

Challenge to the hyperon puzzle

- Density dependence of Λ potential in nuclear matter
from heavy-ion collisions and hypernuclear spectroscopy -

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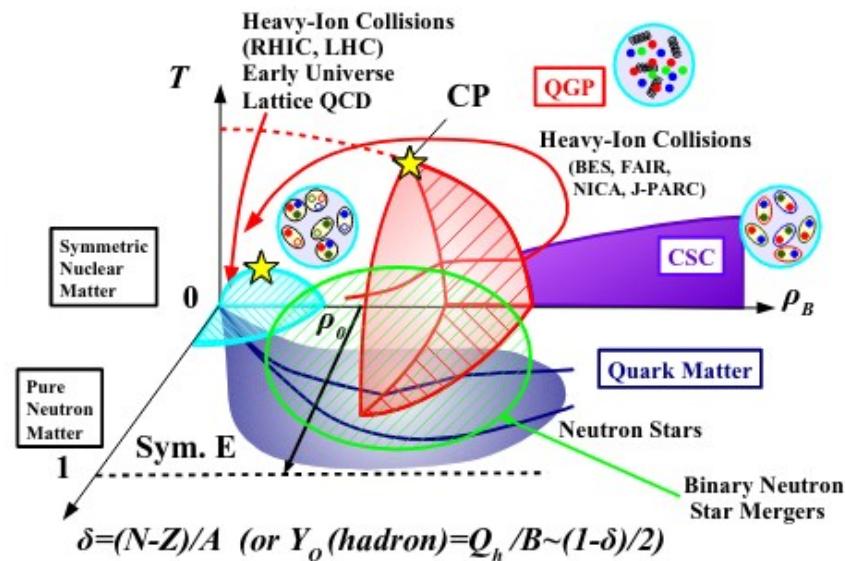
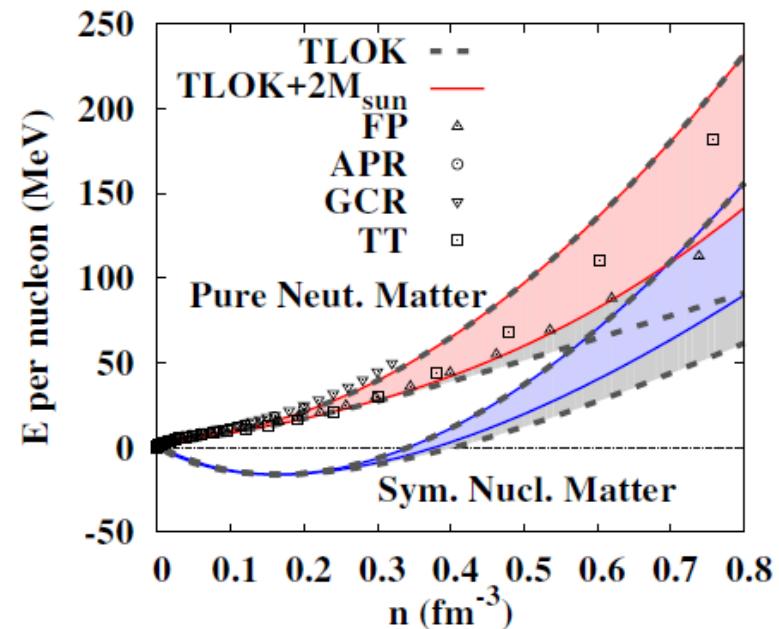
Fudan Nuclear Physics Forum #38, Jan. 4, Fudan U.

- Introduction – Hyperon puzzle of neutron stars
- Λ potential from chiral effective field theory
- Directed flow of Λ
- Λ Binding Energy in Hypernuclei
- Summary

*Y.Nara, A. Jinno, K. Murase, AO, PRC106 ('22), 044902 [2208.01297];
A. Jinno, K. Murase, Y. Nara, AO, in prep.*

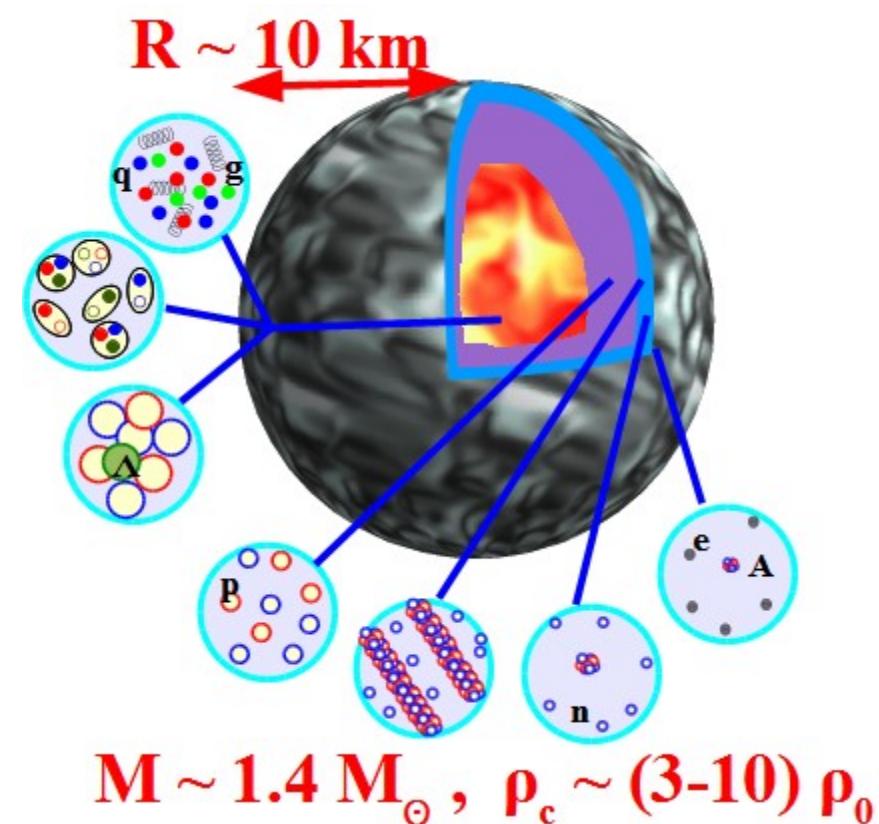
A Grand Challenge in Nuclear Matter Physics

- Equation of State (EOS) and QCD phase diagram
- Current problems
 - Symmetry energy
(Difference of E/A in pure neutron matter and symmetric nuclear matter)
 - QCD phase transition
at high baryon densities
(1st order or cross over ?)
 - Hyperon Puzzle
(Empirical hyperon potentials cannot sustain $2M_{\odot}$ neutron stars)
- We need data from many facilities!
 - BES(RHIC), FAIR, NICA, J-PARC, RIBF, FRIB, HIAF, LIGO/Virgo/KAGRA, ...



Neutron Star Composition

- Neutron star is a unique laboratory of dense matter physics
 - Envelope, Crust (A, n (drip), e) → Symmetry Energy
 - Outer Core (n, p, e, μ) → Symmetry Energy
 - Inner Core (hadrons? quarks?) → QCD phase transition
Hyperon puzzle
- How can we distinguish ?
 - Mass-Radius (MR) curve → EOS (Tolman-Oppenheimer-Volkoff eq.)
 - Cooling rate
 - Neutron Star Oscillation

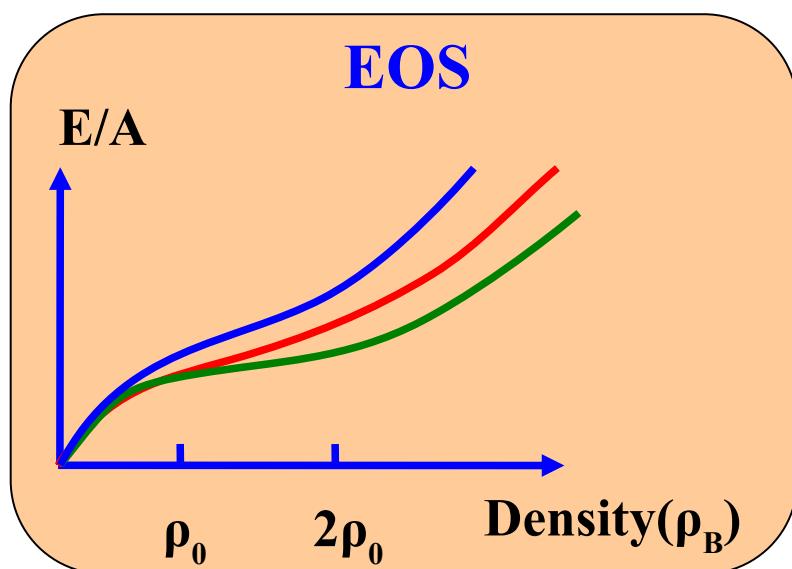
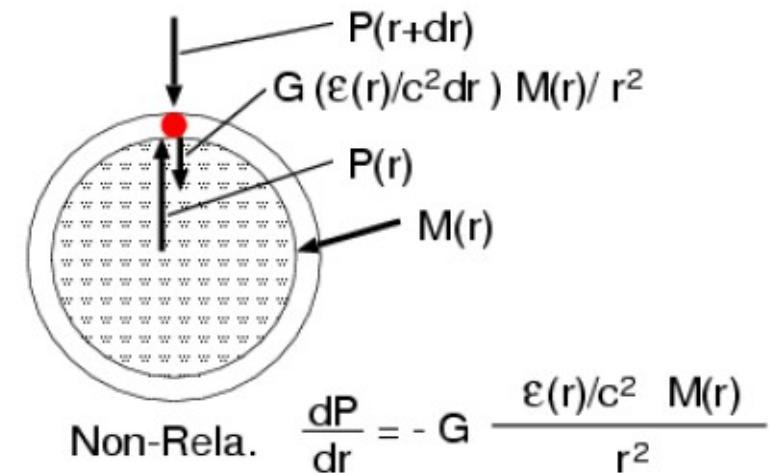


MR curve and EOS

TOV equation

$$\frac{dP}{dr} = -G \frac{(\varepsilon/c^2 + P/c^2)(M + 4\pi r^3 P/c^2)}{r^2(1 - 2GM/rc^2)}$$

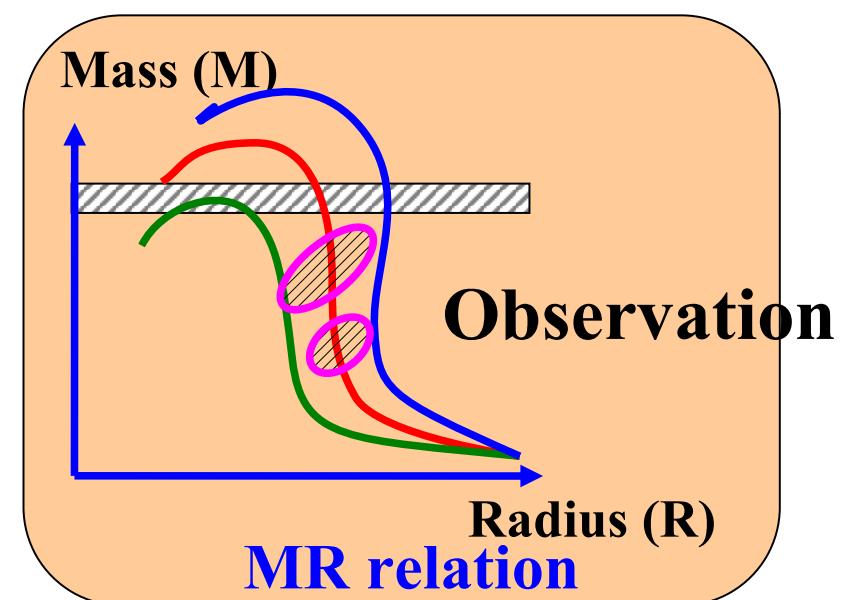
$$\frac{dM}{dr} = 4\pi r^2 \varepsilon/c^2, \quad P = P(\varepsilon) \quad (\text{EOS})$$



prediction



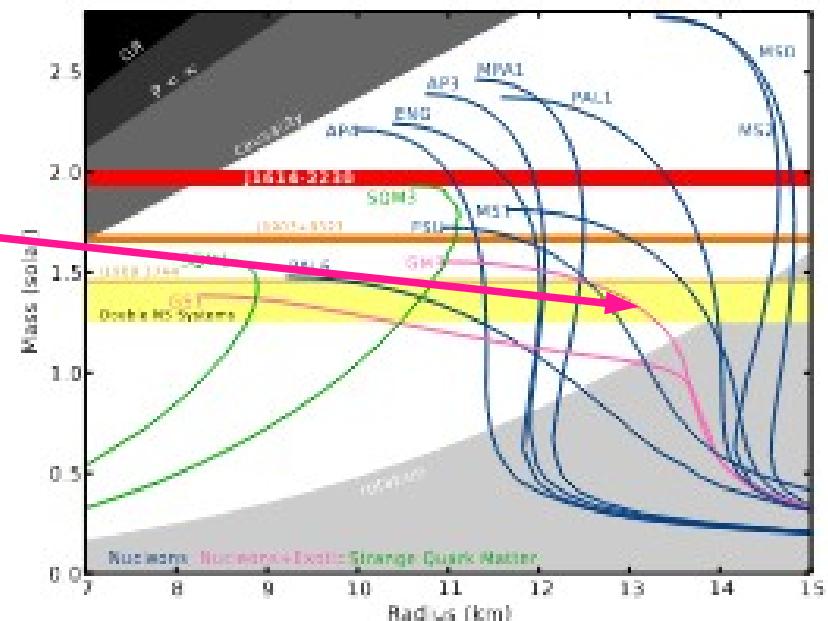
Judge



Hyperon Puzzle of Neutron Stars

- Observation of massive neutron stars rules out hyperonic EOS ?
 - Attractive $U_\Lambda(\rho)$ causes hyperon mixing in NS at $(2\text{-}4)\rho_0$, softens the EOS, and reduces $M_{\max} = (1.3\text{-}1.6) M_\odot$
- Proposed solutions
 - Three-body ANN repulsion \rightarrow repulsive $U_\Lambda(\rho)$ at high density
 - Transition to quark matter before Λ appears
 - General relativity \rightarrow Modified gravity

Hyperons

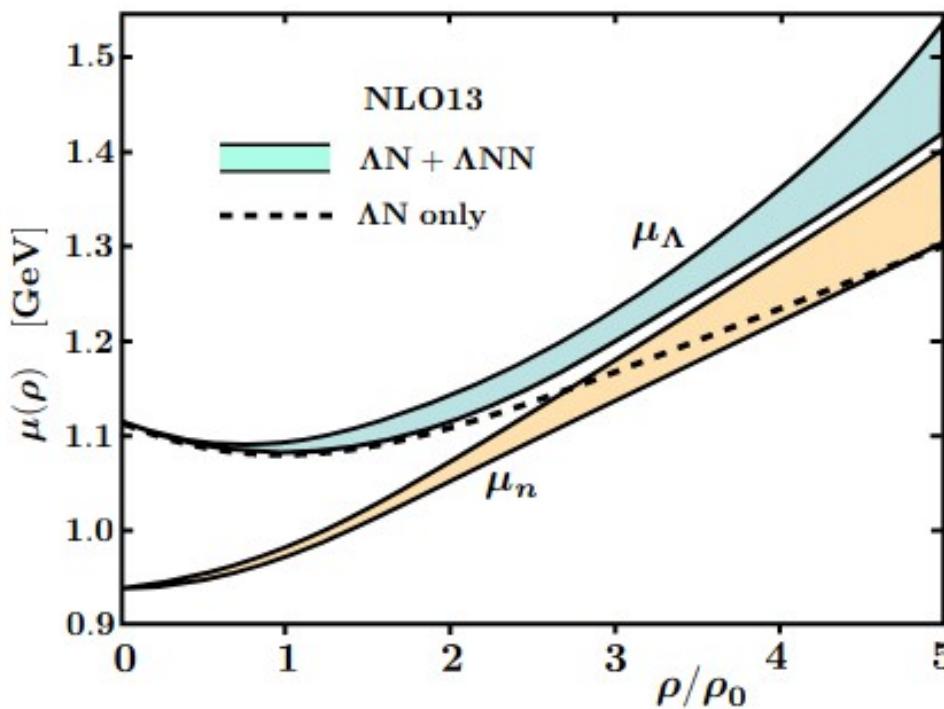


Demorest+(1010.5788)

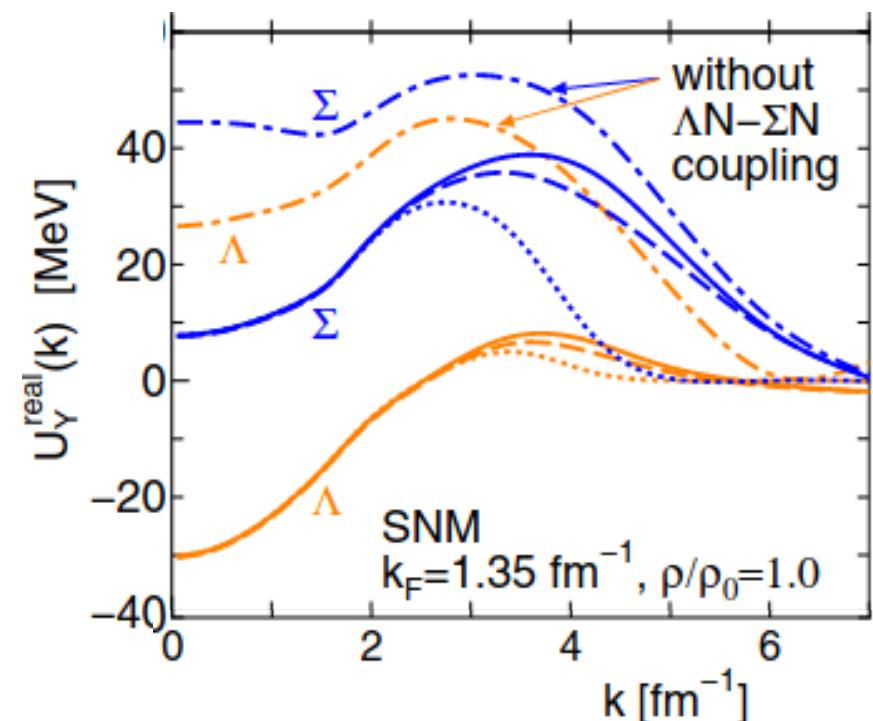
*Challenging Subject
in Mean Field Dynamics*

Repulsive $U_\Lambda(\rho)$ at high density in chiral EFT

- Chiral effective field theory (chiral EFT) may cause repulsive Λ potential at high densities
Gerstung, Kaiser, Weise (2001.10563), Kohno (1802.05388)
- Yet unknown parameters are tuned to support $2 M_\odot$ neutron stars.
 - Repulsion at high densities needs to be verified !
 - E.g. Collective flows in heavy-ion collisions



Gerstung+('20)



Kohno ('18)

Can we examine repulsive U_Λ at high densities ?

■ Candidate Observable 1: Directed flow from heavy-ion collisions

- Directed flow has been utilized to study EOS

$$v_1 = \langle \cos \phi \rangle \text{ (directed flow)}, \langle p_x \rangle \text{ (side flow)}$$

E.g. Sahu, Cassing, Mosel, AO ([nucl-th/9907002](#));
Snellings+ ([nucl-ex/9908001](#)); Danielewicz, Lacey, Lynch
([nucl-th/0208016](#));

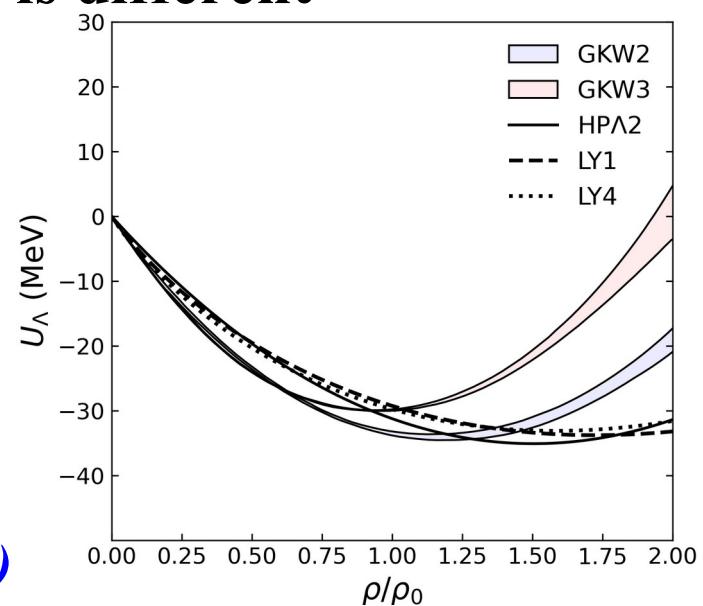
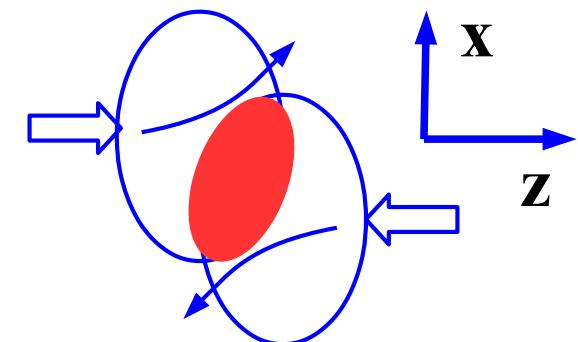
- How about v_1 of Λ ?

■ Candidate Observable 2: Hypernuclear Spectroscopy

- Density dependence of U_Λ from chiral EFT is different from “Standard” potentials.

E.g. Lanskoy, Yamamoto ('97)

- Does U_Λ from chiral EFT explain the separation energy of Λ ?



Jinno+ (in prep.)

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Λ potential from chiral EFT

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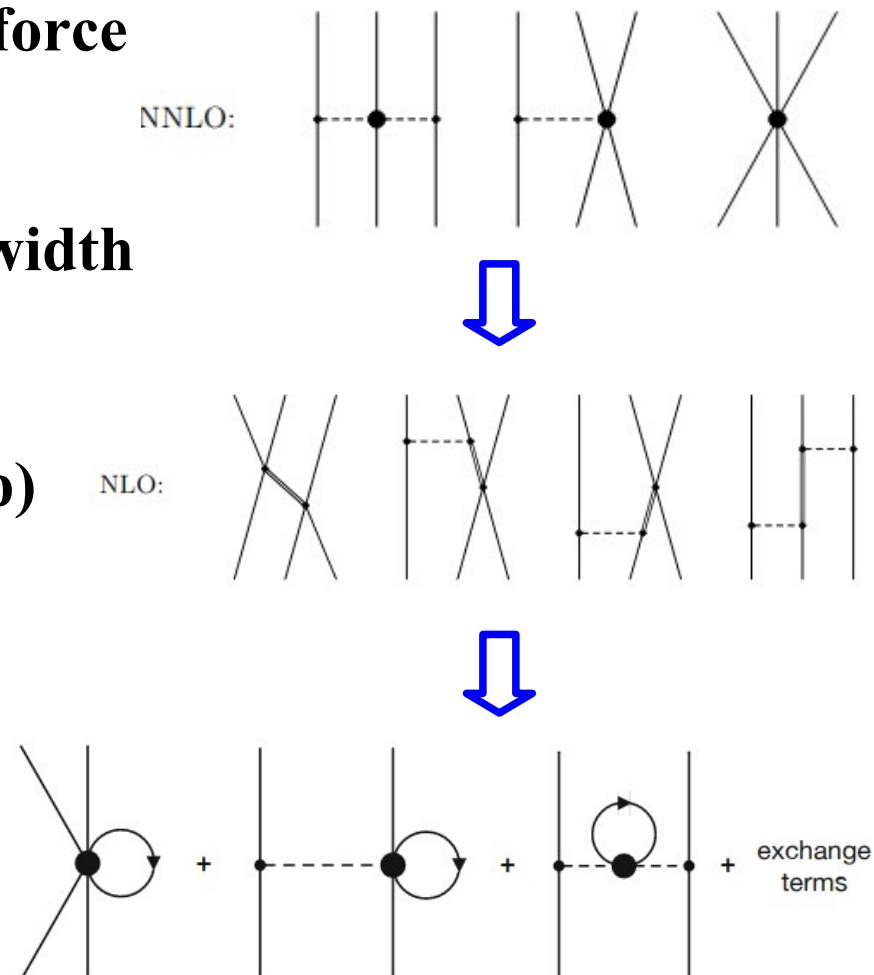
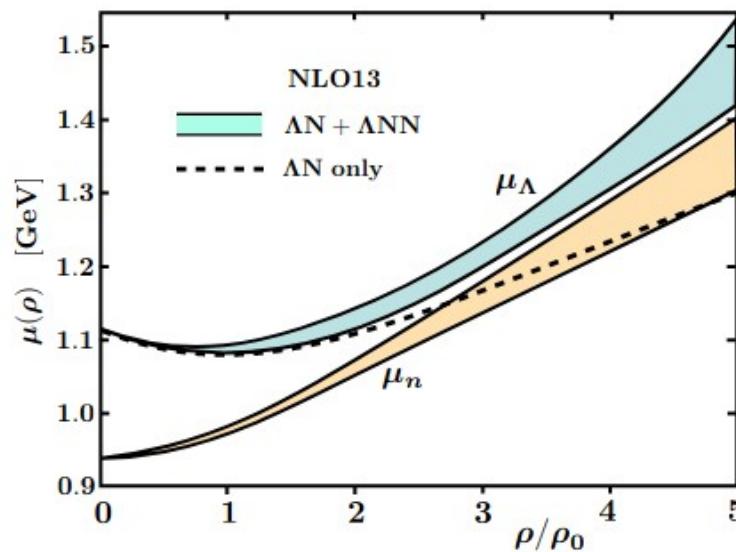
Chiral EFT

Decuplet saturation model

S. Petschauer, Haidenbauer, Kaiser, Meißner, Weise (1607.04307)

D. Gerstung, Kaiser, Weise (GKW)(2001.10563)

- NNLO Diagrams generating 3-body force are assumed to be saturated by decuplet baryon diagrams.
- $U_\Lambda(\rho_0) \sim -30 \text{ MeV} + \text{Decuplet decay width}$
→ one remaining parameter gives uncertainty
- Bruckner-Hartree-Fock calc. → $U_\Lambda(\rho)$



