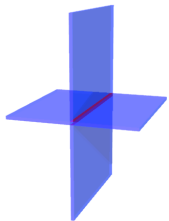


The University of Tokyo, Hongo: October 26, 2009

# YET ANOTHER ALTERNATIVE TO COMPACTIFICATION BY HETEROTIC FIVE-BRANES

[arXiv: 0905.2185 \[hep-th\]](https://arxiv.org/abs/0905.2185)



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Introduction

A typical example: **Calabi-Yau** compactification in  $E_8 \times E_8$  heterotic string theory

**Standard Embedding:**  $\omega_m^{ab} \equiv A_m^{ab} \in SU(3) \rightsquigarrow E_8 \supset E_6 \times SU(3)$

$$\# \text{ of generations} = \frac{1}{2} |\chi(\text{CY})| = |h^{1,1} - h^{2,1}|$$

$h^{1,1} = \#$  of Kähler moduli  $= \#$  of  $(\overline{\mathbf{27}}, \overline{\mathbf{3}})$  repr. (size of CY)

$h^{2,1} = \#$  of complex structure moduli  $= \#$  of  $(\mathbf{27}, \mathbf{3})$  repr. (shape of CY)

M.B. Green, J.H. Schwarz and E. Witten: *Chapter 16.2*

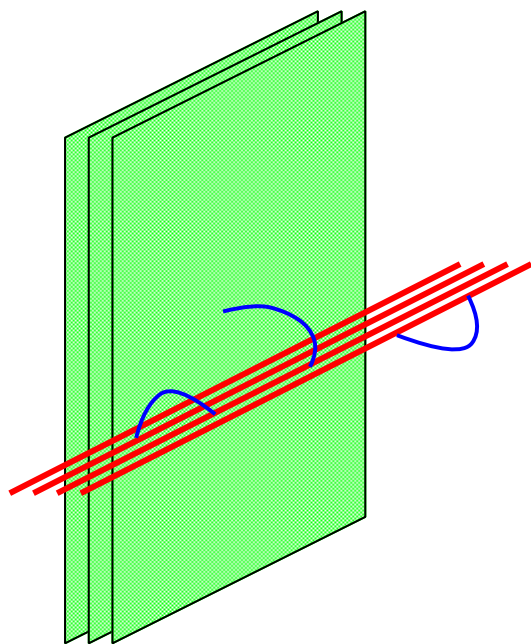
### “Problems”

So many Calabi-Yau manifolds

So many massless modes appear in four-dimensional physics

$\rightsquigarrow$  flux compactification to yield potential energy

Another example: **D-brane** scenario in higher-dimensional theories



ex.)

$N_f$  D7-branes (green planes)

$N_c$  D3-branes (red lines)

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$SU(N_c)$  gauge theory with  $N_f$  flavors

“Good Points”

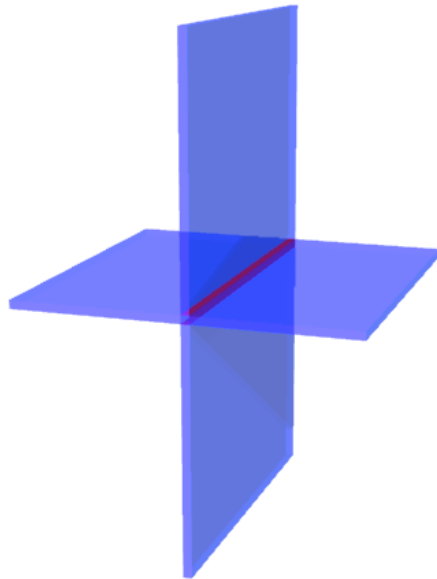
Simple and visible

“Problems”

a bit artificial setup

Yet Another Alternative: Intersecting 5-branes in heterotic string theory

– Our Model –



	0	1	2	3	4	5	6	7	8	9
5-brane	○			○	○			○	○	○
5-brane'	○					○	○	○	○	○
our world	○							○	○	○

“Good Points”

Simple!

