned}$$

in the strong coupling limit

$$\begin{aligned} \langle W(C) \rangle &= N \left( \frac{1}{g^2 N} \right)^{LN_\tau} \\ \rightarrow V(L) &= L \log(g^2 N) \end{aligned}$$



$$\square = 1/N_c g^2$$

*Linear potential between heavy-quarks  
 $\rightarrow$  Confinement (Wilson, 1974)*

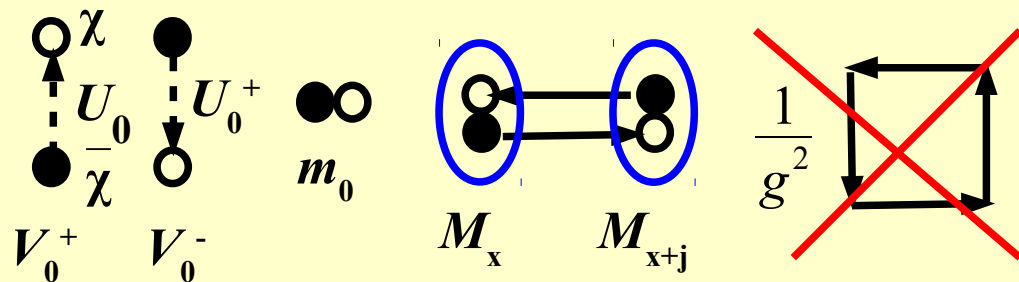
# Link integral $\rightarrow$ Effective action

## Effective action in the strong coupling limit (SCL)

- Ignore plaquette action ( $1/g^2$ )  
 $\rightarrow$  We can integrate each link independently !
- Integrate out *spatial* link variables of min. quark number diagrams (1/d expansion)

$$S_{\text{eff}} = S_F^{(t)} - \frac{1}{4N_c} \sum_{x,j} M_x M_{x+\hat{j}} + m_0 \sum_x M_x \quad (M_x = \bar{\chi}_x \chi_x)$$

*Damgaard, Kawamoto, Shigemoto ('84)*



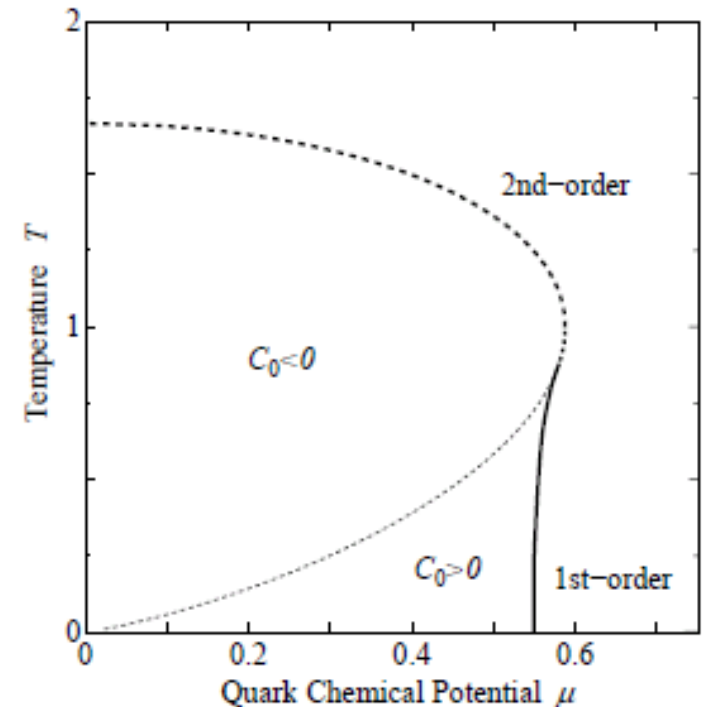
$$\int dU U_{ab} U_{cd}^+ = \delta_{ad} \delta_{bc} / N_c$$

*Lattice QCD in SCL*  
 $\rightarrow$  *Fermion action*  
*with nearest neighbor*  
*four Fermi interaction*