U_Λ from Chiral EFT

■ Chiral EFT with 3BF and hyperons

Gerstung+(2001.10563)(GKW, decuplet saturation model), Kohno (1802.05388)

- ρ -dep. potential using Fermi mom. expansion *Tews+(1611.07133)*
+ momentum dep. fitted to *Kohno('18)*

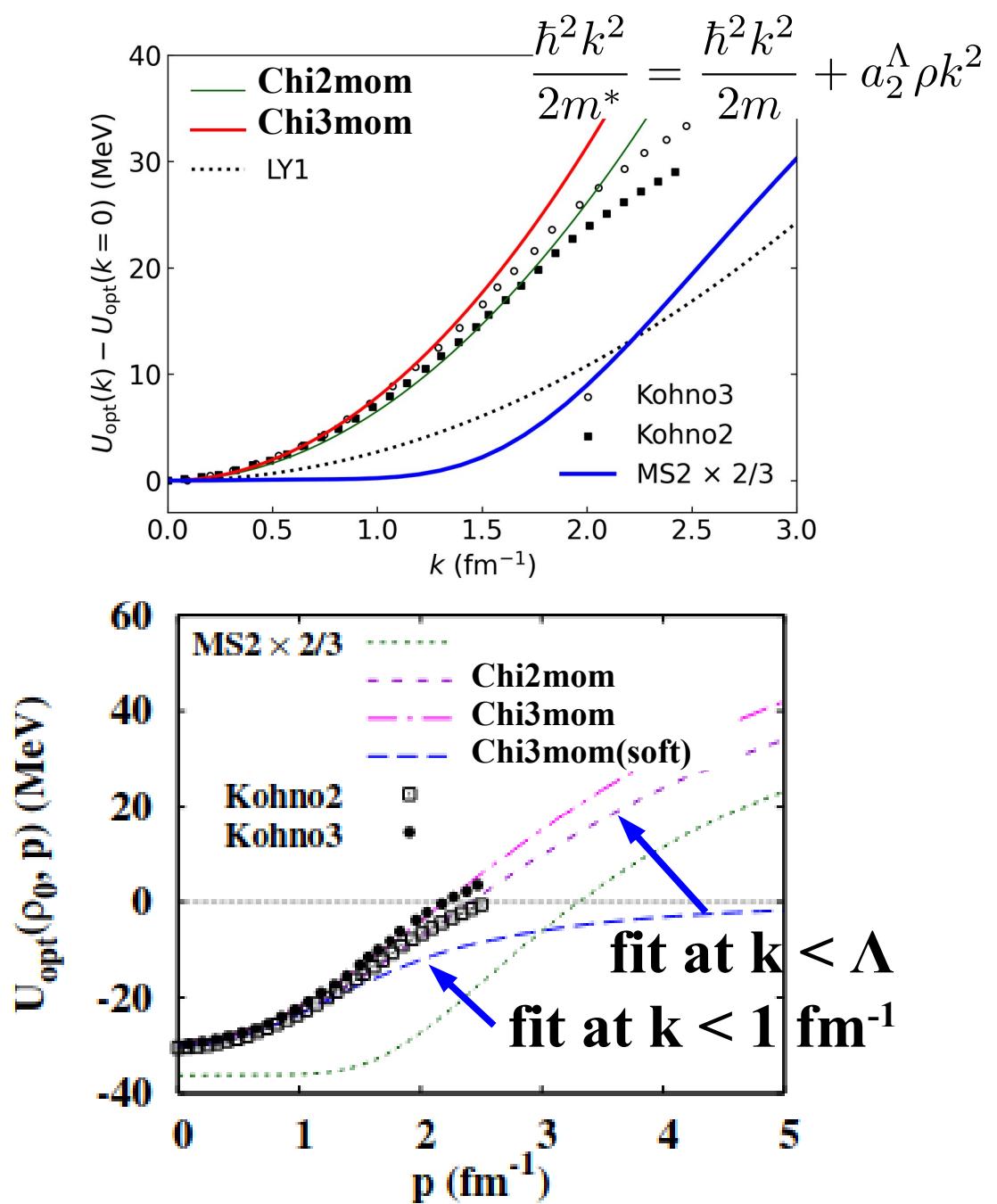
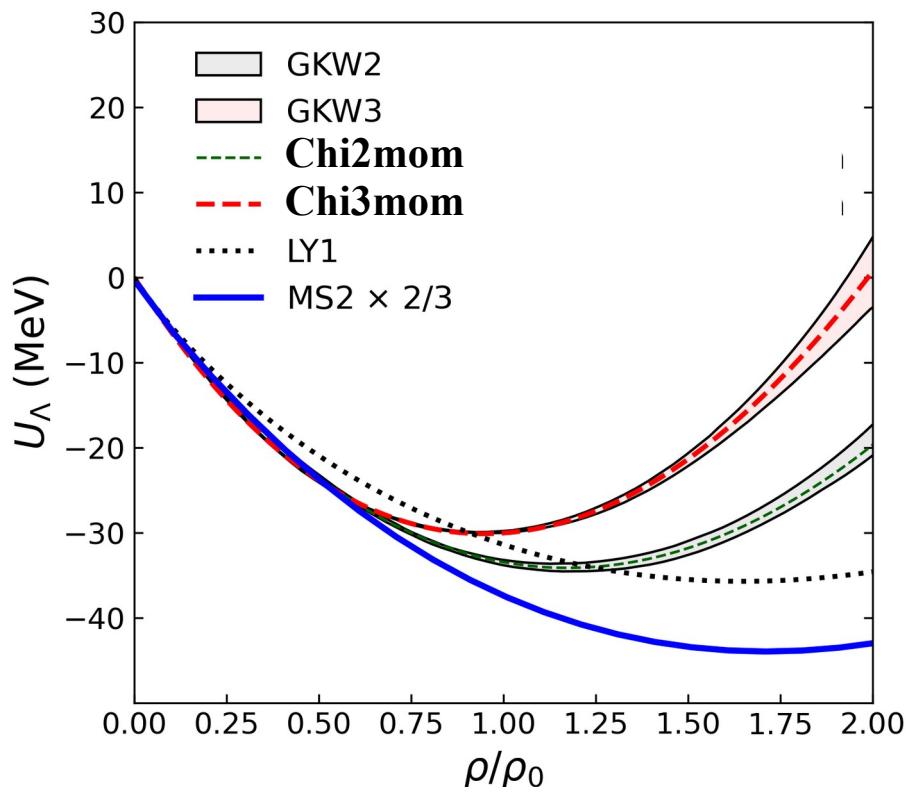
$$U_\Lambda(\rho, k) = a \frac{\rho}{\rho_0} + b \left(\frac{\rho}{\rho_0} \right)^{4/3} + c \left(\frac{\rho}{\rho_0} \right)^{5/3} + U_\Lambda^{(k)}(\rho, k)$$

$$U_\Lambda^{(k)}(\rho, k) = a_2^\Lambda \rho k^2 \text{ (hypernuclei)}, \sum_n \frac{C_n}{\rho_0} \int \frac{d\mathbf{k}'}{(2\pi)^3} \frac{f(\mathbf{r}, \mathbf{k}')}{1 + (\mathbf{k} - \mathbf{k}')^2/\mu_n^2} \text{ (HIC)}$$

■ Parametrization *Nara, Jinno, Murase, AO (2208.01297); Jinno+(in prep.)*

- Chi3 (GKW w/ 3BF, w/o momentum dep.)
 - Chi3mom (GKW w/ 3BF, w/ momentum dep.)
 - Chi2 (GKW w/o 3BF, w/o momentum dep.)
 - Chi2mom (GKW w/o 3BF, w/ momentum dep.)
-
- For nucleons, SLy4 (hypernuclei), MS2 (mom. dep. soft) are used.
 - For comparison, Lanskoy, Yamamoto (LY('97)) potential is used.

U_Λ from Chiral EFT



Nara, Jinno, Murase, AO (2208.01297);
Jinno, Murase, Nara, AO (in prep.)

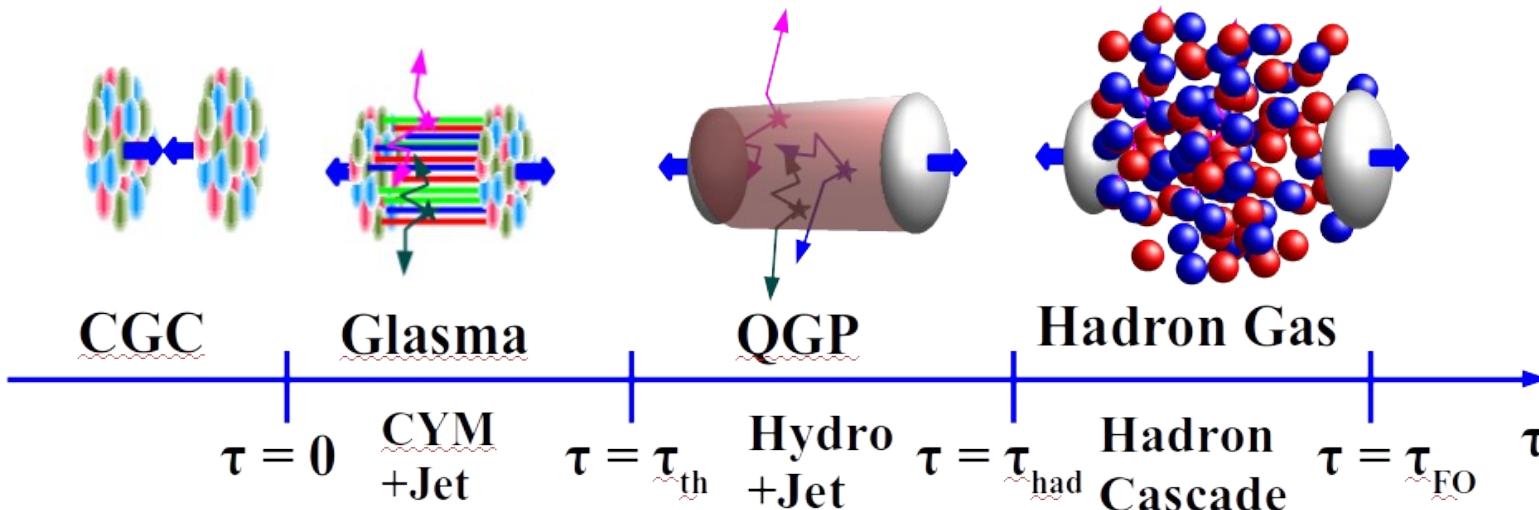
- Introduction – Hyperon puzzle of neutron stars
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Directed flow of Λ

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"High"-Energy Heavy-Ion Collisions

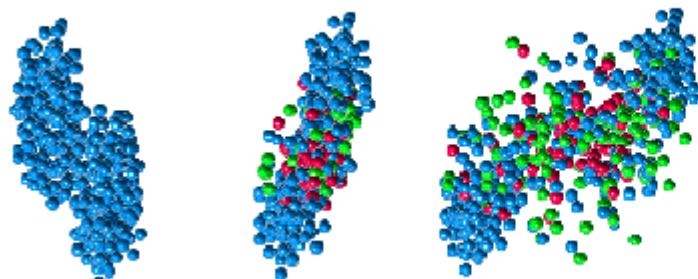
- LHC and top energy at RHIC → QGP formation



- Heavy-Ion Collisions at

$$\sqrt{s_{NN}} \leq \sqrt{s_{\text{tr}}} \quad (\sqrt{s_{\text{tr}}} \simeq 10 \text{ GeV}(\text{?}), E_{\text{inc}} \lesssim 50 \text{ GeV})$$

→ Dense Hadronic matter (partially quark-gluon matter?)



Collective Flow from Heavy-Ion Collisions

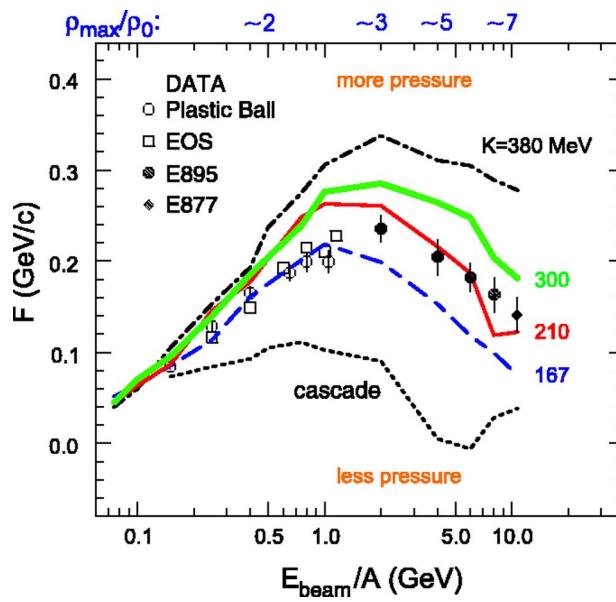
Directed flow (or side flow)

- High pressure in hot and dense matter in the compressing stage kicks incoming nucleons

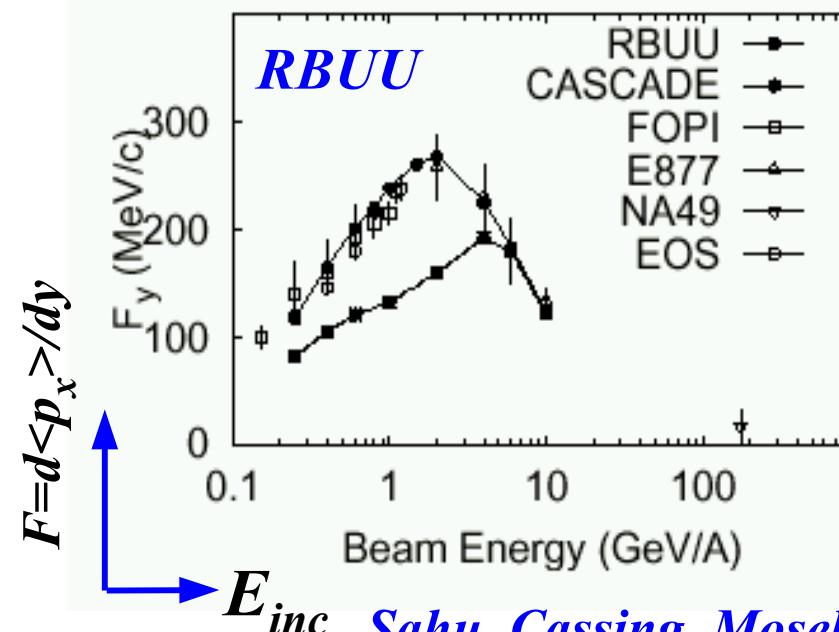
$$v_1(y) = \langle p_x/p_T \rangle_y = \langle \cos \phi \rangle_y$$

Side flow: $\langle p_x \rangle_y$

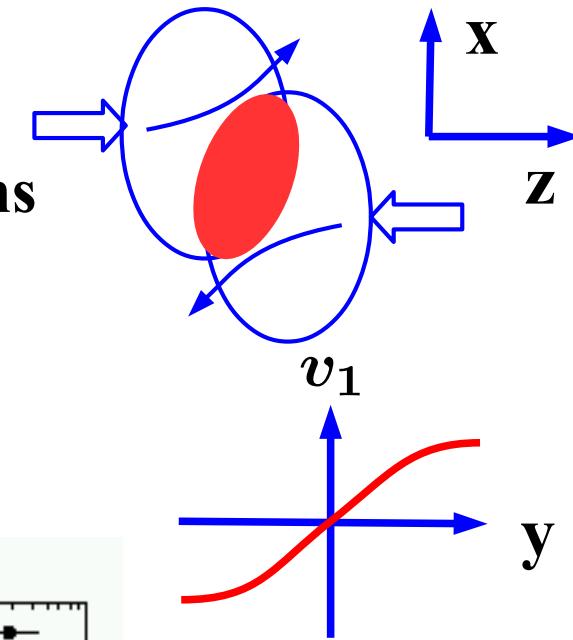
$$(y = \text{rapidity}, p_T = \sqrt{p_x^2 + p_y^2})$$



Danielewicz, Lacey, Lynch,
Science 298('02)1592



*Sahu, Cassing, Mosel, AO,
NPA672('00)376*



Nuclear Transport Theories

- **Transport equation = Mean field dynamics + Boltzmann Eq.**

Boltzmann-Uehling-Uhlenbeck (BUU) equation

G.F.Bertsch, S. Das Gupta, Phys. Rept. 160(1988), 190

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f - \nabla U \cdot \nabla_p f = I_{\text{coll}}[f]$$

$$I_{\text{coll}}[f] = -\frac{1}{2} \int \frac{d\mathbf{p}_2}{(2\pi\hbar)^3} v_{12} \frac{d\sigma}{d\Omega} [f f_2 (1-f_3)(1-f_4) - f_3 f_4 (1-f)(1-f_2)]$$

- **U=0 → Boltzmann equation (CASCADE, two-body collisions)**
- **LHS=0 → Vlasov equation (~ Wigner transf. of TDHF)**
- **Vlasov eq. can be simulated by solving classical equation for test particles, whose distribution gives the phase space dist. fn. (f).**

- **(Quantum) Molecular Dynamics**

J. Aichelin, Phys.Rept. 202 (1991), 233

- **Number of test particles = 1 per nucleon**
- **Approximate way to solve BUU equation, but fluctuation strength is physical.**

Relativistic QMD (RQMD)

- RQMD is developed based on constraint Hamiltonian dynamics

H. Sorge, H. Stoecker, W. Greiner, Ann. Phys. 192 (1989), 266.

- 8N dof → 2N constraints → 6N (phase space)
 - Constraints = on-mass-shell constraints + time fixation

- RQMD/S uses simplified time-fixation

Tomoyuki Maruyama, et al. Prog. Theor. Phys. 96(1996),263.

- Single particle energy (on-mass-shell constraint) and EOM

$$p_i^0 = \sqrt{\mathbf{p}_i^2 + m_i^2 + 2m_i V_i} \rightarrow \frac{d\mathbf{r}_i}{dt} = \frac{\mathbf{p}_i}{p_i^0} + \sum_j \frac{m_j}{p_j^0} \frac{\partial V_j}{\partial \mathbf{p}_i}, \quad \frac{d\mathbf{p}_i}{dt} = - \sum_j \frac{m_j}{p_j^0} \frac{\partial V_j}{\partial \mathbf{r}_i},$$

- Potential V_i is Lorentz scalar and becomes weaker at high E.

- Stronger potential effect is necessary → Vector-type potential

Y.Nara, T.Maruyama, H.Stoecker, PRC102('20)024913; Y.Nara, AO, arXiv:2109.07594

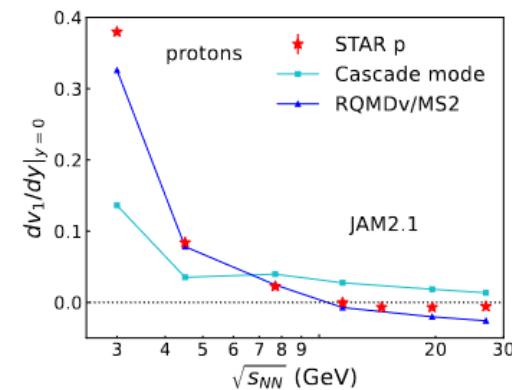
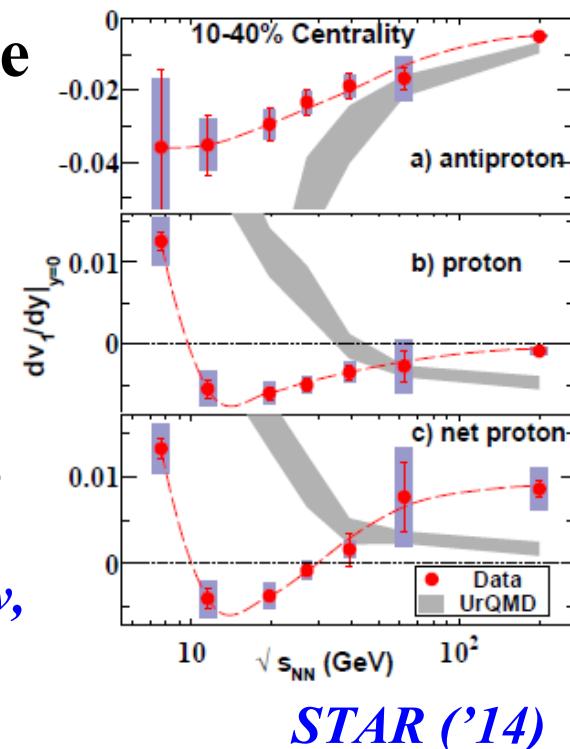
$$p_i^0 = \sqrt{\mathbf{p}_i^{*2} + m_i^2} + V_i^0 \rightarrow \frac{d\mathbf{r}_i}{dt} = \frac{\mathbf{p}_i^*}{p_i^{*0}} + \sum_j v_j^{*\mu} \frac{\partial V_{j\mu}}{\partial \mathbf{p}_i}, \quad \frac{d\mathbf{p}_i}{dt} = - \sum_j v_j^{*\mu} \frac{\partial V_{j\mu}}{\partial \mathbf{r}_i}$$

$$(p_j^{*\mu} = p_j^\mu - V_j^\mu, \quad v_j^{*\mu} = p_j^{*\mu}/p_j^{*0})$$

- Potential effect remains at high energy.

Directed flow of protons

- Directed flow is created in the overlapping stage of two nuclei → Sensitive to dense matter EOS.
- Non-monotonic beam E. dep. of v_1 slope
STAR, PRL 112 ('14) 162301.
- None of fluid and hybrid models explain the beam energy dependence with a single EOS
3FD: *Y.B.Ivanov, A.A.Soldatov, PRC91 ('15) 024915*
PHSD: *V.P.Konchakovski, W.Cassing, Y.B.Ivanov, V.D.Toneev, PRC90 ('14) 014903*
JAM: *Y.Nara, H.Niemi, AO, H.Stoecker, PRC94 ('16) 034906*
- A solution is found
Nara, AO, PRC105 ('22), 014911 [2109.07594]
 - Strongly Coupled Hadronic Matter
(JAM-RQMDv, hadronic transport w/ vec. pot.)



