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# *Nuclear matter symmetry energy and neutron star properties*

## *--- Neutron star radius from gravitational wave vs nuclear experiments --*

Akira Ohnishi

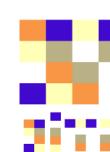
(Yukawa Inst. for Theor. Phys., Kyoto U.)

in collaboraton with

E. E. Kolomeitsev (Matej Bel U.), James M. Lattimer (Stony Brook),  
Ingo Tews (LANL), Xuhao Wu (Nankai U./YITP)

*Gravitational wave physics and astronomy: Genesis,  
2nd annual area symposium, Nov.26-28, 2018, Kyoto, Japan*

- *I. Tews, J. M. Lattimer, AO, E.E.Kolomeitsev, ApJ 848('17) 105  
[arXiv:1611.07133]*
- *AO, Kolomeitsev, Lattimer, Tews, X.Wu, in prog.*



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# *Contents*

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# *Introduction*

# *Impact of GW from binary neutron star merger*

## ■ **GW170817 from NS-NS → Multi messenger astrophysics**

*B.P.Abbott+ [LIGO Sci. and Virgo Collab.], PRL119('17)161101*

## ■ **Neutron Star Maximum Mass**

- No prompt collapse, No GW signal from Hyper Massive NS  
→  $M_{\text{max}}(T=0, \omega=0) < M_{\text{max}}(T=0, \omega) < M < M_{\text{max}}(T, \omega)$

## ■ **Neutron Star Radius**

- Inspiral region → Tidal deformability ( $\Lambda$ ) → NS radius (e.g. R1.4 )

## ■ **Nucleosynthesis site of r-process nuclei**

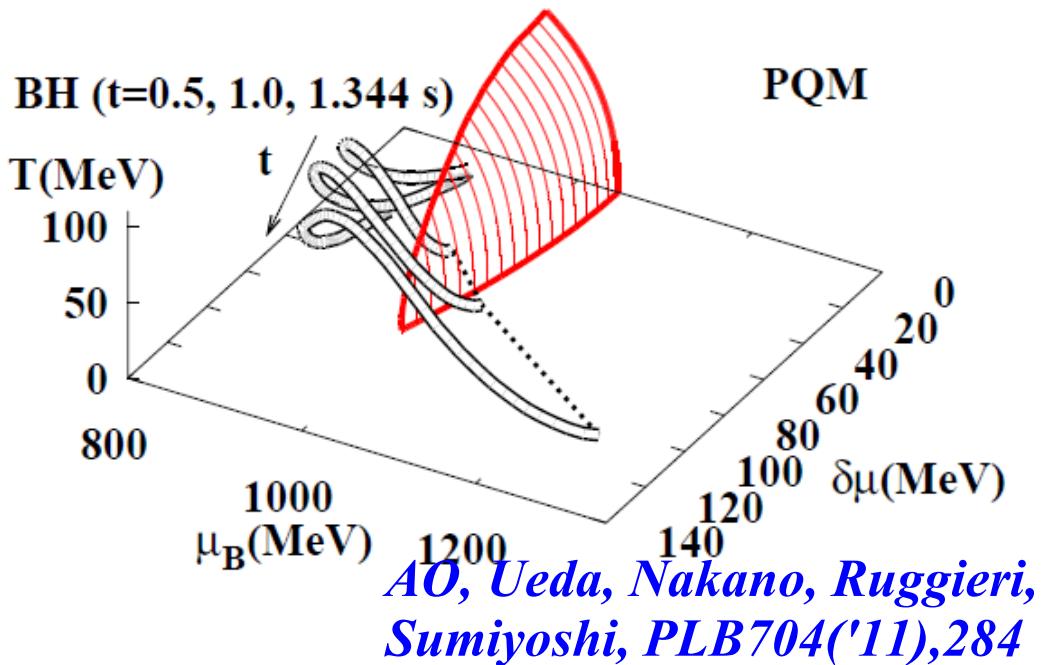
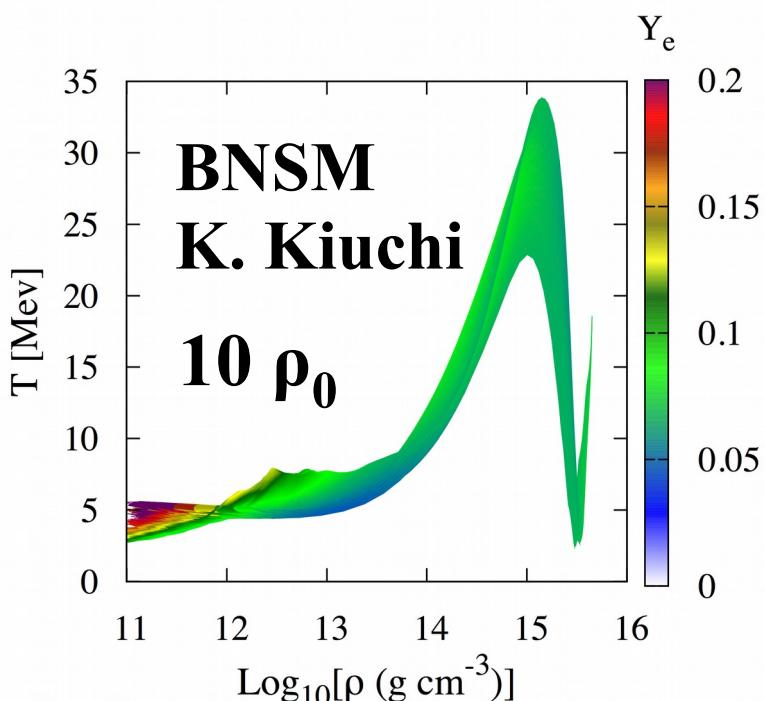
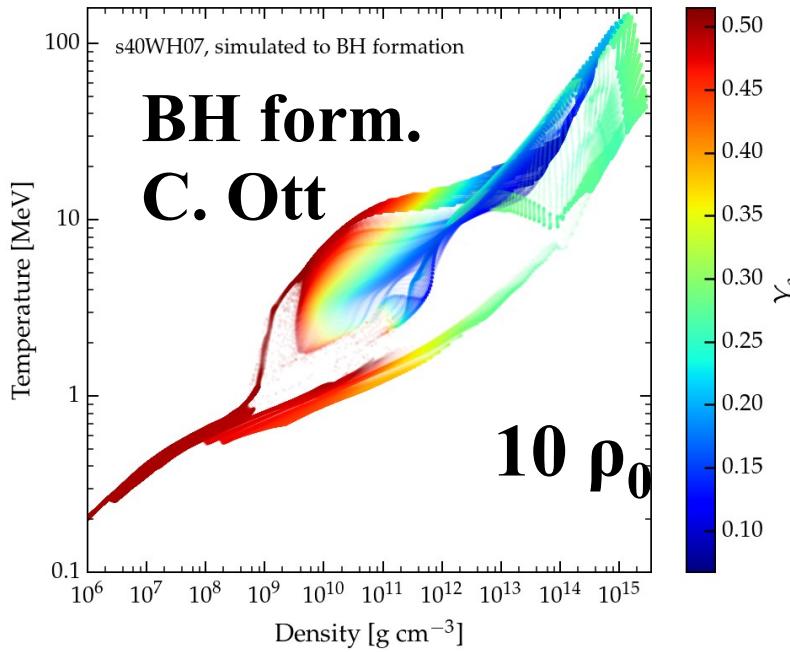
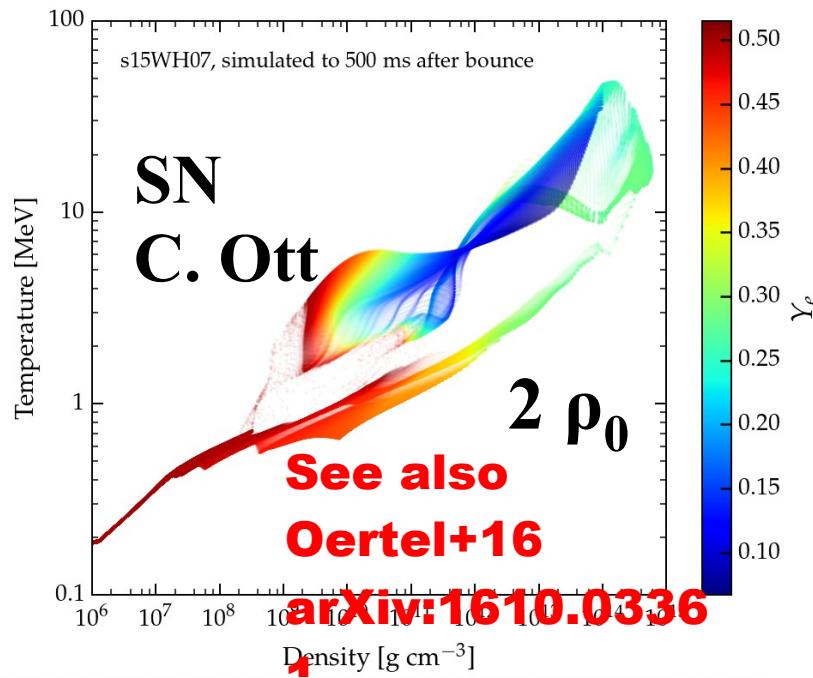
- kilonova/macronova from decay energy of the synthesized elements
- r-process nucleosynthesis seems to occur in BNSM !

## ■ **Central Engine of (Short) Gamma-Ray Bursts**

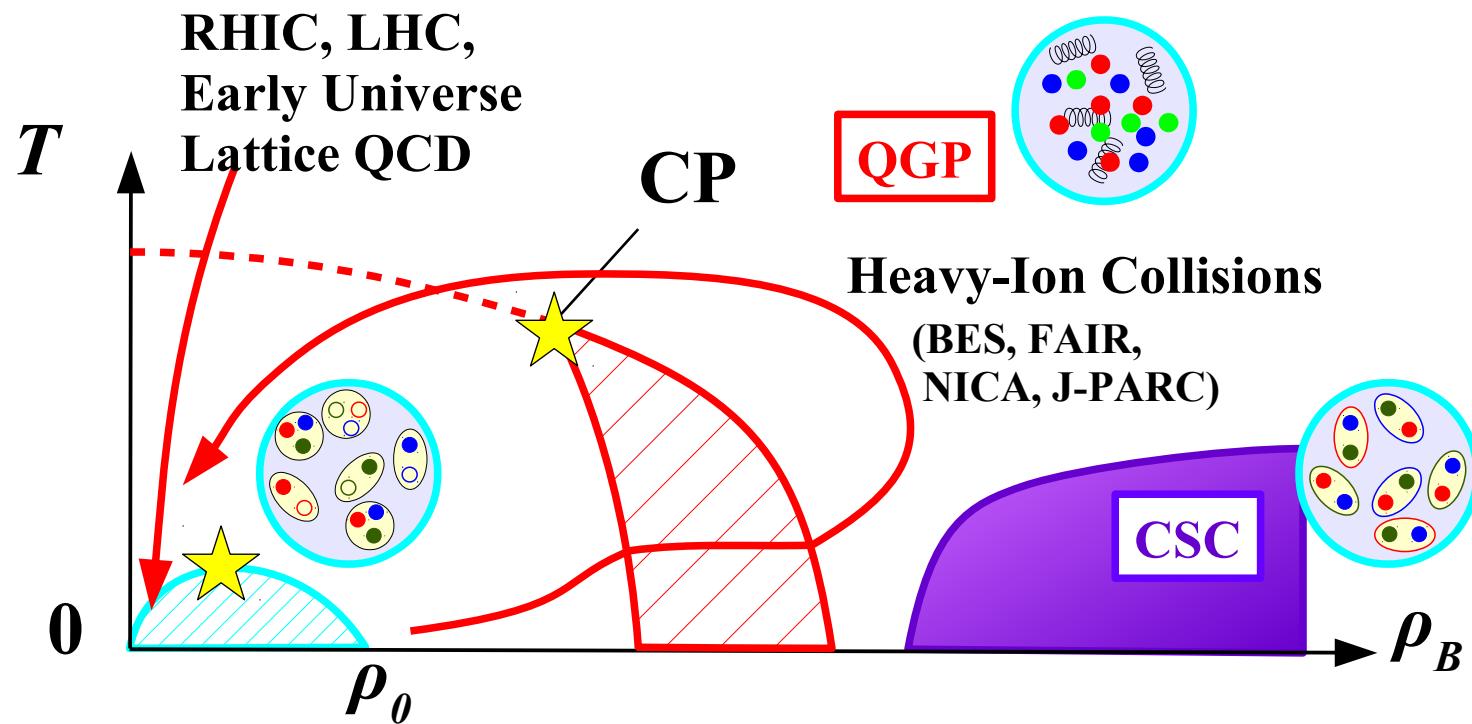
## ■ **GW as standard siren (Hubble constant)**

*Courtesy of Y. Sekiguchi @ YKIS2018b*

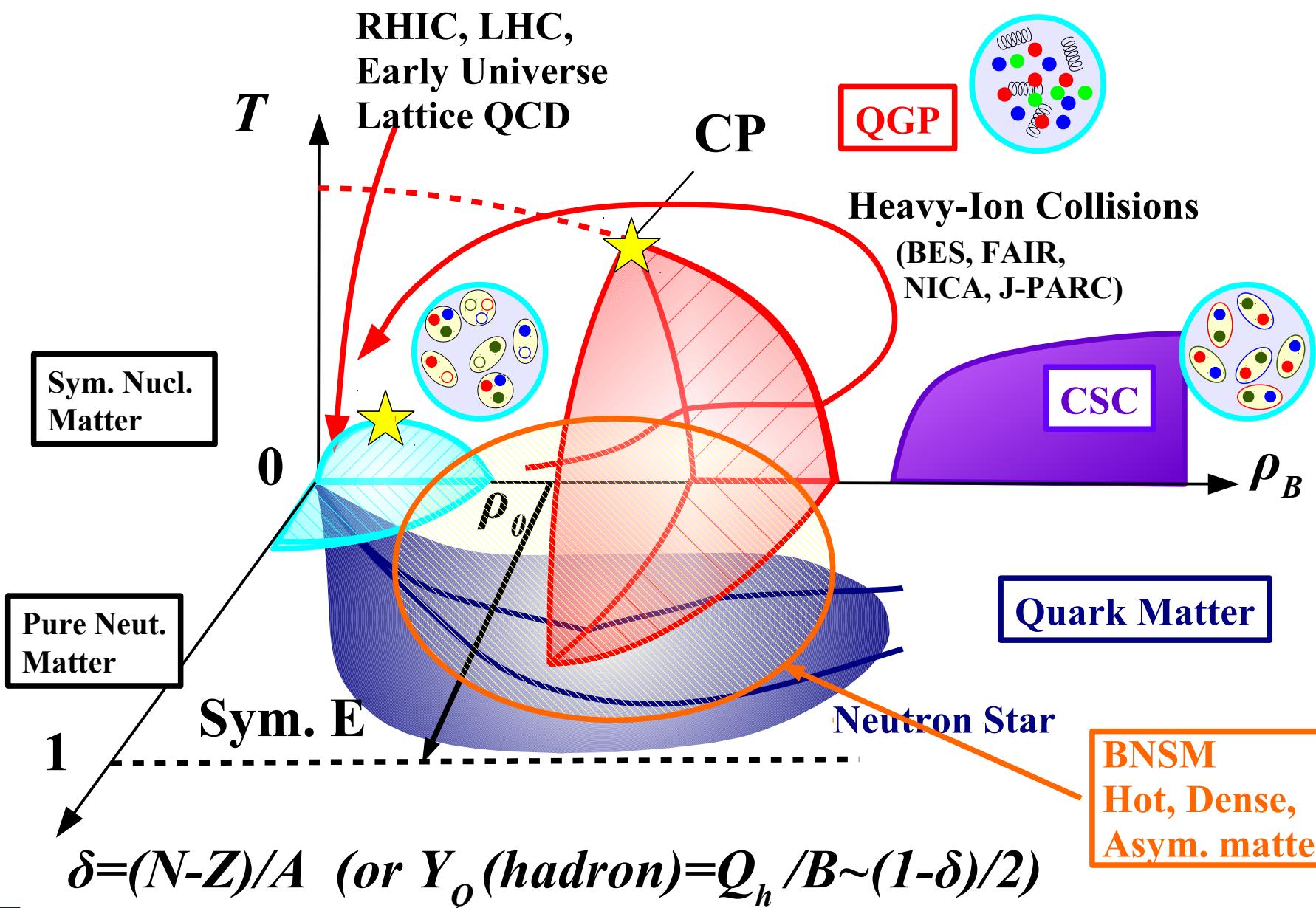
# $(\rho, T, Y_e)$ during SN, BH formation, BNSM