# Phase diagram in SC-LQCD (mean field)

- “Standard” simple procedure in Fermion many-body problem
  - Bosonize interaction term (Hubbard-Stratonovich transformation)
  - Mean field approximation (constant auxiliary field)
  - Fermion & temporal link integral  
*Damgaard, Kawamoto, Shigemoto ('84); Faldt, Petersson ('86); Bilic, Karsch, Redlich ('92); Fukushima ('04); Nishida ('04)*



*Fukushima, 2004*

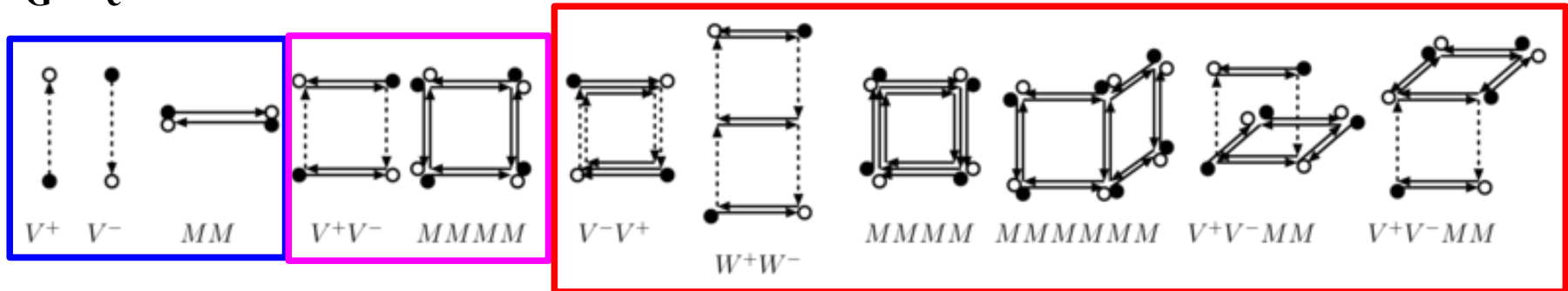
# Finite Coupling Effects

## Effective Action with finite coupling corrections

Integral of  $\exp(-S_G)$  over spatial links with  $\exp(-S_F)$  weight  $\rightarrow S_{\text{eff}}$

$$S_{\text{eff}} = S_{\text{SCL}} - \log \langle \exp(-S_G) \rangle = S_{\text{SCL}} - \sum_{n=1} \frac{(-1)^n}{n!} \langle S_G^n \rangle_c$$

$\langle S_G^n \rangle_c = \text{Cumulant (connected diagram contr.)}$  *c.f. R.Kubo('62)*



$$S_{\text{eff}} = \frac{1}{2} \sum_x (V_x^+ - V_x^-) - \frac{b_\sigma}{2d} \sum_{x,j>0} [MM]_{j,x}$$

*SCL (Kawamoto-Smit, '81)*

$$+ \frac{1}{2} \frac{\beta_\tau}{2d} \sum_{x,j>0} [V^+V^- + V^-V^+]_{j,x} - \frac{1}{2} \frac{\beta_s}{d(d-1)} \sum_{x,j>0,k>0,k \neq j} [MMMM]_{j,k,x}$$

*NLO (Faldt-Petersson, '86)*

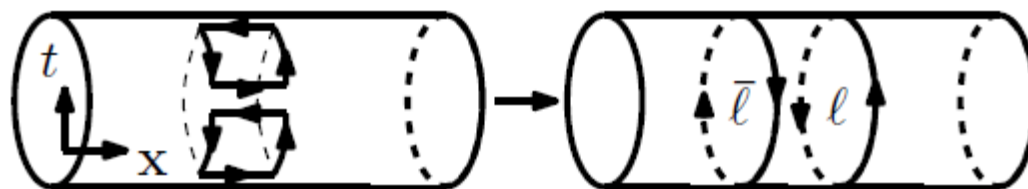
$$- \frac{\beta_{\tau\tau}}{2d} \sum_{x,j>0} [W^+W^- + W^-W^+]_{j,x} - \frac{\beta_{ss}}{4d(d-1)(d-2)} \sum_{x,j>0,|k|>0,|l|>0,|k| \neq j,|l| \neq j,|l| \neq |k|} [MMMM]_{j,k,x} [MM]_{j,x+\hat{l}}$$

$$+ \frac{\beta_{\tau s}}{8d(d-1)} \sum_{x,j>0,|k| \neq j} [V^+V^- + V^-V^+]_{j,x} \left( [MM]_{j,x+\hat{k}} + [MM]_{j,x+\hat{k}+\hat{0}} \right)$$