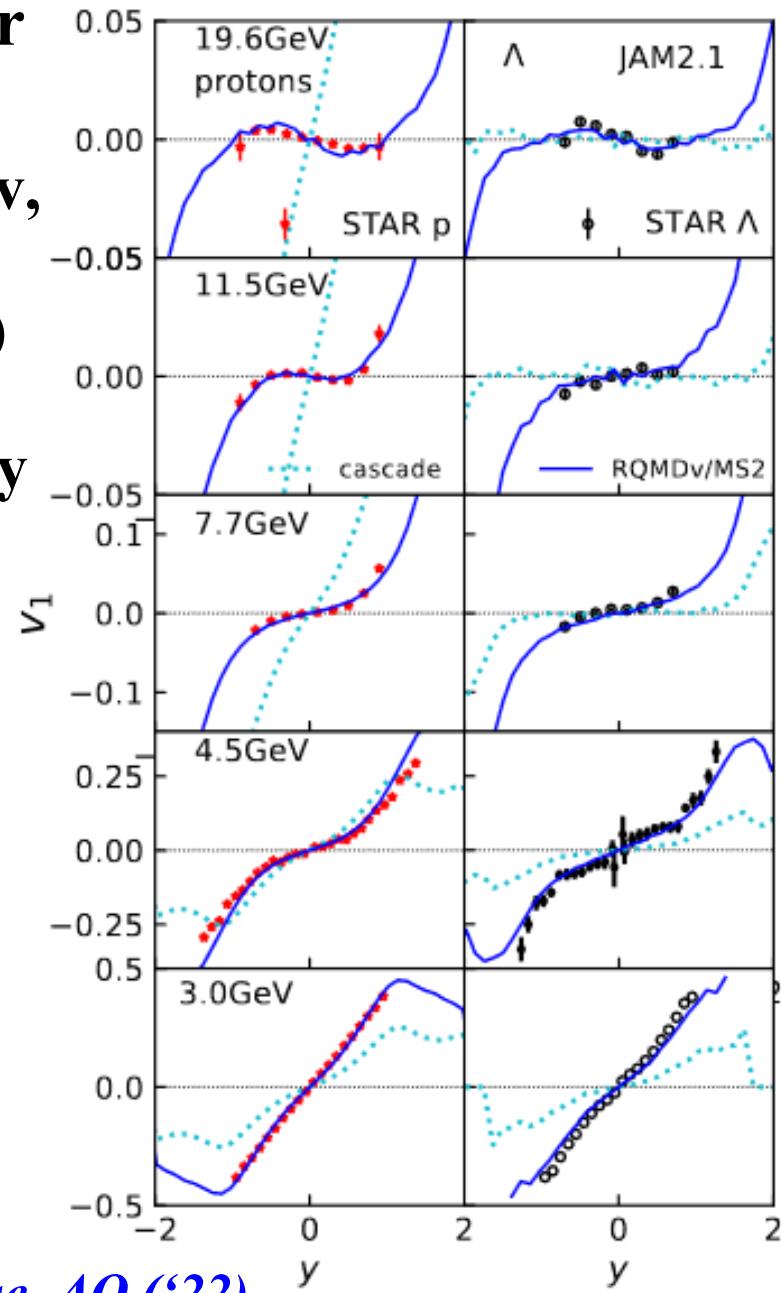
Nara, AO ('22); Nara, Jinno, Murase, AO ('22)

Directed flow of Λ

■ Calculation using JAM2 event generator

<https://gitlab.com/transportmodel/jam2>

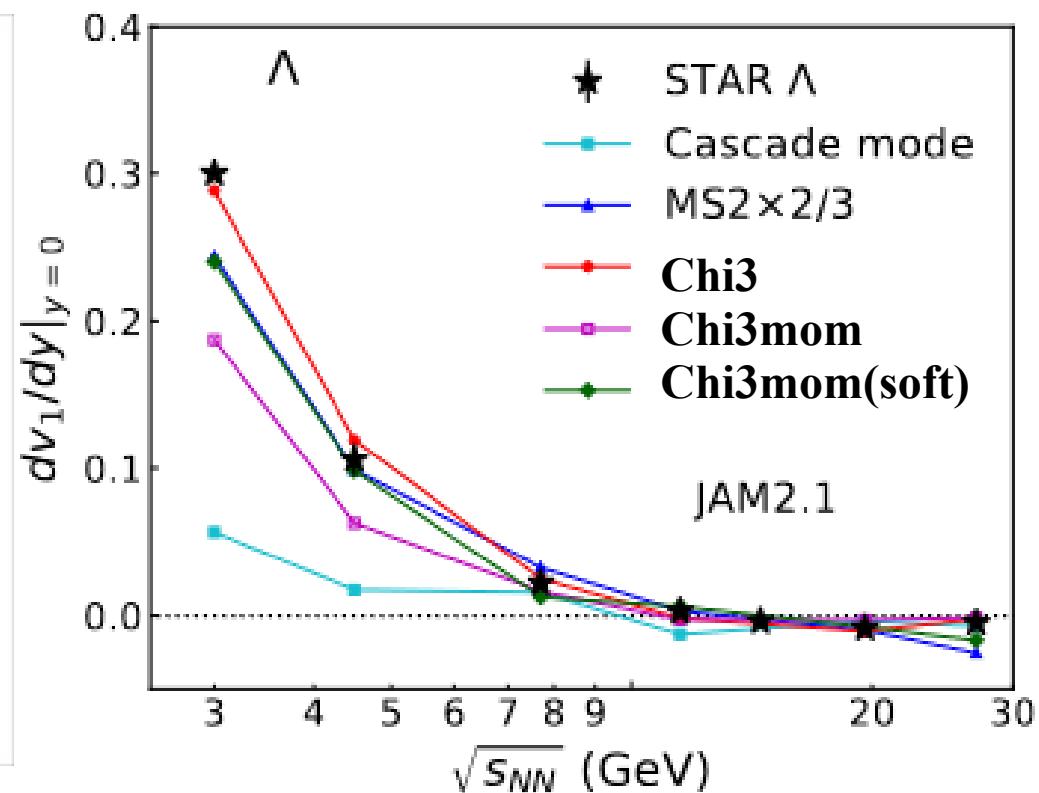
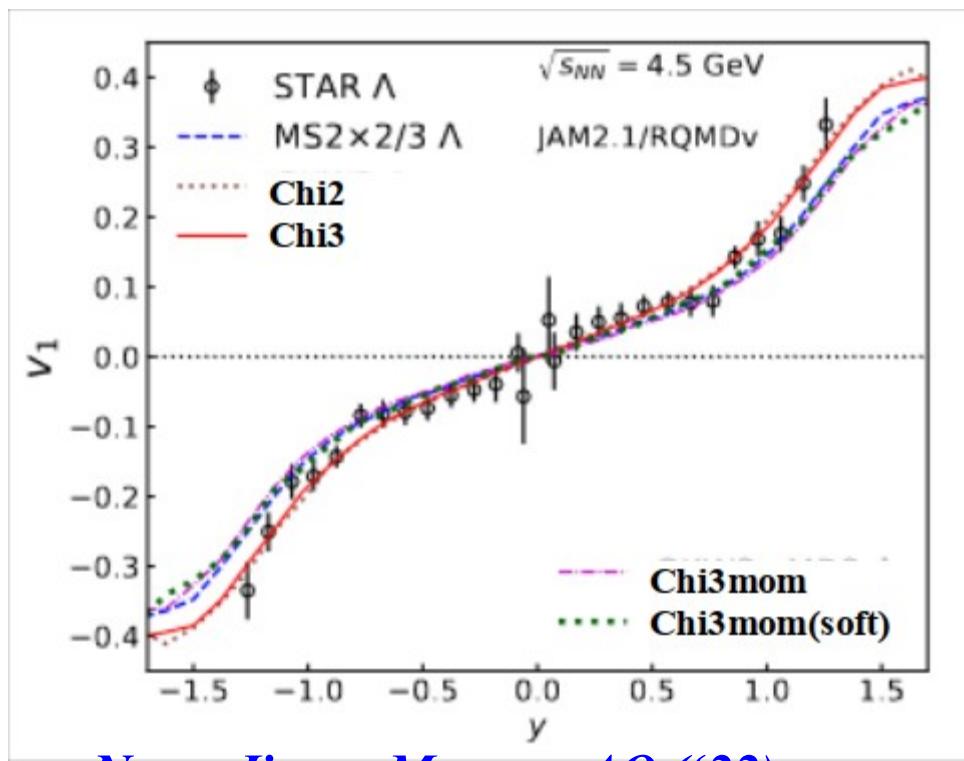
- Potential effects are included in RQMDv, which solves the proton v1 puzzle.
(Change of the v1 slope around 10 GeV)
Nara, AO, PRC105('22),014911[2109.07594]
- Directed flows of p and Λ are reasonably explained by using MS2 (momentum dep. soft potential) for non-strange baryons and MS2 x 2/3 for hyperons.



Nara, Jinno, Murase, AO ('22)

Directed flow of Λ with chiral EFT U_Λ

- A potential from chiral EFT is adopted.
 - Chi2/3: Fit to GKW $U_\Lambda(\rho)$ without/with 3-body force.
 - Chi2/3mom: Fit to Kohno('18) in the range $k < 500$ and $200 \text{ MeV}/c$.
 - GKW3 explains the data well.
 - Momentum dep. reduces v1 values.
 - Chi2 also explains the data.



Nara, Jinno, Murase, AO ('22)

Repulsive Λ potential (Chi3) enhances dv_1/dy and gives results close to data, when momentum dependence is ignored.

Momentum dep. of U_Λ reduces v_1 (and dv_1/dy),
while the density dep. affects less.

(Why? We haven't understood the mechanism yet.)

Provided that other effects(*) are included
and $dv_1/dy(\Lambda)$ is enhanced,
Chi3mom(soft) would be a reasonable solution.

(*: more repulsive Σ potentials, stiffer nuclear EOS with $K \sim 240$ MeV, ...)

Any other way to constrain
the density and momentum dependence of U_Λ ?
→ Hypernuclear spectroscopy

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Λ Binding Energy in Hypernuclei

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Λ hypernuclei and Λ potential in nuclear matter

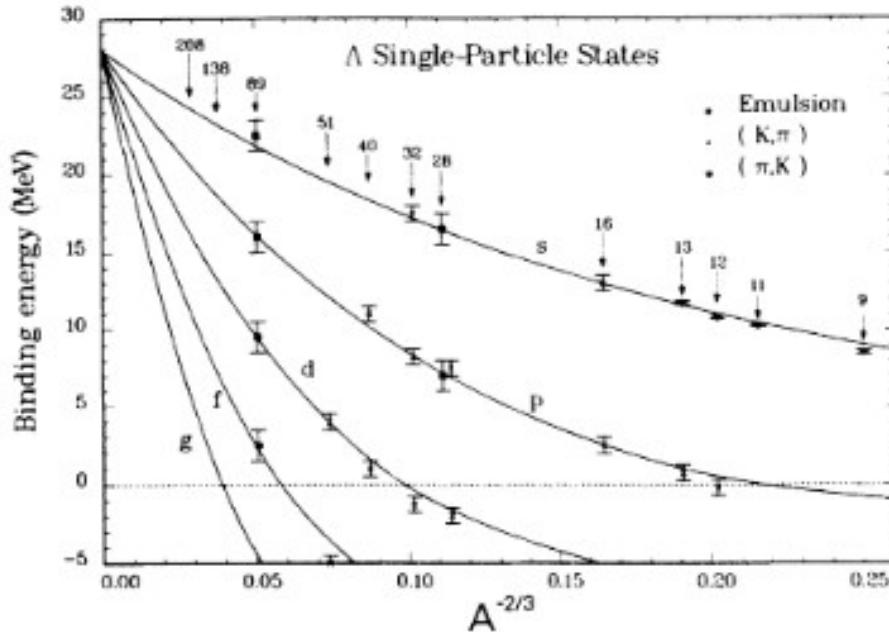
- From binding energies of Λ from many Λ hypernuclei, people believed the density dependence of Λ is understood well.

D.J.Millener, C.B.Dover, A. Gal, PRC 38 (1988) 2700;

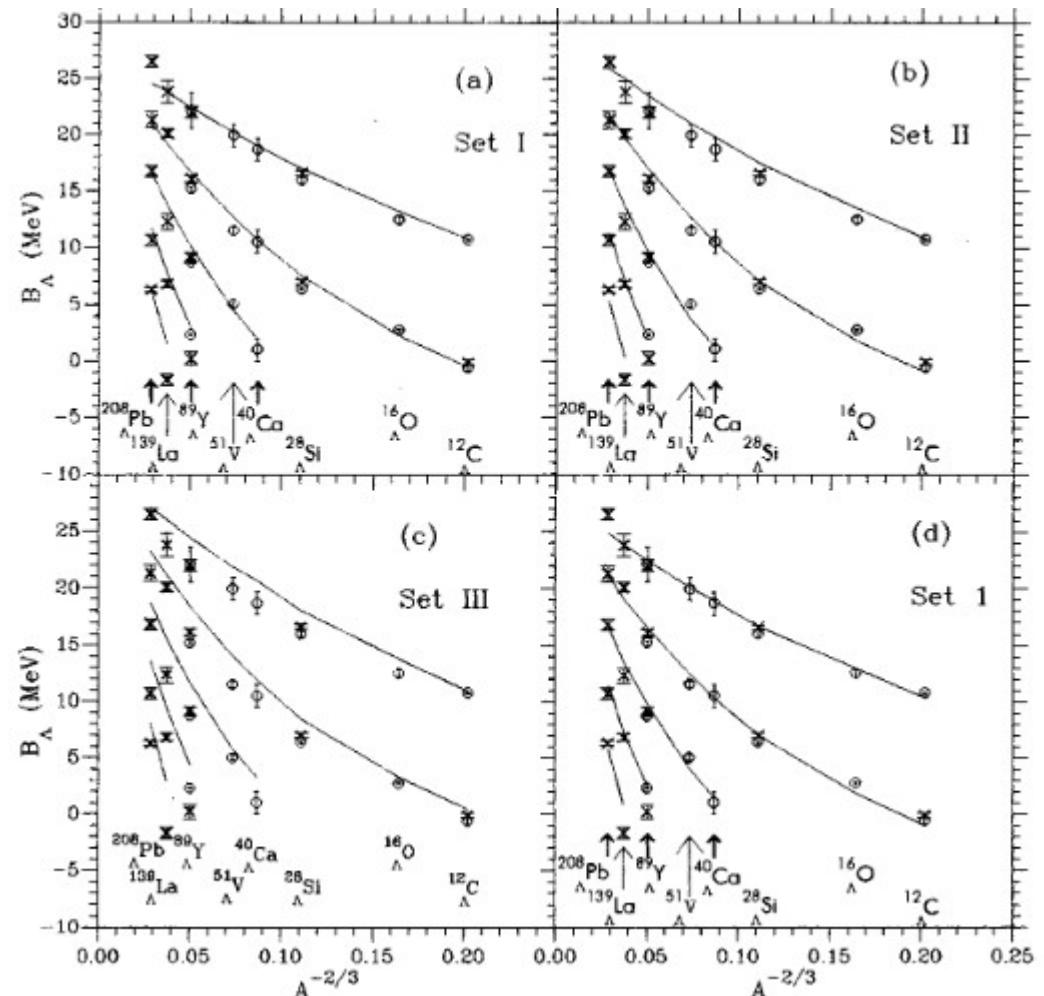
D.E. Lanskoy, Y. Yamamoto, PRC 55 (1997) 2330.

$$A^{-2/3} \propto \text{K.E. of } \Lambda$$

→ Hyperon Puzzle



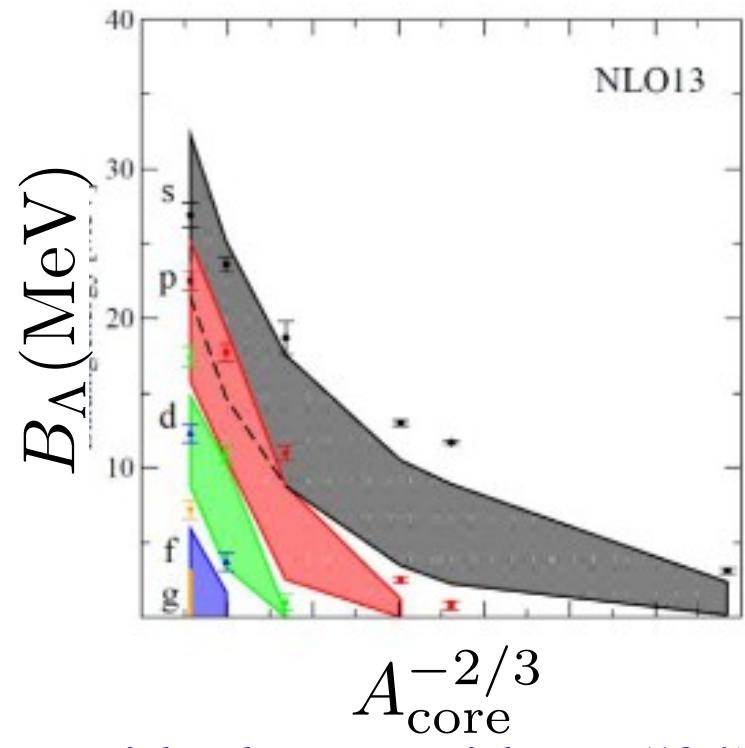
Millener, Dover, Gal ('88)



Lanskoy, Yamamoto ('97)

Λ hypernuclei and Λ potential in nuclear matter

- Λ potential from chiral EFT w/ 3BF has not been examined in hypernuclear spectroscopy
 - It needs to be verified (and tuned) including the density and momentum dependence !
(before applying it to heavy-ion collisions (?))
 - NLO result has been tested but does not explain the data well.
Haidenbauer, Vidaña ('21)
 - How about NNLO
with decouplet model ?



Skyrme Hartree-Fock for Λ hypernuclei

■ Previous breakthrough works (spherical SHF)

- Rayet('76,'81): Two-body SHF (w/o ρ dep.)
- Lanskoy, Yamamoto (LY, '97): SHF w/ one ρ dep. term (as in standard HF for nucleons)
- Choi, Hiyama et al. ('22): SHF w/ two or more ρ dep. terms (significant improvement w/ two ρ dep. terms.)

■ SHF for Λ hypernuclei

- HF equation

$$\left[-\nabla \cdot \left(\frac{\hbar^2}{2m_B^*(\mathbf{r})} \right) \nabla + U_B(\mathbf{r}) - i \mathbf{W}_B(\mathbf{r}) \cdot (\nabla \times \boldsymbol{\sigma}) \right] \psi_{iB}(\mathbf{r}) = \varepsilon_i \psi_{iB}(\mathbf{r})$$

- HF potential

$$U_\Lambda(\mathbf{r}) = a_1^\Lambda \rho_N + a_2^\Lambda \tau_N + a_3^\Lambda \Delta \rho_N + a_4^\Lambda \rho_N^{4/3} + a_5^\Lambda \rho_N^{5/3}$$

$$\frac{\hbar^2}{2m_\Lambda^*} = \frac{\hbar^2}{2m_\Lambda} + a_2^\Lambda \rho_N, \quad \tau_B = \sum_i \nabla \psi_{iB}^* \cdot \nabla \psi_{iB}$$

Parameters from Chiral EFT

■ Density dependence at zero momentum

$$U_\Lambda(r) = a_1^\Lambda \rho + a_2^\Lambda \tau_N + a_3^\Lambda \Delta \rho + a_4^\Lambda \rho^{4/3} + a_5^\Lambda \rho^{5/3} \quad (\rho = \rho_N)$$

$$\rightarrow U_\Lambda(\rho, \mathbf{k} = 0) = a_1^\Lambda \rho + a_4^\Lambda \rho^{4/3} + \tilde{a}_5^\Lambda \rho^{5/3} \quad (\text{uniform matter})$$

$$\tilde{a}_5^\Lambda = a_5^\Lambda + \alpha a_2^\Lambda, \quad \alpha = \text{const.}$$

- Three parameters are tuned to reproduce Chiral EFT results.

■ Momentum dependence

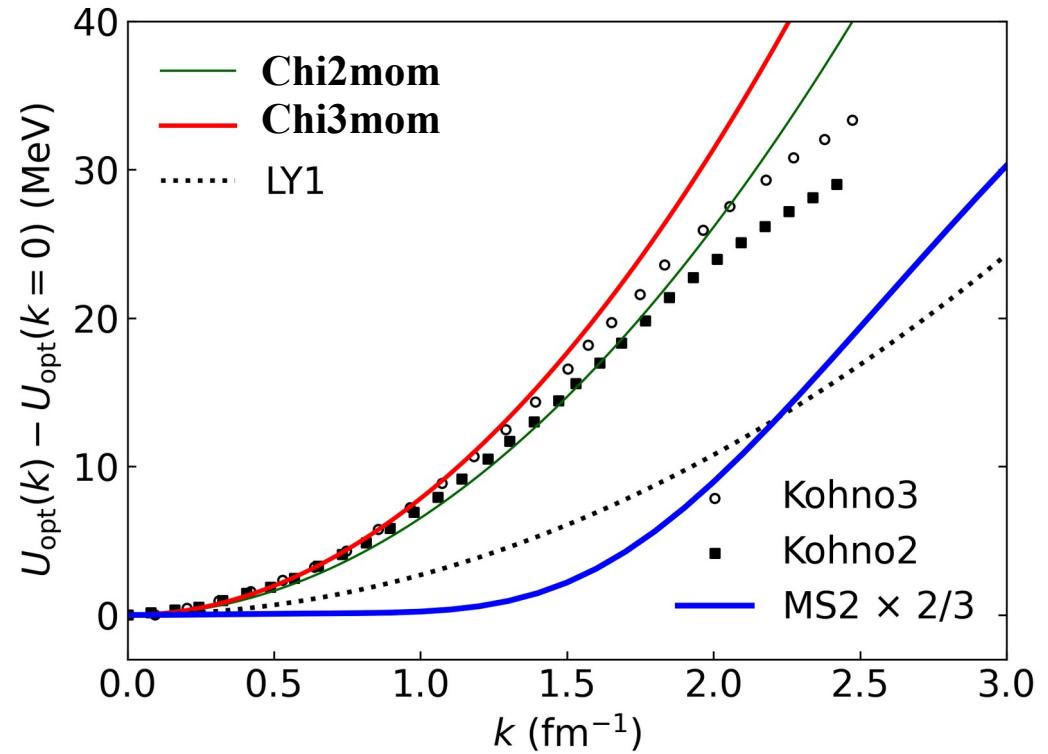
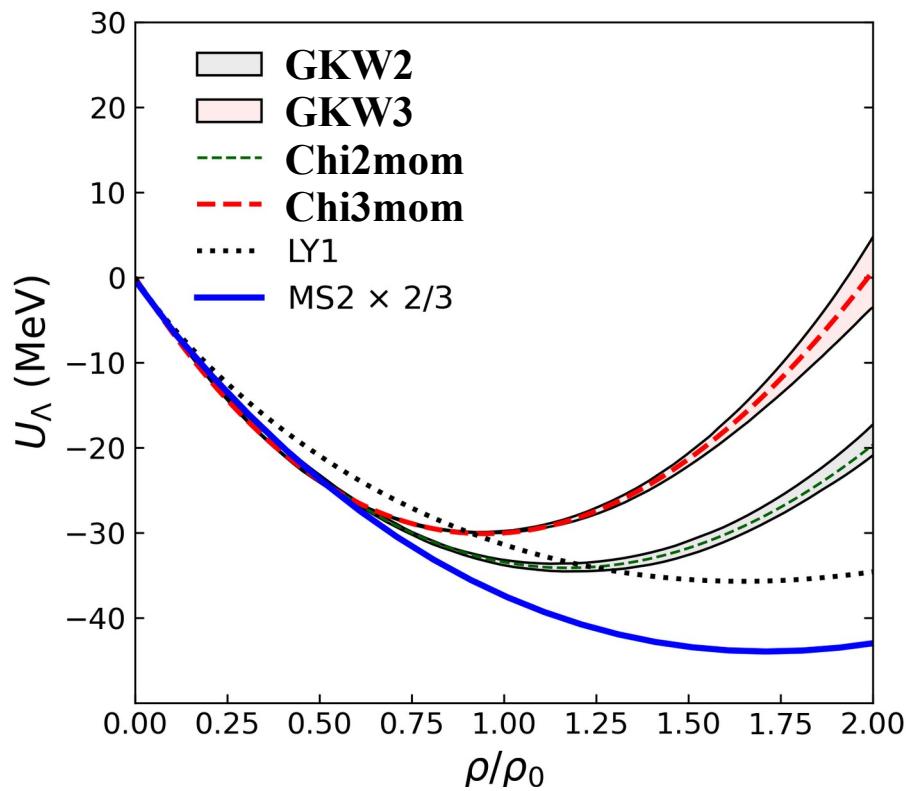
$$U_\Lambda(\rho, \mathbf{k}) = U_\Lambda(\rho) + a_2^\Lambda \mathbf{k}^2 \rho$$

- a_2^Λ is tuned to reproduce Kohno's results at low momentum.

■ Finite range effects

- a_3^Λ is tuned to reproduce the separation energy of Λ in $^{13}_{\Lambda}\text{C}$ (even-even core nucleus)

Parameters from Chiral EFT

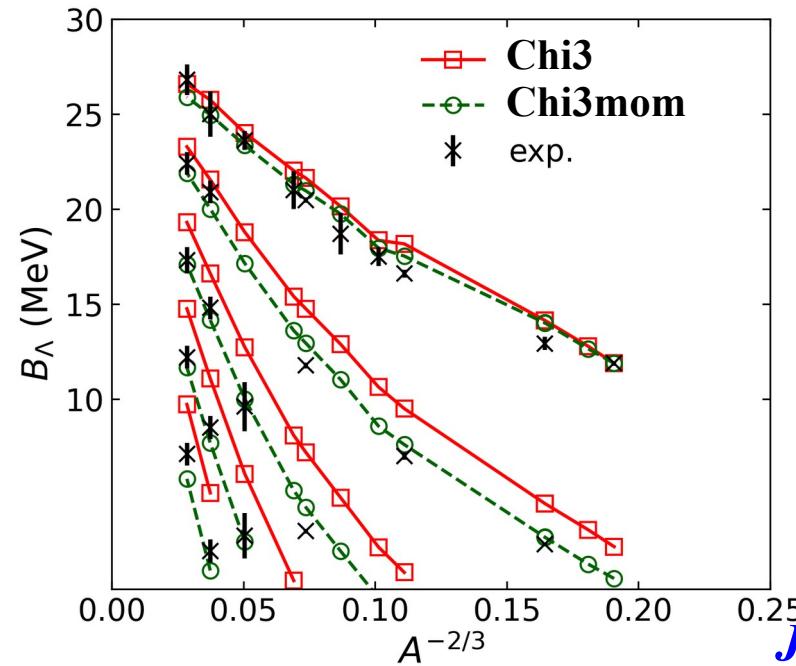
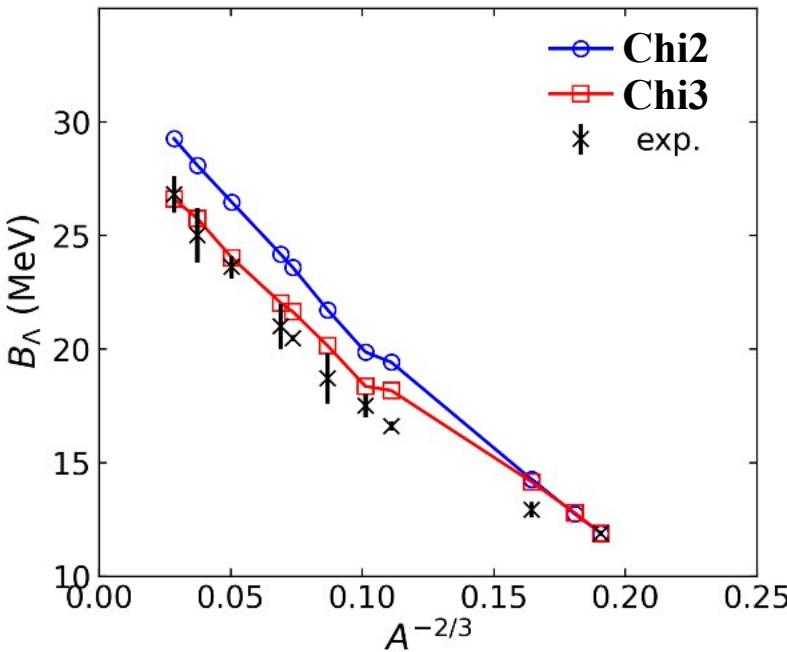


3 density-dep. terms + k^2 momentum dep. term
are enough at $\rho/\rho_0 < 2$ and $k < 1.3$ fm $^{-1}$

Jinno+ (in prep.)

GKW2 and GKW3

- NNLO chiral EFT with the decouplet saturation model without/with 3-body terms (Chi2 and Chi3)
- Chi2 overestimates B_Λ at large A.
 - Deeper potential at ρ_0 . (~ -35 MeV)
 - Steeper A dep. is consistent with *Haidenbauer, Vidaña ('21)*
 - Mom. dep. and finite range terms does not help.
- Chi3mom (w/ mom. dep.) explains the data well.