# *QCD Phase Diagram*

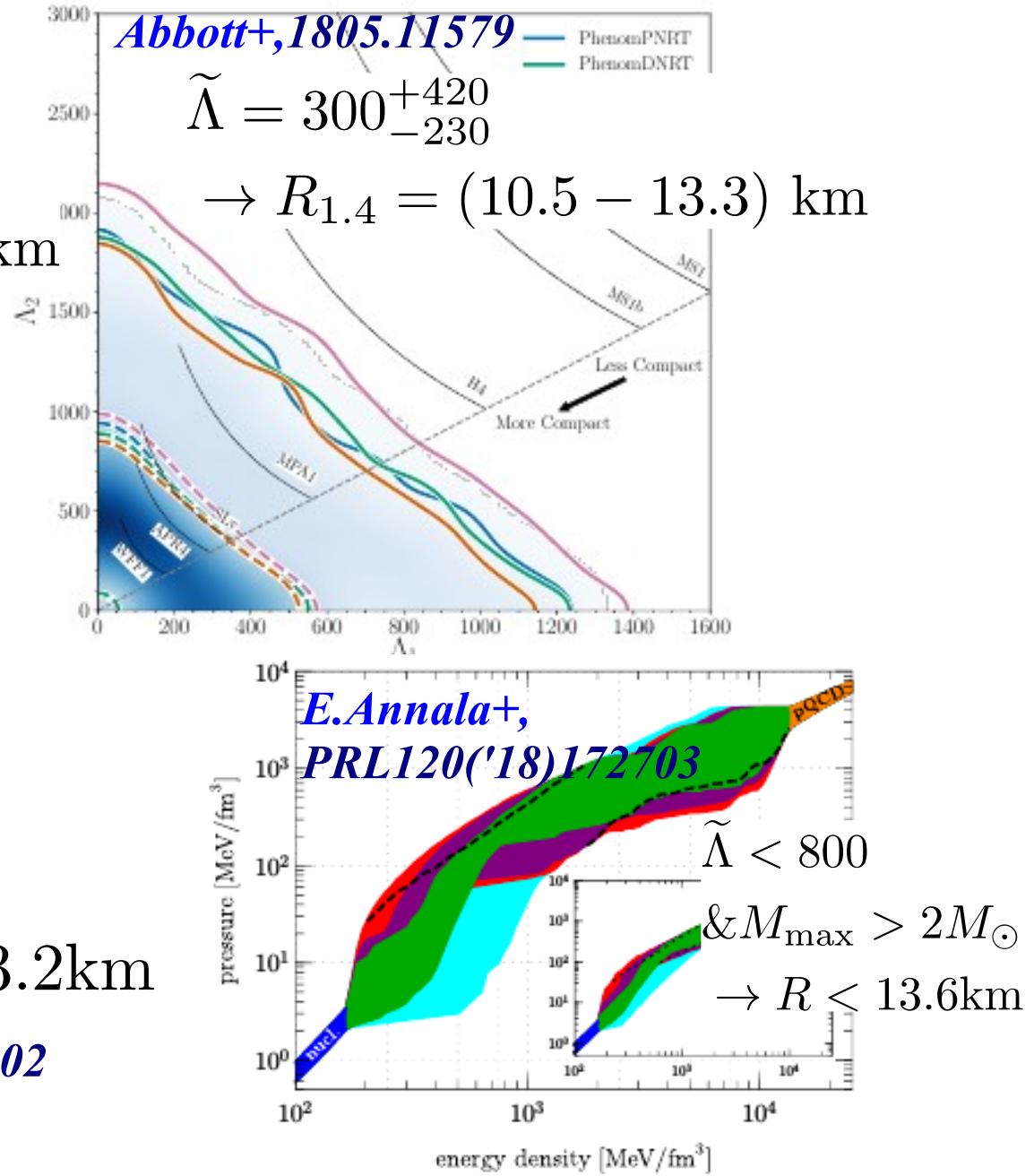
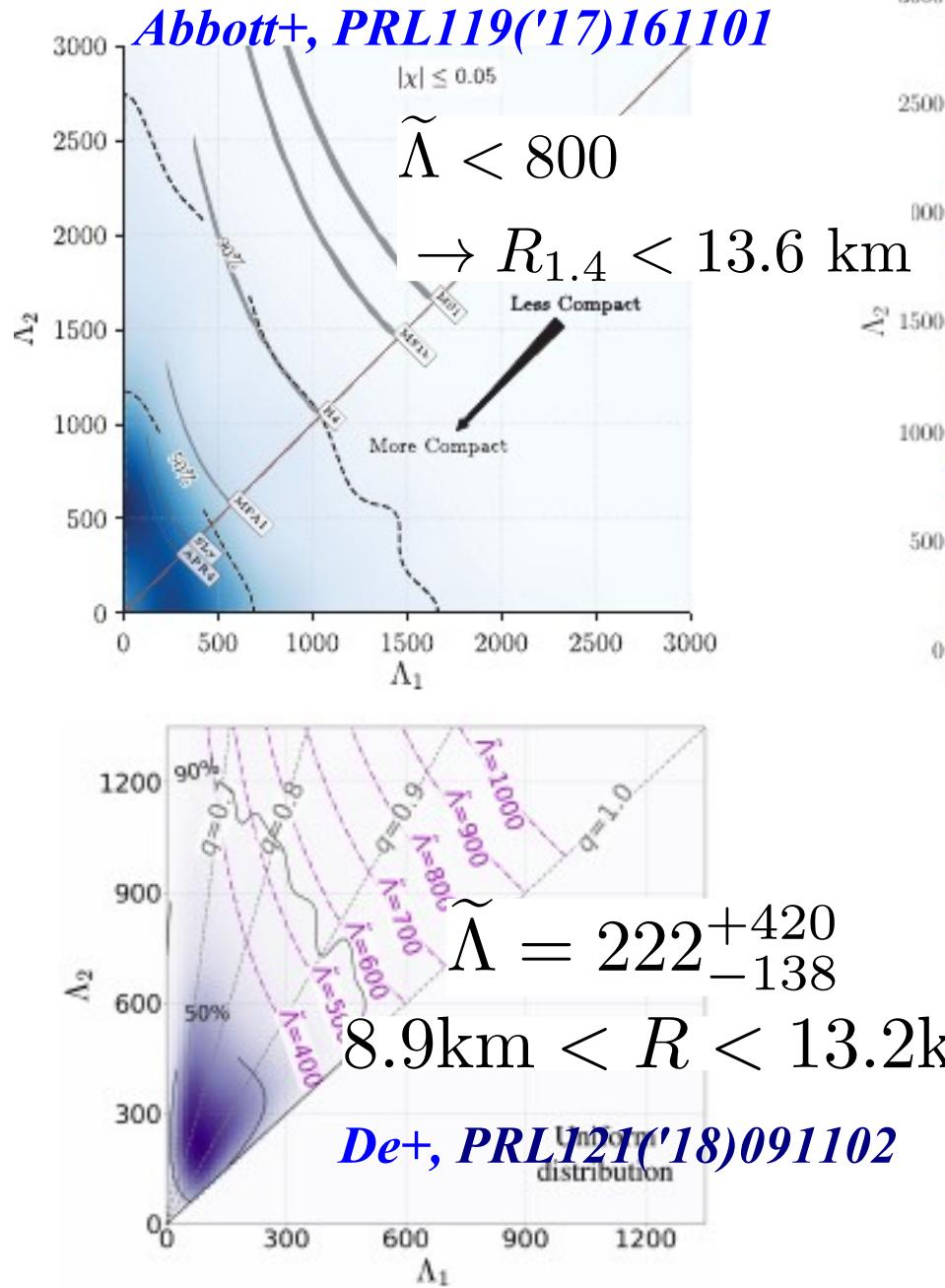


# QCD Phase Diagram

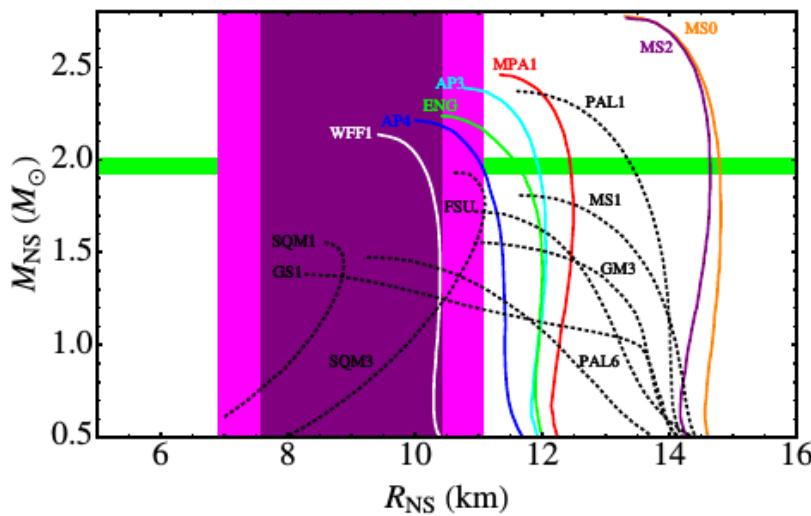
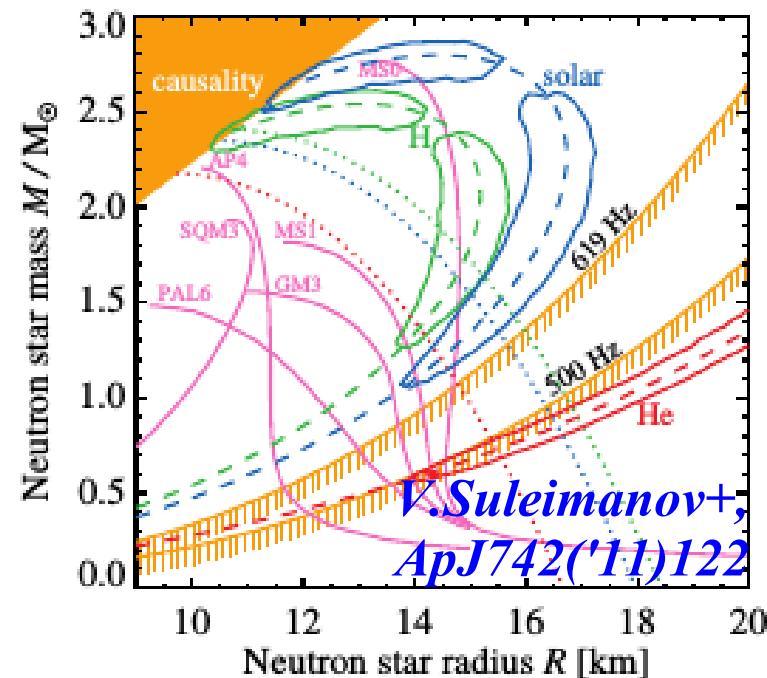
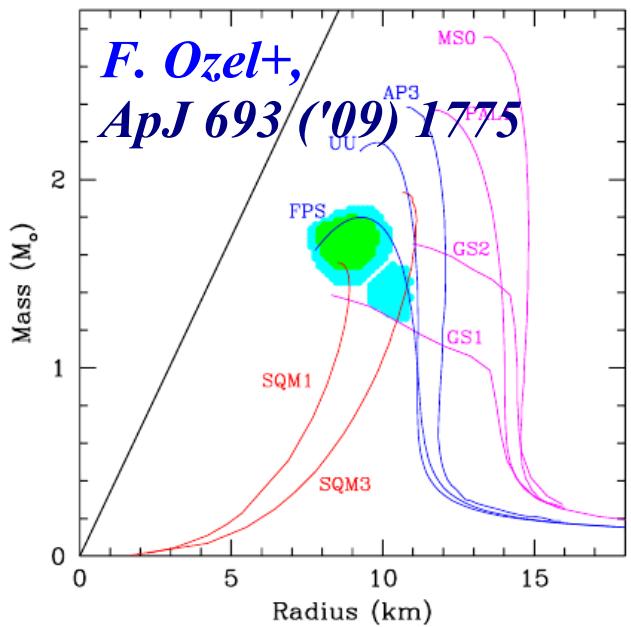


*AO, arXiv:1712.01088*

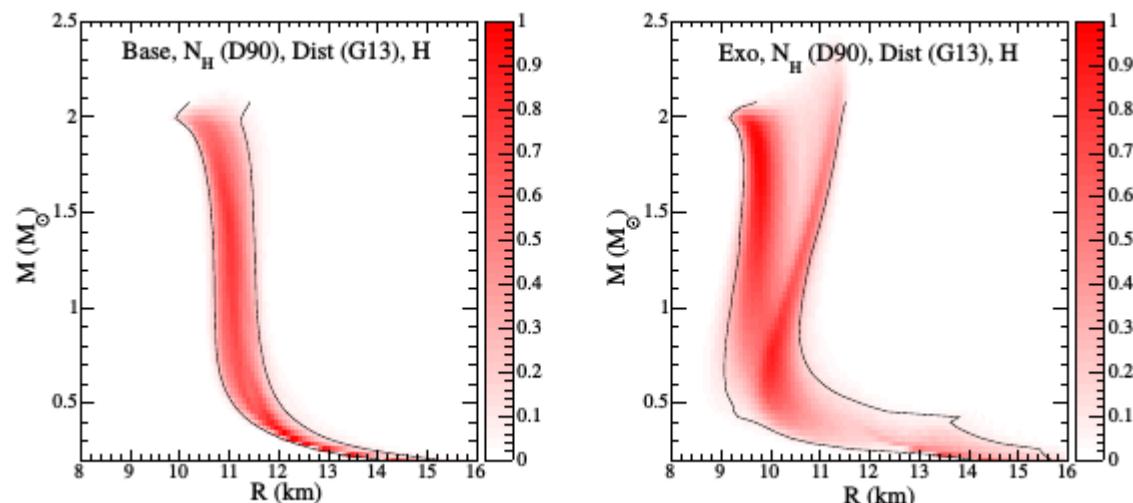
# Constraints on EOS from GW170817



# MR curve from X-ray burst



*S. Guillot+, ApJ 772 ('13) 7*



*J.M.Lattimer, A.W.Steiner, ApJ 784 ('14) 123*

# *Neutron Star Radius from Astronomical Observations*

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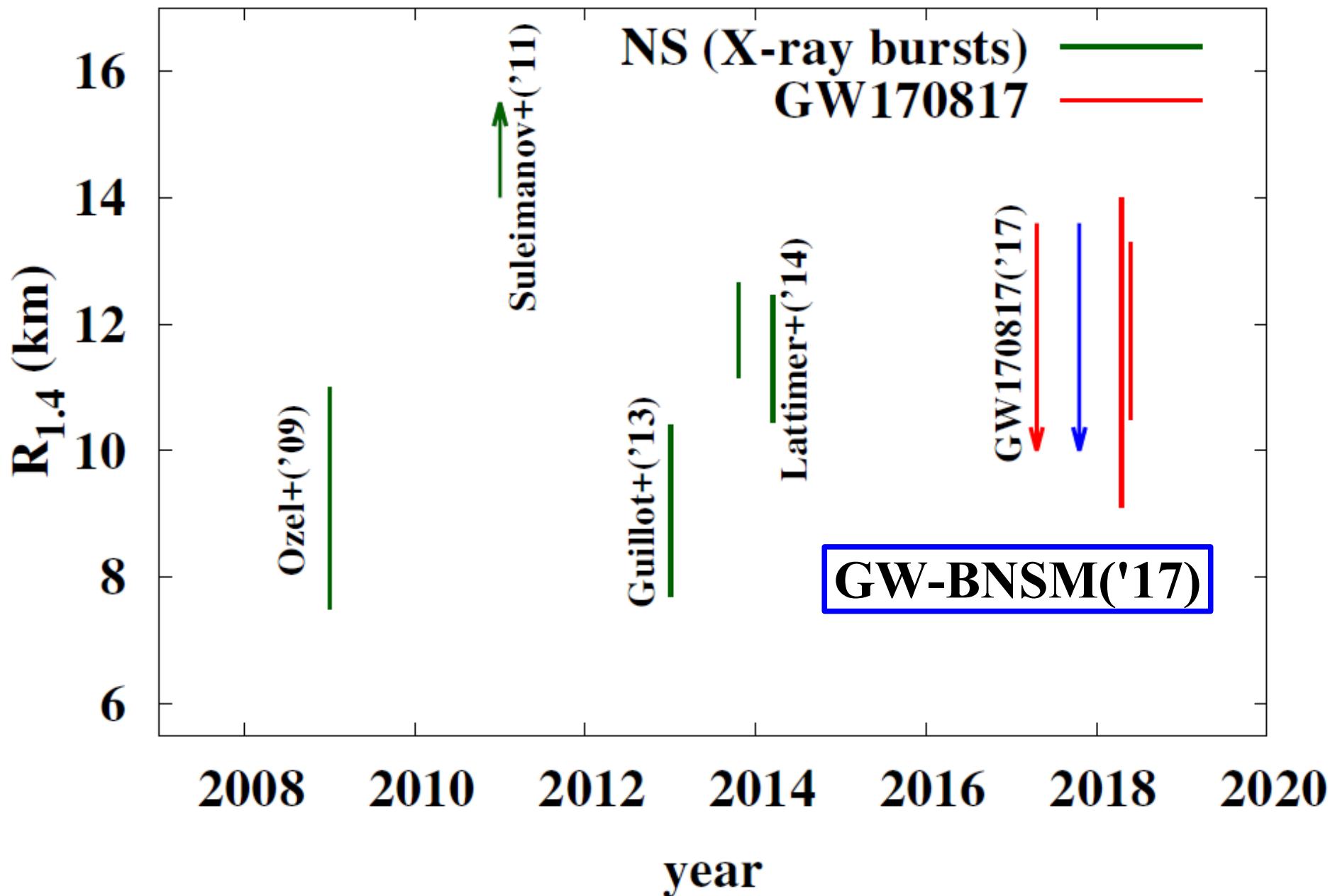
## ■ From X-ray bursts

- R=9 or 11 km (7.5-11 km) *F. Özel+*, *ApJ 693 ('09) 1775*  
[touch down = Eddington limit?]
- R> 14 km *V. Suleimanov+*, *ApJ 742 ('11) 122*  
[color correction factor ?]
- R= $9.1^{+1.3}_{-1.4}$  km *S. Guillot+*, *ApJ 772 ('13) 7*  
[Common R ?; Denied later by the authors in ApJ 796 ('14) L3 (1409.4306)]
- R=10.4-12.7 km *J.M. Lattimer, A.W. Steiner*, *ApJ 784 ('14) 123*  
(R=(11.15-12.66) km (normal EOS), R=(10.45-12.45) km (Exo EOS))