*NNLO (Nakano, Miura, AO, '09)*

# Polyakov loop effects in SC-LQCD

## ■ Polyakov Loop



$$P = \frac{1}{N_c} \text{tr} L, \quad L = T \exp \left[ -i \int_0^\beta dx_4 A_4 \right] = T \prod_{\tau=1}^{N_\tau} U_0(\tau, \mathbf{x})$$

- **Order parameter of the deconfinement transition in the heavy quark mass limit.**

*A.M. Polyakov, PLB72('78),477; L. Susskind, PRD20('79)2610; B. Svetitsky, Phys.Rept.132('86),1.*

- **Interplay between PL and  $\chi$  cond. is known to be important in effective models**

*A. Gocksch, M. Ogilvie, PRD31(85)877; K. Fukushima, PLB591('04),277.*

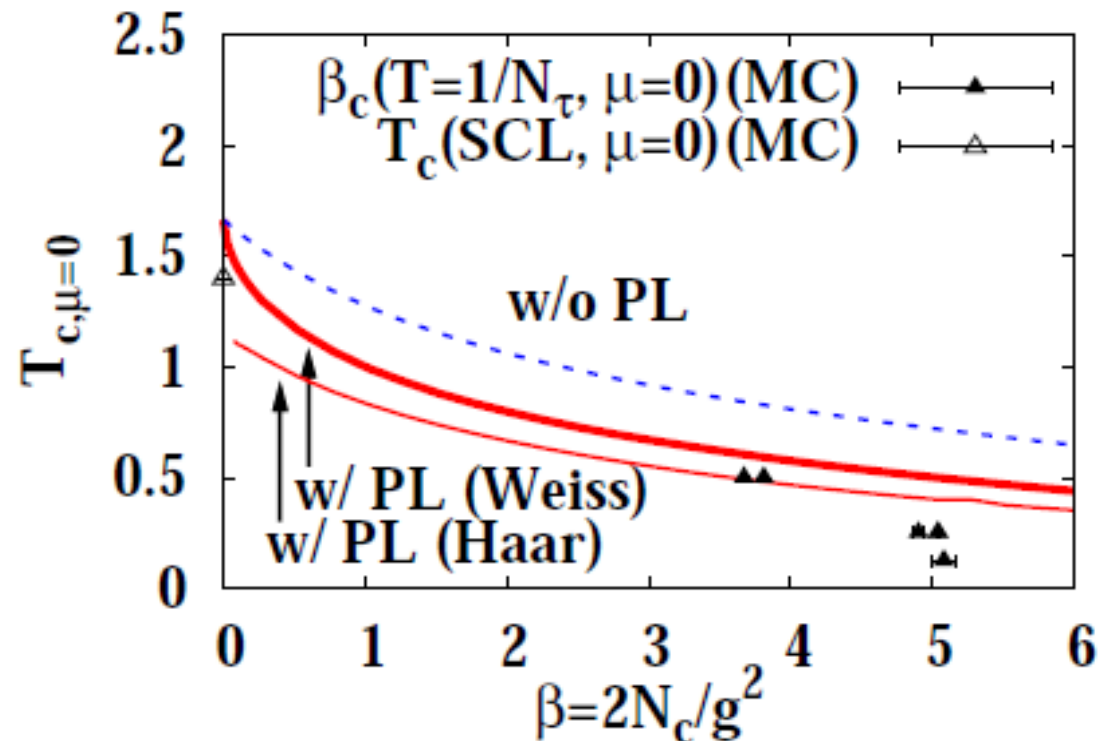
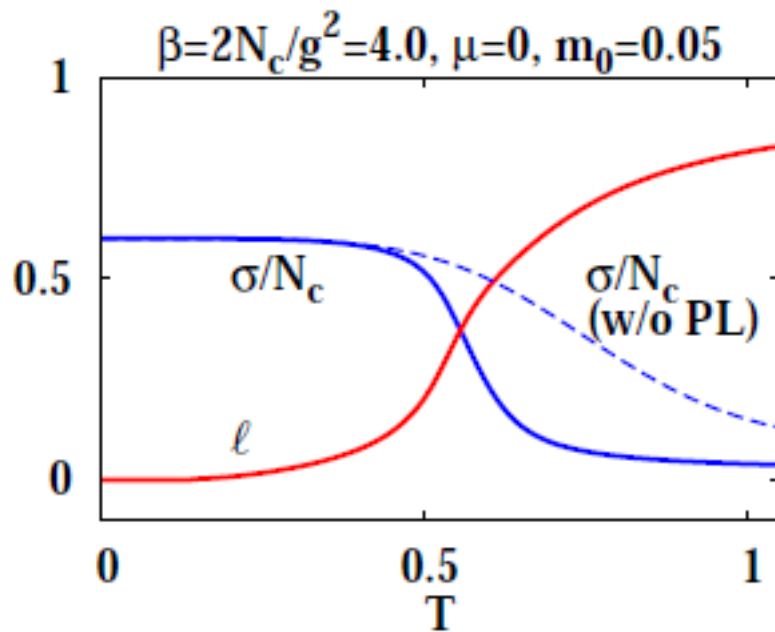
*Polyakov loop appears in higher-order of  $1/g^2$ , but definitely affect QCD phase transition.*