$E_6$  gauge symmetry appears

Naturally obtain  $E_6$ -charged multiplets in four dimensions as “Nambu-Goldstone” modes

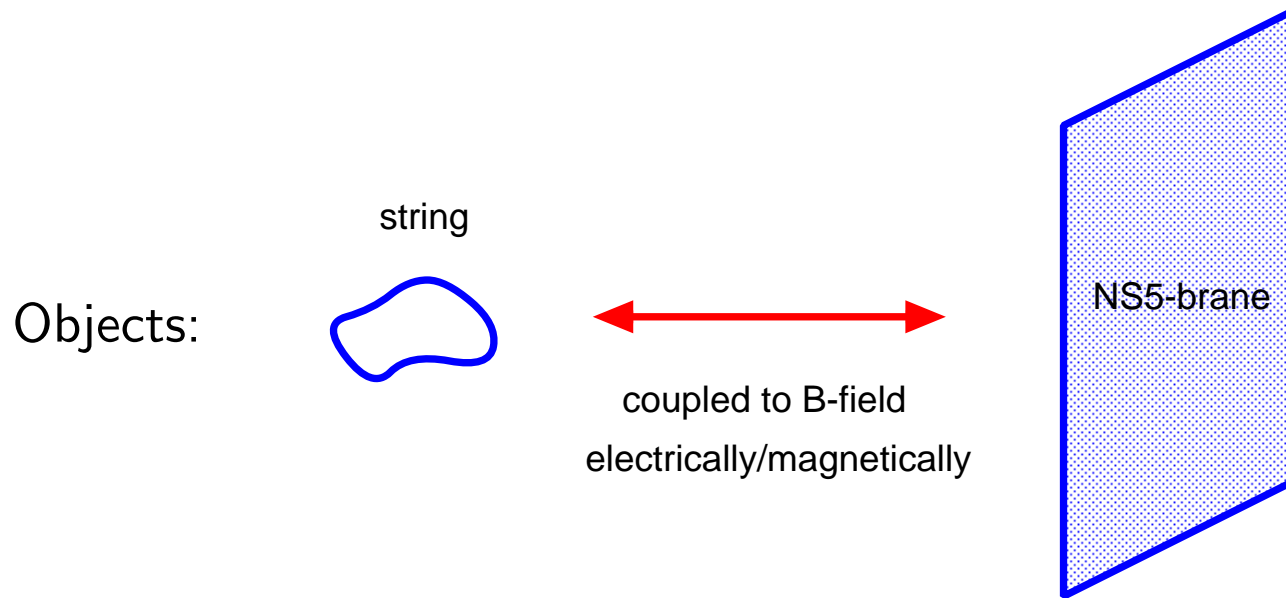
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# Heterotic String Theory in Ten Dimensions



16 Supersymmetry Charges

$E_8 \times E_8$  or  $SO(32)$  gauge symmetries

Effective action in the string frame is given as

$$S_{\text{boson}} = \frac{1}{2\kappa_{10}^2} \int d^{10}x \sqrt{-g} e^{-2\phi} \left\{ R + 4(\nabla_M \phi)^2 - \frac{1}{3} H_{MNP}^2 - \frac{\alpha'}{30} \text{Tr} F_{MN}^2 + \dots \right\}$$



Supersymmetry transformations:

$$\begin{aligned}
 \text{gravitino} \quad \delta\psi_M &= \left( \partial_M + \frac{1}{4} \omega_{-M}{}^{AB} \Gamma_{AB} \right) \epsilon \\
 \text{gaugino} \quad \delta\chi &= -\frac{1}{4} F_{MN} \Gamma^{MN} \epsilon \\
 \text{dilatin} \quad \delta\lambda &= -\frac{1}{4} \left( \Gamma^M \partial_M \phi - \frac{1}{6} H_{MNP} \Gamma^{MNP} \right) \epsilon
 \end{aligned}$$