## ■ Gravitational Waves

- $\overline{\Lambda} < 800$  *B.P. Abbott+*, *PRL 119 ('17) 161101* → R < 13.6 km
- $\overline{\Lambda} = 300^{+420}_{-230}$  → R=(10.5-13.3) km *B.P. Abbott+ (LIGO-Virgo)*, *1805.11579*
- $\overline{\Lambda} = 222^{+420}_{-138}$  → R=(9.1-14.0) km *S. De+*, *PRL 121 ('18) 091102*
- $\overline{\Lambda} < 800$  &  $M_{\max} > 2 M_{\odot}$  → R < 13.6 km *E. Annala+*, *PRL 120 ('18) 172703*

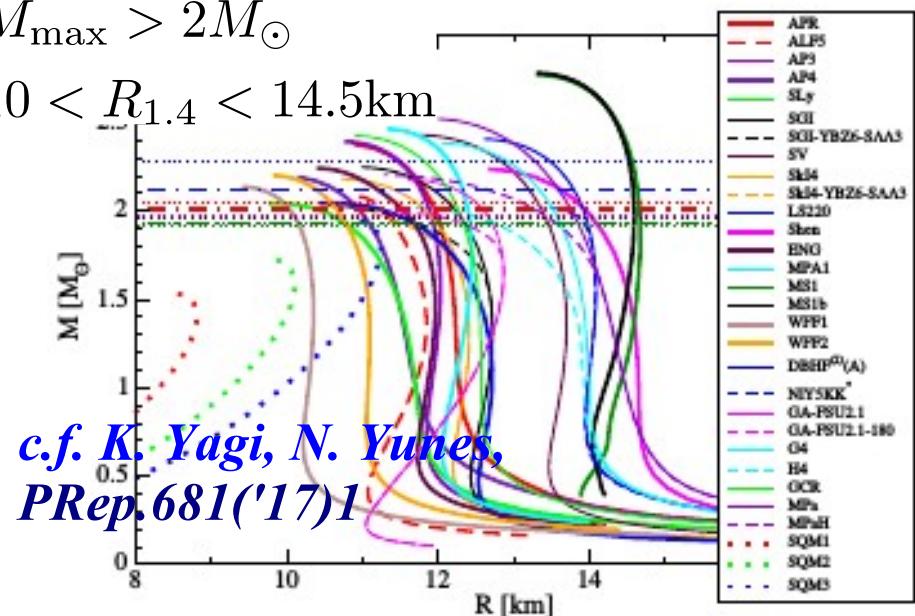
# *Time dependence of Neutron Star Radius ( $R_{1.4}$ )*



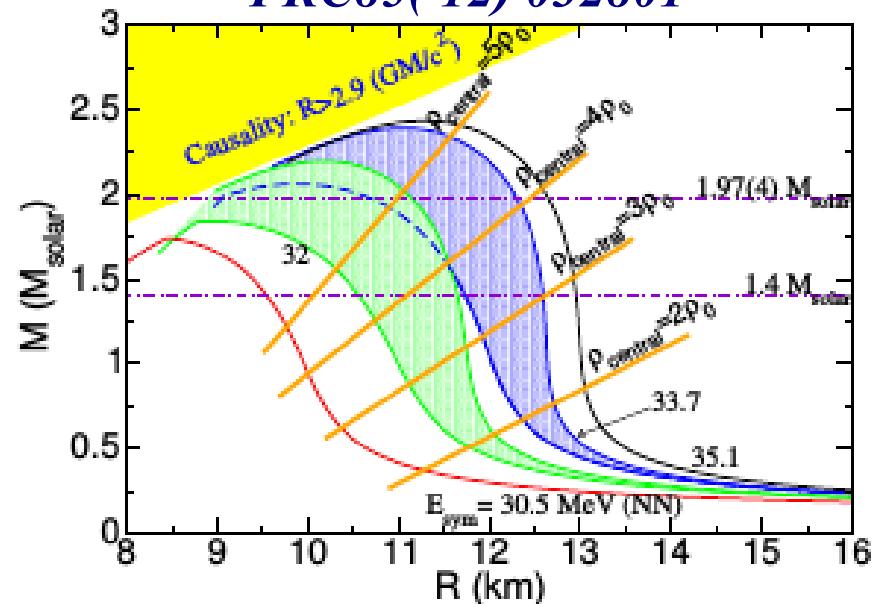
# Constraints from Nuclear Physics (+a)

$$M_{\max} > 2M_{\odot}$$

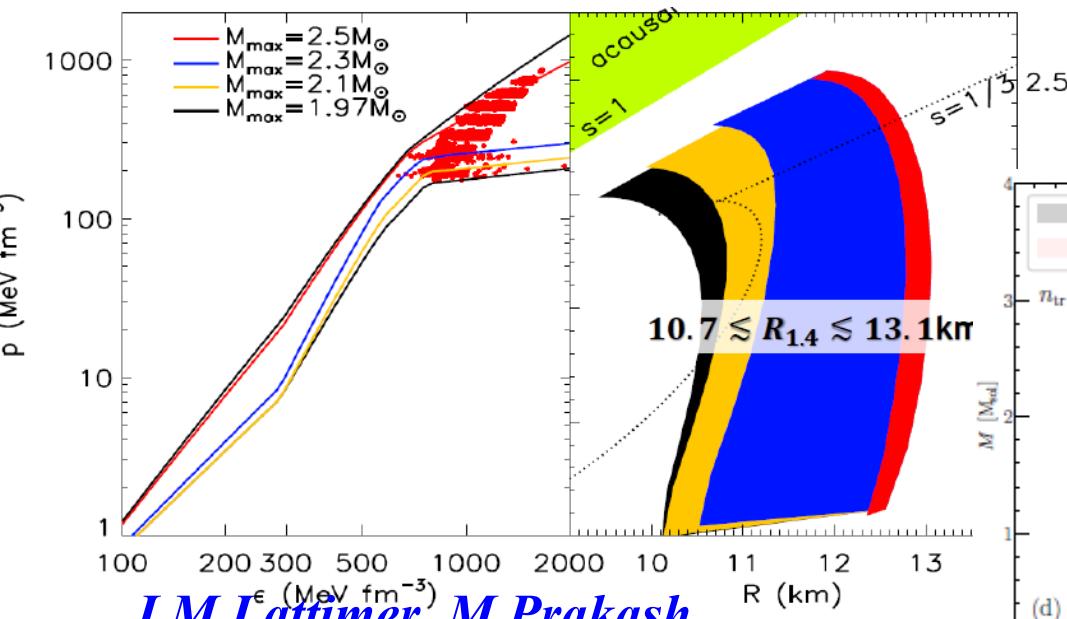
$$10 < R_{1.4} < 14.5 \text{ km}$$



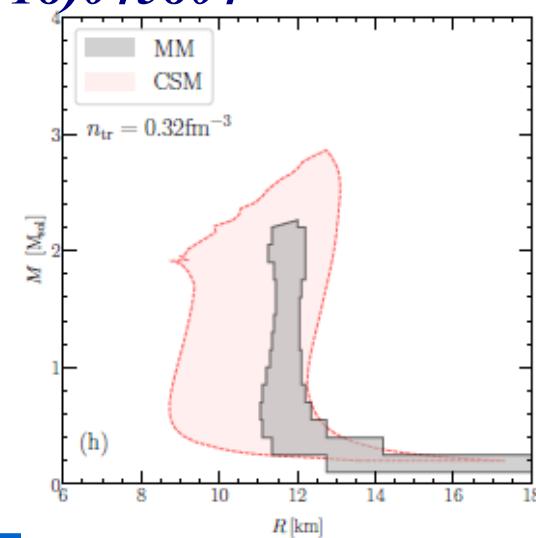
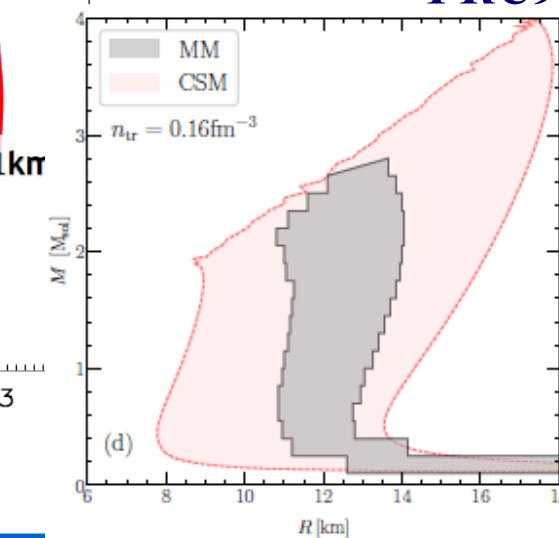
S.Gandolfi, J.Carlson, S.Reddy,  
PRC85('12) 032801



I. Tews, J. Margueron, S. Reddy,  
PRC98 ('18) 045804



J.M.Lattimer, M.Prakash,  
PRep. 621 ('16) 127



# *Neutron Star Radius from Nuclear Physics (+a)*

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## ■ Impact of $2 M_{\odot}$ neutron star

*P.Demorest+, Nature 467('10)1081 (1010.5788),*

*J.Antoniadis+, Science340('13)6131(1304.6875)*

- $R=(6-15)$  km (before 2010)  $\rightarrow R=(10-15)$  km (with  $2 M_{\odot}$ )

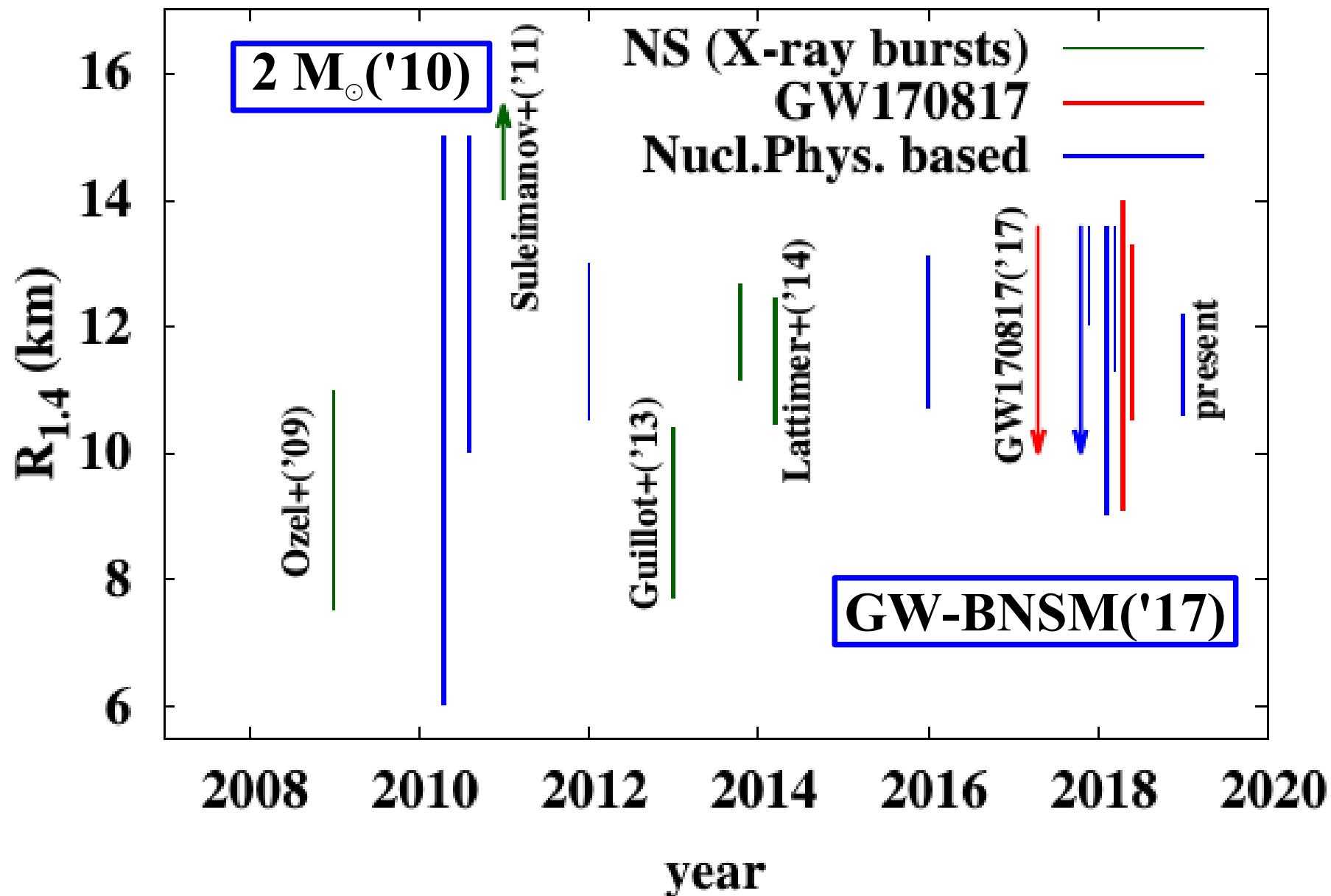
## ■ Impact of symmetry energy parameters

- $S_0 \rightarrow R=(10.5-13)$  km *S.Gandolfi, J.Carlson, S.Reddy, PRC85('12)032801*
- $(S_0, L) \rightarrow R=(10.7-13.1)$  km *J.M.Lattimer,M.Prakash, PRep.621('16)127*

## ■ Chiral Effective Field Theory (Chiral EFT)

- $\chi$ EFT+pQCD+GW  $\rightarrow R=(10.0-13.6)$  km  
*E.Annala, T.Gorda, A.Kurkela, A.Vuorinen, PRL120('18)172703*
- $\chi$ EFT+ $c_s^2 \rightarrow R=(9.0-13.6)$  km [min. model  $R=(11.3-13.6)$  km]  
*I. Tews, J. Margueron, S. Reddy, PRC98 ('18)045804*
- Neutron skin thickness from  $\nu$  scatt. (PREX)  $\rightarrow R=(12.0-13.6)$  km  
*F.J. Fattoyev, J. Piekarewicz, C.J. Horowicz, PRL120 ('18)172702*