# SC-LQCD with Polyakov Loop Effects at $\mu=0$

T. Z. Nakano, K. Miura, *AO, PRD 83 (2011), 016014 [arXiv:1009.1518 [hep-lat]]*

- P-SC-LQCD reproduces  $T_c(\mu=0)$  in the strong coupling region ( $\beta = 2N_c/g^2 \leq 4$ )

MC data: SCL (Karsch et al. (MDP), de Forcrand, Fromm (MDP)),  $N_\tau=2$  (de Forcrand, private),  $N_\tau=4$  (Gottlieb et al. ('87), Fodor-Katz ('02)),  $N_\tau=8$  (Gavai et al. ('90))

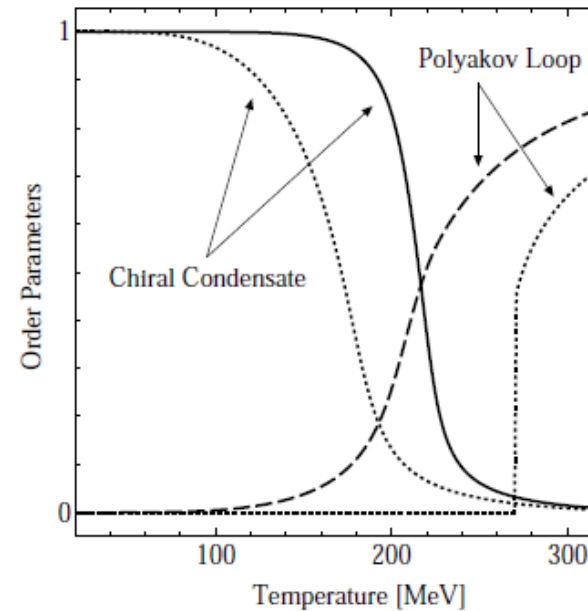
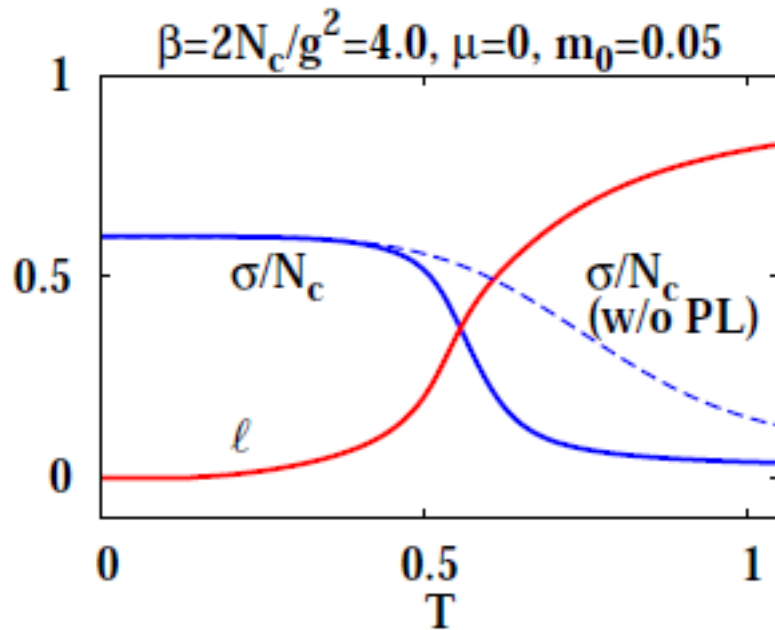