Bianchi identity (via anomaly cancellation)

$$dH = \alpha' \left[ \frac{1}{30} \text{Tr}(F \wedge F) - \text{tr}(R(\omega_+) \wedge R(\omega_+)) \right]$$

$$\text{with } \omega_{\pm M}{}^{AB} := \omega_M{}^{AB} \pm H_M{}^{AB}$$

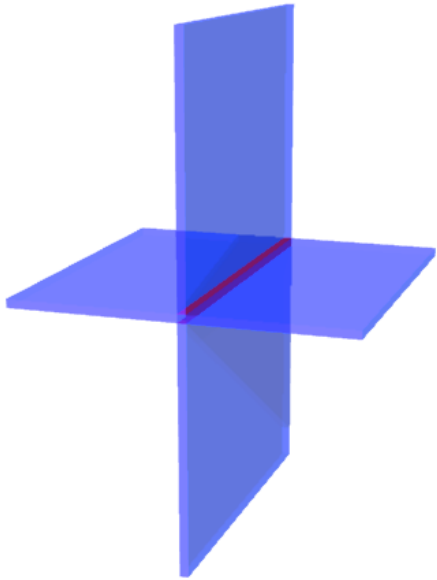
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## Intersection Rule for $p$ -branes

R. Argurio, F. Englert and L. Houart, *Phys.Lett.B* 398 (1997) 61

$$\bar{q} + 1 = \frac{(p_A + 1)(p_B + 1)}{D - 2} - \frac{1}{2}(\varepsilon_A a_A)(\varepsilon_B a_B)$$



$D$  : total spacetime dimensions

$\bar{q}$  : intersecting dimensions

$p_A$  : spatial dimensions of  $p_A$ -brane

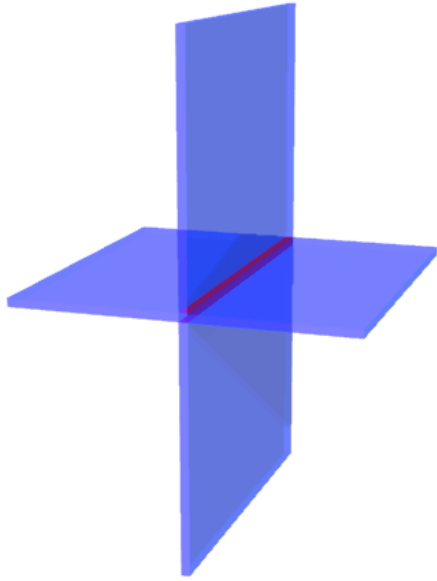
$a_A$  :  $-1$  (NSNS B-field),  $\frac{1}{2}(5 - p_A)$  (RR  $(p_A + 1)$ -form)

$\varepsilon_A$  :  $+1$  (electric),  $-1$  (magnetic)

Now, we consider two intersecting NS5-branes in heterotic string:

$$\bar{q} + 1 = \frac{(5 + 1)(5 + 1)}{10 - 2} - \frac{1}{2}(-1)(-1)(-1)(-1) \rightarrow \bar{q} = 3$$

↪ good dimensions to consider four-dimensional spacetime



	0	1	2	3	4	5	6	7	8	9
5-brane	○			○	○			○	○	○
5-brane'	○					○	○	○	○	○
our world	○							○	○	○

The string frame metric and the solution are given as

$$ds^2 = \sum_{\mu, \nu=0,7,8,9} \eta_{\mu\nu} dx^\mu dx^\nu + h^2 \sum_{m=1}^2 (dx^m)^2 + h \sum_{m=3}^6 (dx^m)^2$$

$$h = 1 + N|x^1| = e^\phi, \quad H_{234} = H_{256} = \frac{N}{2h}|x^1|'$$

K. Ohta and T. Yokono, *JHEP 02 (2000) 023 + our new idea*

Spin connections  $(\omega_{\pm})_m^a{}_b \equiv (\omega \pm H)_m^a{}_b$  are expressed as