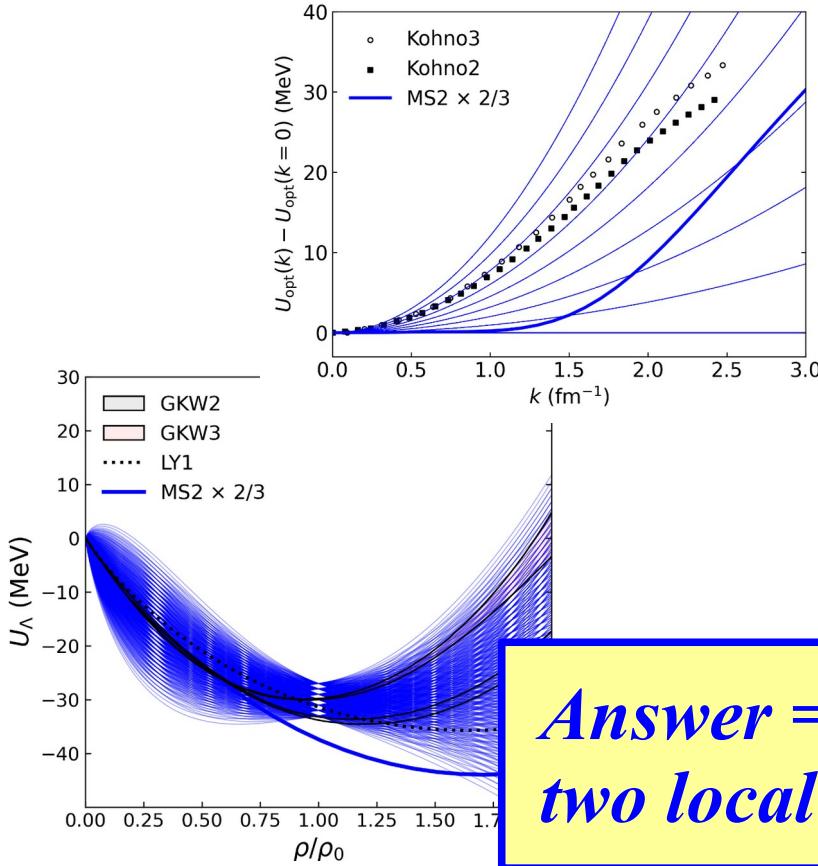


Jinno+ (in prog.)

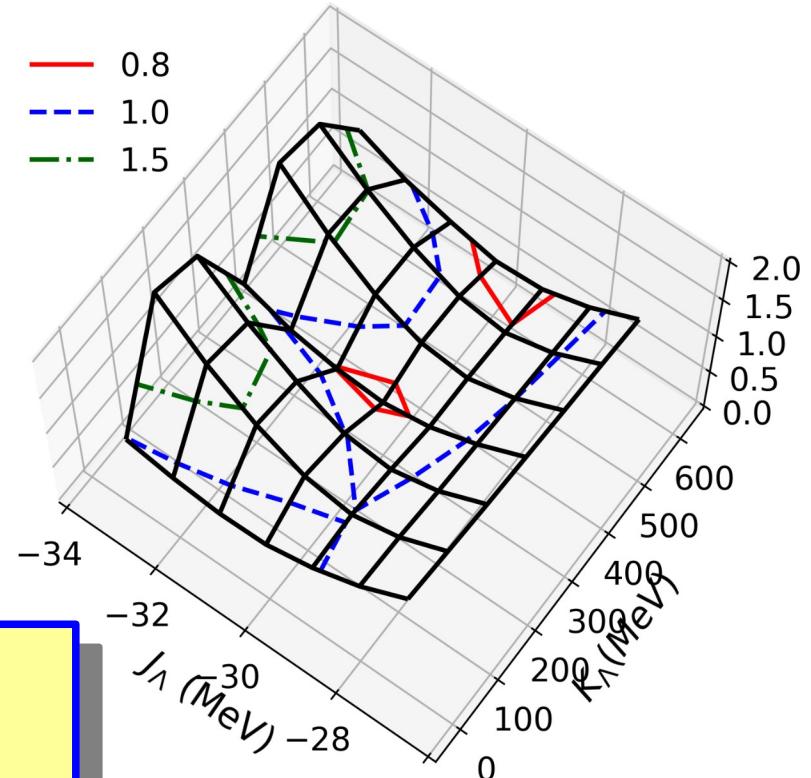
Question!

- Chiral EFT and meson-exchange-based potentials have different density dependence. Why can both of them explain B_Λ ?
→ Global analysis using Taylor coeff. around ρ_0 !

$$U_\Lambda(\rho, k) = J_\Lambda + \frac{L_\Lambda}{3} \left(\frac{\rho - \rho_0}{\rho_0} \right) + \frac{K_\Lambda}{9} \left(\frac{\rho - \rho_0}{\rho_0} \right)^2 + a_2^\Lambda k^2 \rho + \mathcal{O} \left[\left(\frac{\rho - \rho_0}{\rho_0} \right)^3, k^4 \right]$$



Answer = There are two local minima.



Jinno+ (in prog.)

Summary

- A potential in nuclear matter from the chiral effective field theory with the 3-body force effect is examined via
 - the directed flow of Λ from heavy-ion collisions
 - and the binding energies of Λ in hypernuclei.
 - - Chi2/3(mom): $U_\Lambda(\rho, k)$ (without/with 3-body force (mom. dep.))
Gerstung, Kaiser, Weise ('20); Kohno ('18)
 - With Chi3(mom), repulsive U_Λ forbids Λ to appear in neutron stars and the hyperon puzzle is solved.
 - Directed flow of Λ is well explained by Chi2/3.
 - With strong mom. dep., $v_1(\Lambda)$ is underestimated.
 - Chi3mom can explain the Λ binding energies.
 - We need to tune the finite range term.
 - Chi3 (w/o mom. dep.) underestimate the binding energy of Λ for finite L states.
 - Chi2 is too attractive and cannot explain B_Λ .
 - Hyperon puzzle would be accessible via laboratory experiments.

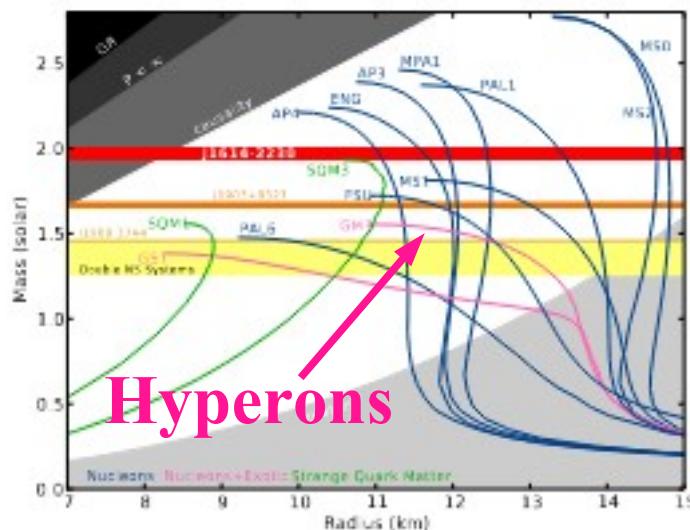
Conclusion, Conjecture, and To do

- Conclusion: Stiff U_Λ ($K_\Lambda \sim (500\text{-}600)$ MeV) having momentum dependence ($M_\Lambda^* \sim (0.7\text{-}0.9)M_\Lambda$)
explains both $v1(\Lambda)$ in HIC and B_Λ in hypernuclei,
provided that U_Λ is not very repulsive at high momentum.
- Conjecture: There may be two types of U_Λ which explains B_Λ .
 - Lanskoy-Yamamoto type: $K_\Lambda \sim 300$ MeV
 - Chiral EFT type: $K_\Lambda \sim 600$ MeV
 - Λ appears in neutron stars in the former,
but Λ does not appear in neutron stars in the latter.
- To do
 - Comparison of the directed flows using U_Λ at two local minima.
 - Mechanism for the insensitivity of $v1(\Lambda)$ to the density dependence of U_Λ via time-dependent analysis.
 - More serious estimate of B_Λ using chiral EFT. (E.g. HypAMD)
 - Other SHF parameters are close to local minima ?
N.Guleria, S.K.Dhiman, R.Shyam(1108.0787)
 - Why does chiral EFT show strong k-dep. in N(N)LO?

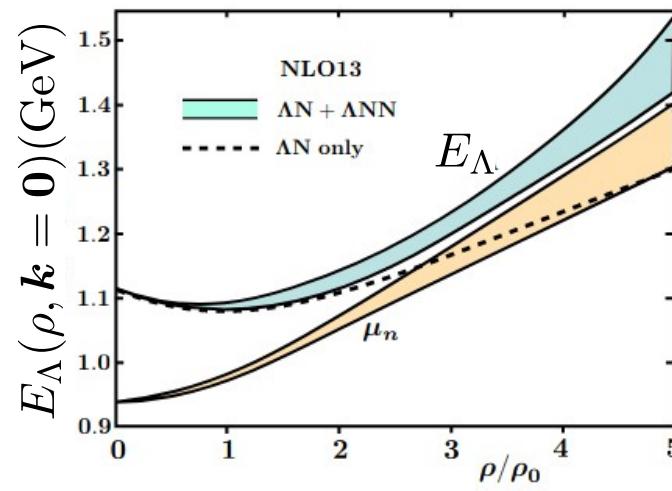
Thank you for your attention !

Hyperon Puzzle of Neutron Stars

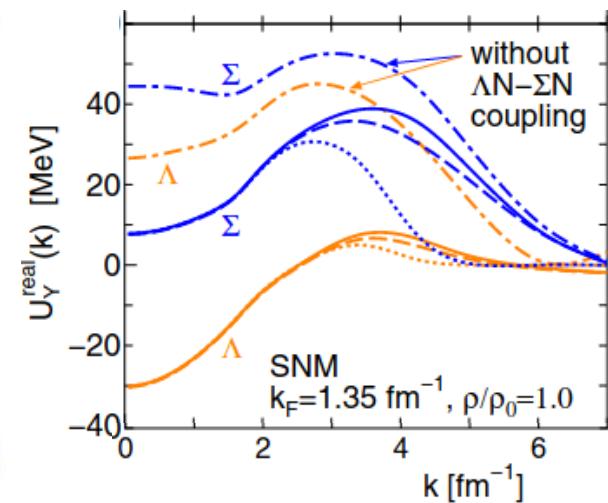
- Hyperonic matter EOS cannot sustain $2M_{\odot}$ neutron stars.
 - Proposed solutions
 - More repulsive hyperon potential ($U_{\Lambda}(p)$) at high density
 - Transition to quark matter before Λ appears
 - General relativity → Modified gravity
 - Λ potential from chiral EFT
 - Three-body force may cause repulsive potential of Λ .



Demorest+(1010.5788)



Gerstung, Kaiser, Weise ('20)



Kohno ('18)

Fermi momentum expansion

- Energy per nucleon would be expressed as the power series of k_F

$$E = Tu^{2/3} + au + bu^{4/3} + cu^{5/3} + du^2$$

$$= J + \frac{L}{3}(u - 1) + \frac{K}{18}(u - 1)^2 + \frac{Q}{162}(u - 1)^3 + \mathcal{O}((u - 1)^4)$$

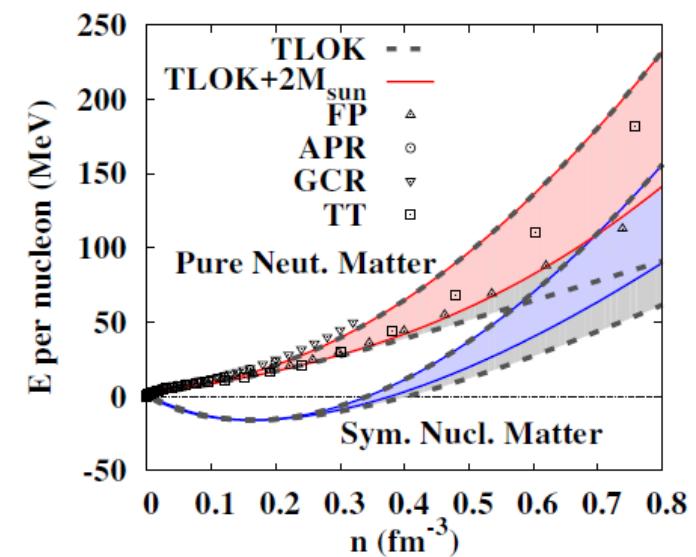
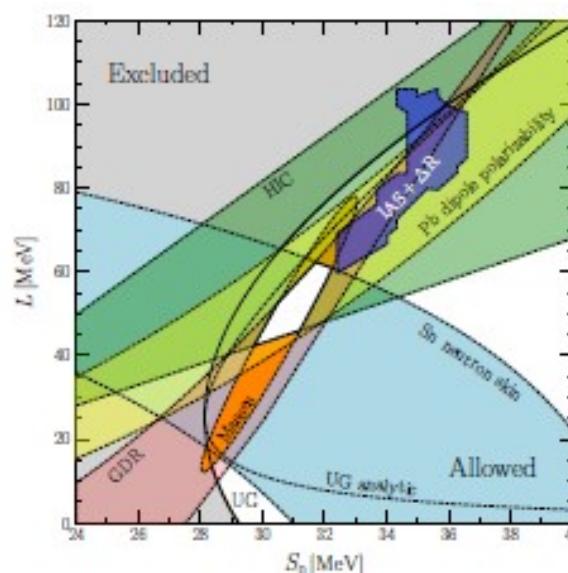
$(u = \rho/\rho_0)$

$$a = -4T + 20J - \frac{19}{3}L + K - \frac{1}{6}Q$$

$$b = 6T - 45J + 15L - \frac{5}{2}K + \frac{1}{2}Q$$

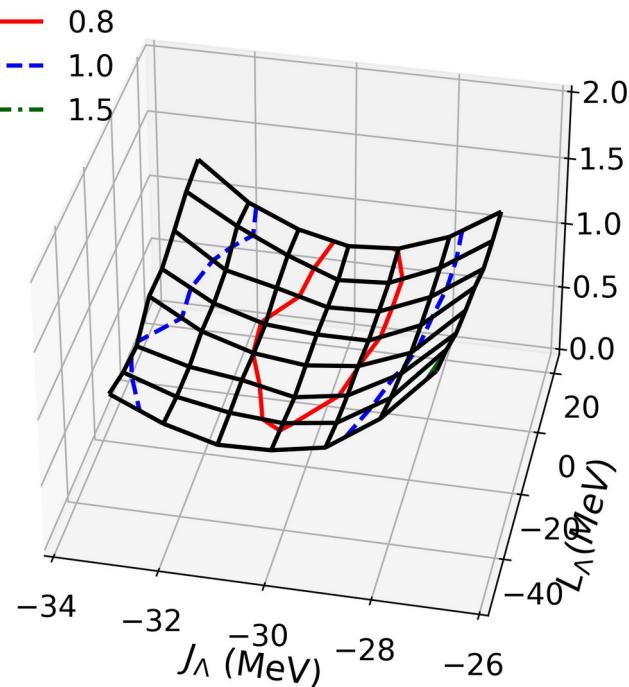
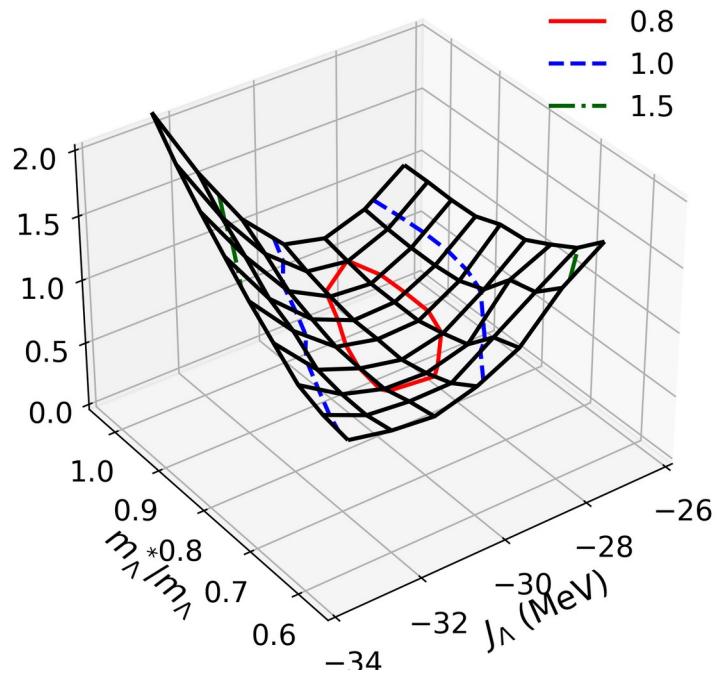
$$c = -4T + 36J - 12L + 2K - \frac{1}{2}Q$$

$$d = T - 10J + \frac{10}{3}L - \frac{1}{2}K + \frac{1}{6}Q$$



*Tews, Lattimer, AO,
Kolomeitsev ('17)*

M^* -J and J-L correlations



One local minimum

Parameter range

Root mean square deviations are calculated for 3528 parameter sets in total.

$$\langle (B_{\Lambda,\text{exp}} - B_{\Lambda,\text{cal}})^2 \rangle^{1/2}$$

- $J_\Lambda = -33, -32, -31, \dots, -27 \text{ MeV}$
- $L_\Lambda = -50, -40, -30, \dots, 20 \text{ MeV}$
- $K_\Lambda = 0, 100, 200, \dots, 600 \text{ MeV}$
- $m^*/m = 0.6, 0.65, 0.70, \dots, 1.0$

Semi-Classical Nuclear Transport Theories

- Wigner(-Weyl) transform of TDHF = Vlasov equation
 - Wigner transform of density matrix=Wigner fn. (phase space dist.)
 - Wigner transform of commutator $\sim i\hbar \times$ Poisson bracket

$$i\hbar \frac{d\rho}{dt} = [h, \rho] \rightarrow \frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f - \nabla U \cdot \nabla_p f = 0$$

$$[f = \rho_W, [A, B]_W = i\hbar \{A_W, B_W\}_{PB} + \mathcal{O}(\hbar^2)]$$

- Test particle solution of the Vlasov equation → Classical EOM

$$f(\mathbf{r}, \mathbf{p}) = \frac{(2\pi)^3}{N} \sum_{i=1, NA} \delta(\mathbf{r} - \mathbf{r}_i) \delta(\mathbf{p} - \mathbf{p}_i)$$
$$\rightarrow \frac{d\mathbf{r}_i}{dt} = \frac{\partial h}{\partial \mathbf{p}} \Big|_{\mathbf{p}=\mathbf{p}_i} = \frac{\mathbf{p}}{m} + \frac{\partial U}{\partial \mathbf{p}} \Big|_{\mathbf{p}=\mathbf{p}_i}, \quad \frac{d\mathbf{p}_i}{dt} = -\frac{\partial U}{\partial \mathbf{r}} \Big|_{\mathbf{r}=\mathbf{r}_i}$$

■ Relativistic Quantum Molecular Dynamics

- Transport model applicable to high energies
Sorge, Stoecker, Greiner ('89); Maruyama et al. ('96)
- Stronger potential effects are necessary → Vector potential
Nara et al. ('20), Nara, AO ('21)
- Stochastic collisions are also included

U_Λ from Chiral EFT

■ Chiral EFT with 3BF and hyperons

Gerstung+(2001.10563)(GKW, decuplet saturation model), Kohno (1802.05388)

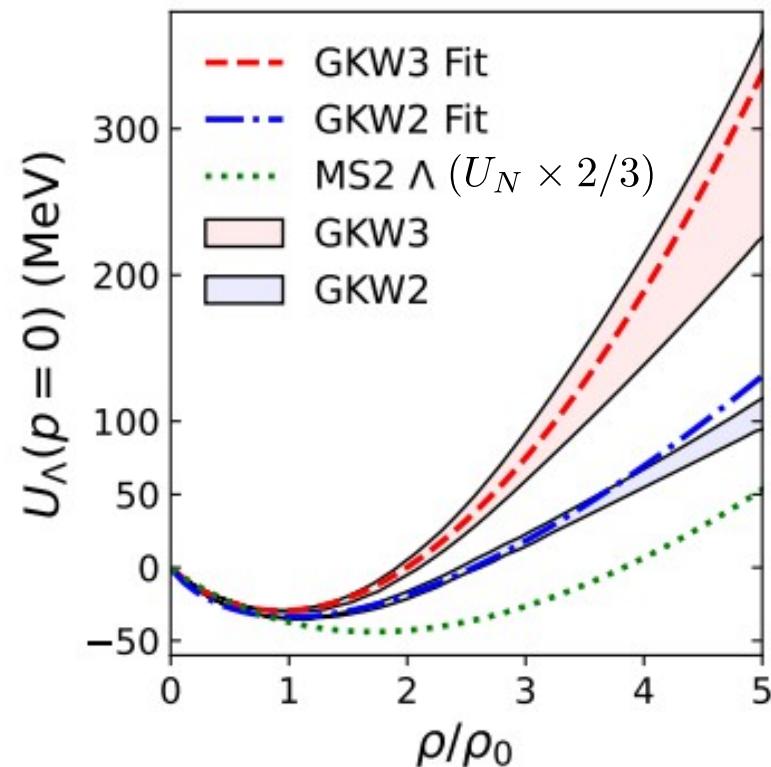
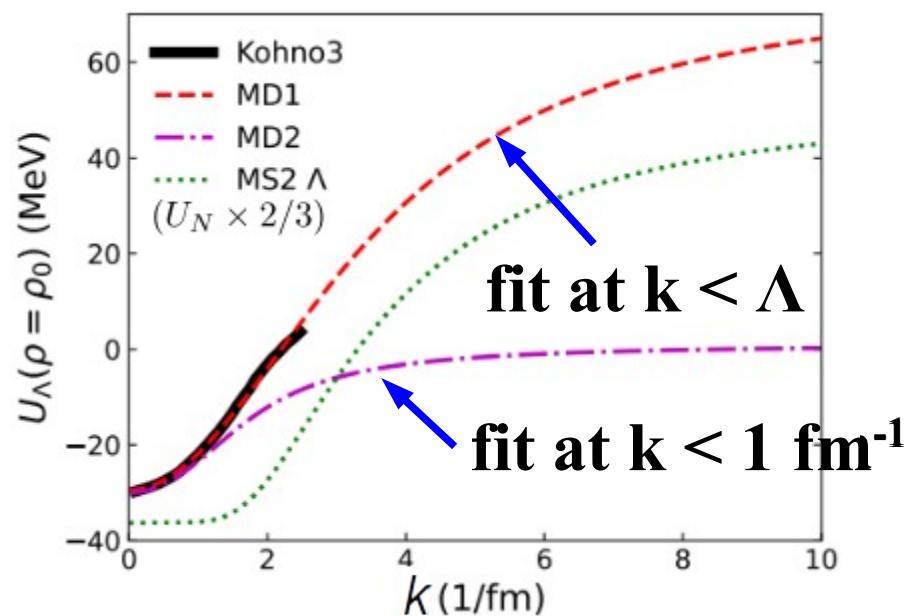
- ρ -dep. potential using Fermi mom. expansion *Tews+(1611.07133)*
+ momentum dep. fitted to Kohno ('18).

$$U_\Lambda(\rho, k) = a \frac{\rho}{\rho_0} + b \left(\frac{\rho}{\rho_0} \right)^{4/3} + c \left(\frac{\rho}{\rho_0} \right)^{5/3} + \sum_n \frac{C_n}{\rho_0} \int \frac{d\mathbf{k}'}{(2\pi)^3} \frac{f(\mathbf{r}, \mathbf{k}')}{1 + (\mathbf{k} - \mathbf{k}')^2/\mu_n^2}$$

- Range of fit

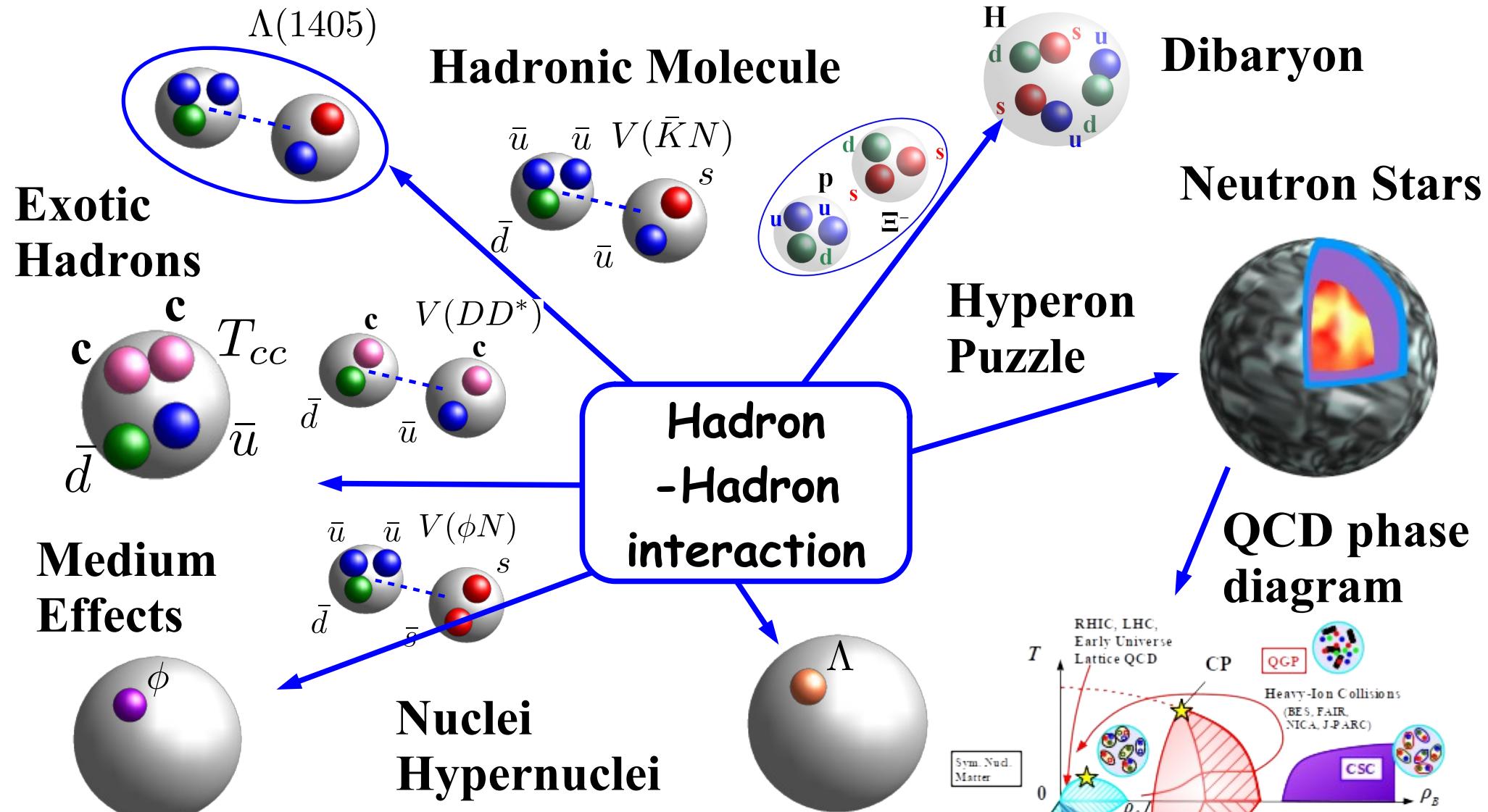
$\rho \leq 3.5\rho_0$ (unstable above $3.5\rho_0$)

$k \leq \Lambda$ (MD1) or $k \leq 1 \text{ fm}^{-1}$ (MD2)



Somewhat different subjects...