Lattice Unit



# Polyakov loop effects on $T_c$

- Comparison of Polyakov loop in SC-LQCD and PNJL
  - SC-LQCD:  $T_c$  decreases with Polyakov loop (Polyakov loop deconfines hadrons)
  - PNJL:  $T_c$  increases with Polyakov loop (Polyakov loop confine quarks)



*Fukushima ('04)*



# Beyond the mean field approximation

- **Constant auxiliary field → Fluctuating auxiliary field**

$$S_{\text{eff}} = S_F^{(t)} + \sum_x m_x M_x + \frac{L^3}{4N_c} \sum_{\mathbf{k}, \tau} f(\mathbf{k}) \left[ |\sigma_{\mathbf{k}, \tau}|^2 + |\pi_{\mathbf{k}, \tau}|^2 \right]$$

$$m_x = m_0 + \frac{1}{4N_c} \sum_j \left( (\sigma + i\varepsilon\pi)_{x+\hat{j}} + (\sigma + i\varepsilon\pi)_{x-\hat{j}} \right)$$

$$f(\mathbf{k}) = \sum_j \cos k_j \quad \varepsilon = (-1)^{x_0+x_1+x_2+x_3}$$

- **Auxiliary fields can be integrated out using MC technique (Auxiliary Field Monte-Carlo (AFMC) method)**

- ◆ **Another method: Monomer-Dimer-Polymer simulation**

*Mutter, Karsch ('89), de Forcrand, Fromm ('10), de Forcrand, Unger ('11)*

- **Bosonization of “repulsive” mode: Extended HS transf.**  
→ **Introducing “ $i$ ” leads to the complex Fermion determinant.**