# Time dependence of Neutron Star Radius ( $R_{1.4}$ )



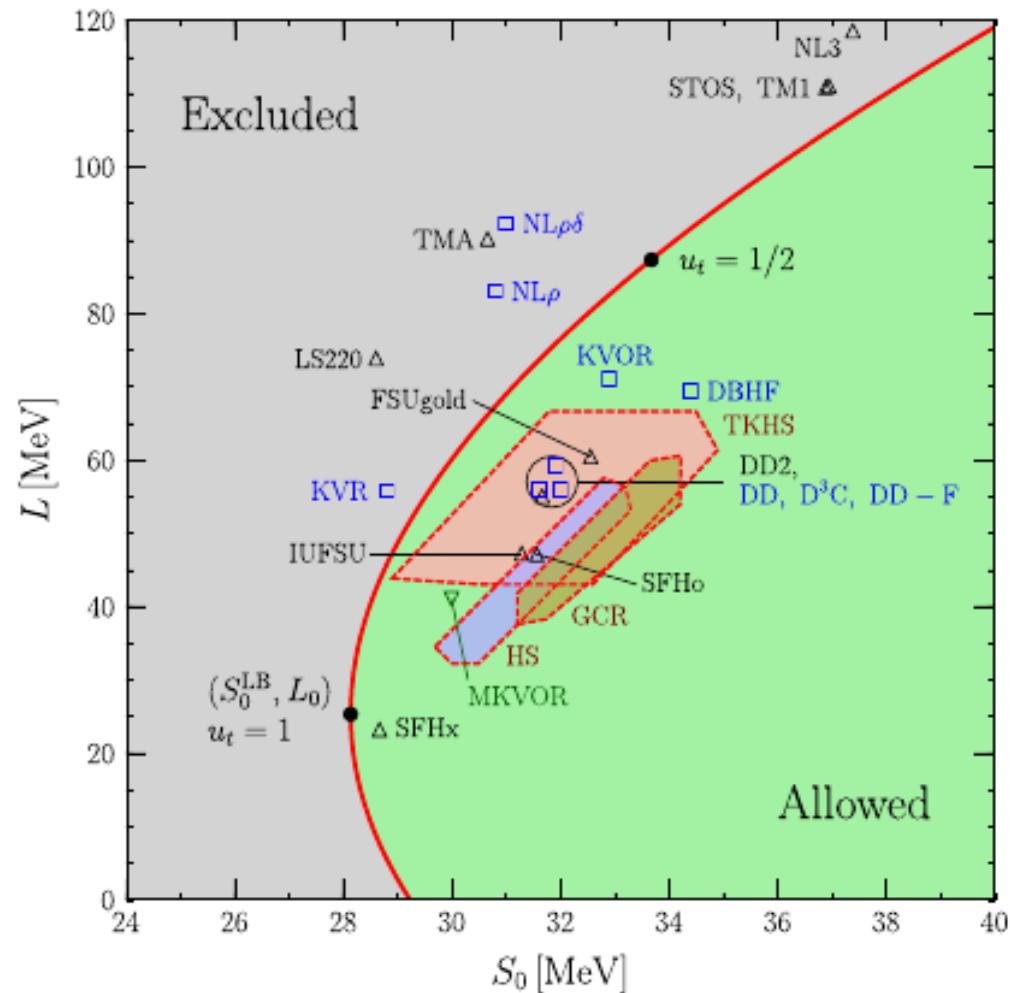
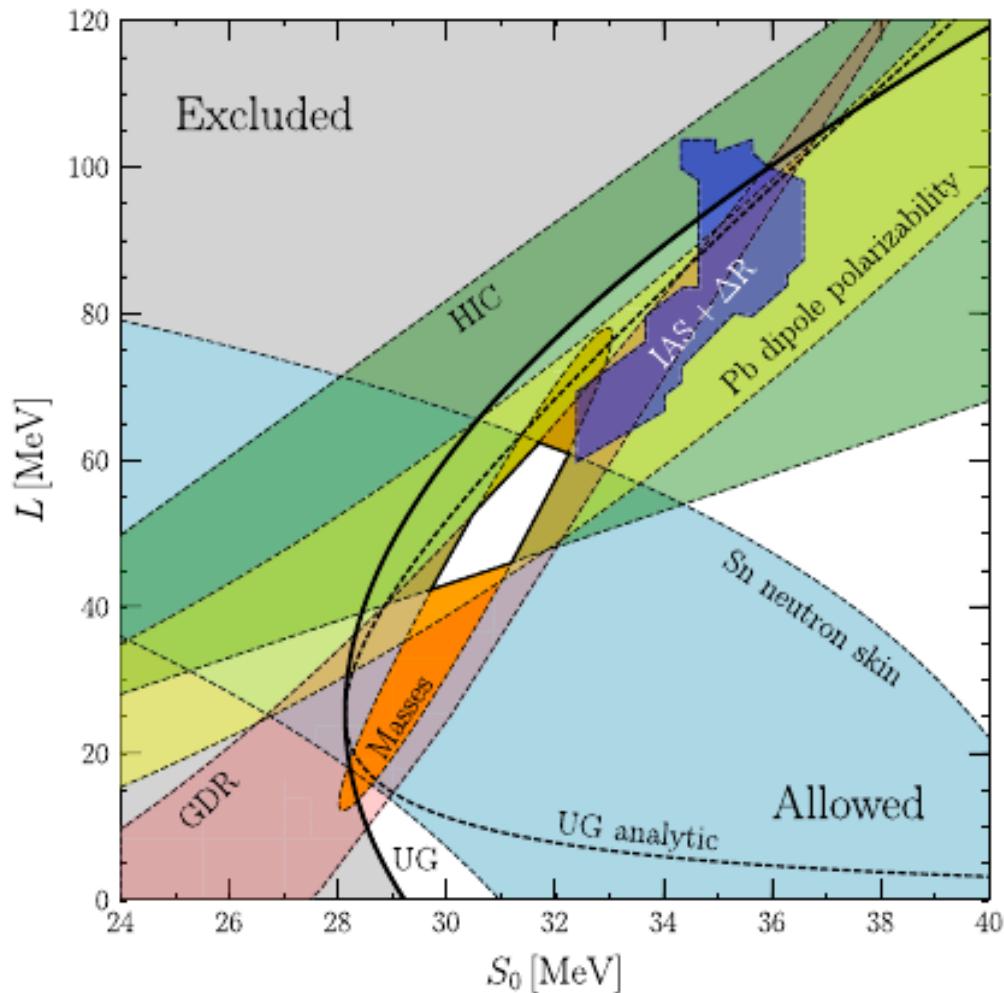
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*Symmetry Energy Parameters ( $S_0$ ,  $L$ ) affect  
Neutron Star Radius.  
How about higher-order parameters ?*

# *Symmetry Energy Parameters, Nuclear Matter EOS, and Neutron Star Radius*

# Constraint on $(S_0, L)$ from Lower Bound of PNM Energy

- Unitary gas ( $E_{\text{PNM}} > E_{\text{UG}}$ ) +  $2 M_\odot$  constraints rule out 5 EOSs (incl. LS220, Shen) out of 10 numerically tabulated ones.



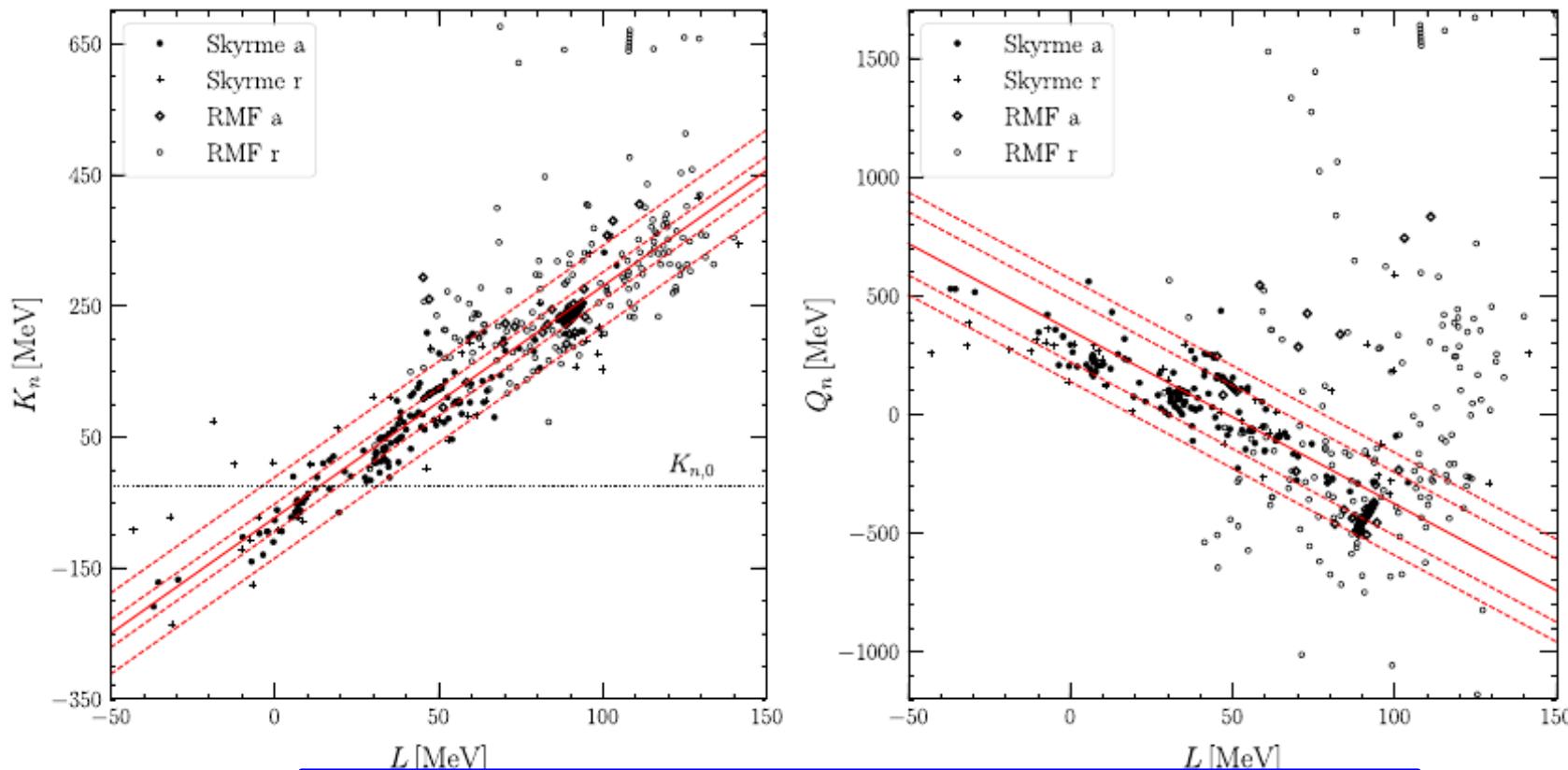
I. Tews, J.M.Lattimer, AO, E.E.Kolomeitsev (TLOK), ApJ 848 ('17)105

# Further Constraints on Higher-Order Sym. E. parameters

- $K_n$  and  $Q_n$  are correlated with  $L$  in “Good” theoretical models.

$$K_n = 3.534L - (74.02 \pm 21.17)\text{MeV}$$

$$Q_n = -7.313L + (354.03 \pm 133.16)\text{MeV}$$



**Regard theoretical models as data !**

I. Tews, J.M.Lattimer, AO, E.E.Kolomeitsev (TLOK), ApJ 848 ('17)105

# Fermi momentum ( $k_F$ ) expansion

## Saturation & Symmetry Energy Parameters

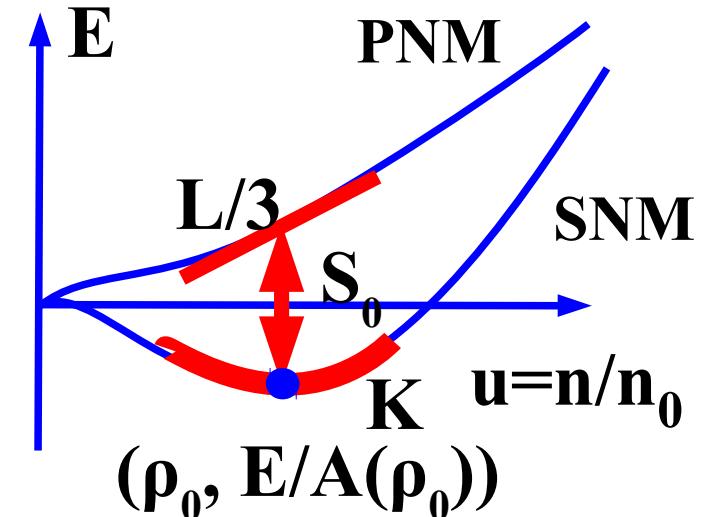
TLOK

$$E_{\text{NM}}(u, \alpha) = E_{\text{SNM}}(u) + \alpha^2 S(u)$$

$$E_{\text{SNM}}(u) \simeq E_0 + \frac{K_0}{18}(u-1)^2 + \frac{Q_0}{162}(u-1)^3$$

$$S(u) \simeq S_0 + \frac{L}{3}(u-1) + \frac{K_s}{18}(u-1)^2 + \frac{Q_s}{162}(u-1)^3$$

$$(u = n/n_0, \alpha = (n_n - n_p)/n)$$



Energy does not approach zero at  $n \rightarrow 0$ .

## Fermi momentum expansion (~ Skyrme type EDF)

- Generated many-body force is given by  $k_F \propto u^{1/3}$

$$E_{\text{SNM}}(u) \simeq T_0 u^{2/3} + \underline{a_0 u} + \underline{b_0 u^{4/3}} + \underline{c_0 u^{5/3}} + \underline{d_0 u^2}$$

$$S(u) \simeq T_s u^{2/3} + \underline{a_s u} + \underline{b_s u^{4/3}} + \underline{c_s u^{5/3}} + \underline{d_s u^2}$$

Kin. E.   Two-body   Density-dep. pot.

# *Expansion Coefficients*

- Coefficients (a,b,c,d) are represented by  
Saturation and Symmetry Energy Parameters

**TLOK**

|               |                  |           |          |
|---------------|------------------|-----------|----------|
| $a_0 = -4T_0$ | $+20E_0$         | $+ K_0$   | $-Q_0/6$ |
| $b_0 = 6T_0$  | $-45E_0$         | $-5K_0/2$ | $+Q_0/2$ |
| $c_0 = -4T_0$ | $+36E_0$         | $+2K_0$   | $-Q_0/2$ |
| $d_0 = T_0$   | $-10E_0$         | $-K_0/2$  | $+Q_0/6$ |
|               |                  |           |          |
| $a_s = -4T_s$ | $+20S_0 - 19L/3$ | $+ K_s$   | $-Q_s/6$ |
| $b_s = 6T_s$  | $-45S_0 + 15L$   | $-5K_s/2$ | $+Q_s/2$ |
| $c_s = -4T_s$ | $+36S_0 - 12L$   | $+2K_s$   | $-Q_s/2$ |
| $d_s = T_s$   | $-10S_0 + 10L/3$ | $-K_s/2$  | $+Q_s/6$ |

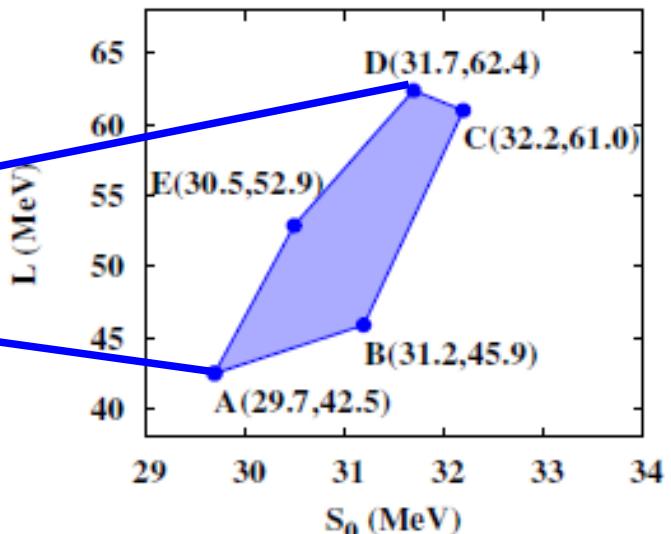
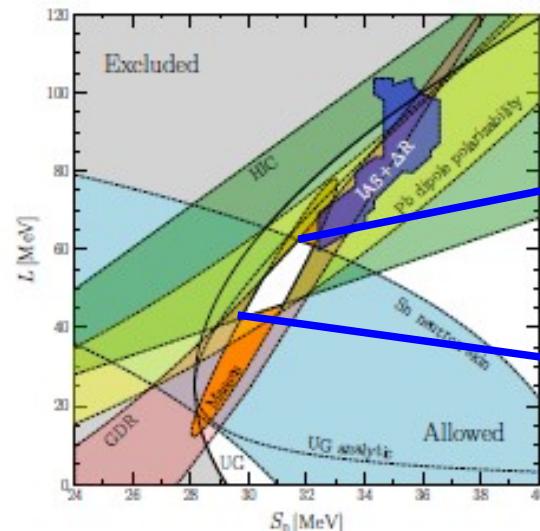
$$\left( T_0 = \frac{3}{5} \frac{\hbar^2 k_F (n_0)^2}{2m}, \quad T_s = T_0 (2^{1/3} - 1) \right)$$

**Tedious but straightforward calc.**

# *TLOK+2M<sub>⊙</sub> constraints*

## ■ TLOK constraints

- (S<sub>0</sub>, L) is in Pentagon.
- (K<sub>n</sub>, Q<sub>n</sub>) are from TLOK constraint.
- K<sub>0</sub>=(190-270) MeV
- (n<sub>0</sub>, E<sub>0</sub>) is fixed  
n<sub>0</sub>=0.164 fm<sup>-3</sup>, E<sub>0</sub>=-15.9 MeV (small uncertainties)
- Q<sub>0</sub> is taken to kill d<sub>0</sub> parameter  
(Coef. of u<sup>2</sup>. Sym. N. M. is not very stiff at high-density)



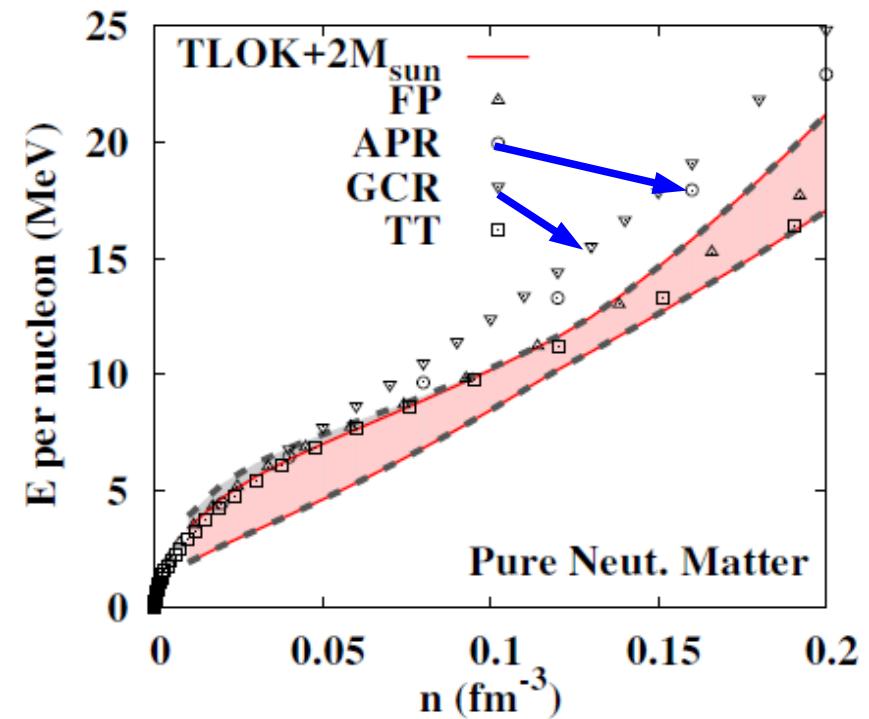
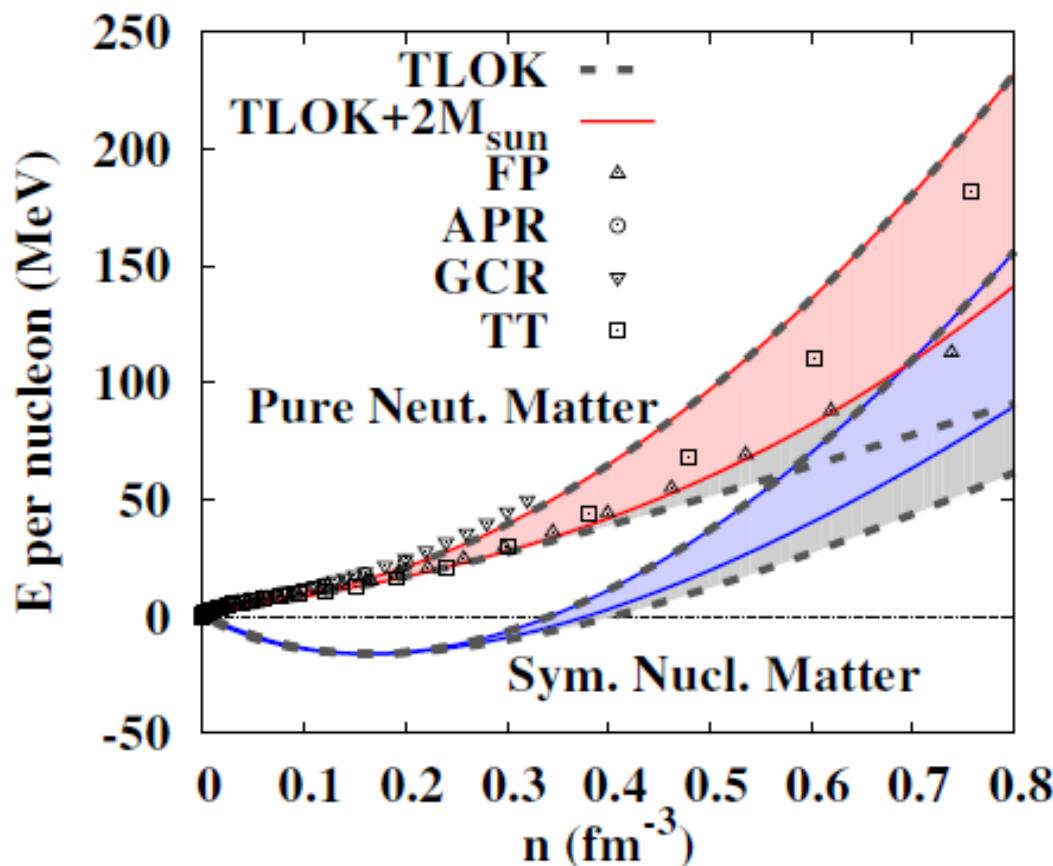
## ■ 2 M<sub>⊙</sub> constraint

- EOS should support 2 M<sub>⊙</sub> neutron stars.