Hadron-Hadron Interaction



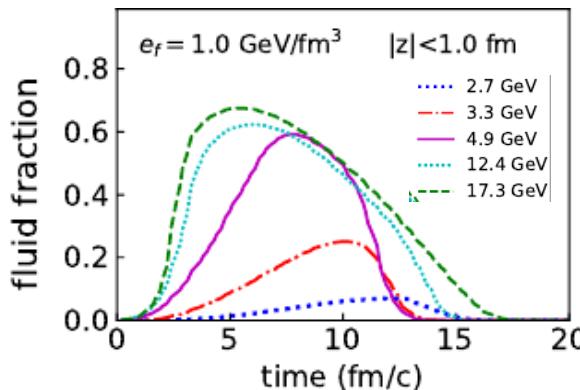
*Attractive or Repulsive ?
Strength ?
Bound or not ?*

Dense Baryonic Matter EOS from Collective Flow in HIC & Onset energy of QGP

Onset Beam Energy of (bulk) QGP formation

■ Nagamiya Plot

- Simultaneous change of many signals
- Finite volume smears sharp signal of 1st ord. p.t. even if it exists.
→ Gradual increase of QGP fraction ?

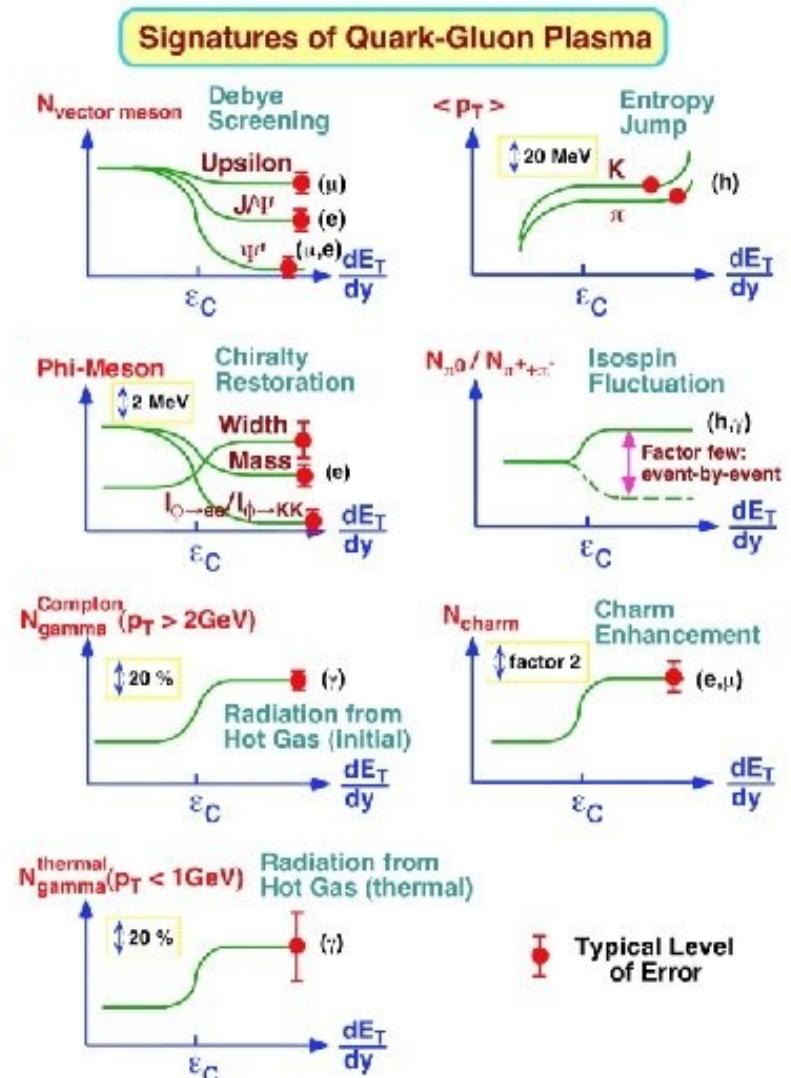


*Hadronic fluid
or QGP ?*

*Y.Akamatsu, M.Asakawa,
T.Hirano, M.Kitazawa,
K.Morita, K.Murase,
Y.Nara, C.Nonaka, AO,
PRC98('18)024909.*

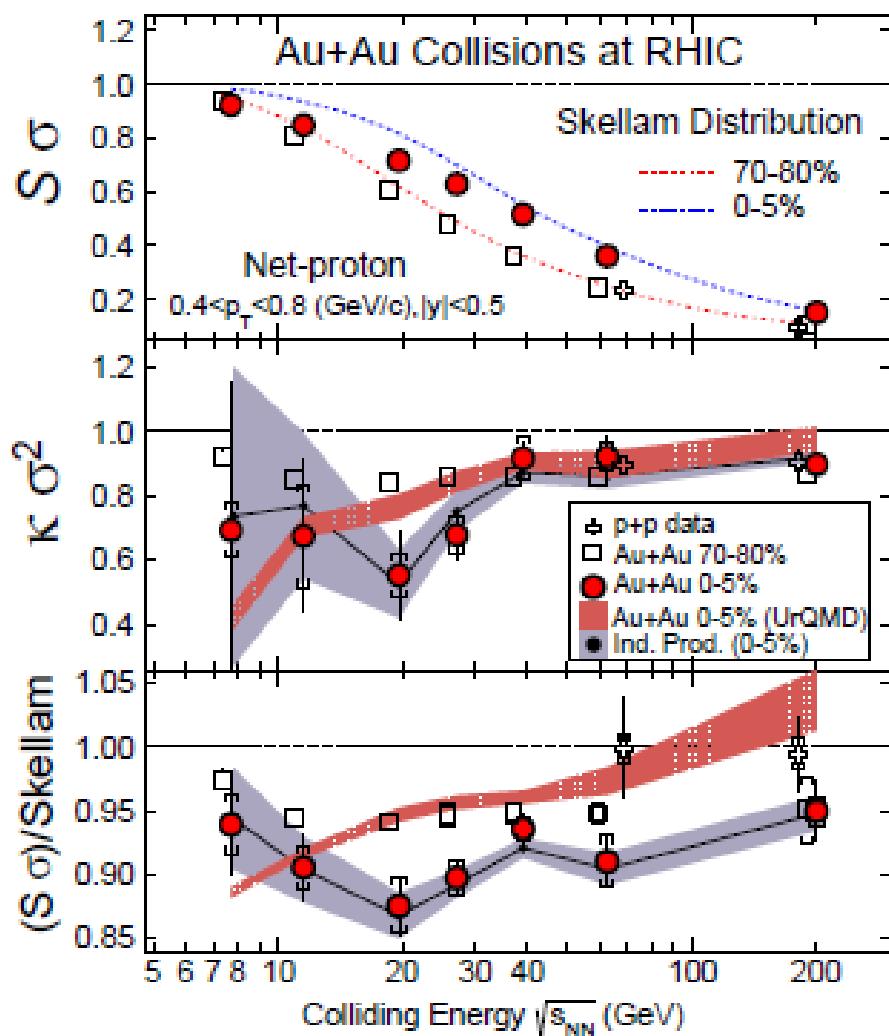
■ Non-monotonic dep. on beam E.

- Net-Proton Number Cumulants
*STAR Collab. PRL 112('14)032302;
PRC104 ('21) 024902.*
- Directed Flow
STAR Collab., PRL 112('14)162301.

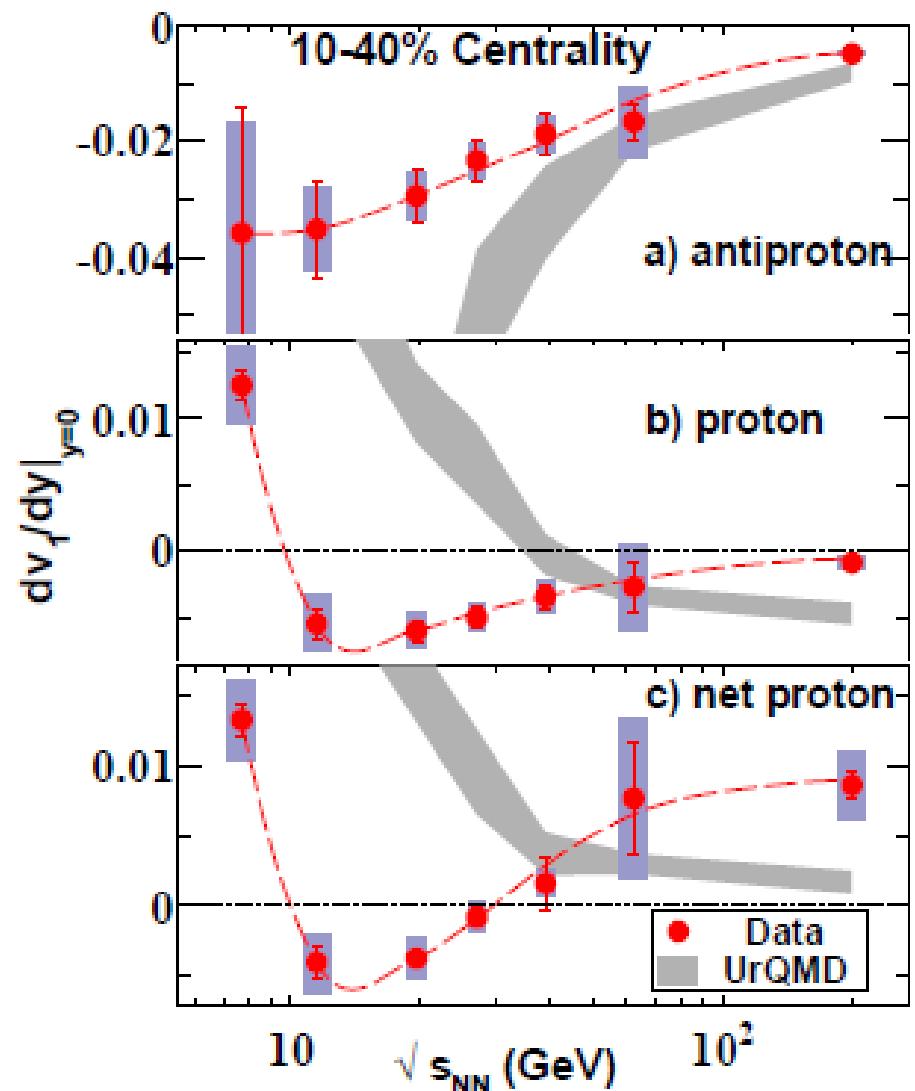


*Taken from
S. Nagamiya's Talk
@ RIKEN, 2013/08/02*

Net-Proton Number Cumulants & Directed Flow



STAR, PRL 112('14)032302



STAR, PRL 112('14)162301.

Non-Monotonic Beam E. dep. of v_1 slope

- Directed flow (v_1 or $\langle p_x \rangle$) is created in the overlapping stage of two nuclei
→ Sensitive to the EOS of dense matter.
- BES (Beam Energy Scan) result
→ Non-monotonic beam E. dep. of v_1 slope

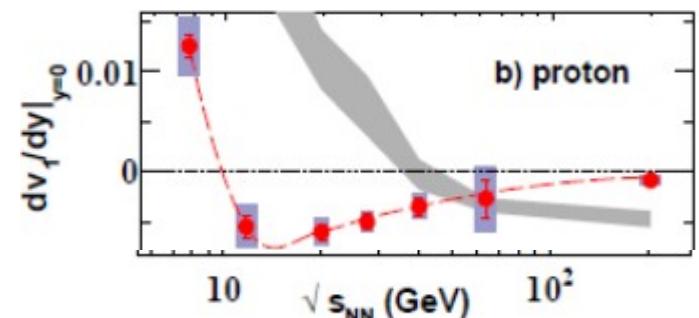
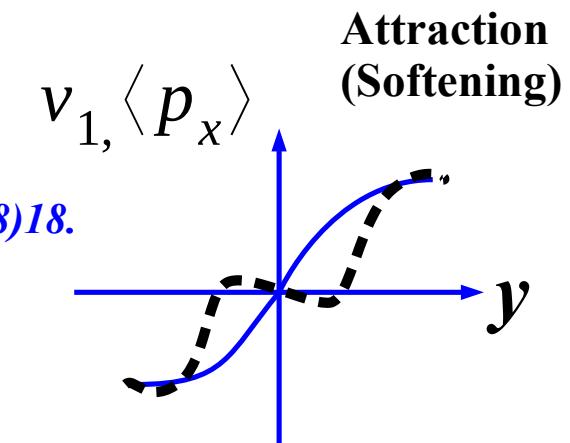
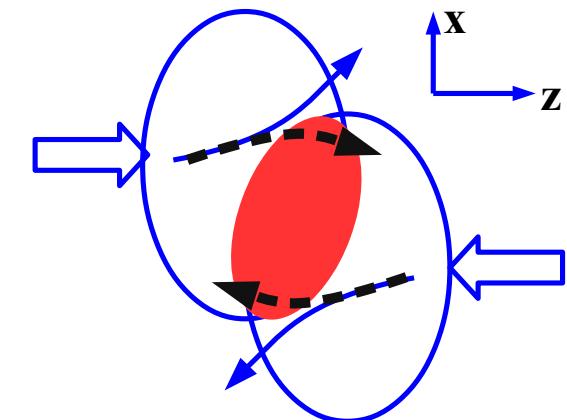
- EOS softening ?

Y.Nara, H.Niemi, AO, H.Stoecker, PRC94 ('16)034906;

Y.Nara, H.Niemi, J.Steinheimer, H.Stoecker, PLB769 ('17) 543;

Y.Nara, H.Niemi, AO, J.Steinheimer, X.F.Luo, H.Stoecker, EPJA54 ('18)18.

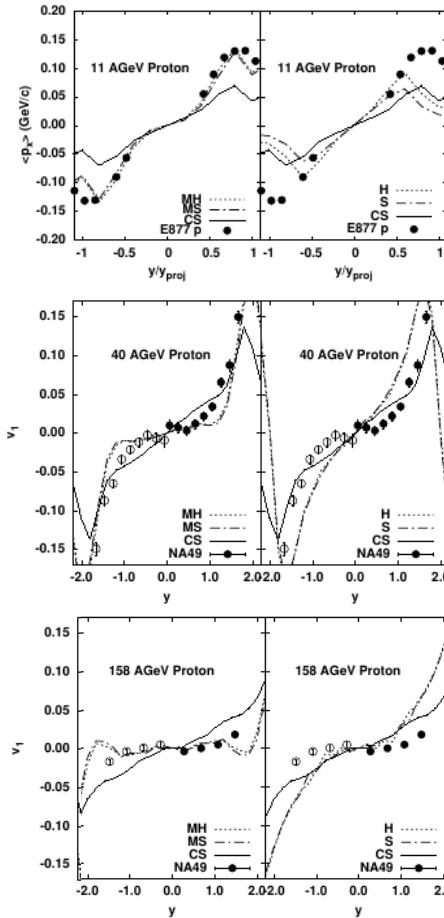
- None of fluid and hybrid models explain the beam energy dependence with a single EOS



STAR, PRL112('14)162301

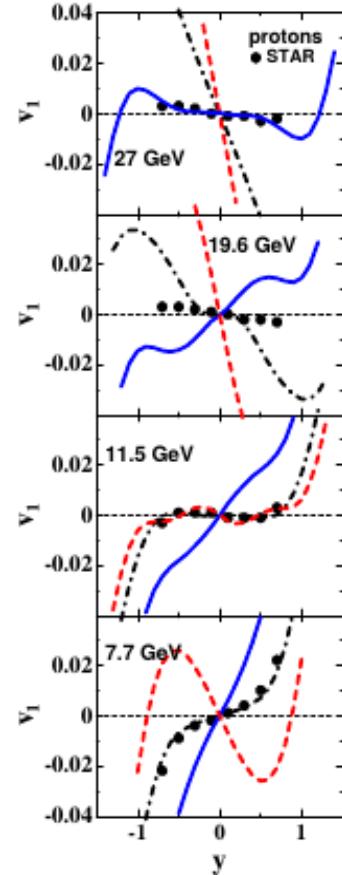
Past tries

JAM-RQMD



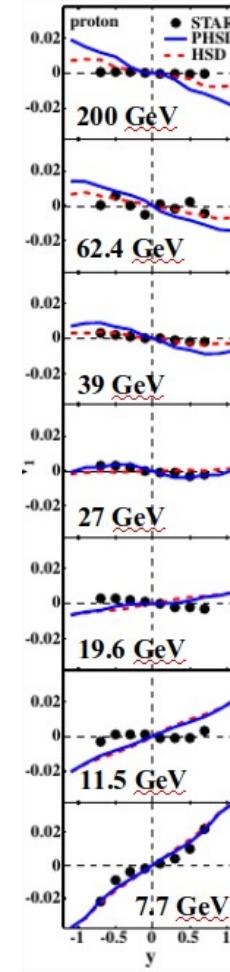
*M. Isse, AO, N. Otuka,
P.K. Sahu, Y. Nara,
PRC72('05)064908
(There was a mistake...)*

3FD



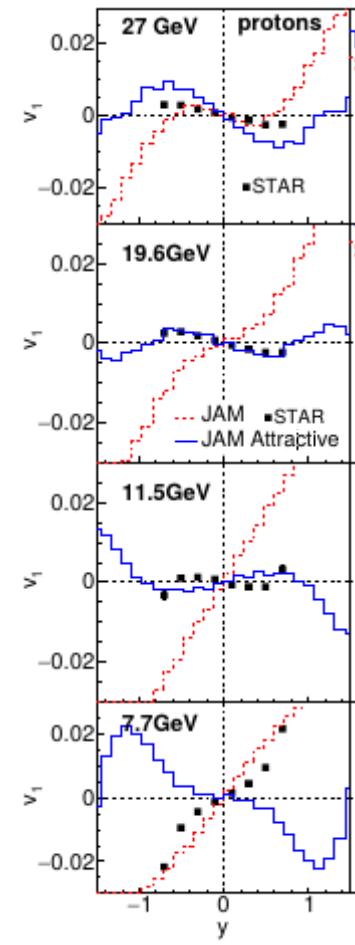
*Y.B.Ivanov,
A.A.Soldatov,
PRC91('15)
024915*

HSD/PHSD



*V.P.Konchakovski,
W.Cassing, Y.B.Ivanov,
V. D. Toneev,
PRC90('14)014903*

JAM+Att.

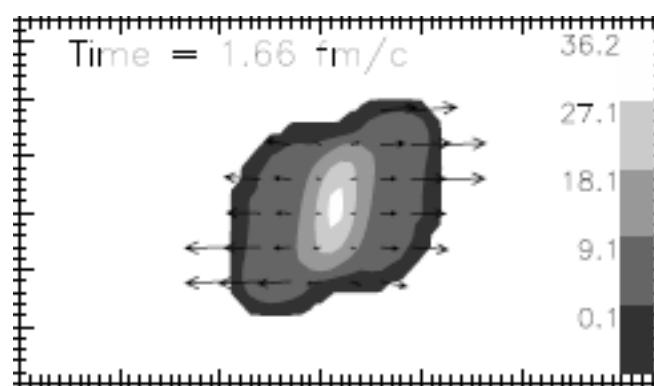


*Y.Nara, H.Niemi,
AO, H.Stoecker,
PRC94 ('16)034906*

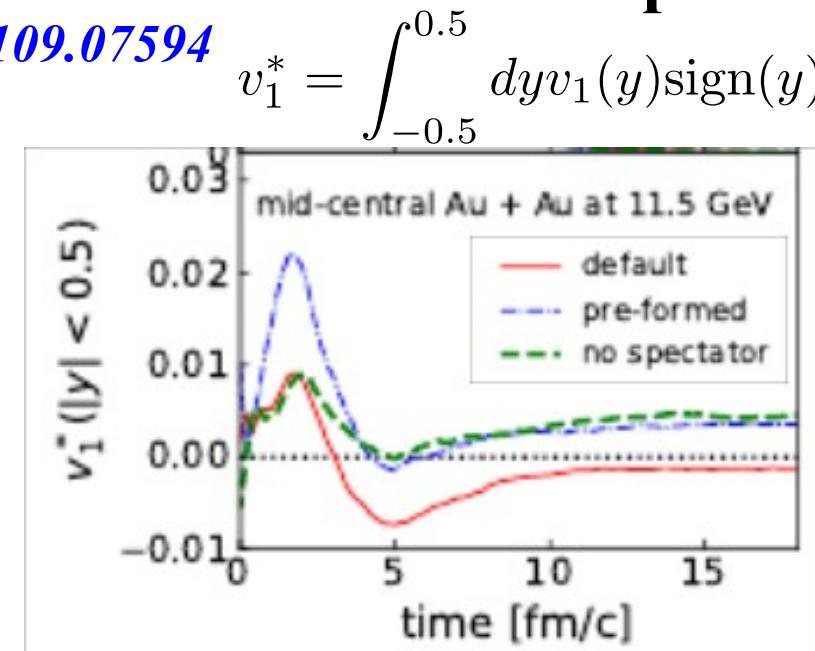
What happens ?

- Origin of Positive & Negative Flow components
 - Repulsion during compression
→ positive flow ($dv_1/dy > 0$)
 - Emission from tilted matter during expansion
→ negative flow ($dv_1/dy < 0$)
- At the balance energy ($\sqrt{s_{NN}} \sim 10 \text{ GeV}$), either EOS softening or balance of compression/expansion contribution takes place.

Nara+('16, '17, '18); Y. Nara, AO, arXiv:2109.07594



18 GeV, 3-fluid
Toneev et al. ('03)



Y. Nara, AO, arXiv:2109.07594

Relativistic QMD/Simplified (RQMD/S)

- RQMD is developed based on constraint Hamiltonian dynamics

H. Sorge, H. Stoecker, W. Greiner, Ann. Phys. 192 (1989), 266.

- **8N dof \rightarrow 2N constraints \rightarrow 6N (phase space)**
 - **Constraints = on-mass-shell constraints + time fixation**

- ## ■ RQMD/S uses simplified time-fixation

Tomoyuki Maruyama, et al. Prog. Theor. Phys. 96(1996),263.

- ## • Single particle energy (on-mass-shell constraint) and EOM

$$p_i^0 = \sqrt{\mathbf{p}_i^2 + m_i^2 + 2m_i V_i} \rightarrow \frac{d\mathbf{r}_i}{dt} = \frac{\mathbf{p}_i}{p_i^0} + \sum_j \frac{m_j}{p_j^0} \frac{\partial V_j}{\partial \mathbf{p}_i}, \quad \frac{d\mathbf{p}_i}{dt} = - \sum_j \frac{m_j}{p_j^0} \frac{\partial V_j}{\partial \mathbf{r}_i},$$

- Potential V_i is Lorentz scalar and becomes weaker at high E.