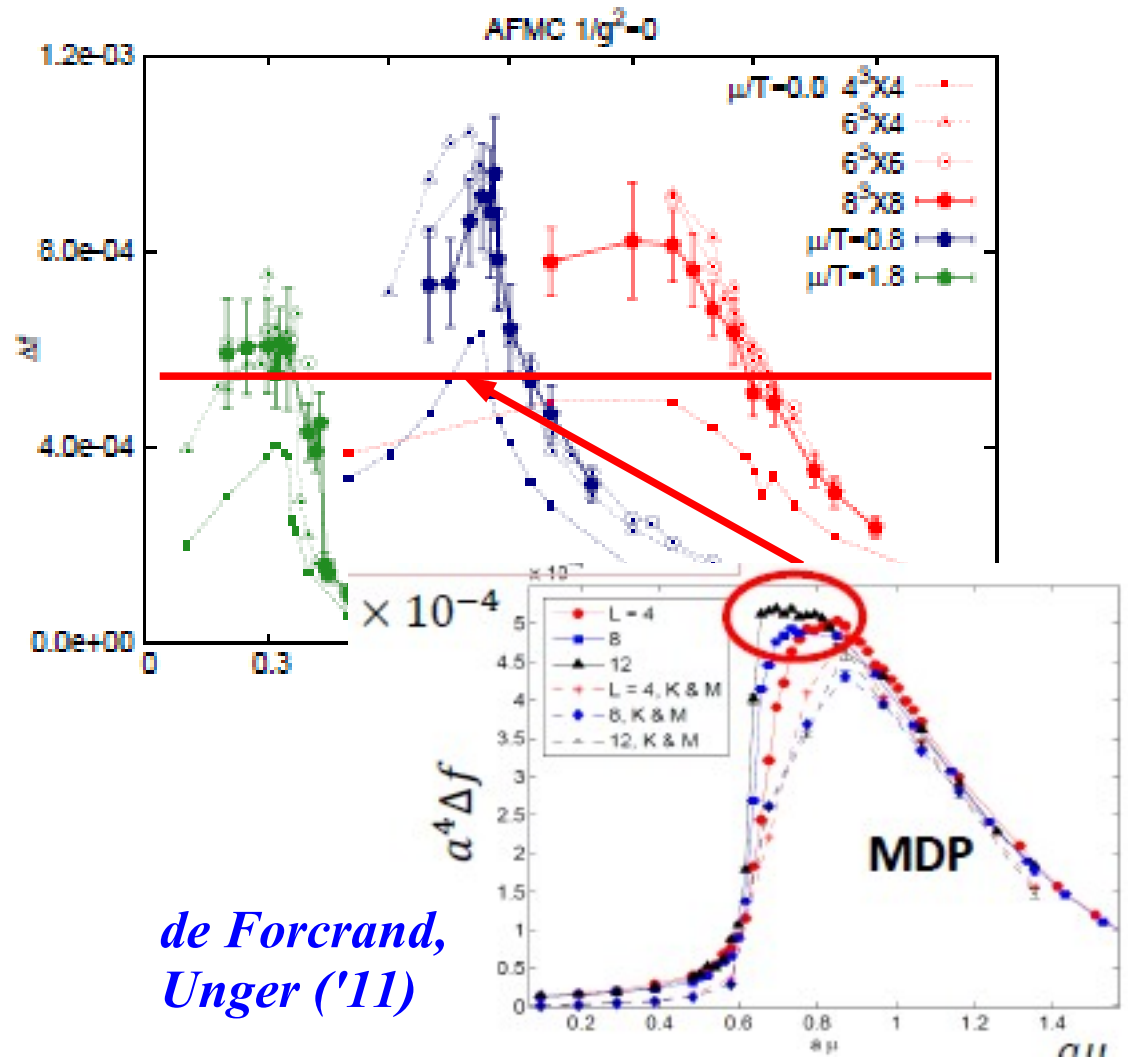
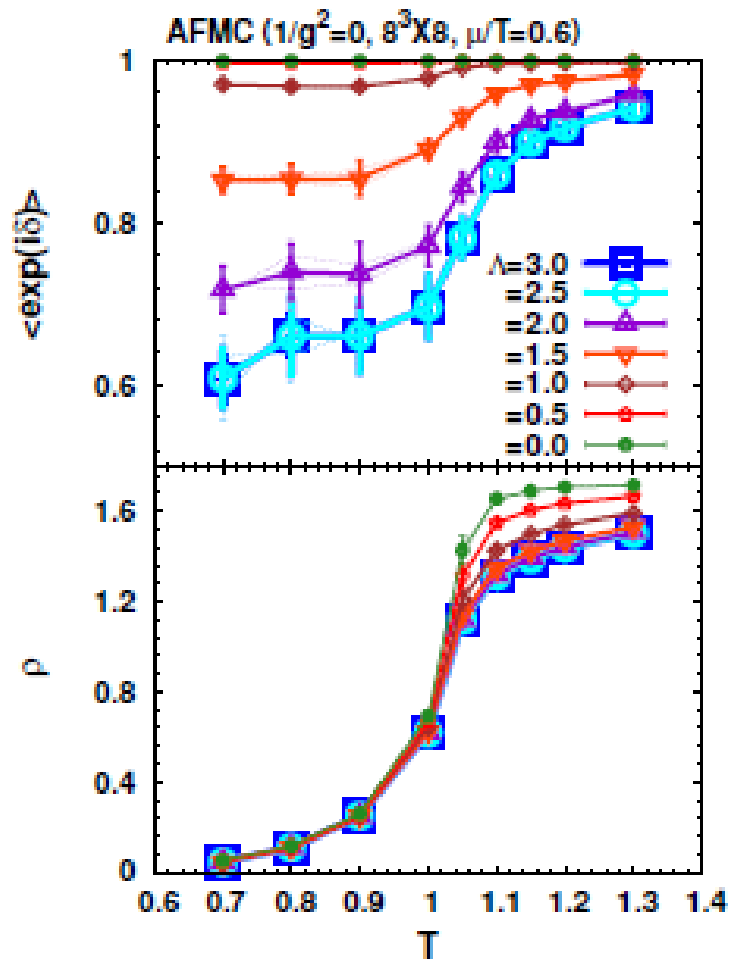
*Miura, Nakano, AO (09), Miura, Nakano, AO, Kawamoto (09)*