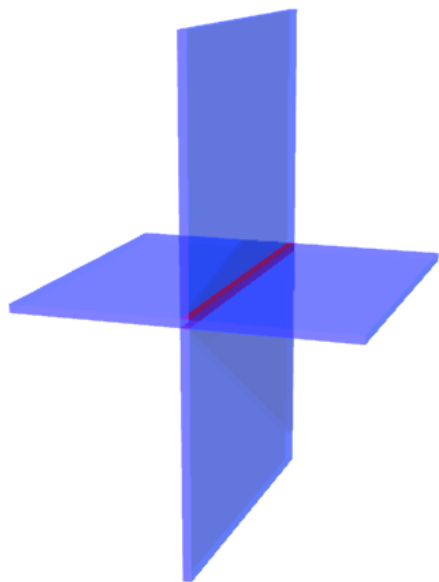
$$\begin{aligned}
 (\omega_{\pm})_1 &= 0, & (\omega_{\pm})_2 &= \frac{h'}{2h} \begin{pmatrix} & -2 & & \\ +2 & & & \\ & & \pm 1 & \\ & & \mp 1 & \pm 1 \end{pmatrix} \\
 (\omega_{\pm})_3 &= \frac{h'}{2h^{\frac{3}{2}}} \begin{pmatrix} & & -1 & \\ +1 & & \mp 1 & \\ & \pm 1 & & \\ & & & \end{pmatrix}, & (\omega_{\pm})_4 &= \frac{h'}{2h^{\frac{3}{2}}} \begin{pmatrix} & & & -1 \\ & & \pm 1 & \\ +1 & \mp 1 & & \\ & & & \end{pmatrix} \\
 (\omega_{\pm})_5 &= \frac{h'}{2h^{\frac{3}{2}}} \begin{pmatrix} & & & -1 \\ & & \mp 1 & \\ & & & \\ +1 & & & \\ & \pm 1 & & \end{pmatrix}, & (\omega_{\pm})_6 &= \frac{h'}{2h^{\frac{3}{2}}} \begin{pmatrix} & & & -1 \\ & & \pm 1 & \\ & & & \\ +1 & \mp 1 & & \\ & & & \end{pmatrix}
 \end{aligned}$$

Each spin connection belongs to  $SU(3)$  group

However,  $\omega_+$  does not correspond to  $\omega_-$  by any similarity transformations

Embedding  $\omega_{+m} \equiv A_m$ , the Bianchi identity is given by

$$dH = \alpha' \left[ \frac{1}{30} \text{Tr}(F \wedge F) - \text{tr}(R(\omega_+) \wedge R(\omega_+)) \right] = 0$$



4 SUSY preserved (12 broken)

$\omega_{+m}$ : an  $SU(3)$  holonomy connection in 6 directions

$\omega_{-m}$ : another  $SU(3)$  holonomy connection in 6 directions

We can embed  $\omega_{+m}$  into  $A_m \rightsquigarrow SU(3)_{\text{spin}} \equiv SU(3)_{\text{gauge}} =: SU(3)_{\text{frozen}}$



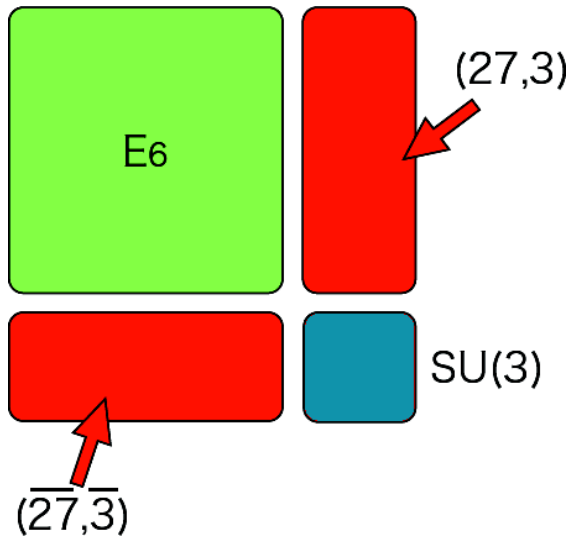
$E_8$  gauge symmetry is broken to  $E_6 \times SU(3)_{\text{frozen}}$