- Stronger potential effect is necessary → Vector-type potential

Y.Nara, T.Maruyama, H.Stoecker, PRC102('20)024913; Y.Nara, AO, arXiv:2109.07594

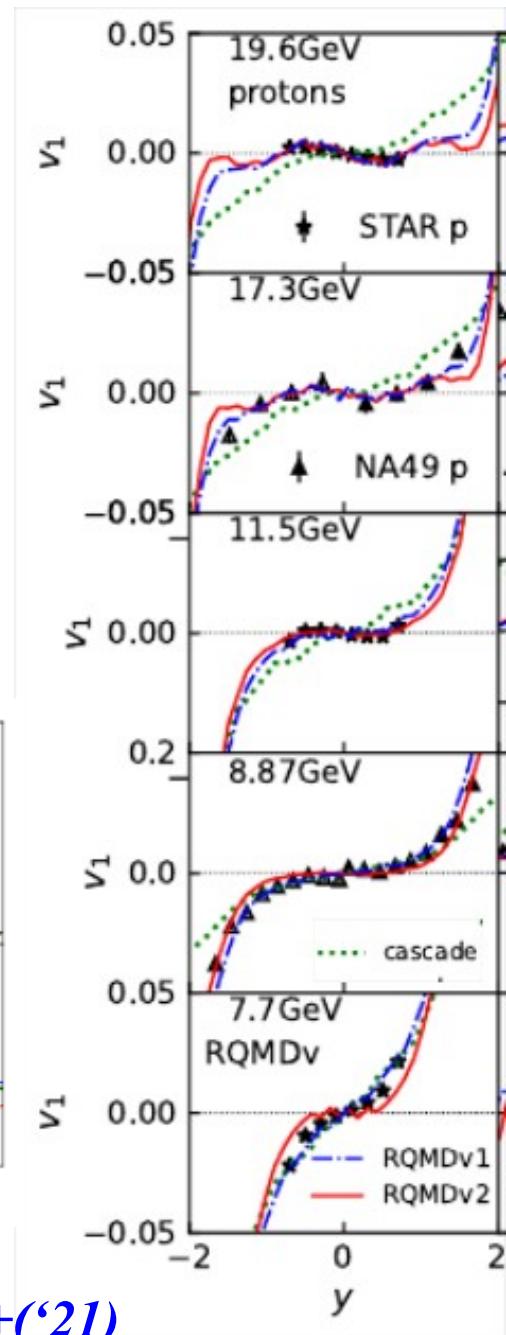
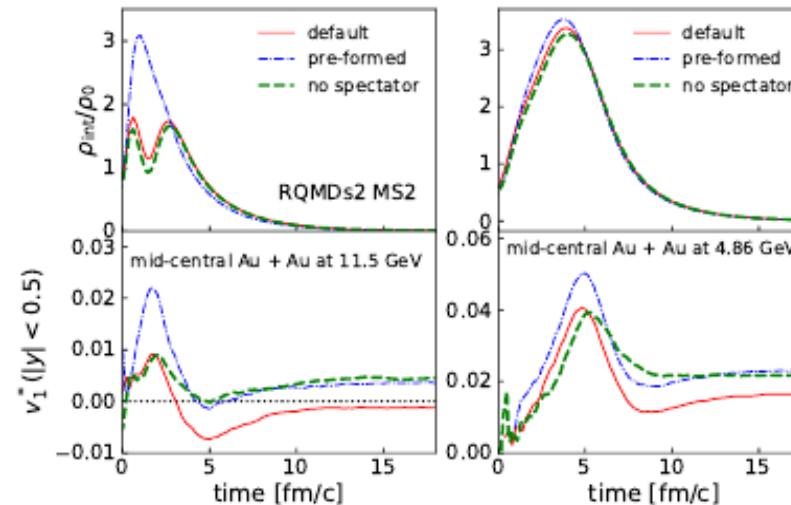
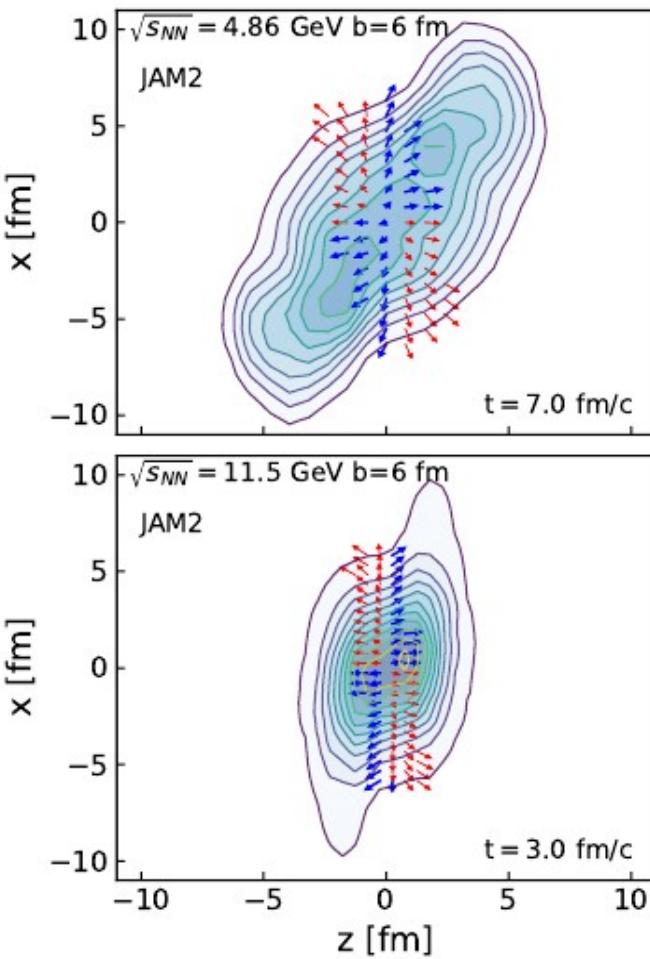
$$p_i^0 = \sqrt{\mathbf{p}_i^{*2} + m_i^2} + V_i^0 \rightarrow \frac{d\mathbf{r}_i}{dt} = \frac{\mathbf{p}_i^*}{p_i^{*0}} + \sum_j v_j^{*\mu} \frac{\partial V_{j\mu}}{\partial \mathbf{p}_i}, \quad \frac{d\mathbf{p}_i}{dt} = - \sum_j v_j^{*\mu} \frac{\partial V_{j\mu}}{\partial \mathbf{r}_i}$$

$$(p_j^{*\mu} = p_j^\mu - V_j^\mu, \ v_j^{*\mu} = p_j^{*\mu}/p_j^{*0})$$

- Potential effect remains at high energy.

JAM2 + RQMDv

- Beam energy dependence of dv_1/dy can be explained in JAM2+RQMDv.
- The negative flow in the expansion stage becomes dominant at higher beam energies.



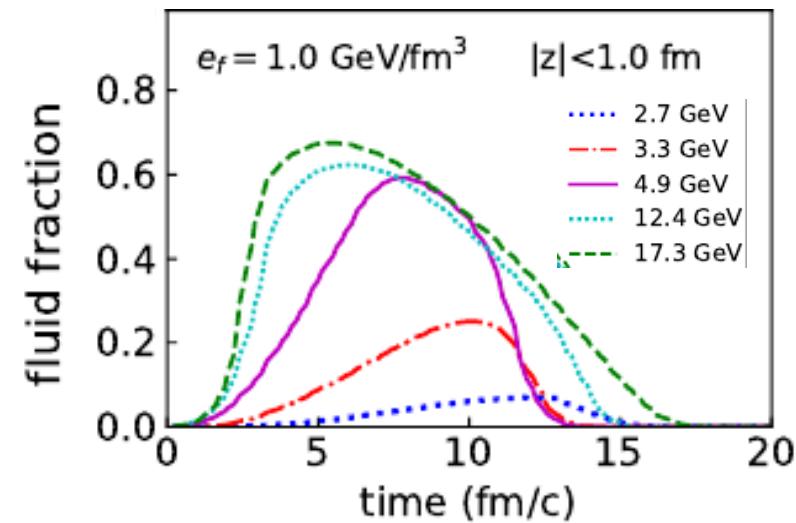
Nara+(‘21)

Onset energy, revisited

- EOS softening at around $\sqrt{s_{NN}} = 10$ GeV is not necessary.
- Even though, fluid-particle integrated model predicts formation of fluid component in 50% or more in volume at $\sqrt{s_{NN}} = 5\text{-}20$ GeV.
- A part of fluid component ($\varepsilon > 1$ GeV/fm 3) should be QGP.
(What is the signal of partial QGP formation?)
- Detailed analysis of dynamics using dynamical initialization and fluid-particle integrated evolution would be necessary.

Kanakubo+(E.g. ATHIC); Akamatsu+.

*Y.Akamatsu, M.Asakawa,
T.Hirano, M.Kitazawa,
K.Morita, K.Murase,
Y.Nara, C.Nonaka, AO,
PRC98('18)024909.*

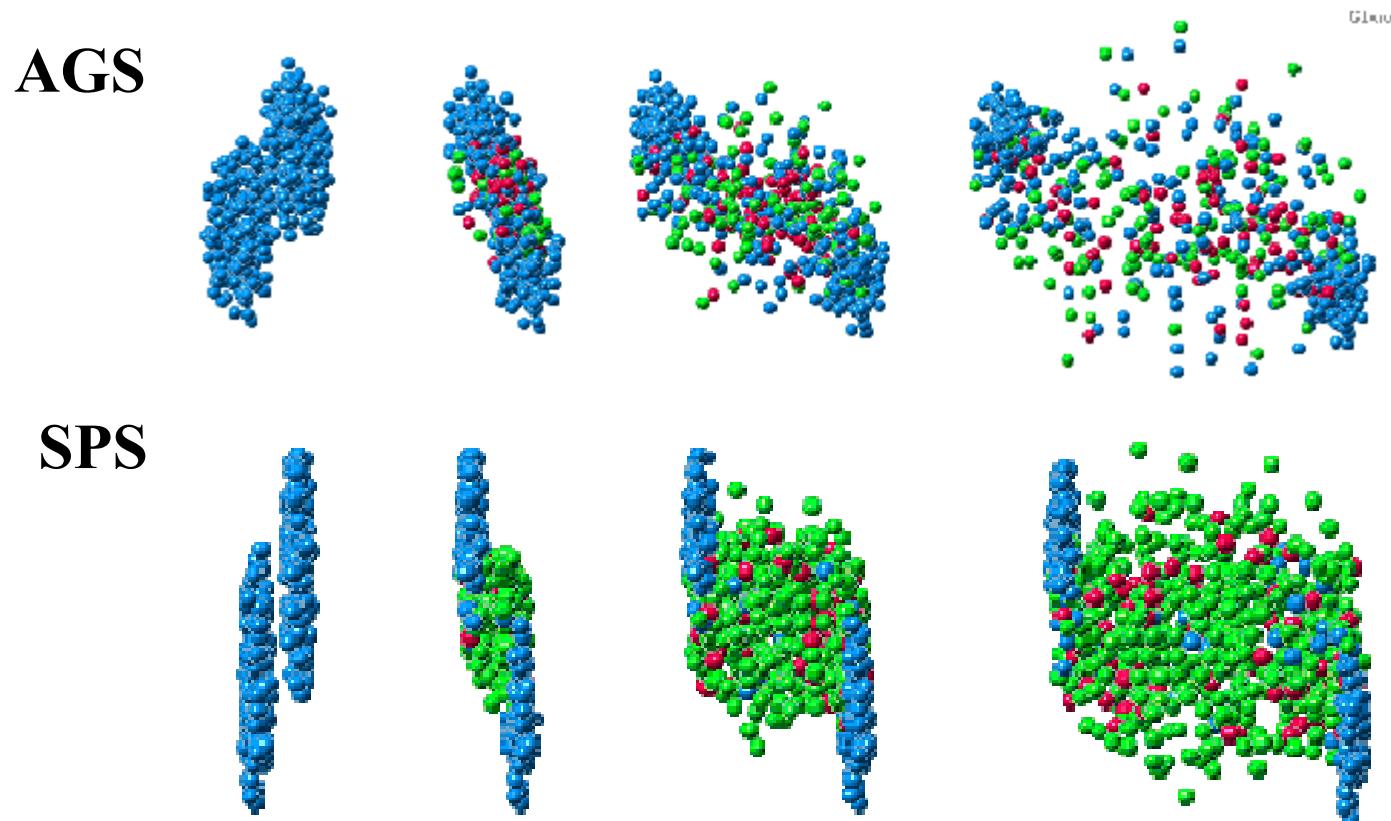


Nuclear Transport Models for Heavy-Ion Collisions and Collective Flows

Heavy-Ion Collisions at $E_{\text{inc}} \sim (1-100) \text{ A GeV}$

Study of Hot and Dense Hadronic Matter

→ Particle Yield, Collective Dynamics (Flow), EOS,



JAMming on the Web, linked from <http://www.jcprg.org/>

Nuclear Mean Field

MF has on both of ρ and p -deps.

ρ dep.: $(\rho_0, E/A) = (0.15 \text{ fm}^{-3}, -16.3 \text{ MeV})$ is known
Stiffness is not known well

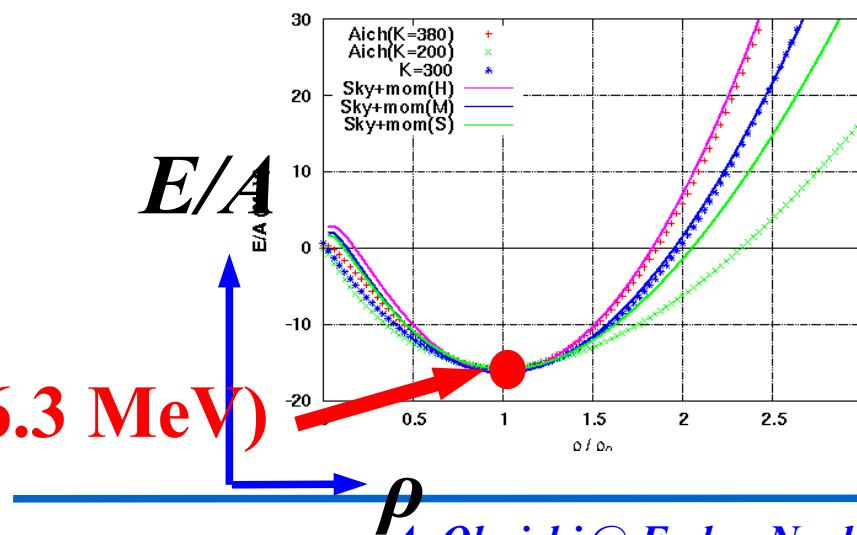
p dep.: Global potential up to $E=1 \text{ GeV}$ is known from pA scattering

$$U(\rho_0, E) = U(\rho_0, E=0) + 0.3 E$$

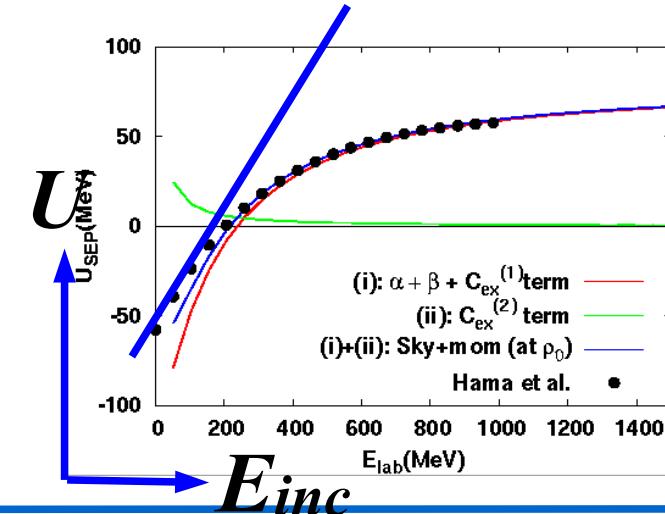
Ab initio Approach; LQCD, GFMC, DBHF, G-matrix,
 → Not easy to handle, Not satisfactory for phen. purposes

Effective Interactions (or Energy Functionals):
 Skyrme HF, RMF, ...

$$(\rho_0, E/A) = (0.15 \text{ fm}^{-3}, -16.3 \text{ MeV})$$



$$U(E) = U(0) + 0.3E$$



HIC Transport Models: Major Four Origins

Nuclear Mean Field Dynamics

Basic Element of Low Energy Nuclear Physics, and Critically Determines High Density EOS / Collective Flows

TDHF → Vlasov → BUU

NN two-body (residual) interaction

Main Source of Particle Production

Intranuclear Cascade Models

Partonic Interaction and String Decay

Main Source of high pT Particles at Collider Energies

JETSET + (previous) PYTHIA (Lund model) → (new) PYTHIA

Relativistic Hydrodynamics

Most Successful Picture at RHIC

TDHF and Vlasov Equation

Time-Dependent Mean Field Theory (e.g., TDHF)
Density Matrix

$$i\hbar \frac{\partial \phi_i}{\partial t} = h\phi_i$$

$$\rho(r, r') = \sum^{Occ} \phi_i(r) \phi_i^*(r') \quad \rightarrow \quad \rho_w = f \text{ (*phase space density*)}$$

TDHF for Density Matrix

$$i\hbar \frac{\partial \rho}{\partial t} = [h, \rho] \quad \rightarrow \quad \frac{\partial f}{\partial t} = \{h_w, f\}_{P.B.} + O(\hbar^2)$$

Wigner Transformation and Wigner-Kirkwood Expansion
(Ref.: Ring-Schuck)

$$O_w(r, p) \equiv \int d^3 s \exp(-i p \cdot s / \hbar) \langle r + s/2 | O | r - s/2 \rangle$$

$$(AB)_w = A_w \exp(i \hbar \Lambda) B_w \quad \Lambda \equiv \nabla'_r \cdot \nabla_p - \nabla'_p \cdot \nabla_r \quad (\nabla' \text{ acts on the left})$$

$$[A, B]_w = 2i A_w \sin(\hbar \Lambda / 2) B_w = i \hbar \{A_w, B_w\}_{P.B.} + O(\hbar^3)$$

Test Particle Method

Vlasov Equation

$$\frac{\partial f}{\partial t} - \{ h_W, f \}_{P.B.} = \frac{\partial f}{\partial t} + v \cdot \nabla_r f - \nabla U \cdot \nabla_p f = 0$$

Classical Hamiltonian

$$h_w(r, p) = \frac{p^2}{2m} + U(r, p)$$

Test Particle Method (C. Y. Wong^{zm}, 1982)

$$f(r, p) = \frac{1}{N_0} \sum_i^{A N_0} \delta(r - r_i) \delta(p - p_i) \quad \rightarrow \quad \frac{dr_i}{dt} = \nabla_p h_w, \quad \frac{dp_i}{dt} = -\nabla_r h_w,$$

Mean Field Evolution can be simulated

by Classical Test Particles

→ Opened a possibility to Simulate High Energy HIC including Two-Body Collisions in Cascade

BUU (Boltzmann-Uehling-Uhlenbeck) Equation

BUU Equation (Bertsch and Das Gupta, Phys. Rept. 160(88), 190)

$$\frac{\partial f}{\partial t} + v \cdot \nabla_r f - \nabla U \cdot \nabla_p f = I_{coll}[f]$$

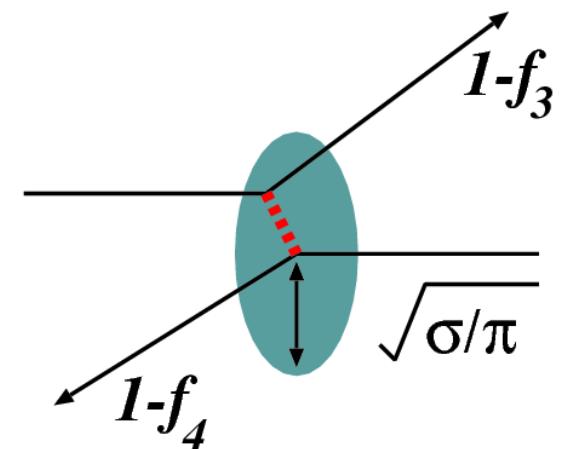
$$I_{coll}[f] = -\frac{1}{2} \int \frac{d^3 p_2 d\Omega}{(2\pi\hbar)^3} v_{12} \frac{d\sigma}{d\Omega} \\ \times [f f_2 (1-f_3)(1-f_4) - f_3 f_4 (1-f_1)(1-f_2)]$$

Incorporated Physics in BUU

Mean Field Evolution

(Incoherent) Two-Body Collisions

Pauli Blocking in Two-Body Collisions

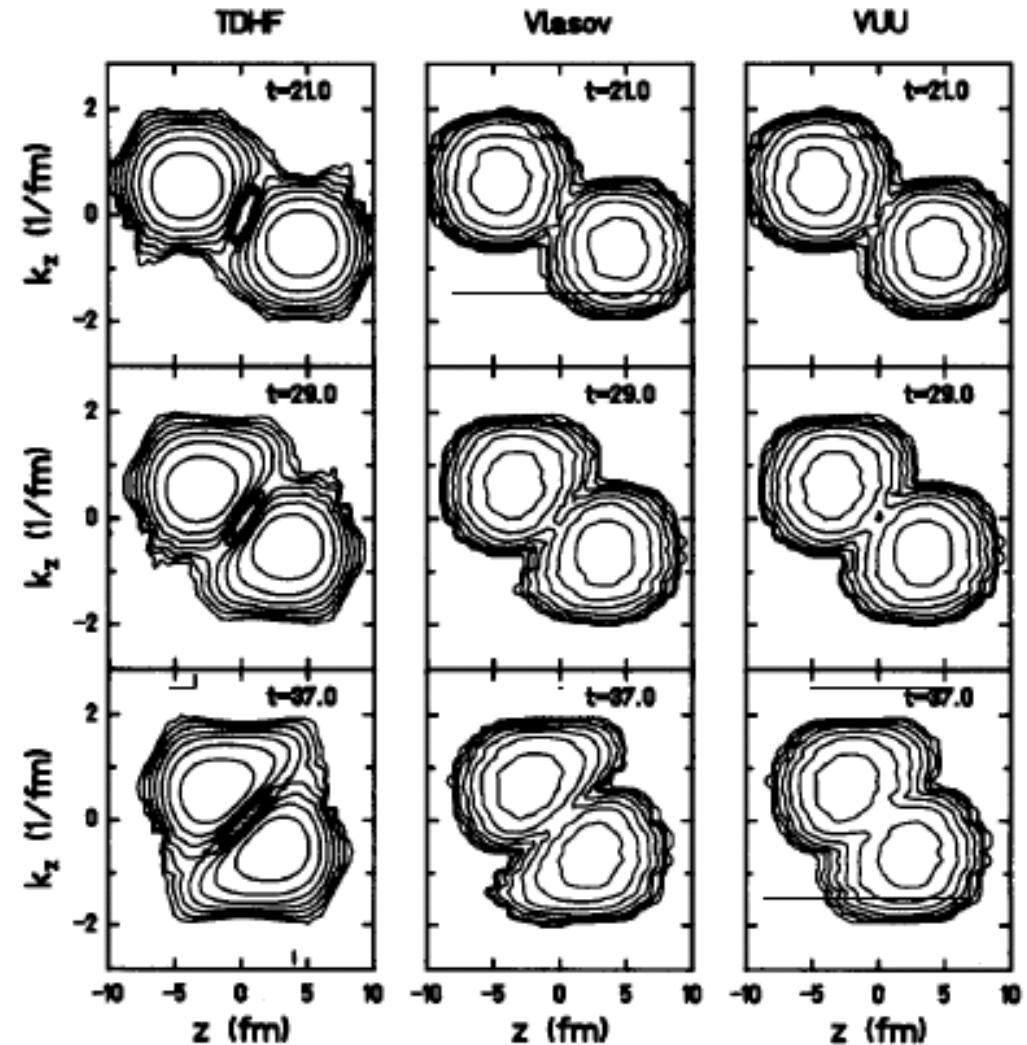


- O One-Body Observables (Particle Spectra, Collective Flow, ..)
- X Event-by-Event Fluctuation (Fragment, Intermittency, ...)

Comarison of TDHF, Vlasov and BUU(VUU)

Ca+Ca, 40 A MeV

(Cassing-Metag-Mosel-Niita, Phys. Rep. 188 (1990) 363).

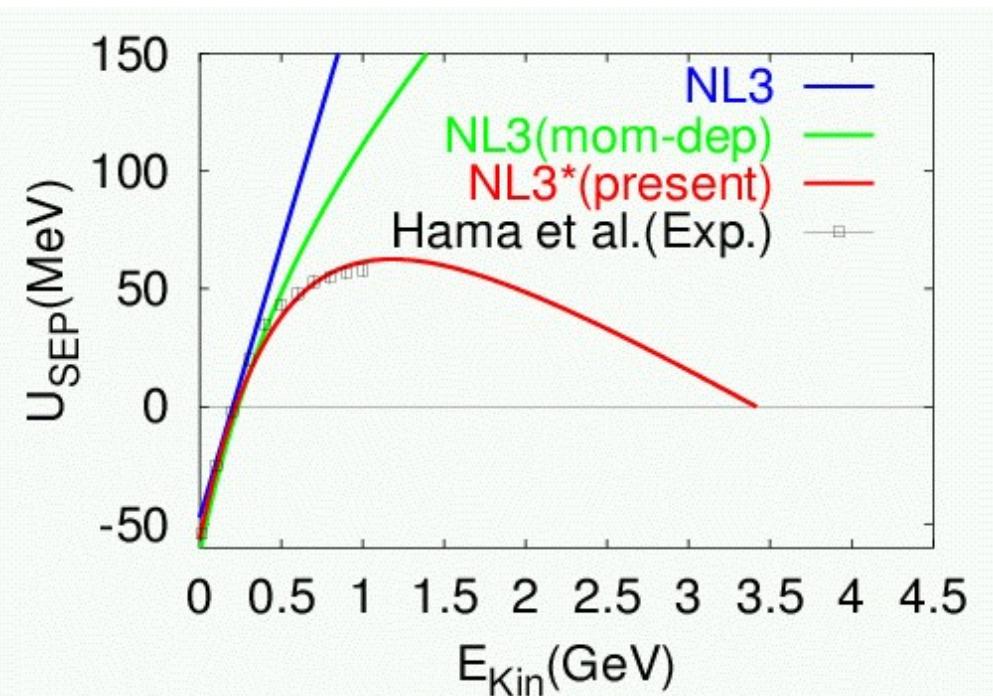


Relativistic Mean Field (II)

Dirac Equation $(i\gamma\partial - \gamma^0 U_\nu - M - U_s)\psi = 0$, $U_\nu = g_\omega \omega$, $U_s = -g_\sigma \sigma$
Schroedinger Equivalent Potential

$$\begin{pmatrix} E - U_\nu - M - U_s & i\sigma \cdot \nabla \\ -i\sigma \cdot \nabla & -E + U_\nu - M - U_s \end{pmatrix} \begin{pmatrix} f \\ g \end{pmatrix} = 0$$

$$U_{sep} \sim U_s + \frac{E}{m} U_\nu = -g_\sigma \sigma + \frac{E}{m} g_\omega \omega \\ = -\frac{g_\sigma^2}{m_\sigma^2} \rho_s + \frac{E}{m} \frac{g_\omega^2}{m_\omega^2} \rho_B$$



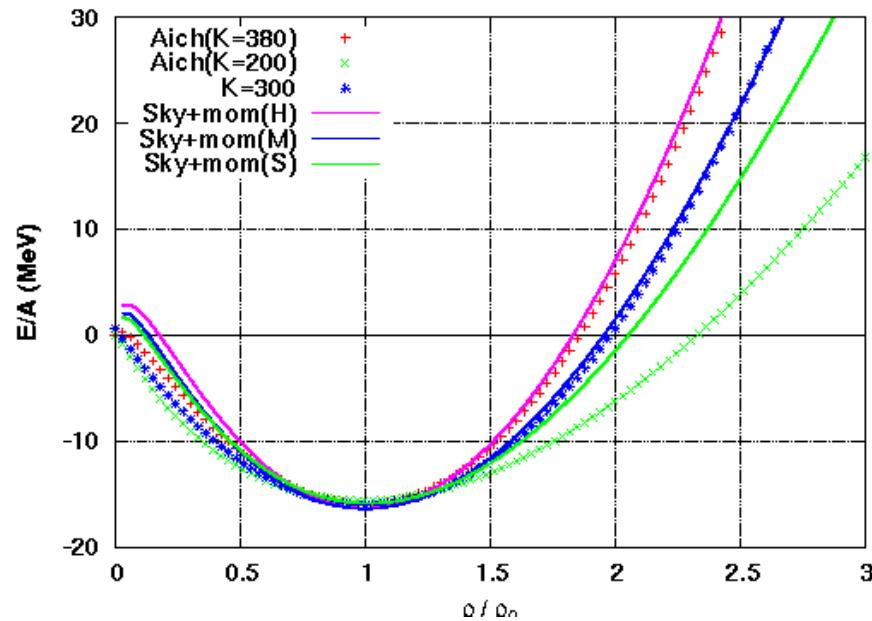
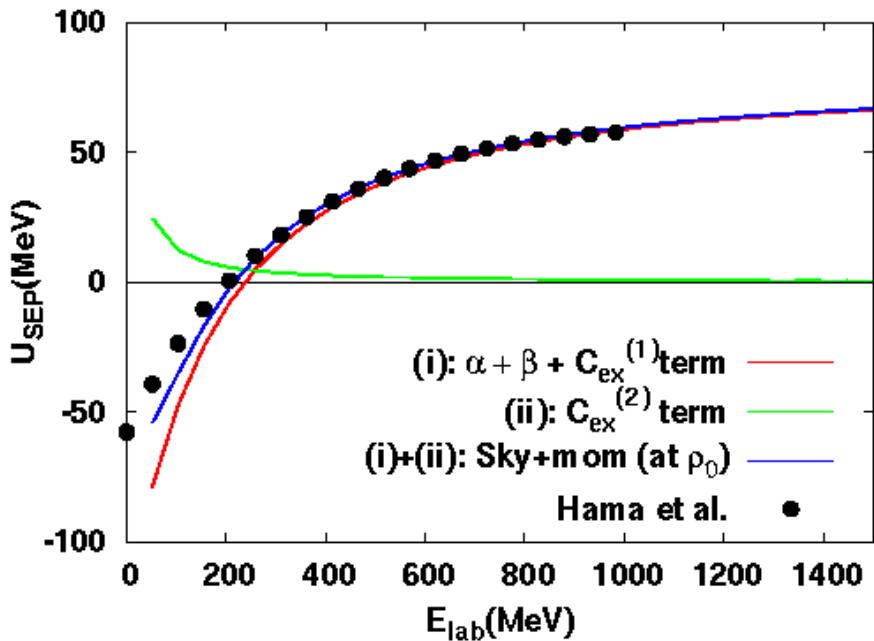
Saturation: -Scalar+Baryon Density
Linear Energy Dependence: Good at Low Energies,
Bad at High Energies (We need cut off !)