- **Weight cancellation from low momentum modes is small, due to the  $\varepsilon$  factor.**

# How serious is the weight cancellation ?

## Statistical weight cancellation in AFMC

$$\langle \exp(i\delta) \rangle \equiv \exp(-\Omega \Delta f) \quad , \quad \Omega = \text{space-time volume}$$



de Forcrand,  
Unger ('11)

cut-off  $\sum \sin^2 k_j > \Lambda$

Ichihara, Nakano, AO, PoS Lattice2013 (2013), to appear

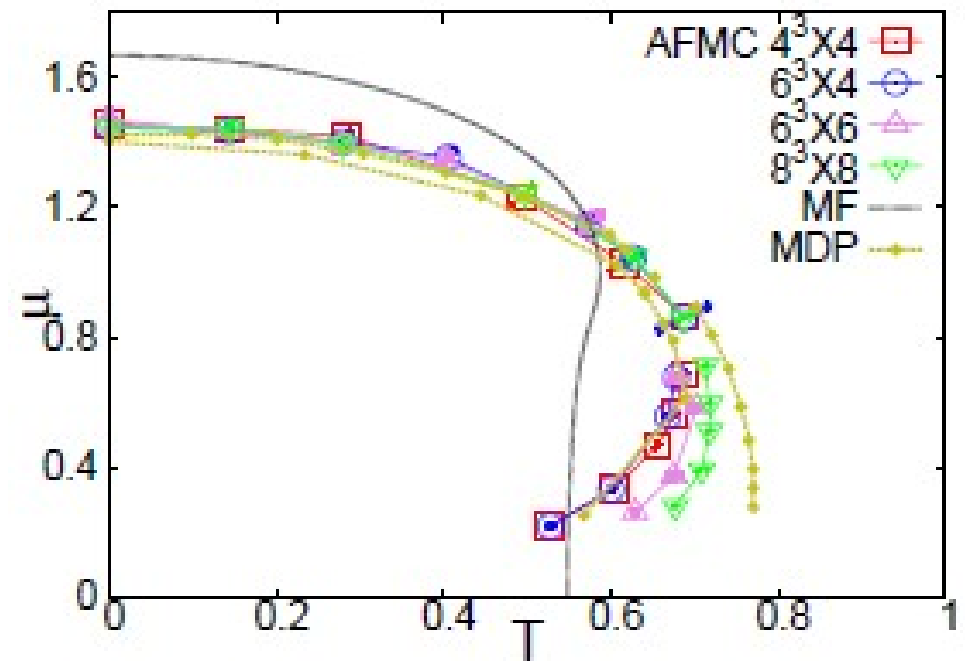


# Phase diagram

## ■ AFMC phase diagram

- Reduction of  $T_c$  at  $\mu=0$  and enlarged hadron phase at medium  $T$  compared with the mean field results.
- Quantitatively consistent with MDP simulation, if extrapolated to  $N\tau \rightarrow \infty$   
*de Forcrand, Fromm ('09); de Forcrand, Unger ('11)*
- Spatial size dependence is small.

→ Final answer  
to the phase boundary  
in the strong coupling limit !



*Ichihara, Nakano, AO, PoS Lattice2013 (2013), to appear*

# Summary

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- **QCD to nuclei is the main missing link to describe our world starting from the standard model. The sign problem in Monte-Carlo simulation of lattice QCD.**
- **Strong coupling lattice QCD is a promising tool in finite density lattice QCD.**
  - **Strong coupling limit + finite coupling correction + Polyakov loop → MC results of  $T_c$  is roughly reproduced.**
- **Sign problem is solved in the strong coupling limit !**
  - **Two independent methods show the same phase boundary, and the spatial size dependence is small.**  
(Monomer-dimer-polymer simulation, Auxiliary field MC)
- **Challenge**
  - **Finite coupling + Fluctuations (Exact integral), Different type of Fermion, 1/d expansion.**

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*Thank you*



# Chiral Angle Fixing

How can we simulate correct thermodynamic chiral limit ?