4-dim'l  $\mathcal{N} = 1$  with  $E_6$ -gauge symmetry on the intersecting spacetime

# Counting $E_6$ -charged chiral multiplets in 4-dim'l theory

$$E_8 \supset E_6 \times SU(3) \quad 248 = (\mathbf{78}, \mathbf{1}) \oplus (\mathbf{1}, \mathbf{8}) \oplus (\mathbf{27}, \mathbf{3}) \oplus (\overline{\mathbf{27}}, \overline{\mathbf{3}})$$



Focus only on  $E_6$  fundamental:  $(27 \times 3) + (\overline{27} \times \overline{3})$

$(\mathbf{27}, \mathbf{3})$  and  $(\overline{\mathbf{27}}, \overline{\mathbf{3}})$  are complex conjugate

$$\{(27 \times 3) + (\overline{27} \times \overline{3})\} / 2 = 27 \times 3 \text{ complex bosons}$$

$$= 3 \text{ complex bosons of } E_6 \text{ fundamental repr.}$$

cf) C.W. Bernard, N.H. Christ, A.H. Guth and E.J. Weinberg, *Phys. Rev. D*16 (1977) 2967

$\mathcal{N} = 1$  SUSY implies the existence of 3 Weyl fermions

The three bosons and fermions are combined into

3 complex chiral multiplets of  $E_6$  fundamental repr.!

3 complex chiral multiplets = 3 generations??

Remark

This intersecting five-brane configuration is T-dual to deformed conifold  
(non-compact CY with  $h^{2,1} = 1$ ,  $h^{1,1} = 0$ )



# of generations is just one!? (from “ordinary” viewpoint)



## The Answer: one generation

See the Dirac equation of gaugino in 10D

$$0 = \not{D}(\omega - \frac{1}{3}H, A)\chi - \Gamma^M \chi \cdot \partial_M \Phi + \frac{1}{4} \Gamma^M \Gamma^{AB} F_{AB} \left( \psi_M + \frac{2}{3} \Gamma_M \lambda \right)$$

with background  $\psi_M = 0 = \lambda$  and  $\chi' = e^{-\Phi} \chi$ :

↓

$$0 = \not{D}(\omega - \frac{1}{3}H, A)\chi'$$

↓

$$0 = \Gamma^\mu \partial_\mu \chi' + \Gamma^m D_m(\omega - \frac{1}{3}H, A)\chi'$$

Focus only on the fermionic modes  $\chi'$  belonging to  $(\mathbf{27}, \mathbf{3})$  or  $(\overline{\mathbf{27}}, \overline{\mathbf{3}})$ .

Factorize  $\chi' = \chi_{4D} \otimes \psi_{6D}$  and evaluate the second term as the “mass term” of  $\chi_{4D}$ .

Assume that  $\chi'$  depends only on  $x^1$  as a smeared configuration (cf. the metric)

$$0 = \Gamma^a e_a{}^m \frac{\partial}{\partial x^m} \chi'(x^1) + \Gamma^a e_a{}^i \left( \frac{1}{4} (\omega - \frac{1}{3} H)_m{}^{ab} \Gamma_{ab} + A_m \right) \chi'$$

with

$$e_a{}^m = \begin{pmatrix} h^{-1} & & & & & \\ & h^{-1} & & & & \\ & & h^{-\frac{1}{2}} & & & \\ & & & h^{-\frac{1}{2}} & & \\ & & & & h^{-\frac{1}{2}} & \\ & & & & & h^{-\frac{1}{2}} \end{pmatrix}, \quad h = 1 + N|x^1|$$

where

$$\Gamma^1 = \tilde{\gamma}_\# \otimes \gamma_1, \quad \Gamma^2 = \tilde{\gamma}_\# \otimes \gamma_2, \quad \Gamma^3 = \tilde{\gamma}_\# \otimes \gamma_3,$$

$$\Gamma^4 = \tilde{\gamma}_\# \otimes \gamma_4, \quad \Gamma^5 = \tilde{\gamma}_\# \otimes \gamma_5, \quad \Gamma^6 = \tilde{\gamma}_\# \otimes \gamma_6$$