(Sahu, Cassing, Mosel, AO, Nucl. Phys. A672 (2000), 376.)

Phenomenological Mean Field

Skyrme type ρ -Dep. + Lorentzian p -Dep. Potential

$$V = \sum_i V_i = \int d^3 r \left[\frac{\alpha}{2} \left(\frac{\rho}{\rho_0} \right)^2 + \frac{\beta}{\gamma+1} \left(\frac{\rho}{\rho_0} \right)^{\gamma+1} \right] + \sum_k \int d^3 r d^3 p d^3 p' \frac{C_{ex}^{(k)}}{2 \rho_0} \frac{f(r, p) f(r, p')}{1 + (p - p')^2 / \mu_k^2}$$



Isse, AO, Otuka, Sahu, Nara, Phys.Rev. C 72 (2005), 064908

Baryon-Baryon and Meson-Baryon Collisions

NN collision mechanism

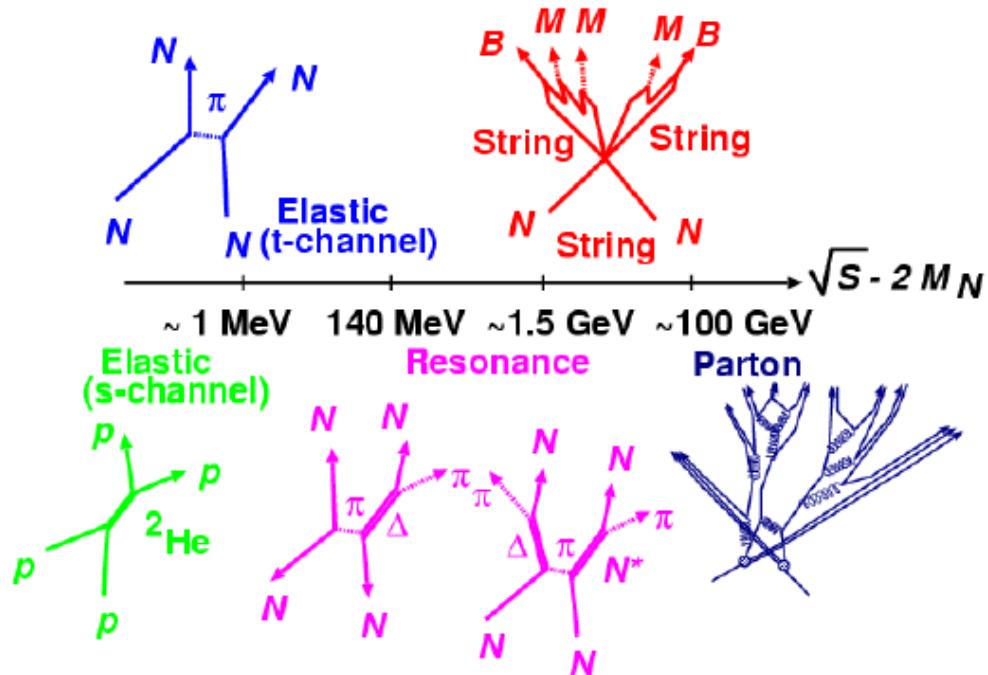
Elastic

→ Resonance

→ String

→ Jet

Energy Dependence of NN Reaction Mechanism

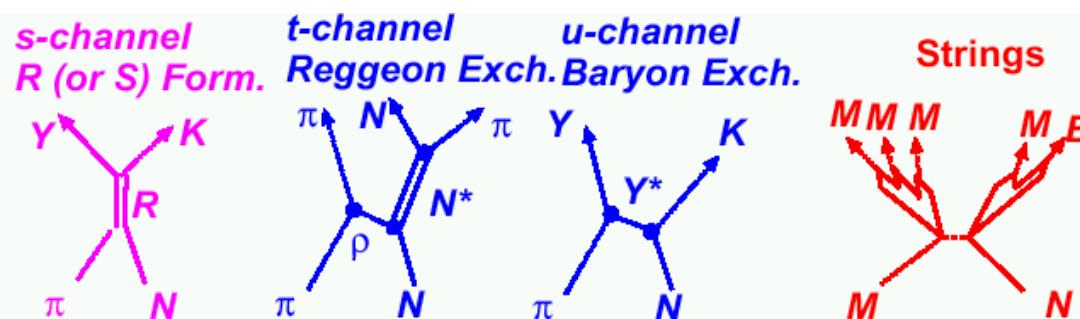


Meson-Nucleon Collision

→ s-channel Resonance

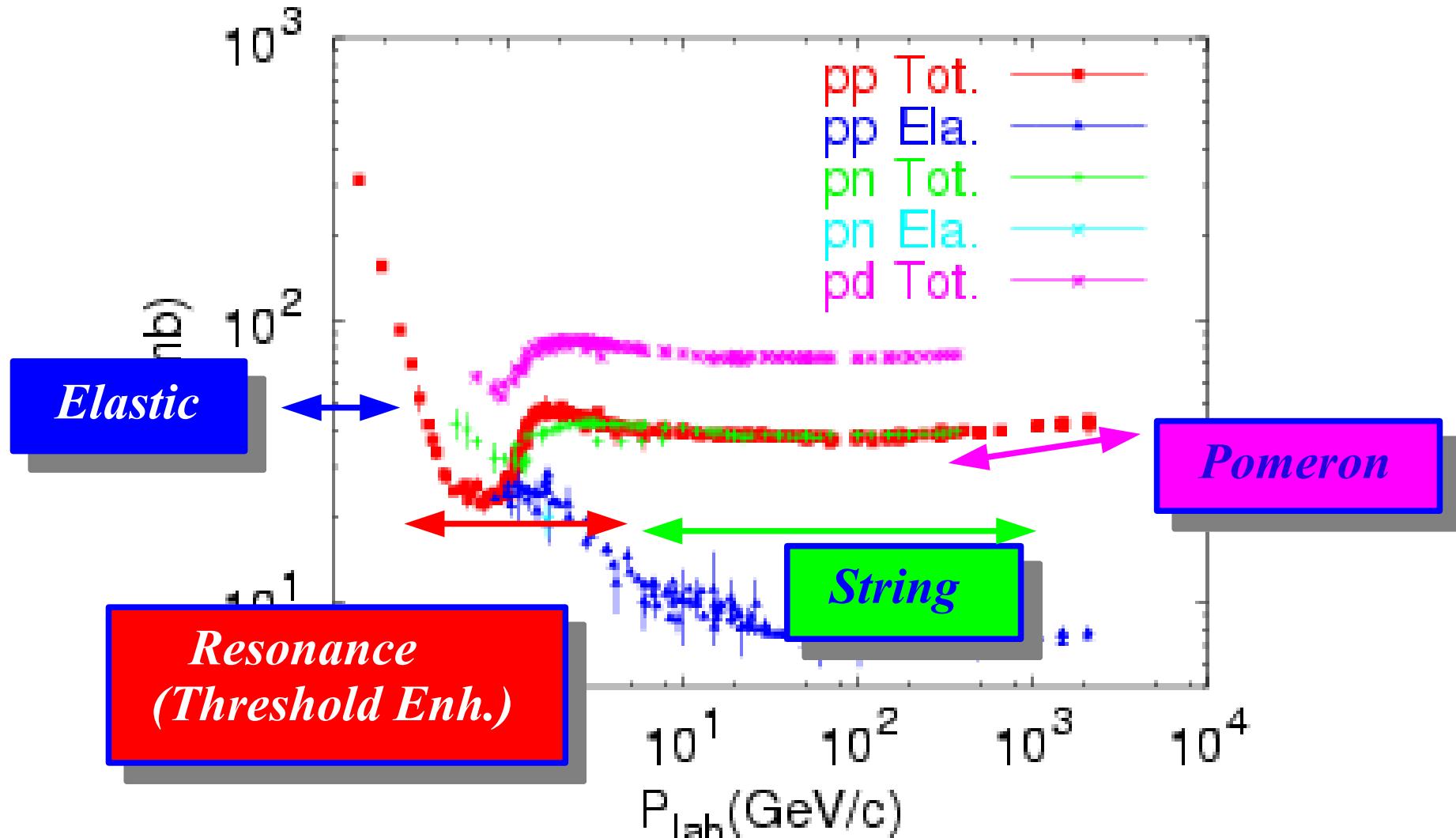
→ t-(u-) channel Res.

→ String formation

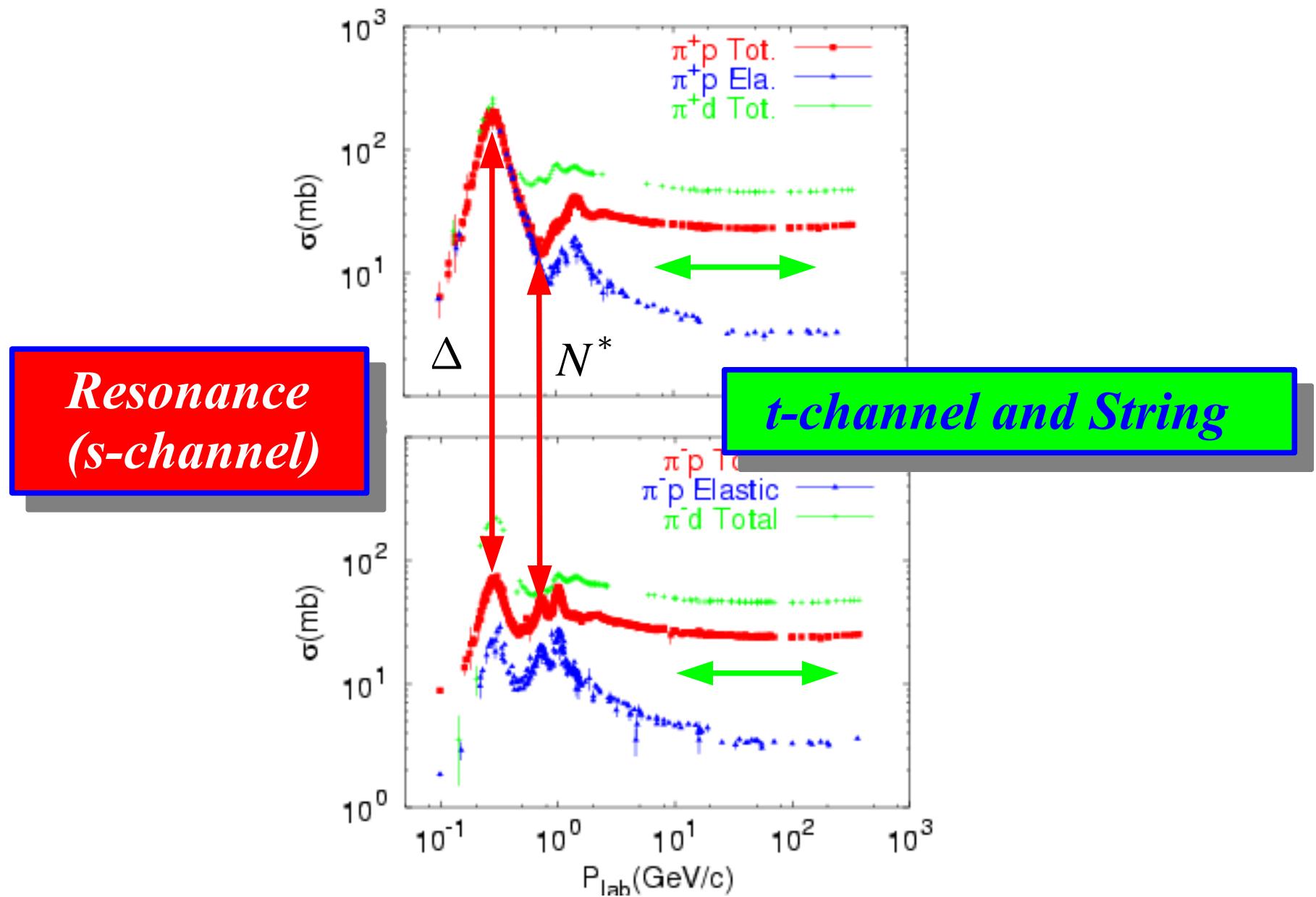


NN Cross Sections

From Particle Data Group



Meson-Baryon Cross Section



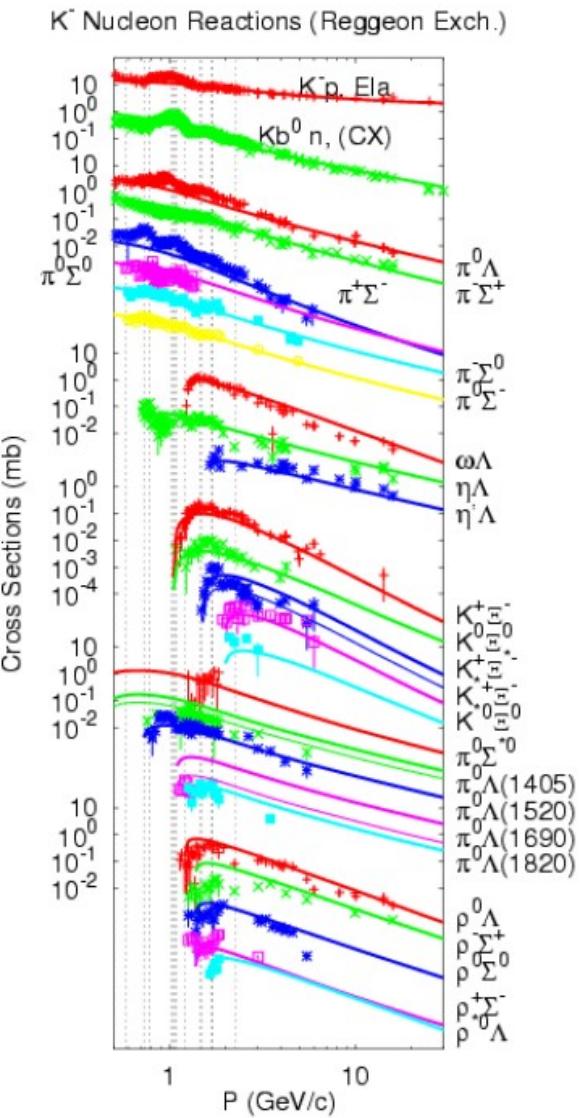
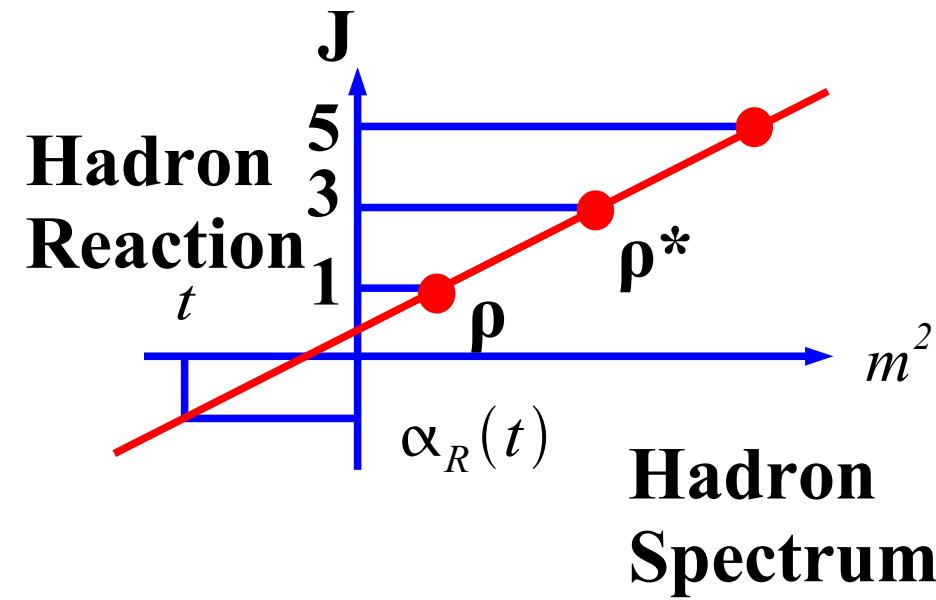
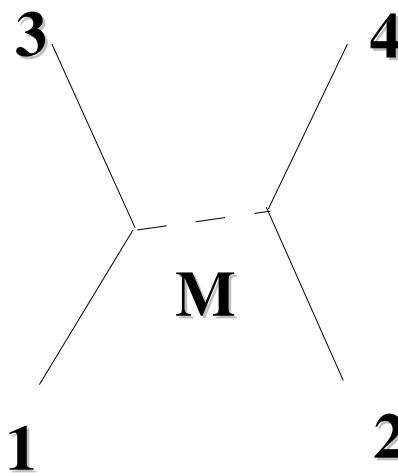
Reggeon Exchange

(Barger and Cline (Benjamin, 1969), H. Sorge, PRC (1995), RQMD2.1)

Regge Trajectory $J = \alpha_R(t) \sim \alpha_R(0) + \alpha'_R(0)t$
2 to 2 Cross Section

$$\frac{d\sigma}{d\Omega} = \frac{p_f}{64\pi s p_i} |M(s, t)|^2$$

$$M(s, t) \sim \sum_R \frac{(p_i p_f)^J}{t - M_R} \sim F(t) \exp[\alpha_R(t) \log(s/s_0)]$$



String formation and decay

What does the regge trajectory suggest ?

→ Existence of (color- or hadron-)String !

$$M = 2 \int_0^R \frac{\kappa dr}{\sqrt{1 - (r/R)^2}} = \pi \kappa R , \quad J = 2 \int_0^R r \times \frac{\kappa dr}{\sqrt{1 - (r/R)^2}} \frac{r}{R} = \frac{\pi \kappa R^2}{2} \pi$$

$$\rightarrow J = \frac{M^2}{2\pi\kappa}$$

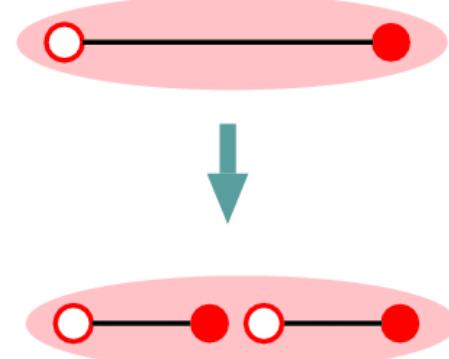
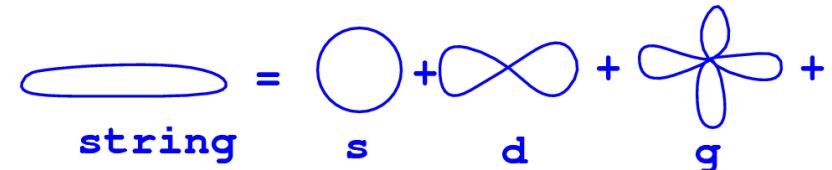
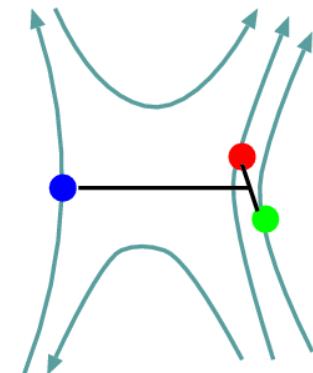
String Tension

$$\text{String decay} \frac{1}{2\pi\kappa} = \alpha'_R(0) \approx 0.9 \text{ GeV}^{-2} \rightarrow \kappa \approx 1 \text{ GeV/fm}$$

Extended String

→ Large E stored

→ q qbar pair creation (Schwinger mech.)



String = Coherent superposition of hadron resonances with various J

Jet Production

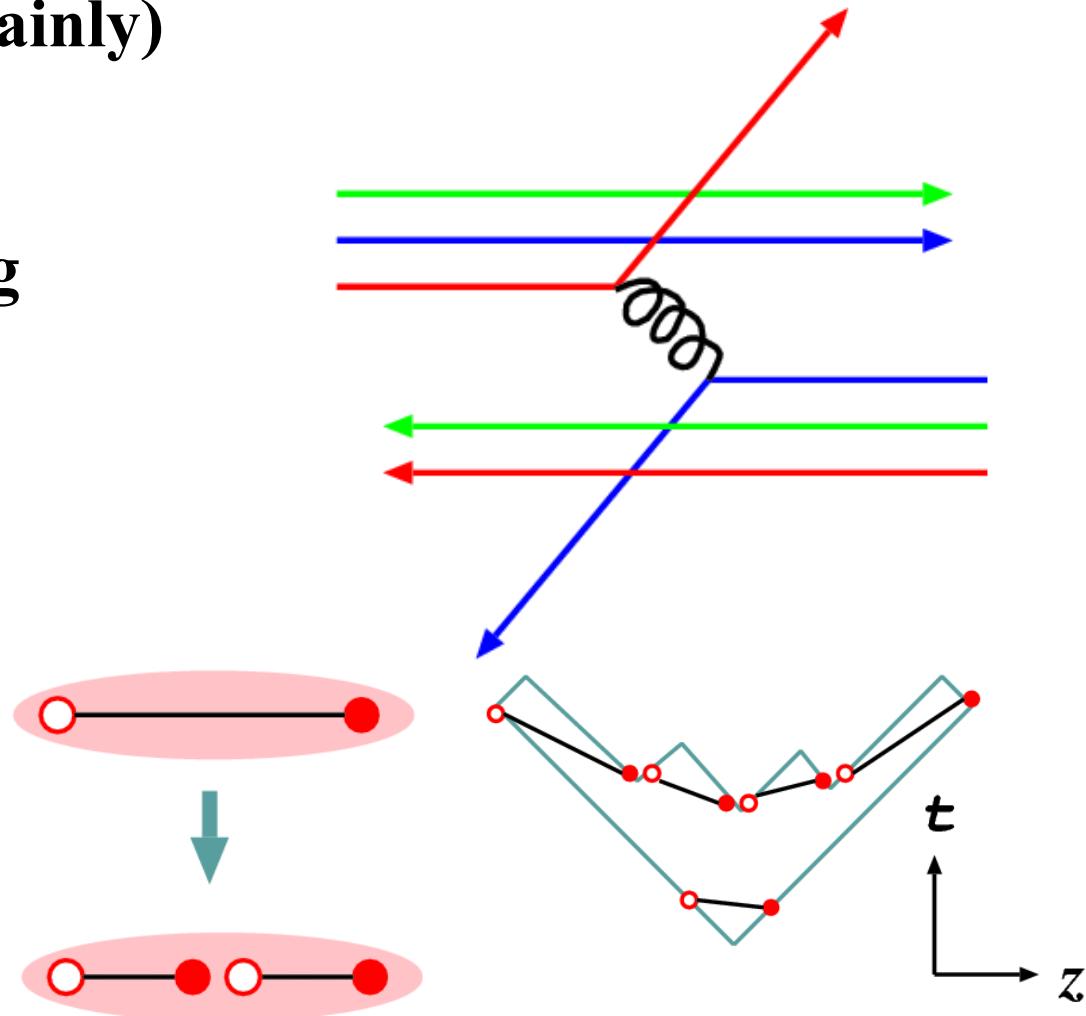
Elastic Scattering of Partons (mainly)
with One Gluon Exch.

Color Exch. between Hadrons

- Complex color flux starting from leading partons
- many hadron production
- Jet production

PYTHIA

Event Generator
of High Energy Reactions
→ Jet production
+String decay
for QCD processes



(T. Sjostrand *et al.*, *Comput. Phys. Commun.* 135 (2001), 238.)

JAM (Jet AA Microscopic transport model)

Nara, Otuka, AO, Niita, Chiba, Phys. Rev. C61 (2000), 024901.

Hadron-String Cascade with Jet production

hh collision with Res. up to $m < 2$ GeV (3.5 GeV) for M (B)

String excitation and decay

String-Hadron collisions are simulated by hh collisions in the formation time.