*AO, Kolomeitsev, Lattimer, Tews, Wu (OKLTW), in prog.*

# *TLOK+2M<sub>sun</sub> constraints on EOS*

- 2M<sub>sun</sub> constraint narrows the range of EOS.
- Consistent with FP and TT(Togashi-Takano) EOSs.
- APR and GCR(Gandolfi-Carlson-Reddy) EOSs seems to have larger S<sub>0</sub> values.



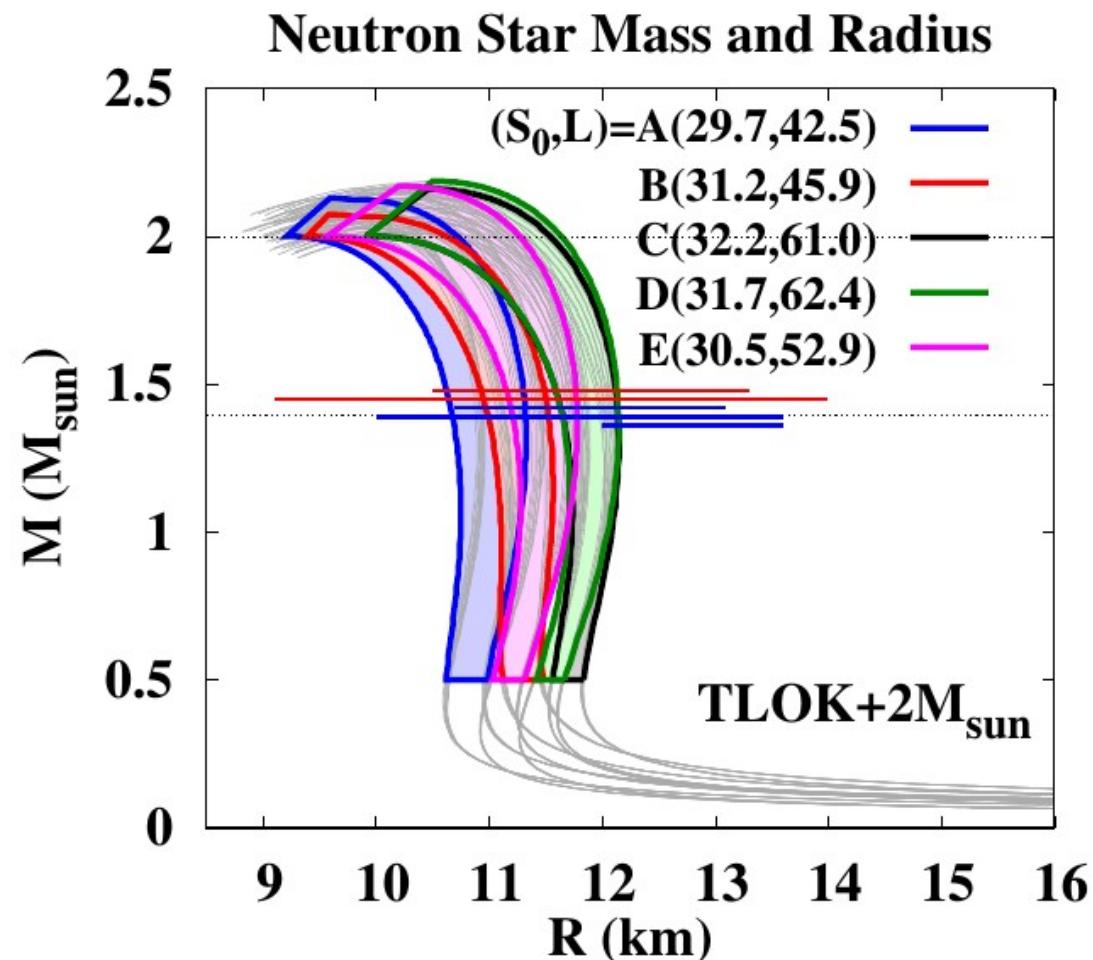
*OKLTW, in prog.*

# Neutron Star MR curve

■ TLOK +  $2 M_{\odot}$  constraints  $\rightarrow R_{1.4} = (10.6-12.2) \text{ km}$

OKLTW, in prog.

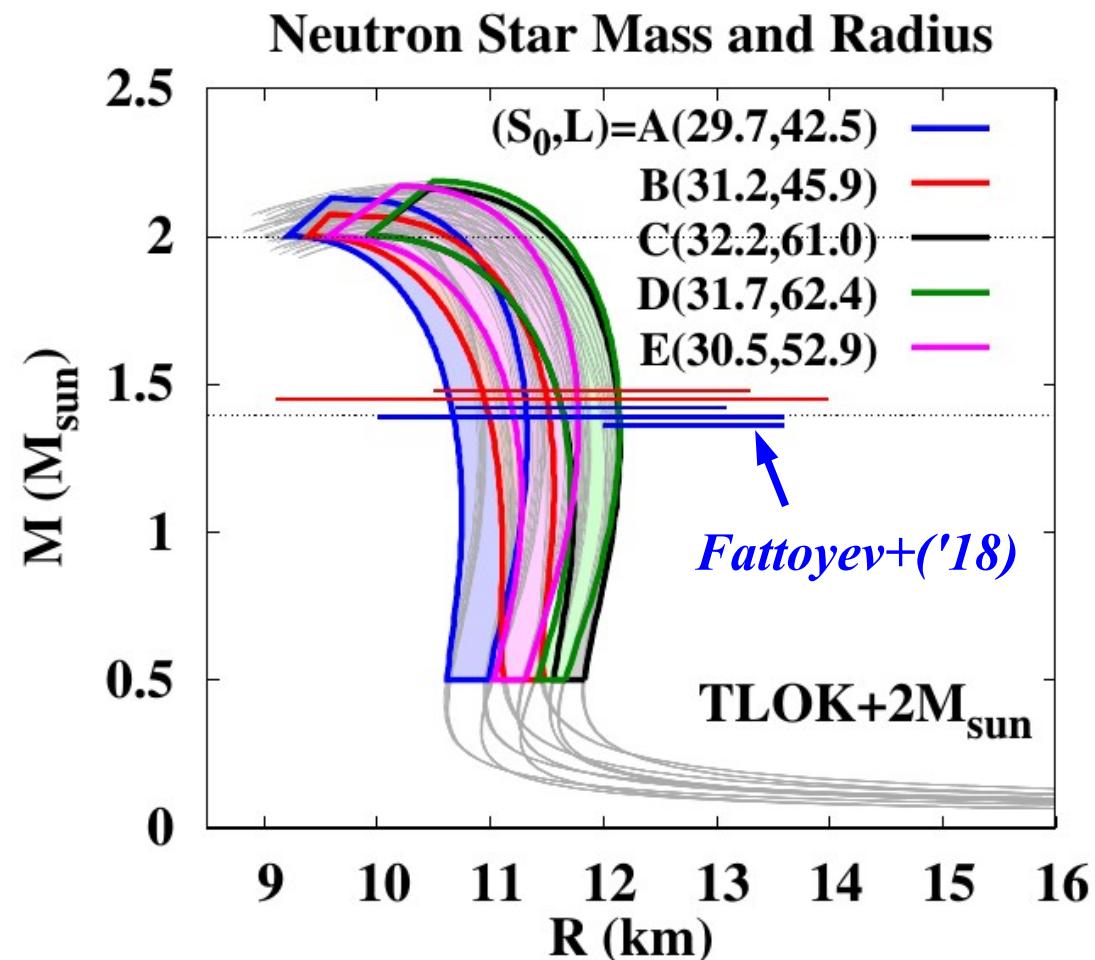
- E and P are linear fn. of Sat. & Sym. E. parameters  
 $\rightarrow$  Min./Max. appears at the corners of pentagon (ABCDE).
- For a given  $(S_0, L)$ ,  
unc. of  $R_{1.4} \sim 0.5 \text{ km}$   
= unc. from higher-order parameters
- Unc. from  $(S_0, L) \sim 1.1 \text{ km}$   
 $\rightarrow$  We still need to fix  $(S_0, L)$  more precisely.



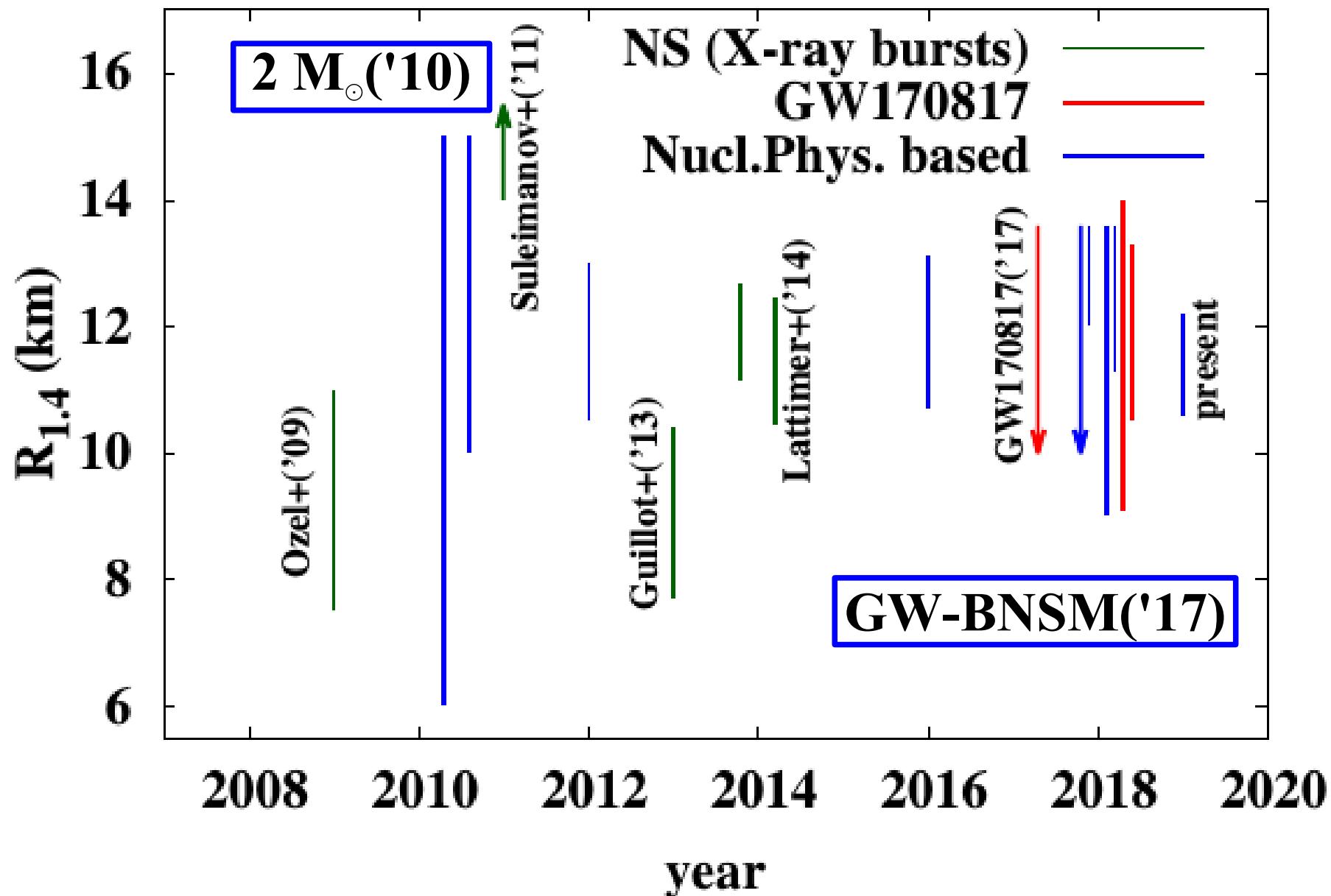
# Neutron Star MR curve

- Our constraint is consistent with many of previous ones.

- $R_{1.4} = (10.6-12.2)$  km *Present work (TLOK + 2  $M_\odot$ ) OKLTW, in prog.*
- LIGO-Virgo (Tidal deformability  $\Lambda$  from BNSM)  
 $(10.5-13.3)$  km *Abbott+('18b)*  
 $(9.1-14.0)$  km *De+('18) (A)*
- Theoretical Estimates  
 $(10.7-13.1)$  km  
*Lattimer+, PRep.621('16)127*  
 $(10.0-13.6)$  km  
*Annala+, PRL120('18)172703*  
 $(9-13.6)$  km  
*Tews+, PRC98 ('18)045804*  
 $(12.0-13.6)$  km  
*F.J.Fattoyev+(PREX), PRL120 ('18)172702*



# *Time dependence of Neutron Star Radius ( $R_{1.4}$ )*



# *Implications to quark-hadron physics in cold dense matter*

# *Questions !*

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## ■ Hyperon puzzle

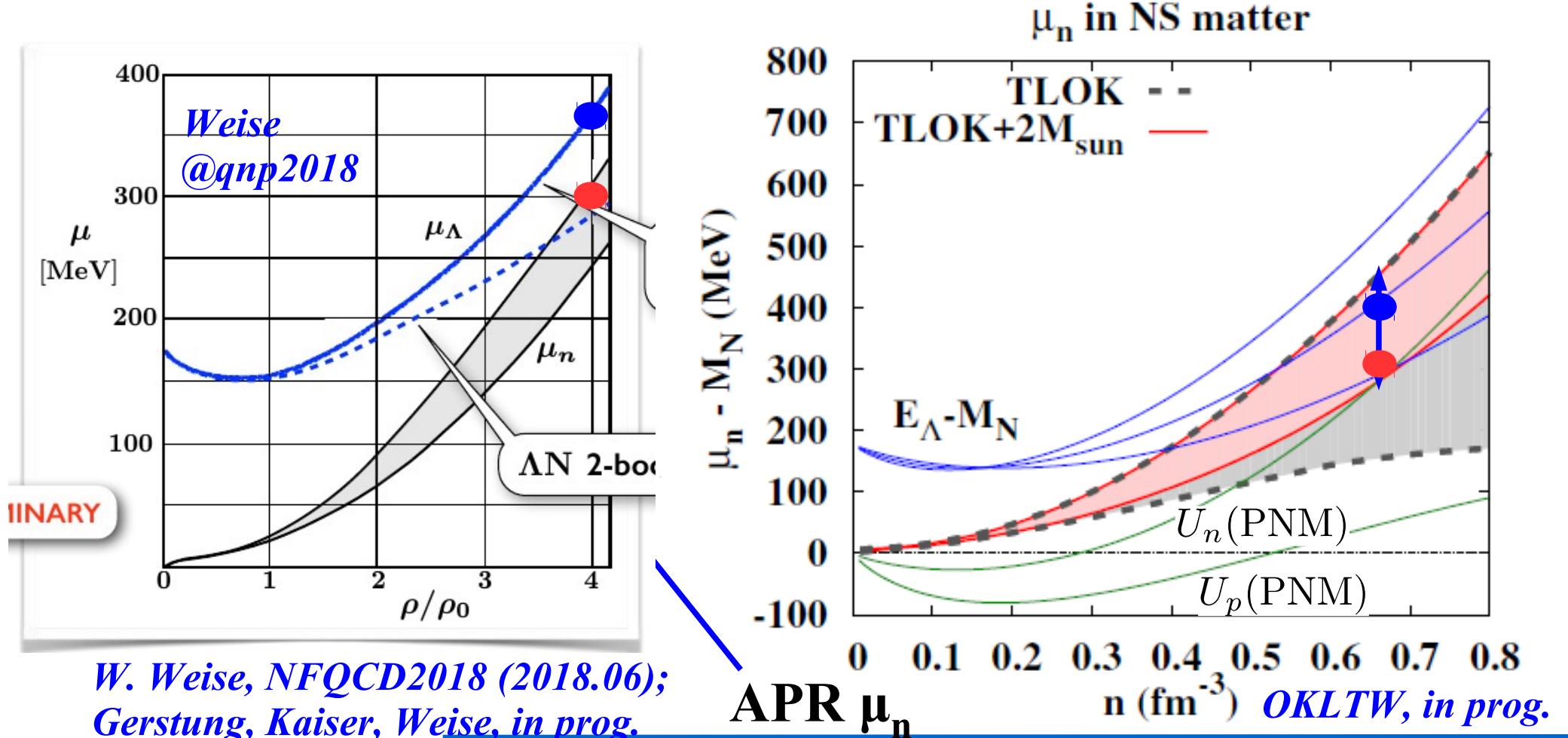
- At what density do hyperons appear ?  $\rightarrow U_\Lambda = \mu_n$
- In STANDARD EOS with hyperons with  $U_\Lambda(n_0)=-30$  MeV,  
 $\Lambda$  appears at  $n=(2-3)n_0$
- Density dep. of  $U_\Lambda$  is essential.
- Neutron chemical potential strongly depends on sym. E.