$\tilde{\gamma}_\#$ : chirality operator in 4D,  $\gamma_a$ : gamma matrix in 6D

$$\gamma_1 = \sigma_2 \otimes \mathbf{1}_2 \otimes \mathbf{1}_2 \quad \gamma_2 = \sigma_1 \otimes \sigma_1 \otimes \mathbf{1}_2 \quad \gamma_3 = \sigma_1 \otimes \sigma_2 \otimes \mathbf{1}_2$$

$$\gamma_4 = \sigma_1 \otimes \sigma_3 \otimes \sigma_1 \quad \gamma_5 = \sigma_1 \otimes \sigma_3 \otimes \sigma_2 \quad \gamma_6 = \sigma_1 \otimes \sigma_3 \otimes \sigma_3$$

Spin connections  $(\omega - \frac{1}{3}H)_m{}^a{}_b \equiv \widehat{\omega}_m{}^a{}_b$  are expressed as

$$\widehat{\omega}_1 = 0,$$

$$\widehat{\omega}_3 = \frac{h'}{2h^{\frac{3}{2}}} \begin{pmatrix} & & -1 & \\ +1 & & & \frac{1}{3} \\ & -\frac{1}{3} & & \\ & & & \end{pmatrix},$$

$$\widehat{\omega}_5 = \frac{h'}{2h^{\frac{3}{2}}} \begin{pmatrix} & & & -1 & \\ & & & & \frac{1}{3} \\ +1 & & & & \\ & -\frac{1}{3} & & & \end{pmatrix},$$

$$\widehat{\omega}_2 = \frac{h'}{2h} \begin{pmatrix} & -2 & & \\ +2 & & & \\ & & -\frac{1}{3} & \\ & \frac{1}{3} & & \\ & & & -\frac{1}{3} \\ & & & \frac{1}{3} \end{pmatrix}$$

$$\widehat{\omega}_4 = \frac{h'}{2h^{\frac{3}{2}}} \begin{pmatrix} & & & -1 & \\ & & -\frac{1}{3} & & \\ +1 & \frac{1}{3} & & & \\ & & & & \end{pmatrix}$$

$$\widehat{\omega}_6 = \frac{h'}{2h^{\frac{3}{2}}} \begin{pmatrix} & & & & -1 & \\ & & & -\frac{1}{3} & & \\ & & & & & \\ +1 & \frac{1}{3} & & & & \end{pmatrix}$$

$h' = \partial h / \partial x^1 = |x^1|'$ : step function

Dirac equation of 6D part is given as

$$\begin{aligned}
 0 &= \gamma^1 e_1^1 \frac{d}{dx^1} \psi_{6D} + \gamma^a e_a^i \left( \frac{1}{4} (\omega - \frac{1}{3} H)_m{}^{ab} \gamma_{ab} + A_m \right) \psi_{6D} \\
 &\equiv \begin{pmatrix} 0 & -ih^{-1} \mathbf{1}_2 \otimes \mathbf{1}_2 \\ ih^{-1} \mathbf{1}_2 \otimes \mathbf{1}_2 & 0 \end{pmatrix} \frac{d}{dx^1} \psi_{6D} + \begin{pmatrix} 0 & M_1 \\ M_2 & 0 \end{pmatrix} \psi_{6D}
 \end{aligned}$$

Evaluate the eigenvalues  $\lambda \frac{h'}{h^2}$  of the second term and solve the equation:

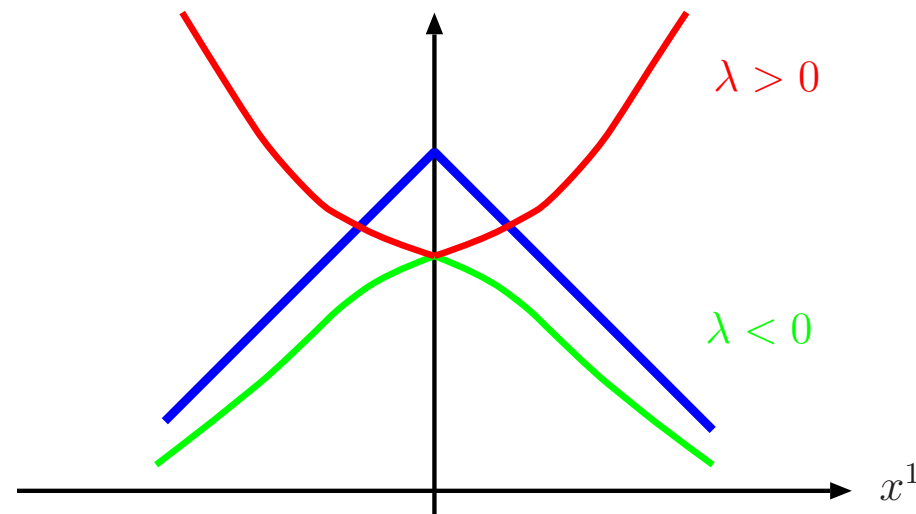
$$0 = \frac{i}{h} \frac{d}{dx^1} \psi + i\lambda \frac{h'}{h^2} \psi$$

$$\therefore \psi = (\text{const.}) \times h^{-\lambda} = (\text{const.}) \times (1 + N|x^1|)^{-\lambda}$$

Note that  $h = 1 + N|x^1| = e^\phi \dashrightarrow \begin{cases} N > 0 : & \text{negative tension} \\ N < 0 : & \text{positive tension} \end{cases}$

Then only the negative eigenvalues  $\lambda < 0$  imply the normalizable modes.

$h(x^1)$  with  $N < 0$



**Result** **two** left-chiral normalizable modes and **one** right-chiral normalizable mode

$\dashrightarrow 2 - 1 = 1$  generation

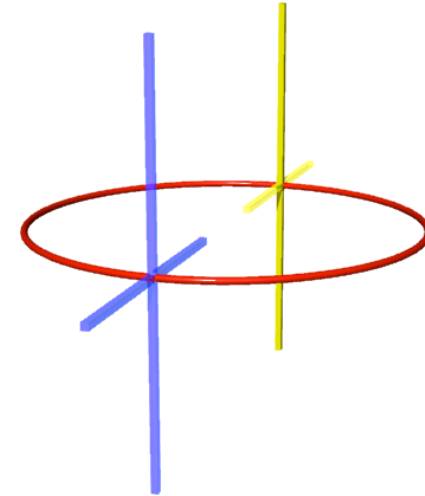
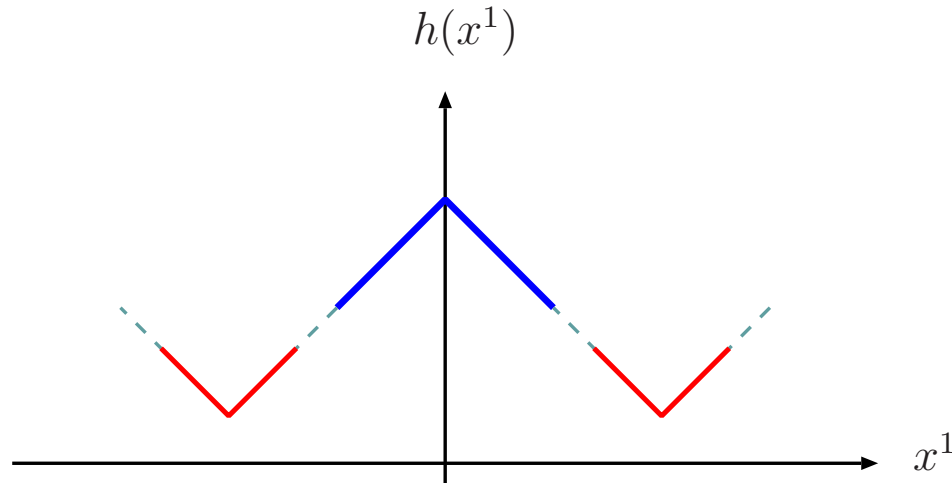
consistent with the information from deformed conifold (T-dual of this setup)