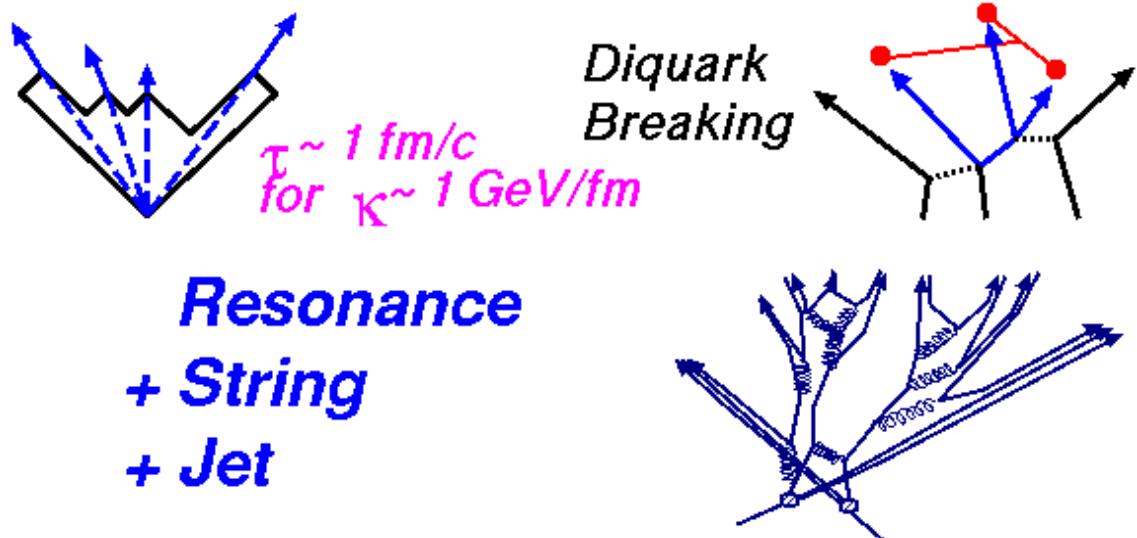
jet production is incl. using PYTHIA

Secondary partonic int.:

NOT incl.

Color transparency:

NOT taken care of



Collective Flow and EOS: Old Problem ?

1970's-1980's: First Suggestions and Measurement

Hydrodynamics suggested the Existence of Flow.

Strong Collective Flow suggests Hard EOS

1980's-1990's: Deeper Discussions in Wider E_{inc} Range

Momentum Dep. Pot. can generate Strong Flows.

E_{inc} deps. implies the importance of Momentum Deps.

Flow Measurement up to AGS Energies.

2000's: Extension to SPS and RHIC Energies

EOS is determined with Mom. AND Density Dep. Pot. ?

Old but New (Continuing) Problem !

What is Collective Flow ?

(Directed) Flow (dP_X/dY)

Stiffness (Low E)
+ Time Scale (High E)

Elliptic Flow (V_2)

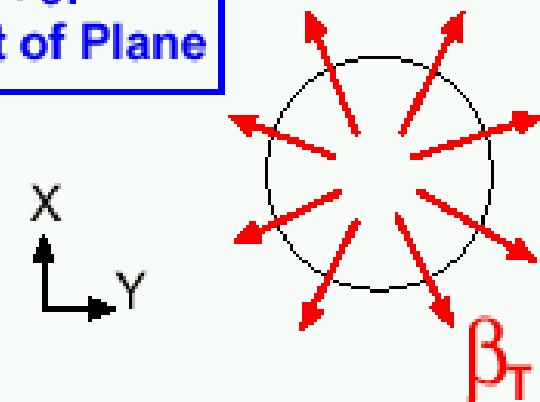
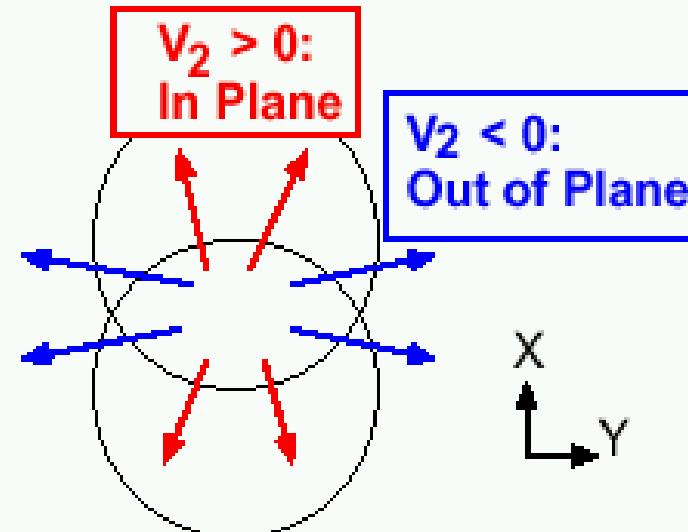
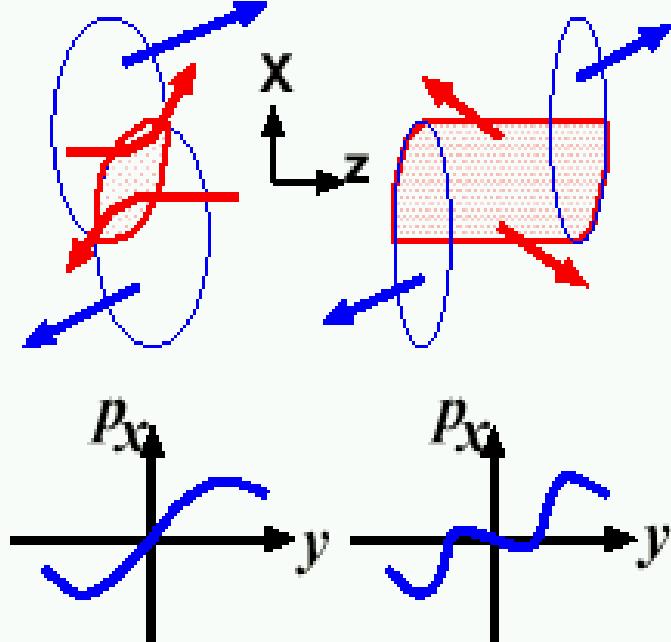
Thermalization
& Pressure Gradient

Radial Flow (β_T)

Pressure History

$$\epsilon \frac{dV}{dt} = -\nabla P$$
$$\rightarrow V = \int_{path} \frac{-\nabla P dt}{\epsilon}$$

Until AGS Above SPS



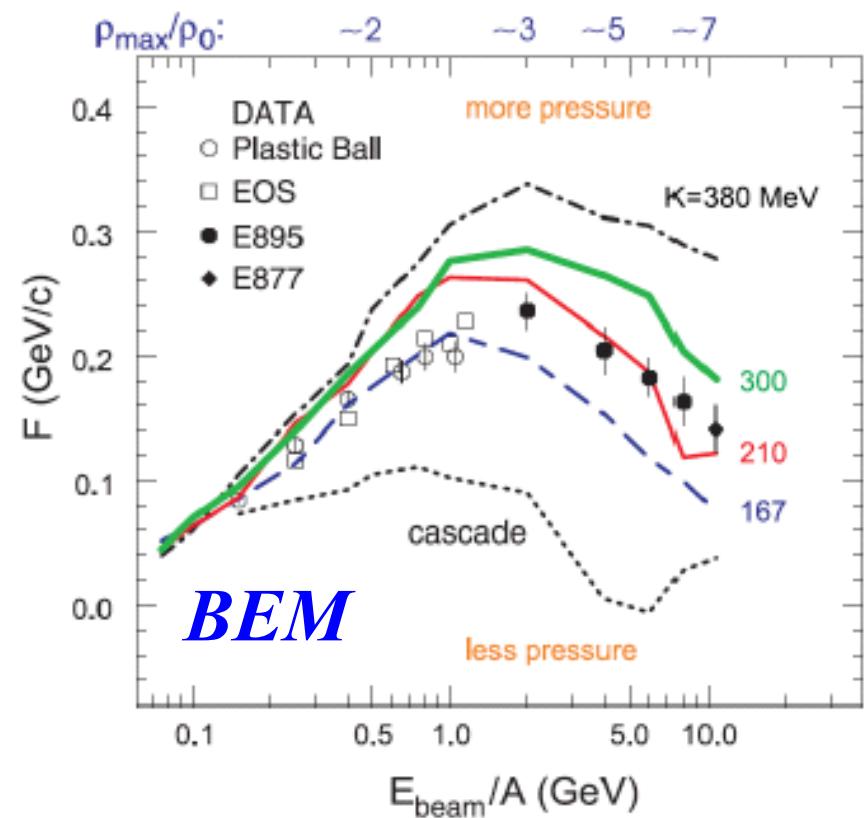
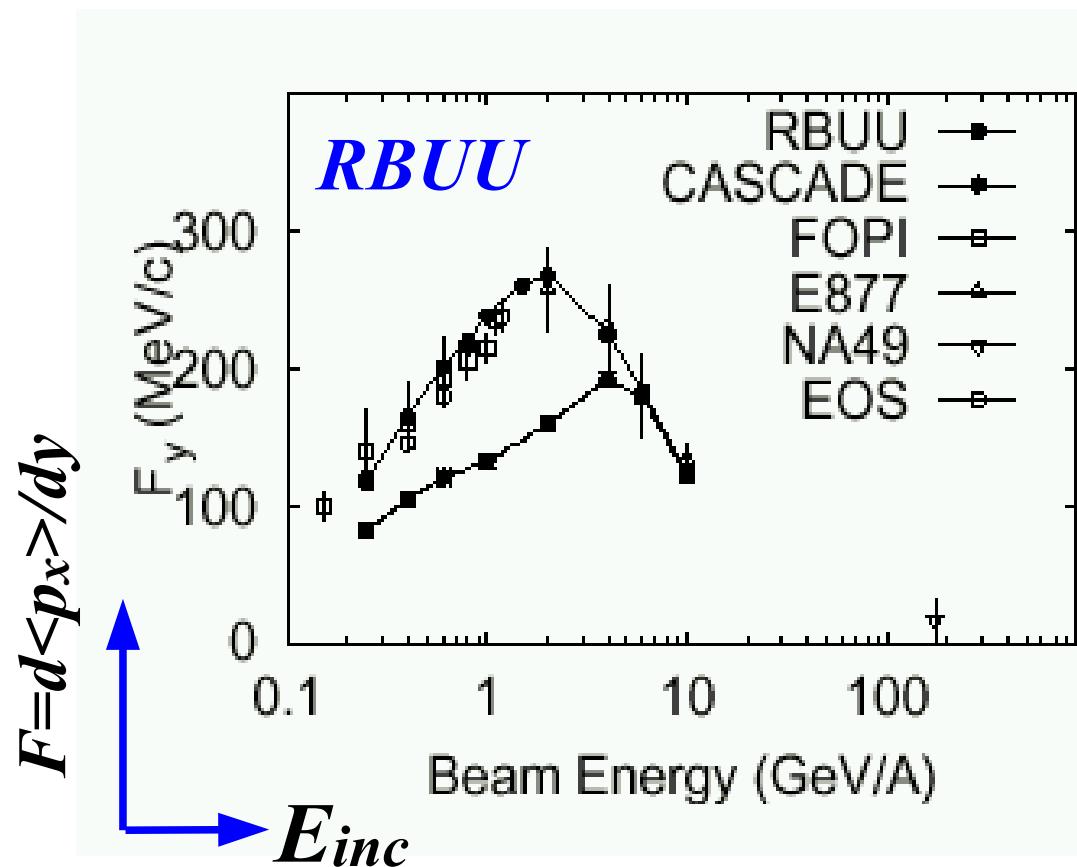
Side Flow at AGS Energies

Relativistic BUU (RBUU) model: $K \sim 300 \text{ MeV}$

(Sahu, Cassing, Mosel, AO, Nucl. Phys. A672 (2000), 376.)

Boltzmann Equation Model (BEM): $K=167\sim210 \text{ MeV}$

(P. Danielewicz, R. Lacey, W.G. Lynch, Science 298(2002), 1592.)



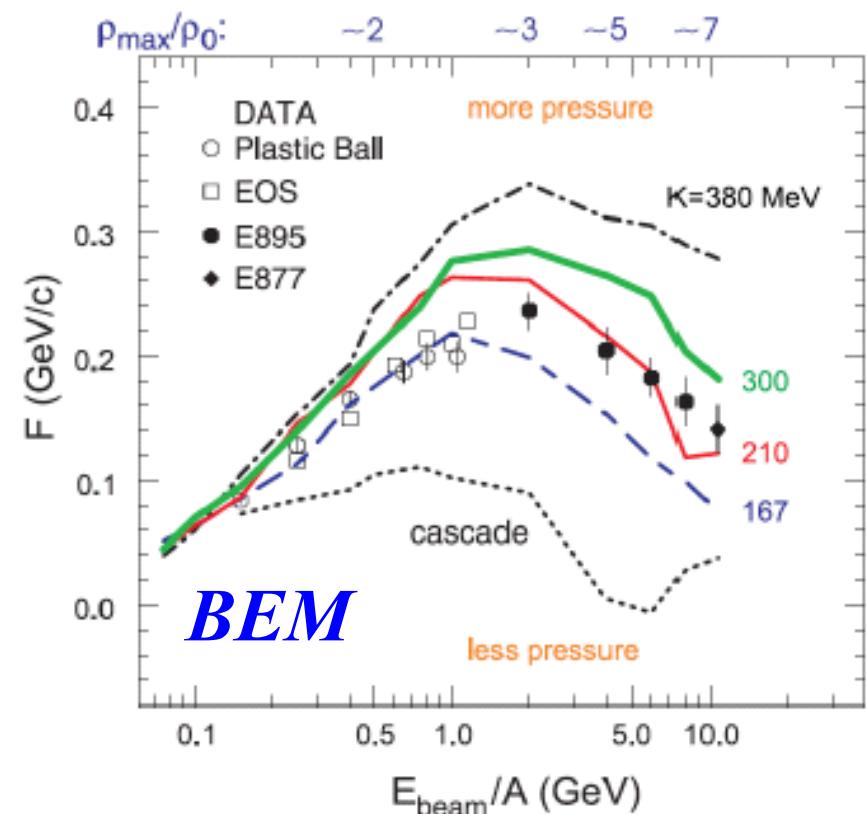
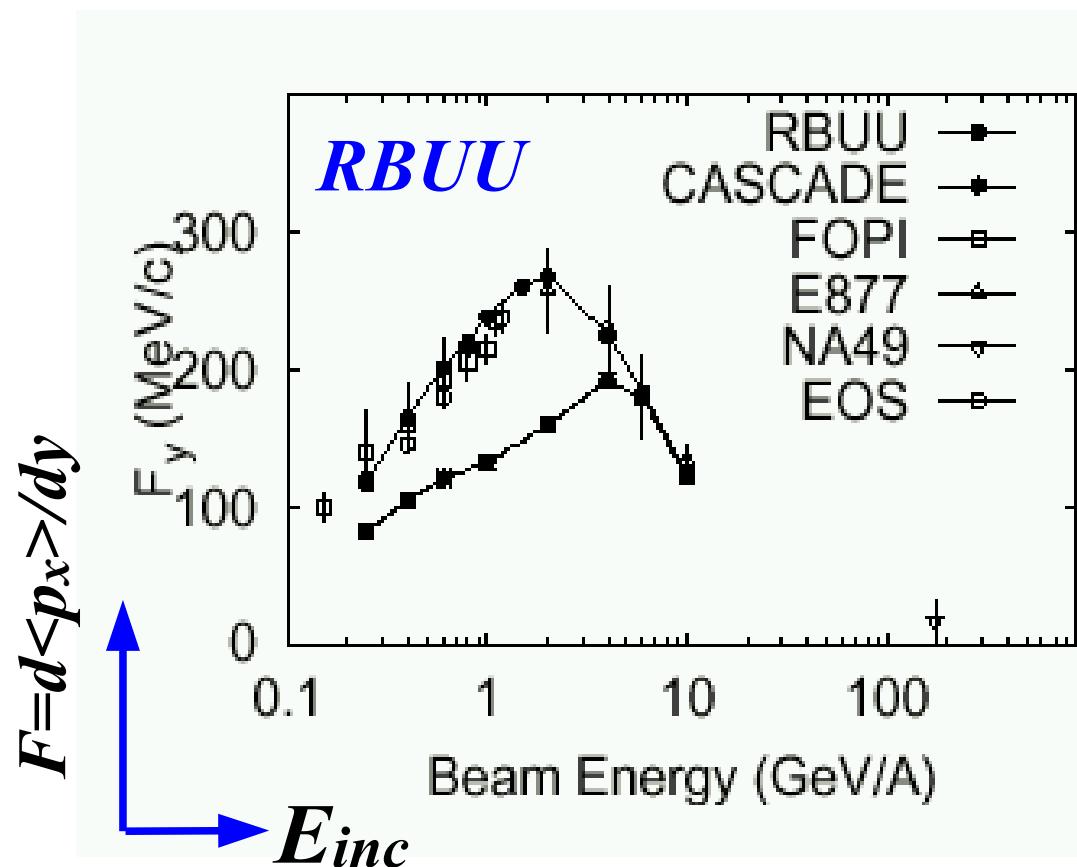
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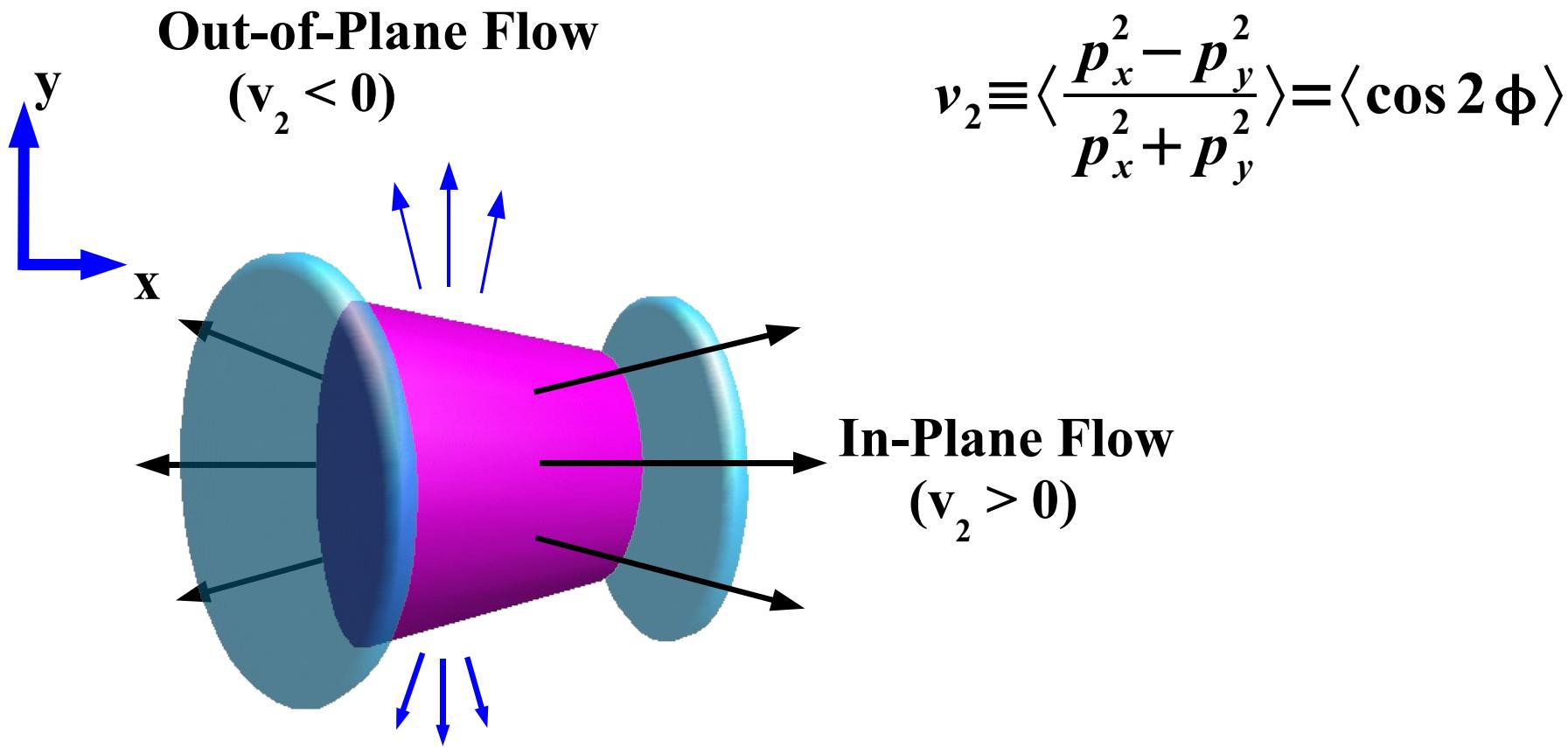
Elliptic Flow

What is Elliptic Flow ? → Anisotropy in P space

Hydrodynamical Picture

Sensitive to the Pressure Anisotropy in the Early Stage

Early Thermalization is Required for Large V2



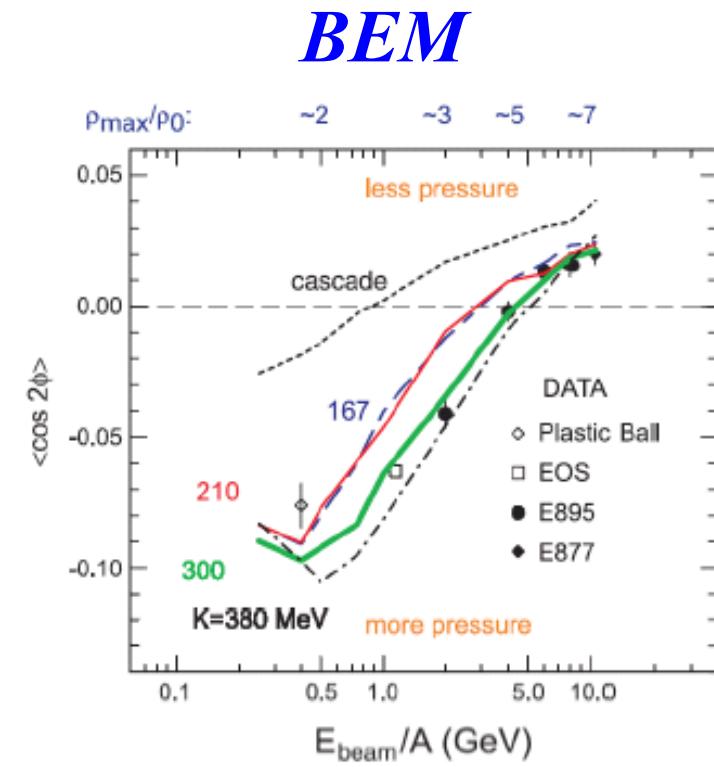
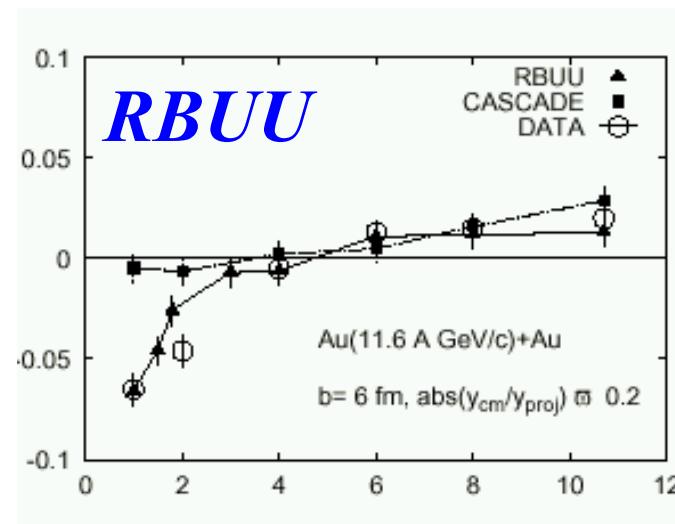
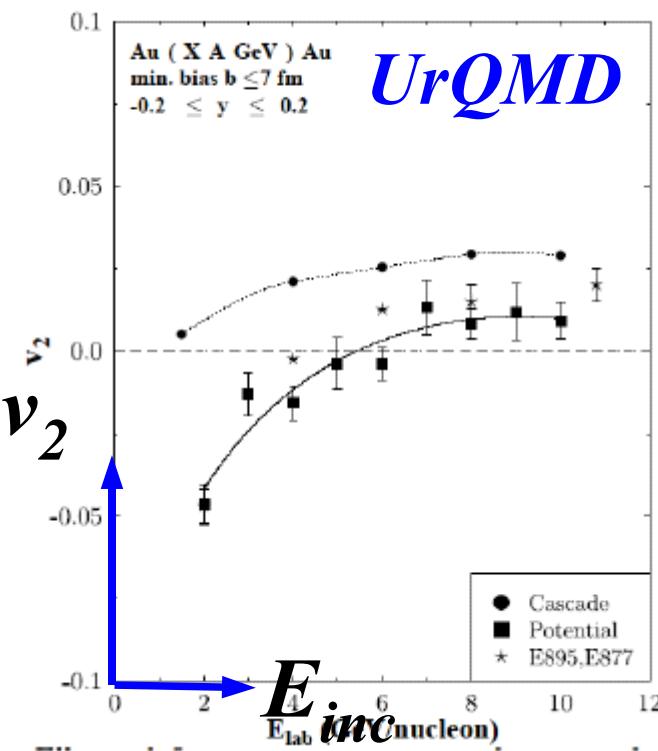
Elliptic Flow at AGS

Strong Squeezing Effects at low E (2-4 A GeV)

UrQMD: Hard EOS (S.Soff et al., nucl-th/9903061)

RBUU (Sahu-Cassing-Mosel-AO, 2000): $K \sim 300$ MeV

BEM(Danielewicz2002): K = 167 → 300 MeV



Elliptic Flow from AGS to SPS

JAM-MF with p dep. MF explains proton v2 at 1-158 A GeV

v2 is not very sensitive to K (incompressibility)

Data lies between MS(B) and MS(N)