## ■ QCD phase transition in cold dense matter

- Do we have the first order phase transition in cold dense matter ?  
If yes, at which density ?
- Recent high-energy heavy-ion collision data suggest  
strong softening of EOS at  $n=(5-10) n_0$ .
- With hadronic matter EOS with  $L=50$  MeV and NJL model,  
mixed phase would appear at  $n=(5-10) n_0$  in neutron stars.

# Neutron Chemical Potential in NS

- $\Lambda$  appears in neutron stars if  $E_\Lambda(p=0) = M_\Lambda + U_\Lambda < \mu_n$
- $U_\Lambda$  in  $\chi$ EFT (2+3 body) is stiff.
- But  $\mu_n$  is larger with TLOK+ $2M_\odot$  constraints



# Neutron Chemical Potential in NS

## ■ Neutron Chemical Potential

$$\mu_n + M_N = \frac{\partial(nE)}{\partial n_n} = E + u \frac{\partial E}{\partial u} + 2\alpha(1 - \alpha)S(u)$$

## ■ Single particle potential

$$U_\Lambda(u) = \frac{\partial(nV)}{\partial n_\Lambda}$$

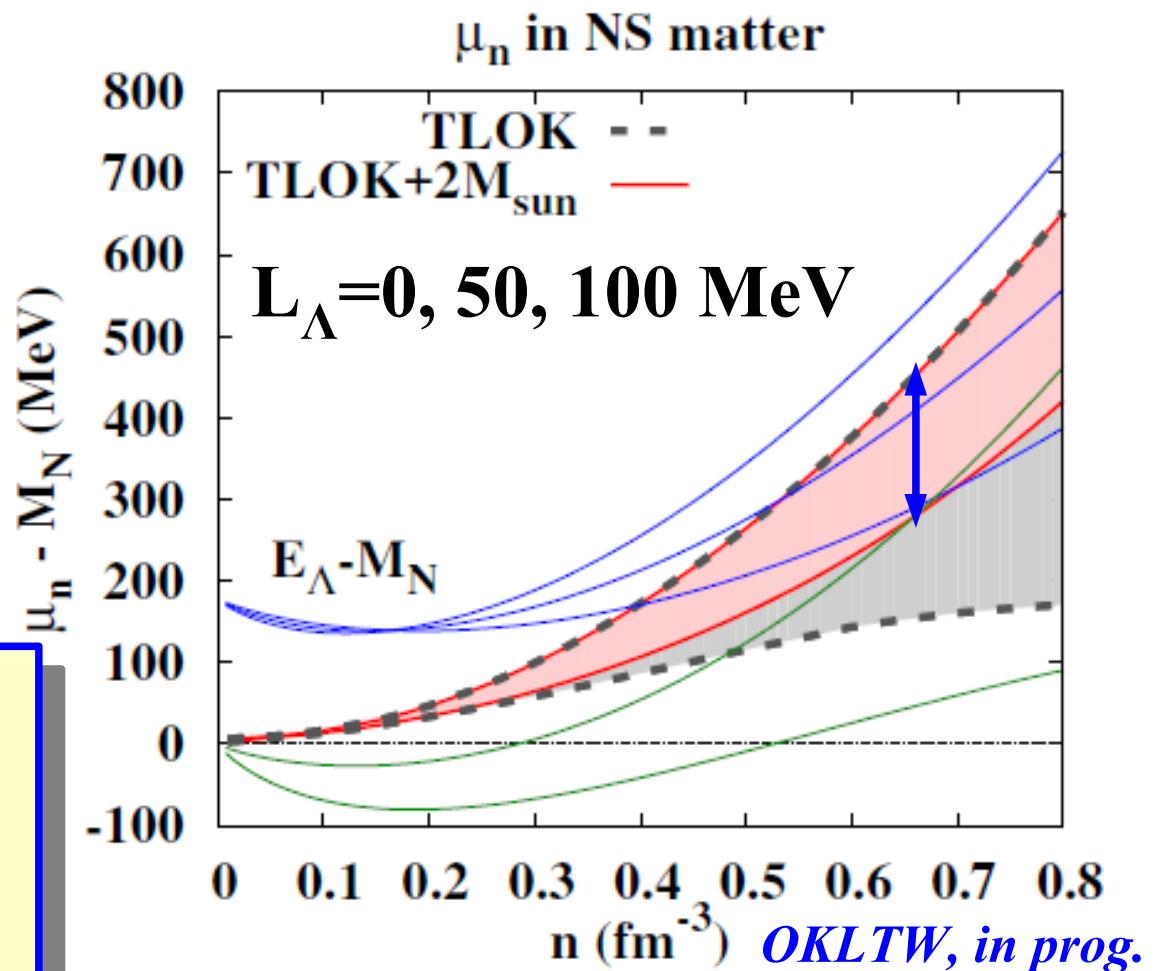
$$\simeq U_{0\Lambda} + \frac{L_\Lambda}{3}(u - 1)$$

$$U_{0\Lambda} \simeq -30 \text{ MeV}$$

$$L_\Lambda = ???$$

( $L_\Lambda < 0$  in most of RMF before 2010)

*Sym.  $E$ . and  $L_\Lambda$  determine the onset density of  $\Lambda$ . (Already mentioned in Millener, Dover, Gal paper)*



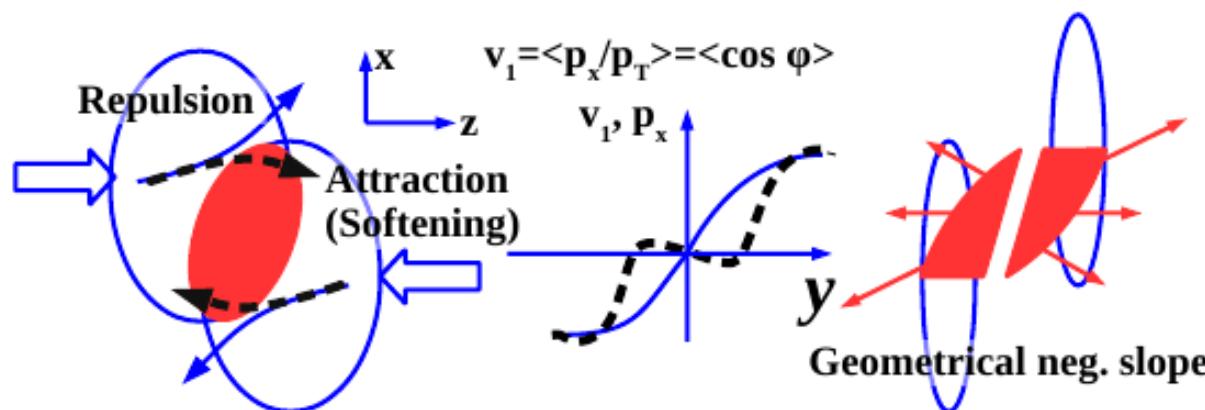
# ***QCD phase transition in cold dense matter***

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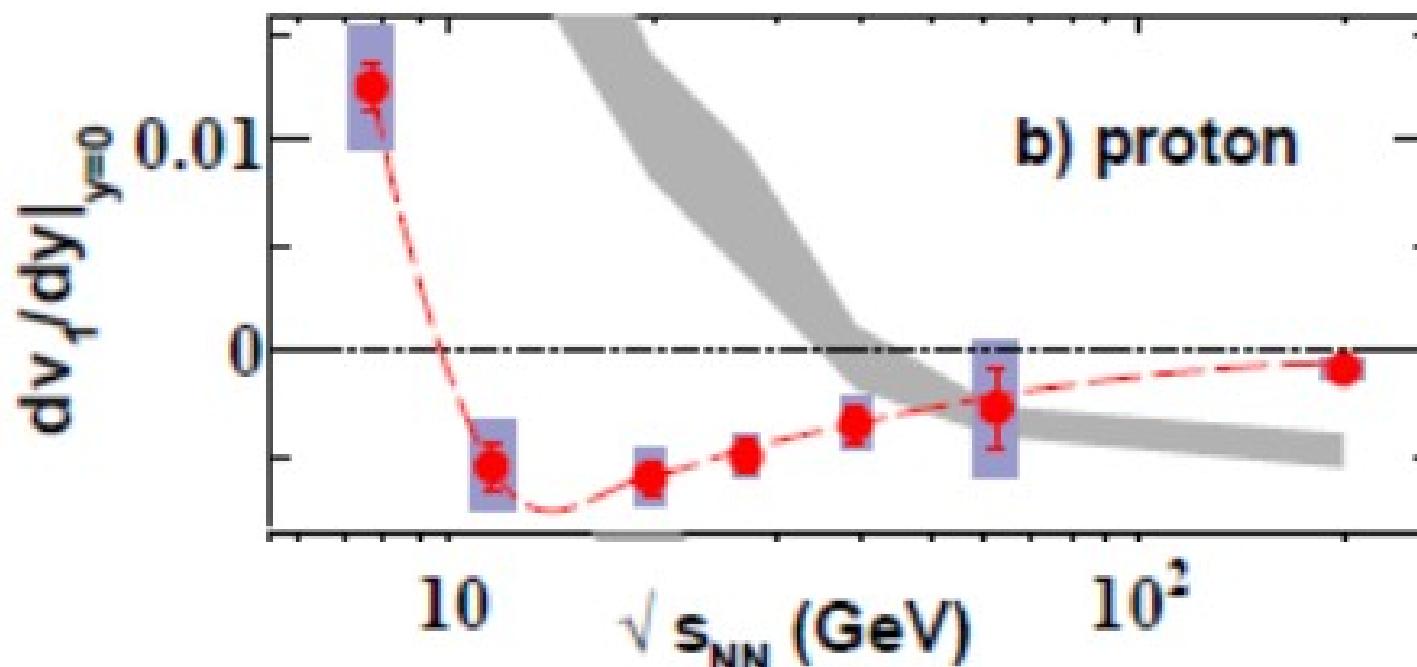
- Transition to quark matter in cold-dense matter  
1st order or crossover ?
- Crossover: **Masuda, Hatsuda, Takatsuka, Kojo, Baym, ...**
- 1st order p.t.
  - Many effective models predict, e.g. Asakawa-Yazaki CP
  - Recent phenomenological support: Negative Directed Flow in HIC  
*Y.Nara, H.Niemi, AO, H.Stoecker, PRC94('16)034906.*  
*Y. Nara, H. Niemi, AO, J. Steinheimer, X.-F. Luo, H. Stoecker, EPJA 54 ('18)18*
  - The phase transition density may be above NS central density  
*X.Wu, AO, H.Shen, PRC to appear (arXiv:1806.03760)*

# Negative Directed Flow

- **Directed Flow**  $v_1 = \langle \cos \phi \rangle = \langle p_x/p_T \rangle$ , Slope =  $dv_1/dy$



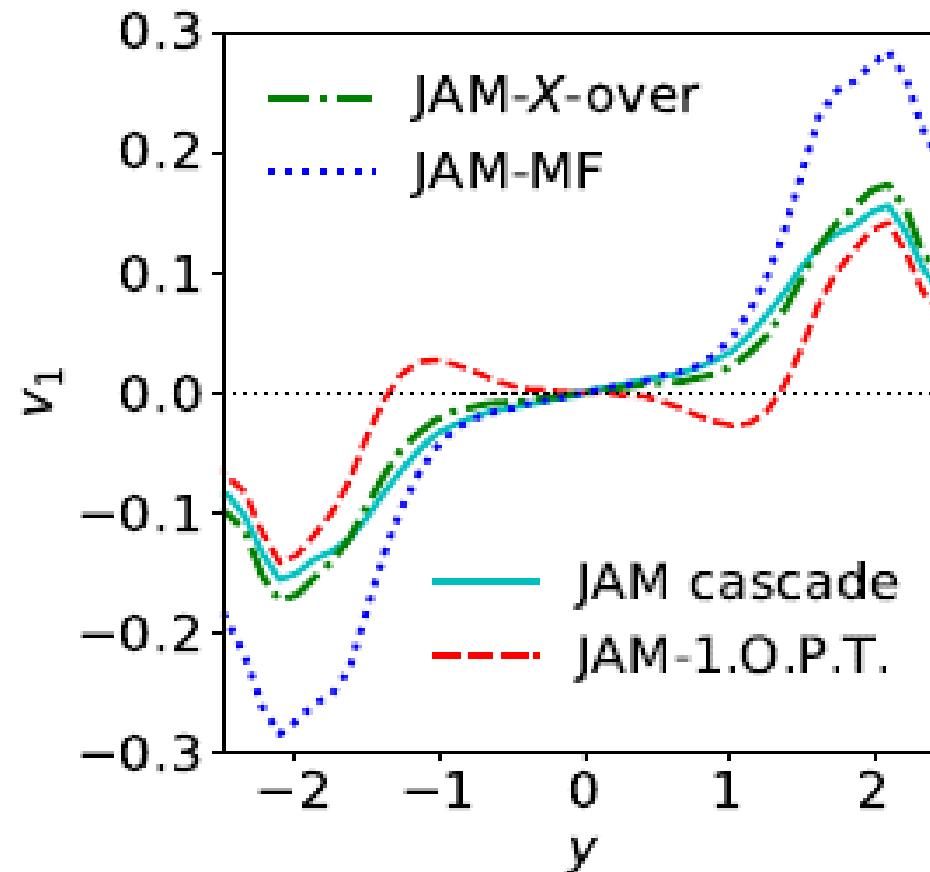
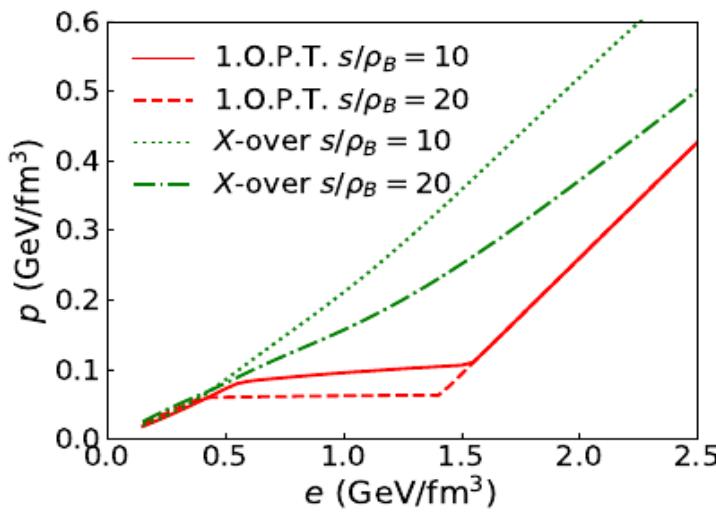
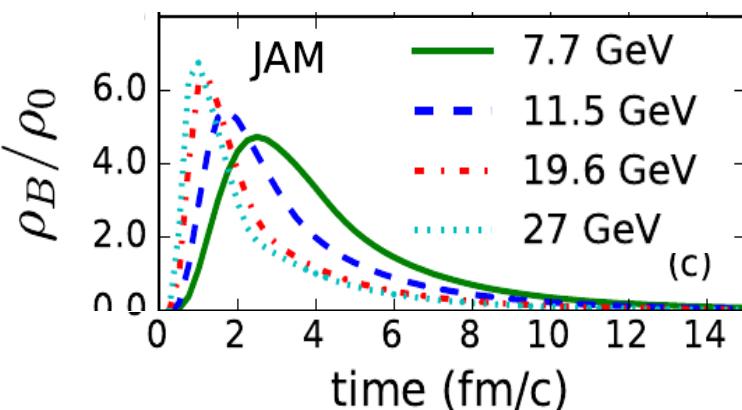
- **Negative Flow in Heavy-Ion Collisions**