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## Yet Another Alternative to Compactification (cf. Randall-Sundrum 1 model)

Compactify all extra directions  $(x^1, \dots, x^6)$  to “six-torus”  $(T^5 \times (S^1/\mathbb{Z}_2))$



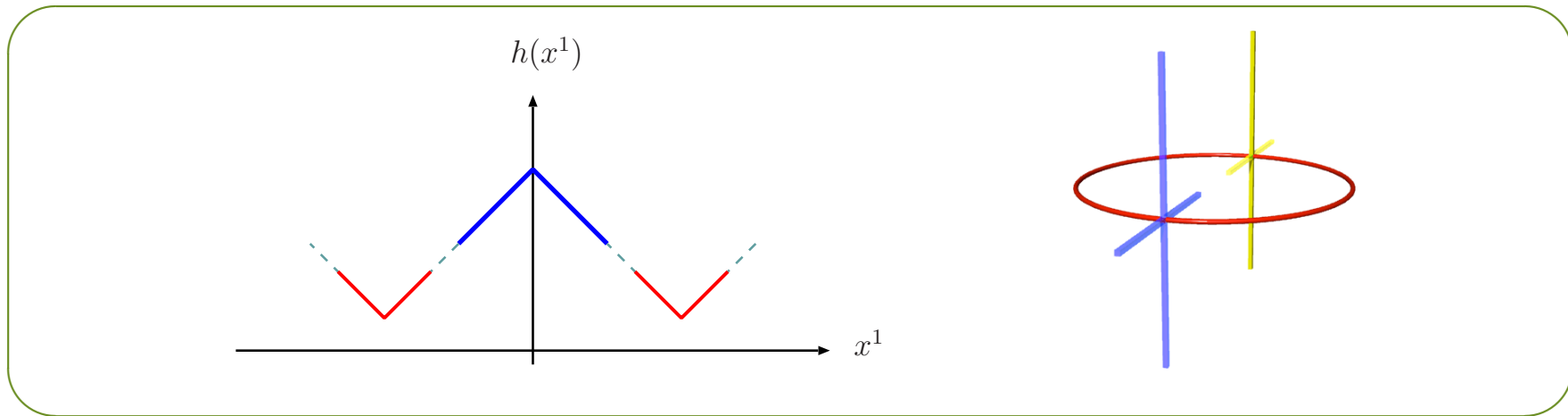
### Notice:

In order to introduce objects which absorb/emit NS charges,

we have to put **another** intersecting 5-branes with **negative** tension in the  $x^1$  direction

→ Then, we modify the function  $h$  to

$$h(x^1) = h_0 + N|x^1 - 2\pi k r_c|, \quad k \in \mathbb{Z}$$



This setup has the following features:

- ① Cosmological constant vanishes
- ① Warp factor is milder (linear) than that of RS1 (exponential)
- ① Supersymmetry is broken
  - ⇒ We obtain 4-dim'l **non-SUSY** model with  $E_6$  gauge symmetry (under the vanishing limit of  $h_0$ )



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## Summary

- Studied NS5-brane and its intersection in heterotic string
- Obtained a simple model to yield a chiral model in four dimensions
- Applied it to consider a non-SUSY model via torus compactification

## Discussions

- **Much clearer** (or direct) description of massless modes  
Nambu-Goldstone, Higgs, gauge bosons, SUSY  $\in$  effective action
- Connecting to bottom-up model-building
- More understanding intersecting five-branes
- Comparison to type II and F-theoretical configurations via string dualities

THANK YOU