*STAR Collab. (L. Adamczyk et al.), Phys.Rev.Lett. 112 ('14), 162301*

# Negative Directed Flow

- Negative Directed Flow slope at  $\sqrt{s_{NN}} = 11.5 \text{ GeV}$  (STAR ('14))  
 → Strong softening of EOS is necessary at  $n > (5-10) n_0$



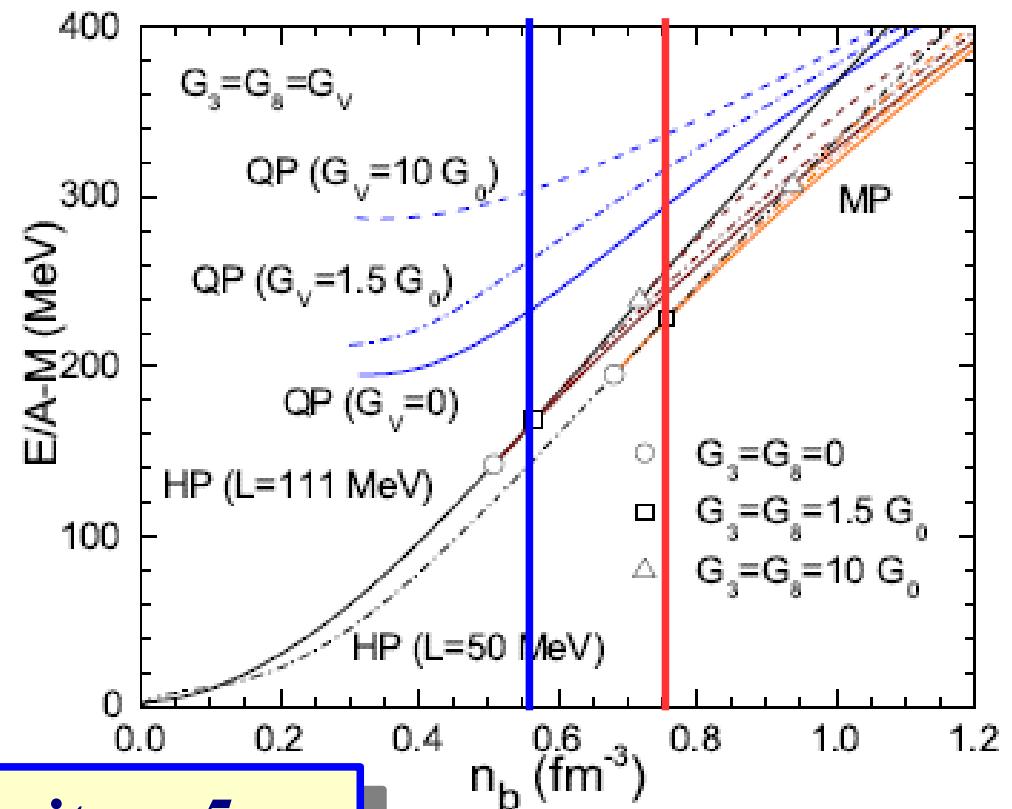
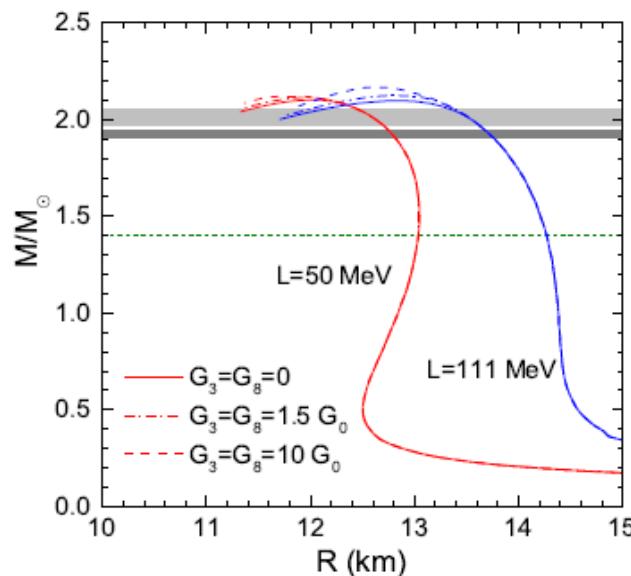
*Y.Nara, H.Niemi, AO, H.Stoecker, PRC94('16)034906.  
 Y. Nara, H. Niemi, AO, J. Steinheimer, X.-F. Luo,  
 H. Stoecker, EPJA 54 ('18)18*

# Isospin & Hypercharge Sym. E in quark matter

- Two types of vector int. in NJL → Isospin & Hypercharge Sym. E  
*X.Wu, AO, H.Shen, PRC to appear (arXiv:1806.03760)*

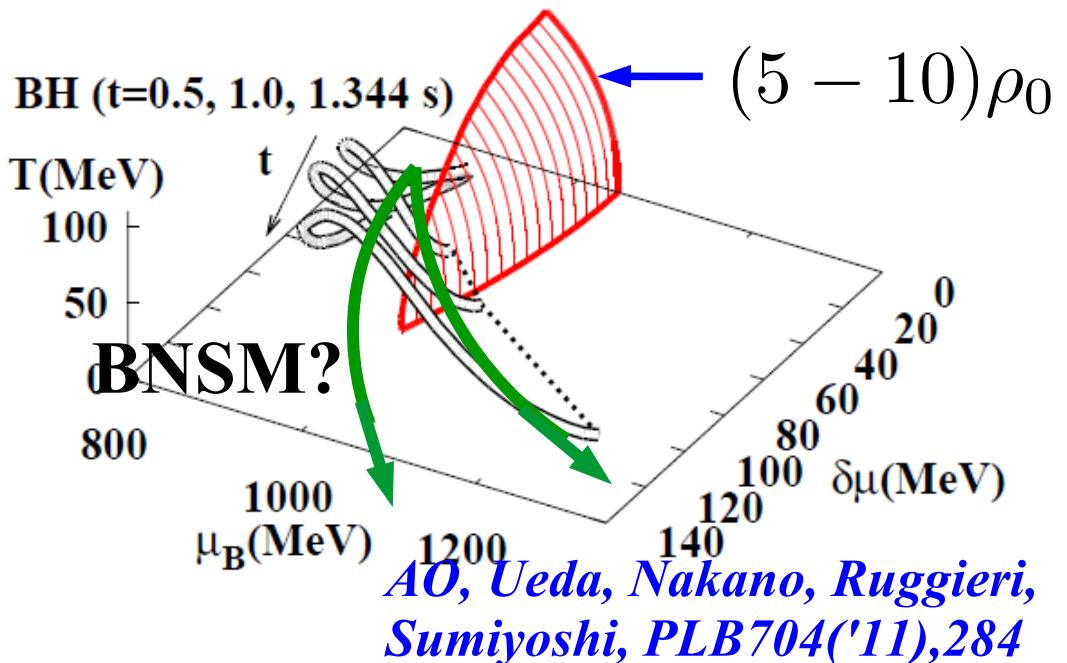
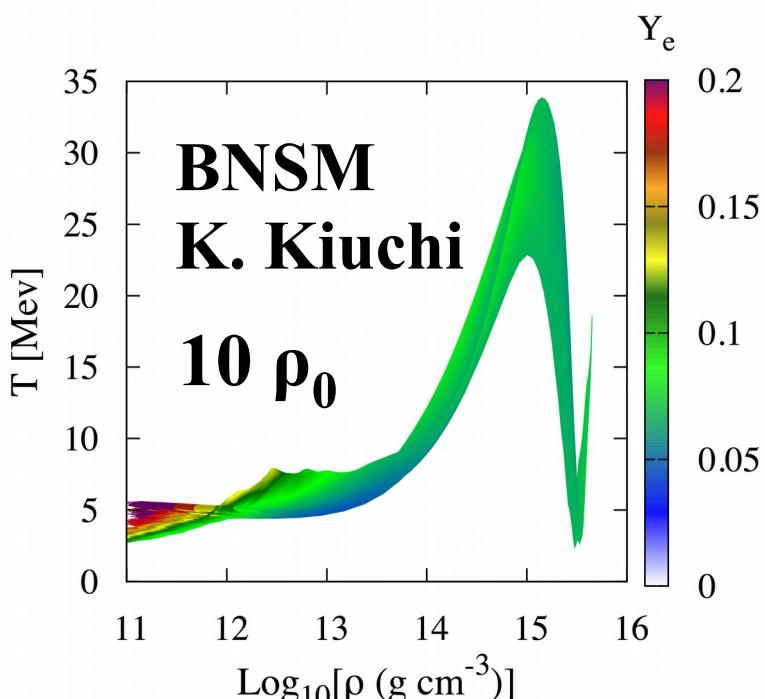
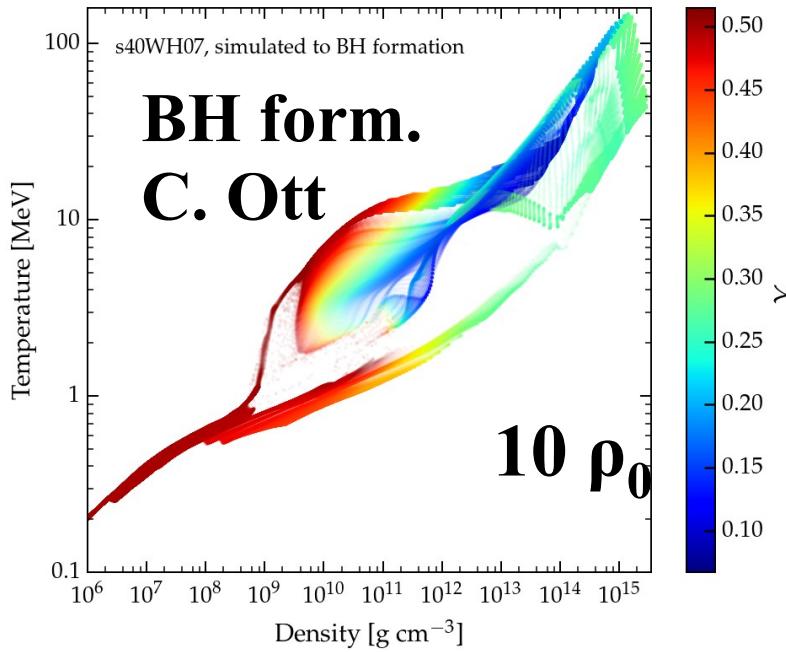
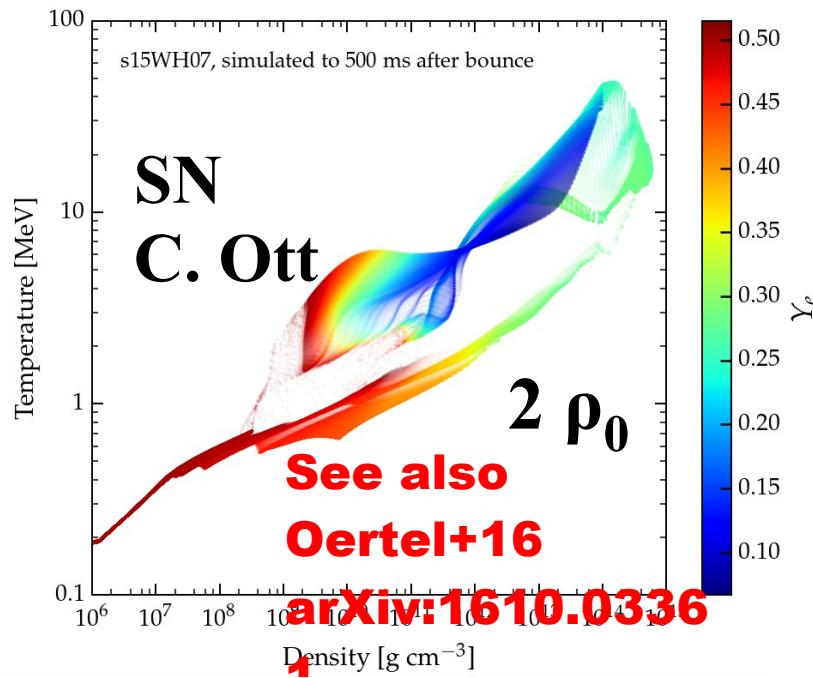
$$\mathcal{L}_v = -G_0(\bar{q}\gamma_\mu q)^2 - G_v \sum_i [(\bar{q}\gamma_\mu \lambda_i q)^2 + (\bar{q}i\gamma_5 \gamma_\mu \lambda_i q)^2]$$

$$E = \alpha^2 S(n) + \alpha_Y^2 S_Y(n) , \quad \alpha = -2\langle T_z \rangle / B , \quad \alpha_Y = \langle B + S \rangle / B$$



$L=50 \text{ MeV} \rightarrow \text{transition density} \sim 5 n_0$

# $(\rho, T, Y_e)$ during SN, BH formation, BNSM



# *Summary*

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- Constraint on symmetry energy parameters ( $S_0$ ,  $L$ ,  $K_n$ ,  $Q_n$ ) together with  $2 M_\odot$  constraint gives the  $1.4 M_\odot$  neutron star radius in the range of (10.6-12.2) km.
  - Consistent with the constraint from GW.
  - Fermi momentum ( $k_F$ ) expansion is invoked.  
Smooth extrapolation to  $2 n_0$  seems to work.
  - Let's wait for the NICER data and next NS-NS merger event.
- Onset density of hyperons may be sensitive to the symmetry energy in addition to potential parameters, ( $U_{0B}$ ,  $L_B$ ).
  - We need to know the slope of potential in addition to the depth.
- QCD phase transition with strong EOS softening is expected at  $n=(5-10)n_0$  in almost sym. n.m. from heavy-ion data.
  - GW data from HMNS would clarify 3D phase diagram structure.

---

*Thank you for your attention !*

# *Reservations and Prospects*

# *Reservations*

---

- Only massless electrons are considered and Crust EOS is ignored.
  - With  $\mu$ , chemical potential may be reduced a little.
- Non-relativistic kinetic energy is used.
  - With rel. K.E., E per nucleon is modified by 0.03 MeV @  $10 n_0$  as long as Sat. and Sym. E parameters are fixed.
- Function form is limited to  $k_F$  expansion with  $u^{k/3}$  ( $k=2-6$ ).
  - $R_{1.4}$  range becomes narrower with  $k=2-5$ .
  - Density expansion gives EOSs very sensitive to parameters.
- Smooth  $E(u)$  (= No phase transition) is assumed.
  - We expect QCD phase transition at (5-10)  $n_0$  from recent BES data of directed flow *Nara, Niemi, AO, Stoecker ('16)*
  - Transition to quark matter may not soften EOS drastically.
- Causality is violated at high densities,  $n > (4-6) n_0$ .

# To Do (or Prospect)

- Baryons other than nucleons  $\Lambda$ ,  $\Delta$ ,  $\Xi$ ,  $\Sigma$ , ...
- Connecting to Hadron Resonance Gas (HRG) EOS
  - HRG EOS  
mass and kinetic E of hadrons with  $M < 2$  GeV + simple potential E
$$\varepsilon_{\text{HRG}} = \mathcal{T} + cn^2$$
or Lattice EOS in HIC(No saturation, No constraint from NS).  
● We need to guess the potential energy density more seriously for consistent understanding of HIC, Nuclear, and NS physics.
$$\varepsilon = \mathcal{T} + \mathcal{V}$$
 ← Nuclear and NS physics
- Connecting to Quark(-Gluon) matter EOS
  - Embed model-H singularities *E.g. Nonaka, Asakawa ('04)*
  - “Interpolation” of nuclear and quark matter EOS

# *Further Constraint on $Q_n$*

- $2 M_{\odot}$  requirement constrains  $Q_n$  further.

$$Q_n > -9.3L + 480 \text{ MeV}$$

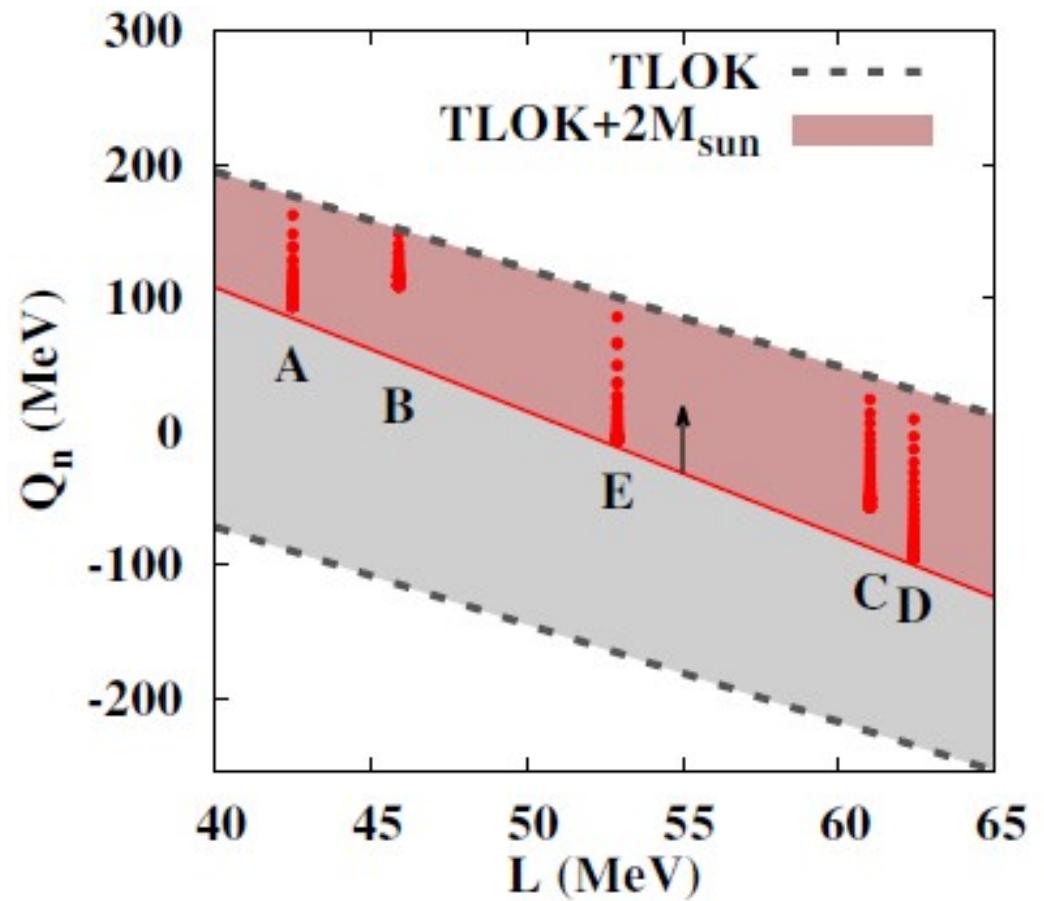


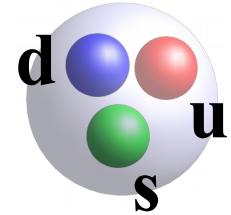
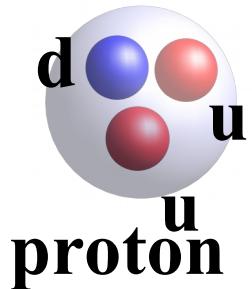
FIG. 4. Constraint on  $Q_n$

*AO, Kolomeitsev, Lattimer, Tews, Wu (OKLTW), in prog.*

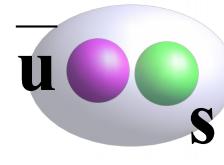
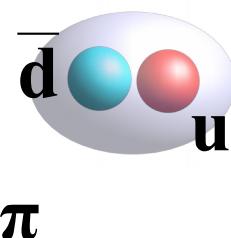
# *Neutron star – Is it made of neutrons ?*

- Possibilities of various constituents in neutron star core

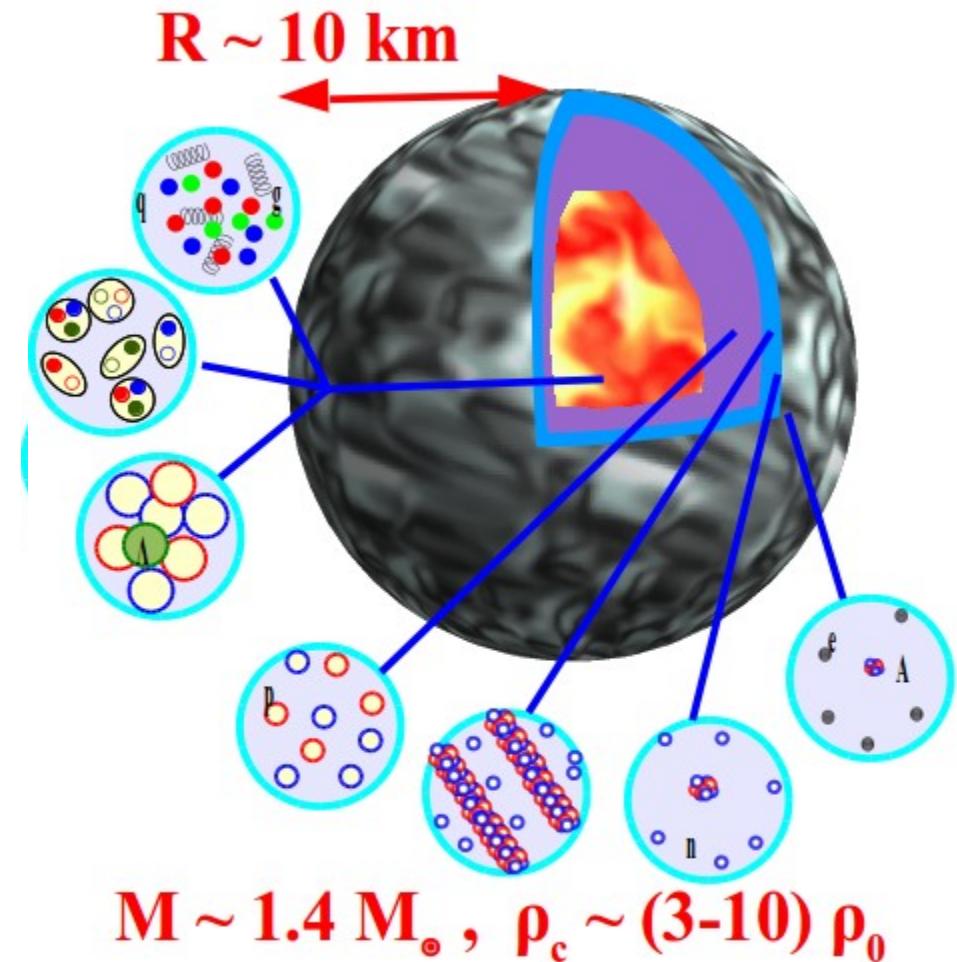
- Strange Hadrons



- Meson condensate ( $K, \pi$ )



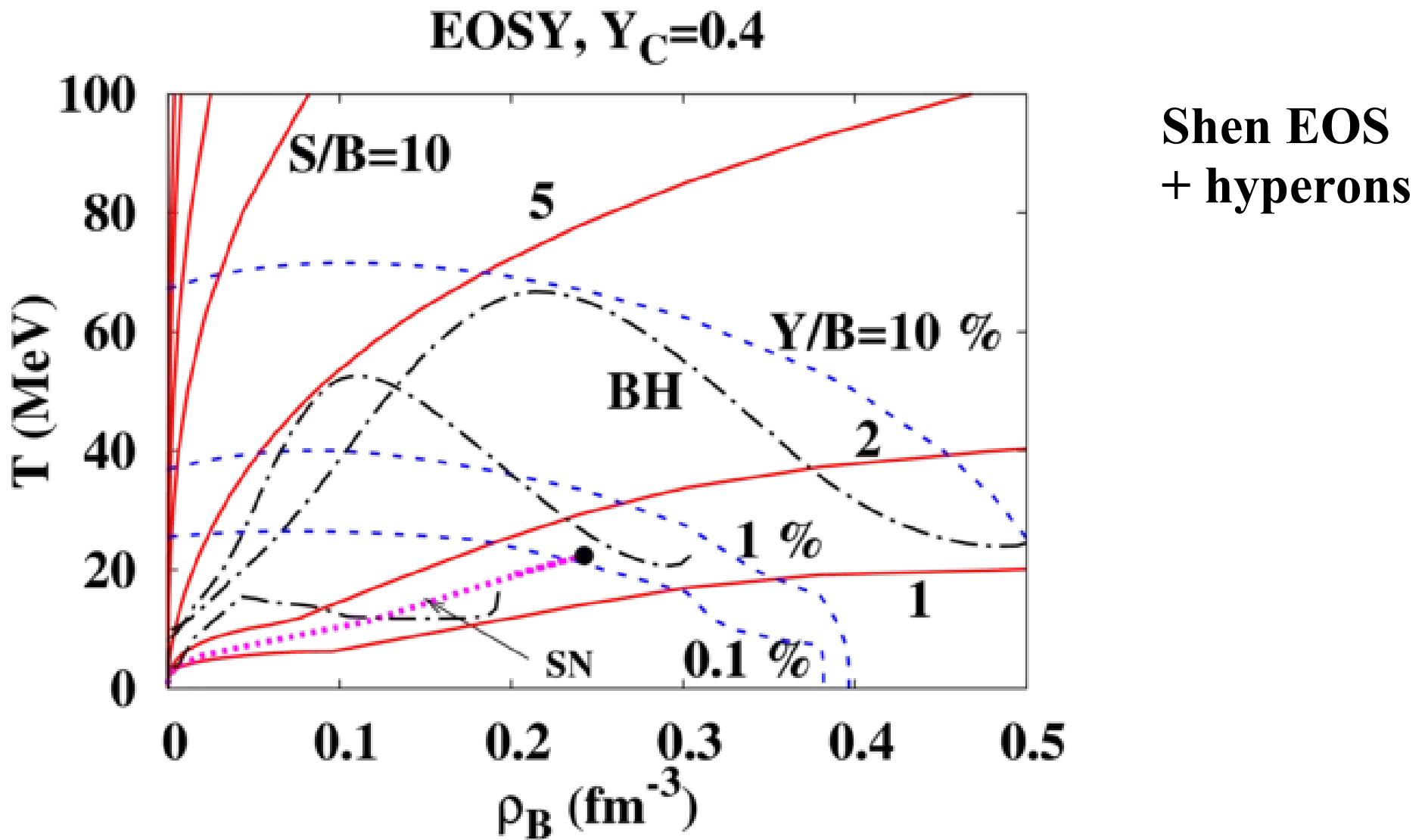
- Quark matter
- Quark pair condensate (Color superconductor)



$$M \sim 1.4 M_{\odot}, \rho_c \sim (3-10) \rho_0$$

***NS core = Densest stable matter existing in our universe.***

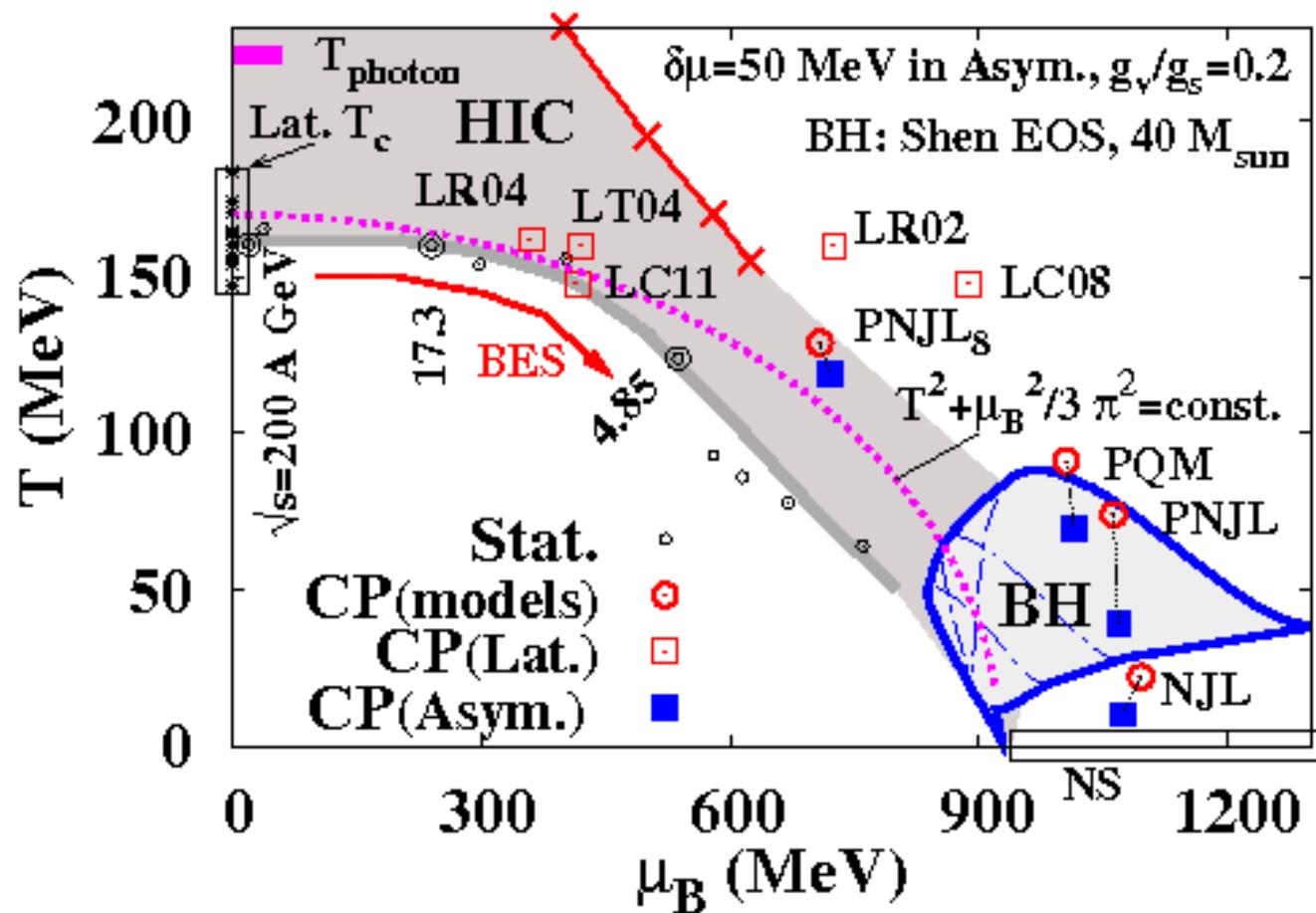
# $(\rho, T)$ during SN & BH formation



Shen EOS  
+ hyperons

Ishizuka, AO, Tsubakihara, Sumiyoshi, Yamada, JPG 35('08) 085201;  
AO et al., NPA 835('10) 374.

# *QCD phase diagram (Exp. & Theor. Studies)*



*QCD phase transition is not only an academic problem,  
but also a subject which would be measured  
in HIC or Compact Stars*

# Unitary Gas Constraint

Tews, Lattimer, AO, Kolomeitsev (TLOK), ApJ ('17)

## ■ Conjecture:

Unitary gas gives the lower bound of neutron matter energy.

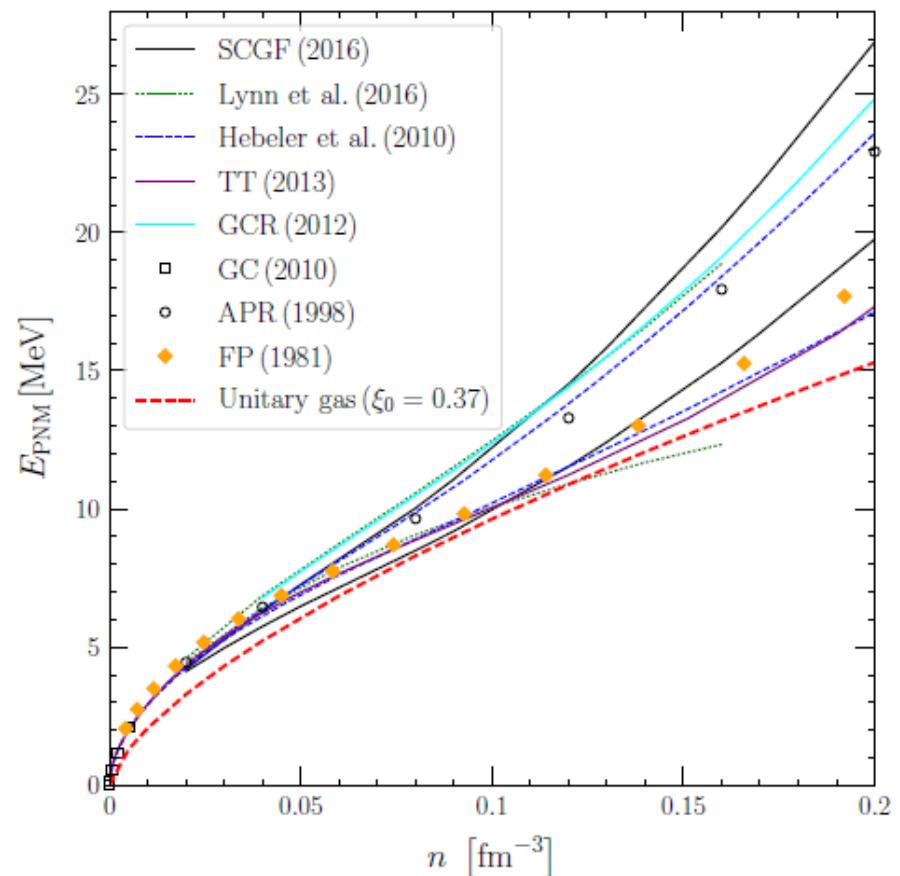
$$S(n) = E_{\text{PNM}} - E_{\text{SNM}} \geq E_{\text{UG}} - E_{\text{SNM}} \quad \text{Sym. Nucl. Matter EOS is relatively well known.}$$
$$E_{\text{UG}} = \xi E_{\text{FG}} \quad (\xi \simeq 0.38)$$

## ■ $a_0 = \infty$ in unitary gas

→ lower bound energy  
of  $a_0 < 0$  systems

(w/o two-body b.s.) ?

## ■ Supported by (most of) ab initio calc.



# Potential Energy Density

## ■ Potential Energy Density in the Fermi momentum expansion

$$\mathcal{V} = nV = \sum_{i,j \in B} n_i n_j v_{ij}(n)$$

Density-dependent NN interactions  $v_{ij}$  ( $i, j=p$  or  $n$ ) are known.

## ■ Single particle potential

$$\begin{aligned} U_i &= \frac{\partial \mathcal{V}}{n_i} = \sum_j n_j v_{ij}(n) + \sum_{jk} n_j n_k \frac{\partial v_{jk}(n)}{\partial n_i} \\ &= U_{0i} + \frac{L_i}{3}(u - 1) + \mathcal{O}((u - 1)^2) \\ &\simeq au + bu^{4/3} \end{aligned}$$

rearrangement term

Again,  $a$  and  $b$  are given as a linear function of  $U_{0i}$  and  $L_i$ .